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Phase II study of radiotherapy and temsirolimus versus radiochemotherapy
 with temozolomide in patients with newly diagnosed glioblastoma without
 MGMT promoter hypermethylation (EORTC 26082)

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72 assessed the tolerability and efficacy of the mechanistic target of rapamycin (mTOR) 73 inhibitor temsirolimus in patients with newly diagnosed, O6 methlyguanine-DNA-74 methlytransferase (MGMT) promoter unmethylated glioblastoma. Temozolomide could be 75 omitted without detriment in the experimental arm. Efficacy of radiotherapy plus 76 temsirolimus failed to reach the pre-specified number of patients alive at 12 months. Pre-77 specified assessment of activity in the mTOR pathway allows to suggest that one third of patients with phosphorylated mTOR at Ser2448 derive a robust and clinically relevant 78 79 survival benefit and will be candidates for clinical development of temsirolimus as a targeted 80 therapy in a molecularly defined subgroup.

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- 82

83 ABSTRACT

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Purpose: EORTC 26082 assessed the activity of temsirolimus in patients with newly
diagnosed glioblastoma harboring an unmethylated O6 methlyguanine-DNAmethlytransferase (*MGMT*) promoter.

88 Patients and Methods: Patients (n=257) fulfilling eligibility criteria underwent central MGMT 89 testing. Patients with MGMT unmethylated glioblastoma (n=111) were randomized 1:1 90 between standard chemo-radiotherapy with temozolomide or radiotherapy plus weekly 91 temsirolimus (25 mg). Primary endpoint was overall survival at 12 months (OS12). A positive 92 signal was considered >38 patients alive at 12 months in the per protocol population. A non-93 comparative reference arm of 54 patients evaluated the assumptions on OS12 in a standard-94 treated cohort of patients. Pre-specified post hoc analyses of markers reflecting target 95 activation were performed.

96 Results: Both therapies were administered per protocol with a median of 13 cycles of 97 maintenance temsirolimus. Median age was 55 and 58 years in the temsirolimus and 98 standard arms, the WHO performance status 0 or 1 for most patients (95.5%). In the per 99 protocol population, 38 of 54 patients treated with temsirolimus reached OS12. The actuarial 100 1-year survival was 72.2% [95% CI (58.2-82.2)] in the temozolomide arm and 69.6% [95% 101 CI (55.8-79.9)] in the temsirolimus arm [HR=1.16, 95% CI (0.77-1.76), p=0.47]. In 102 multivariable prognostic analyses of clinical and molecular factors phosphorylation of 103 mTORSer2448 in tumor tissue (HR=0.13, 95% CI (0.04-0.47), p=0.002), detected in 37.6%, 104 was associated with benefit from temsirolimus.

105 Conclusions: Temsirolimus was not superior to temozolomide in patients with an
 106 unmethylated *MGMT* promoter. Phosphorylation of mTORSer2448 in the pretreatment tumor
 107 tissue may define a subgroup benefitting from mTOR inhibition.

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110 INTRODUCTION

111

The serine/threonine kinase, mechanistic target of rapamycin (mTOR) serves as a hub integrating multiple intra- and extracellular cues in cancer cells (1). mTOR is involved in the formation of two multi-protein complexes, mTORC1 and mTORC2, that direct cell metabolism, growth, proliferation, survival, and angiogenesis.

Preclinical studies suggested an enhanced activity of mTOR inhibition in PTEN-deficienttumour models (2, 3).

Activation of the PI3K/AKT/mTOR pathway has been associated with reduced survival of glioma patients (4) and this signalling pathway has been subjected to a number of negative single- or multi-targeted therapies including the mTOR inhibitor rapamycin or its derivatives, the 'rapalogs' everolimus (RAD001), deforolimus (AP23573), and temsirolimus (CCI-779) (5-9).

The experience with temozolomide (TMZ) teaches that limited activity at recurrence (10) may still relevantly modify the disease in patients with newly diagnosed glioblastoma when combined with radiotherapy (11). Accordingly, mTOR inhibition has been considered an option for patients with treatment-naïve glioblastomas that likely lack some of the mechanisms of resistance acquired at recurrence.

Temsirolimus (Torisel[®]) has been approved for advanced renal cell carcinoma (12) and relapsed or refractory mantle cell lymphoma (13). Additive effects of temsirolimus plus radiotherapy (RT) in preclinical models demonstrate that temsirolimus could complement the genotoxic activity of RT in the treatment of newly diagnosed glioblastoma. However, combination of TMZ and temsirolimus plus RT was too toxic (14).

Therefore, the rationale of this study was to test the biological effects of mTOR inhibition when combined with ionizing radiation in patients in whom TMZ could be safely omitted. To this end patients with tumors with an unmethylated *O6 methlyguanine-DNAmethlytransferase (MGMT)* gene promoter were selected for the trial, as they derive little if any benefit from the addition of TMZ (15). Another aim was to identify biological factors, i.e.

- 138 biomarkers linked to benefit from mTOR inhibition. Temsirolimus may counteract therapy-
- 139 induced angiogenesis and invasion (16, 17).

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141 **PATIENTS AND METHODS**

142

143 Clinical Trial

144 Study design and treatment

145 Patients for EORTC 26082 (NCT01019434) were recruited at 14 study sites in 10 countries 146 in Europe. First, patients were registered after consenting for independent pathology review 147 and central testing of the MGMT promoter methylation status by licensed laboratories of 148 MDxHealth (Herstal, Belgium) using quantitative methylation-specific polymerase chain 149 reaction of DNA isolated from macro-dissected formalin fixed paraffin embedded tumor 150 sections (18). Patients were considered MGMT unmethylated, applying a safety margin, 151 when the ratio of *MGMT* to the control gene *ACTB* was < 0.6, calculated as (methylated 152 *MGMT/ACTB*)×1000. This corresponds to the lower bound of the 95% confidence interval 153 established in a cohort of 602 glioblastoma samples screened in the CENTRIC trial where 154 the cut-off corresponding to the established nadir was at a ratio of 2 that separates 155 methylated from unmethylated. (19) as visualized in **Supplementary Figure S1**. A minimum 156 of 1,250 copies of ACTB were required for a valid result, unless the copy number for 157 methylated *MGMT* was ten or more, which was scored as *MGMT* methylated.

Eligible patients (see **Supplementary Information**) were randomly assigned to receive either standard chemoradiotherapy (TMZ/RT \rightarrow TMZ) (11), or standard fractionated RT with concomitant temsirolimus (standard dose of 25 mg i.v. weekly beginning at day -7 from the start of RT, to be continued until disease progression) (**Figure 1 and Supplement**). The study was conducted according to the Declaration of Helsinki, the International Conference on Harmonisation note for good clinical practice (Topic E6, 1996), and regulatory requirements.

This study was funded by a grant from Pfizer, Berlin, Germany (details on the Role of theFunding Source in the **Supplement**).

167

168 Randomisation and masking

Randomisation was performed centrally using an interactive voice response system.
Patients were stratified according to age, WHO performance status and baseline steroids.

171 As this was an open-label study, no blinding procedures were applied.

172

173 Study endpoints

The primary endpoint was overall survival at 12 months (OS12) to avoid issues around pseudoprogression and generate a timely signal. Secondary endpoints included progression-free survival (PFS), OS, safety and assessment of prognostic and predictive biomarkers.

178

179 Outcome measures and statistical analyses

OS12 was defined as the fraction of patients alive at 12 months from randomisation; PFS was defined as duration from randomisation until first observation of PD or death from any cause or censored at last disease assessment without progression or start of second anticancer therapy; OS was defined as time from randomisation until death or last visit.

PFS was assessed locally by investigators according to the Macdonald criteria (20), in case of suspected pseudoprogression investigators were advised to continue treatment *per protocol* and repeat imaging after 1-2 months. If progression was confirmed, the date of first observation of tumor progress was used for the analyses.

Adverse events (AEs) were coded according to the Medical Dictionary for Regulatory
Activities version 15.0, and their severity was graded according to National Cancer Institute
Common Terminology Criteria for Adverse Events version 3.0.

191 A Fleming one-sample one-stage testing procedure was used in each arm. It was assumed 192 that with OS12 lower or equal to 60% (P0) the therapeutic activity of temsirolimus (CCI-779) 193 was too low(11). While a OS12 greater or equal to 80% (P1) implied that the therapeutic 194 activity of temsirolimus (CCI-779) was adequate Type I (α) and II (β) errors were both equal 195 to 5%. Under these hypotheses, a sample size of 54 eligible patients in each arm was 196 required. The decision rule was that if >38 eligible patients were alive at 1 year, it was 197 concluded that the therapeutic activity of temsirolimus was adequate.

All statistical analyses were performed on mature data (median follow-up 32 months) by Thierry Gorlia. The concept of a non-comparative control arm allows for adjustment of the initial assumptions based on contemporary control treatment. The trial would be insufficient to confirmatory declare efficacy. However, statistical comparisons are still valid and useful for hypothesis-generation and exploratory analyses.

- The OS12 was also computed in the TMZ/RT \rightarrow TMZ arm in order to assess the consistency with P0.
- 205

206 Biomarker substudy

207 Tissue Micro Array, Immunohistochemistry and FISH EGFR

208 Tissue micro arrays (TMA) were constructed using recipient paraffin blocks with an agarose 209 matrix (21). Immunohistochemical analyses and Fluorescent In Situ Hybridization (FISH) 210 were performed in duplicate on sections from 2 replicate TMAs basically as recommended 211 by the manufacturers (see supplemental methods for antibody description, conditions and 212 dilutions; FISH probes). Markers for post hoc analyzes of the mTOR pathway were prespecified in the protocol (phosphorylated S6 ribosomal protein, p-S6RP^{Ser235/236}; 213 214 phosphorylated AKT, p-AKT^{Ser473}; PTEN; phosphorylated AKT1 Substrate 1 (proline-rich), p-PRAS40^{Thr246}; phosphorylated extracellular signal-regulated linase, ERK1/2^{Thr202/Tyr204}) or 215 216 based on a more recent study (phosphorylated p-mTOR^{Ser2448}) (22, 23). Scoring and 217 definition of dichotomization is detailed in the Supplemental Methods.

218

219 Multidimensional marker analysis

The centered score table of the markers containing missing values was analysed by principal component analysis. Non-linear Iterative Partial Least Squares (NIPALS) algorithm (24) was used to perform singular-value decomposition with missing value and to complete

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the data. A consensus hierarchical clustering analysis (25) based on Euclidean distance and Ward's algorithm was used to investigate the optimal number of clusters. The association among marker scores was illustrated by network representation based on Spearman correlation. Analyses and graphical representations were performed using R-3.2.0 and the R packages mixOmics, ggraphs (26) and ConsensusClusterPlus.

228

229 Statistical analysis

The scores of the P-markers were dichotomized into negative (scores 0, 1, corresponding to 0 to10%) vs positive (scores 2 to 5, >10%). Study stratification factors (age, WHO performance status, baseline steroids) and molecular markers were correlated to OS.

233 Treatment arms were compared with a log-rank test at 5 % significance. For each of them, 234 PFS and OS were estimated using the Kaplan-Meier (KM) method. Associations of marker 235 profiles with treatment efficacy were presented by Forest Plot and significance was 236 assessed with the test for interaction computed from a Cox model including the treatment, 237 the marker and their interaction term. A 5% significance was used for screening predictive 238 markers. For each factor, univariable survival estimates were calculated using the KM 239 technique in the TMZ and temsirolimus arms. Hazard Ratios obtained from univariable Cox 240 models were presented with 95 % Confidence Intervals (CI) (details in the Supplement).

241

242 **RESULTS**

243

244 Patients

245 Overall, 257 patients were registered, screened for eligibility and assessed for MGMT 246 promoter methylation status, whereof 28 patients were registered after screening through the 247 CENTRIC trial that selected *MGMT* methylated patients only (19): 190 patients were found 248 to have glioblastoma with an unmethylated MGMT promoter applying the cut-off with a 249 safety margin (Figure S1). The primary reasons for initially registered patients not to 250 continue to randomisation were hypermethylated MGMT status (n=67), withdrawal of 251 consent (n=24), and other reasons (n=55), including insufficient tumor material (n=30), and 252 AEs after surgery (n=8) (Figure 1). A total of 111 patients were randomised from December 253 2009 through September 2012 and constituted the ITT population: 56 patients were 254 scheduled to receive weekly temsirolimus in addition to standard RT (temsirolimus arm) and 255 55 were to receive TMZ/RT \rightarrow TMZ alone (control arm). In the safety population, i.e. patients 256 with at least one dose of drug, there were 53 patients in the temsirolimus and 51 patients in 257 the TMZ arm.

Median follow-up was 33 (95% CI: 23-37) months in the temsirolimus and 32 (95% CI: 22-40) months in the TMZ arm. The median duration from operation to randomisation was 2.6 weeks (range 0.4–6.1 weeks). Patient baseline and demographic characteristics were well balanced between treatment arms except for the WHO Performance status between PS0 and PS1, which favored the control arm. This is explained since the stratification was PS 0-1 *vs* PS2 (**Table 1**).

In the biomarker cohort (n=88), only one patient sample displayed positive staining for the IDH1-R132H mutant (1/78; 1.3%), an expected low frequency, since 75% of the few *IDH1* mutant glioblastoma are *MGMT* hypermethylated (27). The frequency of *EGFR* amplification was in the expected range (54%, 44/82). There was no difference in baseline characteristics and outcome in patients with *vs* without markers assessment (**Supplementary Figure S2**,

269 Supplementary Table S1).

270

271 *Efficacy outcomes*

The median duration of radiotherapy was 6.1 weeks in both arms. Main reason for interrupting RT was technical or administrative (28%). In median, RT was interrupted 2 days. RT was completed by >90% of patients. Concomitant treatment was delivered as planned *per protocol* by >90% of patients in both arms. Patients in the temsirolimus arm received the drug for a median (95% CI) of 16 weeks post RT (4.0 – 84.3), with a mean dose intensity of 21.4 (6.3 - 25) mg/week.

Maintenance temsirolimus was administered *per protocol* at a median of 13 weekly cycles. Median relative dose-intensity was 85.6%. Twelve patients had a reduction in dose intensity below 70%, because of dose reduction (19.1%: 6.4% for hematological toxicity, 10.6% for AE, 2.1% for other reasons), dose not given during at least one cycle (68%: 6.3% for hematological toxicity, 34% for non-hematological toxicity, 58% for other reasons) or treatment delay (58%: 2.1% for hematological toxicity, 17% for non-hematological toxicity, 43% for other reasons).

285 Median OS was 14.8 (13.3-16.4) months in the temsirolimus arm and 16.0 (13.8-18.2) in the 286 control arm (90 deaths; HR, 1.2; 95% CI, 0.8-1.8; p=0.47; Figure 2A). The OS12 and OS24 287 rates did not differ between arms (70%, 72% and 15%, 16%, respectively). Median PFS as 288 assessed by the investigator was 5.4 (95% CI, 3.7-6.1) months in the temsirolimus arm and 289 6.0 (95% CI, 2.8-8.0) months in the control arm (54 PFS events; HR, 1.26; 95% CI, 0.86-290 1.86; p=0.24; Figure 2B). In the per protocol population (see Supplementary Information), 291 38 patients treated with temsirolimus had survived \geq to 1 year. At least 39 patients were 292 needed to reach the targeted drug activity.

293

294 Safety

In the temsirolimus arm severe hematological toxicity was: neutropenia (G3: n=1, 1.9%) and
lymphocytopenia (G3: n=9, 16.4%, G4: n=1, 1.8%). In the TMZ arm severe hematological

297	toxicity was: leukopenia G3 (n=2, 3.8%), neutropenia G4 (n=2, 3.8%), lymphocytopenia (G3:
298	n=14, 26.4%, G4: n=2, 3.8%) and thrombocytopenia (G3: n=1, 1.9%, G4: n=1, 1.9%). There

- was no other severe (G3/4) treatment-related AE with an incidence >5% in either arm.
- 300

301 Molecular correlations with outcome

302 Markers interrogated for their relevance of targeting the mTOR signaling pathway (22, 23) 303 are visualized in the mTOR KEGG pathway (28) (Supplementary Figure S3). 304 Phosphorylated mTOR^{Ser2448} was associated with prolonged OS as evidenced by the 305 significant interaction term between treatment and p-mTOR^{Ser2448} (p=0.047, Figure 3). 306 Tumors of 37.6% of the patients scored positive for p-mTOR^{Ser2448}. There was a non-307 significant trend for longer OS when p-mTOR^{Ser2448} positive patients received temsirolimus 308 as compared with controls (HR=0.62, 95% CI 0.26-1.47, p=0.27). When non-phosphorylated 309 mTOR^{Ser2448} patients received temsirolimus a non-significant decrease in survival was 310 observed compared with controls (HR=1.77, 95% CI 0.95-3.29, p=0.07) (Figure 3). The 311 median OS in the temsirolimus group was 17.8 months (CI, 14.1-28.0) for patients with pmTOR^{Ser2448} positive tumors and 13.1 months (CI, 9.7-15.1) in the negative subgroup 312 313 (p=0.007, Figure 3A). In the RT/TMZ→TMZ control arm the median OS in the p-mTOR^{Ser2448} 314 positive group was 14.0 months (CI, 9.6-19.6) and 16.5 months (CI, 9.5-18.8) in the p-315 mTOR^{Ser2448} negative subgroup (p=0.999). For p-PRAS40^{Thr246}, the interaction test with 316 treatment was borderline non-significant (p=0.07). The impact of all other markers on 317 survival is illustrated in a forest plot for all other markers in **Supplementary Figure S4**.

318

A multi dimensional analysis used the full range of the scores of the mTOR-associated markers integrated information for the identification of clinically relevant molecular subgroups and to gain further insights on pathway interactions (**Figure 4**). The two first axes obtained by PCA explained 57.8% of the total inertia. The first axis was mainly explained by pmTOR^{Ser2448} and p-PRAS40^{Thr246}. The p-S6RP^{Ser235/236} mainly contributed to the construction of the second axis (**Figures 4E and F**). PTEN expression played a minor role in the

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325 structure of the score table (Figure 4F). Subgroups were determined by consensus 326 clustering. We kept the cluster based on two groups (k=2) by default, as no strong indication 327 for the optimal number of clusters was obtained and the sample size is limited 328 (Supplementary Figure S5). Cluster 2, highly enriched for p-mTOR^{Ser2448}-positive cases, 329 revealed a strong association with outcome in the temsirolimus treatment group and no 330 difference in the TMZ/RT \rightarrow TMZ group (**Figure 4**). Significant interaction was observed with 331 treatment (p=0.009): in Cluster 2 the HR was 0.42 (95% CI 0.15-1.13, p=0.08) and in Cluster 332 1 HR=1.77 (95% CI 0.96-3.25, p=0.06).

In multivariable prognostic analyses of clinical and molecular factors (**Supplementary Table S1**), p-mTOR^{Ser2448} (HR=0.13, 95% CI 0.04-0.47, p=0.002), p-PRAS40^{Thr246} (HR=0.50, 95% CI 0.21-1.18, p=0.12), p-ERK^{Thr202/Tyr204} (HR=2.81, 95% CI 0.97-8.09, p=0.06), but no clinical factor was associated with OS in the temsirolimus arm. The PEV was equal to 14.9% In the TMZ arm, there was a trend for decreased survival in p-AKT^{Ser473} positive patients (HR=3.21, 95% CI 0.89-11.56, p=0.07, PEV=4.5%). None of the models had a PEV larger than 20%.

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340 **DISCUSSION**

341

342 This randomized, open label phase II trial investigating the mTOR inhibitor temsirolimus in 343 combination with RT for patients with low probability of benefit from the TMZ-based 344 radiochemotherapy failed to demonstrate the targeted outcome. Neither PFS nor OS 345 demonstrated a signal of relevant activity in the total trial population (Figure 2). Safety and 346 tolerability of temsirolimus in combination with standard RT were non-concerning and the 347 trial is an example that temozolomide can be safely omitted in patients with MGMT unmethylated glioblastoma. The trial proposes mTOR^{Ser2448} phosphorylation as a biomarker 348 349 for benefit from mTOR inhibition. These results need further confirmation, and a trial to 350 prospectively assess the relevance of this putative biomarker is underway (NCT Neuro 351 Master Match, EudraCT 2015-002752-27).

352 The good outcome data in both arms of the trial prompted a comparison with the 353 EORTC26981-22981/NCIC CE3 trial. The comparison with our pivotal TMZ/RT→TMZ vs RT 354 trial (EORTC26981-22981/NCIC CE3) (29) was favourable in all aspects supporting the 355 principal rational to design trials for patients with MGMT unmethylated glioblastoma and 356 withhold TMZ in the experimental arm (Supplementary Results). Biases in favor of EORTC 357 26082 may have been patient selection, and the lower number of patients on steroids (30). 358 Bevacizumab was administered in about 45% of the patients in both arms of EORTC 26082. 359 The OS of the EORTC 26082 arms is comparable to the outcome in the control arms of trials 360 with selection of MGMT unmethylated patients, with 13.4 months in the CORE trial (95% CI 361 12.2-14.3) with a bevacizumab use at recurrence of 22% (31) and 17.3 months (95%CI 14.8-362 20.4 months) in the GLARIUS trial with cross over to bevacizumab of 60% (32).

The EORTC 26082 trial aimed at not withholding TMZ from any patient with an equivocally methylated *MGMT* promoter by applying a *MGMT* cut-off with a safety margin. This prompted an adaption also in the GLARIUS trial (32) with similar design and therefore demarcates an evolution from the S039 trial with enzastaurin (33). Two randomized phase III trials in elderly patients with newly diagnosed glioblastoma further support a strictly

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368 predictive effect of the MGMT status for benefit from TMZ (34, 35). However, we cannot 369 completely exclude a small baseline effect of TMZ despite the MGMT unmethylated state 370 (11). Hence, withholding TMZ outside trials and elderly patients with unmethlylated MGMT 371 promoter is not advocated by the present data. In the temsirolimus arm 59% (n=33) of the 372 patients received TMZ after treatment discontinuation, and 26% of TMZ patients (n=14) were 373 re-challenged with TMZ, not being aware of the recent data from the DIRECTOR trial that re-374 challenge with TMZ might be relevant only for patients with a methylated MGMT promoter 375 (36).

The choice of temsirolimus for patients with unmethylated glioblastoma was based on preclinical data already highlighting that not every tumor responds to the treatment (37) as well as a response may be only transient because of the overt feedback resistance mechanisms (22, 38).

380 Molecular analyses of prespecified principal components of the EGFR-PI3-K/mTOR/AKT pathway were performed. EORTC 26082 provides first evidence that p-mTOR^{Ser2448} and – to 381 a lesser extent - p-PRAS40^{Thr246} may serve as decisive biomarkers for the treatment of 382 383 patients with newly diagnosed glioblastoma with an unmethylated MGMT promoter. Phosphorylation of mTOR^{Ser2448} has been shown to be targeted and blocked by rapamycin, a 384 major metabolite of temsirolimus (39), while phosphorylated PRAS40^{Thr246} (substrate of 385 386 AKT1) relieves inhibitory function on mTORC1 (40). The survival curves may even suggest that there is a detrimental effect of temsirolimus in p-mTOR^{Ser2448} negative tumors (Figures 3 387 388 and 4). Previous trials testing temsirolimus at recurrence had focused on the PTEN status 389 with a PTEN deficiency as a prerequisite for response (22) or on other downstream mTOR 390 targets, e.g. p-S6RP^{Ser235/236}, which was neither associated with outcome in biomarker 391 analyses of patients with recurrent glioblastoma receiving temsirolimus (6, 38) nor in this 392 study. It cannot be excluded that glioblastomas treated at recurrence may have changed 393 mTOR pathway activity as compared to tumor specimen used for marker analyses obtained 394 at the first resection (41). Also, "paradoxical" activation of AKT by elimination of negative 395 feedback downregulating survival signaling has been postulated as potential resistance

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396 mechanism to mTOR inhibition in previous trials, based on the analyzes of paired tumor 397 specimen taken before and after treatment (22, 38). Interestingly, trials in other diseases did 398 not provide predictive biomarkers (12, 13).

399 The limitations of EORTC 26082 are the relatively small sample size of this non-comparative 400 phase II trial. For the biomarker analyses using IHC only a limited number of tumor tissue 401 samples from the ITT cohort were available. The findings should be validated by evaluation 402 of previous trials in particular in those treating newly diagnosed glioblastoma patients (42) 403 and the randomized phase II study RTOG-0913. Ongoing trials using mTOR inhibitors may 404 need to take into account a potentially detrimental effect in patients with an unphosphorylated mTOR^{Ser2448}. Given the ongoing efforts of biomarker-driven basket trials 405 406 for patients with newly diagnosed glioblastoma, the concept of mTOR inhibition using the marker predictive in this study, p-mTOR^{Ser2448} is incorporated into the design of a future 407 408 study.

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- 561 Reference pathology was performed by B.L.
- 562 The statistical analyses were performed by T.G. and P.B.
- 563 The article was written by W.W. and M.E.H. with support from all co-authors.
- 564 All authors reviewed and approved the manuscript.

565

566 **FIGURE LEGENDS**

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568 Figure 1. Supplemented CONSORT diagram of patient disposition.

569

570 Figure 2. Principal efficacy outcomes per treatment.

571

572 Figure 3. Overall survival according to phosphorylated mTOR stratified by treatment.

573 (A) Kaplan-Meier curves shown represent patients separated by the phosphorylation status

574 of mTOR^{Ser2448} (Pos, positive; Neg, negative) stratified for the two treatment arms CCI-

575 779/RT and TMZ/RT \rightarrow TMZ (TMZ). The interaction test was significant p=0.047). (B)

576 Representative glioblastoma samples negative or positive for p-mTOR^{Ser2448} expression.

577

578 Figure 4. Multidimensional analysis of m-TOR associated markers.

579 The associations among markers in the mTOR pathway are illustrated by "The network 580 representation" based on Spearman correlations between scores (A). (B) The glioblastoma 581 subgroups based on mTOR pathway markers are visualized in a heatmap of the score table 582 obtained after reconstruction using Non-linear Iterative Partial Least Squares (NIPALS). The 583 rows were ordered by the first axis of the PCA. The columns are ordered by the consensus 584 classification (k=2; clusters 1, blue; cluster 2, red) and are annotated for absence or presence of mutated IDH1^{R132H} (positive, red; negative, grey; unknown; white), and the 585 586 EGFR status (amplified dark green, non-amplified, green; unknown, white). The association 587 between OS and consensus classification for two groups (k=2) (cluster 1, blue; cluster 2, 588 red) is illustrated by Kaplan-Meier representation for patients randomized to CCI-779 (C) and 589 TMZ (D). The p-value is given for each KM. The patients (E) and m-TOR-associated 590 markers (F) were projected onto the two first components of the principal component 591 analysis (PCA). Inertia ellipses and stars visualize the separation of the patients into the two 592 groups obtained from consensus clustering (cluster 1, blue; cluster 2, red) (E).

Table Baseline characteristics

	TMZ	Temsirolimus	Total	
	(N=55)	(N=56)	(N=111)	
	N (%)	N (%)	N (%)	
Age				
median	57.7	54.9	55.7	
range	24.4 - 76.0	28.2 - 74.7	24.4 - 76.0	
Sex				
male	36 (65.5)	35 (62.5)	71 (64.0)	
female	19 (34.5)	21 (37.5)	40 (36.0)	
Extent of				
resection				
open	1 (1.8)	3 (5.4)	4 (3.6)	
biopsy				
resection	54 (98.2)	53 (94.6)	107 (96.4)	
Corticosteroids				
no	37 (67.3)	40 (71.4)	77 (69.4)	
yes	18 (32.7)	16 (28.6)	33 (29.7)	
WHO PS (0-4)				
0	40 (72.7)	32 (57.1)	72 (64.9)	
1	14 (25.5)	20 (35.7)	34 (30.6)	
2	1 (1.8)	4 (7.1)	5 (4.5)	

Abbreviations: TMZ, temozolomide; WHO PS, World Health Organization

Performance Status



Figure 2A



Overa	C.,	r vi	wo	
Overa	้อม	rvi	iva	L

Survival Time								
Observed Patients Events Hazard Ratio P-Value Median (95% Cl) % at 1 Year Treatment (N) (O) (95% Cl) (Log-Rank) (Months) (95% Cl)								
тмz	55	44	1.00	0.4708	16.03 (13.83, 18.20)	72.22 (58.22, 82.22)		
CCI-779	56	46	1.16 (0.77, 1.76)		14.78 (13.27, 16.39)	69.64 (55.79, 79.91)		

Α



Progression Free Survival

Survival Time								
Observed Patients Events Hazard Ratio P-Value Median (95% Cl) % at 0.5 Year(s) Treatment (N) (O) (95% Cl) (Log-Rank) (Months) (95% Cl)								
TMZ	55	51	1.00	0.2358	5.95 (3.25, 8.02)	50.00 (36.12, 62.39)		
CCI-779	56	54	1.26 (0.86, 1.86)	. <u>.</u>	5.36 (3.71, 6.14)	38.67 (25.96, 51.20)		

В

Overall Survival



Survival Time			Non-para	metric	Cox model	
treatment/p-mtor	Patients (N)	Observed Events (O)	Median (95% Cl) (Months)	% at 2 Year(s) (95% Cl)	Hazard Ratio (95% CI)	P-Value (Score test)
TMZ/p-mTOR Neg	23	19	16.46 (9.53, 18.79)	10.7 (1.8, 28.7)	1.00	0.042 (df=3)
TMZ/p-mTOR Pos	16	13	14.01 (9.56, 19.55)	11.3 (0.9, 36.4)	0.99 (0.49, 2.01)	
CCI-779/p-mTOR Neg	25	24	13.11 (9.66, 15.08)	4.0 (0.3, 17.0)	1.71 (0.93, 3.14)	
CCI-779/p-mTOR Pos	13	9	17.77 (14.09, 27.99)	29.7 (7.4, 56.8)	0.59 (0.26, 1.32)	
					Log-rank test:	p-value=0.041

В

Α







SUPPLEMENTARY INFORMATION TO

Phase II study of radiotherapy and temsirolimus versus radiochemotherapy with temozolomide in patients with newly diagnosed glioblastoma without MGMT promoter hypermethylation (EORTC 26082)

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Supplementary Patients and Methods

MGMT Testing

In brief, DNA was isolated from formalin-fixed, paraffin-embedded tumour samples using macro-dissected sections; DNA was modified with sodium bisulfite and subjected to quantitative methylation-specific PCR using β -actin as a reference gene (*ACTB*).¹

Key eligibility criteria

Patients aged ≥18 years with newly diagnosed, histologically confirmed supratentorial glioblastoma (WHO Grade IV), centrally determined unmethylated MGMT status, and with an Eastern Cooperative Oncology Group performance status (ECOG PS) of 0 or 1 were eligible. Additional inclusion criteria were: written informed consent; available tumour tissue from surgery or open biopsy (stereotactic biopsy was not allowed) for MGMT promoter methylation status analysis and central pathology review; gadolinium-enhanced (Gd) MRI performed within 48 hours post surgery, or alternatively, Gd-MRI performed before randomisation; stable or decreasing steroid doses for ≥5 days prior to randomisation; and adequate haematological, renal, and liver function. Key exclusion criteria were prior chemotherapy within the last 5 years, prior RT of the head, treatment with other investigational agents 30 days before first dose of temsirolimus, and prior systemic antiangiogenic therapy; history of coagulation disorder associated with bleeding or recurrent thromboembolic events; presence of QTc prolongation >450/470 msec (males/females); placement of Gliadel® wafers at surgery; history of malignancy within the last 5 years (except curatively treated cervical carcinoma in situ or basal cell carcinoma of the skin); clinically manifest cardiovascular insufficiency (NYHA III, IV) or myocardial infarction during the past 6 months, and uncontrolled arterial hypertension.

Patients randomized into the trial constituted the intention-to-treat population (n=55 control arm; n=56 temsirolimus arm).

Patients having received at least one trial-specific treatment and fulfilling the basic eligibility criteria constituted the per-protocol-population (n=50 control arm; n=54 temsirolimus arm). Reasons for exclusion from the per-protocol population were no treatment (n=3), and QTc or laboratory value deviations in the baseline criteria that should have prevented inclusion into the trial (n=5). One patient fulfilled two reasons not to be counted for the per-protocol-population.

The safety population excluded only patients that never received any study-specific therapy (n=3) and resulted in 53 patients in the control arm and 55 patients in the temsirolimus arm.

Treatment

Each treatment with temsirolimus was to be preceded by supportive medication with a histamine H2-receptor antagonist. RT consisted of 3D conformal radiotherapy and was given at 2 Gy per fraction, 5 days/week, for up to 6 weeks and to a total dose of 60 Gy; TMZ 75 mg/m² was administered orally 7 days/week throughout RT, thereafter, starting 4 weeks after the end of RT (week 11) TMZ 150–200 mg/m² was administered for 5 consecutive days every 4 weeks for 6 cycles. Temsirolimus was to be continued until disease progression (PD) or unacceptable toxicity. Crossover from the control to the temsirolimus arm was not allowed. Temsirolimus was administered as 30-minute infusion starting 2 hours before RT; TMZ was given orally at least 1 hour before RT.

Biomarker substudy

Immunohistochemistry was performed basically as recommended by the manufacturers using a heat antigen retrieval procedure (citrate buffer) using the following antibodies and respective dilutions: Phospho-S6 Ribosomal Protein (Ser235/236; 1:400; #2211; Cell Signaling Technology [CST]), Phospho-AKT (Ser473; 1:50; D9E, #4060, CST), Phospho-p44/42 MAPK (ERK1/2) (Thr202/Tyr204; 1:600; #4370, CST), Phospho-mTOR (Ser2448; 1:100; 49F9, #2976, CST), Phospho-PRAS40 (Thr246; 1:25, #2997, CST), PTEN (1:50, 138G6, #9559, CST), EGFR (1:50; DAKO M7239), and IDH1^{R132H} (1:25; clone H14; Dianova,

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Hamburg, Germany). The scoring was performed blinded to outcome data. Percentage of tumor cells with any level of positive staining were scored as follows: p-S6RP, p-AKT, p-ERK: invalid, absent or inappropriate tissue, 0 = no positive cells, 1 = 1 - 10%, 2 = 11% - 30%, 3 = 31% - 50%, 4 = 51% - 80%, and 5 = 81% - 100%; p-mTOR, p-PRAS40, PTEN: invalid, absent or inappropriate tissue, 0 = no positive cells, 1 = 1% - 10%, 2 = 11% - 50%, 3 = 51 - 80%, 4 = 81% - 90%, 5 = 91% - 100%. For PTEN presence of vascular staining was used as internal control. For marker analyses the scores were dichotomized into negative (scores 0, 1, corresponding to 0 to10%) versus positive (scores 2 to 5, >10%). EGFR was evaluated according to the Hirsch score, and IDH1^{R132H} was considered positive when cytoplasmic expression was detected.^{3,4} FISH for EGFR amplification was performed using Vysis LSI EGFR SpectrumOrange /CEP7 SpectrumGreen Probes (Abbott Molecular, Des Plaines, IL, USA). Tumors with a ratio >2 of the Average EGFR/Average CEP7 were classified as amplified.³

Role of the funding source

This study was funded by an academic grant from Pfizer, Berlin, Germany. Study design, data analysis, and data interpretation were performed collaboratively by the principal investigator, the study team and EORTC. The Steering Committee of the EORTC Brain Tumor Group oversaw the study. The principal investigator (WW) had full access to and reviewed all data, and had final responsibility for the decision to submit for publication. Data collection was performed by the investigators with monitoring performed by the EORTC; the database remained blinded to primary outcome variables for all parties including molecular marker analyses until final analysis.

Statistical considerations

For multivariable prognostic analysis, Cox models including the three clinical stratification factors, the P-markers and EGFR amplification were computed in each treatment arm. Forward stepwise method was used to select the most significant factors. Because of limited

sample size, this screening was done at a relaxed 15% significance level. Results are interpreted taking this limitation into account. To assess model goodness of fit, the Schemper Percentage of Explained Survival Variation (PEV) was calculated. A PEV of at least 20% was considered a minimum requirement for sufficiently precise predictions. Primary OS12 analysis was performed in the *per protocol* population (i.e. eligible patients who started randomized treatment). All outcome analyses were performed on the intention-to-treat (ITT) population. For multivariable analyses, only samples with all molecular markers assessed were used. Safety was assessed on patients who started randomized treatment.

SAS version 9.4 (SAS Institute Inc., Cary, NC, United States of America [USA]) was used for all analyses. The percentage of explained survival variation (PEV) was computed using the SAS macro RELIMPCR.

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Supplementary Results

The median OS of 14·8 and 16·0 months observed in the temsirolimus and the TMZ arms, respectively, prompted us to investigate, how the OS in EORTC 26082 compared to the *MGMT* unmethylated EORTC 26981 subpopulation. This is relevant as one of the *caveats* of trials restricted to patients with *MGMT* unmethylated glioblastoma is potential undertreatment by leaving out TMZ in the experimental group. Consistent with reports on enzastaurin²⁸ or bevacizumab²⁹, this was not the case in EORTC 26082. Looking at comparable trial populations (**Supplementary Table 2**), PFS showed no difference for any comparison between arms of EORTC 26082 and 26981. OS shows a significant improvement in the comparison of either arm of EORTC 26082 with the control arm of EORTC 26981 with a HR= 0.45 (0.30-0.67, p<0.0001) for RT/TMZ→TMZ and HR= 0.53 (0.36-0.79, p=0.0015) for RT/temsirolimus. However, there was only a trend in the comparison between either arm of EORTC 26082 and the RT/TMZ→TMZ arm of the EORTC 26981 trial (data not shown).

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Supplementary Figures



Supplementary Figure S1. Definition of MGMT cut-off with a safety margin. Density plot (**A**), and posterior probability plot (**B**) for the classification into *MGMT* promoter methylated (M) or unmethylated (U) tumors obtained by fitting a mixture model to the average $log_2(1000 \times meth_MGMT/ACTB)$ for 602 glioblastoma samples. A gray **dashed line** represents the optimal cut-off according to the selected model (log_2 ratio= 1) corresponds to a **ratio value of 2**. The thresholds for lower bound of the 95% posterior probability for class U, indicated by a red dashed line (log_2 ratio= -0.75) corresponds to a **ratio value of 0.6**, which has been defined as the **cut-off with a safety margin**. The upper bound 95% posterior probability for class M, is indicated by a green dashed line (log_2 ratio= 2.72) corresponds to a **ratio value**

of 6.59. The region between is often referred to as "gray zone", since it is associated with higher uncertainty.¹



Supplementary Figure S2. Comparison of Overall Survival in patients with *vs* without markers assessments.



Supplementary Figure S3. Visualization of markers analyzed in the mTOR signaling pathway from KEGG. The markers are identified by green boxes and the representation was obtained using the R package pathview from the Bioconductor project.⁵ We determined phosphorylation of mTOR at serine 2448 (p-mTOR^{Ser2448}) which has been shown to be targeted and blocked by rapamycin, a major metabolite of temsirolimus.⁶ Furthermore, phosphorylated S6 ribosomal protein (S6RP^{Ser235/236}), a direct target of the mTOR effector S6 kinase 1, phosphorylation of AKT^{Ser473}, expression of PTEN, and phosphorylation of AKT1 Substrate 1 (Proline-Rich) at Thr246 (p-PRAS40^{Thr246}) were assessed. PRAS40^{Thr246} is phosphorylated by AKT1. The latter relieves inhibitory function on mTORC1.⁷ In addition the *EGFR* amplification status (not indicated) and phosphorylation of ERK1/2^{Thr202/Tyr204} that have been postulated as potential markers for resistance to inhibition of the PI3K/AKT/mTOR pathway were determined.⁸



Supplementary Figure S4. Forest plot molecular markers



Supplementary Figure S5.

Complete Graphical summary of consensus cluster analysis based on the matrix obtained by the reconstitution of the data in using Non-linear Iterative Partial Least Squares (NIPALS) algorithm. The two first graphics (A et B) were used to determine the optimal cluster number. **(A)** displays the cumulative distribution functions (CDF) of the consensus for each number of clusters (k=2,..., 6). The Delta Area plot **(B)** represents the relative change in the area under the CDF curve comparing k and k-1. Because no strong rupture was detected in this graphic, we kept the cluster based on two groups (k=2) by default. **(C)** Display of the heatmap of the score table obtained after NIPALS reconstruction. The rows were ordered by the first axis of the PCA. The consensus classifications, and status of expression of the mutant IDH1^{R132H} and amplification of *EGFR* were added as supplementary information. Abbreviations: mTOR

phosphorylated at serine 2448 (p-mTOR^{Ser2448}); S6 ribosomal protein phosphorylated at serine 235 and 236, p-S6RP^{Ser235/236}; AKT phosphorylated at serine 473, p-AKT^{Ser473}; phosphatase and tensin homologue, PTEN; of AKT1 Substrate 1 (Proline-Rich) phosphorylated at threonin 246 (p-PRAS40^{Thr246})

Supplementary Table S1

	Patient's character	ristics of biomarl	ker cohort				
		Included on TMA					
Biomarke	r cohort, (No/Yes)	No (N=23)	Yes (N=88)	Total (N=111)			
		N (%)	N (%)	N (%)			
Age							
	Median	58.3	55.4	55.7			
	Range	24.4 - 73.6	27.4 - 76.0	24.4 - 76.0			
Age (clas	s)						
	<50yrs	5 (21.7)	24 (27.3)	29 (26.1)			
	>=50yrs	18 (78.3)	64 (72.7)	82 (73.9)			
Sex							
	male	16 (69.6)	55 (62.5)	71 (64.0)			
	female	7 (30.4)	33 (37.5)	40 (36.0)			
Last meth	od						
	open brain biopsy	0 (0.0)	4 (4.5)	4 (3.6)			
	resection	23 (100.0)	84 (95.5)	107 (96.4			
Patient ta	king anti-epileptic drug						
	no	9 (39.1)	29 (33.0)	38 (34.2)			
	yes, non-EIAED only	12 (52.2)	56 (63.6)	68 (61.3)			
	yes, EIAED switched	2 (8.7)	3 (3.4)	5 (4.5)			
Currently	on corticosteroids						
	no	16 (69.6)	61 (69.3)	77 (69.4)			
	yes, stable/decreasing dose	7 (30.4)	26 (29.5)	33 (29.7)			
	yes, increasing dose	0 (0.0)	1 (1.1)	1 (0.9)			
WHO perf	ormance status (0-4)						
	0	17 (73.9)	55 (62.5)	72 (64.9)			
	1	4 (17.4)	30 (34.1)	34 (30.6)			
	2	2 (8.7)	3 (3.4)	5 (4.5)			

Supplementary Table S2

Baseline characteristics at randomization									
	EORTO (<i>MGMT</i> unme	EORTC 26981 (<i>MGMT</i> unmethylated only)		EORTC 26082					
	RT (N=58)	TMZ (N=65)	TMZ** CCI-779** (N=55) (N=56)		Total (N=111)				
	N (%)	N (%)	N (%)	N (%)	N (%)				
Age									
Median	54.5	53.0	57.7	54.9	55.7				
Range	30.0 - 69.0	22.0 - 70.0	24.4 - 76.0	28.2 - 74.7	24.4 - 76.0				
N obs	58	65	55	56	111				
Sex									
male	37 (63.8)	38 (58.5)	36 (65.5)	35 (62.5)	71 (64.0)				
female	21 (36.2)	27 (41.5)	19 (34.5)	21 (37.5)	40 (36.0)				
Extent of resection									
open brain biopsy	2 (3.4)	3 (4.6)	1 (1.8)	3 (5.4)	4 (3.6)				
resection	56(96.6)	62(95.4)	54 (98.2)	53 (94.6)	107 (96.4)				
currently on corticosteroids									
no	17 (29.3)	20 (30.8)	37 (67.3)	40 (71.4)	77 (69.4)				
yes	41 (70.7)	45 (69.2)	18 (32.7)	16 (28.6)	33 (29.7)				
WHO performance status (0-4)									
0	17 (29.3)	28 (43.1)	40 (72.7)	32 (57.1)	72 (64.9)				
1	35 (60.3)	33 (50.8)	14 (25.5)	20 (35.7)	34 (30.6)				
2	6 (10.3)	4 (6.2)	1 (1.8)	4 (7.1)	5 (4.5)				

** there is an imbalance between arms for WHO PS. Stratification by WHO PS (0,1 vs 2) did not work properly. WHO PS 2 accounts for less than 5%.

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