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1 **Direct susceptibility testing of *Mycobacterium tuberculosis* for pyrazinamide using the**
2 **BACTEC MGIT 960 system**

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20 **Running Head: Direct susceptibility testing for pyrazinamide**

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24

25 **Abstract**

26

27 Pyrazinamide is a key antituberculosis drug, yet no rapid susceptibility test is commercially
28 available. PZA drug susceptibility testing (DST) was performed directly on the sputum from
29 327 patients and compared with the indirect method using the BACTEC MGIT 960 system in
30 the context of patient screening for participation in a drug trial. Compared to standard
31 indirect PZA DST, direct DST was only successful in 59% of cases, but results obtained were
32 highly accurate and available faster. Agreement between the direct and indirect method
33 varied from 90 to 100% in each laboratory. The median times for obtaining PZA results from
34 the time the specimen was collected ranged from 11 to 16 days for the direct and 18 to 95
35 days for the indirect across laboratories. The direct method is accurate and reproducible
36 across laboratories. It can be expected to accelerate results in more than 50% of cases but it
37 cannot replace indirect DST for PZA. Phenotypic methods remain the gold standard for DST in
38 drug trials. If future studies can optimize the method to decrease the number of
39 uninterpretable results, direct MGIT DST could be the new phenotypic DST standard for
40 clinical trials providing more rapid detection of resistance to new drugs in experimental
41 regimens.

42

43

44 **Keywords:** Pyrazinamide, tuberculosis, drug resistance testing, liquid culture

45

46

47 **Introduction**

48 Pyrazinamide (PZA) is a key anti-tuberculosis (TB) drug that has recently been shown to
49 substantially enhance the activity of the novel agents bedaquiline (BDQ) and pretomanid (PA-
50 824, Pa) in murine models of TB (1-3) and Phase II studies (4-6). Novel regimens based on the
51 BDQ-PZA and Pa-PZA building blocks do not include isoniazid (INH) and rifampin (RIF) and
52 are thus suitable for treatment of multi-drug resistant TB (MDR-TB, defined as TB resistant to
53 at least INH and RIF).

54

55 PZA resistance in subjects with TB susceptible to INH and RIF is rare, i.e., 2%–10% of non-
56 MDR-TB cases in South Africa (7, 8) and elsewhere (9-11). In patients with MDR-TB, however,
57 recent studies have found between 60% and 70% PZA resistance in South African trial centers
58 (12). Clinical trials with a novel 3-drug regimen such as BDQ-Pa-PZA in MDR-TB patients
59 would require confirmed PZA susceptibility because undetected PZA resistance exposes
60 participants to the risk of acquisition of resistance to the other agents in the tested regimen.

61

62 Although rapid molecular susceptibility tests detecting critical mutations directly on sputum
63 samples are available for most first-line and the most important second-line agents, there is
64 no commercial test for the rapid molecular detection of PZA resistance. The association of
65 multiple mutations throughout the *pncA* gene with PZA resistance makes it difficult to
66 design/develop a test for detecting PZA resistance (13). Phenotypic PZA testing in liquid
67 culture medium is well established in clinical practice but lacks accuracy and reproducibility
68 (14). Most reports cite problems of false PZA resistance detection with the MGIT 960, which is
69 attributed to the inoculum concentration being too high (13). Another limitation of the
70 phenotypic method is the long time to completion (15). This is due to the need to first grow up
71 a primary culture and then grow a secondary culture with PZA at the required concentration

72 to determine phenotypic susceptibility. As an alternative to the indirect method, the test can
73 be set up directly from the clinical specimen. This eliminates the initial culture thus speeding
74 up the availability of test results, but such abbreviated procedure can lead to invalid results
75 due to culture contamination or insufficient growth if the inoculum contains too few viable
76 bacteria (15, 16). This method has been evaluated for INH and RIF but not yet for PZA.

77

78 We investigated whether PZA testing via the automated BACTEC MGIT 960 liquid culture
79 system (Becton Dickinson Diagnostic Systems, Sparks, MD) inoculated directly from sputum
80 specimens is feasible, accurate and expedites the availability of PZA susceptibility results
81 compared to the standard indirect method.

82

83 **Materials and Methods**

84

85 Patient specimens and ethical approval

86 Spot sputum specimens were collected from patients screened for eligibility to participate in a
87 multicenter Phase II trial of a novel anti-TB regimen containing PZA (6). Patients were adults
88 from community clinics with newly diagnosed smear-positive pulmonary TB and no apparent
89 concomitant illness or conditions that would make participation inadvisable. Prior to the
90 study, one laboratory tested 31 consecutive specimens to validate the direct MGIT DST for
91 PZA. For the study, five mycobacteriology laboratories performed screening tests on sputum
92 samples, among which were acid-fast bacilli (AFB) smear microscopy, Genotype MTBDR*plus*
93 version 2 and MTBDR*s* (Hain Lifescience, Nehren, Germany), and direct MGIT DST for PZA
94 (Becton-Dickinson, Sparks, NJ). These screening tests were performed in parallel as capacity
95 allowed as long as the patient was still considered for participation based on microbiological

96 or clinical criteria. Consequently not all results were available for every subject. Although the
97 direct MGIT for PZA DST was to be performed on one specimen, two of the labs tested
98 additional specimens (Day -2, Day -1). Also, the intention was to test only smear-positive
99 specimens; however, smear-negative specimens were tested, as the smear results were not
100 always available before setting up the direct DST. The institutional review boards of all the
101 participating sites approved the study. Written informed consent for study participation was
102 obtained from all patients.

103

104 BACTEC MGIT drug susceptibility testing methods

105 Direct and indirect PZA susceptibility testing were performed as described by Siddiqi *et al* and
106 manufacturer's instructions, respectively (15, 17). For the direct method, the sputum
107 specimens were processed using the N-acetyl-L-cysteine-sodium hydroxide (NALC-NaOH)
108 method at a final concentration of 1-1.5% NaOH. The remaining pellet was resuspended in
109 phosphate buffer (pH 6.8), up to a final volume of 2 ml and was used as the inoculum for PZA
110 susceptibility testing. The resuspended pellet was diluted 1/10 and 0.5 ml was inoculated into
111 the control tube (also containing PANTA and the PZA enrichment supplement), while 0.5 ml of
112 undiluted resuspended pellet was inoculated into the 100 µg/ml PZA containing tube (also
113 containing PANTA and the PZA enrichment supplement). Tubes were incubated in the
114 BACTEC 960 MGIT instrument, following the 21-day protocol for PZA susceptibility testing
115 (17). Direct DST results from the MGIT instrument were recorded as susceptible, resistant or
116 uninterpretable. Indirect DST results were recorded as susceptible or resistant, since tests
117 with uninterpretable results were repeated until valid results were obtained. If the direct or
118 indirect PZA result was resistant, the PZA tube was checked visually for evidence of
119 contamination and a Ziehl-Neelsen stain and/or blood agar plate were performed to rule out
120 contaminants. If contaminants were found, the result was reported as uninterpretable.

121 Uninterpretable results were therefore classified as contaminated (including X400 errors
122 reported by the MGIT instrument), growth failure (X200 errors due to insufficient growth, i.e.,
123 the growth units of the control did not reach 400 within 21 days), or instrument failure.

124

125 Data analysis and statistics

126 The indirect result was regarded as the gold standard. Although there was a laboratory
127 protocol, variations were observed amongst laboratories in the number and timing of direct
128 and indirect tests performed. Laboratory 4 had duplicate indirect PZA results; only one result
129 was considered for agreement analysis since duplicate indirects all gave the same results. For
130 Laboratories 2 and 5, directs were repeated up to 3 times on different screening specimens:
131 only the pair where both direct and indirect tests were done on the same specimen was kept.
132 For Laboratory 4, directs were done on a separate specimen than indirects; directs were done
133 once and indirects were repeated up to 2 times and paired as described above. No duplicates
134 were done for directs nor indirects in Laboratory 3.

135

136 In order to calculate the direct MGIT success rate (reportable results), the reproducibility of
137 replicate direct MGIT results, and the time to direct and indirect DST, all test results were
138 used. To calculate the agreement between the direct and indirect tests, the results were
139 paired as described above. The time between the specimen collection date and the ultimate
140 PZA result date was calculated regardless of whether the result was interpretable or not. No
141 times were available for the validation study. All direct DSTs were performed within 48-72
142 hours of specimen receipt in the lab except for one laboratory. Sputum specimens were
143 processed for MGIT culture within the same timeframe. However, the time from determining
144 an *M. tuberculosis* positive MGIT culture to setting up the indirect PZA DST varied.

145 Category agreement was calculated by dividing the number of categorical result matches
146 (susceptible/resistant) by total tested (18). Chi-square was used to compare proportions.
147 Correlation was measured using Spearman rank correlation coefficient. SPSS software version
148 20 (SPSS Corporation, Chicago, Illinois) was used for all analyses.

149

150 **Results**

151

152 **Performance**

153 Validation was performed with 31 sputum specimens. Of these, 24 (77.4%) had reportable
154 PZA results: 17 susceptible and 7 resistant, with an agreement of 100% between the direct
155 and indirect methods. The seven uninterpretable results were due to growth failure in 6
156 (85.7%), and contamination in 1 (14.3%)(Table 1).

157

158 PZA susceptibility testing was performed on the sputum of 327 patients: 398 tests were
159 performed by the direct method and 207 by the indirect (Table 1). The PZA direct results
160 were uninterpretable in 163 (41.0%), varying from 23% to 66% among the five laboratories.
161 Reasons for uninterpretable PZA direct results were growth failure in 67.5%, contamination
162 in 31.9%, and instrument failure in 0.6% (for distribution among laboratories see Table 1). Of
163 398 direct PZA tests done, 348 had smear results available (87.4%): 36 were smear negative
164 (10.3%) and were more likely to give an uninterpretable PZA direct result (33
165 uninterpretable; 91.7%) compared to 312 positive smear specimens (110 uninterpretable;
166 35.3%; chi-square= 42.4, $p<0.001$). This was mainly due to insufficient growth: 30 of the 33
167 uninterpretable results were due to 200X errors (91%). A correlation between smear grading

168 and proportion of uninterpretable PZA results was also observed (Spearman

169 Correlation=0.298, $p < 0.001$) (Figure 1).

170

171 Agreement and reproducibility

172 For all laboratories, an analysis of pairs (1 direct and 1 indirect per patient as described in

173 Methods) revealed that PZA resistance was detected in 12/139 (8.6%) pairs by the direct

174 method and in 13/139 (9.4%) pairs by the indirect method. Of these 139 pairs, 134 were in

175 agreement and five were not, for a 96.4% category agreement percentage. Two of the

176 discrepant results were direct resistant/indirect susceptible, while three were direct

177 susceptible/indirect resistant (Table 1). No further testing was done to determine the true

178 nature of discordance.

179

180 Two laboratories performed direct tests in duplicate or triplicate. One had 20 sets of

181 duplicate results (15 S/S and 5 R/R), showing 100% concordance. The other laboratory had

182 69% concordant results (9/13: 1 S/S/S, 1 R/R/R, 7 uninterpretable (U)[5 U/U, 2 U/U/U]),

183 31% results with uninterpretable values (4/13: 1 S/S/U and 3 S/U/U) and no discordants.

184 Only one laboratory performed indirect tests in duplicate: 11 results were concordant (1 R/R,

185 10 S/S), 1 had uninterpretable value (contaminated/susceptible) and no discordants.

186

187 Time to availability of results

188 The median times for each lab ranged from 11 to 16 days for the direct, compared to 18 to 95

189 days for the indirect (Table 1). Table 2 compares the number of direct PZA tests with results

190 available (reportable or uninterpretable) 7, 14, 21 and 28 days after specimen collection.

191 Variable times were observed with >96% of the results available at 21 days (i.e. the maximum

192 duration of the MGIT PZA protocol) for 3 of the 4 laboratories. Such comparison was not done
193 for indirect PZA results since the indirect tests were often not set up in real time.

194

195 **Discussion**

196 In this multicenter clinical trial of a novel anti-TB treatment regimen we compared PZA
197 resistance testing performed directly on sputum specimens from untreated patients with the
198 indirect test using the BACTEC MGIT 960 system. This evaluation was done in the context of
199 time pressures dictated by the need of patients to be evaluated for participation and started
200 on treatment without delay. The observed category agreement between the direct and
201 indirect method (the reference method or gold standard) was excellent, varying from 90 to
202 100% per laboratory. Only 5 discrepant results were observed of 139 pairs (3.6%), similar to
203 the discordance rate observed for the direct testing of INH (4.9%) and RIF (3.9%) by Siddiqi
204 et al (15). Reproducibility of the direct method was excellent, although the numbers are too
205 small to compare and confirm differences.

206

207 Compared to standard indirect PZA DST, direct DST was successful in 59% (range across
208 laboratories: 34%-77%) of cases. The reason(s) for the variable performance amongst all
209 laboratories is inexplicable. Performance was exceptionally poor in one laboratory, with the
210 number of uninterpretable results equally due to insufficient *M. tuberculosis* density and
211 contamination. The drug susceptibility testing failures could be attributed to poor technique
212 in processing the sputum specimens resulting in inadequately digested and decontaminated
213 specimens. Re-suspending the sputum pellet is another critical step in ensuring even
214 distribution of *M. tuberculosis* and representative sampling for smear microscopy and culture
215 inoculation. This was the first time these laboratories performed the direct MGIT drug
216 susceptibility test method and no on-site training was provided prior to performing the study.

217

218 The 59% feasibility rate is lower than reported in a recent study where direct susceptibility
219 testing of *M. tuberculosis* for INH and RIF using the same MGIT system in four laboratories
220 yielded reportable results in 85% of 360 AFB smear-positive sputum specimens (15). As
221 reported by Siddiqi et al., the most frequent reason for our uninterpretable direct results was
222 growth failure. In their study, a 4 to 21-day protocol was used instead of the standard 4 to 13-
223 day protocol for the INH and RIF indirect tests, to allow more time for the growth control tube
224 to reach the required 400 growth units for a valid test. The indirect PZA test protocol is 4 to
225 21 days; the extended incubation time allows more time for the *M. tuberculosis* to grow if the
226 growth rate in the slightly acidified MGIT PZA medium is slower. The same protocol was used
227 for the direct PZA test since it was not possible to adjust the instrument protocol, i.e., extend it
228 beyond 21 days using the BACTEC MGIT EpiCenter which was not available in these labs. Slow
229 growth of some *M. tuberculosis* strains in PZA medium may have been a cause for growth
230 failures. More likely the reason for insufficient growth in the control was the inoculum
231 density being too low. Although the inoculum for the control tube is a 1/10 dilution of the
232 sputum pellet, instead of the more diluted 1/100 used in the indirect test, the concentration of
233 viable *M. tuberculosis* may have been very low in some sputum specimens despite being
234 smear-positive. Furthermore, it is possible that some strains had a delayed lag time before
235 beginning replication and did not reach the threshold of detection before the end of the
236 protocol.

237

238 Several approaches to decreasing the number of uninterpretable results can be considered.
239 For the contaminated cultures, the amount of antimicrobial mixture (PANTA), which is added
240 to the control and PZA-containing tubes, could be increased to enhance suppression of
241 contaminants. To decrease growth failures, a lower dilution of the sputum sediment could be

242 evaluated as inoculum for the control, i.e., using 1/5 instead of 1/10 dilution. Since the
243 number of *M. tuberculosis* in the sputum sediment is lower than that in a positive MGIT
244 culture used for indirect testing, the proportion of organisms between the control and drug
245 tubes should still be appropriate with the 1/5 dilution.

246

247 The median times for each laboratory for obtaining PZA results from the time of specimen
248 collection ranged from 11 to 16 days for the direct, compared to 18 to 95 days for the indirect
249 (Table 1). In three laboratories where the direct PZA test was set up within 3 days of
250 specimen collection and results were often available before the end of the 21-day protocol the
251 turnaround time was 21 days for $\geq 96\%$ specimens (Table 2). The longer turnaround time in
252 Laboratory 5 was due to the lab being busy and prolonging the set up of the direct test. Longer
253 delays were observed for the indirect results when contaminated MGIT cultures had to be
254 decontaminated, re-cultured, and pure *M. tuberculosis* growth obtained before repeat DST.
255 Logistical problems, such as heavy workload volumes along with insufficient laboratory staff
256 and accessibility to biosafety cabinets also contributed to the delay in setting up indirect DSTs.
257 The time to obtain results after the test was set-up ranged from 10 to 16 days for direct, s,
258 compared to 7 to 8 days for indirect. A longer result time for direct is expected with the
259 inoculum density being lower, especially in the tests that do not reach the growth unit
260 threshold by the end of the 21-day protocol. In the INH/RIF direct MGIT study (15), similar
261 results were obtained: 8-14 days for direct and 6-10 days for indirect. However, in the
262 Siddiqi study the uninterpretable results were not included in the time to positive analysis
263 (final results). It is likely that uninterpretable results would have longer time to positive
264 results. Our direct test results times, with and without uninterpretable results, are
265 comparable to those reported for INH and RIF; suggesting that *M. tuberculosis* grows at the
266 same rate in MGIT PZA medium as in the MGIT SIRE medium used for INH and RIF testing.

267

268 Phenotypic methods remain the gold standard for DST in clinical trials, and past and current
269 trials depend on phenotypic testing of anti-TB drugs to ensure study participants are
270 susceptible to the drugs they are receiving. Having reliable susceptibility results for the study
271 drugs within the screening period, e.g., 2-3 days, would be a significant advance for clinical
272 trials. Currently the mechanism or molecular basis of drug resistance is not known for some
273 of the second-line drugs and new TB drugs like Bedaquiline, Sutezolid, Pretomanid [PA-824],
274 and Delamanid. Furthermore, not all gene targets associated with resistance are known (e.g.,
275 INH, fluoroquinolones, and injectables). So until current molecular tests are improved or new
276 ones developed, a rapid phenotypic method like the direct MGIT would be preferable to
277 indirect MGIT. Phenotypic methods may be replaced in the future with molecular tests;
278 however, until we know the relationship between the resistance mutations, minimum
279 inhibitory concentrations (MICs), and clinical outcomes there will be a need for phenotypic
280 testing to determine MICs. Rapid MICs determinations are possible with the direct MGIT
281 method (unpublished data).

282 Our study being conducted in the context of a clinical trial was limited by the variation in
283 number and timing of tests in the participating laboratories., However, our results show that
284 once reportable results are obtained, they are reliable and can be obtained in different
285 laboratories. Additional studies with PZA are needed to investigate whether the frequency of
286 uninterpretable results can be decreased by optimizing the method and gain more experience
287 with MDR-/XDR- (extensively drug resistant) TB sputum specimens. If future studies provide
288 reproducible and conclusive data, direct MGIT DST could be the new phenotypic DST standard
289 for clinical trials and clinical management, not only for PZA but also for the new drugs in
290 clinical development.

291 **Acknowledgments:**

292 We thank the Global Alliance for TB Drug Development for funding of the NC-002 study and
293 permission to use specimens collected during the study. The authors also thank all patients
294 for participating in the trial.

295

296 **Conflict of interests**

297 No conflict of interests has to be stated by any author.

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302 **References**

303

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369 Verification and Validation of Procedures in the Clinical Microbiology Laboratory. ASM Press,
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372

373 Table 1. Summary of direct and indirect PZA results

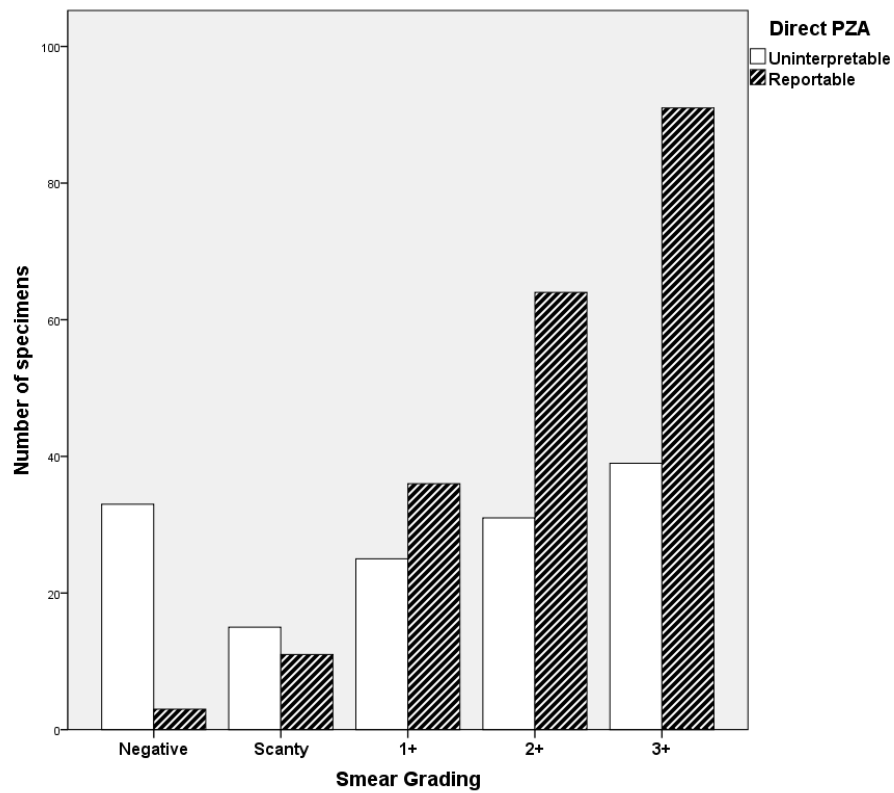
Findings	Laboratory 1 (validation study)	Laboratory 2	Laboratory 3	Laboratory 4	Laboratory 5	TOTAL (not including validation study)
No of patients	31	23	13	52	239	327
No of indirect PZA tests	31	23	13	37	140	207
No of direct PZA tests	31	47	13	51	287	398
Reportable direct PZA (% of directs done)	24/31 (77.4%)	16/47 (34%)	10/13 (76.9%)	30/51 (58.8%)	179/287 (62.4%)	235/398 (59.0%)
Uninterpretable direct PZA (% of directs done)	7/31 (22.6%)	31/47 (66%)	3/13 (23.1%)	21/51 (41.2%)	108/287 (37.6%)	163/398 (41.0%)
Causes of uninterpretable direct PZA (% of uninterpretable)						
X200 Error (growth failure)	6/7 (85.7%)	16/31 (51.6%)	2/3 (66.7%)	16/21 (76.2%)	76/108 (70.4%)	110/163 (67.5%)
Contamination	1/7 (14.3%)	15/31 (48.4%)	1/3 (33.3%)	5/21 (23.8%)	31/108 (28.7%)	52/163 (31.9%)
Instrument failure					1/108 (0.9%)	1/163 (0.6%)
DIRECT RESULTS						
Median Time: Collection date to Start PZA date in days (range)	N/A	0 (0-0)	1 (0-3)	0 (0-3)	2 (0-35)	

Findings	Laboratory 1 (validation study)	Laboratory 2	Laboratory 3	Laboratory 4	Laboratory 5	TOTAL (not including validation study)
Median Time: Start PZA date to PZA Result date in days for direct (range)	N/A	16 (3-29)	10 (7-21)	13 (5-25)	14 (1-25)	
Median Time: Collection date to PZA Result date in days (range)	N/A	16 (3-29)	11 (7-21)	13 (5-25)	16 (2-49)	
INDIRECT RESULTS						
Median Time: Collection date to Start PZA date in days (range)	N/A	88 (7-208)	29 (5-127)	48 (14-112)	11 (5-187)	
Median Time: Start PZA date to PZA Result date in days for indirect (range) ^b	N/A	7 (5-13)	7 (7-14)	8 (6-16)	7 (5-19)	
Median Time: Collection date to PZA Result date in days (range)	N/A	95 (14-213)	40 (12-141)	59 (21-126)	18 (11-195)	
No of pairs of direct/indirect (only interpretable results)	24	10	10	10	109	139
For these pairs, No of pairs in agreement	24 in agreement (17 S ^c , 7 R ^d) 0 not in agreement	10 in agreement (8 S, 2 R) 0 not in agreement	10 in agreement (10 S, 0 R) 0 not in agreement	9 agreement (9S, 0 R) 1 not in agreement (direct R indirect S)	105 in agreement (97 S, 8 R) 4 not in agreement (1 direct R indirect S) (3 direct S indirect R)	134 in agreement (124 S, 10 R) 5 not in agreement (2 direct R indirect S) (3 direct S indirect R)
% agreement	100%	100%	100%	90.0%	96.3%	96.4%

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375 ^a Proportion of reportable direct PZA that are either Smear Neg, Scanty, 1+ OR Smear 2+ 3+376 ^b The difference between PZA Start Date and PZA Result Date does not include the time initially required to obtain a positive culture377 ^c S = susceptible ^d R = resistant

378 Figure 1. Reportable and uninterpretable PZA direct results according to smear grading.
379 Grading scale was based on WHO guidelines: Negative (0 colonies/100 fields), Scanty (1-9
380 colonies/100 fields), 1+ (10-99/100 fields), 2+ (1 to 10 AFB/field), or 3+ (more than 10
381 AFB/field).



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386 Table 2. Number of direct PZA tests with results available (reportable or uninterpretable) 7,
387 14, 21 and 28 days after specimen collection.

Direct PZA tests				
	Days	# tests with results available	Cumulative	%
Laboratory 2	7	12	12/47	25.5
	14	11	23/47	48.9
	21	22	45/47	95.7
	28	1	46/47	97.9
Laboratory 3	7	2	2/13	15.4
	14	9	11/13	84.6
	21	2	13/13	100.0
	28	0	13/13	100.0
Laboratory 4	7	8	8/48	16.7
	14	20	28/48	58.3
	21	18	46/48	95.8
	28	2	48/48	100.0
Laboratory 5	7	7	7/269	2.6
	14	89	96/269	35.7
	21	100	196/269	72.9
	28	66	262/269	97.4

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