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# A note on the Cochrane sum and its hybrid mean value formula<sup>☆</sup>

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## Abstract

In this paper, we use the properties of Gauss sums, primitive characters and the mean value theorems of Dirichlet L-functions to study the hybrid mean value of Cochrane sums and general Kloosterman sums, and give two sharp asymptotic formulae.

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*Keywords:* Cochrane sums; General Kloosterman sums; Asymptotic formula

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## 1. Introduction

For a positive integer  $k$  and an arbitrary integer  $h$ , the Dedekind sum  $S(h, k)$  is defined by

$$S(h, k) = \sum_{a=1}^k \left( \left( \frac{a}{k} \right) \right) \left( \left( \frac{ah}{k} \right) \right),$$

where

$$\left( (x) \right) = \begin{cases} x - [x] - 1/2 & \text{if } x \text{ is not an integer,} \\ 0 & \text{if } x \text{ is an integer.} \end{cases}$$

Conrey et al. [1] studied the mean value distribution of  $S(h, k)$  and proved the following important asymptotic formula

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$$\sum_{h=1}^k |S(h, k)|^{2m} = f_m(k) \left(\frac{k}{12}\right)^{2m} + O((k^{9/5} + k^{2m-1+1/(m+1)}) \log^3 k),$$

where  $\sum'_h$  denotes the summation over all  $h$  such that  $(k, h) = 1$ , and

$$\sum_{n=1}^{\infty} \frac{f_m(n)}{n^s} = 2 \frac{\zeta^2(2m)}{\zeta(4m)} \frac{\zeta(s+4m-1)}{\zeta^2(s+2m)} \zeta(s).$$

In the spirit of [1] and [2], the first author [3] obtained a sharper asymptotic formula for  $\sum_{h=1}^k |S(h, k)|^2$ . That is,

$$\sum_{h=1}^k |S(h, k)|^2 = \frac{5}{144} k \phi(k) \frac{\prod_{p^\alpha \parallel k} [(1 + \frac{1}{p})^2 - \frac{1}{p^{3\alpha+1}}]}{\prod_{p|k} (1 + \frac{1}{p} + \frac{1}{p^2})} + O\left(k \exp\left(\frac{4 \ln k}{\ln \ln k}\right)\right)$$

for any integer  $k > 2$ , where  $\exp(y) = e^y$ ,  $\phi(k)$  is the Euler function,  $\prod_{p^\alpha \parallel k}$  denotes the production over all prime divisors of  $k$  with  $p^\alpha | k$  and  $p^{\alpha+1} \nmid k$ .

In October 2000, during his visiting in Xi'an, Professor T. Cochrane introduced a sum analogous to the Dedekind sum as follows:

$$C(h, k) = \sum_{a=1}^k \left(\left(\frac{\bar{a}}{k}\right)\right) \left(\left(\frac{ah}{k}\right)\right),$$

where  $\bar{a}$  is defined by the equation  $a\bar{a} \equiv 1 \pmod k$ . He asked us to study the arithmetical properties and mean value distribution properties of  $C(h, k)$ . About this problem, we know very little. Recently, Zhang and Yi [4] studied the upper bound estimate of Cochrane sums, and gave the following sharper upper bound estimates

$$|C(h, k)| \ll \sqrt{k} d(k) \ln^2 k$$

and

$$\sum_{h=1}^{p-1} C^2(h, p) = \frac{5}{144} p^2 + O\left(p \exp\left(\frac{4 \ln p}{\ln \ln p}\right)\right),$$

where  $d(k)$  is the divisor function.

In Ref. [5], the first author found that there are some relationships between  $C(h, k)$  and Kloosterman sums

$$K(m, n; k) = \sum_{b=1}^k e\left(\frac{mb + n\bar{b}}{k}\right),$$

where  $e(y) = e^{2\pi iy}$ . For example, if  $k$  is a square-full number (i.e.,  $p|k$  if and only if  $p^2|k$ ), then we have the following asymptotic formula:

$$\sum_{h=1}^k K(h, 1; k) C(h, k) = \frac{-1}{2\pi^2} k \phi(k) + O\left(k \exp\left(\frac{3 \ln k}{\ln \ln k}\right)\right).$$

For general integer  $k \geq 3$ , the first author [6] proved the asymptotic formula

$$\sum_{h=1}^k K(h, 1; k)C(h, k) = \frac{-1}{2\pi^2} k\phi(k) \prod_{p|k} \left(1 - \frac{1}{p(p-1)}\right) + O(k^{3/2+\epsilon}),$$

where  $\epsilon$  be any fixed positive number. In [7], the first author also studied the asymptotic property of a hybrid mean value of Cochrane sums and general Kloosterman sums

$$K(m, n, r; k) = \sum_{b=1}^k e\left(\frac{mb^r + n\bar{b}^r}{k}\right),$$

and obtained the mean value theorem

$$\sum_{h=1}^{p-1} K(h, 1, r; p)C(h, p) = \frac{-1}{2\pi^2} p^2 + O(rp^{3/2} \ln^2 p).$$

Meanwhile, he conjectured that

$$\sum_{h=1}^k K(h, 1, r; k)C(h, k) \sim \frac{-1}{2\pi^2} k\phi(k), \quad \text{as } k \rightarrow \infty,$$

holds for all integer  $k > 2$  and any fixed positive integer  $r$ .

In this paper, we studied this conjecture, and proved that it is true. Note that  $K(h, 1, r; k) = K(h, 1; k)$  if  $(r, \phi(k)) = 1$ , so we suppose  $(r, \phi(k)) > 1$ . Then we shall use the properties of Gauss sums, primitive characters and the mean value theorems of Dirichlet L-functions to prove the following two main theorems.

**Theorem 1.** For any integer  $k \geq 3$ , we have the asymptotic formula

$$\sum_{h=1}^k K(h, 1; k)C(h, k) = \frac{-1}{2\pi^2} k\phi(k) \prod_{p|k} \left(1 - \frac{1}{p(p-1)}\right) + O(k^{1+\epsilon}).$$

**Theorem 2.** For any positive integers  $k \geq 3$  and  $r$  with  $d_r = (r, \phi(k)) > 1$ , we have the asymptotic formula

$$\sum_{h=1}^k K(h, 1, r; k)C(h, k) = \frac{-1}{2\pi^2} k\phi(k) \prod_{p|k} \left(1 - \frac{1}{p(p-1)}\right) + O(d_r k^{3/2+\epsilon}).$$

It is clear that the error terms in our Theorem 1 is much better than that in Ref. [6], and our Theorem 2 is a generalization of Theorem 2 in [7].

## 2. Some lemmas

To prove the theorems, we need the following lemmas.

**Lemma 1.** Let integer  $k \geq 3$  and  $(a, k) = 1$ . Then we have

$$C(a, k) = \frac{-1}{\pi^2 \phi(k)} \sum_{\substack{\chi \pmod k \\ \chi(-1) = -1}} \bar{\chi}(a) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2,$$

where  $\chi$  denotes a Dirichlet character modulo  $k$  with  $\chi(-1) = -1$ , and  $G(\chi, n) = \sum_{b=1}^k \chi(b)e(bn/k)$  denotes the Gauss sum corresponding to  $\chi$ .

**Proof.** This is Lemma 1 of [5].  $\square$

**Lemma 2.** For any positive integer  $k$  and  $r > 1$ , let  $d_r = (r, \phi(k))$  and  $\chi_1$  be a  $d_r$ -th-order character modulo  $k$ . Then for any character  $\chi$  modulo  $k$ , we have the identities

$$\sum_{h=1}^k \bar{\chi}(h)K(h, 1; k) = \tau^2(\bar{\chi})$$

and

$$\sum_{h=1}^k \bar{\chi}(h)K(h, 1, r; k) = \tau^2(\bar{\chi}) + \tau(\bar{\chi}) \sum_{i=1}^{d_r-1} \tau(\bar{\chi}\chi_1^i),$$

where  $\tau(\chi) = G(\chi, 1) = \sum_{a=1}^k \chi(a)e(a/k)$ .

**Proof.** From the properties of Gauss sums and note  $(b, k) = 1$  we have

$$\begin{aligned} \sum_{h=1}^k \bar{\chi}(h)K(h, 1, r; k) &= \sum_{h=1}^k \bar{\chi}(h) \sum_{b=1}^k e\left(\frac{hb^r + \bar{b}^r}{k}\right) \\ &= \sum_{h=1}^k \bar{\chi}(h)e\left(\frac{h}{k}\right) \sum_{b=1}^k \chi(b^r)e\left(\frac{\bar{b}^r}{k}\right) \\ &= \tau(\bar{\chi}) \sum_{b=1}^k \bar{\chi}(b^r)e\left(\frac{b^r}{k}\right) = \tau(\bar{\chi})G(1, \bar{\chi}^r, r; k). \end{aligned}$$

It is obvious that  $G(1, \bar{\chi}, 1; k) = \tau(\bar{\chi})$ , so we have

$$\sum_{h=1}^k \bar{\chi}(h)K(h, 1; k) = \tau^2(\bar{\chi}).$$

On the other hand, let  $\chi_1$  be a  $d_r$ -th-order character modulo  $k$ , then for any integer  $1 \leq a \leq k$  with  $(a, k) = 1$ , we have the identity

$$1 + \chi_1(a) + \dots + \chi_1^{d_r-1}(a) = \begin{cases} d_r, & \text{if } a \text{ is a } d_r\text{-th residue mod } k, \\ 0, & \text{otherwise.} \end{cases}$$

Therefore, by the definition of Gauss sums, we have

$$\begin{aligned} G(1, \bar{\chi}^r, r; k) &= \sum_{b=1}^k \bar{\chi}(b^r) e\left(\frac{b^r}{k}\right) = \sum_{b=1}^k \bar{\chi}(b^{d_r}) e\left(\frac{b^{d_r}}{k}\right) \\ &= \sum_{b=1}^k (1 + \chi_1(b) + \cdots + \chi_1^{d_r-1}(b)) \bar{\chi}(b) e\left(\frac{b}{k}\right) \\ &= \tau(\bar{\chi}) + \sum_{i=1}^{d_r-1} \tau(\bar{\chi} \chi_1^i). \end{aligned}$$

This proves Lemma 2.  $\square$

**Lemma 3.** For any positive integer  $k$ , let  $\chi$  be a non-primitive character modulo  $k$ , and  $k^*$  denote the conductor of  $\chi$  with  $\chi = \chi_1 \chi_{k^*}$ . If  $(n, k) > 1$ , then we have

$$G(\chi, n) = \begin{cases} \bar{\chi}^*\left(\frac{n}{(n,k)}\right) \chi^*\left(\frac{k}{k^*(n,k)}\right) \mu\left(\frac{k}{k^*(n,k)}\right) \phi(k) \phi^{-1}\left(\frac{k}{(n,k)}\right) \tau(\chi^*), & k^* = \frac{k_1}{(n,k_1)}, \\ 0, & k^* \neq \frac{k_1}{(n,k_1)}, \end{cases}$$

where  $\chi_1$  denotes the principal character modulo  $k$ ,  $k_1$  is the largest divisor of  $k$  that has the same prime factors with  $k^*$ .

If  $(n, k) = 1$ , then we have

$$G(\chi, n) = \bar{\chi}^*(n) \chi^*\left(\frac{k}{k^*}\right) \mu\left(\frac{k}{k^*}\right) \tau(\chi^*).$$

If  $\chi$  be a primitive character modulo  $k$ , then

$$G(\chi, n) = \bar{\chi}(n) \tau(\chi).$$

**Proof.** See Ref. [8].  $\square$

**Lemma 4.** Let  $q$  and  $r$  be integers with  $q \geq 2$  and  $(r, q) = 1$ ,  $\chi$  be a Dirichlet character modulo  $q$ . Then we have the identities

$$\sum_{\chi \bmod q}^* \chi(r) = \sum_{d|(q,r-1)} \mu\left(\frac{q}{d}\right) \phi(d)$$

and

$$J(q) = \sum_{d|q} \mu(d) \phi\left(\frac{q}{d}\right),$$

where  $\sum_{\chi \bmod q}^*$  denotes the summation over all primitive characters modulo  $q$  and  $J(q)$  denotes the number of primitive characters modulo  $q$ .

**Proof.** This is Lemma 3 of [5].  $\square$

**Lemma 5.** Let  $k = uv$ , where  $(u, v) = 1$ ,  $u$  be a square-full number or  $u = 1$ ,  $v$  be a square-free number. Then we have the asymptotic formula

$$\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu(\frac{v}{dd_1}) \mu(\frac{v}{dd_2})}{d_1 d_2 \phi(\frac{k}{d_1}) \phi(\frac{k}{d_2})} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) L^2(1, \bar{\chi}) \\ = \frac{k}{2} \prod_{p|k} \left( 1 - \frac{1}{p(p-1)} \right) + O(k^\epsilon).$$

**Proof.** Let  $\tau(n)$  be the divisor function. Then for any parameter  $N \geq ud$  and non-principal character  $\chi$  modulo  $ud$ , applying Abel’s identity we have

$$L^2(1, \bar{\chi}) = \sum_{n=1}^{\infty} \frac{\bar{\chi}(n) \tau(n)}{n} = \sum_{1 \leq n \leq N} \frac{\bar{\chi}(n) \tau(n)}{n} + \int_N^{\infty} \frac{A(y, \bar{\chi})}{y^2} dy,$$

where  $A(y, \bar{\chi}) = \sum_{N < n \leq y} \bar{\chi}(n) \tau(n)$ . We can rewrite it as

$$A(y, \bar{\chi}) = 2 \sum_{n \leq \sqrt{y}} \bar{\chi}(n) \sum_{m \leq y/n} \bar{\chi}(m) - 2 \sum_{n \leq \sqrt{N}} \bar{\chi}(n) \sum_{m \leq N/n} \bar{\chi}(m) \\ - \left( \sum_{n \leq \sqrt{y}} \bar{\chi}(n) \right)^2 + \left( \sum_{n \leq \sqrt{N}} \bar{\chi}(n) \right)^2.$$

Applying Pólya–Vinogradov inequality

$$\left| \sum_{n=a}^b \chi(n) \right| \ll \sqrt{ud} \ln(ud)$$

we have

$$\sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* |A(y, \bar{\chi})| \ll y^{1/2} (ud)^{3/2} \ln(ud).$$

Then

$$\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu(\frac{v}{dd_1}) \mu(\frac{v}{dd_2})}{d_1 d_2 \phi(\frac{k}{d_1}) \phi(\frac{k}{d_2})} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) \int_N^{\infty} \frac{A(y, \bar{\chi})}{y^2} dy \\ \ll k^\epsilon \int_N^{\infty} \frac{1}{y^2} \left( \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} |A(y, \bar{\chi})| \right) dy \ll k^\epsilon \int_N^{\infty} \frac{1}{y^2} (y^{1/2} k^{3/2}) dy \ll \frac{k^{3/2+\epsilon}}{N^{1/2}},$$

so we have

$$\begin{aligned} & \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{d_1 d_2 \phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) L^2(1, \bar{\chi}) \\ &= \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{d_1 d_2 \phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \\ & \quad \times \sum_{1 \leq n \leq N} \frac{\tau(n)}{n} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) \bar{\chi}(n) + O\left(\frac{k^{3/2+\epsilon}}{N^{1/2}}\right). \end{aligned}$$

Note that for  $(a, k) = 1$ , from Lemma 4 we have

$$\begin{aligned} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}}^* \chi(a) &= \frac{1}{2} \sum_{\chi \bmod k}^* (1 - \chi(-1)) \chi(a) = \frac{1}{2} \sum_{\chi \bmod k}^* \chi(a) - \frac{1}{2} \sum_{\chi \bmod k}^* \chi(-a) \\ &= \frac{1}{2} \sum_{u|(k, a-1)} \mu\left(\frac{k}{u}\right) \phi(u) - \frac{1}{2} \sum_{u|(k, a+1)} \mu\left(\frac{k}{u}\right) \phi(u). \end{aligned}$$

Therefore

$$\begin{aligned} & \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{d_1 d_2 \phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{1 \leq n \leq N} \frac{\tau(n)}{n} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) \bar{\chi}(n) \\ &= \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{d_1 d_2 \phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{\substack{1 \leq n \leq N \\ (n, ud)=1}} \sum_{s|(ud, d_1 d_2 n-1)} \mu\left(\frac{ud}{s}\right) \phi(s) \frac{\tau(n)}{n} \\ & \quad - \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{d_1 d_2 \phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{\substack{1 \leq n \leq N \\ (n, ud)=1}} \sum_{s|(ud, d_1 d_2 n+1)} \mu\left(\frac{ud}{s}\right) \phi(s) \frac{\tau(n)}{n} \\ &= \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{\phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) \sum_{\substack{1 \leq n \leq N \\ (n, ud)=1 \\ d_1 d_2 n \equiv 1(s)}} \frac{\tau(n)}{d_1 d_2 n} \\ & \quad - \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{\phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) \sum_{\substack{1 \leq n \leq N \\ (n, ud)=1 \\ d_1 d_2 n \equiv 1(s)}} \frac{\tau(n)}{d_1 d_2 n}. \end{aligned}$$

The main term in the above formula comes from the term corresponding to  $d_1 d_2 n = 1$  with  $d_1 = d_2 = n = 1$ , and all the other terms are the error terms. So we have

$$\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu\left(\frac{v}{dd_1}\right) \mu\left(\frac{v}{dd_2}\right)}{d_1 d_2 \phi\left(\frac{k}{d_1}\right) \phi\left(\frac{k}{d_2}\right)} \sum_{1 \leq n \leq N} \frac{\tau(n)}{n} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) \bar{\chi}(n)$$

$$\begin{aligned}
 &= \frac{1}{2} \sum_{d|v} \frac{u^2 d^2}{\phi^2(k)} \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) \\
 &\quad + O\left(\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2}{\phi(\frac{k}{d_1})\phi(\frac{k}{d_2})} \sum_{s|ud} \left|\mu\left(\frac{ud}{s}\right)\right| \phi(s) \sum_{1 \leq l \leq \frac{Nd_1 d_2}{s}} (ls+1)^{\epsilon-1}\right) \\
 &\quad + O\left(\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2}{\phi(\frac{k}{d_1})\phi(\frac{k}{d_2})} \sum_{s|ud} \left|\mu\left(\frac{ud}{s}\right)\right| \phi(s) \sum_{1 \leq l \leq \frac{Nd_1 d_2}{s}} (ls-1)^{\epsilon-1}\right) \\
 &= \frac{u^2}{2\phi^2(k)} \sum_{d|v} d^2 J(ud) + O(k^\epsilon N^\epsilon) = \frac{u^2 J(u)}{2\phi^2(k)} \sum_{d|v} d^2 J(d) + O(k^\epsilon N^\epsilon) \\
 &= \frac{u\phi^2(u)}{2\phi^2(k)} \prod_{p|v} \left[ p(p-1)^2 \left(1 - \frac{1}{p(p-1)}\right) \right] + O(k^\epsilon N^\epsilon) \\
 &= \frac{k}{2} \prod_{p||k} \left(1 - \frac{1}{p(p-1)}\right) + O(k^\epsilon N^\epsilon),
 \end{aligned}$$

where we have used the estimate  $\tau(n) \ll n^\epsilon$ , the fact that  $v$  is a square-free number and  $u$  is a square-full number, and the identity  $J(u) = \phi^2(u)/u$ , if  $u$  is a square-full number.

Taking  $N = k^3$ , we immediately get the asymptotic formula

$$\begin{aligned}
 &\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2 d^2 \mu(\frac{v}{dd_1}) \mu(\frac{v}{dd_2})}{d_1 d_2 \phi(\frac{k}{d_1}) \phi(\frac{k}{d_2})} \sum_{\substack{\chi \pmod{ud} \\ \chi(-1)=-1}}^* \bar{\chi}(d_1 d_2) L^2(1, \bar{\chi}) \\
 &= \frac{k}{2} \prod_{p||k} \left(1 - \frac{1}{p(p-1)}\right) + O(k^\epsilon).
 \end{aligned}$$

This proves Lemma 5.  $\square$

**Lemma 6.** For any positive integers  $k \geq 3$  and  $r$  with  $d_r = (r, \phi(k)) > 1$ , let  $k = uv$ , where  $(u, v) = 1$ ,  $u$  be a square-full number or  $u = 1$ ,  $v$  be a square-free number,  $\chi_1$  be a  $d_r$ -th-order character modulo  $k$ . Let

$$\begin{aligned}
 \Psi &= \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud \mu(d_1) \mu(\frac{v}{dd_2})}{d_1 d_2 \phi(\frac{k}{d_1}) \phi(\frac{k}{d_2})} \\
 &\quad \times \sum_{\substack{\chi \pmod{ud} \\ \chi(-1)=-1}}^* \bar{\chi}(d_1) \chi\left(\frac{v}{dd_2}\right) L^2(1, \bar{\chi}) \sum_{a=1}^{ud} \chi(a) e\left(\frac{a}{ud}\right) \\
 &\quad \times \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud} \chi_1^i(l \cdot ud + m) \bar{\chi}(m) e\left(\frac{l \cdot ud + m}{k}\right).
 \end{aligned}$$



Then we have the estimate

$$\Psi \ll d_r k^{1/2+\epsilon}.$$

**Proof.** From the methods of proving Lemma 5 we have

$$\begin{aligned} \Psi &= \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d_1\frac{v}{dd_2}\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \\ &\quad \times \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\ &\quad \times \sum_{a=1}^{ud} e\left(\frac{am}{ud}\right) \sum_{1 \leq n \leq N} \frac{\tau(n)}{n} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1nm) \chi(d_2am) + O\left(\frac{d_r k^{3/2+\epsilon}}{N^{1/2}}\right) \\ &= \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d_1\frac{v}{dd_2}\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \\ &\quad \times \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\ &\quad \times \sum_{a=1}^{ud} e\left(\frac{am}{ud}\right) \sum_{\substack{1 \leq n \leq N \\ (n,ud)=1}} \frac{\tau(n)}{n} \sum_{\substack{s|ud \\ \frac{d_1n}{d_2a} \equiv 1(s)}} \mu\left(\frac{ud}{s}\right) \phi(s) \\ &\quad - \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d_1\frac{v}{dd_2}\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \\ &\quad \times \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\ &\quad \times \sum_{a=1}^{ud} e\left(\frac{am}{ud}\right) \sum_{\substack{1 \leq n \leq N \\ (n,ud)=1}} \frac{\tau(n)}{n} \sum_{\substack{s|ud \\ \frac{d_1n}{d_2a} \equiv -1(s)}} \mu\left(\frac{ud}{s}\right) \phi(s) + O\left(\frac{d_r k^{3/2+\epsilon}}{N^{1/2}}\right) \\ &= \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{\frac{v}{d}\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\ &\quad \times \sum_{a=1}^{ud} e\left(\frac{am}{ud}\right) \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) \sum_{\substack{1 \leq n \leq N \\ (n,ud)=1 \\ \frac{d_1n}{d_2a} \equiv 1(s)}} \frac{\tau(n)/a}{d_1n/d_2a} \end{aligned}$$

$$\begin{aligned}
 & -\frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \\
 & \times \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud'} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\
 & \times \sum_{a=1}^{ud'} e\left(\frac{am}{ud}\right) \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) \sum_{\substack{1 \leq n \leq N \\ (n, ud)=1 \\ \frac{d_1 n}{d_2 a} \equiv -1(s)}} \frac{\tau(n)/a}{d_1 n/d_2 a} + O\left(\frac{d_r k^{3/2+\epsilon}}{N^{1/2}}\right) \\
 & = \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud'} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\
 & \times \sum_{\substack{a=1 \\ d_1|d_2 a}}^{ud'} e\left(\frac{am}{ud}\right) \frac{\tau(d_2 a/d_1)}{a} \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) + O\left(\frac{d_r k^{3/2+\epsilon}}{N^{1/2}}\right) \\
 & + O\left(\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud}{\phi(k)\phi(ud)} d_r k \sum_{a=1}^{ud'} \frac{1}{a} \sum_{s|ud} \phi(s) \sum_{1 \leq l \leq \frac{d_1 N}{d_2 a s}} (ls + 1)^{\epsilon-1}\right) \\
 & + O\left(\sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud}{\phi(k)\phi(ud)} d_r k \sum_{a=1}^{ud'} \frac{1}{a} \sum_{s|ud} \phi(s) \sum_{1 \leq l \leq \frac{d_1 N}{d_2 a s}} (ls - 1)^{\epsilon-1}\right) \\
 & = \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud'} \chi_1^i(l \cdot ud + m) e\left(\frac{l \cdot ud + m}{k}\right) \\
 & \times \sum_{\substack{a=1 \\ d_1|d_2 a}}^{ud'} e\left(\frac{\frac{k}{ud} a(l \cdot ud + m)}{k}\right) \frac{\tau(d_2 a/d_1)}{a} \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) \\
 & + O\left(\frac{d_r k^{3/2+\epsilon}}{N^{1/2}}\right) + O(d_r k^\epsilon).
 \end{aligned}$$

Taking  $N = q^3$  in the above, we get

$$\begin{aligned}
 \Psi & = \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(d_2)}{d\phi(\frac{k}{d_1})\phi(\frac{kdd_2}{v})} \sum_{i=1}^{d_r-1} \sum_{b=1}^k \chi_1^i(b) e\left(\frac{b}{k}\right) \\
 & \times \sum_{\substack{a=1 \\ d_1|d_2 a}}^{ud'} e\left(\frac{\frac{av}{d} b}{k}\right) \frac{\tau(d_2 a/d_1)}{a} \sum_{s|ud} \mu\left(\frac{ud}{s}\right) \phi(s) + O(d_r k^\epsilon)
 \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{udJ(ud)\mu(d_1)\mu(d_2)}{\frac{v}{d}\phi\left(\frac{k}{d_1}\right)\phi\left(\frac{kd_2}{v}\right)} \\
&\quad \times \sum_{i=1}^{d_r-1} \sum'_{\substack{a=1 \\ d_1|d_2a}}^{ud} \frac{\tau(d_2a/d_1)}{a} G(\chi_1^i, 1+av/d) + O(d_r k^\epsilon) \\
&\equiv \Omega + O(d_r k^\epsilon).
\end{aligned}$$

Since  $\chi_1$  is a  $d_r$ -th-order character modulo  $k$  and  $i < d_r$ ,  $\chi_1^i$  cannot be principal character modulo  $k$ . From the properties of Gauss sums we get

$$|G(\chi_1^i, 1+av/d)| \ll (1+av/d, k)k^{1/2+\epsilon}.$$

So we have

$$\begin{aligned}
\Omega &\ll \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{(ud)^2}{\phi^2(ud)} d_r k^{1/2+\epsilon} \sum_{a=1}^{ud} \frac{(1+av/d, k)}{av/d} \\
&\ll \sum_{d|v} d_r k^{1/2+\epsilon} \sum_{s|k} \sum_{a=1}^{ud} \sum_{s|1+av/d} \frac{s}{av/d} \\
&\ll \sum_{d|v} d_r k^{1/2+\epsilon} \sum_{s|k} \sum_{1 \leq l \leq \frac{k+1}{s}} \frac{s}{ls-1} \ll d_r k^{1/2+\epsilon}.
\end{aligned}$$

This proves Lemma 6.  $\square$

### 3. Proofs of the theorems

In this section, we complete the proofs of the theorems. First we prove Theorem 1. For any positive integer  $k \geq 3$ , by Lemmas 1 and 2 we have

$$\begin{aligned}
\sum_{h=1}^k K(h, 1; k)C(h, k) &= \frac{-1}{\pi^2\phi(k)} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} \left( \sum_{h=1}^k \bar{\chi}(h)K(h, 1; k) \right) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2 \\
&= \frac{-1}{\pi^2\phi(k)} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} \tau^2(\bar{\chi}) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2.
\end{aligned}$$

Let  $k = uv$ , where  $(u, v) = 1$ ,  $u$  be a square-full number or  $u = 1$ ,  $v$  be a square-free number. Note that if  $\chi^*$  is a primitive character modulo  $m$ , from the properties of Gauss sums we have

$$\tau(\chi^*)\tau(\bar{\chi}^*) = -m \quad \text{if } \chi(-1) = -1.$$

It is obvious that  $\chi^*(k/m)\mu(k/m) \neq 0$  if and only if  $m = ud$ , where  $d|v$ . So for any non-primitive character  $\chi$  modulo  $k$  with  $\chi = \chi_1\chi^*$ , from Lemma 3 we know that

$$\tau(\chi) = \chi^*\left(\frac{k}{m}\right)\mu\left(\frac{k}{m}\right)\tau(\chi^*) \neq 0 \quad \text{if and only if} \quad m = ud,$$

where  $d|v$ . On the other hand, from Lemma 3 we also have

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{G(\chi_1\chi^*, n)}{n} &= \sum_{\substack{n=1 \\ (n,ud)=1}}^{\infty} \frac{\bar{\chi}^*\left(\frac{n}{(n,uv)}\right)\chi^*\left(\frac{uv}{ud(n,uv)}\right)\mu\left(\frac{uv}{ud(n,uv)}\right)\phi(k)\tau(\chi^*)}{n\phi\left(\frac{uv}{(n,uv)}\right)} \\ &= \sum_{d_1|\frac{v}{d}} \frac{\phi(k)\chi^*\left(\frac{v}{dd_1}\right)\mu\left(\frac{v}{dd_1}\right)\tau(\chi^*)L(1, \bar{\chi}^*)}{d_1\phi\left(\frac{k}{d_1}\right)}, \end{aligned}$$

where  $\chi_1$  is the principal character modulo  $k (= uv)$ .

Therefore, by Lemmas 3 and 5 we have

$$\begin{aligned} &\sum_{h=1}^k K(h, 1; k)C(h, k) \\ &= \frac{-1}{\pi^2\phi(k)} \sum_{d|v} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}^2\left(\frac{v}{d}\right)\mu^2\left(\frac{v}{d}\right)\tau^2(\bar{\chi}) \\ &\quad \times \left( \sum_{d_1|\frac{v}{d}} \frac{\phi(k)\chi\left(\frac{v}{dd_1}\right)\mu\left(\frac{v}{dd_1}\right)\tau(\chi)L(1, \bar{\chi})}{d_1\phi\left(\frac{k}{d_1}\right)} \right)^2 \\ &= -\frac{\phi(k)}{\pi^2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{u^2d^2\mu\left(\frac{v}{dd_1}\right)\mu\left(\frac{v}{dd_2}\right)}{d_1d_2\phi\left(\frac{k}{d_1}\right)\phi\left(\frac{k}{d_2}\right)} \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1d_2)L^2(1, \bar{\chi}) \\ &= \frac{-1}{2\pi^2}k\phi(k) \prod_{p|k} \left(1 - \frac{1}{p(p-1)}\right) + O(k^{1+\epsilon}). \end{aligned}$$

This proves Theorem 1.

For any positive integer  $r > 1$ , let  $d_r = (r, \phi(k))$  and  $\chi_1$  be a  $d_r$ th-order character modulo  $k$ . Then by Lemmas 1 and 2 we have

$$\begin{aligned} &\sum_{h=1}^k K(h, 1, r; k)C(h, k) \\ &= \frac{-1}{\pi^2\phi(k)} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} \left( \sum_{h=1}^k \bar{\chi}(h)K(h, 1, r; k) \right) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2 \end{aligned}$$

$$\begin{aligned}
&= \frac{-1}{\pi^2 \phi(k)} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} \left( \tau^2(\bar{\chi}) + \tau(\bar{\chi}) \sum_{i=1}^{d_r-1} \tau(\bar{\chi} \chi_1^i) \right) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2 \\
&= \sum_{h=1}^k K(h, 1; k) C(h, k) \\
&\quad + \frac{-1}{\pi^2 \phi(k)} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} \tau(\bar{\chi}) \sum_{i=1}^{d_r-1} \tau(\bar{\chi} \chi_1^i) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2.
\end{aligned}$$

Let  $k = uv$ , where  $(u, v) = 1$ ,  $u$  be a square-full number or  $u = 1$ ,  $v$  be a square-free number. By Lemmas 3, 6 and the method of proving Theorem 1 we can also get

$$\begin{aligned}
&\frac{-1}{\pi^2 \phi(k)} \sum_{\substack{\chi \bmod k \\ \chi(-1)=-1}} \tau(\bar{\chi}) \sum_{i=1}^{d_r-1} \tau(\bar{\chi} \chi_1^i) \left( \sum_{n=1}^{\infty} \frac{G(\chi, n)}{n} \right)^2 \\
&= \frac{\phi(k)}{\pi^2} \sum_{d|v} \sum_{d_1|\frac{v}{d}} \sum_{d_2|\frac{v}{d}} \frac{ud\mu(d_1)\mu(\frac{v}{dd_2})}{d_1d_2\phi(\frac{k}{d_1})\phi(\frac{k}{d_2})} \\
&\quad \times \sum_{\substack{\chi \bmod ud \\ \chi(-1)=-1}}^* \bar{\chi}(d_1)\chi\left(\frac{v}{dd_2}\right) L^2(1, \bar{\chi}) \sum_{a=1}^{ud} \chi(a) e\left(\frac{a}{ud}\right) \\
&\quad \times \sum_{i=1}^{d_r-1} \sum_{l=0}^{k/ud-1} \sum_{m=1}^{ud} \chi_1^i(l \cdot ud + m) \bar{\chi}(m) e\left(\frac{l \cdot ud + m}{k}\right) \\
&\ll d_r k^{3/2+\epsilon}.
\end{aligned}$$

Now from Theorem 1 and the above estimate we immediately obtain

$$\sum_{h=1}^k K(h, 1, r; k) C(h, k) = \frac{-1}{2\pi^2} k \phi(k) \prod_{p|k} \left( 1 - \frac{1}{p(p-1)} \right) + O(d_r k^{3/2+\epsilon}).$$

This completes the proof of Theorem 2.

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