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Theoretical Computer Science 284 (2002) 373–396

**Theoretical
Computer Science**

www.elsevier.com/locate/tcs

Real functions computable by finite automata using affine representations

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Abstract

This paper tries to classify the functions of type $I^n \rightarrow I$ (for some interval $I \subseteq \mathbb{R}$) that can be exactly realized by a finite transducer. Such a class of functions strongly depends on the choice of real number representation. This paper considers only the so-called affine representations where numbers are represented by infinite compositions of affine contracting functions on I . Affine representations include the radix (e.g. decimal, signed binary) representations. The first result is that all piecewise affine functions of n variables with rational coefficients are computable by a finite transducer which uses the signed binary representation. The second and main result is that any function computable by a finite transducer using an affine representation is affine on any open connected subset of I^n on which it is continuously differentiable. This limitation theorem shows that the set of finitely computable functions is very restricted. © 2002 Elsevier Science B.V. All rights reserved.

Keywords: Real number computation; Finite automaton; Affine representation; Sub-self-similarity; Piecewise affine function

1. Introduction

In many computer applications, there is the need to represent and compute with real numbers. Nevertheless, real numbers, being infinite objects in substance, cannot generally be stored in computer memory nor supplied to a digital computer process as an argument in finite time. The traditional solution to this problem is to approximate real numbers by a fairly large finite set of rational numbers called the floating point numbers. In many cases, this approximation significantly deviates from what it should represent due to accumulated round-off errors (cf. [15] for a thorough investigation).

¹ Partly supported by The Overseas Research Students Awards Scheme (ORS) award of the Committee of Vice-Chancellors and Principals of the Universities of the United Kingdom (CVCP).

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One solution to this problem (as used by numerical analysts) is to develop algorithms that are stable—despite round-off errors, they calculate a result with a guaranteed degree of accuracy. Another solution is to abandon the floating point and compute without round-off errors by means of some representation of real numbers that allows arbitrary accuracy—the so-called exact real number computation. We restrict ourselves to this kind of computation in this paper.

There has been extensive research on which real functions are computable exactly using various real number representations and computational models (see for example [20, 3, 4] among the more recent studies). There has also been research on complexity theory of real number computation by Ko and others [7, 6]. Their research has been mainly focused on higher complexity classes like P, NP and EXP.

We study one of the lowest complexity classes, the class of functions computable with constant memory usage. This is a proper subclass of the linear time complexity class. Such a study is motivated mainly by a desire to get algorithms for very fast and cheap computation of some functions and to show that others cannot be computed so cheaply, no matter what representation or technique is used. As a byproduct, this study might contribute to our understanding of exact real number representation and computation in general.

1.1. Computational models

Computation with exact real numbers can be organized as an incremental stream computation or by a query-and-answer dialogue. In the incremental stream-based approach (e.g. used in [4, 16]), a machine reads infinite streams that represent the arguments and produces another infinite stream that represents the result. Each finite prefix of an infinite representing stream is an approximation to the represented value. In the query-and-answer computational model, a query usually states the precision and the answer is an approximation to the value of the given precision. Examples of the non-incremental approach are exact real number algorithms by Ménessier-Morain [15] and the oracle Turing machines studied by Ko [6].

The latter approach has the advantage that no effort is wasted in producing intermediate results and in storing information which would be used only for processing a precision higher than the one actually needed. The former one can also have an advantage: its incrementality allows the computation to proceed without either (a) having to calculate the desired precision for each argument before knowing anything about them or (b) risking redundant work caused by multiple overlapping queries about the same argument or (c) by querying for an unnecessarily high precision about an argument.

1.2. Finite computability

Since we are studying computability with a limited memory, we can consider only input of a limited amount of data at a time. Therefore we choose the stream processing incremental model, embodied by finite transducers.

The difficulty in studying a low level complexity class is that it very much depends on the representation.² For example, nullary functions, i.e. constants, that can be computed with a fixed amount of memory are exactly those whose representation is periodic. The set of numbers that have a periodic representation is different in different representations.

If we are successful in exploring how the set of first order finitely computable functions depends on the representation, we might get a tool for comparing the efficiency of representations. If a representation allows more of the important basic functions to be computable by finite transducers, it can be considered more efficient in a certain sense.

There has been some work in the area of real number computability by finite machines. There are exact real algorithms (e.g. for calculating the binary arithmetical mean) that can be implemented as finite machines using the signed binary representation. Heckmann [5] has shown which unary and binary Möbius transformations are computable in a representation by Potts and Edalat [17].³ This paper extends this result for a wider class of functions and a wider class of representations. We consider total and single-valued n -ary functions for $n \in \mathbb{N}$ as well as unary functions. The only restriction which we put on a function before we can say something strong about its finite computability is that it is continuously differentiable within some region.

Similar results to ours, but restricted to a particular variant of the binary representation, have been published very recently by Lisovik, Shkaravskaya and others [11–13, 19]. In [12], it is stated and, in [13], fully proved that whenever a push-down unary transducer computes a function f differentiable on an interval (a, b) , then f is affine on (a, b) . In the latter paper, the same technique was used to prove an even stronger theorem stating that a function computed by a finite transducer cannot be properly convex on any interval (a, b) .

We will prove a result similar to the former one but holding for transducers that use *any affine representations* and for functions of *multiple arity*. On the other hand, our technique requires a stronger assumption of *continuous* differentiability.

Shkaravskaya [19] showed that any affine function $f: I_1 \times \cdots \times I_n \rightarrow I_1 \times \cdots \times I_n$ with rational coefficients defined on a compact⁴ hyper-rectangle with rational coordinates is computable by a finite transducer. In [11], it is shown that any unary piecewise affine function with rational coefficients is computable by a finite transducer.

We will extend also these results by showing that any piecewise affine *multiple argument* function with rational coefficients is computable by a finite transducer using the signed binary representation.

² First order computability is independent of the choice of representation as long as a set of basic functions is computable [1]. The class of polynomial time computable real functions is the same for the most common representations [6].

³ This representation is a translation of the signed binary representation to another interval.

⁴ The original assumption is a bit weaker but not substantially for our context.

1.3. Structure of the article

In Section 2, the class of affine representations is defined as well as its most common computationally feasible example, the signed binary representation.

Formal definition of a finite transducer with n input and one output channels follows in Section 3. The definition is tailored to our exact real arithmetic purposes so that all input and output symbols are digits of some affine representations. A general transducer computes a stream function on the level of symbols. This function can be factored by the (to some extent redundant) representations to become a partial multi-valued function on the real numbers.

In Section 4, we make a crucial observation that in transducers which use affine representations, we can associate a function with each reachable state. This function is (a) the function which would be computed if this state would be initial, (b) derived from the function of the initial state. This observation leads to two propositions. One is useful for proving the main limitation theorem in Section 8 and the other one for constructing finite transducers and for proving them correct.

The latter proposition is fully utilized in Section 5 to show that all piecewise affine n -ary functions with rational coefficients are finitely computable using the signed binary representation.

The next three sections (i.e. Sections 6–8) gradually proceed to the main limitation theorem for affine representations. Section 6 proves it for strongly connected unary transducers, Section 7 for arbitrary unary transducers and finally Section 8 generalizes it to transducers with multiple input channels.

Many of the simple lemmas in this paper are left without a proof. Their proofs can be found in [9].

2. Affine representations

Let us denote by \mathcal{I} the set of all non-singleton compact real intervals and for each $I \in \mathcal{I}$, let $\mathcal{S}(I)$ be the set of all compact subintervals of I . An *affine contraction* on I is any affine function $f: I \rightarrow I$ different from the identity. The only fixpoint $b/(a-1)$ of an affine contraction $f = x \mapsto ax + b$ is denoted by Fix_f and its slope a is denoted by $Strg_f$ and called the *strength* of f . Let for every $I \in \mathcal{I}$, $cAff_I$ be the set of all affine contractions on I .

Definition 1. An *affine representation* of a real number interval is a tuple $\mathcal{S} = (I_{\mathcal{S}}, D_{\mathcal{S}}, \rho_{\mathcal{S}}) = (I, D, \rho)$ where

- (1) $I \in \mathcal{I}$ is the *base interval*
- (2) D is a finite set of symbols called *digits*
- (3) $\rho: D \rightarrow cAff_I$ is an interpretation of the digits

such that $\bigcup_{d \in D} \rho(d)(I) = I$. The interpretation function ρ extends to finite sequences of digits and a semantics of finite and infinite sequences of digits is defined as

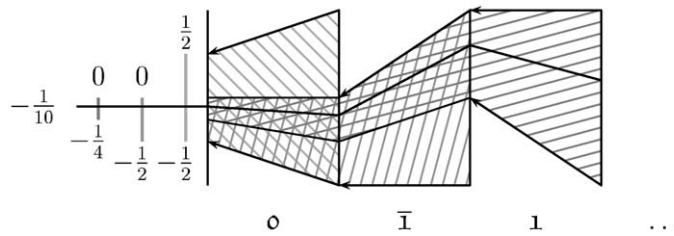


Fig. 1. Representing $-1/10$ in the signed binary representation.

follows:

$$\rho(\varepsilon) = Id_I, \quad \rho(d_1 \cdots d_k) = \rho(d_1) \circ \cdots \circ \rho(d_k),$$

$$[[d_1 \cdots d_k]] = \rho(d_1 \cdots d_k)(I), \quad [[d_1 d_2 d_3 \cdots]] = \bigcap_{k \in \mathbb{N}} [[d_1 \cdots d_k]].$$

All radix representations restricted to the interval $[0, 1]$ are affine representations. In the decimal representation, for example, the digits $d = 0, \dots, 9$ correspond to the affine contractions $(x + d)/10$. Also the signed radix representations restricted to $[-1, 1]$ are affine. For example, the signed binary representation can be defined as the affine representation $\mathcal{S}_{\text{sigbin}} = ([-1, 1], \{\bar{1}, 0, 1\}, \rho_{\text{sigbin}})$ where

$$\rho_{\text{sigbin}}(\bar{1})(x) = \frac{x - 1}{2}, \quad \rho_{\text{sigbin}}(0)(x) = \frac{x}{2}, \quad \rho_{\text{sigbin}}(1)(x) = \frac{x + 1}{2}.$$

Fig. 1 shows how several initial digits produce interval approximations to the represented number by means of composition of their contractions.

More general representations than affine representations can be obtained by using more general contractions instead of affine contractions. For example, Potts and Edalat [17] use Möbius transformations as contractions. In [10], representations with almost arbitrary contractions are investigated.

The following is an obvious property. We point it out because it is useful in the proofs to come.

Lemma 2. *Let (I, D, ρ) be an affine representation and $J \subseteq I$ an open interval. There exists a sequence $u \in D^*$ such that $[[u]] \subset J$.*

It is a standard observation that it makes a significant difference whether the ranges of the digit contractions of an affine representation overlap by their interiors or not [14, 2]. This is closely related to the *redundancy* of the representation—how many different representing sequences for various numbers there exist. The more the ranges overlap the more frequent it is that there is a choice of which digit might be the next one to represent a certain number.

Definition 3 (*Open representation*). An affine representation (I, D, ρ) is called *open* if for each $r \in I^\circ$ (i.e. in the interior of I), there exists a digit $\mathfrak{d} \in D$ such that $r \in \llbracket \mathfrak{d} \rrbracket^\circ$.

Lemma 4 (*Open approximation*). In an open affine representation (I, D, ρ) for every number $r \in I^\circ$, there is a sequence $\alpha \in D^\omega$ such that every finite prefix $\alpha[1, n]$ gives an open approximation to r : $(\forall n \in \mathbb{N})(r \in \llbracket \alpha[1, n] \rrbracket^\circ)$.

Remark 5. The signed binary representation is open.

3. Finite transducers for affine representations

We consider only deterministic machines because we will restrict ourselves to the computation of single-valued functions. We also do not consider machines that can observe whether there is input available on a channel or not. Therefore, whenever a machine wants to read an input, it waits until it gets it.

Definition 6 (*Finite transducer for affine representations*). Let $\mathcal{S}_0, \mathcal{S}_1, \dots, \mathcal{S}_n$ be affine representations. A *finite transducer* \mathcal{T} of type

$$(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$$

is a tuple $(Q, \gamma, \zeta, q_i, Q_F)$ where

- (1) Q is a finite set of *states* including the *initial state* q_i and some (possibly zero) number of *looping states* $Q_F \subseteq Q$,
- (2) $\gamma: Q \rightarrow \wp(\{1, \dots, n\})$ assigns a set of input *channels* to each state and satisfies $q \in Q_F \Rightarrow \gamma(q) = \emptyset$ and $q \in Q \setminus (\{q_i\} \cup Q_F) \Rightarrow \gamma(q) \neq \emptyset$, i.e. looping states are *without input* and, apart from the initial state, no other states can be without input.
- (3) $\zeta: (\bigcup_{q \in Q} (\{q\} \times \prod_{i \in \gamma(q)} D_{\mathcal{S}_i})) \rightarrow Q \times D_{\mathcal{S}_0}^*$ is the *transition and output function* of \mathcal{T} which to each state and a set of digits read from the adequate channels assigns another state and a string of output digits. The two projections of ζ are denoted by ζ^{st} and ζ^{out} , respectively. The transition function has to satisfy
 - (a) $q \in Q_F \Rightarrow \zeta^{\text{st}}(q, ()) = q$, i.e. the looping states loop,
 - (b) $\gamma(q_i) = \emptyset \Rightarrow \zeta^{\text{st}}(_, _) \neq q_i$, i.e. the next state is always a state with input or a looping state.

Definition 7 (*Underlying graph*). A finite transducer \mathcal{T} corresponds to the (oriented, pointed, edge and node labelled) graph $\mathcal{G}_{\mathcal{T}}$ whose nodes are the states Q , its root is the initial state, the nodes are labelled by the values of γ and there is an edge for each q and for each set of symbols $(x_i)_{i \in \gamma(q)}$ leading from q to $\zeta^{\text{st}}(q, (x_i)_{i \in \gamma(q)})$ and is labelled by the “:”-separated pair $(x_i)_{i \in \gamma(q)}: \zeta^{\text{out}}(q, (x_i)_{i \in \gamma(q)})$. This graph is called the *underlying graph* of \mathcal{T} .

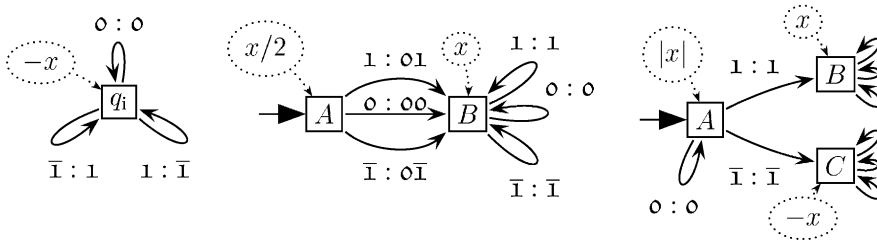


Fig. 2. Examples of simple unary finite transducers with signed binary.

The underlying graph can be used to illustrate finite transducers of smaller sizes. For some examples in this paper, see Fig. 2.

Definition 8 (Reachable states). A state of a finite transducer \mathcal{T} is called *reachable* if there is a path to it from the initial state q_i in $\mathcal{G}_{\mathcal{T}}$.

The low level semantics of a transducer operates on streams of digits.

Definition 9 (Stream semantics). A finite transducer $\mathcal{T} = (Q, \gamma, \zeta, q_i, Q_F)$ of type $(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$ computes the stream function

$$\sigma_{\mathcal{T}}^{\text{out}} : D_{\mathcal{S}_1}^{\infty} \times \dots \times D_{\mathcal{S}_n}^{\infty} \rightarrow D_{\mathcal{S}_0}^{\infty}$$

which is defined (together with a *partial* state function $\sigma_{\mathcal{T}}^{\text{st}} : D_{\mathcal{S}_1}^* \times \dots \times D_{\mathcal{S}_n}^* \rightarrow Q$) in the standard way. (For a formal definition, see [9].)

Now we will start viewing finite transducers via the meaning of the streams that they process.

Definition 10 (Semantics modulo representation). A finite transducer $\mathcal{T} = (Q, \gamma, \zeta, q_i, Q_F)$ of type $(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$ computes the *partial multi-valued function* $f_{\mathcal{T}} : I_{\mathcal{S}_1} \times \dots \times I_{\mathcal{S}_n} \rightrightarrows I_{\mathcal{S}_0}$ which is defined by

$$f_{\mathcal{T}}(x_1, \dots, x_n) = [D_{\mathcal{S}_0}^{\omega} \cap \sigma_{\mathcal{T}}^{\text{out}}(\llbracket \{x_1\} \rrbracket^{-1}, \dots, \llbracket \{x_n\} \rrbracket^{-1})] \tag{1}$$

where $\sigma_{\mathcal{T}}^{\text{out}}$ and $\llbracket \cdot \rrbracket$ are extended to handle sets of values and $\llbracket \cdot \rrbracket^{-1}$ denotes the inverse of the set-valued $\llbracket \cdot \rrbracket$.

The transducer \mathcal{T} is:

- *extensionally partial* if for each set of values x_i either $\sigma_{\mathcal{T}}^{\text{out}}(\llbracket \{x_1\} \rrbracket^{-1}, \dots, \llbracket \{x_n\} \rrbracket^{-1}) \subseteq D_{\mathcal{S}_0}^{\omega}$ ($f_{\mathcal{T}}(x_1, \dots, x_n)$ is defined) or $\sigma_{\mathcal{T}}^{\text{out}}(\llbracket \{x_1\} \rrbracket^{-1}, \dots, \llbracket \{x_n\} \rrbracket^{-1}) \cap D_{\mathcal{S}_0}^{\omega} = \emptyset$ (it is undefined).
- (*extensionally*) *total* if it is extensionally partial and the function $f_{\mathcal{T}}$ is total.
- *extensional* if it is extensionally partial and the function $f_{\mathcal{T}}$ is single-valued.

The results of this article concern only with total and extensional transducers. That means those transducers which for every input that represents a certain given vector of values, return a representation of one and the same value.

Finitely computable real numbers (shortly finite numbers) are very easy to characterize. It has already been mentioned in the introduction that they are the numbers that have a periodic representation. We define them formally because we need some of their properties in Section 8.

Definition 11 (*Finite numbers*). Let $\mathcal{S} = (I, D, \rho)$ be an affine representation. The set of all constants $c \in I$ for which there is a finite transducer \mathcal{T} of type $() \rightarrow \mathcal{S}$ with $f_{\mathcal{T}} = c$, is denoted $Fin_{\mathcal{S}}$.

Notice that for any affine representation \mathcal{S} , the set $Fin_{\mathcal{S}}$ is dense in $I_{\mathcal{S}}$.

The following lemma is a special case of the following observation: For any two finite transducers, there is a finite transducer that computes the composition of the functions computed by the first two (see [10]).

Lemma 12 (*Instantiating an argument*). For any extensional and total finite transducer \mathcal{T} of type $(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$ any index $1 \leq k \leq n$ and a finitely computable number $x \in Fin_{\mathcal{S}_k}$, there is a finite transducer \mathcal{T}' of type $(\mathcal{S}_1, \dots, \mathcal{S}_{k-1}, \mathcal{S}_{k+1}, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$, computing the function

$$f_{\mathcal{T}'}(x_1, \dots, x_{n-1}) = f_{\mathcal{T}}(x_1, \dots, x_{k-1}, x, x_k, \dots, x_{n-1}).$$

4. States are functions

The main idea of this section is the following. During a computation of a function, a transducer moves from state to state while accepting input and producing output. In each state, one can abstract from the input and output made so far and imagine that the machine is starting afresh from this state. From this point of view, the transducer computes another function which is derived from the original function and the input and output made so far.

Definition 13 (*Changing the initial state*). Let $\mathcal{T} = (Q, \gamma, \zeta, q_i, Q_F)$ be a finite transducer of type $(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$. For every state $q \in Q$, define

$$\mathcal{T}^q = (Q^q, \gamma|_{Q^q}, \zeta|_{Q^q}, q, Q_F \cap Q^q)$$

where Q^q is the set of states reachable from q . The tuple \mathcal{T}^q is a finite transducer and the functions $f_{\mathcal{T}^q}$, $\sigma_{\mathcal{T}^q}^{\text{out}}$ and $\sigma_{\mathcal{T}^q}^{\text{st}}$ are said to be *computed by \mathcal{T} from state q* . Let us put $f_{\mathcal{T}}^q = f_{\mathcal{T}^q}$ for typographical reasons.

Notice that if \mathcal{T} is total or extensional, so is \mathcal{T}^q for any *reachable* state $q \in Q_{\mathcal{T}}$.

Proposition 14 (States represent functions). *Let \mathcal{T} be an extensional and total finite transducer of type $(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$. For every tuple of inputs $u = (u_1, \dots, u_n) \in \text{Dom}(\sigma_{\mathcal{T}}^{\text{st}})$ and the associated state $q = \sigma_{\mathcal{T}}^{\text{st}}(u)$ such that the output $v = \sigma_{\mathcal{T}}^{\text{out}}(u)$ is a finite sequence, it holds:*

$$f_{\mathcal{T}}^q = \rho_{\mathcal{S}_0}(v)^{-1} \circ f_{\mathcal{T}} \circ (\rho_{\mathcal{S}_1}(u_1), \dots, \rho_{\mathcal{S}_n}(u_n)). \quad (2)$$

(Although the inverse $\rho_{\mathcal{S}_0}(v)^{-1}$ is partial, the composition is total.)

Proof. Suppose $f_{\mathcal{T}}^q(x_1, \dots, x_n) = y$ and take some representations $[\alpha_i] = \{x_i\}$ for $i = 1, \dots, n$. It holds $[[u_i \alpha_i]] = \rho_{\mathcal{S}_i}(u_i)([\alpha_i]) = \rho_{\mathcal{S}_i}(u_i)(x_i)$. Using the representations $u_i \alpha_i$, we get that the value of $f_{\mathcal{T}}(\rho_{\mathcal{S}_1}(u_1)(x_1), \dots, \rho_{\mathcal{S}_n}(u_n)(x_n))$ is $\rho_{\mathcal{S}_0}(v)(y)$, i.e. $(\rho_{\mathcal{S}_0}(v)^{-1} \circ f_{\mathcal{T}} \circ (\rho_{\mathcal{S}_1}(u_1), \dots, \rho_{\mathcal{S}_n}(u_n)))(x_1, \dots, x_n) = y$. \square

On the other hand, we will show that a transducer in which we can associate a function with each of its states, really computes those functions. The only extra condition for this to be true is that the transducer cannot loop in a cycle without producing any output.

Proposition 15 (Constructing a transducer from functions). *Let $\mathcal{T} = (Q, \gamma, \zeta, q_i, Q_F)$ be a finite transducer of type $(\mathcal{S}_1, \dots, \mathcal{S}_n) \rightarrow \mathcal{S}_0$ such that for each $q \in Q$ and each $(u_1, \dots, u_n) \in D_{\mathcal{S}_1}^* \times \dots \times D_{\mathcal{S}_n}^*$*

$$(\sigma_{\mathcal{T}^q}^{\text{st}}(u_1, \dots, u_n) = q, (u_1, \dots, u_n) \neq (\varepsilon, \dots, \varepsilon)) \Rightarrow \sigma_{\mathcal{T}^q}^{\text{out}}(u_1, \dots, u_n) \neq \varepsilon \quad (3)$$

and $h: Q \rightarrow (I_{\mathcal{S}_1} \times \dots \times I_{\mathcal{S}_n} \rightarrow I_{\mathcal{S}_0})$ an assignment of (single-valued total) functions to states such that for each $q \in Q$ and $\mathfrak{d}_i \in D_{\mathcal{S}_i}$, for $i \in \gamma(q)$, it holds

$$h(\zeta^{\text{st}}(q, (\mathfrak{d}_i)_{i \in \gamma(q)})) = \rho(\zeta^{\text{out}}(q, (\mathfrak{d}_i)_{i \in \gamma(q)}))^{-1} \circ h(q) \circ (g_1, \dots, g_n), \quad (4)$$

where $g_i = \begin{cases} \rho(\mathfrak{d}_i) & \text{for } i \in \gamma(q), \\ \text{Id}_{I_{\mathcal{S}_i}} & \text{otherwise.} \end{cases}$

Then \mathcal{T}^q is extensionally partial and $f_{\mathcal{T}^q}^q = h(q)$ for every $q \in Q$.

Proof. Fix a state $q \in Q$. It is sufficient to prove that for every tuple $(\alpha_1, \dots, \alpha_n) \in D_{\mathcal{S}_1}^{\omega} \times \dots \times D_{\mathcal{S}_n}^{\omega}$, the output $\beta = \sigma_{\mathcal{T}^q}^{\text{out}}(\alpha_1, \dots, \alpha_n)$ is infinite and it holds

$$h(q)([[\alpha_1]], \dots, [[\alpha_n]]) = [[\beta]]. \quad (5)$$

For natural numbers $k_1, \dots, k_n > 0$, put $\alpha_{k_1, \dots, k_n} \equiv (\alpha_1[1, k_1], \dots, \alpha_n[1, k_n])$.

For any $k_1, \dots, k_n > 0$ such that $\alpha_{k_1, \dots, k_n} \in \text{Dom}(\sigma_{\mathcal{T}^q}^{\text{st}})$, by successive application of (4), we obtain

$$h(\sigma_{\mathcal{T}^q}^{\text{st}}(\alpha_{k_1, \dots, k_n})) = \rho(\sigma_{\mathcal{T}^q}^{\text{out}}(\alpha_{k_1, \dots, k_n}))^{-1} \circ h(q) \circ (\rho(\alpha_1[1, k_1]), \dots, \rho(\alpha_n[1, k_n])). \quad (6)$$

For the sake of contradiction, suppose that β is finite. If there would exist $k_1, \dots, k_n > 0$ with $\sigma_{\mathcal{T}^q}^{\text{st}}(\alpha_{k_1, \dots, k_n}) \in Q_F$, then $\sigma_{\mathcal{T}^q}^{\text{out}}(\alpha_{k_1, \dots, k_n})$ would be infinite because of the condition

that output is not empty in any cycle. This would mean that also $\sigma_{\mathcal{F}^q}^{\text{out}}(\alpha_1, \dots, \alpha_n) = \beta$ would be infinite, a contradiction.

Suppose then that such k_1, \dots, k_n do not exist, i.e. with the input $(\alpha_1, \dots, \alpha_n)$, transducer \mathcal{F} avoids all looping states. Now we get that, because \mathcal{F} is finite, there have to exist two sets of indices $k_1, \dots, k_n > 0$ and $k'_1 \geq k_1, \dots, k'_n \geq k_n$ with $k'_i > k_i$ for at least one i , such that

$$\sigma_{\mathcal{F}^q}^{\text{st}}(\alpha_{k_1, \dots, k_n}) = \sigma_{\mathcal{F}^q}^{\text{st}}(\alpha_{k'_1, \dots, k'_n}) \quad \text{and} \quad \sigma_{\mathcal{F}^q}^{\text{out}}(\alpha_{k_1, \dots, k_n}) = \sigma_{\mathcal{F}^q}^{\text{out}}(\alpha_{k'_1, \dots, k'_n}).$$

Again, this means that there is a cycle without output, this time from the state $q' = \sigma_{\mathcal{F}^q}^{\text{st}}(\alpha_{k_1, \dots, k_n})$:

$$\sigma_{\mathcal{F}^{q'}}^{\text{st}}(\alpha_1[k_1 + 1, k'_1], \dots, \alpha_n[k_n + 1, k'_n]) = q'$$

and

$$\sigma_{\mathcal{F}^{q'}}^{\text{out}}(\alpha_1[k_1 + 1, k'_1], \dots, \alpha_n[k_n + 1, k'_n]) = \varepsilon,$$

which is a contradiction.

There is still Eq. (5) left to be proved. Eq. (6) implies that the range of the function $h(q)$, when restricted to the domain $([\alpha_1[1, k_1]], \dots, [\alpha_n[1, k_n]])$, is within $[\sigma_{\mathcal{F}^q}^{\text{out}}(\alpha_{k_1, \dots, k_n})]$. When \mathcal{F} computes with the input $(\alpha_1, \dots, \alpha_n)$, the output β is infinite and thus $[\sigma_{\mathcal{F}^q}^{\text{out}}(\alpha_{k_1, \dots, k_n})]$ tends to the singleton set $[\beta]$. Thus, in the limit case, Eq. (6) results in Eq. (5). \square

This proposition can be applied for constructing and checking finite transducers that compute certain real number functions. For example, in Fig. 2, there are three simple unary finite transducers using signed binary for both input and output. They are drawn via their underlying graphs. The initial state is marked by a bold arrow. Each state is associated with a function in such a way that the conditions of Proposition 15 are satisfied. This fact and Proposition 15 prove that the transducers really compute the functions that they are assigned with, i.e. the first one computes negation, the second one $x/2$ and the third one the absolute value.

5. Piecewise affine functions

These are continuous functions whose graph is composed of a finite number of n -dimensional polygons (see Fig. 3). The aim of this section is to prove that whenever such a function f is of type $[-1, 1]^n \rightarrow [-1, 1]$ and the vertices of the polygons have rational coordinates (equivalently: the coefficients of the affine functions are rational), then there is a finite transducer computing f .

Definition 16 (*Piecewise affine function*). A continuous function $f: I_1 \times \dots \times I_n \rightarrow I_0$ is called *piecewise affine* if there exists a finite set K of n -dimensional polygons



Fig. 3. The graphs of two piecewise affine functions.

such that

$$(\forall A, B \in K)(A^o \cap B^o = \emptyset) \quad \text{and} \quad \bigcup_{A \in K} A = I_1 \times \cdots \times I_n$$

and f is affine on each $A \in K$.

Any piecewise affine function f with rational coefficients can be also denoted more specifically as follows:

$$f = \text{Aff}(K, \kappa, (a_i^{(j)})_{i \leq n}^{j \leq m}, (b^{(j)})^{j \leq m}, c), \tag{7}$$

where $m > 0$, $\kappa: K \rightarrow \{1, \dots, m\}$, $a_i^{(j)}, b^{(j)} \in \mathbb{Z}$ for $1 \leq i \leq n$, $1 \leq j \leq m$, and $c \in \mathbb{N}$, $c > 0$, such that for every $C \in K$ it holds

$$f(x_1, \dots, x_n) = \frac{a_1^{(\kappa(C))}x_1 + \cdots + a_n^{(\kappa(C))}x_n + b^{(\kappa(C))}}{c} \tag{8}$$

for all $(x_1, \dots, x_n) \in C$.

Sometimes by specifying the affine functions within a piecewise-affine function f , the function f is not fully determined. Nevertheless, there are only finitely many functions satisfying such a description.

Lemma 17. Let $I_1, \dots, I_n \in \mathcal{I}$. For every set of numbers

- $m \in \mathbb{N}$, $m > 0$,
- $a_i^{(j)}, b^{(j)} \in \mathbb{Z}$ for each $1 \leq i \leq n$, $1 \leq j \leq m$, and
- $c \in \mathbb{N}$, $c > 0$,

there are finitely many functions of form (7) for some K and κ .

Proof. There are altogether m possible affine functions that can be assigned to the polygons in K , let us denote them as follows for $j = 1, \dots, m$:

$$A_j(x_1, \dots, x_n) = \frac{a_1^{(j)}x_1 + \cdots + a_n^{(j)}x_n + b^{(j)}}{c}.$$

Let us assume, without loss of generality, that these m functions are pairwise different. Let H_{j_1, j_2} be the set of solutions of the equation $A_{j_1} = A_{j_2}$. It is either a hyperplane in \mathbb{R}^n or empty.

Any hyperplane H in \mathbb{R}^n which forms the border of two adjacent polygons in $C_1, C_2 \in K$ has the property that the affine functions $f|_{C_1}$ and $f|_{C_2}$ agree on H . Therefore H has to be identical to $H_{\kappa(C_1), \kappa(C_2)}$.

It follows that the boundary of each $C \in K$ is formed solely by the hyperplanes among H_{j_1, j_2} and by the boundary hyperplanes of the interval $I = I_1 \times \cdots \times I_n$. Therefore C is a union of some of the sections of \mathbb{R}^n cut out by all of the hyperplanes H_{j_1, j_2} within the interval I . Since there are not more than 2^m of these sections by Lemma 18 below and there are m affine functions to choose from in each section, there are not more than $m^{(2^m)}$ piecewise affine functions composed of pieces of the affine functions A_1, \dots, A_m . \square

Lemma 18. *In \mathbb{R}^n , any m hyperplanes divide the space to at most 2^m sections.*

Another technical lemma gives a limit on the b coefficients and on the total difference of the function, provided that there is a limit on the a coefficients.

Lemma 19. *For any piecewise affine function*

$$f = \text{Aff}(K, \kappa, (a_i^{(j)})_{i \leq n}^{j \leq m}, (b^{(j)})^{j \leq m}, c) : [-1, 1]^n \rightarrow [-1, 1]$$

with $|a_i^{(j)}| \leq c/4n$ for all i, j , it holds

(1) for every $C \in K$, $|b^{(\kappa(C))}| \leq c \cdot \frac{5}{4}$

(2) for any $\mathfrak{d}_1, \dots, \mathfrak{d}_n \in \{\bar{1}, \mathbf{0}, \mathbf{1}\}$ and $x, y \in ([\mathfrak{d}_1], \dots, [\mathfrak{d}_n])$
 $|f(x) - f(y)| \leq \frac{1}{2}$.

Proof. Take any point $(x_1, \dots, x_n) \in C \in K$ and put $j = \kappa(C)$. It holds

$$|a_1^{(j)}x_1 + \cdots + a_n^{(j)}x_n + b^{(j)}| \leq c$$

because $f(x_1, \dots, x_n) \in [-1, 1]$. Applying $|a_i^{(j)}| \leq c/4n$, $|x_i| \leq 1$ and the triangular inequality, we get

$$\begin{aligned} c &\geq |a_1^{(j)}x_1 + \cdots + a_n^{(j)}x_n + b^{(j)}| \\ &\geq |b^{(j)}| - | -a_1^{(j)}| - \cdots - | -a_n^{(j)}| \geq |b^{(j)}| - c/4, \end{aligned}$$

which yields $|b^{(j)}| \leq c + c/4 = c \cdot \frac{5}{4}$.

In order to prove the second statement, consider the points

$$z^{(i)} = (x_1, \dots, x_i, y_{i+1}, \dots, y_n)$$

for all $i = 0, \dots, n$. It holds $x = z^{(0)}$ and $y = z^{(n)}$. We will show that $|f(z^{(i-1)}) - f(z^{(i)})| \leq 1/2n$ for all $i = 1, \dots, n$, from which the desired inequality will follow by the triangular inequality:

$$|f(x) - f(y)| = \left| \sum_{1 \leq i \leq n} f(z^{(i-1)}) - f(z^{(i)}) \right| \leq \sum_{1 \leq i \leq n} |f(z^{(i-1)}) - f(z^{(i)})| \leq \frac{1}{2}.$$

Consider the line connecting $z^{(i-1)}$ and $z^{(i)}$:

$$\{(x_1, \dots, x_{i-1}, t, y_{i+1}, \dots, y_n) \mid x_i \leq t \leq y_i\}$$

where, without loss of generality, we assume $x_i \leq y_i$. Denote all of the points where this line leaves (going from x_i to y_i) some polygon in K by p_1, \dots, p_{s-1} and let $p_0 = z^{(i-1)}$, $p_s = z^{(i)}$. Also let $\beta: \{0, \dots, s\} \rightarrow \{1, \dots, m\}$ be the function assigning to each segment of this line an affine function with which f coincides in this segment. Denote by t_ℓ the i th component of each p_ℓ for all $\ell = 0, \dots, n$. Now we can get what we need by triangular inequality and $|t_{\ell-1} - t_\ell| \leq 2$:

$$\begin{aligned} |f(z^{(i-1)}) - f(z^{(i)})| &\leq \sum_{1 \leq \ell \leq s} |f(p_{\ell-1}) - f(p_\ell)| \\ &= \sum_{1 \leq \ell \leq s} \left(\frac{|a_i^{(\beta(\ell))}|}{c} \cdot |t_{\ell-1} - t_\ell| \right) \\ &\leq \frac{1}{4n} \cdot \sum_{1 \leq \ell \leq s} |t_{\ell-1} - t_\ell| \leq \frac{1}{2n}. \quad \square \end{aligned}$$

Theorem 20 (Signed binary computes piecewise affine functions). *For every piecewise affine function \hat{f} with rational coefficients, it holds $\hat{f} = f_{\mathcal{F}}$ for some finite transducer $\mathcal{F}: (\mathcal{S}_{\text{sigbin}}, \dots, \mathcal{S}_{\text{sigbin}}) \rightarrow \mathcal{S}_{\text{sigbin}}$.*

Proof. Let $\text{Aff}(\hat{K}, \hat{\kappa}, (\hat{a}_i^{(j)})_{i \leq n}^{j \leq m}, (\hat{b}^{(j)})_{j \leq m}, \hat{c})$ be a more specific name for \hat{f} . Define and choose $k \in \mathbb{N}$, $k > 0$ such that $2^{-k} \cdot |\hat{a}_i^{(j)} / \hat{c}| < 1/4n$ for every i, j .

Now we can define $\mathcal{F} = (Q, \gamma, \zeta, q_i, Q_F)$ as follows:

- $Q = Q_1 \cup Q_2$ where

$$\begin{aligned} Q_1 &= \{\hat{f} \circ (\rho(u_1), \dots, \rho(u_n)) \mid u_1, \dots, u_n \in D_{\text{sigbin}}^{k'}, 0 \leq k' < k\}, \\ Q_2 &= \{\text{Aff}(K, \kappa, (a_i^{(j)})_{i \leq n}^{j \leq m}, (b^{(j)})_{j \leq m}, 2^k \cdot \hat{c}) \mid |b^{(j)}| \leq \frac{5}{4} \cdot 2^k \cdot \hat{c}\}, \end{aligned}$$

- $q_i = \hat{f}$,
- $Q_F = \emptyset$,
- $\gamma(q) = \{1, \dots, n\}$ for all $q \in Q$,
- $\zeta^{\text{out}}(f, (\mathfrak{d}_1, \dots, \mathfrak{d}_n)) = \begin{cases} \varepsilon & \text{if } f \notin Q_2, \\ \mathfrak{d} \text{ with } \llbracket \mathfrak{d} \rrbracket \supseteq f(\llbracket \mathfrak{d}_1 \rrbracket, \dots, \llbracket \mathfrak{d}_n \rrbracket) & \text{if } f \in Q_2, \end{cases}$
- $\zeta^{\text{st}}(f, (\mathfrak{d}_1, \dots, \mathfrak{d}_n)) = \rho(\zeta^{\text{out}}(f, (\mathfrak{d}_1, \dots, \mathfrak{d}_n)))^{-1} \circ f \circ (\rho(\mathfrak{d}_1), \dots, \rho(\mathfrak{d}_n))$.

We need to prove that the above tuple is a finite transducer and that it fulfills the premises of Proposition 15 to conclude that it computes \hat{f} .

First of all, Q_2 is finite by Lemma 17 applied on each set of $b^{(j)}$'s. The initial state is defined correctly because $\hat{f} \in Q_1$ with $k' = 0 < k$. Also ζ^{out} is defined correctly even for $f \notin Q_1$ because by Lemma 19 for any $x, y \in (\llbracket \mathfrak{d}_1 \rrbracket, \dots, \llbracket \mathfrak{d}_n \rrbracket)$, it holds $|f(x) - f(y)| \leq \frac{1}{2}$. From this we can deduce that $|f(\llbracket \mathfrak{d}_1 \rrbracket, \dots, \llbracket \mathfrak{d}_n \rrbracket)| \leq \frac{1}{2}$ and therefore there is a digit $\mathfrak{d} \in \{\bar{1}, 0, \underline{1}\}$ which covers the whole range $f(\llbracket \mathfrak{d}_1 \rrbracket, \dots, \llbracket \mathfrak{d}_n \rrbracket)$.

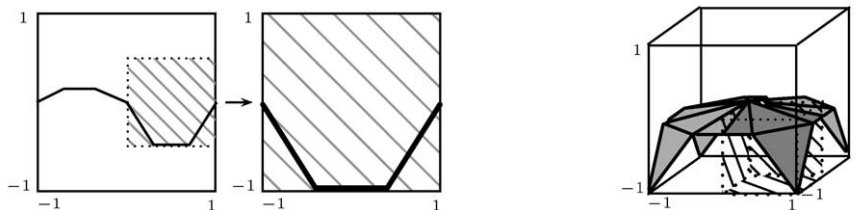


Fig. 4. Clipping and enlarging piecewise affine functions during a transition.

For the same reason, the composition in the definition of $\zeta^{\text{st}}(f, \vec{\mathfrak{d}})$ is a total function, the graph of which is an enlarged and clipped version of the graph of f (see Fig. 4 for an illustration).

Next we will show by induction that any reachable $f \in Q$ is of the form:

$$f = \text{Aff}(K, \kappa, (\hat{a}_i^{(j)})_{i \leq n}^{j \leq m}, b^{(j)}, 2^\ell \cdot \hat{c}) \quad \text{where } 0 \leq \ell \leq k.$$

This obviously holds for \hat{f} with $K = \hat{K}$, $\kappa = \hat{\kappa}$, $b^{(j)} = \hat{b}^{(j)}$ and $\ell = 0$. If this is true for f , we will show that it is also true for any successor $f' = \zeta^{\text{st}}(f, \vec{\mathfrak{d}})$ (f' is not the derivative of f here). That means that for some $K', \kappa', (b')^{(j)}$ and ℓ'

$$f' = \text{Aff}(K', \kappa', (a_i^{(j)})_{i \leq n}^{j \leq m}, (b')^{(j)})_{i \leq n}^{j \leq m}, 2^{\ell'} \cdot c).$$

We need to observe that an intersection of an n -dimensional rectangle (in this case $[\mathfrak{d}_1] \times \dots \times [\mathfrak{d}_n]$) and a polygon is a (possibly empty) set of polygons. Therefore let K' be the version of K clipped and enlarged by $(\rho(\mathfrak{d}_1), \dots, \rho(\mathfrak{d}_n))$.

On each polygon $C' \in K'$ which is an enlarged section of an old polygon $C \in K$, we can put $\kappa'(C') = \kappa(C)$ because either

$$f'(x_1, \dots, x_n) = \frac{\hat{a}_1^{(\kappa(C))}((x_1 + \mathfrak{d}_1)/2) + \dots + \hat{a}_n^{(\kappa(C))}((x_n + \mathfrak{d}_n)/2) + b^{(\kappa(C))}}{\hat{c}}$$

if $\zeta^{\text{out}}(f, \vec{\mathfrak{d}}) = \varepsilon$, i.e. $f \in Q_1$, or

$$f'(x_1, \dots, x_n) = 2 \cdot \frac{\hat{a}_1^{(\kappa(C))}((x_1 + \mathfrak{d}_1)/2) + \dots + \hat{a}_n^{(\kappa(C))}((x_n + \mathfrak{d}_n)/2) + b^{(\kappa(C))}}{\hat{c}} - \mathfrak{d}$$

if $\mathfrak{d} = \zeta^{\text{out}}(f, \vec{\mathfrak{d}}) \in \{\bar{1}, 0, 1\}$ (the digits from $\{\bar{1}, 0, 1\}$ are interpreted as $-1, 0, 1$ respectively), i.e. the coefficients of x_1, \dots, x_n do not change. We could also derive the formula for $|b^{(\kappa(C'))}|$ and check that it is not bigger than $\frac{5}{4} \cdot 2^k \cdot \hat{c}$ in case $\ell' = k$. But this follows from Lemma 19.

We have finished the proof that \mathcal{T} is a finite transducer. In order to be able to apply Proposition 15 with h assigning to each state itself as a function, we need to show that on any cycle in \mathcal{T} there is some output. The only transitions that do not produce output are those leading from states in $Q_1 \setminus Q_2$. There cannot be a cycle using only states from Q_1 because from a state $q \in Q_1$, independently on what the input is, the transducer \mathcal{T} proceeds to a state in Q_2 within at most k steps. The other condition of

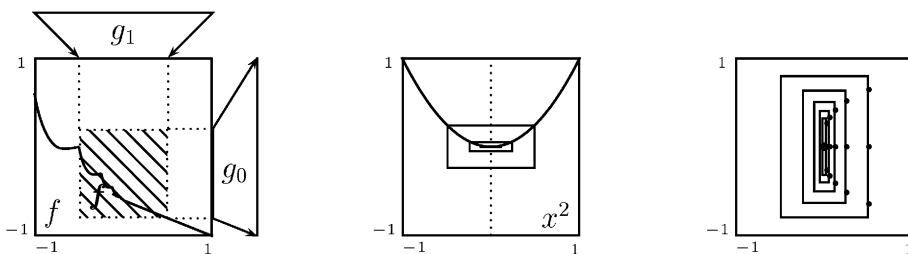


Fig. 5. Affine sub-self-similarity of a function & strength mismatch.

Proposition 15 for \mathcal{F} follows directly from the definition of ζ^{st} . Thus we can conclude that \mathcal{F} computes \hat{f} . \square

6. Strongly connected unary affine transducers

An important special case of Proposition 14 is that which corresponds to a cycle in a transducer. Eq. (2) is then of the following recursive form.

Definition 21 (*Sub-self-similarity*). Let $I_0, I_1 \in \mathcal{I}$, $g_i \in \text{cAff}_{I_i}^c$ for $i = 0, 1$ and $f : I_1 \rightarrow I_0$ such that

$$g_0 \circ f = f \circ g_1. \tag{9}$$

This equality is called a *sub-self-similarity*⁵ of f .

A sub-self-similarity can be visualized like in Fig. 5 on the left.

Sub-self-similarity has several important consequences. The first one is concerned with the ratio of convergence speeds. The main ideas of the following proof are visualized in Fig. 5 on the right.

Lemma 22 (*Strength mismatch in sub-self-similarity*). *In the situation of the previous definition, it holds $f(\text{Fix}_{g_1}) = \text{Fix}_{g_0}$ and:*

- (1) *If $\text{Str}g_{g_1} > \text{Str}g_{g_0}$ (input is weaker than output), then the derivative $f'(\text{Fix}_{g_1})$ exists and is equal to 0.*
- (2) *If $\text{Str}g_{g_1} < \text{Str}g_{g_0}$ (input is stronger than output), then the derivative $f'(\text{Fix}_{g_1})$ either does not exist or exists and is equal to 0, $+\infty$ or $-\infty$.*

Proof. Denote $r_i = \text{Fix}_{g_i}$. Applying the value r_1 to Eq. (9) of functions, we get $f(r_1) = g_0(f(r_1))$ which means $f(r_1) = \text{Fix}_{g_0} = r_0$.

⁵ We do not call it self-similarity to avoid confusion with self-similarity of fractals.

From Eq. (9), we get $g_0^k \circ f = g_0^{k-1} \circ f \circ g_1 = \dots = g_0 \circ f \circ g_1^{k-1} = f \circ g_1^k$. Since g_0, g_1 are affine, it holds $\|g_i^k\| = |I_i| \cdot w_i^k$ for every k , where $w_i = \text{Str}g_{g_i}$. Similarly, $\max\{|x - r_i| \mid x \in \|g_i^k\|\} = \max\{|x - r_i| \mid x \in I_i\} \cdot w_i^k$.

- (1) Assume $w_1 > w_0$. For any number $x \in I_1$, $x \neq r_1$ define k_x to be the index for which $x \in \|g_1^{k_x}\|$ and at the same time $x \notin \|g_1^{k_x+1}\|$. Take an arbitrary sequence x_1, x_2, \dots of points in $I_1 \setminus \{r_1\}$ which converges to r_1 . Notice that $\lim_{j \rightarrow \infty} k_{x_j} = \infty$. Now it holds

$$\begin{aligned} \lim_{j \rightarrow \infty} \frac{|f(x_j) - r_0|}{|x_j - r_1|} &\leq \lim_{j \rightarrow \infty} \frac{\|g_0^{k_{x_j}}\|}{\max\{|x - r_1| \mid x \in \|g_1^{k_{x_j}+1}\|\}} \\ &= \lim_{j \rightarrow \infty} \frac{|I_0| \cdot w_0^{k_{x_j}}}{\max\{|x - r_1| \mid x \in I_1\} \cdot w_1^{k_{x_j}+1}} \leq C \cdot \lim_{j \rightarrow \infty} \left(\frac{w_0}{w_1}\right)^{k_{x_j}} = 0, \end{aligned}$$

where C is a constant. This proves that the derivative $f'(r_1)$ exists and is equal to 0.

- (2) Assume that $w_1 < w_0$ and that derivative $f'(r_1)$ exists. Denote the right-hand side endpoint of I_1 by e_0 and let $e_k = g^k(e_0)$. Consider the sequence $f(e_k)$ of points from I_0 . Since g_0 and g_1 are affine and $g_0^k \circ f = f \circ g_1^k$, it holds $e_k - r_1 = g_1^k(e_0) - r_1 = (e_0 - r_1) \cdot w_1^k$ and $f(e_k) - r_0 = g_0^k(f(e_0)) - r_0 = (f(e_0) - r_0) \cdot w_0^k$. There are 3 cases: $f(e_0) = r_0$, $f(e_0) > r_0$ and $f(e_0) < r_0$. In the first one, the following limit tends to 0, in the second one it tends to $+\infty$ and in the third one to $-\infty$:

$$\lim_{k \rightarrow \infty} \frac{f(e_k) - r_0}{e_k - r_1} = \lim_{k \rightarrow \infty} \frac{(f(e_0) - r_0) \cdot w_0^k}{(e_0 - r_1) \cdot w_1^k}$$

This implies that the derivative $f'(r_1)$ (under the assumption of its existence) is equal to 0, $+\infty$ or $-\infty$. \square

The following lemma allows us to extend the local property of ‘having a non-zero derivative’ to a global property of ‘being affine’.

Lemma 23 (Sub-self-similarity implies affinity). *In the situation of Definition 21, the sub-self-similarity equation (9) implies that*

- (1) either f is not differentiable in Fix_{g_1}
- (2) or $f'(\text{Fix}_{g_1}) \in \{0, +\infty, -\infty\}$
- (3) or f is affine, i.e. there exist constants $a, b \in \mathbb{R}$ such that $f(x) = ax + b$ for all $x \in I_1$.

Proof. If $\text{Str}g_{g_1} \neq \text{Str}g_{g_0}$, we can apply Lemma 22 to conclude (1) or (2). Assume that $\text{Str}g_{g_1} = \text{Str}g_{g_0}$ for the rest of the proof and also suppose that the derivative $f'(\text{Fix}_{g_1})$ exists.

Denote $r_i = \text{Fix}_{g_i}$ and $w_i = \text{Str}g_{g_i}$ for $i = 0, 1$. Since g_i are affine, it holds $g_i^k(x) - r_i = (x - r_i) \cdot w_i^k$ for every $x \in I_i$ and $k \in \mathbb{N}$.

Take an arbitrary point $x \in I_1$, $x \neq r_1$ and define $x_k = g_1^k(x)$ for all $k \in \mathbb{N}$. Now the value of the derivative can be expressed by

$$f'(r_1) = \lim_{k \rightarrow \infty} \frac{f(x_k) - r_0}{x_k - r_1} = \lim_{k \rightarrow \infty} \frac{(f(x) - r_0) \cdot w_0^k}{(x - r_1) \cdot w_1^k} = \frac{f(x) - r_0}{x - r_1}$$

out of which a formula for f can be extracted: $f(x) = f'(r_1)(x - r_1) + r_0$, which, together with $f(r_1) = r_0$, proves that f is affine. \square

Definition 24 (*Strongly connected transducer*). A finite transducer \mathcal{T} is *strongly connected* (SC) if its underlying graph $\mathcal{G}_{\mathcal{T}}$ is strongly connected.

SC transducers have the property that, to whatever state an input may lead to, there is a continuation of this input which would lead back to the initial state. This means that we can find a cycle resulting in a sub-self-similarity in any open interval and thus prove the first restricted version of the main limitation theorem.

Proposition 25 (SC unary version of the main theorem). *Let \mathcal{T} be a total and extensional SC transducer of type $(\mathcal{S}_1) \rightarrow \mathcal{S}_0$ using affine representations $\mathcal{S}_1, \mathcal{S}_0$. Either the function $f_{\mathcal{T}}$ is affine or there is a dense set $E \subseteq I_{\mathcal{S}_1}$ such that for each $x \in E$, it holds $f'(x) \in \{0, +\infty, -\infty\}$ whenever $f'(x)$ exists.*

Proof. Let $C = \{u \in D_{\mathcal{S}_1}^* \mid \sigma_{\mathcal{T}}^{\text{st}}(u) = q_i\}$ be the set of input sequences that will cause the machine \mathcal{T} to return to the initial state. For each $u \in C$, by applying Eq. (2) from Proposition 14, we derive the sub-self-similarity

$$\rho(\sigma_{\mathcal{T}}^{\text{out}}(u)) \circ f_{\mathcal{T}} = f_{\mathcal{T}} \circ \rho(u).$$

By Lemma 23, we get that either $f_{\mathcal{T}}$ is affine or its derivative $f'(Fix_{\rho_{\mathcal{S}_1}(u)})$ does not exist or is equal to 0, $+\infty$ or $-\infty$. Denote

$$E = \{Fix_{\rho_{\mathcal{S}_1}(u)} \mid u \in C\}.$$

All we need to prove in order to show that E has all of the desired properties is that it is dense in $I_{\mathcal{S}_1}$. Take any open interval $J \subseteq I_{\mathcal{S}_1}$. By Lemma 2, there is a sequence $u_1 \in D_{\mathcal{S}_1}^*$ such that $\llbracket u_1 \rrbracket \subseteq J$. Since \mathcal{T} is SC, there is another input sequence u_2 such that $u_1 u_2 \in C$. Therefore there is a number in E , namely $Fix_{\rho_{\mathcal{S}_1}(u_1 u_2)}$, which is in J . \square

Remark 26. The previous proposition would not hold without the condition of continuous differentiability or a similar smoothness condition. In [10], there is a 10-state unary transducer over the signed binary representation which has the following properties. It is SC, total and extensional. The function f that it computes is strictly increasing. There are two sets E_1, E_2 , each of which is dense in $[-1, 1]$, such that $f'(x)$ exists for each $x \in E_1$ as well as for each $x \in E_2$ and it holds $x \in E_1 \Rightarrow f'(x) = 0$ and $x \in E_2 \Rightarrow f'(x) = +\infty$.

7. Unary affine transducers

How to extend Proposition 25 to transducers that are not SC? We will see that each transducer \mathcal{T} has states q such that \mathcal{T}^q is SC. Thus in any transducer, there are states to which Proposition 25 applies. In the following, we will prepare a method for proving that a property holds for each vertex of an oriented graph (i.e. for every state of a transducer, in our case) by induction. This will allow us to extend an essential part of Proposition 25 to arbitrary states of finite transducers. These ideas are borrowed from basic graph theory.

Definition 27 (*Strongly connected components*). Let \mathcal{G} be an oriented graph and $V_{\mathcal{G}}$ the set of its vertices. Let $q \rightarrow_{\mathcal{G}} q'$, for vertices $q, q' \in V_{\mathcal{G}}$, mean that there is an edge leading from q to q' in \mathcal{G} . Let $\rightarrow_{\mathcal{G}}^+$ be the transitive closure of $\rightarrow_{\mathcal{G}}$ and $\Leftrightarrow_{\mathcal{G}}^*$ the equivalence relation generated from $\rightarrow_{\mathcal{G}}$. The expression $q \Leftrightarrow_{\mathcal{G}}^* q'$ is pronounced “ q is strongly connected with q' ”. The equivalence classes of $\Leftrightarrow_{\mathcal{G}}^*$ are called the *strongly connected components* of \mathcal{G} . The $\Leftrightarrow_{\mathcal{G}}^*$ class that contains $q \in V_{\mathcal{G}}$ is denoted by $[q]_{\Leftrightarrow_{\mathcal{G}}^*}$.

Whenever there is no confusion about which graph they relate to, the subscript \mathcal{G} can be left out from the symbols $V_{\mathcal{G}}$, $\rightarrow_{\mathcal{G}}$, $\rightarrow_{\mathcal{G}}^+$, $\Leftrightarrow_{\mathcal{G}}^*$ as well as from other symbols relative to \mathcal{G} , defined later.

When factored by the equivalence of being strongly connected, a graph becomes acyclic. Therefore it is possible to define the depth of a vertex which can be roughly thought of as the distance from the associated root, as follows.

Definition 28 (*Depth of a vertex*). For a vertex q of a finite oriented graph \mathcal{G} , let $\text{depth}_{\mathcal{G}}(q)$ denote the maximal number of \Leftrightarrow^* equivalence classes that the vertices on a path leading from q may belong to:

$$\begin{aligned} \text{depth}_{\mathcal{G}}(q) \\ = \max\{\text{card}\{[q_i]_{\Leftrightarrow^*}\}_{i=1}^n \mid n > 1, q_1, \dots, q_n \in V, q = q_1, q_1 \rightarrow \dots \rightarrow q_n\}, \end{aligned}$$

where max over the empty set is 0. For each $n \in \mathbb{N}$, define

$$SC_{\mathcal{G}}^n = \{q \in V \mid \text{depth}(q) \leq n\}.$$

Remark 29 (*Basic properties of depth*). In any finite oriented graph

- (1) the set SC^0 is equal to the set of vertices without any outgoing edge,
- (2) it holds: $SC^0 \subseteq SC^1 \subseteq SC^2 \subseteq \dots$,
- (3) for any pair of vertices q, q' it holds:
 $q \rightarrow^+ q' \Rightarrow q \Leftrightarrow^* q'$ or $\text{depth}(q) > \text{depth}(q')$.
- (4) For every vertex q of a finite oriented graph it holds:
 $\text{depth}(q) = n > 1 \Rightarrow (\exists q')(q \rightarrow^+ q' \text{ and } \text{depth}(q') < n)$.

Lemma 30. *Let \mathcal{G} be a nonempty finite oriented graph in which each vertex has at least one outgoing edge. Then it holds $SC^0 = \emptyset$ and $SC^1 \neq \emptyset$.*

Now we are ready for proving the unary version of the main limitation theorem by induction on the depth of vertices in the underlying graph.

Proposition 31 (Unary version of Main Theorem). *Let \mathcal{T} be a total and extensional transducer of type $(\mathcal{S}_1) \rightarrow \mathcal{S}_0$ using affine representations $\mathcal{S}_1, \mathcal{S}_0$. Moreover, let \mathcal{S}_1 be open. If the function $f_{\mathcal{T}}$ is continuously differentiable on some open interval $J \subset I_{\mathcal{S}_1}$, then it is affine on J .*

Proof. We prove the proposition for every $f_{\mathcal{T}}^q$ instead of $f_{\mathcal{T}}$ only. We do it by induction on the depth of q in the underlying graph $\mathcal{G}_{\mathcal{T}}$.

For $depth(q) = 1$, the sub-transducer consisting of states reachable from q is SC. We can apply Proposition 25 to get that either $f_{\mathcal{T}}^q$ is affine on the whole of $I_{\mathcal{S}_1}$ or there is a dense set E where the derivative of $f_{\mathcal{T}}^q$ either does not exist or is equal to 0, $+\infty$ or $-\infty$. The same is true for its subset $J \cap E$ except that the derivative has to exist everywhere. Assume that $f_{\mathcal{T}}^q$ is not affine. Since the 3 values 0, $+\infty$, $-\infty$ are separated from each other and $J \cap E$ is dense in J and $(f_{\mathcal{T}}^q)'$ is continuous on J , $(f_{\mathcal{T}}^q)'$ has to be constant on all of J . A derivative cannot be constantly infinite, therefore it has to be constantly 0 on J . This proves that $f_{\mathcal{T}}^q$ is affine on J .

Assume as the induction hypothesis that the proposition holds for all $f_{\mathcal{T}}^q$ for vertices q with $depth(q) < k$, i.e. that such a $f_{\mathcal{T}}^q$ is affine on any interval where it is differentiable, and take a q with $depth(q) = k$.

Let $U = \{u \in D_{\mathcal{S}_1}^* \mid \llbracket u \rrbracket \subseteq J\}$ and $F = \{Fix_{\rho(u)} \mid u \in U\}$ be the set of all inputs which represent an interval within J and the set of their fixpoints. By Lemma 2, F is dense in J . If for all $x \in F$ it holds $(f_{\mathcal{T}}^q)'(x) \in \{0, +\infty, -\infty\}$, then we can conclude (similarly like above in the case of $q \in SC^1$) that the derivative $(f_{\mathcal{T}}^q)'$ is constantly 0 and thus $f_{\mathcal{T}}^q$ is constant on J . Assume, therefore, that there is $u \in U$ for which $(f_{\mathcal{T}}^q)'(Fix_{\rho(u)}) \notin \{0, +\infty, -\infty\}$.

It follows from Remark 29, point (4) that for u , as well as for any other input, it holds either $depth(\sigma_{\mathcal{T}^q}^{st}(u)) < k$ or there is a v such that $\sigma_{\mathcal{T}^q}^{st}(uv) = q$. In the latter case, we can apply Lemma 23 to conclude that $f_{\mathcal{T}}^q$ is affine on the whole of $I_{\mathcal{S}_1}$. In the former case, we use the induction hypothesis for $q' = \sigma_{\mathcal{T}^q}^{st}(u)$ whose function $f_{\mathcal{T}}^{q'}$ is continuously differentiable on the whole of $I_{\mathcal{S}_1}$ by Proposition 14 and thus affine. By the same proposition we get that $f_{\mathcal{T}}^q$ is affine on $\llbracket u \rrbracket$, i.e. on some non-singleton interval $[a, b] = \llbracket u \rrbracket$.

This situation permits us to define

$$z = \min\{x \in \bar{J} \mid f_{\mathcal{T}}^q|_{[x,b]} \text{ is affine}\} \quad \text{and} \quad Z = \max\{x \in \bar{J} \mid f_{\mathcal{T}}^q|_{[a,x]} \text{ is affine}\},$$

where \bar{J} is the closure of J . This means that $[z, Z]$ is a maximal and non-singleton interval on which $f_{\mathcal{T}}^q$ is affine and moreover not constant. We will finish the proof by showing that $[z, Z] = \bar{J}$. This will follow from $z, Z \notin J$.

In order to pursue a contradiction, suppose that $z \in J$. Take an α with $\llbracket \alpha \rrbracket = \{z\}$ such that $z \in \llbracket \alpha[1, j] \rrbracket^o$ for each j by Lemma 4. (At this point we are using the assumption that S_1 is open.) Since J is open, there is an index j_0 such that $\llbracket \alpha[1, j] \rrbracket \subseteq J$ for all $j \geq j_0$. For each such a $j \geq j_0$, either $f_{\mathcal{J}}^q$ is affine on $\llbracket \alpha[1, k] \rrbracket$ or there is a point $x_j \in \llbracket \alpha[1, j] \rrbracket$ with $(f_{\mathcal{J}}^q)'(x_j) \in \{0, +\infty, -\infty\}$. In the former case, $f_{\mathcal{J}}^q$ would be affine on $[z, Z] \cup \llbracket \alpha[1, j] \rrbracket$ which is larger than $[z, Z]$ and thus contradicts the choice of z . If it is the latter case for all $j > j_0$, the sequence x_j converges to z and by continuity of derivative of $f_{\mathcal{J}}^q$ on J , its derivative in z would have to be $0, +\infty$ or $-\infty$, which contradicts the fact that $f_{\mathcal{J}}^q$ is affine and non-constant on $[z, Z]$.

The proof that $Z \notin J$ is symmetrical. \square

8. Multiple input affine transducers

Finally, we are going to extend Proposition 31 to functions with multiple arguments. In order to enable us to reason about functions of more variables easier, let us define the following notation for instantiated functions:

Definition 32. Let $f: \mathbb{R}^n \rightarrow \mathbb{R}$ be a function and $P \subseteq \{1, \dots, n\}$ a set of argument indices. For any tuple of numbers $X = (x_i)_{i \notin P}$ denote

$$f_{P, X}: \mathbb{R}^{|P|} \rightarrow \mathbb{R}: (x_i)_{i \in P} \mapsto f(x_1, \dots, x_n).$$

If $P = \{k\}$ is a singleton set, the function can be also denoted by $f_{k, X}$.

Unfortunately, the multi-dimensional limitation theorem does not follow from Proposition 31 alone because there are functions that are not affine but all of its unary instantiations are affine. For example, the binary function $(x, y) \mapsto x \cdot y$ is not affine but for each $t \in \mathbb{R}$ the functions $x \mapsto x \cdot t$ and $y \mapsto t \cdot y$ are affine. For this reason, we need to go back to sub-self-similarity and extend it to multiple dimensions.

Definition 33 (MD sub-self-similarity). Let $I_0, I_1, \dots, I_n \in \mathcal{I}$, $g_i \in \text{cAff}_{I_i}^f$ for $i = 0, \dots, n$, and $f: I_1 \times \dots \times I_n \rightarrow I_0$ such that it holds:

$$g_0 \circ f = f \circ (g_1, \dots, g_n). \quad (10)$$

This equality is called an *n-dimensional sub-self-similarity* of f . The fixpoints Fix_{g_i} for $i = 0, \dots, n$, in the context of the above sub-self-similarity, will be denoted by r_i and the strengths Str_{g_i} by w_i . Denote also $\vec{r} = (r_1, \dots, r_n)$ and $\vec{g} = (g_1, \dots, g_n)$.

Lemma 34 (MD sub-self-similarity implies affinity). *In the situation of Definition 33, if for some $P \subseteq \{1, \dots, n\}$, the function $h = f_{P, (r_i)_{i \in P}}$ is differentiable in $(r_i)_{i \in P}$ and for every $j \in P$, the partial derivative $h'_j((r_i)_{i \in P})$ is not zero, then h is affine.*

Proof. From Eq. (10) a sub-self-similarity for h can be derived: $g_0 \circ h = h \circ (g_i)_{i \in P}$. Therefore it is sufficient to prove the lemma for $P = \{1, \dots, n\}$ and $h = f$ since for a

smaller P we may completely ignore the arguments which are not in P . Let us then assume that f is differentiable in \vec{r} and all partial derivatives $p_k = f'_k(\vec{r})$ for $k = 1, \dots, n$ are not zero.

First we will prove that $w_0 = w_1 = \dots = w_n$. Suppose, on the contrary, that $w_0 \neq w_k$ for some $k \in \{1, \dots, n\}$. Applying Lemma 22 to $f_k = f_{k,(r_i)_{i \neq k}}$ for which it holds $g_0 \circ f_k = f_k \circ g_k$, we get that $f'_k(\text{Fix}_{g_k})$ is either 0 or infinite. Since $f'_k(r_k)$ is equal to the k th partial derivative p_k , it cannot be 0. It cannot be infinite neither because the definition of differentiability in higher dimensions excludes it—a contradiction.

Pick an arbitrary point $\vec{x} = (x_1, \dots, x_n) \in I_1 \times \dots \times I_n$ and define the sequence $\vec{x}^{(k)} = \vec{g}^k(\vec{x})$ which converges to \vec{r} . Using the definition of the differential df , the fact that $df(\vec{r})(\vec{x}) = \vec{p} \cdot \vec{x}$ (denoting $\vec{p} = (p_1, \dots, p_n)$) and that all g_0, \dots, g_n are affine contractions with the same strength, we get the equation

$$\begin{aligned} \frac{df(\vec{r})(\vec{x} - \vec{r})}{|\vec{x} - \vec{r}|} &= \lim_{k \rightarrow \infty} \frac{f(\vec{x}^{(k)}) - f(\vec{r})}{|\vec{x}^{(k)} - \vec{r}|} \\ &= \lim_{k \rightarrow \infty} \frac{(f(\vec{x}) - f(\vec{r})) \cdot w_0^k}{|\vec{x} - \vec{r}| \cdot w_1^k} = \frac{f(\vec{x}) - f(\vec{r})}{|\vec{x} - \vec{r}|}, \end{aligned}$$

out of which an affine formula for f can be extracted

$$\begin{aligned} f(\vec{x}) &= df(\vec{r})(\vec{x} - \vec{r}) + f(\vec{r}) = \vec{p} \cdot (\vec{x} - \vec{r}) + f(\vec{r}) \\ &= p_1(x_1 - r_1) + \dots + p_n(x_n - r_n) + f(\vec{r}). \quad \square \end{aligned}$$

Now we will recall a simple and well-known characterization of the functions whose arbitrary unary instantiations are affine. They are exactly the polynomials of the following form (one version of the proof can be found in [9]):

Definition 35 (*Multi-affine polynomials*). The n -variate polynomials over the variables x_1, \dots, x_n of the form

$$\sum_{P \subseteq \{1, \dots, n\}} a_P \prod_{i \in P} x_i$$

where all a_P are real numbers, are called *multi-affine*.

We state a basic property of polynomials which we need in the following proof.

Lemma 36 (Polynomials are locally determinable). *If two n -variate real polynomials agree on an open interval $J_1 \times \dots \times J_n \subseteq \mathbb{R}^n$, then they are equal.*

The main limitation theorem first comes in a version where the unary interval J from Proposition 31 is replaced by a multi-dimensional interval. In its corollary, this interval is replaced by an arbitrary open connected set.

Theorem 37. Let \mathcal{T} be a total extensional transducer of type $(\mathcal{S}_1 \times \dots \times \mathcal{S}_n) \rightarrow \mathcal{S}_0$ where all \mathcal{S}_i are open affine representations. If the function $f_{\mathcal{T}}$ is continuously differentiable on some open interval $J = J_1 \times \dots \times J_n \subset I_{\mathcal{S}_1} \times \dots \times I_{\mathcal{S}_n}$, then it is affine on J .

Proof. For any $k \in \{1, \dots, n\}$ and any set of values $x_i \in \text{Fin}_{\mathcal{S}_i} \cap J_i$ for $i \neq k$, the restriction of $f = f_{\mathcal{T}}$ to the unary function $f_{k, (x_i)_{i \neq k}}$ is also finitely computable by Lemma 12. Therefore this unary function is affine on J_k . Since this holds for every $(x_i)_{i \neq k}$ in the dense set $\prod_{i \neq k} \text{Fin}_{\mathcal{S}_i} \cap J_i$, it holds for all values in $\prod_{i \neq k} J_i$. Thus f is a multi-affine polynomial on J .

Let us note which of the arguments of f are not redundant and define a name for the set of their indices as follows:

$$P = \{j \in \{1, \dots, n\} \mid (\exists(x_1, \dots, x_n) \in J, x'_j \in J_j)(f(x_1, \dots, x_n) \neq f(x_1, \dots, x'_j, \dots, x_n))\}.$$

Since the value of f within J does not depend on the value of an argument with an index $i \notin P$, the partial derivative f'_i is constantly zero on all of J . However, for an argument index $i \in P$, f'_i can be zero only on a very limited part of J since it is a non-zero polynomial. Actually, the set $Z_i = \{\vec{x} \in J \mid f'_i(\vec{x}) = 0\}$ is a closed set in J with empty interior by Lemma 36. Therefore the finite union $\bigcup_{i \in P} Z_i$ is also closed and with empty interior. Its complement C is an open non-empty subset of J where $f'_i(\vec{x})$ is not zero for every $i \in P$ and $\vec{x} \in C$.

Pick an open n -dimensional box $K_1 \times \dots \times K_n$ within the open set C . By Lemma 2, there is a set of inputs $(u_1, \dots, u_n) \in \text{Dom}(\sigma_{\mathcal{T}}^{\text{st}})$ such that

$$\llbracket u_1 \rrbracket \times \dots \times \llbracket u_n \rrbracket \subset K_1 \times \dots \times K_n \subseteq C.$$

Since \mathcal{T} is finite there are another sets of inputs u'_i, u''_i such that there is a cycle and therefore a sub-self-similarity as follows:

$$\rho_{\mathcal{S}_0}(u''_0)^{-1} \circ f_{\mathcal{T}}^q = f_{\mathcal{T}}^q \circ (\rho_{\mathcal{S}_1}(u'_1), \dots, \rho_{\mathcal{S}_n}(u''_n))$$

where $q = \sigma_{\mathcal{T}}^{\text{st}}(u_1 u'_1, \dots, u_n u'_n) = \sigma_{\mathcal{T}}^{\text{st}}(u_1 u'_1 u''_1, \dots, u_n u'_n u''_n)$ and $u''_0 = \sigma_{\mathcal{T}^q}^{\text{out}}(u'_1, \dots, u'_n)$. In this situation we can apply Lemma 34 with the set P , to get that $f_{\mathcal{T}}^q$ is affine on all of its domain. This can be translated to a part of the domain of f by the following equation which follows from Proposition 14:

$$f_{\mathcal{T}}^q = \rho_{\mathcal{S}_0}(v)^{-1} \circ f \circ (\rho_{\mathcal{S}_1}(u_1 u'_1), \dots, \rho_{\mathcal{S}_n}(u_n u'_n))$$

where $v = \sigma_{\mathcal{T}}^{\text{out}}(u_1 u'_1, \dots, u_n u'_n)$. Since all functions in this equation apart from f are known to be affine, f has to be affine on $\llbracket u_1 u'_1 \rrbracket \times \dots \times \llbracket u_n u'_n \rrbracket$. By Lemma 36 and the previously established fact that f is a multi-linear polynomial on J , it follows that f is affine on J . \square

Corollary 38 (Main theorem for affine transducers). *Let \mathcal{T} be a total extensional transducer of type $(\mathcal{S}_1 \times \cdots \times \mathcal{S}_n) \rightarrow \mathcal{S}_0$ where all \mathcal{S}_i are open affine representations. If the function $f_{\mathcal{T}}$ is continuously differentiable on some open connected set $J \subset I_{\mathcal{S}_1} \times \cdots \times I_{\mathcal{S}_n}$, then it is affine on J .*

Proof. By Theorem 37, $f|_L$ is affine on each open n -dimensional interval L within J . Such an interval surrounds every point in J since J is open. All we need to prove is that f coincides with the same affine function on every interval within J . Let $x, y \in J$ and $g: [0, 1] \rightarrow J$ be a continuous injection with $g(0) = x$ and $g(1) = y$. For every $t \in [0, 1]$, let L_t be an interval within J that contains t and $A_t: \mathbb{R}^n \rightarrow \mathbb{R}$ be the affine function with which f coincides on L_t . Put $\hat{t} = \sup\{t \in [0, 1] \mid A_t = A_0\}$ and prove $\hat{t} = 1$ by contradiction. If $\hat{t} < 1$, then by continuity of g there is an $\varepsilon > 0$ such that $g([\hat{t}, \hat{t} + \varepsilon]) \subset L_{\hat{t}}$. It follows that $A_{\hat{t}+\varepsilon} = A_{\hat{t}} = A_0$, a contradiction.

We have shown that in the neighbourhood of any two points in J , the function f coincides with the same affine function. Therefore, f is affine on J . \square

9. Conclusion and future work

In this article, we have established that when representing the exact real numbers by infinite composition of affine contractions, any finitely computable function has to be affine on any area on which it is continuously differentiable. On the other hand, the signed binary representation uses affine contractions and can compute a dense set of piecewise affine functions. From these two theorems it can be informally concluded that the signed binary is one of the best among affine representations from the point of view of finite computability. In other words, one can compute with it by finite transducers all ‘nice’ functions which are not ruled out by the limitation theorem and a simple cardinality comparison.

One might ask whether some representation which uses other than affine contractions could do better than the signed binary representation. The author believes that there is a means to generalize the limitation theorem for representations with almost arbitrary contractions. Such a technique appeared in [10]. Out of this generalization, it follows that, for example, when using representations with Möbius transformations, any finitely computable function has to coincide with some Möbius transformation on any area where it is continuously differentiable.⁶ This result does not exclude the possibility that maybe there is a representation with Möbius contractions which would compute a dense set of Möbius transformations. The result of Raney [18] gives some hope towards possible existence of such a representation.

In exact real number computation, one cannot avoid considering partial and many-valued functions (see e.g. [1]). The techniques used in this paper have been extended to many-valued functions in [10].

⁶ A direct proof of a unary version of this statement can be found in [8].

Acknowledgements

The author would like to thank his supervisor, Prof. Achim Jung, for giving much invaluable support during this research and also the anonymous referees for pointing him to the relevant research in the Ukraine and giving other important comments.

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