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## Synthesising CCS bisimulation using graph rewriting <sup>☆</sup>

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### ABSTRACT

The paper presents a case study on the synthesis of labelled transition systems (LTSs) for process calculi, choosing as testbed Milner's Calculus of Communicating System (CCS).

The proposal is based on a graphical encoding: each CCS process is mapped into a graph equipped with suitable *interfaces*, such that the denotation is fully abstract with respect to the usual structural congruence.

Graphs with interfaces are amenable to the synthesis mechanism proposed by Ehrig and König and based on *borrowed contexts* (BCs), an instance of *relative pushouts* originally introduced by Milner and Leifer.

The BC mechanism allows the effective construction of an LTS that has graphs with interfaces as both states and labels, and such that the associated bisimilarity is automatically a congruence.

Our paper focuses on the analysis of the LTS distilled by exploiting the encoding of CCS processes: besides offering major technical contributions towards the simplification of the BC mechanism, a key result of our work is the proof that the bisimilarity on processes obtained via BCs coincides with the standard strong bisimilarity for CCS.

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## 1. Introduction

The dynamics of a computational device is often defined by a *reduction system* (RS): a set, representing the space of possible states of the device; and a relation among these states, representing the possible evolutions of the device. This is e.g. the case of the paradigmatic functional language, the  $\lambda$ -calculus: the  $\beta$ -reduction rule  $(\lambda x.M)N \Rightarrow M[N/x]$  models the application of a functional process  $\lambda x.M$  to the actual argument  $N$ , and the reduction relation is then obtained by freely instantiating and contextualising the rule.

While RSs have the advantage of conveying the semantics with relatively few compact rules, their main drawback is poor compositionality, in the sense that the dynamic behaviour of arbitrary standalone terms can be interpreted only by inserting them in the appropriate context, where a reduction may take place. In fact, simply using the reduction relation for defining equivalences between components fails to obtain a compositional framework, and in order to recover a suitable congruence it is often necessary to verify the behaviour of single components under any viable execution context. This is the road leading from contextual equivalences for the  $\lambda$ -calculus to barbed and dynamic equivalences for the  $\pi$ -calculus. In these approaches, though, proofs of equivalence are often tedious and involuted, and they are left to the ingenuity of the researcher.

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A standard way out of the impasse, reducing the complexity of such analyses, is to express the behaviour of a computational device by a *labelled transition system* (LTS). Should the label associated to a component evolution faithfully express how that component might interact with the whole system, it would be possible to analyse the behaviour of a single component without considering all contexts. Thus, a “well-behaved” LTS represents a fundamental step towards a compositional semantics of the computational device. It is not always straightforward, though, to identify the right “label” that should be distilled, starting from a previously defined RS. Indeed, after Milner’s proposal of an alternative semantics for the  $\pi$ -calculus [29] inspired by the CHAM paradigm [4] and based on reactive rules modulo a structural congruence on processes, an ongoing stream of research has been investigating the relationship between the LTS semantics for process calculi and their more abstract RS semantics.

Early attempts by Sewell [34] devised a strategy for obtaining an LTS from an RS by adding contexts as labels on transitions. The technique was refined by Leifer and Milner [26] who introduced *relative pushouts* (RPOs) in order to capture the notion of *minimal context* activating a reduction. The generality of this proposal (and its bicategorical formulation due to Sassone and Sobocinski [32]) allows it to be applied to a large class of formalisms. More importantly, such attempts share the basic property of synthesising a congruent bisimulation equivalence, thus ensuring that the resulting LTS semantics is compositional. However, for the time being there are few case studies which either involve rich calculi, or succeed in making comparisons with standard behavioural equivalences. To tackle a fully fledged case study is the main aim of this paper.

Our starting point for the synthesis of an LTS are the graphical techniques proposed for modelling the reduction semantics of nominal calculi in [16,19]: processes are encoded in *graphs with interfaces*, an instance of *cospan categories* [17], and process reduction is simulated by *double-pushout* (DPO) rewriting [1]. Since the category of cospans over graphs admits RPOs [33], its choice as the domain of the encoding for nominal calculi ensures that the synthesis of an LTS can be performed, and that a *compositional* observational equivalence is obtained.

The key technical point is the use of the *borrowed context* (BC) technique [13] as a tool to equip graph transformation in the DPO style with an LTS semantics. Graphs with interfaces are amenable to the synthesis mechanism based on BCS (which are in turn an instance of RPOs): this allows the construction of an LTS that has graphs with interfaces as both states and labels, and such that the associated bisimilarity is automatically a congruence. Exploiting the BC technique, also large case studies can be taken into account: until now the difficulties in the presentation of the LTSS obtained via the use of RPOs forced to restrict the analysis to simple case studies, relying either on standard (ground) term rewriting [26], or on extremely simplified variants of process calculi [32]: more elaborate proposals using bigraphs [30,23] result in infinitely branching LTSS, banning recursive processes or failing to capture standard bisimilarity.

Summing up, the aim of our work is straightforward: to present a fully fledged case study on the synthesis of LTSS for process calculi, choosing as testbed Milner’s Calculus of Communicating Systems (CCS). More precisely, the paper focuses on the analysis of the LTS obtained by exploiting the BC technique and the encoding of CCS (recursive) processes into unstructured graphs, along the lines of the methodology sketched above. The key result is the proof that the bisimilarity on (recursive) processes obtained via BCS coincides with the standard strong bisimilarity for CCS. In order to accomplish such a proof, we introduce major technical contributions towards the simplification of the BC synthesis mechanism. Indeed, we believe that our work may offer novel insights on the synthesis of LTSS, as well as providing further evidence of the adequacy of graph-based formalisms for system design and verification.

The structure of the paper is as follows: Section 2 recalls the syntax as well as the RS and the LTS semantics of Milner’s CCS. Section 3 introduces graphs with interfaces and Section 4 illustrates the encoding of (recursive) processes into such graphs. Then Section 5 introduces DPO rewriting on graphs with interfaces as well as the BC technique for distilling an LTS. A graph rewriting system for CCS that is able to simulate process reduction is defined in Section 6. Finally, Section 7 presents our use of the graphical encoding for providing an alternative LTS semantics for CCS by means of the BC approach: after describing some technical results concerning the BC synthesis mechanism (Sections 7.2 and 7.3), the induced bisimulation on (encodings of recursive) processes is shown to coincide with the standard CCS strong bisimulation (Section 7.4). Section 8 details a comparison between our BC-based solution and the encoding of CCS using bigraphs proposed by Milner, while Section 9 tackles the use of our approach for modeling calculi with name mobility (such as fusion and  $\pi$ -calculus). The final section outlines future research avenues, while the appendices contain the proofs and most of the categorical notions used in the paper.

This paper is an extended version of [5].

## 2. Two operational semantics for CCS

This section introduces CCS [28] and two alternative operational semantics: the classical LTS semantics and the reduction semantics.

**Definition 1** (*processes*). Let  $\mathcal{N}$  be a set of *names* (ranged over by  $a, b, c, \dots$ );  $\tau \notin \mathcal{N}$  an *invisible name*;  $\Delta = \{a, \bar{a} \mid a \in \mathcal{N}\} \cup \{\tau\}$  a set of *prefixes* (ranged over by  $\delta$ ); and finally,  $X$  a set of *agent variables* (ranged over by  $x, y, z, w, \dots$ ). An *open process*  $P$  is a term generated by the (mutually recursive) syntax.

$$P ::= M, (\nu a)P, P_1 \mid P_2, \text{rec}_X.P \qquad M ::= 0, \delta.P, M_1 + M_2, \delta.X$$

A *process* is a term such that each occurrence of an agent variable  $x$  is in the scope of a  $\text{rec}_x$ -operator. We let  $P, Q, R, \dots$  range over the set  $\mathcal{P}$  of processes, and  $M, N, O \dots$  range over the set  $\mathcal{S}$  of *summations*.

The standard definition for the set of free names of a process  $P$ , denoted by  $\text{fn}(P)$ , is assumed. Similarly for  $\alpha$ -conversion with respect to the *restriction* operators  $(\nu a)P$ : the name  $a$  is bound in  $P$ , and it can be freely  $\alpha$ -converted.

The classical observational semantics, *bisimilarity*, is given over an inductively defined *labelled transition system* (LTS). We spell out the LTS, and denote by  $\sim_{\text{CCS}}$  the standard strong bisimilarity, without formally introducing it.

**Definition 2** (*labelled transition system*). The *transition relation* for processes is the relation  $L_{\text{CCS}} \subseteq \mathcal{P} \times \Delta \times \mathcal{P}$  inductively generated by the set of axioms and inference rules below (where  $P \xrightarrow{\delta} Q$  means that  $(P, \delta, Q) \in L_{\text{CCS}}$ ).

$$\frac{}{\delta.P \xrightarrow{\delta} P} \quad \frac{P \xrightarrow{a} Q, R \xrightarrow{\bar{a}} S}{P \mid R \xrightarrow{\tau} Q \mid S} \quad \frac{P \xrightarrow{\delta} Q}{(\nu a)P \xrightarrow{\delta} (\nu a)Q} \quad a \notin \text{fn}(\delta.0)$$

$$\frac{P \xrightarrow{\delta} Q}{P \mid R \xrightarrow{\delta} Q \mid R} \quad \frac{P \xrightarrow{\delta} Q}{P + R \xrightarrow{\delta} Q} \quad \frac{P[\text{rec}_x.P/x] \xrightarrow{\delta} Q}{\text{rec}_x.P \xrightarrow{\delta} Q}$$

As usual, we avoided presenting the symmetric counterparts of those three inference rules involving the parallel and sum operators; moreover, the substitution operator is supposed not to capture any name, possibly through  $\alpha$ -conversion.

The behavior of a process  $P$  can also be described as a relation over *abstract processes*, obtained by closing a set of basic rules under structural congruence.

**Definition 3** (*structural congruence*). The *structural congruence* for processes is the relation  $\equiv \subseteq \mathcal{P} \times \mathcal{P}$ , closed under process construction and  $\alpha$ -conversion, inductively generated by the set of axioms below.

$$P \mid Q \equiv Q \mid P \quad P \mid (Q \mid R) \equiv (P \mid Q) \mid R \quad P \mid 0 \equiv P$$

$$M + N \equiv N + M \quad M + (N + O) \equiv (M + N) + O \quad M + 0 \equiv M$$

$$(\nu a)(\nu b)P \equiv (\nu b)(\nu a)P \quad (\nu a)(P \mid Q) \equiv P \mid (\nu a)Q \quad \text{for } a \notin \text{fn}(P) \quad (\nu a)0 \equiv 0$$

$$(\nu a)(M + \delta.P) \equiv M + \delta.(\nu a)P \quad \text{for } a \notin \text{fn}(M + \delta.0) \quad \text{rec}_x.P \equiv P[\text{rec}_x.P/x]$$

**Definition 4** (*reduction semantics*). The *reduction relation* for processes is the relation  $R_{\text{CCS}} \subseteq \mathcal{P} \times \mathcal{P}$ , closed under the structural congruence  $\equiv$ , inductively generated by the set of axioms and inference rules below (where  $P \rightarrow Q$  means that  $(P, Q) \in R_{\text{CCS}}$ ).

$$\frac{}{a.P + M \mid \bar{a}.Q + N \rightarrow P \mid Q} \quad \frac{}{\tau.P + M \rightarrow P}$$

$$\frac{P \rightarrow Q}{(\nu a)P \rightarrow (\nu a)Q} \quad \frac{P \rightarrow Q}{P \mid R \rightarrow Q \mid R}$$

The main difference with respect to the standard reduction semantics for CCS is the axiom schema concerning the distributivity of the restriction operators with respect to the prefix operators, even if they have already been considered in the literature, see e.g. [15]. These equalities do not change substantially the reduction semantics, and they indeed hold in all the observational equivalences we are aware of. In particular, two congruent processes are also strongly bisimilar. Most importantly, they allow a simplified presentation of the graphical encoding: we refer the reader to [19] for a more articulate analysis.

The LTS semantics specifies how a system, seen as a single component, may interact with the environment, and it allows the definition of an observational equivalence by means of bisimilarity. On the other hand, the RS semantics specifies how a system, seen as a whole, evolves. The latter is usually more natural, but it does not take in account the interactions, and consequently, does not provide any “good” notion of behavioral equivalence. The main aim of the theory of reactive systems

proposed by Leifer and Milner in [26] is to systematically derive an LTS from an RS semantics. In this paper, exploiting a graphical encoding of processes, we derive an LTS from a graph rewriting semantics. More precisely, in the next sections we introduce a graphical encoding of CCS processes which preserves the reduction semantics. The encoding is then used to distill an LTS with pairs of graph morphisms as labels: the main result of the paper states that the resulting bisimilarity coincides with the standard strong bisimilarity.

**Example 1.** We introduce now a very simple example, the process defined as  $rec_{c_x}.(va)(\bar{a}.x \mid (a.0 + b.0))$ : it is compact, yet it contains all the operators of the calculus, and thus, it seems to us well-suited for illustrating both the labelled and the reduction semantics of the calculus, as well as the graphical encoding of processes presented in the next sections. The sub-process on the left is ready to send via (a) channel (named)  $a$ , and the sub-process on the right to receive on the same channel. Thus, after an unfolding step for the recursion operator, a possible commitment of the process consists of a synchronization on  $a$ , and the resulting process is structurally congruent to the original one. Note that, due to restriction, only the synchronisation is available for the two processes on channel  $a$ . The sub-process on the right, though, is also able to perform a single receive action on channel  $b$ , resulting in the terminal state 0 for the labelled semantics.

### 3. Graphs and their extension with interfaces

We recall a few definitions concerning (typed hyper-)graphs, and their extension with *interfaces*, referring to [9] for a more detailed introduction.

**Definition 5 (graphs).** A (hyper-)graph is a four-tuple  $(V, E, s, t)$  where  $V$  is the set of nodes,  $E$  is the set of edges and  $s, t : E \rightarrow V^*$  are the source and target functions. A (hyper-)graph morphism is a pair of functions  $\langle f_V, f_E \rangle$  preserving the source and target functions.

The corresponding category is denoted by **Graph**. However, we often consider *typed graphs* [10], i.e., graphs labelled over a structure that is itself a graph.

**Definition 6 (typed graphs).** Let  $T$  be a graph. A *typed graph*  $G$  over  $T$  is a graph  $|G|$ , together with a graph morphism  $t_G : |G| \rightarrow T$ . A *morphism* between  $T$ -typed graphs  $f : G_1 \rightarrow G_2$  is a graph morphism  $f : |G_1| \rightarrow |G_2|$  consistent with the typing, i.e., such that  $t_{G_1} = t_{G_2} \circ f$ .

The category of graphs typed over  $T$  is denoted  $T$ -**Graph**: it coincides with the slice category **Graph**  $\downarrow T$ . In the following, a chosen type graph  $T$  is assumed.

In order to inductively define the encoding for processes, we need to provide operations over typed graphs. The first step is to equip them with suitable “handles” for interacting with an environment.

**Definition 7 (graphs with interfaces).** Let  $J, K$  be typed graphs. A *graph with input interface  $J$  and output interface  $K$*  is a triple  $\mathbb{G} = \langle j, G, k \rangle$ , for  $G$  a typed graph and  $j : J \rightarrow G, k : K \rightarrow G$  the *input* and *output* morphisms.

Let  $\mathbb{G}$  and  $\mathbb{H}$  be graphs with the same interfaces. An *interface graph morphism*  $f : \mathbb{G} \Rightarrow \mathbb{H}$  is a typed graph morphism  $f : G \rightarrow H$  between the underlying graphs that preserves the input and output morphisms.

We let  $J \xrightarrow{j} G \xleftarrow{k} K$  denote a graph with interfaces  $J$  and  $K$ .<sup>1</sup> If the interfaces  $J, K$  are *discrete*, i.e., they contain only nodes, we simply represent them by sets. Moreover, if  $K$  is the empty set, we often denote a graph with interfaces simply as a graph morphism  $J \rightarrow G$ . In order to define our encoding of processes, we introduce two binary operators on graphs with discrete interfaces.

**Definition 8 (two composition operators).** Let  $\mathbb{G} = I \xrightarrow{j} G \xleftarrow{k} K$  and  $\mathbb{G}' = K' \xrightarrow{j'} G' \xleftarrow{k'} J'$  be graphs with discrete interfaces. Then, their *sequential composition* is the graph with discrete interfaces  $\mathbb{G} \circ \mathbb{G}' = I \xrightarrow{j''} G'' \xleftarrow{k''} J'$ , for  $G''$  the disjoint union  $G \uplus G'$ , modulo the equivalence on nodes induced by  $k(x) = j'(x)$  for all  $x \in N_{G'}$ , and  $j'', k''$  the uniquely induced arrows.

Let  $\mathbb{G} = J \xrightarrow{j} G \xleftarrow{k} K$  and  $\mathbb{H} = J' \xrightarrow{j'} H \xleftarrow{k'} K'$  be graphs with discrete, compatible interfaces.<sup>2</sup> Then, their *parallel composition* is the graph with discrete interfaces  $\mathbb{G} \otimes \mathbb{H} = (J \cup J') \xrightarrow{j''} V \xleftarrow{k''} (K \cup K')$ , for  $V$  the disjoint union  $G \uplus H$ , modulo the equivalence on nodes induced by  $j(x) = j'(x)$  for all  $x \in N_J \cap N_{J'}$  and  $k(y) = k'(y)$  for all  $y \in N_K \cap N_{K'}$ , and  $j'', k''$  the uniquely induced arrows.

<sup>1</sup> With an abuse of notation, we sometimes refer to the image of the input and output morphisms as inputs and outputs, respectively. More importantly, in the following we often refer implicitly to a graph with interfaces as the representative of its isomorphism class, still using the same symbols to denote it and its components.

<sup>2</sup> That is, any node in  $N_J \cap N_{J'}$  has the same type in  $J$  and  $J'$  (similarly for  $N_K \cap N_{K'}$ ).



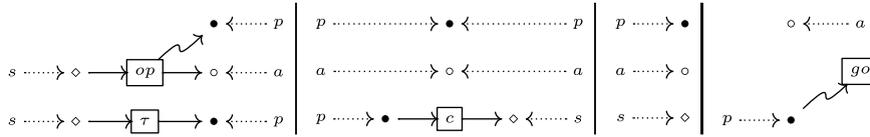


Fig. 3. Graphs  $op_a$  (for  $op \in \{rcv, snd\}$ ) and  $\tau$ ;  $id_p, id_a$ , and  $c$ ;  $0_p, 0_a$ , and  $0_s$ ;  $v_a$  and  $go$  (from left to right and top to bottom).

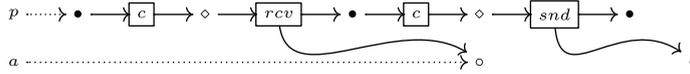


Fig. 4. Encoding for both  $[[ (v b) a . \bar{b} . 0 ] ]_{[a]}^p$  and  $[[ a . (v b) . \bar{b} . 0 ] ]_{[a]}^p$ .

**Definition 9** (encoding for finite processes). Let  $P$  be a finite process, and let  $\Gamma$  be a set of names, such that  $\text{fn}(P) \subseteq \Gamma$ . The (mutually recursive) encodings  $[[P]]_{\Gamma}^p$  and  $[[M]]_{\Gamma}^s$ , mapping a process  $P$  or  $M$  into a graph with interfaces, are defined by structural induction according to the rules below.

$$\begin{aligned}
 [[M]]_{\Gamma}^p &= \begin{cases} 0_p \otimes 0_{\Gamma} & \text{if } \text{fn}(M) = \emptyset \\ (c \otimes id_{\Gamma}) \circ [[M]]_{\Gamma}^s & \text{otherwise} \end{cases} \\
 [[(v a) P]]_{\Gamma}^p &= \begin{cases} [[P]]_{\Gamma}^p & \text{if } a \notin \text{fn}(P) \\ (id_p \otimes v_b \otimes id_{\Gamma}) \circ [[P\{^b/a\}]]_{\{b\} \cup \Gamma}^p & \text{for } b \notin \Gamma \\ \text{otherwise} & \end{cases} \\
 [[P \mid Q]]_{\Gamma}^p &= [[P]]_{\Gamma}^p \otimes [[Q]]_{\Gamma}^p \\
 [[M + N]]_{\Gamma}^s &= [[M]]_{\Gamma}^s \otimes [[N]]_{\Gamma}^s \\
 [[0]]_{\Gamma}^s &= 0_s \otimes 0_{\Gamma} \\
 [[\tau . P]]_{\Gamma}^s &= (\tau \otimes id_{\Gamma}) \circ [[P]]_{\Gamma}^p \\
 [[a . P]]_{\Gamma}^s &= (rcv_a \otimes id_{\Gamma}) \circ [[P]]_{\Gamma}^p \\
 [[\bar{a} . P]]_{\Gamma}^s &= (snd_a \otimes id_{\Gamma}) \circ [[P]]_{\Gamma}^p
 \end{aligned}$$

Note the conditional rule for the mapping of  $[[M]]_{\Gamma}^p$ . This is required by the use of  $0$  as the neutral element for both the parallel and the non-deterministic operator: in fact, the syntactical requirement  $\text{fn}(M) = \emptyset$  coincides with the semantical constraint  $M \equiv 0$ .

The mapping is well-defined, since the resulting graph expression is well-formed; moreover, the encoding  $[[P]]_{\Gamma}^p$  is a graph with interfaces  $(\{p\} \cup \Gamma, \emptyset)$ . Our encoding is sound and complete (even if not surjective), as stated by the proposition below (adapted from [16]).

**Proposition 1.** *Let  $P, Q$  be finite processes, and let  $\Gamma$  be a set of names, such that  $\text{fn}(P) \cup \text{fn}(Q) \subseteq \Gamma$ . Then,  $P \equiv Q$  if and only if  $[[P]]_{\Gamma}^p = [[Q]]_{\Gamma}^p$ .*

Note in particular how the lack of restriction operators is dealt with simply by manipulating the interfaces, even if the price to pay is the presence of “floating” axioms for prefixes, as shown in Fig. 4.

#### 4.1. Tackling recursive processes

In order to show how recursive processes can be encoded as suitable infinite graphs, the first step is to consider a (co)limit construction on graphs.

**Definition 10** (colimit of an  $\omega$ -chain). Let  $\omega = \mathbb{G} = \mathbb{G}_0 \rightarrow \mathbb{G}_1 \rightarrow \mathbb{G}_2 \dots$  be a chain of injective graph morphisms. Then, the colimit  $\omega$  is a graph with interfaces  $\mathbb{H}$  and a family  $f_i : \mathbb{G}_i \rightarrow \mathbb{H}$  of injective graph morphisms, making the diagram commute.

Clearly, a colimit always exists, and it is uniquely defined, up-to isomorphism. In the following, we postulate a choice for colimits. Hence, in order to encode recursive processes as infinite graphs, a colimit construction is performed.

**Definition 11** (recursive encoding). Let  $P[x]$  be an open process, such that the single process variable  $x$  may occur free in  $P$ . Let  $\omega_{P[x]} = \{[[P_i]]_{\Gamma}^p \mid i \in \mathbb{N}\}$  be the chain such that  $P_0 = P[x/x]$  and  $P_{i+1} = P[x/P_i]$ , with (a choice of) the induced injective graph morphisms. Then,  $[[rec_x . P]]_{\Gamma}^p$  denotes the colimit of  $\omega_{P[x]}$ .

In other terms, each open process  $P[x]$  defines a continuous functor on the graphs with interfaces  $(\{p\} \cup \Gamma, \emptyset)$ , for each set of names  $\Gamma$  such that  $\text{fn}(P) \subseteq \Gamma$ , and the colimit is thus calculated evaluating the chain in the standard way.

Of course, two recursive processes may be mapped to isomorphic graphs with interfaces, even if they are not structurally congruent, nor can be unfolded to the same expression. Nevertheless, the extended encoding is clearly still sound.

## 5. On graphs with interfaces and borrowed contexts

This section introduces the *double-pushout* (DPO) approach to the rewriting of graphs with interfaces and its extension with *borrowed contexts* (BCs). In particular, rewriting is defined only on those graphs having as output interface the empty graph  $\emptyset$  (concisely represented as  $J \rightarrow G$ ).

**Definition 12** (*graph production*). A  $T$ -typed graph production is a span  $L \xleftarrow{l} I \xrightarrow{r} R$  with  $l$  mono in  $T\text{-Graph}$ . A typed graph transformation system (GTS)  $\mathcal{G}$  is a tuple  $\langle T, P, \pi \rangle$  where  $T$  is the type graph,  $P$  is a set of production names and  $\pi$  assigns a production name to each  $T$ -typed production.

**Definition 13** (*derivation of graphs with interfaces*). Let  $J \rightarrow G$  and  $J \rightarrow H$  be two graphs with interfaces. Given a production  $p : L \xleftarrow{l} I \xrightarrow{r} R$ , a match of  $p$  in  $G$  is a morphism  $m : L \rightarrow G$ . A direct derivation from  $J \rightarrow G$  to  $J \rightarrow H$  via  $p$  and  $m$  is a diagram as depicted in the right, where (1) and (2) are pushouts and the bottom triangles commute. In this case we write  $J \rightarrow G \Longrightarrow J \rightarrow H$ .

$$\begin{array}{ccccc}
 L & \xleftarrow{l} & I & \xrightarrow{r} & R \\
 m \downarrow & (1) & \downarrow & (2) & \downarrow \\
 G & \xleftarrow{\quad} & C & \xrightarrow{\quad} & H \\
 & \swarrow & \uparrow k & \searrow & \\
 & & J & & 
 \end{array}$$

The morphism  $k : J \rightarrow C$  which makes the left triangle commute is unique, whenever it exists. If such a morphism does not exist, then the rewriting step is not feasible. Moreover, note that the standard DPO derivations can be seen as a special instance of these, obtained considering as interface  $J$  the empty graph.

In these derivations, the left-hand side  $L$  of a production must occur completely in  $G$ . However, in a *borrowed context* (BC) derivation the graph  $L$  might occur partially in  $G$ , since the latter may interact with the environment through  $J$  in order to exactly match  $L$ . Those BCs are the “smallest” extra contexts needed to obtain the image of  $L$  in  $G$ . The mechanism was introduced in [13], in order to derive an LTS from direct derivations, using BCs as labels. The following definition is lifted from [35], extending the original one by including also morphisms that are not necessarily mono. Note that the labels derived in this way correspond to the labels derived via relative pushouts in a suitable category.

**Definition 14** (*rewriting with borrowed contexts*). Given a production  $p : L \xleftarrow{l} I \xrightarrow{r} R$ , a graph with interfaces  $J \rightarrow G$  and a mono  $d : D \rightarrow L$ , we say that  $J \rightarrow G$  reduces to  $K \rightarrow H$  with transition label  $J \rightarrow F \leftarrow K$  via  $p$  and  $d$  if there are graphs  $G^+$ ,  $C$  and additional morphisms such that the diagram below commutes and the squares are either pushouts (PO) or pullbacks (PB), as indicated. In this case we write  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$ , which is also called *rewriting step with borrowed context*.

$$\begin{array}{ccccccc}
 D & \longrightarrow & L & \xleftarrow{\quad} & I & \longrightarrow & R \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 & \text{PO} & & & \text{PO} & & \text{PO} \\
 G & \longrightarrow & G^+ & \xleftarrow{\quad} & C & \longrightarrow & H \\
 \uparrow & & \uparrow & & \uparrow & & \uparrow \\
 J & \longrightarrow & F & \xleftarrow{\quad} & K & \longrightarrow & H \\
 & & \text{PO} & & \text{PB} & & 
 \end{array}$$

Consider the diagram above. The upper left-hand square merges the left-hand side  $L$  and the graph  $G$  to be rewritten according to a partial match  $G \leftarrow D \rightarrow L$ . The resulting graph  $G^+$  contains a total match of  $L$  and can be rewritten as in the standard DPO approach, producing the two remaining squares in the upper row. The pushout in the lower row gives us the borrowed (or minimal) context  $F$  which is missing in order to obtain a total match of  $L$ , along with a morphism  $J \rightarrow F$  indicating how  $F$  should be pasted to  $G$ . Finally, we need an interface for the resulting graph  $H$ , which can be obtained by “intersecting” the borrowed context  $F$  and the graph  $C$  via a pullback.

Note that two pushout complements that are needed in Definition 14, namely  $C$  and  $F$ , may not exist. In this case, the rewriting step is not feasible.

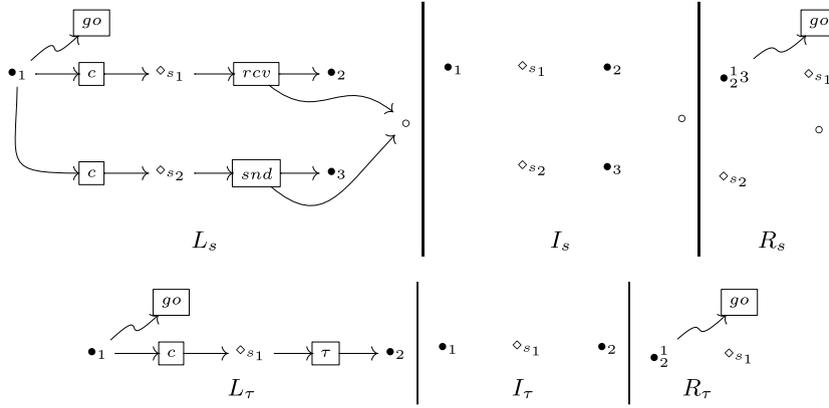


Fig. 5. The productions  $\text{synch}: L_s \leftarrow I_s \rightarrow R_s$  and  $\tau: L_\tau \leftarrow I_\tau \rightarrow R_\tau$ .

### 6. From process reductions to graph rewrites

Following [16], this section introduces the rewriting system  $\mathcal{R}_{\text{CCS}}$ , showing how it simulates the reduction semantics for processes: it is quite simple, since it contains just two rules, depicted in Fig. 5. The first rule models a synchronisation, whereas the second models a  $\tau$ -transition. Note that, in order to disable reduction inside prefixes, we enrich our encoding, attaching an edge  $go$  to the root node of each process. So, let  $\llbracket P \rrbracket_\Gamma^{\mathcal{G}} = \llbracket P \rrbracket_\Gamma^p \otimes go$ . Moreover, for any graph with interface  $\mathbb{G}$ , let  $\text{reach}(\mathbb{G})$  be the graph with the same interface containing only the components that are connected to the root node (i.e., those components which are “reachable” from the node with the edge  $go$ ). As an example look at the bottom graph of Fig. 6. The leftmost  $snd$  edge is not reachable from the root node of the graph (i.e., the node  $p$ ). Analogously the summation and process nodes to which that edge is connected, and the name node placed vertically under the root, are not reachable. All the other components belong to the reachable graph.

It seems noteworthy that two rules suffice for recasting the reduction semantics of the calculus. First of all, the structural rules are taken care of by the fact that graph morphisms allow for embedding a graph into a larger one, thus simulating the closure of reduction by context. Second, no distinct instance of the rules is needed, since graph isomorphism takes care of the closure with respect to structural congruence, as well as of the renaming of the free names.

**Proposition 2** (*reductions vs. rewrites*). *Let  $P$  be a processes, and let  $\Gamma$  be a set of actions such that  $\text{fn}(P) \subseteq \Gamma$ . If  $P \rightarrow Q$ , then  $\mathcal{R}_{\text{CCS}}$  entails a direct derivation  $\llbracket P \rrbracket_\Gamma^{\mathcal{G}} \Longrightarrow G$  via an injective match, such that  $\text{reach}(G) = \llbracket Q \rrbracket_\Gamma^{\mathcal{G}}$ . Vice versa, if  $\mathcal{R}_{\text{CCS}}$  entails a direct derivation  $\llbracket P \rrbracket_\Gamma^{\mathcal{G}} \Longrightarrow G$  via an injective match, then there exists a process  $Q$  such that  $P \rightarrow Q$  and  $\text{reach}(G) = \llbracket Q \rrbracket_\Gamma^{\mathcal{G}}$ .*

The correspondence holds since the  $go$  operator forces the match to be applied only on top, thus forbidding the occurrence of a reduction inside the outermost prefixes. The condition on reachability is needed since, during the reduction, some process components may be discarded, in correspondence to the resolution of non-deterministic choice. The restriction to injective matches is necessary in order to ensure that the two edges labelled by  $c$  can never be merged together. Intuitively, allowing their coalescing would correspond to the synchronization of two summations, i.e., as allowing a reduction  $a.P + \bar{a}.Q \rightarrow P \mid Q$ .

**Example 3** (*rule application*). Let  $P_1$  be the process  $(\nu a)(a.((\nu c)c.0 \mid (\bar{c}.0 + b.0)) \mid (\bar{a}.0 + b.0))$ : it corresponds to the second element of the chain associated to the open term  $P[x] = (\nu a)(a.x \mid (\bar{a}.0 + b.0))$ , according to Definition 11. The graph with interfaces  $\llbracket P_1 \rrbracket_{\{b\}}^{\mathcal{G}}$  is concisely represented in Fig. 6 (top): those nodes in the image of the input morphism are denoted by a label (either  $p$  or the free name of the process,  $b$ ). The application of a rewriting step, resulting in the graph at the bottom, simulates the following reduction, where communication on channel  $a$  takes place.

$$(\nu a)(a.((\nu c)(c.0 \mid (\bar{c}.0 + b.0)) \mid (\bar{a}.0 + b.0)) \rightarrow (\nu c)(c.0 \mid (\bar{c}.0 + b.0)).$$

Restricting to the reachable graph (i.e., removing isolated nodes and the leftmost edge labelled by  $snd$ ) results in the graph  $\llbracket (\nu c)(c.0 \mid (\bar{c}.0 + b.0)) \rrbracket_{\{b\}}^{\mathcal{G}}$ .

### 7. The synthesised transition system

This section contains the main results of our paper. Its aim is to apply the  $\text{bc}$  synthesis mechanism to  $\mathcal{R}_{\text{CCS}}$ , and then to analyse the resulting LTS. Proving along the way a few general results on the technique, we show that the LTS is finitely branching (when quotiented up to isomorphism) and equivalent to a succinct  $\rightarrow_c$  whose transitions have a direct interpre-

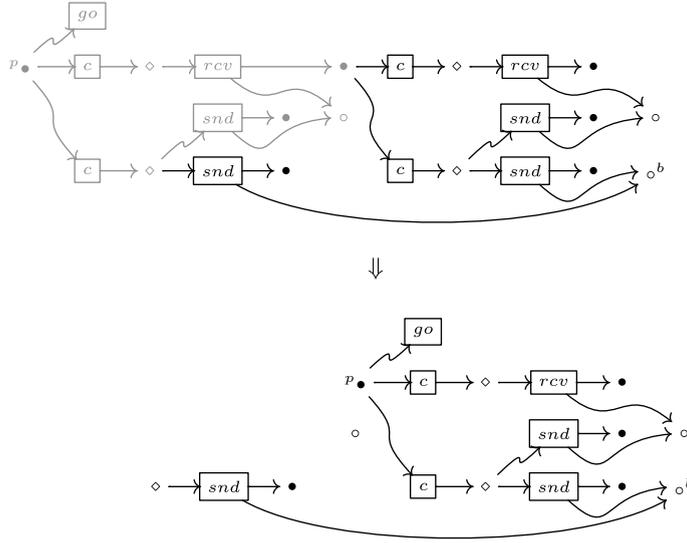


Fig. 6. A rewriting step, simulating a reduction. The grey part denotes the redex.

tation as process transitions. The main theorem of the section states that  $\rightarrow_C$  induces on (the encoding of) processes the standard strong bisimilarity.

### 7.1. Examples of borrowing

This section analyses how the synthesis mechanism can be applied to our running example  $rec_{C_X}.(v a)(\bar{a}.x \mid (a.0 + b.0))$ . Since the encoded graph is infinite, we consider  $J \rightarrow G = \llbracket P_0 \rrbracket_{\{b\}}^g$  where  $P_0 = (v a)(a.0 \mid (\bar{a}.0 + b.0))$  is the first element of the chain associated to the open term  $P[x] = (v a)(a.x \mid (\bar{a}.0 + b.0))$ .

Figs. 11, 12 and 13 show three borrowed contexts derivations for the graph  $J \rightarrow G$ . Here, we discuss the possible transitions with source  $J \rightarrow G$  that are induced by the synchronization rule  $L_s \leftarrow I_s \rightarrow R_s$ . Since for each pair of monos  $D \rightarrow L_s$  and  $D \rightarrow G$  a labelled transition might exist, it is important to precisely characterize all those possible transitions.

First of all, take as  $D$  the entire left-hand side  $L_s$  and note that there is only one possible map into  $G$ . The construction of the BC transition is shown in Fig. 11:  $G^+$  is exactly the same as  $G$ , and  $C$  and  $H$  are as expected, i.e., as shown in the reduction step of Example 3. In this case, the graph does not need any context for the reaction, since the entire left-hand side  $L_s$  occurs in  $G$ , and thus, the label of this transition is the identity context, i.e.,  $id_p \otimes id_b$ . Intuitively, this corresponds to the canonical transition labelled  $\tau$ .

Now take as  $D$  the subgraph  $SND$  in Fig. 7, and the map into the subgraph of  $G$  representing the send action on channel  $b$ . This choice generates the transition illustrated in Fig. 12:  $G^+$  is the graph  $G$  in parallel with a process receiving on channel  $b$ ; as usual,  $C$  is obtained by deleting from the graph  $G^+$  all the components that are in  $L$  but not in  $I$ , and  $H$  contains the continuation of the processes in parallel. Now, the process encoded in  $G$  interacts with the environment: the resulting transition is labelled with a process performing a receive action on channel  $b$ .

Let us now consider the mapping of  $SND$  into the subgraph of  $G$  representing the send action on the restricted channel  $a$  (in Fig. 12 in graph  $G$ , the node corresponding to  $a$  is the node above the node labelled  $b$ ). We have as  $G^+$  the whole  $G$  in parallel with a receive prefix on  $a$ . However, the pushout complement for  $J \rightarrow G \rightarrow G^+$  does not exist, because the name  $a$  is restricted, i.e., it does not appear in the interface  $J$ . Thus, this embedding cannot generate any transition: this corresponds, intuitively, to the impossibility for a process of performing an action on some channel  $a$  under the restriction  $(v a)$ .

Note that transitions without counterpart in the canonical operational semantics of CCS can be derived. Consider as  $D$  only the root node. There is only a trivial mapping to  $G$ , which generates the transition shown in Fig. 13:  $G^+$  is the graph  $G$  in parallel with two processes that synchronize on a fresh channel  $c$ . The resulting graph  $H$  is the starting graph  $G$  together with  $c$ , and the resulting label is the synchronization of two processes on the channel  $c$ . This kind of transitions are often called *not engaged transitions* in the literature of bigraphs [23] (and *independent* in [13]), since they can be performed by any process. They are a standard component of the theory of reactive systems and can be discarded since they do not change the bisimulation relation.

### 7.2. Reducing the borrowing

As shown in Section 7.1, in order to know all the possible transitions originating from a graph with interfaces  $J \rightarrow G$ , all the subgraphs  $D$ 's of  $L_s$  and  $L_\tau$  and all the monos into  $G$  should be analysed. To shorten this long and tedious procedure, we show two pruning techniques for restricting the space of possible  $D$ 's.

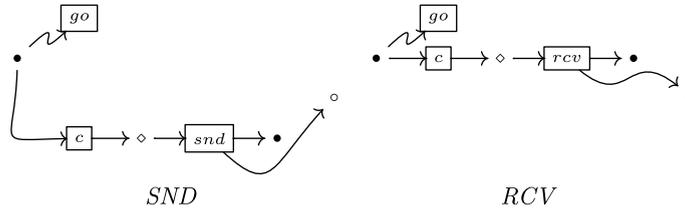


Fig. 7. Two subgraphs of  $L_s$ .

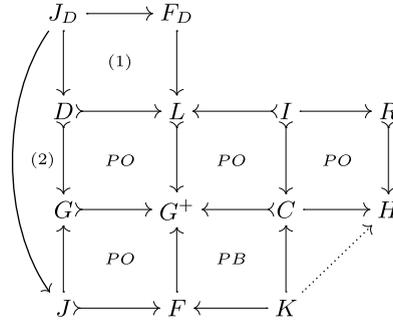


Fig. 8. The bc construction together with square (1) (the initial pushout of  $D \rightarrow L$ ) and square (2) (a commuting square).

First, note that those items of a left-hand side  $L$  that are not in  $D$  have to be glued to  $G$  through  $J$ . Thus, consider a node  $n$  of  $D$  corresponding to a node  $n'$  in  $L$  such that  $n'$  is the source or the target of some edge  $e$  that does not occur in  $D$ . Since the edge  $e$  is in  $L$  but not in  $D$ , it must be added to  $G$  through  $J$ , and thus  $n$  must be also in  $J$ . A node such as  $n$  is called a *boundary node*.

Let us now consider  $SND$ —as shown in Fig. 7—as a subgraph of  $L_s$ . Its root is a boundary node since it has an ingoing edge that occurs in  $L_s$  but not in  $SND$ . Also the name (represented by a node  $o$ ) in  $SND$  is a boundary node, since in  $L_s$  there is an ingoing edge that does not occur in  $SND$ . Hence this node must be mapped to a node occurring in the interface  $J$  of  $G$ . This is exactly the reason why there is a transition embedding  $SND$  into the process sending on  $b$  (shown in Fig. 12) and no transition mapping  $SND$  to the process sending on  $a$ .

The notion of boundary nodes is formally captured by the categorical notion of *initial pushout* (formally defined in Appendix A). Since our category has initial pushouts, the previous discussion is formalized by the proposition below.

**Proposition 3.** Let  $p : L \xleftarrow{l} I \xrightarrow{r} R$  be a production and  $d : D \rightarrow L$  a mono such that square (1) in Fig. 8 is the initial pushout of  $d$ . If a graph  $J \rightarrow G$  can perform a bc rewriting step via  $p$  and  $d$  then there exist a mono  $D \rightarrow G$  and a morphism  $J_D \rightarrow J$  such that square (2) in Fig. 8 commutes.

**Proof.** This trivially follows from Lemmas 2 and 3 in Appendix A.  $\square$

The above proposition holds in any rewriting system. However, we can find for  $\mathcal{R}_{CCS}$  a necessary and sufficient condition to perform a bc rewriting step.

**Corollary 1.** A graph  $J \rightarrow G$  can perform a bc rewriting step in  $\mathcal{R}_{CCS}$  if and only if there exist:

- a mono  $D \rightarrow L$  (where  $L$  is the left hand side of some production in  $\mathcal{R}_{CCS}$ ),
- a mono  $D \rightarrow G$ ,
- a morphism  $J_D \rightarrow J$  (where  $J_D$  is the initial pushout of  $D \rightarrow L$ ) such that square (2) in Fig. 8 commutes.

**Proof.** By Definition 14, a graph  $J \rightarrow G$  can perform a bc rewriting step if and only if there exist a mono  $D \rightarrow G$  and a mono  $D \rightarrow L$  such that the diagram of Definition 14 can be constructed.

Since pushouts and pullbacks always exist, for any choice of  $D \rightarrow L$  and  $D \rightarrow G$  problems might arise only with pushout complements. Now note that for both the rules of  $\mathcal{R}_{CCS}$  the pushout complement  $I \rightarrow L \rightarrow G^+$  always exists because all the nodes of  $L$  are in  $I$ . Thus, we have a transition if and only if there exists the pushout complement  $J \rightarrow G \rightarrow G^+$ . Since our category has initial pushouts, we can always construct a square such as (1) in Fig. 8. By Lemma 2 (in Appendix A), the square  $J_D, F_D, G^+, G$  is an initial pushout of  $G \rightarrow G^+$ . Now, by Lemma 3 (also in Appendix A), we have that the pushout complement of  $J \rightarrow G \rightarrow G^+$  exists if and only if there exists a  $J_D \rightarrow J$  such that square (2) of Fig. 8 commutes.  $\square$

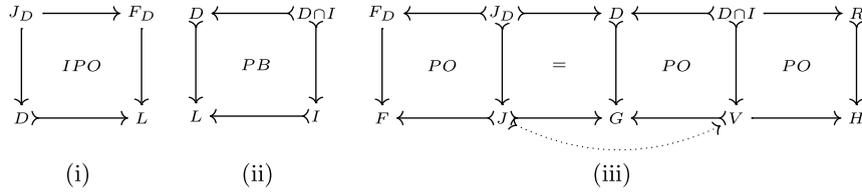


Fig. 9. Diagrams used in the propositions of Section 7.

This corollary allows us to heavily prune the space of all possible  $D$ 's. As far as our case study is concerned, we can exclude all those  $D$ 's having among boundary nodes a summation node (depicted by  $\diamond$ ) since these never appear in the interface  $J$  of a graph resulting from the encoding of some process. For the same reason, we can exclude all those  $D$ 's having among their boundary nodes a continuation process node (any of those two nodes depicted by  $\bullet$  that are not the root) observing that the only process node in the interface  $J$  is the root node.

A further pruning—partially based on proof techniques presented in [13]—is performed by excluding all those  $D$ 's which generate a bc transition that is not relevant for the bisimilarity. In general terms, we may always exclude all the  $D$ 's that contain only nodes, since those  $D$ 's can be embedded in every graph (with the same interface) generating the same transitions. Concerning our case study, those transitions generated by a  $D$  having the root node without the edge labelled *go* are also not relevant. In fact, a graph can perform a bc transition using such a  $D$  if and only if it can perform a transition using the same  $D$  with a *go* edge outgoing from the root. Note indeed that the resulting states of these two transitions only differ for the number of *go* edges attached to the root: the state resulting after the first transition has two *go*'s, the state resulting after the second transition only one. These states are bisimilar, since the number of *go*'s does not change the behavior, as stated by Lemma 12 in Appendix C.

The previous remarks are summed up by the following lemma.

**Lemma 1.** *Bisimilarity on the LTS synthesized by BCs coincides with bisimilarity on the LTS obtained by considering as partial matches  $D$  the graphs  $L_s, SND$  and  $RCV$  (shown in Fig. 7) as subgraphs of  $L_s$ , and the graph  $L_\tau$  as subgraph of  $L_\tau$ .*

**Proof.** Trivial consequence of Proposition 5 presented in the next section.  $\square$

### 7.3. Concise bisimilarity

Exploiting the remarks of the previous section, we introduce a concise LTS containing only those bc transitions that are needed to establish the borrowed bisimilarity. This concise LTS is later used to prove our main theorem on the correspondence between the borrowed and the CCS bisimilarity.

**Proposition 4.** *Let  $p : L \leftarrow I \rightarrow R$  be a production of  $\mathcal{R}_{CCS}$ ;  $d : D \twoheadrightarrow L$  a mono such that in Fig. 9, diagram (i) is the initial pushout of  $d$  and diagram (ii) is a pullback; and  $J \twoheadrightarrow G$  a graph with interfaces. Then there exists a  $K$  such that  $J \twoheadrightarrow G \xrightarrow{J \twoheadrightarrow F \leftarrow K} K \rightarrow H$  via  $p$  and  $d$  if and only if there exists a mono  $D \twoheadrightarrow G$ , a graph  $V$  and a morphism  $J_D \rightarrow J$  such that the left central square of diagram (iii) in Fig. 9 commutes and  $F$  and  $H$  are constructed as illustrated there.<sup>3</sup>*

**Proof.** By Corollary 1, once a production  $p : L \leftarrow I \rightarrow R$  and a mono  $d : D \twoheadrightarrow L$  are chosen, a graph  $J \twoheadrightarrow G$  can perform a bc rewriting step if and only if there exists a mono  $D \twoheadrightarrow G$  making the central square of the diagram (iii) in Fig. 9 commute. Now we have to show that both  $F$  and  $H$  can be constructed as described by the diagram (iii) in Fig. 9 if and only if they can be built by the bc construction.

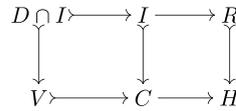
We first prove this for  $F$ . Consider Fig. 8, where square (1) is the initial pushout of  $d : D \twoheadrightarrow L$ .

Note that the square  $J_D, F_D, G^+$  and  $G$  is a pushout, by the composition property of pushouts. Now let  $F$  be the pushout of  $J_D \rightarrow F_D$  and  $J_D \rightarrow J$ , then by the decomposition property of pushouts, also  $J, G, G^+$  and  $F$  is a pushout. This proves that if  $F$  can be built by this new construction, then it can be built also with the standard bc construction.

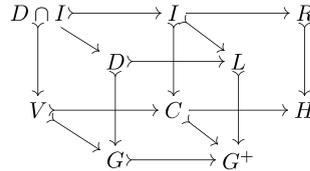
Now we have to show the other implication. Since the morphism  $J \twoheadrightarrow G$  is mono, then there exists only one pushout complement of  $J \twoheadrightarrow G \twoheadrightarrow G^+$ , that is exactly the pushout of  $J_D \twoheadrightarrow F_D$  and  $J_D \twoheadrightarrow J$ . Note that if  $J \twoheadrightarrow G$  is not mono, our construction is still correct, but it is not complete, i.e., some bc transitions might exist that cannot be obtained via the new construction.

Next we show that if  $H$  is built by our construction then  $H$  could be built also with the standard bc construction. The morphism  $D \cap I \rightarrow R$  is divided by  $I$ . Thus we get the following diagram where the two squares are pushouts.

<sup>3</sup> Note that—as detailed later—the morphism  $J \twoheadrightarrow V$  always exists.



Now we can construct  $G^+$  as the pushout of  $D \rightarrow I$  and  $D \rightarrow G$ . There exists a unique morphism  $C \rightarrow G^+$  such that diagram below commutes.



Note that the left and the front face are pushouts, and so is the diagonal (the composition of the two faces). Then the back face is a pushout by construction, and thus, by pushout decomposition, also the right face is a pushout. So we have that also  $H$  is obtained by the standard double-pushout construction.

Now suppose that  $H$  can be constructed by the bc construction. Consider the cube above. The front and the right face are pushouts, and the extreme right square is also a pushout. Now construct the top and the bottom face of the cube as pullbacks respectively of  $I \rightarrow L \leftarrow D$  and  $C \rightarrow G^+ \leftarrow G$ . Now we have that there exists a unique  $D \cap I \rightarrow V$  such that the diagram commutes. In order to prove that this transition can be derived by our construction we need to prove that the back and the left face of the cube are pushouts.

Now we prove that also the back face of the cube is a pullback. In fact, the front face is a pullback, because it is a pushout along mono, and by pullback composition, the square  $D \cap I, I, G^+, G$  is a pullback. Since the bottom face is a pullback by construction, we have, by pullback decomposition, that also the back face is a pullback. Now rotate the whole cube, in such a way that the right face becomes the bottom face. The bottom face is now a pushout along a mono, and hence a Van Kampen square (see Definition 17 in Appendix B). The lateral faces of the rotated cube are all pullbacks (some of them by construction and some others because they are pushouts along monos) and then by the Van Kampen property, also the top face (which is the left face in the depicted diagram) is a pushout. By composition and decomposition of pushouts, it trivially follows that also the back face (of the depicted cube) is a pushout.

Note that the construction of  $H$  is independent of the interface  $J$ , and thus this proof can be used also for those graphs where  $J \rightarrow G$  is not mono.  $\square$

The proposition above is a key step in the definition of a concise LTS. In fact, it tells us how to construct the label  $F$  and the resulting state  $H$ , just starting from a set of minimal rules of the form  $F_D \leftarrow J_D \rightarrow D \leftarrow D \cap I \rightarrow R$ . Given a mono  $D \rightarrow G$ , the resulting state  $H$  can be computed in a DPO step, i.e., all the items of  $G$  matched by  $D$  and not in  $D \cap I$  are removed and replaced by  $R$ . This transition is possible only if there exists a monomorphism  $J_D \rightarrow J$  such that the central diagram commutes. In this case, the resulting label  $F$  is computed as the pushout of the minimal label  $J_D \rightarrow F_D$  and  $J_D \rightarrow J$ .

We thus now define a concise transition system, starting from the set of rules, of the form  $F_D \leftarrow J_D \rightarrow D \leftarrow D \cap I \rightarrow R$ , that are depicted in Fig. 10. The main difference with respect to the standard transition system is that the interface  $J$  of a graph is never enlarged by a transition, but always remains the same.

**Definition 15** (concise transition system). Let the graph  $D$  be either  $SND, RCV, L_s$  or  $L_\tau$ ; and let  $J_D, F_D, D \cap I$  and  $R$  be the graphs defined according to Fig. 10. Then,  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow J} C \rightarrow H$  if and only if a diagram as the one illustrated in Fig. 9 (iii) can be constructed, where the morphism  $J \rightarrow H$  is uniquely induced by  $H \leftarrow V \rightarrow G \leftarrow J$ .

Note that the pushout complement of  $D \cap I \rightarrow D \rightarrow G$  always exists because for each  $D$  as in Fig. 10 all the nodes of  $D \cap I$  are in  $D$ , and thus we have a transition for each  $D \rightarrow G$  and for each  $J_D \rightarrow J$  such that the central diagram commutes. Moreover, the morphism  $J \rightarrow V$  always exists (since  $J$  is discrete and  $V$  contains all nodes of  $G$ ) and it is unique (since  $V \rightarrow G$  is mono).

More precisely, consider either  $SND$  or  $RCV$  as  $D$ : the existence of a morphism  $J_D \rightarrow J$  means that the name used in the synchronisation must occur in the interface. Whenever  $D$  is either  $L_s$  or  $L_\tau$ ,  $J_D$  is the empty graph  $\emptyset$  and thus a morphism always exists. In these two latter cases the label of the transition is always the span of identities on  $J$  and the resulting state is exactly the state obtained from a DPO direct derivation.

In order to grasp the difference between  $\rightarrow$  and  $\rightarrow_C$ , consider the states  $K \rightarrow H$  resulting from the bc transition shown in Fig. 12. The interface  $K$  is the original interface  $J$  plus a summation node ( $\diamond$ ) pointing to an isolated summation node, and a new process node ( $\bullet$ ) pointing to the root. Intuitively, this transition can be described as  $rec_x.(va)(\bar{a}.x \mid (a.0 + b.0)) \xrightarrow{-\bar{b}.P+M} P$ ,

where  $P$  and  $M$  are meta-variables denoting respectively a process and a summation. The concise LTS forgets about  $P$  and  $M$ , and the transition represented in  $\rightarrow_C$  is  $rec_x.(va)(\bar{a}.x \mid (a.0 + b.0)) \xrightarrow{-|b,0}_C 0$ . This operation is performed without changing the resulting bisimilarity, as stated below.

**Proposition 5.** *Let  $\sim$  be the bc bisimilarity, and let  $\sim_C$  be the bisimilarity defined on  $\rightarrow_C$ . Then  $\sim_C$  and  $\sim$  coincide for all those graphs with discrete interfaces belonging to the image of our encoding.*

**Proof.** See appendix.  $\square$

#### 7.4. Strong bisimilarity vs. bc bisimilarity

The previous proposition finally allows for a simple proof of our main theorem, i.e., the correspondence between strong bisimilarity for CCS and the one resulting from the bc construction.

**Theorem 1.** *Let  $P, Q$  be processes, and let  $\Gamma$  be a set of names, such that  $\text{fn}(P) \cup \text{fn}(Q) \subseteq \Gamma$ . Then  $\llbracket P \rrbracket_\Gamma^g \sim \llbracket Q \rrbracket_\Gamma^g$  if and only if  $P \sim_{\text{CCS}} Q$ .*

**Proof.** Here we give just a brief sketch of the proof. First of all, note that the set of inference rules below define the same LTS as that in Definition 2, for  $A \subseteq \mathcal{N}$  a finite set of names,  $Q, R$  and  $S$  processes, and  $M$  and  $N$  summations.

$$\frac{P \equiv (vA)((\tau.Q + M) \mid R)}{P \xrightarrow{\tau} (vA)(Q \mid R)} \quad \frac{P \equiv (vA)((\bar{a}.Q + M) \mid (a.R + N) \mid S)}{P \xrightarrow{\tau} (vA)(Q \mid R \mid S)}$$

$$\frac{P \equiv (vA)((a.Q + M) \mid R) \quad a \notin A}{P \xrightarrow{a} (vA)(Q \mid R)} \quad \frac{P \equiv (vA)((\bar{a}.Q + M) \mid R) \quad a \notin A}{P \xrightarrow{\bar{a}} (vA)(Q \mid R)}$$

The correspondence between the concise LTS  $\rightarrow_C$  and the standard LTS of CCS is then quite evident, since each of those inference rules above exactly corresponds to a rule  $R \leftarrow D \cap I \rightarrow D \leftarrow J_D \rightarrow F_D$  in Fig. 10.

For instance, the third rule above corresponds to the third row  $D = RCV$  in Fig. 10. Indeed,  $P \equiv (vA)((a.Q + M) \mid R)$  if and only if  $RCV$  can be embedded in  $G$  where  $J \rightarrow G$  is  $\llbracket P \rrbracket_\Gamma^g$ . The condition  $a \notin A$  is satisfied if and only if  $a$  occurs in the interface  $J$ , i.e., if and only if there exists a mono  $J_{RCV} \rightarrow J$  such that everything commutes. If such a condition is satisfied a transition in  $\rightarrow_C$  is performed with label  $J \rightarrow F \leftarrow J$  where  $J \rightarrow F$  is (part of) the pushout of  $J_{RCV} \rightarrow J$  and  $J_{RCV} \rightarrow F_{RCV}$ . Since the latter morphism is fixed,  $J \rightarrow F$  depends only on  $J_{RCV} \rightarrow J$ , i.e., it depends only on the name of  $J$  corresponding to the unique name of  $J_{RCV}$ , that here we have called  $a$ . Then, for each graph with interface  $J$  such that  $RCV$  occurs inside, and such that the unique name of  $RCV$  occurs in  $J$  with name  $a$ , a transition is performed with a label depending only on  $a$ . Roughly, this label can be thought of as a context corresponding to  $\llbracket - \mid \bar{a}.0 \rrbracket_\Gamma^g$  with  $J = \{p\} \cup \Gamma$ . The resulting state  $(vA)(Q \mid R)$  does not exactly correspond to the state resulting from  $\rightarrow_C$ , since the latter contains those graphs that represent discarded choices. However, these summations are not connected anymore to the reachable graph and to the  $go$ -edge, and thus they do not influence the behavior of the resulting graph.

The second rule corresponds to the second row  $D = L_S$ . In fact,  $P \equiv (vA)((\bar{a}.Q + M) \mid (a.R + N) \mid S)$  if and only if  $L_S$  can be embedded into  $G$  where  $J \rightarrow G$  is  $\llbracket P \rrbracket_\Gamma^g$ . There are no other conditions on this rule and this is exactly expressed by the fact that  $J_{L_S}$  is the empty graph  $\emptyset$ . The  $\tau$ -label exactly corresponds to the label of  $\rightarrow_C$  given by the span of identities on  $J$ .

Similarly, the fourth and the first rule above correspond to the fourth row  $D = SND$  and first row  $D = L_\tau$  in Fig. 10, respectively.  $\square$

## 8. A comparison with bigraphs

A major contribution in the use of a graphical formalism for automatically distilling bisimulation equivalences out of a graphical encoding of calculi is offered by Milner's bigraphs. In particular, Milner attempts at an encoding of CCS in [30]: this section tries to make a detailed comparison of the two proposals.

Our solution proposes an encoding into standard graphs, and the use of DPO rewriting [1]. Instead, Milner uses the bigraphical framework, where a graph consists of two parts: the *link graph*, describing a “flat” connected structure, and the *place graph*, specifying a tree-like, hierarchical structure. The latter is often used for encoding the term structure of processes.

Dropping this syntactical distinction, and encoding everything into a flat graph structure, enables us to use the much simpler label derivation procedure of [14]; furthermore, we obtain only finitely many labels up to isomorphism (as opposed

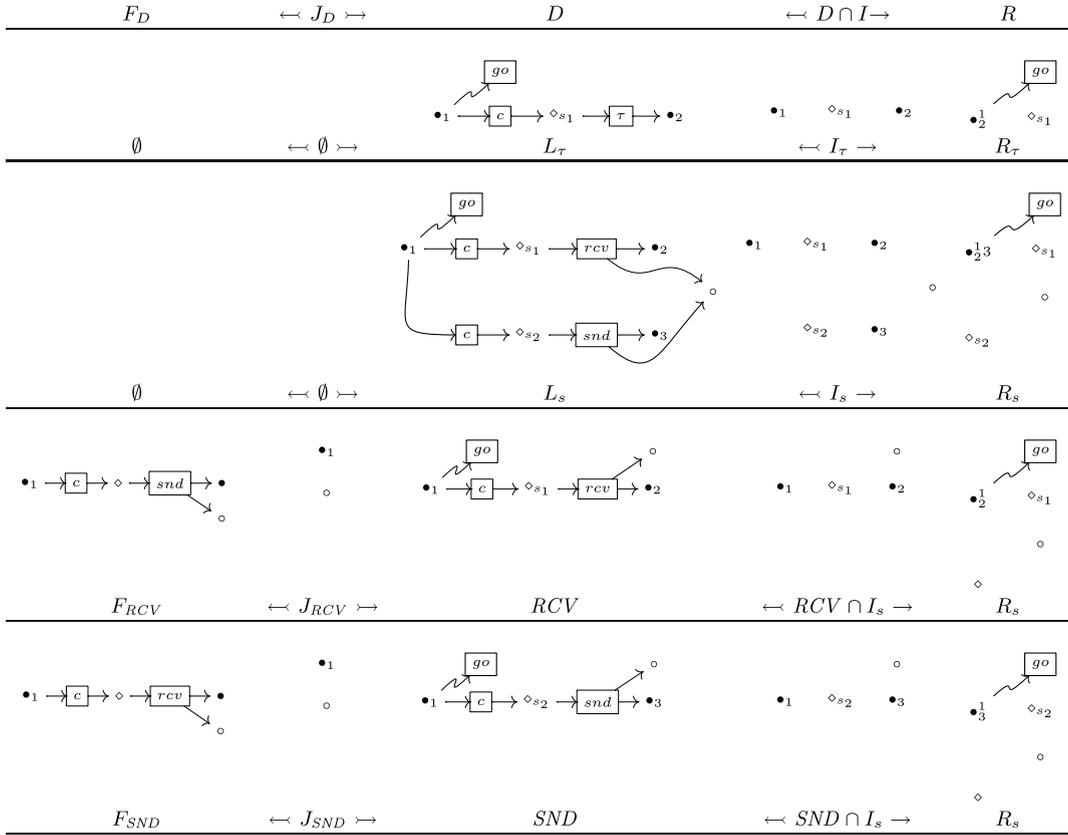


Fig. 10. The derivation rules for the concise LTS ( $\emptyset$  denotes the empty graph).

to infinitely many in Milner’s case) which in addition are closer to the original CCS labels. This is mainly due to the fact that we can represent a prefix without a continuation, i.e. a process with hole of the form  $P[-] = a. -$ , as a label. This is not directly possible in the bigraphical case, which can only handle ground rules, but no non-ground rules (which would be needed to represent such a situation) are not allowed. So, bigraph-induced labels have to be of the form  $a.Q$  for any continuation process  $Q$ . The presence of infinitely many labels (and infinite branching) is of course a drawback that makes actual bisimulation proofs quite involved. Moreover, this ability of expressing *non ground rules*, a feature of our approach that is not present in bigraphs, is fundamental when considering more complex calculi, as detailed later in Section 9.3.

Another important distinction is the fact that our bisimilarity corresponds exactly to CCS bisimilarity, whereas the one in [30] does not. Besides the four rules<sup>4</sup> that we have shown in the proof of Theorem 1, in the latter paper the LTS is generated by the additional, following rule, where  $P\{y/x\}$  denotes the standard (capture-avoiding) substitution of the name  $x$  with  $y$  into  $P$

$$\frac{P \equiv (\nu A)((\bar{a}.Q + M) \mid (b.R + N)) \quad a, b \notin A}{P \xrightarrow{\{a/b\}} (\nu A)((Q \mid R)\{a/b\})}$$

This rule basically says that the environment can merge  $a$  and  $b$  in order to enable the communication. We do not obtain this label since we have *input-linear cospans*, i.e., cospans where the left leg is injective (in contrast to Milner, who basically uses *output-linear cospans*). We argue again about this distinction later on, in Section 9.2.

Another main strength of our proposal is the ability to handle recursive processes, whereas [30] considers only the finite fragment. Another (less important) difference is that in the bigraph setting a specific nil-control is used (controls correspond to edge types in our case), for which we take the empty graph. Hence, our structural congruence contains the rule  $P \mid 0 \equiv P$ , whereas Milner’s does not. Another difference, and a disadvantage of our solution, is that our rules may produce garbage, mainly discarded parts of the non-deterministic choice operator. However, this does not influence bisimulation and it is the price one has to pay for a much smaller LTS (see discussion above).

<sup>4</sup> In [30], there are in reality only three rules because the  $\tau$  prefix is not encoded.

There are also two interesting similarities among the two approaches: first, while parallel composition corresponds exactly to the (disjoint) union of graphs, non-deterministic choice has to be handled in a special way, using specific edges. In Milner’s case this is done by the alt-control, while we use  $c$ -typed edges for the same purpose. Additionally, both settings need the equation  $(\nu a)(M + \delta.P) \equiv M + \delta.((\nu a)P)$  (whenever  $\delta \neq a$ ) in the structural congruence, in order to capture exactly the notion of graph isomorphism. The two processes are also strongly bisimilar, hence, this seems to be a very natural axiom to consider, even if it does not occur in the original version of CCS (nor in the  $\pi$ -calculus).

## 9. Extending the approach to nominal calculi

We believe that the main technical contributions of the paper are the fine-grained analysis of the structure of the labels of the LTS derived by the BC approach, as introduced in [13,14], and the techniques for pruning such an LTS. The choice of CCS as case study reflects the need of a “sanity check”: tackling a more complex calculus would potentially obfuscate those contributions we mentioned above.

Nevertheless, we believe that our paper is the first ever to present a correspondence between the standard strong bisimulation semantics for full CCS and an RPO-induced bisimulation. In order to show its usability, in this section we sketch a few applications of our approach to the semantics of richer calculi.

### 9.1. $\pi$ -Calculus

In [16], the second author introduced a graphical encoding of  $\pi$ -calculus processes. Analogously to our encoding of CCS, each syntactic operator is represented by an hyperedge, while sorts (i.e., processes, summations and names) are represented by nodes. The graphs corresponding to  $\pi$ -processes are substantially trees whose branches share only some nodes representing names. As an example, consider the graph  $J \rightarrow G$  in Fig. 14 corresponding to the  $\pi$ -process  $c(x).\bar{x}b \mid \bar{c}a.a(z)$ . The interface  $J$  contains the free names of the process and the root process node.

The main difference between  $\pi$ -calculus and CCS is the ability of the former of sending and receiving names. This is modeled in [16] by using *in* and *out* hyperedges that are linked not only to the name of the channel where the communication occurs (subject), but also to the communicated name (object). The DPO production  $L_c \leftarrow I_c \longrightarrow R_c$  in Fig. 14 models the communication of processes. This is analogous to the rule *synch* of CCS (Fig. 5), but with an important new feature: the two nodes corresponding to the objects of the communication are coalesced. As an example of communication consider the DPO derivation depicted in Fig. 14. This rewrite step represents the reduction  $c(x).\bar{x}b \mid \bar{c}a.a(z) \rightarrow \bar{a}b \mid a(z)$ . Note that the node corresponding to the name  $x$  (i.e., the object of the input) and the node corresponding to the name  $a$  (i.e., the object of the output) are coalesced. For this reason, the resulting graph corresponds to the process  $\bar{a}b \mid a(z)$  (abstracting away from the garbage) and it can perform a further communication over the channel  $a$ .

In [16], the second author shows that this encoding is sound and complete with respect to structural congruence and the DPO derivations exactly mimic the reduction semantics. In this subsection we give an intuition of what happens when considering borrowed context derivations.

Analogously to what we have shown for CCS, the internal transitions (corresponding to  $\tau$  moves) exactly coincide with standard reductions and are labeled with identity contexts. In the case of input transitions, the situation is slightly more complex due to the object of the communication. The following rule intuitively describes all the possible BC derivations corresponding to input transitions, where  $P\{y/x\}$  again denotes the (capture-avoiding) substitution of the name  $x$  with  $y$  in the process  $P$ .

$$\frac{P \equiv (\nu A)((a(x).Q + M) \mid R) \quad a, y \notin A}{P \xrightarrow{-\bar{a}y} (\nu A)(Q\{y/x\} \mid R)}$$

A process with an input at the top level thus borrows from the environment an output on the same subject. Note that this unique rule can be obtained in different ways, according to the object of the communication, that is, the object of the output prefix can be either a new name not occurring in  $P$ , or a free name of  $P$ . Fig. 15 shows a BC derivation corresponding to the first case, while Fig. 16 shows an example corresponding to the second case. Note that the partial matches  $L_c \leftarrow D \rightarrow G$  of these derivations are quite different. In the first case, the graph  $D$  does not contain the object of the output prefix (and thus an output prefix with a new name  $y$  as object is borrowed from the environment); while in the latter derivations the graph  $D$  contains the object of the output and this is mapped to a free name of  $G$  (thus an output prefix having as object an existing free name is borrowed from the environment).

Therefore, the input transitions of BC exactly coincide with the input transitions of the early semantics of the  $\pi$ -calculus. Unfortunately this is not the case for outputs. Indeed, the behaviour of output is basically symmetric to the case of input, as described by the following rule, where  $[x = y]$  denotes some kind of *explicit fusion* (see also Section 9.2 below) of the names  $x$  and  $y$ .

$$\frac{P \equiv (\nu A)((\bar{a}y.Q + M) \mid R) \quad a, x \notin A}{P \xrightarrow{-\bar{a}(x)} (\nu A)[x = y](Q \mid R)}$$

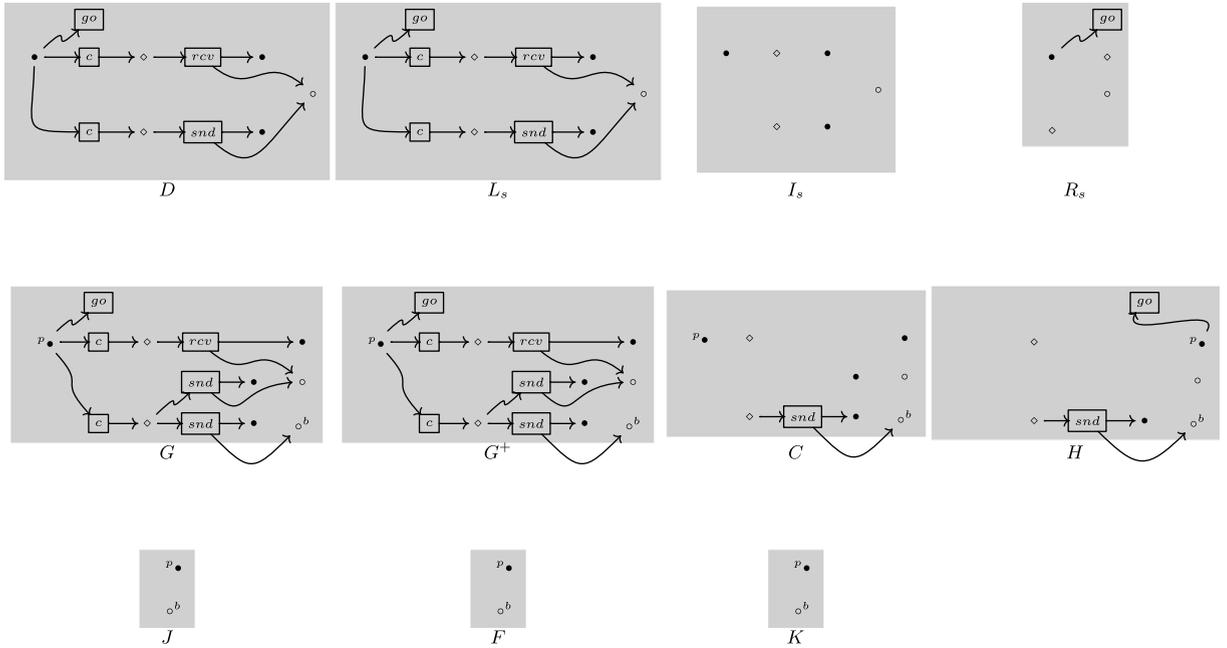


Fig. 11. The internal synchronization generates a span of identities as label.

As before, more than one type of derivation is responsible for such a rule. Consider for instance the derivation depicted in Fig. 17 describing an output transition of the process  $c(x) \mid \bar{b}a$ . An input with subject  $b$  and having as object a new name  $x$  is borrowed from the environment. In the resulting graph  $K \rightarrow H$  both the names  $x$  (the subject of the borrowed input) and  $a$  (the subject of the output) occur in the interface  $K$  and are mapped to the same node of  $H$ . Intuitively this corresponds to performing an explicit fusion of the names  $x$  and  $a$ , i.e., to two different pointers pointing to the same object.

Now look at the derivation in Fig. 18. Here an input having as object the free name  $c$  is borrowed from the environment. In the resulting state  $K \rightarrow H$ , both  $a$  (the subject of the output) and  $c$  (the subject of the borrowed input) are in the interface  $K$  and they are coalesced in the graph  $H$ . Here something seems to be wrong: since the object of an input prefix is always bound in  $\pi$ -calculus, it should *never* coincide with an existing free name.

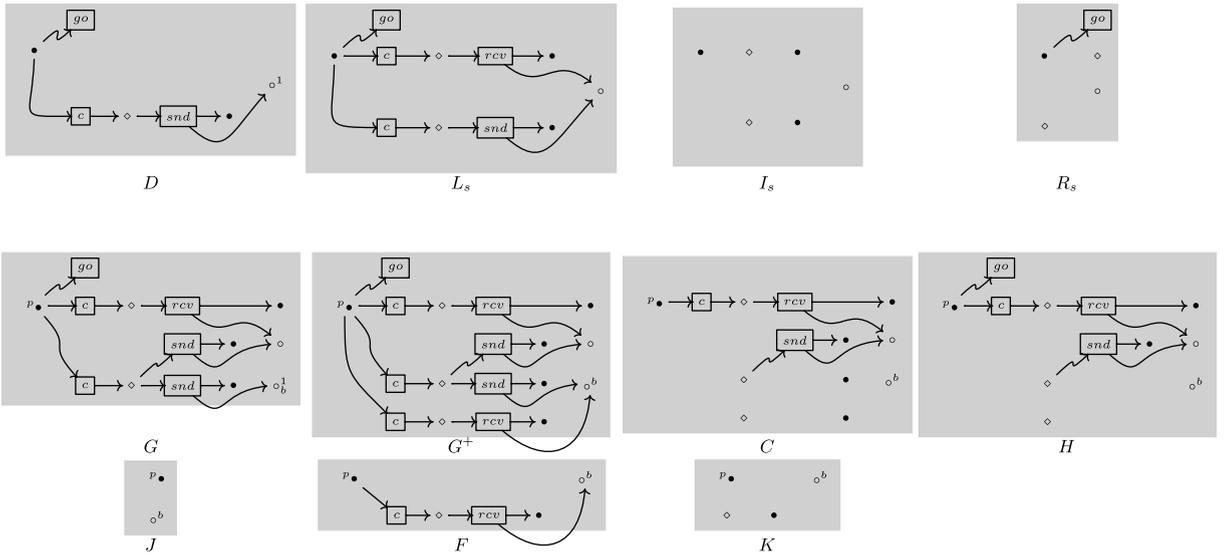
From these examples it seems that the behaviour of output transitions is not adequate. The problems arise from the encoding of input: instead of being some kind of binder, the subject of the input is just encoded as a name that does not appear in the interface (i.e., a restricted name), and thus when considering the borrowed context derivations, the graphs can borrow input prefixes where the object is not restricted.

Note also that the intermediate graph  $G^+$  in Fig. 18 is *not* the encoding of a process of the calculus. Should these derivations be forbidden, we would possibly lose the modularity of the resulting bisimilarity (i.e., the congruence property), but the rule would turn into a much more intuitive rule such as:

$$\frac{P \equiv (\nu A)((\bar{a}y.Q + M) \mid R) \quad a, x \notin A \quad x \notin \text{fn}(P)}{P \xrightarrow{-|a(x)} (\nu A)[x = y](Q \mid R)}$$

It is unclear if the bc bisimilarity coincides with some previously defined equivalence for the  $\pi$ -calculus. We decided to not further investigate this issue, since the behaviour in the case of output is in any case unsatisfactory. Intuitively, our solution differs from early and late semantics, since the resulting bisimilarity is a congruence with respect to name substitution, while those are not. Similarly, our solution differs from open semantics, since we have no *distinctions*: in open semantics, even after scope extrusion, two formerly bound names can not be fused.

In order to exactly deal with the input name binders, we would need a type of structures more complex than flat graphs. The same problem occurs with bigraphs. Indeed, in order to deal with  $\pi$ -calculus, Jensen and Milner move from *pure bigraphs* to *binding bigraphs* [23]. In that paper, an encoding of the *asynchronous  $\pi$ -calculus* [22] (without non-deterministic choice and recursion) into binding bigraphs is proposed and it is shown that the bisimilarity on the resulting LTS coincides with the standard one. In our opinion, such a correspondence holds only without choice. Indeed, in the asynchronous  $\pi$ -calculus, the axiom  $\tau.P + a(x).(\bar{a}x \mid P) \sim \tau.P$  holds and we believe it to be impossible to get such an equivalence with the bisimilarity of bigraphs following [23].



**Fig. 12.** This borrowed context transition represents a synchronization with the environment and its label is a receive action on  $b$ .

## 9.2. Fusion calculus

In the previous section, we detailed how the application of our approach to the encoding of the  $\pi$ -calculus proposed in [16] results in an unsatisfactory LTS. This is mainly due to the fact that during communication the node of the object of the input and the one of the output are coalesced, while according to the intuition behind the  $\pi$ -calculus, the object of the input prefix is a variable that after communication is instantiated with the name received from the output. Therefore the communication mechanism of [16] seems to be closer to fusion calculus [31] or to explicit fusion calculus [37] than standard  $\pi$ -calculus. Indeed, the intermediate graph of Fig. 18 is a sound encoding of a process of such a calculus (see also the encoding of a simpler variant, the solo calculus, proposed in [20]). We conjecture that reusing the encoding and the reduction rules of [16], our approach derives a good interactive semantics for the fusion calculus instead of the  $\pi$ -calculus.

As illustrated in [21], neither pure nor binding bigraphs can model fusion calculus. Indeed, standard bigraphs are *output linear*, i.e., the system cannot unify two different names of the environment but the environment can unify two names of the system. The bc approach instead is *input linear*, i.e., the system can unify two different names of the environment, but the environment can not. For this reason, Grohmann and Miculan proposed in [21] an extension of bigraphs called *directed bigraphs* that allow the features of both input and output linearity. They also show that the finite fragment of the fusion calculus (without choice) can be encoded into directed bigraphs, but they are not able to prove that the resulting equivalence coincides with the canonical hyperequivalence [31].

## 9.3. Ambient calculus

In a recent work [6], the first and the second author, together with Monreale, applied the approach proposed in this paper to Cardelli and Gordon's mobile ambients (MAS) [8].

Thanks to the pruning techniques that have been introduced in Section 7, the authors synthesize a LTS for MAS that is slightly different from the one by Merro and Zappa-Nardelli [27], but apparently equivalent to a recent proposal by Sobociński and Rathke in [36]. It is noteworthy that the LTS derived through our approach is defined through only 10 compact rules (in the format of the rules used in the proof of Theorem 1), while the one proposed in [36] consists of 27 SOS rules.

Most importantly, comparing [6] with [24], that derives an LTS for MAS through bigraphs, highlights the importance of having *non-ground rules* that, as discussed in Section 8 above, is featured by our approach but not by bigraphs. Indeed in the case of MAS, this ability not only allows to have fewer reduction rules and a finitely branching LTS, but it is really fundamental to define a “proper” LTS. Non-ground rules allow to perform transitions where the labels and the resulting states contain some process variables, e.g.,  $a.b \xrightarrow{-\bar{a}.P+M} b \mid P$ . In the case of CCS, as already discussed in the paragraph before Proposition 5, this is not fundamental, since the process variables always occur in the outermost nesting level of the resulting process and thus can be forgotten (the behaviour of the process  $b \mid P$  is trivially equivalent to  $b$ ). Instead, in the case of MAS, process variables can occur “inside” the resulting states, e.g.  $n[\text{open } m.0] \xrightarrow{-|w[\text{in } n.X_2|X_1]} n[\text{open } m.0 \mid w[X_2 \mid X_1]]$  where  $X_1$  and  $X_2$  are process variables. The latter transition is contained in [27] (rule COENTER) and in [36] (rule COLN), but not in the LTS derived via bigraphs [24] (where there are infinitely many corresponding transitions: one for each possible instantiation of  $X_1$  and  $X_2$ ). Fig. 19 shows this transition according to [6].

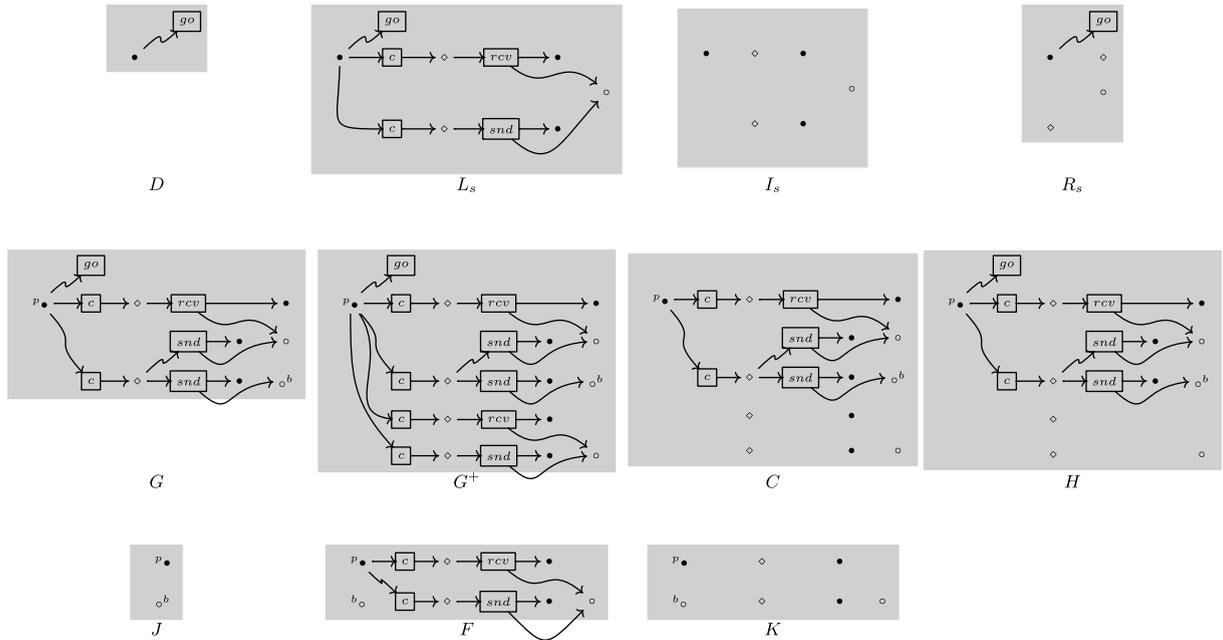


Fig. 13. A transition which is not engaged: its label contains the entire left-hand side  $L_s$  (except for the *go* edge).

## 10. Conclusions and further work

Our paper presents a case study in the synthesis of LTSs for process calculi. A sound and complete graphical encoding for processes is exploited in order to apply the BC mechanism for automatically deriving an LTS: states are graphs with interfaces, labels are cospans of graph morphisms, and two (encodings of) processes are strongly bisimilar in the distilled LTS if and only if they are also strongly bisimilar according to the standard LTS.

We consider our case study to be relevant for the reasons outlined below.

Technically, its importance lies in the pruning techniques that have been developed in order to cut to a manageable size the borrowed LTS: they exploit abstract categorical definitions, such as initial pushouts, yet resulting in a simplified LTS with the same bisimulation relation (see Proposition 3).

Methodologically, its relevance is due to its focussing on a fully fledged case study, including also possibly recursive processes: most examples in the literature restrain themselves to the finite fragment of a calculus, as it happens for the encoding of CCS processes into bigraphs presented by Milner in [30].

In order to further illustrate the advantages (and the possibilities for future developments) of our approach, we outlined a comparison of our encoding with Milner’s solution in Section 8, which is summarized below. It is noteworthy that the encoding into graphs with interfaces allows the use of two rewriting rules only: intuitively, these rules are *non-ground* since they can be both contextualized *and* instantiated. This feature results in synthesising a finitely branching (also for possibly recursive processes) LTS: this seems one of the key advantages of our technique when compared to the bigraphical approach, where reaction rules must be ground, hence infinite in number and inducing an infinitely branching LTS already for finite processes. As far as we are aware, in all the encodings of calculi in the theory of reactive systems, there are infinitely many rules (represented by rule schemata). The only exceptions we know of are the present paper, the encoding of Mobile Ambients, described in [6] and recalled in Section 9.3, and the encoding of Logic Programming presented in [7].

This non-groundness supports our hope to use the BC mechanism for distilling a set of inference rules, instead of characterizing directly the set of possible labelled transitions, in the spirit of an SOS semantics. This should be obtained by extending Proposition 4 and offering an explicit construction of the interface  $K$  for the target state of a transition: its construction was irrelevant for our purposes here, since the reuse of the interface  $J$  of the starting state does not change the bisimilarity. A related composition result is presented in [2].

Finally, we consider promising the combined use of a graphical encoding (into graphs with interfaces) and of the BC techniques, and we plan to test its expressiveness by capturing also nominal calculi. As mentioned in Section 9.2, we feel confident that our approach could be safely extended to those calculi whose distinct feature is name fusion [31,37]: such a case study would be relevant, since for such a calculus an already established semantics does exist, differently from the current situation for mobile ambients, as reported in [6].

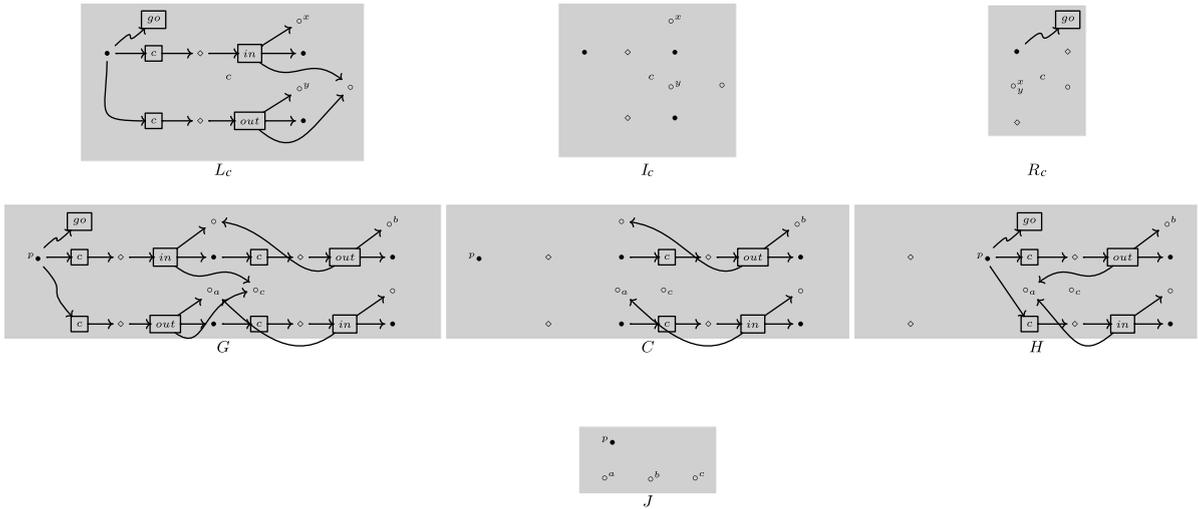


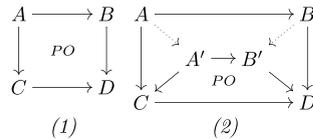
Fig. 14. The  $\pi$ -process  $c(x).\bar{x}b \mid \bar{c}a.a(z)$  reduces to  $\bar{a}b \mid a(z)$ .

**Appendix A: Initial pushouts**

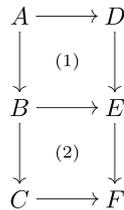
Here we briefly report the definition of initial pushout, and the two easy results proved in [11], which are useful in order to prove Proposition 3.

Note that the category of (typed) hypergraph we are working in has initial pushouts for all arrows.

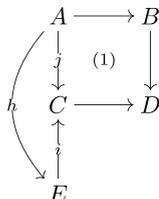
**Definition 16** (initial pushout). Let the square (1) below be a pushout. It is an initial pushout of  $C \rightarrow D$  if for every other pushout as in diagram (2) there exist two unique morphisms  $A \rightarrow A'$  and  $B \rightarrow B'$  such that diagram (2) commutes.



**Lemma 2.** Let the square (1) below be an initial pushout of  $B \rightarrow E$ , and the square (2) a pushout. Then the exterior square is an initial pushout of  $C \rightarrow F$ .



**Lemma 3.** Let the square (1) below be an initial pushout of  $C \rightarrow D$ . The pushout complement of  $E \rightarrow C \rightarrow D$  exists if and only if there exists a morphism  $h : A \rightarrow E$  such that  $i \circ h = j$ .



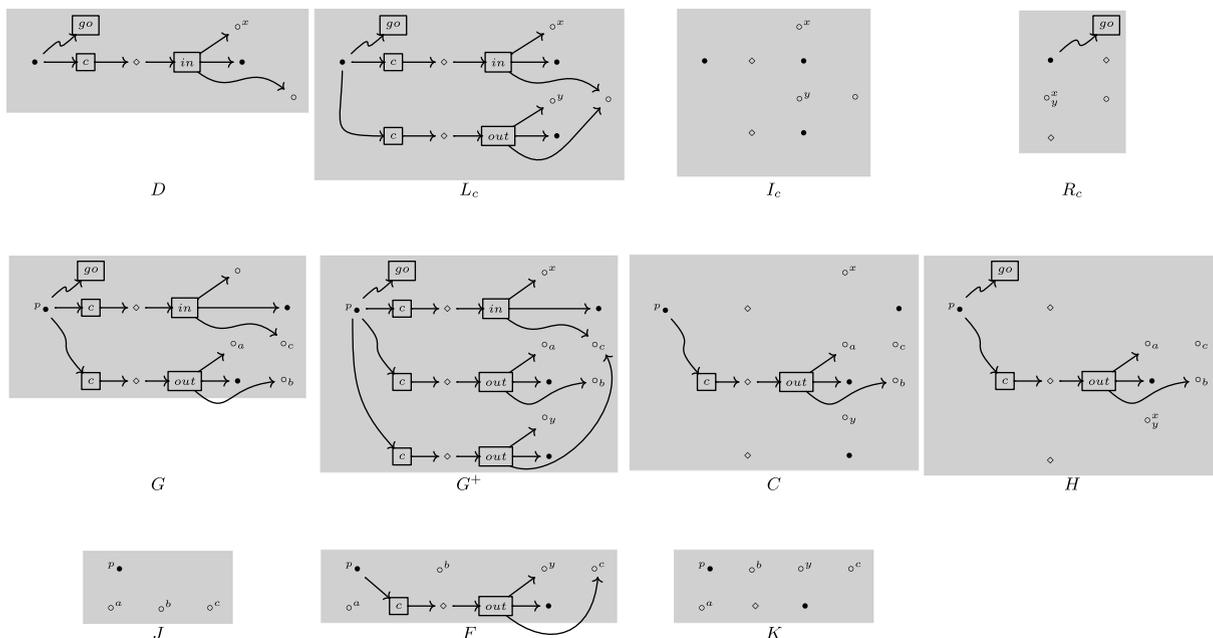


Fig. 15. The process  $c(x) \mid \bar{b}a$  borrows the context  $- \mid \bar{c}y$  and reduces to the process  $\bar{b}a$ . Note that the name  $y$  is a new name.

### Appendix B: On adhesive categories

We recall here the definition of adhesive categories [25]. We do not provide any introduction to basic categorical constructions such as products, pullbacks and pushouts, referring the reader to Sections 5 and 9 of [3].

**Definition 17** (*adhesive categories*). A category is called *adhesive* if:

- it has pushouts along monos;
- it has pullbacks;
- pushouts along monos are *Van Kampen* (vk) squares.

Referring to Fig. 20, a vk square is a pushout like (i), such that for each commuting cube as in (ii) having (i) as bottom face and the back faces of which are pullbacks, the front faces are pullbacks if and only if the top face is a pushout.

There are at least two properties of interest for adhesive categories. The first is that adhesive categories subsume many properties of hlr categories [12]. This ensures that several results about parallelism are also valid for dpo rewriting in adhesive categories, if the rules are given by spans of monos [25].

The second fact is concerned with the associated category of *input-linear cospans* (i.e., pairs of arrows with common target, where the first is a mono). As already suggested in [17], any dpo rule can be represented by a pair of cospans, and the bicategory freely generated from the rules represents faithfully all the derivations obtained using monos as matches [18]. Furthermore, the resulting bicategory has relative pushouts [26], hence it is possible to derive automatically a well-behaved behavioral equivalence [33], namely, a bisimulation equivalence which is also a congruence with respect to the closure under (suitable) contexts.

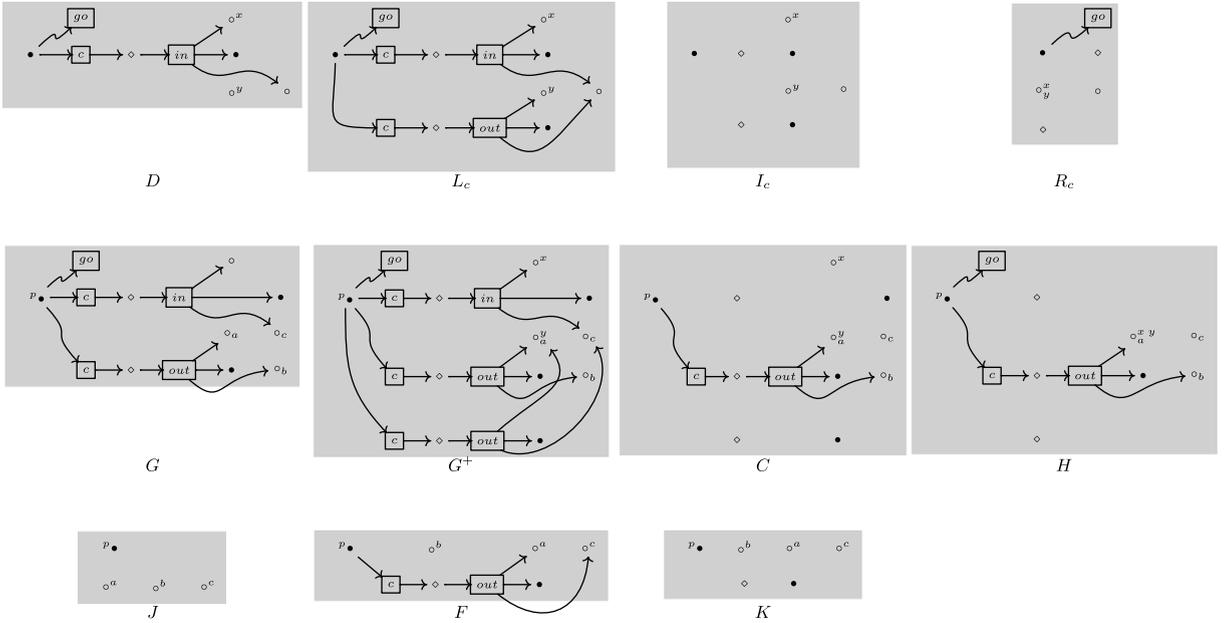
In the context of the present paper we use the fact that the category of (typed) hypergraphs is adhesive and hence we can use all properties of adhesive categories in the proofs.

### Appendix C: Proof of Proposition 5

The proof of Proposition 5 is rather long and technical, and thus we decided to report it in a separate section.

During the whole section we use  $D$ ,  $C$ ,  $G^+$ ,  $H$ ,  $F$  and  $K$  to denote the graphs used during the bc rewriting step of Definition 14.

**Definition 18** (*Reachability*). Let  $J \rightarrow G$  be a graph with interfaces. We say that  $J \rightarrow G$  is *reachable* if and only if it is the encoding of some ccs process or it can be reached through a bc rewriting step in  $\mathcal{R}_{ccs}$  from a reachable graph.



**Fig. 16.** The process  $c(x) \mid \bar{b}a$  borrows the context  $- \mid \bar{c}a$  and reduces to the process  $\bar{b}a$  where  $a$  is fused with the name  $x$ .

First of all, in order to avoid confusion, note that this definition is not related with the *reach* function defined in Section 6.

Note that not every reachable graph is in the image of our encoding. This fact is mirrored in the rules simulating the reduction semantics, where all the discarded summations remain in the resulting graph as disconnected parts. However, for the resulting graph  $K \rightarrow H$  also  $K$  may assume a somewhat strange shape. Consider as an example the state  $K \rightarrow H$  resulting from the BC transition shown in Fig. 12. The interface  $K$  contains a summation node ( $\diamond$ ) pointing to an isolated summation node, and a new process node ( $\bullet$ ) pointing on the root. The following lemma describes how interface are structured in reachable graphs.

**Lemma 4.** Let  $i : J \rightarrow G$  be a reachable graph. Then the following holds:

1.  $J$  is discrete,
2.  $i$  is mono on name and summation nodes (not necessarily on process nodes),
3.  $i$  sends summation nodes to isolated summation nodes.

**Proof.**

1. The interface  $J$  is discrete in the encoding of all CCS processes. Now suppose we have a graph with discrete interface and consider one of its possible transition. Since both  $I_s$  and  $I_r$  are discrete, then all the edges involved in the rewriting step occur neither in  $C$  nor in  $K$  (since  $F$  contains only the nodes and edges needed for rewriting).
2. This property holds in the encoding of all CCS processes. Suppose we have a graph with  $i$  mono on name and summation nodes and consider a possible transition. The morphisms  $F \rightarrow G^+$  and  $K \rightarrow C$  are mono on names and summations. Since  $I_s \rightarrow R_s$  and  $I_r \rightarrow R_r$  are mono on names and summations, so will be also  $C \rightarrow H$ . Summing up, since  $K \rightarrow C$  and  $C \rightarrow H$  must be mono on names and summations, so is  $K \rightarrow H$ . Note that this does not hold for process nodes since the continuation nodes of  $I_s$  are fused in the root node in  $R_s$ .
3. This property holds for the encodings of all CCS processes (since in the encoding of processes there is no summation node in the interface). Let  $i : J \rightarrow G$  be a graph where  $J$  contains summations nodes pointing to isolated nodes. Then all the edges attached to those nodes by the environment (as label  $F$ ) will be removed during the rewriting step.  $\square$

Some more steps are missing before we are ready to use Proposition 4, since there exist reachable graphs that do not have a mono interface.

This allows to derive some labels  $F$  with the canonical BC construction that can not be derived with the construction proposed in Proposition 4. In fact, if  $J \rightarrow G$  is not mono there could be several pushout complements (i.e., several labels  $F$ ), and some of them can not be derived with the construction proposed in Proposition 4. Consider as an example the diagrams in Fig. 21. Here we have several pushout complements of  $J \rightarrow G \rightarrow G^+$ :

- $F_p$  is also the pushout of (the obvious)  $J_D \rightarrow F_D$  and of  $j_p : J_D \rightarrow J$  that maps  $\bullet$  of  $J_D$  to  $p$  of  $J$ ,

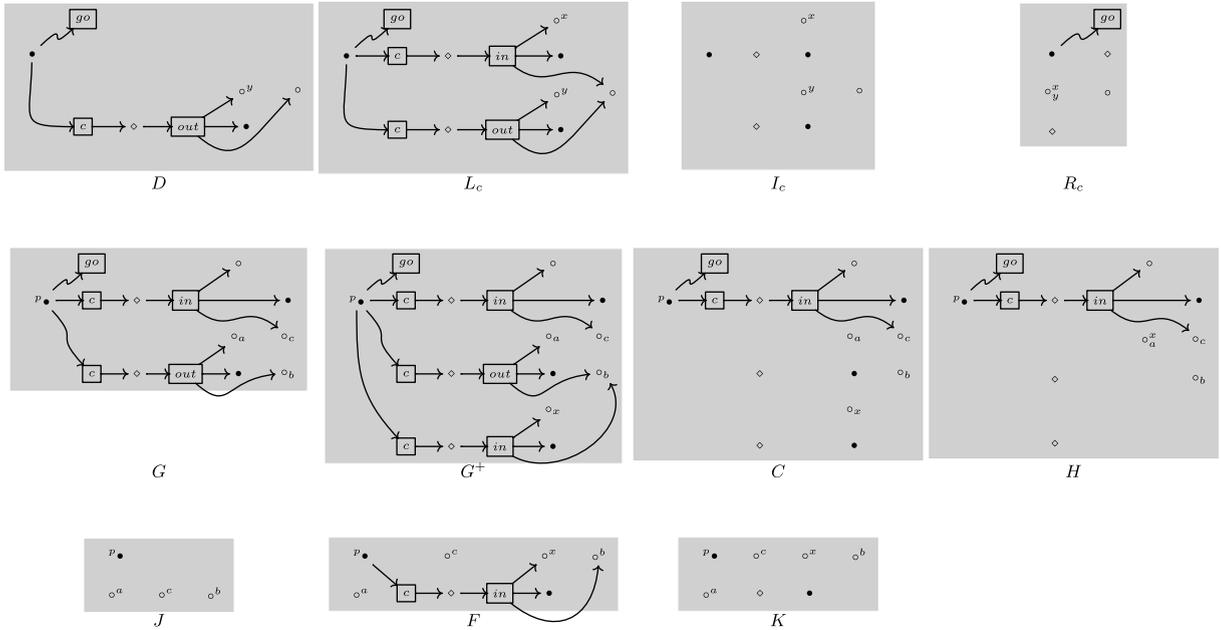


Fig. 17. The process  $c(z) \mid \bar{b}a$  borrows the context  $- \mid b(x)$  and reduces to the process  $c(z)$ . Note that the name  $x$  is a new name.

- $F_q$  is also the pushout of (the obvious)  $J_D \rightarrow F_D$  and of  $j_q : J_D \rightarrow J$  that maps  $\bullet$  of  $J_D$  to  $q$  of  $J$ ,
- $F_{p,q}$  cannot be constructed in a such way.

However Proposition 4 may hold also for non mono matches.

**Lemma 5.** Let  $J \rightarrow G$  be a reachable graph. Then  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$  is a BC rewriting step via  $D = SND$  (or  $D = RCV$ ) if and only if  $F$  and  $H$  can be constructed as stated by Proposition 4.

**Proof.** It is shown in the proof of Proposition 4 that the construction of  $H$  is correct and complete also for non mono interfaces, while the construction of  $F$  is still correct but not anymore complete. The completeness does not hold because there could be some pushout complements of  $J \rightarrow G \rightarrow G^+$  that can not be derived with the new construction, as the labels  $F_{p,q}$  of Fig. 21. However, a case like that never happens taking  $D = SND$  (or  $D = RCV$ ), since in the possible labels there is only one edge attached to the root node.  $\square$

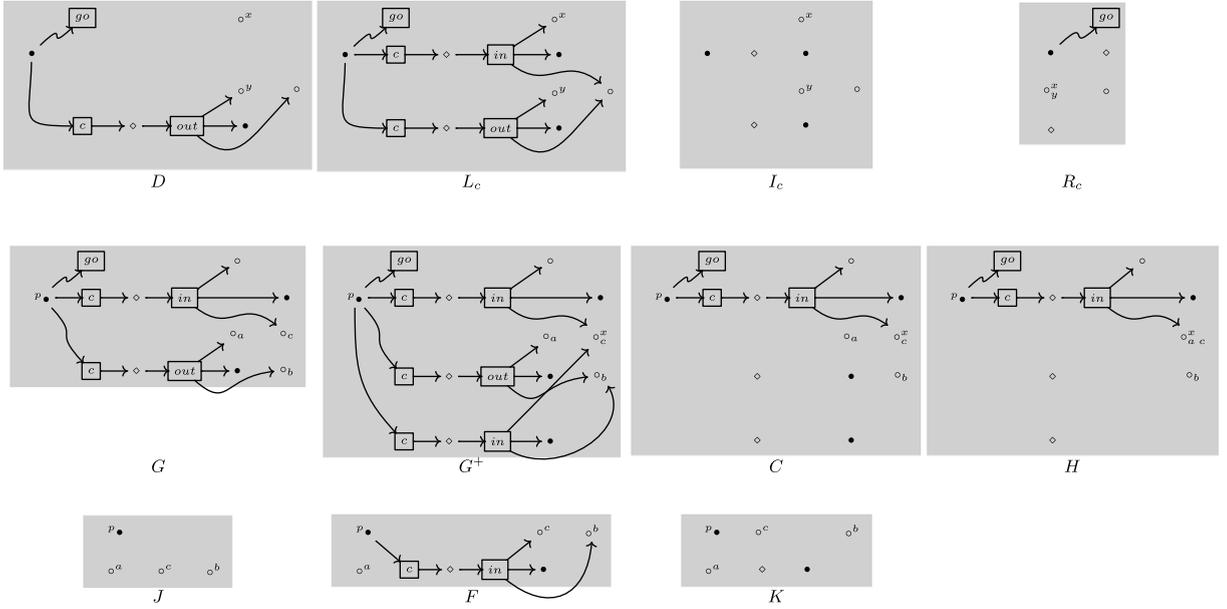
Lemma 5 defines a strong link between BC derivations and concise transitions generated by choosing  $D = SND$  or  $D = RCV$ . However it does not give any information about how to obtain the resulting interfaces  $K$ .

Consider again the BC transition shown in Fig. 12. Intuitively, this transition can be described as  $rec_X.(va)(\bar{a}.x \mid (a.0 + b.0)) \xrightarrow{-\bar{b}.P+M} 0 \mid P$ . The concise LTS forgets about  $P$  and  $M$ , and the corresponding transition in  $\rightarrow_C$  is  $rec_X.(va)(\bar{a}.x \mid (a.0 + b.0)) \xrightarrow{-\bar{b}.0} 0$ . The previous example is extended by the lemma below to all those derivations performed via a  $D$  that is either  $SND$  or  $RCV$ . In the following of this section we use  $SND$ ,  $RCV$ ,  $F_{SND}$ ,  $F_{RCV}$ ,  $J_{SND}$  and  $J_{RCV}$  to mean the graphs depicted in Fig. 10.

**Lemma 6.** Let  $J \rightarrow G$  be a reachable graph, and let  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$  be a BC transition step via  $D = SND$  (or  $D = RCV$ ). Then:

- $F_{SND}$  (or  $F_{RCV}$ ) occurs in  $F$ , i.e., there exists a mono  $F_{SND} \rightarrow F$  ( $F_{RCV} \rightarrow F$ );
- $K$  is isomorphic to  $J + U$ , where  $U$  is a discrete graph consisting only of a process node ( $\bullet$ ) and a summation node ( $\diamond$ ), and  $+$  denotes the disjoint union;
- $K \rightarrow F$  coincides with  $J \rightarrow F$  on  $J$ , further mapping  $\bullet$  into the continuation node of  $F_{SND}$  (or  $F_{RCV}$ ), and  $\diamond$  into the summation node of  $F_{SND}$  (or  $F_{RCV}$ );
- $K \rightarrow H$  maps  $\bullet$  into the root node of  $H$  and  $\diamond$  into an isolated summation node of  $H$ .

**Proof.** By Lemma 5, the labels of a BC derivation generated choosing  $D = SND$  (or  $D = RCV$ ) can be constructed as the pushout of  $J_{SND} \rightarrow F_{SND}$  and of a mapping  $J_{SND} \rightarrow J$  that it is surely mono. Then the pushout  $F$  entirely contains  $F_{SND}$  as a subgraph.



**Fig. 18.** The process  $c(z) \mid \bar{b}a$  borrows the context  $- \mid b(c)$  and reduces to the process  $c(z)$  where  $c$  is fused with the name  $a$ .

Moreover, note that  $F$  contains all the nodes of  $J$  (remember that  $J$  is discrete since the graph  $J \rightarrow G$  is reachable) and all the nodes of  $F_{SND}$ . Note that in  $F_{SND}$  there are a summation node ( $\diamond$ ) and a continuation process ( $\bullet$ ) node that do not occur in  $J_{SND}$ : hence these do not occur in  $G$  and  $J$ . Then, the nodes of  $F$  are all the nodes of  $J$  plus  $\diamond$  and  $\bullet$ .

Now note that all the nodes of  $F$  are present in  $G^+$  and, since  $L_S \leftarrow I_S$  preserve all the nodes, all the nodes of  $F$  occur also in  $C$  and hence also in  $K$ .  $\square$

The bc rewriting steps performed by a reachable graph  $J \rightarrow G$  via  $D = SND$  (or  $D = RCV$ ) are thus in one to one correspondence with the transitions performed in the concise LTS. These latter transitions can be obtained from the bc transitions forgetting the nodes  $\bullet$  and  $\diamond$  occurring in  $K$ : in the following, we write  $FORGET(J \rightarrow F \leftarrow K \rightarrow H)$  to denote that these nodes are deleted in  $K$ , but not in  $H$ . On the other hand, the bc transitions can be obtained by the concise LTS by adding  $\bullet$  and  $\diamond$  (and the adequate mapping) to  $J$  (this is denoted by  $FORGET^{-1}$ ).

The remark above is summed up by the following lemma.

**Lemma 7.** Let  $J \rightarrow G$  a reachable graph. Then  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$  via  $D = SND$  (or  $D = RCV$ ) if and only if  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow J} J \rightarrow H$ , and  $J \rightarrow F \leftarrow J \rightarrow H = FORGET(J \rightarrow F \leftarrow K \rightarrow H)$ .

**Proof.** Trivially follows from Lemmas 5 and 6.  $\square$

In the following  $FORGET(K \rightarrow H)$  denotes the application of  $FORGET$  only to the target graph with interfaces. The following two lemmas state that the forgetting and the enriching of the interface do not change bisimilarity.

**Lemma 8.** Let  $K \rightarrow G$  and  $K \rightarrow G'$  be two reachable graphs such that  $J \rightarrow G = FORGET(K \rightarrow G)$  and  $J \rightarrow G' = FORGET(K \rightarrow G')$ . If  $K \rightarrow G \sim K \rightarrow G'$ , then  $J \rightarrow G \sim J \rightarrow G'$ .

**Proof.** Let  $p$  and  $s$  be the process and summation nodes occurring in  $K$  and forgotten in  $J$ . If  $J \rightarrow G$  performs a bc rewriting step, then this can be performed also by  $K \rightarrow G$  without involving  $p$  and  $s$ . Since  $K \rightarrow G$  is bisimilar to  $K \rightarrow G'$ , then also  $K \rightarrow G'$  can perform this transition without involving  $p$  and  $s$ . Since this transition does not involve  $p$  and  $s$ , this can be performed also by  $J \rightarrow H'$ .  $\square$

**Lemma 9.** Let  $J \rightarrow G$  and  $J \rightarrow G'$  be two reachable graphs such that  $K \rightarrow G = FORGET^{-1}(J \rightarrow G)$  and  $K \rightarrow G' = FORGET^{-1}(J \rightarrow G')$ . If  $J \rightarrow G \sim_c J \rightarrow G'$ , then  $K \rightarrow G \sim_c K \rightarrow G'$ .

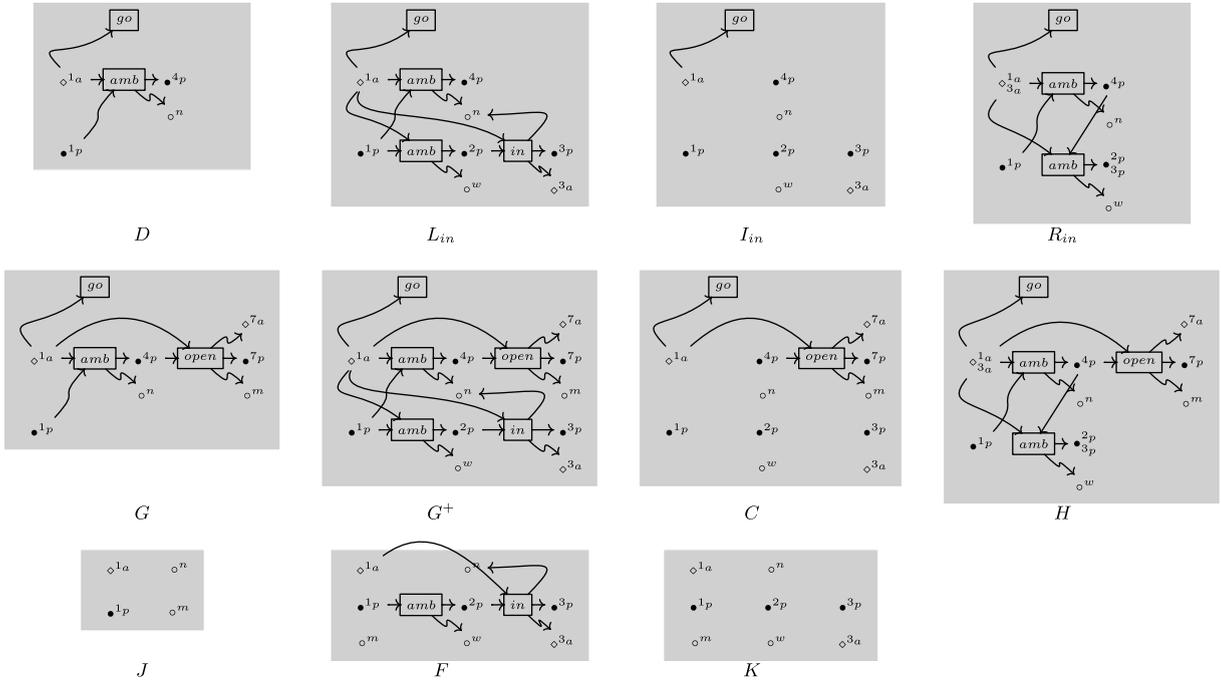


Fig. 19. Ambient  $w$  from environment enters ambient  $n$ . It corresponds to transition  $n[\text{open } m.0] \xrightarrow{-|w|in \ m X_2 | X_1|} n[\text{open } m.0 \mid w[X_1 \mid X_2]]$ .

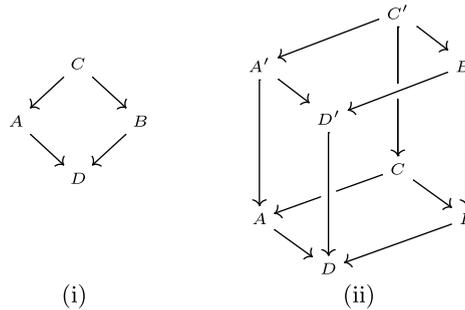


Fig. 20. A pushout square (i), and a commutative cube (ii).

**Proof.** Note that  $in \rightarrow_C$  the label completely depends on the interface  $J$  and the chosen  $J_D$ , while the resulting state completely depends from the graph  $G$ . However, given a mono  $D \rightarrow G$ , the transition is allowed only if there exists a morphism  $J_D \rightarrow J$  such that  $J_D \rightarrow D \rightarrow G = J_D \rightarrow J \rightarrow G$ .

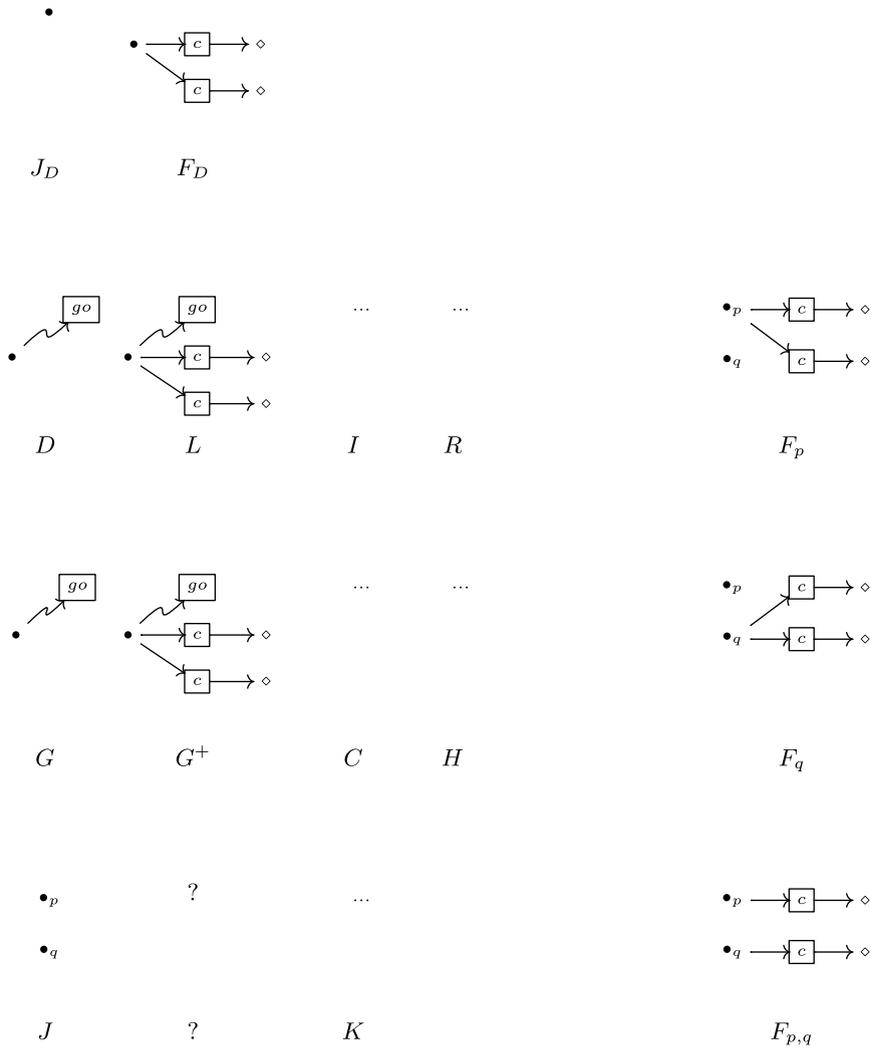
Let  $p$  and  $s$  be respectively the process and summation nodes occurring in  $K$  and forgotten in  $J$ . The adding of  $s$  does not allow any other bc rewriting step, while  $p$  allows a new family of concise transitions of  $K \rightarrow G$  that cannot be performed by  $J \rightarrow G$ . These transitions are added because there is a new morphism  $J_D \rightarrow K$  such that  $J_D \rightarrow D \rightarrow G = J_D \rightarrow K \rightarrow G$ . These morphisms map the root node of  $J_D$  into  $p$ . However, all these new transitions can be equally added from  $J \rightarrow G'$  to  $K \rightarrow G'$ .  $\square$

In the following of this section we write  $J \rightarrow J \leftarrow J$  to mean the cospan of identities  $id_J : J \rightarrow J$ .

**Lemma 10.** Let  $J \rightarrow G$  be a reachable graph. Then,  $J \rightarrow G$  is the source of a transition labelled with  $J \rightarrow J \leftarrow J$  if and only if the transition is generated by choosing as  $D$  either  $L_s$  or  $L_\tau$ .

**Proof.** If  $J \rightarrow G$  performs a transition labelled with  $id_J$ , then it does not need any structure from the environment and thus one of the left hand sides of the two rules must be completely embedded in  $G$ .

Now suppose that  $L_s \rightarrow G$  then, in the borrowed context derivation diagram  $G^+ = G$ , and  $J$  is a pushout complement of  $J \rightarrow G \rightarrow G$ .



**Fig. 21.** The graphs  $D, L, G, G^+$  and  $J$  are part of a bc derivation for a generic left hand side of a rule  $L$ . The upper square is the initial pushout of  $D \rightarrow L$ . The graphs  $F_p, F_q$  and  $F_{p,q}$  are the possible labels associated to the derivation, i.e., the possible pushout complements of  $J \rightarrow G \rightarrow G^+$ , denoted by ? in the table.

Now, since all the nodes of  $L_S$  are in  $I_S$ , the pushout complement of  $I_S \rightarrow L_S \rightarrow G$  exists and the resulting graph  $C$  contains all the nodes of  $G$ . Thus the pullback of  $J \rightarrow G$  and  $C \rightarrow G$  will be again  $J$ .

Analogously for  $L_\tau$ .  $\square$

**Lemma 11.** Let  $J \rightarrow G$  be a reachable graph. Then,  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} J \rightarrow H$  if and only if  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} C J \rightarrow H$ .

**Proof.** If  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} J \rightarrow H$  then, by Lemma 10, there exists  $D \rightarrow G$  mono for  $D$  equal to either  $L_S$  or  $L_\tau$ . Now note that if such a morphism exists then also  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} C J \rightarrow H$  since  $J_D$  is the initial object  $\emptyset$ . Then the pushout of  $id_\emptyset : \emptyset \rightarrow \emptyset$  and  $!_J : \emptyset \rightarrow J$  is  $id_J : J \rightarrow J$ .

If  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} C J \rightarrow H$  then there exists  $D \rightarrow G$  mono for  $D$  equal to either  $L_S$  or  $L_\tau$ . Then a bc transition using this  $D$  can be built, obtaining the identity cospan on  $J$  as a label.  $\square$

The following lemma is the last result that is needed in order to prove Proposition 5.

**Lemma 12.** Let  $J \rightarrow G$  be a reachable graph, and let  $J \rightarrow G^n$  denote the same graph enriched with  $n$  edges labelled  $go$  which are attached to the root. Then, for any  $n, m > 0$ :

- $J \rightarrow G^n \sim J \rightarrow G^m$ , and
- $J \rightarrow G^n \sim_C J \rightarrow G^m$ .

**Proof.** Let  $R = \{(J \rightarrow G^n J \rightarrow G^m) \mid n, m > 0\}$ . We show that  $R$  is a bisimulation. In fact, if  $J \rightarrow G^m \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$ , then  $H$  has  $m$  or  $m + 1$  go edges. Since the subgraph  $D$  may have at most one go, a transition with exactly the same label can be executed by  $J \rightarrow G^n$ , but it will result in a state having  $n$  or  $n + 1$  go edges. In any case the resulting pairs are contained in  $R$ .

For the second statement, note that the transitions of  $\rightarrow_C$  are completely independent of the number of go edges. The only important point is that there exists at least one go edge attached to the root.  $\square$

**Proposition 5.** *Let  $\sim$  be the bc bisimilarity, and let  $\sim_C$  be the bisimilarity defined on  $\rightarrow_C$ . Then  $\sim_C$  and  $\sim$  coincide for all those graphs with discrete interfaces belonging to the image of our encoding.*

**Proof.** In order to show that  $\sim \subseteq \sim_C$ , we prove that the relation  $S$  over reachable graphs is a bisimulation with respect to  $\rightarrow_C$ , where

$$S = \{(J \rightarrow G J \rightarrow G') \mid J \rightarrow G \sim J \rightarrow G'\}$$

If  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow J} J \rightarrow H$ , then this transition has to be generated by a  $D$ .

If  $D$  is either  $L_s$  or  $L_\tau$  then  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} J \rightarrow H$  and, by Lemma 11,  $J \rightarrow G \xrightarrow{J \rightarrow J \leftarrow J} J \rightarrow H$ . Now, since  $J \rightarrow G \sim J \rightarrow G'$ , then  $J \rightarrow G' \xrightarrow{J \rightarrow J \leftarrow J} J \rightarrow H'$  with  $J \rightarrow H \sim J \rightarrow H'$ . Again by Lemma 11, we have that  $J \rightarrow G' \xrightarrow{J \rightarrow J \leftarrow J} J \rightarrow H'$ .

If  $D$  is either  $SND$  or  $RCV$  then, by Lemma 7,  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$  where  $J \rightarrow F \leftarrow K \rightarrow H = FORGET^{-1}(J \rightarrow F \leftarrow J \rightarrow H)$ . Now, since  $J \rightarrow G \sim J \rightarrow G'$ , then  $J \rightarrow G' \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H'$  with  $K \rightarrow H \sim K \rightarrow H'$ . Again by Lemma 7, it follows that  $J \rightarrow G' \xrightarrow{J \rightarrow F \leftarrow J} J \rightarrow H'$ . Now by Lemma 8 and by  $K \rightarrow H \sim K \rightarrow H'$ , it follows that  $J \rightarrow H \sim J \rightarrow H'$ .

Now we prove that  $\sim_C \subseteq \sim$ , showing that the relation  $S$  over reachable graphs is a bisimulation with respect to  $\rightarrow$ , where

$$S = \{(J \rightarrow G J \rightarrow G') \mid J \rightarrow G \sim_C J \rightarrow G'\}$$

If  $J \rightarrow G \xrightarrow{J \rightarrow F \leftarrow K} K \rightarrow H$ , then this transition must be generated by  $D \rightarrow L$  and  $D \rightarrow G$ . The proof proceeds by case analysis on the possible  $D$ 's.

If  $D$  is discrete, then all the nodes of  $D$  must be in the interface  $J$ . The labels resulting from these  $D$ 's only depend on the interface  $J$ ; then, these transitions can be equally performed by graphs having the same interface. Moreover the states resulting from these transitions are again bisimilar with respect to  $\rightarrow_C$ , since these transitions do not modify the relevant items of the graphs with interfaces. In fact, these transitions only add isolated nodes both in the graphs and in the interfaces.

Now consider a  $D$  with edges. Since by Lemma 4, the summation nodes in the interface of reachable graphs always point to isolated summation nodes, we can exclude a priori all those  $D$ 's having no isolated summation node as a boundary node.

Thus, the possible remaining  $D$ 's are those graphs  $L_\tau$ ,  $L_s$ ,  $SND$  and  $RCV$  depicted in Fig. 10, and their counterparts without the go edge  $L_\tau^g$ ,  $L_s^g$ ,  $SND^g$  and  $RCV^g$ .

For the first four we proceed as before, using Lemma 9 instead of Lemma 8.

Now, let  $D$  be  $L_\tau^g$ . Note that a reachable graph can perform a bc rewriting via such a  $D$  if and only if it can perform a rewriting via  $L_\tau$ . Then the only difference between these two rewriting steps is that the first has a go edge attached to the root node in the label  $F$ , and an additional go edge attached to the root node in the resulting  $H$ . By Lemma 12 the two resulting states are always bisimilar, since the number of go edges does not change the behavior.

The same reasoning applies to  $L_s^g$ ,  $SND^g$  and  $RCV^g$ .  $\square$

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