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### RESEARCH

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# Sequence spaces $M(\phi)$ and $N(\phi)$ with application in clustering



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#### Abstract

Distance measures play a central role in evolving the clustering technique. Due to the rich mathematical background and natural implementation of  $I_p$  distance measures, researchers were motivated to use them in almost every clustering process. Beside  $I_p$  distance measures, there exist several distance measures. Sargent introduced a special type of distance measures  $m(\phi)$  and  $n(\phi)$  which is closely related to  $I_p$ . In this paper, we generalized the Sargent sequence spaces through introduction of  $M(\phi)$  and  $N(\phi)$  sequence spaces. Moreover, it is shown that both spaces are *BK*-spaces, and one is a dual of another. Further, we have clustered the two-moon dataset by using an induced  $M(\phi)$ -distance measure (induced by the Sargent sequence space  $M(\phi)$ ) in the k-means clustering algorithm. The clustering result established the efficacy of replacing the Euclidean distance measure by the  $M(\phi)$ -distance measure in the k-means algorithm.

MSC: 40H05; 46A45

Keywords: clustering; double sequence; k-means clustering; two-moon dataset

#### **1** Introduction

Clustering is a well-known procedure to deal with an unsupervised learning problem appearing in pattern recognition. Clustering is a process of organizing data into groups called clusters so that objects in the same cluster are similar to one another, but are dissimilar to objects in other clusters [1]. The main contribution in the field of clustering analysis was the pioneering work of MacQueen [1] and Bezdek [2]. They had introduced highly significant clustering algorithms such as k-means [1] and fuzzy c-means [2]. Among all clustering algorithms, k-means is the simplest unsupervised clustering algorithm that makes use of a minimum distance from the center, and it has many applications in scientific and industrial research [3-6] (for more information about the k-means clustering algorithm, see Section 5). K-means algorithm is distance dependent, so its outputs vary with changing distance measures. Among all distance measures, a clustering process was usually carried out through the Euclidean distance measure [7], but many times it failed to offer good results. In this paper, we define  $M(\phi)$ - and  $N(\phi)$ -distance measure. Further,  $M(\phi)$ -distance is used to cluster two-moon dataset. The output result is compared with the result of Euclidean distance measure to show the efficacy of  $M(\phi)$ -distance over the Euclidean distance measure.  $M(\phi)$  and  $N(\phi)$ -distance measures are the generalization of  $m(\phi)$ - and  $n(\phi)$ -distance measures introduced by Sargent [8] and further studied by Mursaleen [9,



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10] (to know more about  $m(\phi)$  and  $n(\phi)$ , refer to [8–10]). The  $M(\phi)$  and  $N(\phi)$  spaces are closely related to  $l_p$  distance measures.  $l_p$  measures and its variance are mostly used to solve the problems evolving in the fields of Market prediction [11], Machine Learning [12], Pattern Recognition [13], Clustering [20] etc.

Throughout the paper, by  $\omega$  we denote the set of all real or complex sequences. Moreover, by  $l_{\infty}$ , c and  $c_0$  we denote the Banach spaces of bounded, convergent and null sequences, respectively; and let  $l_p$  be the Banach space of absolutely p-summable sequences with p-norm  $\|\cdot\|_p$ . For the following notions, we refer to [14, 15]. A double sequence  $x = (x_{jk})$  of real or complex numbers is said to be bounded if  $\|x\|_{\infty} < \infty$ , the space of all bounded double sequences is denoted by  $\mathcal{L}_{\infty}$ . A double sequence  $x = (x_{jk})$  is said to converge to the limit L in Pringsheim's sense (shortly, convergent to L) if for every  $\varepsilon > 0$ , there exists an integer N such that  $|x_{jk} - L| < \varepsilon$  whenever j, k > N. In this case L is called the p-limit of x. If in addition  $x \in \mathcal{L}_{\infty}$ , then x is said to be boundedly convergent to L in Pringsheim's sense (shortly, pp-convergent to L). A double sequence  $x = (x_{jk})$  is said to converge regularly to L (shortly, r-convergent to L). A double sequence  $x = (x_{jk})$  is said to converge regularly to L (shortly, r-convergent to L) if x is p-convergent and the limits  $x_j := \lim_k x_{jk}$  $(j \in \mathbb{N})$  and  $x^k := \lim_j x_{jk}$  ( $k \in \mathbb{N}$ ) exist. Note that in this case the limits  $\lim_j \lim_k x_{jk}$  and  $\lim_k \lim_j x_{jk}$  exist and are equal to the p-limit of x. In general, for any notion of convergence v, the space of all v-convergent double sequences will be denoted by  $C_v$  and the limit of a v-convergent double sequence x by v-lim<sub>ik</sub>  $x_{ik}$ , where  $v \in \{p, bp, r\}$ .

Let  $\Omega$  denote a vector space of all double sequences with the vector space operations defined coordinate-wise. Vector subspaces of  $\Omega$  are called double sequence spaces. Let us consider a double sequence  $x = \{x_{mn}\}$  and define the sequence  $s = \{s_{mn}\}$  via x by

$$s_{mn} := \sum_{i,j}^{m,n} x_{ij} \quad (m,n\in\mathbb{N}).$$

Then the pair (x, s) and the sequence  $s = \{s_{mn}\}$  are called a double series and a sequence of partial sums of the double series, respectively. Let  $\lambda$  be the space of double sequences converging with respect to some linear convergence rule  $\mu$ -lim :  $\lambda \to \mathbb{R}$ . The sum of a double series  $\sum_{i,j=1}^{\infty,\infty} x_{ij}$  with respect to this rule is defined by  $\mu$ - $\sum_{i,j=1}^{\infty,\infty} x_{ij}$  :=  $\mu$ -lim  $s_{mn}$ . Başar and Şever introduced the space  $L_p$  in [16]

$$L_p := \left\{ \{x_{mn}\} \in \Omega : \sum_{m,n} |x_{mn}|^p < \infty \right\} \quad (1 \le p < \infty)$$

corresponding to the space  $l_p$  for  $p \ge 1$  and examined some of its properties. Altay and Başar [17] have generalized the spaces of double sequences  $L_{\infty}$ ,  $C_p$  and  $C_{bp}$  to

$$\begin{split} L_{\infty}(t) &= \left\{ \{x_{mn}\} \in \Omega : \sup_{m,n \in \mathbb{N}} |x_{mn}|^{t_{mn}} < \infty \right\}, \\ C_p(t) &= \left\{ \{x_{mn}\} \in \Omega : p - \lim_{m,n \to \infty} |x_{mn} - \ell|^{t_{mn}} = 0 \right\}, \end{split}$$

and

$$C_{bp}(t) = C_p(t) \cap L_{\infty}(t)$$

respectively, where  $t = \{t_{mn}\}$  is the sequence of strictly positive reals  $t_{mn}$ . In the case  $t_{mn} = 1$ , for all  $m, n \in \mathbb{N}$ ,  $L_{\infty}(t)$ ,  $C_p(t)$  and  $C_{bp}(t)$  reduce to the sets  $L_{\infty}$ ,  $C_p$  and  $C_{bp}$ , respectively. Further, let *C* be the space whose elements are finite sets of distinct positive integers. Given any element  $\sigma$  of *C*, we denote by  $c(\sigma)$  the sequence  $\{c_n(\sigma)\}$  which is such that  $c_n(\sigma) = 1$  if  $n \in \sigma$ ,  $c_n(\sigma) = 0$  otherwise. Further, let

$$C_s = \left\{ \sigma \in C : \sum_{n=1}^{\infty} c_n(\sigma) \le s \right\}$$

be the set of those  $\sigma$  whose support has cardinality at most *s*, and

$$\Phi = \left\{ \phi = \{\phi_n\} \in \omega : \phi_1 > 0, \Delta \phi_n \ge 0 \text{ and } \Delta \left(\frac{\phi_n}{n}\right) \le 0 \ (n = 1, 2, \ldots) \right\},\$$

where  $\Delta \phi_n = \phi_n - \phi_{n-1}$  and  $\phi_0 = 0$ .

For  $\phi \in \Phi$ , the following sequence spaces were introduced and studied in [8] by Sargent and further studied by Mursaleen in [9, 10]:

$$m(\phi) = \left\{ x = \{x_n\} \in \omega : \sup_{s \ge 1} \sup_{\sigma \in C_s} \left( \frac{1}{\phi_s} \sum_{n \in \sigma} |x_n| \right) < \infty \right\},\$$

and

$$n(\phi) = \left\{ x = \{x_n\} \in \omega : \sup_{u \in S(x)} \left( \sum_{m,n=1,1}^{\infty,\infty} |u_n| \Delta \phi_n \right) < \infty \right\}.$$

#### Remark 1.1

- (i) The spaces  $m(\phi)$  and  $n(\phi)$  are *BK*-spaces with their usual norms.
- (ii) If  $\phi_n = 1$  (n = 1, 2, 3, ...), then  $m(\phi) = l_1 [n(\phi) = l_\infty]$ , and if  $\phi_n = n$  (n = 1, 2, 3, ...), then  $m(\phi) = l_\infty [n(\phi) = l_1]$ .
- (iii)  $l_1 \subseteq m(\phi) \subseteq l_\infty \ [l_1 \subseteq n(\phi) \subseteq l_\infty]$  for all  $\phi \in \Phi$ .
- (iv) For any  $\phi \in \Phi$ ,  $m(\phi) \neq l_p [n(\phi) \neq l_q]$ , 1 .

In this paper, we define Sargent's spaces for double sequences  $x = \{x_{mn}\}$ . For this we first suppose U to be the set whose elements are finite sets of distinct elements of  $\mathbb{N} \times \mathbb{N}$  obtained by  $\sigma \times \varsigma$ , where  $\sigma \in C_s$  and  $\varsigma \in C_t$  for each  $s, t \ge 1$ . Therefore, any element  $\zeta$  of U means (m, n);  $m \in \sigma$  and  $n \in \varsigma$  having cardinality at most *st*, where *s* is the cardinality with respect to *m* and *t* is the cardinality with respect to *n*. Given any element  $\zeta$  of U, we denote by  $c(\zeta)$  the sequence  $\{c_{mn}(\zeta)\}$  such that

$$c_{mn}(\zeta) = \begin{cases} 1; & \text{if } (m, n) \in \zeta, \\ 0; & \text{otherwise.} \end{cases}$$

Further, we write

$$U_{st} = \left\{ \zeta \in U : \sum_{m,n=1}^{\infty,\infty} c_{mn}(\zeta) \le st \right\}$$

for the set of those  $\zeta$  whose support has cardinality at most *st*; and

$$\Theta = \left\{ \phi = \{\phi_{mn}\} \in \Omega : \phi_{11} > 0, \Delta_{11}\phi_{mn} \ge 0 \text{ and } \Delta_{11}\left(\frac{\phi_{mn}}{mn}\right) \le 0 \ (m, n = 1, 2, \ldots) \right\},\$$

where  $\Delta_{11}\phi_{mn} = \phi_{mn} - \phi_{m-1,n} - \phi_{m,n-1} + \phi_{m-1,n-1}$  and  $\phi_{00}$ ,  $\phi_{0m}$ ,  $\phi_{n0} = 0$ ,  $\forall m, n \in \mathbb{I}^+$ . Throughout the paper, we write  $\sum_{m,n\in\zeta}$  for  $\sum_{m\in\sigma}\sum_{n\in\varsigma}$ , and S(x) is used to denote the set of all double sequences that are rearrangements of  $x = \{x_{mn}\} \in \Omega$ . For  $\phi \in \Theta$ , we define the following sequence spaces:

$$M(\phi) = \left\{ x = \{x_{mn}\} \in \Omega : \|x\|_{M(\phi)} = \sup_{s,t \ge 1} \sup_{\zeta \in U_{st}} \left( \frac{1}{\phi_{st}} \sum_{m,n \in \zeta} |x_{mn}| \right) < \infty \right\}$$

and

$$N(\phi) = \left\{ x = \{x_{mn}\} \in \Omega : \|x\|_{N(\phi)} = \sup_{u \in S(x)} \left( \sum_{m,n=1}^{\infty,\infty} |u_{mn}| \Delta_{11} \phi_{mn} \right) < \infty \right\}.$$

Then the distances between  $x = \{x_{mn}\}$  and  $y = \{y_{mn}\}$  induced by  $M(\phi)$  and  $N(\phi)$  can be expressed as

$$d_{M(\phi)} = \sup_{s,t \ge 1} \sup_{\zeta \in U_{st}} \left( \frac{1}{\phi_{st}} \sum_{m,n \in \zeta} |x_{mn} - y_{mn}| \right)$$

and

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$$d_{N(\phi)} = \sup_{u,v\in S(x)} \left( \sum_{m,n=1}^{\infty,\infty} |u_{mn} - v_{mn}| \Delta_{11} \phi_{mn} \right).$$

**Remark 1.2** If  $\phi_{st} = 1$  (*s*, *t* = 1, 2, 3, ...), then  $M(\phi) = L_1 [N(\phi) = L_\infty]$ , and if  $\phi_{st} = st$  (*s*, *t* = 1, 2, 3, ...), then  $M(\phi) = L_\infty [N(\phi) = L_1]$ .

We now state the following known results of [18] for single sequences (series) which can also be proved easily for double sequences (series).

**Lemma 1.1** If the series  $\sum u_n x_n$  is convergent for every x of a BK-space E, then the functional  $\sum_{n=1}^{\infty} u_n x_n$  is linear and continuous in E.

**Lemma 1.2** If *E* and *F* are *BK*-spaces, and if  $E \subseteq F$ , then there is a real number *K* such that, for all *x* of *E*,

 $\|x\|_F \leq K \|x\|_E.$ 

#### **2** Properties of the spaces $M(\phi)$ and $N(\phi)$

**Theorem 2.1** *The space*  $M(\phi)$  *is a BK-space with the norm.* 

$$\|x\|_{M(\phi)} = \sup_{s,t \ge 1} \sup_{\zeta \in U_{st}} \frac{1}{\phi_{st}} \left( \sum_{m,n \in \zeta} |x_{mn}| \right).$$
(2.1)

*Proof* It is a routine verification to show that  $M(\phi)$  is a normed space with the given norm (2.1), and so we omit it. Now, we proceed to showing that  $M(\phi)$  is complete. Let  $\{x^l\}$  be a Cauchy sequence in  $M(\phi)$ , where  $x^l = \{x_{mn}^l\}_{m,n=1,1}^{\infty,\infty}$  for every fixed  $l \in \mathbb{N}$ . Then, for a given  $\varepsilon > 0$ , there exists a positive integer  $n_0(\varepsilon) > 0$  such that

$$\left\|x^{l}-x^{r}\right\|_{M(\phi)} = \sup_{s,t\geq 1} \sup_{\zeta\in U_{st}} \frac{1}{\phi_{st}} \left(\sum_{m,n\in\zeta} \left|x^{l}_{mn}-x^{r}_{mn}\right|\right) < \varepsilon$$

for all  $l, r > n_0(\varepsilon)$ , which yields, for each fixed  $s, t \ge 1$  and  $\zeta \in U_{st}$ ,

$$\sum_{m,n\in\zeta} \left| x_{mn}^{l} - x_{mn}^{r} \right| \le \varepsilon \phi_{11} \quad \text{for all } l, r > n_{0}(\varepsilon).$$
(2.2)

Therefore

$$\left|\sum_{m,n\in\zeta} |x_{mn}^l| - \sum_{m,n\in\zeta} |x_{mn}^r|\right| < \varepsilon \phi_{11} \quad \text{for all } l, r > n_0(\varepsilon).$$

$$(2.3)$$

This means that  $\{\sum_{m,n\in \zeta} |x_{mn}^l|\}_{l\in \mathbb{N}}$  is a Cauchy sequence in  $\mathbb{R}$  for every fixed  $s, t \ge 1$  and  $\zeta \in U_{st}$ . Since  $\mathbb{R}$  is complete, it converges, say

$$\sum_{m,n\in\zeta} |x_{mn}^l| \to \sum_{m,n\in\zeta} |x_{mn}| \quad \text{as } l \to \infty.$$

Since absolute convergence implies convergence in  $\mathbb{R}$ , hence

$$\sum_{m,n\in\zeta} x_{mn}^l \to \sum_{m,n\in\zeta} x_{mn} \quad \text{as } l \to \infty.$$
(2.4)

Hence we have

...

$$\lim_{l \to \infty} \left\| \sum_{m,n \in \zeta} x_{mn}^l - \sum_{m,n \in \zeta} x_{mn} \right\|_{M(\phi)} = 0.$$
(2.5)

Let  $y^l = \sum_{m,n \in \mathcal{L}} |x_{mn}^l|$ . Then  $\{y^l\} \in l_{\infty}$ . Therefore

$$\sup_{l\in\mathbb{N}}\sum_{m,n\in\zeta}\left|x_{mn}^{l}\right|\leq k.$$

Since  $\sum_{m,n\in\zeta} |x_{mn}| \leq \sum_{m,n\in\zeta} |x_{mn} - x_{mn}^l| + \sum_{m,n\in\zeta} |x_{mn}^l| \leq \varepsilon \phi_{11} + k$ , it follows that x = 1 $\{x_{mn}\} \in M(\phi)$ . Since  $\{x^l\}_{l \in \mathbb{N}}$  was an arbitrary Cauchy sequence, the space  $M(\phi)$  is complete. Now we prove that  $M(\phi)$  has continuous coordinate projections  $p_{mn}$ , where  $p_{mn}$ :  $\Omega \to K$  and  $p_{mn}(x) = x_{mn}$ . The coordinate projections  $p_{mn}$  are continuous since  $|x_{mn}| \leq 1$  $\sup_{s,t\geq 1} \sup_{\zeta\in U_{st}} \phi_{st} \|x\|_{M(\phi)}$  for each  $m, n \in \mathbb{N}$ . 

**Remark 2.1** The space  $N(\phi)$  is a *BK*-space with the norm

$$\|x\|_{N(\phi)} = \sup_{u \in S(x)} \left( \sum_{m,n=1}^{\infty,\infty} |u_{mn}| \Delta_{11} \phi_{mn} \right).$$

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#### Lemma 2.1

- (i) If  $x \in M(\phi)$  [ $x \in N(\phi)$ ] and  $u \in S(x)$ , then  $u \in M(\phi)$  [ $u \in N(\phi)$ ] and ||u|| = ||x||.
- (ii) If  $x \in M(\phi)$  [ $x \in N(\phi)$ ] and  $|u_{mn}| \le |x_{mn}|$  for every positive integer m, n, then  $u \in M(\phi)$  [ $u \in N(\phi)$ ] and  $||u|| \le ||x||$ .

*Proof* (i) Let  $x \in M(\phi)$ , then  $\sup_{s,t \ge 1} \sup_{\zeta \in U_{st}} \frac{1}{\phi_{st}} \sum_{m,n \in \zeta} |x_{mn}| < \infty$ . So, we have

$$\frac{1}{\phi_{st}}\sum_{m,n\in\zeta}|x_{mn}|<\infty\quad\text{for each }\zeta\in U_{st}\text{ and }s,t\geq 1.$$

Since the sum of a finite number of terms remains the same for all the rearrangements,

$$\frac{1}{\phi_{st}}\sum_{m,n\in\zeta}|u_{mn}|=\frac{1}{\phi_{st}}\sum_{m,n\in\zeta}|x_{mn}|\quad\text{for each }u\in S(x)\text{ and }\zeta\in U_{st},s,t\geq 1.$$

Hence

s S

$$\sup_{t\geq 1}\sup_{\zeta\in U_{st}}\frac{1}{\phi_{st}}\sum_{m,n\in\zeta}|u_{mn}|=\sup_{s,t\geq 1}\sup_{\zeta\in U_{st}}\frac{1}{\phi_{st}}\sum_{m,n\in\zeta}|x_{mn}|<\infty,$$

thus  $u \in M(\phi)$  and ||u|| = ||x||.

(ii) By using the definition, easy to prove.

**Theorem 2.2** For arbitrary  $\phi \in \Theta$ , we have  $\Delta_{11}\phi \in M(\phi)$  and  $\|\Delta_{11}\phi\|_{M(\phi)} \leq 2$ .

*Proof* Let *s* and *t* be arbitrary positive integers, let  $\sigma, \varsigma \in U_{st}$ , and let  $\tau_1, \tau_2$  constitute the element of  $\sigma$  and  $\varsigma$  exceed by *s* and *t* respectively, also from the definition we have  $\Delta_{11}\phi \geq 0$  and  $\Delta_{11}(\frac{\phi_{mn}}{mn}) \leq 0$ . Then

**Lemma 2.2** If  $x \in M(\phi)$  and  $\{c_{11}, c_{12}, \dots, c_{1n}, c_{21}, c_{22}, \dots, c_{2n}, \dots, c_{m1}, c_{m2}, \dots, c_{mn}\}$  is a rearrangement of  $\{b_{11}, b_{12}, \dots, b_{1n}, b_{21}, b_{22}, \dots, b_{2n}, \dots, b_{m1}, b_{m2}, \dots, b_{mn}\}$  such that  $|c_{11}| \ge |c_{12}| \ge \dots \ge |c_{1n}|, |c_{21}| \ge |c_{22}| \ge \dots \ge |c_{2n}|, \dots, |c_{m1}| \ge |c_{m2}| \ge \dots \ge |c_{mn}|$  and  $|c_{11}| \ge |c_{21}| \ge \dots \ge |c_{m1}|, |c_{12}| \ge |c_{22}| \ge \dots \ge |c_{m2}|, |c_{n1}| \ge |c_{n2}| \ge \dots \ge |c_{nm}|$ , then

$$\sum_{i,j=1,1}^{m,n} |b_{ij}x_{ij}| \le \|x\|_{M(\phi)} \sum_{i,j=1,1}^{m,n} |c_{ij}| \Delta_{11}\phi_{ij}.$$

*Proof* In view of Lemma 2.1(i), it is sufficient to consider the case when  $b_{ij} = c_{ij}$  (i = 1, 2, ..., m; j = 1, 2, ..., n). Then writing  $X_{mn} = \sum_{i,j=1}^{m,n} |x_{ij}|$ , we get

$$\begin{split} \sum_{i,j=1,1}^{m,n} |b_{ij}x_{ij}| &= \sum_{i=1}^{m-1} \sum_{j=1}^{n-1} \left( |c_{ij}| - |c_{i,j+1}| - |c_{i+1,j}| + |c_{i+1,j+1}| \right) X_{ij} + |c_{mn}| X_{mn} \\ &\leq \|x\|_{\mathcal{M}(\phi)} \sum_{i=1}^{m-1} \sum_{j=1}^{n-1} \left( |c_{ij}| - |c_{i,j+1}| - |c_{i+1,j}| + |c_{i+1,j+1}| \right) \phi_{ij} + \|x\|_{\mathcal{M}(\phi)} |c_{mn}| X_{mn} \\ &= \|x\|_{\mathcal{M}(\phi)} \sum_{i,j=1,1}^{m,n} |c_{ij}| \Delta_{11} \phi_{ij}. \end{split}$$

Hence we have  $\sum_{i,j=1,1}^{m,n} |b_{ij}x_{ij}| \le ||x||_{M(\phi)} \sum_{i,j=1,1}^{m,n} |c_{ij}| \Delta_{11}\phi_{ij}$ .

**Theorem 2.3** In order that  $\sum u_{ij}x_{ij}$  be convergent [absolutely convergent] whenever  $x \in M(\phi)$ , it is necessary and sufficient that  $u \in N(\phi)$ . Further, if  $x \in M(\phi)$  and  $u \in N(\phi)$ , then

$$\sum_{i,j=1,1}^{\infty,\infty} |u_{ij}x_{ij}| \le \|u\|_{N(\phi)} \|x\|_{M(\phi)}.$$
(2.6)

*Proof Necessity.* We now suppose that  $\sum u_{ij}x_{ij}$  is convergent whenever  $x \in M(\phi)$ , then from Lemma 1.1 we have

$$\left|\sum_{i,j=1,1}^{\infty,\infty} u_{ij} x_{ij}\right| \le K \|x\|_{M(\phi)}$$

for some real number *K* and all *x* of  $M(\phi)$ . In view of Lemma 2.1(ii), we may replace  $x_{ij}$  by  $x_{ij} \operatorname{sgn}\{u_{ij}\}$ , obtaining

$$\sum_{i,j=1,1}^{\infty,\infty} |u_{ij}x_{ij}| \le K \|x\|_{M(\phi)}.$$
(2.7)

Let  $v \in S(u)$ . Then taking *x* to be a suitable rearrangement of  $\Delta_{11}\phi$ , it follows from Eq. (2.7) and Theorem 2.2 and Lemma 2.1(i) that

$$\sum_{i,j=1,1}^{\infty,\infty} |\nu_{ij}| \Delta_{11} \phi_{ij} \leq 4K,$$

and thus  $u \in N(\phi)$ .

Sufficiency. If  $x \in M(\phi)$  and  $u \in N(\phi)$ , it follows from Lemma 2.2 that for every positive integer *m* and *n*,

$$\sum_{i,j=1,1}^{\infty,\infty} |u_{ij}x_{ij}| \le \|u\|_{N(\phi)} \|x\|_{M(\phi)}.$$

**Theorem 2.4** In order that  $\sum u_{mn}x_{mn}$  be convergent [absolutely convergent] whenever  $x \in N(\phi)$ , it is necessary and sufficient that  $u \in M(\phi)$ .

*Proof* Since sufficiency is included in Theorem 2.3, we only consider necessity. We therefore suppose that  $\sum u_{mn}x_{mn}$  is convergent whenever  $x \in N(\phi)$ . By arguments similar to those used in Theorem 2.3, we may therefore have that

$$\sum_{m,n=1,1}^{\infty,\infty} |u_{mn}x_{mn}| \le K \|x\|_{N(\phi)}$$
(2.8)

for some real number *K* and all *x* of  $N(\phi)$ . Let  $x = c(\zeta)$ , where  $\zeta \in U_{st}$ . Then  $x \in N(\phi)$ , and

$$\|x\|_{N(\phi)} = \sup_{\xi \in U_{st}} \sum_{m,n \in \xi} \Delta_{11} \phi_{mn} \le 4\phi_{st},$$

from Theorem 2.2 and Eq. (2.8) we have

$$\sum_{m,n\in\zeta}|u_{mn}|\leq 4K\phi_{st}\quad (\zeta\in U_{st};s,t=1,2,3,\ldots),$$

and thus  $u \in M(\phi)$ .

#### 3 Inclusion relations for $M(\phi)$ and $N(\phi)$

**Lemma 3.1** In order that  $M(\phi) \subseteq M(\psi) [N(\phi) \supseteq N(\psi)]$ , it is necessary and sufficient that

$$\sup_{s,t\geq 1}\left(\frac{\phi_{st}}{\psi_{st}}\right)<\infty.$$

*Proof* Since each of the spaces  $M(\phi)$  and  $N(\phi)$  is the dual of the other, by Theorems 2.3 and 2.4, the second version is equivalent to the first. Moreover, sufficiency follows from the definition of an  $M(\phi)$  space. We therefore suppose that  $M(\phi) \subseteq M(\psi)$ . Since  $\Delta \phi \in M(\phi)$ , it follows that  $\Delta \psi \in M(\psi)$ , and hence we find that, for every positive integer *s*,  $t \ge 1$ ,

$$\phi_{st} = \sum_{i,j=1,1}^{s,t} \Delta_{11}\phi_{ij} \leq \psi_{st} \|\Delta\phi\|_{M(\psi)}, \quad \text{where } \Delta = \Delta_{11}.$$

#### Theorem 3.1

- (i)  $L_1 \subseteq M(\phi) \subseteq L_\infty$   $[L_1 \subseteq N(\phi) \subseteq L_\infty]$  for all  $\phi$  of  $\Theta$ .
- (ii)  $M(\phi) = L_1 [N(\phi) = L_\infty]$  if and only if  $bp \lim_{s,t} \phi_{st} < \infty$ .
- (iii)  $M(\phi) = L_{\infty} [N(\phi) = L_1]$  if and only if  $bp \lim_{s,t} (\phi_{st}/st) > 0$ .

*Proof* We prove here the first version, while the second version follows by Theorems 2.3 and 2.4. Since  $\phi_{11} \leq \phi_{mn} \leq mn\phi_{mn}$  for all  $\phi$  of  $\Theta$ , we have by Lemma 3.1 that (i) is satisfied. Further, from Lemma 3.1, it follows that  $M(\phi) \subseteq L_1$  if and only if  $\sup_{s,t\geq 1} \phi_{st} < \infty$ , while  $L_{\infty} \subseteq M(\phi)$  if and only if  $\sup_{s,t\geq 1} (\phi_{st}/st) < \infty$ ; since the sequences  $\{\phi_{st}\}$  and  $\{st/\phi_{st}\}$  are monotonic, (ii) and (iii) are also satisfied.

**Theorem 3.2** Suppose that  $1 and <math>\frac{1}{p} + \frac{1}{q} = 1$ . Then

- (i) Given any  $\phi$  of  $\Theta$ ,  $M(\phi) \neq L_p$   $[N(\phi) \neq L_q]$ .
- (ii) In order that  $L_p \subset M(\phi)$   $[N(\phi) \subset L_q]$ , it is necessary and sufficient that  $\sup_{s,t\geq 1}(\frac{(st)^{1/q}}{\phi_{st}}) < \infty$ .

(iii) In order that  $M(\phi) \subset L_p$   $[N(\phi) \supset L_q]$ , it is necessary and sufficient that  $\Delta \phi \in L_p$ . (iv)  $\bigcup_{\Delta \phi \in L_p} M(\phi) = L_p \left[\bigcap_{\Delta \phi \in L_p} N(\phi) = L_q\right]$ .

*Proof* (i) Let us suppose that  $M(\phi) = L_p$ .

Then, by Lemma 1.2, there exist real numbers  $r_1$  and  $r_2$  ( $r_1 > 0$ ,  $r_2 > 0$ ) such that, for all x of  $M(\phi)$ ,

$$r_1 \|x\|_{L_p} \le \|x\|_{M(\phi)} \le r_2 \|x\|_{L_p}.$$

Taking  $x = c(\zeta)$ , where  $\zeta \in U_{st}$ , we have that

$$r_1(st)^{\frac{1}{p}} \leq \frac{st}{\phi_{st}} \leq r_2(st)^{\frac{1}{p}}$$
 (s, t = 1, 2, 3, ...),

and hence that

$$r_1 \leq \frac{(st)^{\frac{1}{q}}}{\phi_{st}} \leq r_2 \quad (s,t=1,2,3,\ldots)$$

In view of Lemma 3.1, this implies that  $M(\phi) = M(\psi)$ , where  $\psi = \{(mn)^{\frac{1}{q}}\}$ . Since  $\Delta \psi \in M(\psi)$  by Theorem 2.2, but  $\Delta \psi \notin L_q$ , this leads to a contradiction. Hence (i) follows.

(ii) If  $L_q \subset M(\phi)$ , arguments similar to those used in the proof of (i) show that

$$(st)^{1/q} \le K\phi_{st}$$
  $(s, t = 1, 2, 3, ...).$  (3.1)

For sufficiency, we suppose that (3.1) is satisfied. Then, whenever  $x \in L_p$  and  $\zeta \in U_{st}$ ,

$$\sum_{m,n\in\zeta} |x_{mn}| \le \left(\sum_{m,n\in\zeta} |x_{mn}|^p\right)^{\frac{1}{p}} \left(\sum_{m,n\in\zeta} 1\right)^{\frac{1}{q}} \le \|x\|_{L_q} (st)^{\frac{1}{q}} < K\phi_{st} \|x\|_{L_q},$$

and hence  $x \in M(\phi)$ . In view of (i), it follows that  $L_q \subset M(\phi)$ .

(iii) By Theorem 2.2, we have  $\Delta \phi \in M(\phi)$ . For sufficiency, we suppose that  $\Delta \phi \in L_p$  and that  $x \in M(\phi)$ . Then  $\{u_{mn}\Delta_{11}\phi_{mn}\} \in L_1$  whenever  $u \in L_q$ , and it therefore follows from Lemma 2.2 that  $\{u_{mn}x_{mn}\} \in L_1$  whenever  $u \in L_q$ . Since  $L_p$  is the dual of  $L_q$  and since  $M(\phi) \neq L_p$ , it follows that  $M(\phi) \subset L_q$ .

(iv) By using (iii) we have  $\bigcup_{\Delta\phi\in L_p} M_{\phi} \subseteq L_p$ . Now, for obtaining the complementary relation  $L_p \subseteq \bigcup_{\Delta\phi\in L_p} M_{\phi}$ , let us suppose that  $x \in L_p$ . Then  $\lim_{m,n\to\infty} x_{mn} = 0$ , and hence there is an element u of S(x) such that  $\{|u_{mn}|\}$  is a non-increasing sequence. If we take  $\psi = \{\sum_{i,j=1,1}^{m,n} |u_{ij}|\}$ , then it is easy to verify that  $\psi \in \Theta$  and that  $x \in M(\phi)$ . Since  $\Delta \psi \in L_p$ , the complementary relation is satisfied.

#### 4 Application of $M(\phi)$ and $N(\phi)$ in clustering

In this section, we implement a k-means clustering algorithm by using  $M(\phi)$ -distance measure. Further, we apply the k-means algorithm into clustering to cluster two-moon data. The clustering result obtained by the  $M(\phi)$ -distance measure is compared with the results derived by the existing Euclidean distance measures ( $l_2$ ).

#### 4.1 Algorithm to compute $M(\phi)$ distance

Let  $x = [x_1, x_2, x_3, ..., x_n]_{1 \times n}$  and  $y = [y_1, y_2, y_3, ..., y_n]_{1 \times n}$  be two matrices of size  $1 \times n$ , and let  $\phi_{m,n} = \phi_{1,n} = n$ .

- (1) Calculate  $a_i = \frac{1}{\phi_{1,i}} |x_i y_i|, i = 1, 2, 3, ..., n.$
- (2) The  $M(\phi)$ -distance between x and y is d, where

 $d = \max\{a_1, a_1 + a_2, \dots, a_1 + a_2 + \dots + a_n\}.$ 

#### 4.2 K-means clustering algorithm for $M(\phi)$ -distance measure

Let  $X = [x_1, x_2, x_3, ..., x_n]$  be the data set.

- (1) Randomly/judiciously select *k* cluster centers (in this paper we choose first *k* data points as the cluster center  $y = [x_1, x_2, ..., x_k]$ ).
- (2) By using *M*(φ) or *N*(φ) distance measure (since both are dual of each other, in application point of view, we only consider *M*(φ)), compute the distance between each data points and cluster centers.
- (3) Put data points into the cluster whose  $M(\phi)$ -distance with its center is minimum.
- (4) Define cluster centers for the new clusters evolved due to steps 1-3, the new cluster centers are computed as follows:  $c_i = \frac{1}{k_i} \sum_{j=1}^{k_i} x_i$ , where  $k_i$  denotes the number of points in the *i*th cluster.
- (5) Repeat the above process until the difference between two consecutive cluster centers reaches less than a small number *ε*.

## 4.3 Two-moon dataset clustering by using $M(\phi)$ -distance measure in k-means algorithm

Two-moon dataset is a well-known nonconvex data set. It is an artificially designed two dimensional dataset consisting of 373 data points [19]. Two-moon dataset is visualized as moon-shaped clusters (see Figure 1).

By using  $M(\phi)$ -distance measure in the k-means clustering algorithm, the obtained result is represented in Figure 2. In Figure 3, we represent the result obtained by using the Euclidean distance measure in the k-means algorithm (we measure the accuracy of the cluster







by using the formula, accuracy = (number of data points in the right cluster/total number of data points)). The experimental result shows that cluster accuracy of  $M(\phi)$ -distance measure is 84.72% while  $l_2$ -distance measure's clustering accuracy is 78.55%. Thus,  $M(\phi)$ -distance measure substantially improves the clustering accuracy.

#### **5** Conclusions

In this paper, we defined Banach spaces  $M(\phi)$  and  $N(\phi)$  with discussion of their mathematical properties. Further, we proved some of their inclusion relation. Furthermore, we applied the distance measure induced by the Banach space  $M(\phi)$  into clustering to cluster the two-moon data by using the k-means clustering algorithm; the result of the experiment shows that the  $M(\phi)$ -distance measure extensively improves the clustering accuracy.

#### Authors' contributions

All authors of the manuscript have read and agreed to its content and are accountable for all aspects of the accuracy and integrity of the manuscript.

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