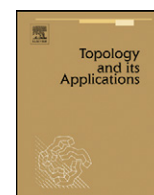


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Nielsen equalizer theory

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ABSTRACT

We extend the Nielsen theory of coincidence sets to equalizer sets, the points where a given set of (more than 2) mappings agree. On manifolds, this theory is interesting only for maps between spaces of different dimension, and our results hold for sets of k maps on compact manifolds from dimension $(k - 1)n$ to dimension n . We define the Nielsen equalizer number, which is a lower bound for the minimal number of equalizer points when the maps are changed by homotopies, and is in fact equal to this minimal number when the domain manifold is not a surface.

As an application we give some results in Nielsen coincidence theory with positive codimension. This includes a complete computation of the geometric Nielsen number for maps between tori.

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1. Introduction

The goal of this paper is to generalize the basic definitions and results of Nielsen coincidence theory to a theory of equalizer sets for sets of (possibly more than two) mappings. For spaces X and Y and maps $f_1, \dots, f_k : X \rightarrow Y$, the equalizer set is defined as

$$\text{Eq}(f_1, \dots, f_k) = \{x \in X \mid f_1(x) = \dots = f_k(x)\}.$$

This generalizes the coincidence set $\text{Coin}(f_1, f_2) = \{x \in X \mid f_1(x) = f_2(x)\}$ for two mappings.

Nielsen coincidence theory, see [3], estimates the number of coincidence points of a pair of maps in a homotopy invariant way. Most of the techniques are a generalization of ideas from fixed point theory, see [6]. In coincidence theory, one defines the *Nielsen number* $N(f_1, f_2)$ of a pair of maps, which is a lower bound for the *minimal coincidence number* $MC(f_1, f_2)$:

$$N(f_1, f_2) \leq MC(f_1, f_2) = \min\{\#\text{Coin}(f'_1, f'_2) \mid f'_i \simeq f_i\}.$$

The above quantities are in fact equal when X and Y are compact n -manifolds of the same dimension $n \neq 2$. In this paper we extend this theory to equalizer sets.

The typical setting for Nielsen coincidence theory is for maps $X \rightarrow Y$ of compact manifolds of the same dimension. For maps $f_1, f_2 : X \rightarrow Y$ in this setting, transversality arguments show that we can change the maps by homotopy so that $\text{Coin}(f_1, f_2)$ is a set of finitely many points. At each of these points we define a *coincidence index* which is then used to define the Nielsen number. In the case of differentiable manifolds we can define the index in terms of the determinant of the derivative maps at each coincidence point (see [7] for this approach).

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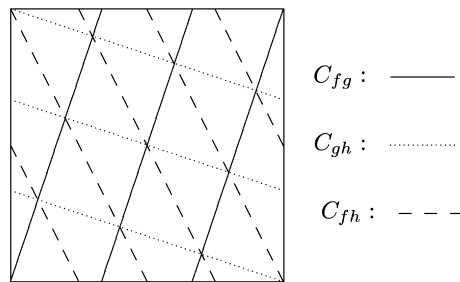


Fig. 1. Coincidence sets and equalizer points for Example 1.2.

When the dimension of X is greater than that of Y , the typical approach to the coincidence index breaks down. In this case the derivative maps cannot be linear isomorphisms, and so their determinants cannot be used. A modified approach based on determinants is given by Jezierski in [5] which applies for maps into tori, but this is a fairly restrictive setting.

In this paper we will show that the typical approach, expressible in terms of determinants, does indeed succeed in the positive codimension setting when we admit more mappings to our theory, i.e. when we move from coincidence theory to equalizer theory. In this sense equalizer theory would seem to be the most natural and straightforward Nielsen-type theory in positive codimensions. Compared to the various approaches to positive codimension Nielsen coincidence theory (many are surveyed in [3]), our equalizer theory is substantially simpler and much more closely resembles classical Nielsen fixed point and coincidence theory.

Nielsen equalizer theory will require a specific codimensional setting. Attempting a homotopy-invariant study of equalizers in codimension zero immediately gives:

Theorem 1.1. *If X and Y are compact manifolds of the same dimension, and $f_1, \dots, f_k : X \rightarrow Y$ are maps with $k > 2$, then these maps can be changed by homotopy so that the equalizer set is empty.*

Proof. Well-known transversality arguments show that we can change f_2 by a homotopy to f'_2 so that $\text{Coin}(f_1, f'_2)$ is a finite set of points. Similarly we obtain $f'_3 \simeq f_3$ such that $\text{Coin}(f_1, f'_3)$ is a finite set of points. These homotopies can be arranged so that $\text{Coin}(f_1, f'_2)$ and $\text{Coin}(f_1, f'_3)$ are disjoint. Thus

$$\text{Eq}(f_1, f'_2, f'_3, f_4, \dots, f_k) \subset \text{Coin}(f_1, f'_2) \cap \text{Coin}(f_1, f'_3) = \emptyset. \quad \square$$

Thus there is no interesting theory for counting the minimal number of equalizer points between compact manifolds of the same dimension, since this number is always zero. In this sense, the equalizer equation $f_1(x) = \dots = f_k(x)$ is “overdetermined” when the dimensions of the domain and codomain are equal. In order to obtain an interesting theory we must increase the dimension of the domain space. In particular, for equalizers of k maps, we will require X and Y to be of dimensions $(k - 1)n$ and n , respectively, for any n . Consider the following example:

Example 1.2. We will examine the equalizer set of three maps $f, g, h : T^2 \rightarrow S^1$ from the 2-dimensional torus to the circle. Viewing the torus as the quotient of \mathbb{R}^2 by the integer lattice, and S^1 as the quotient of \mathbb{R} by the integers, we will specify our maps by integer matrices of size 1×2 . Let the maps be given by matrices:

$$A_f = \begin{pmatrix} 3 & 1 \end{pmatrix}, \quad A_g = \begin{pmatrix} 0 & 2 \end{pmatrix}, \quad A_h = \begin{pmatrix} -1 & -1 \end{pmatrix}.$$

Let $C_{fg} = \text{Coin}(f, g)$, with C_{fh} and C_{gh} defined similarly, and we have

$$\text{Eq}(f, g, h) = C_{fg} \cap C_{gh} \cap C_{fh}.$$

(Actually the equalizer set is the intersection of any two of these coincidence sets.)

It is straightforward to compute these sets. For example, C_{fg} is the set of points (x, y) with $3x + y = 2y \pmod{\mathbb{Z}^2}$, which is to say $y = 3x \pmod{\mathbb{Z}^2}$. Similarly computing the sets C_{fh} and C_{gh} produces the picture in Fig. 1, where the torus is drawn as $[0, 1] \times [0, 1]$ with opposite sides identified. We see in the picture that $\text{Eq}(f, g, h)$ consists of 10 points (the nine points where the lines visibly intersect, plus the intersection at the identified corners of the diagram).

In this paper we will define the Nielsen number $N(f, g, h)$ which is a lower bound for the minimum number of equalizer points when the maps are changed by homotopy. In Theorem 4.4 we give a simple formula for computing this quantity on tori, which in this example gives

$$N(f, g, h) = \left| \begin{pmatrix} 0 & 2 \\ -1 & -1 \end{pmatrix} - \begin{pmatrix} 3 & 1 \\ 3 & 1 \end{pmatrix} \right| = 10.$$

Thus these maps cannot be changed by homotopy to have fewer than 10 equalizer points.

The construction of the theory is facilitated by a fundamental correspondence between $\text{Eq}(f_1, \dots, f_k)$ and the coincidence set of a pair of related maps. Let $F, G : X \rightarrow Y^{k-1}$ be given by

$$F(x) = (f_1(x), \dots, f_1(x)), \quad G(x) = (f_2(x), \dots, f_k(x)). \tag{★}$$

Since X and Y are compact with dimensions $(k - 1)n$ and n respectively, the above F and G are maps between compact manifolds of the same dimension, and $\text{Coin}(F, G) = \text{Eq}(f_1, \dots, f_k)$. This correspondence is well-behaved under homotopy, since changing the maps f_i by homotopies corresponds in a natural way to a change of F and G by homotopies. As we shall see, the homotopy-invariant behavior of $\text{Eq}(f_1, \dots, f_k)$ is the same as that of $\text{Coin}(F, G)$, and we may define Nielsen-type invariants for the equalizer set in terms of the same invariants from the coincidence theory of (F, G) .

In Section 2 we define the Reidemeister and equalizer classes which form the building blocks for our theory. In Section 3 we define the Nielsen number and in Section 4 we give some computational results for maps into Jiang spaces and maps of tori. In Section 5 we give an application to Nielsen coincidence theory in positive codimensions, giving a full computation of the “geometric Nielsen number” on tori.

2. Reidemeister and equalizer classes

Let X and Y be spaces with universal covering spaces (connected, locally path-connected, and semilocally simply connected), and let \tilde{X} and \tilde{Y} be the universal covering spaces with projection maps $p_X : \tilde{X} \rightarrow X$ and $p_Y : \tilde{Y} \rightarrow Y$. For maps $f_1, \dots, f_k : X \rightarrow Y$, we wish to construct a Reidemeister-type theory for the equalizer points $\text{Eq}(f_1, \dots, f_k)$, so that each point has an algebraic Reidemeister class, and two equalizer points can be combined by homotopy only when their classes are equal.

Our basic result is a generalization of a well-known result from coincidence theory which is stated in part (without proof) as Lemma 2.3 of [2]. For the sake of completeness we give a full proof. The proof is similar to that of Theorem 1.5 in [6], which is the corresponding statement in fixed point theory. Throughout, elements of the fundamental group are viewed as deck transformations on the universal covering space.

Theorem 2.1. *Let $f_1, \dots, f_k : X \rightarrow Y$ be maps with lifts $\tilde{f}_i : \tilde{X} \rightarrow \tilde{Y}$ and induced homomorphisms $\phi_i : \pi_1(X) \rightarrow \pi_1(Y)$.*

1. *We have*

$$\text{Eq}(f_1, \dots, f_k) = \bigcup_{\alpha_2, \dots, \alpha_k \in \pi_1(Y)} p_X \text{Eq}(\tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k).$$

2. *For $\alpha_i, \beta_i \in \pi_1(X)$, the sets*

$$p_X \text{Eq}(\tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k) \quad \text{and} \quad p_X \text{Eq}(\tilde{f}_1, \beta_2 \tilde{f}_2, \dots, \beta_k \tilde{f}_k)$$

are disjoint or equal.

3. *The above sets are equal if and only if there is some $z \in \pi_1(X)$ with*

$$\beta_i = \phi_1(z)\alpha_i\phi_i(z)^{-1}$$

for all i .

Proof. For the first statement, take some $x \in \text{Eq}(f_1, \dots, f_k)$ and some $\tilde{x} \in p_X^{-1}(x)$. We have $p_Y(\tilde{f}_i(\tilde{x})) = f_i(x) = f_1(x)$ for all i , and thus the values $\tilde{f}_i(\tilde{x})$ all differ by deck transformations. That is, there are $\alpha_i \in \pi_1(Y)$ with

$$\tilde{f}_1(\tilde{x}) = \alpha_2 \tilde{f}_2(\tilde{x}) = \dots = \alpha_k \tilde{f}_k(\tilde{x}),$$

which is to say that $\tilde{x} \in \text{Eq}(\tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k)$, and so $x \in p_X \text{Eq}(\tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k)$ as desired.

Now we prove statement 3. First, let us assume that $p_X \text{Eq}(\tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k) = p_X \text{Eq}(\tilde{f}_1, \beta_2 \tilde{f}_2, \dots, \beta_k \tilde{f}_k)$. This means that for any point $\tilde{x} \in \text{Eq}(\tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k)$, there is some deck transformation $z \in \pi_1(X)$ with $z\tilde{x} \in \text{Eq}(\tilde{f}_1, \beta_2 \tilde{f}_2, \dots, \beta_k \tilde{f}_k)$. Then we have

$$\beta_i \tilde{f}_i(z\tilde{x}) = \tilde{f}_1(z\tilde{x}) = \phi_1(z)\tilde{f}_1(\tilde{x}) = \phi_1(z)\alpha_i\tilde{f}_i(\tilde{x}) = \phi_1(z)\alpha_i\phi_i(z)^{-1}\tilde{f}_i(z\tilde{x}).$$

Since the two lifts $\beta_i \tilde{f}_i$ and $\phi_1(z)\alpha_i\phi_i(z)^{-1}\tilde{f}_i$ agree at a point, they are the same lift, and thus

$$\beta_i = \phi_1(z)\alpha_i\phi_i(z)^{-1}$$

as desired.

For the converse in statement 3, assume that $\beta_i = \phi_1(z)\alpha_i\phi_i(z)^{-1}$ for all i , and take $x \in p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k)$. Then we have $\phi_1(z)\alpha_i = \beta_i\phi_i(z)$ for all i , and so

$$\tilde{f}_1(z\tilde{x}) = \phi_1(z)\tilde{f}_1(\tilde{x}) = \phi_1(z)\alpha_i\tilde{f}_i(\tilde{x}) = \beta_i\phi_i(z)\tilde{f}_i(\tilde{x}) = \beta_i\tilde{f}_i(z\tilde{x}).$$

Thus $z\tilde{x} = \text{Eq}(\tilde{f}_1, \beta_2\tilde{f}_2, \dots, \beta_k\tilde{f}_k)$, and so $x \in p_X \text{Eq}(\tilde{f}_1, \beta_2\tilde{f}_2, \dots, \beta_k\tilde{f}_k)$, and we have shown

$$p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k) \subset p_X \text{Eq}(\tilde{f}_1, \beta_2\tilde{f}_2, \dots, \beta_k\tilde{f}_k).$$

A symmetric argument shows the converse inclusion, and so the above sets are equal.

For statement 2, it suffices to show that if there is a point

$$x \in p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k) \cap p_X \text{Eq}(\tilde{f}_1, \beta_2\tilde{f}_2, \dots, \beta_k\tilde{f}_k),$$

then the two sets of the above intersection are equal. For such a point x , there are $\tilde{x}_0, \tilde{x}_1 \in p_X^{-1}(x)$ with

$$\tilde{x}_0 \in \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k), \quad \tilde{x}_1 \in \text{Eq}(\tilde{f}_1, \beta_2\tilde{f}_2, \dots, \beta_k\tilde{f}_k).$$

Let $z \in \pi_1(X)$ with $z\tilde{x}_0 = \tilde{x}_1$. Then we have

$$\begin{aligned} \beta_i\tilde{f}_i(z\tilde{x}_0) &= \beta_i\tilde{f}_i(\tilde{x}_1) = \tilde{f}_1(\tilde{x}_1) = \tilde{f}_1(z\tilde{x}_0) = \phi_1(z)\tilde{f}_1(\tilde{x}_0) \\ &= \phi_1(z)\alpha_i\tilde{f}_i(\tilde{x}_0) = \phi_1(z)\alpha_i\phi_i(z)^{-1}\tilde{f}_i(z\tilde{x}_0). \end{aligned}$$

The above equality shows two lifts of f_i agreeing at the point $z\tilde{x}_0$, and so we have $\beta_i = \phi_1(z)\alpha_i\phi_i(z)^{-1}$, which by statement 3 implies that

$$p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k) = p_X \text{Eq}(\tilde{f}_1, \beta_2\tilde{f}_2, \dots, \beta_k\tilde{f}_k)$$

as desired. \square

Let $\mathcal{R}(\phi_1, \dots, \phi_k) = \pi_1(Y)^{k-1} / \sim$ be the quotient of $\pi_1(Y)^{k-1}$ by the following relation, inspired by statement 3 above:

$$(\alpha_2, \dots, \alpha_k) \sim (\beta_2, \dots, \beta_k)$$

if and only if there is some $z \in \pi_1(X)$ with

$$\beta_i = \phi_1(z)\alpha_i\phi_i(z)^{-1}$$

for all $i \in \{2, \dots, k\}$. We call $\mathcal{R}(\phi_1, \dots, \phi_k)$ the set of Reidemeister classes for ϕ_1, \dots, ϕ_k .

Then the theorem above gives the following disjoint union

$$\text{Eq}(f_1, \dots, f_k) = \bigsqcup_{(\alpha_i) \in \mathcal{R}(\phi_1, \dots, \phi_k)} p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k).$$

The above union partitions the equalizer set into Nielsen equalizer classes (or simply equalizer classes). That is, $C \subset \text{Eq}(f_1, \dots, f_k)$ is an equalizer class if and only if there are α_i with $C = p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k)$. Note that an equalizer class can be empty. The equalizer classes are related to the coincidence classes of the pair (F, G) from Eq. (*) in the following way:

Theorem 2.2. *A subset $C \subset \text{Eq}(f_1, \dots, f_k)$ is an equalizer class if and only if C is a coincidence class when regarded as a subset of $\text{Coin}(F, G)$. That is, C is an equalizer class if and only if there is a deck transformation $A \in \pi_1(Y^{k-1})$ with $C = p_X \text{Coin}(\tilde{F}, A\tilde{G})$ for some lifts \tilde{F} and \tilde{G} of F and G .*

Proof. First we assume that C is an equalizer class, and so we have lifts \tilde{f}_i of f_i and $\alpha_i \in \pi_1(Y)$ with $C = p_X \text{Eq}(\tilde{f}_1, \alpha_2\tilde{f}_2, \dots, \alpha_k\tilde{f}_k)$. Let \tilde{F} and \tilde{G} be given by

$$\tilde{F}(\tilde{x}) = (\tilde{f}_1(\tilde{x}), \dots, \tilde{f}_1(\tilde{x})), \quad \tilde{G}(\tilde{x}) = (\tilde{f}_2(\tilde{x}), \dots, \tilde{f}_k(\tilde{x})),$$

and let $A : \tilde{Y}^{k-1} \rightarrow \tilde{Y}^{k-1}$ be

$$A(\tilde{y}_2, \dots, \tilde{y}_k) = (\alpha_2\tilde{y}_2, \dots, \alpha_k\tilde{y}_k).$$

Then we have

$$\text{Eq}(\tilde{f}_1, \alpha_1 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k) = \text{Coin}(\tilde{F}, A\tilde{G}),$$

and so $C = p_X \text{Coin}(\tilde{F}, A\tilde{G})$ as desired.

Now for the converse we assume that C is a coincidence class of (F, G) , which means there are lifts \tilde{F} and \tilde{G} of F and G with a deck transformation $A \in \pi_1(Y^{k-1})$ such that $C = p_X \text{Coin}(\tilde{F}, A\tilde{G})$. Since \tilde{F} and \tilde{G} are lifts of F and G , we can write

$$\tilde{F}(\tilde{x}) = (\tilde{f}_1^2(\tilde{x}), \dots, \tilde{f}_1^k(\tilde{x})), \quad \tilde{G}(\tilde{x}) = (\tilde{f}_2(\tilde{x}), \dots, \tilde{f}_k(\tilde{x}))$$

where each \tilde{f}_1^i is a lift of f_1 , and \tilde{f}_j is a lift of f_j for $j \geq 2$. Similarly we may factor A as $A = \alpha_1 \times \dots \times \alpha_k$ for $\alpha_i \in \pi_1(Y)$.

Each of the \tilde{f}_1^i may be different, but there is a single lift \tilde{f}_1 of f_1 with deck transformations β_i such that $\beta_i \tilde{f}_1 = \tilde{f}_1^i$. Then we have

$$\begin{aligned} \text{Coin}(\tilde{F}, A\tilde{G}) &= \text{Coin}((\beta_2 \tilde{f}_1, \dots, \beta_k \tilde{f}_1), (\alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k)) \\ &= \text{Coin}((\tilde{f}_1, \dots, \tilde{f}_1), (\beta_2^{-1} \alpha_2 \tilde{f}_2, \dots, \beta_k^{-1} \alpha_k \tilde{f}_k)) \\ &= \text{Eq}(\tilde{f}_1, \beta_2^{-1} \alpha_2 \tilde{f}_2, \dots, \beta_k^{-1} \alpha_k \tilde{f}_k) \end{aligned}$$

and so $C = p_X \text{Coin}(\tilde{F}, A\tilde{G})$ is an equalizer class. \square

The equalizer classes can be described nicely in terms of paths in X and their images under the f_i :

Theorem 2.3. *Two points $x, x' \in \text{Eq}(f_1, \dots, f_k)$ are in the same equalizer class if and only if there is some path $\gamma : [0, 1] \rightarrow X$ from x to x' such that $f_1(\gamma)$ and $f_i(\gamma)$ are homotopic as paths with fixed endpoints for all i .*

Proof. Our points x, x' are in the same equalizer class if and only if they are in the same coincidence class of the pair (F, G) . A standard result in coincidence theory shows that this is equivalent to the existence of a path γ in X from x to x' with $F(\gamma) \simeq G(\gamma)$. This is equivalent to

$$(f_1, \dots, f_1)(\gamma) \simeq (f_2, \dots, f_k)(\gamma),$$

which is equivalent to $f_1(\gamma) \simeq f_i(\gamma)$ for each i . \square

3. The equalizer index and the Nielsen number

Let $\text{Eq}(f_1, \dots, f_k, U) = \text{Eq}(f_1, \dots, f_k) \cap U$, and let $\text{Coin}(f, g, U) = \text{Coin}(f, g) \cap U$.

Our index for equalizer sets will be defined in terms of the coincidence index i . We first review some properties of the coincidence index. Let $f, g : M \rightarrow N$ be maps between compact orientable manifolds of the same dimension. The coincidence index $i(f, g, U)$ is an integer valued function defined for open sets U with $\text{Coin}(f, g, U)$ compact. It satisfies the following properties:

- **Homotopy:** Let $f' \simeq f$ and $g' \simeq g$, by homotopies F_t and G_t , such that the set

$$\{(x, t) \mid x \in \text{Coin}(F_t, G_t, U)\} \subset M \times [0, 1]$$

is compact (such a pair of homotopies is called *admissible*). Then $i(f, g, U) = i(f', g', U)$.

- **Additivity:** If $U_1 \cap U_2 = \emptyset$ and $\text{Coin}(f, g, U) \subset U_1 \cup U_2$, then

$$i(f, g, U) = i(f, g, U_1) + i(f, g, U_2).$$

- **Solution:** If $i(f, g, U) \neq 0$, then $\text{Coin}(f, g, U)$ is not empty.

We wish to define a similar index in the equalizer setting. Let X and Y be compact orientable manifolds of dimensions $(k-1)n$ and n , respectively, with maps $f_1, \dots, f_k : X \rightarrow Y$. We call (f_1, \dots, f_k, U) *admissible* when $\text{Eq}(f_1, \dots, f_k, U)$ is compact.

Let $F, G : X \rightarrow Y^{k-1}$ be the maps as in (\star) . These are maps between compact orientable manifolds of the same dimension. When (f_1, \dots, f_k, U) is admissible, then $\text{Coin}(F, G, U) = \text{Eq}(f_1, \dots, f_k, U)$ is compact, and thus the coincidence index $i(F, G, U)$ is defined. We define the equalizer index $\text{ind}(f_1, \dots, f_k, U)$ to be $i(F, G, U)$.

This equalizer index satisfies the appropriate homotopy, additivity, and solution properties. If (f_1, \dots, f_k, U) and (f'_1, \dots, f'_k, U) are admissible and $f_i \simeq f'_i$ with homotopy H^i , we say that (H^i) is an *admissible homotopy* of (f_1, \dots, f_k, U) to (f'_1, \dots, f'_k, U) when the set

$$\{(x, t) \mid x \in \text{Eq}(H_t^1, \dots, H_t^k, U)\} \subset X \times I$$

is compact.

Theorem 3.1. Let $f_1, \dots, f_k : X \rightarrow Y$ be maps of compact orientable manifolds of dimensions $(k - 1)n$ and n respectively, and let $U \subset X$ be open with (f_1, \dots, f_k, U) admissible.

Then the equalizer index $\text{ind}(f_1, \dots, f_k, U)$ is defined and satisfies the following properties:

- Homotopy: If (f_1, \dots, f_k, U) is admissibly homotopic to (f'_1, \dots, f'_k, U) , then $\text{ind}(f_1, \dots, f_k, U) = \text{ind}(f'_1, \dots, f'_k, U)$.
- Additivity: If $U_1 \cap U_2 = \emptyset$ and $\text{Eq}(f_1, \dots, f_k, U) \subset U_1 \cup U_2$, then

$$\text{ind}(f_1, \dots, f_k, U) = \text{ind}(f_1, \dots, f_k, U_1) + \text{ind}(f_1, \dots, f_k, U_2).$$

- Solution: If $\text{ind}(f_1, \dots, f_k, U) \neq 0$, then $\text{Eq}(f_1, \dots, f_k, U)$ is not empty.

Proof. The proofs of these properties all follow from the same properties of the coincidence index of the pair F, G as in (\star) . \square

For an equalizer class C , we define the index of C , written $\text{ind}(f_1, \dots, f_k, C)$, as $\text{ind}(F, G, U)$, where U is an open set with $\text{Coin}(F, G, U) = C$ (such an open set will always exist because coincidence classes are closed and X is compact).

At this point we take a slight diversion to give a note on the computation of the index of differentiable maps in terms of their derivatives. When X and Y are differentiable manifolds and each of f_i is differentiable, the maps F and G will also be differentiable, and the derivative maps $DF_x, DG_x : \mathbb{R}^{(k-1)n} \rightarrow \mathbb{R}^{(k-1)n}$ are defined at each point $x \in X$.

Let $x \in \text{Eq}(f_1, \dots, f_k)$ be an equalizer point. We say that x is nondegenerate when $DG_x - DF_x$ is nonsingular. In this case there is a neighborhood U around x containing no other coincidence points of F and G , and thus no other equalizer points, and the index can be computed by the well-known formula from coincidence theory:

$$\text{ind}(f_1, \dots, f_k, U) = i(F, G, U) = \text{sign det}(DG_x - DF_x).$$

The definitions of F and G give the following formula in terms of the f_i .

Theorem 3.2. Let $f_1, \dots, f_k : X \rightarrow Y$ be maps of compact orientable manifolds of dimensions $(k - 1)n$ and n respectively, and let $x \in \text{Eq}(f_1, \dots, f_k)$ be nondegenerate.

Then there is a neighborhood U of x with $\text{Eq}(f_1, \dots, f_k, U) = \{x\}$ such that

$$\text{ind}(f_1, \dots, f_k, U) = \text{sign det} \begin{pmatrix} df_2 - df_1 \\ \vdots \\ df_k - df_1 \end{pmatrix}$$

where all derivatives are taken at the point x (each row in the above is an $n \times (k - 1)n$ block matrix, so that the whole matrix has size $(k - 1)n \times (k - 1)n$).

Now we discuss the index theory for the nonorientable case. For the coincidence theory of maps $f, g : M \rightarrow N$ of compact (perhaps nonorientable) manifolds of the same dimension, an integer-valued coincidence index cannot in general be defined. There is a related semi-index (see [2]) which plays a similar role.

The semi-index, which we denote $|i|$, is defined not for arbitrary open sets, but only for coincidence classes, and satisfies properties similar to those of the coincidence index. Let $C \subset \text{Coin}(f, g)$ be a coincidence class with $C = p \text{Coin}(\tilde{f}, \alpha \tilde{g})$. Then if $f \simeq f'$ and $g \simeq g'$, these homotopies will lift, producing maps $\tilde{f}' \simeq \tilde{f}$ and $\tilde{g}' \simeq \tilde{g}$ which are lifts of f' and g' respectively. Thus $D = p \text{Coin}(\tilde{f}', \alpha \tilde{g}')$ is a coincidence class of (f', g') , and we say that D is “related to C ” with respect to the pair of homotopies.

If $f, g : M \rightarrow N$ are maps of compact manifolds of the same dimension and C is a (possibly empty) coincidence class, then $|i|(f, g, C)$ is defined and satisfies:

- Homotopy: If $f' \simeq f$ and $g' \simeq g$, and D is the coincidence class of (f', g') which is related to C with respect to these homotopies, then $|i|(f, g, C) = |i|(f', g', D)$.
- Solution: If $|i|(f, g, C) \neq 0$, then C is not empty.
- Naturality: If M and N are orientable, then $|i|(f, g, C) = |i(f, g, C)|$, the absolute value of the usual coincidence index.

In the setting of equalizer theory for maps $f_1, \dots, f_k : X \rightarrow Y$ of compact (possibly nonorientable) manifolds with an equalizer class C , we define the equalizer semi-index as in the orientable case: let (F, G) be as in (\star) , and we define $|\text{ind}|(f_1, \dots, f_k, C) = |i|(F, G, C)$. Given homotopies $f'_i \simeq f_i$, the “relation” between equalizer classes of (f_1, \dots, f_k) and (f'_1, \dots, f'_k) is defined exactly as in coincidence theory.

The following has routine proofs similar to those for Theorem 3.1.

Theorem 3.3. Let $f_1, \dots, f_k : X \rightarrow Y$ be maps of compact (possibly nonorientable) manifolds of dimensions $(k - 1)n$ and n respectively, and let $C \subset \text{Eq}(f_1, \dots, f_k, U)$ be an equalizer class.

Then the equalizer semi-index $|\text{ind}|(f_1, \dots, f_k, C)$ is defined and satisfies the following properties:

- Homotopy: If f_i is homotopic to f'_i for each i and D is the equalizer class of (f'_1, \dots, f'_k) which is related to C , then

$$|\text{ind}|(f_1, \dots, f_k, C) = |\text{ind}|(f'_1, \dots, f'_k, D).$$

- Solution: If $|\text{ind}|(f_1, \dots, f_k, C) \neq 0$, then C is not empty.
- Naturality: If X and Y are orientable, then

$$|\text{ind}|(f_1, \dots, f_k, C) = |\text{ind}(f_1, \dots, f_k, C)|,$$

the absolute value of the usual equalizer index.

An equalizer class is called *essential* if its index (or semi-index in the nonorientable case) is nonzero.

Definition 3.4. The Nielsen [equalizer] number $N(f_1, \dots, f_k)$ is defined to be the number of essential equalizer classes of (f_1, \dots, f_k) .

From Theorem 2.2 and the definition of the index of a class, we see that $N(f_1, \dots, f_k)$ is equal to the Nielsen coincidence number of the pair (F, G) . Since the Nielsen equalizer number is so closely related to a coincidence number, we can obtain a Wecken-type theorem for the minimal number of equalizer points. Let $ME(f_1, \dots, f_k)$ be the *minimal number of equalizer points*, defined as

$$ME(f_1, \dots, f_k) = \min\{\#\text{Eq}(f'_1, \dots, f'_k) \mid f'_i \simeq f_i\}.$$

By the solution properties of the index and semi-index, every essential equalizer class must contain an equalizer point, and so

$$N(f_1, \dots, f_k) \leq ME(f_1, \dots, f_k).$$

These two quantities are in fact equal in most cases, as the following theorem shows.

Theorem 3.5. Let $f_1, \dots, f_k : X \rightarrow Y$ be maps of compact manifolds of dimensions $(k - 1)n$ and n respectively. If $(k - 1)n \neq 2$, then

$$ME(f_1, \dots, f_k) = N(f_1, \dots, f_k).$$

In the case of “proper” equalizer theory (when $k > 2$), the result holds for all k and n except $(k, n) = (3, 1)$, which is to say equalizer theory of three maps from a compact surface to the circle.

Proof. The second statement is simply a consequence of k, n being natural numbers with $(k - 1)n \neq 2$, so we focus on the first statement.

Let (F, G) be defined as in (\star) , and we have $N(f_1, \dots, f_k) = N(F, G)$. The maps F, G are maps between compact manifolds of dimension $(k - 1)n$. By our hypothesis this dimension is not 2, and so the Wecken theorem for coincidences (see [3]) gives maps $F' \simeq F$ and $G' \simeq G$ with $\#\text{Coin}(F', G') = N(F, G)$. A result of Brooks in [1] shows that in fact there is a single map $G'' \simeq G$ with $\text{Coin}(F', G') = \text{Coin}(F, G'')$, and thus $\#\text{Coin}(F, G'') = N(F, G)$.

Our map G'' is a map of $X \rightarrow Y^{k-1}$, so it can be written as $G''(x) = (g_2(x), \dots, g_k(x))$ with $g_i \simeq f_i$. Now we have

$$\#\text{Eq}(f_1, g_2, \dots, g_k) = \#\text{Coin}(F, G'') = N(F, G) = N(f_1, \dots, f_k),$$

and so $ME(f_1, \dots, f_k) \leq N(f_1, \dots, f_k)$ as desired. \square

4. Some computations

4.1. Jiang spaces

One setting in which the fixed point and coincidence Nielsen numbers are easily calculated is for maps on *Jiang spaces*. See [6] for the definition and basic results in fixed point theory. The class of Jiang spaces includes topological groups, generalized lens spaces and certain other homogeneous spaces, and is closed under products. The main result (see [3]) from coincidence theory concerning Jiang spaces is the following:

Theorem 4.1. If $f, g : M \rightarrow N$ are maps of compact orientable manifolds of the same dimensions and N is a Jiang space, then every coincidence class has the same index.

Our theorem concerning Jiang spaces is the following result, which is facilitated by the coincidence theory of the maps (F, G) as in (\star) .

Theorem 4.2. *If $f_1, \dots, f_k : X \rightarrow Y$ are maps of compact orientable manifolds of dimensions $(k - 1)n$ and n respectively and Y is a Jiang space, then every equalizer class has the same index.*

Proof. Let $F, G : X \rightarrow Y^{k-1}$ be given as in (\star) :

$$F(x) = (f_1(x), \dots, f_1(x)), \quad G(x) = (f_2(x), \dots, f_k(x)).$$

Since Y is a Jiang space, then Y^{k-1} is a Jiang space. Thus by Theorem 4.1 all coincidence classes of F, G will have the same coincidence index. But the equalizer classes of f_1, \dots, f_k are the same as the coincidence classes of F, G , with the same indices, so all equalizer classes of f_1, \dots, f_k will have the same equalizer index. \square

Define the Reidemeister number and Lefschetz number as: $R(f_1, \dots, f_k) = \#\mathcal{R}(\phi_1, \dots, \phi_k)$ (this quantity may be infinite) and $L(f_1, \dots, f_k) = \text{ind}(f_1, \dots, f_k, X)$. Then we obtain:

Corollary 4.3. *If $f_1, \dots, f_k : X \rightarrow Y$ are maps of compact orientable manifolds of dimensions $(k - 1)n$ and n respectively, and Y is a Jiang space, then:*

- If $L(f_1, \dots, f_k) = 0$ then $N(f_1, \dots, f_k) = 0$.
- If $L(f_1, \dots, f_k) \neq 0$ then $N(f_1, \dots, f_k) = R(f_1, \dots, f_k)$.

Proof. By the additivity property, $L(f_1, \dots, f_k)$ is the sum of the indices of each equalizer class. By Theorem 4.2 all classes have the same index, thus $L(f_1, \dots, f_k) = 0$ means that all classes are inessential and so $N(f_1, \dots, f_k) = 0$. If the Lefschetz number is not zero then all classes are essential and so the Nielsen number is simply the number of classes, which is the Reidemeister number. \square

4.2. Tori

We can give a very specific formula for the Nielsen number of maps $f_1, \dots, f_k : T^{(k-1)n} \rightarrow T^n$ on tori. We will view T^m as the quotient of \mathbb{R}^m by the integer lattice, and consider maps which are induced by linear maps on $\mathbb{R}^{(k-1)n} \rightarrow \mathbb{R}^n$ taking $\mathbb{Z}^{(k-1)n}$ to \mathbb{Z}^n . We can think of such a map as an $n \times (k - 1)n$ matrix with integer entries, which we call the “induced matrix”.

We now prove the formula which was used in the computation of Example 1.2. The result generalizes the well-known formula for the Nielsen coincidence number on tori which was proved in Lemma 7.3 of [4]: if f_1, f_2 are given by square matrices A_1 and A_2 , then $N(f_1, f_2) = |\det(A_2 - A_1)|$.

Theorem 4.4. *If $f_1, \dots, f_k : T^{(k-1)n} \rightarrow T^n$ are maps on tori with induced matrices A_i , then*

$$N(f_1, \dots, f_k) = \left| \det \begin{pmatrix} A_2 - A_1 \\ \vdots \\ A_k - A_1 \end{pmatrix} \right|.$$

Proof. Let $F, G : T^{(k-1)n} \rightarrow T^{(k-1)n}$ be as in (\star) . Then the induced matrices of F and G will be given by block matrices

$$A_F = \begin{pmatrix} A_1 \\ \vdots \\ A_1 \end{pmatrix}, \quad A_G = \begin{pmatrix} A_2 \\ \vdots \\ A_k \end{pmatrix},$$

and so the formula for the Nielsen coincidence number on tori gives

$$N(F, G) = \left| \det \begin{pmatrix} A_2 - A_1 \\ \vdots \\ A_k - A_1 \end{pmatrix} \right|.$$

But $N(F, G) = N(f_1, \dots, f_k)$, and so the result is proved. \square

We further note that since tori have the Wecken property for coincidence theory, we can drop the dimension assumption of Theorem 3.5.

Theorem 4.5. *Let $f_1, \dots, f_k : T^{(k-1)n} \rightarrow T^n$ be maps of tori. Then*

$$ME(f_1, \dots, f_k) = N(f_1, \dots, f_k).$$

Proof. Let (F, G) be as in (\star) , and then since tori have the Wecken property there is a map $G' \simeq G$ with $\# \text{Coin}(F, G') = N(F, G)$. We finish the argument as in the last paragraph of the proof of Theorem 3.5. \square

5. Coincidence theory with positive codimension

We end with an application to coincidence theory with positive codimension, which typically requires much more difficult techniques than those of this paper. In this setting we consider maps $f_1, f_2 : X \rightarrow Y$ of compact manifolds of dimensions m and n with $m > n$ and try to minimize by homotopies the quantity $\#\pi_0(\text{Coin}(f_1, f_2))$, the number of path components of $\text{Coin}(f_1, f_2)$.

There is no coincidence index in the positive codimension setting, and so the problem of judging essentiality of classes is more complicated. A coincidence class $C \subset \text{Coin}(f_1, f_2)$ is *removable by homotopy* when there is some pair of homotopies $f_i \simeq f'_i$ such that C is “related” (in the sense of Theorem 3.3) to the empty class. When a class is not removable by homotopy, it is called *geometrically essential*. The number of geometrically essential classes is called the *geometric Nielsen number*, which we denote $N_G(f_1, f_2)$.

Any two coincidence points which can be connected by a path of coincidence points will be in the same coincidence class. Thus each class is a union of path components of $\text{Coin}(f_1, f_2)$, and so $N_G(f_1, f_2) \leq \#\pi_0(\text{Coin}(f_1, f_2))$. Since $N_G(f_1, f_2)$ is homotopy invariant, in fact it is a lower bound for the minimal number of path components of the coincidence set when f_1 and f_2 are changed by homotopies.

We begin with a simple result which in some cases can demonstrate that a coincidence class is geometrically essential.

Theorem 5.1. *Let $f_1, \dots, f_k : X \rightarrow Y$ be maps of spaces of dimension $(k - 1)n$ and n respectively, and let f_i, f_j be any two of these maps. Then each equalizer class of (f_1, \dots, f_k) is a subset of some coincidence class of (f_i, f_j) , and any coincidence class containing an essential equalizer class is geometrically essential.*

Proof. To show that each equalizer class is a subset of a coincidence class, let C be an equalizer class. Then there are lifts \tilde{f}_i and deck transformations α_i with

$$C = p_X \text{Eq}(\alpha_1 \tilde{f}_1, \alpha_2 \tilde{f}_2, \dots, \alpha_k \tilde{f}_k) \subset p_X \text{Coin}(\alpha_i \tilde{f}_i, \alpha_j \tilde{f}_j),$$

and the right side above is a coincidence class.

Now let $D \subset \text{Coin}(f_i, f_j)$ be a coincidence class containing some essential equalizer class $C \subset D$. If D were removable by a homotopy as a coincidence class, then necessarily C would be removable by a homotopy as an equalizer class, which is impossible since C is essential. Thus D is geometrically essential. \square

We can state the above in terms of Nielsen numbers:

Corollary 5.2. *Let $f_1, f_2 : X \rightarrow Y$ be maps of spaces of dimension $(k - 1)n$ and n respectively. If there are maps f_3, \dots, f_k with $N(f_1, \dots, f_k) \neq 0$, then $N_G(f_1, f_2) \neq 0$.*

Proof. If $N(f_1, \dots, f_k) \neq 0$ then there is an essential equalizer class of (f_1, \dots, f_k) , which by Theorem 5.1 is contained in a geometrically essential coincidence class of (f_1, f_2) . The existence of this coincidence class means that $N_G(f_1, f_2) \neq 0$. \square

Now we focus on tori, for which we can be much more specific about the value of $N_G(f_1, f_2)$. As we will see, Corollary 5.2 is strong enough to give a complete computation of $N_G(f_1, f_2)$ based on the matrices which specify the maps, even in the case where the domain dimension is not a multiple of the codomain dimension.

Theorem 5.4 below, computing the value of $N_G(f_1, f_2)$ on tori, is proved by Jezierski in [5]. Most of the argument follows exactly the proof in the codimension zero case given in [4]. The key novel step in the positive codimension setting is the following lemma.

Lemma 5.3. *Let $f_1, f_2 : T^m \rightarrow T^n$ be maps of tori with induced matrices A_1, A_2 . If $A_2 - A_1$ has rank n , then $N_G(f_1, f_2) \neq 0$.*

Jezierski proves this by using the fact that, for $m > n$, we can consider T^n as a subspace of T^m and then note that the restrictions of f_1, f_2 will have a nonremovable coincidence class. Jezierski’s approach effectively decreases the domain dimension in order to apply the classical codimension zero theory.

We take the opposite approach of *increasing* the domain dimension by taking a product with circles and introducing additional maps f_3, \dots, f_k which allow us to apply Corollary 5.2. While Jezierski’s approach is simpler for this particular argument, it relies strongly on the fact that there is a standard embedding of T^n inside T^m . Since we do not use this fact, we hope that our strategy may be useful in other settings.

Proof of Lemma 5.3. First we consider the case where $m = (k - 1)n$ for some k . In this case we can choose matrices A_3, \dots, A_k so that

$$\det \begin{pmatrix} A_2 - A_1 \\ \vdots \\ A_k - A_1 \end{pmatrix} \neq 0,$$

and so there are maps f_3, \dots, f_k with $N(f_1, \dots, f_k) \neq 0$. By Corollary 5.2 this implies that $N_G(f_1, f_2) \neq 0$.

For general m , let $k > 2$ be an integer with $(k - 1)n \geq m$. Then define $g_1, g_2 : T^{(k-1)n} \rightarrow T^n$ as $g_i = f_i \circ \sigma$, where $\sigma : T^{(k-1)n} \rightarrow T^m$ is the projection onto the first m coordinates (viewing the torus as a product of circles). Let B_i be the $(k - 1)n \times n$ integer matrix representing g_i . As a matrix, B_i is simply A_i with columns of zeros added, and so the rank of $A_2 - A_1$ is the same as that of $B_2 - B_1$.

Our assumption that $A_2 - A_1$ has rank n means that $B_2 - B_1$ has rank n , and so by our first case we have $N_G(g_1, g_2) \neq 0$, and we have a geometrically essential coincidence class $D \subset \text{Coin}(g_1, g_2)$. Let \tilde{f}_i be lifts of f_i , and let $\tilde{g}_i = \tilde{f}_i \circ \tilde{\sigma}$, where $\tilde{\sigma}$ is the projection onto the first m coordinates of $\tilde{T}^{(k-1)n} = \mathbb{R}^{(k-1)n}$. Then \tilde{g}_i is a lift of g_i , and so there is some $\alpha \in \pi_1(T^n)$ with

$$D = p \text{Coin}(\tilde{g}_1, \alpha \tilde{g}_2).$$

Let $x \in \sigma(D)$, so there is some y with $\sigma(y) = x$ and a lift $\tilde{y} = p^{-1}(y)$ with $\tilde{g}_1(\tilde{y}) = \alpha \tilde{g}_2(\tilde{y})$, and thus $\tilde{f}_1(\tilde{\sigma}(\tilde{y})) = \alpha \tilde{f}_2(\tilde{\sigma}(\tilde{y}))$. Since $p(\tilde{\sigma}(\tilde{y})) = \sigma(y) = x$, we have $x \in p \text{Coin}(\tilde{f}_1, \alpha \tilde{f}_2)$. This set $C = p \text{Coin}(\tilde{f}_1, \alpha \tilde{f}_2)$ is a coincidence class of (f_1, f_2) , and we have shown that $\sigma(D) \subset C$.

Recall that we are trying to show that $N_G(f_1, f_2) \neq 0$. For the sake of a contradiction, assume that $N_G(f_1, f_2) = 0$, so that each class (in particular the class C) is removable by homotopy. This means there are maps $f'_i \simeq f_i$ with lifts $\tilde{f}'_i \simeq \tilde{f}_i$ such that

$$p \text{Coin}(\tilde{f}'_1, \alpha \tilde{f}'_2) = \emptyset. \tag{1}$$

Let $g'_i = f'_i \circ \sigma$ and $\tilde{g}'_i = \tilde{f}'_i \circ \tilde{\sigma}$. Then $g'_i \simeq g_i$ and $\tilde{g}'_i \simeq \tilde{g}_i$. Since D is geometrically essential, the related class $p \text{Coin}(\tilde{g}'_1, \alpha \tilde{g}'_2)$ must be nonempty. Take some y in this class and a point $\tilde{y} \in p^{-1}(y)$ with $\tilde{g}'_1(\tilde{y}) = \alpha \tilde{g}'_2(\tilde{y})$. Then we have $\tilde{f}'_1(\tilde{\sigma}(\tilde{y})) = \alpha \tilde{f}'_2(\tilde{\sigma}(\tilde{y}))$, and so

$$\sigma(y) \in p \text{Coin}(\tilde{f}'_1, \alpha \tilde{f}'_2),$$

which contradicts (1). \square

The above provides the key step in the proof of the following complete computation of the geometric Nielsen number on tori. The argument in codimension zero given in [4] carries without modification in arbitrary codimension, except for this step. Jezierski presents the details, along with a different argument substituting for Lemma 5.3 in [5].

Theorem 5.4. *Let $f_1, f_2 : T^m \rightarrow T^n$ be maps of tori with induced matrices A_1, A_2 . If $A_2 - A_1$ has rank n , then*

$$N_G(f_1, f_2) = \#\pi_0(\text{Coin}(f_1, f_2)) = \#\text{coker}(A_2 - A_1),$$

where $\text{coker}(A_2 - A_1) = \mathbb{Z}^n / \text{im}(A_2 - A_1)$, the cokernel of $A_2 - A_1$ when viewed as a homomorphism $\mathbb{Z}^m \rightarrow \mathbb{Z}^n$.

As a brief illustration, we compute the geometric Nielsen coincidence numbers for the maps $f, g, h : T^2 \rightarrow S^1$ from Example 1.2.

Example 1.2. (Continued.) Recall our maps were given by matrices:

$$A_f = \begin{pmatrix} 3 & 1 \\ 0 & 0 \end{pmatrix}, \quad A_g = \begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix}, \quad A_h = \begin{pmatrix} -1 & -1 \\ 0 & 0 \end{pmatrix}.$$

For each pair of matrices the rank assumption of Theorem 5.4 holds.

It is straightforward to compute the required cokernels. We have $A_g - A_f = \begin{pmatrix} 3 & -1 \\ 0 & 0 \end{pmatrix}$, and so $\text{im}(A_g - A_f) = \mathbb{Z}$, since $\text{gcd}(3, -1) = 1$. Thus the cokernel is trivial and so $N_G(f, g) = 1$. A similar computation shows that $N_G(g, h) = 1$. For (f, h) , we have $A_h - A_f = \begin{pmatrix} 2 & -2 \\ 0 & 0 \end{pmatrix}$, and so $\text{im}(A_h - A_f) = 2\mathbb{Z}$. Thus the cokernel is $\mathbb{Z}/2\mathbb{Z}$, and so $N_G(f, h) = 2$.

By Theorem 5.4 these Nielsen numbers should agree with the number of path components of the coincidence sets. Counting components in Fig. 1 indeed gives $\#\pi_0(\text{Coin}(f, g)) = \#\pi_0(\text{Coin}(g, h)) = 1$ and $\#\pi_0(\text{Coin}(f, h)) = 2$.

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