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A Gray code for the shelling types of the boundary of a hypercube

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ABSTRACT

We consider two shellings of the boundary of the hypercube equivalent if one can be transformed into the other by an isometry of the cube. We observe that a class of indecomposable permutations, bijectively equivalent to standard double occurrence words, may be used to encode one representative from each equivalence class of the shellings of the boundary of the hypercube. These permutations thus encode the shelling types of the boundary of the hypercube. We construct an adjacent transposition Gray code for this class of permutations. This construction can be implemented in constant amortized time. Our result is a signed variant of King's result showing that there is a transposition Gray code for indecomposable permutations.

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0. Introduction

As witnessed by the latest addition to Knuth's classic work [14], Gray codes are widely used in computer science to truly *enumerate* all words, *n*-tuples, and permutations of a given type. In particular, there is a significant amount of research devoted to finding Gray codes for classes of permutations, where two permutations are considered adjacent if they differ by an involution of some special kind. For a survey of some key results we refer the reader to Savage's paper [18, Section 11]. The simplest and most elegant result in this area is the Johnson–Trotter algorithm [12,20], providing an adjacent transposition Gray code for all permutations of a finite set.

The present work is motivated by King's recent paper [13], providing a transposition Gray code for the set of all indecomposable permutations of a finite set. The combinatorial interest in these permutations is long-standing; see Comtet [4, p. 261] and Stanley [19, Chapter 1, Exercise 32]. As shown by Ossona de Mendez and Rosenstiehl [16], they are bijectively equivalent to rooted hypermaps. A recent generalization of Dixon's famous result [7], stating that a random pair of permutations almost always generates a transitive group, is also related to enumerating indecomposable permutations; see Cori [5].

Our main result is an adjacent transposition Gray code for a signed variant of the indecomposable permutations, which we call *sign-connected permutations of the set* $\{\pm 1, \ldots, \pm n\}$. The importance of this signed variant is highlighted by the fact that our sign-connected permutations encode the shelling types of the boundary of the hypercube. Here we consider two shellings to be of the same type if they may be transformed into each other by an isometry of the underlying hypercube. Adjacent transpositions correspond to swapping adjacent entries in a list of facets.

We achieve our goal in two steps. First, we provide a very simple adjacent transposition Gray code for a larger class of permutations, which we call *standard permutations of the set* $\{\pm 1, \ldots, \pm n\}$. The simplicity of this code is comparable to the simplicity of the output of the Johnson–Trotter algorithm. The second step is to show the following, surprisingly simple statement: an adjacent transposition Gray code for the class of sign-connected permutations of $\{\pm 1, \ldots, \pm n\}$ may be obtained from the Gray code of all standard permutations by simply deleting those standard permutations of $\{\pm 1, \ldots, \pm n\}$

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which are not sign-connected. Although the statement is very simple, its proof requires a careful look at a special way of coding standard permutations of $\{\pm 1, \ldots, \pm n\}$. This coding is inspired by the place-based inversion tables, introduced for ordinary permutations in [10]. Our result justifies the hope that the same coding applied to ordinary permutations may help us find an adjacent transposition Gray code for indecomposable permutations, if such a Gray code exists.

Our standard permutations are bijectively equivalent to *standard double-occurrence words* with *n* symbols. As shown by Ossona de Mendez and Rosenstiehl [17], these words are bijectively equivalent to rooted maps with n - 1 edges, this result was later refined by Drake [8]. Standard double-occurrence words are also bijectively equivalent to fixed point free involutions, as defined by Cori [6]. Under these bijections, sign-connected standard permutations correspond to connected rooted maps and indecomposable fixed-point free involutions. Our Gray codes may be useful in the study of these related objects.

Our paper is structured as follows. In the Preliminaries, we gather a few basic facts on permutation Gray codes and shellings of the boundary of a hypercube that we will need. We also define sign-connected permutations of $\{\pm 1, \ldots, \pm n\}$. In Section 2, we introduce standard permutations of $\{\pm 1, \ldots, \pm n\}$ and show that the sign-connected standard permutations are bijectively equivalent to the shelling types of the boundary of an *n*-dimensional hypercube. The fact that standard sign-connected permutations correspond to standard double-occurrence words is shown in Section 3, where we also introduce arc diagrams that help visualize standard permutations of $\{\pm 1, \ldots, \pm n\}$. Essentially the same visualization was used by Drake [8]. Inspired by the place-based noninversion tables introduced in [10], we introduce a new encoding of our arc diagrams. It is this encoding that makes the definition of the simple Gray code for all standard permutations in Section 4 truly easy. Essential properties of this Gray code are explored in Section 5. Finally, our main result may be found in Section 6.

Our result highlights a connection between the study of hypermaps and the theory of shellings, which may be worth exploring further in the future. It also raises the hope that, by using a similar encoding to the one introduced in [10], one may be able to find an adjacent transposition Gray code for indecomposable permutations. Finally, although a large amount of literature exists on shelling and shellability, very little has been done to explore the set of all shellings of the same object. Our paper is among the first in this new direction.

1. Preliminaries

1.1. Gray codes for sets of permutations

Given a finite set *X* with *n* elements, we define a *permutation* π of *X* as a word $\pi(1) \cdots \pi(n)$ in which every element of *X* appears exactly once. We denote the set of all permutations of *X* by δ_X . Given any subset \mathcal{V} of δ_X , we consider the following graph on the vertex set \mathcal{V} : the unordered pair $\{\pi, \rho\} \subseteq \mathcal{V}$ is an edge if π and ρ differ by an adjacent transposition. An *adjacent transposition Gray code for* \mathcal{V} is a Hamiltonian path in the resulting graph. Obviously, not all sets of permutations have such a code.

The Johnson–Trotter algorithm generates an adjacent transposition Gray code on the set \mathscr{S}_X of all permutations of the set X. When $X = \{1, \ldots, n\}$, this algorithm recursively defines the Gray code as follows: set the initial permutation as $12 \cdots n$. Let the element n move from one end of the permutation to the other. Whenever, n reaches an end, the next step in the code on $\mathscr{S}_{[n-1]}$ is taken on the permutation consisting of the first n - 1 elements [12,20]. See Table 1 for the Gray code when n = 2 and n = 3.

Table 1									
The Gray code produced by the Johnson-Trotter algorithm for									
n = 2 and $n = 3$.									
Length	Code								
n = 2	12	21							
<i>n</i> = 3	123	132	312	321	231	213			

A permutation π of δ_n is connected if there is no m < n such that π sends $\{1, \ldots, m\}$ into $\{1, \ldots, m\}$. King found a *transposition Gray code* for such connected permutations [13], i.e., a Gray code for the graph whose vertices are connected permutations and whose edges connect permutations that differ by a (not necessarily adjacent) transposition. It is still open whether there is an adjacent transposition Gray code for connected permutations.

In this paper, we study the following signed variant of connected permutations.

Definition 1.1. We call a permutation π of $\{\pm 1, \ldots, \pm n\}$ *sign-connected* if and only if for all $1 \le m < 2n$ there is at least one $j \in \{1, \ldots, n\}$ such that $|\{\pi(1), \ldots, \pi(m)\} \cap \{-j, j\}| = 1$. If a permutation of $\{\pm 1, \ldots, \pm n\}$ is not sign-connected, then we call it *sign-disconnected*.

As we will see in Section 1.2, a subset of these permutations is identifiable with the shelling types of the boundary of a hypercube. We will show in Section 6 that there is an adjacent transposition Gray code for this subset.

1.2. Shellings of the boundary of the hypercube

We define the standard *n*-dimensional hypercube (n-cube) to be $[-1, 1]^n \subset \mathbb{R}^n$. As observed by Metropolis and Rota [15], each non-empty face of the standard hypercube may be denoted by a vector $(u_1, \ldots, u_n) \in \{-1, *, 1\}^n$, where $u_i = -1$ or $u_i = 1$ indicates that all points in the face have the *i*th coordinate equal to u_i ; whereas, $u_i = *$ indicates the *i*th coordinate of the points in the face range over the entire set [-1, 1]. Using this notation, the code for each facet of the boundary is of the form (u_1, \ldots, u_n) such that exactly one u_i belongs to $\{-1, 1\}$. Thus, we can encode each facet by a single number -k or k where $k \in \{1, \ldots, n\}$ is the unique index k such that $u_k = \pm 1$. We write -k or k when $u_k = -1$ or $u_k = 1$, respectively. Using this notation, we will identify each enumeration of the facets of the boundary of the *n*-cube with a permutation of the set $\{\pm 1, \ldots, \pm n\}$. This correspondence is a bijection.

A *shelling* is a particular way of listing the facets of the boundary of a polytope; see [21, Definition 8.1] for a definition. The fact that the boundary complex of any polytope is shellable was shown by Bruggeser and Mani [2]. A polytope is *cubical* if each of its proper faces is combinatorially equivalent to a cube. The boundary complex of a cubical polytope is a cubical complex; see Chan [3] for a definition of a cubical complex as the geometric realization of a cubical poset. The boundary complex of a cubical polytope is *pure*, meaning that all facets have the same dimension. Following Chan [3], we define a shelling of a (d - 1)-dimensional cubical complex as an enumeration (F_1, \ldots, F_r) of its facets in such a way that for all m > 1 the intersection $F_m \cap (F_1 \cup \cdots \cup F_{m-1})$ is a union of (d - 2)-faces homeomorphic to a ball or sphere. Such an intersection is a *cubical shelling component*, whose *type* is (i, j) if it is the union of *i* antipodally unpaired (d - 2)-faces and *j* pairs of antipodal (d - 2)-faces. The necessity of the condition in the following statement is obvious and used in Chan [3] without proof. Both implications are shown by Ehrenborg and Hetyei [9, Lemma 3.3].

Lemma 1.2 (Ehrenborg–Hetyei). The ordered pair (i, j) is the type of a shelling component in a shelling of a cubical (d - 1)-complex if and only if one of the following holds:

(i) i = 0 and j = d - 1 or (ii) 0 < i < d - 1 and $0 \le j \le d - 1 - i$.

Furthermore, in case (i), the shelling component is homeomorphic to a (d-2)-sphere, and in case (ii), the shelling component is homeomorphic to a (d-2)-ball.

As a consequence of Lemma 1.2, we may describe the shellings of the boundary complex of an *n*-cube in the following way.

Lemma 1.3. An enumeration (F_1, \ldots, F_{2n}) of the facets of the boundary complex of the n-cube is a shelling if and only if for each m < 2n, the set $\{F_1, \ldots, F_m\}$ contains at least one antipodally unpaired facet.

Proof. On the one hand, the cubical complex $F_1 \cup \cdots \cup F_m$ is shellable and (n-1)-dimensional, and as such it is homeomorphic to an (n-1)-ball or an (n-1)-sphere. Since the boundary complex $F_1 \cup \cdots \cup F_{2n}$ is an (n-1)-sphere, the proper subcomplex $F_1 \cup \cdots \cup F_m$ can only be an (n-1)-ball. By [9, Lemma 3.3] (see part (i) of Lemma 1.2 above), $F_1 \cup \cdots \cup F_m$ must contain at least one antipodally unpaired facet.

Conversely, assume that $F_1 \cup \cdots \cup F_m$ contains at least one antipodally unpaired facet for each m < 2n. In other words, each $F_1 \cup \cdots \cup F_m$ could be used as a shelling component in the shelling of an *n*-dimensional cubical complex, and its type (i_m, j_m) satisfies $i_m > 0$. Adding F_m to $F_1 \cup \cdots \cup F_{m-1}$ results either in introducing a new antipodally unpaired facet or F_m is the antipodal pair of a previously listed facet. In the first case, we have $i_m = i_{m-1} + 1$ and $j_m = j_{m-1}$, so $F_m \cap (F_1 \cup \cdots \cup F_{m-1})$ is a shelling component of type (i_m, j_m) . In the second case, we have $i_m = i_{m-1} - 1$ and $j_m = j_{m-1} + 1$, so $F_m \cap (F_1 \cup \cdots \cup F_{m-1})$ is a shelling component of type (i_m, j_m) . Finally, for m = 2n, $F_{2n} \cap (F_1 \cup \cdots \cup F_{2n-1})$ is a shelling component of type (0, n-1). \Box

For each initial segment of an enumeration of the facets of a shelling of the boundary of the *n*-cube, there is at least one antipodal pair of facets such that exactly one of the two facets belongs to the shelling component, i.e., the facet *k* is listed in the first *i* facets of the shelling but facet -k facet is not [9].

Corollary 1.4. A permutation of $\{\pm 1, \ldots, \pm n\}$ is sign-connected if and only if it represents a shelling of the facets of the hypercube. Similarly, a sign-disconnected permutation represents an enumeration of the facets that is not a shelling.

As a result of Corollary 1.4, the number of sign-connected permutations equals the number of shellings of the boundary of the *n*-cube, which differ by an isometry. Given any *n*, this number can be found by the recursive formula $a_n = (2n-1)!! - \sum_{k=1}^{n-1} (2k-1)!! \cdot a_{n-k}$. The sequence $\{a_n\}$ is sequence A000698 in the On-Line Encyclopedia of Integer Sequences [1].

2. Equivalence classes of the enumerations of the facets of the *n*-cube

The isometries of the *n*-cube permute its facets, inducing a B_n action on the enumerations of all facets of the boundary of the *n*-cube. This action is free, i.e., any nontrivial isometry takes each enumeration into a different enumeration.

Definition 2.1. We consider two enumerations of the facets of the *n*-cube equivalent if they can be transformed into each other by an isometry of the *n*-cube.

As noted in Section 1.2, every enumeration of the facets of the boundary of the *n*-cube can be identified with a signed permutation. The induced action of B_n on these signed permutations is generated by the following operations:

- 1. For each $k \in \{1, ..., n\}$ there is a reflection ε_k interchanging k with -k and leaving all other entries unchanged.
- 2. For each $\{i, j\} \subset \{1, ..., n\}$ there is a reflection $\rho_{i,j}$ interchanging *i* with *j* and -i with -j, leaving all other entries unchanged.

It is worth noting that we may also identify the elements of B_n with signed permutations in the usual way; the action of B_n we consider is then the action of B_n on itself, via conjugation. There exist $2^n \cdot n!$ symmetries of the *n*-cube [11]. Since the B_n action is free, all equivalence classes have the same cardinality, giving a total of $\frac{(2n)!}{2^n \cdot n!}$ equivalence classes.

Definition 2.2. A standard permutation is defined to be a permutation of $\{\pm 1, \ldots, \pm n\}$ such that the following two properties hold:

- 1. for all *i*, *i* occurs before -i in the list, and
- 2. the negative numbers in the list appear in the following order: $-1, \ldots, -n$.

Since there are 2^n ways to assign the negative sign to the pair k and -k and n! ways to order $\{-1, \ldots, -n\}$, we have a total of $\frac{(2n)!}{2^n,n!}$ standard permutations.

Lemma 2.3. Each equivalence class of the enumerations of the facets of an n-cube corresponds to exactly one standard permutation.

Proof. Since the number of equivalence classes and the number of standard permutations are the same, we need only show that every $\pi \in \delta_{\{\pm 1,...,\pm n\}}$ is equivalent to a standard permutation.

Pick any $\pi \in \mathscr{S}_{\{\pm 1,...,\pm n\}}$, and suppose Π is the equivalence class that contains π . Applying only reflections $\varepsilon_k \in B_n$, we may replace π with a $\pi' \in \Pi$ that satisfies condition (1) in Definition 2.2. Applying only reflections $\rho_{i,j} \in B_n$, we may replace π' with a $\pi'' \in \Pi$ that also satisfies condition (2) in Definition 2.2. Note that the application of an operator $\rho_{i,j}$ leaves the validity of condition (1) unchanged. \Box

The set of sign-connected permutations is closed under the action of B_n ; thus, we can think of equivalence classes of shellings as types of shellings. The following characterization of standard sign-connected permutations is an immediate consequence of Definitions 1.1 and 2.2.

Lemma 2.4. A standard permutation π of $\{\pm 1, \ldots, \pm n\}$ is sign-disconnected if and only if there exists an *i* such that $|\pi(j)| \le i$ for all $j \le 2i$ (and $|\pi(j)| \ge i + 1$ for all j > 2i).

Applying the definition of a standard permutation to our previous notion of a sign-connected permutation, we get the following characterization.

Lemma 2.5. A standard permutation π is sign-connected if and only if for all m < 2n, the sum $\pi(1) + \cdots + \pi(m)$ is positive.

Proof. By definition of a standard permutation, each *j* appears before -j; thus, $\pi(1) + \cdots + \pi(m) \ge 0$ for every $m \le 2n$. Equality occurs exactly when the set $\{\pi(1), \ldots, \pi(m)\}$ is the union of pairs of the form $\{j, -j\}$. Thus, the existence of an m < 2n satisfying $\pi(1) + \cdots + \pi(m) = 0$ is equivalent to the standard permutation being sign-disconnected. \Box

Remark 2.6. Note that the proof of the sufficiency part of Lemma 2.5 may be restated in the following, stronger from: π is sign-connected if for all m < 2n we have $\pi(1) + \cdots + \pi(m) \neq 0$.

3. Arc diagrams

A standard permutation $\pi \in \mathscr{S}_{\{\pm 1,...,\pm n\}}$ of the facets of the boundary of the *n*-cube can be visually represented by an arc diagram. The arc diagram is constructed as follows: put 2n vertices in a row; label them left to right with $\pi(1), \ldots, \pi(2n)$; then for each $k \in \{1, \ldots, n\}$, create an arc connecting the vertices labeled -k and k. See Fig. 1 for an example when n = 3.

The labels of the vertices in the arc diagram are uniquely determined by the underlying complete matching. This matching is represented by arcs whose right endpoints must be labeled left to right by $-1, \ldots, -n$, in this order, and the left endpoint is labeled *k* if its right endpoint is -k. Using these rules, we can uniquely reconstruct the standard permutation from its arc diagram. Thus, the arc diagram provides a one-to-one correspondence between standard permutations in $\mathscr{S}_{\{\pm 1,\ldots,\pm n\}}$ and complete matchings of a 2n element set.

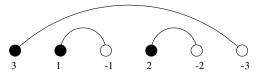


Fig. 1. The arc diagram associated to (3, 1, -1, 2, -2, -3).

Almost the same bijection appears in the work of Drake [8], the only difference being that Drake encodes complete matchings with *standard double occurrence words* in the letters 1, ..., n. A double occurrence word is a word in which each letter occurs exactly twice. Ossona de Mendez and Rosenstiehl [17] call a double occurrence word *standard* if the first occurrence of the letters happens in increasing order. (Note that Drake [8] omits the adjective 'standard,' but he adopts his terminology from [17], and the words he uses to encode complete matchings are the standard double occurrence words.)

Taking the *reverse complement* of such a double occurrence word and changing the second occurrence of each k to -k results in a standard permutation. This correspondence is a bijection. (As usual, the complement of a word in the letters 1, ..., n is the word obtained by replacing each letter i with n + 1 - i, and the reverse of a word $w_1 \cdots w_{2n}$ is the word $w_{2n} \cdots w_1$.) For example, the standard permutation (3, 1, -1, 2, -2, -3) corresponds to the same matching as the double occurrence word 122 331 in Drake [8].

Remark 3.1. Let us associate to each standard permutation π of $\{\pm 1, \ldots, \pm n\}$ the fixed-point-free involution $\hat{\pi}$ of $\{\pm 1, \ldots, \pm n\}$ that exchanges the elements $\pi^{-1}(-i)$ and $\pi^{-1}(i)$ for $i \in \{1, \ldots, n\}$. This correspondence is a bijection. Under this bijection, sign-connected standard permutations correspond to indecomposable fixed-point-free involutions, as defined by Cori [6].

For $k \in \{1, ..., n\}$, the definition of a standard permutation forces the vertex labeled k to be to the left of the vertex labeled -k in the arc diagram. Any arc diagram associated to a standard permutation can be encoded by a word $a_1 \cdots a_n$ as follows. Let a_n be the position of the left endpoint of the rightmost arc, that is, a_n is the position of the vertex labeled n. Remove the rightmost arc from the diagram, and repeat the process until all arcs are removed. We then obtain the word $a_1 \cdots a_n$.

Definition 3.2. We define the word $a_1 \cdots a_n$ described above to be the *encoding* of a standard permutation of $\{\pm 1, \ldots, \pm n\}$.

Equivalently, $a_1 = 1$, and for $1 < i \le n$, a_i is 1 plus of the number of elements proceeding *i* whose absolute value is less than *i*. As a consequence of our definition, $1 \le a_i \le 2i - 1$ holds for each a_i . Conversely, each word $a_1 \cdots a_n$ of this form corresponds to exactly one standard permutation as every such word will encode some arc diagram.

Example 3.3. $(3, 1, -1, 2, -2, -3) \cong 131$.

Definition 3.4. We will denote by $\sigma(a_1 \cdots a_n)$ the standard permutation encoded by $a_1 \cdots a_n$.

Lemma 3.5. A standard permutation $\sigma(a_1 \cdots a_n)$ is sign-disconnected if and only if there exists k with $k \ge 2$ such that $a_k = 2k - 1$ and $a_j \ge a_k$ for j > k.

Proof. Suppose $a_1 \cdots a_n$ encodes a sign-disconnected standard permutation $\pi(1) \cdots \pi(2n)$. By Lemma 2.4, there exists *i* such that $|\pi(j)| \ge i + 1$ for all j > 2i, meaning that in the associated arc diagram both ends of the (i + 1)st through *n*th arcs are located at vertex positions 2i + 1 or greater. Hence, $a_{i+1} = 2i + 1$ and $a_j \ge 2i + 1$ for j > i.

Conversely, let $a_1 \cdots a_n$ encode a standard permutation $\pi(1) \cdots \pi(2n)$. Suppose k is the smallest integer such that $k \ge 2$, $a_k = 2k - 1$, and $a_j \ge a_k$ for all j > k. In the associated arc diagram, the right endpoint of the (k - 1)st arc is located at vertex position 2k - 2. Since the right endpoint of any arc is labeled with the negative number of the antipodal pair, we get $\pi(2k - 2) = -(k - 1)$. Because $\pi(1) \cdots \pi(2n)$ is a standard permutation, we have $|\pi(j)| \le k - 1$ for all $j \le 2k - 2$. When $a_j \ge 2k - 1$ for all $j \ge k$, the endpoints of the *j*th through *n*th arcs in the associated arc diagram are located at position 2k - 1 or greater. Hence, $|\pi(j)| \ge k$ for all $j \ge 2k - 1$.

Clearly, a standard permutation is sign-disconnected if and only if a vertical line can be drawn between the first and last vertices of the associated arc diagram that does not intersect any of the arcs and that separates two adjacent vertices. In other words, the arc diagram is comprised of more than one component of overlapping arcs. Drake [8] noted that this occurs if there exists a vertex k with 1 < k < 2n that is not nested under any arc in the diagram. We will refer to the arc diagram of a sign-connected standard permutation as *connected* and to the arc diagram of a sign-disconnected standard permutation as *disconnected*. An arc diagram is connected exactly when it represents a connected matching as defined by Drake [8]. In terms of standard double occurrence words, connected arc diagrams correspond to the connected standard double occurrence words in the work of Ossona de Mendez and Rosenstiehl [17].

We call a word $a_1 \cdots a_n$ arc-connected if and only if the arc diagram of $\sigma(a_1 \cdots a_n)$ is connected; otherwise we call the word arc-disconnected.

Example 3.6. The arc diagram of (1, 2, -1, -2, 3, -3), shown in Fig. 2, is disconnected. This diagram is encoded as 125.

Corollary 3.7. A word is arc-disconnected if and only if there exists k such that $a_k = 2k - 1$ and $a_j \ge a_k$ for j > k. Similarly, a word is arc-connected if and only if no such k exists.

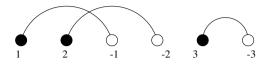


Fig. 2. The arc diagram associated to (1, 2, -1, -2, 3, -3).

Definition 3.8. A *minimal arc* will be defined to be a component of an arc diagram such that the component consists of exactly one arc.

For example, in the arc diagram of Example 3.6 (see Fig. 2), there is one minimal arc located at the rightmost end of the diagram. However, in the arc diagram of Example 3.3 (see Fig. 1), there is no minimal arc since the third arc stretches over the first two, i.e., $a_3 = 1$.

If two standard permutations differ by an adjacent transposition, the two associated arc diagrams will differ by exactly one swap of adjacent ends of two distinct arcs. There may be an interchange of two left ends or an interchange of one left and one right end; however, there will not be a swap of two right ends.

If $\sigma(a_1 \cdots a_n)$ and $\sigma(b_1 \cdots b_n)$ differ by an adjacent transposition, then there exists a unique *i* such that $b_i = a_i \pm 1$ and $b_j = a_j$ for all $j \neq i$. The converse is not true. For example, the word 122 is obtained from the word 112 by changing the second letter by 1, but the standard permutations $\sigma(112)$ and $\sigma(122)$ differ by a nonadjacent transposition (see Fig. 3).

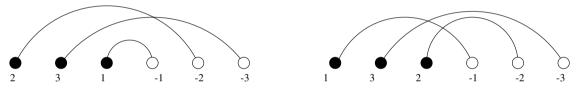


Fig. 3. The arc diagrams associated to $\sigma(112)$ and $\sigma(122)$.

However, the following weak converse is obviously true.

Lemma 3.9. If $a_1 \cdots a_n$ and $b_1 \cdots b_n$ satisfy $\sum_{i=1}^n |a_i - b_i| = 1$, then $\sigma(a_1 \cdots a_n)$ and $\sigma(b_1 \cdots b_n)$ differ by a single (not necessarily adjacent) transposition.

4. A Gray code for all standard permutations

In this section, we define a Gray code for the standard permutations of the set $\{\pm 1, \ldots, \pm n\}$ when $n \geq 1$. Because standard permutations can be written in the form $\sigma(a_1 \cdots a_n)$, we will first define a code on the words $a_1 \cdots a_n$, which we will call the *Gray code for words*. To get the Gray code for the standard permutations, we simply convert each word into its associated standard permutation.

We define our Gray code for words recursively as follows. For n = 1, we have only one word to list, namely 1. To write the Gray code for words of length n, start with $11 \dots 1$. Increase a_n by 1 to get the next word: $11 \dots 12$. Continue to increase a_n by 1 to get a list of codes. Stop increasing a_n once $a_n = 2n - 1$ (recall $1 \le a_i \le 2i - 1$ for any i). Now replace $a_1 \dots a_{n-1}$ with the next word in the Gray code for words of length n - 1 to get $11 \dots 12(2n - 1)$. Decrease a_n by 1 to get the next string of codes. When $a_n = 1$, replace $a_1 \dots a_{n-1}$ with the next word in the Gray code of length n - 1. Continue in this fashion until the Gray code terminates with $135 \dots (2n - 1)$. See Table 2 for the Gray code when n = 2 and n = 3.

Table 2 The Gray code for $n = 2$ and $n = 3$.									
Length	Code								
<i>n</i> = 2	11	12	13						
<i>n</i> = 3	111 125 131	112 124 132	113 123 133	114 122 134	115 121 135				

Theorem 4.1. *The enumeration defined above is an adjacent transposition Gray code for the standard permutations of the set* $\{\pm 1, \ldots, \pm n\}$.

Proof. We proceed by induction on *n*. Comparing two consecutive words $a_1 \cdots a_n$ and $b_1 \cdots b_n$, they differ in exactly one letter. We distinguish two cases, depending on whether this letter is the last letter of the word or some other letter.

Case 1: $a_n \neq b_n$. In this case, the first n - 1 arcs in the two associated arc diagrams remain stationary, and the *n*th arc moves to the right or to the left by one vertex position. This move switches the left end of the *n*th arc with an adjacent end of a different arc. As mentioned at the end of Section 3, switching adjacent ends of two arcs corresponds to an adjacent transposition on the associated signed permutation.

Case 2: $a_n = b_n$. This case occurs only when $a_n = 1$, corresponding to the *n*th arc stretching over the first n - 1 arcs, or when $a_n = 2n - 1$, meaning the *n*th arc is a minimal arc. In either situation, the *n*th arc will not affect whether or not the move produces an adjacent transposition in the associated standard permutations. By the recursive definition of the Gray code, we replace $a_1 \cdots a_{n-1}$ with the next word in the Gray code for words of length n - 1. By our induction hypothesis, consecutive words in the Gray code for words of length n - 1 correspond to standard permutations on $\{\pm 1, \ldots, \pm (n-1)\}$ that differ by an adjacent transposition. \Box

5. Properties of the full Gray code

The Gray code for the equivalence classes of the enumerations of the facets of the boundary of the *n*-cube, denoted by their standard permutations, will be referred to as the *full Gray code*. As seen in Section 4, this Gray code may be defined in terms of a list of standard permutations in the form $\sigma(a_1 \cdots a_n)$. Let $\underline{a} = a_1 \cdots a_n$. We introduce the notation $\tau(\underline{a})$ to represent the truncated word $a_1 \cdots a_{n-1}$, obtained by removing the last letter of \underline{a} .

Definition 5.1. A *run* in the Gray code is defined to be a maximal sublist of consecutive words such that $\tau(\underline{a})$ is identical for all \underline{a} in the sublist.

All words in a run form a sublist of codes corresponding to standard permutations differing by an adjacent transposition. In the run, only a_n changes, either increasing from 1 to 2n - 1 or decreasing from 2n - 1 to 1. A run is increasing if a_n increases to get each subsequent word in the run. If an increasing run is the *k*th run in the Gray code, then $k \equiv 1 \mod 2$. Hence, increasing runs will also be referred to as odd runs. A run is decreasing if a_n decreases to get each subsequent code in the run. If a decreasing run is the *k*th run in the Gray code, then $k \equiv 0 \mod 2$. Decreasing runs will also be referred to as even runs. Odd and even refer to the count of the run and not whether a_{n-1} is odd or even. For example, 1111 through 1117 is the first run in the Gray code for words of length 4, and $a_3 = 1$. However, 12 561 through 12 569 is the 37th run in the Gray code for words of length 5, and $a_4 = 6$.

Several properties of the Gray code follow directly from its definition:

- 1. Suppose $\sigma(\underline{b})$ immediately follows $\sigma(\underline{a})$ in the Gray code, and \underline{a} and \underline{b} are in different runs. If \underline{a} is in an odd (increasing) run, then \underline{b} is in an even (decreasing) run and $a_n = b_n = 2n 1$. If \underline{a} is in an even (decreasing) run, then \underline{b} is in an odd (increasing) run and $a_n = b_n = 1$.
- 2. In every run, there is at least one arc-disconnected word (when $a_n = 2n 1$) and there are at least two arc-connected words (when $a_n = 1$ and 2).
- 3. There are (2n 3)!! runs in the Gray code where each word that encodes a standard permutation has length *n*.

Lemma 5.2. If $\sigma(\underline{a})$ is the mth standard permutation in the Gray code, then $(n-1) + \sum_{i=1}^{n} a_i \equiv m \mod 2$.

Proof. We will use induction on *m*. If m = 1, then $a_1 = a_2 = \cdots = a_n = 1$ and $(n-1) + \sum_{i=1}^n a_i = 2n-1 \equiv 1 = m \mod 2$. Assume the statement is true for the *m*th standard permutation $\sigma(\underline{a})$, and let \underline{b} be the word which encodes the (m + 1)st standard permutation in the Gray code. As noted above, there exists a unique *i* such that $b_i = a_i \pm 1$ and $b_j = a_j$ for all $j \neq i$. Hence the parity of $(n - 1) + \sum_{i=1}^n b_i$ is the opposite of the parity of $(n - 1) + \sum_{i=1}^n a_i$; that is, $(n - 1) + \sum_{i=1}^n b_i \equiv m + 1 \mod 2$. \Box

Corollary 5.3. \underline{a} is in an increasing run if and only if $a_1 + a_2 + \cdots + a_{n-1} + (n-2)$ is odd; otherwise \underline{a} is in a decreasing run.

Lemma 5.4. Suppose that $\sigma(\underline{a})$ is immediately followed by $\sigma(\underline{b})$ in the full Gray code. Let *i* be the unique index such that $b_i = a_i \pm 1$ and $b_j = a_i$ for all $j \neq i$. If i < n, then either $a_k = 1$ for all k > i or $a_k = 2k - 1$ for all k > i.

Proof. By the recursive nature of the Gray code, for each k > i we must have either $a_k = 1$ or $a_k = 2k - 1$. We would like to show that in a single word we cannot have both $a_k = 1$ and $a_j = 2j - 1$ for some k and j both exceeding i. If there is such a change, then there is a least k exceeding i such that exactly one of a_k and a_{k+1} is equal to one. Since $a_j = b_j$ for $j \ge k + 2$, the word $b_1 \cdots b_{k+1}$ immediately follows $a_1 \cdots a_{k+1}$ in the full Gray code for words of length k + 1. By the recursive nature of our Gray code, we may assume k = n - 1. We will show by way of contradiction the impossibility of the case when $a_n = 2n - 1$ and $a_{n-1} = 1$. The case when $a_{n-1} = 2n - 3$ and $a_n = 1$ is analogous.

Since $a_n = b_n = 2n - 1$, \underline{a} is in an increasing run. By Corollary 5.3, $a_1 + \cdots + a_{n-1} + (n-2) \equiv 1 \mod 2$. Thus, $a_1 + \cdots + a_{n-2} + (n-3) \equiv 1 \mod 2$, which means $\tau(\underline{a})$ is in an increasing run in the Gray code for words of length n - 1. Since $a_{n-1} = 1$, we must have $b_{n-1} = 2$, in contradiction with i < n - 1. \Box

Corollary 5.5. If $\underline{a} = a_1 \cdots a_k 1 \cdots 1$ and the next word in the Gray code for words is $a_1 \cdots a'_k 1 \cdots 1$, then $a'_k = a_k + 1$ exactly when a_k is even, and $a'_k = a_k - 1$ exactly when a_k is odd. Similarly, if $\underline{a} = a_1 \cdots a_k (2k + 1) \cdots (2n - 1)$ and the next word in the Gray code for words is $a_1 \cdots a'_k (2k + 1) \cdots (2n - 1)$, then $a'_k = a_k + 1$ exactly when a_k is odd, and $a'_k = a_k - 1$ exactly when a_k is even.

Lemma 5.2 has an additional consequence.

Corollary 5.6. Under the conditions of Lemma 5.4, if $\underline{a} = a_1 \cdots a_k 1 \cdots 1$, then $a_1 + \cdots + a_k + (k - 1) = 0 \mod 2$. If $\underline{a} = a_1 \cdots a_k (2k + 1) \cdots (2n - 1)$, then $a_1 + \cdots + a_k + (k - 1) = 1 \mod 2$.

Lemma 5.7. In each run in the Gray code for words of length n, there exists k such that $a_n \le 2k - 2$ if and only if \underline{a} is arc-connected, and if $a_n \ge 2k - 1$, \underline{a} is arc-disconnected. This k is the least index $k' \le n - 1$ such that $a_j \ge 2k' - 1$ holds for all $j \in \{k', k' + 1, ..., n - 1\}$ if such an index exists; otherwise k = n.

Proof. Consider a run in the Gray code for words of length *n*. All words in the run have the same truncated word $\tau(\underline{a}) = a_1 \cdots a_{n-1}$.

Case 1: $\tau(\underline{a})$ is arc-connected. Applying Corollary 3.7 to $\tau(\underline{a})$, we see that there is no $k' \le n - 1$ such that $a_j \ge 2k' - 1$ holds for all $j \in \{k', k' + 1, ..., n - 1\}$. By the same Corollary 3.7, \underline{a} is arc-disconnected if and only if there exists a k such that $a_k = 2k - 1$ and $a_j \ge a_k = 2k - 1$ for all j > k. Since $a_1 \cdots a_{n-1}$ is arc-connected, the only such possibility for k is n. Thus, $a_1 \cdots a_n$ is arc-disconnected if and only if $a_k = a_n = 2n - 1$.

Case 2: $\tau(\underline{a})$ is arc-disconnected. Applying Corollary 3.7 to $\tau(\underline{a})$ yields a smallest $k' \leq n-1$ such that $a_{k'} = 2k'-1$ and $a_j \geq 2k'-1$ for k' < j < n. Clearly, if $a_n \geq 2k'-1$, then \underline{a} is arc-disconnected. We are left to show that \underline{a} is arc-connected whenever $a_n \leq 2k'-2$. If \underline{a} is arc-disconnected for some $a_n \leq 2k'-2$, then by Corollary 3.7, there is a k'' such that $a_j \geq 2k''-1$ holds for all $j \geq k''$. By the minimality of k', we must have $k'' \geq k'$. On the other hand, $a_n \geq 2k''-1$ and $a_n \leq 2k'-2$ imply k'' < k', a contradiction. \Box

Lemma 5.8. If k is defined as in Lemma 5.7, then $\sigma(a_1 \cdots a_{k-1})$ is the first sign-connected component of the standard permutation $\sigma(a_1 \cdots a_{n-1})$.

Proof. Assume there is a least index $k' \le n - 1$ such that $a_j \ge 2k' - 1$ holds for all $j \in \{k', k' + 1, ..., n - 1\}$. In this case, k = k' and, by Corollary 3.7, the standard permutation $\sigma(a_1 \cdots a_{k-1})$ is sign-connected. Since $a_j \ge 2k - 1$ holds for $j \in \{k, ..., n - 1\}$, the left endpoints of the corresponding arcs are all to the right of the arcs associated to $\sigma(a_1 \cdots a_{k-1})$. Therefore, $\sigma(a_1 \cdots a_{k-1})$ is the first sign-connected component of $\sigma(\tau(a))$.

We are left with the case when there is no $k' \le n-1$ satisfying $a_j \ge 2k'-1$ for all $j \in \{k', k'+1, ..., n-1\}$. In this case, k = n, and Corollary 3.7 implies $\sigma(\tau(\underline{a}))$ is sign-connected. \Box

Lemma 5.9. Suppose \underline{a} is immediately followed by \underline{b} in the full Gray code for words, and let k be the unique index such that $b_k \neq a_k$. If $b_k = a_k + 1$ and \underline{a} is arc-disconnected, then \underline{b} is arc-disconnected, and if $b_k = a_k - 1$ and \underline{a} is arc-connected, then \underline{b} is arc-connected.

Proof. Consider first the case when k = n. In this case, \underline{a} and \underline{b} belong to the same run, and the statement follows from Lemma 5.7. We are left to consider the case when $k \neq n$. In this case, Lemma 5.4 tells us that either $a_i = 1$ holds for all i > k or $a_i = 2i - 1$ holds for all i > k. By Lemma 5.7, \underline{a} is arc-connected exactly when $a_i = 1$ holds for all i > k, and \underline{a} is arc-disconnected exactly when $a_i = 2i - 1$ holds for all i > k. The same characterization also applies to \underline{b} since $a_i = b_i$ for all i > k. \Box

6. Restricting the Gray code to the shelling types of the *n*-cube

Our goal is to define a Gray code for the facet enumerations of the boundary of the *n*-cube, restricted to shelling types. This is equivalent to finding a Gray code for the arc-connected words $a_1 \cdots a_n$ since arc-connected words encode sign-connected standard permutations which in turn represent shellings of the boundary of the *n*-cube.

In this section, we will show our main result stating that the sublist obtained by removing all arc-disconnected words from the full Gray code of words from Section 4 yields a Gray code for the sign-connected standard permutations. This sublist of the standard permutations will be referred to as the *connected Gray code*. We show our main result in two stages. First we prove the following, weaker statement.

Theorem 6.1. If \underline{a} and \underline{b} are arc-connected, but every code listed between these two words in the full Gray code for words is arc-disconnected, then $\sigma(\underline{a})$ and $\sigma(\underline{b})$ differ by a single transposition.

Theorem 6.1 is an immediate consequence of Lemma 3.9 and of the following statement.

Proposition 6.2. If \underline{a} and \underline{b} are as in Theorem 6.1, then there is a unique k < n such that $a_k \neq b_k$. Furthermore, $a_n = b_n = 2i - 2$ holds for some $i \in \{2, ..., n\}$, satisfying $a_i = b_i = 2i - 1$ and $a_i, b_i \ge 2i - 1$ for all $j \in \{i, ..., n-1\}$.

Proof. Without loss of generality we may assume that \underline{b} follows \underline{a} in the full Gray code for words and that there is at least one arc-disconnected word between them. By Lemma 5.7, \underline{a} cannot be at the end of a run; by Lemma 5.9, \underline{a} must be in an increasing run. Thus, $a_1 \cdots a_{n-1}a_n$ is arc-connected, and the next consecutive word in the Gray code of words is $a_1 \cdots a_{n-1}a'_n$, where $a'_n = a_n + 1$. This word is arc-disconnected as are all the remaining codes in the run up to and including $a_1 \cdots a_{n-1}(2n-1)$.

The next run in the Gray code for words starts with $c_1 \cdots c_{n-1}(2n-1)$, which is arc-disconnected. This run decreases down to $c_1 \cdots c_{n-1} 1$, an arc-connected code. We must have $c_1 \cdots c_{n-1} = b_1 \cdots b_{n-1}$ since $b_1 \cdots b_n$ is the first arc-connected code following \underline{a} . Thus, the next run in the Gray code of words actually starts with $b_1 \cdots b_{n-1}(2n-1)$, and the subsequent codes in the run, down to $b_1 \cdots b_{n-1}(b_n + 1)$, are arc-disconnected.

By the construction of the Gray code, $\tau(\underline{a})$ and $\tau(\underline{b})$ are consecutive words in the full Gray code for words of length n-1; thus, there is exactly one k < n such that $b_k = a_k \pm 1$. By Lemma 5.4, $\tau(\underline{a})$ has either the form $a_1 \cdots a_k 1 \cdots 1$ or the form $a_1 \cdots a_k (2k+1) \cdots (2n-3)$.

Case 1: k < n - 1, and $\tau(\underline{a}) = a_1 \cdots a_k 1 \cdots 1$ where $a_k \neq 1$. By assumption, $b_k = a_k \pm 1$. Thus, both $\tau(\underline{a})$ and $\tau(\underline{b})$ are arc-connected, and by Lemma 5.8, we must have $a_n = 2n - 2 = b_n$.

Case 2: k < n - 1, and $\tau(\underline{a}) = a_1 \cdots a_k(2k+1) \cdots (2n-3)$ where $a_k \neq 2k - 1$. By Corollary 3.7, $\tau(\underline{a})$ is arc-disconnected, so there must exist an $i \leq k + 1$ such that $a_i = 2i - 1$ and $a_j \geq 2i - 1$ for i < j < n. Let us choose *i* to be the smallest index with this property. By Lemma 5.7, the word $a_1 \cdots a_k(2k+1) \cdots (2n-3)(2i-2)$ is arc-connected, but the rest of the codes in the run, $a_1 \cdots a_k(2k+1) \cdots (2n-3)(2i-1)$ through $a_1 \cdots a_k(2k+1) \cdots (2n-3)(2n-1)$, are arc-disconnected. Thus, $a_n = 2i - 2$.

Since \underline{b} is in a decreasing run immediately following the run in which \underline{a} is contained, the run of \underline{b} starts with $b_1 \cdots b_k (2k + 1) \cdots (2n-3)(2n-1)$ where $b_k = a_k \pm 1$. By Corollary 5.5, if $b_k = a_k + 1$, then a_k is odd, and if $b_k = a_k - 1$, then a_k is even. Since $i \neq k$ and $i \leq k + 1$, we have two subcases:

Case 2a: i = k + 1. In this case, $a_1 \cdots a_k$ is arc-connected and $a_n = 2i - 2 = 2k$. We claim that $b_1 \cdots b_k$ is also arc-connected. This is an immediate consequence of Lemma 5.9 when $b_k = a_k - 1$. If $b_k = a_k + 1$, then b_k is even and $b_1 \cdots b_k$ is arc-connected because, by Lemma 5.7, the first arc-disconnected code in an increasing run ends with an odd letter. Thus, both $\underline{a} = a_1 \cdots a_k (2k + 1) \cdots (2n - 3)(2k)$ and $b_1 \cdots b_k (2k + 1) \cdots (2n - 3)(2k)$ are arc-connected codes, and there are only arc-disconnected codes between these two words in the full Gray code for words. Therefore $b_n = 2k$.

Case 2b: i < k. In this case, $a_1 \cdots a_{i-1}$ is arc-connected and $2i-1 \le a_k < 2k-1$. By Corollary 3.7, $a_1 \ldots a_k$ is arc-disconnected and, by Lemma 5.8, the first sign-connected component of $\sigma(a_1 \cdots a_k)$ is $\sigma(a_1 \cdots a_{i-1})$. We claim that $b_1 \cdots b_k$ is also arc-disconnected. This is an immediate consequence of Lemma 5.9 when $b_k = a_k + 1$. If $b_k = a_k - 1$, then a_k is even and $b_1 \cdots b_k$ is arc-disconnected. Because a_k is strictly greater than 2i - 1, we get that $b_k \ge 2i - 1$.

Since $b_1 \cdots b_m = a_1 \cdots a_m$ for any *m* strictly between *i* and *k* and $\sigma(a_1 \cdots a_{i-1})$ is the first sign-connected component of $\sigma(a_1 \cdots a_k)$, then $\sigma(b_1 \cdots b_{i-1})$ is the first sign-connected component of $\sigma(b_1 \cdots b_k)$. Hence, both $a_1 \cdots a_i \cdots a_k(2k + 1) \cdots (2n - 3)(2i - 2)$ and $b_1 \cdots b_i \cdots b_k(2k + 1) \cdots (2n - 3)(2i - 2)$ are arc-connected codes such that there are only arc-disconnected words between them in the full Gray code for words. Thus, $b_n = 2i - 2$.

Case 3: k = n - 1 (namely, $b_{n-1} = a_{n-1} \pm 1$). By Lemma 5.7, there exists *i* such that $a_1 \cdots a_{n-1}(2i-2)$ is arc-connected but $a_1 \cdots a_{n-1}(2i-1)$ is arc-disconnected. If $\tau(\underline{a})$ and $\tau(\underline{b})$ are both arc-connected, this is a degenerate case of case 2a with i = k + 1 = n and $a_n = b_n = 2n - 2$. If $\tau(\underline{a})$ and $\tau(\underline{b})$ are both arc-disconnected, we have a degenerate case of case 2b with k = n - 1, i < k and $a_n = b_n = 2i - 2$. We are left to consider the case when $\tau(\underline{a})$ is arc-connected but $\tau(\underline{b})$ is arc-disconnected but $\tau(\underline{b})$ is arc-disconnected but $\tau(\underline{b})$ is arc-disconnected but $\tau(\underline{b})$ is arc-connected but $\tau(\underline{b})$ is arc-disconnected but $\tau(\underline{b})$ is arc-disconnected but $\tau(\underline{b})$ is arc-disconnected. We will show by way of contradiction that neither of these cases can occur.

Assume first that $\tau(\underline{a})$ is arc-connected but is immediately followed by the arc-disconnected word $\tau(\underline{b})$ in the Gray code for words of length n - 1. By Lemma 5.7, $\tau(\underline{a}) = a_1 \cdots a_{n-2}(2i-2)$ for some *i*. Since \underline{a} is in an increasing run, Corollary 5.3 gives $a_1 + \cdots + a_{n-2} + (2i-2) + (n-2) \equiv 1 \mod 2$. Thus, $a_1 + \cdots + a_{n-2} + (n-3) \equiv 0 \mod 2$, so $\tau(\underline{a})$ is in a decreasing run in the Gray code of length n - 1. Hence, $b_{n-1} = a_{n-1} - 1 = 2i - 3$. By Lemma 5.9, $\tau(b)$ is arc-connected, a contradiction.

Assume finally that $\tau(\underline{a})$ is arc-disconnected but is immediately followed by the arc-connected word in $\tau(\underline{b})$ the Gray code for words of length n-1. By Lemma 5.7, $\tau(\underline{a}) = a_1 \cdots a_{n-2}(2i-1)$ for some *i*. Since \underline{a} is in an increasing run, Corollary 5.3 gives $a_1 + \cdots + a_{n-2} + (2i-1) + (n-2) \equiv 1 \mod 2$. Thus, $a_1 + \cdots + a_{n-2} + (n-3) \equiv 1 \mod 2$, so $\tau(\underline{a})$ is in an increasing run in the Gray code for words of length n-1. Hence, $b_{n-1} = a_{n-1} + 1 = 2i$. By Lemma 5.9, $\tau(\underline{b})$ is arc-disconnected, a contradiction. \Box

A second look at the proof of Proposition 6.2 allows us to prove our main result.

Theorem 6.3. If \underline{a} and \underline{b} are arc-connected, but every code listed between these two words in the full Gray code for words is arc-disconnected, then $\sigma(\underline{a})$ and $\sigma(\underline{b})$ differ by an adjacent transposition.

Proof. We make the same assumptions as in the proof of Proposition 6.2, review the same cases, and consider the associated arc diagrams.

In case 1, $a_j = b_j = 1$ for k < j < n implies that the first k arcs are under n - k - 1 nested arcs. An arc stretching over all previous arcs will not change how any two ends of the previous arcs are interchanged since that move occurs completely under the arcs. The *n*th arc ($a_n = 2n - 2$) will only overlap the (n - 1)st arc, meaning that this last arc will not affect

how the first *k* arcs change when we make the move associated to changing from \underline{a} to \underline{b} . By the recursive definition of the full Gray code, we know that $\sigma(a_1 \cdots a_k)$ and $\sigma(b_1 \cdots b_k)$ differ by an adjacent transposition. Hence the arc diagrams encoded by $a_1 \cdots a_k$ and $b_1 \cdots b_k$ differ by exactly two adjacent ends of two distinct arcs swapping positions, and since we already know that the (k + 1)st through *n*th arcs will not affect that swap, we get that $\underline{a} = a_1 \cdots a_k 1 \cdots 1(2n - 2)$ and $\underline{b} = b_1 \cdots b_k 1 \cdots 1(2n - 2)$ encode two standard permutations that differ by an adjacent transposition.

In case 2a, in the arc diagram of $\sigma(\underline{a}) = \sigma(a_1 \cdots a_k(2k+1) \cdots (2n-3)(2k))$, the arcs of $\sigma(a_1 \cdots a_k)$ form the first component of $\sigma(\tau(\underline{a}))$, which is followed by n-k-1 minimal arcs (see Section 3 for a definition). Then the *n*th arc stretches over the minimal arcs to intersect only the *k*th arc of the first component of $\sigma(\tau(\underline{a}))$. Thus, the (k+1)st through *n*th arcs will not affect any moves among the first *k* arcs. Since $\sigma(a_1 \cdots a_k)$ and $\sigma(b_1 \cdots b_k)$ must differ by an adjacent transposition, the recursive construction of the full Gray code guarantees that $\sigma(a)$ and $\sigma(b)$ will also differ by an adjacent transposition.

In case 2b, the arcs of $\sigma(a_1 \cdots a_{j-1})$ form the first connected component of the arc diagram of $\sigma(\tau(\underline{a}))$; the (k + 1)st through (n - 1)st arcs are minimal arcs located at the right end of the diagram; and the *n*th arc stretches over the second through last component of the arc diagram, intersecting only the (j - 1)st arc of the first connected component. Thus, the (k + 1)st through *n*th arcs will not affect any changes occurring in the first *k* arcs. The recursive construction of the full Gray code ensures that $\sigma(\underline{a})$ and $\sigma(\underline{b})$ will differ by an adjacent transposition since $\sigma(a_1 \cdots a_k)$ and $\sigma(b_1 \cdots b_k)$ differ by an adjacent transposition.

In case 3, we have shown that we can only face a degenerate case of case 2a or 2b. In the degenerate case of 2a, the number of minimal arcs to the right of the first connected component of the arc diagram of $\sigma(\tau(\underline{a}))$ is zero (since $\tau(\underline{a})$ is arc-connected). This does not change the conclusion of the argument. The analysis of the degenerate case of 2b is similarly easy and left to the reader. \Box

If we look at the list of words encoding the standard permutations of the connected Gray code, then each run starts with $a_n = 1$ and ends with $a_n = 2i-2$ for some *i* (or vice versa). The proof of Proposition 6.2 allows us to describe the relationship between any arc-connected word <u>a</u> and the word <u>b</u> immediately following it in the connected Gray code. We see that when <u>a</u> and <u>b</u> are in different runs of the full Gray code for words, <u>a</u> and <u>b</u> have the following properties:

1. $\sigma(\underline{a})$ and $\sigma(\underline{b})$ differ by an adjacent transposition;

- 2. $a_n = b_n$;
- 3. if $a_n = b_n = 2i 1$ for some i > 1, then $\tau(\underline{a})$ and $\tau(\underline{b})$ are either both arc-connected or both arc-disconnected;
- 4. if $a_n = b_n = 2i 1$ for some i > 1, both $\tau(\underline{a})$ and $\tau(\underline{b})$ are arc-disconnected, and $\sigma(a_1 \cdots a_k)$ is the first sign-connected component of $\sigma(\tau(\underline{a}))$; then the first sign-connected component of $\sigma(\tau(\underline{b}))$ is $\sigma(b_1 \cdots b_k)$.

As an immediate consequence of Theorem 6.3, the sublist of the full Gray code obtained by simply removing all of the sign-disconnected standard permutations is also a Gray code. Hence, by working with the words that encode standard permutations, we have found a Gray code, namely the connected Gray code, for the standard permutations that represent the shelling types of the facets of the boundary of the *n*-cube.

Theorem 6.4. The connected Gray code can be generated in constant amortized time.

Proof. Obviously, the cost to generate the full Gray code is $\mathcal{O}((2n-1)!!)$, and the number of words in the code is (2n-1)!!. It is not difficult to show that the number of arc-connected words is also $\mathcal{O}((2n-1)!!)$. Thus we need only to show that the cost to skip the disconnected codes is at most $\mathcal{O}((2n-1)!!)$.

To know when to skip words in the Gray code for words, we need to keep track of the *k* from Lemma 5.7. This *k* can only change at the end of a decreasing run. When *k* is constant, only a_n changes. However, when *k* does change, it takes at most $\mathcal{O}(n)$ steps, reading left to right, to find the new value of *k*. Since there are (2n - 3)!! runs in the Gray code, we get that the cost to skip the disconnected codes is $\mathcal{O}(n(2n - 3)!!) = \mathcal{O}((2n - 1)!!)$.

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