# URMO VÕSA

MicroRNAs in disease and health: aberrant regulation in lung cancer and association with genomic variation





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MicroRNAs in disease and health: aberrant regulation in lung cancer and association with genomic variation



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"Now I'm a scientific expert; that means I know nothing about absolutely everything."

Arthur C. Clarke

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### LIST OF ORIGINAL PUBLICATIONS

- **Ref I** Võsa U, Vooder T, Kolde R, Fischer K, Välk K, et al. 2011. Identification of miR-374a as a prognostic marker for survival in patients with early-stage non-small cell lung cancer. *Genes, Chromosom. Cancer.* 50(10):812–22
- **Ref II** Võsa U, Vooder T, Kolde R, Vilo J, Metspalu A, Annilo T. 2013. Meta-analysis of microRNA expression in lung cancer. *Int. J. Cancer*. 132(12):2884–93
- **Ref III** Võsa U, Esko T, Kasela S, Annilo T. 2015. Altered gene expression associated with microRNA binding site polymorphisms. *PLoS One*. 10(10):e0141351

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My contributions to the above publications are as follows:

- **Ref I** Participated in conducting the experiments, analyzed the data, and participated in writing the manuscript.
- **Ref II** Mined the data, performed the analyses, and drafted the manuscript.
- **Ref III** Participated in the study design, mined the data, performed the analyses, and drafted the manuscript.

#### **ABBREVIATIONS**

AD Adenocarcinoma

AMO Anti-miRNA oligonucleotide

AntagomiR Specific subclass of anti-microRNA oligonucleotides with

attached cholesterol group in the 3' end

BA Bronchioalveolar carcinoma

BCL B-cell lymphoma
CDS Coding DNA sequence

CLL Chronic lymphocytic leukemia eSNP Expression affecting SNP

FC Fold change

GWAS Genome-wide association study

IsomiR miRNA sequence differing from canonical sequence encoded

by the same locus

LCC Large cell carcinoma
LNA Locked nucleic acid

miRNA MicroRNA

miRNome All microRNAs expressed in the specific organism/tissue Mirron MicroRNA transcribed from introns independently of

microprocessor complex by spliceosome

MoR miRNA-offset RNA

MRE MicroRNA recognition element

MRE-SNP Single nucleotide polymorphism located in microRNA

recognition element

NSCLC Non-small cell lung cancer

OncomiR MicroRNA with oncogenic function

ORF Open reading frame
RBP RNA-binding protein
SCC Squamous cell carcinoma

Simtron MicroRNA transcribed from introns independently of micro-

processor complex and spliceosome

siRNA Small interfering RNA

SNP Single-nucleotide polymorphism

Targetome Target genes of one or multiple miRNAs

#### INTRODUCTION

Until recently, the protein-coding region of the human genome was considered to be the most relevant portion, as it encodes the functional building blocks of the organism. However, the protein-coding region represents only a small part of the human genome (1~2%, consisting of exons of ~20,000 protein-coding genes). Recent progress in high-throughput sequencing technology and the concurrent "noncoding revolution" have demonstrated that the number of noncoding genes in humans is much higher than previously thought, even exceeding the number of protein-coding genes (GENCODE v23, 2015). Many noncoding transcripts are functional and crucial for normal functioning of the organism.

One of the best-studied subclasses of noncoding RNAs, microRNAs (miRNAs) are small single-stranded noncoding RNA molecules that regulate gene expression in a posttranscriptional manner. During the last 15 years, miRNAs have been in the limelight of human genetics due to their involvement in the development of several pathologies, particularly cancers. Because their expression profiles are altered in malignancies, miRNAs have been investigated as potential drug targets, and miRNAs with oncogenic or tumor-suppressor activities have been identified. The first fruits of this new knowledge are now becoming available for general public. At the time of writing, the first miRNA-based cancer drug, MRX34, is being studied in Phase I trials and several other drugs are expected to follow.

Expression profiles of several miRNAs have been observed to correlate with cancer (sub)type, stage, and patient prognosis. Therefore, these miRNAs may potentially serve as biomarkers. As miRNAs are stable in different body fluids, they have the potential to act as valuable noninvasive biomarkers, which could greatly simplify the treatment decisions of physicians in a safe and patient-friendly way. Such knowledge is already entering the clinic, as several diagnostic miRNA-based assays have become commercially available in recent years.

As with any complex trait, cancer predisposition involves the combinatorial effect of several risk factors. Over the last 10 years, intensive genome-wide association studies have identified many cancer-related genetic variants. However, mechanisms for how these variants translate into disease predisposition or phenotype remain poorly understood. Recent studies have integrated an additional layer of information in the analyses, investigating the influence of genetic variants on the global transcriptome. One potential mechanism for how genetic variants exert their effects on the transcriptome is through miRNA regulatory networks.

In this thesis, I give an overview of the biology of miRNAs, their relevance in cancer, and their interaction with genetic variation. In the experimental part, I describe the investigation of miRNA expression changes in a cohort of Estonian patients with non-small cell lung cancer, identifying miRNAs that may serve as

potential drug targets or biomarkers. Integrating the results of this experimental study with previous information, a systematic meta-analysis of differentially expressed miRNAs was performed, and a robust set of miRNAs that are up- or downregulated in non-small cell lung cancer was identified. Finally, it was investigated how genetic variation can influence miRNA activity, whether this interaction is reflected in the variation of the transcriptome, and whether miRNA-mediated mechanisms could contribute to the formation of phenotype or disease risk.

#### 1. LITERATURE REVIEW

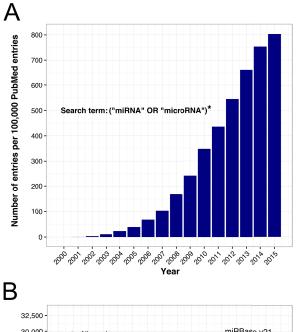
#### 1.1. MicroRNAs

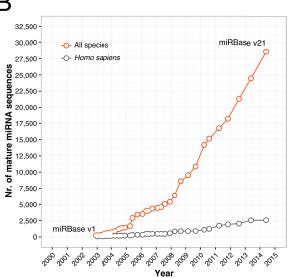
#### 1.1.1. Overview

The history of microRNA (miRNA) research dates back to the year 1993, when Victor Ambros, Gary Ruvkun, and their coworkers investigated the regulation of *lin-14* translation by *lin-4* in *Caenorhabditis elegans*. Strikingly, they discovered that *lin-4* does not encode a protein, but rather encodes two small (~22- and ~61-nucleotide [nt]-long) transcripts (Lee et al., 1993). In-depth analyses revealed that the short RNA encoded by *lin-4* is partially complementary to the 3' untranslated region (3' UTR) of *lin-14* and downregulates the transcript by antisense interaction (Lee et al., 1993; Wightman et al., 1993). At the time, this mechanism was believed to be an isolated case, rather than a widespread phenomenon. The second important milestone in the field was the discovery of RNA interference (RNAi) (Fire et al., 1998) and its functionality in plants via small noncoding interfering RNAs (siRNAs) (Hamilton and Baulcombe, 1999).

Support for the idea that miRNAs might represent a universal mechanism of gene regulation came with the discovery of another small noncoding RNA in *C. elegans*. Specifically, *let-7* was shown to regulate the number of developmental genes in a time-dependent manner and, similarly to *lin-4*, to play an important role in developmental timing (Reinhart et al., 2000). As this RNA was found to be conserved in several eukaryotes (Pasquinelli et al., 2000), its functional relevance was highly likely. Subsequent RNA cloning studies identified hundreds of miRNAs in worm, fly, and human (Lagos-Quintana, 2001; Lau, 2001; Lee, 2001). With these discoveries, the miRNA field moved into the spotlight and during following years, the number of miRNA-related publications rose sharply (Fig. 1A).

To date, miRNAs have been identified in animals (Lagos-Quintana, 2001; Lee et al., 1993), plants (Reinhart, 2002) and some protists (Lin et al., 2009). There are some differences in the miRNA biogenesis pathways and in how miRNAs regulate their targets in plants compared to animals. As this thesis focuses on mammalian (human) miRNAs, I do not provide an extensive discussion of miRNA counterparts in plants. Researchers have described a class of miRNA-like RNAs (milRNAs) in fungi, the biogenesis pathways of which are considerably different from those of miRNAs in other organisms (Lee et al., 2010). Several viruses have been shown to encode miRNAs that are capable of regulating the expression of both viral and host genes (Bai et al., 2008). Positive correlation between miRNome size and organism complexity has led to the hypothesis that the acquisition of miRNA-mediated gene regulation allowed the emergence of highly complex organisms (Heimberg et al., 2008). In animals, miRNAs have been implicated in a wide range of biological processes, ranging from development (Reinhart et al., 2000) to defense against pathogens (Ma et al., 2011a) and even to the formation of memory (Gao et al., 2010a).





\*Medline trend: automated yearly statistics of PubMed results for any query, 2004. Web resource at URL:http://dan.corlan.net/medline-trend.html. Accessed: 2016-04-09. (Archived by WebCite at http://www.webcitation.org/65RkD48SV)

**Figure 1.** Increasing knowledge about miRNAs. A. Yearly number of miRNA-related publications in PubMed. B. Number of known mature miRNAs in the database miRBase.

The reference database miRBase collects and curates identified miRNAs (Griffiths-Jones, 2004, 2006). Its latest version (v21) contains 28,645 mature

miRNA sequences from 233 species, including 2,588 human sequences. Although researchers are required to provide credible evidence about the validity of discovered miRNA(s), the database likely contains a number of incorrectly annotated sequences (Hansen et al., 2011). The number of newly discovered mature miRNAs continues to increase, albeit at a slower pace for human miRNAs, probably due to extensive prior research (Fig. 1B).

Nomenclature of miRNA transcripts follows specific rules. The name indicates the species (e.g., hsa- for human), RNA class (miRNA precursor: mir, mature miRNA: miR), and a number representing the order of discovery (Fig. 2). If identical mature miRNA sequences are encoded from multiple genomic loci, then the corresponding precursor sequences and mature miRNAs are denoted with additional numbers. If a group of miRNAs has high sequence similarity on the mature-sequence level, then the miRNAs are grouped into families, members of which are distinguished by letters (e.g., hsa-mir-200a and hsa-mir-200b). A suffix is used to indicate which arm of the miRNA precursor sequence the mature miRNA originates from (e.g., hsa-miR-205-5p from the 5' arm and hsa-miR-205-3p from 3' arm of the precursor). Older nomenclature distinguished minor nonfunctional "passenger" strand miRNAs as "star" sequences (e.g., hsa-miR-205\*); however, this nomenclature was replaced by the 3'-5' system for clarity and because it is often difficult to determine the predominant arm.

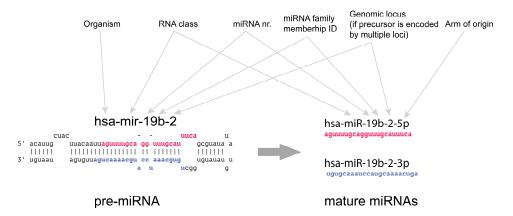


Figure 2. Nomenclature of miRNAs.

Names of some of the earliest discovered miRNAs (e.g., hsa-let-7 family) deviate from this nomenclature for historical reasons. As miRBase has updated the names of previously existing miRNAs several times, all miRNA names in this thesis are standardized to match miRBase v21. Additionally, species indicators are omitted for the sake of brevity.

# 1.1.2. Genomic organization, biogenesis, and modification of miRNAs

MicroRNAs are encoded by their own genes (intergenic miRNAs) or by transcriptional units residing in the introns or exons of protein-coding host genes (intragenic miRNAs) (Ambros et al., 2003; Rodriguez, 2004). Intronencoded miRNAs may be transcribed together with the host gene (Baskerville and Bartel, 2005) or independently by their own promoter. According to a recent annotation of known and novel miRNAs, most of the known miRNAs map to intergenic regions (~70%) and introns (~12%). Smaller proportions map to repeat regions (~8%), exons (~5%), and long noncoding transcripts (~5%) (Londin et al., 2015). In human, there are almost equal numbers of known intergenic and intragenic miRNA genes (Paczynska et al., 2015). Many miRNAs are conserved in metazoans (Pasquinelli et al., 2000), suggesting their involvement in fundamental biological processes.

In animals, canonical miRNAs are transcribed by RNA polymerase II (Lee et al., 2004) as polyadenylated and capped primary transcripts (pri-miRNAs). MicroRNAs may be transcribed alone or in clusters, in which one pri-miRNA contains precursor hairpins for several miRNAs. The pri-miRNA is cut by the microprocessor complex, which consists of two major components: the endonuclease III Drosha (Lee et al., 2003) cuts RNA, and Di George critical region 8 (DGCR8) binds the double-stranded RNA (dsRNA), guiding Drosha into the correct site (Han et al., 2004). The resulting ~70-nt-long precursor sequence (pre-miRNA) consists of one hairpin structure, a 5'-phosphate group, and a 2-nt overhang on the 3' end. Pre-miRNA binds with a complex of exportin 5 (Exp 5) and Ran-GTP, which transports the complex to the cell cytoplasm (Lund and Gu, 2004; Yi et al., 2003).

The next step of preprocessing is another cleavage by the endonuclease III class enzyme Dicer (Bernstein et al., 2001; Grishok et al., 2001; Hutvágner et al., 2001; Ketting et al., 2001). Dicer forms a complex with several cofactors, including TAR DNA-binding protein (TARDBP), transactivating response RNA-binding protein (TRBP) (Chendrimada et al., 2005), and/or protein activator of interferon-induced protein kinase (PACT) (Lee et al., 2006), which mediate the substrate specificity of Dicer. The resulting ~21-nt-long duplex is loaded into the RNA-induced silencing complex (RISC), which consists of Argonaute proteins (in human, AGO1–4) and GW182. In human, AGO2 is the only member of the Argonaute family capable of cleaving the target strand (Höck and Meister, 2008). Other members of the protein family function as structural components of RISC or participate in translational repression of the target gene. GW182 acts as a "bridge" allowing RISC to interact with additional protein complexes involved in the downregulation of gene activity (Behm-Ansmant et al., 2006; Rehwinkel et al., 2005).

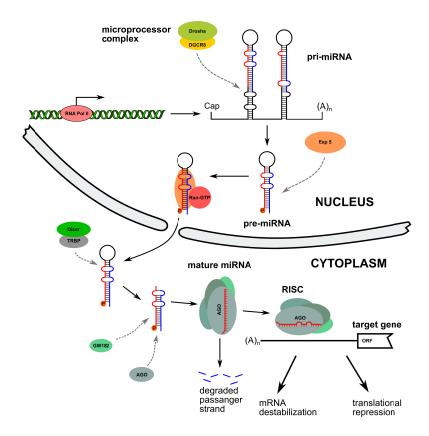


Figure 3. Canonical microRNA biogenesis pathway.

In RISC, miRNA duplex unwinding occurs. The biologically active "leading" or "guide" strand remains with the functional complex, whereas the inactive "passenger" or "star" strand is cleaved. In animals, the strand preferably chosen as the guide strand has a thermodynamically more unstable 5' end and uracil as the first nucleotide (Khvorova et al., 2003; Schwarz et al., 2003). In many cases, however, the passenger strand is also stable and functional (Okamura et al., 2008). Alternative arm selection or "arm-switching" events result in differential expression profiles of the miRNA arms in different tissues and developmental stages (Chiang et al., 2010), which can influence the corresponding targetome (Marco et al., 2012).

Whereas the overwhelming majority of miRNAs are preprocessed by the canonical pathway, some functional miRNAs have a slightly different biogenesis. Well-known examples include the mirrons – miRNAs that are processed independently of the microprocessor complex (Berezikov et al., 2007; Okamura et al., 2007; Ruby et al., 2007). These miRNAs originate from introns of the host genes and are processed out of transcript by spliceosome. The resulting lariat is linearized by RNA lariat debranching enzyme (DBR1) and, if

needed, trimmed from the 3' or 5' end (3'- or 5'-tailed mirtrons) (Ruby et al., 2007). The rest of the biogenesis pathway is identical to the canonical type.

For at least one known miRNA, miR-451a, processing by Dicer is skipped. Instead, AGO2 performs cutting of the pre-miR-451a (Cheloufi et al., 2010; Cifuentes et al., 2010; Yang and Lai, 2010). Subsequently, the 3'-5' poly(A)-specific exonuclease PARN trims the remaining part of the pre-miRNA (Yoda et al., 2013), leaving a short 18-nt functional miRNA.

Another less-characterized noncanonical class of miRNAs are the intronic simtrons – small RNAs that are preprocessed independently of DGCR8, Dicer and spliceosome (Havens et al., 2012). Fraction of similarly sized small RNAs originate from noncoding RNAs, such as snoRNAs (Ender et al., 2008), tRNAs (Maute et al., 2013), endogenous small interfering RNAs (endo-siRNAs) (Babiarz et al., 2008; Tam et al., 2008; Watanabe et al., 2008), and small hairpin RNAs (shRNAs) (Babiarz et al., 2008), all of which are processed independently from Drosha/DGCR8. Although the origin and biogenesis of these small RNAs differ substantially from those of canonical miRNAs, they are able to form complexes with RISC and, in principle, regulate gene expression. For these reasons, some of them are classified together with miRNAs.

In addition to 5' and 3' miRNAs, a specific class of miRNA-offset RNAs (moRs) are frequently processed from the proximal regions of miRNA precursors (Langenberger et al., 2009; Shi et al., 2009; Zhou et al., 2012). However, the relative abundance of moRs is low compared to canonical mature forms (Zhou et al., 2012). As a result, their functions, action mechanisms, and relevance are not well understood. These exceptions illustrate that the rules in biological systems are often flexible, such that several independent ways can be used to address a common task.

Second-generation sequencing experiments have demonstrated that there is often some variability in the sequences of mature miRNA derived from the same pre-miRNA. Variants that differ from the miRBase reference sequence are termed isomiRs (Morin et al., 2008), and they are generated through several mechanisms. Processing steps by Drosha and Dicer are not completely precise and produce some portion of miRNAs with variable 3' or 5' ends (templated additions or trimmed ends in mature sequence) (Morin et al., 2008). MicroRNAs can be imprecisely trimmed by exonucleases like Nibbler, resulting in a population of variable miRNAs (Han et al., 2011). Nontemplate additions can be explained by the action of terminal nucleotidyl transferases (TNTases), which add specific nucleotides to the end of mature miRNA (Burroughs et al., 2010). RNA A-I editing by ADAR enzymes changes the internal sequence of some miRNAs (Kawahara et al., 2007). Finally, single-nucleotide polymorphisms (SNPs) can cause mature miRNA to differ from the reference sequence (Gong et al., 2012). Whereas changes in the 3' end of miRNA are expected to have a minor effect, differences in the 5' end can substantially influence the targetome of the miRNA.

#### 1.1.3. Mode of action

According to current knowledge, miRNAs most commonly regulate gene expression in animals by binding to the 3' UTR of target mRNA (reviewed in; (Bartel, 2009)). This binding results in cleavage, translational arrest, or destabilization and subsequent decay of the mRNA. Cleavage of mRNA, which is mediated by the RNAse III class enzyme AGO2, only occurs when there is perfect complementarity between the mRNA and miRNA. Cleavage is a prevalent mechanism in plants but rarely reported in animals (Yekta, 2004).

Translational arrest was long thought to be the main mechanism of miRNA-mediated regulation in animals. Several models of action have been proposed and supported by experimental data, but the debate about the relevance of each of those is still ongoing. For example, miR-RISC has been shown to recruit the eIF6 antiassociation factor, which, in turn, inhibits association of the 60S subunit and subsequent formation of functional ribosome (Chendrimada et al., 2007). Similarly, several studies have demonstrated that miR-RISC inhibits recognition of the m7-G cap-structure by eIF4E (Humphreys et al., 2005; Pillai et al., 2005). Additionally, miRNAs have been proposed to have a postinitiation inhibitory effect on translational elongation, causing ribosomal drop-off and cotranslational protein degradation (Petersen et al., 2006).

Substantial evidence supports the idea that regulation by miR-RISC has a destabilizing effect on mRNA (Bagga et al., 2005), through the combined effect of poly(A) tail removal (Giraldez et al., 2006), mRNA decapping (Behm-Ansmant et al., 2006; Rehwinkel et al., 2005), and subsequent 5'-3' degradation. The miR-RISC complexes with bound targets are sometimes sequestered to specific foci, P-bodies, which are enriched by enzymes functioning in mRNA degradation (Liu et al., 2005a, 2005b). mRNA destabilization and subsequent degradation is the main contributor to the miRNA-mediated reduction of protein level (Eichhorn et al., 2014; Guo et al., 2010) and is usually coupled with the more modest effect of translational arrest.

Although miRNAs predominantly function through binding to the 3' UTR, in silico predictions and experimental data show that miRNAs can also bind to the 5' UTR (Lytle et al., 2007; Miranda et al., 2006) and coding DNA sequence (CDSs) (Tay et al., 2008). However, targeting in those regions does not confer as large of an effect on target expression as does targeting the 3' UTR, but rather complements the latter (Fang and Rajewsky, 2011; Grimson et al., 2007).

In some cases, miRNAs may regulate gene expression in ways which are quite different from overall logic. For example, in rare cases they can upregulate (rather than downregulate) the expression of target genes (Vasudevan et al., 2007). There are also reports that some miRNAs exert their regulatory effect on the DNA level, by activating (Place et al., 2008) or silencing transcription (Kim et al., 2008).

In contrast to plants, miRNA-mediated regulation in animals usually involves imperfect complementarity between miRNA and mRNA. The main contributor of animal miRNA action is the amount of complementarity between

the 5' end of the miRNA and miRNA target site (Lewis et al., 2003). This critical miRNA recognition element (MRE) is designated as the "seed" region and comprises nucleotides 2 to 7 from the 5' end of miRNA (Brennecke et al., 2005; Lewis et al., 2003, 2005). Approximately 80% of all experimentally supported miRNA target sites have some degree of complementarity with the MRE (Grosswendt et al., 2014). Canonical miRNA target sites are classified based on the length of perfect pairing (Fig. 4). Whereas minimal MREs have perfect pairing only with the miRNA seed region (6mer), more efficient canonical MREs have adenine adjacent to the first position of miRNA (7mer-A1), additional pairing in position 8 of the miRNA (7mer-m8), or both features (8mer) (Lewis et al., 2005).

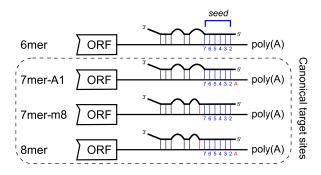


Figure 4. Schematic representations of canonical miRNA target sites in order of increasing effectiveness.

Several studies have described functional target sites that do not adhere to the requirement of perfect pairing with the seed region. Examples of these noncanonical MREs involve "centered" target sites with complementarity in the central part of the miRNA. Centered MREs typically affect target on the protein level (Martin et al., 2014). High-throughput experiments have shown that some MREs with wobble, mismatch, or bulge in the seed region overlap with RISC binding sites (Chi et al., 2012; Hafner et al., 2010; Helwak et al., 2013). For example, G-bulge sites, which have a bulging G nucleotide in the seed region, could comprise more than 15% of putative MREs identified from the HITS-CLIP dataset of mouse brain (Chi et al., 2012). Mismatches in the seed region can be compensated (to some extent) by pairing in nucleotides 13–16. However, these so-called 3'-compensatory sites (Friedman et al., 2009) represent a small proportion of all predicted binding sites (Bartel, 2009). Although noncanonical target sites seem to be widespread (Helwak et al., 2013), their effects on the mRNA and protein levels are weaker than those of canonical MREs (Khorshid et al., 2013; Wang, 2014).

According to estimates from in silico target prediction algorithms, more than 60% of all human protein-coding genes have been under positive selection to

maintain pairing with miRNAs (Friedman et al., 2009) and are, therefore, likely targets. One animal miRNA may regulate hundreds of targets, and one target may be regulated by several miRNAs. Hence, the miRNA regulatory networks are very complex. Furthermore, miRNA action depends on the spatiotemporal expression profile and cellular context (e.g., targetome, regulating transcription factors, and competing effects of other miRNAs and RNA-binding proteins [RBPs]). As a result, it can be difficult to untangle the functions of one particular miRNA. A single MRE typically has a modest effect on protein level (Baek et al., 2008), but the cooperation of several binding sites can have an enhanced impact (Doench and Sharp, 2004; Grimson et al., 2007).

In addition to known cellular pathways and processes under the regulation of specific sets of miRNAs (e.g., hypoxia pathway under regulation of miR-210-3p, as reviewed in; (Chan et al., 2012; Huang et al., 2010)), many miRNAs have more general function of ensuring the robustness of transcriptional programs. MicroRNAs frequently participate in incoherent feedforward and negative feedback loops, which can buffer the noise arising from stochastic events, such as leaky transcription (reviewed in; (Ebert and Sharp, 2012)). The transcriptome of many tissues tends to be depleted of the MREs of miRNAs expressed in the same tissue. This "target avoidance" phenomenon is necessary for maintaining tissue specificity and developmental timing (Farh et al., 2005; Stark et al., 2005).

Taken together, these previous studies suggest that miRNAs participate in tight spatiotemporal control over the expression of mRNAs and proteins. This control, in turn, is a prerequisite for correct functioning of cellular systems in complex organisms.

# 1.2. MicroRNA profiling and analysis strategies

Several strategies for identifying the biological functions of miRNAs have been developed. This section discusses the main methodological approaches used in miRNA research.

## 1.2.1. MicroRNA expression profiling

During the past 15 years, three main methods have been routinely used for profiling the expressions of miRNA and mRNA. These methods have been modified to accommodate the technical problems arising from the small size of mature miRNAs.

## 1.2.1.1. Expression arrays

Expression array technologies are based on hybridization between fluorescently labelled target sequences and complementary detection probes attached to a

glass slide or bead. After removal of the unhybridized material, the quantity of hybridized sequences is determined by the strength of the light signal in each set of identical detection probes. Signals are detected and quantified by a specialized scanner, resulting in numerical values. Subsequent preprocessing (e.g., quality control, filtering, transformation, normalization) and statistical analysis methods vary depending on the specific array platform, dataset, study design, bioinformatics software package, and biological question.

The short length of miRNAs limits options for optimizing the melting temperature (T<sub>m</sub>) over hundreds of detection probes. However, this consideration is crucial for avoiding biases in binding efficiencies. Several strategies have been used to address this issue. For example, locked nucleic acids (LNAs) can be incorporated into detection probes to achieve more stable T<sub>m</sub> values over the array (Castoldi et al., 2006). Another strategy is to optimize the length of each detection probe (Baskerville and Bartel, 2005).

The main advantage of expression arrays is their relatively low cost for global miRNome profiling compared to other methods. Disadvantages include their limited dynamic range and ability to detect only the specific set of miRNAs used in array design (usually based on current miRBase version). Thus, novel miRNAs and isomiRs remain undetected. Due to the recent decrease in cost and substantial benefits of massively parallel sequencing, microarray-based methods are expected to become outdated in coming years.

## 1.2.1.2. Small RNA sequencing

The first step of small RNA sequencing methods is preparation of a cDNA library from a small RNA sample, followed by parallel sequencing of fragments in the library. Standard bioinformatics preprocessing steps include removal of sequencing adapters, quality control, and read filtering. Preprocessed reads are aligned to the reference genome, and to the mature and precursor miRNA sequences. Expression of each miRNA is determined by counting the number of reads that map to the region of mature miRNA. This count is used in subsequent preprocessing and analysis steps. As these technologies determine the discrete counts of reads, rather than the intensities of light signals, most of the widely used normalization and/or statistical analysis methods differ substantially from methods used in expression array analyses (compared in; (Rapaport et al., 2013; Soneson and Delorenzi, 2013)).

The main advantage of small RNA sequencing is that, in addition to miRNA expression quantification, acquired data can be used to detect isomiRs and identify novel miRNAs (Morin et al., 2008). Important disadvantages are the requirement for a larger amount of RNA input, a complicated library preparation procedure, and a considerably higher cost compared to array-based technologies.

# 1.2.1.3. Quantitative reverse transcription – polymerase chain reaction (qRT-PCR)

The qRT-PCR method is based on reverse transcription (RT) of miRNAs to synthesize cDNA, followed by quantitative polymerase chain reaction (qPCR) to quantify the accumulated product by measuring the light emitted in each round of amplification. To accommodate qRT-PCR to the short length of target sequences, specific stem-loop primers can be used in the RT phase (Chen et al., 2005). An alternative strategy involves polyadenylation of the miRNA before the RT step and subsequent use of universal primers. The qRT-PCR approach has been used primarily for quantifying specific preselected miRNAs and validating results of expression arrays or small RNA sequencing experiments. However, panels of many miRNA primers are available in microfluidic plate format for global profiling of many miRNAs. The T<sub>m</sub> optimization strategies for qRT-PCR panels are similar to those used for miRNA expression arrays.

The major advantage of qRT-PCR is that it offers the highest detection sensitivity among available methods, making it the method of choice when the quantity of input material is low. Disadvantages include the possibility of obtaining quantifications only for a set of preselected miRNAs and a higher price compared to expression arrays.

### 1.2.2. Target prediction algorithms

Substantial effort has been made towards developing bioinformatic methods to predict targets of miRNAs of interest. Numerous target prediction methods have been developed for animals and plants. However, because target regulation follows different rules in plants versus animals (i.e., in plants, target-miRNA complementarity must be complete or near-complete, and there are no preferential target site locations in 3' UTRs), I focus only on animal-specific approaches in this thesis. Target prediction for animal miRNA targets is also computationally more complex, because only partial complementarity between the target and miRNA is necessary for regulation.

Perfect or near-perfect complementarity between the miRNA seed region and target is a major contributor to miRNA functionality (Lewis et al., 2003, 2005). Consequently, the easiest and most naïve way to predict putative miRNA targets would be to search for perfectly complementary sites between the minimal 6mer seed region of miRNA and the target transcript. However, as this approach yields many false positives, additional information is necessary to enhance the usefulness of in silico target prediction.

Some frequently used additional criteria for target prediction are as follows:

- 1. Non-seed complementarity: Larger complementarity outside the seed region, in the 3' end of miRNA, enhances miRNA effectiveness (Grimson et al., 2007).
- 2. Conservation: Similarly to other genomic elements, MREs that are conserved in several species are more likely to be functional. However, using

- this threshold as a strict filter misses the large number of nonconserved but functional target sites (Farh et al., 2005).
- 3. Free energy: Higher stability of the miRNA-mRNA duplex is associated with a stronger effect of MRE.
- 4. Target site accessibility: For miRNA-mediated gene regulation to be functional, the secondary structure of the target must permit binding of the miRNA and accompanying protein complex.
- 5. Local AU percentage: Greater AU nucleotide content near the functional binding site has been correlated with greater strength of the MRE (Grimson et al., 2007), probably due to the more favorable secondary structure of mRNA and, therefore, better accessibility of the binding site.
- 6. Number of target sites: Presence of multiple binding sites in one 3' UTR enhances the functionality of a given miRNA (Grimson et al., 2007).
- 7. Target site location and distribution: Empirical evidence indicates that MREs positioned near the 5' or 3' end of the 3' UTR are functionally stronger than those positioned in the middle (Grimson et al., 2007). Additionally, pairs of closely positioned target sites have a synergistic effect on gene regulation (Grimson et al., 2007).
- 8. Pairing stability in miRNA seed region: MicroRNAs having globally weaker seed pairing stability with their targetome have a smaller proficiency of gene regulation (Garcia et al., 2011).
- 9. Target abundance: MicroRNAs targeting a larger number of genes have smaller effectiveness of gene regulation (Garcia et al., 2011).

Earlier prediction algorithms used a rule-based approach to identify putative miRNA binding sites. These algorithms were based on features defined from information gathered through low-throughput experiments. Some newer implementations use more complex approaches and experimentally validated data to train the algorithms. The training of the algorithm can involve several machine-learning strategies, such as support vector machines (Wang and El Naqa, 2008) or artificial neural networks (Chandra et al., 2010). However, some of the datasets used for defining rules or training algorithms may not be representative, due to the small number of confidently validated functional and nonfunctional miRNA-target interactions (Fan and Kurgan, 2015). Most algorithms have been implemented for predicting target sites from the 3' UTRs of genes. Nevertheless, some of these algorithms can also be used for target prediction from the coding regions of genes (by using the full transcript as input), whereas others implement this feature exclusively (e.g., DIANA microT-CDS). In the following subsection, I will discuss the principles of some of the most widely used target prediction algorithms.

#### 1.2.2.1. Overview of the algorithms

#### 1.2.2.1.1. Method of Stark et al.

The first study to utilize the in silico method for miRNA target prediction sought to identify targets of the *Drosophilia melanogaster* miRNome (Stark et al., 2003). Authors screened 3' UTRs for complementarity between the mRNA and first eight nucleotides from the 5' end of miRNA. Differences between the observed free folding energy ( $\Delta G$ ) and binding energies of random sequences were measured by Z-scores, with a strict criterion of  $Z \geq 3$  being used as a threshold for conservative target prediction.

# 1.2.2.1.2. TargetScan, context+ score, and probability of preferentially conserved targeting ( $P_{CT}$ )

One of the first target prediction algorithms to be developed (Lewis et al., 2003, 2005), TargetScan remains one of the most popular strategies for miRNA target prediction. This simple algorithm performed relatively well in independent test sets (Fan and Kurgan, 2015). The method searches for matches between the miRNA seed region (8mer, 7mer-A1, 7mer-m8) and the 3' UTR of the target gene. Conservation of the target site across species is used as an additional filter for target site identification (although prediction without the conservation filter is possible). The original algorithm (Lewis et al., 2003) considered free binding energy constraints, pairing efficiency outside the seed region, and multiple binding sites. However, these criteria were dropped in the current implementation, denoted as TargetScanS (Lewis et al., 2005). The resulting precomputed target database has been renewed several times (v7.0 is the latest version, at the time of writing). The algorithm also has been used to predict targets from CDSs (Lewis et al., 2005).

In conjunction with the TargetScan algorithm, the context+ score has been widely used for the prioritization of target sites (Garcia et al., 2011; Grimson et al., 2007). This score combines contributions from six contextual miRNA binding site features that correlate with targeting efficiency and were identified in gene downregulation data from miRNA transfection experiments (Grimson et al., 2007). These features are the site type (7mer-A1, 7mer-m8, 8mer), pairing in the 3' region of miRNA, local AU quantity, binding site location, global miRNA binding site abundance, and miRNA seed pairing stability. Smaller context+ score values indicate more favorable binding and a higher possibility that the miRNA-target pair is functional and biologically relevant. The recent update of the score (context++ score) improves the predictor even further, using 14 contextual features (Agarwal et al., 2015). Finally, the P<sub>CT</sub> method (Friedman et al., 2009) can be used to identify MREs that are selectively maintained in the evolution due to miRNA targeting and, therefore, potentially more relevant.

#### 1.2.2.1.3. DIANA-microT algorithms

The first iteration of the DIANA-microT algorithm was developed at the same time as TargetScan. To develop this algorithm, the authors carried out experiments with putative miRNA-mRNA targets to define features that influence miRNA repression strength (Kiriakidou, 2004). The initial algorithm used dynamic programming to identify the mRNA-miRNA duplex with a minimal binding energy threshold. Additional requirements included perfect or near-perfect complementarity in the 5' end of the miRNA-mRNA duplex (up to two wobble pairs allowed), at least 5-nt-long canonical or wobble pairing in the 3' end of the duplex, and the presence of a central bulge or loop.

A major modification of the method, DIANA-microT v3.0 (Maragkakis et al., 2009a, 2009b) uses dynamic programming to identify the best-scoring alignment of 6- to 9-nt binding in the 5' end of the miRNA and 3' UTR of the target. For perfectly complementary regions that are smaller than 7 nt (including 7–9 nt regions with wobble pairs), additional constraints for free binding energy are used. This additional filter is achieved by using shuffled "mock" miRNAs for defining the background and identifying target sites that have significantly lower free binding energy than the background. Species conservation information is used to score each MRE (compared to MREs of the mock miRNAs). Individual scores per gene are used to calculate the miRNA target gene score (miTG).

DIANA-microT v4 (Maragkakis et al., 2011) enhanced the previous algorithm by using available pulsed stable isotope labeling with amino acids in cell culture (pSILAC) data (Selbach et al., 2008), instead of shuffled mock miRNAs, for defining the nonfunctional background. The latest version, DIANA-microT-CDS (Paraskevopoulou et al., 2013; Reczko et al., 2012), is one of the few algorithms to address specifically miRNA target site identification from the CDS, in addition to 3' UTR. This algorithm uses dynamic programming for putative MRE identification. Target site conservation, target site accessibility, local AU content, distance from the 3' UTR end, target site distance from other target sites, free binding energy, and miRNA binding pattern are used as additional features for MRE scoring. Feature selection, target site scoring, and binding site prioritization were carried out by using available PAR-CLiP data (Hafner et al., 2010).

#### 1.2.2.1.4. miRanda and miRSVR

The miRanda algorithm (Enright et al., 2003; John et al., 2004) aligns the miRNA sequence against the 3' UTR and uses alignment quality scores to evaluate binding strength. Subsequently, free binding energy is calculated and used to filter the results. The algorithm can be used with or without the requirement of strict complementarity in the 7-nt seed region. Additional conservation filters are added for the precomputed results (http://www.microrna.org/).

Together with miRanda algorithm, the miRSVR score can be used as an additional measure of binding site efficiency (Betel et al., 2010). This measure was constructed by using the machine-learning approach of support vector

regression, in which the model was trained on gene expression data from miRNA transfection experiments (Grimson et al., 2007). Several binding site and sequence context features were used for training, as follows:

#### MRE features

- 1. Complementarity with the seed region
- 2. Complementarity in the 3' part of the miRNA

#### Local context features

- 3. AU nucleotide quantity in the MRE-flanking region
- 4. Secondary structure accessibility

#### Global context features

- 5. Length of the 3' UTR
- 6. MRE distance from the UTR ends
- 7. Conservation level of the MRE region

A lower (negative) miRSVR score indicates a higher probability that the binding site is functional. Unlike the context+ score, miRSVR is also useful for prioritizing noncanonical binding sites.

#### 1.2.2.1.5. PicTar

PicTar (Krek et al., 2005) uses the 7-nt seed requirement, conservation, and free energy filters for identifying a set of highly probable binding sites, called anchors. If the 3' UTR has enough anchors, then a hidden Markov model is used to calculate the maximum likelihood that the corresponding 3' UTR will be targeted by some combination of input miRNAs. Therefore, PicTar specifically addresses the combinatorial effect of miRNAs.

#### 1.2.2.1.6. Probability of interaction by target accessibility (PITA)

The PITA (Kertesz et al., 2007) algorithm assesses miRNA-mRNA complementarity and the accessibility of putative miRNA target sites for RISC. The method searches for 6- to 8-nt complementary seed regions from the target 3' UTR. Dynamic programming is used to calculate the minimum free energy of the putative miRNA-mRNA duplex ( $\Delta G_{duplex}$ ) and the energy required to make the binding site accessible ( $\Delta G_{open}$ ). The difference between these two energies ( $\Delta \Delta G$ ) is used to prioritize individual target sites. Additionally, the effect of multiple binding sites of one miRNA is calculated by the formula:

$$T = log \sum_{i=1}^{n} e^{s_i}$$

where  $s_i$  is the  $\Delta\Delta G$  of an individual binding site; and n is the number of binding sites for the corresponding miRNA.

#### 1.2.2.2. Performance of target prediction algorithms

In silico target prediction algorithms are useful for finding putative miRNA-target interactions and are usually the first step when interpreting the functions of miRNA(s) of interest. However, these methods also have limitations, such as a limited ability to identify truly functional miRNA-target pairs and some proportion of false positives. Attempts have been made to assess the performances of target prediction algorithms (Maragkakis et al., 2009c; Rajewsky, 2006; Sethupathy et al., 2006). For accurate analysis of performance, independent (i.e., not used for algorithm training), reasonably large, and confidently identified sets of true and false miRNA-target associations are needed. Experimentally validated miRNA-target pairs or MREs supported by AGO-CLIP have been routinely used as true associations.

Algorithm performance can be assessed by several measures. Sensitivity indicates the proportion of correctly predicted miRNA-target interactions among all true associations, whereas specificity indicates the proportion of false miRNA-target interactions that are correctly identified as false. Precision indicates the proportion of identified miRNA targets that are true targets. A good prediction algorithm should combine high sensitivity, specificity, and precision. The ability of prediction algorithms to pinpoint true target associations is often evaluated by the receiver operator characteristic (ROC) curve, wherein the x-axis denotes 1-specificity and the y-axis denotes sensitivity. In the case of a continuous predictor indicating the probability of a real interaction (e.g., context+ or miRSVR score), the sensitivity and specificity are calculated for each predictor value and plotted as a curve. A high value for the area under the ROC curve (AUC) indicates good algorithm performance; an AUC of 0.5 indicates poor performance and random classification.

Fan and Kurgan carried out the most comprehensive comparative analysis of prediction algorithms to date (Fan and Kurgan, 2015). After reviewing 38 algorithms designed for miRNA target prediction in animals, the authors comprehensively evaluated the performances of seven algorithms: TargetScan, DIANA-microT-CDS, miRanda, PicTar, miRmap, miRtarget2, and EIMMo. Confidently validated miR-target interactions (by qRT-PCR, luciferase assay, or Western blot) from miRTarbase (Hsu et al., 2014) were used to construct corresponding sets of functional and nonfunctional pairs. Unlike similar studies, the authors exclusively used interactions that were validated after the newest tested prediction algorithm was released, to rule out potential overlap between the test set used for evaluation and training sets used for prediction algorithm optimization.

The authors reported significantly better performances for algorithms that made predictions at the target gene level (AUC 0.59–0.75), compared to those making predictions at the binding site level (AUC 0.52–0.67). Among the tested algorithms, TargetScan and DIANA-microT-CDS had good overall performances in most aspects. PicTar (AUC 0.59 and 0.54 for gene and binding site level)

had relatively low sensitivity (0.34 and 0.27) but high specificity (0.83 and 0.80), meaning that the predicted target sites were more likely to be true MREs.

One widely used strategy to identify more credible set of miRNA targets is to intersect the results of several target prediction algorithms. This approach will reduce the sensitivity of the prediction, while making the results more conservative by increasing the specificity. Additionally, overlapping the in silico predicted MREs with experimentally determined RISC binding sites and prioritizing targets based on miRNA binding efficiency measures (e.g context+ and miRSVR scores) can increase the specificity of target prediction algorithms.

In conclusion, algorithms utilizing different and often quite sophisticated methods for target prediction can serve as tools for narrowing down the potential targetome and obtaining an overall view of the functions of the miRNA(s) of interest. The most interesting interactions having potential impact on cellular networks, traits, or pathogenic changes should be validated experimentally before they are declared to be drug targets or biomarkers.

### 1.2.3. Experimental techniques for miRNA target identification

Various experimental techniques are available for identifying miRNA targets and complementing the results of silico target predictions. Experimentally supported mRNA-miRNA interactions have been collected in databases, such as TarBase (Vlachos et al., 2015), miRTarbase (Chou et al., 2016), and miRecords (Xiao et al., 2009). Methods can be classified based on scope (low- vs. high-throughput) or level of biological information (effect on mRNA vs. protein level).

#### 1.2.3.1. Reporter gene assays

Often considered to be the gold standard for miRNA target validation, reporter gene assays are used to determine whether an interaction exists between the miRNA and target sequence (Lewis et al., 2003). The target region is cloned into a reporter vector, downstream of the open reading frame (ORF) of the gene encoding a fluorescent protein (e.g., luciferase). Cotransfection with an miRNA mimic targeting the region will result in reduced emission of light by the fluorescent reporter protein, indicating a functional interaction between the miRNA and tested target. Similarly, it is possible to downregulate an endogenously expressed miRNA by using chemically modified anti-miR oligonucleotides (AMOs), and then test the effect on target sequence regulation. However, this is still model system with its limitations – many factors may influence miRNA binding under physiological conditions.

#### 1.2.3.2. Gene expression profiling after modulation of the miRNA level

A commonly used strategy to determine the effect of an miRNA on the transcriptome level is to use gene expression profiling methods after transfection with an miRNA mimic or after downregulation of the miRNA by AMOs. This strategy can be implemented in a high-throughput (e.g., utilizing microarrays or massively parallel sequencing techniques) or low-throughput manner (e.g., utilizing qRT-PCR or Northern blotting to determine expression changes of a specific target gene). However, this strategy cannot distinguish the direct effect of miRNA binding from secondary effects, such as those that emerge from the interplay between genes in regulatory networks and loops.

# 1.2.3.3. Determining protein abundance after modulation of the miRNA level

To determine the effects of miRNA targeting on the protein level, protein abundance can be measured after transfection with an miRNA mimic or AMO. Low-throughput strategies involve Western blotting, enzyme-linked immunosorbent assay (ELISA), fluorescent-activated cell sorting (FACS), and other standard proteomics methods for detecting the presence and abundance of the target protein. In high-throughput settings, pulsed stable isotope labeling in cell cultures (pSILAC) have been used (Selbach et al., 2008). This method uses the marking of newly synthesized proteins with isotope-labelled amino acids prior to mass-spectrometry, enabling to investigate the effect of miRNA on proteome.

#### 1.2.3.4. Pull-down of biotin-marked miRNA

To identify the interaction partners of specific miRNAs, one strategy is to label the 3' end of synthetic miRNA with biotin and, after transfection, to use streptavidin-coated magnetic beads to purify the miRNA together with targeted transcripts (Martin et al., 2014; Orom et al., 2008). Resulting targets are analyzed by expression arrays or massively parallel sequencing.

#### 1.2.3.5. Immunoprecipitation of RISC components

RISC proteins, such as AGO2, can be immunoprecipitated together with bound miRNAs and fragments of target mRNAs. Subsequent massively parallel sequencing methods can identify the locations of RISC binding and the array of miRNAs that are bound to RISC. Some methods, such as high-throughput sequencing of RNA isolated by crosslinking immunoprecipitation (HITS-CLiP) (Chi et al., 2009) and photoactivatable-ribonucleoside-enhanced crosslinking and immunoprecipitation (PAR-CLiP) (Hafner et al., 2010), use ultraviolet (UV) treatment to crosslink RISC proteins with RNA. The limitation of these

methods is that they do not provide explicit information about the miRNA-mRNA interaction in the region of RISC binding and this is usually inferred from in silico target prediction. The crosslinking, ligation, and sequencing of hybrids (CLASH) method (Helwak et al., 2013) ligates miRNA and mRNA before sequencing, enabling miRNA targets to be mapped regardless of in silico target prediction algorithms.

#### 1.3. MicroRNAs in cancer

During the last 15 years, miRNA-mediated regulation has been shown to be relevant for many biological processes, with impairments being associated with several pathologies, such as heart (van Rooij et al., 2006; Wahlquist et al., 2014), neurodegenerative (Miñones-Moyano et al., 2011), and autoimmune diseases (Junker et al., 2009). However, the main focus of miRNA research has been on their involvement in tumorigenic processes.

Soon after the realization that gene regulation via miRNA targeting is a widespread and evolutionally conserved mechanism, it was reported that the region encoding two miRNAs (cluster of miR-15 and miR-16 in 13q14.3) is frequently deleted in the chronic lymphocytic leukemia (CLL) (Calin et al., 2002). After this observation, more comprehensive bioinformatic screening was conducted for all miRNAs known by that time (Calin et al., 2004). Out of the 186 miRNA genes known by then, 35 mapped into fragile sites frequently mutated in cancers, indicating significant overrepresentation (*P*<0.0001). Additionally, about half of the miRNA genes were mapped to the same chromosome band as the established fragile site. A similar association was found for mouse cancer susceptibility loci (Sevignani et al., 2007). However, these studies analyzed only the subset of miRNAs known to date and later research suggested that preferential location near fragile sites is not specific to miRNAs, but also applies to protein-coding genes (Laganà et al., 2010).

Subsequently, large-scale miRNA profiling studies confirmed that the expression of many miRNAs is changed in several cancer types (Iorio et al., 2005; Lu et al., 2005; Volinia et al., 2006; Yanaihara et al., 2006). Their expression profiles were found to distinguish cancerous from noncancerous tissues and to classify poorly differentiated samples more accurately than mRNA profiles (Lu et al., 2005). Similarly to protein-coding genes, the expression of miRNA genes in cancer can be dysregulated by genomic deletions or duplications, changes in the methylation patterns of promoters, and regulation by cancer-associated transcription factors. Cancer-associated mutations in individual components of miRNA processing machinery are also frequently reported. Such mutations can cause global changes in miRNA levels and subsequent dysregulation of the whole transcriptome (Melo et al., 2010). Incidentally, global downregulation of the miRNome has been reported in cancerous tissues (Lu et al., 2005). Given that miRNAs "fine-tune" the gene expression, this downregulation may have a destabilizing effect on the operation

of the whole cellular machinery. Additionally, variants in miRNAs (Rawlings-Goss et al., 2014) or their binding sites (Chin et al., 2008; Nicoloso et al., 2010) can contribute to the formation of tumorigenic traits, by mechanisms that are discussed in detail in the next chapter.

### 1.3.1. MicroRNAs as oncogenes or tumor suppressors

MicroRNAs may act as oncogenes (oncomiRs) or tumor suppressors by regulating corresponding cancer-associated genes or cellular pathways. The first functional evidence for the oncogenic function of miRNAs was for the six members of the miR-17-92 cluster (mir-17, -18a, -19a, -19b-1, -20a, and -92a-1), named oncomiR-1 (He et al., 2005b). Members of this cluster were upregulated in B-cell lymphoma (BCL) samples and contributed to tumor development in a BCL mouse model. Numerous established tumor-suppressor genes, including *PTEN* (Xiao et al., 2008) and *E2F1* (O'Donnell et al., 2005), are experimentally supported targets of this cluster.

One of the most well-known examples of tumor-suppressor miRNAs is the let-7 family, members of which are downregulated in several cancer types (Ali et al., 2012; Dahiya et al., 2008; Takamizawa, 2004) and correlated with lung cancer survival (Takamizawa, 2004; Yanaihara et al., 2006). Among the validated targets of these miRNAs are established oncogenes from the *RAS* family (Johnson et al., 2005), *MYC* (Sampson et al., 2007) and *HMGA2* (Lee and Dutta, 2007; Mayr et al., 2007; Shell et al., 2007).

In therapeutic applications, oncomiRs can be downregulated by synthetic antagomiRs, chemically modified oligonucleotides that bind targeted miRNA and deactivate its biological function (Krützfeldt et al., 2005). Similarly, miRNA "sponges", synthetic RNA constructs with several MREs, can be used to decrease expression of targeted miRNAs (Ebert et al., 2007). Circular RNAs (circRNAs) are currently in the limelight of research, due to their function as natural "sponges" and their emerging biological relevance (Guo et al., 2014; Memczak et al., 2013; Salzman et al., 2012). These RNAs are more stable than linear sponges and can act as models for more effective therapy.

Mimics of tumor-suppressor miRNAs can be delivered to the location of action by viral vectors (Kota et al., 2009) or nonviral carriers, like atelocollagen (Tazawa et al., 2007) or liposome-polycation-hylaruronic acid nanoparticles (Chen et al., 2010). At the time of writing, first miRNA-based cancer drug MRMX4, developed by Mirna Therapeutics (Austin, Texas), is under Phase I clinical trials for use in several cancer types. MRMX4 is a mimic of a well-established tumor suppressor, miR-34a-5p, which is encapsulated into liposomal nanoparticle formulation (http://www.mirnarx.com/pipeline/mirna-MRX34.html).

#### 1.3.2. MicroRNAs as cancer biomarkers

The ability to differentiate tissue samples based on cancer status (Volinia et al., 2006), type (Lu et al., 2005), subtype (Landi et al., 2010; Zhang et al., 2012b), or stage (Mascaux et al., 2009; Olson et al., 2009) underscores the potential utility of miRNA profiles as diagnostic biomarkers. Physicians are better equipped to make treatment decisions when they have a precise diagnosis. For example, whereas bevacumizab (Avastin) is effective for the treatment of lung adenocarcinoma, its introduction was associated with life-threatening hemorrhage in the case of squamous cell lung cancer (Johnson et al., 2004). Moreover, it is demonstrated that the miRNA profiles of metastases reflect the profiles of primary tumors (Rosenfeld et al., 2008) making it possible to "track down" the location and type of unknown primary tumor. As the miRNA profile may reflect the properties of a specific cancer, it may also correlate with the efficiency of a specific anticancer treatment. For instance, miR-520g-3p has been reported to mediate resistance to 5-fluorouracil and oxaliplatin therapy in colorectal cancer (Zhang et al., 2015a). Such information may help physicians to target treatments better, such as by choosing a more suitable drug or targeting the corresponding miRNA(s) with antagomiRs, in addition to standard treatment.

Expression profiles of miRNAs have been shown to correlate with disease progression or postoperative survival in several cancer types, suggesting their potential as prognostic biomarkers. The first such association was demonstrated in CLL, where the expression profiles of nine miRNAs correlated with the time to development of symptoms (Calin et al., 2005). Another landmark study in non-small cell lung cancer (NSCLC) found an association between reduced postoperative survival and high miR-155-5p expression (Yanaihara et al., 2006). More recent study demonstrated that the expression of individual miRNAs was not robust prognostic biomarker in breast cancer. However, the combined signature of 17 known and 24 novel miRNAs was more precise, dividing breast cancer samples into the different prognostic groups (Dvinge et al., 2013).

The most exciting property of miRNAs is that they are stable in several body fluids, including blood (Mitchell et al., 2008), sputum (Xie et al., 2010), and urine (Hanke et al., 2010; Weber et al., 2010). In blood, miRNAs are protected from RNAse digestion by being bound with AGO proteins (Arroyo et al., 2011), encapsulated in exosomes or microvesicles (Valadi et al., 2007), bound by high-density lipoprotein particles (Vickers et al., 2011), or incorporated in apoptotic bodies (Zernecke et al., 2009). Cell-free miRNAs can be the byproducts of cell death, or they may be secreted actively and selectively (Guduric-Fuchs et al., 2012; Pigati et al., 2010), representing an intriguing form of cell-cell communication. Either way, they can serve as valuable noninvasive biomarkers, as the expression profiles of miRNAs in plasma and serum are altered in several cancer types.

The first study demonstrating the potential utility of blood miRNAs as cancer biomarkers showed that the plasma expression of miR-21-5p was

associated with relapse-free survival in BCL (Lawrie et al., 2008). Since then, blood profiles of different miRNAs have been associated with cancer diagnosis and prognosis in various studies in lung (Boeri et al., 2011; Chen et al., 2008), breast (Roth et al., 2010), and prostate cancers (Mitchell et al., 2008). Effective noninvasive biomarkers would enable physicians to diagnose cancer (sub)types, and to predict disease and treatment outcomes in an efficient and patient-friendly way. Several commercial miRNA-based diagnostic assays are already available. For example, Rosetta Genomics<sup>TM</sup> offers miRNA-based tests for better diagnosis of lung, thyroid, and kidney cancers, as well as for identifying the primary origin of tumors (Meiri et al., 2012).

### 1.3.3. MicroRNAs in lung cancer

In 2012, lung cancer was the most commonly diagnosed cancer and the leading cause of cancer-related deaths worldwide (Ferlay et al., 2015). Most lung cancers are classified into two histologically different groups: small cell lung cancer (SCLC) and non-small cell lung cancer (NSCLC). The latter group is classified into three subtypes: squamous cell carcinoma (SCC), adenocarcinoma (AD), and large cell carcinoma (LCC). SCLC constitutes about 10–15% of all lung cancer cases (Houston et al., 2014), has a very poor prognosis, and is generally inoperable. SCC comprises about 30% of lung cancer cases (Houston et al., 2014), originates from squamous cells of the inner lining of the lungs, and is strongly associated with smoking. AD constitutes about 40% of lung cancer cases (Houston et al., 2014) and originates from the glandular epithelium of the lung. AD has a slightly better prognosis and constitutes a higher percentage of never-smoker cases. Lung cancer types investigated in this thesis belong mainly to the SCC and AD subtypes of NSCLC.

By far, the greatest contributor to lung cancer risk is smoking history (~90% of all lung cancer patients have a history of smoking). Additional established risk factors involve exposure to second-hand smoke, asbestos, and radon (reviewed in; (Molina et al., 2008)). There is also a genetic component to lung cancer risk. Specifically, region 15q25.1 has been associated with lung cancer risk in genome-wide association studies (GWASs) (Thorgeirsson et al., 2008), possibly through modulation of smoking behavior.

Although the overall 5-year survival rate of NSCLC is poor (~20%; SEER Cancer Statistics Review 1975-2012, period of 2005–2011), patients with early IA stage show a survival rate up to ~80% in some populations (Goya et al., 2005). This fact indicates the need for better diagnostic and prognostic biomarkers to discover and diagnose early stages of disease, as well as more efficient drugs for the postoperative cure of patients with later-stage tumors.

The first association between miRNA and lung cancer was found in 2004 (Takamizawa, 2004). Five primary sequences of let-7 family miRNAs were shown to have reduced expression levels in NSCLC. This reduced expression was associated with worse postoperative survival. The first study to investigate

the large-scale expression of miRNAs in lung cancer identified the upregulation of 35 miRNAs (e.g., miR-21-5p, miR-17-5p, miR-191-5p) and downregulation of three miRNAs (miR-126-5p, miR-30d-5p, and miR-30a-5p), several of which were also dysregulated in several additional solid cancer types (Volinia et al., 2006). Another landmark study identified 43 miRNAs that are dysregulated in NSCLC tissues and found an association between postoperative survival and high miR-155-5p expression (Yanaihara et al., 2006). This study also reported differences between the miRNA profiles of SCC and AD.

Subsequent array- and massively parallel sequencing-based miRNA profiling studies have identified many more miRNAs that are dysregulated in NSCLC. However, the reported sets of potentially relevant miRNAs are different and sometimes conflicting. This issue is addressed in Chapter 4.2 of the experimental part of this thesis.

Exposure to tobacco smoke changes the profile of miRNAs in the lungs of mice and rats (Izzotti et al., 2009a, 2009b), suggesting that miRNA dysregulation is an early event in the pathogenesis of NSCLC and may mediate the subsequent tumorigenic processes. Several miRNAs that are frequently dysregulated in NSCLC have been demonstrated to act as oncomiRs or tumor suppressors, regulating cell cycle, angiogenesis, or epithelial-to-mesenchymal transition. For example, one of the most upregulated miRNAs in NSCLC, miR-21-5p, targets the tumor suppressor *PTEN* (Zhang et al., 2010). The tumor suppressor miR-126-5p, which is frequently downregulated in NSCLC, targets angiogenesis-associated genes *VEGF-A* (Liu et al., 2009) and *EGFL7* (Sun et al., 2010). Although there are numerous examples of validated cancer-associated targets of miRNAs that are dysregulated in NSCLC, their overall effect on tumorigenic processes is still poorly understood because of large number of potential targets, small number of validated targets, and multifunctional nature of individual miRNAs.

The miRNA profile in plasma, serum, or whole blood can be used to differentiate NSCLC patients from healthy controls, identify the metastatic state, and predict disease prognosis or treatment outcome (for comprehensive review; (Del Vescovo et al., 2014)). As an recent example, a serum profile of four miRNAs (miR-193b-5p, miR-301-5p, miR-141-5p, and miR-200b-5p) was found to differentiate NSCLC patients from cancer-free controls with high accuracy (AUC = 0.99) (Nadal et al., 2015). Another recent study identified the serum profile of five miRNAs (miR-483-5p, miR-193a-3p, miR-25-3p, miR-214-3p, and miR-7-5p), which had an AUC of 0.82 in the validation cohort (Wang et al., 2015). However, diagnostic and prognostic biomarker profiles differ substantially between studies, probably due to the variability in technical and analytical methods. MicroRNAs are also detectable and dysregulated in the sputum of NSCLC patients (Xie et al., 2010), adding an additional strategy for how miRNAs can be used as noninvasive biomarkers.

## 1.4. Genomic variants influencing the function of miRNAs

During the last decade, a large number of trait- and disease-associated genetic polymorphisms have been identified by GWASs. However, only a small proportion of those variants are located in CDSs, suggesting that these variants mainly affect gene regulation rather than the structure of encoded proteins (Hindorff et al., 2009). Indeed, many SNPs influence the expression of nearby (cis) and distant (trans) genes (Westra et al., 2013). These expression quantitative trait loci (eQTLs) are regulatory loci that can potentially converge their effects to the formation of a trait or disease.

Beside the effects on transcriptional control via regulatory regions in DNA (e.g., transcription factor binding sites and enhancers), methylation patterns, and chromatin packing, noncoding genomic variants could affect the formation of complex traits or diseases through miRNA-mediated regulatory networks. There are differences between human populations in the frequencies of genomic variants in pre-miRNA sequences (Rawlings-Goss et al., 2014) and the expression levels of several mature miRNAs (Huang et al., 2011), raising the possibility that miRNA regulation may play role in the differential susceptibility of diseases (Rawlings-Goss et al., 2014) or other complex traits in populations. Bulik-Sullivan with coworkers prioritized several miRNA-related SNPs that were previously associated with complex traits (Bulik-Sullivan et al., 2013).

SNPs can influence miRNA-meditated regulation via several mechanisms. First, SNPs in promoter or regulatory regions of an miRNA-encoding gene can change the expression of the corresponding miRNA and, thereby, influence its regulatory potential. For example, a SNP in the promoter of miR-146a-5p influences its expression level and is associated with systemic lupus erythematosus (Luo et al., 2011). This mechanism is supported by observations that several SNPs influence the expression of nearby miRNAs (*cis*-miR-eQTLs) (Borel et al., 2011; Huan et al., 2015; Lappalainen et al., 2013).

Second, SNPs or mutations may influence the structure or expression of members of the miRNA processing machinery. Effects of those SNPs may influence the whole miRNome. For example, rs11077 in *XPO5* (encoding exportin 5) has been associated with the severity of several cancers (Campayo et al., 2011; Liu et al., 2014; Ye et al., 2008). Interestingly, a mutation in this gene has been shown to entrap miRNAs in the nucleus of cancer cells (Melo et al., 2010).

Third, SNPs that change the sequence of pri- or pre-miRNAs may have downstream effects on the expression of the mature miRNA. For example, a SNP in the tumor suppressor pri-let-7e led to a reduction of the mature miRNA level in an in vitro system (Wu et al., 2008).

Fourth, SNPs located in the mature miRNA (especially in the seed region) may cause changes in the targetome of the corresponding miRNA by disrupting or creating complementarity with targets. Comprehensive overlap between known genomic variants and miRNA seed regions identified 227 SNPs that

would cause differences in binding with in silico-predicted targets (Gong et al., 2015).

Finally, SNPs in target genes may cause changes in miRNA-mRNA interactions. These SNPs are likely to be found in the 3' UTR of the target gene, as it is the most relevant region for miRNA binding in animals. SNPs in this region may affect the regulation of target genes by miRNAs in multiple ways (Fig. 5). A minor allele of a 3'-UTR SNP may directly affect the binding site by disrupting the functional MRE, creating a new one, changing the strength of the existing MRE, or replacing the MRE of one miRNA with another (SNPs termed as MRE-SNPs). SNPs may also change the secondary structure of the 3' UTR and, therefore, affect its accessibility for RISC. SNPs in splice sites or polyadenylation signals may cause alternative splicing or polyadenylation, changing the length of the 3' UTR and causing loss of multiple MREs. In addition to miRNA-associated mechanisms, SNPs in 3' UTRs may affect regulation by several RNA-binding proteins. Although there is currently no comprehensive study investigating all of these mechanisms, bioinformatics analyses have suggested that most 3'-UTR SNPs influence MREs (64%), whereas a minority affect 3'-UTR folding (24%) or splicing (12%) (Arnold et al., 2012).

Several genomic variants in MREs have been associated with phenotypic traits and pathological conditions. The first study to associate genomic polymorphisms with miRNA action was conducted in papillary thyroid carcinoma (He et al., 2005a). Two SNPs, predicted to change the binding of three miRNAs upregulated in this cancer type, were found in the cancer-associated gene KIT. Another early study identified a point mutation in the 3' UTR of SLITRKI, which was predicted to enhance the binding of miR-24-1-5p (previously known as miR-189). This mutation was found in two nonrelated Tourette syndrome patients and the results from a luciferase reporter assay supported the functional impact on miRNA binding. The authors concluded that this mutation may have a role in the pathogenesis of this disease (Abelson et al., 2005). Probably the most striking example is a point mutation in the 3' UTR of the gene encoding myostatin (GDF8). This mutation was shown to create a potential binding site for muscle-expressed miR-206 and miR-1-3p in the Texel breed of sheep (Clop et al., 2006). Resulting downregulation of GDF8 causes a specific muscular phenotype for this breed.

After early studies associating genetic variation in MREs with diseases and phenotypes demonstrated the biological relevance of this phenomenon, efforts were made to screen SNPs in MREs at the genome-wide scale, using in silico target prediction algorithms. These studies resulted in several publicly available catalogues of predicted MRE-SNPs, such as polymiRTS (Bao et al., 2007; Bhattacharya et al., 2014), Patrocles (Hiard et al., 2010), miRSNP (Liu et al., 2012), miRNASNP (Gong et al., 2012, 2015), and miRSNPScore (Thomas et al., 2011). Each of these databases uses a different prediction algorithm. Additionally, web tools for the real-time prediction of the effects of MRE-associated variants have been developed (Barenboim et al., 2010; Deveci et al., 2014).

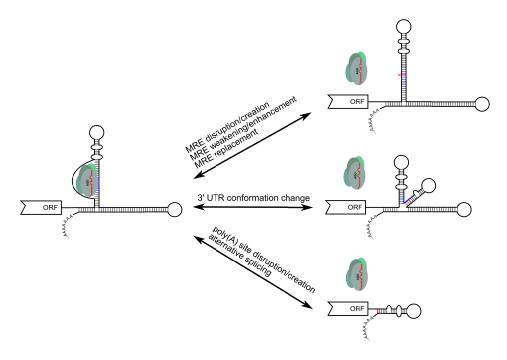


Figure 5. Mechanisms of miRNA-mediated 3'-UTR SNP effects on gene expression.

Because the accuracy of in silico prediction algorithms is not perfect, several additional data layers have been used to prioritize putative MRE-SNPs. For example, Arnold et al. used available CLIP-seq data to enhance the precision of target prediction and identified 37 unique MRE-SNPs (Arnold et al., 2012). Some studies have used experimental support to MRE as an additional filter (Duan et al., 2009; Saunders et al., 2007) or used the requirement of miRNA-target coexpression in the tissue of interest (Saunders et al., 2007; Vaishnavi et al., 2014; Zhang et al., 2012a).

Because miRNA targeting impacts the expression level of the target mRNA, information about *cis*-eQTLs can be used to support the functional effects of the MRE-SNP. For example, Richardson and colleagues used small eQTL datasets (78–83 samples) from the MuTHER study to support the in silico identification of 4 out of 11 putative disease-associated MRE-SNPs (Richardson et al., 2011). Another study used data from HapMap 3 LCL samples and identified 130 MRE-SNPs that had a *cis*-eQTL effect on target gene expression (false discovery rate [FDR] < 0.001). The same study hypothesized that, in addition of "fine-tuning" the targetome, miRNA targeting may enhance variations in the expression of some target genes (Lu and Clark, 2012).

### 2. AIMS OF THE STUDY

The aims of the present doctoral thesis were as follows:

- 1 To identify differentially expressed miRNAs in NSCLC, determine their effects on cellular signaling pathways, and find miRNAs with potential diagnostic and prognostic value.
- 2 To determine a consensus set of differentially expressed miRNAs in lung cancer by meta-analyzing the results of previously published miRNA profiling studies.
- 3 To identify genetic variants that alter the expression levels of host genes by miRNA-mediated mechanisms.

#### 3. RESULTS AND DISCUSSION

## 3.1. MicroRNA expression changes in Estonian NSCLC cohort (Ref I)

Lung cancer is the second most common cancer type in Estonia and the main cause of cancer-related deaths (Estonian Cancer Registry, 2008-2012). Although the survival rate of patients with NSCLC is generally poor, a much better prognosis is possible when the disease is discovered in the early stages. Different treatment decisions can be made depending on the precise molecular subtype of the disease, highlighting the need for more accurate diagnostic and prognostic biomarkers.

This part of the thesis describes the identification of miRNA expression aberrations occurring in the early stages of NSCLC development by miRNA expression profiling analysis in a cohort of Estonian patients with early-stage NSCLC. Identified miRNAs are expected to have a higher likelihood of having a functional effect in cancer pathogenesis compared to later-stage "passenger" aberrations. Additional analyses were performed to evaluate miRNA-associated effects on cellular signaling pathways and to identify miRNAs associated with patient survival.

#### 3.1.1. Cohort used in this study

Samples in this study were collected from NSCLC patients who underwent curative resection at Tartu University Lung Hospital between 2002 and 2008. The study was approved by the Ethics Committee on Human Research of Tartu University and all enrolled patients signed a written informed consent form before participation. Biological material was collected by Dr. Tõnu Vooder in a standardized way (Ref I for details). Histological examination for tissue samples was made by Dr. Retlav Roosipuu. Clinical and pathological data were also collected.

The present study incorporated a subset of 38 early-stage NSCLC and 27 adjacent cancer-free lung samples (including 24 paired samples). Samples were selected from patients who had received neither preoperative irradiation nor chemotherapy because such treatment may have an independent effect on miRNA expression (Simone et al., 2009). Six patients received adjuvant chemotherapy after resection and this fact was taken into account in subsequent survival analyses. The 28 NSCLC samples included 18 SCC and 20 AD samples. Thus, the results from this study reflect expression changes in NSCLC in general, rather than in one specific subtype. The overall cohort characteristics are summarized in Table 1.

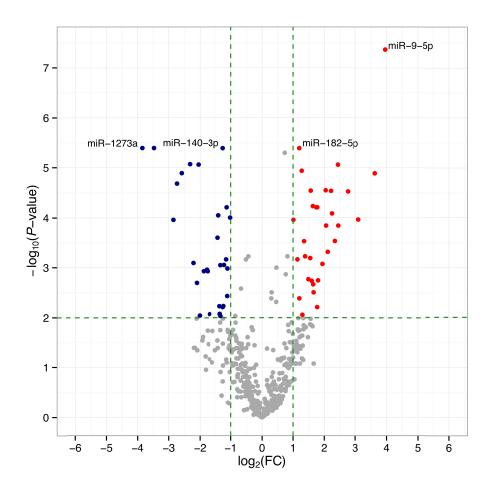
**Table 1.** Clinical and pathological characteristics of the samples.

Characteristic	SCC <sup>a</sup>	$\mathrm{AD}^\mathrm{b}$	NC <sup>c</sup>
Number of samples	18	20	27
Sex			
Male	18	14	23
Female	0	6	4
Stage			
Ia	2	12	7
Ib	14	7	17
IIa	0	0	0
IIb	2	1	3
Smoking			
Yes	17	15	24
No	0	4	3
unknown	1	1	
Age			
≤ 63 y	7	9	11
> 63 y	11	11	16
Range	44-79	41-79	41-77
Median	67	64	67
Survival status			
Alive	9	16	20
Deceased	9	4	7

<sup>a</sup>SCC, squamous cell carcinoma, <sup>b</sup>AD, adenocarcinoma, <sup>c</sup>NC, cancer-free tissue adjacent to tumor from NSCLC patients. Disease stage of corresponding individuals is shown.

## 3.1.2. MicroRNAs dysregulated in Estonian lung cancer cohort

Illumina miRNA BeadArray expression arrays were used to profile the expression of 858 miRNAs (miRBase v14). At the time of the study, this platform provided the most comprehensive coverage of the human miRNome. Standard data preprocessing and statistical analyses were performed with the LIMMA package (Linear Models for Microarray Analysis; (Ritchie et al., 2015; Smyth, 2004)). This study identified 39 up- and 33 downregulated miRNAs in NSCLC compared to the cancer-free samples (FDR < 0.01). When a stricter threshold for expression change (FDR < 0.01, fold change [FC] > 2; Fig. 6) was applied, 31 up- and 29 downregulated miRNAs remained. The most significantly dysregulated miRNAs are presented in Table 2 and the full list can be found in the Supplementary Material of Ref I.



**Figure 6.** Volcano plot depicting results of differential miRNA expression analysis. X-axis represents expression-level difference (fold change, FC) on the  $\log_2$  scale. Y-axis represents level of statistical significance [ $-\log_{10}(\text{FDR-corrected }P\text{-value})$ ]. Vertical dashed lines show the two-fold expression change as a cutoff. Horizontal dashed line indicates the threshold of statistical significance (FDR = 0.01). Red points depict upand blue points downregulated miRNAs.

Many of the identified miRNAs are known to be associated with lung cancer. The most upregulated miRNA in the dataset, miR-9-5p (FDR =  $4.3 \times 10^{-8}$ , FC = 15.5), has been associated with lung cancer (Crawford et al., 2009; Volinia et al., 2006; Xu et al., 2014; Yanaihara et al., 2006) and several other cancers (Cai and Cai, 2014; Lehmann et al., 2008; Wu et al., 2014). Although this miRNA showed strong and unidirectional cancer-associated upregulation in the dataset (Fig. 4), other studies have reported both up- (Crawford et al., 2009; Volinia et al., 2006; Xu et al., 2014) and downregulation (Yanaihara et al., 2006) in lung cancer.

Table 2. Thirty most significant differentially expressed miRNAs in NSCLC.

	miRNA	P-value*	FC <sup>a</sup>	Host gene(s) <sup>b</sup>	Location
Upregulated				C1orf61 [+]	1q22
	miR-9-5p	$4.30 \times 10^{-8}$	15.5	LOC64532 [+]	5q14.3
	_			intergenic	15q26.1
	miR-182-5p	$4.06 \times 10^{-6}$	2.3	intergenic	7q32.2
	miR-200a-5p	$8.67 \times 10^{-6}$	5.4	intergenic	1p36.33
	miR-151:9.1 <sup>#</sup>	$1.15 \times 10^{-5}$	2.4	PTK2 [+]	8q24.3
	miR-205-5p	$1.29 \times 10^{-5}$	12.3	LOC642587 [+]	1q32.2
	miR-183-5p	$2.82 \times 10^{-5}$	4.1	intergenic	7q32.2
	miR-130b-5p	$2.87 \times 10^{-5}$	3.0	intergenic	22q11.21
	miR-149-5p	$2.90 \times 10^{-5}$	4.6	GPC1 [+]	2q37.3
	miR-193b-3p	$2.98 \times 10^{-5}$	6.8	intergenic	16p13.12
	miR-339-5p	$5.86 \times 10^{-5}$	3.1	C7orf50 [+]	7p22.3
	miR-196b-5p	$6.19 \times 10^{-5}$	3.4	intergenic	7p15.2
	miR-224-5p	$6.19 \times 10^{-5}$	3.4	GABRE [+]	Xq28
	miR-31-5p	$8.20 \times 10^{-5}$	4.8	LOC554202 [+]	9p21.3
	miR-196a-5p	$1.08 \times 10^{-4}$	8.6	intergenic	17q21.32
				intergenic	12q13.13
	miR-423-3p	$1.10 \times 10^{-4}$	2.0	CCDC55 [+]	17q11.2
	miR-708-5p	$1.44 \times 10^{-4}$	5.5	ODZ4 [+]	11q14.1
	miR-106b-3p	$1.44 \times 10^{-4}$	4.2	MCM7[+]	7q22.1
	miR-210-3p	$2.92 \times 10^{-4}$	5.1	intergenic	11p15.5
Downregulated	miR-1273a	4.06×10 <sup>-6</sup>	14.3	RGS22 [+]	8q22.2
	miR-206	$4.06 \times 10^{-6}$	11.1	intergenic	6p12.2
	miR-140-3p	$4.06 \times 10^{-6}$	2.4	WWP2[+]	16q22.1
	miR-338-3p	$8.51 \times 10^{-6}$	5.0	AATK [+]	17q25.3
	miR-101-3p	$8.67 \times 10^{-6}$	4.1	intergenic	1p31.3
				RCL1 [+]	9p24.1
	miR-144:9.1 <sup>#</sup>	$1.29 \times 10^{-5}$	6.0	intergenic	17q11.2
	miR-1285-3p	$2.08 \times 10^{-5}$	6.7	KRIT1	17q11.2
	miR-130a-3p	$6.19 \times 10^{-5}$	2.2	intergenic	11q12.1
	miR-486-5p	$8.97 \times 10^{-5}$	2.6	ANKI [+]	8p11.21
	miR-24-2-5p	$9.94 \times 10^{-5}$	2.0	intergenic	19p13.12
	miR-144-5p	$1.10 \times 10^{-4}$	7.2	intergenic	7q21.2
*D 1	miR-30a-5p	2.51×10 <sup>-4</sup>	2.7	C6orf155	6q13

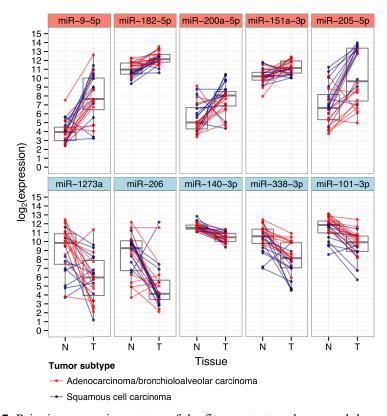
\*P-values are corrected by Benjamini–Hochberg method. aFC, fold change; b[+] miRNA and host gene are transcribed in the same direction; #9.1, given probe is specific to miRBase v9.1 version of the miRNA.

A previous study showed that miR-205-5p (FDR =  $1.29 \times 10^{-5}$ , FC = 12.3) is highly expressed in SCC and capable of distinguishing SCC from AD (Lebanony et al., 2009). Although the present dataset consisted of SCC and AD samples, upregulation was present in most patients (Fig. 7). One of the experimentally supported targets of this miRNA is the well-known tumor suppressor

PTEN (Sun et al., 2013). The most strongly downregulated miRNA, miR-1273a, has not been investigated before. However, several of the downregulated miRNAs have been implicated in lung and other cancer types. For example, miR-206 was recently confirmed to be downregulated in NSCLC, and transcription factor SOX9 was experimentally identified as its target (Zhang et al., 2015b). Similar studies have identified additional potentially relevant targets, like cancer-associated MET and BCL2 (Chen et al., 2015; Sun et al., 2015).

### 3.1.3. Pairwise expression patterns

In order to serve as diagnostic biomarkers or drug targets, miRNAs should show consistent changes in expression in tumors. Therefore, this study used the subset of array expression data containing paired samples of cancerous and non-cancerous lung tissue (n = 24) to visualize expression changes of the most differentially expressed miRNAs between samples from the same individual (Fig. 7).

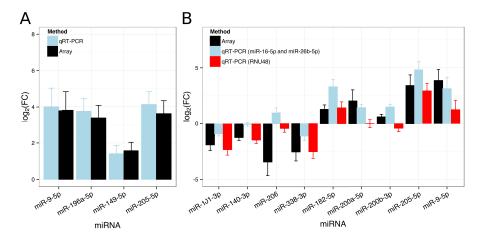


**Figure 7.** Pairwise expression pattern of the five most strongly up- and downregulated miRNAs. Each point represents an individual tissue sample. Lines connect samples from the same individual. N: cancer-free lung sample; T: tumor sample. Up- and downregulated miRNAs are in the top and bottom rows, respectively. Cancer subtypes are represented with different colors.

Although expression changes were generally consistent between sample pairs, there were some miRNAs with incoherent patterns. Some highly significant miRNAs with large overall changes in expression had inconsistent sample pairs (e.g., miR-1273a), whereas other miRNAs with more modest expression changes showed remarkable consistency (e.g., miR-140-3p). This finding may indicate that an miRNA does not necessarily need a large change in expression to serve as a potential diagnostic biomarker. As one miRNA may target hundreds of genes and regulate several pathways, modest but consistent changes in expression may point to possible oncomiR or tumor-suppressor miRNAs.

### 3.1.4. Validation of miRNA array data

Next, qRT-PCR was used to validate the miRNA array results. First, the subset of eight pairs of samples was used to validate changes in expression of four miRNAs that were upregulated in NSCLC (miR-9-5p, miR-196a-5p, miR-149-5p, and miR-205-5p; Fig. 8). Secondly, eight sample pairs from the NSCLC cohort that had not been profiled with Illumina arrays were used to validate the changes in expression for 10 aberrantly expressed miRNAs in the independent sample set. Among the investigated miRNAs, all but one (miR-1273a) were detectable in that sample set. In most cases, the direction of expression change was in concordance with array data (Fig. 8). However, the magnitude of change varied between array data and qRT-PCR normalization methods.



**Figure 8.** Validation of miRNA expression. A. Validation of microarray data by qRT-PCR. Bars represent average log<sub>2</sub>(FC) calculated for eight sample pairs on the microarray. Error bars indicate standard error of the mean (SEM). Geometric mean of the expression levels of miR-16-5p and miR-26b-5p was used as an endogenous reference for qRT-PCR. B. Validation of miRNA expression in eight additional sample pairs not profiled by the microarray. Bars represent log<sub>2</sub>(FC) and error bars indicate SEM. Results using two normalization methods (geometric mean of miR-16-5p and miR-26b-5p or expression of RNU48) are shown.

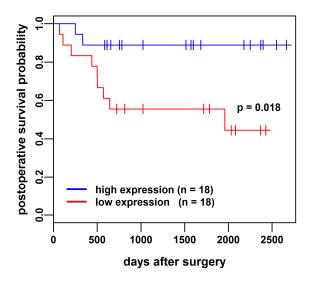
Two different endogenous references were used to normalize the qRT-PCR data: small nucleolar RNA 48 (RNU48) and the geometric mean of two highly expressed miRNAs (miR-16-5p and miR-26b-5p). Those genes were chosen because they have been prioritized as suitable references for similar analyses in a breast cancer dataset (Davoren et al., 2008).

## 3.1.5. Association between miRNA expression and patient survival

The next step was to identify whether miRNA expression was associated with patient survival. For this analysis, the data subset consisting only of tumor samples was used. Two samples were omitted due to the short survival time (<15 days) after resection because of the possibility that death was not directly related to the cancer. Therefore, the final analysis included 36 NSCLC samples. A Cox regression model was fitted on expression data of each miRNA. A permutation test was performed by assigning the survival time and status randomly 10,000 times. Using the permuted *P*-value threshold of 0.01, eight miRNAs had significant associations with survival time.

Patient survival may be influenced by several factors aside from possible cancer-related miRNAs. Therefore, the identified miRNAs were thoroughly analyzed to determine whether the observed association was an independent effect. Multivariate Cox regression was used to correct for the effects of possible covariates: smoking status (in pack-years), histological type (SCC or AD), tumor stage, postoperative chemotherapy, age, and sex. One miRNA, miR-374a-5p, had an independent association with patient survival (hazard ratio [HR] = 0.353, P = 0.008). High- and low-expression groups were defined on the basis of the median miR-374a-5p expression level, and survival of those groups was compared by Kaplan–Meier survival curves and log-rank tests. The high-expression group showed significantly longer survival times compared to the low-expression group  $(P = 0.018, \log_{2} - 20.018)$ 

The miRNA miR-374a-5p is upregulated in several cancer types (He et al., 2015; Xu et al., 2015) and mediates the upregulation of Wnt/β-catenin signaling in breast cancer cells (Cai et al., 2013). Wang et al. found that this miRNA is involved in greater gefitinib resistance in lung cancer and that this miRNA is targeting *WNT5A* (Wang et al., 2014). However, the same study demonstrated the opposite effect of miR-374a-5p expression with lung cancer patient disease-free survival compared to the pattern observed here (Wang et al., 2014). Reasons for this discrepancy are unclear, but may reflect differences arising from distinct ethnic backgrounds, variability in cohort characteristics (e.g., most NSCLC patients in the mentioned study had stage III or IV cancer, compared to early-stage patients used in the current study), or small sample sizes.



**Figure 9.** miR-374a-5p association with patient postoperative survival. Kaplan–Meier plot showing postoperative survival of NSCLC patients depending on the miR-374a-5p expression level. Log-rank test *P*-value is shown.

## 3.1.6. Regulatory pathways influenced by differentially expressed miRNAs

### 3.1.6.1. Target prediction

Putative targets for most dysregulated miRNAs were predicted by using the miRNA target prediction algorithms Pictar 4-way, PITA, TargetScan 5.1, miRanda, and DIANA-microT 3.0. To obtain a reliable set of target genes, a conservative strategy was used. The gene was considered to be the target of the corresponding miRNA if at least three of the five target prediction algorithms supported the prediction.

### 3.1.6.2. Enrichment analyses

To gain insight into the biological functions of the most significantly dysregulated miRNAs in NSCLC, gene set enrichment analysis was conducted by using annotations from the Kyoto Encyclopedia of Genes and Genomes (KEGG). Enriched pathways for the 10 most up- and downregulated miRNAs were largely overlapping (Fig. 10), being linked to cell mobility, differentiation, and proliferation ("Axon guidance", "Actin cytoskeleton", "Focal adhesion", etc.). Several cancer-associated pathways were also enriched ("Pathways in cancer", "Prostate cancer", etc.). Separate pathway enrichment analysis for targets of miR-374a suggested that this miRNA is involved in tumorigenesis-related processes ("Pathways in cancer", FDR = 0.024).

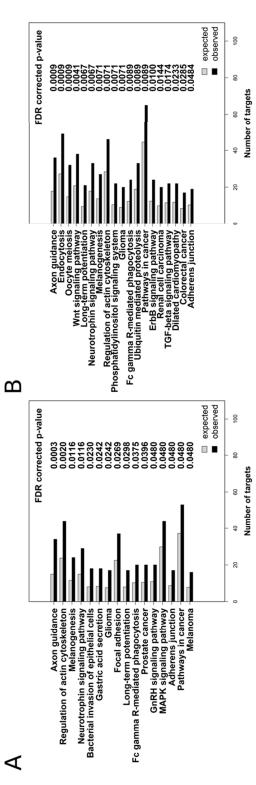


Figure 10. KEGG pathway enrichment of potential targets of the 10 most up- (A) and downregulated (B) miRNAs. Shown are expected and observed gene counts and FDR-corrected P-values.

For comparison, the enrichment analyses were made with the results of a previous mRNA profiling study that used individuals from the same Estonian NSCLC cohort (Välk et al., 2010). Pathways enriched among upregulated genes were involved in cell proliferation ("p53 signaling", "cell cycle", "DNA replication"). Downregulated genes were enriched by immune-related pathways.

### 3.1.7. Concordance between miRNA and mRNA expressions

Next, the research addressed whether dysregulation on the miRNA level was responsible for the differential expression of some proportion of mRNAs. Enrichment among the dysregulated mRNAs (Välk et al., 2010) was tested by using the in silico-predicted targets of the 10 most up- and downregulated miRNAs, followed by assessment of whether the enrichment was consistent with the logic of miRNA regulation. Genes showing downregulation in the gene expression study were enriched by the targets of the 10 most upregulated miRNAs (Fisher exact test, P = 0.021, odds ratio [OR] = 1.37, 95% confidence interval [CI] = 1.04-1.78). This finding was in agreement with the logic of miRNA regulation and may suggest possible coordinated regulation among some of the cancer-associated miRNA-target pairs in NSCLC. Interestingly, targets of the 10 most downregulated miRNAs were underrepresented among the upregulated mRNAs (Fisher exact test, P = 0.0004, OR = 0.49, 95% CI = 0.30–0.75). On the other hand, there was no significant association between the two downregulated lists (predicted targets of downregulated miRNAs and downregulated mRNAs) and the two upregulated lists.

# 3.2. Meta-analysis of differentially expressed miRNAs in lung cancer (Ref II)

Although the results were consistent with previous knowledge for many differentially expressed miRNAs (e.g., miR-205-5p, miR-182-5p, miR-30a-5p, etc.), differences with published miRNA expression studies were also observed. For example, miR-9-5p, the most upregulated miRNA in the Estonian NSCLC cohort, was reported to be upregulated in only two research papers and downregulated in a landmark study (Yanaihara et al., 2006). The most strongly downregulated miRNA (miR-1273a), as well as several other significantly dysregulated miRNAs, were not previously associated with lung cancer. Discrepancies between the results of previously published individual studies were also frequently observed, frustrating attempts to conclude which miRNAs are actually cancer-associated and which represent technical or analytical artifacts. These observations indicated the need for a comprehensive and systematic meta-analysis, which would yield a more credible and robust set of differentially expressed miRNAs, which, in turn, could serve as candidates in

subsequent research for the identification of drug targets or diagnostic biomarkers.

The preferred method of meta-analysis of gene expression studies involves complete reanalysis of raw expression data. However, this kind of rigorous strategy is often not possible due to the unavailability of raw expression data and technical obstacles (e.g., differences between studies in miRNA expression platforms, preprocessing steps, and numbers of detectable miRNAs available at the time of the study), which make the integration of raw miRNA expression datasets very complicated. Therefore, a rank-based approach was used to summarize and meta-analyze the results of previously published lung cancer miRNA profiling studies. Additional bioinformatics approaches were used to evaluate the effects of identified miRNAs on cellular signaling pathways.

## 3.2.1. Selection and preprocessing of NSCLC miRNA expression profiling datasets

Comprehensive searches in the Scopus database were conducted by using the following search term: TITLE-ABS-KEY((mirna\* OR microrna\* OR mir-\*) AND profil\* AND lung AND (cancer\* OR tumor\* OR tumour\*)) in September 2012. Additional searches were conducted in the Gene Expression Omnibus (GEO) and ArrayExpress databases, which consist of publicly available expression datasets. The search was limited to original English-language studies of miRNA expression changes between cancerous and noncancerous lung tissues. Studies analyzing preselected candidate miRNAs or comparing different groups of cancerous tissues were removed to minimize the sources of bias in the subsequent meta-analysis.

Using those criteria, 21 lists of differentially expressed miRNAs from 20 studies were identified and enrolled (Table 3). Studies utilized several expression profiling platforms detecting different numbers of miRNAs (mean: 560; range: 258–858 miRNAs) from different miRBase versions. Some miRNA lists contained viral miRNAs or probes detecting pre-miRNA sequences. Thus, the assembly of individual miRNA lists involved removal of nonhuman miRNAs and standardization of miRNA names to a common miRBase version (v19) before meta-analysis.

Table 3. Characteristics of analyzed datasets.

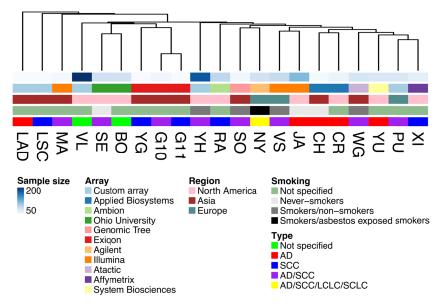
9.6	•			Number	Tumor	
Kel.	ACFORM	Kegion	Assay type	or miking probes	type	Number of samples
Volinia et al. 2006	VL	North America	Custom microarray	228	S/N	123 pairs
Yanaihara et al. 2006	ΥH	North America	Custom microarray	352	SCC, AD	104 pairs
Cho, Chow, and Au 2009	СН	Asia	Agilent Human miRNA Array	470	AD	10 pairs
Crawford et al. 2009	CR	North America	qPCR, Mega Plex kit	500	AD	8 pairs + 4 TU
Raponi et al. 2009	$\mathbb{R}^{A}$	North America	Ambion mirVana Bioarray v2	328	SCC	61 TU, 10 N
Seike et al. 2009	SE	North America	Custom microarray	389	SCC, AD	28 pairs
Son et al. 2009	SO	Asia	Genomic Tree Inc.	318	SCC, AD	7 pairs
Gao et al. 2010	G10	Asia	Exigon miRCURY LNA array	730	SCC, AD	8 pairs
Xing et al. 2010	XI	North America	Affymetrix GeneChipR array	818	SCC	15 pairs
Yang et al. 2010	YG	Asia	Exigon miRCURY LNA array	711	SCC	3 pairs
Yu et al. 2010	λΩ	North America	System Biosciences, Taqman	377	AD	20 pairs
Boeri et al. 2011	ВО	North America	Custom microarray	235	SCC, AD	24 pairs + 4 TU
Gao et al. 2011	G11	Asia	Exigon miRCURY LNA array	730	SCC	4 pairs
Lee et al. 2011	LE	Asia	Custom microarray	735	SCC, AD	6 pairs
Ma et al. 2011	MA	Asia	Illumina microarray V2	858	SCC, AD	6 pairs
Nymark et al. 2011	NY	Europe	Agilent Human miRNA v2	723	various	26 pairs
Puisségur et al. 2011	PU	Europe	Custom microarray	409	SCC, AD	20 pairs
Võsa et al. 2011	NS	Europe	Illumina microarray V2	858	SCC, AD	24  pairs + 14  TU + 3  N
Wang et al. 2011	WG	Asia	µParaflo microfluidic chip	564	SCC, AD	23 pairs
Jang et al. 2012	JA	North America	North America Illumina microarray V2	858	AD	103 pairs
AD adenocarcinoma. SCC	Sumanna	ell carcinoma. M	AD adamosperinoms: SCC consumers cell carcinoms: N/S not ensorified: III tumor camples: N nonconcernic camples: noire III and N	noles. N non	o officerons	M bue III and M

AD, adenocarcinoma; SCC, squamous cell carcinoma; N/S, not specified; TU, tumor samples; N, noncancerous samples; pairs, TU and N samples from the same patient.

Sizes of the analyzed sample groups varied greatly between studies (range: 3–123 sample pairs), indicating differences in the power to detect aberrations. Two SCLC samples were included in the final meta-analysis, due to the specific asbestos exposure-related question of the corresponding study (Nymark et al., 2011). However, most studies used a merged set of SCC and AD samples. Therefore, results of this meta-analysis reflect aberrations general to NSCLC. Altogether, 598 tumor and 528 noncancerous lung samples were used in this meta-analysis.

## 3.2.2. Cluster analysis of lung cancer miRNA expression profiling datasets

To analyze and visualize similarities between miRNA lists, hierarchical cluster analysis was performed on the ranked lists of miRNAs. Rank matrices were calculated and normalized by using the RobustRankAggreg package (Kolde et al., 2012) and information about miRNAs detectable by each study. Rank matrices from up- and downregulated miRNA lists were merged, and cluster analysis was applied to find differences in the ranked lists (Fig. 11).



**Figure 11.** Clustering of lists of differentially expressed miRNAs in lung cancer. Clustering was determined from normalized rank matrices derived from ranked lists of differentially expressed miRNAs. Clustering is based on the Spearman correlation distance measure with average linkage. Full figure with miRNA heatmap is available in the Supplementary Figure 1 of Ref II.

The miRNA profiling platform was the main factor to influence clustering. Two sufficiently powered studies utilizing Illumina miRNA arrays (Jang et al., 2012; Võsa et al., 2011), as well as studies using Exiqon miRCury arrays (Gao et al., 2010b, 2011; Yang et al., 2010) tended to cluster together. The most similar differentially expressed miRNA lists were acquired from two studies from one work group (Gao et al., 2010b, 2011). The clustering may be caused by the use of an identical array or similar laboratory conditions and preprocessing methods. No information was available on whether those two studies shared some of the same samples (8 and 4 sample pairs, respectively) and, according to the study enrollment protocol, treated those as independent studies in the metanalysis. However, if this were to be the case, then the overlap of used samples may explain the tight clustering.

Several studies had small sample sizes with less than 10 sample pairs of mostly Asian origin (Gao et al., 2010b, 2011; Lee et al., 2011b; Ma et al., 2011b; Son et al., 2009). Three of those studies showed the largest difference from the remaining studies, possibly indicating the requirement of reasonable sample sizes to achieve robust results in miRNA profiling studies.

## 3.2.3. Metasignature of miRNA expression in lung cancer

To rank the lists of differentially expressed miRNAs acquired from different studies, the up- and downregulated miRNAs were treated separately. *P*-values describing the significance of differential expression or FCs were used when *P*-values were not reported or not informative. For meta-analysis, the RobustRankAggreg algorithm (Kolde et al., 2012) was applied separately for up- and downregulated miRNA lists. Based on the ranking of each element in the aggregated list compared to the null model assuming random ordering, this method assigns a *P*-value for each element. *P*-values were corrected for multiple testing by the Bonferroni method, based on the largest number of miRNA probes available in any of the used platforms (N = 858; Võsa et al. 2011). Using this method, the metasignature of seven up- and eight downregulated miRNAs was identified (Table 4).

To check whether the significant effect of the miRNA may be driven by one outlier list, one list was randomly removed from the analysis 10,000 times, and the average *P*-value was calculated. Resulting *P*-values indicated that significant results were not artifacts driven by one specific miRNA list.

Although the resulting signature contains several miRNAs that are established oncomiRs or tumor suppressors, it lacks some of the "classical" established oncomiRs (e.g., miR-17-92 family members) and tumor suppressors (e.g., let-7 family members or miR-34a-5p). One possibility is that the expression change of those miRNAs in lung cancer is not very strong and, therefore, the miRNAs did not reach statistical significance in the overall meta-analysis. Dysregulation of those miRNAs may also be specific to certain clinicopathological features (e.g., cancer subtype, stage, etc.), which could cause the signal to be diluted in the meta-analysis.

Table 4. Lung cancer meta-signature miRNAs.

:DNA	Chromo-	Chromo- Corrected	Permutation	Studios	Ctudios Towart Soon cond family	miDNA Clustor	miRBase
	some	P-value	<i>P</i> -value	Studies	rangetocam seed rammy	IIIIMAA CIUSIGI	release*
Upregulated							
miR-21-5p	17q23.1	$1.66 \times 10^{-14}$	$4.69 \times 10^{-16}$	13	miR-21/590-5p		1 (12/2002)
miR-210-3p	11p15.5	$6.04 \times 10^{-11}$	$7.98 \times 10^{-13}$	13	miR-210		2 (07/2003)
miR-182-5p	7q32.2	$2.83 \times 10^{-8}$	$4.42 \times 10^{-10}$	6	miR-182	mir-182/96/183	3 (01/2004)
miR-31-5p	9p21.3	$1.17 \times 10^{-4}$	$8.83 \times 10^{-7}$	∞	miR-31	1	1 (12/2002)
miR-200b-3p	1p36.33	$1.30 \times 10^{-3}$	$9.04 \times 10^{-6}$	8	miR-200bc/429/548a	mir-200ab/429	2 (07/2003)
miR-205-5p	1q32.2	$6.70 \times 10^{-3}$	$4.31 \times 10^{-5}$	7	miR-205	1	3 (01/2004)
miR-183-5p	7q32.2	$3.46 \times 10^{-2}$	$1.57 \times 10^{-4}$	7	miR-183	mir-182/96/183	2 (07/2003)
Downregulated							
miR-126-3p	9q34.3	$6.74 \times 10^{-12}$	$1.35 \times 10^{-13}$	13	miR-126-3p	1	2 (07/2003)
miR-30a-5p	6q13	$1.40 \times 10^{-9}$	$1.99 \times 10^{-11}$	12	miR-30abcdef/30abe-5p/384-5p	mir-30a/c-2	1 (12/2002)
miR-30d-5p	8q24.22	$2.00 \times 10^{-8}$	$3.55 \times 10^{-10}$	6	miR-30abcdef/30abe-5p/384-5p	mir-30b/d	1 (12/2002)
miR-486-5p	8p11.21	$4.36 \times 10^{-7}$	$6.87 \times 10^{-9}$	∞	miR-486-5p/3107	1	8 (02/2006)
miR-451a	17q11.2	$7.36 \times 10^{-5}$	$4.85 \times 10^{-7}$	6	miR-451	mir-4732/144/451	7 (06/2005)
miR-126-5p	9q34.3	$9.37 \times 10^{-5}$	$7.27 \times 10^{-7}$	∞		1	2 (07/2003)
miR-143-3p	5q32	$6.64 \times 10^{-4}$	$4.96 \times 10^{-6}$	∞	miR-143/1721/4770	mir-143/145	3 (01/2004)
miR-145-5p	5q32	$1.08 \times 10^{-3}$	$8.70 \times 10^{-6}$	∞	miR-145	mir-143/145	2 (07/2003)
Corrected D-visities are adiiisted	aniba ara aa		. acitoerrec incr	for the lor	hy Donfamoni comection for the lorgest number of detectoble wholes over alotforms (858) Demonstring D	(858) Smartfold (858)	Dermitotion D

Corrected *P*-values are adjusted by Bonferroni correction for the largest number of detectable probes over platforms (858). Permutation *P*-values are averaged after leaving out one random miRNA list for 10,000 times.

In three datasets, miRNA profiling was performed in never-smoker lung cancer patients (Cho et al., 2011; Jang et al., 2012; Seike et al., 2009). Because smoking is a major contributor to lung cancer risk, it is possible that the molecular mechanisms leading to disease differ between those NSCLC groups. To ascertain if we could identify a never-smokers—specific miRNA signature, the three never-smoking miRNA lists were used in a separate meta-analysis. Unfortunately, no statistically significantly dysregulated miRNAs were identified, possibly due to the small number of original studies. However, several meta-signature miRNAs were present in individual never-smoker lists, including miR-210-5p, miR-183-5p, and miR-126-5p.

Meta-analysis of five SCC- and AD-specific lists resulted in the identification of a small number of miRNAs dysregulated in specific NSCLC subtypes. For SCC, the only significantly upregulated miRNA was miR-205-5p (Bonferronicorrected P=0.005), whereas miR-30a-5p and miR-126-5p showed significance in downregulated lists (Bonferroni-corrected P=0.0002 and 0.0009, respectively). For AD-specific lists, only upregulated miR-182-5p, miR-21-5p, and miR-210-5p were identified (Bonferroni-corrected P=0.0004, 0.0011, and 0.0062, respectively). The upregulation of miR-205-5p in only SCC is in concordance with previous knowledge that upregulation of this miRNA is stronger in SCC (Fig. 7), and that this miRNA can be used for distinguishing between SCC and AD samples with high sensitivity and specificity (Lebanony et al., 2009; Patnaik et al., 2015).

## 3.2.4. Regulatory pathways associated with lung cancer miRNA metasignature

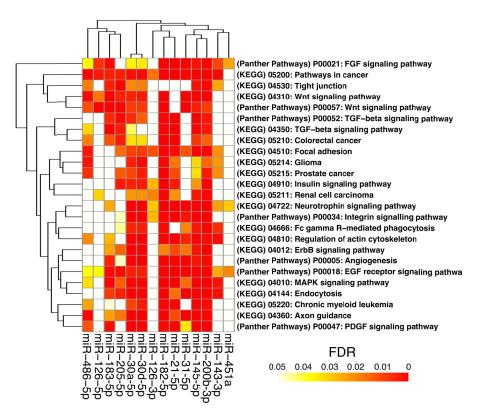
### 3.2.4.1. Target prediction

Three up-to-date target prediction algorithms (TargetScan v6.1, PicTar, and DIANA-microT-CD) were used to identify targets for each metasignature miRNA (settings for each algorithm are in the Methods of Ref II). Two databases of experimental evidence for mRNA-miRNA binding were used: starBase, which uses several CLIP-seq datasets to support in silico predictions; and TarBase v6.0, which was the most comprehensive database of experimentally supported miRNA-mRNA interactions available at the time. To obtain a reliable set of putative targets, only genes that were predicted to be the targets by at least two of the three algorithms and/or that had some experimental support were used as the "high-confidence" set of miRNA targets.

Some of the metasignature miRNAs (e.g., miR-30a-5p, miR-30d-5p, and miR-182-5p) had a large number of targets, indicating their involvement in a broad spectrum of physiological processes, but also their potentially weaker effect on an individual target. Other miRNAs (e.g., miR-126-3p, miR-451a, and miR-210-3p) had a small number of targets, suggesting their involvement in a narrower spectrum of processes.

#### 3.2.4.2. Enrichment analyses

To elucidate the biological functions and pathways connected with the metasignature, enrichment analyses were conducted for the high-confidence lists of predicted targets of both individual miRNAs and the combined set of all targets. The most significantly enriched pathways were associated with cell signaling (i.e., "EGF receptor signaling pathway," "MAPK signaling pathway," "Wnt signaling pathway"), cell mobility ("Regulation of actin cytoskeleton"), and cancer ("Pathways in cancer"). There was no clear difference in functions between up- and downregulated members of the miRNA metasignature (Fig. 12, Supplemental Fig. 4 of Ref II).



**Figure 12.** Pathway enrichment of miRNA targets. Consensus targets and results from GeneCodis web tool were used to construct a heatmap showing the results of pathway enrichment analysis. Color intensity represents the FDR-corrected *P*-value. Clustering was performed by using the Pearson correlation distance metric and average linkage method. Only pathways significant for most metasignature miRNAs are shown. Full data are available in Supplementary Fig. 4 of Ref II.

## 3.3. Effect of genetic variants on miRNA binding (Ref III)

During the last decade, numerous genomic variants have been associated with human traits and diseases, including cancer. However, the molecular mechanisms behind their effects are largely unknown. As most GWAS loci are not located in protein-coding regions, these loci most likely act through regulation of gene expression. A recent large-scale blood eQTL meta-analysis (Westra et al., 2013) identified thousands of *cis*-eQTLs and about 200 *trans*-eQTLs overlapping with established GWAS SNPs. The authors observed the overrepresentation of *trans*-eQTL SNPs in silico-predicted miRNA binding sites, suggesting that genetic variations in miRNA regulatory pathways may influence the formation of traits or risk of diseases. Despite the existence of bioinformatics studies analyzing the global effect of genetic variation on miRNA binding sites, only a handful of these studies integrated eQTL data (Gamazon et al., 2012; Lu and Clark, 2012; Richardson et al., 2011; Wei et al., 2012). Moreover, the concordance between eQTL direction and logic of miRNA regulation had not been systematically investigated.

To fill in the existing gaps, the next part of the thesis was aimed at identifying and prioritizing SNPs that could influence the expression of the host gene by creating or disrupting the functional miRNA binding site. To this end, a systematic study was performed in which in silico miRNA target site predictions, available *cis*-eQTLs, small RNA sequencing results, Argonaute crosslinking immunoprecipitation (AGO-CLIP) results, and GWAS datasets were integrated.

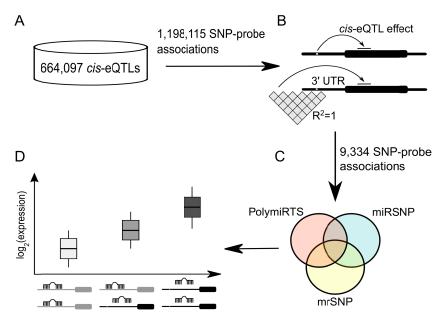
## 3.3.1. Identification of 3'-UTR SNPs in cis-eQTL loci

To identify SNPs that could influence miRNA binding, it was assumed that the disruption or creation of an miRNA binding site would be reflected at the expression level of the gene harboring the MRE (i.e., *cis*-eQTL effect). This assumption is supported by recent studies postulating that the miRNA targeting effect is reflected in the expression level of the target (Guo et al., 2010).

The first stage of the analysis utilized the largest and most robust set of *cis*-eQTLs available at the time (Westra et al., 2013). In that study, ~5,500 whole blood samples from seven independent cohorts were analyzed by *cis*- and *trans*-eQTL mapping and subsequently integrated in the large-scale meta-analysis. The current study used all 664,097 significant (FDR < 0.05) *cis*-eQTLs (Fig. 13A). In this dataset, a *cis*-eQTL was defined as an association between an eSNP (expression affecting SNP) and nearby (<250 kB) Illumina expression probe. As many genes are detected by several probes from expression arrays, the true biological regulation mechanism is often reflected by several probelevel eQTLs. Perfect proxies (R<sup>2</sup> = 1; 1000G pilot 1 data for European population) were calculated for all *cis*-eQTL eSNPs, resulting in the expanded set of 1,198,115 SNP-probe combinations. In animals, most of the highly efficient miRNA binding sites are located in 3' UTRs. Therefore, this study sought to identify *cis*-eQTL SNPs that may influence the expression of the affected gene

in a 3' UTR-mediated manner. Accordingly, *cis*-eQTL SNPs and their proxies were mapped to the 3' UTRs of corresponding *cis*-regulated genes, resulting in 9,334 SNP-probe combinations (0.8% from all *cis*-eQTL effects, Fig. 13B).

About 13% of *cis*-affected transcripts were detected by more than one probe. Among those, ~15% showed a different effect direction from probes detecting the same transcripts. This result may indicate possible alternative splicing or polyadenylation (Zhernakova et al., 2013).



**Figure 13.** Analysis strategy for identification of miRNA-mediated *cis*-eQTL effects. (A) *cis*-eQTL SNPs and their perfect proxies ( $R^2 = 1$ , 1000G CEU population) were (B) mapped to the 3' UTRs of *cis*-affected ENSEMBL transcripts. (C) SNPs located within the in silico miRNA binding sites were identified from public databases (PolymiRTS, miRSNP, and webtool mrSNP), each utilizing a different target prediction algorithm. (D) Direction of allelic trends was assessed for concordance with the logic of miRNA-mediated regulation.

## 3.3.2. Identification of putative MRE-SNPs

To identify SNPs that may influence the binding of miRNAs, all UTR-eQTL SNPs were intersected with three corresponding databases, each utilizing a different target prediction algorithm to predict the effects of the SNP on the MRE (Fig. 13C). To find the strongest effects, only SNPs that were predicted to disrupt, create, or replace the binding site of the corresponding miRNA were included. SNPs predicted to change the strength of miRNA binding were omitted. All target predictions were performed without conservation filters, as several nonconserved MREs have been shown to be functional (Farh et al.,

2005) and using a hard-defined conservation filter may lead to the exclusion of functional target sites (Betel et al., 2010). It is also arbitrary to define in which organisms the MRE has to be conserved to be high confidence.

In the first stage of analysis, the union of all MREs predicted by three different in silico methods was used. About 4% of all *cis*-eQTLs identified from whole blood had an expression associated SNP (eSNP) or its perfect proxy in the in silico-predicted MRE. Those MREs were predicted in genes detected by ~35% of all *cis*-affected probes. Almost all miRNAs present in miRBase v20 had at least one predicted MRE in the set of *cis*-regulated genes (2,753/2,758 miRNAs).

Overall, 27,126 potential miR-SNP-probe associations were identified. However, due to the limitations of prediction algorithms and other factors, those associations likely involve many false positives. Therefore, additional filters were applied, to identify a more confident "filtered set" of putative miRNA-associated *cis*-eQTLs. To add stringency to the in silico target predictions, only MREs predicted by all three prediction algorithms were included. As one of the databases utilizes TargetScan, only those predictions with perfect or near-perfect complementarity in the canonical seed region were included.

To find miRNA-target associations potentially relevant in blood, comprehensive searches were made in GEO for small RNA-seq datasets for whole blood, peripheral blood mononuclear cells, and leukocytes. These searches resulted in 11 datasets, which were reanalyzed by the dedicated tool sRNAbench v0.9. There was substantial heterogeneity among miRNA profiles of the datasets. However, a "consensus" blood-expressed profile of 123 miRNAs could be obtained by using an arbitrary cutoff of at least 10 miRNA reads in at least half of the datasets. Finally, some proportion of miRNAs in the miRBase are falsely annotated (Hansen et al., 2011). Therefore, only the high-confidence set of miRBase v20 miRNAs was used. This set was based on stricter criteria of at least 10 reads aligned to both the 3' and 5' arms of the precursor sequence, as reported by the sequencing studies.

After those criteria were applied, 323 "filtered" miR-SNP-probe combinations were identified. MRE-SNPs were further classified as exclusively MRE-disrupting (minor allele disrupted MRE for all predicted miRNAs), MRE-creating (minor allele created MRE for all predicted miRNAs), or ambiguous (minor allele replaced one set of MREs with another). There was no higher proportion of exclusively MRE-disrupting or MRE-creating MRE-SNPs in the unfiltered and filtered sets of associations (Chi-square test, P > 0.05).

## 3.3.3. Concordance with the logic of miRNA-mediated regulation

Based on the assumption that functional MRE results in the downregulation of the target mRNA, it was hypothesized that disruption of the miRNA binding site by the MRE-SNP would result in upregulation of the target mRNA. Each miR-SNP-probe association was classified as concordant (C-type) or unconcordant (U-type), based on the concordance of the eQTL allelic direction with the logic of miRNA-

meditated regulation (Fig. 13D). *Cis*-eQTLs that were exclusively C-type (allelic direction consistent with the logic of miRNA-mediated regulation for all targeting miRNAs) or exclusively U-type were identified.

The proportion of C-type associations was close to 50% in both unfiltered (49.4%) and filtered miR-SNP-probes (50.6%), indicating no overrepresentation of associations in line with miRNA-mediated regulation. A larger effect size (Z-score) was not observed for C-type associations (P > 0.05; Wilcoxon-Mann-Whitney U-test in unfiltered and filtered sets). C-type associations were not overrepresented in the exclusively MRE-creating or exclusively MRE-breaking MRE-SNPs (Chi-squared test P > 0.05 for unfiltered and filtered sets). Therefore, there is no indication that MRE-disrupting or MRE-creating SNPs had more pronounced functional consequence on target expression. Similarly, C-type associations were not enriched among conserved compared to unconserved MREs (Chi-square test, P > 0.05).

The study next investigated whether C-type relationships are associated with functionally more effective MREs. Several measures of MRE effectiveness were used: free energy (stability of the mRNA-miRNA duplex), miRanda alignment score (alignment between mRNA and miRNA), miRSVR, and context+ scores (two scores constructed from miRNA transfection data by machine learning algorithms). To assess the overall effect of miRNA binding for each miRNA-associated cis-eQTL, the average was calculated for each measure, and a comparison was made between exclusively C-type and exclusively U-type cis-eQTLs. Higher average effectiveness was not found for C-type addociations in the unfiltered set (Wilcoxon–Mann–Whitney U-test, P > 0.05). In the filtered set the mean miRanda score for C-type associations was only marginally lower (Wilcoxon–Mann–Whitney U-test, P = 0.049). However, this association contradicts the logic of miRNA-associated regulation. On average, more effective miRNA binding would be expected in the case of C-type associations.

These ambiguous results may indicate that other 3' UTR-related mechanisms exist that are relevant in the formation of cis-eQTL effects. For example, this study did not analyze SNP effects on the binding of other RBPs, on mRNA folding (which may influence accessibility of the MRE for RISC), or on alternative polyadenylation. The two latter mechanisms may influence many regulatory sites and, therefore, exert a large effect. The effect of SNPs on the binding of RBPs is difficult to predict by in silico methods because the binding rules (and, therefore, possible effect of SNPs) of many RBPs are not as well established as the effects of canonical MREs of miRNAs. There is also a complex interplay between the binding of non-AGO RBPs, such as HuR and PUF, and the binding of miRNAs, adding an additional layer of complexity to the gene regulation via the 3' UTR (Bhattacharyya et al., 2006; Kedde et al., 2010; Kundu et al., 2012). However, a recent study investigated the effects of 3'-UTR SNPs on MREs, mRNA folding, and alternative polyadenylation, using several bioinformatics methods. The results suggested that majority of 3' UTR SNPs influence the binding of miRNAs, rather than mRNA folding or polyadenylation (Arnold et al., 2012).

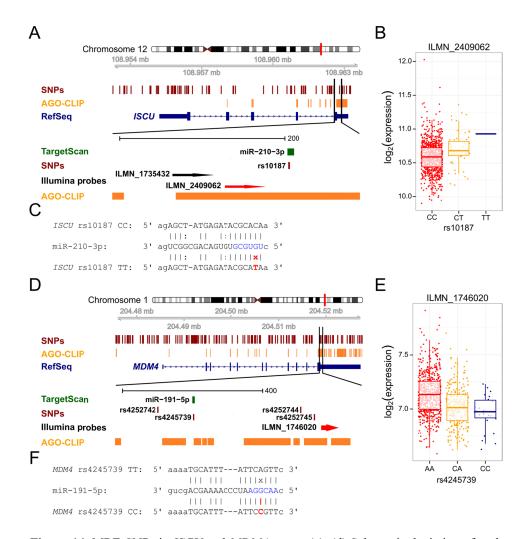
### 3.3.4. Prioritization of putative miRNA-associated cis-eQTLs

To prioritize putative miRNA-associated *cis*-eQTLs, the filtered associations were sorted on the basis of the TargetScan context+ score. Several C-type associations were observed among the top prioritized associations (Table 1 of Ref III), including rs10187 in *ISCU* (MRE of miR-210-3p), rs7676 in *C21orf33* (MRE of miR-423-5p), and rs4476230 in *MMD* (MRE of miR-210-3p). However, there were also U-type associations with strong MRE. For example, the second miR-SNP-probe association in the prioritized list was rs11932 in *KPNA1* (MRE of miR-210-3p).

To integrate more supporting evidence in the prioritization of MRE-SNPs, the overlap with the public AGO-CLIP data and experimentally validated MREs were used as supporting evidence. Although  $\sim$ 25% of MRE-SNPs from the unfiltered set of associations were covered by AGO-CLIP reads, there was no overrepresentation of AGO-CLIP-supported *cis*-eQTL effects among the C-type miR-SNP-probe associations (Chi-square test, P > 0.05).

Next, three databases of experimentally supported miRNA targets (TarBase, miRTarbase, and miRecords) were used. Whereas 0.85% of miR-SNPs from the unfiltered set of associations affected validated target sites, the number of miR-SNPs in the validated target genes was more than seven times higher among the filtered set of associations (6.5%). For the filtered set of associations overlapping the experimentally supported MREs, the strongest conserved MRE (context+ score -0.554) was associated with rs10187, disrupting the binding site of miR-210-3p in *ISCU* (Fig.14A-C). This binding was supported by AGO-CLIP data and experimental results (Chan et al., 2009; Fasanaro et al., 2009; Helwak et al., 2013; Lee et al., 2011a). *ISCU* functions in mitochondrial iron sulfur cluster assembly, being associated with myalgia in exercise intolerance (Mochel et al., 2008) and decreased cancer survival (Favaro et al., 2010). The miRNA miR-210-3p is a "master hypoxamir" that is regulated by hypoxia-induced factor (reviewed in; (Chan et al., 2012)) and is frequently upregulated in different cancer types, including NSCLC (Ref I, II).

Another prioritized C-type association involved rs4245739, which creates a strong MRE (context+ score -0.32) for miR-191-5p in *MDM4* (Fig. 14D-F). Although this MRE is not directly covered by AGO-CLIP reads, the reads were mapped to the immediate vicinity. *MDM4* encodes an inhibitor of the tumor suppressor p53 and is upregulated in several tumors (Bartel et al., 2005; Han et al., 2007). The minor allele of rs4245739, carried by ~20% of the European population, is associated with a protective effect for several cancers (Eeles et al., 2013; Garcia-Closas et al., 2013; Purrington et al., 2014; Wynendaele et al., 2010). Interestingly, the MRE-creating effect of the SNP to the binding of miR-191-5p and subsequent upregulation of *MDM4* on the transcript and protein levels have been experimentally supported in ovarian cancer cell lines (Wynendaele et al., 2010). This finding represents an example where this analysis strategy independently identified experimentally supported MRE-SNP, integrating information from multiple modalities.

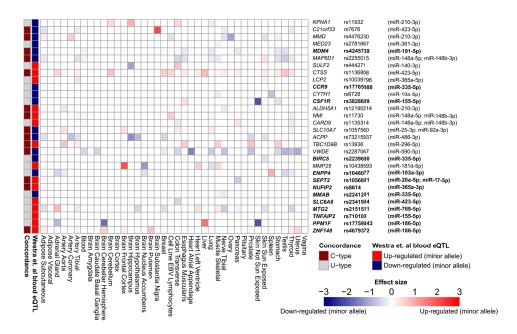


**Figure 14.** MRE-SNPs in *ISCU* and *MDM4* genes. (a), (d) Schematic depiction of each gene locus. Tracks denote genomic region, SNP positions, AGO binding sites from starBase, most prevalent RefSeq transcript isoform, miRNA target sites from TargetScan v6.2, and Illumina detection probes. Region with the *cis*-eQTL MRE-SNP and affected probe (red) is expanded. (b), (e) Allelic expression pattern in EGCUT sample set. Log-transformed and quantile-normalized expression values are visualized as boxplots. Individual expression values are depicted as points. On the boxplots, the line indicates median, box defines 25–75% quartiles, and whiskers extend the data to 1.5× the interquartile range. (c), (f) MRE-SNP effects on miRNA binding. Vertical lines indicate canonical pairing; colons depict G:U wobble; and "x" denotes a mismatch. Seed region is shown in blue. Minor allele of the MRE-SNP and its effect on miRNA binding are shown in red.

Another SNP, rs2239680, disrupts the MRE of miR-335-5p in the *BIRC5* oncogene. This effect is experimentally supported by results in cell lines (Zu et al., 2013). However, the eQTL direction in blood does not agree with the logic of miRNA-mediated regulation, suggesting that this eQTL may be miRNA-driven only in specific tissue types. The observation that only one of the two experimentally supported MRE-SNP associations was C-type could be caused by the properties of the associated miRNAs. For example, miR-191-5p, which has a C-type association with *MDM4*, is highly expressed in blood (detectable in nine of the 11 publicly available blood samples and representing ~1% of the detected miRNome). The miRNA miR-335-5p was detectable in 6 of the 11 blood samples and represented ~0.1% of the miRNome. At the same time, the in silico-predicted targetome of miR-335-5p was much larger than the one of miR-191-5p (3,046 target genes vs. 568 target genes, respectively; TargetScan v6.2), suggesting that the effect of miR-335-5p may be diluted in this specific tissue type.

## 3.3.5. Presence of miRNA-associated blood *cis*-eQTLs in other tissues

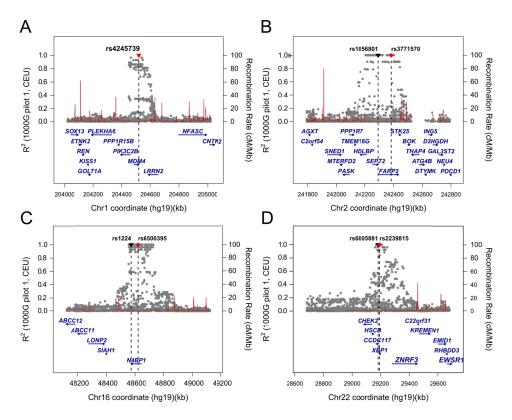
Publicly available data from the GTEx v4 data portal were used to determine whether the top miRNA-associated *cis*-eQTLs are also detectable in other tissue types, and whether the direction is in agreement with blood eQTLs. Although the sample sizes for other tissues are currently much smaller and the power for proper replication is limited, several associations showed the same allelic direction and nominally significant *cis*-eQTL effects in multiple tissues (Fig. 15). C-type associations showing nominal significance in the largest number of other tissues involved rs1136808 in *CTSS* (MRE of miR-423-5p) and rs2287067 in *VWDE* (MRE of miR-590-5p). Although rs10187 in *ISCU* was not available in the GTEx database, the C-type association involving rs4245739 in *MDM4* was concordant and detectable in several tissues, including the GTEx dataset for blood. This result suggests that those potentially miRNA-related *cis*-eQTLs may have widespread effects in multiple tissue types.



**Figure 15.** Overlap between top filtered miR-SNP-probe associations and *cis*-eQTLs from the GTEx Consortium. Color of the cell depicts effect size and direction (betavalue). Annotation bars on the left depict association concordance with the logic of miRNA-mediated regulation (C- or U-type) and the effect direction in the study by Westra et al. Only effect sizes for nominally significant tissue eQTLs are shown (uncorrected P < 0.05). Associations in bold contain SNPs in experimentally supported miRNA binding sites. Shown are the top 30 filtered miR-SNP-probe associations and associations with validated miRNA binding sites.

### 3.3.6. Complex trait-associated SNPs in MREs

Sets of unfiltered and filtered MRE-SNPs were intersected with the NHGRI Catalog of Published Genome-Wide Association Studies, to determine whether the identified sets of MRE-associated *cis*-eQTLs can be associated with known genetic risk factors. Among the 5,994 potential MRE-SNPs, 208 (3.5%) overlapped with the 154 GWAS SNPs or their perfect proxies (R<sup>2</sup> = 1, 1000G pilot 1, CEU). For the filtered set of miR-SNP-probe associations, 10 MRE-SNPs (including four C-type MRE-SNPs) overlapped with GWAS loci, associated with 12 traits.



**Figure 16.** Regional linkage disequilibrium (LD) plots of four C-type MRE-SNPs overlapping with the GWAS Catalogue.

One of the identified MRE-SNPs, rs4245739 in the 3' UTR of *MDM4* (Fig. 16A), is a GWAS SNP associated with decreased risk for several cancers (Eeles et al., 2013; Garcia-Closas et al., 2013; Purrington et al., 2014; Wynendaele et al., 2010). As discussed in Chapter 3.3.4, the MRE-SNP effect of this SNP on miRNA binding has been experimentally verified in cell lines (Wynendaele et al., 2010). A second GWAS SNP, rs6500395, is located in the first intron of *N4BP1* (Fig. 16C) and is associated with the response of rheumatoid arthritis patients to tocilizumab (Wang et al., 2013). However, this MRE-SNP has a perfect proxy (rs1224) in the AGO-CLIP-supported C-type MRE for miR-330-3p.

Two SNPs had GWAS SNPs and perfectly correlating MRE-SNPs in two different genes. The first SNP, rs3771570, is associated with aggressive prostate cancer (Eeles et al., 2013) and is located in the intronic region of *FARP2*. However, its perfect proxy, rs1056801, is positioned in the 3' UTR of the neighboring gene, *SEPT2* (Fig. 16B). The proxy disrupts the binding sites of two members of the oncogenic miR-17-92 family (miR-20a-5p and miR-17-5p), and its host gene is dysregulated in several cancer types (Liu et al., 2010). As *SEPT2* was the only gene with a significant *cis*-eQTL effect from the

corresponding LD-block ( $R^2 = 1$ , 1000G pilot 1, CEU), the binding of miR-17-92 family members may be linked to dysregulation of *SEPT2* expression and play a potential role in prostate cancer risk. The second SNP, rs2239815, is associated with esophageal SCC (Wu et al., 2012). Although the SNP itself is positioned in *XBP1*, another perfectly correlating MRE-SNP is in the 3' UTR of *CCDC117* (Fig. 16D). Based on the functional annotations, this locus harbors two potentially cancer-associated genes, *XBP1* and *CHEK2*. Although all three genes are influenced by the eQTLs of this LD-block, the largest effect is for *XBP1* (FDR < 0.01, Z = 23) (Westra et al., 2013), making it unlikely that miRNA-mediated regulation of *CCDC117* plays a role in esophageal SCC.

#### **CONCLUSIONS**

The aim of the work presented in this thesis was to untangle the role of miRNAs in the pathogenesis of lung cancer, and to connect genetic variations with miRNA regulatory networks. Using data from a cohort of Estonian NSCLC patients, numerous dysregulated miRNAs were identified, including several known tumorigenic miRNAs and others that have not previously been connected with the pathogenesis of NSCLC. Bioinformatics analyses suggested that the differentially expressed miRNAs are involved in several pathways associated with cell proliferation, differentiation, and motility. The miRNA miR-374a-5p was shown to correlate with the postoperative survival of Estonian NSCLC patients.

These results were systematically combined with information from previous studies by performing a meta-analysis of aberrantly expressed miRNAs in lung cancer. Although a substantial heterogeneity was observed between the results of different studies, a robust set of seven up- and eight downregulated miRNAs was identified, which may serve as potential drug targets or biomarkers in future studies.

To investigate the impact of genetic variants on miRNA regulation, the most comprehensive set of blood *cis*-eQTLs available to date was integrated with several publicly available data sources. For the first time, the concordance between eQTL allelic directions and the logic of miRNA-mediated regulation was analyzed. There was no evident overrepresentation of eQTLs in line with the logic of miRNA-mediated regulation, suggesting that additional mechanisms may play major roles in the formation of eQTL effects in blood. However, after prioritizing the putative miRNA-associated *cis*-eQTLs, several potentially miRNA-driven eQTLs were identified, and one SNP with experimentally supported effects on miRNA binding was independently confirmed.

#### SUMMARY IN ESTONIAN

## MikroRNAde roll haiguste kujunemisel: aberratsioonid kopsuvähis ning seosed genoomse varieeruvusega

Viimase paari kümnendi geneetilised uuringud on leidnud, et suur osa inimese genoomilt transkribeeritavast RNAst valke ei kodeeri. Selline mittekodeeriv RNA omab eelkõige regulatoorset rolli, mõjutades kuidas valke kodeerivad geenid oma funktsioone täidavad. Üks enim uuritud klass mittekodeerivaid RNAsid on mikroRNAd, väikesed ~20 nukleotiidi pikkused RNA molekulid, mis reguleerivad geenide ekspressiooni, seondudes komplementaarsusepõhiselt sihtmärgiks olevate transkriptidega. Iga mikroRNA võib reguleerida sadu sihtmärkgeene, mistõttu on nende molekulide roll bioloogilistes protsessides väga tähtis.

Kuna mikroRNAd mängivad olulist rolli ka mitmete patoloogiliste protsesside tekkes ja arengus, on neil ka suur potentsiaal võimalike ravimisihtmärkidena. Eriti palju funktsionaalseid seoseid on leitud miRNAde ja erinevate kasvajate vahel. Esimene mikroRNA-põhine vähiravim, MRX34, on 2016 aasta seisuga kliiniliste uuringute faasis.

MikroRNAde ekspressioonimuster on vähikudedes muutunud, peegeldades kasvaja molekulaarseid ja histoloogilisi omadusi. Samuti on mikroRNAd detekteeritavad erinevatest kehavedelikest. Nende omaduste tõttu on need molekulid väga huvipakkuvad diagnostiliste ja prognostiliste biomarkeritena mis võimaldaks kasvajate varasemat avastamist ning optimaalsete raviotsuste tegemist.

Käesoleva doktoritöö eesmärk oli uurida mikroRNAde rolli mitteväikerakulise kopsuvähi patoloogias, identifitseerida uusi potentsiaalseid prognostilisi ja diagnostilisi biomarkereid ning leida seoseid mikroRNAde toimemehhanismide ning inimese genoomi normaalse geneetilise varieeruvuse vahel. Uuritud vähitüüp on Eestis sageduselt teine ning peamine vähisurmade põhjustaja. Antud doktoritöös kasutati koostöös Tartu Ülikooli Kopsukliinikuga kogutud algstaadiumi kopsuvähi proove ning viidi läbi mikroRNAde profileerimine. Analüüside tulemusena leiti 72 mikroRNAd mis olid oluliselt üles- või alla reguleeritud võrrelduna vähivaba kopsukoega ja võivad seetõttu huvi pakkuda diagnostiliste biomarkerite või ravimisihtmärkidena. Leitud mikroRNAde ennustuslikud sihtmärgid olid seotud mitmete vähiga seotud bioloogiliste protsessidega, toetades nende potentsiaalset rolli vähi arengus. Lisaks identifitseeriti üks mikroRNA mis näitas uuritud kopsuvähi kohordis assotsiatsiooni operatsioonijärgse elulemusega.

Vaatamata sellele et teise generatsiooni sekveneerimismeetodeid kasutatakse viimastel aastatel üha laialdasemalt, on suur enamus mikroRNAde profileerimise uuringuid endiselt läbi viidud odavamate ekspressioonikiibi tehnoloogiate abil. Samas on avaldatud mikroRNAde ekspressioonianalüüside tulemused suhteliselt varieeruvad ja vahel isegi vastukäivad, muutes bioloogiliste järelduste tegemise ning kõige huvipakkuvamate mikroRNAde leidmise keerukaks ülesandeks. Võrdlemaks käesolevas uuringus leitud mikroRNAde

vähispetsiifilist profiili teiste sarnaste töödega, viidi läbi süstemaatiline infootsing ning meta-analüüs. Kuna erinevad mikroRNAde ekspressiooni tuvastamise meetodid pole omavahel otseselt võrreldavad, kasutati meta-analüüsiks spetsiaalset järjestatud geenilistide analüüsimise meetodit. Kahekümne uuringusse kaasatud andmestiku meta-analüüsi tulemusena leiti seitse mikroRNAd mis olid kopsuvähis üles- ja kaheksa mis olid alla reguleeritud. See profiil on märksa usaldusväärsem kui iga üksiku uuringu poolt leitu ning sobib lähtepunktiks järgnevatele funktsionaalsetele uuringutele.

Ülegenoomsed assotsiatsiooniuuringud on identifitseerinud tuhandeid inimpopulatsioonis leiduvaid ühenukleotiidseid polümorfisme (single nucleotide polymorphism- SNP) mis on assotsieerunud erinevate haiguste ja fenotüübiliste tunnustega. Paraku on bioloogiline mehhanism, kuidas need variandid oma mõju fenotüübile avaldavad, enamikul juhtudel siiani teadmata. Valdav osa seda tüüpi variante asuvad genoomi mittekodeerivates alades, mõjutades tõenäoliselt pigem geenide ekspressioonitasemeid kui geeni produktiks oleva valgu struktuuri. Kuna mikroRNAde seondumisalades asuvad SNPd võivad mõjutada vastava mikroRNA seondumist ja regulatoorset efektiivsust, siis on see ka üks võimalik mehhanism geeni ekspressiooni ja fenotüübil mõjutamiseks.

Käesolevas uuringus seoti mikroRNAde regulatoorne potentsiaal inimese normaalse geneetilise varieeruvusega, kaardistades selleks teadaolevad geeniekspressiooni mõjutavad SNPd mikroRNAde ennustuslikesse seondumisaladesse. Esmakordselt testiti süsteemselt, kas geeniekspressiooni muutuse põhjuseks võib olla mikroRNAde toime. Selleks eeldati, et funktsionaalne mikroRNA seondumine surub sihtmärkgeeni ekspressiooni alla ning iga assotsiatsiooni ekspressiooni muutust võrreldi mainitud toimemehhanismi loogikaga. Lisaks integreeriti mitmeid avalikult kättesaadavaid mikroRNAde ekspressioonitasemete ja mikroRNAdega kompleksis olevate valkude immunopretsipitatsiooni andmestikke, suurendamaks identifitseeritud assotsiatsioonide usaldusväärsust.

Uuringu tulemusel ei leitud mikroRNAde toimemehhanismi loogikaga kooskõlas olevate assotsiatsioonide üle-esindatust, mistõttu on põhjust arvata, et paljudel juhtudel mõjutavad potentsiaalsetes mikroRNAde seondumisalades asuvad genoomsed variandid geeniekspressiooni teiste mehhanismide kaudu. Sellisteks mehhanismideks võivad olla näiteks alternatiivne polüadenülatsioon, alternatiivne mRNA voltumine või erinevate RNAga seonduvate valkude seondumine. Samas leiti antud uuringus mitmeid genoomseid variante, mille osalust geeniekspressiooni regulatsioonis just nimelt mikroRNAde kaudu toetasid mitmed andmed. Identifitseeriti ka neli juhtu kus mikroRNAde regulatsiooni loogikaga kooskõlas olev genoomne variant asus haigusriskiga seotud lookuses.

Kokkuvõtteks võib öelda et antud doktoritöö identifitseeris mitmeid mikroRNAsid mis näitasid seost kopsuvähi patogeneesiga, aitas süstematiseerida varasemate uuringute tulemusi ning sidus mikroRNAde regulatoorse potentsiaali normaalse geneetilise varieeruvusega.

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