

Human Transposon Tectonics

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Mobile DNAs have had a central role in shaping our genome. More than half of our DNA is comprised of interspersed repeats resulting from replicative copy and paste events of retrotransposons. Although most are fixed, incapable of templating new copies, there are important exceptions to retrotransposon quiescence. De novo insertions cause genetic diseases and cancers, though reliably detecting these occurrences has been difficult. New technologies aimed at uncovering polymorphic insertions reveal that mobile DNAs provide a substantial and dynamic source of structural variation. Key questions going forward include how and how much new transposition events affect human health and disease.

Human Retroelements

High copy number repeats reflecting mobile DNA integrations comprise a large fraction of genomes in a wide variety of organisms. The proportions of mobile DNAs in genomes are highly variable among species, and each eukaryote has a specific complement of recently active transposable elements (TEs). Transposons are thus key genetic features distinguishing related species.

Humans are no exception. Like most other mammals, the landscape of our genome reflects a long history of activity of retrotransposons known as class I transposable elements. These elements replicate by a copy and paste mechanism, producing mRNA-like intermediates that are reverse transcribed by an element-encoded enzyme. In contrast, class II DNA transposons employ a cut and paste mechanism, directly moving DNA segments from one location to another. Although DNA transposons are not active in humans, a co-opted DNA cut and paste system is involved in recombination events that generate lymphocyte antigen binding diversity (Agrawal et al., 1998). The retrotransposons that have recently made significant contributions to the human genome include long and short interspersed repeats (termed LINEs and SINEs, respectively) and long terminal repeat elements (LTR elements). In the current genome assembly, about 45% of our total DNA is recognizable as having homology to consensus sequences of retroelements (Figure 1A) (Jurka et al., 2005; Lander et al., 2001; Smit et al., 1996). The true contribution of retroelements to the human genome is likely to be considerably larger. A new computational approach reliant on de novo recognition of high-abundance oligonucleotides recognizes many smaller fragments of elements accrued over hundreds of millions of years of vertebrate evolution and estimates that repeats comprise nearly two-thirds of our total genome (de Koning et al., 2011).

A relatively recent, or “young,” transposon insertion sequence bears high homology to currently active template elements; older

insertions accrue changes resulting in divergence of their sequences from the family consensus (Figure 1C). Although rates vary, in humans, sequence divergence of interspersed repeats of about 12%–18% has occurred over the last 100 million years (Lander et al., 2001). L1 LINEs and *Alu* SINEs date to about 150 and 80 million years, respectively, and were preceded by expansions of L2 LINEs and MIR SINEs. In contrast, currently active retrotransposons include a subset of L1 with about 0.8% divergence from the consensus and elements it mobilizes. When a L1 LINE, SINE, or SVA retrotransposon insertion occurs in or is passed to the germline, the locus can be inherited with it present or absent; these are colloquially referred to as the “filled” versus “empty” alleles. The empty allele antedates the insertion event; it is the ancestral allele. If autosomal, an insertion may be homozygous or heterozygous in an individual. Such polymorphic insertions are categorized as a subtype of “indel” structural variants, though no deletion event is relevant for these non-LTR retrotransposons. We consider these as biallelic polymorphisms herein, disregarding subsequent nucleotide changes within the inserted sequence for simplicity.

Most of the repetitive landscape of our genome reflects integration events that became homozygous in ancestral species. Species-specific insertions are responsible for a minor though notable portion of the difference between our genome and that of the common chimpanzee, *Pan troglodytes* (Hedges et al., 2004; The Chimpanzee Sequencing and Analysis Consortium, 2005; Mills et al., 2006). In total, transposable element insertions are responsible for about 16 Mb of sequence difference in a pairwise comparison of the genomes; that amounts to 0.5% of either genome. For context, ~35 million nucleotide substitutions (1.2% of either genome) distinguish the species. Since divergence, humans and chimps have accrued similar numbers of species-specific L1 and SVA inserts, whereas humans accumulated nearly three times as many *Alu* elements. The human genome

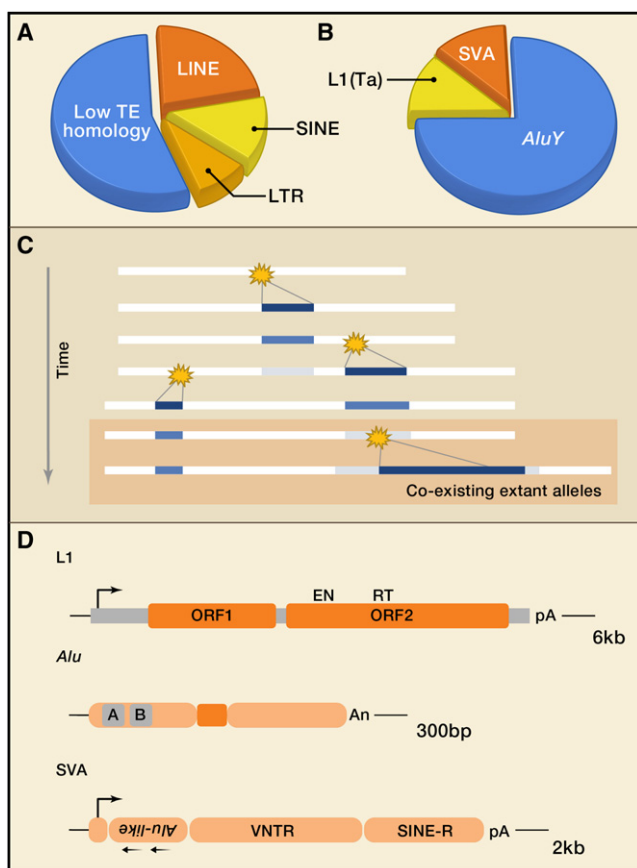


Figure 1. Human Retrotransposons

(A) Composition of the human genome with respect to high copy number repeats. Data are from the RepeatMasker analysis of the hg19 human genome assembly (Genome Reference Consortium GRCh37). The illustration shows fractions of the genome derived from the major orders (Wicker et al., 2007) of retrotransposons. The remaining 55% of the genome bears low homology to TEs, although substantial portions may be derived from mobile DNA.

(B) Transposon types illustrated as fractions of total ongoing activity. *AluY* are the most prolific source of new insertions at 1 de novo germline insertion per 20 births; L1 and SVA are thought to be comparable in current activity, responsible for 1 insertion per 100–200 births.

(C) Schematic showing an accumulation of interspersed repeat insertions over time. New integrations are stochastic events in individuals (star), such that coexistence with the antedating empty allele occurs in the population initially. In the schematic, two alleles are present currently, reflecting presence and absence of the most recent insertion (a retrotransposon insertion polymorphism [RIP]). As persisting insertions age, they become fixed, or invariant, in the population and decrease in sequence likeness to similar elements (black to gray).

(D) Structure of the most active retroelements in human genomes. L1 LINES have a CpG-rich 5' UTR with an internal RNA Pol II promoter (5' rightward arrow), two open reading frames encoding ORF1p and ORF2p (orange segments), and a 3' UTR with a polyadenylation (pA) sequence. The ORF2 reading frame encodes endonuclease (EN) and reverse transcriptase (RT) domains. *Alu* elements are derived from 7SL ribosomal RNAs; they have two internal monomer sequences with a centrally located A-rich sequence and an RNA Pol III promoter (A and B, gray). The sequence ends in multiple adenosines (A_n). SVAs are composites of other repeats, from left to right: a CCCTCTn repeat, two tandem *Alu*-like sequences in antisense (leftward arrows), a variable number tandem repeat (VNTR) region, and a SINE-R region with HERV homology. Sequence suggests RNA Pol II-driven transcription (5' rightward arrow), and there is a 3' AAUAAA sequence (pA).

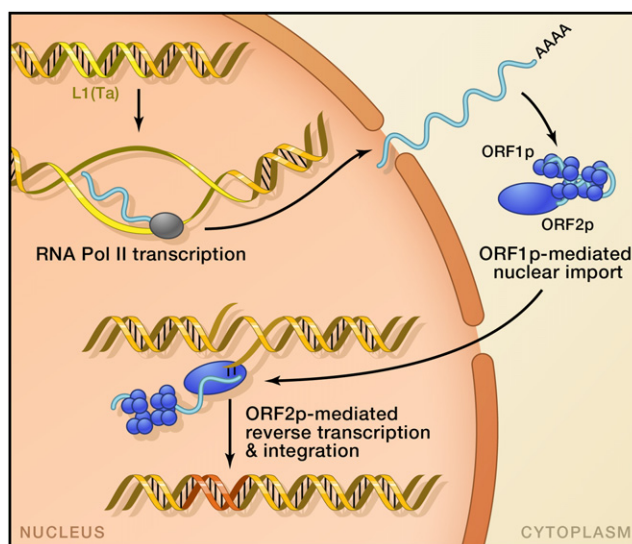


Figure 2. L1 LINE Propagation

L1 retrotransposition requires RNA and two proteins encoded by L1; they assemble into ribonucleic acid particles during translation. Reverse transcription of the RNA is coupled to insertion as it initiates from the 3'-OH of the broken strand (target primed reverse transcription, TPRT). Resolution of the structure results in target site duplication (TSD).

contains ~2000 species-specific LINES (L1), 8,000 species-specific inserts of dependent elements (7,000 *Alu* and 1,000 SVA), and 73 LTRs (mostly HERV-K solo LTRs). For each type, a limited repertoire of recently active transposon subfamilies is responsible for the expansion in humans. Subfamilies are defined by internal transposon sequence, as described further below. For example, *AluYa5* and *AluYb8* subfamilies predominate among human-specific SINEs; L1HS are chiefly responsible for LINES unique to humans.

There are three highly active human retrotransposon families today (Figures 1B and 1D). All require a combination of host factors and proteins encoded by L1 LINES to continuously re-enter our genomes (see Ostertag and Kazazian, 2001a for a review of human L1), and thus L1 transposons are termed the autonomous elements. A full-length L1 is 6 kilobases long. Following transcription by RNA polymerase II, translation of the two open reading frames occurs, producing ORF1p and ORF2p. Association of the L1 transcript with these proteins occurs in ribonucleic acid particles (RNPs) (Hohjoh and Singer, 1996), and *cis* preference is seen for these interactions (Kulpa and Moran, 2006; Wei et al., 2001). ORF1p is required for L1 transposition and functions as a chaperone protein or single-strand RNA-binding protein (reviewed in Martin, 2010). ORF2p has two recognized enzymatic domains, an endonuclease (Feng et al., 1996) and reverse transcriptase (Mathias et al., 1991). L1 retrotransposition is diagrammed in Figure 2. The ORF2p-encoded endonuclease mediates a DNA nick in the host genome, and the resulting 3'-OH is extended by the reverse transcriptase to make a nuclear DNA copy of the L1 RNA template; this is termed target primed reverse transcription (TPRT). The precise steps for resolution of this structure are not known, although the breakpoint of the second DNA strand

is staggered such that a small sequence of the target site is duplicated flanking the insertion. The second strand appears capable of annealing internally in the L1 RNA and initiating a second RT reaction, a process termed twin priming. This can result in inversions and truncations of 5' L1 sequence and may be responsible for the preponderance of L1 insertions that have lost 5' sequence (Ostertag and Kazazian, 2001b). In vitro assays using a recombinant transposon have been essential to identifying critical sequences that are required for transposition (reviewed in Rangwala and Kazazian, 2009). These place a marker cassette in antisense orientation with respect to the transposon; it is interrupted by an intron spliced in sense, such that cells with an integration event acquire a functional marker. Similar systems can be used for *Alu* insertions (Dewannieux et al., 2003) or introduced in transgenic mice to provide a system for studying host control (An et al., 2006; Heidmann and Heidmann, 1991; Ostertag et al., 2002).

In primates, *Alu* elements co-opt L1 machinery (Dewannieux et al., 2003), and their de novo insertion rate has rivaled or exceeded that of L1 (Cordaux et al., 2006; Shen et al., 1991; see Batzer and Deininger, 2002 for a review of *Alu*). *Alu* SINEs are named for an internal *AluI* restriction enzyme recognition site (Houck et al., 1979). Like SINEs in other species, *Alu* elements are derived from pre-existing cellular RNAs, originating from the 7SL ribosome complex (Ullu and Weiner, 1984). *Alu* sequences are transcribed by RNA polymerase III, which recognizes an internal 5' promoter as well as external sequences and reads through beyond the element 3' poly(A) end (Ullu and Weiner, 1985). *Alu* elements are about 300 bp in length and have two distinct internal "monomer" sequences with a centrally located A-rich sequence (A₅TACA₆) (Figure 1D). They interact with L1-encoded ORF2p during insertion so as to leave a target site duplication, and L1 ORF1p is dispensable for *Alu* mobilization (Dewannieux et al., 2003).

A third family of active retrotransposons in humans is termed SVA for their multipartite structure reflecting *SINE-R*, *VNTR*, and *Alu* components (see Hancks and Kazazian, 2010 for a review of SVA). SVA are mobilized by L1 proteins (Hancks et al., 2011; Raiz et al., 2011). Compared to L1 and *Alu*, SVAs are structurally more heterogeneous; they range in size from 700 bp to 4 kb, with a canonical 2 kb element (Figure 1D). SVAs also exhibit more RNA complexity, with numerous splice isoforms capable of retrotransposition and a strong propensity to relocate neighboring 5' or 3' sequence during transposition, incorporating this in the SVA RNA by upstream transcript initiation or poly(A) readthrough. Compared to other active repeats, they constitute a small amount of our total DNA; there are about 3,700 intervals in our genome with homology to SVA (Jurka, 2000; Smit et al., 1996).

Human LTR retroelements consist of three classes of endogenous proviruses, class I (gamma retroviruses), class II (beta retroviruses), and class III (spuma retroviruses) (Mager and Medstrand, 2003). Full-length human endogenous retrovirus (HERV) elements structurally resemble exogenous retroviruses, with recognizable *gag*, *pol*, and, in some cases, *env* genes. Fragments are also frequently found in the genome as single, or "solo," LTRs. These result from insertion followed by a recombination between the long terminal repeats, which deletes the

intervening proviral sequences. The mechanism of LTR transposition is completely independent of L1, and ERVs may be transmitted horizontally. HERV elements are named with single-letter amino acid abbreviations denoting the host tRNA co-opted as a primer for reverse transcription (Cohen and Larsson, 1988). Human endogenous retroviruses are transpositionally inert, with the possible exception of HERV-K (HML2), a family of class II elements. HERV-K (HML2) proviruses that are capable of templating infectious particles and new insertions have not been found, although they can be reconstituted by recombining together sequences from existing elements (Dewannieux et al., 2006; Lee and Bieniasz, 2007). One of the best pieces of evidence for recent HERV-K activity is that polymorphic elements persist in human populations (i.e., there are loci in which an individual may carry an empty allele, a solo LTR allele, and/or a full-length proviral insertion allele) (Moyes et al., 2007).

Hiding in the Haystack: Identifying Retroelements in the Genome

For most geneticists, genomic repeats are nuisances—sequences of extraordinarily high copy number that overwhelm hybridization-based assays, introduce artifacts in PCR amplifications, and generate unmappable reads. Historically, our appreciation for the importance of polymorphic repeats has lagged behind other areas of genomics. Today, this view is changing rapidly as investigators target polymorphisms for sequencing or tailor sequence analysis pipelines for transposon discovery.

Approaches for targeted recovery of insertion sites of young repeat subfamilies, which are most likely to be polymorphic, have been PCR-based methods also known as "hemispecific" or "one-sided" amplifications. They amplify known (repeat) sequence and neighboring, unique DNA sequence. The reactions gain specificity by exploiting internal repeat sequences that are characteristic of recently expanding subfamilies. For human L1, this means two or three contiguous nucleotides in the 3' UTR of the element characteristic of the pre-Ta and Ta subsets, respectively (Ta, for transcribed subset a) (Skowronski et al., 1988) (Figure 3A). This allows selective amplification of L1 insertion sites that date from about 2 million years ago and are partly polymorphic in human populations (Myers et al., 2002) while preventing amplification of older "fixed present" L1 insertions, which outnumber intended targets nearly a thousand fold. Examples of these approaches include subtractive suppression PCR (Badge et al., 2003; Buzdin et al., 2003), random decamer PCR (Sheen et al., 2000), and ligation-mediated PCR (Pomthanakasem and Mutirangura, 2004). These "first-generation" methods reduced the complexity of amplicons so that L1 insertion variants could be identified by electrophoresis by suppressing recovery of L1(Ta)s shared between samples and/or imposing limits on adjacent sequences. These methods successfully identified small numbers of polymorphic insertions.

Advances in genomic technologies have led to far more comprehensive methods for discovering retrotransposon insertion polymorphisms (RIPs). For L1 insertion mapping, ligation-mediated PCR known as vectorette PCR can be used to comprehensively recover L1(Ta) subset insertions in the human genome. The amplicons begin near one transposon end and



Figure 3. Sequence Signatures of Active Elements

(A) Families of L1 LINEs have expanded over evolutionary time in primate genomes in a singular succession of retrotransposition “waves”; that is, elements have accumulated in a specific order, with L1PA5 insertions preceding L1PA4 preceding L1PA3, L1PA2, and L1PA1 (Boissinot and Furano, 2001). For the last 2 million years, continued L1 activity is largely owed to the L1(Ta) subset (L1PA1). A 3 nt sequence in the 3' UTR of L1(Ta) can be used to physically distinguish its members from sequences of older L1 LINEs in the human genome; this can lend specificity to L1(Ta)-mapping PCRs.

(B) Similarly, an 8 base-pair insertion near the 3' end of *AluYb8/9* subfamilies can be used to specifically recover insertion sites of these elements.

extend to adjacent, mappable DNA sequence. The resulting complex mixture of PCR products can be resolved by either hybridization to genomic tiling microarrays (transposon insertion profiling by microarray, TIP-chip [Huang et al., 2010; Wheelan et al., 2006]) or next-generation sequencing (TIP-seq). Different PCR methods have also been used in tandem with next-generation sequencing methods for L1 mapping (Ewing and Kazazian, 2010; Iskow et al., 2010). Comprehensive L1(Ta) profiling of an individual by TIP-chip encompassed 323 insertions included in the reference genome and uncovered 191 novel candidate insertions (Huang et al., 2010). Many previously unreported L1 insertion positions could then be verified by site-specific PCR and the precise insertion site shown by Sanger sequencing. Whole-genome L1(Ta) insertion profiles of 15 individuals using TIP-chip indicated a predilection for insertions in AT-rich regions and no evidence of insertions strongly targeting or avoiding particular gene loci or chromosomes. Although SINEs are more prevalent in genomes and more heterogeneous in terms of active families, mapping *Alu* insertions is tractable to similar approaches. These have included TIP-chip applications for *AluYa* and *AluYb* (Huang et al., 2010), linker-mediated PCRs with amplicon sequencing for *AluYa* (Iskow et al., 2010), and *AluYb8/9* insertion recovery by a ligation-mediated PCR termed mobile element scan (ME-scan) (Witherspoon et al., 2010). ME-scan includes a hybridization-based purification of desired *AluYb8/9*-containing fragments followed by their amplification. *AluYb* insertion sites lend themselves especially well to family-specific amplification, as they have an eight base-pair insertion very close to the 3' element end (Figure 3B). Finally, an array hybridization-based enrichment termed retrotransposon capture sequencing (RC-seq) has been recently reported and applied to somatic insertion discovery, as we consider further below (Baillie et al., 2011).

Genomic-scale approaches for polymorphism discovery are highly effective and will have special utility for clinical hypothesis testing in the future. However, they have been quickly outpaced

by in silico computational methods for general cataloging of common repeat polymorphisms. By in silico methods, we mean that computational pipelines rather than sequencing methods are tailored for repeat discovery. These studies include, for example, two published comparisons of genome assemblies: a pairwise analysis of the Human Genome Project hg17 assembly versus the Celera build, as reported by Konkel et al. (2007), and more recently, an hg18 comparison to Venter HuRef by Xing et al. (2009). Xing et al. considered all major transposon families in their analysis and identified 584 HuRef-specific *Alu*, 52 L1, and 14 SVA insertions, in general agreement with the numbers of polymorphic L1 described in the study by Konkel and colleagues. These tallies underscore how much any individual varies from the reference genome. The numbers are dwarfed by the RIPs being found in sequencing projects that are designed to capture human genetic diversity. The original study of 36 International HapMap project samples provided an early indication that such approaches would be fruitful, returning hundreds of new mobile element insertions by recognizing repeat homologies in sequences proximal to putative indel sites (Bennett et al., 2004). Today, the 1000 Genomes project has provided the single richest source of new RIPs (Stewart et al., 2011). Stewart et al. described polymorphic repeats in about 180 individual genomes using two types of data sets, paired-end short read sequences (Illumina) and longer sequences that were bisected for analysis (Roche/454). Novel RIPs were called as clusters of sequence fragments in which one end showed mobile element homology while the other end was uniquely mappable to the reference assembly a sufficient distance away from known reference elements. In a separate complementary analysis, reference elements were deemed polymorphic if evidence of an empty allele was found. This identified 6,229 polymorphic *Alus* (4,499 nonreference), 998 polymorphic L1s (792 nonreference), and 153 variant SVAs (79 nonreference). The L1 number is in fairly good agreement with an independently developed algorithm from an analysis of 307 individual genomes

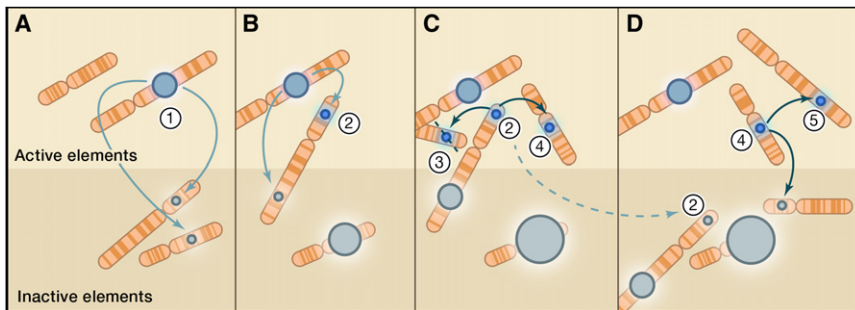


Figure 4. A Schematic of Retrotransposon Dynamics

(A) An L1 insertion of moderate activity (blue) and allelic frequency (circle size) is shown (1). It templates new insertions, many of which are 5' truncated or mutated at the time of insertion (gray denotes inactivity). The new insertions segregate mostly as neutral alleles; some accumulate to a higher allelic frequency over time (larger gray circles in subsequent panels).

(B) A new insertion is generated that is highly competent, or hot, for retrotransposition (2, blue). This may be because of intrinsic sequence features or its location in a region that permits expression.

(C) This hot L1 templates others; there may be a tendency to template other full-length, hot progeny insertions. Hot elements are continually lost through negative selection (3, interrupted diagonal) or (D) mutation (2, interrupted arrow from C). However, some remain to potentiate other retrotransposition events (4). It is envisioned that these hot elements are relatively transient in genomes due to purifying selection against them and do not achieve high allelic frequency but are responsible for the bulk of transposition in modern humans.

(Ewing and Kazazian, 2011). Some of the same individuals were independently analyzed for *Alu* indels by Hormozdiari et al. as part of an eight person study (Hormozdiari et al., 2011). Although limited in total numbers of people, this encompassed a broad sampling of ethnicities, and sequencing coverage for each person was relatively high, potentially explaining the identification of 4,342 novel *Alus* with very high experimental validation rates.

“Second-generation” wet bench and in silico methods for transposon discovery have gone beyond providing the promise of a more complete catalog of common polymorphisms. They have helped us to realize the level of mobile DNA activity in modern humans. Several groups have used numbers of new insertions discovered to estimate the rate of occurrence of non-parental insertions that are thereafter heritable polymorphisms. Xing et al. based their estimate on the number of nonreference mobile DNA insertions found in Venter’s genome and used an SNP-based clock measuring time to the most recent common ancestor between Venter and the reference build. This led them to the expectation that 1 in 21 people would have a new *Alu*, 1 in 212 would have a new L1, and 1 in 916 would have a new SVA (Xing et al., 2009). Using a similar calculation based on TIP-chip, we estimated a rate of one de novo L1 insertion in 108 individuals (Huang et al., 2010). A distinct method of predicting L1 polymorphism rates estimates 1 de novo L1 in 140 people (Ewing and Kazazian, 2010). These transposition rate estimates combined with population sizes can be used to project overall numbers of human insertion alleles. For L1, this may be as many as 12,000 insertions with allelic frequencies greater than 0.05. For *Alu*, a de novo insertion rate of 1 in 20 would result in 65,000 segregating insertions in populations of the same frequency. Thus, we can expect that “common variant” RIP lists will continue to grow in the near future.

A catalog of repeat polymorphisms, the dbRIP, is maintained by Ping Liang and colleagues at Brock University (Wang et al., 2006). Lengthier lists of common polymorphic transposon insertions are a fundamental beginning, though it is important to establish a contextual view of these genetic variants. These will include integrating RIP sites with information about other sources of genetic variation (SNPs and structural variants) and epigenetic states. Both should be promoted by the development of

high-throughput RIP genotyping methods that are capable of distinguishing homozygous and heterozygous states. Several approaches for direct assays are in development, though concerted efforts to find tagging SNPs of empty and filled alleles may prove an effective alternative. We also speculate that intra-repeat sequence polymorphisms—though overlooked by most genetic variation studies today—will also gain consideration.

Active Transposons and Their Control

RIPs do not enter genomes to rest in peace. L1 and *Alu* continue to expand their numbers in human genomes today, though the pace of *Alu* accumulation may be slower than historical rates (Britten, 1994; Shen et al., 1991). Insertions can serve as templates for multiple “daughter” elements but can easily be blocked from establishing themselves as active in populations by either genetic drift or negative selection resulting in allele loss or inactivation via mutation (Figure 4). As stressed above, a narrowly defined set of repeat subfamilies is responsible for sustaining all ongoing retrotransposition in humans. Several recent studies indicate that, among the transposon groups defined by sequence variation, a relatively small number of L1 and *Alu* elements drive the process.

Inactive L1 elements are frequently recognizable because they are 5' truncated or have mutated open reading frames preventing production of functional ORF1p and ORF2p. Intact elements are actively limited in genomes, and establishing a highly active element in a population may be rare. Full-length L1(Ta)s are subject to negative selection, and active ones may be ephemeral in populations (Boissinot et al., 2001, 2006). Among potentially active L1(Ta) elements in the reference genome, in vitro retrotransposition assays indicate that a relatively small group disproportionately dominates aggregate transposition potential (Brouha et al., 2003). Building on the observation of active, or “hot L1s,” in the reference genome, Beck et al. (2010) recently assayed activity levels specifically in novel (nonreference) L1 insertions in human populations. These relatively uncommon element copies (i.e., low-frequency alleles) were recovered using a fosmid paired-end DNA sequencing strategy to identify large indels. Impressively, the majority of 68 full-length L1s found in fosmid libraries from six individuals proved hot in culture. Although it was expected that highly active elements would be responsible

for ongoing insertions of L1, *Alu*, and SVA, the observation changed how we view the repository of L1 activity. It provides evidence that each diploid genome—though harboring on the order of a hundred competent L1s—has a complement of hot elements. Each of the latter is relatively recently derived (averaging about 1 million years in age) and occurs with low allele frequency. The model implies that, because the number of highly active elements is so small, substantial individual variation in retrotransposition activity may exist.

The level of similarity that a given L1 element shares with a hot L1 consensus sequence can serve as a predictor of L1 activity (Brouha et al., 2003). Exactly what the sequence variants are with the most impact and their mechanisms of action may provide insight into transposition mechanisms or host control. It is possible to engineer super-active versions of human and mouse L1 by recoding the open reading frames (An et al., 2006; Han and Boeke, 2004). The synthetic murine-recoded L1 (L1 ORFeus) exhibits 200-fold increased activity in *in vitro* retrotransposition assays compared to its native counterpart. In designing L1 ORFeus, we altered about one-quarter of the nucleic acid sequence, changing codons to match the favored trinucleotide usage of highly expressed mammalian genes. This did not change ORF1p or ORF2p protein sequence and was meant to mitigate DNA sequence features such as high overall adenosine content, which may compromise transcriptional readthrough of L1 (Han et al., 2004).

Distinct intrinsic and surrounding sequence features also influence the relative activity of *Alu* repeats. Network phylogenetic approaches show that an oligopoly of “master” sequences is responsible for the bulk of *Alu* element expansion, with each active subfamily of *Alu* (Ya5a2, Ya8, Yb9, Yc1) having a singular master template sequence that is central to its network of daughter insertions. Though nearly 80% of the daughter insertions appear to have directly derived from the master *Alu*, a few sequences of each subfamily are further removed and reflect activity of secondary source elements (Cordaux et al., 2004). Studies of the *AluYb* subfamily add to this picture. Although these elements are highly active in humans today, their origins antedate rapid phases of their expansion by as much as 20 million years. This observation is the foundation for the concept of “stealth drivers,” elements that are quiescent enough to gain allelic frequency but are also capable of templating relatively more active progeny (Han et al., 2005). *Cis*-acting factors that are unique to the integration site seem especially critical when considering the potential of any individual *Alu* sequence for activity, including a requirement for 3′ RNA Pol III terminator sequences that are not encoded within the elements (Alemán et al., 2000).

Once inserted, transposons encounter host pressures at the level of DNA sequence—selection favoring the empty allele over the insertion allele or mutational inactivation of the transposon—as well as pathways to repress mobile DNA insertions through mechanisms such as RNA editing and epigenetic silencing (Bogerd et al., 2006; Esnault et al., 2005; Muckenfuss et al., 2006; Stenglein and Harris, 2006).

Epigenetic silencing relies on a variety of chromatin modifications. Among the best-studied host control mechanisms is a specialized small RNA inhibition pathway that ultimately

controls *de novo* DNA methylation, repressing mobile DNA expression in the male germline. Central are the Piwi-interacting RNAs (piRNAs), short 26–31 nt long RNAs with similarity to transposon control loci first characterized in *Drosophila* (Aravin et al., 2006, 2007). Once piRNAs are generated from long precursor RNAs, they are amplified and affect transposon silencing in association with Piwi proteins. The amplification involves piRNA-guided nuclease (slicer) activities of Piwi-like homolog 1 (PIWIL1, also known as mouse Piwi [MIWI] or human Piwi [HIWI], and PIWIL2 [Miwi-like (MILI)]) (De Fazio et al., 2011; Kuramochi-Miyagawa et al., 2004; Reuter et al., 2011). Interestingly, the catalytic endonuclease activity of PIWIL4 (also known as MIWI2 [mouse] or HIWI2 [human]) is dispensable for amplification and piRNA-induced L1 LINE silencing, though other MIWI2 functions are critical in transposon silencing. MIWI2 RNPs contain piRNAs that are antisense to its transposon targets (Aravin et al., 2008). In the nucleus, these MIWI2 complexes appear to directly cooperate with DNMT3L, to regulate L1 methylation (Aravin et al., 2008; Bourc’his and Bestor, 2004). Mice that are null for *Miwi2* are male infertile and show defects in spermatogenesis, with increased retrotransposon RNAs and decreased methylation of genomic retrotransposons, like animals lacking MIWI, MILI, or DNMT3L activity (De Fazio et al., 2011; Kuramochi-Miyagawa et al., 2004; Reuter et al., 2011) (Aravin et al., 2008; Bourc’his and Bestor, 2004). Other Piwi-interacting proteins are also essential for germline transposon silencing, including components of nuage in spermatogonia and spermatocytes and the chromatoid body in spermatids.

Co-operative roles of methyltransferases, DNMT1, DNMT3A, and DNMT3B, are important for transposon methylation in embryonic stem (ES) cells subsequent to *de novo* methylation (Liang et al., 2002). In mouse ES cells, the enzymatic activity is essential; targeted inactivation of DNMT1 methyltransferase activity by point mutation leads to loss of intracisternal A-particle (IAP) retrotransposon methylation and high IAP transcription (Damelin and Bestor, 2007). Recently, *in vitro* retrotransposition assays in human embryonic carcinoma cell (EC) lines show that these pluripotent cells actively induce heterochromatin formation in the vicinity of new transposon insertions in a histone deacetylation-dependent manner. This feature is lost upon cellular differentiation (Garcia-Perez et al., 2010). Though not a physiologic cell type, the finding suggests that, like early development in mouse, there may be key windows for establishing retrotransposon repression in human development. Further strengthening the connection between methylation and retroelements silencing, *Dnmt1* knockout mice are not viable, and compound *Dnmt1* heterozygotes, with one hypomorphic and one null allele, develop thymic lymphomas (Gaudet et al., 2003). These tumors exhibit genome-wide hypomethylation and recurrent insertions of endogenous intracisternal A particles (IAPs) at the *Notch1* locus. Out of 16 lymphomas analyzed, 7 showed IAP insertions to activate the *Notch1* oncogene (Howard et al., 2008). Thus, loss of *Dnmt1* provides susceptibility to endogenous retrotransposon mutagenesis affecting differentiated cells.

Another chromatin regulator important for somatic transposon silencing in mice is lymphoid-specific helicase (LSH, also known

as HELLS), a member of the SNF2/helicase family of chromatin remodelers. LSH coprecipitates with DNMT3A and DNMT3B (Zhu et al., 2006) and with LINE, SINE, and IAP genomic loci (Huang et al., 2004) *in vitro*. *Lsh* null mice die in the perinatal period (Geiman et al., 2001) and exhibit hypomethylation of LINES, SINEs, and IAPs (Dennis et al., 2001) and derepressive histone H3 and H4 acetylation marks in association with IAPs (Huang et al., 2004). Hypomorphs are viable but suffer growth defects and premature aging (Sun et al., 2004).

In addition to the piRNA-Piwi-based mechanism for controlling germline transposon silencing, recent studies have implicated DICER-produced miRNAs as important to transposon silencing in somatic cells. In a mouse model of age-related macular degeneration, *Cre*-mediated mutation of *Dicer* specifically in retinal pigmented epithelium is accompanied by *Alu*-containing RNA accumulation and a dependent cytotoxicity (Kaneko et al., 2011). The study illustrates how repeat-containing RNAs and small RNAs can be manipulated in experimental systems and how this can provide important perspectives on consequences of repeat expression. Note that expression of *Alu* RNA, rather than retrotransposition per se, appears to be disease causing. As we consider shortly, mobilome derepressions in a host of human neoplasias have also been described, though their specific role in oncogenesis is not yet as defined.

Clearly, massive derepression of transposable elements can have severe consequences, from crises in spermatogenesis to complete loss of viability. Though most agree with the conventional view that ongoing retrotransposition poses a hazard for individual genomes (Dawkins, 1976), this perspective is being challenged. Evidence of somatic retrotransposition in neurons has led to the provocative proposal that such insertions might endow specific populations of cells with beneficial unperformed genetic diversity, allowing for selection of phenotypes on a cellular scale (Muotri et al., 2005). Leveraging a recently developed sequencing method that enriches for repeats through an array capture, Faulkner and colleagues have found genomic evidence to substantiate this model (Baillie et al., 2011). The fact that these are extremely low abundance relative to germline insertions—often identified as single junctional reads—suggests that these somatic insertions are either cell specific or present in tiny clonal lineages within normal neuronal tissue. Remarkably, genes containing putative somatic intronic L1 insertions were twice as likely to be differentially overexpressed in the brain, as would be expected from random chance (Baillie et al., 2011). This contrasts with random positioning of new insertions, which was suggested by earlier low-throughput sequencing of L1 integrations from *in vitro* retrotransposition assays. Whether tissues besides brain exhibit this microchimerism is an evolving area, though some studies have suggested the central nervous system is a uniquely privileged environment for transposition.

How the Mobilome Matters

We have introduced the concepts that highly active transposons show fitness costs associated with their intrinsic activities and that active suppression of expressed repeats in the germline and in somatic cells is vital in mice. In considering how the human mobilome matters, we begin with the most straightforward examples of their roles in clinical genetics as insertional

mutagens. In rare instances, a new insertion of L1, *Alu*, or SVA hits a single locus in a cell that survives to compromise the function of that gene in an individual.

The first report of this described two independently occurring L1 LINE insertions in two unrelated males with hemophilia A (Kazazian et al., 1988). Both X chromosome insertions occurred in exon 14 of the factor VIII gene, each preventing synthesis of functional coagulation factor. Since that time, the literature has accrued a series of similar case reports—13 L1, 33 *Alu*, and 4 SVA insertions causing a variety of conditions (reviewed in Belancio et al., 2008; Chen et al., 2005; Ostertag and Kazazian, 2001a). Many of these cases resulted from directed studies of a specific locus with a well-established role in disease. Most known pathogenic insertions have been exonic such that critical coding sequence is interrupted by the transposon itself. Exonic disruptions associated with deletions or locus rearrangements from transposon integration are less common. A total of 11 insertion events involving exclusively intronic sequence are listed in Table 1. Although this tally is short and skewed by ascertainment methods such as exon-spanning gDNA PCRs, it is interesting to note the propensity of pathogenic insertions near splice acceptor sites at 3' intron/5' exon boundaries and the antisense strand bias of such *Alu* repeats. Overall, these reports indicate that transposon insertions provide recurrent but relatively uncommonly sources of genetic mutation in humans. As new sequencing technologies avail themselves in clinical genetics, it will be interesting to see how our understanding of disease-causing insertions changes. In this light, we mention a recent report of an *Alu* insertion discovered through exome sequencing in retinitis pigmentosa (Tucker et al., 2011). This is the first example of a pathogenic transposon insertion ascertained by next-generation sequencing, which is liable to exclude informative reads as unalignable. The authors describe this problem and underscore the need to make exome sequencing analyses inclusive of transposon insertions.

One pathogenic 3' UTR insertion ignites hope for altering the natural history of genetic diseases caused by retroelement insertions. This SVA insertion in the 3' UTR of the Fukutin gene causes Fukuyama-type congenital muscular dystrophy (FCMD). FCMD is one of the most common autosomal-recessive genetic diseases in Japan, with an incidence of about 1 in 10,000; it is diagnosed in early infancy and characterized clinically by hypotonia, muscle weakness, and central nervous system defects, including delayed mental development. It is a type of α -dystroglycanopathy, with loss of *O*-glycosylation on this cell membrane protein, that mediates interactions with extracellular matrix. Discovered in 1998, the causative 3 kb sense-oriented SVA insertion is a component of the FCMD founder haplotype seen in 87% of FCMD cases and carried by about 1 out of 88 Japanese individuals (Kobayashi et al., 1998). The insertion induces a splice donor site within the last exon of *Fukutin* and exonizes part of the SVA; there is a replacement of the end of the normal open reading frame (coding 38 C-terminal AA) with coding sequence for 129AA. Excitingly, in cell culture and in a murine model of the disease, these effects can be mitigated and normal splicing restored by the addition of a combination of 25 mer 2'-*O*-methyl phosphoramidite (2'OMePs) antisense oligonucleotides targeted to the aberrant splice

Table 1. Pathologic Intronic Insertions in Humans

Retroelement	Length	Orientation	Position in Gene	Gene Name	Abbreviation	Phenotype	mRNA Studies	Reference
Alu								
<i>AluYc1</i>	316 bp	antisense	–52 bp from the 3' end of intron 4	glycerol kinase	<i>GK</i>	benign isolated glycerol kinase deficiency	not reported	(Zhang et al., 2000)
<i>AluYb9</i>	~330 bp	antisense	–19 bp from the 3' end of intron 18	coagulation factor VIII	<i>F8</i>	hemophilia A	exon 19 skipping	(Ganguly et al., 2003)
<i>AluYa5</i>	331 bp	antisense	–50 bp from the 3' end of intron 7	tumor necrosis factor receptor superfamily, member 6	<i>FAS</i>	autoimmune lymphoproliferative syndrome	exon 8 skipping	(Tighe et al., 2002)
<i>AluYa5</i>	368 bp	antisense	–19 bp from the 3' end of intron 8	fibroblast growth factor receptor 2	<i>FGFR2</i>	Apert syndrome	ectopic exon 7/8 splicing in lieu of 7/9	(Oldridge et al., 1999)
<i>AluYa5</i>	320 bp	antisense	–44 bp from the 3' end of intron 5	neurofibromatosis type 1	<i>NF1</i>	neurofibromatosis type 1	exon 6 skipping	(Wallace et al., 1991)
L1								
L1(Ta)	836 bp	sense and rearranged	intron 5	cytochrome b-245, β polypeptide	<i>CYBB</i>	chronic granulomatous disease (CGD)	variable L1 exonization; exon 5 and exon 6 skipping	(Meischl et al., 2000)
L1(Ta)	6 kb	antisense	reported as 3' end of intron 2	hemoglobin, β	<i>HBB</i>	β -thalasemia	not reported	(Kimberland et al., 1999)
L1(Ta)	1.2 kb	sense	–24 bp from the 3' end of intron 7	fukutin	<i>FKTN</i>	Fukuyama-type congenital muscular dystrophy	variable exon 7, 8, and 9 skipping	(Kondo-lida et al., 1999)
L1(Ta)	6 kb	sense	intron 1	retinitis pigmentosa 2	<i>RP2</i>	X-linked retinitis pigmentosa	no transcript detected by RT-PCR	(Schwahn et al., 1998)
L1(Ta)	2.8 kb	antisense and rearranged	–8 bp from the 3' end of intron 3	ribosomal S6 kinase 2 gene	<i>RPS6KA3</i>	Coffin-Lowry syndrome	exon 4 skipping	(Martínez-Garay et al., 2003)
SVA								
SVA	2.6 kb	sense	intron 1	low-density lipoprotein receptor adaptor protein 1	<i>LDLRAP1</i>	Autosomal-recessive hypercholesterolemia	no expression by northern blot	(Wilund et al., 2002)

acceptor, donor, and exonic splicing enhancer sites (Taniguchi-Ikeda et al., 2011).

Effects on gene function of fixed insertions or commonly occurring RIPs is an active area of ongoing research. Our working model is that mammalian repeats can act as “soft” genetic variants damping levels of gene expression or altering transcript structure to partial degrees and in context-dependent manners. In the case of L1, premature polyadenylation and compromised RNA polymerase elongation are two well-described mechanisms for this (Chen et al., 2006; Han and Boeke, 2005; Han et al., 2004; Perepelitsa-Belancio and Deininger, 2003). For example, sense orientation insertions of the ORF2-encoding portions of L1 into a reporter gene intron lowered both RNA and protein production sharply, reflecting attenuated transcript elongation through the adenosine-rich strand, an effect that is not sequence specific but can be recapitulated with other L1 portions when present in sufficient total length (Han and Boeke, 2005). Insertion in the antisense orientation produced a decrease in full-length RNA that was less pronounced, and this could be ascribed primarily to prematurely polyadenylated forms. The nonrandom orientation of intronic L1s in our genome with respect to their encompassing genes suggests that these findings are relevant for understanding the impact of these elements in the human genome. L1s within transcript units show a biased antisense orientation, consistent with a more deleterious role of sense insertions and thus stronger negative selection. This effect is appreciable in young, low allele frequency L1(Ta) but increasingly pronounced if older, fixed L1(Ta) or older pre-Ta L1 elements are considered (Huang et al., 2010). This said, there is a dearth of specific RIPs that affect genes in known and consequential ways. An *Alu* indel at the angiotensin-I-converting enzyme (*ACE*) locus is the best-studied candidate, given its association with serum enzyme levels (Rigat et al., 1990). But how this intronic insertion functions, or whether it is more than a proxy for some other variant, is yet unclear.

Transcriptome studies will likely demonstrate the functional impact of repeats on gene transcripts. Examples have been shown in traditional methods (Rangwala et al., 2009; Speek, 2001) and may be hugely bolstered by new sequencing technologies. Faulkner et al. recently performed cap analysis gene expression (CAGE) experiments sequencing 20 and 21 nt tags from the 5'-most ends of transcripts in a variety of mouse and human cells. The authors imposed a hierarchical alignment strategy in attempts to unequivocally assign genomic positions to each tag; “multimapping” tags in which there is ambiguity in the site comprised a minority of aligned reads. Transcript start sites (TSSs) were recognized once mapped with at least two congruous tags. A total of 275,185 TSSs in human cells, representing 31.4% of all TSSs, showed homology to repeats. The majority, about 214,000, corresponded specifically to transposable elements. Their data also suggest a high degree of spatio-temporal specificity and correlation between transposon-initiated transcription and expression of proximal genes. This suggests that coregulation of repeats and neighboring loci supersedes transcriptional interference.

Neoplastic conditions will rightly receive special attention as functional impacts of the human mobilome are approached

(see review by Belancio et al., 2010). L1 hypomethylation in tumors is well described in many tumor types, including breast (Alves et al., 1996), colon (Dante et al., 1992; Estéicio et al., 2007; Suter et al., 2004), and prostate cancers (Cho et al., 2007; Santourlidis et al., 1999; Schulz et al., 2002) to cite a few. In the case of the latter, hypomethylation of L1 associated with preoperative prostate-specific antigen levels, histopathologic measures of aggression (Gleason grade), and anatomic spread of the tumor (clinical stage); it also associated independently with cytogenetic abnormalities. Reagents for assaying protein expression of L1 ORF1p and ORF2p are not developed to the point of being useful clinical markers, though ORF1p expression has been reported in breast cancer cases. Here, a nuclear staining pattern is reported to correlate positively with incidence of both local recurrence and distal metastases and with worse overall survival (Harris et al., 2010). Repeat derepression in tumors can be envisioned to have several sequelae. One may be complete retrotransposition cycles, generating somatic L1, *Alu*, and SVA insertions that are specific to the neoplastic lineage. It is known that somatic insertions that are relevant to cellular transformation can occur. In a case of colon cancer, a somatic L1(Ta) insertion has been found interrupting an exon of the adenomatous polyposis coli (*APC*) tumor suppressor locus (Miki et al., 1992). Recently, the Devine laboratory has described somatic insertions in cases of lung cancer revealed through next-generation sequencing of L1 and *Alu* insertion sites, though retroelement-induced driver mutations have not been described (Iskrow et al., 2010). Interestingly, their work suggests that somatic insertions may be tumor tissue type specific and, even within a broad neoplastic category, may occur selectively in tumors with an epigenetic signature of differentially methylated gene loci. How well existing clinical diagnostic criteria have captured information about L1 activity will be interesting to understand as these studies go forward. In considering other mechanisms, L1-encoded ORF2p expression can promote DNA breaks and even perhaps specific chromosomal translocations (Lin et al., 2009) independently from completed mobile element insertion. Finally, effects on neighboring gene loci may be important aspects to understand. Specific L1 antisense-initiated transcripts can act as oncogenes (Roman-Gomez et al., 2005; Weber et al., 2010). More broadly, Estéicio and colleagues recently recognized that gene promoters with high transposon content are also relatively resistant to methylation in cancer cell lines and maintain higher gene expression levels as compared to promoters with fewer interspersed repeats (Estéicio et al., 2010). These data suggest that transposable element-directed epigenetic studies that are capable to describe the status of individual elements (fixed and polymorphic) will be valuable to integrate with our current picture of the cancer cell genome.

Concluding Remarks

High copy number, self-propagating repeats are landscape-determining components of our DNA. Many are fixed, static, and slowly eroding features, but there are also focal “hot spots”—elements that demonstrate episodic activity and sites where new insertions can be found. Today, new technologies are being developed to reveal insertions, confirming that interspersed repeat polymorphisms are important sources of genetic

variation in human populations and suggesting that each of us has somatic compartments genetically variegated by insertion events. Many models of how repeats may influence human phenotypes are emerging, and we look forward to delineating and altering functions of specific insertions in human disease.

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