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# The ANTI-order for caccc posets - Part II

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### Abstract

In Part I we defined the ANTI-order, ANTI-good subsets, ANTI-perfect sequences and ANTIcores for cacce posets. In this part we prove the main result: If  $\Pi = \langle P_{\zeta} : \zeta \leq \lambda \rangle$  is an ANTIperfect sequence of a connected cacce poset P which does not contain a one-way infinite fence, then  $P_{\zeta}$  is a retract of P for all  $\zeta \leq \lambda$ .

Keywords: Cacce posets; Retracts; ANTI-order

### 1. Introduction

This is a continuation of [1], where we defined the ANTI-order, ANTI-good subsets, ANTI-perfect sequences and ANTI-cores for caccc posets. We refer the reader to [1] for the definitions of these and other special notation. In this part we prove the main result.

**Theorem 1.1.** Let  $\Pi = \langle P_{\xi} : \xi \leq \lambda \rangle$  be an ANTI-perfect sequence of a connected caccc poset P which contains no one-way infinite fence. Then  $P_{\xi}$  is a retract of P for every  $\xi \leq \lambda$ ; in particular, the ANTI-core  $P_{\lambda}$  is a retract of P.

By Theorem 3.4(2) of [1] an ANTI-good subset of a cacce poset is a retract, and so the conclusion of the theorem is obvious if the length  $\lambda$  of  $\Pi$  is finite. Before proving the theorem, we give an example to show that the length of an ANTI-perfect sequence of a connected cacce poset with no one-way infinite fence may be infinite and so it is necessary to consider limit steps when we prove the theorem. (This is different from the case for a PT-perfect sequence in a cc poset with no infinite antichain which is always finite — see (1.4) of [1].)

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The example is a modification of the poset shown in Fig. 5 in [1] which does contain a one-way infinite fence. For  $n < \omega$ , let  $(A_n, \leq_n)$  be the poset shown in Fig. 1, in which

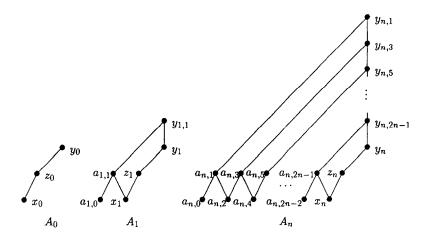
$$\{a_{n,0}, a_{n,1}, a_{n,2}, \ldots, a_{n,2n-2}, a_{n,2n-1}, x_n, z_n\}$$

is a finite fence,

 $\{y_{n,1}, y_{n,3}, y_{n,5}, \ldots, y_{n,2n-1}, y_n\}$ 

is a finite decreasing chain,  $a_{n,2k-1} < y_{n,2k-1}$  for  $1 \le k \le n$ ,  $z_n < y_n$  and there are no other comparabilities except for those demanded by transitivity.

The poset  $(P, \leq)$  shown in Fig. 2 is obtained in the following way. Let  $P = \bigcup \{A_n : n < \omega\} \cup \{y\}$  and define the order on P so that  $\leq$  is the same as  $\leq_n$  on  $A_n$ ,  $y_n > y_{n+1,1}$ 





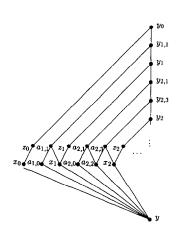


Fig. 2.  $(P, \leq)$ .

and y is the smallest element of P, there are no other comparabilities except for those required for transitivity. Using the same argument for the poset shown in Fig. 5 in [1], we easily see that it is a connected cacce poset with no one-way infinite fence, and that  $\Pi = \langle P_{\xi} : \xi \leq \omega \rangle$  is an ANTI-perfect sequence of P, where  $P = P_0$ ,  $P_n - P_{n+1} =$  $\{a_{i,n} : [n/2] + 1 \leq i < \omega\}$   $(n < \omega)$  and  $P_{\omega} = \cap\{P_n : n < \omega\}$ . In other words,  $P_{n+1}$  is obtained from  $P_n$ , by removing all a's having n as the second subscript, and  $P_{\omega} =$  $\{x_i : i < \omega\} \cup \{y_i : i < \omega\} \cup \{z_i : i < \omega\}$ .

#### 2. Some additional lemmas

In this section we introduce some new definitions and prove two easy lemmas needed for the proof of the main theorem. Let  $\Pi = \langle P_{\xi} : \xi \leq \lambda \rangle$  be an ANTI-perfect sequence for a cacce poset *P*. For each  $x \in P$  we define the *index of x*, denoted by i(x), to be  $\lambda$  if  $x \in P_{\lambda}$ , and  $i(x) = \xi$  if  $x \in P_{\xi} - P_{\xi+1}$  for some  $\xi < \lambda$ . We also define

$$I(>x) = \{i(y): y > x \land i(y) \ge i(x)\},\$$
$$I(
$$I(x) = I(x).$$$$

**Lemma 2.1.** Let  $\Pi = \langle P_{\xi} : \xi \leq \lambda \rangle$  be an ANTI-perfect sequence of a caccc-poset  $P, X \subseteq P$  and  $\alpha = \min\{i(y) : y \in X\}$ . If  $x = \inf X$  ( $x = \sup X$ ) exists, then  $x \in P_{\alpha}$ . Furthermore,  $x \in P_{\alpha+1}$  if  $x \notin X$ .

**Proof.** When  $x \in X$ , the conclusion is obvious. Suppose that  $x \notin X$ . We have that  $X \subseteq P_{\alpha}$  since  $\alpha \leq i(y)$  for all  $y \in X$ . By induction on  $\eta \leq \alpha + 1$ , we show that  $x \in P_{\eta}$ . If  $\eta$  is a limit this is clear since, in this case,  $P_{\eta} = \bigcap_{\zeta < \eta} P_{\zeta}$ . If  $\eta = \zeta + 1$  and  $x \in P_{\zeta}$ , then  $x = \inf_{P_{\zeta}} X$  and therefore, by [1, Lemma 3.2] x belongs to any  $\leq$ -good subset of  $P_{\zeta}$ , and in particular to  $P_{\zeta+1}$ . Hence  $x \in P_{\alpha+1}$ .  $\Box$ 

**Corollary 2.2.** Let  $\Pi = \langle P_{\xi} : \xi \leq \lambda \rangle$  be an ANTI-perfect sequence of a caccc poset P and let  $\xi \leq \lambda$ . If  $X \subseteq P_{\xi}$  and  $x = \inf X$  (sup X) exists, then  $x \in P_{\xi}$  and hence  $\inf_{P_{\xi}} X$  (sup<sub>P</sub> X) also exists and is equal to x.

**Lemma 2.3.** Let  $\Pi = \langle P_{\xi} : \xi \leq \lambda \rangle$  be an ANTI-perfect sequence of a caccc poset P, and let  $\xi \leq \lambda$  be a limit ordinal. If C is a chain and  $C \cap P_{\eta}$  is coinitial (cofinal) in C for all  $\eta < \xi$ , then  $x = \inf C \in P_{\xi}$  ( $x = \sup C \in P_{\xi}$ ).

**Proof.** For each  $\eta < \xi$ , since  $C \cap P_{\eta}$  is coinitial in C,  $x = \inf C \cap P_{\eta}$  and therefore, by Lemma 2.1,  $x \in P_{\eta}$ . Thus,  $x \in P_{\xi} = \bigcap_{\eta < \xi} P_{\eta}$ .  $\Box$ 

#### 3. Proof of the main theorem

Let  $\Pi = \langle P_{\xi} : \xi \leq \lambda \rangle$  be an ANTI-perfect sequence of a connected cacce poset P with no one-way infinite fence. Let  $\underline{\ll}_{\xi}$  be the ANTI-order on  $P_{\xi}$ , i.e.  $\underline{\ll}_{\xi} = \underline{\ll}_{P_{\xi}}$ , and let  $g_{\xi} : P_{\xi} \to P_{\xi+1}$  be an ANTI-good retraction for all  $\xi \leq \lambda$  (see [1, Theorem 3.4]). We shall inductively define maps  $f_{\xi} : P \to P_{\xi}$  for each  $\xi \leq \lambda$  so that the conditions  $(1)_{\xi} - (19)_{\xi}$  below are satisfied. We start with  $f_0 = \mathrm{id}_P$ , the identity mapping on P, so that all these conditions are trivially satisfied for  $\xi = 0$ . We assume that  $\xi > 0$  and that  $f_{\eta}$  has been defined for all  $\eta < \xi$  so that the corresponding conditions are satisfied.

For any  $x \in P$ , the sequence  $\operatorname{orb}_{\xi}(x) = \langle f_{\eta}(x) : \eta < \xi \rangle$  is called the  $\xi$ -orbit of x; for  $A \subseteq \xi$  we also define  $\operatorname{Orb}(A, x) = \{ f_{\eta}(x) : \eta \in A \}$ . The  $\xi$ -orbit of x is eventually constant, if there is  $\alpha < \xi$  such that  $f_{\alpha}(x) = f_{\eta}(x)$  for  $\alpha \leq \eta < \xi$ , and in this case, x is called a  $\xi$ -stable point. We say that x and y are  $\xi$ -distinct if their  $\xi$ -orbits are disjoint, i.e.  $f_{\eta}(x) \neq f_{\eta}(y)$  for all  $\eta < \xi$ . We say that  $x \in P$  is a  $\xi$ -regular point if there exists  $y \in P$  which is  $\xi$ -distinct from x and such that  $y || f_{\alpha}(x)$  for some  $\alpha < \xi$ . The point  $x \in P$  is  $\xi$ -bad if it is neither  $\xi$ -stable nor  $\xi$ -regular. If  $\xi = \eta + 1$  is a successor, then every  $x \in P$  is  $\xi$ -stable and so there are no  $\xi$ -bad points.

For any  $x, y \in P$  and  $\xi < \lambda$ , we define

$$M_{\xi,x}(y) = \{\eta : \eta < \xi \land f_{\eta}(x) \ge y\}$$

and

$$N_{\xi,x}(y) = \{\eta : \eta < \xi \land f_{\eta}(x) \leqslant y\}.$$

If  $\xi$  is a limit ordinal, a subset  $S \subseteq \xi$  is a CA-, a CI-, or a CD-set for  $x \in P$  if S is cofinal in  $\xi$  and the set Orb(A, x) is respectively an antichain, an increasing chain or a decreasing chain in P.

Let  $\xi$  be a limit ordinal,  $x \in P$  and let A be a CA-set for x such that Orb(A, x) is bounded below. Since P is caccc, for any  $\alpha \in A$ ,

$$x_{\alpha} = \inf \{ f_{\eta}(x) \colon \eta \in A \land \eta \geqslant \alpha \}$$

exists and, by Lemma 2.1  $x_{\alpha} \in P_{\alpha}$ . Since  $\langle x_{\alpha} : \alpha \in A \rangle$  is increasing,

$$z = \sup\{x_{\alpha} \colon \alpha \in A\}$$

exists and belongs to  $P_{\xi}$  by Lemma 2.3. We call this supremum the *down-up limit* of Orb(A, x) and write

$$z = \operatorname{du-lim}\operatorname{Orb}(A, x).$$

In a similar way, if Orb(A, x) is bounded above we define the *up-down limit* ud-limOrb (A, x).

### 3.1. Statements of the 19 conditions

(1) $_{\xi}$  If  $\xi$  is a limit ordinal,  $x \in P$ ,  $i(x) < \xi$ , and if  $P(>x) \cap P_{\eta} \neq \emptyset$  ( $P(<x) \cap P_{\eta} \neq \emptyset$ ) for all  $\eta < \xi$ , then  $P(>x) \cap P_{\xi} \neq \emptyset$  ( $P(<x) \cap P_{\xi} \neq \emptyset$ ).

 $(2)_{\xi}$  If  $\xi$  is a limit,  $i(x) < \xi$ , if  $I(>x) \neq \emptyset$  and  $P(>x) \cap P_{\xi} = \emptyset$   $(I(<x) \neq \emptyset$  and  $P(<x) \cap P_{\xi} = \emptyset$ ), then max I(>x) (max I(<x)) exists and is less than  $\xi$ .

 $(3)_{\xi} P_{\xi} \neq \emptyset$ , and there is no  $\xi$ -bad point.

 $(4)_{\xi}$  If  $\xi$  is a limit, x < y and x, y are  $\xi$ -distinct, then either there exists  $\alpha < \xi$  such that  $M_{\xi,y}(f_{\alpha}(x))$  is cofinal in  $\xi$  or there exists  $\beta < \xi$  such that  $N_{\xi,x}(f_{\beta}(y))$  is cofinal in  $\xi$ .

 $(5)_{\xi}$  If  $\xi$  is a limit,  $x \in P$ , and A is a cofinal subset of  $\xi$ , then A contains either a CA-set, a CD-set or a CI-set for x.

 $(6)_{\xi}$  If  $\xi$  is a limit ordinal and  $x \in P$ , then there is a cofinal subset  $A \subseteq \xi$  such that Orb(A, x) is either bounded above or bounded below in *P*.

 $(7)_{\xi}$  If  $\xi$  is a limit ordinal and  $x \in P$  and if A and B are both CD-sets (CI-sets) in  $\xi$  for x, then

 $\inf \operatorname{Orb}(A, x) = \inf \operatorname{Orb}(B, x) \quad (\sup \operatorname{Orb}(A, x) = \sup \operatorname{Orb}(B, x))$ 

(8) $_{\xi}$  If  $\xi$  is a limit ordinal,  $x \in P$ , and A is a cofinal subset of  $\xi$ , then either (i) every maximal subset  $B \subseteq A$  such that Orb(B, x) is an antichain is cofinal in  $\xi$  or (ii) no such B is cofinal in  $\xi$ .

(9) $\xi$  If  $\xi$  is a limit ordinal,  $x, y \in P$ , and if  $M_{\xi,x}(y)$   $(N_{\xi,x}(y))$  is cofinal in  $\xi$  and there is a CA-set in  $M_{\xi,x}(y)$   $(N_{\xi,x}(y))$  for x, then  $M_{\xi,x}(y)$   $(N_{\xi,x}(y))$  is a final segment of  $\xi$ , i.e. it is a set of form  $\{\eta : \alpha \leq \eta < \xi\}$  for some  $\alpha < \xi$ .

(10) $\xi$  If  $\xi$  is a limit ordinal,  $x \in P$ , and if A and B are CA-sets in  $\xi$  for x such that Orb(A,x) and Orb(B,x) are both bounded below (above), then

du-  $\lim \operatorname{Orb}(A, x) = \operatorname{du-} \lim \operatorname{Orb}(B, x)$  (ud- $\operatorname{Orb}(A, x) = \operatorname{ud-} \lim \operatorname{Orb}(B, x)$ ).

 $(11)_{\xi}$  If  $\xi$  is a limit ordinal,  $x \in P$ , and if A is a CD-set (CI-set) in  $\xi$  for x, and B is a CA-set in  $\xi$  for x such that Orb(B,x) is bounded below (above), then

 $\inf \operatorname{Orb}(A, x) = \operatorname{du-lim} \operatorname{Orb}(B, x) \quad (\sup \operatorname{Orb}(A, x) = \operatorname{ud-lim} \operatorname{Orb}(B, x)).$ 

If  $\xi$  is a limit ordinal,  $x \in P$ , and if  $\xi$  contains either a CD-set (CI-set) A for x or a CA-set B for x such that Orb(B, x) is bounded below (above), then we define the *down-limit* (*up-limit*) of  $Orb(\xi, x)$ , which we denote by  $s_{\xi}(x)$  ( $t_{\xi}(x)$ ) to be either the infimum (supremum) of Orb(A, x) or the du-limit (ud-limit) of Orb(B, x). By  $(7)_{\xi}$ ,  $(10)_{\xi}$  and  $(11)_{\xi}$ , we see that these definitions of  $s_{\xi}(x)$  and  $t_{\xi}(x)$ , when they exist, do not depend upon the choices of A or B.

 $(12)_{\xi}$  If  $\xi$  is a limit ordinal and  $x \in P$ , then

- (a) either  $s_{\zeta}(x)$  or  $t_{\zeta}(x)$  exists;
- (b) if it exists, then  $s_{\xi}(x)$  ( $t_{\xi}(x)$ ) belongs to  $P_{\xi}$ .

(13) $\xi$  If  $\xi$  is a limit ordinal,  $x, y \in P$ , and if  $M_{\xi,x}(y)$   $(N_{\xi,x}(y))$  contains a final segment of  $\xi$ , then  $y \leq s_{\xi}(x)$  and  $y \leq t_{\xi}(x)$   $(y \geq s_{\xi}(x)$  and  $y \geq t_{\xi}(x))$ , whenever these limits exist.

 $(14)_{\xi}$  If  $\xi$  is a limit ordinal and  $x \in P$  then  $s_{\xi}(x) \leq t_{\xi}(x)$  if both these limits exist.

 $(15)_{\xi}$  If  $\xi = \eta + 1$  is a successor ordinal, define  $f_{\xi} = g_{\eta} \circ f_{\eta}$ . If  $\xi$  is a limit ordinal and  $x \in P$ , then we define  $f_{\xi}(x)$  as follows: if  $\xi$  contains a CD-set for x, then  $f_{\xi}(x) = s_{\xi}(x)$  (which exists); if  $\xi$  contains no CD-set but contains a CI-set for x, then  $f_{\xi}(x) = t_{\xi}(x)$  (which exists); if  $\xi$  contains no CD- or CI-set, then we define  $f_{\xi}(x) = s_{\xi}(x)$  if  $s_{\xi}(x)$  exists, and  $f_{\xi}(x) = t_{\xi}(x)$  otherwise (thus  $f_{\xi}(x)$  is well defined by  $(12)_{\xi}(a)$ ).

 $(16)_{\xi}$  If  $\xi$  is a limit ordinal and  $x, y \in P$ , then

(a)  $y \ge f_{\xi}(x)$  if  $N_{\xi,x}(y)$  is cofinal in  $\xi$ ;

(b)  $y \leq f_{\xi}(x)$  if  $M_{\xi,x}(y)$  contains a final segment of  $\xi$ ;

(c) if  $M_{\xi,x}(y)$  is cofinal in  $\xi$  and  $y \not\leq f_{\xi}(x)$ , then there exists a CD-set D in  $\xi$  for x such that  $t_{\xi}(x) = \inf \operatorname{Orb}(D, x)$  and  $M_{\xi,x}(y) \cap M_{\xi,x}(f_{\xi}(x))$  is cofinal in  $\xi$ .

 $(17)_{\xi} f_{\xi}$  is a retraction and  $P_{\xi} = f_{\xi}[P]$  is a retract (and hence  $P_{\xi}$  is connected). (18)<sub> $\xi$ </sub> For any  $x, y \in P$ ,

$$\alpha \leq \beta \leq \xi \wedge f_{\alpha}(x) \leq y \wedge y \in P_{\xi} \to f_{\beta}(x) \leq y$$

and

$$\alpha \leqslant \beta \leqslant \xi \land f_{\alpha}(x) \geqslant y \land y \in P_{\xi} \to f_{\beta}(x) \geqslant y.$$

 $(19)_{\xi} f_{\xi} \circ f_{\eta} = f_{\eta} \circ f_{\xi} = f_{\xi} \text{ for any } \eta \leq \xi.$ 

When the induction is completed,  $(17)_{\xi}$  implies the desired conclusion that  $P_{\xi}$  is a retract of P for all  $\xi \leq \lambda$ .

3.2. Proof of  $(1)_{\xi}$ 

Suppose that  $P(>x) \cap P_{\eta} \neq \emptyset$  for all  $\eta < \xi$ . We want to show that there exists  $z \in P_{\xi}$  with x < z. Fix a cofinal increasing sequence  $\langle \eta_{\alpha} : \alpha < cf(\xi) \rangle$  in  $\xi$  with  $\eta_0 > i(x)$ . For each  $\alpha < cf(\xi)$ , since  $P_{\eta_z}$  is chain complete there is a maximal element  $y_{\alpha}$  of  $P_{\eta_z}$  such that  $x < y_{\alpha}$ . Then  $y_{\alpha} \notin y_{\beta}$  for  $\alpha < \beta < cf(\xi)$ . We consider two cases.

*Case* 1. There is a cofinal subset A of  $cf(\xi)$  such that  $\{y_{\alpha} : \alpha \in A\}$  is an antichain.

Since x is a lower bound of  $Y_{\alpha} = \{y_{\beta} : \beta \in A \land \beta \ge \alpha\}$ ,  $z_{\alpha} = \inf Y_{\alpha}$  exists and it belongs to  $P_{\eta_{\alpha}}$  by Lemma 2.1. Obviously,  $\langle z_{\alpha} : \alpha \in A \rangle$  is increasing and so  $z = \sup\{z_{\alpha} : \alpha \in A\}$  exists and belongs to  $P_{\zeta}$  by Lemma 2.3. Note that  $z_0 \in P_{\eta_0}$  and  $i(x) < \eta_0$ , hence  $x \neq z_0$  and so  $x < z_0 \le z$ .

Case 2. Whenever  $A \subseteq cf(\xi)$  and  $\{y_{\alpha} : \alpha \in A\}$  is an antichain, then A is not cofinal in  $\xi$ .

Let A be a maximal subset of  $cf(\xi)$  such that  $\{y_{\alpha} : \alpha \in A\}$  is an antichain. Then A is not cofinal in  $cf(\xi)$  and so there is  $\mu < cf(\xi)$  such that  $\alpha < \mu$  for all  $\alpha \in A$ . For  $\mu \leq \beta < cf(\xi)$ , there is  $\alpha \in A$  such that  $y_{\alpha} || y_{\beta}$  and hence  $y_{\beta} \leq y_{\alpha}$ . We have

$$\{\beta: \mu \leq \beta < \operatorname{cf}(\xi)\} = \cup \{B_{\alpha}: \alpha \in A\},\$$

where  $B_{\alpha} = \{\beta \colon \mu \leq \beta < \operatorname{cf}(\xi) \land y_{\beta} \leq y_{\alpha}\}$ . Since  $\operatorname{cf}(\xi)$  is a regular cardinal and  $|A| < \operatorname{cf}(\xi)$ ,  $B_{\alpha}$  is cofinal in  $\operatorname{cf}(\xi)$  for some  $\alpha \in A$ . For any  $\beta \in B_{\alpha}$ ,  $y_{\alpha} \geq y_{\beta} \in P_{\eta_{\beta}}$  and therefore  $y_{\beta} \leq f_{\eta_{\beta}}(y_{\alpha}) \in P_{\eta_{\beta}}$  since  $f_{\eta_{\beta}}$  is a retraction by  $(17)_{\eta_{\beta}}$ . Since  $y_{\beta}$  is a maximal element of  $P_{\eta_{\beta}}$  it follows that  $y_{\beta} = f_{\eta_{\beta}}(y_{\alpha})$ . If  $\beta, \gamma \in B_{\alpha}$  and  $\gamma \leq \beta$ , then  $\eta_{\alpha} \leq \eta_{\gamma} \leq \eta_{\beta}$ ,  $f_{\eta_{\gamma}}(y_{\alpha}) = y_{\alpha} \geq y_{\beta}$  and  $y_{\beta} \in P_{\eta_{\beta}}$ , it follows from  $(18)_{\eta_{\beta}}$  that  $y_{\beta} \leq y_{\gamma} = f_{\eta_{\gamma}}(y_{\alpha}) \leq y_{\alpha}$ . Thus,  $\langle y_{\beta} \colon \beta \in B_{\alpha} \rangle$  is a decreasing sequence with x as a lower bound. Let  $z = \inf\{y_{\beta} \colon \beta \in B_{\alpha}\}$ . Then  $x \leq z$  and, by Lemma 2.3,  $z \in P_{\xi}$ . Since  $i(x) < \xi$ , it follows that x < z.  $\Box$ 

# 3.3. Proof of $(2)_{\xi}$

It follows from  $(1)_{\xi}$  that I(>x) is not cofinal in  $\xi$  so that  $\eta = \sup I(>x) < \xi$ . If  $\eta \notin I(>x)$ , then  $\eta$  is a limit ordinal and  $P(>x) \cap P_{\xi} \neq \emptyset$  for all  $\zeta < \eta$ . Therefore,  $P(>x) \cap P_{\eta} \neq \emptyset$  by  $(1)_{\eta}$  and hence  $\eta \in I(>x)$ . This contradiction shows that  $\eta \in I(>x)$  and hence that  $\max I(>x) = \eta < \xi$ .  $\Box$ 

# 3.4. Proof of $(3)_{\xi}$

We first show  $P_{\xi} \neq \emptyset$ . If  $\xi = \eta + 1$  is a successor, then  $P_{\eta} \neq \emptyset$  by  $(3)_{\eta}$ . Since  $P_{\xi}$  is a  $\leq_{\eta}$ -good subset of  $P_{\eta}$  it is a retract of  $P_{\eta}$  and therefore non-empty by Theorem 3.4(2) of [1]. Now assume that  $\xi$  is a limit and suppose for a contradiction that  $P_{\xi} = \emptyset$ . We shall inductively define a sequence  $\langle a_n : n < \omega \rangle$  in P and a sequence  $\langle \eta_n : n < \omega \rangle$  in  $\xi$  satisfying conditions (i)–(iv). The conditions (iii) and (iv) immediately give the desired contradiction since these imply that  $\langle a_n : n < \omega \rangle$  is a one-way infinite fence in P.

- (i)  $\eta_m < \eta_n$  for  $m < n < \omega$ .
- (ii)  $I(>a_n) \neq \emptyset$  if n is even;  $I(<a_n) \neq \emptyset$  if n is odd.
- (iii)  $i(a_n) = \eta_n$ . If n > 0 is even  $\eta_n = \max I(< a_{n-1})$  and  $a_n$  is a minimal element of  $P_{\eta_n}$  such that  $a_n < a_{n-1}$ , and if n is odd  $\eta_n = \max I(> a_{n-1})$  and  $a_n$  is a maximal element of  $P_{\eta_n}$  such that  $a_n > a_{n-1}$ .
- (iv)  $a_n \perp a_m$  if  $m \leq n-2$ .

To start, choose  $a_0$  to be a minimal element of P and let  $\eta_0 = i(a_0)$ . By assumption,  $\eta_0 < \xi$ . Also  $P(>a_0) \neq \emptyset$  since  $\xi > 0$  and P is connected. Suppose that n > 0 and that the  $a_i$  and  $\eta_i$  have been suitably defined for i < n. If n is odd, then by the induction hypothesis  $I(>a_{n-1}) \neq \emptyset$  and so by  $(2)_{\xi}$ ,  $\eta_n = \max I(>a_{n-1})$  exists and is less than  $\xi$ . There is  $y > a_{n-1}$  such that  $i(y) \ge i(a_{n-1}) = \eta_{n-1}$ . By Theorem 3.4(1) in [1],  $g_{\eta_{n-1}}(y) \ge a_{n-1}$ . But since  $g_{\eta_{n-1}}(y) \in P_{\eta_{n-1}+1}$  and  $a_{n-1} \notin P_{\eta_{n-1}+1}$ , it follows that  $g_{\eta_{n-1}}(y) > a_{n-1}$ . Therefore,  $\eta_n = \max I(>a_{n-1}) > \eta_{n-1}$  and (i) holds for n. There is  $z > a_{n-1}$  such that  $i(z) = \eta_n$  and since  $P_{\eta_n}$  is chain complete, there is a maximal element  $a_n$  of  $P_{\eta_n}$  such that  $z \le a_n$  and so (iii) also holds for n. Since the ANTIperfect sequence  $\Pi$  is strictly decreasing, the set  $P_{\eta_n}$  contains elements other than  $a_n$ , and since it is connected by  $(17)_{\eta_n}$  and  $a_n$  is maximal there is an element of  $P_{\eta_n}$  strictly less than  $a_n$ . Therefore,  $P_{\eta_n}(< a_n) \ne \emptyset$  and (ii) holds. Let  $m \le n - 2$ . If m is even, then  $a_m$  is a minimal element of  $P_{\eta_m}$  and hence  $a_n \ne a_m$  since  $a_n \in P_{\eta_n} \subseteq P_{\eta_m}$ . Also,  $a_n \ne a_m$  since  $i(a_n) = \eta_n > \eta_{m+1} = \max I(> a_m)$ . Thus (iv) holds in this case since  $i(a_n) = \eta_n > \eta_m = i(a_m)$ , and so  $a_n \ne a_m$ . Similarly, (iv) holds for odd  $m \le n - 2$ . The inductive step when n is even is similar and we omit the details.

Suppose there is a  $\xi$ -bad point  $x \in P$ . Then  $\xi$  is a limit. Since x is not  $\xi$ -regular, if  $y \in P$  is comparable with x, then it is not  $\xi$ -distinct from x, and so y is not  $\xi$ -stable. If y is  $\xi$ -regular, then there is  $z \parallel y$  such that z is  $\xi$ -distinct from y. But, for large enough  $\alpha < \xi$ , we have that  $f_{\alpha}(z) \parallel f_{\alpha}(y) = f_{\alpha}(x)$  and  $f_{\alpha}(z)$  is  $\xi$ -distinct from x, which is a contradiction. Therefore, y is also  $\xi$ -bad. Since P is connected, it follows that every point of P is  $\xi$ -bad. But  $P_{\xi} \neq \emptyset$  and points of  $P_{\xi}$  are  $\xi$ -stable. This contradiction shows that there are no  $\xi$ -bad points in P.  $\Box$ 

### 3.5. Proof of $(4)_{\tilde{z}}$

We assume the hypothesis of  $(4)_{\xi}$  and that the conclusion is false; we will obtain the contradiction that *P* contains a one-way infinite fence. By assumption, for any  $\alpha, \beta < \xi$ , neither  $M_{\xi,y}(f_{\alpha}(x))$  nor  $N_{\xi,x}(f_{\beta}(y))$  is cofinal in  $\xi$ . Therefore, there are mappings  $u, v: \xi \to \xi$  where

$$u(\alpha) = \sup M_{\xi, y}(f_{\alpha}(x)), \qquad v(\beta) = \sup N_{\xi, x}(f_{\beta}(y)).$$

We begin by proving the following five claims.

**Claim 1.** For any  $\alpha, \beta < \xi$ ,  $f_{\alpha}(x) \geq f_{\beta}(y)$ .

If  $f_{\alpha}(x) \ge f_{\beta}(y)$ , then, by (17)<sub> $\gamma$ </sub> and (19)<sub> $\gamma$ </sub>,  $f_{\gamma}(x) \ge f_{\gamma}(y)$ , for max{ $\alpha, \beta$ } <  $\gamma < \xi$ . On the other hand  $f_{\gamma}(x) \le f_{\gamma}(y)$  since x < y, and so  $f_{\gamma}(x) = f_{\gamma}(y)$ . This is a contradiction since x and y are  $\xi$ -distinct.

**Claim 2.**  $\alpha < u(\alpha)$  ( $\beta < v(\beta)$ ) for all  $\alpha < \xi$  ( $\beta < \xi$ ).

Since x, y are  $\xi$ -distinct, it follows from x < y and  $(17)_{\alpha}$  that  $f_{\alpha}(x) < f_{\alpha}(y)$ . Then by  $(15)_{\alpha+1}$  and Theorem 3.4(1) in [1],  $f_{\alpha}(x) \leq f_{\alpha+1}(y)$  and so  $\alpha + 1 \in M_{\xi,y}(f_{\alpha}(x))$ . Therefore,  $\alpha < \alpha + 1 \leq u(\alpha)$ .

**Claim 3.** For any  $\alpha < \xi$ ,  $f_{\eta}(x) \perp f_{\zeta}(y)$  for  $\eta \leq \alpha$  and  $u(\alpha) < \zeta$ . (For any  $\beta < \xi$ ,  $f_{\zeta}(y) \perp f_{\eta}(x)$  for  $\zeta \leq \beta$  and  $v(\beta) < \eta$ .)

By Claim 2,  $\eta \leq \alpha < \zeta$ , and by Claim 1, if  $f_{\eta}(x) || f_{\zeta}(y)$ , then  $f_{\eta}(x) < f_{\zeta}(y)$ . Therefore, by (18)<sub> $\zeta$ </sub>,  $f_{\alpha}(x) \leq f_{\zeta}(y)$  and so  $\zeta \in M_{\zeta,y}(f_{\alpha}(x))$ . This implies the contradiction that  $\zeta \leq u(\alpha)$ .

**Claim 4.** For any  $\alpha < \xi$ ,  $f_{\zeta}(y) \perp f_{\zeta'}(y)$  whenever  $\zeta \in M_{\xi,y}(f_{\alpha}(x))$  and  $v \circ u(\alpha) < \zeta'$ . (For any  $\beta < \xi$ ,  $f_{\eta}(x) \perp f_{\eta'}(x)$  whenever  $\eta \in N_{\xi,x}(f_{\beta}(y))$  and  $u \circ v(\beta) < \eta'$ .)

By Claim 2,  $\zeta \leq u(\alpha) < v \circ u(\alpha) < \zeta'$ . Since  $f_{\alpha}(x) \leq f_{\zeta}(y)$ , and  $\zeta' > u(\alpha)$  it follows that  $f_{\zeta}(y) \leq f_{\zeta'}(y)$ . If  $f_{\zeta'}(y) < f_{\zeta}(y)$ , then by  $(17)_{\zeta'}$ ,  $f_{\zeta'}(x) \leq f_{\zeta'}(y) < f_{\zeta}(y)$ , and this contradicts Claim 3.

**Claim 5.** For any  $\alpha < \xi$ , if  $u(\alpha) \notin M_{\xi,y}(f_{\alpha}(x))$ , then there is  $\zeta \in M_{\xi,y}(f_{\alpha}(x))$  such that  $\alpha < \zeta$  and  $f_{\zeta}(y) \ge f_{u(\alpha)}(y)$ . For any  $\beta < \xi$ ,  $v(\beta) \in N_{\xi,x}(f_{\beta}(y))$ .

Since  $u(\alpha) \notin M_{\xi,y}(f_{\alpha}(x))$ , it follows that  $u(\alpha)$  is a limit. Therefore, by  $(16)_{u(\alpha)}(c)$  $M_{u(\alpha),y}(f_{\alpha}(x)) \cap M_{u(\alpha),y}(f_{u(\alpha)}(y))$  is cofinal in  $u(\alpha)$ . By Claim 2,  $u(\alpha) > \alpha$  and so there is  $\zeta$  such that  $\alpha < \zeta < u(\alpha)$ ,  $f_{\zeta}(y) \ge f_{\alpha}(x)$  and  $f_{\zeta}(y) \ge f_{u(\alpha)}(y)$ . For the last part, suppose  $\beta < \zeta$  and  $v(\beta) \notin N_{\xi,x}(f_{\beta}(y))$ . Then  $v(\beta)$  is a limit and  $N_{v(\beta),x}(f_{\beta}(y))$  is cofinal in  $v(\beta)$ . Therefore, by  $(16)_{v(\beta)}(\alpha)$ ,  $f_{\beta}(y) \ge f_{v(\beta)}(x)$ , and so  $v(\beta) \in N_{\xi,x}(f_{\beta}(y))$ after all.

We now obtain the desired contradiction by constructing a one-way infinite fence in *P*. Inductively define ordinals  $\alpha_n, \beta_n < \xi$  for  $n < \omega$  so that  $\alpha_0 = 0$ ,  $\beta_0 = u(0)$ ,  $\alpha_{n+1} = v(\beta_n)$ , and  $\beta_{n+1} = u(\alpha_{n+1})$ . By Claim 2

$$\alpha_0 < \beta_0 < \cdots < \alpha_n < \beta_n < \cdots$$

Define  $a_n = f_{\alpha_n}(x)$   $(n < \omega)$ . If  $f_{\beta_n}(y) \ge f_{\alpha_n}(x)$ , then we define  $\gamma_n = \beta_n$ ; otherwise, by Claim 5, there is an ordinal  $\gamma_n$  such that  $\alpha_n < \gamma_n < \beta_n$  and

$$a_n = f_{\alpha_n}(x) \leq f_{\gamma_n}(y) \geq f_{\beta_n}(y) \geq f_{\alpha_{n+1}}(x).$$

Now define  $b_n = f_{in}(y)$ . From these definitions, we have

$$\alpha_0 < \gamma_0 \leq \beta_0 < \cdots < \alpha_n < \gamma_n \leq \beta_n < \cdots$$

and

 $a_0 \leq b_0 \geq \cdots \geq a_n \leq b_n \geq a_{n+1} \leq \cdots$ 

Therefore, by Claim 1, it follows that

$$a_0 < b_0 > \cdots > a_n < b_n > a_{n+1} < \cdots$$

For  $m < n < \omega$ ,

$$\alpha_m \leq \alpha_{n-1} < \beta_{n-1} = u(\alpha_{n-1}) < \gamma_n,$$

and then by Claim 3,

$$a_m = f_{\alpha_m}(x) \perp f_{\gamma_n}(y) = b_n.$$

For  $m < n - 1 < \omega$ ,

$$\gamma_m \leqslant \beta_{n-2} < \alpha_{n-1} = v(\beta_{n-2}) < \alpha_n,$$

and so by Claim 3,

$$b_m = f_{\gamma_m}(y) \perp f_{\alpha_n}(x) = a_n.$$

By Claim 5, for  $2 \le n < \omega$ ,

$$\alpha_{n-1} \in N_{\xi,x}(f_{\beta_{n-2}}(y))$$
 and  $\alpha_n > \beta_{n-1} = u \circ v(\beta_{n-2})$ 

and so, by Claim 4,

$$a_{n-1}=f_{\alpha_{n-1}}(x)\perp f_{\alpha_n}(x)=a_n.$$

It follows from  $(18)_{\alpha_n}$  that  $a_m \perp a_n$  for all m < n. In the same way, we have  $b_m \perp b_n$  for  $m < n < \omega$ . This shows that

 $\langle a_1, b_1, \ldots, a_n, b_n, \ldots \rangle$ 

is indeed a one-way infinite fence.  $\Box$ 

3.6. Proof of 
$$(5)_{\xi}$$

Let  $B \subseteq A$  be maximal such that Orb(B, x) is an antichain. If B is cofinal in A, it is a CA-set in A for x. So, we assume that B is not cofinal in A and that there is  $\alpha \in A$ such that  $\beta < \alpha$  for all  $\beta \in B$ . By the maximality of B, for  $\alpha \leq \eta < \xi$ ,  $f_{\eta}(x) || f_{\beta}(x)$  for some  $\beta \in B$  and then, by  $(18)_{\eta}$ ,  $f_{\eta}(x) || f_{\alpha}(x)$ . Let

$$D = \{\eta : \eta \in A \land \eta \ge \alpha \land f_{\eta}(x) \le f_{\alpha}(x)\}$$

and

$$I = \{\eta : \eta \in A \land \eta \ge \alpha \land f_{\eta}(x) > f_{\alpha}(x)\}.$$

By  $(18)_{\eta}$ ,  $f_{\eta'}(x) \ge f_{\eta}(x)$  for  $\eta', \eta \in D$  and  $\eta' < \eta$ , and so  $\langle f_{\eta}(x) : \eta \in D \rangle$  is decreasing. Similarly,  $\langle f_{\eta}(x) : \eta \in I \rangle$  is increasing. Since  $D \cup I$  is a final segment of A, either D or I is cofinal in A, and we conclude that A either contains a CD-set or a CI-set for x.  $\Box$ 

3.7. Proof of (6);

This is obvious when x is a  $\xi$ -stable point. So, by  $(3)_{\xi}$ , we may assume that x is  $\xi$ -regular, in other words there is  $y \in P$  such that the  $\xi$ -orbits of x and y are  $\xi$ -distinct

194

and  $y || f_{\alpha}(x)$ , say  $f_{\alpha}(x) \leq y$ , for some  $\alpha < \xi$ . Then, by  $(17)_{\alpha}$ ,  $f_{\alpha}(x) \leq f_{\alpha}(y)$ ; in fact,  $f_{\alpha}(x) < f_{\alpha}(y)$  since the  $\xi$ -orbits of x and y are  $\xi$ -distinct. By  $(4)_{\xi}$ , either (i) there is  $\beta$  ( $\alpha \leq \beta < \xi$ ) such that  $M = M_{\xi,y}(f_{\beta}(x))$  is cofinal in  $\xi$  or (ii) there is  $\gamma$  ( $\alpha \leq \gamma < \xi$ ) such that  $N = N_{\xi,x}(f_{\gamma}(y))$  is cofinal in  $\xi$ .

If (ii) holds, then we are done since Orb(N,x) is bounded above by  $f_{\gamma}(y)$ . Suppose (i) holds. Then Orb(M, y) is bounded below by  $f_{\beta}(x)$ . By  $(5)_{\xi}$  it follows that M contains either a CA-set or a CI-set or a CD-set for y. Suppose M contains the CA-set A. Since  $f_{\beta}(x)$  is a lower bound of Orb(A, y) it follows that

 $z = du - \lim Orb(A, y)$ 

exists,  $f_{\beta}(x) \leq z$  and  $z \in P_{\xi}$ . Therefore, if  $\beta \leq \eta < \xi$ , then  $(18)_{\eta}$  implies that  $f_{\eta}(x) \leq z$ and hence  $(6)_{\xi}$  holds. Suppose that M contains the CI-set I. Then  $z = \sup \operatorname{Orb}(I, y)$ exists and again  $(6)_{\xi}$  holds. Similarly, if M contains a CD-set.  $\Box$ 

3.8. Proof of  $(7)_{z}$ 

Let  $\alpha = \min A$ . For each  $\zeta \ge \alpha$  in *B*, there is  $\gamma \in A$  such that  $\gamma \ge \zeta$ . Since  $f_{\alpha}(x) \ge f_{\gamma}(x)$ , it follows by (18)<sub>7</sub> that  $f_{\gamma}(x) \le f_{\zeta}(x)$ . Hence

 $\inf \operatorname{Orb}(A, x) \leq f_{\zeta}(x),$ 

for all  $\zeta \in B$  with  $\zeta \ge \alpha$ . Therefore,

 $\inf \operatorname{Orb}(A, x) \leq \inf \operatorname{Orb}(B, x).$ 

The opposite inequality holds by symmetry.  $\Box$ 

3.9. Proof of  $(8)_{z}$ 

Suppose that B is a CA-set in A for x. We need to show that, if C is a maximal subset of A such that Orb(C,x) is an antichain, then C is cofinal in A. Suppose not. Then there is  $\alpha \in A$  such that  $\gamma < \alpha$  for all  $\gamma \in C$ . Since B is cofinal in A, there are  $\eta, \zeta \in B$  such that  $\alpha < \eta < \zeta$ . By the maximality of C there is some  $\gamma \in C$  such that  $f_{\zeta}(x) || f_{\gamma}(x)$  and it follows from  $(18)_{\zeta}$  that  $f_{\zeta}(x) || f_{\eta}(x)$ . This is a contradiction, since Orb(B,x) is an antichain.  $\Box$ 

3.10. Proof of  $(9)_{z}$ 

Let  $M_{\xi,x}(y)$  be cofinal in  $\xi$  and suppose it contains a CA-set for x. We inductively show that  $\beta \in M_{\xi,x}(y)$  for all  $\alpha \leq \beta < \xi$ , where  $\alpha = \min M_{\xi,x}(y)$ . When  $\beta$  is a limit ordinal, then by the induction hypotheses and  $(16)_{\beta}(b)$ ,  $y \leq f_{\beta}(x)$  and hence  $\beta \in M_{\xi,x}(y)$ . Suppose that  $\beta = \gamma + 1$  is a successor ordinal. In this case,  $\gamma \in M_{\xi,x}(y)$ . Let A be a maximal subset of  $M_{\xi,x}(y)$  which contains  $\gamma$  and is such that Orb(A, x) is an antichain. By  $(8)_{\xi}$ , A is a cofinal subset of  $M_{\xi,x}(y)$  and so  $B = \{\eta : \eta \in A \land \gamma \leq \eta < \xi\}$ 

is also a CA-set in  $M_{\xi,x}(y)$  for x. B is infinite and since P is cacce  $\operatorname{Orb}(B,x)$  has an infimum  $z \ge y$ . Moreover, Lemma 2.1 implies  $z \in P_{\beta}$ . By Theorem 3.4(2) in [1],  $g_{\gamma}$  is a retraction from  $P_{\gamma}$  onto  $P_{\beta}$ . Therefore, since  $z \le f_{\gamma}(x)$  it follows that  $y \le z = g_{\gamma}(z) \le g_{\gamma}(f_{\gamma}(x)) = f_{\beta}(x)$ , and hence  $\beta \in M_{\xi,x}(y)$ .  $\Box$ 

3.11. Proof of  $(10)_{\check{c}}$ 

Suppose that A, B are cofinal subsets of  $\xi$  such that Orb(A, x) and Orb(B, x) are both bounded below. Then

$$a = du - \lim Orb(A, x), b = du - \lim Orb(B, x),$$

both exist and  $a = \sup\{a_{\alpha} : \alpha \in A\}$ , where  $a_{\alpha} = \inf\{f_{\eta}(x) : \eta \in A \land \eta \ge \alpha\}$ , and  $b = \sup\{b_{\beta} : \beta \in B\}$ , where  $b_{\beta} = \inf\{f_{\zeta}(x) : \zeta \in B \land \zeta \ge \beta\}$ . Let  $\alpha \in A, \beta \in B, \alpha \le \beta$ .  $M_{\xi,x}(a_{\alpha})$  contains  $\{\eta : \eta \in A \land \alpha \le \eta\}$ , which is a CA-set in  $\zeta$  for x. Therefore,  $M_{\xi,x}(a_{\alpha})$  is a final segment of  $\zeta$  by  $(9)_{\zeta}$ . It follows that  $a_{\alpha} \le f_{\zeta}(x)$  for all  $\zeta \in B$  with  $\beta \le \zeta$  and hence  $a_{\alpha} \le b_{\beta} \le b$ . Since  $\alpha \in A$  was arbitrary, we have that  $a \le b$ . By symmetry, we also have that  $b \le a$ .  $\Box$ 

3.12. Proof of  $(11)_{\xi}$ 

Suppose that A is a CD-set and B is a CA-set in  $\xi$  for x such that Orb(B,x) is bounded below. Then

$$a = \inf \operatorname{Orb}(A, x)$$
 and  $b = \operatorname{du-lim} \operatorname{Orb}(B, x)$ 

both exist. By definition,  $b = \sup\{b_{\beta} : \beta \in B\}$ , where  $b_{\beta} = \inf\{f_{\zeta}(x) : \zeta \in B \land \beta \leqslant \zeta\}$ . Let  $\alpha = \min A$ ,  $\beta \in B$  and  $\alpha < \beta$ . Note that  $a \leqslant f_{\alpha}(x)$  and, by Lemma 2.3,  $a \in P_{\zeta} \subseteq P_{\zeta}$  for all  $\zeta < \zeta$ . Then,  $(18)_{\zeta}$  ensures that  $a \leqslant f_{\zeta}(x)$  for all  $\zeta \in B$  with  $\zeta \geqslant \beta$ . Hence,  $a \leqslant b_{\beta} \leqslant b$ . On the other hand, for each  $\beta \in B$ ,  $M_{\zeta,x}(b_{\beta})$  contains the CA-set  $\{\zeta : \zeta \in B \land \beta \leqslant \zeta\}$  for x and so, by  $(9)_{\zeta}$ ,  $M_{\zeta,x}(b_{\beta})$  is a final segment of  $\zeta$ . Therefore,  $b_{\beta} \leqslant f_{\eta}(x)$  for all  $\eta \in A$  with  $\beta \leqslant \eta$  and hence  $b_{\beta} \leqslant a$ . Since this holds for all  $\beta \in B$ , it follows that  $b \leqslant a$ .  $\Box$ 

### 3.13. Proof of $(12)_{\ddot{c}}$

By  $(6)_{\xi}$ , we may assume that there is a cofinal subset  $A \subseteq \xi$  such that Orb(A, x) is bounded below in P. It follows from  $(5)_{\xi}$  that A either contains a CA-set B for x or a CD-set D for x or a CI-set I for x. If such a B exists then  $s_{\xi}(x) = du-lim Orb(B, x)$ exists; if such a D exists, then again  $s_{\xi}(x) = inf Orb(D, x)$  exists; similarly, if such an I exists, then  $t_{\xi}(x) = \sup Orb(I, x)$  exists. This proves (a).

We now show that, if it exists,  $s_{\xi}(x) \in P_{\xi}$ . If  $s_{\xi}(x) = \inf \operatorname{Orb}(D, x)$  for some CD-set D in  $\xi$  for x, then  $s_{\xi}(x) \in P_{\xi}$  by Lemma 2.3. If  $s_{\xi}(x) = \sup\{a_x : x \in B\}$ , where B is a CA-set in  $\xi$  for x, and  $a_x = \inf\{f_{\eta}(x) : \eta \in B \land \alpha \leq \eta\}$  then  $a_x \in P_x$  for all  $\alpha \in B$ 

by Lemma 2.1, and therefore  $s_{\xi}(x) \in P_{\xi}$  by Lemma 2.3. Similarly,  $t_{\xi}(x) \in P_{\xi}$  if it exists.  $\Box$ 

3.14. Proof of  $(13)_{\tilde{e}}$ 

Suppose  $M_{\xi,x}(y)$  contains a final segment of  $\xi$  and that  $s_{\xi}(x)$  exists. Then there is  $\gamma < \xi$  such that  $y \leq f_{\eta}(x)$  for  $\gamma \leq \eta < \xi$ . If there is a CD-set *D* in  $\xi$  for *x*, then  $s_{\xi}(x)$  is defined as inf Orb(*D*, *x*). We can assume that  $\eta \geq \gamma$  for  $\eta \in D$  and therefore, by  $(7)_{\xi}$ ,  $s_{\xi}(x) \geq y$ . Otherwise,  $s_{\xi}(x) =$ du-lim Orb(*A*, *x*), where *A* is a CA-set in  $\xi$  for *x*. Again we can assume that  $\eta \geq \gamma$  for  $\eta \in A$ . Then by definition,  $s_{\xi}(x) = \sup\{a_{\chi} : \chi \in A\}$ , where  $a_{\chi} = \inf\{f_{\eta}(x) : \eta \in A \land \alpha \leq \eta\}$  and so  $y \leq a_{\chi} \leq s_{\xi}(x)$ . Similarly, if  $t_{\xi}(x)$  exists, then  $y \leq t_{\xi}(x)$ .

# 3.15. Proof of $(14)_{\xi}$

Assume that both  $s_{\xi}(x)$  and  $t_{\xi}(x)$  exist. If there is a CD-set D in  $\xi$  for x, then  $s_{\xi}(x) = \inf \operatorname{Orb}(D, x)$  and so  $s_{\xi}(x) \leq f_{x}(x)$  for some  $\alpha \in D$ . By  $(12)_{\xi}(b)$ ,  $s_{\xi}(x) \in P_{\xi} \subseteq P_{\eta}$  for all  $\eta < \xi$  and then, by  $(18)_{\eta}$ ,  $s_{\xi}(x) \leq f_{\eta}(x)$  for all  $\alpha \leq \eta < \xi$ , and hence  $M_{\xi,x}(s_{\xi}(x))$  contains a final segment of  $\xi$ . Then  $s_{\xi}(x) \leq t_{\xi}(x)$  follows from  $(13)_{\xi}$ . Otherwise,

 $s_{\xi}(x) = \operatorname{du-lim}\operatorname{Orb}(A, x)$ 

for some CA-set A in  $\xi$  for x, and in this case  $s_{\xi}(x) = \sup\{a_{\alpha} : \alpha \in A\}$ , where  $a_{\alpha} = \inf\{f_{\eta}(x) : \eta \in A \land \alpha \leq \eta\}$ . For fixed  $\alpha \in A$ ,  $M_{\xi,x}(a_{\alpha})$  contains the CA-set  $\{\eta : \eta \in A \land \alpha \leq \eta\}$  in  $\xi$  for x. Then by  $(9)_{\xi}$  it follows that  $M_{\xi,x}(a_{\alpha})$  contains a final segment of  $\xi$  and hence  $a_{\alpha} \leq t_{\xi}(x)$  by  $(13)_{\xi}$ . Since  $\alpha$  is arbitrarily chosen, it follows that  $s_{\xi}(x) \leq t_{\xi}(x)$ .  $\Box$ 

3.16. Proof of  $(16)_{\xi}$ 

(a) Assume that  $N_{\xi,x}(y) = \{\eta < \xi : f_{\eta}(x) \le y\}$  is cofinal in  $\xi$ . By  $(15)_{\xi}$ ,  $f_{\xi}(x) = s_{\xi}(x)$  or  $t_{\xi}(x)$ . If  $N_{\xi,x}(y)$  contains a final segment of  $\xi$ , then  $f_{\xi}(x) \le y$  by  $(13)_{\xi}$ . Therefore, by  $(9)_{\xi}$  we can assume that  $N_{\xi,x}(y)$  contains no CA-set. Therefore, by  $(5)_{\xi}$ ,  $N_{\xi,x}(y)$  either contains a CD-set, D, or a CI-set, I. Then by  $(15)_{\xi}$ ,  $f_{\xi}(x)$  is either inf Orb(D,x) or sup Orb(A,x). But in either case,  $f_{\xi}(x) \le y$  since D (or I) is a subset of  $N_{\xi,x}(y)$ .

(b) This is an immediate consequence of  $(13)_{\xi}$  and  $(15)_{\xi}$ .

(c) Suppose that  $M_{\xi,x}(y)$  is cofinal in  $\xi$  but  $y \notin f_{\xi}(x)$ . We prove the following two claims.

#### **Claim 1.** $M_{\xi,x}(y)$ contains a CI-set I for x.

If  $M_{\xi,x}(y)$  contains a CD-set D for x, then, by  $(7)_{\xi}$ ,  $(11)_{\xi}$  and  $(15)_{\xi}$ ,

 $y \leq \inf \operatorname{Orb}(D, x) = f_{\xi}(x),$ 

which is a contradiction. If  $M_{\xi,x}(y)$  contains a CA-set for x, then, by  $(9)_{\xi}$ , it is a final segment of  $\xi$  and so by  $(13)_{\xi}$  we have the same contradiction that  $y \leq f_{\xi}(x)$ . Therefore, by  $(5)_{\xi}$ ,  $M_{\xi,x}(y)$  contains a CI-set I for x.

**Claim 2.**  $\xi$  contains a CD-set D for x and  $f_{\xi}(x) = \inf \operatorname{Orb}(D, x)$ .

Assume that no such CD-set exists. Then by  $(15)_{\zeta}$ ,

$$f_{\zeta}(x) = \sup \operatorname{Orb}(I, x) \ge y$$

since I is a CI-set in  $\xi$  for x, and this is a contradiction. Hence there is a CD-set D and  $f_{\xi}(x) = \inf \operatorname{Orb}(D, x)$  by  $(15)_{\xi}$ .

**Claim 3.**  $M_{\xi,x}(y) \cap M_{\xi,x}(f_{\xi}(x))$  is cofinal in  $\xi$ .

Let  $\alpha = \min D$ . For  $\alpha \leq \eta < \xi$ , by (15) $_{\xi}$  and (12) $_{\xi}$ (b) we have that  $f_{\xi}(x) \in P_{\xi} \subseteq P_{\eta}$ . By (18) $_{\eta}$ ,  $f_{\xi}(x) \leq f_{x}(x)$  implies  $f_{\xi}(x) \leq f_{\eta}(x)$ . Therefore,  $M_{\xi,x}(f_{\xi}(x))$  contains a final segment of  $\xi$  and so  $M_{\xi,x}(y) \cap M_{\xi,x}(f_{\xi}(x))$  is cofinal in  $\xi$ .  $\Box$ 

# 3.17. Proof of $(17)_{\xi}$

If  $\xi = \eta + 1$  is a successor ordinal then  $f_{\xi} = g_{\eta} \circ f_{\eta}$  by  $(15)_{\xi}$ .  $f_{\eta}$  is a retraction by  $(17)_{\eta}$  and  $g_{\eta}$  is a retraction by Theorem 3.4(2) in [1]. Hence,  $f_{\xi}$  is a retraction. We now assume that  $\xi$  is a limit ordinal.

By  $(12)_{\xi}(b)$  and  $(15)_{\xi}$  it follows that  $f_{\xi}(x) \in P_{\xi}$  for any  $x \in P$  and so it is enough to verify that  $f_{\xi}$  is order preserving and that  $x = f_{\xi}(x)$  for  $x \in P_{\xi}$ . If  $x \in P_{\xi}$ , then  $x \in P_{\eta}$  for  $\eta < \xi$ , and so  $x = f_{\eta}(x)$  by  $(17)_{\eta}$ . Therefore,  $\xi$  itself is a CD-set for x, so  $f_{\xi}(x) = \inf \operatorname{Orb}(\xi, x) = x$ .

Suppose that  $x, y \in P$  and x < y, we want to show that  $f_{\xi}(x) \leq f_{\xi}(y)$ . If the  $\xi$ -orbits of x and y are not  $\xi$ -disjoint, then  $f_{\xi}(x) = f_{\xi}(y)$ . Therefore, by  $(4)_{\xi}$ , we may assume that either (a) there exists  $\alpha < \xi$  such that  $M_{\xi,y}(f_{\alpha}(x))$  is cofinal in  $\xi$  or (b) there exists  $\beta < \xi$  such that  $N_{\xi,x}(f_{\beta}(y))$  is cofinal in  $\xi$ .

Suppose (a) holds. If  $f_{\alpha}(x) \leq f_{\xi}(y)$ , by  $(18)_{\eta}$ ,  $f_{\eta}(x) \leq f_{\xi}(y)$  for all  $\alpha \leq \eta < \xi$ , and so  $N_{\xi,x}(f_{\xi}(y))$  contains a final segment of  $\xi$ . Then, by  $(16)_{\xi}(a)$ ,  $f_{\xi}(x) \leq f_{\xi}(y)$ . On the other hand, if  $f_{\alpha}(x) \leq f_{\xi}(y)$ , then by  $(16)_{\xi}(c)$ , there is a CD-set *D* in  $\xi$  for *y* such that  $f_{\xi}(y) = \inf \operatorname{Orb}(D, y)$ . If  $\zeta, \eta \in D$ , and  $\zeta \leq \eta$ , then by  $(17)_{\eta}$ ,  $f_{\zeta}(y) \geq f_{\eta}(y) \geq f_{\eta}(x)$ . Therefore,  $N_{\xi,x}(f_{\zeta}(y))$  is cofinal in  $\xi$ , and hence  $f_{\zeta}(y) \geq f_{\xi}(x)$  by  $(16)_{\xi}(a)$ . Thus  $f_{\xi}(x)$  is a lower bound of  $\operatorname{Orb}(D, y)$  and so  $f_{\xi}(x) \leq f_{\xi}(y)$ .

If (b) holds, by  $(16)_{\xi}(a)$ ,  $f_{\xi}(x) \leq f_{\beta}(y)$  and then, by  $(18)_{\zeta}$ ,  $f_{\xi}(x) \leq f_{\zeta}(y)$  for  $\beta \leq \zeta < \xi$ . Therefore,  $M_{\zeta,y}(f_{\zeta}(x))$  contains a final segment of  $\xi$  and so  $f_{\zeta}(x) \leq f_{\xi}(y)$  by  $(16)_{\xi}(b)$ .

3.18. Proof of  $(18)_{z}$ 

Let  $\alpha \leq \beta \leq \xi$ ,  $f_{\alpha}(x) \leq y$  and  $y \in P_{\xi}$ . For  $\beta < \xi$ , we see that  $f_{\beta}(x) \leq y$  by  $(18)_{\beta}$ . So, we need only consider the case when  $\alpha < \beta = \xi$ . If  $\xi = \eta + 1$  is a successor ordinal, then  $f_{\eta}(x) \leq y$  by  $(18)_{\eta}$ . Then  $(15)_{\xi}$  and Theorem 3.4(2) of [1] imply  $f_{\xi}(x) \leq y$ . If  $\xi$  is a limit ordinal, using  $(18)_{\eta}$ , we have  $f_{\eta}(x) \leq y$  for all  $\alpha \leq \eta < \xi$ . Therefore,  $N_{\xi,x}(y)$  contains a final segment of  $\xi$  and then, by  $(16)_{\xi}(a)$ ,  $f_{\xi}(x) \leq y$ . The second implication of  $(18)_{\xi}$  follows in essentially the same way, the only difference is that we use  $(16)_{\xi}(b)$  instead of  $(16)_{\xi}(a)$ .  $\Box$ 

3.19. Proof of (19);

If  $\eta \leq \xi$  and  $x \in P$ , then by  $(17)_{\xi} f_{\xi}(x) \in P_{\xi} \subseteq P_{\eta}$ , and so by  $(17)_{\eta}$ ,  $f_{\eta}(f_{\xi}(x)) = f_{\xi}(x)$ , i.e.  $f_{\eta} \circ f_{\xi} = f_{\xi}$ . Suppose  $\eta < \xi$ . If  $\xi = \zeta + 1$ , then by  $(19)_{\zeta}$  and  $(15)_{\xi}$ ,

$$f_{\xi}(f_{\eta}(x)) = g_{\xi}(f_{\zeta}(f_{\eta}(x))) = g_{\xi}(f_{\zeta}(x)) = f_{\xi}(x).$$

Also, if  $\xi$  is a limit and  $\eta \leq \zeta < \xi$ , then by  $(19)_{\zeta}$ ,  $f_{\zeta}(x) = f_{\zeta}(f_{\eta}(x))$  and so the  $\xi$ -orbits of x and  $f_{\eta}(x)$  are eventually the same, and therefore,  $f_{\xi}(x) = f_{\xi}(f_{\eta}(x))$  by  $(15)_{\xi}$ . In either case,  $f_{\xi} \circ f_{\eta} = f_{\xi}$ .  $\Box$ 

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#### References

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