REPORT

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# REMOTE INFORMATION RETRIEVAL FACILITY 

by Roger K. Summit

Prepared by
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for

## NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

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## FOREWORD

This report summarizes work performed under NASA Contract NASw 1454. The first phase consisted of installing a remote terminal base at Ames Research Center, Moffett Field, California, for the use of engineers and scientists in conducting on-line searches on the NASA collection of machinereadable document citations. This data base was stored in a mass storage device at the Lockheed Palo Alto Research Laboratory. Communication between the user and the data base was conducted over a telephone line using an interactive information retrieval language, DIALOG, developed by Lockheed. During the second phase of the contract, the terminal was relocated at NASA headquarters in Washington, D. C. where additional searches were conducted.

Special acknowledgment is due the Scientific and Technical Information Division of NASA for its pioneering effort in information retrieval, and its continuing developmental support in this important discipline.

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Section 1<br>SUMMARY OF WORK PERFORMED Contract NASw-1454

### 1.1 NASA FILE CONVERSION

The NASA linear file, inverted file, and cross-reference file, which were furnished by NASA, were converted to a standard format compatible with the IBM 360 system. Conversion of the linear file resulted in an average compression of $28 \%$. Much of the greater storage efficiency resulted from elimination of coded terms and blank spaces from the records. All files were loaded on the IBM 2321 data cell. Indices to the files were generated using the IBM index sequential file management system (ISFMS). These indices were stored in a special format on the IBM 2311 disk.

A detailed description of the file conversion process can be found in Appendix A.

### 1.2 SYSTEM OPERATION, AMES RESEARCH CENTER

On completion of file conversion and after local checkout of the files with the DIA LOG information retrieval system (described in Section 2), a remote terminal consisting of an IBM 2260 keyboard/display device and an IBM 1053 character printer were installed at the Ames Research Center in Mountain View, California. Remote operation was conducted via a 1200 bit/second, full duplex, schedule 4A telephone line using Model 202 data sets.

For a period of 2 months, Ames scientific and library personnel operated the system on the average of 2 hours per day performing literature searches on the computerbased collection of 300,000 report and journal citations. (See Appendix B for search summaries.) Following an announcement of the availability of the service, user's manuals were distributed to interested parties and a signup sheet was maintained. After the first month, signups were backlogged an average of 1 to 2 weeks.

The general reaction of the users, based on their comments and repeated searches, was favorable. Ames is currently contemplating an extention of the service. Excepting substantial trouble with the telephone line, and occasional trouble with the IBM equipment and the application programs, the system operated reliably.

Several minor modifications were made to the DIALOG search system during the course of performance, based on user reaction and observations of user needs.
1.3 SYSTEM OPERATION, WASHINGTON, D.C.

The terminal was shipped and installed in Washington, D. C., in July, 1967. During the ensuing 12 -months, searches were conducted during a 3 -hour period each morning. Whereas searches were primarily conducted by the final customers during the first phase, most searches were conducted by the NASA Headquarters Librarian, Mrs. Karen Milligan, during the second phase.

During this period, approximately 300 searches were conducted. Also, files were enlarged to a total of 400,000 citations, abstracts for the 1967 items were included, and the $W$ series (describing research projects) was included. Service on the whole was more reliable than during the first phase with only negligible telephone line failure. Inclusion of a "soft restart" capability largely eliminated catastrophic software failures. Continued difficulty was experienced with the IBM 2321 Data Cell, however, which accounted for most of the nonrecoverable failures.

Section 2

## DESCRIPTION OF THE DIALOG SYSTEM

This section reviews the procedural techniques and computer facilities used in conducting on-line searches - specifically, the DIALOG information retrieval language and the computer environment within which this set of programs operates.

### 2.1 DESCRIPTION OF THE DIALOG LANGUAGE

DIALOG is an interactive information retrieval language which allows the user to formulate simple or complex search requests via a video/keyboard display terminal (Fig. 2-1) which is coupled to a computer containing the material to be searched. To use DIALOG, the engineer or librarian enters desired commands such as "begin search," "display," "select," or "combine" by depressing keys on the keyboard (Fig. 2-2). The computer responds by displaying various data on the display screen and/or on the console printer as appropriate.

Four important phases in the search are:

- Select index terms or subject phrases
- Combine individual terms or phrases
- Display output
- Modify search expression

In the first step, the user identifies and selects index terms (i.e., subject phrases) of interest which relate to his search topic. The second step allows the user to build his own specialized subject heading during the search. At each step the user is informed of the number of documents which fulfill his specified search criteria. The third step, display of the output, allows the user to review references for relevancy,


Fig. 2-1 DIALOG Video/Keyboard Display Terminal


Fig. 2-2 Display Keyboard Showing DIALOG Commands
and to select additional terms to better express his search requirement. Once the desired literature references are displayed, the user can press the printout key for a permanent record of the retrieved references, or can modify his search expression based on index terms used in displayed references.

The NASA linear file, inverted file, and related term files are located on the IBM 2321 data cell. The descriptor and accession number indices are located on one of the two 2311 disk drives. The system reaction to several of the commands is described below.

To assist the user in identifying and selecting index terms, a command labeled "EXPAND" is provided which causes a display of the alphabetically near index terms for any term entered. For each term displayed, the number of items indexed by that term as well as the number of thesaurus terms is shown. This function provides the user with a continually updated catalog of the index and cross-reference terms and their usage. Figure $2-3$ shows the display resulting from EXPAND TECHNOLOGY. Figure 2-4 shows the display of cross-reference terms resulting from EXPAND E5 (the reference number for TECHNOLOGY on Fig. 2-3). Desired terms can be selected using a command, "SELECT." Each selected term defines a subset of the total collection; namely, that subset which contains that term as an index term. Each subset is numbered and described on the remote character printer providing easy reference for the user.

The subsets corresponding to selected terms (or combinations of terms) can be combined with a powerful command called "COMBINE" to further partition the collection. COMBINE $1 * 2 * 3$ (where 1,2 , and 3 are subsets of citations corresponding to the first three terms selected) results in a subset 4 which includes only those items containing all three of the specified terms. COMBINE operates on specified subsets with operators "and," "or," and "not," allowing the flexible and recursive construction of any arbitrary Boolean set. The power of this command is great. It allows the user to successively cut-and-try various search expressions. The system

| EXPAND-TECHNOLOCY |  |  |  |
| :---: | :---: | :---: | :---: |
| REF DESCRIPTOR | CITATIO:IS | REL. TERMS | REF |
| E1 TECHNJCAL | 531 |  | E1 |
| E2 TECHNICAL DRAUHMG |  | 1 | E2 |
| E3 TECH:ICAL WRITING | 9 |  | E 3 |
| E4 TECHNIQUE | 6343 | 16 | E4 |
| E5 *TECHNOLOC:Y | 4452 | 4 | E 5 |
| E6 TECTOMIC MOVEMENT | 50 |  | EG |
| E7 TECTONICS | 97 |  | E7 |
| E8 TEE | 22 |  | E E |
| Eg TEETERI:G | 2 |  | E9 |
| EHTER HEXT COMMAAID |  |  |  |

Fig. 2-3 Display Resulting From EXPAND TECHNOLOGY

```
                EXPAMD-E5
\begin{tabular}{|c|c|c|c|c|}
\hline REF & DESCRIPTOR & CITATIONS & REL. TERHS & REF \\
\hline E 5 & * TECHINOLOGY & 445 ? & 4 & E5 \\
\hline El0 & AEROSPACE TECHNOLOGY & 657 & & E10 \\
\hline E11 & BIOTECHNOLOGY & 131 & & E11 \\
\hline E12 & MILITARY TECHNOLOGY & 352 & 1 & F12 \\
\hline E13 & REACTOR TECH!OLOGY & 484 & & E13 \\
\hline
\end{tabular}
```

ENTER NEXT COMMAND

Fig. 2-4 Display of Cross-Reference Terms Resulting From EXPAND E5
tells the user at each step how many items meet the specified criteria, and based on this feedback the searcher can narrow or broaden any expression to suit his requirement.

To assist the user in further evaluating the result of any search expression, several output commands are defined. DISPLAY causes a display of the specified items (citations or accession numbers) on the cathode ray tube display device; PRINT causes specified items to be printed on a high-speed printer; KEEP causes specified items to be saved in a special subset; and TYPE causes specified items (or their access numbers) to be typed on the IBM 1053 character printer at the terminal. Figure 2-5 shows displays of citations retrieved from the search expression:

## (TECHNOLOGY or AEROSPACE TECHNOLOGY) and TRANSFER and INDUSTRY

Examination of displayed items (and the terms used to index these items) frequently suggests additional terms which should be included in the search expression. The step-wise approach to searching used in DIALOG allows easy modification of search strategy without repeating or regenerating the search.

### 2.2 COMIMAND LANGUAGE MODIFICATION

Because each of the commands within DIALOG is an integral unit, modifications to individual command definitions were facilitated. It was also possible to introduce additional commands when the need for them was indicated.

Distributions were developed from actual search history execution times which indicated that with four terminals, mean waiting time per command would be between 1 and 2 seconds. Elimination of the TYPE command (use of remote PRINT capability) and a current change which causes output printing to go to tape rather than the on-line printer would reduce the wait time figure.

```
65A31673 00/07/65 
    SPIN-OFF FROM SPACE. (N;ASA IMFORMATION SYSTEM TO ASSIST TRANSFER OF TECHNOLO
GICAL DATA FRON SPACE PROGRAMS TO POTENTIAL BENEFICIARIES)
KERR, B. M. /NASA, SCIENTIFIC AND TECHNICAL INFORMATION DIV., IUASHINGTO N, D.C.1. 203049 SCIENCE JOURNAL, VOL. 1, JUL. 1965, P. 85-90. KERR, B. M.
/ AEROSPACE/*AEROSPACE TECHNOLOGY/ DATA/ IHDUSTRY/ /NFORIMATION/*/MFORMATION RET RIEVAL/*NASA PROGRAM/ PROGRAM/ RETRIEVAL/ SPACE/ TECHNOLOGY/ TITANIUM/ TRANSFER
ENTER NEXT COMMAND a
```

DISPLAY 6/2/2
65N16939~ NASA-CR-51214 NASR-1G2 00/06/63 UNCLASSIFIED
AEROSPACE RESEARCH APPLICATIONS CENTER SUMMARY REPORT, 1 APRIL TO 30 JUNE 1963
(AEROSPACE RESEARCH APPLICATIOI!S - CONFERENCE)
WEIMER, A. M.
INDIANA UNIV. FOUNDATION!, BLOOHIMGTON.
/ AEROSPACE/*AEROSPACE TECHNOLOGY/ APPLICATION/ COMMERCIAL/*CONFERENCE/ INDUSTR
Y/ NASA PROGRAM/ RESEARCH/ TRAHSFER

## ENTER NEXT COMMAND

```
    D ISPLAY 6/2/3
66N13375% NASA-CR-68620 ER-SB-1844 NASN-1139 00/04/G5 UNCLASSIFIED
    SPACE TECHNOLOGY APPLIED TO MAN*S EARTHLY NEEDS - A FEASIBILITY STUDY ON
THE TRANSFER OF AEROSPACE TE CHNOLOGY TO IHDUSTRY USE (FEASIBILITY STUDY ON ACC
ELERATING TRANSFER OF AE ROSPACE TECHNOLOGY TO COMMERCIAL INDUSTRY - AERQSPACE LI
TERATURE APPLICABILITY TO INDUSTRY)
    BROCK, A. W. DEMBICZAK, W. J. NAGY, A.
        AMERICAN MACHIIIE AND FOUNDRY CO., SANTA BARBARA, CALIF.
    / AEROSPACE/*AEROSPACE TECHNOLOGY/ APPLICATIO:I/ COMMERCIAL/ EVALUATION/*INDUSTR
Y/ INFORMATION!/*IHFORIMATIOH RETRIEVAL/ LITERATURE/ QUALITY/ RETRIEVAL/ SURVEY/ T
ECHNICAL/ TECHNOLOGY/ TRANSFER/ UTILIZATIOH
ENTER NEXT COMMAND *
```

Fig. 2-5 Display Examples

### 2.3 HARDWARE DESCRIPTION

The major hardware components of the IBM 360/30 include:

- Central processor containing 32,000 bytes of core (later upgraded to 64, 000 bytes)
- 2 disk drives (IBM 2311) each with a capacity of 7.25 million bytes of storage
- 1 data cell drive (IBM 2321) with a capacity of 400 million bytes of storage
- Data adapter unit (IBM 2701)
- Remote terminal consisting of IBM 2848 control unit, IBM 2260 keyboard display, and IBM 1053 character printer
- IBM 1443 printer

The central processor itself contains 32,000 bytes of high-speed core storage memory, the arithmetic and logic unit (ALU), and the power supplies for the ALU and the channels. [A byte is 8 digital bits plus a parity (check) bit.] Programs and data are stored as magnetic patterns in the memory.

The IBM 2311 disk storage drive is the memory device in which most of the "files" are located and the units in which various sorting and rearrangements of data are accomplished. The 2311 disk storage drive provides random access storage for 7.25 million bytes (or 14.5 million packed decimal digits and signs) on a single disk pack. The data rate of the IBM 2311 is 156 thousand bytes per second. Sequential track-to-track access time is 30 milliseconds. The maximum seek time is 145 milliseconds, and the average seek time is 85 milliseconds. The average rotational delay is 12.5 milliseconds.

The IBM 2321 data cell drive (and data cells) is the bulk storage memory of the system. The IBM 2321 data cell drive extends on-line random access storage capabilities to a volume of data beyond that of other storage devices. Each 2321 offers 400 million bytes (or 800 million packed decimal digits and signs) of on-line data. The data cells
are all removable and interchangeable, permitting an open ended capacity for libraries of data cells. The storage medium is a strip of magnetic tape $2-1 / 4$ inches wide by 13 inches long. Each data cell contains 200 of these strips, divided into 20 subcells of 10 strips each.

The IBM 2701 data adapter unit provides direct connection of a variety of remote and local external devices to an IBM 360. Together, the data adapter unit and a transmission adapter provide a single duplex (one way) or half-duplex (two ways alternately) data path, depending on the particular transmission adapter used, between external device(s) and an IBM 360.

The IBM 2848 display control contains the interface control, a character generator, and buffer storage for the display stations. This buffer storage retains the video data to maintain display regeneration on the CRT.

In addition, one IBM 1053 printer adapter unit may be attached to each display control. This feature allows use of an IBM 1053 printer at a central location to document and record periodic system transactions.

The IBM 1443 printer is the high-speed printer which produces the final output of the system. The IBM 1443 Printer Model N1 prints from 200 to 600 (maximum) lines per minute, depending on the number of characters in the set being used.

Section 3
SUMMARY OF SYSTEM USE

Two separate operational phases are described. These two phases differed substantially in terms of the manner in which the system was utilized. During the Ames Research Center Phase, all searching was performed by the primary customer; i.e., scientists and engineers interested in specific, detailed, and often subtle areas of technology. During the NASA Headquarters Phase, the terminal was used primarily by a NASA librarian to perform searches requested by other NASA personnel. The substantial difference between the two phases was that in the former case searches tended to be more specific with more items displayed and more selective printout, whereas in the latter case, the searches tended to be more generally formulated with less reliance on the display to edit results, and more massive printouts.

This section discusses the two phases with regard to search characteristics and user reaction. Summaries of individual searches from Phase 1 operation are included as Appendix B.

### 3.1 AMES RESEARCH CENTER PHASE

During the 2 -month period ( 44 working days or about 80 terminal hours), 75 successful working search sessions were conducted. The actual number of search topics explored was somewhat greater, however, because many sessions included multiple search topics. In addition, there were several demonstrations and instruction searches.

### 3.1.1 User Statistics

Although some service was provided in all but 2 days, there were several interruptions in service during the 2 -month period. These interruptions are summarized as follows:

| Type of Failure | Frequency of Occurrence | Approx. Time Lost (hr) | Percent of Total Time |
| :---: | :---: | :---: | :---: |
| Transmission line | 9 | 8 | 10.0 |
| IBM equipment | 6 | 7 | 8.7 |
| Application software | 5 | 4 | 5.0 |

In cases of failure, the interrupted search was regenerated either at the next scheduled period of operation, or by Lockheed personnel in a local mode of operation.

Time spent per search is distributed as follows:

| Elapsed <br> Search Time <br> $(\mathrm{min})$ | Number of <br> Searches |  | Percent of <br> Searches |
| :---: | :---: | :---: | :---: |
| $0-10$ |  | 11 | 12.4 |
| $11-20$ | 16 | 18.0 |  |
| $21-30$ | 10 | 11.2 |  |
| $31-40$ | 19 | 21.3 |  |
| $41-50$ | 12 | 13.5 |  |
| $51-60$ | 11 | 12.4 |  |
| $61-70$ | 10 | 11.2 |  |
| Average minutes per search: 33 |  |  |  |

Much of this time included learning and practice time. The experienced searcher (after 2 to 3 tries) frequently can complete a relatively complex search in 8 to 10 minutes. In addition, search expressions tended to be both long in terms of the number of index terms included in the search expression, and complex in terms of the number of levels and concept groups in the Boolean expression satisfying the search topic.

Number of Index
Terms per Search Topic
1-5
6-10
11-15
16-20
21-25

Number of Searches

33
39
15
4
5

Percent of Searches
34.4
40.6
15.6
4.2
5.2

Average index terms per search: 8

| Level of Boolean <br> Expression | Number of <br> Searches |  |
| :---: | :---: | :---: | | Percent |
| :---: |
| Searche |

Average level of search: 2.3

| Number of Concept <br> Groups | Number of <br> Searches |
| :---: | :---: |


| 1 | 2 | 2.1 |
| :--- | ---: | ---: |
| 2 | 40 | 41.7 |
| 3 | 40 | 41.7 |
| 4 | 14 | 14.5 |

Average concept groups per search topics: 2.7
NOTE: The level of a Boolean expression measures the hierarchy of the expression, whereas the number of concept groups refers to the number of different groups of terms connected by "AND." For example, the expression (WELDING) AND (DEFECT OR FLAW) AND (ALUMINUM) is a two level, three expression search.

From these data we see that the average search time was 33 minutes, the average number of terms for search topics was 8 , and the average level of search expression
was 2.3 and the average number of concept groups was 2.7. The total number of search topics does not agree in all cases because "elapsed search" time was lost in some instances.

The number of relevant items printed was as follows:

| Number of Items <br> Printed |  | Number of <br> Searches |  |
| :---: | :---: | :---: | :---: | | Percent of |
| :---: |
| Searches |
| $0-10$ |

Average items printed per search: 22

From the distribution of items printed, it can be seen that most users printed less than 20 items. With on-line retrieval and the display capability, the user frequently prints only items which have been displayed and adjudged relevant. It follows, of course, that he does not print items which, although relevant to the search topic, are already familiar to him. The longer printouts frequently resulted when, after extensive sampling within a set, a user simply decided to print the entire set for review.

### 3.1.2 User Reaction

User reaction can be measured in several ways:

- Relevance versus precision
- Repeat usage
- Testimonial
- System demand

The traditional measures used to evaluate information retrieval systems are recall and precision. Recall refers to the number of relevant items retrieved compared with the total number of relevant items in the file; precision refers to the number of relevant items retrieved compared with the total number of items retrieved. With a recursive, on-line system such as DIALOG, the user successively modifies his search expression until he is satisfied with the relevance and precision of the resulting file. He then prints out selected items from the resulting file. The items printed out represent relevant items, but also represent items with which the user is not already familiar. In other words, they represent net additions to his knowledge in the area. As might be expected, there were large individual differences in the number of items printed as compared with items displayed. In a sample of 10 searches, the percentage of items kept per items displayed varied from $100 \%$ in two cases to $40 \%$ in the worst case. (The average was $58 \%$.) Realizing that the searchers were professionals in the subjects, these percentages appear to represent substantial contributions.

Repeat usage, in the case of the 2-month Ames operation, is not a valid indicator of user reaction. On the one hand, there were no file updates during this period so that repetitions represented either searches in different subject areas or refinements of previous searches. Experience in this regard, however, is summarized below:

| Number of Search <br> Sessions per User | Number of <br> Occurrences |  | New Topic |  |
| :---: | :---: | :---: | :---: | :---: | | Same Topic |
| :---: |
| (Refinement) |

It can be seen that most repeated searches involved new topics. The last case above represented a searcher who was generally interested in all Apollo programs. He eventually printed a long bibliography in this area.

User comments and reactions were solicited as part of the search procedure and are summarized below. (The detailed comments can be found in Appendix B.)

Not
Comment Positive Negative $\underline{\text { Discussed }}$

1. Usefulness of particular search 37
731
2. Desirability of
having DIALOG
as a search tool
36
039
3. Ease of learning and/or use
19
4
52
4. DIALOG vs. batch or manual search
2
53

Demand for use was substantial once availability was announced. Although only 2 hours per day (8:00-10:00 AM) were available for searching, and an observation session was required before a user could "solo," there was an average backlog of 1-2 weeks toward the end of the 2 -month period of operation.

That users would expend their time reading the manual, observing a search session, and would spend $30-60$ minutes per search session at a terminal operating the system knowing the service was to be terminated and the file would not be updated, would seem to indicate a positive reaction to the DIALOG system.

### 3.2 NASA HEADQUARTERS PHASE

During the year that the terminal was installed in Washington, D. C., approximately 300 searches were conducted, of which 174 are used in the analysis which follows.*

Service was provided on all but 9 days during the one-year period of operation (approximately 300 hours of available time). Failures are summarized as follows:

[^0]| Type of Failure | Frequency <br> of Occurrence | Approx. Time <br> Lost (hr) |  | Percent of <br> Total Time |
| :--- | :---: | :---: | :---: | :---: |
| Transmission line | 7 |  | 13 | 4.3 |
| IBM equipment | 25 | 23 | 7.7 |  |
| Application software | 30 | 3 | 1.0 |  |

During later phases of the operation, failures were nil due to additional errorchecking software, and inclusion of the soft restart capability in DIALOG.

The number of terms per search expression was distributed as follows:

| Number of Index <br> Terms per Search | Number of <br> Searches |  | Percent of <br> Searches |
| :---: | :---: | :---: | :---: |
| $1-5$ | 104 |  | 59.8 |
| $6-10$ | 40 | 23.0 |  |
| $11-15$ | 14 | 8.0 |  |
| $16-20$ | 9 | 5.2 |  |
| $21-25$ | 7 | 4.0 |  |

Average index terms per search: 6.5

Another measure of the complexity of the search expression is the level* of the Boolean expression which was distributed as follows:

| Level of Boolean <br> Expression | Number of <br> Searches |  | Percent of <br> Searches |
| :---: | :---: | :---: | :---: |
| 1 |  | 62 | 35.6 |
| 2 | 78 | 44.8 |  |
| 3 | 25 | 14.4 |  |
| 4 | 9 | 5.2 |  |

Average level of search: 1.9

[^1]The number of concept groups per search was distributed as follows:

| Number of Concept <br> Groups | Number of <br> Searches |  | Percent of <br> Searches |
| :---: | :---: | :---: | :---: |
|  |  | 66 |  |
| 2 |  | 37.9 |  |
| 3 | 31 | 29.9 |  |
| 4 | 25 | 17.8 |  |
|  |  |  | 14.4 |

Average concept groups per search: 2.1

The number of items printed was distributed as follows:
$\left.\begin{array}{cccc}\begin{array}{c}\text { Number of Items } \\ \text { Printed }\end{array} & & \begin{array}{c}\text { Number of } \\ \text { Searches }\end{array} & \end{array} \begin{array}{c}\text { Percent of } \\ \text { Searches }\end{array}\right]$

Average items printed per search: 22.4

### 3.3 COMPARATIVE ANALYSIS OF AMES AND HEADQUARTERS SEARCH STATISTICS

In the Ames environment most searches were conducted by the engineer or scientist with the information need. In the Headquarters environment, most searches were conducted by library personnel in response to expressed needs. Our hypothesis is
that searches conducted by users of information will differ from those conducted by an intermediary. Specifically, the searches conducted by the information users are apt to be more specific, more complex in structure, and to cause fewer items to be printed out than those conducted by an intermediary. This hypothesis is based on the assumption that communicating a complex notion to another person is more difficult than recognizing descriptive abstracts of documents which closely relate to that complex notion.

Table 3-1 presents a summary of the search statistics from the two environments.

Table 3-1
SUMMARY OF SEARCH CHARACTERISTICS FROM AMES AND HEADQUARTERS SEARCHES

Characteristic Ames Headquarters
Average Number of Terms 8.0
$8.0 \quad 6.5$
Average Level of Search
2.3
1.9

Average Number of Concepts
2.7
2.1

Average Number of Items Printed
22.0
22.4

A superficial review of this table seems to bear out the above hypothesis. A more detailed analysis of these four characteristics was carried out as follows.

For each characteristic we are given the distribution of that characteristic for 96 searches at Ames and 174 searches at NASA Headquarters. We are interested in whether the population distributions of these two groups are identical, and if not, which classes differ significantly.

To describe the statistical technique employed, let $n_{11}, n_{21}, \ldots, n_{k 1}$ be the allocation of observations among $k$ classes for the Ames group for a particular characteristic. Similarly, let $\mathrm{n}_{12}, \mathrm{n}_{22}, \ldots, \mathrm{n}_{\mathrm{k} 2}$ be the corresponding allocation for the Headquarters group. Let

$$
N_{I}=\sum_{i=1}^{k} n_{i 1}=96 \quad \text { and } \quad N_{2}=\sum_{i=1}^{k} n_{i 2}=174
$$

be the sample sizes for the two groups. Define $n_{i 0}=n_{i 1}+n_{i 2}$ and $E n_{i 1}=N_{1} n_{i 0} /\left(N_{1}+N_{2}\right)$. When the true probabilities of observations attaining values in the $i^{\text {th }}$ class are equal for the two groups, the statistic,

$$
Z_{i}=\frac{n_{i 1}-N_{1} n_{i 0} /\left(N_{1}+N_{2}\right)}{\sqrt{N_{1} n_{i 0}} /\left(N_{1}+N_{2}\right)}
$$

is approximately normally distributed with mean zero and variance one. The probabilities for the $i^{\text {th }}$ class will be said to be significantly different if the absolute value of $Z_{i}$ is sufficiently large. (Largeness is based on quantiles of the normal distribution.)

When the probabilities of observations attaining values in the $i^{\text {th }}$ class are equal for the two groups for every class, then the statistic,

$$
\chi_{2 \mathrm{k}-2}^{2}=2 \sum_{i=1}^{\mathrm{k}} \mathrm{z}_{\mathrm{i}}^{2}
$$

has approximately a chi-square distribution with $2 \mathrm{k}-2$ degrees of freedom. The two distributions are significantly different if $\chi_{2 \mathrm{k}-2}^{2}$ is large relative to certain quantiles of the chi-square distribution.

Table 3-2 is an analysis of the data for each characteristic. An asterisk denotes significance at the $5 \%$ level.

Table 3-2
ANALYSIS OF AMES AND HEADQUARTERS SEARCH STATISTICS

Index Terms per Search Topic

| Index Terms | $\mathrm{n}_{\mathrm{i} 1}$ | $\mathrm{n}_{\mathrm{i} 2}$ | $\mathrm{En}_{\mathrm{i} 1}$ | $\mathrm{Z}_{\mathrm{i}}$ |
| :---: | ---: | ---: | ---: | :---: |
| $1-5$ | 33 | 104 | 48.7 | $-2.25^{*}$ |
| $6-10$ | 39 | 40 | 28.1 | $+2.06^{*}$ |
| $11-15$ | 15 | 14 | 10.3 | +1.46 |
| $16-20$ | 4 | 9 | 4.6 | -0.28 |
| $21-25$ | 5 | 7 | 4.3 | +0.34 |
|  | 96 | 174 | 96.0 | $\chi_{8}^{2}=33.26^{*}$ |
|  | Boolean Expression |  |  |  |
| Expression | $\mathrm{n}_{\mathrm{i} 1}$ | $\mathrm{n}_{\mathrm{i} 2}$ | $\mathrm{En}_{\mathrm{i} 1}$ |  |
|  | 7 | 62 | 24.5 | $\mathrm{Z}_{\mathrm{i}}$ |
| 1 | 62 | 78 | 49.8 | $-3.54^{*}$ |
| 2 | 20 | 25 | 16.0 | +1.73 |
| 3 | 7 | 9 | 5.7 | +1.00 |
| 4 | 96 | 174 | 96.0 | +0.54 |
|  |  |  |  | $\chi_{6}^{2}=33.63^{*}$ |

Concept Groups

Groups
1
2
3
4
$n_{i 1}$
2
40
40
14
96
$n_{i 2}$
66
52
31
25
174
Items Printed

| Items Printed | $\mathrm{n}_{\mathbf{i} 1}$ | $\mathrm{n}_{\mathrm{i} 2}$ | $\mathrm{En}_{\mathrm{i} 1}$ | $\mathrm{Z}_{\mathbf{i}}$ |
| :---: | ---: | ---: | ---: | :---: |
| $0-10$ | 37 | 118 | 55.1 | $-2.44^{*}$ |
| $11-20$ | 17 | 8 | 8.9 | $+2.72^{*}$ |
| $21-30$ | 15 | 9 | 8.5 | $+2.23^{*}$ |
| $31-40$ | 10 | 10 | 7.1 | +1.09 |
| $41-60$ | 10 | 6 | 5.7 | +1.80 |
| $61-100$ | 6 | 11 | 6.0 | -0.00 |
| $101+$ | 1 | 12 | 4.7 | -1.68 |
|  | 96 | 174 | 96.0 | $\chi_{12}^{2=51.15^{*}}$ |

*Significance at $5 \%$ level.

The analysis of the data shows an overall statistically significant difference in the distribution corresponding to the two groups for each characteristic.

For the index terms per search topic, the Ames group tends to have smaller probabilities toward the lower tail, higher probabilities in the middle range, and approximately even probabilities in the upper range, in comparison with the Headquarters group. This indicates that for most of the range, the Ames group tends to use larger numbers of index terms than does the Headquarters group.

For the Boolean expressions, the main differences occur with respect to expression one, in which the Ames group exhibits a significantly smaller proportion than at Headquarters.

Similar comments apply to the number of concept groups. Undoubtedly, the expression levels and concept groups are heavily correlated, and the similarity of results is not surprising.

For the Ames group, the items printed tend to be more compactly distributed away from extreme values, than the Headquarters group. This result was unanticipated, and has no ready explanation.

The foregoing analysis indicates that significant differences exist between the two user groups. The implication of this finding to the system designer is that an on-line system, if it is to achieve maximum effectiveness, should be designed to utilize the decision-making and pattern recognition capabilities of the human operator, and thus enable the engineer, scientist, or manager user to employ the computer as an extension of his intellect, not as a substitute for it.

Appendix A<br>FILE CONVERSION REPORT - NASA LINEAR FILE

## SUMMARY

A program was written which reads NASA linear file blocks and reformats individual citation records, the formats for which are shown in Figures 1 and 2. The reformatted records are blocked onto successive tracks in the IBM 2321 data cell as indicated in Figure 3. A dictionary of accession numbers vs. data cell addresses is written on the IBM 2311 disk drive using ISFMS (Indexed Sequential File Management System) as illustrated in Figure 4. Checkpoint records are written on tape at the completion of loading of each reel of tape for restart purposes.

The reformatting results in an average compression of $28 \%$ if coded terms are eliminated (as they are in the reformatting), or $38 \%$ if coded terms are included. There were no particular problems encountered in reformatting the NASA linear file except for accession 63A20145 which was garbled by inclusion of a portion of 63A20141, and two tape redundancies which made blocks containing records 64X12674-6 and 66N22475-6 unreadable. In these three cases, the problen records were ignored. Several equipment and software problems were encountered and surmounted as a result of diligence and persistent effort on the part of the LMSC file conversion programmer and the IBM systems representative.

Data cell load time approximates 90 minutes/reel (15,000 citations/reel). The large amount of record scanning and checking accounts for perhaps $60 \%$ of this time. Usefulness of the IBM 2321 Data Cell is improving now that several earlier problems have been eliminated. Many of the difficulties relate to early use of new equipment. Free oxides on new tape strips accumulated on read/write heads, hydraulic pressure failure, and strip initialization problems seem to have decreased significantly. Because we have no experience regarding prolonged use of the device, judgment on this aspect of performance will be deferred.

## Basic NASA Linear File Record

6E C $\$-\times 14=63 \mathrm{~N}=16459=096111=283=1222 \quad 170563 \quad 2 \quad 0 \quad 1=$
$=0045=\quad=0117=0356=0432=0448=0464=0476=0758 \$ E S T M A T E$ OF NEUTRON ALBEOI ON MUON SURFACE UUE T=UCO
 FAGE DUE TO COSMIC RA=Y42BOMBARDMENT M. V. KRISHNA APPA RAO MAY 17, $196=343 A P$ G REFS SUBMITTEIJ FOR

 $=3 B O M B A R D M E N T \quad=1 C H A R G E \quad=1 C H O N D R I T E=1 C O M P O S I T I O N \quad=1 C D S A I C \quad=3 C O S M I C$ RADIA ION =IENERGY =IFLARE =1HELIUM =1INTENSITY =IINTERACTION =3LUNAR SURFACE $=1$ MOON $\quad \rightarrow 3 N E U T R O N \quad=1$ NUCLEUS $=1 P R O T O N \quad=1 S P A L L A T I O N=1 S U N \quad=1 S U R F A C E \quad \$=1=$ OSMI=1= $s$
 $=1=S U N=3=X L B E D=1=2 R O T O=3=3 \quad U T R=1=4 \mathrm{G}=1=6 \mathrm{HARG}=3=8 \mathrm{~S}$ 7Us-

Reformatted Linear File Record, Character and Hexadecimal Dump


, B\% EESTIMATE OF NEUTRUN ALBEDO ON MOON SURFACE DUE TO COSMIC RAY BOMBARDMENTI[4ONG3-16459 ROCHESTER U. S N. Y. ESTIMATE OF NEUTRON 4IALBEOD ON THE MOON*S SURFACE DUE TO COSMIC RAY 4ZROMBARDMENT M. V. K RISHNA APPA RAO MAY 17, 1963 436P G REFS SUBMITTED FOR PURLICATION /CONTRACT 44AT/30-1/-875/ /NYKI-
 RDMENTHICHARGE.ICHONDRITE IICOMPOSITIONHICOSMICK3COSMIC RAOIATIONHIENERGYGIFL TREHIHFLIUM.IINTENSITY(I INTERACTION 3LUNAR SURFACEFIMOONI 3NEUTRONIINUCLEUSHIPROTONGISPALLATIONEISUN ISURFACE
F1F4F6F305F1F6F4F5F GF OF OF 6F1F1F140F2F8F3F1F2F2F240440404040404040 FIXED NEADER 4040404040 F1F TF OF 5F 6F 3404040404040 F 24040 F0 4040404040404040404040 (PE BYIES) 4040404040 FOFOFOF 6404040404040 FI 1300680500 Bl 10601960701470801 C 609 REL . IMAGE 0100 QAO100EF02ACQOC5E2E3C904C1E3C540D6C $64005 C 5 E 4 E 309 D 6 D 540 C 113$ C2 C5C4D640D60540D4D6D6D540E2E4D9C6C1C3C540C4E4C540E3D640C3DSE2D4C9 03 C34009C1E840C2D604C2C1D9C404C505E 3 DOF 4 F0D5F6F360F1F6F4F5F9404009 D6C 3CEC5E2E3C50940E44B6B40D54BE84B40C5E2E3C9D4C1E3C54006C64015C5 E4E3D9D6D540F4F1C1D3C2C5C4D640D6D540F3C8C5400406D6D55CE24DE2E4D9 C6C1C 3C540C4E4C540E30640C3D6E2D4C9C340D9C1E840F4F2C2D604C2C1D9C4 O5

| FIELD | DESC. | STAHT <br> BYTE |
| :---: | :--- | :---: |
| 03 | NOC | 104 |
| 05 | DESC. NOTE | 177 |
| 06 | PERS. AUTH. | 406 |
| 07 | CORP. SOURCE | 423 |
| 08 | RPT. NO. | 454 |
| 09 | CONT. NO. | 464 |
| 0A | VOCAB. TERMS | 477 |
| FF | END OF REC. | 684 | 40F1F76B40F1F9F6F340F4F3F6D74040F64009C5C6E 240 E 2 E 4 C 2 O 4 C 9 E 3 E 3 C 5 C 4 40C606094007E4C2D3C9C3C1E3C906054061C30605E3D9C1C3E340F4F4C1E361 F3F060F16160F8F7F561406105E8D660F1F0F2F6F56 H0009C1066B40D44B40E506 $4 \mathrm{B4OD} 24 \mathrm{B4OC} 14 \mathrm{Bj} 0 \mathrm{D} 9 \mathrm{E} 6 \mathrm{~F} 9 \mathrm{~F} 8 \mathrm{~F} 3 \mathrm{~F} 4 \mathrm{~F} 2 \mathrm{~F} 0 \mathrm{D} 9 \mathrm{D} 6 \mathrm{C} 3 \mathrm{C} 8 \mathrm{C} 5 \mathrm{E} 2 \mathrm{E} 3 \mathrm{C} 5 \mathrm{D} 940 \mathrm{E} 4 \mathrm{D} 5 \mathrm{C} 9 \mathrm{E} 54 \mathrm{~B} 6 \mathrm{~B}$ O7 40D54B40E84PDOD5E8D660F1FOF2F6FSDOC 1E361F3F060F16160F8F7F CDBF3C 10809 D3C2C5C40 $208 \mathrm{FF} 1 \mathrm{C} 2 \mathrm{C} 1 \mathrm{E} 2 \mathrm{C} 103 \mathrm{E} 30 \mathrm{DF} 3 \mathrm{C} 2 \mathrm{D} 604 \mathrm{C} 2 \mathrm{C} 109 \mathrm{C} 4 \mathrm{D} 4 \mathrm{C} 5 \mathrm{D} 5 \mathrm{E} 308 \mathrm{~F} 1 \mathrm{C} 3 \mathrm{CBC109}$ C7C50RF1C3CA06D5C4D9C9E3C50DF 1C306D407D6E 2C9E3C91360508F1C 3D6E204 C9C312F3C306E2D4C9C340D9C1C4C9C1E3C906D508F1C5D5C5D9C7E8O7F1C603 OA C109C508F1CBC5D3C9E4D40BF1C9D5E3C505E2C9E3ERODF1C9O5E3C509C1C3E3 C9D6D5OFF3D3E405C10940E2E4D9C6C1C3C5O6F104D6DGD509F305C5E4E30906 O5Q2F 105E4C3D3C5E4E208F107D9D6E306D50CF1E2D7C1D303C1E3C9D60505F1 E2E40500F1E2E4D9C6C1C3C5

Fig. 1 Example of Basic and Reformatted Linear File Record


Hist field $\left|\begin{array}{l}\text { Pointer (No. of } \\ \text { identifier field } \\ \text { bytes from beg. }\end{array}\right|$ Pointer $\left\lvert\, \ldots\left[\left.\begin{array}{l}\text { Last field } \\ \text { identifier }\end{array}\left|\begin{array}{l}\text { Pointer }\end{array}\right| \begin{array}{l}\text { FF" } \\ \text { (hex) }\end{array} \right\rvert\, \begin{array}{l}\text { Pointer } \\ \text { to rec. end }\end{array}\right]\right.$ $\leftarrow 1$ byte $\rightarrow \longleftarrow 2$ bytes $\longrightarrow \leftarrow 1$ byte $\rightarrow \chi_{2}$ bytes $\rightarrow \longleftrightarrow 1$ byte $\rightarrow+2$ bytes $\boldsymbol{*}+$ byte $\geqslant \leftarrow 2$ bytes $\longrightarrow$

Relative Image Detail

| First subfield |  | Second subfield |  | Last subfield |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| length | data | length | data | "O0 ${ }^{\text {" }}$ | data |

Length of field $N=$ (value of field $N+1$ pointer ) - (value of field $N$ pointer )

Major Field Detail

Fig. 2 Schematic of Reformatted Record


Track Layout - One Physical Record/Track


CF Field Detail

RFR - Reformatted logical record
Flag Bit - ON: RFR continued next track

OFF: RFR completed this track

Fig. 3. Record Blocking on the 2321 Data Cell


Track Layout (99 items/record, 4 records/track)

| cell | s/cell | strip | cyl. | head | key lgth. | rec no. | start pos |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 5 | 4 | 3 | 5 | 0 | 0 | 11 |$\quad$ Item $\quad$ Bits alloted

Data Cell Address Packing

Fig. 4 Record Layout - ISFMS Index (2311 disk)

## PROGRAM DESCRIPTION

This section contains a point by point description of the appended, annotated program. Figures 5 and 6 respectively show dumps of a 2321 data cell block (reformatted linear file entries) and a 2311 disk index block (accession numbers to data cell addresses).

Orientation of 1401 input data to 360 type output data is accomplished. This includes removal of wordmarks, packing of fields (removal of blanks in several places) and the establishment of binary (count) pointers to distinct fields within the (input) record. Output is written on successive tracks of the 2321 data cell at up to 2000 bytes of data per track. A dictionary (DICT) of accession numbers versus data cell addresses is written on a reserve disk pack on the 2311 disk drive. This is written with ISFMS (Indexed Sequential File Management System) ... a part of the BOS 8 K operating system ... and occupies about 75 cylinders. On our IBM 360 model 30 , reel run time averages 90 minutes. A succession of checkpoint records is constructed on a one entry per reel basis; said record being written after the previous one on a special tape mounted at the end of processing of each input reel (following its removal). These reflect the machine status at reel-end time and permit restarting the job with any reel. The $23 l l$ disk pack is periodically copied to tape as a protection against loss. The data cell is removed and replaced with a dummy cell when filled.

The area between statements 844 and 948 was conceived, and largely coded, by a former employee and uses a rigorous character by character approach to the problem. Others might argue that the TRT (translate and test) plus multicharacter move. operations constitute the proper approach.

Statements 750 to 758 initialize basic program parameters. Reel 1 processing starts at START (711); other reels begin at RSTART (779). OPEN DICT (759) and SETFL DICT (768) cause the 75 cylinders of the 2311 pack to be preformatted in a suitable way to receive the KEY/DATA pairs, referenced later in this description. MTSOS (784) advises the operator of the reel \# of the tape that must now be mounted in order to continue processing and waits for him to advise that this has been completed.

DUMP FRUM 2321, UNIT $\times 11931$ ON 09/05/66
volume label folguns


CELL $=001$, . SBCELL $=012$, SYRIP $=007, C Y L=003$, TRACK $=005, R E C=001, K L \therefore 000, D L=2000$.
COUNT 0000 OC070305 01000700
DATAICI
0000
0100
0200
0300
0400
0500
0600
0700
0800
0900
1000
1100
1200
1300
1400
1506
1600
1700
1800
1900
---- data[h]
$\cdots$ …
 $\qquad$


Fig. 6 Dump of Disk Index Block

A record is read from tape at EXCP TAPE (822), one or more records are reformatted in the area mentioned above (844-948), and when 2000 bytes of output data are ready (or too little space is left in track image area to accommodate the field pointer area of still another record) program control reaches PACK (951). At this point, the EBCDIC representation of the accession number is altered in form (PACK operation) cutting its byte length in two. This item constitutes our dictionary KEY entry for this pair. There follows the construction (series of shift operations) resulting in the word DATA (986). It consists of 32 bytes divided as follows (left to right):

```
1st 4 cell number
next 5 subcell number
next 4 strip number i.e., the 232l address
next 3 cylinder number
next 5 track number
next ll relative (to start of track) position of start of
    reformatted record
```

KEY and DATA are the pair of entries presented to ISFMS at line 992. ISFMS has been directed (lines lo-13) to block these two 4 byte fields at 99 pairs per 23ll "record". This allows four records to be accommodated on each 2311 track.

MOVE (line 1029) is the subroutine that transfers all input characters (from IPAREA) to the output (reformatted) area at FRONT.

LIMIT and LIMIT2 (1045, 1046) are used in the process of determining when the field pointer count fields (of a record starting somewhere downstream in the 2321 track image area ... lst 2000 bytes of FRONT) have been filled ... a process that requires processing the entire logical input record and may cause considerable overflow beyond the first 2000 bytes of the FRONT area. Hence the space reservation of 4000 bytes.

Data Cell records are prefixed with a C/F (count flag) area of two bytes which gives the byte count of this segment of an accession on the 2321 track and further indicates (if bit 3 of first such byte is on ... and only then) that the remainder of this record (i.e., it is not here complete) is to be
found on the next available track (Volume Label and Alternate Track areas are avoided).

The references to KSU (1597) and ESCAPE (1604) are associated with preventing undesired strip restoration to its subcell while reformatting is taking place ... the latter process frequently exceeds 800 ms , the maximum strip holding time of the 2321.

Routine SHIFT (at 1323) moves the data from that portion of a reformatted record that just exceeded the 2000 byte limit of the track image area back to the prime work area (at FRONT) once the data at FRONT has been written out on the data cell. This routine includes some coding (lines 1329 to l339) of Mr. Keith Eckhardt of IBM ... one of their Systems Engineers ... whose valuable advice on ISFMS and data cell write operations have made this program possible. His assistance has been invaluable.

GERR (gross error, 1512) refers to a $\neq$ (record mark) being found in an unacceptable spot. 63 A20145 is such a record.

Principal programmer for the file conversion and load routines is D. C. Shoultz. His conscientious devotion and thoroughness are responsible for the success of the conversion.

# FILE CONVERSION REPORT - NASA INVERTED <br> AND RELATED TERMS FILES 

Contract NASw 1454

## SUMMARY

A program was written to accomplish the following:

1. Load related terms records on data cell (Relative Master file).
2. Load inverted file records on data cell (V-post file).
3. Generate an index of Descriptor vs. Inverted File and Related Terms.

Figure 1 depicts the chronological processing sequence outlined above.


Fig. 1 Chronological Processing Chart

Figure 2 contains schematics of the referenced output records. Figures 3-5 are character and hexadecimal dumps of the referenced output records. An appendix contains an annotated listing of the subject program. Data Cell load time approximates 25 minutes/reel.

## PROGRAM DESCRIPJION

The related terms tape processing program is merely a tape reader, term counter and data cell writer (except that counts for a descriptor are for items on this track only and may necessitate repeating descriptor on upcoming track). As such it deserves little comment. The output format is that of Fig. 2c and is used by the inverted file program as needed. The reason for putting it on the 2321 prior to processing the $V$-post tapes is that this facility has only one tape unit.

The approach taken to the inverted file (V-post tapes) was that of :

1. extraction - of essential data from V-post series-descriptors and accessing
2. combination - of pointer to data in (1) with a pointer to related terms paired with the descriptor at hand. This is illustrated in Fig. 2a.

OPERATING PROCEDURE
A. Required Configuration (in addition to CPU and storage controller)

2 each 2311 disk drives (units 190,191)
1 each 2321 data cell drive (unit 193)
1 each 2400 series 7 track tape unit (unit 180)
1 each 1052 typewriter (SYSOO4)
B. Operating Procedure:

1. Mount BOS pack (with 2321 error recovery procedures) on unit 190.
2. Mount (surface) initialized disk pack on 191.
3. Mount initialized cell 6 on 193.
4. Ready forms in printer, hex deck (supplied) in card reader. Press blue end-of-file button.
5. IPL from 190.

Hit interrupt. Reply (small) c, $\begin{gathered}\mathrm{E} \\ 0\end{gathered}$ to SAR on 1052. Job should run to end of file on input tape (Label file is shipped). At EOF time message EOF A appears on 1052. Mount new reel and type (small) c to continue. To terminate job (close files), reply with something else, not supervisor processed, such as $f$.
a. IBM 2311 (Disk) Vocabulary Term Index

| Item | Descriptor | Data Cell Seek Add. for Inv. File Entry | Number of Accessions | Track Loc. of lst Acc. | Data Cell Seek Add. for Rel. Terms Entry | No. of Rel. Terms | Track Loc. of lst Rel. Terms Entry |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Example | Zone | 0607050211 | 0617 | 0320 | 0612040300 | 05 | 0066 |
| Bytes | $\begin{gathered} 50 \text { EBCDIC } \\ \text { Char. } \end{gathered}$ | 5 hex char. | 2 hex char. | $\begin{aligned} & 2 \text { hex } \\ & \text { char. } \end{aligned}$ | 5 hex char. | 1 hex char. | 2 hex char. |

b. IBM 2321 (Data Cell) Inverted File Entry (6-7-5-2-17)
$\stackrel{\omega}{\sigma}$

| Item | Descriptor |  | Accessions |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Example | Zone | 0000 | 2510046 F | 2510054 F | etc. |
| Bytes | 50 | $-2-$ | 4 | 4 |  |

c. IBM 2321 (Data Cell) Related Terms Entry (6-18-4-3-12)

| Item | Count | Descriptor | Related Term 1 | Related Term 2 | etc. |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Example | 0005 | Zone | Auroral Zone | Brillouin Zone |  |
| Bytes | 2 | 50 | 50 | 50 |  |

Fig. 2 Record Formats

DUMP FROM 2311, UNIT X191' ON 12/31/66
VOLUME LABEL FOLLOWS


Fig. $3 \begin{aligned} & \text { Character and Hexadecimal Dump } \\ & \text { Descriptor Index Entries }\end{aligned}$

DUMP FROM 2321, UNIT X'193' ON 12/31/66
vOLUME LABEL FOLLOWS

| VOLIC) | 0000 | VOLILMSC- | D |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| VOL (H) | $\begin{aligned} & 0000 \\ & 0020 \end{aligned}$ | $\begin{aligned} & \text { E50603F } \\ & 40404040 \end{aligned}$ | $\begin{aligned} & 0304 E 2 C 3 \\ & 40404040 \end{aligned}$ | $\begin{aligned} & \text { 60F6FOOO } \\ & 40404040 \end{aligned}$ | $\begin{aligned} & 00000004 \\ & 40404040 \end{aligned}$ | $\begin{aligned} & 40404040 \\ & 40404040 \end{aligned}$ | $\begin{aligned} & 40404040 \\ & 40404040 \end{aligned}$ | $\begin{aligned} & 40404040 \\ & 40404040 \end{aligned}$ | $\begin{aligned} & 40404040 \\ & 40404040 \end{aligned}$ |
|  | 0040 | 40404040 | 40404040 | 40404040 | 40404040 |  |  |  |  |
| HA-RO | 0000 | 00070502 | 11070502 | 11000000 | 08070502 | 110007 D0 | 00 |  |  |
| CELL $=006$, | SBCELL | $=007$, STR | $=005 . \mathrm{Cy}$ | 00 | = 017, RE | 001, KL | 000, DL $=2000$ |  |  |
| Count | 0000 | 07050211 | 01000700 |  |  |  |  |  |  |
| DATA(H) | 0000 | E906C4C9 | C1C3C103 | 40036907 | CBE 34040 | 40404040 | 40404040 | 40404040 | 40404040 |
|  | 0020 | 40404040 | 40404040 | 40404040 | 40404040 | 40400000 | 3115283 F | 3116975 F | 3119655 F |
|  | 0040 | 3122406 F | 3123835F | 3124484 F | $3514901 F$ | 4110494 F | 4111792 F | 4113276 F | 4116583 F |
|  | 0060 | 4117553 F | 4117767F | 4117768 F | 4125081 F | 4128547 F | 4120576 F | 4521130 F | 4524776 F |
|  | 0080 | 4524951 F | 4527274 F | 4527397 F | 4528766 F | 4529199 F | 4533214 F | 4533224 F | 4710670 F |
|  | 00AO | 4710675 F | 4713579 F | 5112716 F | 5116482 F | 5120628 F | 5120631 F | 5120654 F | 5122064 F |
|  | OOCO | 5123746 F | 5128741 F | 5130239 F | 5134197 F | 5136825 F | 5511446 F | 55,3399F | 5524314 F |
|  | OOEO | 5529493 F | 5710643 F | 5713053 F | 5737065 F | 6110275 F | 6112850 F | $6112 \times 52 \mathrm{~F}$ | 6114881 F |
|  | 0100 | $6120891 F$ | 6121125 F | 6122430 F | 6123510F | $6510322 F$ | 6511605 F | 6515052 F | 6510175 F |
|  | 0120 | $6516601 F$ | 6518007 F | 6736273 F | E90605Cl | D340C8C1 | 09040605 | C9C3E240 | 40404040 |
|  | 0140 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40400000 |
|  | 0160 | 3113832 F | 3124708 F | 3124733 F | 3124734 F | 3124736 F | 3124740 F | $31253 \mathrm{H2F}$ | 3514920 F |
|  | 0180 | 3519554 F | 3519566 F | 3713095F | 4112167 F | 4112661 F | 4114692 F | 4118561 F | 4118931 F |
|  | 0140 | 4119738 F | 4121201 F | 4128488 F | 4128489 F | 4128491 F | 4128499 F | 4512236 F | 4517012 F |
|  | 0160 | 4518239 F | 4526248 F | 4526887 F | 4527255 F | 4530947 F | 4533624 F | 5123197 F | 5124047 F |
|  | 01 E0 | 5124048 F | 5127896 F | 5133816 F | 5134643 F | 5135231 F | 5519856 F | 5522840 F | 5717502 F |
|  | 0200 | 5719970 F | $6111106 F$ | 6120883 F | 6123493F | 651:253F | 6517259F | 6517319 F | 6519541 F |
|  | 0220 | 6710679 F | E90605C4 | 4040 C 940 | E207ClC3 | C5400709 | D6C2C540 | 40404040 | 40404040 |
|  | 0240 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40400000 | 6121049 F | E9DSU5C4 |
|  | 0260 | 40406969 | $40 \mathrm{E2O7Cl}$ | C 3C540n7 | D906C2C5 | 40404040 | 40404040 | 40404040 | 40404040 |
|  | 0280 | 40404040 | 40404040 | 40404040 | 40400000 | 5125405 F | $6111661 F$ | 6122302 F | 6513485 F |
|  | 0240 | 6521586 F | 6521579 F | E90605C4 | 4040C9C9 | C940E207 | CIC3C540 | 070906C2 | C5404040 |
|  | 02 CO | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40400000 | 6114023 F |
|  | 02E0 | 6119904 F | 6121755 F | 6522432 F | $1{ }^{10} 0$ | 40404040 | 40404040 | 40404040 | 40404040) - |
|  | 0300 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40404040 | 40400000 F FG: 2 E |
|  |  | 2510046 F | 2510054 F | 2510112 F | 2510183 F | 2510288 F | 2510334 F | 2510378 F | 2510603 F |
|  | 0340 | $\frac{2510612 F}{}$ | 2510627 F | 2510657 F | 2510690 F | 2510736 F | 2510749 F | 2510785 F | 2510828 F |
|  | 0350 | 2510831 F | 2510877 F | 2510931 F | 2510932 F | 2510937 F | 2510950 F | 2510983F | 2510987 F |
|  | 0380 | 2510988 F | 2511070 F | 2511088 F | 2511177 F | 2511225F | 2511350 F | 2511392 F | 2511396F |
|  | 0340 | 2511430 F | 2511483 F | 2511608 F | 2511628 F | 2511629 F | 2511630 F | 2511631 F | 2511632 F |
|  | 03 CO | 2511633 F | 2511639 F | 2511642 F | 2511653 F | $2511691 F$ | 2511738 F | 2511739 F | 2511800 F |
|  | 03 E0 | 2511815F | 2512039 F | 2512042 F | 2512069 F | 2512075 F | $2512140 F$ | 2512156 F | $2512236 F$ |
|  | 0400 | 2512381 F | 25124.20 F | 2512426 F | 2512471 F | $2512593 F$ | 2512598 F | 2512603 F | 2512512 F |
|  | 0420 | 2512664 F | 2512682 F | 2512770 F | 2512798F | 2512802F | 2512808F | 2512809 F | 2512863 F |
|  | 0440 | 2512880 F | $2512901 F$ | 2512902 F | 2512925 F | $2512954 F$ | 2513036 F | 2513093 F | 2513110 F |
|  | 0450 | 2513118 F | 2513149 F | 2513156 F | 2513206 F | 2513246 F | 2513248 F | 2513253 F | 2513254 F |
|  | 0480 | 2513255 F | 2513314 F | 2513553 F | 2513560 F | 2513677 F | 2513688 F | 2513860 F | 2513864 F |
|  | $04 \Delta 0$ | 2513924 F | $2513927 F$ | 2513960 F | 2514008 F | 2514016 F | 2514062 F | 2514162 F | 2514176 F |
|  | 04 CO | 2514178 F | 2514209 F | 2514211 F | 2514223 F | 2514256 F | 2514257 F | 2514341 F | 2514553 F |
|  | 04E0 | 2514613 F | $2514616 F$ | 2514632 F | 2514996 F | 2515031 F | 2515210 F | 2515233 F | 2515336 F |
|  | 0500 | 2515339 F | 2515554 F | 2515575 F | 2515693 F | 2515738 F | 2515742 F | 2515822 F | 2516108 F |
|  | 0520 | 2516165 F | 2516181 F | 2516187 F | 2516278 F | $2516326 F$ | 2516332 F | 2516365 F | $2516396 F$ |
|  | 0540 | 2516419 F | 2516443F | 2516493 F | $2516776 F$ | 2516789 F | 2516870 F | 2517224 F | 2517243 F |
|  | 0580 | 2517250 F | 2517342 F | 2517422 F | 2517447 F | $2517562 F$ | 2570986 F | 2571060 F | 2571646 F |
|  | 0580 | 2711069 F | 2711073 F | 2711269 F | 2711454F | $2711457 F$ | $2711461 F$ | 2711462 F | 3110120F |

Fig. 4 Hexadecimal Dump of Inverted File Entries

DUMP FROM 2321, UNIT X'193' ON 12/31/66
VOLUME LABEL FOLLOHS


[^2]Appendix B

## INDIVIDUAL SEARCH SUMMARIES

Appendix A contains summaries of a representative sample of searches performed by NASA Ames Research Center scientists and engineers. In selecting the sample, an effort was made to include examples of the range of complexity of the Boolean search expressions, user's comments, and times spent performing each search. In several instances the user was able to perform several searches in the same console session; the numbered expressions are used to indicate this. In the Boolean search expression the symbols "+," "*," and "-" are used to indicate the Boolean connectives OR, AND, and NOT, respectively.

SEARCH PERFORMED BY: Carr B. Neel, Gasdynamics
SEARCH TITLE: Scattering of Light by MIE and Rayleigh Processes
BOOLEAN SEARCH EXPRESSION: Particle * (Rayleigh Scattering + MIE Scattering Function + MIE Theory) * (Scattering + Scattering Coefficient + Scattering Cross Section + Scattering Function + Atmospheric Scattering + Backscatter + Diffraction + Electromagnetic Scattering + Forward Scatter + Light Scattering + Extinction + Refraction + Cross Section + Absorption Cross Section + Radiation Absorption + Absorption + Refractivity)

SEARCH TIME: 55.61 min . NUMBER OF RELEVANT CITATIONS PRINTED: 18
COMMENTS: This is my first search, and it has been extremely helpful in locating articles very rapidly which otherwise would have been difficult to find. This is a very useful technique, and I highly recommend its adoption throughout NASA.

SEARCH PERFORMED BY: Duane W. Dugan
SEARCH TITLE: Nuclear Propulsion
BOOLEAN SEARCH EXPRESSION: (Nuclear + Nuclear Power + Nuclear Propulsion + Thermonuclear Propulsion) * (Window + (Interplanetary Flight + Orbital Launch) * (Payload + Performance)).

SEARCH TIME: 54.73 min . NUMBER OF RELEVANT CITATIONS PRINTED: 10
COMMENTS: This system appears to be potentially very useful and could save much time in searching the literature for pertinent references.

SEARCH PERFORMED BY: John C. Arvesen
SEARCH TITLE: Cerenkov Radiation Theory and Use as Standard Light Source
BOOLEAN SEARCH EXPRESSION: ((Cerenkov Effect + Cerenkov Radiation) -
(Cosmic + Cosmic Radiation + Cosmic Ray Shower + Shower)) * (Spectral Analysis + Spectral + Spectrum)
SEARCH TIME: 64.18 min . NUMBER OF RELEVANT CITATIONS PRINTED: 23
COMMENTS: Very worthwhile took a half-hour to locate more sources than I found in a week. However, the references should go back further than 1962.

SEARCH PERFORMED BY: R. C. Whitten
SEARCH TITLE: Lunar Luminescence
BOOLEAN SEARCH EXPRESSION: (Lunar * Luminescence) + Lunar Luminescence SEARCH TIME: 51.32 min . NUMBER OF RELEVANT CITATIONS PRINTED: 49
COMMENTS: Very helpful on obtaining information not available in usual places for search.

SEARCH PERFORMED BY: W. L. Crawford
SEARCH TITLE: Time Series
BOOLEAN SEARCH EXPRESSION: ((Time + Series + Time Series) * (Frequency Analysis + Data Analysis + Fourier Analysis + Harmonic Analysis + Numerical Analysis + Spectral Analysis + Statistical Analysis + Spectral + Spectral Analysis)) (Emission + Luminescence + Sun + Light)
SEARCH TIME: 40.37 min . NUMBER OF RELEVANT CITATIONS PRINTED: 79
COMMENTS: Very useful. We should obtain one for permanent use.

SEARCH PERFORMED BY: Philip Wilcox
SEARCH TITLE: (1) Rocket Noises (2) Time Series Programs
BOOLEAN SEARCH EXPRESSION: (1) (Noise + Acoustics) * (Launch + Launching) * (Rocket + Space Vehicle + (Vehicle * Space) + Saturn Launch Vehicle) (2) Time Series * (Computer Program + Computer Programming + Data Processing)
SEARCH TIME: 64.82 min . NUMBER OF RELEVANT CITATIONS PRINTED: (1) 43
(2) 13

COMMENTS: Very valuable for quick search. Easy to use after initial familiarization.

SEARCH TIME: 51.02 min . NUMBER OF RELEVANT CITATIONS PRINTED: 19
COMMENTS: I made a hand search over this same area, thus far it looks as if the machine approach is at least as thorough and of course much easier. No problems anticipated. Hope the system becomes a permanent tool. Appreciate the patient instruction of the LMSC Rep.

## SEARCH PERFORMED BY: Paul Droll

SEARCH TITLE: Magnetic Field Generating Systems
BOOLEAN SEARCH EXPRESSION: Magnetic Field * (Magnetic Field Coil + Coil + Magnetic Coil + Solenoid) * (Square + Uniform + Homogeneity)
SEARCH TIME: 38.40 min . NUMBER OF RELEVANT CITATIONS PRINTED: 9
COMMENTS: Having a good idea of what I was looking for, I have obtained exactly what I wanted. I regret that the printout is not at the location of the searcher. I noticed that only a category of basic references are listed - an expansion of the sources would be a big improvement (naturally).

SEARCH PERFORMED BY: Edgar M. Van Vleck
SEARCH TITLE: Information Transfer Satellites
BOOLEAN SEARCH EXPRESSION: (Information + Data) * (Communication + Communications) * (Human + Computer + Display) * Network
SEARCH TIME: 55.61 min . NUMBER OF RELEVANT CITATIONS PRINTED: 20
COMMENTS: Excellent system, should be used throughout NASA. Nothing equivalent exists Q.E.D.

SEARCH PERFORMED BY: R. J. Debs
SEARCH TITLE: Neutron Activation
BOOLEAN SEARCH EXPRESSION: Calcium * (Bone + Skeleton)
SEARCH TIME: 26.82 min . NUMBER OF RELEVANT CITATIONS PRINTED: 14
COMMENTS: This is my first, short-period attempt to use you, you monster. The results are excellent. One minor criticism some way of eliminating the necessity for shifting to make the commands, might be easier for the new user. This is a great concept, well worked-out.

SEARCH PERFORMED BY: I. G. Poppoff
SEARCH TITLE: Electron Attachment H20
BOOLEAN SEARCH EXPRESSTON: ((Electron * Attachment) + (Water + Water Vapor)) * (Drift Rate + Drift)
SEARCH TIME: 32.72 min . NUMBER OF RELEVANT CITATIONS PRINTED: 4
COMMENTS: Found entries that I could not find in a manual search.

## SEARCH PERFORMED BY: John Rakich

SEARCH TITLE: Gaussian Quadrature
BOOLEAN SEARCH EXPRESSION: (1) Gauss * (Integration + Quadrature)
(2) (Gauss + Gauss Function) * (Integration + Quadrature + Numberical Integration + Quadrature Approximation)
SEARCH TIME: 54.69 min . NUMBER OF RELEVANT CITATIONS PRINTED: (1) 9 (2) 2

COMMENTS: After three tries I feel confident using this program. I think it is a fine tool.

SEARCH PERFORMED BY: William P. Gilbreath
SEARCH TITLE: Surface Properties
BOOLEAN SEARCH EXPRESSION: (1) Adsorption * Surface * Area (2) Nitrogen * ((Heat * Vaporization) + (Enthalpy * Vaporization)) (3) Low Temperature * (Calorimeter + Calorimetry) (4) (Rock + Mineral) * (Fracture + Mechanics + Strength) * (Vacuum + Lunar + Lunar Environment) (5) (Solid * (Adhesion + Cohesion + Metal-Metal Bonding)) - Adhesive
SEARCH TIME: 72.15 min . NUMBER OF RELEVANT CITATIONS PRINTED: (1) 1
(2) 5 (3) 6 (4) 6 (5) 2

COMMENTS: Went OK but would be nice if various authors could be selected or rejected

SEARCH PERFORMED BY: William P. Gilbreath
SEARCH TITLE: Solid Surface Energy
BOOLEAN SEARCH EXPRESSION: (1) (Surface Energy + Surface Tension) * (Solid + Solids) (2) (Surface Energy + Surface Tension) * Metal - (Liquid + Liquid Metal)
(3) (Hardness + (Indentation * Creep) * (Environment + Vacuum)

SEARCH TIME: 47.83 min . NUMBER OF RELEVANT CITATIONS PRINTED: (1) 17 (2) 23 (3) 20

COMMENTS: Method OK, very rapid but would nice to have full abstract printed. Search went well probably due to observing a search previously.

SEARCH PERFORMED BY: Lloyd D. Corliss
SEARCH TITLE: Techniques in Model Matching
BOOLEAN SEARCH EXPRESSION: (Model + Aircraft Model + Dynamic Model + Mathematical Model) * (Matching + Following + Adaptive) + (Automatic Control + Optimal Control + Systems Analysis + Adaptive Control System + Closed Loop System + Reference System + Reference)

SEARCH TIME: 56.27 min . NUMBER OF RELEVANT CITATIONS PRINTED: 33
COMMENTS: Most comprehensive search in this field. Would like to see the ENTER command incorporated in with some of the other commands. Thank you.

SEARCH PERFORMED BY: Val Watson<br>SEARCH TITLE: Numerical Solution of Poisson's Equation<br>BOOLEAN SEARCH EXPRESSION: (Poisson Equation + Dirichlet Problem) * (Numerical Analysis + Numerical + Iterative Solution + Finite Difference Method + Computer Simulation)

SEARCH TIME: 56.60 min . NUMBER OF RELEVANT CITATIONS PRINTED: 27
COMMENTS: This computer technique allowed me to find relevant articles that were filed under headings that would not be obvious to the engineer without such a computer. For example, finite difference methods is a numerical method that is not also listed under numerical methods. Recommend that author file be added, that continuous backspace be added, and that multilevel arithmetic capability be added to the combine command.

SEARCH PERFORMED BY: J. M. Coogan
SEARCH TITLE: Infrared Imagers
BOOLEAN SEARCH EXPRESSION: Image * (Scanner + Scanning Device + Sensor + Detector) * Ultraviolet
SEARCH TIME: 9.56 min . NUMBER OF RELEVANT CITATIONS PRINTED: 28
COMMENTS: Again I am unable to stress sufficiently the tremendous help this system is both in terms of labor and time. Thank you.

SEARCH PERFORMED BY: Gary J. Griffith
SEARCH TITLE: Spatial Filtering
BOOLEAN SEARCH EXPRESSTON: (Optical + Light) * (Spatial Filtering + (Spatial + Filtration + Processing)) ) - Interferometer
SEARCH TIME: min. NUMBER OF RELEVANT CITATIONS PRINTED: 31
COMMENTS: Techniques easy to learn. Manual search has been conducted for last several months DIALOG appears much faster, but the results from this particular search were impeded by machine trouble. Search is being reproduced to obtain results.

## SEARCH PERFORMED BY: P. R. Wilcox

## SEARCH TITLE: Rocket Noises

BOOLEAN SEARCH EXPRESSION: (1) Spectral * (Launch + Launching) (2) ((Noise + Acoustics + Spectral + Spectral Analysis + Spectral Noise) .* (Takeoff + Launch + Launching) * (Apollo Spacecraft + Rocket + Space Vehicle + Saturn Launch Vehicle + Apollo Project + (Vehicle * Space) ) - (Animal + Performance + Man + Human + Personnel + Hazard + Geophysics)

SEARCH TIME: 48.24 min . NUMBER OF RELEVANT CITATIONS PRINTED: (1) 15 (2) 40

COMMENTS: Our division, a headquarters component, often has to respond in a short time to requests for information from our management. Thus far this system for quick access to information is the only one I have seen that can meet our needs.

SEARCH PERFORMED BY: F. G. Casal
SEARCH TIT LE: Solar Probes or Heliocentric Missions
BOOLEAN SEARCH EXPRESSION: ((Energy + Energy Requirement) * (Solar Probe + ((Solar + Sun + Heliocentric Orbit) * (Probe + Space Probe + Mission))) ) + (Solar Probe * Propulsion)

SEARCH TIME: 55.06 min . NUMBER OF RELEVANT CITATIONS PRINTED: 76
COMMENTS: System very useful in conducting extensive searches .

SEARCH PERFORMED BY: Hornby
SEARCH TITLE: Oceanography-Space Commonality
BOOLEAN SEARCH EXPRESSION: Oceanography * Exploration
SEARCH TIME: 19.09 min . NUMBER OF RELEVANT CITATIONS PRINTED: 7
COMMENTS: OK. Very efficient reduction of data to yield desired information.


[^0]:    *Only those searches conducted by library personnel are included in this analysis.

[^1]:    *The level number is one greater than the largest number of successive parentheses employed.

[^2]:    Fig. 5 Character and Hexadecimal Dump of Related Term Entries

