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## On character amenability of Banach algebras

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### ABSTRACT

We continue our work [E. Kaniuth, A.T. Lau, J. Pym, On  $\varphi$ -amenability of Banach algebras, Math. Proc. Cambridge Philos. Soc. 144 (2008) 85–96] in the study of amenability of a Banach algebra  $A$  defined with respect to a character  $\varphi$  of  $A$ . Various necessary and sufficient conditions of a global and a pointwise nature are found for a Banach algebra to possess a  $\varphi$ -mean of norm 1. We also completely determine the size of the set of  $\varphi$ -means for a separable weakly sequentially complete Banach algebra  $A$  with no  $\varphi$ -mean in  $A$  itself. A number of illustrative examples are discussed.

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## 0. Introduction

The notion of an amenable Banach algebra was defined and studied in the seminal work of Johnson [10]. One of the fundamental results was that for a locally compact group  $G$ , the group algebra  $L^1(G)$  is amenable if and only if the group  $G$  is amenable. Since then amenability has become a major issue in Banach algebra theory and in harmonic analysis.

In this paper we continue our recent investigation [11] of a concept which might be referred to as amenability with respect to a character. Let  $A$  be an arbitrary Banach algebra and  $\varphi$  a character of  $A$ , that is, a homomorphism from  $A$  onto  $\mathbb{C}$ . We call  $A$   $\varphi$ -amenable if there exists a bounded linear functional  $m$  on  $A^*$  satisfying  $\langle m, \varphi \rangle = 1$  and  $\langle m, f \cdot a \rangle = \varphi(a) \langle m, f \rangle$  for all  $a \in A$  and  $f \in A^*$ . Here  $f \cdot a \in A^*$  is defined by  $\langle f \cdot a, b \rangle = \langle f, ab \rangle$ ,  $b \in A$ . Any such  $m$  is called a  $\varphi$ -mean. This concept considerably generalizes the notion of left amenability for  $F$ -algebras which was introduced and studied by the second author in [14].

Note that in [16] a Banach algebra is called right character amenable if it is  $\varphi$ -amenable for each character  $\varphi$  and has a bounded right approximate identity. Note also that for a locally compact group  $G$  (respectively, a discrete semigroup  $S$ ), the group algebra  $L^1(G)$  (respectively, the semigroup algebra  $l^1(S)$ ) is amenable with respect to the trivial character 1 precisely when  $G$  is amenable (respectively,  $S$  is left amenable). However,  $l^1(\mathbb{N})$  is not amenable since it does not have a bounded approximate identity.

We briefly outline the contents of this paper. After introducing some notation, we give two characterizations (in terms of cohomology groups and a Hahn–Banach type extension property) of  $\varphi$ -amenability, which are close to results in [11]. In Section 2 we mainly focus on  $\varphi$ -means of norm 1. We establish various criteria for their existence (Corollary 2.3, Theorems 2.4 and 2.7). Pointwise conditions, in terms of elements  $f \in A^*$  or  $a \in \ker \varphi$ , the kernel of  $\varphi$ , are given that ensure the existence of  $\varphi$ -means of norm 1 (Theorem 2.1, Proposition 2.2 and Theorem 2.4).

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In Section 3, we concentrate on weakly sequentially complete Banach algebras. We show that if there is no  $\varphi$ -mean in  $A$  itself, but there exists a so-called sequential bounded approximate  $\varphi$ -mean, then  $A$  admits at least  $2^c$   $\varphi$ -means, and there are no more if  $A$  is separable (Theorem 3.1). We also relate the existence of  $\varphi$ -means to Arens regularity of  $A$  (Theorem 3.9). A result of a flavour similar to that of Theorem 3.1 is obtained in Theorem 4.1. It implies that if  $A$  is a separable  $F$ -algebra and  $\epsilon$  denotes the identity of the von Neumann algebra  $A^*$ , then there are  $2^c$   $\epsilon$ -means of norm 1 with the additional property that  $\|m - n\| = 2$  for any two of them.

Finally, in Section 5 we present illustrative examples such as Lipschitz algebras and algebras  $L^p(G)$ , where  $G$  is a compact group.

### 1. Preliminaries and some basic results

In this paper, the second dual  $A^{**}$  of a Banach algebra  $A$  will always be equipped with the first Arens product which is defined as follows (compare [2, Section 10]). For  $a, b \in A$ ,  $f \in A^*$  and  $m, n \in A^{**}$ , the elements  $f \cdot a$  and  $m \cdot f$  of  $A^*$  and  $mn \in A^{**}$  are defined by

$$\langle f \cdot a, b \rangle = \langle f, ab \rangle, \quad \langle m \cdot f, b \rangle = \langle m, f \cdot b \rangle \quad \text{and} \quad \langle mn, f \rangle = \langle m, n \cdot f \rangle,$$

respectively. With this multiplication,  $A^{**}$  is a Banach algebra and  $A$  is a subalgebra of  $A^{**}$ . Alternatively, the multiplication on  $A^{**}$  can be defined by using iterated limits as follows. For  $m, n \in A^{**}$ , let

$$mn = w^* - \lim_{a \rightarrow m} \left( w^* - \lim_{b \rightarrow n} ab \right).$$

In general, the multiplication  $(m, n) \rightarrow mn$  is not separately continuous with respect to the  $w^*$ -topology on  $A^{**}$ . But, for fixed  $n \in A^{**}$ , the mapping  $m \rightarrow mn$  is  $w^*$ -continuous, and also for fixed  $a \in A$ , the mapping  $m \rightarrow am$  is  $w^*$ -continuous. Moreover, for all  $m, n \in A^{**}$  and  $\varphi \in \Delta(A)$ , the set of all homomorphisms from  $A$  onto  $\mathbb{C}$ ,  $\langle mn, \varphi \rangle = \langle m, \varphi \rangle \langle n, \varphi \rangle$ . Consequently, each  $\varphi \in \Delta(A)$  extends uniquely to some element  $\varphi^{**}$  of  $\Delta(A^{**})$ . The kernel of  $\varphi^{**}$ ,  $\ker \varphi^{**}$ , contains  $\ker \varphi$  in the same sense that  $A^{**}$  naturally contains  $A$ . Since each of these ideals has codimension 1, the theory of second polars shows that  $\ker \varphi$  is  $w^*$ -dense in  $\ker \varphi^{**}$  and that  $\ker \varphi^{**} = (\ker \varphi)^{**}$ .

The Banach algebra  $A$  is said to be  $\varphi$ -amenable if there exists  $m \in A^{**}$  such that  $\langle m, \varphi \rangle = 1$  and  $\langle m, f \cdot a \rangle = \varphi(a) \langle m, f \rangle$  for all  $f \in A^*$  and  $a \in A$ , and any such  $m$  is called a  $\varphi$ -mean. By Theorem 1.4. of [11] the  $\varphi$ -means are nothing but the  $w^*$ -cluster points of bounded nets  $(u_\gamma)_\gamma$  in  $A$  with  $\varphi(u_\gamma) = 1$  for all  $\gamma$  and  $\|au_\gamma - \varphi(a)u_\gamma\| \rightarrow 0$  for all  $a \in A$ . Consequently, we call such a net  $(u_\gamma)_\gamma$  a bounded approximate  $\varphi$ -mean. Given a  $\varphi$ -mean  $m$ , the net  $(u_\gamma)_\gamma$  can be chosen so that  $\|u_\gamma\| \rightarrow \|m\|$  (compare the proof of [11, Theorem 1.4]).

If  $X$  is a Banach  $A$ -module, then so is the dual  $X^*$  of  $X$  with the module actions given by

$$\langle a \cdot f, x \rangle = \langle f, x \cdot a \rangle \quad \text{and} \quad \langle f \cdot a, x \rangle = \langle f, a \cdot x \rangle,$$

$a \in A, x \in X, f \in X^*$ . In the following theorem  $H^1(A, X^*)$  denotes the first cohomology group of  $A$  with coefficients in  $X^*$ .

**Theorem 1.1.** *Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . Then the following three conditions are equivalent.*

- (i)  $A$  is  $\varphi$ -amenable.
- (ii) If  $X$  is a Banach  $A$ -bimodule such that  $a \cdot x = \varphi(a)x$  for all  $x \in X$  and  $a \in A$ , then  $H^1(A, X^*) = \{0\}$ .
- (iii) Give  $(\ker \varphi)^{**}$  a second  $A$ -bimodule structure by taking the left action to be  $a \cdot m = \varphi(a)m$  for  $m \in A^{**}$  and taking the right action to be the natural one. Then any continuous derivation  $D : A \rightarrow (\ker \varphi)^{**}$  is inner.

**Proof.** The equivalence of (i) and (ii) has been shown in [11, Theorem 1.1]. Trivially, (ii) implies (iii), and therefore we only have to show (iii)  $\Rightarrow$  (i). Choose any  $b \in A$  with  $\varphi(b) = 1$ . Then  $Da = ab - ba, a \in A$ , defines a derivation from  $A$  into  $(\ker \varphi)^{**}$ . By (iii),  $D$  is inner, so there is  $m \in (\ker \varphi)^{**}$  such that  $Da = a(-m) - (-m)a$  for all  $a \in A$ . Then

$$a(b + m) = (b + m)a = \varphi(a)(b + m)$$

for all  $a \in A$  and  $\langle b + m, \varphi \rangle = \varphi(b) = 1$ . So  $b + m$  is a  $\varphi$ -mean.  $\square$

The implication (iii)  $\Rightarrow$  (ii) in the above shows that if  $H^1(A, X^*) = \{0\}$  for the particular case in which  $X = (\ker \varphi)^*$ , then all such cohomology groups are zero. The following result should be compared with Theorem 1.5 of [11].

**Theorem 1.2.** *Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . Then the following two conditions are equivalent.*

- (i)  $A$  is  $\varphi$ -amenable.
- (ii) If  $X$  is any Banach  $A$ -module and  $Y$  is any Banach  $A$ -submodule of  $X$  and  $g \in Y^*$  is such that the left action of  $A$  on  $g$  has the form  $a \cdot g = \varphi(a)g$  for all  $a \in A$ , then  $g$  extends to some  $f \in X^*$  such that  $a \cdot f = \varphi(a)f$  for all  $a \in A$ .

**Proof.** (i)  $\Rightarrow$  (ii) Let  $\tilde{g} \in X^*$  such that  $\tilde{g}$  extends  $g$  and  $\|\tilde{g}\| = \|g\|$ . If  $a \in A$  satisfies  $\varphi(a) = 1$ , then  $a \cdot \tilde{g}$  also extends  $g$ . Since  $A$  is  $\varphi$ -amenable, there exists a net  $(u_\gamma)_\gamma$  in  $A$  such that, for all  $\gamma$ ,  $\varphi(u_\gamma) = 1$  and  $\|u_\gamma\| \leq C$  for some constant  $C > 0$  and  $\|au_\gamma - \varphi(a)u_\gamma\| \rightarrow 0$  for all  $a \in A$  [11, Theorem 1.4]. Then  $u_\gamma \cdot \tilde{g}$  extends  $g$  and we may assume that  $\|u_\gamma \cdot \tilde{g}\| \leq C\|g\| + 1$  for all  $\gamma$ . After passing to a subnet if necessary, we can also assume that  $u_\gamma \cdot \tilde{g} \rightarrow f$  in the  $w^*$ -topology for some  $f \in X^*$ . Clearly,  $f$  extends  $g$ . Taking  $w^*$ -limits, we obtain

$$a \cdot f = \lim_\gamma a \cdot (u_\gamma \cdot \tilde{g}) = \lim_\gamma (au_\gamma) \cdot \tilde{g} = \lim_\gamma [(au_\gamma - \varphi(a)u_\gamma) \cdot \tilde{g} + \varphi(a)u_\gamma \cdot \tilde{g}] = \varphi(a)f$$

for all  $a \in A$ . So (ii) holds.

(ii)  $\Rightarrow$  (i) Take  $X = A^*$  and  $Y = \mathbb{C}\varphi$ . Let  $\varphi^* \in Y^*$  be defined by  $\langle \varphi^*, \varphi \rangle = 1$ . Then the left action of  $A$  on  $\varphi^*$  is given by  $a \cdot \varphi^* = \varphi(a)\varphi^*$ . By hypothesis, there exists  $m \in A^{**}$  such that  $m|_Y = \varphi^*$  and  $a \cdot m = \varphi(a)m$  for all  $a \in A$ . Since  $\langle m, \varphi \rangle = \langle \varphi^*, \varphi \rangle = 1$ ,  $m$  is a  $\varphi$ -mean.  $\square$

Using  $w^*$ -continuity, we easily see that an element  $m \in A^{**}$  is a  $\varphi$ -mean for  $A$  if and only if for all  $n \in A^{**}$  we have  $nm = \varphi^{**}(n)m$ . It is tempting to introduce a new general concept by saying that, when  $\varphi$  is a complex homomorphism on a complex algebra  $B$ ,  $m$  is a  $\varphi$ -right zero if  $nm = \varphi(n)m$  for all  $n \in B$  (the term ‘right zero’ in this context comes from the measure algebra on a semigroup with a right zero). However, this is hardly worthwhile, as it would merely be giving a new name to a  $\varphi$ -mean which lies in  $B$ . But this viewpoint does reduce the idea of a  $\varphi$ -mean to a purely algebraic one, and sometimes it is easy to prove results in this context and then interpret them as applying to Banach algebras. It is trivial to notice that  $A$  has a  $\varphi$ -mean if and only if  $A^{**}$  has a  $\varphi^{**}$ -mean which lies in  $A^{**}$ . The next proposition and its corollary provide an example of this technique.

**Proposition 1.3.** *Let  $B$  be a complex algebra and  $\varphi : B \rightarrow \mathbb{C}$  a homomorphism. Let  $J$  be an ideal in  $B$  with  $J \subseteq \ker \varphi$  and let  $\tilde{\varphi} : B/J \rightarrow \mathbb{C}$  be the homomorphism induced by  $\varphi$ . If  $J$  has a right identity and  $B/J$  has a  $\tilde{\varphi}$ -mean in  $B/J$ , then  $B$  has a  $\varphi$ -mean in  $B$ .*

**Proof.** Let  $q : B \rightarrow B/J$ , so that  $\varphi = \tilde{\varphi} \circ q$ . Let  $e$  be a right identity for  $J$  and let  $m \in B$  be such that  $q(m)$  is a  $\tilde{\varphi}$ -mean for  $B/J$ . Since  $q(e) = 0$  we find for all  $x \in B$ ,

$$q(x)q(m - me) = q(x)q(m) = \tilde{\varphi}(q(x))q(m) = \varphi(x)q(m - me).$$

This shows that  $x(m - me) - \varphi(x)(m - me) \in J$ . Since  $e$  is a right identity for  $J$  and  $(m - me)e = 0$ , we see that in fact  $x(m - me) - \varphi(x)(m - me) = 0$ , so that  $m - me$  is a  $\varphi$ -mean for  $B$ .  $\square$

**Corollary 1.4.** *Let  $A$  be a Banach algebra,  $\varphi \in \Delta(A)$  and  $I$  a closed ideal in  $A$  with  $I \subseteq \ker \varphi$ . Suppose that  $I$  has a bounded right approximate identity and that  $A/I$  is  $\tilde{\varphi}$ -amenable, where  $\tilde{\varphi} \in \Delta(A/I)$  is the homomorphism induced by  $\varphi$ . Then  $A$  is  $\varphi$ -amenable.*

**Proof.** The statement follows from Proposition 1.3 on taking  $B = A^{**}$  and  $J = I^{**}$ . In fact, since  $I$  has a bounded right approximate identity,  $I^{**}$  has a right identity, and since  $B/J = A^{**}/I^{**} = (A/I)^{**}$  and  $A/I$  is  $\tilde{\varphi}$ -amenable and  $\tilde{\varphi}^{**} = \tilde{\varphi}^{**}$ ,  $B/J$  is  $\tilde{\varphi}^{**}$ -amenable. Thus  $B/J$  has a  $\tilde{\varphi}^{**}$ -mean and the proposition shows that  $B$  has a  $\varphi^{**}$ -mean. This says that  $A$  is  $\varphi$ -amenable.  $\square$

Note that this corollary generalizes Proposition 2.1 of [11].

## 2. $\varphi$ -Means of norm 1

Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . In this section we establish several criteria for  $A$  to possess a  $\varphi$ -mean of norm 1. We start by showing that the existence of such a mean is a pointwise property.

**Theorem 2.1.** *Let  $A$  be any Banach algebra and  $\varphi \in \Delta(A)$ . Suppose that for each  $f \in A^*$  there exists  $m_f \in A^{**}$  such that  $\|m_f\| = \langle m_f, \varphi \rangle = 1$  and  $\langle m_f, f \cdot a \rangle = \varphi(a)\langle m_f, f \rangle$  for all  $a \in A$ . Then  $A$  has a  $\varphi$ -mean of norm 1.*

**Proof.** Define a subset  $S$  of  $A^{**}$  by

$$S = \{m \in A^{**} : \|m\| = \langle m, \varphi \rangle = 1\} = \{m \in A^{**} : \|m\| \leq 1, \langle m, \varphi \rangle = 1\}.$$

Then  $S$  is  $w^*$ -compact and easily seen to be a semigroup for the first Arens product. Let  $\mathcal{F}$  denote the collection of all finite subsets  $F$  of  $A^*$ , and for every  $F \in \mathcal{F}$ , let

$$S_F = \{m \in S : \langle m, f \cdot a \rangle = \varphi(a)\langle m, f \rangle \text{ for all } f \in F \text{ and } a \in A\}.$$

Then  $S_F$  is closed in  $S$  and  $S_{F_1} \supseteq S_{F_2}$  whenever  $F_1 \subseteq F_2$ . Clearly, every  $m \in \bigcap \{S_F : F \in \mathcal{F}\}$  is a  $\varphi$ -mean with  $\|m\| = 1$ . It therefore suffices to show that  $S_F \neq \emptyset$  for each  $F \in \mathcal{F}$ . We achieve this by induction on the number of elements in  $F$ .

So suppose that some  $m_1 \in S_F$  exists and let  $g \in A^* \setminus F$  and set  $h = m_1 \cdot g \in A^*$ . By hypothesis, there exists  $m_2 \in S_{\{h\}}$ . Let  $m = m_2 m_1 \in A^{**}$ . Then  $m \in S$  since  $S$  is a semigroup. For  $f \in F$  and  $a, b \in A$ , we have

$$\langle m_1 \cdot (f \cdot a), b \rangle = \langle m_1, f \cdot (ab) \rangle = \varphi(a) \langle m_1, f \rangle \varphi(b).$$

Hence  $m_1 \cdot (f \cdot a) = \varphi(a) \langle m_1, f \rangle \varphi$ , and similarly  $m_1 \cdot f = \langle m_1, f \rangle \varphi$ . It follows that, for  $f \in F$  and all  $a \in A$ ,

$$\langle m, f \cdot a \rangle = \langle m_2, m_1 \cdot (f \cdot a) \rangle = \varphi(a) \langle m_1, f \rangle \langle m_2, \varphi \rangle = \varphi(a) \langle m_2, \langle m_1, f \rangle \varphi \rangle = \varphi(a) \langle m_2, m_1 \cdot f \rangle = \varphi(a) \langle m, f \rangle.$$

Moreover, for all  $a \in A$ ,

$$\langle m, g \cdot a \rangle = \langle m_2, (m_1 \cdot g) \cdot a \rangle = \varphi(a) \langle m_2, m_1 \cdot g \rangle = \varphi(a) \langle m, g \rangle.$$

So  $m \in S_{F \cup \{g\}}$ , and this finishes the proof.  $\square$

Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . For  $f \in A^*$  and  $\epsilon > 0$ , let

$$K_{f,\epsilon} = \overline{\{u \cdot f : u \in A, \varphi(u) = 1, \|u\| \leq 1 + \epsilon\}}^{w^*} \subseteq A^*.$$

Clearly,  $K_{f,\epsilon}$  is convex and  $w^*$ -compact, and so is  $K_f = \bigcap_{\epsilon > 0} K_{f,\epsilon}$ .

**Proposition 2.2.** For  $f \in A^*$ , the following conditions are equivalent.

- (i) There exists  $m \in A^{**}$  such that  $\|m\| = 1$ ,  $\langle m, \varphi \rangle = 1$  and  $\langle m, f \cdot a \rangle = \varphi(a) \langle m, f \rangle$  for all  $a \in A$ .
- (ii)  $K_f$  contains  $\lambda \varphi$  for some  $\lambda \in \mathbb{C}$ .

In fact,  $\mathbb{C} \varphi \cap K_f$  equals the set of all  $\langle m, f \rangle \varphi$ , where  $m$  is as in (i).

**Proof.** Let  $m$  be as in (i), and let  $(u_\gamma)_\gamma$  be a net in  $A$  such that  $\varphi(u_\gamma) = 1$  for all  $\gamma$ ,  $\|u_\gamma\| \rightarrow 1$  and  $u_\gamma \rightarrow m$  in the  $w^*$ -topology. Then

$$\langle u_\gamma \cdot f, a \rangle = \langle u_\gamma, f \cdot a \rangle \rightarrow \langle m, f \cdot a \rangle = \varphi(a) \langle m, f \rangle$$

for all  $a \in A$ , and hence  $\langle m, f \rangle \varphi \in K_{f,\epsilon}$  for every  $\epsilon > 0$ .

Conversely, assume that  $\lambda \varphi \in K_f$  and let  $\epsilon > 0$ . There exists a net  $(u_{\gamma,\epsilon})_\gamma$  in  $A$  such that  $\varphi(u_{\gamma,\epsilon}) = 1$ ,  $\|u_{\gamma,\epsilon}\| \leq 1 + \epsilon$  for all  $\gamma$  and  $\lambda \varphi = w^*\text{-}\lim_\gamma (u_{\gamma,\epsilon} \cdot f)$ . Let  $n_\epsilon$  be a  $w^*$ -cluster point of the net  $(u_{\gamma,\epsilon})_\gamma$  in  $A^{**}$ . Then  $\|n_\epsilon\| \leq 1 + \epsilon$ ,  $\langle n_\epsilon, \varphi \rangle = 1$  and  $\langle n_\epsilon, f \cdot a \rangle = \lambda \varphi(a)$  for all  $a \in A$  since

$$\langle u_{\gamma,\epsilon}, f \cdot a \rangle = \langle u_{\gamma,\epsilon} \cdot f, a \rangle \rightarrow \lambda \varphi(a).$$

Let  $n$  be a  $w^*$ -cluster point of the net  $(n_\epsilon)_\epsilon$ . Then  $\|n\| = 1$ ,  $\langle n, \varphi \rangle = 1$  and

$$\langle n \cdot f, a \rangle = \langle n, f \cdot a \rangle = \lambda \varphi(a)$$

for all  $a \in A$ . Finally, let  $m = n^2 \in A^{**}$ . Then  $\langle m, \varphi \rangle = \langle n, \varphi \rangle^2 = 1$  and  $\|m\| = 1$ . Moreover,

$$\langle m, f \rangle = \langle n, n \cdot f \rangle = \langle n, \lambda \varphi \rangle = \lambda \langle n, \varphi \rangle = \lambda,$$

and hence, for all  $a \in A$ ,

$$\langle m, f \cdot a \rangle = \langle n, (n \cdot f) \cdot a \rangle = \langle n, (\lambda \varphi) \cdot a \rangle = \lambda \varphi(a) \langle n, \varphi \rangle = \varphi(a) \langle m, f \rangle.$$

So  $m$  satisfies all the requirements in (i).

Actually, the above proof shows that  $\lambda \varphi$  belongs to  $K_f$  if and only if  $\lambda = \langle m, f \rangle$  for some  $m \in A^{**}$  as in (i).  $\square$

As an immediate consequence of Proposition 2.2 and Theorem 2.1 we obtain

**Corollary 2.3.** For a Banach algebra  $A$  and  $\varphi \in \Delta(A)$ , the following are equivalent.

- (i)  $A$  admits a  $\varphi$ -mean of norm 1.
- (ii) For each  $f \in A^*$ ,  $\mathbb{C} \varphi \cap K_f \neq \emptyset$ .

The next theorem, which is one of the main results of the paper, in particular shows that the existence of a  $\varphi$ -mean of norm 1 is a pointwise property in the sense that it follows from the existence of a certain functional on  $A^*$  associated with each of the elements of the ideal  $\ker \varphi$ .

**Theorem 2.4.** For a Banach algebra  $A$  and  $\varphi \in \Delta(A)$ , the following four conditions are equivalent.

- (i) There exists a  $\varphi$ -mean  $m$  such that  $\|m\| = 1$ .
- (ii) There exists a net  $(u_\gamma)_\gamma$  in  $A$  such that  $\varphi(u_\gamma) = 1$  for all  $\gamma$ ,  $\|u_\gamma\| \rightarrow 1$  and  $\|au_\gamma\| \rightarrow |\varphi(a)|$  for all  $a \in A$ .
- (iii) For each  $a \in \ker \varphi$ , there exists  $m_a \in A^{**}$  with  $\|m_a\| \leq 1$ ,  $\langle m_a, \varphi \rangle = 1$  and  $am_a = 0$ .
- (iv) For each  $a \in \ker \varphi$  and  $\epsilon > 0$ , there exists  $u \in A$  such that  $\|u\| \leq 1 + \epsilon$ ,  $\|au\| \leq \epsilon$  and  $\varphi(u) = 1$ .

**Proof.** (ii)  $\Rightarrow$  (iv) is clear. Also, (i)  $\Rightarrow$  (iii) is simple: If  $m$  is a  $\varphi$ -mean, we can choose  $m_a = m$  for all  $a \in A$ . Therefore, in order to establish the theorem it suffices to show the implications (i)  $\Rightarrow$  (ii), (iii)  $\Rightarrow$  (iv) and (iv)  $\Rightarrow$  (i).

(i)  $\Rightarrow$  (ii) There exists a net  $(u_\gamma)_\gamma$  in  $A$  with the following properties:  $\varphi(u_\gamma) = 1$  for all  $\gamma$ ,  $\|u_\gamma\| \rightarrow 1$  and  $\|au_\gamma - \varphi(a)u_\gamma\| \rightarrow 0$  for all  $a \in A$ . Thus,

$$\| \|au_\gamma\| - |\varphi(a)| \| \leq \| \|au_\gamma\| - \|\varphi(a)u_\gamma\| \| + \| \|\varphi(a)u_\gamma\| - |\varphi(a)| \| \leq \| \|au_\gamma - \varphi(a)u_\gamma\| \| + |\varphi(a)| \cdot \| \|u_\gamma\| - 1 \|.$$

(iii)  $\Rightarrow$  (iv) Fix  $a \in \ker \varphi$  and take any net  $(u_\gamma)_\gamma$  in  $A$  such that  $\|u_\gamma\| \leq 1$  and  $u_\gamma \rightarrow m_a$  in the  $w^*$ -topology. Then  $\varphi(u_\gamma) \rightarrow 1$ . By replacing each  $u_\gamma$  with a scalar multiple of itself and taking a cofinal subnet, we may arrange that  $\|u_\gamma\| \leq 1 + \epsilon$  and  $\varphi(u_\gamma) = 1$  for all  $\gamma$ . Since  $w^*\text{-}\lim au_\gamma = am_a = 0$  and  $au_\gamma \in A$ ,  $0$  is in the weak closure of the set  $(au_\gamma)_\gamma$ , and therefore  $0$  is in the norm closure of the convex hull of  $(au_\gamma)_\gamma$ . The set  $(u_\gamma)_\gamma$  being contained in the closed hyperplane  $\{x \in A: \varphi(x) = 1\}$ , we easily reach our conclusion.

(iv)  $\Rightarrow$  (i) We claim that for every finite subset  $F$  of  $A$  and  $\epsilon > 0$ , there exists  $u_{F,\epsilon}$  such that  $\varphi(u_{F,\epsilon}) = 1$ ,  $\|u_{F,\epsilon}\| \leq 1 + \epsilon$  and

$$\| \|au_{F,\epsilon} - \varphi(a)u_{F,\epsilon}\| \| \leq \epsilon$$

for all  $a \in F$ . Let  $F = \{a_1, \dots, a_k\}$ , say, and choose  $\delta > 0$  such that  $(1 + \delta)^{k+1} \leq 1 + \epsilon$ . By hypothesis, there exists  $u_0 \in A$  such that  $\varphi(u_0) = 1$  and  $\|u_0\| \leq 1 + \delta$ . Since  $a_1u_0 - \varphi(a_1)u_0 \in \ker \varphi$ , again by (iv) there exists  $u_1 \in A$  such that

$$\varphi(u_1) = 1, \quad \|u_1\| \leq 1 + \delta \quad \text{and} \quad \|(a_1u_0 - \varphi(a_1)u_0)u_1\| \leq \delta.$$

Likewise,  $a_2u_0u_1 - \varphi(a_2)u_0u_1 \in \ker \varphi$  and hence there exists  $u_2 \in A$  such that

$$\varphi(u_2) = 1, \quad \|u_2\| \leq 1 + \delta \quad \text{and} \quad \|(a_2u_0u_1 - \varphi(a_2)u_0u_1)u_2\| \leq \delta.$$

For  $j = 1, 2$ , we have  $\|u_j\| \leq 1 + \delta$ ,  $\varphi(u_j) = 1$  and

$$\| \|a_ju_0u_1u_2 - \varphi(a_j)u_0u_1u_2\| \| \leq \delta(1 + \delta).$$

Proceeding inductively, we see that there exist  $u_j$ ,  $1 \leq j \leq k$ , such that  $\varphi(u_j) = 1$ ,  $\|u_j\| \leq 1 + \delta$  and, for  $i = 1, \dots, j$ ,

$$\| \|a_iu_0u_1 \cdots u_j - \varphi(a_i)u_0u_1 \cdots u_j\| \| \leq \delta(1 + \delta)^{j-1} \leq \epsilon.$$

In particular, when  $j = k$ , setting  $u_{F,\epsilon} = \prod_{j=0}^k u_j$  gives us  $\varphi(u_{F,\epsilon}) = 1$ ,  $\|u_{F,\epsilon}\| \leq 1 + \epsilon$  and  $\| \|au_{F,\epsilon} - \varphi(a)u_{F,\epsilon}\| \| \leq \epsilon$  for all  $a \in F$ . This proves the above claim.

Now, order the pairs  $(F, \epsilon)$ ,  $F \subseteq A$  finite,  $\epsilon > 0$ , in the obvious manner, and let  $m$  be a  $w^*$ -cluster point of the net  $(u_{F,\epsilon})_{F,\epsilon}$  in  $A^{**}$ . Then  $\|m\| \leq 1$  and  $\langle m, \varphi \rangle = 1$  (and hence  $\|m\| = 1$ ) and  $am = \varphi(a)m$  for all  $a \in A$ . So  $m$  is the required  $\varphi$ -mean.  $\square$

**Remark 2.5.** Using methods similar to those employed in the proof of Theorem 2.4, the following can be shown. Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . For  $C > 0$ , the following statements are equivalent.

- (i)  $A$  has a  $\varphi$ -mean of norm  $C$ .
- (ii)  $A$  contains an approximate  $\varphi$ -mean with norm bound  $C$ .
- (iii) For each  $a \in \ker \varphi$ , there exists  $m_a \in A^{**}$  with  $\|m_a\| = C$ ,  $\langle m_a, \varphi \rangle = 1$  and  $am_a = 0$ .
- (iv) There exists a net  $(u_\gamma)_\gamma$  in  $A$  with  $\varphi(u_\gamma) = 1$  for all  $\gamma$ ,  $\|u_\gamma\| \rightarrow C$  and  $au_\gamma \rightarrow 0$  for every  $a \in \ker \varphi$ .

For a Banach algebra  $A$  and  $\varphi \in \Delta(A)$ , let  $N(A, \varphi)$  denote the set of all  $f \in A^*$  with the following property: for each  $\delta > 0$ , there exists a sequence  $(a_n)_n$  in  $A$  such that  $\varphi(a_n) = 1$ ,  $\|a_n\| \leq 1 + \delta$  for all  $n$  and  $\|f \cdot a_n\| \rightarrow 0$ . We now aim at a criterion for the existence of a  $\varphi$ -mean of norm 1 involving the set  $N(A, \varphi)$  (Theorem 2.8. below).

**Lemma 2.6.** For a Banach algebra  $A$  and  $\varphi \in \Delta(A)$ , the following hold.

- (i)  $\varphi \notin N(A, \varphi)$ .
- (ii)  $N(A, \varphi)$  is closed in  $A^*$  and closed under scalar multiplication.
- (iii) If  $A$  is commutative, then  $N(A, \varphi)$  is closed under addition.

**Proof.** (i) is immediate since  $\varphi \cdot a = \varphi$  for all  $a \in A$  with  $\varphi(a) = 1$ .

(ii) Let  $f_n \in A^*$ ,  $n \in \mathbb{N}$ , and  $f \in A^*$  such that  $f_n \rightarrow f$ . For every  $n$  there exists  $a_n \in A$  such that  $\varphi(a_n) = 1$ ,  $\|a_n\| \leq 1 + \frac{1}{n}$  and  $\|f_n \cdot a_n\| \leq \frac{1}{n}$ . Then  $\|f \cdot a_n\| \leq \|f - f_n\| \cdot \|a_n\| + \frac{1}{n}$  for all  $n$ , whence  $f \in N(A, \varphi)$ .

(iii) Let  $f_1, f_2 \in N(A, \varphi)$  and  $\delta > 0$ . If  $a_j \in A$ ,  $j = 1, 2$ , are such that  $\varphi(a_j) = 1$ ,  $\|a_j\| \leq 1 + \delta$  and  $\|f_j \cdot a_j\| \leq \delta$ , then since  $A$  is commutative,

$$\|(f_1 + f_2) \cdot (a_1 a_2)\| \leq \|f_1 \cdot a_1\| \cdot \|a_2\| + \|f_2 \cdot a_2\| \cdot \|a_1\| \leq 2\delta(1 + \delta).$$

It follows that  $f_1 + f_2 \in N(A, \varphi)$ .  $\square$

**Lemma 2.7.** *Suppose that  $A$  admits a  $\varphi$ -mean of norm 1. Then  $N(A, \varphi)$  is a subspace of  $A^*$ .*

**Proof.** Let  $J = \{a \in A: \varphi(a) = 1\}$  and let  $\epsilon > 0$ . Since  $A$  has a  $\varphi$ -mean of norm 1, there exists a net  $(u_\gamma)_\gamma$  in  $A$  such that  $\varphi(u_\gamma) = 1$  and  $\|u_\gamma\| \leq 1 + \epsilon$  for all  $\gamma$  and  $\|au_\gamma - u_\gamma\| \rightarrow 0$  for every  $a \in J$ .

Now let  $f_1, f_2 \in N(A, \varphi)$ . Given  $\epsilon > 0$ , there exist  $a_1, a_2 \in J$  such that  $\|f_j \cdot a_j\| \leq \epsilon$  and  $\|a_j\| \leq 1 + \epsilon$ ,  $j = 1, 2$ . By the first paragraph, there exists  $u \in A$  with  $\|u\| \leq 1 + \epsilon$ ,  $\varphi(u) = 1$  and

$$\|a_1 u - a_2 u\| \leq \|a_1 u - u\| + \|u - a_2 u\| < \epsilon.$$

Then

$$\begin{aligned} \|(f_1 + f_2) \cdot (a_1 u)\| &\leq \|f_1 \cdot (a_1 u)\| + \|f_2 \cdot (a_1 u) - f_2 \cdot (a_2 u)\| + \|f_2 \cdot (a_2 u)\| \\ &\leq \|f_1 \cdot a_1\| \cdot \|u\| + \|f_2\| \cdot \|a_1 u - a_2 u\| + \|f_2 \cdot a_2\| \cdot \|u\| \\ &\leq \epsilon(1 + \epsilon) + \epsilon\|f_2\| + \epsilon(1 + \epsilon) \\ &= \epsilon(2 + 2\epsilon + \|f_2\|). \end{aligned}$$

Since  $\varphi(a_1 u) = 1$  and  $\|a_1 u\| \leq (1 + \epsilon)^2$  and  $\epsilon > 0$  is arbitrary, it follows that  $f_1 + f_2 \in N(A, \varphi)$ .  $\square$

**Theorem 2.8.** *Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . Then the following two conditions are equivalent.*

- (i) *There exists a  $\varphi$ -mean  $m$  with  $\|m\| = 1$ .*
- (ii)  *$N(A, \varphi)$  is a subspace of  $A^*$  and  $f \cdot a - f \in N(A, \varphi)$  for all  $f \in A^*$  and all  $a \in A$  with  $\varphi(a) = 1$ .*

**Proof.** Let  $m$  be a  $\varphi$ -mean of norm 1. By Lemma 2.7,  $N(A, \varphi)$  is a subspace of  $A^*$ . Let  $f \in A^*$  and  $a \in A$  with  $\varphi(a) = 1$ . There exists a net  $(u_\gamma)_\gamma$  in  $A$  such that  $\varphi(u_\gamma) = 1$ ,  $\|u_\gamma\| \rightarrow 1$  and  $\|au_\gamma - u_\gamma\| \rightarrow 0$ . Since  $\|(f \cdot a - f) \cdot u_\gamma\| \leq \|f\| \cdot \|au_\gamma - u_\gamma\|$ , it follows that  $f \cdot a - f \in N(A, \varphi)$ .

Conversely, suppose that  $N(A, \varphi)$  is a subspace of  $A^*$  and that (ii) holds. Since  $\varphi \notin N(A, \varphi)$  and  $\|\varphi\| = 1$ , by the Hahn-Banach theorem there exists  $m \in A^{**}$  such that  $\|m\| = \langle m, \varphi \rangle = 1$  and  $m|_{N(A, \varphi)} = 0$ . Then, by (ii),  $\langle m, f \cdot a \rangle = \langle m, f \rangle$  for all  $f \in A^*$  and all  $a \in A$  with  $\varphi(a) = 1$  and hence  $\langle m, f \cdot a \rangle = \varphi(a)\langle m, f \rangle$  for all  $a \in A$ .  $\square$

We shall see in Example 5.3 that if  $\|m\| > 1$ , it can even happen that  $N(A, \varphi) = \{0\}$ .

The following corollary is an immediate consequence of Lemma 2.6 and Theorem 2.8.

**Corollary 2.9.** *If  $A$  is a commutative Banach algebra and  $\varphi \in \Delta(A)$ , then  $A$  has a  $\varphi$ -mean of norm 1 if and only if  $f \cdot a - f \in N(A, \varphi)$  for all  $f \in A^*$  and all  $a \in A$  with  $\varphi(a) = 1$ .*

Before proceeding, recall that an  $F$ -algebra  $A$  is a Banach algebra which is the predual of a von Neumann algebra  $M$  such that the identity  $\epsilon$  of  $M$  is a multiplicative linear functional on  $A$ . In this case, the  $\epsilon$ -means of norm 1 are nothing but the *topologically left invariant means* (TLIM) on  $A^*$  [14]. Examples of  $F$ -algebras include the group algebra, the Fourier algebra and the Fourier-Stieltjes algebra of a locally compact group. Other examples are the measure algebra of a locally compact semigroup and the predual of a Hopf-von Neumann algebra (see [14] for details and also [17]).

Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$ . We say that an element  $a$  of  $A$  is  $\varphi$ -maximal if it satisfies  $\|a\| = \varphi(a) = 1$ . Let  $P_1(A, \varphi)$  denote the collection of all  $\varphi$ -maximal elements of  $A$ . When  $A$  is an  $F$ -algebra and  $\varphi$  is the identity of the von Neumann algebra  $A^*$ , the  $\varphi$ -maximal elements are precisely the positive linear functionals of norm 1 on  $A^*$  and hence span  $A$ . However, in general  $P_1(A, \varphi)$  can be quite small (see Examples 5.2 and 5.3).

Let  $X(A, \varphi)$  denote the closed linear span of  $P_1(A, \varphi)$ . Then  $X(A, \varphi)$  is a closed subalgebra of  $A$ .

**Proposition 2.10.** *Let  $A$  be a commutative Banach algebra and  $\varphi \in \Delta(A)$ . If  $X(A, \varphi) = A$ , then  $A$  has a  $\varphi$ -mean of norm 1.*

**Proof.** Let  $K = \{m \in A^{**}: \|m\| = \langle m, \varphi \rangle = 1\}$ . Then  $K$  is a  $w^*$ -compact convex subset of  $A^{**}$ . For each  $a \in P_1(A, \varphi)$ , let  $T_a : K \rightarrow K$  denote the map  $m \rightarrow am$ . Then  $a \rightarrow T_a$  is a representation of the commutative semigroup  $P_1(A, \varphi)$  as  $w^*$ - $w^*$ -continuous affine mappings from  $K$  into  $K$ . Therefore, by the Markov-Kakutani fixed point theorem (see [5]), there exists  $m \in K$  with  $am = m$  for all  $a \in P_1(A, \varphi)$ . For all  $a \in A$ , it then follows that  $am = \varphi(a)m$ , and hence  $m$  is a  $\varphi$ -mean.  $\square$

**Remark 2.11.** Let  $A$  be a Banach algebra such that  $A$  is a left or right ideal in  $A^{**}$ . Let  $\varphi \in \Delta(A)$  and suppose that there exists a  $\varphi$ -mean  $m$ . Then there exists a  $\varphi$ -mean in  $A$  itself.

To see this, fix  $a \in A$  with  $\varphi(a) = 1$ . If  $A$  is a right ideal in  $A^{**}$ , then  $m = \varphi(a)m = am \in A$ . If  $A$  is a left ideal in  $A^{**}$ , then

$$\langle ma, \varphi \rangle = \langle m, a \cdot \varphi \rangle = \langle m, \varphi \rangle = 1$$

and  $b(ma) = \varphi(b)ma$  for all  $b \in A$ , whence  $ma \in A$  is  $\varphi$ -mean.

### 3. Weakly sequentially complete Banach algebras

A  $\varphi$ -mean of a Banach algebra  $A$  is an element of the second dual of  $A$ . There are some aspects of the theory of second duals which are particularly striking for weakly sequentially complete algebras. In this section we offer some results which are relevant to  $\varphi$ -means.

A Banach algebra  $A$  is *weakly sequentially complete* if every sequence  $(a_n)_n$  in  $A$  which is weakly Cauchy is weakly convergent in  $A$ . As is well-known, preduals of von Neumann algebras are weakly sequentially complete (see [20]). In particular,  $L^1(G)$  and  $A(G)$ , the group algebra and the Fourier algebra of a locally compact group  $G$ , are weakly sequentially complete. The  $w^*$ -topology on  $A^{**}$  induces the weak topology on  $A$ , so an easy consequence of the definitions is that if a sequence  $(a_n)_n$  in  $A$  converges to a  $w^*$ -limit  $a \in A^{**}$ , then in fact  $a \in A$ . Since bounded subsets in  $A^{**}$  are relatively  $w^*$ -compact, we see that if  $(a_n)_n$  is a bounded sequence in  $A$  which has just one  $w^*$ -cluster point in  $A^{**}$ , then that cluster point is in  $A$ .

**Theorem 3.1.** *Let  $A$  be weakly sequentially complete with a sequential bounded approximate  $\varphi$ -mean, but with no  $\varphi$ -mean in  $A$  itself. Then  $A$  has at least  $2^c$   $\varphi$ -means. If  $A$  is separable, then it has precisely  $2^c$   $\varphi$ -means.*

**Proof.** Let  $(u_n)_n$  be a sequential bounded approximate  $\varphi$ -mean, and let  $M$  denote the set of all  $w^*$ -cluster points of  $(u_n)_n$  in  $A^{**}$ . Each element of  $M$  is a  $\varphi$ -mean, and  $M$  is  $w^*$ -compact. We claim that no element of  $M$  has a countable neighbourhood base in  $M$ . Indeed, suppose that for some  $m \in M$ , there is a decreasing countable base  $(V_k)_k$  of closed neighbourhoods of  $m$  in  $M$ . Choose  $w^*$ -closed neighbourhoods  $W_k, k \in \mathbb{N}$ , of  $m$  in  $A^{**}$  with  $W_k \cap M = V_k$ . Then  $M \cap (\bigcap_{k=1}^\infty W_k) = \{m\}$ , and we can arrange for the sequence  $(W_k)_k$  to be decreasing. For each  $k$ , select  $u_{n_k} \in W_k$ . Then every  $w^*$ -cluster point of the subsequence  $(u_{n_k})_k$  lies in each  $W_k$  and in  $M$ , so must be equal to  $m$ . Since  $A$  is weakly sequentially complete, it follows that  $m \in A$ , which is impossible by hypothesis.

Thus no point of  $M$  has a countable neighbourhood base. This implies that  $M$  has at least  $2^c$  elements (see Theorem 7.19 in Chapter I of [12]). Finally, if  $A$  is separable, then  $A^{**}$  has a countable  $w^*$ -dense subset and hence no more than  $2^c$  elements.  $\square$

#### Example 3.2.

- (1) If  $G$  is a locally compact group, then  $\epsilon : f \rightarrow \int_G f(x)dx$  defines an element of  $\Delta(L^1(G))$ . In this case, the  $\epsilon$ -means correspond to the set of topologically left invariant means on  $L^\infty(G)$ . Suppose that  $G$  is amenable, second countable and noncompact. Since then  $L^1(G)$  is separable, it follows from Theorem 3.1 that  $L^\infty(G)$  admits precisely  $2^c$  topologically left invariant means, a fact which is known from [15].
- (2) Let  $G$  be a locally compact group and  $A(G)$  its Fourier algebra. Then  $A(G)^* = VN(G)$ , the von Neumann algebra generated by left translation operators on  $L^2(G)$ . The identity operator  $I$  on  $L^2(G)$  defines an element  $\epsilon$  of  $\Delta(A(G))$  by  $\epsilon(u) = \langle I, u \rangle = u(e), u \in A(G)$ . Then the set of  $\epsilon$ -means coincides with the set of topologically invariant means studied in [18]. If  $G$  is second countable, then  $L^2(G)$  is separable and hence  $A(G)$  is separable and weakly sequentially complete (see [6, (3.1) and p. 218, Théorème]). If, in addition,  $G$  is not discrete, then no  $\epsilon$ -mean can belong to  $A(G)$ . Thus the cardinality of the set of topologically invariant means on  $VN(G)$  is exactly  $2^c$  in this case, as was shown in [9] (see also [7]).
- (3) Consider the convolution algebra  $A = l^1(\mathbb{Z}_+)$ . For  $z \in \mathbb{D}$ , the closed unit disc, define  $\varphi_z : A \rightarrow \mathbb{C}$  by  $\varphi_z(a) = \sum_{n=0}^\infty a_n z^n$ ,  $a = (a_n)_n \in A$ . Then the map  $z \rightarrow \varphi_z$  is a homeomorphism between  $\mathbb{D}$  and  $\Delta(A)$ . We already know that  $A$  is  $\varphi_z$ -amenable if and only if  $|z| = 1$  [11, Example 2.5(2)]. Let  $z \in \mathbb{D}$  with  $|z| = 1$ . Since  $A$  is weakly sequentially complete and separable, by Theorem 3.1 there either exists a  $\varphi_z$ -mean in  $A$  itself or there are precisely  $2^c$   $\varphi_z$ -means. Now, suppose that  $u = (u_n)_n \in A$  is a  $\varphi_z$ -mean. Then, for all  $a \in A$  and  $f = (f_n)_n \in l^\infty(\mathbb{Z}_+) = A^*$ ,

$$\sum_{n=0}^\infty f_n \left( \sum_{k=0}^n a_k u_{n-k} \right) = \langle f, a * u \rangle = \langle f \cdot a, u \rangle = \varphi_z(a) \langle f, u \rangle = \sum_{n=0}^\infty a_n z^n \cdot \sum_{n=0}^\infty f_n u_n.$$

Taking  $f = \delta_k$  and  $a = \delta_l, l > k$ , we obtain  $z^l u_k = 0$ . Thus  $u = 0$  and hence there are exactly  $2^c$   $\varphi_z$ -means.

If  $m_1$  and  $m_2$  are two  $\varphi$ -means on  $A$ , then  $m_1 m_2 = \varphi(m_1) m_2 = m_2$ . One of the immediate consequences of Theorem 3.1 is therefore that if  $A$  satisfies its hypotheses,  $A^{**}$  is not commutative, even if  $A$  is. There is a formulation of this which makes sense for non-commutative algebras. Define a second multiplication on  $A^{**}$  by

$$m \diamond n = w^* \text{-} \lim_{b \rightarrow n} \left( w^* \text{-} \lim_{a \rightarrow m} ab \right)$$

(a similar formula to that which determines the multiplication in  $A^{**}$ , but with the limits taken in the other order). The product  $m \diamond n$  is  $w^*$ -continuous in  $n$  for fixed  $m$ .  $A$  is called *Arens regular* if  $m \diamond n = mn$  for all  $m, n \in A^{**}$ . A condition equivalent to Arens regularity is that  $mn$  should be  $w^*$ -continuous in  $n$  for fixed  $m$ . When  $A$  is commutative, so that  $ba = ab$ , we find that in  $A^{**}$  we have  $m \diamond n = nm$ . Thus we have shown that, under the hypotheses of Theorem 3.1, a commutative  $A$  is not Arens regular. We shall obtain a non-commutative result generalizing this.

We must introduce some additional concepts. We call  $m \in A^{**}$  a *2-sided  $\varphi$ -mean* if  $\langle m, \varphi \rangle = 1$  and for each  $f \in A^*$  and  $a \in A$  we have not only  $\langle m, f \cdot a \rangle = \varphi(a)\langle m, f \rangle$ , but also  $\langle m, a \cdot f \rangle = \varphi(a)\langle m, f \rangle$ . Of course, the latter two conditions are equivalent to  $am = \varphi(a)m$  and  $ma = \varphi(a)m$  for all  $a \in A$ , respectively.  $W^*$ -continuity then gives  $nm = \langle n, \varphi \rangle m$  for all  $n \in A^{**}$ . However, we cannot conclude that  $mn = \langle n, \varphi \rangle m$  unless  $A$  is Arens regular. Notice that if  $A$  is commutative, every  $\varphi$ -mean is automatically a 2-sided  $\varphi$ -mean.

A bounded net  $(u_\gamma)_\gamma$  in  $A$  is called a *bounded approximate 2-sided  $\varphi$ -mean* if  $\varphi(u_\gamma) = 1$  for all  $\gamma$  and for each  $a \in A$ ,

$$\|au_\gamma - \varphi(a)u_\gamma\| \rightarrow 0 \quad \text{and} \quad \|u_\gamma a - \varphi(a)u_\gamma\| \rightarrow 0.$$

**Proposition 3.3.** *An element  $m$  of  $A^{**}$  is a 2-sided  $\varphi$ -mean for  $A$  if and only if  $m$  is a  $w^*$ -cluster point of a bounded approximate 2-sided  $\varphi$ -mean.*

**Proof.** If  $m$  is a  $w^*$ -cluster point of a bounded approximate 2-sided  $\varphi$ -mean  $(u_\gamma)_\gamma$ , then for each  $a \in A$ ,  $am$  is a  $w^*$ -cluster point of  $(au_\gamma)_\gamma$  and this implies that  $am = \varphi(a)m$ . Similarly,  $ma = \varphi(a)m$ . Since also  $\langle m, \varphi \rangle = \lim_\gamma \varphi(u_\gamma) = 1$ , we get that  $m$  is a 2-sided  $\varphi$ -mean.

Conversely, let  $m$  be a 2-sided  $\varphi$ -mean. Then  $m$  is the  $w^*$ -limit of some net  $(v_\gamma)_\gamma$  in  $A$  with  $\|v_\gamma\| \rightarrow \|m\|$ . Then  $\varphi(v_\gamma) - 1 = \langle v_\gamma - m, \varphi \rangle \rightarrow 0$ , and  $w^*$ -continuity gives

$$av_\gamma - \varphi(a)v_\gamma \rightarrow am - \varphi(a)m = 0 \quad \text{and} \quad v_\gamma a - \varphi(a)v_\gamma \rightarrow ma - \varphi(a)m = 0$$

in the  $w^*$ -topology for each  $a \in A$ . So the nets

$$(av_\gamma - \varphi(a)v_\gamma)_\gamma \quad \text{and} \quad (v_\gamma a - \varphi(a)v_\gamma)_\gamma$$

in  $A$  both converge to 0 weakly for all  $a \in A$ .

Now take any finite subset  $F = \{a_1, \dots, a_k\}$  of  $A$  and let

$$C = \{((a_j v - \varphi(a_j)v)_{j=1}^k, (v a_j - \varphi(a_j)v)_{j=1}^k, \varphi(v) - 1) : v \in A\}.$$

Then in the Banach space  $A^{2k} \times \mathbb{C}$ ,  $0$  is in the weak closure of  $C$  and hence in the norm closure since  $C$  is convex. Thus, given  $\epsilon > 0$ , we can find  $v_{F,\epsilon} \in A$  such that  $\|v_{F,\epsilon}\| \leq 2\|m\|$ , say,  $|\varphi(v_{F,\epsilon}) - 1| < \epsilon$  and for all  $a \in F$ ,

$$\|av_{F,\epsilon} - \varphi(a)v_{F,\epsilon}\| < \epsilon \quad \text{and} \quad \|v_{F,\epsilon}a - \varphi(a)v_{F,\epsilon}\| < \epsilon.$$

Finally replace  $v_{F,\epsilon}$  by a scalar multiple  $u_{F,\epsilon} = \lambda_{F,\epsilon} v_{F,\epsilon}$  for which  $\varphi(u_{F,\epsilon}) = 1$ . Then  $|\lambda_{F,\epsilon}| < \frac{1}{1-\epsilon}$  and

$$\|au_{F,\epsilon} - \varphi(a)u_{F,\epsilon}\| < \frac{\epsilon}{1-\epsilon} \quad \text{and} \quad \|u_{F,\epsilon}a - \varphi(a)u_{F,\epsilon}\| < \frac{\epsilon}{1-\epsilon}.$$

So the net  $(u_{F,\epsilon})_{F,\epsilon}$  is a bounded approximate 2-sided  $\varphi$ -mean and  $m$  is the  $w^*$ -limit of  $(u_{F,\epsilon})_{F,\epsilon}$ .  $\square$

We shall prove

**Theorem 3.4.** *Let  $A$  be weakly sequentially complete. Suppose that  $A$  has a bounded approximate 2-sided  $\varphi$ -mean, but that there is no 2-sided  $\varphi$ -mean in  $A$  itself. Then  $A$  is not Arens regular.*

In proving Theorem 3.4, we will partly follow an idea of Ülger [23] (see also [21]), where he established a parallel result for bounded approximate identities.

Let  $I$  be a commutative idempotent semigroup, that is,  $i^2 = i$  for all  $i \in I$ . Define an order on  $I$  by  $i \leq j$  if  $ij = j$ . Then  $I$  is a directed set with  $\max\{i, j\} = ij$ .

**Proposition 3.5.** *Let  $A$  be a Banach algebra. Let  $I$  be as above and let  $h : I \rightarrow A$  be a homomorphism into the multiplicative semigroup of  $A$  such that  $h(I)$  is bounded and  $0 \notin h(I)$ . If the net  $(h(i))_i$  has a weak cluster point in  $A$ , then  $h(I)$  has a maximal element.*

**Proof.** Let  $e$  be a weak cluster point of  $(h(i))_i$ . Take  $J$  to be a cofinal subset of  $I$  with  $w\text{-}\lim_{i \in J} h(i) = e$ . For  $i \leq j$  in  $J$  we have  $h(i)h(j) = h(j)$ . Taking the  $j$ -limit gives  $h(i)e = e$  and then taking the  $i$ -limit gives  $e^2 = e$ . Since weak and norm closures of convex sets coincide,  $e$  is in the norm closure of the convex hull of  $\{h(i) : i \in J\}$ . Thus given  $\epsilon > 0$ , we can find  $j_1, \dots, j_n \in J$  and scalars  $\lambda_1, \dots, \lambda_n \geq 0$  with  $\sum_{k=1}^n \lambda_k = 1$  such that

$$\left\| \sum_{k=1}^n \lambda_k h(j_k) - e \right\| \leq \epsilon.$$



For  $j \in J$  with  $j \geq \max\{j_1, \dots, j_n\}$  we have

$$\left(\sum_{k=1}^n \lambda_k h(j_k)\right)h(j) = \sum_{k=1}^n \lambda_k h(j_k j) = h(j).$$

Because  $h(I)$  is commutative, we see that  $eh(j) = e$  for all  $j$  and therefore

$$\|h(j) - e\| = \left\| \sum_{k=1}^n \lambda_k h(j_k)h(j) - eh(j) \right\| \leq \left\| \sum_{k=1}^n \lambda_k h(j_k) - e \right\| \cdot \|h(j)\| \leq \epsilon \sup_{j \in J} \|h(j)\|.$$

But  $h(j) - e$  is an idempotent, so either is zero or satisfies  $\|h(j) - e\| \geq 1$ . Since  $\epsilon > 0$  is arbitrary, it follows that  $h(j) = e$ . This holds for a cofinal set of  $j$ 's and consequently  $e$  is a maximal element in  $h(I)$ .  $\square$

Next we present a general construction which produces subalgebras which have sequential bounded approximate  $\varphi$ -means.

**Proposition 3.6.** *Let  $A$  be a Banach algebra with a bounded approximate 2-sided  $\varphi$ -mean (respectively, a bounded approximate  $\varphi$ -mean)  $(u_\gamma)_\gamma$ . Let  $X = \{x_1, x_2, \dots\}$  be any countable subset of  $A$ . Then there is a closed separable subalgebra  $A(X)$  of  $A$  which contains  $X$  and has a sequential bounded approximate 2-sided  $\varphi$ -mean (respectively, a sequential bounded approximate  $\varphi$ -mean)  $(u_{\gamma_n})_{\gamma_n}$  chosen from  $(u_\gamma)_\gamma$ .*

**Proof.** We shall only prove the 2-sided  $\varphi$ -mean case (the other one being easier). If we replace each element of  $X$  by any non-zero scalar multiple of itself we do not change  $A(X)$ , and we may therefore arrange for  $X$  to be bounded. Thus let  $C > 0$  be such that  $\|x_n\|, \|u_\gamma\| \leq C$  for all  $n, \gamma$ . We choose  $u_{\gamma_n}, n \in \mathbb{N}$ , inductively to satisfy

$$\|x_i u_{\gamma_n} - \varphi(x_i) u_{\gamma_n}\| \leq \frac{1}{n} \quad \text{and} \quad \|u_{\gamma_n} x_i - \varphi(x_i) u_{\gamma_n}\| \leq \frac{1}{n}$$

for  $1 \leq i \leq n$ , and

$$\|u_{\gamma_i} u_{\gamma_n} - u_{\gamma_n}\| \leq \frac{1}{n} \quad \text{and} \quad \|u_{\gamma_n} u_{\gamma_i} - u_{\gamma_n}\| \leq \frac{1}{n}$$

for  $1 \leq i < n$ . We take  $A(X)$  to be the closed linear span of  $X \cup \{u_{\gamma_1}, u_{\gamma_2}, \dots\}$ .

Now  $(u_{\gamma_n})_{\gamma_n}$  is a bounded approximate 2-sided  $\varphi$ -mean for  $A(X)$ . This requires a little argument, whereas the corresponding conclusion when dealing with bounded approximate identities is just a simple observation (see [4, Proposition 2.9.17]). Take any  $k$  elements  $a_1, \dots, a_k$  from  $X \cup \{u_{\gamma_1}, u_{\gamma_2}, \dots\}$  and let  $\epsilon > 0$ . Choose  $N$  so large that  $kC^{k-1}/N < \epsilon$  and that if  $n > N$ , then  $x_n$  and  $u_{\gamma_n}$  do not belong to  $\{a_1, \dots, a_k\}$ . Then, for  $n > N$ , we can estimate the norm

$$c_n := \|a_1 \dots a_k u_{\gamma_n} - \varphi(a_1 \dots a_k) u_{\gamma_n}\|$$

as follows:

$$\begin{aligned} c_n &\leq \sum_{j=1}^k \|a_1 \dots a_j \varphi(a_{j+1}) \dots \varphi(a_k) u_{\gamma_n} - a_1 \dots a_{j-1} \varphi(a_j) \dots \varphi(a_k) u_{\gamma_n}\| \\ &\leq \sum_{j=1}^k \|a_1\| \dots \|a_{j-1}\| \cdot |\varphi(a_{j+1})| \dots |\varphi(a_k)| \cdot \|a_j u_{\gamma_n} - \varphi(a_j) u_{\gamma_n}\| \\ &\leq \sum_{j=1}^k C^{j-1} C^{k-j} \frac{1}{n} = kC^{k-1} \frac{1}{n} \\ &< \epsilon. \end{aligned}$$

A parallel calculation deals with  $u_{\gamma_n} a_1 \dots a_k$ . Similar methods will allow us to treat finite linear combinations of products  $a_1 \dots a_k$ . We then have a bounded approximate 2-sided  $\varphi$ -mean for the algebra generated algebraically by  $X \cup \{u_{\gamma_n}; n \in \mathbb{N}\}$ . Standard arguments extend this to the norm closure, that is,  $A(X)$ .  $\square$

**Corollary 3.7.** *Let  $A$  be a separable Banach algebra and  $\varphi \in \Delta(A)$ . If  $A$  is  $\varphi$ -amenable, then there exists a sequential bounded approximate  $\varphi$ -mean.*

**Proof.** This follows from the preceding proposition simply by taking for  $X$  a countable dense subset of  $A$ .  $\square$

**Remark 3.8.** Note that even if  $A$  is an amenable Banach algebra, a closed subalgebra  $B$  of  $A$  need not be  $\varphi$ -amenable for all  $\varphi \in \Delta(B)$ . Indeed, Hochster [8] has constructed a 2-step solvable group  $G$  which contains a free subsemigroup  $S$  on two generators. Then  $l^1(G)$  is amenable [10], but  $l^1(S)$  is not  $\varphi$ -amenable, where  $\varphi$  is the homomorphism defined by  $\varphi(f) = \sum_{s \in S} f(s)$ ,  $f \in l^1(S)$ .

The algebra  $A(X)$  constructed in the proof of Proposition 3.6 is of course not unique, as it depends on the choice of  $(u_\gamma)_n$ . We now prove Theorem 3.4 in the following form.

**Theorem 3.9.** *Let  $A$  be weakly sequentially complete and Arens regular, and suppose that  $A$  has a 2-sided  $\varphi$ -mean  $m$ . Then  $m$  is unique and contained in  $A$ .*

**Proof.** We first consider the case in which the bounded approximate 2-sided  $\varphi$ -mean is sequential, say  $(u_n)_n$ . If  $m_1$  and  $m_2$  are both 2-sided  $\varphi$ -means, we have  $a \cdot m_2 = \varphi(a)m_2$  for all  $a \in A$ , and choosing a net in  $A$  converging  $w^*$  to  $m_1$ , we get  $m_1m_2 = \langle m_1, \varphi \rangle m_2 = m_2$ . In the same way, from  $m_1 \cdot a = \varphi(a)m_1$ , because  $A$  is Arens regular, we get that  $m_1m_2 = m_1$ . Thus  $m_1 = m_2$ , and in particular any two  $w^*$ -cluster points of  $(u_n)_n$  are equal. Since  $A$  is weakly sequentially complete, there exists a cluster point in  $A$  itself, which then is the unique 2-sided  $\varphi$ -mean.

Now let  $A$  be arbitrary. Take any countable subset  $X_1$  of  $A$  and form  $A(X_1)$  as in Proposition 3.6. Then  $A(X_1)$  is weakly sequentially complete and Arens regular and has a sequential bounded approximate 2-sided  $\varphi$ -mean. By the first part of the proof,  $A(X_1)$  has a unique 2-sided  $\varphi$ -mean,  $m_1$ . If  $m_1$  is a 2-sided  $\varphi$ -mean for  $A$ , we are finished. Otherwise we can find a countable subset  $X_2$  of  $A$  with  $m_1 \in X_2$  for which  $m_1$  is not a 2-sided  $\varphi$ -mean. Then  $A(X_2)$  contains a 2-sided  $\varphi$ -mean,  $m_2$  say. In particular,  $m_1m_2 = \langle m_1, \varphi \rangle m_2 = m_2$  and similarly  $m_2m_1 = m_2$ . Again, if  $m_2$  is a 2-sided  $\varphi$ -mean for  $A$ , we are finished. Otherwise, take  $X_3$  with  $m_1, m_2 \in X_3$  in order to find  $m_3$ , and so on. If this process stops we have found a 2-sided  $\varphi$ -mean in  $A$ . If it does not stop, we find a bounded infinite sequence  $(m_n)_n$  in  $A$  with the product  $m_k m_l = m_{\max\{k,l\}}$ . This is impossible by Proposition 3.5.  $\square$

#### 4. Invariant means on $F$ -algebras

Let  $A$  be an  $F$ -algebra. We now use the term *topologically left invariant mean* (TLIM) rather than  $\epsilon$ -mean of norm 1 (see Section 2). The purpose of this section is to prove the following theorem which was proved by Chou [3] for the Fourier algebra of a locally compact group.

**Theorem 4.1.** *Let  $A$  be a separable  $F$ -algebra which is  $\epsilon$ -amenable. Suppose that  $A^*$  contains a  $C^*$ -subalgebra  $B$  such that  $B$  is  $w^*$ -dense in  $A^*$  and  $m(B) = \{0\}$  for every  $\epsilon$ -mean  $m$ . Then there is a linear isometry  $\Theta$  from  $l^1(\mathbb{N})$  into  $A$  with the property that each  $m \in \Theta^{**}(\beta\mathbb{N} \setminus \mathbb{N})$  is an  $\epsilon$ -mean. In particular, if  $m_1, m_2 \in \Theta^{**}(\beta\mathbb{N} \setminus \mathbb{N})$  are distinct, then  $\|m_1 - m_2\| = 2$ .*

The proof of Theorem 4.1 will make substantial use of the following lemma.

**Lemma 4.2.** *Let  $A$  and  $B$  be as in Theorem 4.1.*

- (i) *If  $m$  is a TLIM on  $A^*$ , then  $\|m - a\| = 2$  for every  $a \in P_1(A, \epsilon)$ .*
- (ii) *If a net  $(u_\gamma)_\gamma$  is an approximate  $\epsilon$ -mean with  $\|u_\gamma\| = 1$  for all  $\gamma$ , then  $\lim_\gamma \|u_\gamma - a\| = 2$  for each  $a \in P_1(A, \epsilon)$ .*

**Proof.** (i) Since  $B$  is  $w^*$ -dense in  $A^*$ , by the Kaplansky density theorem [20, Chapter II, Theorem 4.8] the unit ball of  $B$  is  $w^*$ -dense in the unit ball of  $A^*$ . Consequently, the map  $r : A^{**} \rightarrow B^*$ ,  $m \rightarrow m|_B$  is a linear isometry of  $A^{**}$  into  $B^*$ . Choose a bounded approximate identity  $(e_\beta)_\beta$  in  $B$  such that  $e_\beta \geq 0$ ,  $\|e_\beta\| \leq 1$  and  $e_\beta \leq e_{\beta'}$  if  $\beta \leq \beta'$  (such a bounded approximate identity exists in every  $C^*$ -algebra). Let  $a \in P_1(A, \epsilon)$ . Then  $\|a\| = \lim_\beta \langle e_\beta, a \rangle$  and hence, given any  $\delta > 0$ , there exists  $\beta$  such that  $\langle e_\beta, a \rangle \geq \|a\| - \delta = 1 - \delta$ . Let  $g = 2e_\beta - \epsilon \in A^*$ . Now, if  $m$  is any  $\epsilon$ -mean, then

$$\langle a - m, g \rangle = \langle a - m, 2e_\beta - \epsilon \rangle = 2\langle a - m, e_\beta \rangle \geq 2(1 - \delta) - 2\langle m, e_\beta \rangle = 2 - 2\delta,$$

as  $\langle m, e_\beta \rangle = 0$ . So  $\|a - m\| \geq 2 - 2\delta$ , and since  $\delta > 0$  was arbitrary,  $\|a - m\| = 2$ .

(ii) If  $\|u_\gamma - a\|$  does not converge to 2, then by taking a subnet, we may assume that  $\|u_\gamma - a\| \leq 2 - \delta$  for all  $\gamma$  and some  $\delta > 0$ . Then  $\|m - a\| \leq 2 - \delta$  for every  $w^*$ -cluster point of  $(u_\gamma)_\gamma$ . This contradicts (i) since any such  $m$  is an  $\epsilon$ -mean.  $\square$

We now turn to the proof of Theorem 4.1. For  $a \in A$ , let  $s(a)$  denote the support of  $a$  in  $A^*$ , that is, the smallest projection  $p$  such that  $\langle p, a \rangle = \epsilon(a) = \|a\|$ .

If  $m$  is a positive linear functional of norm 1 on  $A^*$ , then there exists a net  $(u_\gamma)_\gamma$  in  $A$  such that  $u_\gamma \geq 0$ ,  $\|u_\gamma\| = 1$  (equivalently,  $u_\gamma \in P_1(A, \epsilon)$ ) and  $u_\gamma \rightarrow m$  in the  $w^*$ -topology. Thus  $w^*\text{-}\lim (au_\gamma - \epsilon(a)u_\gamma) = 0$  for every  $a \in A$ .

By an argument similar to the one in the proof of Proposition 3.6, we can find a sequence  $(u_{\gamma_n})_n$  such that  $\|au_{\gamma_n} - \epsilon(a)u_{\gamma_n}\| \rightarrow 0$  for all  $a \in A$ . By Lemma 4.2,  $\lim_{\gamma} \|u_{\gamma} - a\| = 2$  for all  $a \in P_1(A, \epsilon)$ . Using Theorem 2.4 of [3], we can find a subsequence  $(u_{\gamma_{n_j}})_j$  of  $(u_{\gamma_n})_n$  and a sequence  $(v_j)_j$  in  $P_1(A, \epsilon)$  such that

$$\|u_{\gamma_{n_j}} - v_j\| < \frac{1}{2^{j-1}}$$

for all  $j$  and  $s(v_j)s(v_k) = 0$  if  $j \neq k$ . Clearly,  $(v_j)_j$  is an approximate  $\epsilon$ -mean.

Let  $V = \{v_1, v_2, \dots\}$ . Since  $V$  is orthogonal,  $V$  is a linearly independent subset of  $A$ . Let

$$\Theta : \text{span}\{\delta_v : v \in V\} \rightarrow \text{span } V$$

be defined by

$$\Theta \left( \sum_{j=1}^n \lambda_j \delta_{v_j} \right) = \sum_{j=1}^n \lambda_j v_j.$$

Clearly,  $\|\Theta(\sum_{j=1}^n \lambda_j v_j)\| \leq \sum_{j=1}^n |\lambda_j|$ . On the other hand, if  $p_j = s(v_j)$ , then  $(p_j)_j$  is a sequence of pairwise orthogonal projections in  $A^*$ . Let  $M$  be the  $w^*$ -closure of the span of the  $p_j$ ,  $j \in \mathbb{N}$ . Then  $M$  is a commutative  $W^*$ -subalgebra of  $A^*$ . For each  $j$ , let  $\mu_j \in \mathbb{C}$  such that  $\mu_j \lambda_j = |\lambda_j|$ , and let  $q = \sum_{j=1}^n \mu_j p_j \in A^*$ . Then  $\|q\| = 1$ , and

$$\left\langle \Theta \left( \sum_{j=1}^n \lambda_j \delta_{v_j} \right), q \right\rangle = \sum_{j=1}^n |\lambda_j|,$$

and therefore

$$\left\| \Theta \left( \sum_{j=1}^n \lambda_j \delta_{v_j} \right) \right\| = \left\| \sum_{j=1}^n \lambda_j \delta_{v_j} \right\|.$$

Consequently,  $\Theta$  extends to a linear isometry, also denoted  $\Theta$ , from  $l^1(V)$  into  $A$ .

Finally, since each  $\eta \in \beta\mathbb{N} \setminus \mathbb{N}$  is a  $w^*$ -cluster point of  $(\delta_{v_j})_j$ , and  $\Theta^{**}$  is  $w^*$ -continuous, it follows that  $m = \Theta^{**}(\eta)$  is a  $w^*$ -cluster point of the sequence  $(v_j)_j$ . So for each  $w^*$ -neighbourhood  $U$  of  $m$ , there exists  $n_U \in \mathbb{N}$  such that  $v_{n_U} \in U$ . Let  $\mathcal{U}$  denote the set of all  $w^*$ -neighbourhoods of  $m$ . Then  $(v_{n_U})_U$  is a subnet of the sequence  $(v_j)_j$  and  $v_{n_U} \rightarrow m$  in the  $w^*$ -topology. Indeed, otherwise there exists  $N \in \mathbb{N}$  such that  $n_U \leq N$  for all  $U$ , which implies that  $m$  is an  $\epsilon$ -mean in  $A$ . However, since  $m|_B = 0$ , this is impossible. Clearly,  $m$  is an  $\epsilon$ -mean.

**Remark 4.3.** The above condition on the existence of  $B$  is satisfied when  $A = A(G)$  of a non-discrete locally compact group or when  $A = L^1(G)$  of a non-compact locally compact amenable group. In the first case,  $B$  can be taken to be the reduced group  $C^*$ -algebra  $C_r(G)$  (see [13]), whereas in the second case one can take  $B = C_0(G)$ .

### 5. Examples

In this final section we present two illustrative examples: algebras of Lipschitz functions on compact metric spaces and convolution algebras  $L^p(G)$  on a compact group  $G$ . In both cases, the relevant singletons in  $\Delta(A)$  are open. We therefore start by looking at how openness of  $\{\varphi\}$  and  $\varphi$ -amenability are related.

**Remark 5.1.** Let  $A$  be a Banach algebra and  $\varphi \in \Delta(A)$  and suppose that  $A$  is  $\varphi$ -amenable. For every  $\psi \in \Delta(A)$  such that  $\psi \neq \varphi$ , there exists  $a_\psi \in \ker \psi$  with  $\varphi(a_\psi) = 1$ . So, if  $m$  is a  $\varphi$ -mean, then  $\langle m, \varphi \rangle = 1$ , whereas

$$\langle m, \psi \rangle = \langle m, \psi \cdot a_\psi \rangle = \langle m, \psi(a_\psi)\psi \rangle = 0$$

for all  $\psi \neq \varphi$ . Hence  $\{\varphi\}$  is open in  $(\Delta(A), \text{weak})$ .

**Lemma 5.2.** Let  $A$  be a semisimple commutative Banach algebra. Let  $\varphi \in \Delta(A)$  and suppose that  $\{\varphi\}$  is open in  $\Delta(A)$ . Let  $a$  be the unique element of  $A$  with  $\varphi(a) = 1$  and  $\psi(a) = 0$  for all  $\psi \in \Delta(A) \setminus \{\varphi\}$ .

- (i) Then  $a$  is a  $\varphi$ -mean for  $A$  and it is the only one in  $A^{**}$ .
- (ii) If  $\|a\| = 1$ , then  $N(A, \varphi) = \{f \in A^* : \langle f, a \rangle = 0\}$ .

**Proof.** The existence of  $a$  follows from Shilov's idempotent theorem. However, in the present special situation it is easy to avoid such heavy machinery. To see this, let  $J$  be the closed ideal of  $A$  defined by

$$J = \{a \in A : \psi(a) = 0 \text{ for all } \psi \in \Delta(A) \setminus \{\varphi\}\}.$$

Since  $\{\varphi\}$  is open in  $(\Delta(A), w^*)$ ,  $\Delta(J) = \{\varphi|_J\}$  and hence  $J$  is 1-dimensional as  $A$  is semisimple. Of course,  $\ker \varphi + J = A$  and  $\ker \varphi \cap J = \{0\}$  since  $A$  is semisimple and  $\psi(\ker \varphi \cap J) = \{0\}$  for all  $\psi \in \Delta(A)$ . Thus  $A = \ker \varphi \oplus J$  and  $A^* = (\ker \varphi)^* \oplus \mathbb{C}\varphi$ .

Let  $a \in J$  such that  $\varphi(a) = 1$ . Then  $\psi(a) = 0$  for all  $\psi \in \Delta(A) \setminus \{\varphi\}$ , and  $a$  is the only element of  $A$  with these properties since  $A$  is semisimple.

(i) For each  $x \in A$ ,  $\varphi(xa) = \varphi(\varphi(x)a)$  and, for  $\psi \in \Delta(A) \setminus \{\varphi\}$ ,  $\psi(xa) = 0 = \psi(\varphi(x)a)$ . So  $xa = \varphi(x)a$  by semisimplicity and hence  $a$  is a  $\varphi$ -mean. Now, let  $m \in A^{**}$  be any  $\varphi$ -mean for  $A$ . Since  $A$  is commutative, every element of  $A$  commutes with every element of  $A^{**}$ . Thus

$$m = \varphi(a)m = am = ma = \varphi^{**}(m)a = \langle m, \varphi \rangle a = a.$$

So  $a$  is the only  $\varphi$ -mean for  $A$  in  $A^{**}$ .

(ii) Let  $\|a\| = 1$ . Since  $N(A, \varphi)$  is a proper linear subspace of  $A^*$ , by definition of  $N(A, \varphi)$  it suffices to show that for any  $f \in A^*$ ,  $\langle f, a \rangle = 0$  implies  $f \cdot a = 0$ . Now, every  $x \in A$  has a decomposition  $x = y + \lambda a$  with  $y \in \ker \varphi$  and  $\lambda \in \mathbb{C}$ . Since  $ay \in \ker \varphi \cap J = \{0\}$ , for  $f \in A^*$ ,

$$\langle f \cdot a, x \rangle = \langle f, ay \rangle + \lambda \langle f, a \rangle = \lambda \langle f, a \rangle.$$

So  $f \cdot a = 0$  whenever  $\langle f, a \rangle = 0$ .  $\square$

Since an amenable Banach algebra is  $\varphi$ -amenable for each  $\varphi \in \Delta(A)$ , Remark 5.1 generalizes Theorem 2.1 of [22]. If  $A$  is commutative and semisimple and the weak and weak\* topologies coincide on  $\Delta(A)$ , then by Remark 5.1 and Lemma 5.2(i),  $A$  is  $\varphi$ -amenable if and only if  $\{\varphi\}$  is open in  $\Delta(A)$ . The condition that the two topologies coincide, however, is quite restrictive. It is for instance satisfied if  $A$  is an ideal in  $A^{**}$  [22, Theorem 3.1].

**Example 5.3.** Let  $X$  be a compact metric space with metric  $d$  and let  $0 < \alpha \leq 1$ . Then  $\text{Lip}_\alpha X$  is the space of all complex-valued functions  $u$  on  $X$  such that

$$p_\alpha(u) = \sup \left\{ \frac{|u(x) - u(y)|}{d(x, y)^\alpha} : x, y \in X, x \neq y \right\}$$

is finite, and  $\text{lip}_\alpha X$  is the subspace of functions satisfying

$$\frac{|u(x) - u(y)|}{d(x, y)^\alpha} \rightarrow 0 \text{ as } d(x, y) \rightarrow 0.$$

With pointwise multiplication and the norm  $\|u\| = \|u\|_\infty + p_\alpha(u)$ ,  $\text{Lip}_\alpha X$  is a unital commutative Banach algebra and  $\text{lip}_\alpha X$  is a closed subalgebra. These algebras were first studied by Sherbert [19] and later by Bade, Curtis and Dales [1]. All facts relevant to us can now be found in Section 4.4 of the monograph [4].

We first treat  $\text{Lip}_\alpha X$ . The map  $x \rightarrow \varphi_x$ , where  $\varphi_x(u) = u(x)$  for  $u \in \text{Lip}_\alpha X$ , is a homeomorphism from  $X$  onto  $\Delta(\text{Lip}_\alpha X)$ . If  $x$  is a non-isolated point of  $X$ , then there exist non-zero continuous point derivations at  $\varphi_x$  and hence  $\text{Lip}_\alpha X$  is not  $\varphi_x$ -amenable (compare [11, Remark 2.4]). Now, let  $x$  be an isolated point of  $X$ . Then, by Lemma 5.2(i), there exists a unique  $\varphi_x$ -mean, namely the Dirac function  $\delta_x \in \text{Lip}_\alpha X$ . In view of Section 2 where the means are supposed to have norm 1, we point out that

$$\|\delta_x\| = 1 + p_\alpha(\delta_x) = 1 + \sup \left\{ \frac{1}{d(x, y)^\alpha} : y \neq x \right\},$$

which, depending on  $d$ , can be arbitrarily large.

In light of Lemma 5.2(ii) which shows that  $N(A, \varphi)$  is a linear subspace of codimension 1 if there exists a  $\varphi$ -mean of norm 1, it is interesting to note that  $N(\text{Lip}_\alpha X, \varphi_x) = \{0\}$  for any isolated point  $x$  of  $X$ . To see this, let  $f \in N(\text{Lip}_\alpha X, \varphi_x)$ . There exists a sequence  $(u_n)_n$  in  $\text{Lip}_\alpha X$  with  $u_n(x) = 1$  for all  $n$ ,  $\|u_n\| \rightarrow 1$  and  $f \cdot u_n \rightarrow 0$  in norm. It suffices to show that  $u_n \rightarrow 1$  in  $\text{Lip}_\alpha X$  because then  $f = f \cdot 1 = \lim_{n \rightarrow \infty} f \cdot u_n = 0$ . Since

$$1 + p_\alpha(u_n) = |u_n(x)| + p_\alpha(u_n) \leq \|u_n\| \rightarrow 1,$$

it follows that  $p_\alpha(u_n - 1) = p_\alpha(u_n) \rightarrow 0$ . Therefore it remains to verify that  $u_n \rightarrow 1$  uniformly on  $X$ . Since  $X$  is compact, there exists  $C > 0$  such that  $d(y, x)^\alpha \leq C$  for all  $y \in X$ . For  $y \neq x$  it follows that

$$|u_n(y) - 1| = |u_n(y) - u_n(x)| \leq C \cdot \frac{|u_n(y) - u_n(x)|}{d(y, x)^\alpha} \leq Cp_\alpha(u_n),$$

which tends to zero. So  $u_n \rightarrow 1$  uniformly on  $X \setminus \{x\}$  and hence on all of  $X$ .

We now turn to  $\text{lip}_\alpha X$ . Note that  $\text{lip}_1 X$  can be very small since for  $X$  a compact interval it consists only of the constant functions. In fact, if  $d(x, y) = |x - y|$ , then each  $u \in \text{lip}_1 X$  is differentiable with  $u' = 0$  on  $X$ . Thus, let  $0 < \alpha < 1$ . Then  $\text{Lip}_1 X$  is dense in  $\text{lip}_\alpha X$  and  $\Delta(\text{lip}_\alpha X)$  can be identified with  $X$  in the same manner as above. However, in contrast to  $\text{Lip}_\alpha X$ , all continuous point derivations on  $\text{lip}_\alpha X$  are zero. Nevertheless, for  $x \in X$ ,  $\text{lip}_\alpha X$  is also  $\varphi_x$ -amenable if and only if  $x$  is an isolated point of  $X$ . This follows from Lemma 5.1 and the remarkable result that  $(\text{lip}_\alpha X)^{**}$  is isometrically isomorphic to  $\text{Lip}_\alpha X$ . Indeed, this latter fact implies that the weak\* and the weak topologies coincide on  $\Delta(\text{lip}_\alpha X)$  since  $X$  is homeomorphic to both  $\Delta(\text{lip}_\alpha X)$  and  $\Delta(\text{Lip}_\alpha X)$ .

**Example 5.4.** Let  $G$  be a compact group with normalized Haar measure and consider the convolution algebra  $L^p(G)$ ,  $1 \leq p < \infty$ . Let  $\widehat{G}$  denote the set of all continuous homomorphisms from  $G$  into the circle group  $\mathbb{T}$ , equipped with the topology of uniform convergence. For  $\chi \in \widehat{G}$ , define  $\varphi_\chi : L^p(G) \rightarrow \mathbb{C}$  by  $\varphi_\chi(f) = \int_G f(x)\overline{\chi(x)} dx$ . It is routine to show that the map  $\chi \rightarrow \varphi_\chi$  is a homeomorphism from  $\widehat{G}$  onto  $\Delta(L^p(G))$ .

Let  $q = \frac{p}{p-1}$ . Fix  $\chi \in \widehat{G}$  and define  $m_\chi$  on  $L^q(G) = L^p(G)^*$  by

$$\langle m_\chi, g \rangle = \int_G g(x)\chi(x) dx, \quad g \in L^q(G).$$

Then  $\langle m_\chi, \varphi_\chi \rangle = \int_G |\chi(x)|^2 dx = 1$  and

$$\langle m_\chi, g \cdot f \rangle = \langle m_\chi, g * \check{f} \rangle = \int_G \int_G g(xy)f(y)\chi(x) dy dx = \int_G \int_G g(x)f(y)\chi(xy^{-1}) dx dy = \varphi_\chi(f)\langle m_\chi, g \rangle$$

for all  $g \in L^q(G)$  and  $f \in L^p(G)$ . Thus  $m_\chi$  is a  $\varphi_\chi$ -mean, and we claim that it is the only one. In this context, note that  $L^p(G)$  does not have a bounded approximate identity and hence Lemma 5.2(i) does not apply. So let  $m$  be a  $\varphi$ -mean and let

$$L = \{g - \widehat{g}(\overline{\chi})\overline{\chi} : g \in L^q(G)\}.$$

Then  $L = \ker m_\chi$  and, since  $g * \check{\chi} = \widehat{g}(\overline{\chi})\overline{\chi}$ , we also have

$$\langle m, g - \widehat{g}(\overline{\chi})\overline{\chi} \rangle = \langle m, \langle m, \varphi_\chi \rangle g - \widehat{g}(\overline{\chi})\overline{\chi} \rangle = \langle m, g * \check{\chi} - \widehat{g}(\overline{\chi})\overline{\chi} \rangle = 0$$

for all  $g \in L^q(G)$ . So  $m|_L = 0$  and since  $\langle m, \varphi_\chi \rangle = \langle m_\chi, \varphi_\chi \rangle$ , it follows that  $m = m_\chi$ .

We now determine  $P_1(L^p(G), \varphi_\chi)$ . If  $p = 1$ , then

$$P_1(L^1(G), \varphi_\chi) = \{f \in L^1(G) : f\overline{\chi} \geq 0, \|f\overline{\chi}\| = 1\}.$$

For every  $h \in L^1(G)$  and  $\chi \in \widehat{G}$ ,  $h\overline{\chi}$  can be written as a linear combination  $h\overline{\chi} = \sum_{j=1}^4 c_j h_j$ , where  $c_j \in \mathbb{C}$ ,  $h_j \geq 0$ , and  $\|h_j\| = 1$ ,  $1 \leq j \leq 4$ . Hence  $h = \sum_{j=1}^4 c_j h_j \chi$  and  $h_j \chi \in P_1(L^1(G), \varphi_\chi)$ . So  $P_1(L^1(G), \varphi_\chi)$  spans  $L^1(G)$ . Alternatively, we could appeal to the fact that  $L^1(G)$  is an  $F$ -algebra.

We claim that  $P_1(L^p(G), \varphi_\chi) = \{\chi\}$  whenever  $p > 1$ , so that  $P_1(L^p(G), \varphi_\chi)$  is as small as it can be in this case.

Suppose first that  $p \geq 2$ . Then  $L^p(G) \subseteq L^2(G)$  and hence, for  $f \in P_1(L^p(G), \varphi_\chi)$ ,

$$1 = \|f\|_p^2 \geq \|f\|_2^2 = \sum_{\eta \in \widehat{G}} |\widehat{f}(\eta)|^2 = 1 + \sum_{\eta \neq \chi} |\widehat{f}(\eta)|^2.$$

So  $\widehat{f}(\eta) = 0$  for  $\eta \neq \chi$  and hence  $f = \sum_{\eta \in \widehat{G}} \widehat{f}(\eta)\eta = \chi$  in  $L^2(G)$ .

Finally, let  $1 < p < 2$  and  $f \in L^p(G) \subseteq L^1(G)$ . Then, by the Hausdorff–Young inequality,  $\widehat{f} \in l^q(\widehat{G})$  and  $\|\widehat{f}\|_q \leq \|f\|_p$ . Thus, if  $f \in P_1(L^p(G), \varphi_\chi)$ , then

$$1 = \|f\|_p \geq \left( \sum_{\eta \in \widehat{G}} |\widehat{f}(\eta)|^q \right)^{1/q} = \left( 1 + \sum_{\eta \neq \chi} |\widehat{f}(\eta)|^q \right)^{1/q}.$$

Again,  $\widehat{f}(\eta) = 0$  for  $\eta \neq \chi$  and hence, since  $L^p(G) \subseteq L^1(G)$ ,  $f \in k(\widehat{G} \setminus \{\chi\}) = \mathbb{C}\chi$ . Then  $f = \chi$  since  $\widehat{f}(\chi) = 1$ .

We now determine  $N(L^p(G), \varphi_\chi)$ . If  $G$  is abelian, it follows easily from Lemma 5.2(ii) that

$$N(L^p(G), \varphi_\chi) = \{f \in L^q(G) : \widehat{f}(\overline{\chi}) = 0\}.$$

We show that the same description of  $N(L^p(G), \varphi_\chi)$  is true when  $G$  is an arbitrary compact group.

Observe first that, for  $f \in L^q(G)$  and  $\eta \in \widehat{G}$ , we have

$$\widehat{f \cdot \chi}(\eta) = \widehat{f * \overline{\chi}}(\eta) = \int_G \overline{\eta(x)} \left( \int_G f(xy)\chi(y) dy \right) dx = \int_G \int_G f(x)\overline{\eta(x)}\chi(y)\eta(y) dx dy = \widehat{f}(\eta) \int_G \chi(y)\eta(y) dy.$$

The orthogonality relations now imply that  $\widehat{f \cdot \chi} = 0$  whenever  $\widehat{f}(\overline{\chi}) = 0$ . Thus  $f \cdot \chi = 0$ , and since  $\varphi_\chi(\chi) = 1$  and  $\|\chi\|_p = 1$ , this shows that

$$\{f \in L^q(G) : \widehat{f}(\overline{\chi}) = 0\} \subseteq N(L^p(G), \varphi_\chi).$$

Conversely, let  $f \in N(L^p(G), \varphi_\chi)$  and let  $(g_n)_n$  be a sequence in  $L^p(G)$  with  $\|f \cdot g_n\| \rightarrow 0$  and  $\varphi_\chi(g_n) = 1$  for all  $n$ . Since

$$\begin{aligned} |\widehat{f}(\overline{\chi})| &= \left| \int_G f(x)\chi(x) dx \cdot \int_G g_n(y)\overline{\chi(y)} dy \right| = \left| \int_G \int_G f(xy)\check{g}_n(y^{-1})\chi(x) dy dx \right| \leq \left( \int_G \int_G f(xy)\check{g}_n(y^{-1}) dy \right)^q dx \Big)^{1/q} \\ &= \|f \cdot g_n\|_q, \end{aligned}$$

which tends to 0. It follows that  $\widehat{f}(\overline{\chi}) = 0$  and hence

$$N(L^p(G), \varphi_\chi) \subseteq \{f \in L^q(G) : \widehat{f}(\overline{\chi}) = 0\},$$

as required.

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