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## A tactic language for refinement of state-rich concurrent specifications

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### ABSTRACT

*Circus* is a refinement language in which specifications define both data and behavioural aspects of concurrent systems using a combination of Z and CSP. Its refinement theory and calculus are distinctive, but since refinements may be long and repetitive, the practical application of this technique can be hard. Useful strategies have been identified, described, and used, and by documenting them as tactics, they can be expressed and repeatedly applied as single transformation rules. Here, we present *ArcAngelC*, a language for defining such tactics; we present the language, its semantics, and its application in the formalisation of an existing strategy for verification of Ada implementations of control systems specified by Simulink diagrams. We also discuss its mechanisation in a theorem prover, ProofPower-Z.

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### 1. Introduction

*Circus* [8] is a formalism that combines Z [49] and CSP [16] to cover both data and behavioural aspects of a system development or verification. It distinguishes itself from other such combinations like CSP-Z [11], TCOZ [20], and CSP-B [44], in that it has a refinement theory and calculus for code development and verification [32]. Using *Circus*, we can develop state-rich reactive systems in a calculational style [26].

In this approach, the successive application of refinement laws to an abstract specification produces a concrete specification that correctly implements it. This, however, is a hard task, since developments are typically long and repetitive. If refinement strategies can be captured as sequences of law applications, they can be used in different developments, or even many times within a single development. Identifying these strategies, documenting them as tactics, and using them as single refinement laws can save time and effort.

We present *ArcAngelC*, a refinement-tactic language for *Circus* whose constructs are similar to those in *ArcAngel* [35], a refinement-tactic language for sequential programs. Both languages are based on a general tactic language, *Angel* [23], which is not tailored to any particular proof tool and assumes only that rules transform proof goals. *Angel* supports the use of angelic choice to define tactics that backtrack to search for successful proofs. The angelic choice of *Angel* (and of *ArcAngel* and *ArcAngelC*) distinguishes them from LCF's tactic languages [40,12] and from other theorem provers' tactic languages. This difference has important consequences in both semantical and practical terms.

*ArcAngel* has a formal semantics and an extensive set of laws that provide a complete tool to reason about tactics of refinement. The semantics of *ArcAngel* and its set of laws can be found in [31], along with the formalisation of useful refinement strategies. Tool support is provided by Gabriel [38].

Like *ArcAngel*, as a refinement-tactic language, *ArcAngelC* must take into account the fact that the application of laws yields not only a program, but proof obligations as well. So, the result of applying a tactic is a program and the cumulative

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set of proof obligations generated by all law applications. The constructs of *ArcAngelC* are similar to those of *ArcAngel*. The major differences, both in syntax and semantics, arise from the need to deal with the application of all categories of *Circus* refinement laws: we can apply tactics to *Circus* programs or to its components, namely, processes and actions.

Handling a variety of syntactic categories to which laws can be applied is the main challenge in extending *ArcAngel* to *ArcAngelC*. This has an impact on the syntax of the language: we have in *ArcAngelC* extra structural combinators that support the application of tactics to specific components of any *Circus* program. Most importantly, however, we have a change in the semantic model. We need to introduce, for example, the facility to define polymorphic tactic combinators to avoid the need to program the same tactics for programs, processes, and actions. We do not need to extend the syntax of *ArcAngel* to accommodate this facility, but need to generalise the semantic model. Additionally, *ArcAngelC* provides tactical support to handle the proof obligations generated by a refinement, as well as the program development itself.

In [34], we have presented novel tactic combinators for *ArcAngelC*. Here, besides an informal introduction to the language, we present its semantics in Z. Of particular interest is the strategy for specification of the semantics of structural combinators, which is of relevance for other tactic languages as well. Our formalisation fosters mechanisation in theorem provers like Z/EVES [51] and ProofPower-Z [41], and reasoning about *ArcAngelC* algebraic laws. We focus on novel aspects of the *ArcAngelC* semantics; a full account can be found elsewhere [33]. We also discuss here our own mechanisation based on ProofPower-Z.

In [6], we have presented a refinement strategy to prove the correctness of implementations of Simulink diagrams [17] in Ada. This strategy is divided into four parts, which deal with different aspects of the specification. In [34], we use *ArcAngelC* to formalise and generalise the first part of this refinement strategy. Here, we extend this work by also providing the formalisation of the second part of this strategy. This formalisation provides structure and abstraction to the refinement strategy, and permits its automation.

In summary, this paper presents the following novel contributions.

- (i) The use of a tactic language to formalise an elaborate refinement strategy that is being developed to be applied to real-world large systems. This formalisation gives a clear route to automation.
- (ii) A formalisation of a tactic language *for refinement* based on angelic choice using a formal language as meta-language (namely, Z). This opens the possibility of using a theorem prover to prove the tactic laws initially presented for *Angel* that are also valid for *ArcAngelC*. In addition, we have a general strategy for the formalisation of structural combinators.
- (iii) An approach that allows different types of syntactic constructs to be tackled by the tactics, as well as the proof obligations generated by the refinements. As far as we know, all tactic languages in the literature allow the manipulation of only one type of construct.

We also discuss automatic support for the application of *ArcAngelC* tactics.

The next section describes *Circus*. In Section 3, *ArcAngelC* is presented. In Section 4 we describe control diagrams and a refinement strategy to prove that a given Ada program correctly implements a diagram [6]. In Section 5, we formalise parts of the refinement strategy as *ArcAngelC* tactics and use them in the verification of a simple controller. The semantics of *ArcAngelC* is described in Section 6. Section 7 discusses our mechanisation. Finally, in Sections 8 and 9, we discuss related and future work.

## 2. Circus

In *Circus*, a program is a sequence of paragraphs: a channel declaration, a Z paragraph, or a process definition. A process contains its own state, and communicates with the environment via channels. The main constructs are illustrated in the specification below of a register. It stores a value, which is initialised to 0, and can store or add a value to its current value. The stored value can also be output or reset.

**channel** *store*, *add*, *out* :  $\mathbb{N}$ ; *result*, *reset*

**process** *Register*  $\hat{=}$  **begin**

**state** *RegSt*  $\hat{=}$  [*value* :  $\mathbb{N}$ ]

*RegCycle*  $\hat{=}$  *store*?*newValue*  $\longrightarrow$  *value* := *newValue*

□ *add*?*newValue*  $\longrightarrow$  *value* := *value* + *newValue*

□ *result*  $\longrightarrow$  *out*!*value*  $\longrightarrow$  **Skip**

□ *reset*  $\longrightarrow$  *value* := 0

• *value* := 0 ; ( $\mu$  *X* • *RegCycle* ; *X*)

**end**

Channel declarations **channel** *c* : *T* introduce a channel *c* that communicates values of type *T*. In our example above, we declare three different channels *store*, *add*, and *out*, which communicate natural numbers.

Processes may be declared in terms of other processes or explicitly. An explicit definition, like that of *Register* above, is composed of a state definition, a sequence of paragraphs, and finally, a nameless main action that defines the behaviour of the process. The state is defined as a Z schema; the remaining paragraphs can either be Z paragraphs, or named actions. For

instance, the state of the process *Register* is defined by the Z schema *RegSt*; it contains a component *value* that records the content of the register.

Three primitive actions are **Skip**, **Stop**, and **Chaos**. The first finishes with no change to the state, the second deadlocks, and the third diverges. Other actions may be defined using Z schemas, guarded commands, or invocations to other local actions. Finally, actions can be combined using CSP operators like hiding, sequence, external and internal choice, parallelism, interleaving, or their corresponding iterated variants.

The process *Register* initialises its state component *value* to zero, and then exhibits a recursive behaviour. The action *RegCycle* is an external choice: a new value can be stored or accumulated using the channels *store* and *add*; the current value is requested through *result* and then output through *out*, or *reset*.

*Circus* prefixing and guarded actions are as in CSP. For example, in an action of the form  $p \ \& \ c?x \longrightarrow A(x)$ , we have a guard given by the predicate  $p$ . If the guard is true, the input prefixing assigns the value that is read through the channel  $c$  to a new implicitly declared variable  $x$ ; it deadlocks otherwise.

Besides the set of channels on which the actions synchronise, the parallelism requires additional information to avoid conflicts in writing to variables: two partitions of all variables in scope. In  $A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2$ , the actions synchronise on the channels in the set  $cs$  and have access to the initial values of all variables in scope. However,  $A_1$  and  $A_2$  may modify the values of only the variables in  $ns_1$  and  $ns_2$ , respectively. The interleaving  $A_1 \lll ns_1 \mid ns_2 \rrl A_2$  has a similar behaviour, but the actions do not synchronise on any channel.

Parametrised actions (and processes) and their instantiations are also available in *Circus*. When applied to actions, the renaming operator substitutes state components and local variables.

In *Circus*, the basic notion of refinement is that of action refinement [42], which is also used to define process refinement. In our examples, we take advantage of refinement laws from [32], like Law 21 (par-inter) below, which can be used to transform a parallel composition into an interleaving.

**Law 21 (par-inter)**  $A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2 = A_1 \lll ns_1 \mid ns_2 \rrl A_2$   
**provided**  $(usedC(A_1) \cup usedC(A_2)) \cap cs = \emptyset$ .

The **provided** clause describes the conditions that need to be met in order to permit the application of the corresponding refinement law. For instance, the application of Law 21 (par-inter) is only valid if none of the channels used in actions  $A_1$  and  $A_2$  are in  $cs$ ; the function *usedC* returns the set of all channels used in a given action. Conditions like these raise proof obligations when the law is applied.

By way of illustration, we consider the following *Circus* action, which is a parallel composition of two *Circus* actions with  $\llbracket c_3 \rrbracket$  as the synchronisation channel set: one of the parallel actions synchronises on a channel  $c_1$ , and the other outputs a value  $a$  on a channel  $c_2$ .

$$c_1 \longrightarrow x := 1 \llbracket \{x\} \mid \llbracket c_3 \rrbracket \mid \{y\} \rrbracket c_2!a \longrightarrow y := 1$$

Intuitively, since none of these actions communicate on  $c_3$ , this parallel composition may be converted into an interleaving. Law 21 (par-inter) can be used to achieve this result.

$$\sqsubseteq \quad \text{[Law 21 (par-inter)]}$$

$$c_1 \longrightarrow x := 1 \lll \{x\} \mid \{y\} \rrl c_2!a \longrightarrow y := 1$$

The condition  $(\{c_1\} \cup \{c_2\}) \cap \{c_3\} = \emptyset$  is trivially true. Hence, the law application is valid.

Process refinement is defined in terms of action refinement: a process  $P_2$  refines a process  $P_1$ , written  $P_1 \sqsubseteq_{\mathcal{P}} P_2$ , if its main action, which is denoted by  $P_2.Act$ , refines the main action of  $P_1$ , that is,  $P_1.Act$ . These main actions may work on different states, namely, those defined by  $P_1$  and  $P_2$ , and so may not be comparable. Hence, we compare the actions that we obtain by hiding (that is, existentially quantifying) the state components  $P_1.State$  and  $P_2.State$  of  $P_1$  and  $P_2$ , as if they were declared in a local variable block.

**Definition 2.1 (Process Refinement).**  $P_1 \sqsubseteq_{\mathcal{P}} P_2$  if, and only if,  $(\exists P_1.State; P_1.State' \bullet P_1.Act) \sqsubseteq_{\mathcal{A}} (\exists P_2.State; P_2.State' \bullet P_2.Act)$ .

Since the state of a process is private, it may be changed during refinement. This can be achieved in much the same way as we can data-refine variable blocks and modules in imperative programs [27].

An extensive collection of refinement laws for processes and actions can be found in [32]. Strategies for stepwise development of concurrent programs [8], and for verification of control systems [7] are also available. In the next section, we describe *ArcAngelC*, which can be used to formalise these strategies.

### 3. ArcAngelC

*ArcAngelC* includes basic tactics, like a law application, for example; tacticals, which are general tactic combinators; and structural combinators, which support the application of tactics to components of *Circus* programs. The basic tactics and tacticals of *ArcAngelC* are inherited from *Angel*, and some of its structural combinators are inherited from *ArcAngel*. The

TacticDecl	::=	<b>Tactic</b> N (Decl) Tactic [ <b>generates</b> Prog ] [ <b>proof obligations</b> Pred <sup>+</sup> ] <b>end</b>	[tactic declaration]
Tactic	::=	<b>law</b> N (Exp <sup>*</sup> )   <b>tactic</b> N (Exp <sup>*</sup> )   <b>skip</b>   <b>fail</b>   <b>abort</b>   <b>applies to</b> Prog <b>do</b> Tactic   Tactic ; Tactic   Tactic “ ” Tactic   $\mu_T$ N • Tactic   !Tactic   <b>succs</b> Tactic   <b>fails</b> Tactic   $\rightarrow$ Tactic   $\&$ Tactic   $\mu$ Tactic   <b>if</b> Tactic <sup>+</sup> <b>fi</b>   <b>var</b> Tactic   <b>val</b> Tactic   <b>res</b> Tactic   <b>vres</b> Tactic   <b>beginend</b> ((N, Tactic) <sup>*</sup> , Tactic)   $\odot$ Tactic   $\odot_{inst}$ Tactic   $\cong$ Tactic   Tactic ; Tactic   Tactic $\square$ Tactic   $\sqcap$ Tactic   Tactic $\square\square$ Tactic   Tactic $\square\square\square$ Tactic   $\dot{\square}_i$ Tactic   $\square_i$ Tactic   $\sqcap_i$ Tactic   $\square\square_i$ Tactic   $\square\square_i$ Tactic   $\setminus$ Tactic   $\equiv$ Tactic   $\bullet$ Tactic   $\bullet_{inst}$ Tactic   <b>program</b> (N, Tactic) <sup>*</sup>	[law application] [tactic application] [basic tactics] [pattern matching] [sequence/alternative] [recursion/cut] [assertions] [action combinators] [process combinators] [action/process combinators] [program combinator]

Fig. 1. Abstract syntax of ArcAngelC.

structural combinators related to the CSP constructs of *Circus* are a new feature. Furthermore, unlike ArcAngel tactics, which can be applied to programs only, ArcAngelC's tactics can be applied to *Circus* programs, processes, and actions. Additionally, tactics can be used to discharge proof obligations: those containing action refinement statements.

The syntax of ArcAngelC is presented in Fig. 1. We use Exp<sup>\*</sup> to denote a possibly empty sequence of elements of the syntactic category Exp of expressions. The bar used in the tactic language for alternation is smaller than the one used in the BNF notation in Fig. 1. For extra emphasis, we have written the bar of the tactic language in quotes. We use Tactic<sup>+</sup> to denote a non-empty sequence of tactics. The categories N, Pred, and Decl are those of the Z identifiers, predicates, and declarations defined in [43]. Finally, the syntactic category Prog is that of the *Circus* programs as in [32].

### 3.1. Tactic declarations

A tactic program consists of a sequence of tactic declarations. We declare a tactic  $t$  named  $n$  with arguments  $a$  using **Tactic**  $n(a) t$  **end**. For documentation purposes, we may include the clause **proof obligations** and the clause **generates**; the former enumerates the proof obligations generated by the application of  $t$ , and the latter shows the program generated by a successful application of the tactic.

As an example, we present the skeleton of the definition of a tactic `interIntroAndSimpl`, whose behaviour  $T$  is detailed later in this section. It generates an action  $A$ , also described later, and has a single proof obligation  $usedC(A) \cap cs = \emptyset$ , which states that the sets  $usedC(A)$  and  $cs$  must be disjoint.

```
Tactic interIntroAndSimpl()  $\hat{=}$  T
                        generates A
                        proof obligations  $usedC(A) \cap cs = \emptyset$ 
end
```

It is possible to check the consistency of the clauses **proof obligations** and **generates**. There are two options: (i) remove these clauses and calculate them after the tactic compilation; or (ii) allow their inclusion and check them on tactic compilation. Both approaches involve symbolic application of laws.

### 3.2. Basic tactics

The most basic tactic is a law application: **law**  $n(a) p$ . If the law  $n$  with arguments  $a$  is applicable to the *Circus* program (process, or action)  $p$ , the application succeeds: a new program (process, or action) is derived, possibly generating

proof obligations. However, if it is not applicable to  $p$ , the application of the tactic fails. A similar construct, **tactic**  $n(a)$ , applies a previously defined tactic named  $n$  with arguments  $a$  as though it were a single law.

By way of illustration, the tactic **law** *copy-rule-action*( $N$ ) applies to an action the refinement Law 2 (*copy-rule-action*), which takes the name  $N$  of the action as argument. As a result, it replaces all the references to  $N$  by the definition of  $N$ . In this case, no proof obligations are generated. Law 2 (*copy-rule-action*), and all other refinement laws used in this paper can be found in [Appendix B](#).

Other basic tactics are provided: the trivial tactic **skip** always succeeds, and the tactic **fail** always fails; finally, the tactic **abort** neither succeeds nor fails, but runs indefinitely.

### 3.3. Tacticals

The tactic **applies to**  $p$  **do**  $t$  is given a meta-program (or program pattern)  $p$  that characterises the programs to which the tactic  $t$  is applicable; the meta-variables used in  $p$  can then be used in  $t$ . For example, the meta-program  $A \llbracket ns_1 \mid cs \mid ns_2 \rrbracket$  **Skip** characterises those parallel compositions whose right-hand action is **Skip**; here,  $A$ ,  $ns_1$ ,  $cs$  and  $ns_2$  are the meta-variables. We consider as an example a refinement tactic that transforms a parallel composition into an interleaving: **applies to**  $A \llbracket ns_1 \mid cs \mid ns_2 \rrbracket$  **Skip do law** *par-inter*() .

The tactical  $t_1 ; t_2$  applies  $t_1$ , and then applies  $t_2$  to the outcome of the application of  $t_1$ . If either  $t_1$  or  $t_2$  fails, so does the whole tactic. When it succeeds, the proof obligations generated are those resulting from the application of  $t_1$  and  $t_2$ . For example, we can remove a parallel composition by first transforming it into an interleaving using Law 21 (*par-inter*), and then simplifying this interleaving using the unit law for interleaving, Law 12 (*inter-unit*). The two law applications occur in sequence. The tactic *interIntroAndSimpl* presented below formalises this simple strategy. It applies to parallel compositions in which the right-hand action is **Skip** and returns the action  $A$  and the proof obligation originated from the application of *inter-unit*.

**Tactic** *interIntroAndSimpl*()  $\hat{=}$  **applies to**  $A \llbracket ns_1 \mid cs \mid ns_2 \rrbracket$  **Skip**  
**do law** *par-inter*() ; **law** *inter-unit*()  
**generates**  $A$   
**proof obligations**  $usedC(A) \cap cs = \emptyset$

**end**

We include the optional clauses **proof obligations** and **generates**, which simply document the results and proof obligations that are produced by applying the underlying tactic.

Tactics can also be combined in alternation:  $t_1 \mid t_2$ . First  $t_1$  is applied. If that succeeds, then the composite tactic succeeds; otherwise  $t_2$  is applied. If then the application of  $t_2$  succeeds then the composite tactic succeeds; otherwise the composite tactic fails. If one of the tactics aborts, the whole tactic aborts.

The definition of the tactic below uses alternatives. It promotes local variables declared in a main action to state components. This is the result of an application of either Law 28 (*prom-var-state*) or Law 29 (*prom-var-state-2*) depending on whether the process has state or not.

**Tactic** *promoteVars*()  $\hat{=}$  **law** *prom-var-state*() | **law** *prom-var-state-2*() **end**

The standard form of angelic choice is commutative. *ArcAngelC*'s choice, however, as denoted by the alternation operator is not, as this gives more control to tactic programs. It provides an angelic choice that is implemented through backtracking: on failure, law applications are undone up to the last point where further alternatives are available (as in  $t_1 \mid t_2$ ) and can be explored.

This, however, may result in inefficient searches. Some control is possible through the cut operator: the tactic  $!t$  behaves like  $t$ , except that it only considers the first successful application of  $t$ . If a subsequent tactic application fails, the whole tactic fails. As an example, we consider the small extension to *promoteVars* presented below in which the application of a given tactic  $T$  follows the alternation.

**Tactic** *promoteVarsExt*()  $\hat{=}$  (**law** *prom-var-state*() | **law** *prom-var-state-2*()) ; **tactic**  $T$ () **end**

If **law** *prom-var-state*() succeeds, but **tactic**  $T$ () subsequently does not, there is no point in backtracking to apply **law** *prom-var-state-2*(), and then try **tactic**  $T$ () again. Instead, we should cut the search and define the tactic as  $!(\mathbf{law} \textit{prom-var-state}() \mid \mathbf{law} \textit{prom-var-state-2}()) ; \mathbf{tactic} T()$ .

*ArcAngelC* has a fixed-point operator to define recursive tactics. Using  $\mu_T$ , we can define the tactic below, which exhaustively applies a given tactic  $t$ , terminating with success when its application eventually fails.

**Tactic** *EXHAUST*( $t$ )  $\hat{=}$   $\mu_T X \bullet ((t ; X) \mid \mathbf{skip}) \mathbf{end}$

Recursion may lead to nontermination, in which case the result is the same as that of the basic tactic **abort**.

Two tactics are used to test the outcome of applying a tactic. The tactic **succs**  $t$  behaves like **skip** whenever  $t$  succeeds and fails whenever  $t$  fails. On the other hand, **fails**  $t$  behaves like **skip** if  $t$  fails, and fails if  $t$  succeeds. If the application of  $t$  runs indefinitely, then these tacticals behave like **abort**.

A simple example is the tactic **succs**(**law** par-comm());  $t$ , which applies  $t$  only if the program is a parallel composition. This tactic first checks whether the given program is a parallel composition by trying to apply the commutativity Law 19 (par-comm), which applies only (and always) to parallel compositions. Only if the application succeeds, the tactic applies  $t$  to the given program.

### 3.4. Structural combinators

Often, we want to apply tactics to parts of a program; this is supported by structural tactic combinators. In [35], we define combinators for sequential programs. ArcAngelC provides additional combinators; essentially, there is one for each *Circus* program, process, or action constructor (see Fig. 1). Among these, only those for alternation (**if**–**fi**), variable blocks (**var**), argument declaration (**val**, **res**, **vres**), and sequence (**;**) are also part of ArcAngel. For all the structural combinators, if the application of a tactic to a component program, process, or action fails or aborts, then so does the application of the whole tactic. In the case of  $n$ -ary structural combinators, if one tactic aborts so does the whole structural combinator tactic. Similarly, if one tactic fails and no other tactic behaves abortively, again the whole tactic fails.

*Action structural combinators* are those that allow us to apply a tactic to parts of a *Circus* action. The first that we present enables us to apply a tactic to a prefixing action. The tactic  $\boxed{\longrightarrow} t$  applies to actions of the form  $c \longrightarrow A$ . It returns the prefixing  $c \longrightarrow B$ , where  $B$  is the program obtained by applying  $t$  to  $A$ ; the proof obligations generated are those arising from the application of  $t$ . For example, if applied to the *Circus* action  $c \longrightarrow A_1 ; (A_2 ; A_3)$ , the tactic  $\boxed{\longrightarrow}$  **law** seq-assoc() applies the associativity Law 31 (seq-assoc) to  $A_1 ; (A_2 ; A_3)$  and returns the prefixing  $c \longrightarrow (A_1 ; A_2) ; A_3$ . The law application raises no proof obligations, and for this reason the tactic application does not yield any proof obligations either.

The combinator  $\boxed{\&} t$  applies to a guarded action  $g \& A$  and returns the result of applying  $t$  to  $A$ ; the guard is unaffected in the resulting action. It is not possible to apply a tactic to the guard  $g$  since refinement laws can only be applied to actions, processes, and programs. If the guard  $g$  needs to be replaced, a law that applies to the whole guarded action  $g \& A$  must be used; the guard combinator is not needed. By way of illustration, we consider the application of the tactic  $\boxed{\&}$  **law** seq-assoc() to the action  $g \& A_1 ; (A_2 ; A_3)$ . It returns the guarded action  $g \& (A_1 ; A_2) ; A_3$  and no proof obligations.

For recursive actions  $\mu X \bullet A(X)$ , there is the structural combinator  $\boxed{\mu} t$ . It returns the recursion obtained by applying  $t$  to the body  $A(X)$  of the recursion.

For alternation, there is the structural combinator  $\boxed{\text{if}} t_1 \boxed{\parallel} \dots \boxed{\parallel} t_n \boxed{\text{fi}}$ , which applies to an alternation **if**  $g_1 \longrightarrow A_1 \parallel \dots \parallel g_n \longrightarrow A_n$  **fi**. It returns the result of applying each tactic  $t_i$  to the corresponding action  $A_i$ . For example, if we apply the tactic

$$\boxed{\text{if}} \text{ law assign-intro}(x := -1) \boxed{\parallel} \text{ law assign-intro}(x := 1) \boxed{\text{fi}}$$

to the action

$$\text{if } a \leq b \longrightarrow x : [x' < 0] \parallel a > b \longrightarrow x : [x' > 0] \text{ fi}$$

we obtain the action **if**  $a \leq b \longrightarrow x := -1 \parallel a > b \longrightarrow x := 1$  **fi**, and two proof obligations, namely,  $true \Rightarrow -1 < 0$  and  $true \Rightarrow 1 > 0$ . The action  $x : [x' < 0]$  is a specification statement in the style of Morgan's refinement calculus [26], also used in the Z refinement calculus [9]. It specifies an action that changes the value of  $x$  to an arbitrary negative number. Similarly,  $x : [x' > 0]$  sets  $x$  to a positive number.

The structural combinator  $\boxed{\text{var}} t$  applies to a variable block; it applies  $t$  to the body of the block. To give an example, if we apply the tactic  $\boxed{\text{var}}$  **law** assign-intro( $x := 10$ ) to **var**  $x : \mathbb{N} \bullet x : [x \geq 0]$ , we get **var**  $x : \mathbb{N} \bullet x := 10$  and the proof obligation  $true \Rightarrow 10 \geq 0$ .

Finally, to apply a tactic to the body of a parametrised action, we have the combinators  $\boxed{\text{val}} t$ ,  $\boxed{\text{res}} t$ , and  $\boxed{\text{vres}} t$ , for parameters passed by value, result, or value-result, respectively.

*Process structural combinators* are used to cater for process definitions. To apply tactics to components of an explicit process declaration, we can use the structural combinator **beginend**. It receives two arguments: a possibly empty sequence of pairs  $(n, t)$  of names  $n$  and tactics  $t$ , and a tactic. For each  $(n, t)$  in the sequence, this combinator applies  $t$  to the paragraph named  $n$ ; the second argument is applied to the main action. For example, the tactic  $\boxed{\text{beginend}}((\text{RegCycle}, \text{tactic } T_1()), \text{tactic } T_2())$  can be applied to the process *Register* presented in the previous section. It applies the tactic  $T_1$  to the body of the action *RegCycle*, and the tactic  $T_2$  to the main action of *Register*.

*Action and process structural combinators.* Most of the *Circus* constructs originating from CSP can be used in the definition of both processes and actions. For each of these constructs we define a single combinator. Their application is oblivious to whether we are applying the tactic to an action or a process.

The tactic  $t_1 \boxed{;} t_2$ , for example, applies to actions or processes  $p_1 ; p_2$ . It generates the sequential composition of the actions (or processes) obtained by applying  $t_1$  to  $p_1$  and  $t_2$  to  $p_2$ ; the proof obligations are those arising from both

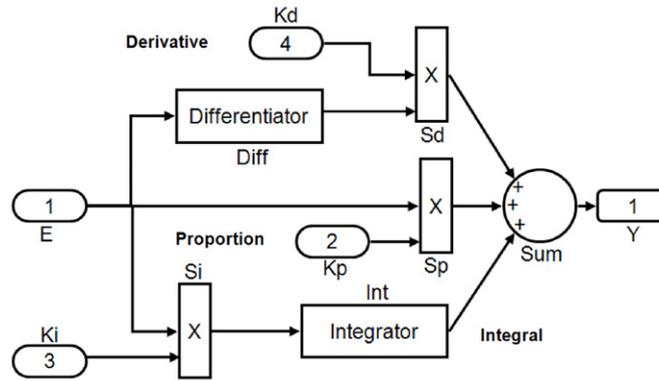


Fig. 2. PID (Proportional Integral Derivative) controller.

tactic applications. This combinator is used in Section 5; for instance, one of the steps of the refinement strategy is **skip**  $\boxed{;}_i$  **tactic** `interIntroAndSimpl()` (see page 806 for more details). This tactic applies to a sequential composition: the first action is unchanged and the tactic `interIntroAndSimpl` is applied to the second action. Similar combinators are available for external choice ( $t_1 \boxed{\square} t_2$ ), internal choice ( $t_1 \boxed{\sqcap} t_2$ ), parallel composition ( $t_1 \boxed{\parallel} t_2$ ), interleaving ( $t_1 \boxed{\parallel\parallel} t_2$ ), event hiding ( $\boxed{\nabla} t$ ), and renaming ( $\boxed{:=} t$ ).

We also have a corresponding structural combinator for each of the indexed CSP constructs. For instance,  $\boxed{;}_i t$  can be applied to an indexed sequential composition of the form  $(; d \bullet p)$ . The result is that obtained by the application of  $t$  to  $p$ . For instance, assuming that  $s$  is a natural variable that has already been initialised to 0, a program that assigns the sum of all elements of a sequence  $sq$  of natural numbers to  $s$  can be specified as  $(; i : 0 .. \#sq \bullet s : [s' = s + sq(i)])$ . If we apply  $\boxed{;}_i$  **law** `assign-intro( $s := s + sq(i)$ )`, we get the action  $(; i : 0 .. \#sq \bullet s := s + sq(i))$  and proof obligations  $true \Rightarrow s + sq(i) = s + sq(i)$ , for every  $i$  in  $0 .. \#sq$ . We also have the combinators  $\boxed{\square}_i$  for indexed external choices,  $\boxed{\sqcap}_i$  for indexed internal choices,  $\boxed{\parallel}_i$  for indexed parallel composition, and  $\boxed{\parallel\parallel}_i$  for indexed interleaving.

*Program structural combinator.* We have just one combinator for programs. It can be used to apply tactics to specific paragraphs of a *Circus* program. The tactical **program** receives a sequence of pairs  $(n, t)$  of names and tactics: for each  $(n, t)$  in the sequence, it applies the tactic  $t$  to the paragraph named  $n$  in the *Circus* program. The tactics used in our case study in Section 5 illustrate the use of this constructor.

This concludes the description of the *ArcAngelC* constructs. Using *ArcAngelC*, we are able to write complex tactics as the one presented in Section 5. Tactics cannot be inconsistent, in the sense that they cannot generate incorrect implementations. Their soundness, or more specifically, the correctness of the refinement, is guaranteed by the soundness of the refinement laws, provided the proof obligations can be discharged.

#### 4. A refinement strategy for verification of control system implementations

Control systems are often used in safety-critical applications and their verification has been of great interest. In [6], we present an approach in which we aim at proof of correctness of code, as opposed to validation of requirements or designs. We give a semantics to discrete-time Simulink diagrams [17] using *Circus*, and propose a verification technique for parallel Ada implementations. In this section, we briefly describe this verification technique based on a refinement strategy that has already been applied to industrial examples. The formalisation of the refinement strategy is the subject of Section 5.

Control diagrams model systems as directed graphs of blocks interconnected by wires. Simulink is a popular tool for drawing and analysing such diagrams, and generating code; its use in the avionics and automotive sectors is very widespread. A simple example of a Simulink diagram is presented in Fig. 2; it contains a PID (Proportional Integral Derivative) controller, a generic control loop feedback mechanism that attempts to correct the error between a measured process variable and a desired set-point by calculating and then outputting a corrective action that can adjust the process accordingly.

Control systems present a cyclic behaviour. We consider discrete-time models, in which inputs and outputs are sampled at fixed intervals. The inputs and outputs are represented by rounded boxes containing numbers. In our example, there are four inputs,  $E$ ,  $K_p$ ,  $K_i$ , and  $K_d$ , and one output,  $Y$ .

Typically, a block takes input signals and produces outputs according to its characteristic function. For instance, the circle is a sum block, and boxes with a  $\times$  symbol model a product. There are libraries of blocks in Simulink, and they can also be user-defined. Boxes enclosing names are subsystems; they denote control systems defined in subordinate diagrams of the model. For example, the block `Diff` in Fig. 2 corresponds to a subsystem defined in the diagram presented in Fig. 3.

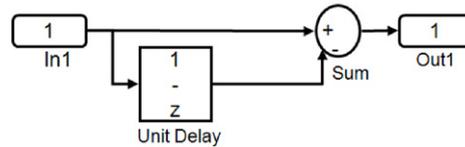


Fig. 3. PID differentiator.

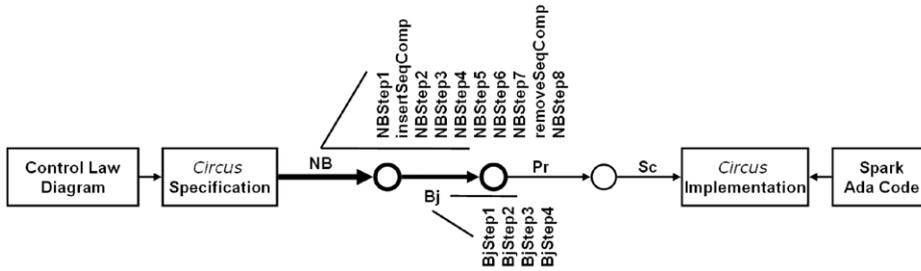


Fig. 4. The refinement strategy.

Blocks can have state. For instance, in Fig. 3, the block Unit Delay stores the value of the input signal In1, and outputs the value stored in the previous cycle. The block Diff outputs a signal Out1 that corresponds to the difference of the current input In1 and the output of the Unit Delay block.

#### 4.1. The refinement strategy

In [6], we present a technique to verify Ada programs with respect to Simulink diagrams using *Circus*. The approach is based on calculating a *Circus* model for the diagram using the semantics given in [6], calculating a *Circus* model for the Ada program, and proving that the former is refined by the latter.

In the *Circus* model of the diagram, each block is represented by a process, and the diagram by a parallel composition of such processes. A channel *end\_cycle* records the end of a cycle of execution, and keeps all the block processes in synchrony. A more detailed account of this model and an example are given in the following section. The refinement proof is achieved using a strategy that comprises the following four phases:

**NB**—Normalise Blocks: For each block, refine the corresponding *Circus* process in the diagram model to write its main action in a normal form: a recursion that iteratively executes an action that captures the behaviour of a cycle as an interleaving of inputs, followed by output calculations and state update, followed by an interleaving of outputs, and synchronisation on *end\_cycle*.

**BJ**—Blocks Join: Collapse (that is, remove) the parallelism between the processes of the blocks that are implemented by a single procedure in the Ada program. Each of the resulting processes should be refined to put them back into the normal form described in phase NB.

**Pr**—Procedures: For each of the processes created in phase BJ, introduce the action in the model of the program that specifies the corresponding procedure, and prove that the calculations of the outputs and of the new value of the state can be refined by a call to that action.

**Sc**—Scheduler: Refine the process that corresponds to the system to get the parallel programs of the implementation. Unless the program explores all the parallelism in the diagram, this involves collapsing some of the remaining parallel processes. The same approach taken in the phase BJ can be adopted.

Fig. 4 illustrates the overall approach. In Section 5 we formalise the first two phases: NB and BJ. In this formalisation, we split each phase of the strategy into small steps, which correspond to those presented in [6]. For instance, the phase BJ is split into four steps: BJStep1 to BJStep4.

#### 4.2. The *Circus* model

The *Circus* model of a diagram can be generated automatically. It includes components that cater for examples, which are much more complex than our PID. The refinement strategy that we formalise in the sequel in fact copes with these more complex models too.

As previously mentioned, in the model of the diagram, there is a (basic) *Circus* process for each block, and the diagram itself is specified by a parallel composition of these processes. For a subsystem block, the *Circus* process captures the parallel behaviour that arises if some of the outputs do not depend on the values of all the inputs. For example, if there is an output

whose value does not depend on the value of all the inputs, as soon as the required inputs become available, its calculation can proceed, and the resulting value can be output. In this case, the calculation of that output is an independent flow of execution of the subsystem. In addition, for all blocks, the update of its state, if any, is an independent flow of execution.

To illustrate the construction of the formal model, we describe the translation of the block *Diff* shown in Fig. 3.<sup>1</sup> The block is translated into a *Circus* process with its original name.

**process** *Diff*  $\hat{=}$  **begin**

The state of *Diff*, defined by *Diff\_State*, includes a component that stores the state of the block *Unit Delay*.

**state** *Diff\_State*  $\hat{=}$  [ *pid\_Diff\_UnitDelay\_St* :  $\mathbb{U}$  ]

Next, the schema *pid\_Diff* characterises the PID *Diff* block. The components of a schema that models a block represent its inputs, outputs, and, in the case of subsystem blocks, the blocks of the subsystem diagram. For the inputs, we have components *In1?*, *In2?* and so on, depending on the number of inputs of the block. Similarly, for the outputs, we have components *Out1!*, *Out2!*, and so on. The block in our example has one input and one output. The schema modelling the block *Diff* also involves types *pid\_Diff\_Sum* and *pid\_Diff\_UnitDelay*, because it has a component *Sum* and a component *UnitDelay* of these types, respectively. Whereas *pid\_Diff\_Sum* and *pid\_Diff\_UnitDelay* are schemas (presented below) that describe the general behaviour of those types of blocks, *Sum* and *UnitDelay* are particular instances of them.

*pid\_Diff*

---

*In1?* :  $\mathbb{U}$ ; *Out1!* :  $\mathbb{U}$   
*Sum* : *pid\_Diff\_Sum*  
*UnitDelay* : *pid\_Diff\_UnitDelay*

---

*Sum.In1?* = *In1?*  
*UnitDelay.In1?* = *Sum.In1?*  
*Sum.In2?* = *UnitDelay.Out1!*  
*Out1!* = *Sum.Out1!*

---

The predicate determines how the wires are connected: the input *In1* of the block *Diff* is equated with the input *In1* of the blocks *UnitDelay* and *Sum*; the output *Out1* of the block *UnitDelay* is equated with the input *In2* of the block *Sum*; and the output *Out1* of the block *Sum* is equated with the output *Out1* of *Diff*.

The types *pid\_Diff\_Sum* and *pid\_Diff\_UnitDelay* are defined using a formalisation of the Simulink block library that provides a schema definition for each block [1]. The schema *Sum\_PM* specifies a sum block that negates its second input; in effect, this is a subtraction.

*pid\_Diff\_Sum*  $\hat{=}$  *Sum\_PM*

The function *UnitDelay\_g* takes the initial value of its state as its argument. It is a (generic) function that takes a binding with a single component *X0* specifying the type and initial value of the stored data item, and yields a set of bindings (defined by a schema) that characterises the unit delay block.

*pid\_Diff\_UnitDelay*  $\hat{=}$  *UnitDelay\_g*(*X0*  $\hat{=}$  *0e0*)

Next, we have the initialisation of the state of the process *Diff*. The component *pid\_Diff\_UnitDelay\_St* is initialised with the value of the component *initial\_state* of the bindings in *pid\_Diff\_UnitDelay*.

*Init*

---

*Diff\_State'*  
 $\exists b : \text{pid\_Diff\_UnitDelay} \bullet \text{pid\_Diff\_UnitDelay\_St}' = b.\text{initial\_state}$

---

In a type that represents a block with state, components *state*, *state'*, and *initial\_state* record the value of the state at the beginning and at the end of each cycle, and at the beginning of the first cycle. Above *b.initial\_state* refers to the initial state of the *pid\_Diff\_UnitDelay*.

The operation *Calc\_Diff* lifts *pid\_Diff* to an operation over *Diff\_State*: that is the state of the *pid\_Diff Circus* process rather than the one encapsulated in the *pid\_Diff* schema. For that, we establish a correspondence between the *state* and *state'* components of the bindings in the components *UnitDelay* of *pid\_Diff* and the state components *pid\_Diff\_UnitDelay\_St* and *pid\_Diff\_UnitDelay\_St'*.

<sup>1</sup> The complete *Circus* model of the PID controller can be found at <http://www.cs.york.ac.uk/circus>.

$$\text{Calc\_Diff}$$

$$\Delta \text{Diff\_State}$$

$$\text{In}1?, \text{Out}1! : \mathbb{U}$$

$$\exists b : \text{pid\_Diff} \bullet$$

$$b.\text{In}1? = \text{In}1?$$

$$\wedge b.\text{Out}1! = \text{Out}1!$$

$$\wedge b.\text{UnitDelay.state} = \text{pid\_Diff\_UnitDelay\_St}$$

$$\wedge b.\text{UnitDelay.state}' = \text{pid\_Diff\_UnitDelay\_St}'$$

Each flow in a block is modelled by a *Circus* action that calculates the value of the output that determines the flow. In our example, as shown in Fig. 3, the block *Diff* has a single flow that calculates the value output through the channel *Diff\_out*. We, therefore, define an action *Calc\_Diff\_Out*. It is specified in terms of *Calc\_Diff* using the schema calculus: we hide the final value of the state and conjoin the result with  $\mathcal{E}\text{Diff\_State}$  so that the state is not modified.

$$\text{Calc\_Diff\_Out} \hat{=} \text{Calc\_Diff} \setminus (\text{pid\_Diff\_UnitDelay\_St}') \wedge \mathcal{E}\text{Diff\_State}$$

For each flow of execution  $f$ , an action *Exec\_f* is provided that takes the required inputs, and calculates and produces the outputs. The name  $f$  is determined by the unique outputs that the flow produces. The inputs are received in any order; similarly, outputs are sent in any order. In our example, we have the action *Exec\_Diff\_out*, which uses one input variable *In1*, and one output variable *Out1*. The value  $x$  of the input is recorded in a corresponding variable *In1*. Since there is only one input and one output, there is no interleaving: it receives an input through channel  $E$  and produces an output through channel *Diff\_out*.

$$\text{Exec\_Diff\_out} \hat{=} \text{var } \text{In}1 : \mathbb{U} \bullet$$

$$E?x \longrightarrow \text{In}1 := x; \text{var } \text{Out}1 : \mathbb{U} \bullet \text{Calc\_Diff\_Out}; \text{Diff\_out!Out}1 \longrightarrow \text{Skip}$$

The action *Flows* combines all the flows of execution in parallel. Since in *Diff* there is only one flow, the otherwise required parallelism in the action *Flows* is reduced to the action *Execute\_Diff\_out*.

$$\text{Flows} \hat{=} \text{Exec\_Diff\_out}$$

The schema *Calc\_Diff* is also used to specify the operation *Calc\_Diff\_State* that changes the state of the block. In this case the output variable *Out1!* of *Calc\_Diff* is hidden.

$$\text{Calc\_Diff\_State} \hat{=} \text{Calc\_Diff} \setminus \{\text{Out}1!\}$$

The action *Diff\_StUpdt* reads the input through  $E$  and executes *Calc\_Diff\_State* to update the state.

$$\text{Diff\_StUpdt} \hat{=} \text{var } \text{In}1 : \mathbb{U} \bullet E?x \longrightarrow \text{In}1 := x; \text{Calc\_Diff\_State}$$

The main action starts with the initialisation, and recursively proceeds in parallel to execute the flows and update the state, before synchronising on *end\_cycle*. In *Diff*, there is only one flow, so the parallelism reduces to a single action *Exec\_Diff\_out* that synchronises with *Diff\_StUpdt* on input signal  $E$ .

$$\bullet \text{Init}; \mu X \bullet (\text{Flows} [\{ \} | \{ E \} | \{ \text{pid\_Diff\_UnitDelay\_St} \}] \text{Diff\_StUpdt}); \text{end\_cycle} \longrightarrow X$$

$$\text{end}$$

This concludes the *Circus* model of the block *Diff* of our example, which is used in the next section to illustrate the application of the *ArcAngelC* tactics that formalise the refinement strategy.

## 5. Case study—the tactics NB and BJ

In this section, we present the tactics NB and BJ that formally describe the first two phases of the refinement presented in Section 4. Their application to the example presented here is also discussed.

### 5.1. Phase NB

In [6], we explain the NB phase. Informally, its steps are described as follows: to normalise the model of a block we remove the parallelism between the actions that model the flows and the state update, and promote the local variables of the main action to state components. If the block can be implemented sequentially, this step succeeds generating only proof obligations that can be discharged with syntactic checks. Given the way in which models are constructed, as described in the previous section, flows share their inputs as depicted in Configuration 4 in Fig. 5. Additionally, the state update is also combined in this way with the flows.

Formally, the first step of this phase is a series of applications of the refinement Law 2 (copy-rule-action) to eliminate all references to action names in the main action. The tactic that accomplishes this step uses a couple of auxiliary tactics

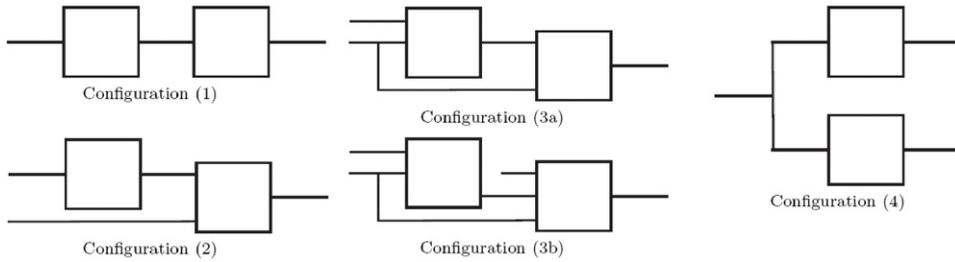


Fig. 5. Blocks configurations.

in its definition. The first one, TRY, is presented and discussed in Section 6.3. The next tactic is used to apply in sequence repeatedly a given law  $l$  using the elements of a given list  $args$  as arguments. It uses the tactic TRY in order to skip when it reaches the base case, an empty list of arguments.

**Tactic** APPLYL( $l, args$ )  $\hat{=}$  TRY(**law**  $l(hd\ args)$  ; APPLYL( $l, tl\ args$ )) **end**

The functions  $hd$  and  $tl$  return the head and the tail of a given list, respectively. The former fails if applied to an empty sequence. A similar tactic, APPLYT is used to apply tactics in the same way. The definitions of tactics and functions that we omit here can be found in [33].

The tactic below formalises the series of applications of the Law 2 (copy-rule-action). It receives a list  $fs$  of the names of the actions  $Exec\_f$  that execute the flows as arguments. It applies to explicit process definitions, and transforms the process using the Law 2 (copy-rule-action).

**Tactic** applyCopyRule( $fs$ )  $\hat{=}$  **applies to process**  $P \hat{=}$  **begin**  $PPars \bullet Main$  **end**  
**do**  $\hat{=}$   $\left( \begin{array}{l} \mathbf{law\ copy\ rule\ action("Flows")}; \\ \mathbf{APPLYL(copy\ rule\ action, fs)}; \\ \mathbf{TRY(law\ copy\ rule\ action(P \wedge \text{"\_StUpdt"})} \end{array} \right)$

**end**

The tactic that formalises the first step of the NB phase, NBStep1, simply receives the list of the flow action names and invokes **tactic** applyCopyRule( $fs$ ).

**Tactic** NBStep1( $fs$ )  $\hat{=}$  **tactic** applyCopyRule( $fs$ ) **end**

The application of this tactic to  $Diff$  changes its main action to the action below in which the references to  $Flows$ ,  $Exec\_Diff\_out$  (the unique flow) and  $Diff\_StUpdt$  are replaced with their definitions. For that, we give as a parameter to NBStep1 the singleton list  $\langle Exec\_Diff\_out \rangle$ .

$$Init ; \mu X \bullet \left( \left( \begin{array}{l} \mathbf{var\ } In1 : \mathbb{U} \bullet \\ E?x \longrightarrow In1 := x; \\ \mathbf{var\ } Out1 : \mathbb{U} \bullet \mathbf{Calc\_Diff\_Out} ; \mathbf{Diff\_out!Out1} \longrightarrow \mathbf{Skip} \\ \llbracket \{ \} \rrbracket \parallel \llbracket E \rrbracket \parallel \{ pid\_Diff\_UnitDelay\_St \} \\ \mathbf{(var\ } In1 : \mathbb{U} \bullet E?x \longrightarrow In1 := x ; \mathbf{Calc\_Diff\_State}) \end{array} \right) \right) ; end\_cycle \longrightarrow X$$

Throughout this section, we box the target of the next refinement step as illustrated above.

### 5.1.1. Synchronise inputs

The action resulting from the application of the previous tactic models the behaviour of a block. In general, the inputs of the block that are needed by more than one flow of execution are shared, and all inputs are shared with the state update. This structure is followed by all block models, which are those to which the refinement strategy applies. Formally, this assumption is checked by the tactic synInpUt below: if the inputs are not shared, the application of the Law 26 (par-seq-step-2) does not apply, and the tactic fails.

All flows in the main action should require all inputs, and so does the state update. Therefore, all parallel actions in the body of the recursion declare local variables  $d_m$  to hold each of the input values, and take all of them in interleaving in  $A_m$ . In our example, an interleaving is not needed because we have a single input. In this step, we extract from the parallelism the declarations  $d_m$ , using Law 36 (var-exp-par-2), and the interleaving  $A_m$ , using a law that distributes an action over a parallel composition, Law 26 (par-seq-step-2).

**Tactic** synInpUt()  $\hat{=}$   
**applies to**  $(\mathbf{var\ } d_m : \mathbb{U} \bullet A_m ; A_{Out}) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket (\mathbf{var\ } d_m : \mathbb{U} \bullet A_m ; A_{St})$   
**do law** var-exp-par-2() ;  $\boxed{\mathbf{var}}$  **law** par-seq-step-2()  
**generates**  $\mathbf{var\ } d_m : \mathbb{U} \bullet A_m ; (A_{Out} \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_{St})$

**end**

This tactic generates a program that declares the variables to hold the input values just once, takes the inputs just once, and then behaves like a parallel composition.

In our example, we have a single flow; nevertheless, we aim at the definition of a tactic that supports multiple flows. In the general case, we have a parallel composition of the form presented below in which the parallel composition of all the flows is in parallel with the state update. The actions  $I$  and  $EC$  stand for the initialisation in the main action and the synchronisation on  $end\_cycle$ .

$$I ; \mu X \bullet (((\mathbf{var} \ d \bullet A_{In} ; A_{Out_0}) \parallel (\dots \parallel (\mathbf{var} \ d \bullet A_{In} ; A_{Out_n}))) \parallel (\mathbf{var} \ d \bullet A_{In} ; A_{St})) ; EC$$

Our strategy removes the declarations  $d$  and interleavings  $A_{In}$  from the parallel composition of all the flows by recursively applying  $\mathbf{synclnput}$ . Only then, we remove  $d$  and  $A_{In}$  from the outermost parallel composition. The auxiliary tactic  $\mathbf{fold}_{\parallel}$  defined recursively below applies a given tactic  $t$ , from the innermost to the outermost parallel composition of an action  $A_1 \parallel (\dots \parallel A_n)$ .

$$\mathbf{Tactic} \ \mathbf{fold}_{\parallel}(t) \hat{=} \mu_T X \bullet \mathbf{tactic} \ \mathbf{TRY}((\mathbf{skip} \parallel X) ; t) \ \mathbf{end}$$

For example, the application of  $\mathbf{tactic} \ \mathbf{fold}_{\parallel}(\mathbf{tactic} \ \mathbf{synclnput}())$  to an instantiation of the generic case described above in which there are three flows is presented below. The tactic recurs until the point in which the application of the structural combinator  $\parallel$  fails (lines 1–6), in which case, since we are in a  $\mathbf{TRY}$  tactic, the tactic skips and returns  $(\mathbf{var} \ d \bullet A_{In} ; A_{Out_2})$  (line 7). Then, the tactic applies  $\mathbf{tactic} \ \mathbf{synclnput}()$  to each result of the recursive invocation: first, it synchronises the inputs of the branches 1 and 2 (lines 8 and 9), and finally, it synchronises all the inputs (lines 10 and 11).

$$\begin{aligned} & (\mathbf{var} \ d \bullet A_i ; A_{0_0}) \parallel ((\mathbf{var} \ d \bullet A_i ; A_{0_1}) \parallel (\mathbf{var} \ d \bullet A_i ; A_{0_2})) & (1) \\ = & [ \mathbf{tactic} \ \mathbf{TRY}((\mathbf{skip} \parallel (\mathbf{tactic} \ \mathbf{fold}_{\parallel}(\mathbf{tactic} \ \mathbf{synclnput}())) ; \dots) ] & (2) \ \checkmark \\ & (\mathbf{var} \ d \bullet A_i ; A_{0_1}) \parallel (\mathbf{var} \ d \bullet A_i ; A_{0_2}) & (3) \\ = & [ \mathbf{tactic} \ \mathbf{TRY}((\mathbf{skip} \parallel (\mathbf{tactic} \ \mathbf{fold}_{\parallel}(\mathbf{tactic} \ \mathbf{synclnput}())) ; \dots) ] & (4) \ \checkmark \\ & (\mathbf{var} \ d \bullet A_i ; A_{0_2}) & (5) \\ = & [ \mathbf{tactic} \ \mathbf{TRY}((\mathbf{skip} \parallel (\mathbf{tactic} \ \mathbf{fold}_{\parallel}(\mathbf{tactic} \ \mathbf{synclnput}())) ; \dots) ] & (6) \ \times \\ & (\mathbf{var} \ d \bullet A_i ; A_{0_2}) & (7) \\ = & [ \mathbf{tactic} \ \mathbf{TRY}(\dots ; \mathbf{tactic} \ \mathbf{synclnput}()) ] & (8) \ \checkmark \\ & (\mathbf{var} \ d \bullet A_i ; (A_{0_1} \parallel A_{0_2})) & (9) \\ = & [ \mathbf{tactic} \ \mathbf{TRY}(\dots ; \mathbf{tactic} \ \mathbf{synclnput}()) ] & (10) \ \checkmark \\ = & \mathbf{var} \ d \bullet A_i ; (A_{0_0} \parallel (A_{0_1} \parallel A_{0_2})) & (11) \end{aligned}$$

In the same way, we may use  $\mathbf{fold}_{\parallel}$  in the  $n$ -ary case to join all the variable declarations  $d$  and the interleaved  $A_i$  before the outermost parallel composition. This is achieved and formalised by the tactic that follows.

$$\mathbf{Tactic} \ \mathbf{joinFlowsInput} \hat{=} \mathbf{tactic} \ \mathbf{fold}_{\parallel}(\mathbf{tactic} \ \mathbf{synclnput}()) \ \mathbf{end}$$

The process to which we need to apply this step may or may not have state: the main action of a process with state is a parallel composition of the flows with the state update. For this case, we define the following tactic, which synchronises the inputs of the flows, and then, synchronises the inputs of the whole action.

$$\mathbf{Tactic} \ \mathbf{NBStep2\_f}() \hat{=} (\mathbf{tactic} \ \mathbf{joinFlowsInput}() \parallel \mathbf{skip}) ; \mathbf{tactic} \ \mathbf{synclnput}() \ \mathbf{end}$$

Stateless processes, however, do not have a parallel composition with a state update; in this case, the application of the tactic above fails. Hence, we define another tactic that synchronises the input of the flows, and then, introduces a parallel composition of the flows with  $\mathbf{Skip}$ . This unifies the structure of the actions that result from the application of this step, allowing the remaining tactics to be used for both of them.

$$\mathbf{Tactic} \ \mathbf{NBStep2\_l}() \hat{=} \mathbf{tactic} \ \mathbf{joinFlowsInput}() ; \mathbf{var} \ (\mathbf{skip} ; \mathbf{tactic} \ \mathbf{createPar}()) \ \mathbf{end}$$

The tactic  $\mathbf{createPar}$  creates a parallel composition using Laws 12 (inter-unit) and 22 (par-inter-2) in sequence.

Finally, we define the tactic for the second step of the NB phase,  $\mathbf{NBStep2}$ : it tries, in alternation, to apply the tactic for processes with state, and the tactic that applies to processes without states.

$$\mathbf{Tactic} \ \mathbf{NBStep2}() \hat{=} \mathbf{tactic} \ \mathbf{NBStep2\_f}() \mid \mathbf{tactic} \ \mathbf{NBStep2\_l}() \ \mathbf{end}$$

Our example has one flow; hence, the application of  $\mathbf{joinFlowsInput}$  immediately skips. Afterwards, the application of  $\mathbf{synclnput}$  generates the action shown below.

$$\mathbf{Init} ; \mu X \bullet \left( \begin{array}{l} \mathbf{var} \ In1 : \mathbb{U} \bullet \\ E?x \longrightarrow In1 := x ; \\ \boxed{(\mathbf{var} \ Out1 : \mathbb{U} \bullet \mathbf{Calc\_Diff\_Out} ; \mathbf{Diff\_out!Out1} \longrightarrow \mathbf{Skip})} \\ \quad \parallel \{ \} \mid \{ E \} \mid \{ \mathbf{pid\_Diff\_UnitDelay\_St} \} \\ \mathbf{Calc\_Diff\_State} \end{array} \right) ; \mathbf{end\_cycle} \longrightarrow X$$

The next step of this phase expands the scope of the variable blocks that declare output variables.



### 5.1.3. Isolating the input processing

The fourth step isolates the communication of the outputs. In general, we now have a parallel composition like that below, in which the nested parallel composition of the flows is in parallel with the state update.

$$I; \mu X \bullet (\mathbf{var} \ d; d_O \bullet A_{In}; (((A_{C_0}; A_{O_0}) \parallel (\dots \parallel (A_{C_n}; A_{O_n}))) \parallel A_{St})); EC$$

As before, we define a tactic that isolates the output communications in a parallel composition of two flows, use  $\text{fold}_{\parallel}$  to isolate all the output communications in the left-hand action of the outermost parallel composition, and finally, define a tactic that isolates the outputs in the outermost parallel composition.

The tactic `isolateSeqActions` presented below applies to a parallel composition  $(A_{C_0}; A_{O_0}) \parallel (A_{C_1}; A_{O_1})$ . It applies Law 25 (par-seq-step) to remove the schema  $A_{C_0}$  from the parallel composition, resulting in a sequential composition whose left-hand side is  $A_{C_0}$ . Next, it commutes the remaining parallel composition and uses the Law 25 (par-seq-step) again to remove  $A_{C_1}$  from it. Finally, it commutes the parallel composition back into its original order, and applies the associativity law for sequence to aggregate  $A_{C_0}$  and  $A_{C_1}$ .

```
Tactic isolateSeqActions()  $\hat{=}$ 
  applies to  $(A_{C_0}; A_{O_0}) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket (A_{C_1}; A_{O_1})$ 
  do law par-seq-step();
      (skip  $\boxed{\cdot}$ ; (law par-comm(); law par-seq-step(); (skip  $\boxed{\cdot}$ ; law par-comm())));
      law seq-assoc()
  generates  $(A_{C_0}; A_{C_1}); (A_{O_0} \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_{O_1})$ 
end
```

Using this tactic, we can isolate all the output communications  $A_{O_i}$  in the left-hand action of the outermost parallel composition. This is captured by the tactic `joinFlowsCalc` declared below.

```
Tactic joinFlowsCalc  $\hat{=}$  (tactic fold $_{\parallel}$  (tactic isolateSeqActions()))  $\boxed{\parallel}$  skip end
```

Finally, we define `isolateIn`, which introduces a **Skip** into the right branch of the parallelism and then uses Law 25 (par-seq-step) to remove the schemas  $A_{C_i}$  that calculate the outputs from the parallel composition, resulting in a sequential composition. Then, it acts on the second part of this sequential composition: it commutes the parallel composition and then applies again Law 25 (par-seq-step) to remove the schema  $A_{St}$  that calculates the state. It commutes the remaining parallelism. Finally, it applies the associativity Law 31 (seq-assoc) to the sequential composition to aggregate the output calculation and the state update.

```
Tactic isolateIn()  $\hat{=}$ 
  applies to  $(A_C; A_O) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_{St}$ 
  do (skip  $\boxed{\parallel}$  (law seq-right-unit())); law par-seq-step();
      (skip  $\boxed{\cdot}$ ; (law par-comm(); law par-seq-step(); (skip  $\boxed{\cdot}$ ; law par-comm())));
      law seq-assoc()
  generates  $(A_C; A_{St}); (A_O \llbracket ns_1 \mid cs \mid ns_2 \rrbracket \mathbf{Skip})$ 
end
```

The objective is to apply `isolateIn` to the parallelism in the main action; there may be, however, many flows. Hence, we first isolate all the output communications in  $A_{Out}$ . Afterwards, we apply `isolateIn`. Finally, Law 31 (seq-assoc) isolates the parallel composition as the second part of a sequential composition.

```
Tactic NBStep4()  $\hat{=}$  (skip  $\boxed{\cdot}$ ; (tactic joinFlowsCalc(); tactic isolateIn())); law seq-assoc() end
```

In our example, `joinFlowsCalc` immediately skips; `isolateIn` yields the following action.

$$Init; \mu X \bullet \left( \begin{array}{l} \mathbf{var} \ In1 : \mathbb{U}; \mathbf{var} \ Out1 : \mathbb{U} \bullet \\ ((E?x \longrightarrow In1 := x); (Calc\_Diff\_Out; Calc\_Diff\_State)); \\ \boxed{\begin{array}{l} Diff\_out!Out1 \longrightarrow \mathbf{Skip} \\ \llbracket \{\} \mid \{E\} \mid \{pid\_Diff\_UnitDelay\_St\} \rrbracket \\ \mathbf{Skip} \end{array}} \end{array} \right); end\_cycle \longrightarrow X$$

Finally, the next step removes the parallel composition from the main action.

### 5.1.4. Introducing and simplifying interleaving of outputs

None of the input variables occur in the parallelism resulting from the last step. Hence, we can use the tactic `interIntroAndSimpl` in Section 3.3 to simplify it. The result of the previous step is a sequence. The first action of the sequence processes inputs, and calculates the outputs and updates the state. The second action of the sequence is the parallel composition; we apply `interIntroAndSimpl` only to that action.

**Tactic** `NBSteps5_6()`  $\hat{=}$  `skip` ; `tactic interIntroAndSimpl()` **end**

In our example, the application of this tactic yields the following action.

$$\text{Init} ; \mu X \bullet \left( \begin{array}{l} \text{var } In1 : \mathbb{U}; \text{ var } Out1 : \mathbb{U} \bullet \\ ((E?x \longrightarrow In1 := x) ; (Calc\_Diff\_Out ; Calc\_Diff\_State)); \\ (Diff\_out!Out1 \longrightarrow \mathbf{Skip}) \end{array} \right) ; \text{end\_cycle} \longrightarrow X$$

Next, we extend the scope of the variable blocks to the whole main action.

### 5.1.5. Extend scope of the variable declarations to the outermost level

At this stage, the main action's format is  $I ; (\mu X \bullet (\text{var } d \bullet A) ; EC)$ . We expand the scope of  $d$  to the outer level using the unit laws for sequence, and Laws 37 (var-exp-rec) and 38 (var-exp-seq) as follows. First, we introduce a **Skip** to the left of the sequential composition in the body of the recursion. Next, we expand the scope of  $d$  to the whole sequential composition in the body of the recursion (Law 38 (var-exp-seq)), remove the **Skip** that was introduced, and expand the scope of  $d$  over the recursion (Law 37 (var-exp-rec)). Finally, we introduce a **Skip** in the sequential composition of the main action, expand the scope of  $d$  to the whole sequential composition (Law 38 (var-exp-seq)), and remove the **Skip** that was introduced. At the end, we have  $\text{var } d \bullet I ; (\mu X \bullet (A ; EC))$  as the main action.

**Tactic** `extendVarScope()`  $\hat{=}$   
**applies to**  $I ; (\mu X \bullet (\text{var } d \bullet A) ; EC)$   
**do**  $\left( \text{skip} ; \left( \left( \left[ \mu \right] (\text{law seq-left-unit}() ; \text{law var-exp-seq}() ; \text{var} (\text{law seq-left-unit}^b())) ; \right) \right) ; \right. \right. ;$   
 $\left. \left. \text{law var-exp-seq}() ; \text{var} (\text{skip} ; \text{law seq-right-unit}^b()) \right) \right) ;$   
**generates**  $\text{var } d \bullet I ; (\mu X \bullet (A ; EC))$   
**end**

The simple application of `extendVarScope` represents the seventh step of the phase **NB**.

**Tactic** `NBStep7()`  $\hat{=}$  `tactic extendVarScope()` **end**

The result of its application to our example yields the following main action.

$$\text{var } In1 : \mathbb{U}; \text{ Out1} : \mathbb{U} \bullet \\ \text{Init} ; \mu X \bullet \left( \left( (E?x \longrightarrow In1 := x) ; (Calc\_Diff\_Out ; Calc\_Diff\_State) \right) ; \right. \\ \left. (Diff\_out!Out1 \longrightarrow \mathbf{Skip}) \right) ; \text{end\_cycle} \longrightarrow X$$

This concludes the transformation of the main action of the process.

### 5.1.6. Promote local variables to state components

In this last step, the tactic `NBStep8` simply invokes a tactic `promoteVars` to turn the input and output variables into state components. This concludes the application of the first phase of the refinement strategy, which, in our example, produces the new definition sketched below for the process `Diff`.

**process** `Diff`  $\hat{=}$  **begin**  
**state** `Diff_State`  $\hat{=}$   $[ \text{pid\_Diff\_UnitDelay\_St} : \mathbb{U}; \text{ In1} : \mathbb{U}; \text{ Out1} : \mathbb{U} ]$   
 $\dots$   
 $\bullet \text{ Init} ; \mu X \bullet \left( \left( (E?x \longrightarrow In1 := x) ; (Calc\_Diff\_Out ; Calc\_Diff\_State) \right) ; \right. \\ \left. (Diff\_out!Out1 \longrightarrow \mathbf{Skip}) \right) ; \text{end\_cycle} \longrightarrow X$   
**end**

There is one tactic `NBStepi`, for each of the steps  $i$  of the refinement strategy. We compose most of these tactics in the tactic `NBMain`. Furthermore, two auxiliary tactics are used in `NBMain`. As previously discussed, the process we are dealing with may have a state or not. The example presented here falls in the first case: its main action is a sequential composition of a schema that initialises the state and a recursion. In the second case, however, since there is no state to initialise, the main action is

just a recursion. In order to have the same structure (a sequential composition) in both cases, we use two auxiliary tactics, `insertSeqComp` and `removeSeqComp`. In the absence of a sequential composition, the tactic `insertSeqComp` introduces one with **Skip** as its first action, using Law 32 (seq-left-unit); otherwise, it skips.

**Tactic** `insertSeqComp()`  $\hat{=}$  TRY(**fails**(**skip**  $\square$ ; **skip**) ; (**law** seq-left-unit()) **end**

Complementarily, the second auxiliary tactic, `removeSeqComp`, which is presented below, removes any sequential composition with **Skip** using Laws 32 (seq-left-unit) and 33 (seq-right-unit).

**Tactic** `removeSeqComp()`  $\hat{=}$  TRY(**law** seq-left-unit<sup>b</sup>() ) ; TRY(**law** seq-right-unit<sup>b</sup>() ) **end**

The tactic `NBMain` is applied to the main action of the processes. After introducing a sequential composition, if needed, it works on the body of the recursion. This body is a sequential composition in which the second action ends the cycle and is not changed. Hence, the tactic only changes its first action: it applies `NBStep2` (creating a parallel composition with **Skip** if needed), `NBStep3`, `NBStep4`, and `NBSteps5_6`. Finally, we apply the seventh step and remove any sequential composition with **Skip** in the variable block.

**Tactic** `NBMain()`  $\hat{=}$   
**tactic** `insertSeqComp()` ;  
 $\left( \text{skip} \square ; \mu \left( \left( \text{tactic NBStep2}() ; \text{tactic NBStep3}() ; \right. \right. \right.$   
 $\left. \left. \left. \text{var} \left( \text{tactic NBStep4}() ; \text{tactic NBSteps5\_6}() \right) \square ; \text{skip} \right) \right) \right) ;$   
**tactic** `NBStep7()` ; **var** **tactic** `removeSeqComp()`  
**end**

The tactic `NBProc` below can be applied to normalise a process that corresponds to an individual block: it takes the process name as its argument. First, it applies `NBStep1` using as an argument a list that contains the names of the actions of the process that execute the flows; this is identified by the function `FNames`. Then, it applies the tactic `NBMain` to the main action of the process. Finally, it promotes the variables declared at the top level of the resulting main action to state components (`NBStep8`).

**Tactic** `NBProc(pname)`  $\hat{=}$   
**program**  $\left\{ \left( \text{pname}, \text{tactic NBStep1}(\text{FNames}(\text{pname})) \right) ; \hat{=} \left( \begin{array}{l} \text{beginend} \langle \rangle, \text{tactic NBMain}() ; \\ \text{tactic NBStep8}() \end{array} \right) \right\}$   
**end**

This tactic refines the identified *Circus* process in the diagram model. Using this tactic, we can also refine the remaining components shown in Fig. 2; the refinement of `Int`, `Si`, `Sd`, `Sp`, and `Sum` can be accomplished with simple applications of tactic `NBProc`. We achieve this by applying the following tactic to the *Circus* program that contains their specifications.

**Tactic** `NB(ind_blocks)`  $\hat{=}$  APPLYT(`NBProc`, `ind_blocks`) **end**

This tactic takes as argument a list of block names. In our example,  $\langle \text{Diff}, \text{Sd}, \text{Int}, \text{Si}, \text{Sp}, \text{Sum} \rangle$  can be used to apply the phase NB to the whole *Circus* program that models the PID control diagram.

Although not presented in this paper, `Si`, `Sd`, `Sp`, and `Sum` do not have state and, as a direct consequence, do not have a parallel composition in the main action because they do not require any state update. The first three of them, `Si`, `Sd`, and `Sp`, take two input values and produce one output value; the last one of them, `Sum`, takes three input values and produces one output value. Regardless of the difference in the internal structure of these processes, the tactic NB can be applied with success.

## 5.2. Phase BJ

After the NB phase, we have the phase BJ, in which we collapse the parallelism between the processes of the blocks that are implemented by a single procedure in the Ada program, and then between the processes that represent procedures handled by a single scheduler. Each of the resulting processes is then put back into the normal form used in the previous phase. The success of this phase confirms that the architecture of the implementation groups blocks and procedures that can indeed be implemented sequentially.

We use information about the Ada procedures that implement block functionality, namely, the blocks that they implement, and about the procedures handled by each scheduler. For our example, we identify a procedure `Calc_Derivative` that implements the blocks `Diff` and `Sd`. Similarly, we also have a procedure `Calc_Integral` that implements the blocks `Si` and `Int`. Finally, the main program has procedures `Calc_Proportion`, which implements `Sp`, and `Calc_Output`, which implements `Sum`.

We consider each of the procedures that implement more than one block. For each of them, we remove, in the process that defines the diagram, the parallelism between the processes that model the blocks that they implement. As a result, we create a single process for each procedure. For that, we consider two blocks at a time, and proceed as shown below. Afterwards, with the collection of processes now in correspondence with the procedures of the implementation, we group

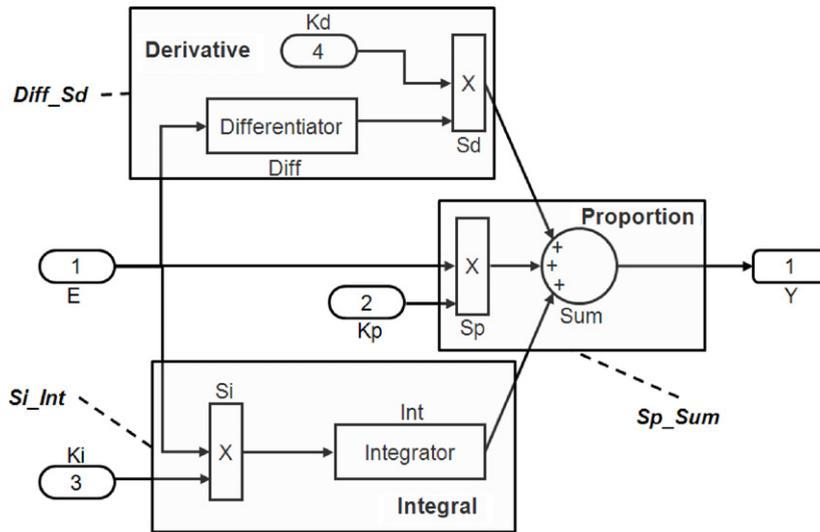


Fig. 6. Structure of the PID to match its implementation.

the processes that correspond to procedures scheduled by a single task. In our case, the procedures `Calc_Proportion` and `Calc_Output`, which implement the blocks `Sp` and `Sum`, are scheduled by the same program. Therefore, in this phase, we also join the processes `Sp` and `Sum` to produce a process `Sp_Sum`. In Fig. 6, we illustrate how the original diagram would relate to a hypothetical diagram that corresponds exactly to the model generated by this phase. Nevertheless, the original diagram is not changed; Fig. 6 is given simply to exemplify the *Circus* model transformation.

To illustrate the steps of this phase, we join the processes `Diff` and `Sd`, which model `Diff` and `Sd`. For `Int` and `Si`, and `Sp` and `Sum`, of course, we proceed in a similar way.

### 5.2.1. Create a single process

The first step of the phase `BJ` joins the processes implemented in the same procedure or scheduled by the same task in the Ada code. For that, it receives as argument a sequence that contains sequences of blocks that are to be joined. In our example, we use  $\langle\langle \text{Diff}, \text{Sd} \rangle, \langle \text{Int}, \text{Si} \rangle, \langle \text{Sp}, \text{Sum} \rangle\rangle$  (henceforth called *pid\_blocks*) as argument. That means that, for instance, the processes `Diff` and `Sd` are to be joined.

The tactic below uses Law 18 (`join-proc-par`) to join the processes. This law is basically the definition of process parallelism [36]. It describes  $P_1 \parallel cs \parallel P_2$  as a basic process whose state includes all the components of  $P_1$  and  $P_2$  and whose main action is the parallel composition of the main actions  $A_1$  of  $P_1$  and  $A_2$  of  $P_2$ . If there are clashes in the names of the state components (or any other definitions) of  $P_1$  and  $P_2$ , they are resolved by renaming. The name sets associated to  $A_1$  and  $A_2$  in the parallelism are the state components of  $P_1$  and  $P_2$ . The program is changed to refer to the new process, instead of the parallel composition, and the individual processes are removed (as they are no longer used).

The processes are joined two at a time. For this reason, we use an auxiliary function `join_all` that takes as argument a list of lists of the names of the processes that must be joined.

**Tactic** `createSingleProcesses(blocks)  $\hat{=}$  APPLYL(join-proc-par, join_all(blocks)) end`

If the size of the list is less than two, `join_all` ignores it, otherwise `join_all` creates a list of arguments for Law 18 (`join-proc-par`). This function is useful when we have procedures implementing more than two blocks. For instance, if we apply `join_all` to  $\langle\langle P_1, P_2, P_3 \rangle\rangle$ , we get  $\langle\langle (P_1, P_2), (P_1-P_2, P_3) \rangle\rangle$ . So, we first join  $P_1$  and  $P_2$  creating a new process  $P_1-P_2$ , and then we join  $P_1-P_2$  and  $P_3$ . In our example, we get  $\langle\langle (\text{Diff}, \text{Sd}), (\text{Int}, \text{Si}), (\text{Sp}, \text{Sum}) \rangle\rangle$  applying `join_all` to *pid\_blocks*, which is used as argument for Law 18.

A simple invocation of `createSingleProcesses` formalises the first step of the `BJ` phase.

**Tactic** `BJSt1(blocks)  $\hat{=}$  createSingleProcesses(blocks) end`

In our example, with the application of this tactic, using the list *pid\_blocks* as argument, we get the *Circus* program sketched in Fig. 7. The *Ini* and *Outj* variables in the state are renamed when the processes are joined to avoid clashes as explained above. The parallelism requires synchronisation on the intersection of the alphabets of the original processes: in our example, the channels `Diff_out` and `end_cycle`. The parallel actions have write access to the state components of the corresponding original processes.

The next steps aim at normalising the main action of the joined processes.



processes. The resulting list is used as argument for the application of tactic `BJSt2Proc`. In our example, the tactic `BJSt2Proc` is applied three times: one for each of the processes `Diff_Sd`, `Int_Si`, and `Sp_Sum`. For the first one, we have the resulting main action presented below.

`pid_Diff_Init`;

$$\left( \left( \left( \mu X \bullet \left( \left( \left( E?x \longrightarrow \left( \left( \text{pid\_Diff\_In1} := x ; \text{Calc\_Diff\_Out} \right) ; \text{Calc\_Diff\_State} \right) \right) ; \text{end\_cycle} \longrightarrow X \right) \right) \right) \right) ; \left( \text{Diff\_out!pid\_Diff\_Out1} \longrightarrow \mathbf{Skip} \right) \right) \right) \parallel \left[ \left\{ \text{pid\_Diff\_UnitDelay\_St}, \text{pid\_Diff\_In1}, \text{pid\_Diff\_Out1} \right\} \mid \left\{ \text{Diff\_out}, \text{end\_cycle} \right\} \mid \left\{ \text{pid\_Sd\_In1}, \text{pid\_Sd\_In2}, \text{pid\_Sd\_Out1} \right\} \right] \right) \left( \left( \mu X \bullet \left( \left( \left( \text{Diff\_out?x} \longrightarrow \text{pid\_Sd\_In2} := x \right) ; \left( \left( \left( \left\{ \text{pid\_Sd\_In2} \right\} \mid \left\{ \text{pid\_Sd\_In1} \right\} \right\} \right) ; \left( \text{Kd?x} \longrightarrow \text{pid\_Sd\_In1} := x \right) \right) ; \text{end\_cycle} \longrightarrow X \right) \right) \right) \right) ; \left( \text{Calc\_pid\_Sd} ; \text{Sd\_out!pid\_Sd\_Out1} \longrightarrow \mathbf{Skip} \right) \right) \right)$$

We are left with the initialisation of the state components of the original `Diff` process, followed by a parallel composition of two recursive actions, which we transform into a single recursive action in the next steps.

### 5.2.3. Extract the synchronisation on `end_cycle`

In this step of the BJ phase of the refinement strategy, we extract the synchronisation on `end_cycle`. For that, we use the fixed-point Law 30 (rec-sync) in the tactic `isolateEC`. As previously described, the main actions of all processes may be sequential compositions of a state initialisation followed by a recursive behaviour. However, the state initialisation is not always present. For uniformity, as we did in the tactics `NB` and `isolateInitBin`, we include a sequential composition, if needed, using `insertSeqComp`.

**Tactic** `isolateEC()`  $\hat{=}$  **tactic** `insertSeqComp()` ; (**skip**  $\square$ ) (foldr $\parallel$  (**law** rec-sync())) **end**

For the same reason as in the previous step, we may have a nested parallel composition (associated to the left). Hence, our strategy is again to remove the synchronisations on `end_cycle` from the parallel composition of all the parallel branches by recursively using Law 30. For this reason, we use the tactic `foldr $\parallel$` . For a given process name, the tactic `BJSt3Proc` extracts the synchronisation on `end_cycle`. It works on the whole `Circus` program but only changes, using `isolateEC`, the main action of the specified process.

**Tactic** `BJSt3Proc(pname)`  $\hat{=}$  **program**  $\langle$  (`pname`,  $\square$ ) (**beginend** ( $\langle$ , **tactic** `isolateEC()`))  $\rangle$  **end**

The tactic that refines all processes that resulted from joining processes in parallel (`Diff_Sd`, `Int_Si`, and `Sp_Sum`) uses the tactic `BJSt3Proc` and the names of the blocks that have been joined.

**Tactic** `BjSt3(blocks)`  $\hat{=}$  **APPLYT**(`BJSt3Proc`, `join_names(blocks)`) **end**

In our example, the tactic `BJSt3Proc` is applied to `Diff_Sd`, `Int_Si`, and `Sp_Sum`. For the first one, this application yields the following recursive behaviour after the initialisation.

$$\mu X \bullet \left( \left( \left( \left( \left( E?x \longrightarrow \left( \left( \left( \text{pid\_Diff\_In1} := x ; \text{Calc\_Diff\_Out} \right) ; \text{Calc\_Diff\_State} \right) \right) ; \left( \text{Diff\_out!pid\_Diff\_Out1} \longrightarrow \mathbf{Skip} \right) \right) \right) \right) \right) \parallel \left[ \left\{ \text{pid\_Diff\_UnitDelay\_St}, \text{pid\_Diff\_In1}, \text{pid\_Diff\_Out1} \right\} \mid \left\{ \text{Diff\_out} \right\} \mid \left\{ \text{pid\_Sd\_In1}, \text{pid\_Sd\_In2}, \text{pid\_Sd\_Out1} \right\} \right] \right) \left( \left( \left( \left( \text{Diff\_out?x} \longrightarrow \text{pid\_Sd\_In2} := x \right) ; \left( \left( \left( \left( \left\{ \text{pid\_Sd\_In2} \right\} \mid \left\{ \text{pid\_Sd\_In1} \right\} \right\} \right) ; \left( \text{Kd?x} \longrightarrow \text{pid\_Sd\_In1} := x \right) \right) ; \text{end\_cycle} \longrightarrow X \right) \right) \right) \right) ; \left( \text{Calc\_pid\_Sd} ; \text{Sd\_out!pid\_Sd\_Out1} \longrightarrow \mathbf{Skip} \right) \right)$$

The parallelism of recursions becomes a recursive parallel action, with the synchronisation on `end_cycle` outside the parallelism; it no longer requires synchronisation on this channel.

In the next step, we remove the remaining parallel composition.

### 5.2.4. Remove parallelism

The particular steps required to remove a parallelism depend on the way in which the parallel blocks are arranged. Also, removing parallelism is not always possible: we combine blocks connected in sequence. If we have more than two blocks

to combine, we join two at a time. For this reason, the tactic that formalises this step is defined as an alternation of different possibilities, one for each configuration in Fig. 5.

```
Tactic BJSt4A_all_config()  $\hat{=}$ 
  tactic BJSt4A_config1() | tactic BJSt4A_config2()
  | tactic BJSt4A_config3A() | tactic BJSt4A_config3B() | tactic BJSt4A_config4()
end
```

The first one that succeeds defines the behaviour of the tactic.

The configurations presented in Fig. 5 on Page 802 cover all possible cases. In the first three, the final output, that is, the output of the second block, depends on all outputs of the first block. The communications of the outputs of the first block to the second one are internal, and can be eliminated. For these configurations, we proceed as shown below. Configuration (4) involves no internal channels and, therefore, the removal of the parallelism is simpler. We describe below the tactic that formalises this step for our example, in which the blocks are connected as in Configuration (2). The formalisation of the remaining configurations is in [33].

This step of the BJ phase has four stages: evaluate the synchronisation entailed by the internal communications; remove internal communications; sequentialise assignments; and introduce interleaving of inputs. We now describe each one of them, and then define the tactic BJSt4 that formalises the whole step.

### 5.2.5. Evaluate the synchronisation entailed by the internal communications

In this stage, we use highly specialised, but similar, refinement laws. For Configuration (2), we have the following parallelism in the body of the recursion that we are refining.

$$(TakeInAndCalc \ ; \ OutInternalComm) \parallel ((InpInternalComm \parallel InpExternal) \ ; \ CalcOutAndComm)$$

The first parallel action takes the inputs and calculates the new state and outputs (*TakeInAndCalc*), and then communicates the outputs (*OutInternalComm*). The second action takes the inputs communicated from the first (*InpInternalComm*) and the external inputs (*InpExternal*) in interleaving. Afterwards, it calculates the state and outputs, and communicates the outputs (*CalcOutAndComm*).

In our example, we have that both *OutInternalComm* and *InpInternalComm* are simple prefixed actions (on *Diff\_out*). That means that the first block has one output (*Diff\_out*) and synchronises with *InpInternalComm* on this channel. There may be, however, more than one internal communication. In these cases, both *OutInternalComm* and *InpInternalComm* are an interleaving of prefixed actions. To deal with these cases, we use Law 11 (inter-index) that is based on the definition of indexed interleaving and transforms an explicit interleaving into an indexed one. Simple prefixed actions like in our example are transformed into an indexed interleaving in which the range of the indexing variable has cardinality one. We apply Law 11 (inter-index) to *OutInternalComm* and *InpInternalComm*. We are then able to use a generalisation of the Law 23 (par-out-inp-inter-exchange) from [34], which is useful when the first block has one output that synchronises with one of the two interleaved inputs of the second block. The generalised Law 24 (par-out-inp-inter-exchange-n) follows the same principles, but evaluates the multiple synchronisations.

The tactic BJSt4A\_config2 transforms both *OutInternal* and *InpInternalComm* into indexed interleavings using Law 11 (inter-index), evaluates the synchronisation entailed by the internal communications for Configuration (2) using Law 24 (par-out-inp-inter-exchange-n), and uses Law 11 (inter-index) once again to expand the indexed interleaving that resulted from the evaluation.

```
Tactic BJSt4A_config2()  $\hat{=}$ 
  ((skip [ ; ] law inter-indexb()  $\parallel$  ((law inter-indexb()  $\parallel$  skip) [ ; ] skip)) ;
  law par-out-inp-inter-exchange-n() ;
  ((skip [ ; ] (law inter-index()  $\parallel$  skip)) [ ; ] skip)
end
```

In our example, the result of applying BJSt4A\_config2 is presented below.

$$\left( \left( \begin{array}{l} (E?x \longrightarrow ((pid\_Diff\_In1 := x \ ; \ Calc\_Diff\_Out) \ ; \ Calc\_Diff\_State)); \\ (Diff\_out!pid\_Diff\_Out1 \longrightarrow pid\_Sd\_In2 := pid\_Diff\_Out1) \end{array} \right) \parallel \{pid\_Diff\_UnitDelay\_St, pid\_Diff\_In1, pid\_Diff\_Out1, pid\_Sd\_In2\} \mid \{pid\_Sd\_In1\} \parallel \right); \\ (Kd?x \longrightarrow pid\_Sd\_In1 := x) \\ (Calc\_pid\_Sd \ ; \ Sd\_out!pid\_Sd\_Out1 \longrightarrow \mathbf{Skip})$$

As before, we have a tactic that formalises this stage of the refinement step for a specific process. It applies to the overall *Circus* program, but works specifically on the main action of the given process. More precisely, it works on the first action of the sequential composition within the body of the recursion.

**Tactic** BJS4AProc( $pname$ )  $\hat{=}$   
**program**  $\langle (pname, \hat{=} \text{beginend} (\langle \rangle, \text{skip} [\square; \square] (\text{tactic BJS4A\_all\_config}() [\square; \square] \text{skip}))) \rangle$   
**end**

The tactic that formalises the application of this first refinement stage to all processes is presented below. It follows the same pattern as the tactics previously presented.

**Tactic** BJS4A( $blocks$ )  $\hat{=}$  APPLYT(BJS4AProc,  $join\_names(blocks)$ ) **end**

The next step removes the communications like *Diff\_out* that happen internally between blocks.

### 5.2.6. Remove internal communications

Before further refining each individual process, we rewrite the overall diagram model, in our example, the *PID* process. Our aim is to distribute the external hiding over to the processes that describe the internal communications. The tactic BJS4\_HidPrep presented below applies to the whole *Circus* program. It takes the name *main* of the process that models the diagram and works on the body of its definition.

First, it uses Law 20 (par-hid-dist), which applies to processes of the form  $((P_1 \alpha_1) \parallel \dots \parallel (P_n \alpha_n)) \setminus cs$  and distributes the hiding over the parallelism. The law guarantees that for each one of the parallel processes we hide only the events that are in  $cs$  but are not in the interface of the other parallel processes. We remove from  $cs$  these events; hence,  $cs$  is left only with the events that are shared between parallel processes.

Next, using the tactic  $\text{mapr}_{\parallel}$ , BJS4\_HidPrep applies Law 3 (hid-contract) to each parallel process. This law reduces the set of hidden events to only those that are actually in the interface of the process.

The tactical  $\text{mapr}_{\parallel}$  below applies a tactic to all elements in a nested right-associated parallel composition.

**Tactic**  $\text{mapr}_{\parallel}(t) \hat{=}$   $\mu_T X \bullet (t \parallel X) \mid t$  **end**

If it finds a parallel composition, it applies  $t$  to the left branch and recurs its application to the right branch. At the last branch it will apply only  $t$ , since the structural combinator for parallelism fails.

Finally, the tactic BJS4\_HidPrep applies the Law 17 (join-proc-hid) to the overall *Circus* program. This law states that if a process  $P$  is only referenced in the overall program by hiding some of its events  $((P \alpha_P) \setminus cs)$ , then we may replace  $P$  by a new process  $P_1$ . The new process  $P_1$  is very similar to  $P$ , but hide these events in its main action. In the overall *Circus* specification, we may now reference  $(P_1 (\alpha_P \setminus cs))$ ; the new interface removes  $cs$  from the original one.

**Tactic** BJS4\_HidPrep( $main$ )  $\hat{=}$   
**program**  $\langle (main, \hat{=} (\text{law par-hid-dist}() ; \text{mapr}_{\parallel} (\text{law hid-contract}())))) ;$   
 APPLYL(join-proc-hid,  $join\_names(blocks)$ )  
**end**

In our example, the definition of *PID* is as follows.

$$PID \hat{=} \left( \begin{array}{l} Diff\_Sd \parallel E, Kd, Diff\_out, Sd\_out, end\_cycle \parallel \\ \parallel Int\_Si \parallel E, Ki, Si\_out, Int\_out, end\_cycle \parallel \\ \parallel Sp\_Sum \parallel E, Kp, Sd\_out, Int\_out, Sp\_out, Y, end\_cycle \parallel \end{array} \right) \setminus \parallel Si\_out, Diff\_out, Int\_out, Sd\_out, Sp\_out \parallel$$

The application of BJS4\_HidPrep distributes the hiding over the parallel composition and changes the overall *Circus* program including the definition of *PID*. A sketch of the resulting *Circus* program is presented below.

...

$Diff\_Sd_1 \hat{=} \dots \bullet \dots \setminus \parallel Diff\_out \parallel$  **end**

$$PID \hat{=} \left( \begin{array}{l} Diff\_Sd_1 \parallel E, Kd, Sd\_out, end\_cycle \parallel \\ \parallel Int\_Si_1 \parallel E, Ki, Int\_out, end\_cycle \parallel \\ \parallel Sp\_Sum_1 \parallel E, Kp, Sd\_out, Int\_out, Y, end\_cycle \parallel \end{array} \right) \setminus \parallel Int\_out, Sd\_out \parallel$$

The new definition of *Diff\_Sd*, that is, *Diff\_Sd\_1*, hides the internal communication between the original processes *Diff* and *Sd* through the channel *Diff\_out* in its main action. Now, we further refine the main action of each process resulting from a join, like *Diff\_Sd\_1*, as informally described in [6].

For each process, we use the distribution laws of hiding to localise the hiding of the channel used in the internal communication around the prefixing that carries it out. We then apply Law 9 (hid-step) to remove the communication. This distribution is achieved by the following tactic HidDistStep, which exhaustively applies the distribution laws over the *Circus* operators and, when it is no longer possible to distribute the hiding, applies the step Law 9 (hid-step). Finally, it uses





This tactic takes the name of the diagram process and the list that contains the lists of processes that are to be joined. It invokes the tactics that formalise each of the refinement stages in sequence.

Finally, we have the tactic that corresponds to the stage BJ of the refinement strategy.

```
Tactic BJ(main, blocks)  $\hat{=}$ 
  tactic BJSt1(blocks) ; tactic BJSt2(blocks) ;
  tactic BJSt3(blocks) ; tactic BJSt4(main, blocks)
end
```

It has the same argument as BJSt4 and also simply invokes the tactics that corresponds to each of the steps.

Using BJ, we obtain the process  $Diff\_Sd_1$ . We also join the *Circus* processes  $S_i$  and  $Int$  to produce a process  $S_i\_Int_1$ , and the processes  $Sp$  and  $Sum$  to produce a process  $Sp\_Sum_1$ . Regardless of the difference in the internal structure of these processes, however, the tactic BJ can be applied with success reducing considerably the amount of effort used in the correctness proof of the PID.

The remainder of the refinement strategy can be formalised in the same way; this is left as future work. The formalisation of the refinement strategy for control laws in ArcAngelC fosters its automatic application using tools like that described in Section 7.

## 6. The denotational semantics of ArcAngelC

In this section, we describe our formalisation of the semantics of ArcAngelC. As opposed to the specification of the ArcAngel semantics, where we use a custom mathematical language, the formalisation of ArcAngelC uses Z as a meta-language, and we have a specification of the syntax of *Circus* embedded in Z. This makes possible the mechanisation of the ArcAngelC semantics using Z theorem provers.

Semantically, a tactic is applied to a refinement cell: a pair in which the first element is a term, and the second element is the proof obligations accumulated so far. The tactic application to a refinement cell returns a possibly infinite list of refinement cells: each element is a possible outcome of the tactic application. To handle different sorts of tactics (action, process, and program tactics), we define the term to be of type *Cell*: it can be a parametrised action, a parametrised process, a sequence of process paragraphs, or a sequence of program paragraphs.

$$Cell ::= ParActC \langle\langle ParAct \rangle\rangle \mid ParProcC \langle\langle ParProc \rangle\rangle \mid ProcParC \langle\langle seq ProcPar \rangle\rangle \mid ProgC \langle\langle seq ProgPar \rangle\rangle$$

The sets *ParAct*, *ParProc*, *ProcPar*, and *ProgPar* contain (Z representations of) parametrised actions, parametrised processes, process paragraphs, and program paragraphs. We also define the sets that contain each sort of cell. For instance, *ParProcCell* contains all cells corresponding to parametrised processes.

$$ParProcCell == \text{ran } ParProcC$$

A refinement can only transform an action to an action (action refinement), a process to a process (process refinement), or a program to a program (program refinement). We can also have data refinement laws, for which we need to record a retrieve relation (given as a schema expression, that is an element of *SchemaExp*) and the declaration (that is, an element of *Decl*) of any local variables.

$$\begin{aligned} Refinement ::= & ActRefinement \langle\langle ActBody \times ActBody \rangle\rangle \\ & \mid ProcRefinement \langle\langle ProcBody \times ProcBody \rangle\rangle \\ & \mid ProgRefinement \langle\langle Program \times Program \rangle\rangle \\ & \mid DataRefinement \langle\langle SchemaExp \times Decl \times ActBody \times ActBody \rangle\rangle \end{aligned}$$

The proof obligations can be simple Z predicates (*Pred*) or refinements.

$$PObs == seq Pred \times seq Refinement$$

The use of sets instead of sequences in the definition of *PObs* would have no impact in the majority of the semantics definitions. We have, however, tacticals that can be used to discharge refinement proof obligations by providing a list of tactics (see Section 6.3). The use of sequences makes it simpler to define such tacticals because we can establish a simple correspondence between the refinement proof obligations in a list  $\langle A_1 \sqsubseteq C_1, \dots, A_n \sqsubseteq C_n \rangle$  and the tactics in a list  $\langle t_1, \dots, t_n \rangle$ . It is the extra structure in the model of proof obligations, and the distinction between simple predicates and refinement conjectures, that allows us to use ArcAngelC to tackle both program derivations and the proof obligations.

Refinement cells are pairs  $(c, pobs)$ , where  $c$  is a cell and  $pobs$  are proof obligations.

$$RCell == Cell \times PObs$$

A refinement law (*Law*) is a function from a *Cell* to a refinement cell. If applied to a certain type of cell it can only return a refinement cell whose first element is of the same type.

$$\begin{aligned} \text{Law} == & (\text{ParActCell} \rightarrow \text{ParActRCell}) \\ & \cup (\text{ParProcCell} \rightarrow \text{ParProcRCell}) \\ & \cup (\text{ProcParCell} \rightarrow \text{ProcParRCell}) \\ & \cup (\text{ProgCell} \rightarrow \text{ProgRCell}) \end{aligned}$$

As said before, the result of a tactic application (to a refinement cell) is a possibly infinite list of refinement cells that contains all possible outcomes of its application: every program it can generate, together with the corresponding proof obligations. They include existing proof obligations and those generated by the tactic application. Different possibilities arise from the use of alternation, and the list can be infinite because the application of a tactic may run indefinitely. On the other hand, if the application of the tactic fails, then the empty list is the result. Like for laws, there is a correspondence between the type of refinement cell to which the tactic is applied and that of the cells that result from the application.

$$\begin{aligned} \text{Tactic} == & (\text{ParActRCell} \rightarrow \text{pfisec}[\text{ParActRCell}]) \\ & \cup (\text{ParProcRCell} \rightarrow \text{pfisec}[\text{ParProcRCell}]) \\ & \cup (\text{ProcParRCell} \rightarrow \text{pfisec}[\text{ProcParRCell}]) \\ & \cup (\text{ProgRCell} \rightarrow \text{pfisec}[\text{ProgRCell}]) \end{aligned}$$

The type  $\text{pfisec}[RCell]$  is that of the possibly infinite lists of *RCells*. We use the model for infinite lists proposed in [21]; it is summarised in Appendix A. In this model, finite, partial, and infinite lists are considered. A partial list ends in an undefined list, denoted  $\perp$ . An infinite list is a limit of a directed set of partial lists.

To give a semantics to named laws and tactics, we maintain two environments. The law environment records the known laws; it is a partial function whose domain is the set of their names.

$$\text{LEnv} == N \rightarrow ((\text{seq TERM}) \rightarrow \text{Law})$$

For a law environment  $\text{env}_l$  and a given law name  $n$ , we have that  $\text{env}_l n$  is also a partial function that relates all valid arguments of  $n$  (sequences of terms) to yet another function, a *Law*, as previously defined. Similarly, a tactic environment takes a tactic name and a sequence of arguments, and returns a *Tactic*.

## 6.1. Tactics

The basic tactic **law**  $n(a)$  applies a simple law to an *RCell*; it is defined as follows.

$\begin{aligned} & \textbf{law}: (N \times (\text{seq TERM})) \rightarrow \text{LEnv} \rightarrow \text{Tactic} \\ & \forall n : N; a : \text{seq TERM}; r : \text{RCell}; \text{lenv} : \text{LEnv} \bullet \\ & \quad \textbf{law} (n, a) \text{lenv } r = \text{if}(n \in \text{dom}(\text{lenv}) \wedge a \in \text{dom}(\text{lenv}(n)) \wedge r.1 \in \text{dom}(\text{lenv}(n)(a))) \\ & \quad \quad \text{then } [((\text{lenv}(n)(a)(r.1)).1, \text{MPObs}(r.2, (\text{lenv}(n)(a)(r.1)).2))]_{\infty} \\ & \quad \quad \text{else } []_{\infty} \end{aligned}$
--

If the law name  $n$  is in the given law environment  $\text{lenv}$ , and if the sequence of arguments  $a$  and cell  $r.1$  are appropriate, that is, if  $a$  is in the domain of the law, and the program  $r.1$  is in the domain of the law when applied to  $a$ , then the tactic succeeds, and returns a list with a new *RCell*. The refinement cell  $r$  is transformed by applying the law to the cell  $r.1$ ; the new proof obligations are merged with the proof obligations  $r.2$  of the original *RCell*. Otherwise, the tactic fails with the empty list as result. The brackets subscripted with  $\infty$  indicate that we have a possibly infinite list (that is, an element of  $\text{pfisec}$ ).

The function  $\text{MPObs}$  merges two lists of proof obligations. This is needed because we have two different sorts of proof obligations (predicates and refinements), which form the pair of sequences that represent proof obligations. Hence, merging existing proof obligations with new ones is not a union of proof obligations sets, as in ArcAngel's semantics, but a combination of pairs of proof obligations.

We use a simple approach for expression arguments: we consider that the expressions used as parameters of laws have already been evaluated. This allows us to focus on the semantics of the tactics themselves.

The semantics of **tactic**  $(n, a)$  is similar to that of **law**  $(n, a)$  presented above. The tactic **skip** produces the singleton list that contains its (refinement cell) argument unchanged.

$\begin{aligned} & \textbf{skip} : \text{Tactic} \\ & \forall r : \text{RCell} \bullet \textbf{skip}(r) = [r]_{\infty} \end{aligned}$
---

The tactic **fail** always fails. It returns the empty list  $[]_{\infty}$ .

The tactic sequence operator uses a construction known as the Kleisli composition [19]. It applies its first tactic to its argument, producing a list of cells. It then applies the second tactic to each member of this list. Finally, the list of lists thereby obtained is flattened to produce the result.

$$\frac{}{\_ ; \_ : (Tactic \times Tactic) \rightarrow Tactic} \\ \frac{}{\forall t_1, t_2 : Tactic; r : RCell \bullet (t_1 ; t_2)(r) = \overset{\infty}{\sim}/[RCell](t_2 * (t_1(r)))}$$

For a total function  $f : A \rightarrow B$ , we have that  $f * : \text{pfisq } A \rightarrow \text{pfisq } B$  is the map function that operates on a list by applying  $f$  to each of its elements; the operator  $\overset{\infty}{\sim}$  is the distributed concatenation. It is defined as a generic function. Hence, we need to use  $[RCell]$  to instantiate it for refinement cells. Formal definitions of these operators and others to follow can be found in [Appendix A](#).

The semantics of alternation ( $t_1 \mid t_2$ ), cut ( $!t$ ), recursion ( $\mu_T X \bullet t$ ), **abort**, assertions **succs**  $t$  and **fails**  $t$ , and pattern matching are similar to those from [\[35\]](#) and are omitted here for conciseness. A full account on the semantics of ArcAngelC can be found in [\[33\]](#).

## 6.2. Structural combinators

The structural combinators apply tactics to components of a program independently (and so can be thought of as in parallel), and then reassemble the results in all possible ways. As an example, we consider the application of tactics  $t_1$  and  $t_2$  to two different components  $p_1$  and  $p_2$  of a program  $P$  (we use  $P(p_1, p_2)$  to denote that the program  $P$  has components  $p_1$  and  $p_2$ ). A structural combinator allows us to carry out these applications independently. If  $t_1(p_1) = [r_1, r_2]_{\infty}$  and  $t_2(p_2) = [s_1, s_2]_{\infty}$ , then the combination of these results gives us a list  $[(r_1, s_1), (r_1, s_2), (r_2, s_1), (r_2, s_2)]_{\infty}$ . The application of the structural combinator yields a list of programs by reassembling the original program and replacing in  $P$  each component by the corresponding result in the combined list. In our example, we have the following list of programs as a result.

$$[P(r_1, s_1), P(r_1, s_2), P(r_2, s_1), P(r_2, s_2)]_{\infty}$$

In ArcAngelC, as already said, there is one combinator for each *Circus* construct. Since tactics may target different components of a *Circus* program, we have four groups of structural combinators: those that can be applied to either actions or processes, those that can be applied only to actions, those that can be applied only to processes, and those that can be applied to programs.

The reassembling previously mentioned is done by  $\Omega$  functions. The semantics of the vast majority of the structural combinators of ArcAngelC has the following structure.

$$(\text{structComb } tacs) rc = (\Omega \text{ args}) * \text{tacApp}$$

In this template, *structComb* stands for the structural combinator, *tacs* is a single tactic, a pair of tactics, or a sequence of tactics, and *rc* is a refinement cell. The semantics is defined by first applying the tactic(s) to components of the refinement cell's program. The result of their application is represented by *tacApp* above. Next, we map an  $\Omega$  function to the resulting list. The arguments *args* for the  $\Omega$  function are static parameters of the program construct that are not affected by the application of tactics.

The naming and typing of the  $\Omega$  functions are similar. Below, we present a template: essentially, these are generic functions on a type  $X$  of *Circus* terms as presented below.

$$\Omega_D^R : X \rightarrow ((X \times D) \rightarrow R) \rightarrow RCell \rightarrow RCell$$

They receive a term  $x$  of type  $X$ , a function  $f : (X \times D) \rightarrow R$  representing a syntactic constructor, and a refinement cell.  $D$  and  $R$  are *Circus* syntactic categories (*ActBody*, *ProcPar*, and so on). The result of the application of an  $\Omega$  function is a refinement cell with the same proof obligations as the cell it takes as its argument. Its cell is of type  $R$ ; it is the result of the application of  $f$  to  $(x, p)$ , where  $p$  is the program structure of the cell in the original refinement cell. For *Circus* binary structural combinators, the argument function has type  $f : (X \times D \times D) \rightarrow R$ ; this is reflected in the name of the function by subscripting the  $D$  with a 2 as in  $\Omega_{D_2}^R$ . We omit the  $R$  when it is the same as  $D$ .

In what follows we instantiate the above template for the various ArcAngelC structural combinators. In each case, we define the appropriate  $\Omega$  function.

### 6.2.1. Action combinators

The first  $\Omega$  function,  $\Omega_{ActBody}$ , instantiates both  $D$  and  $R$  to the syntactic category of action bodies, *ActBody*. Its application defines a refinement cell with a basic action as its program, which results from the application of  $f$  to  $(x, a)$ , where  $a$  is the action body of the cell in the original refinement cell.

$$\frac{[X]}{\Omega_{ActBody} : X \rightarrow ((X \times ActBody) \rightarrow ActBody) \rightarrow RCell \rightarrow RCell} \\ \frac{}{\forall x : X; f : (X \times ActBody) \rightarrow ActBody; a : ActBody; pobs : PObs \bullet \\ \Omega_{ActBody} x f (\text{ParActC}(\text{BaseAct}(a)), pobs) = (\text{ParActC}(\text{BaseAct}(f(x, a))), pobs)}$$

A parametrised action can either have parameters or not. The constructor *BaseAct* is used for those that do not have parameters.

To illustrate the definition, if we have  $c : \text{Comm}$  ( $c$  is a *Circus* communication, and *Comm* the respective type in the syntactic model) we can have the following application of  $\Omega_{\text{ActBody}}$ . We observe that the prefixing construct  $\longrightarrow$  is a function  $\_ \longrightarrow \_ : (\text{Comm} \times \text{ActBody}) \rightarrow \text{ActBody}$ .

$$\Omega_{\text{ActBody}}(c) (\longrightarrow) (\text{ParActC}(\text{BaseAct}(\mathbf{Skip})), \text{pobs}) = (\text{ParActC}(\text{BaseAct}(\longrightarrow(c, \mathbf{Skip}))), \text{pobs})$$

If we apply  $\Omega_{\text{ActBody}}$  to a communication  $c$ , the function  $\longrightarrow$ , and a refinement cell with the action **Skip** and proof obligations  $\text{pobs}$ , then we get a refinement cell that contains the action  $c \longrightarrow \mathbf{Skip}$  as its cell (represented as  $\text{ParActC}(\text{BaseAct}(\longrightarrow(c, \mathbf{Skip})))$  in our embedding of the syntax) and the proof obligations  $\text{pobs}$ .

Using this  $\Omega$  function, we define the structural combinator for prefixing. As already said, the combinator  $\boxed{\longrightarrow} t$  applies to a prefixing  $c \longrightarrow A$  (encoded as  $\longrightarrow(c, A)$  in our Z embedding of *Circus*). It applies  $t$  to  $A$ ; the possible results are assembled back using the function  $\Omega_{\text{ActBody}}$  with arguments  $c$  and  $\longrightarrow$ .

$$\boxed{\longrightarrow} : \text{Tactic} \rightarrow \text{Tactic}$$

$$\forall t : \text{Tactic}; c : \text{Comm}; a : \text{ActBody}; \text{pobs} : \text{PObs} \bullet$$

$$\boxed{\longrightarrow} t (\text{ParActC}(\text{BaseAct}(\longrightarrow(c, a))), \text{pobs}) =$$

$$(\Omega_{\text{ActBody}}(c) (\longrightarrow)) * (t (\text{ParActC}(\text{BaseAct}(a)), \text{pobs}))$$

For every action constructor that is defined as a function  $f : (X \times \text{ActBody}) \rightarrow \text{ActBody}$ , like  $\longrightarrow$  above, the semantics of the corresponding combinator is similarly defined: we apply the tactic to the action body and reassemble the original action using the function  $\Omega_{\text{ActBody}}$ . This holds for the combinators for guarded actions ( $\boxed{\&}$ ), recursions ( $\boxed{\mu}$ ), variable blocks ( $\boxed{\text{var}}$ ), and parametrised actions ( $\boxed{\text{val}}$ ,  $\boxed{\text{res}}$ , and  $\boxed{\text{vres}}$ ).

The semantics of the combinator for alternation has a more complex definition; it uses a few functions which are explained as needed. To present the definition in a more didactic way, we use an example, but do not present the subtleties of the formalisation of the syntax. We consider the following *RCell*.

$$\left( \left( \begin{array}{l} \text{if } x \geq 0 \longrightarrow x : [x \geq 0, x > y] \\ \text{fi } x < 0 \longrightarrow x : [x < 0, x < z] \end{array} \right), ((x \geq 1), \langle \rangle) \right)$$

and the tactic  $\boxed{\text{if}}$  **law** weak-pre(*true*) | **law** assign-intro( $x := x + 1$ )  $\boxed{\text{fi}}$  **law** assign-intro( $x := x - 1$ )  $\boxed{\text{fi}}$ .

We need to extract the actions from each branch (pair formed by a guard and an action) of the alternation; this is the purpose of the function *extractActions*. The function *buildRCell* constructs an *RCell* with the given action body and proof obligations. To build the cells to which the tactics are to be applied, we use a function *buildRCells* to map *buildRCell* over the extracted list of actions, using the original proof obligations as an argument. Its definition can be found in [Appendix C](#). In our example we have the following result.

$$\begin{aligned} & \text{buildRCells}(\langle (x \geq 0, x : [x \geq 0, x > y]), (x < 0, x : [x < 0, x < z]) \rangle, ((x \geq 1), \langle \rangle)) \\ &= (\text{buildRCell}(\langle x \geq 1, \langle \rangle \rangle) \text{ map} \\ & \quad (\text{extractActions} \langle (x \geq 0, x : [x \geq 0, x > y]), (x < 0, x : [x < 0, x < z]) \rangle)) \\ &= (\text{buildRCell}(\langle x \geq 1, \langle \rangle \rangle) \text{ map} \langle (x : [x \geq 0, x > y]), (x : [x < 0, x < z]) \rangle) \\ &= \langle (x : [x \geq 0, x > y]), ((x \geq 1), \langle \rangle), (x : [x < 0, x < z]), ((x \geq 1), \langle \rangle) \rangle \end{aligned}$$

Now, we need to apply each tactic to its corresponding action. We use the function *applyTacsGC*, whose definition can also be found in [Appendix C](#). It takes two lists: the first is a list of tactics and the second is a list of refinement cells. It applies each tactic in the first list to the corresponding refinement cell in the second list. This results in a list of lists of refinement cells. We then use the distributed cartesian product ( $\prod_{\infty}$ ) to get all the possible combinations as sequences of refinement cells in each of the lists. This yields a flat list of sequences in which each element represents a particular outcome of applying the tactics to the programs of the initial refinement cell. In our example, the result is sketched below.

$$\begin{aligned} & \prod_{\infty} \left( \begin{array}{l} \langle (\text{law weak-pre}(\text{true}) \mid \text{law assign-intro}(x := x + 1)), (\text{law assign-intro}(x := x - 1)) \rangle \\ \text{mapl} \\ \langle (x : [x \geq 0, x > y]), ((x \geq 1), \langle \rangle), (x : [x < 0, x < z]), ((x \geq 1), \langle \rangle) \rangle \end{array} \right) \\ &= \prod_{\infty} \left( \left\langle \begin{array}{l} (\text{law weak-pre}(\text{true}) \mid \text{law assign-intro}(x := x + 1)) (x : [x \geq 0, x > y]), ((x \geq 1), \langle \rangle), \\ (\text{law assign-intro}(x := x - 1)) (x : [x < 0, x < z]), ((x \geq 1), \langle \rangle) \end{array} \right\rangle \right) \\ &= \prod_{\infty} \left( \left\langle \begin{array}{l} [ (x : [\text{true}, x > y]), ((x \geq 1, x \geq 0 \Rightarrow \text{true}), \langle \rangle), (x := x + 1, \dots) ]_{\infty}, \\ [(x := x - 1, \langle x \geq 1, x < 0 \Rightarrow x - 1 < z \rangle), \langle \rangle]_{\infty} \end{array} \right\rangle \right) \\ &= \left[ \left\langle \begin{array}{l} (x : [\text{true}, x > y]), ((x \geq 1, x \geq 0 \Rightarrow \text{true}), \langle \rangle), \\ (x := x - 1, \langle x \geq 1, x < 0 \Rightarrow x - 1 < z \rangle), \langle \rangle \end{array} \right\rangle, \langle (x := x + 1, \dots), (x := x - 1, \dots) \rangle \right]_{\infty} \end{aligned}$$

The next step is to rebuild the alternation. First, we need the list of its guards; for that, we use the function *extractGuards*. We combine this list with each element of the list of *RCells* we get from the distributed cartesian product above. The

function *insertGuards* takes a list of guards  $g_i$ , a list of *RCells*  $(a_i, pobs_i)$ , and yields a pair with a guarded command and proof obligations. The guarded command associates each guard  $g_i$  to the action  $a_i$ . The set of proof obligations is the concatenation of the  $pobs_i$ .

What we need is to apply the function *insertGuards* to each element of the list resulting from the application of *applyTacsGC*. For our example, the list is sketched above. To exemplify this, we present below the application of *insertGuards* to the first element of the list.

$$\begin{aligned} & \text{insertGuards } \langle x \geq 0, x < 0 \rangle \left\langle \begin{array}{l} (x : [ \text{true}, x > y ], (\langle x \geq 1, x \geq 0 \Rightarrow \text{true} \rangle, \langle \rangle)), \\ (x := x - 1, (\langle x \geq 1, x < 0 \Rightarrow x - 1 < z \rangle, \langle \rangle)) \end{array} \right\rangle \\ & = ((\langle x \geq 0, x < 0 \rangle, \langle x : [ \text{true}, x > y ], x := x - 1 \rangle), (\langle x \geq 1, x \geq 0 \Rightarrow \text{true}, x \geq 1, x < 0 \Rightarrow x - 1 < z \rangle, \langle \rangle)) \end{aligned}$$

The function  $\Omega_{\text{ActBody}_n}$  uses the function *insertGuards* to rebuild the refinement cell. It takes the sequence of predicates corresponding to the guards, a function from guarded commands to action bodies like **iffi** (the Z representation for alternation), a sequence of refinement cells, and defines the resulting refinement cell.

$$\begin{array}{|l} \hline \Omega_{\text{ActBody}_n} : (\text{seq Pred}) \rightarrow (\text{GuardedCommands} \rightarrow \text{ActBody}) \rightarrow (\text{seq RCell}) \rightarrow \text{RCell} \\ \hline \forall \text{guards} : \text{seq Pred}; f : \text{GuardedCommands} \rightarrow \text{ActBody}; rcs : \text{seq RCell} \bullet \\ \exists \text{gcs\_pobs} : \text{GuardedCommands} \times \text{PObs} \mid \text{gcs\_pobs} = \text{insertGuards guards rcs} \bullet \\ \Omega_{\text{ActBody}_n} \text{guards } f \text{ rcs} = (\text{ParActC}(\text{BaseAct}(f \text{ gcs\_pobs}.1)), \text{gcs\_pobs}.2) \\ \hline \end{array}$$

The pattern used to define  $\Omega_{\text{ActBody}_n}$  is a generalisation of that previously suggested. The first parameter is a sequence of terms of a type  $X$ , namely *Pred*, rather than a single term. Correspondingly, the second parameter, that is, the syntactic constructor embeds such a list (in *GuardedCommands* in the case of our example), and the third parameter is a list of refinement cells. This indicates how the uniformity previously identified is exploited in the definition of the semantics of all *ArcAngelC* combinators. The approach can also be valuable in the definition of refinement-tactic combinators for other languages besides *Circus*.

By instantiating the function parameter of  $\Omega_{\text{ActBody}_n}$  with **iffi**, we have the semantics of the structural combinator **if**–**fi**. With the use of **iffi**, the refinement cells contains an alternation as its program.

$$\begin{array}{|l} \hline \text{if} - \text{fi} : \text{seq}_1 \text{Tactic} \rightarrow \text{Tactic} \\ \hline \forall \text{tacs} : \text{seq}_1 \text{Tactic}; \text{gcs} : \text{GuardedCommands}; \text{pobs} : \text{PObs} \bullet \\ (\text{if} \text{ tacs } \text{fi}) ((\text{ParActC}(\text{BaseAct}(\text{iffi}(\text{gcs}))), \text{pobs})) = \\ (\Omega_{\text{ActBody}_n}(\text{extractGuards gcs})(\text{iffi})) * (\text{applyTacsGC}(\text{tacs}, \text{buildRCells}(\text{gcs}, \text{pobs}))) \\ \hline \end{array}$$

The semantics of the structural combinator maps the application of  $\Omega_{\text{ActBody}_n}$ , with the list of guards extracted using *extractGuards* and the function **iffi** as arguments, to the list resulting from the application of the tactics to the corresponding guarded actions wrapped in fresh refinement cells. This follows the same pattern of definition used for the specification of the semantics of all structural combinators.

We now turn to the structural combinators that relate to *Circus* process constructs.

### 6.2.2. Process combinators

The process structural combinators are those that support indexed processes ( $\odot$  and  $\odot_{\text{inst}}$ ) and the one that applies to a process body (**beginend**). The first two of them are similar to those for actions, but take parametrised processes into consideration. For instance, the definition of the semantics of the structural combinator  $\odot$  uses  $\Omega_{\text{ParProc}}$ , which is similar to  $\Omega_{\text{ActBody}}$ , but applies to parametrised processes.

$$\begin{array}{|l} \hline \odot : \text{Tactic} \rightarrow \text{Tactic} \\ \hline \forall t : \text{Tactic}; p : \text{ParProc}; d : \text{Decl}; \text{pobs} : \text{PObs} \bullet \\ (\odot t)(\text{ParProcC}(\odot(d, p)), \text{pobs}) = (\Omega_{\text{ParProc}}(d)(\odot)) * (t(\text{ParProcC}(p), \text{pobs})) \\ \hline \end{array}$$

The function  $\odot$  applies a tactic  $t$  to a cell that contains a parametrised process  $p$ . We reassemble the cells by mapping the function  $\Omega_{\text{ParProc}}$ , with the original variable declaration  $d$  and the *Circus* construct  $\odot$  as arguments, to the list that results from the application of  $t$ .

The structural combinator **beginend** applies to refinement cells that have an explicit process definition as their first element. It applies each of the argument tactics to the corresponding part of the declaration, merges the process paragraphs, and rebuilds the refinement cells. Its definition is omitted here, but like all the other definitions that are not discussed in details, can be found in [33].

### 6.2.3. Action and process combinators

The structure of the definitions of combinators that can be applied to either actions or processes uses the same pattern we have used for combinators for actions and processes. Since they are used for both actions and processes, however, their definition is a conjunction. Each of the conjuncts has the same structure as the simpler definitions previously presented, but

defines the behaviour for a particular type of argument (using a rebuilding action  $\Omega_A$  or rebuilding process  $\Omega_P$  function as appropriate).

$$\begin{aligned} (\text{structComb}_P \text{ tacs}) \text{ rc} &= (\Omega_P \text{ args}) * \text{tacApp} \\ \wedge \\ (\text{structComb}_A \text{ tacs}) \text{ rc} &= (\Omega_A \text{ args}) * \text{tacApp} \end{aligned}$$

The first definition of a structural combinator that can be applied to processes and actions that we present is that of the structural combinator  $\boxed{\cong}$ , which allows the application of a tactic to the body of a process or action definition. It uses two  $\Omega$  functions: for parametrised actions,  $\Omega_{\text{ParAct}}^{\text{ProcPar}}$ , which assembles a process paragraph, and for parametrised processes,  $\Omega_{\text{ParProc}}^{\text{ProgPar}}$ , which assembles a program paragraph.

As previously discussed, the structural combinator  $\boxed{\cong}$  can be applied to a parametrised process definition **process**  $n[\text{gen}] \hat{=} p$ , which is denoted by **process** $((n, \text{gen}), p)$  in our embedding of the *Circus* syntax, where  $[\text{gen}]$  are optional type arguments for generic processes. In this case, it applies the tactic to the process body and reconstructs the process definition, which is a program paragraph. On the other hand, if the combinator is applied to an action definition  $n \hat{=} a$ , which is denoted by **ActDef** $(n, a)$  in our syntactic embedding, it applies the tactic to the action body and reconstructs the action definition, which is a process paragraph.

$$\begin{array}{|l} \boxed{\cong} : \text{Tactic} \rightarrow \text{Tactic} \\ \hline \forall t : \text{Tactic}; n : N; \text{gen} : \text{seq } N; a : \text{ParAct}; p : \text{ParProc}; \text{pobs} : \text{PObs} \bullet \\ (\boxed{\cong} t)(\text{ProgC}(\langle \mathbf{process}((n, \text{gen}), p) \rangle), \text{pobs}) = \\ \quad (\Omega_{\text{ParProc}}^{\text{ProgPar}}(n, \text{gen}) \langle \mathbf{process} \rangle) * (t(\text{ParProcC}(p), \text{pobs})) \\ \wedge (\boxed{\cong} t)(\text{ProcParC}(\langle \mathbf{ActDef}(n, a) \rangle), \text{pobs}) = \\ \quad (\Omega_{\text{ParAct}}^{\text{ProcPar}} n \text{ActDef}) * (t(\text{ParActC}(a), \text{pobs})) \end{array}$$

A similar approach is adopted for the combinators for the *Circus* binary operators of sequential composition, external choice, internal choice, parallel composition, and interleaving. The domains of these functions, however, are slightly different: the combinators for sequence, and for external and internal choice are defined as functions of pairs of tactics, and the remaining combinators are defined as functions of triples of tactics.

In the definition of the semantics of all the binary combinators, we use functions  $\text{applyTacs}_{\text{ProcBody}_2}$  and  $\text{applyTacs}_{\text{ActBody}_2}$  that apply each of their two argument tactics to each of the action or process bodies also received as arguments. As already explained, they combine both lists of results, using the distributed cartesian product, and rebuild the refinement cells using the corresponding  $\Omega$  functions.

To establish a pattern for the definitions of structural combinators that apply to constructs like sequential composition, and of combinators that apply to constructs like parallel composition, the functions that define their semantics accept functions that represent *Circus* constructs that have triples in their domain. Constructs like sequential composition have only two arguments, which are the two actions or processes that are composed in sequence. On the other hand, for constructs like parallel composition, we have one further argument: a triple  $(ns_1, cs, ns_2)$  that contains the state partitions  $ns_1$  and  $ns_2$ , and the synchronisation channel set  $cs$ , in the case of actions, and just a synchronisation set in the case of processes. To reconcile these differences, we use a function *generalise* that transforms functions  $((X \times X) \rightarrow X)$ , which take pairs as arguments, into functions of type  $((\text{NIL\_VAL} \times X \times X) \rightarrow X)$ , which take triples as arguments, but ignore the first argument, which is always the value *nil* (of type *NIL\\_VAL*).

To demonstrate the above, we present the definition of the structural combinator for sequential composition. We generalise the functions  $\text{;}_A$  and  $\text{;}_P$  (embedding of the sequential composition for actions and processes, respectively) before using them as arguments to  $\text{applyTacs}_{\text{ProcBody}_2}$  and  $\text{applyTacs}_{\text{ActBody}_2}$ .

$$\begin{array}{|l} \text{--} \boxed{\text{;}} \text{--} : (\text{Tactic} \times \text{Tactic}) \rightarrow \text{Tactic} \\ \hline \forall t1, t2 : \text{Tactic}; a1, a2 : \text{ActBody}; p1, p2 : \text{ProcBody}; \text{pobs} : \text{PObs} \bullet \\ (t1 \boxed{\text{;}} t2)(\text{ParProcC}(\text{BaseProc}(\text{;}_P(p1, p2))), \text{pobs}) = \\ \quad \text{applyTacs}_{\text{ProcBody}_2}(\text{nil}, \text{generalise}(\text{;}_P), (p1, p2), \text{pobs}, (t1, t2)) \\ \wedge (t1 \boxed{\text{;}} t2)(\text{ParActC}(\text{BaseAct}(\text{;}_A(a1, a2))), \text{pobs}) = \\ \quad \text{applyTacs}_{\text{ActBody}_2}(\text{nil}, \text{generalise}(\text{;}_A), (a1, a2), \text{pobs}, (t1, t2)) \end{array}$$

The same holds for the definitions of the structural combinators that apply to external choice and internal choice. For parallel composition of processes and actions (denoted by  $\parallel_P$  and  $\parallel_A$ , respectively) we have that the first element of the triples are indeed used and hence, we do not need to generalise the functions  $\parallel_P$  and  $\parallel_A$ . We use the functions  $\text{applyTacs}_{\text{ProcBody}_2}$  and  $\text{applyTacs}_{\text{ActBody}_2}$  with the appropriate components of the action or process as first argument, and with the constructor functions themselves as second argument.

$$\begin{array}{l}
\frac{}{\_||\_ : (Tactic \times Tactic) \rightarrow Tactic} \\
\forall t1, t2 : Tactic; a1, a2 : ActBody; p1, p2 : ProcBody; \\
ns1, ns2 : NSExp; cs : CSExp; pobs : PObs \bullet \\
(t1 \_|| t2)(ParProcC(BaseProc(\_||_P(cs, p1, p2))), pobs) = \\
\quad applyTacs_{ProcBody_2}(cs, (\_||_P), (p1, p2), pobs, (t1, t2)) \\
\wedge (t1 \_|| t2)(ParActC(BaseAct(\_||_A((ns1, cs, ns2), a1, a2))), pobs) = \\
\quad applyTacs_{ActBody_2}((ns1, cs, ns2), (\_||_A), (a1, a2), pobs, (t1, t2))
\end{array}$$

The interleaving structural combinator is handled in a similar way.

For the iterated operators, and for hiding, parametrisation, and renaming we follow a similar approach. The only difference is in the  $\Omega$  functions, which have to deal with different types of arguments.

### 6.3. Tacticals

Some tactic languages include definitions of meta-tactics, also known as tacticals. An example of a tactical is EXHAUST presented in Section 3; it exhaustively applies a given tactic. Another well-known tactical makes a robust application of a tactic  $t$ . This is called *TRY* and is defined as follows.

$$\begin{array}{l}
\frac{}{TRY : Tactic \rightarrow Tactic} \\
\forall t : Tactic \bullet TRY t = !(t \mid \mathbf{skip})
\end{array}$$

A related tactical receives a list of tactics and tries to apply each of them in order. The first successful tactic application terminates this tactical; if none of the tactics succeeds, the whole tactic skips.

$$\begin{array}{l}
\frac{}{TRY\_FIRST : seq Tactic \rightarrow Tactic} \\
\forall ts : seq Tactic \bullet TRY\_FIRST ts = \mathbf{if} \# ts = 0 \mathbf{then skip else} (head ts) \mid TRY\_FIRST (tail ts)
\end{array}$$

For *Circus*, we define more specific tacticals, which can be used in the application of the *Circus* refinement calculus. By way of illustration, we present a tactical *JUSTIFIED\_BY*, which can be used to automatically apply a given tactic and discharge the generated proof obligations using a given list of tactics. Many tacticals, like *TRY*, are defined in terms of existing tactic constructs. Others, like *TRY\_FIRST* and *JUSTIFIED\_BY*, are defined in terms of the language model; these are genuine extensions to the tactic language.

Before presenting *JUSTIFIED\_BY*, we introduce auxiliary functions that are used in its definition. The first one tries to use a tactic to justify a refinement  $A_1 \sqsubseteq_{\mathcal{A}} A_2$ . It applies the given tactic to a refinement cell containing  $A_1$  in its cell and no proof obligations. If the resulting list of refinement cells contains an element whose cell is  $A_2$ , the result is the proof obligations of the refinement cell with  $A_2$ . Otherwise, the application of the tactic has not justified the refinement and the result is the proof obligation  $A_1 \sqsubseteq_{\mathcal{A}} A_2$ .

$$\begin{array}{l}
\frac{}{\_justify\_ : (Tactic \times Refinement) \rightarrow PObs} \\
\forall t : Tactic; ref : Refinement \bullet \\
\quad \exists npobs : PObs \bullet \\
\quad \quad t \ justify \ ref = \mathbf{let} A_1 == (getActions \ ref).1; A_2 == (getActions \ ref).2 \bullet \\
\quad \quad \quad \mathbf{if} (buildRCell \ npobs \ A_2) \text{ in}_{\infty} (t (buildRCell (\{, \}) A_1)) \\
\quad \quad \quad \mathbf{then} \ npobs \\
\quad \quad \quad \mathbf{else} (\{, (ref) \})
\end{array}$$

For a refinement  $A_1 \sqsubseteq_{\mathcal{A}} A_2$ , the function *getActions* yields  $(A_1, A_2)$ ; finally,  $x \text{ in}_{\infty} xs$  verifies if  $x$  is a member of the sequence  $xs$ .

The function *justifyl* receives a pair of equally sized sequences of tactics and refinements. It combines each tactic with the corresponding refinement in a pair, and applies the justification function *justify* to each of these pairs. It returns the merge of all the proof obligations generated by these justifications.

$$\begin{array}{l}
\frac{}{\_justifyL\_ : ((seq Tactic) \times (seq Refinement)) \rightarrow PObs} \\
\forall ts : seq Tactic; refs : seq Refinement \mid \# ts = \# refs \bullet \\
\quad ts \ justifyl \ refs = MPObsSeq (\_justify\_ \text{ map } (ts \text{ pairwise } refs))
\end{array}$$

*MPObsSeq* merges a list of proof obligations. The function *pairwise* takes a pair of sequences  $(xs, ys)$  of the same length, and yields a sequence of pairs  $(x, y)$  where  $x$  is in  $xs$  and  $y$  is the corresponding element in  $ys$ .

The next function, *justifycell*, takes a sequence of tactics and a refinement cell and tries to justify the refinement proof obligations using the given tactics. The size of the given list of tactics must be equal to the number of refinement proof obligations. It returns a refinement cell with the same cell, but the proof obligations may change: the refinement proof

obligations have either been discharged, and therefore eliminated (possibly giving rise to predicate proof obligations), or left unchanged (if the corresponding tactic is not adequate for proving the refinement).

$$\frac{\text{justifycell} : (\text{seq Tactic}) \rightarrow \text{RCell} \rightarrow \text{RCell}}{\forall ts : \text{seq Tactic}; rc : \text{RCell} \mid \# ts = \#(\text{getPObsRef } rc) \bullet \text{justifycell } ts \text{ } rc = \text{let } \text{opobs} == (\text{getPObsPred}(rc), \langle \rangle); \text{npobs} == ts \text{ justify } (\text{getPObsRef } rc) \bullet (\text{getCell } rc, \text{MPObs } (\text{opobs}, \text{npobs}))}$$

The functions *getPObsPred* and *getPObsRef* yield the predicate proof obligations and the refinement proof obligations of a given refinement cell, respectively.

Finally, we have the tactical *JUSTIFIED\_BY* presented below; it applies a given tactic and tries to discharge the refinement proof obligations raised by this application. It takes a tactic *t* that must be applied and a sequence of tactics *ts* that must be used to discharge (or decompose) the refinement proof obligations. It achieves its task by applying *ts* to each of the refinement cells resulting from the application of *t*.

$$\frac{\_ \text{JUSTIFIED\_BY } \_ : (\text{Tactic} \times \text{seq Tactic}) \rightarrow \text{Tactic}}{\forall t : \text{Tactic}; ts : \text{seq Tactic}; r : \text{RCell} \bullet (t \text{ JUSTIFIED\_BY } ts) r = (\text{justifycell } ts) * (t r)}$$

With this tactical, and others in [33], we can apply the *Circus* refinement calculus in a more effective and simple way. It is important to observe that the definition of such a tactical is not possible in the context of *ArcAngel*; it is a feature of *ArcAngelC* and its model, which records the structure of proof obligations.

The semantics of *ArcAngelC* is an extension of that of *ArcAngel*, for which we already proved 66 laws [30]. Of course, we have made some important changes regarding the structural combinators, and added new tacticals. The proofs of the laws of *ArcAngel* that do not involve structural combinators, however, are valid for *ArcAngelC*. In addition, the laws involving the combinators are also valid in the new semantics, and the structure of their proofs are the same, since these proofs do not depend on the particular structure of a refinement cell. Finally, since the original proofs are modular, the proofs of properties of the new structural combinators are a simple adaptation of the already existing proofs for the legacy structural combinators.

For added validation, we have mechanised *ArcAngelC* in a theorem prover, and we are using this mechanisation in an industrial case study as we discuss in the next section.

## 7. Mechanisation of *ArcAngelC*

In [52] we present an implementation of the *ArcAngel* tactic language for the *ProofPower-Z* theorem prover, which has been generalised and customised to support *ArcAngelC* as well. In its design, we use a very direct translation of the *ArcAngelC* semantics presented here; in particular, refinement cells in the semantic model are identified with refinement theorems in the implementation model. A faithful representation of partial and infinite lists is achieved through the use of lazy lists at the code level, and recursive *ArcAngelC* tactics are directly supported through recursive ML functions on tactics. Using this mechanisation, the encoding of particular tactics is mostly trivial, and the LCF paradigm adopted by *ProofPower-Z* effectively guarantees that all refinements constructed via the tactic language implementation are sound with respect to the underlying semantic model of *Circus*, which we present and discuss in detail in [36].

### 7.1. Overview

To capture the application of *ArcAngelC* tactics, we introduce the notion of a refinement theorem. Such a theorem is of the form  $\Gamma \vdash A \sqsubseteq B$ , where  $\Gamma$  is a list of assumptions recording the proof obligations, and both *A* and *B* are program expressions. The symbol  $\sqsubseteq$  here represents refinement between *Circus* actions or processes, but owing to the generality of our design may represent any reflexive and transitive relation.

The application of a refinement law  $\Gamma_2 \vdash X \sqsubseteq Y$  to a refinement theorem  $\Gamma_1 \vdash A \sqsubseteq B$  produces a new refinement theorem  $\Gamma_1, \Gamma_2 \vdash A \sqsubseteq B'$  where *B'* is a valid refinement of *B* under the additional provisos  $\Gamma_2$ , inherited from the law. The new theorem is obtained by first matching the left-hand program *X* of the refinement law against *B*. This gives an instantiation  $\Gamma_2 \vdash B \sqsubseteq B'$  of the law which, by transitivity of refinement, permits the prover to conclude  $\Gamma_1, \Gamma_2 \vdash A \sqsubseteq B'$ . In summary, in our *ProofPower-Z* encoding, *ArcAngelC* tactics apply to refinement theorems rather than program expressions (refinement cells in the semantics). The underlying mechanism of tactic application transforms the second operand of a given refinement.

Our approach unifies program transformations in *ArcAngelC* with the design of *ProofPower-Z*, which is centred on theorem-generating functions. The application of an *ArcAngelC* tactic to a program *X* can be achieved by first creating an initial refinement theorem  $\vdash X \sqsubseteq X$  that is trivially proved by reflexivity of refinement. Next, we apply the encoding of the *ArcAngelC* tactic to this theorem. If successful, it returns a theorem  $\Gamma \vdash X \sqsubseteq Y$  encapsulating the transformation of *X* to *Y*. This theorem can then be included in the hypotheses of some larger proof where it may be used in either a forward-chaining

or backward-chaining manner: to derive new refinement conjectures or to reduce or solve an existing (refinement) proof goal.

The validity of the refinement is established by the soundness of the primitive inferences of ProofPower-Z's core logic; for that reason, it is independent of our actual implementation of ArcAngelC which merely drives the core prover. This protection we do not get in an implementation of ArcAngel based on rewrite systems such as Gabriel [38], since in those the validity of rules and laws is not independently verified.

To accommodate angelic execution of tactics, we keep track of all possible outcomes of a tactic; we characterise tactics as functions mapping refinement theorems to lists of refinement theorems. This representation closely resembles the semantic model of ArcAngelC presented in Section 6 that models tactics by functions mapping refinement cells to (infinite) lists of refinement cells. Our design is suitable for tactics with finite and infinite behaviours, but extra care is required to cater for tactics that can generate an infinite number of outcomes, or otherwise fail to produce any result due to nontermination of recursive tactics.

The tactic EXHAUST presented on page 796 illustrates this case. Its application has potentially an infinite number of outcomes. Operationally, this results in an infinite list to be computed when EXHAUST( $t$ ) is applied to some program. From a computational point of view, this evaluation may not terminate. We need, however, to make the distinction between this application and **abort**, because the application of EXHAUST( $t$ ) has a well-defined behaviour as long as we are not attempting to utilise (evaluate) all outcomes.

We, therefore, adopt lazy evaluation when computing the outcomes of tactic applications. Specifically, we introduce a data type `lazyList` that allows us to defer evaluation of tactics until we actually require their results. Since evaluation in SML is strict, lazy evaluation must be simulated by means of additional layers of functions with a spurious argument. For example, evaluation of  $t$  is deferred in  $(\text{fn } () \Rightarrow t \text{ } p)$  until we apply the function to an empty tuple (the construction  $(\text{fn } \text{args} \Rightarrow \text{body})$  is used in Standard ML for anonymous functions). Lazy versions for most of the functions on lists that have been used to define the semantics of ArcAngelC are provided and utilised in the implementation of the various operators.

To support parametrised tactics as well as the **applies to  $p$  do  $t$**  operator, we use a special notion of environment that binds meta-variables to expressions. They are represented by a list of pairs of ProofPower-Z terms, where the first component gives the variable, and the second, the bound expression. Environments are in most cases just propagated to the operands in tactic combinators; the exceptions are **applies to  $p$  do  $t$** , and law and tactic applications, which actually need to process them.

To conclude, ArcAngelC tactics are encoded by functions that map environments and refinement theorems to lazy lists of refinement theorems.

## 7.2. Implementation details

The basic tactic `TSkip` returns a singleton lazy list containing the program to which the tactic is applied. The implementation of the constructor for law applications, `TLaw`, is more elaborate: it substitutes meta-variables occurring free in the arguments, and moves implications in the conclusion of the law theorem to the assumptions. Laws have to be declared using the `TLawDecl` function; it expects the name of the law, its formal arguments as a list of typed terms, and the corresponding ProofPower-Z theorem. For instance, we consider the refinement law that strengthens the postcondition of a specification statement.

**Law 34 (str-post)**  $w : [pre, post] \sqsubseteq w : [pre, post'] \text{ provided } post' \Rightarrow post$

It can be declared with the following command, which identifies its formal parameters.

```
TLawDecl "strPost" [λpostC' ⊕ ALPHA_PREDICATE ⊃] strPost_thm;
```

We assume that the SML constant `strPost_thm` has been initialised to hold the law theorem. The first argument "`strPost`" specifies the name of the declared law in ArcAngelC, and the second argument supplies the list of quantified variables used as parameters. The variables are given as (ProofPower-Z) variable terms whose type must be explicitly specified using the  $\oplus$  operator of ProofPower-Z for type annotation.

Similarly, we can declare a tactic using the `TTacDecl` function and apply it using `TTactic`. The function `TTacDecl` corresponds to the **Tactic  $name(args) t \text{ end}$**  construct of ArcAngel.

The implementation of the tacticals is also very close to their respective semantic definitions. For example, the SML implementation of sequence is provided by `TSeq` as follows.

```
fun (t1 : AA_TACTIC) TSeq (t2 : AA_TACTIC) : AA_TACTIC =
  (fn env : ENV => (fn p : REF_THM => (lazyflat (lazymap (t2 env) (t1 env p)))));
```

It is a literal translation of the semantics where the distributed concatenation on infinite lists  $\overset{\infty}{\sim}$  is encoded by `lazyflat`, and the mapping function  $*$  is encoded by `lazymap`. These two SML functions perform operations on lazy lists similar to the semantic functions on infinite lists.

In order to support the structural combinators of `ArcAngelC`, we provide a collection of constructor functions: that is `MakeUnaryTSC`, `MakeBinaryTSC` and `MakeNaryTSC`. They are respectively used to construct structural combinator tactics for arbitrary unary, binary, or  $n$ -ary operators of the program syntax. Each function requires the operator for which the combinator is defined, the positions of its arguments to which the tactic(s) of the structural combinator are applied, and two monotonicity theorems. The signature of, for example, `MakeBinaryTSC` for creating binary structural combinators is shown below.

```
fun MakeBinaryTSC (area : string, op_tm : TERM, (arg1_pos : int, arg2_pos : int),
  eq_mon_thm : THM, ref_mon_thm : THM);
```

The `area` parameter is used to specify the name of the SML function of the concrete combinator; it is primarily used for error reporting. Next, `op_tm` determines the program operator for which the structural combinator is defined. The following two arguments identify the positions of the operands the two tactics of the structural combinator are applied to. Finally, we require two monotonicity theorems, one for equivalence and one for refinement. Although we do not elaborate on this, our implementation automatically handles both refinement and equivalence-preserving program transformations, explaining the need for two theorems.

For the combinator  $\square$ , for instance, the refinement theorem has to be of the following shape.

$$\vdash \forall p_1, p'_1, p_2, p'_2 : T \bullet p_1 \sqsubseteq p'_1 \wedge p_2 \sqsubseteq p'_2 \Rightarrow p_1 \square p_2 \sqsubseteq p'_1 \square p'_2$$

where  $\_ \square \_$  is the semantic function encoding the program operator for internal choice of actions.

The general strategy to mechanise structural combinators of `ArcAngelC` is first to prove the two monotonicity theorems within the semantic model of `Circus`, and then define new constants

```
val TSC_NAME = MakeBinaryTSC (...);
```

by calling the constructor function. Here `NAME` is the name for the combinator tactic; for our example, we use `TSC_Choice`. The constant can then be used as a standard `ArcAngelC` binary tactical.

In this way we can easily define structural combinators for all action and process operators of `ArcAngelC`; the only noteworthy effort is the proof of monotonicity theorems. The configuration of  $n$ -ary structural combinators requires a more elaborate monotonicity theorem, but in principle follows the same approach.

To illustrate the encoding of tactics we encode the `interIntroAndSimpl` tactic presented in Section 6.3. This is achieved by the following SML statement.

```
TTacDecl "interIntroAndSimpl" []
  (TAppliesTo  $\bar{z}A \llbracket_C (ns1, cs, ns2) \rrbracket_C \text{Skip}_C u \sqsupset \text{TDo}$ 
    (TLaw "par-inter" []) TSeq (TLaw "inter-unit" []));
```

The new tactic is declared using `TTacDecl` specifying its name and arguments (none in this example). Within the body of the tactic, `TAppliesTo` and `TDo` implement the **applies to** **do** operator of `ArcAngelC`. Its first argument specifies the pattern against which the right-hand side of the refinement conjecture is matched to determine whether the tactic is applicable. In the pattern, we use two semantic functions in our model of `Circus`, namely  $\llbracket_C \_ \rrbracket_C$  for parallel composition and `SkipC` for **Skip**. Subsequently, the two sequenced law invocations are performed by the `TLaw` tactical. At this point we assume that the laws `par-inter` and `inter-unit` have already been declared via `TLawDecl`, given suitable `ProofPower-Z` law theorems.

The source code for the tool, including examples, is at [www.cs.york.ac.uk/circus/tp/tools.html](http://www.cs.york.ac.uk/circus/tp/tools.html). It is currently used to mechanise the refinement strategy presented in Section 4.

## 8. Related work

Backtracking, which is the common implementation strategy for angelic choice, has been recognised as a useful mechanism for the construction of tactics in many tools. `ArcAngelC`'s implicit backtracking manifests itself in the following law:  $(t_1 \mid t_2) ; t_3 = (t_1 ; t_3) \mid (t_2 ; t_3)$ . One possible representation of this tactic in `ProofPower-Z` may use the tactical `ORELSE` to represent alternation, and `THEN` to represent sequencing. However,  $(t_1 \text{ ORELSE } t_2) \text{ THEN } t_3$  would not have the same operational behaviour as the `ArcAngelC` tactic  $(t_1 \mid t_2) ; t_3$ . The `ProofPower-Z` tactic would first apply  $t_1$ , and if this fails resolve to applying  $t_2$ . The choice of either applying  $t_1$  or  $t_2$ , however, is not revised if  $t_3$  subsequently turns out to fail. In general, `ORELSE` acts like a cut on alternation; the choice it makes is not a provisional one unless  $t_1$  on its own fails, in which case  $t_2$  is executed. `PVS`, on the other hand, does provide built-in support for backtracking tactics via its `try` tactical, but failure and backtracking are treated as distinct outcomes of tactic applications [18].

The use of HOL to prove the correctness of refinement rules, and the application of these rules to formalise program refinement was presented by Back and von Wright in [2]. Later, von Wright and his colleagues described the use of HOL in the application of rules from a refinement calculus [48]. In this work, they formalise methods for data refinement as well. The aim of their work was to use HOL to generate the verification conditions for the refinement steps. ML was used to program simple tactics. While ML does have a formal semantics, it is difficult to reason about tactics written in such a general language.

The Program Refinement Tool, PRT [4], was developed at Queensland. It is based on Ergo and originally inherited its tactic language. Later versions include Gumtree [24,25], a tactic language based on Angel.

The association of a notation for formula manipulation and an editor for the development of programs by stepwise refinement in Proxac was presented in [45]. Although the idea seems not to have been taken further, it suggests viewing program transformations as the commands in a programming language for formula manipulation including function composition, conditionals, and a fixed-point operator.

A refinement tool with special emphasis on tactics was presented by Groves et al. in [13,28]. The tool was implemented in Prolog and provides all programming capabilities to the user. A collection of examples showing the Prolog encoding of various common development patterns (like introducing various kinds of loops) was presented by Nickson in his thesis [28]. All these tactics are expressed directly in Prolog, rather than a special tactic language. Hence, the tactics have all the normal Prolog control mechanisms and can do arbitrary computations in deciding what steps to take and in constructing new components, but all modifications to the program being constructed are done by applying refinement rules.

Grundy's refinement tool [14] is based on window inference and on the HOL theorem prover. Since this system is programmable, users can add their own commands to automate refinements that they frequently repeat. Tactics are written using simple ML tacticals. In [3], an extension of this work with a more user-friendly interface is presented. The authors mention that ML can be used to package transformations, but point out themselves that this brings the difficulty of requiring knowledge of HOL and ML.

The Red refinement tool was developed by Gardiner and Vickers [46]. It was implemented in Prolog and contains three interactive windows. The first window shows the current state of the program being developed. The second displays the proof obligations. Finally, the third window presents the refinement operations performed to obtain the program. The authors also developed a language to describe the refinement tree [47]. Basically, for every construct of the target programming language, there is a corresponding construct in the transformation language. This idea was the origin of Angel's *structural combinators* [22]. This was not, however, a language to describe tactics. Instead, it was used to describe refinements. There were no constructs for alternation or recursion. A survey of further early refinement tools can be found in [5].

In [39], Owre and Shankar present a language for defining PVS proof strategies and describe how it can be used to define advanced proof strategies. The tactic language is based on Common Lisp and allows PVS users to construct proofs and define strategies at a higher level than that using PVS's primitive proof commands. A formal semantics for this language is left as future work.

Delahaye proposes a new tactic language  $\mathcal{L}_{tac}$  for Coq in [10]. The language has a functional core (based on the Objective Caml programming language) with recursion and matching operators for Coq terms and proof contexts. Delahaye's language can be used in tactic definitions and proof scripts. The definition of a formal semantics for  $\mathcal{L}_{tac}$  is not the aim of [10] and, as far as we know, it is not available.

We are currently developing a tool, *CRefine* [15,37], that is based on the work presented in [50,38] to provide automated support for the application of the *Circus* refinement calculus in an environment more friendly than that of a theorem prover. In the near future, we intend to include support for tactics written in *ArcAngelC*; using this extension, one may then specify refinement tactics like those presented in this paper, and apply them just like refinement laws. In *CRefine*, however, the validity of the refinement laws is not established by the soundness of the primitive inferences of a theorem prover's core logic as it is in the work presented here. Instead, the refinement laws, which have already been manually proved in [32], are implemented and tested exhaustively before they can be used within the tool.

## 9. Conclusions

We have presented *ArcAngelC*, a refinement-tactic language that extends *ArcAngel* and can be used in the formalisation of refinement strategies for concurrent state-rich programs in *Circus*. *ArcAngelC* tactics can be used as single transformation rules, and hence, shorten developments, and automate verification strategies. In particular, we have formalised the first two phases of a refinement strategy proposed in [6]; it is used to verify Ada programs with respect to Simulink diagrams using *Circus*, and has been applied to industrial applications. The formalisation of the verification strategy as a tactic gives a clear route to automation.

Despite being a small example, the case study discussed here was proposed to us by QinetiQ, and its implementation is representative of the architectural pattern used for the development of their safety-critical applications in avionics. The verification process adopted by QinetiQ already uses Z and CSP independently to check different aspects of these systems, namely, functionality and scheduling, separately. *Circus* and the refinement strategy that we formalise allows the verification of those aspects as part of a single formal argument. The refinement technique has been developed in conjunction with QinetiQ. With the use of *Circus*, we have managed to enlarge the set of properties and systems that can

be checked, without increasing the proof burden, and therefore, the costs. QinetiQ intends to use our strategy (and tools) in the verification of some of their safety-critical systems.

We have defined the semantics of *ArcAngelC* formally using *Z* as a meta-language. It is based on the notion of a goal modelled by a refinement cell (an element of the type *RCell*). This is a pair with a program as its first element and a pair of sequences of proof obligations as its second element: the first sequence contains standard proof obligations, and the second those stated as further refinements. The application of a tactic to an *RCell* returns a list of *RCells* containing the possible output programs with their corresponding proof obligations. We handle different sorts of programs to which transformation rules (refinement laws) can be applied. For instance, we have refinement laws that transform actions, processes, and even *Circus* programs.

In addition, based on this semantic model, we can use *ArcAngelC* to handle the justification of the proof obligations stated as refinements. For that, we rely on the order of the proof obligations generated.

For structural combinators, we have identified a pattern in their semantic definitions that can be applied for the definition of the semantics of tactic languages for other refinement notations, besides *Circus*.

In [29], we have shown the soundness of algebraic laws for reasoning about *ArcAngel* tactics. We covered most of the laws that had been previously proposed for *Angel*. With these laws, the strategy that had been proposed to reduce finite *Angel* tactics to a normal form could be applied to *ArcAngel* tactics. As future work, we intend to prove that these laws are also valid in the context of *ArcAngelC*. Since our semantics of *ArcAngelC* is a natural extension of the semantics of *ArcAngel*, it is possible that these proofs will be relatively simple. They remain, however, still to be done.

Correctness of tactics in the sense of achieving the required program derivations is a challenging issue. It is, however, perhaps no more challenging than writing correct programs. In this paper, we have provided a formal semantics for *ArcAngelC*, the “programming language” for tactics of refinement. This semantics can support the justification of a number of laws that can be used to reason about tactics. In particular, the implementation of *ArcAngelC* that we discuss in this paper is a basis for reasoning in that way, since it encodes the semantics of *ArcAngelC*. As said, we, however, leave work on laws of tactics to the future.

Finally, we will in the near future complete the formalisation of the refinement strategy for Ada programs. *ArcAngelC* and our tools provide a route for its automated application in industry.

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## Appendix A. Infinite lists

We present in this appendix the model for infinite lists adopted here; basically, we reproduce the definitions previously presented in [21]. The set of the finite and partial sequences of members of *X* is defined as

$$PF ::= \text{partial} \mid \text{finite} \\ \text{pfseq}[X] ::= PF \times \text{seq } X$$

We define an order  $\leq$  on these pairs such that for  $a, b : \text{pfseq } X$ , if  $a$  is finite, then  $a \leq b$  if, and only if,  $b$  is also finite and equal to  $a$ . If  $a$  is partial, then  $a \leq b$  if, and only if,  $a$  is a prefix of  $b$ .

$$\begin{array}{l} \text{---}[X] \text{---} \\ \text{---} \leq \text{---} : \text{pfseq}[X] \Leftrightarrow \text{pfseq}[X] \\ \forall gs, hs : \text{seq } X \bullet \\ \quad (\text{finite}, gs) \leq (\text{finite}, hs) \Leftrightarrow gs = hs \\ \quad \wedge (\text{finite}, gs) \leq (\text{partial}, hs) \Leftrightarrow \text{false} \\ \quad \wedge (\text{partial}, gs) \leq (\text{finite}, hs) \Leftrightarrow gs \text{ prefix } hs \\ \quad \wedge (\text{partial}, gs) \leq (\text{partial}, hs) \Leftrightarrow gs \text{ prefix } hs \end{array}$$

A chain of sequences is a set whose elements are pairwise related.

$$\begin{array}{l} \text{---}[X] \text{---} \\ \text{chain} : \mathbb{P}(\mathbb{P}(\text{pfseq}[X])) \\ \forall c : \mathbb{P}(\text{pfseq}[X]) \bullet c \in \text{chain} \Leftrightarrow (\forall x, y : c \bullet x \leq y \vee y \leq x) \end{array}$$

A new constructor is defined below; finite and partial lists will be modelled by chains of pfseqs, which have the required list as their maximum element. All these chains must be prefix closed (as defined by *pchain*) so that each finite, partial or infinite list is denoted by a unique chain.

[X]
$\text{pchain} : \mathbb{P}(\text{chain}[X])$
$\forall c : \text{chain}[X] \bullet c \in \text{pchain} \Leftrightarrow (\forall x : c; y : \text{pfseq}[X] \mid y \leq x \bullet y \in c)$

$$\text{pfiseq}[X] == \text{pchain}[X]$$

The idea is that  $\perp$  is modelled as  $\{(\text{partial}, \langle \rangle)\}$ , the empty list  $[\ ]_\infty$  is modelled as  $\{(\text{finite}, \langle \rangle)\}$ , and the finite list  $[e_1, e_2, \dots, e_n]$  is represented by the set containing a single pair  $(\text{finite}, \langle e_1, e_2, \dots, e_n \rangle)$  and all approximations to it. An infinite list is represented by an infinite set of partial approximations to it. The infinite list itself is the least upper bound of such a set.

The definitions of the functions used in this paper are the object of the material in the rest of this appendix. The function  $\text{p fmap}$  maps the function  $f$  to the second element of  $x$ .

[X, Y]
$\text{p fmap} : ((X \rightarrow Y) \times \text{pfseq}[X]) \rightarrow \text{pfseq}[Y]$
$\forall xs : \text{seq } X; f : X \rightarrow Y; pf : PF \bullet f \text{ p fmap } (pf, xs) = (pf, (f \circ xs))$

The map function  $*$  maps a function  $f$  to each element of a possibly infinite list.

[X, Y]
$\text{p map} : ((X \rightarrow Y) \times \text{pfiseq}[X]) \rightarrow \text{pfiseq}[Y]$
$\forall c : \text{pfiseq}[X]; g : X \rightarrow Y \bullet g * c = \{x : c \bullet g \text{ p fmap } x\}$

The distributed concatenation returns the concatenation of all the elements of a possibly infinite list of possibly infinite lists. The function  $\bigsqcup_\infty$  gives the maximum element of a finite chain.

[X]
$\overset{\infty}{\wedge} : \text{pfiseq}[\text{pfiseq}[X]] \rightarrow \text{pfiseq}[X]$
$\forall s : \text{pfiseq}[\text{pfiseq}[X]] \bullet \overset{\infty}{\wedge} s = \bigsqcup_\infty \{c : s \bullet \overset{\infty}{\wedge} c\}$

It uses the function  $\overset{\infty}{\wedge}$ , which is the distributed concatenation for  $\text{pfseq}(\text{pfiseq } X)$ .

[X]
$\overset{\infty}{\wedge} : \text{pfseq}[\text{pfiseq}[X]] \rightarrow \text{pfiseq}[X]$
$\overset{\infty}{\wedge}(\text{finite}, \langle \rangle) = \{\}$
$\overset{\infty}{\wedge}(\text{partial}, \langle \rangle) = \{(\text{partial}, \langle \rangle)\}$
$\forall g : \text{pfiseq}[X] \bullet \overset{\infty}{\wedge}(\text{finite}, \langle g \rangle) = g \wedge \overset{\infty}{\wedge}(\text{partial}, \langle g \rangle) = g \overset{\infty}{\wedge} \{(\text{partial}, \langle \rangle)\}$
$\forall gs, hs : \text{seq}(\text{pfiseq}[X]) \bullet$
$\quad \overset{\infty}{\wedge}(\text{finite}, gs \hat{\ } hs) = (\overset{\infty}{\wedge}(\text{finite}, gs)) \overset{\infty}{\wedge} (\overset{\infty}{\wedge}(\text{finite}, hs))$
$\quad \wedge \overset{\infty}{\wedge}(\text{finite}, gs \hat{\ } hs) = (\overset{\infty}{\wedge}(\text{finite}, gs)) \overset{\infty}{\wedge} (\overset{\infty}{\wedge}(\text{partial}, hs))$

The function  $\overset{\infty}{\wedge}$  is the concatenation function for possibly infinite lists. Its definition is

[X]
$\text{p } \overset{\infty}{\wedge} : (\text{pfiseq}[X] \times \text{pfiseq}[X]) \rightarrow \text{pfiseq}[X]$
$\forall a, b : \text{pfiseq}[X] \bullet a \overset{\infty}{\wedge} b = \{x : a; y : b \bullet x \wedge y\}$

where the function  $\wedge$  is the concatenation function for  $\text{pfseq } X$  defined as

$[X]$
$\_ \wedge \_ : (\text{pfseq}[X] \times \text{pfseq}[X]) \rightarrow \text{pfseq}[X]$
$\forall gs, hs : \text{seq } X; s : \text{pfseq}[X] \bullet$ $(\text{finite}, gs) \wedge (\text{finite}, hs) = (\text{finite}, gs \wedge hs)$ $\wedge (\text{finite}, gs) \wedge (\text{partial}, hs) = (\text{partial}, gs \wedge hs)$ $\wedge (\text{partial}, gs) \wedge s = (\text{partial}, gs)$

The function  $\_ \circ \_$  applies a possibly infinite list of functions to a single argument.

$[X, Y]$
$\_ \circ \_ : (\text{pfiseq}[X \rightarrow Y] \times X) \rightarrow \text{pfiseq}[Y]$
$\forall fs : \text{pfiseq}[X \rightarrow Y]; f : X \rightarrow Y; x : X \bullet$ $\perp [X \rightarrow Y] \circ x = []_\infty \wedge []_\infty \circ x = []_\infty \wedge (f :_\infty fs) \circ x = (f x) :_\infty (fs \circ x)$

The function  $\prod_\infty$  is the distributed cartesian product for possibly infinite lists.

$[X]$
$\prod_\infty : \text{seq}(\text{pfiseq}[X]) \rightarrow \text{pfiseq}[\text{seq } X]$
$\forall xss : \text{seq}(\text{pfiseq}[X]) \bullet$ $\prod_\infty xss =$ <b>if</b> (# $xss = 0$ ) <b>then</b> $[]_\infty$ <b>else if</b> (# $xss = 1$ ) <b>then</b> $e2l * (\text{head } xss)$ <b>else</b> $(\text{head } xss) \text{ seqcons}_\infty (\prod_\infty (\text{tail } xss))$

The function  $e2l$  receives an element  $x$  and returns a singleton that has  $x$  as its only element.

$[X]$
$e2l : X \rightarrow \text{seq } X$
$\forall x : X \bullet e2l x = \langle x \rangle$

The function  $\text{seqcons}$  takes a sequence  $xs$  and a sequence of sequences  $xss$ . It defines a sequence of sequences: for every  $x$  from  $xs$ , and sequence  $ys$  from  $xss$  the resulting sequence contains a sequence  $x : ys$ .

$[X]$
$\_ \text{seqcons}_\_ : (\text{seq } X \times \text{seq}(\text{seq } X)) \rightarrow \text{seq}(\text{seq } X)$
$\forall x : X; xs : \text{seq } X; xss : \text{seq}(\text{seq } X) \bullet$ $\langle \rangle \text{seqcons } xss = \langle \rangle$ $\wedge (x : xs) \text{seqcons } xss = \{i : 1..(\# xss) \bullet i \mapsto x : xss(i)\} \wedge (xs \text{seqcons } xss)$

The function  $\text{seqcons}_\infty$  lifts the function  $\text{seqcons}$  to possibly infinite lists.

$[X]$
$\_ \text{seqcons}_\infty \_ : (\text{pfiseq}[X] \times \text{pfiseq}[\text{seq } X]) \rightarrow \text{pfiseq}[\text{seq } X]$
$\forall xs : \text{pfiseq}[X]; xss : \text{pfiseq}[\text{seq } X] \bullet$ $[]_\infty \text{seqcons}_\infty xss = []_\infty$ $\wedge \text{max}(xs \text{seqcons}_\infty xss) =$ <b>let</b> $sc == ((\text{max } xs).2) \text{seqcons } ((\text{max } xss).2) \bullet$ <b>if</b> $((\text{max } xss).1 = \text{partial})$ <b>then</b> $(\text{partial}, ((\text{head } ((\text{max } xs).2))) \text{seqcons } ((\text{max } xss).2))$ <b>else</b> $((\text{max } xs).1, sc)$

The  $\text{max}$  operator returns the sequence that is denoted by a  $\text{pchain}$  in the partial/finite case.

$[X]$
$\text{max } \_ : \text{pfiseq}[X] \rightarrow \text{pfiseq}[X]$
$\forall x : \text{pfiseq}[X] \mid x \in \mathbb{F}(\text{pfiseq}[X]) \bullet \text{max}(x) \in x \wedge (\forall z : x \bullet z \leq \text{max}(x))$

For every element  $x$  of a certain type  $X$  and  $xs$  a (possible infinite) sequence of elements of the same type,  $x$  in  $\_ \text{seqcons } xs$  if, and only if,  $x$  is a member of the sequence  $xs$ .

[X]
$\_in_{\infty} : X \leftrightarrow \text{pfiseq}[X]$
$\forall x : X; xs : \text{pfiseq}[X] \bullet$ $(x \text{ in}_{\infty} xs) \Leftrightarrow (\exists ys, zs : \text{pfiseq}[X] \bullet xs = ys \overset{\infty}{\wedge} [x]_{\infty} \overset{\infty}{\wedge} zs)$

More information about all these definitions and others can be found in [21].

## Appendix B. Laws of refinement

This appendix lists all the *Circus* refinement laws mentioned in this paper in alphabetical order of their labels. They have all been previously published and justified. The side conditions of some of them involve meta-functions: the function *usedV* identifies the set of used variables (read, but not written) in the given actions or processes; the function *wrtV* gives the set of variables that are written by a given action; the function *usedC* returns a set of all channels mentioned in an action; the function *initials* gives a set containing all the events in which an action is initially willing to synchronise; the function  *$\alpha$*  determines the set of components of a given schema; finally, *FV* is a function that defines the free variables of a predicate or expression.

**Law 1** (*assign-intro*).  $w : [pre, post] \sqsubseteq_{\mathcal{A}} x := e$  **provided**  $pre \Rightarrow post[e/x]$

In the next law, we use **L**(*n*) to denote the fact that the **Local** action definitions may include references to the action *n*; the same holds for the **Main Action** **MA**(*n*). Later references to **L**(*A*) and **MA**(*A*) are the result of substituting the body *A* of *n* for some or all occurrences of *n* in **L** and **MA**.

**Law 2** (*copy-rule-action*).

$$\begin{aligned} & \mathbf{begin} \text{ (state } S) (n \hat{=} A) \mathbf{L}(n) \bullet \mathbf{MA}(n) \mathbf{end} \\ & = \\ & \mathbf{begin} \text{ (state } S) (n \hat{=} A) \mathbf{L}(A) \bullet \mathbf{MA}(A) \mathbf{end}. \end{aligned}$$

In the law below, we use  $\alpha_P$  to denote the interface of the process *P*.

**Law 3** (*hid-contract*).  $(P \alpha_P) \setminus cs = (P \alpha_P) \setminus cs'$ . **where**  $cs' = cs \cap \alpha_P$ .

**Law 4** (*hid-idem*).  $A \setminus cs = A$  **provided**  $\text{usedC}(A) \cap cs = \emptyset$ .

**Law 5** (*hid-inter-dist*).  $(A_1 \parallel ns_1 \mid ns_2 \parallel A_2) \setminus cs_2 = (A_1 \setminus cs_2) \parallel ns_1 \mid ns_2 \parallel (A_2 \setminus cs_2)$ .

**Law 6** (*hid-par-dist*).  $(A_1 \parallel ns_1 \mid cs_1 \mid ns_2 \parallel A_2) \setminus cs_2 = (A_1 \setminus cs_2) \parallel ns_1 \mid cs_1 \mid ns_2 \parallel (A_2 \setminus cs_2)$ .  
**provided**  $cs_1 \cap cs_2 = \emptyset$ .

**Law 7** (*hid-rec-dist*).  $(\mu X \bullet A) \setminus cs = \mu X \bullet A \setminus cs$ .

**Law 8** (*hid-seq-dist*).  $(A_1 ; A_2) \setminus cs = (P_1 \setminus cs) ; (P_2 \setminus cs)$ .

**Law 9** (*hid-step*).  $(c \longrightarrow A) \setminus cs = A \setminus cs$ . **provided**  $c \in cs$ .

**Law 10** (*inter-comm*).  $A_1 \parallel ns_1 \mid ns_2 \parallel A_2 = A_2 \parallel ns_2 \mid ns_1 \parallel A_1$ .

**Law 11** (*inter-index*).

$$\begin{aligned} & \parallel x : \{v_1, \dots, v_n\} \bullet \parallel ns(x) \parallel A(x) \\ & = \\ & A(v_1) \parallel ns(v_1) \mid \bigcup \{x : \{v_2, \dots, v_n\} \bullet ns(x)\} \parallel (\dots (A(v_{n-1}) \parallel ns(v_{n-1}) \mid ns(v_n) \parallel A(v_n))). \end{aligned}$$

**Law 12** (*inter-unit*).  $A \parallel ns_1 \mid ns_2 \parallel \mathbf{Skip} = A$ .

**Law 13** (*inter-seq-assign*).  $v_1 := e_1 \parallel ns_1 \mid ns_2 \parallel v_2 := e_2 = v_1 := e_1 ; v_2 := e_2$   
**provided**  $v_1 \notin \{v_2\} \cup FV(e_2)$ ;  $v_1 \in ns_1$ ; and  $v_2 \in ns_2$ .

**Law 14** (*inter-seq-extract-snd*).  $(A_1 ; A_2) \parallel ns_1 \mid ns_2 \parallel A_3 = (A_1 \parallel ns_1 \mid ns_2 \parallel A_3) ; A_2$   
**provided**  $\text{usedC}(A_2) = \emptyset$ ;  $\text{usedV}(A_2) \cap \text{wrtV}(A_3) = \emptyset$ ;  
 $\text{wrtV}(A_1) \subseteq ns_1 \cup ns'_1$ ; and  $\text{wrtV}(A_2) \subseteq ns_1 \cup ns'_1$ .

**Law 15** (*inter-unused-name*).  $A_1 \parallel \{x\} \cup ns_2 \mid ns_3 \parallel A_2 = A_1 \parallel ns_2 \mid ns_3 \parallel A_2$   
**provided**  $\{x, x'\} \cap \text{wrtV}(A_1) = \emptyset$

**Law 16** (*join-blocks*).  $\mathbf{var} x : T_1 \bullet \mathbf{var} y : T_2 \bullet A = \mathbf{var} x : T_1 ; y : T_2 \bullet A$ .

We use  $CPars_i(P)$  to denote paragraphs that may refer to the process  $P$ . For example,  $CPars_1((P_1 \alpha_p) \setminus cs)$  denotes paragraphs that may refer to  $(P_1 \alpha_p) \setminus cs$ . The law below replaces these references with references to  $P_2(\alpha_p \setminus cs)$ . In addition, we use  $PPars_i$  and  $QPar_i$  to denote sequences of process paragraphs.

**Law 17** (*join-proc-hid*).

$$\begin{aligned} & CPars_1((P_1 \alpha_p) \setminus cs) \\ & P_1 \hat{=} \mathbf{begin} \ PPars_1 \ \mathbf{state} \ P\_State \hat{=} \ SExp_P \ PPars_2 \bullet \ PAct \ \mathbf{end} \\ & CPars_2((P_1 \alpha_p) \setminus cs) \\ & = \\ & CPars_1((P_2(\alpha_p \setminus cs))) \\ & P_2 \hat{=} \mathbf{begin} \ PPars_1 \ \mathbf{state} \ P\_State \hat{=} \ SExp_P \ PPars_2 \bullet \ PAct \ \setminus \ cs \ \mathbf{end} \\ & CPars_2((P_2(\alpha_p \setminus cs))) \end{aligned}$$

**provided**  $P_1 \notin \alpha(CPars_1(P_2)) \cup \alpha(CPars_2(P_2))$ ; and  $P_2 \notin \alpha(CPars_1(P_1)) \cup \alpha(CPars_2(P_1))$ .

**Law 18** (*join-proc-par*).

$$\begin{aligned} & CPars_1(P \parallel Q) \\ & P \hat{=} \mathbf{begin} \ PPars_1 \ \mathbf{state} \ P\_St \hat{=} \ SExp_P \ PPars_2 \bullet \ PAct \ \mathbf{end} \\ & CPars_2(P \parallel Q) \\ & Q \hat{=} \mathbf{begin} \ QPar_1 \ \mathbf{state} \ Q\_St \hat{=} \ SExp_Q \ QPar_2 \bullet \ QAct \ \mathbf{end} \\ & CPars_3(P \parallel Q) \\ & = \\ & P\_Q \hat{=} \mathbf{begin} \ \mathbf{state} \ P\_Q\_St \hat{=} \ P\_St \wedge Q\_St \\ & \quad \begin{array}{l} PPars_1 \\ PPars_2 \\ QPar_1 \\ QPar_2 \\ \bullet \ PAct \llbracket \alpha(P\_St) \mid usedC(PAct) \cap usedC(QAct) \mid \alpha(Q\_St) \rrbracket QAct \ \mathbf{end} \end{array} \\ & CPars_1(P\_Q) \\ & CPars_2(P\_Q) \\ & CPars_3(P\_Q) \end{aligned}$$

**provided**  $P \notin \alpha(Q) \cup \bigcup_i \alpha(CPars_i(P\_Q))$ ;  $Q \notin \alpha(P) \cup \bigcup_i \alpha(CPars_i(P\_Q))$ ;  
 $\alpha(P\_St) \cap \alpha(Q\_St) = \emptyset$ ;  $(\alpha(PPars_1) \cup \alpha(PPars_2)) \cap (\alpha(QPar_1) \cup \alpha(QPar_2)) = \emptyset$ .

**Law 19** (*par-comm*).  $A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2 = A_2 \llbracket ns_2 \mid cs \mid ns_1 \rrbracket A_1$ .

**Law 20** (*par-hid-dist*).

$$\begin{aligned} & ((P_1 \alpha_1) \parallel (P_2 \alpha_2) \parallel \dots \parallel (P_n \alpha_n)) \setminus cs \\ & = \\ & (((P_1 \alpha_1) \setminus cs_1) \parallel ((P_2 \alpha_2) \setminus cs_2) \parallel \dots \parallel ((P_n \alpha_n) \setminus cs_n)) \setminus cs' \end{aligned}$$

**where**  $\forall i : 1 \dots n \bullet cs_i = cs \setminus (\alpha_i \cap (\bigcup_{j:(1..n) \setminus \{i\}} \alpha_j))$ ; and  $cs' = cs \setminus \bigcup_{i:1..n} cs_i$ .

**Law 21** (*par-inter*).  $A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2 = A_1 \llbracket ns_1 \mid ns_2 \rrbracket A_2$ .

**provided**  $usedC(A_1, A_2) \cap cs = \emptyset$ .

**Law 22** (*par-inter-2*).  $A_1 \llbracket ns_2 \mid ns_2 \rrbracket A_2 = A_1 \llbracket ns_2 \mid \emptyset \mid ns_2 \rrbracket A_2$ .

**Law 23** (*par-out-inp-inter-exchange*).

$$\begin{aligned} & (A_1; c_1 \longrightarrow \mathbf{Skip}) \llbracket ns_1 \mid \{c_1\} \mid ns_2 \rrbracket ((c_1 \longrightarrow A_2 \llbracket ns_3 \mid ns_4 \rrbracket c_2 \longrightarrow A_3); A_4) \\ & = \\ & (A_1; c_1 \longrightarrow A_2 \llbracket ns_1 \cup ns_3 \mid ns_4 \rrbracket c_2 \longrightarrow A_3); A_4 \end{aligned}$$

**provided**  $c_1 \neq c_2$ ;  $c_1 \notin usedC(A_1, A_2, A_3, A_4)$ ;  
 $ns_3 \cup ns_4 \subseteq ns_2$ ;  $wrtV(A_1) \subseteq ns_1$ ;  $wrtV(A_2) \subseteq ns_3$ ; and  $wrtV(A_4) \subseteq ns_2$ .

**Law 24** (*par-out-inp-inter-exchange-n*).

$$\begin{aligned} & (B_1 ; (\parallel i : 1 \dots n \bullet \llbracket ns_i \rrbracket (c_i!v_i \longrightarrow \mathbf{Skip})) \\ & \quad \llbracket ns_1 \mid \{c_1, \dots, c_n\} \mid ns_2 \rrbracket \\ & ((\parallel i : 1 \dots n \bullet \llbracket ns_i \rrbracket (c_i?x \longrightarrow A_i(x))) \llbracket ns_3 \mid ns_4 \rrbracket B_2) ; B_3) \\ & = \\ & (B_1 ; ((\parallel i : 1 \dots n \bullet \llbracket ns_i \rrbracket (c_i!v_i \longrightarrow A_i(v_i))) \llbracket ns_1 \cup ns_3 \mid ns_4 \rrbracket B_2)) ; B_3 \end{aligned}$$

**provided**  $\{c_1, \dots, c_n\} \cap \text{initials}(B_2) = \emptyset$ ;  $\{c_1, \dots, c_n\} \cap \text{usedC}(B_1, B_2, B_3, (\bigcup_{i:1..n} \text{usedC}(A_i))) = \emptyset$ ;  
 $ns_3 \cup ns_4 \subseteq ns_2$ ;  $\text{wrtV}(B_1) \subseteq ns_1$ ;  $\bigcup_{i:1..n} \text{wrtV}(A_i) \subseteq ns_3$ ; and  $\text{wrtV}(B_3) \subseteq ns_2$ .

**Law 25** (*par-seq-step*).  $(A_1 ; A_2) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_3 = A_1 ; (A_2 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_3)$

**provided**  $\text{usedC}(A_1) = \emptyset$ ,  $\text{usedV}(A_3) \cap \text{wrtV}(A_1) = \emptyset$ ; and  $\text{wrtV}(A_1) \subseteq ns_1 \cup ns_1'$ .

**Law 26** (*par-seq-step-2*).

$$\mathbf{var} d \bullet (A_1 ; A_2) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket (A_1 ; A_3) = \mathbf{var} d \bullet A_1 ; (A_2 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_3)$$

**provided**  $\text{usedC}(A_1) \subseteq cs$ ; and  $\text{wrtV}(A_1) \subseteq \alpha(d)$ .

**Law 27** (*prefix-seq-assoc*).  $c \longrightarrow (A_1 ; A_2) = (c \longrightarrow A_1) ; A_2$

**provided**  $FV(A_2) \cap \alpha(c) = \emptyset$ .

The reference to  $L(\_)$  denotes the fact that declarations of  $x$  (and  $x'$ ) in schemas, which were used to put the local variable  $x$  of the main action into scope, may now be removed, as  $x$  is a state component.

**Law 28** (*prom-var-state*).

$$\begin{aligned} & \mathbf{begin} (\mathbf{state} S) L(x : T) \bullet (\mathbf{var} x : T \bullet \mathbf{MA}) \mathbf{end} \\ & = \\ & \mathbf{begin} (\mathbf{state} S \wedge [x : T]) L(\_) \bullet \mathbf{MA} \mathbf{end} . \end{aligned}$$

**Law 29** (*prom-var-state-2*).

$$\begin{aligned} & \mathbf{begin} L(x : T) \bullet (\mathbf{var} x : T \bullet \mathbf{MA}) \mathbf{end} \\ & = \\ & \mathbf{begin} (\mathbf{state} [x : T]) L(\_) \bullet \mathbf{MA} \mathbf{end} . \end{aligned}$$

**Law 30** (*rec-sync*).

$$\begin{aligned} & (\mu X \bullet A_1 ; c \longrightarrow X) \llbracket ns_1 \mid \{c\} \cup cs \mid ns_2 \rrbracket (\mu X \bullet A_2 ; c \longrightarrow X) \\ & = \\ & \mu X \bullet (A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2) ; c \longrightarrow X \end{aligned}$$

**provided**  $c \notin \text{usedC}(A_1, A_2)$ ;  $\text{wrtV}(A_1) \cap \text{usedV}(A_2) = \emptyset$ ; and  $\text{wrtV}(A_2) \cap \text{usedV}(A_1) = \emptyset$ .

**Law 31** (*seq-assoc*).  $A_1 ; (A_2 ; A_3) = (A_1 ; A_2) ; A_3$ .

**Law 32** (*seq-left-unit*).  $A = \mathbf{Skip} ; A$ .

**Law 33** (*seq-right-unit*).  $A = A ; \mathbf{Skip}$ .

**Law 34** (*str-post*).  $w : [pre, post] \sqsubseteq w : [pre, post']$  **provided**  $post' \Rightarrow post$ .

**Law 35** (*var-exp-par*).

$$(\mathbf{var} d : T \bullet A_1) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2 = (\mathbf{var} d : T \bullet A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2)$$

**provided**  $\{d, d'\} \cap FV(A_2) = \emptyset$ .

**Law 36** (*var-exp-par-2*).

$$(\mathbf{var} d \bullet A_1) \llbracket ns_1 \mid cs \mid ns_2 \rrbracket (\mathbf{var} d \bullet A_2) = (\mathbf{var} d \bullet A_1 \llbracket ns_1 \mid cs \mid ns_2 \rrbracket A_2).$$

**Law 37** (*var-exp-rec*).  $\mu X \bullet (\mathbf{var} x : T \bullet F(X)) = \mathbf{var} x : T \bullet (\mu X \bullet F(X))$

**provided**  $x$  is initialised before use in  $F$ .

**Law 38** (*var-exp-seq*).  $A_1 ; (\mathbf{var} x : T \bullet A_2) ; A_3 = (\mathbf{var} x : T \bullet A_1 ; A_2 ; A_3)$

**provided**  $\{x, x'\} \cap (FV(A_1) \cup FV(A_3)) = \emptyset$ .

## Appendix C. Extra definitions

The function *buildRCells* maps the function *buildRCell* over a list of actions, using the given proof obligations as argument.

$$\begin{array}{|l} \hline \textit{buildRCells} : (\text{seq}_1(\textit{Pred} \times \textit{ActBody}) \times \textit{PObs}) \rightarrow \text{seq RCell} \\ \hline \forall \textit{gcs} : \text{seq}_1(\textit{Pred} \times \textit{ActBody}); \textit{pobs} : \textit{PObs} \bullet \\ \textit{buildRCells}(\textit{gcs}, \textit{pobs}) = (\textit{buildRCell} \textit{pobs}) \text{ map } (\textit{extractActions} \textit{gcs}) \\ \hline \end{array}$$

The function *applyTacsGC* takes two lists: the first is a list of tactics and the second is a list of refinement cells. It applies each tactic in the first list to the corresponding refinement cell in the second list. This results in a list of lists of refinement cells. We then use the distributed cartesian product ( $\prod_{\infty}$ ) to get all the possible combinations as sequences of refinement cells in each of the lists. This yields a flat list of sequences in which each element represents a particular outcome of applying the tactics to the programs of the initial refinement cell.

$$\begin{array}{|l} \hline \textit{applyTacsGC} : (\text{seq}_1 \textit{Tactic}) \times (\text{seq}_1 \textit{RCell}) \rightarrow \text{pfseq}[\text{seq RCell}] \\ \hline \forall \textit{tacs} : \text{seq}_1 \textit{Tactic}; \textit{rcs} : \text{seq}_1 \textit{RCell} \bullet \textit{applyTacsGC}(\textit{tacs}, \textit{rcs}) = \prod_{\infty}(\textit{tacs} \text{ mapl } \textit{rcs}) \\ \hline \end{array}$$

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