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Fast periodic correction networks

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Abstract

We consider the problem of sorting N -element inputs differing from already sorted sequences by t small changes. To perform this task we construct a constant depth comparator network that is applied periodically. The two constructions for this problem made by previous authors required $O(\log n + t)$ iterations of the network. Our construction requires $O(\log n + (\log \log N)^2 (\log t)^3)$ iterations which makes it asymptotically faster for $t \gg \log N$.

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1. Introduction

Sorting is one of the most fundamental problems of computer science. A classical approach to sort a sequence of keys is to apply a comparator network. Apart from a long tradition, comparator networks are particularly interesting due to hardware implementations. They can be also implemented as sorting algorithms for parallel computers.

In our approach sorted elements are stored in registers r_1, r_2, \dots, r_N . Registers are indexed with integers or elements of other linearly ordered sets. A *comparator* $[i : j]$ is a simple device connecting registers r_i and r_j ($i < j$). It compares the keys they contain and if the key in r_i is bigger, it swaps the keys. The general problem is the following. At the beginning of the computations the input sequence of keys is placed in the registers. Our task is to sort the sequence of keys according to the linear order of register indices by applying a sequence of comparators. The sequence of comparators is the same for all possible inputs. We assume that comparators connecting disjoint pairs of registers can work in parallel. Thus we arrange the sequence of comparators into a series of *layers* which are sets of comparators connecting disjoint pairs of registers. The total time needed by such a network to sort a sequence is proportional to the number of layers called the network's *depth*.

Much research concerning sorting networks was done in the past. Most famous results are asymptotically optimal AKS [1] sorting network of depth $O(\log N)$ and more 'practical' Batcher [2] network of depth $\sim \frac{1}{2} \log^2 N$ (from now on all the logarithms are binary).

Some research was devoted to problems concerning periodic sorting networks. Such a comparator network is applied not once but many times in a series of iterations. The input of the first iteration is the sequence to be sorted. The input of $(i + 1)$ st iteration is the output of i th iteration. The output of the last iteration should always be sorted. The total time needed to sort an input sequence is the product of the number of iterations and the depth of the network. Constructing such networks especially of a small constant depth gives hope to reduce the amount of hardware needed to build sorting

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comparator networks. It can be done by applying the same small chip many times in order to finally sort the input. We can also view such a network as a building block of a sorting network in which layers are repeated periodically. Main results concerning periodic sorting networks are presented in the table:

| | Depth | # Iterations |
|--------------------|----------|----------------------|
| DPS [3] | $\log N$ | $\log N$ |
| Schwiegelsohn [15] | 8 | $O(\sqrt{N} \log N)$ |
| KKS [6] | $O(k)$ | $O(N^{1/k})$ |
| Loryś et al. [9] | 3–5 | $O(\log^2 N)$ |

The last row of this table requires some explanation. The paper [9] describes a network of depth 5, but a later paper [10] reduces this value to 3. The number of iterations $O(\log^2 N)$ is achieved by periodification of AKS sorting network for which the constant hidden behind big O is very big. Periodification of Batcher network requires less iterations for practical sizes of the input, though it requires the time $O(\log^3 N)$ asymptotically. It is not difficult to show that 3 is the minimal depth of a periodic sorting network which requires $o(N)$ iterations to sort an arbitrary input.

A sequence obtained from a sorted one by t changes being either swaps between pairs of elements or changes on single positions are called t -disturbed. We define t -correction network to be a specialized network sorting t -disturbed inputs. Such networks were designed to obtain a sorted sequence from an output produced by a sorting network having t faulty comparators [14,11,16]. There are also other potential applications in which we have to deal with sequences that differ not much from a sorted one. Let us consider a large sorted database with N entries. In some period of time we make t modifications of the database and want to have it sorted back. It can be more effective to use a specialized correction unit in such a case than to apply a sorting network. Results concerning such correction networks are presented in [5,16].

There was some interest in constructing periodic comparator networks of a constant depth, which sort t -disturbed inputs. The reason is that the fastest known constant depth periodic sorting networks have running time $O(\log^2 N)$. On the other hand, in some applications sorting networks can be replaced by correction networks which are faster. Two periodic correction networks were already constructed by Kik and Piotrów [4,12]. The first of them has depth 8 and the other has depth 6. Both of them require $O(\log N + t)$ iterations for t -disturbed inputs of size N . The running time is $O(\log N)$ for $t = O(\log N)$ and the constants hidden behind the big O are small. Unfortunately it is not known how fast these networks complete sorting if $t \gg \log N$.

In this paper we construct a periodic t -correction network to deal with t such that $\log N \ll t \ll N$. The reason we assume that t is small in comparison to N is the following. If t is about the same as N , then the periodification scheme gives a practical periodic sorting network of depth 3 requiring $O(\log^3 N) = O(\log^3 t)$ iterations. Actually we do not hope to get better performance in such a case. Indeed, our network has depth 3 and running time: $O(\log N + (\log \log N)^2 (\log t)^3)$. We should mention that in our construction we do not use AKS sorting network. If this network was used (also in the auxiliary construction of a non-periodic t -correction network) we would get the running time: $O(\log N + (\log \log N)(\log t)^2)$. In such a case the AKS constant would stand in front of $(\log \log N)(\log t)^2$.

Now we remind of a couple of useful properties of comparator networks. The first of them is a general property of all comparator networks. Let us assume we have two inputs for a fixed comparator network. We say that we have relation $(x_1, x_2, \dots, x_N) \leq (y_1, y_2, \dots, y_N)$ between these inputs if for all i we have $x_i \leq y_i$.

Lemma 1.1. *If we apply the same comparator network to inputs for which we have $(x_1, x_2, \dots, x_N) \leq (y_1, y_2, \dots, y_N)$ then this relation is preserved for the outputs.*

The analysis of sorting networks is most often based on the following lemma [7]:

Lemma 1.2 (Zero–one principle). *A comparator network is a sorting network if and only if it can sort any input consisting only of 0s and 1s.*

This lemma is the reason why from now on we consider inputs consisting only of 0s and 1s. Thus we consider only t -disturbed sequences consisting of 0s and 1s. We note that 0–1 sequence x_1, \dots, x_N is t -disturbed if for some index b called the *border* at most t entries in x_1, \dots, x_b are 1s and at most t entries in x_{b+1}, \dots, x_N are 0s. We call these 1s (0s) *displaced*.

Let us remind the proof of zero–one principle. The input consists of arbitrary elements. We prove that the comparator network sorts it. We consider an arbitrary $a < b$ from this input and show that in the output a is in the register having smaller index than one in which b is. We replace elements bigger than a by 1, and smaller or equal by 0. In such a case in the output a should be in a register containing 0 for the changed input and b should be in a register containing 1.

Now we deal with an arbitrary t -disturbed input. We transform it to a t -disturbed 0–1 sequence as in the proof of zero–one principle. This gives us a useful analog of zero-one principle for t -correction networks.

Lemma 1.3. *A comparator network is a t -correction network if it can sort any t -disturbed input consisting of 0s and 1s.*

We define *dirty area* for a 0–1 sequence stored in the registers during computations of a comparator network. Dirty area is the smallest set of subsequent registers such that all registers with lower indices contain 0s and all registers with bigger indices contain 1s. Actually we can imagine that the registers are embedded in a vertical array such that the lower we are, we are the higher indices of registers we have. Thus we have only 0s above the dirty area and only 1s below it. We call a specialized comparator network that sorts any input having dirty area of a given size a *cleaning network*.

2. Periodic sorting networks

In this section we define a periodification scheme being a variant of Loryś et al. scheme [9]. Actually what we present is closer to the version of this scheme described by Oesterdiekoff [10] which produces a network of depth 3. In comparison to previous authors we change the construction of Schwiegelsohn edges and embed only single copies of sorting and merging networks in areas defined for layer C . The analysis of the network is almost the same as in abovementioned papers, but we include it to convince the reader that this construction really works.

The periodification scheme is a method to convert a non-periodic sorting network having $T(p)$ layers for input size p into a periodic sorting network of depth 3. This periodic network sorts any input containing $\Theta(pT(p))$ items in $O(T(p) \log p)$ iterations. We take advantage of the fact that for any sorting network $T(p) = \Omega(\log p)$. The periodification scheme applied to Batcher sorting network gives a periodic sorting network which needs $O(\log^3 N)$ iterations to sort an arbitrary input of size N . If we put AKS sorting network into this scheme, we get a periodic sorting network requiring $O(\log^2 N)$ iterations which is (due to very large constants in AKS) a worse solution for practical N .

In the periodification scheme registers are indexed with pairs (i, j) , $1 \leq i \leq p$, $1 \leq j \leq q$ ordered lexicographically. Thus we view these registers as arranged in rectangular matrix $p \times q$ of p rows and q columns. We have the rows with smallest indices i at the ‘top’ and those with biggest indices at the ‘bottom’ of the array. We also view columns with smallest indices j to be on the left hand side and those with biggest indices to be on the right hand side. The parameter $q = 10(T(p) + \log p)$ is an even number (for simplicity from now on we write $\log p$ instead of $\lceil \log p \rceil$).

The periodic sorting network consists of three subsequent layers A , B and C . The layers A and B which are layers of odd–even transposition sort network are called *horizontal steps*. They are sets of comparators:

$$A = \{(i, 2j - 1) : (i, 2j) \mid i, j = 1, 2, \dots\},$$

$$B = \{(i, 2j) : (i, 2j + 1) \mid i, j = 1, 2, \dots\} \cup \{(i, q) : (i + 1, 1) \mid i = 1, 2, \dots\}.$$

We call the edges of A and B connecting registers of the same row *horizontal*. Almost all edges of layers A and B are horizontal. The exceptions are the wrap-around edges of B . The layers A , B alone sort any input but in general the time to do it is very long.

Defining layer C called vertical step is much more complicated. We first divide the columns of registers into six subsequent areas: S , M_L , X_L , Y , X_R , M_R . Each of the areas contains an even number of columns. First two columns form an area S where so called ‘Schwiegelsohn’ edges are located. Then the columns with numbers 3, 4, \dots , $2 \log p + 2$ are in the area M_L . Next $2T(p)$ columns form area X_L . Last $2 \log p$ columns are contained in area M_R . Area X_R consists of $2T(p)$ columns directly preceding M_R . And the area Y contains all the columns between X_L and X_R . We now say where the comparators of layer C are in each area.

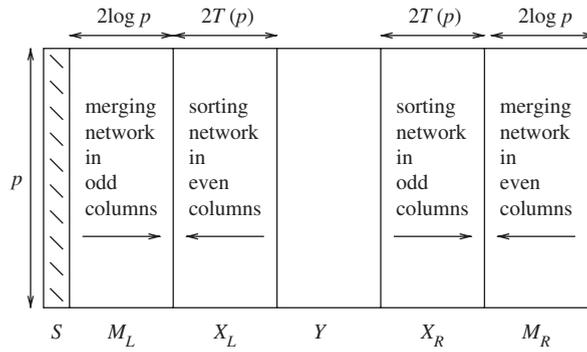


Fig. 1. Areas defined to embed C-layer. Arrows indicate the order of layers of embedded networks.

In area S the comparators form the set

$$\{(2i - 1, 1) : (2i, 2) \mid i = 1, 2, \dots\}.$$

Note that this way of defining ‘Schwiegelsohn’ edges differs from the one described in previous papers on this subject. Comparators of C in all other areas unlike those in S connect always registers in the same column. There are no comparators in area Y of layer C .

In each area M_L and M_R we embed a single copy of a network which merges two sorted 0–1 sequences of length $p/2$. In this network’s input of length p even indexed entries are one sequence and odd indexed entries are the other. We also assume that the sequence in odd indexed entries does not have more 1s than one contained in even indexed entries. A comparator network merging two such sequences is the series of layers $L_1, L_2, \dots, L_{\log p - 1}$ where

$$L_i = \{[2j : 2j + 2^{\log p - i} - 1] \mid j = 1, 2, \dots\}.$$

Thus the set of comparators in M_L is equal to

$$\{(k, 2j + 1) : (l, 2j + 1) \mid [k : l] \in L_j, j = 1, 2, \dots\}.$$

The set of comparators in M_R is equal to

$$\{(k - 1, q - 2j + 2) : (l - 1, q - 2j + 2) \mid [k : l] \in L_j, j = 1, 2, \dots\}.$$

Note that the network embedded in M_R is moved one row up (Fig. 1).

Finally, we define comparators in X_L and X_R . These comparators are embedding of a single sorting network in each area. Let this sorting network be the series of layers $L'_1, L'_2, \dots, L'_{T(p)}$. Let $j_L = 2 + 2 \log p + 2T(p)$ be the last column of X_L . The set of comparators in X_L is equal to

$$\{(k, j_L - 2(j - 1)) : (l, j_L - 2(j - 1)) \mid [k : l] \in L'_j, j = 1, 2, \dots\}.$$

Analogously if $j_R = q - 2 \log p - 2T(p) + 1$ is the first column of X_R , then the set of comparators in X_R is equal to

$$\{(k, j_R + 2(j - 1)) : (l, j_R + 2(j - 1)) \mid [k : l] \in L'_j, j = 1, 2, \dots\}.$$

We call the edges connecting registers in the same column *vertical*. Almost all the edges of step C are vertical. Only the slanted edges in S are not vertical.

Our aim in the analysis of the network obtained in periodification scheme is to prove that it sorts any input in $O(T(p) \log p)$ steps. The proof easily follows from the key lemma:

Lemma 2.1 (Key lemma). *There exist constants c and d such that after $d \cdot q$ steps*

- *the bottom $c \cdot p$ rows contain only 1s if there are more 1s than 0s in the registers;*
- *the top $c \cdot p$ rows contain only 0s if there are more 0s than 1s in the registers.*

Indeed, if we consider only the rows containing dirty area in the key lemma, then this area is guaranteed to be reduced by a constant factor within $O(q)$ steps. Thus applying the key lemma $O(\log p)$ times we reduce this area within $O(q \log p)$ steps to a constant number of rows. Next $O(q)$ steps sort such a reduced dirty area.

We skip the proof of key lemma. It is mainly a repetition of the proofs from previous papers [9,10]. We only introduce some notions and basic facts from this proof because we use them later in the paper.

In a given moment of computation we call an item (i.e. 0 or 1) right-running (left-running) if it is placed in the right (left) register by a horizontal edge of the recently executed horizontal step. We can extend this definition on wrap-around edges of layer B in a natural way saying that they put right-running items in the first column and left-running items in the last. A column containing right-running (left-running) items is called R -column (L -column). Analyzing the network we can observe ‘movement’ of R -columns of 1s to the right and L -columns of 0s to the left. Thus any column is alternately L -column and R -column and the change occurs during every horizontal step. The only exception are two columns of S .

Fact 2.2. *Each right-running 1 (left-running 0) remains right-running (left-running) until it reaches the border column 1 (2). A left-running 1 (right-running 0) becomes right-running (left-running) when it is compared with right-running 0 (left-running 1).*

Intuitively we may think that the R -columns move one position right during each horizontal step. Similar movement to the left is observed for L -columns.

Having the main lemma we can begin our construction. At the beginning note that from the proof of key lemma it also follows that we have the following property:

Fact 2.3. *Assume we add any vertical edges to the layer C in area Y . For such a new network the key lemma still holds.*

Now we modify the periodification scheme step by step to obtain at the end a periodic t -correction network. First we introduce a construction of *periodic cleaning network* which sorts any N -element input with the dirty area of size qt , $q \geq 10(T(2t) + 2 \log t)$. In this construction registers are arranged into q columns and dirty area is contained in t subsequent rows. This network needs $O(q \log t)$ iterations to do its job. The construction of periodic correction network is based on this cleaning network. We first build a simple non-periodic cleaning network.

Lemma 2.4. *Assume we have a sorting network of depth $T(t)$ for input size t . We can construct a comparator network of depth $T'(t) = T(2t) + \log t$ which sorts any input with dirty area of size t .*

Proof. We divide the set of all registers r_1, r_2, \dots, r_N into N/t disjoint parts each consisting of t subsequent registers. Thus we obtain part P_1 containing registers r_1, \dots, r_t , P_2 containing registers r_{t+1}, \dots, r_{2t} , P_3 containing registers r_{2t+1}, \dots, r_{3t} , and so on. The cleaning network consists of two steps. First, we have networks sorting keys in $P_{2i} \cup P_{2i+1}$ for each i . It requires $T(2t)$ layers. Then we have networks merging elements in P_{2i-1} with those in P_{2i} for each i . It requires $\log t$ layers of the network. \square

Now we can build a periodic cleaning network. We do it substituting sorting network in the periodification scheme with the cleaning network described above. This way we can reduce X_L and X_R to $2T'(t)$ columns. We also reduce M_L and M_R to $2 \log t$ columns, by embedding only $\log t$ last layers of merging network instead of the whole merging network applied in periodification scheme. These layers are (after relabeling) $L_1, L_2, \dots, L_{\log t}$ where

$$L_i = \{[2j + 1 : 2j + 2^{\log t - i + 1}] | j = 1, 2, \dots\}.$$

They merge any two sequences that do not differ by more than $t/2$ 1s. So instead of a sorting network we use a cleaning one and we reduce the merging network. Such reduced sorting and merging networks are not distinguishable from original merging and sorting networks if we deal only with inputs having dirty areas of size at most qt . The analysis of such a periodification scheme for cleaning networks is the same as the original one for sorting networks and gives us the following fact:

Lemma 2.5. *The periodic cleaning network described above has depth 3 and sorts any input with dirty area having t rows in $O(q \log t)$ iterations.*

One can notice that there are no edges of layer C in Y in this construction. If we add any vertical edges in Y or any other edges with the difference between row numbers of end registers bigger than t to layer C , then the network remains a cleaning network. Roughly speaking by adding such edges we are going to transform the periodic cleaning network into a periodic t -correction network.

3. Main construction

In this section we define our periodic t -correction network. To do it we need another non-periodic comparator network. We call it (t, Δ, δ) -semi-correction network. If a t -disturbed input with dirty area of size (at most) Δ is processed by such a network, then the dirty area size is guaranteed to be reduced to (at most) δ . Now we present quite unoptimal construction of (t, Δ, δ) -semi-correction network (Fig. 2).

We divide the set of all registers r_1, r_2, \dots, r_N into N/Δ disjoint parts each consisting of Δ subsequent registers. Thus we obtain part P_1 containing registers r_1, \dots, r_Δ , P_2 containing registers $r_{\Delta+1}, \dots, r_{2\Delta}$, P_3 containing registers $r_{2\Delta+1}, \dots, r_{3\Delta}$, and so on. The construction consists of two steps. In step 1 we give new indices to the registers of each sum $P_{2k} \cup P_{2k+1}$, $k = 1, 2, \dots$. These indices are lexicographically ordered pairs (i, j) , $1 \leq i \leq 4\Delta t/\delta$, $1 \leq j \leq \delta/2t$. The ordering of new indices is the same as the ordering of old indices. We apply a t -correction network to each column j of each sum separately. This way we obtain dirty area of size at most δ in each sum. In step 2 we repeat the construction from step 1 for sums $P_{2k-1} \cup P_{2k}$. Because any dirty area of size Δ is contained in one of the sums $P_l \cup P_{l+1}$ from step 1 or 2, this dirty area is reduced to size δ . Thus we get the following lemma:

Lemma 3.1. *Let $t \ll \delta$ and $t \ll \Delta/\delta$. There exists a (t, Δ, δ) -semi-correction network of depth $O(\log x + (\log t \log \log x)^2)$, where $x = \Delta/\delta$.*

Proof. Description of t -correction networks of depth $O(\log N + d(\log t \log \log N)^2)$ (N is the input size) can be found in [5,16]. We apply such a network in the construction presented above and obtain a semi-correction network with desired depth. Simple calculations are left to the reader. \square

Now at last we get to the main construction of this paper. We assume that $\log N \ll t \ll N$ and want to construct an efficient periodic t -correction network (Fig. 3). Without loss of generality we assume that t is even. Let $S(N, t) = O(\log N/\log t + (\log \log N)^2(\log t)^2)$ be the maximum depth of a (t, Δ, δ) -semi-correction network for $x = \Delta/\delta = N^{1/\log t}$. As before $T(t)$ is the depth of a sorting network. In our construction the registers are arranged into an array of q columns and N/q rows, where

$$q = \max\{10(T(4t + 4) + 2\log t), 4(T(4t + 4) + 2\log t) + 2S(N, t)\}.$$

The rows of this array are divided into $N/(pq)$ floors which are sets of $p = 4t + 4$ subsequent rows. So the floor 1 consists of rows 1, 2, ..., p , floor 2 of rows $p + 1, p + 2, \dots, 2p$ and so on. We use the notions of ‘bottom’ and ‘top’ registers from the proof of key lemma. Thus we divide each floor into two halves: top and bottom. They consist of $p/2 = 2t + 2$ top and bottom rows of each floor, respectively. We define a *family of floors* to be a the set of all floors whose indices differ by $i \cdot \log t$ for some integer i . Altogether we have $\log t$ families of floors. To each family of floors we assign the index of its first floor.

From now on we all the time deal only with t -disturbed 0–1 input sequences. Any such sequence has a border index b . We call the b th register the border register. We call its row the border row. We call its floor the border floor β . In the analysis we take into account only behavior of displaced 1s. Due to symmetry of the network the analysis for displaced 0s is the same and can be omitted.

We begin with defining a particular kind of periodic cleaning network on our array of registers, on which the whole construction is based. By adding comparators to this network we finally obtain a periodic t -correction network. The periodic cleaning network is constructed in the similar way as the one in the previous section. It is a bit more complicated than the one in the previous section, because we require it to have some additional properties.

We have again steps A, B and C and the two first steps are defined the same as for periodic sorting network. So from now on we only describe step C . In step C we again have similar areas S, M_L, X_L, Y, X_R, M_R . Above all we want to have some relation between vertical edges in areas X_L and X_R and the division of rows into floors. These comparators

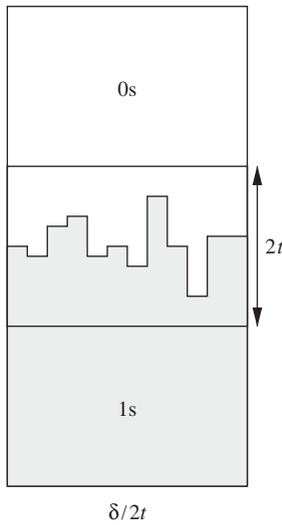


Fig. 2. The output of (t, Δ, δ) -semi-correction network.

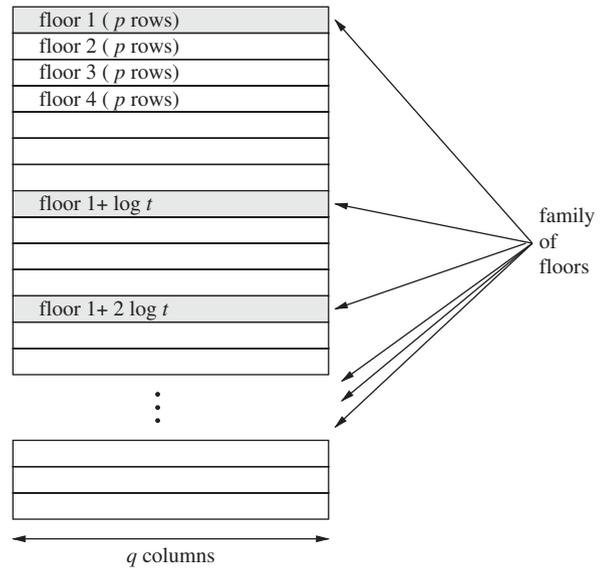


Fig. 3. Array of registers for periodic correction network.

are embeddings of a cleaning network for dirty area $p/2 = 2t + 2$ in each area. Note that such a network also sorts any input with dirty area of size t , so can be used in the construction of periodic cleaning network for t dirty rows. The cleaning network consists of three subsequent parts. The first part are sorting networks—each sorting a group of p subsequent registers corresponding to a single floor. This part has depth $T(p)$. The second part consists of merging networks which merge neighboring upper and lower halves of each pair of subsequent groups from the first part. It has depth $\log p$. These first two parts are already the cleaning network. The third part is the last layer which we can add arbitrarily, because any layer of comparators does nothing to a sorted sequence. This layer is defined a bit later in the paper. Edges in parts S, M_L, M_R are defined exactly the same way as earlier for a periodic cleaning network, so we do not repeat their description.

As we previously proved the periodic network we now defined is a cleaning network for dirty areas consisting of at most t rows. We add some new edges not to destroy this property and gain some new properties.

First, we add in area S comparators

$$\{(2i, 1) : (2i + t + 1, 2)\} \mid i.$$

These comparators cause displaced right-running 1s not to change to be left-running when they are far away from the border row. This is formalized later in the paper. Now for a while we assume that we deal only with displaced 1s that are more than one floor above the border. We remind that R -columns and L -columns after a given step are columns containing right-running and left-running items, respectively. We can note that R -column which gets to the column j_R while moving through X_R is first sorted separately on each floor by the first part of the cleaning network. Next the displaced 1s from each floor go half a floor down by the second part. An analogous process is also performed for left-running 1s in X_L as long as they remain left-running.

Thus after the second part of their way through X_R right-running displaced 1s are located at the bottom of the top half of each floor above the border floor. Analogously left-running displaced 1s are also moved just before the last layer embedded in X_L to the bottom of the top half of each floor.

We now should specify what the additional layer in the third part of X_R does. Formally speaking this layer is the set of comparators

$$\{(kp + p/2 + 2i) : (kp + p/2 - 2i + 1)\}, 0 < i \leq t/2.$$

It moves right-running displaced 1s that went through X_R to odd indexed rows in the middle of each floor. Analogously the last layer embedded in X_L is

$$\{(kp + p/2 + 2i - 1) : (kp + p/2 - 2i)\}, 0 < i \leq t/2.$$

It moves left-running displaced 1s to even indexed rows in the middle of each floor.

Let us call these rows for a while starting rows of these 1s. We can see that these all right-running displaced 1s then pass M_R, S, M_L and X_L without being moved by vertical edges in M_R, M_L, X_L . Note that they encounter vertical edges only in M_L and they are at the bottom of these edges. The same happens to left-running 1s when they pass M_L, S, M_R and X_R . After passing X_L each right-running 1 is $t + 2$ rows below its starting (odd) row. After passing X_R each left-running 1 is 2 rows above its starting (even) row. These 1s are still on the same floors as their starting rows. Similar facts can be proved for displaced 0s below the border which are also moved by last layers of X_L and X_R described above.

Now we define the vertical edges added in area Y of layer C . These comparators are embeddings of four semi-correction networks in each family of floors. Now we describe the comparators embedded in the r th family of floors. Let $a_1, a_2, \dots, a_{2N/(q \log t)}$ be the indices of odd rows in this family of floors. We can build a $(t, N^{1-(r-1)/\log t}, N^{1-r/\log t})$ -semi-correction network on registers with these indices. The depth of this network is not bigger (from the assumption about q) than the number of odd indexed columns in Y . Let this network be the sequence of layers L_1, L_2, L_3, \dots . The first set of comparators is

$$\{(j_L + 2j - 1, k) : (j_L + 2j - 1, l)\} \mid [k : l] \in L_j\}.$$

We take into account right-running 1s that after passing X_L are in odd rows. Assume that they can be present only in $N^{1-(r-1)/\log t}$ odd rows of r th family directly above the border. When they pass Y they can be present only in $N^{1-r/\log t}$ odd rows of r th family directly above the border. Passing Y in family $r = \log t$ finally causes these 1s to get to some of t odd rows of this family directly above the border. We formulate this assertion as a fact later, because we need some additional assumptions. Analogously we embed the same network once again to deal with left-running 1s that are in even rows (Fig. 4). Formally speaking we add to C the following set of comparators:

$$\{(j_R - 2j + 1, k + 1) : (j_R - 2j + 1, l + 1)\} \mid [k : l] \in L_j\}.$$

This set of edges again causes left running 1s which are in $N^{1-(r-1)/\log t}$ even rows of r th family directly above the border to reduce the number of these rows between these 1s and the border to at most $N^{1-r/\log t}$. Analogously we also embed two copies of $(t, N^{r/\log t}, N^{(r-1)/\log t})$ -semi-correction network to deal with displaced 0s below the border row.

We described the whole network and the way it works informally. Now we make some more formal analysis.

As we previously proved the periodic network we now defined is a cleaning network for dirty areas consisting of at most t rows and the following key lemma describing its running time holds:

Lemma 3.2 (Key lemma for correction network). *We consider $t', t' \leq t$ subsequent rows of the above defined network, such that above (below) these rows there are only 0s (1s). Let us have majority of 0s (1s) in these rows. There exist constants c and d such that after $d \cdot q$ steps the top (bottom) $c \cdot t'$ of these t' rows contain only 0s (1s).*

Note that if we add to C any vertical edges in Y , then this lemma still holds. Also, if we add to C edges in S connecting rows whose difference is bigger than t , then the key lemma still holds, because these edges do not affect network's behavior on inputs having at most t rows in the dirty area. We prove the following lemma:

Lemma 3.3. *Let a be a positive integer. The periodic cleaning network described above sorts t -disturbed inputs with dirty area having $a \cdot t$ rows in $O(qa + q \log t)$ iterations.*

Proof. If the number of rows in dirty area is smaller than t , then a standard reasoning for periodic sorting networks works. We need only to consider what happens if the number of rows in dirty area is bigger than t . If there are more rows in dirty area above the border than below, then we can apply key lemma to highest $t/2$ rows. Since the input is t -disturbed we have majority of 0s in these rows. So we obtain $ct/2$ top rows of 0s in time dq . Thus the dirty area is

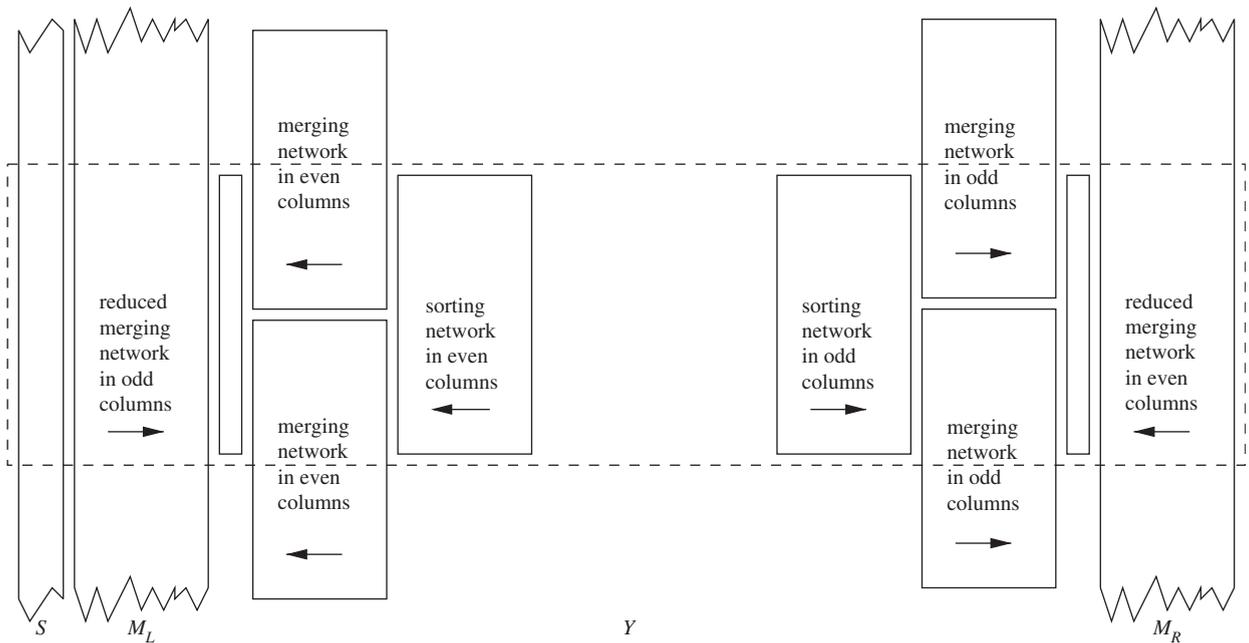


Fig. 4. Comparator networks embedded on a single floor.

reduced by $ct/2$ rows. In the opposite case an analogous reasoning can be applied to $t/2$ lowest rows where we have majority of 1s. \square

Now we specify what we exactly mean by right-running items. In the proof of key lemma right-running items were those 0s and 1s which were on the right of a horizontal edge after step A or B . We redefine it saying that in area S right-running items go right in step C instead of step A that follows this step. Analogously we can redefine left-running items. We assume that two displaced 1s or two 0s are swapped by an edge if this is a slanted edge of step C or an edge of step A not belonging to area S , or any edge of step B . As before displaced 1s are not swapped with non-displaced 1s. So a right-running 1 remains right-running as long as it is not compared with a non-displaced 1 by one of the above-mentioned edges. We can now formulate a simple property of our network that is preserved when we add vertical edges.

Fact 3.4. *In the network defined above right-running displaced 1s remain right-running as long as they are more than $t + 1$ rows above border row.*

To continue our analysis we assign colors to displaced 1s. We use five colors: blue, black, red, yellow and green. The index of the border floor is β . We assume the following rules of coloring displaced 1s:

- At the beginning the color of all displaced 1s is blue.
- If a blue 1 is compared with a non-blue 1 by a vertical edge, then the blue 1 behaves like a 0.
- When any 1 gets to the floor with the index not smaller than $\beta - 1$, it changes its color to green.
- When a right-running non-blue 1 gets to the floor $\beta - 2$, it changes its color to green.
- When a non-green left-running 1 changes to be right-running it becomes blue (it can happen only once for each 1, because right-running 1s do not change to be left-running until they are green).
- When a blue 1 gets from Y to outside of Y it changes its color to black.
- When a black 1 enters Y from outside of Y on the floor belonging to the family 1, then it changes its color to red.
- When a red 1 leaves Y on the floor in the last family of floors (family $\log t$), then it becomes yellow.

Now we prove that all green 1s stay close to the border. They prove to be all the time at the floors with indices not smaller than $\beta - 2$, so they are not more than $13t$ rows above the border row. We notice that right-running 1s can go only lower and lower. Left-running 1s can go to the higher rows only in area S of layer C and by wrap-around edges of

layer B . Assume a left-running 1 is green. It can have become green either as a right-running or left-running 1. In both cases it must have been in the past in floor $\beta - 1$ or β . Each q horizontal steps a left-running 1 can go up by maximum $t + 2$ rows. But on the other hand each q horizontal steps it passes X_L once. Passing X_L it goes to the row not higher than the t th lowest row of floor $\beta - 2$. Thus it cannot leave floor $\beta - 2$ going up not more than $t + 2$ rows. Because our network is periodic correction network for dirty area of $13t$ rows we have the following fact:

Fact 3.5. *If all displaced 1s are green, then the time to sort all the items above the border is $O(q \log t)$.*

Now we consider a right-running blue 1 or a left-running blue 1 assuming it stays left-running. From what we said before a right-running 1 stops to be right-running only when it is green. We want to see how quickly it becomes green. After $O(q)$ steps this 1 stops to be blue. The worst case is that it becomes black. The following fact can be viewed as a summary of what we said defining comparators of last column of X_L and X_R . We take advantage of the fact that right-running 1s that just changed from being left-running above floor $\beta - 1$ are blue. We also take advantage of the fact that right-running 1s which are more than t rows above the border do not become left-running.

Fact 3.6. *Any black, red or yellow right-running 1 passing areas X_R, M_R, S, M_L, X_L goes one floor down and ends up in an odd indexed row. Any black, red or yellow left-running 1 as long as it stays left-running passing areas X_L, M_L, S, M_R and X_R goes one floor down and ends up in an even indexed row.*

The comparators in Y connect only the rows belonging to the same family of floors. So passing Y a displaced 1 does not change its family of floors. Thus we have the next fact.

Fact 3.7. *Each q horizontal steps a black, red or yellow 1 gets from a family r to the family $r + 1$, assuming it does not become blue. The exception is family $r = \log t$ from which the 1 gets to family 1.*

So after at most $q \log t$ horizontal steps a black 1 becomes red, unless it starts to be green. We measure the *distance* of a red 1 that is in family r to the border as the number of rows that belong to the family r and are between this 1 and the floor $\beta - 2$. Passing Y in family 1 a red 1 reduces this distance from at most N to at most $2N^{1-1/\log t}$. Then it gets to families 2, 3, ..., $\log t - 1$. Passing Y in family r a red 1 reduces this distance from $2N^{1-(r-1)/\log t}$ to $2N^{1-r/\log t}$. Then after passing Y in family $\log t$ a red 1 is in the distance at most $2t$. This way a red 1 becomes yellow after $q \log t$ horizontal steps. Now it is at most $\log t + 2$ floors above the border. A yellow right-running 1 goes at least 1 floor down each q horizontal steps, till it becomes green after at most $q \log t$ horizontal steps.

This whole process of color change from blue to green takes altogether $3q \log t$ horizontal steps. It always succeeds for right-running 1s. Left-running 1s can switch to be right-running before they become green. They have to do it before $3q \log t$ horizontal steps in which they have to become green if they are all the time left-running. If they switch they become inevitably green after next $3q \log t$ iterations as right-running 1s. Thus we have the following fact:

Fact 3.8. *All displaced 1s start to be green after at most $6q \log t$ horizontal steps.*

Because inputs having only green 1s are sorted in time $O(q \log t)$ we get the main result of the paper:

Theorem 3.9. *The periodic t -correction network we defined in this paper sorts any t -disturbed input in $O(q \log t)$ iterations, which is equal to*

$$O(\log N + (\log \log N)^2 (\log t)^3).$$

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Appendix. Proof of key lemma

Lemma 3.10 (Key lemma for periodic sorting). *There exist constants c and d such that after $d \cdot q$ steps*

- *the bottom $c \cdot p$ rows contain only 1s if there are more 1s than 0s in the registers;*
- *the top $c \cdot p$ rows contain only 0s if there are more 0s than 1s in the registers.*

In the proof of key lemma we concentrate on the case when the majority of entries are 1s. In such case we prove that the bottom $c \cdot p$ rows contain only 1s after $d \cdot q$ steps. Due to symmetry of the network the case of more 0s than 1s is analogous.

We describe the proof of key lemma by a series of facts. First define some basic notions. In the proof it is assumed that two 1s or 0s compared by a horizontal edge are exchanged. In a given moment of computation we call an item (i.e. 0 or 1) right-running (left-running) if it is placed in the right (left) register by a horizontal edge of the recently executed horizontal step. We can extend this definition on wrap-around edges of layer B in a natural way saying that they put right-running items in the first column and left-running items in the last. A column containing right-running (left-running) items is called R -column (L -column). Analyzing the network we can observe ‘movement’ of R -columns of 1s to the right and L -columns of 0s to the left. Thus any column is alternately L -column and R -column and the change occurs during every horizontal step. The only exception are two columns of S .

Fact 3.11. *Each right-running 1 (left-running 0) remains right-running (left-running) until it reaches the border column 1 (2). A left-running 1 (right-running 0) becomes right-running (left-running) when it is compared with right-running 0 (left-running 1).*

Intuitively we may think that the R -columns move one position right during each horizontal step. Similar movement to the left is observed for L -columns. Let $w(K_i, h_s)$ denote the number of 1s in column K_i after s th horizontal step h_s . We can describe the movement of the columns in terms of weight w :

Fact 3.12. *If K_i is an R -column just after step h_s and $i < q$ then $w(K_i, h_{s+1}) \leq w(K_i, h_s) \leq w(K_{i+1}, h_{s+1})$. Similarly, if K_i is an L -column after step h_s and $i > 2$ then $w(K_{i-1}, h_{s+1}) \leq w(K_i, h_s) \leq w(K_i, h_{s+1})$.*

We can extend this fact to more than one step obtaining the next two facts:

Fact 3.13. *Assume that K_i , $i + k \leq q$, is an R -column just after step h_s and $w(K_i, h_s) = x$. Then K_{i+k} is an R -column just after step h_{s+k} and $w(K_{i+k}, h_{s+k}) \geq x$. Similarly, if K_j , $j > k$, is an L -column immediately after step h_s and $w(K_j, h_s) = x$, then K_{j-k} is an L -column just after step h_{s+k} and $w(K_{j-k}, h_{s+k}) \leq x$.*

Fact 3.14. *Suppose that K_i is an R -column just after step h_s . Then $w(K_j, h_s) \leq w(K_i, h_s)$ provided that $j < k$, $i - j \leq 2s - 1$, and K_j is an L -column just after step h_s (i.e. i and j are of different parities).*

At the time R -columns ‘move to the right’ and L -columns ‘move to the left’ the vertical edges in X_L and X_R ‘sort’ these columns while they are moving. Of course, sorting may be not completed because of new 1s appearing in R -columns and 0s in L -columns. Note that vertical edges in X_L are located in odd numbered columns where R -columns are when they are applied. Analogously vertical edges in X_R are in even columns.

If x bottom registers (with the biggest row indices) of a column contain 1s, we say this column has a *foot of height x* .

Fact 3.15. *If an R -column K_i has foot of height x just after step h_s , then just after step h_{s+k} the R -column K_{i+k} has foot of height x .*

The following fact describes the behavior of group X_R :

Fact 3.16. *Let j_R be the index of the first column of X_R . If $w(K_{j_R}, h_s) = x$, then just after step $h_{s+2T(p)}$ the column $K_{j_R+2T(p)}$ has foot of height x .*

Now we discuss what happens at Schiewegelsohn edges. First, we describe the behavior of a foot of 1s which gets to area S .

Fact 3.17. Assume that just after A-step h_s we have foot of height x in R -column K_1 . Then after two steps B and C we have 1 in each of $x/2$ lowest even numbered rows of K_2 . After next $3 \log p$ steps we have foot of height $x/2$ in R -column $K_{2+\log p}$.

Proof. Note that the merging edges of M_L guarantee forming a foot of height $x/2$ from the 1s in the even rows. \square

The next fact helps us derive some estimation for minimal height of a foot getting to area S .

Fact 3.18. If just after B-step h_s we have $w(K_2, s) \leq x$, then $w(K_2, h_{s+1}) \leq x + p/2$.

Proof. We analyze what happens in two neighboring rows: $2i - 1$ and $2i$. If we have in these rows two 1s in K_1 and two 0s in K_2 just after a B-step, then we cannot have two 1s in K_2 two steps later. Thus the total number of 1s in K_2 cannot be increased by more than $p/2$ during these two steps. \square

This fact tells us that R -columns with many 1s pass them to L -columns when they get to S . In the proof of key lemma we shall use some counting argument. Let j_R be the index of the first column of X_R . All the time we assume that the number of 1s is not smaller than $pq/2$. As we assumed $q = 10(T(p) + \log p)$. If we take bigger q , we get also bigger constant c_1 in the following fact:

Fact 3.19. There is a constant c_1 for which if K_{j_R} is R -column immediately after step h_s , $s \geq j_R$, then $w(K_{j_R}, h_s) \geq c_1 p$.

Proof. Let $x = w(K_{j_R}, h_s)$. From the previous lemmas we know that all L -columns K_i , $i \leq j_R$, have no more than x 1s. Thus we know that all the R -columns K_i , $i \leq j_R$, have no more than $x + p/2$ 1s. Taking trivial upper bound of p on the number of 1s in the columns K_i , $i > j_R$, we get the inequality

$$pq/2 \leq pq/5 + 4xq/5 + (pq/4)4/5 = 2pq/5 + 4xq/5.$$

So we get $x \geq p/8$ and $c_1 = \frac{1}{8}$. We can also estimate x better than in previous papers taking advantage of the fact that all L -columns with indices bigger than j_R must not have more than $x/2 + p/2$ 1s (easy justification left to the reader). This way

$$pq/2 \leq pq/10 + pq/4 + 9xq/10 = 7pq/20 + 9xq/10.$$

Thus we get $x \geq p/6$ and $c_1 = \frac{1}{6}$. \square

The immediate consequence of the above fact is further behavior of R -columns having some guaranteed number $c_1 p$ of 1s. According to the above facts they are ‘sorted’ by vertical edges while ‘moving’ by X_R . So beginning from the step h_q all R -columns in M_R have foot of height $c_1 p$. Next R -columns having foot of such height get to K_1 . Denote $c_2 = c_1/2$. Half of the ones of the foot are guaranteed to get to $c_2 p = c_1 p/2$ lowest even indexed registers of K_2 . As we know they form feet of height $c_2 p$ while ‘passing’ M_L . Thus we have the next fact:

Fact 3.20. Later than step h_s , $s = 2q - 2 \log p$, any R -column K_j , $j > 2 \log p + 2$, has a foot of height $c_2 p$.

While $c_2 p$ 1s of the foot getting to K_1 are moved to K_2 other $c_2 p$ 1s stay in even registers of K_1 in C-step. These 1s move then left through M_R forming at the first L -column of M_R a foot of height $c_2 p$. This foot is then propagated to the left as soon as it encounters R -columns with feet of height $c_2 p$. Thus we have the next fact:

Fact 3.21. Later than step h_s , $s = 3q - 6 \log p$, any column K_j , $2 \log p + 2 < j < q - 2 \log p$, has a foot of height $c_2 p$.

Now we are left with area $X = X_L \cup Y \cup X_R$ of feet of height $c_2 p$ in the middle and some ‘dirty’ bottom areas of $2 \log p$ columns at each border. We shall prove that after $O(\log p)$ steps we get feet of height $c_2 p$ in all columns. We split the further reasoning from [10] into facts. In this reasoning we no longer think that pairs of compared 1s (0s) are swapped.

Fact 3.22. *Later than step h_s , $s = 3q - 4 \log p$, all the columns K_j , $1 < j \leq 2 \log p + 2$, contain 1s in bottom $c_2 p/2$ even indexed rows.*

Proof. We prove that the columns contain also all the 1s preventing these 1s in even rows from going down in step C . We take advantage of the fact that this holds for R -columns beginning from the step h_s , $s = 3q - 6 \log p$. Now assume that for some L -column K_{j_0} all columns K_j , $j > j_0$, contain 1s in even rows and all 1s preventing them from going down. It can easily be checked that just after the next B -step also K_{j_0} contains 1s in all even rows and all 1s preventing them from going down. \square

Assume that $c_2 p/2$ bottom even indexed rows in columns K_j , $1 < j \leq 2 \log p + 2$, contain 1s. In such case edges of S direct $c_2 p/2$ bottom 1s coming from K_1 to $c_2 p/2$ bottom odd indexed rows of K_j . This way we have the next fact:

Fact 3.23. *Later than the step h_s , $s = 3q$, we have feet of height $c_2 p$ in all columns K_j , $1 < j \leq 2 \log p + 2$.*

Because all the 0s in $c_2 p$ bottom registers of L -columns K_j , $j > q - 2 \log p$, or K_1 are compared with 1s from high feet in R -column they are moved to the left in each horizontal step. This proves that after next $2 \log p$ steps all 0s in bottom $c_2 p$ rows of these columns are moved outside. This proves the key lemma for $c = c_2$ and $dq \geq 3q + 2 \log p$.

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