

1 **Geological 3D modelling for excavation activity in an underground** 2 **marble quarry in the Apuan Alps (Italy)**

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10 11 **Abstract**

12 The three-dimensional laser scanning technique has recently become common in diverse working
13 environments. Even in geology, where further development is needed, this technique is increasingly useful
14 in tackling various problems such as stability investigations or geological and geotechnical monitoring.
15 Three-dimensional laser scanning supplies detailed and complete geometrical information in short
16 working times, as a result of the acquisition of a large number of data-points that accurately model the
17 detected surfaces. Moreover, it is possible to combine these data with high quality photographic images so
18 as to provide important information for geological applications, as follows. A working approach, that
19 combines terrestrial laser scanning and traditional geological surveys, is presented. A three-dimensional
20 model, that includes information about the geological structure in an underground quarry in the Apuan
21 Alps, is realized. This procedure is adaptable to other geological contexts, and because of its operating
22 speed and accuracy it is invaluable for optimal excavation, in which a proper planning of quarrying
23 activity is vital for safety and commercial reasons.

24
25 Key words: Underground quarrying; Apuan Alps; Terrestrial Laser Scanning; NURBS; 3D Modelling.

26

27 1. Introduction

28 In the planning of quarrying activity it is important to have accurate knowledge of the geometry of the
29 study area, as well as its geological setting. As underground quarrying proceeds, it becomes harder to
30 determine the absolute and relative position of distinct tunnels. This can give rise to problems, including
31 from the security aspect. A combination of topographic, geological and engineering-geological
32 information facilitates excavation plans that take into account stability conditions, and permits accurate
33 prediction of the spatial location of marble varieties. In this context the Terrestrial Laser Scanning (TLS)
34 provides significant advantages. TLS can be used to obtain detailed and complete geometrical information
35 rapidly and accurately by generating clouds of points. Laser scanners with differing modality of data
36 acquisition ("time-of-flight" and phase-based measurement) provide different degrees of precision and
37 maximum measurable distances. In the present work a "time-of-flight" laser scanner was used. This
38 instrument emits laser impulses along precise directions, and when these impulses reach a surface they are
39 reflected back along their path (Petrie and Toth, 2008). The scanner analyzes the resulting information in
40 order to determine the distance (ρ) of the points by time-of-flight (Δt) analysis:

$$41 \rho = c\Delta t / 2$$

42 where c = speed of light.

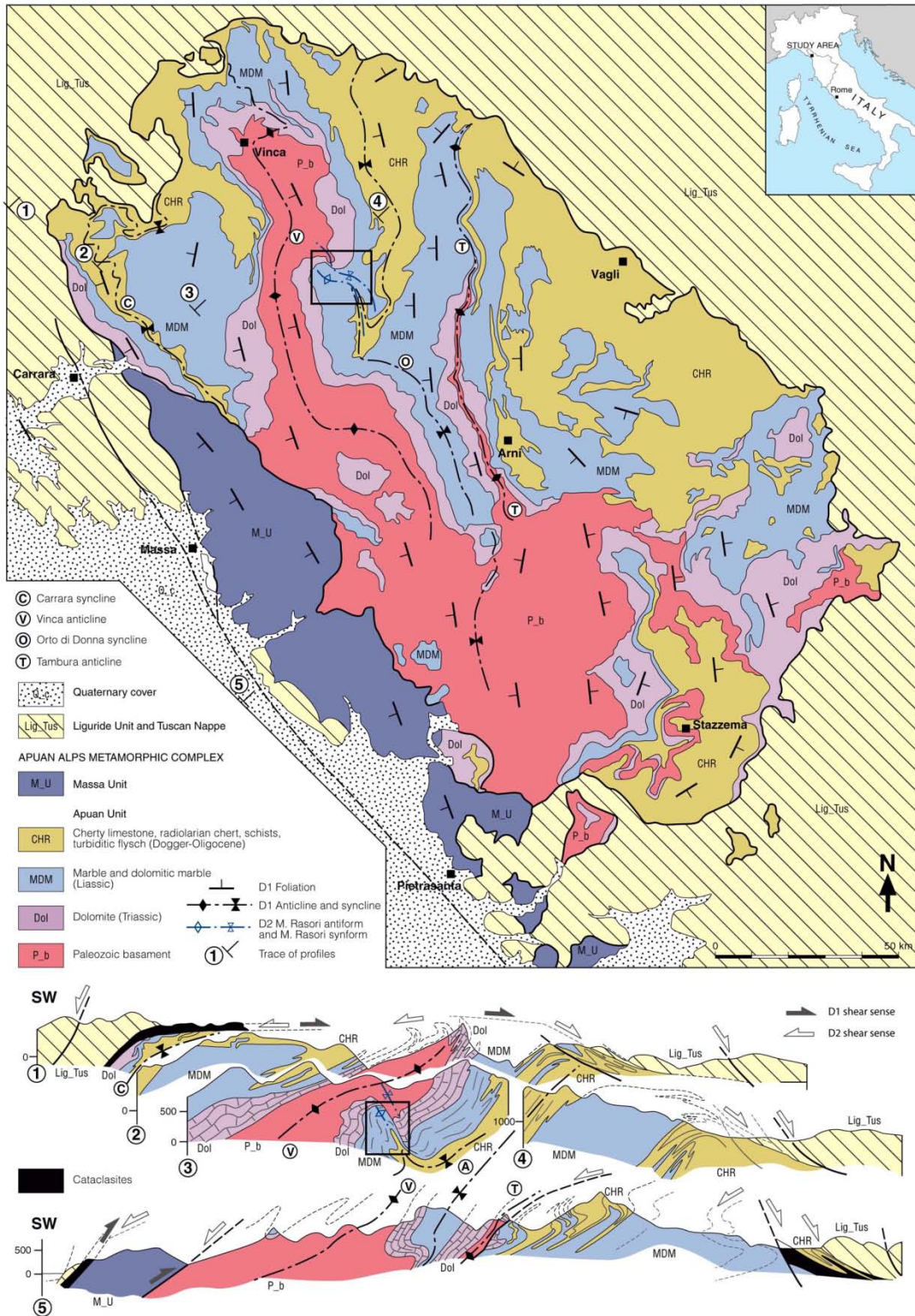
43 The position of each point is also determined in a spherical polar coordinate system by measurement of
44 the azimuth and zenith angles. In this way every point has specific coordinates in a local reference system.
45 At a later stage, by measuring optical targets positioned within the scanning area with a Total Station (TS)
46 and GPS receivers, it is possible to georeference the clouds of points in an absolute reference system.
47 Attributes of TLS such as reliability, accuracy, safety and rapidity for geological applications have been
48 repeatedly proven by several authors (Abellan et al. 2009; Armesto et al., 2009; Fekete et al. 2010; Lato et
49 al. 2009; Salvini et al. 2013; Sturzenegger and Stead, 2009). Furthermore, TLS is not dependent on the
50 lighting conditions and is therefore well suited to underground surveying. The rapidity of TLS does not

51 affect the quality of the data, that generally has sub-centimetric accuracy (Boehler et al. 2003; Lemy et al.
52 2006; Lichti and Licht, 2006; Mechelke et al. 2007; Voegtle et al. 2008) and, consequently, a detailed and
53 accurate topography of the excavation area can be generated. Another important aspect of TLS is that by
54 overlaying high quality photographic data on to the point cloud, it is possible to generate a complete 3D
55 view of the study area that is invaluable for geological interpretation and analysis. A detailed 3D view of
56 the quarry is useful for both geological and engineering-geological surveys and, at a later stage, for
57 validation of the newly produced geological maps and cross sections. This paper shows an example of
58 working approach that involves the use of TLS, specific software, and geological and engineering-
59 geological surveys in order to develop a three-dimensional model of an underground marble quarry. The
60 advantages of this approach are discussed together with the reasons for the choices adopted in realizing an
61 accurate, detailed and prompt solution.

62

63 2. Regional Geological Setting

64 The quarry under study, known as "Romana", is located in the Apuan Alps, in the Province of Massa-
65 Carrara (Italy). The Apuan Alps metamorphic complex, composed of two major units, the Massa unit and
66 the Apuan unit (Fig. 1), represents the largest tectonic window in the inner Northern Apennines where
67 deep levels of the belt are exposed (Carmignani and Kligfield, 1990; Elter, 1975; Molli, 2008).



68

69 Fig. 1 - Geological sketch map of the Apuan Alps. The location of the quarry is highlighted with a black rectangle

70 (modified after Conti et al., 2004)

71
72 The litho-stratigraphic sequence comprises a Paleozoic basement overlain unconformably by an Upper
73 Triassic-Oligocene meta-sedimentary sequence. The Mesozoic cover rocks consist of thin Triassic
74 continental to shallow water Verrucano-like deposits, followed by Upper Triassic-Liassic carbonate
75 platform meta-sediments that include dolomites (“Grezzoni”), dolomitic marbles and marbles (worldwide
76 known as “Carrara marbles”). These are overlain by Upper Liassic-Lower Cretaceous cherty meta-
77 limestone, cherts and calcschists, and by lower Cretaceous-Lower Oligocene sericitic phyllites and
78 calcschists, with marble interlayers, which are related to deep-water sedimentation during drowning of the
79 former carbonate platform. Oligocene sedimentation of turbiditic sandstones (“Pseudomacigno”)
80 completes the sedimentary history of the domain (Molli and Vaselli, 2006).

81 The regional tectonic setting of the Apuan Alps is the result of two main tectono-metamorphic events (D1
82 and D2 phases - Carmignani and Kligfield, 1990) which are regarded as recording progressive
83 deformation of the distal Adriatic continental margin during continental subduction and the syn- to post-
84 contractional exhumation (Molli and Meccheri, 2000; Molli et al., 2002; Molli and Meccheri, 2012). The
85 ductile compressional event D1 was due to the Tertiary continental collision between the Sardinia-Corsica
86 block and the Adria plate, and was followed by the D2 extensional event that led to a isostatic rebalance
87 (Carmignani and Kligfield, 1990). During the D1 event, stacking took place of the tectonics unit
88 belonging to the Tuscan and Ligurian domains, with development of a progressive deformation in two
89 stages (Molli and Meccheri, 2000), the main of these represented by greenschist foliation (Sp) which is
90 axial plane of isoclinal micro- to kilometric-scale folds.

91 This foliation, which characterizes most of the metamorphic rocks of the Apuan Alps, is associated with a
92 stretching lineation SW-NE trending, interpreted as the main transport direction of the inner Northern
93 Apennines (Carmignani et al., 1978; Molli, 2008). During the D2 event, the previously formed structures
94 were reworked and developed different generations of folds and locally high strain zones associated with
95 exhumation and vertical shearing (Molli, 2012). The result of this second deformative phase is a complex
96 mega-antiform with Apenninic trending axis (NW-SE) (Carmignani and Kligfield, 1990). This trend is

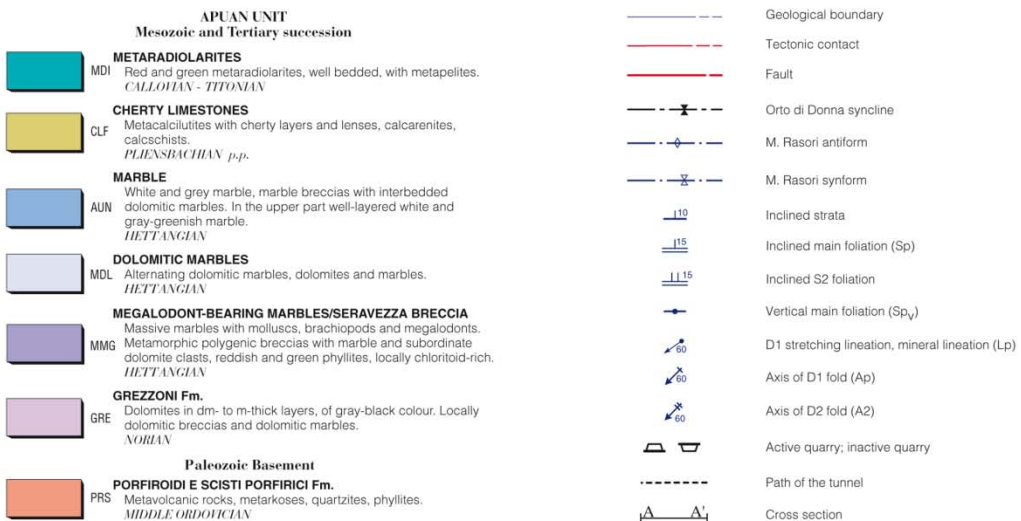
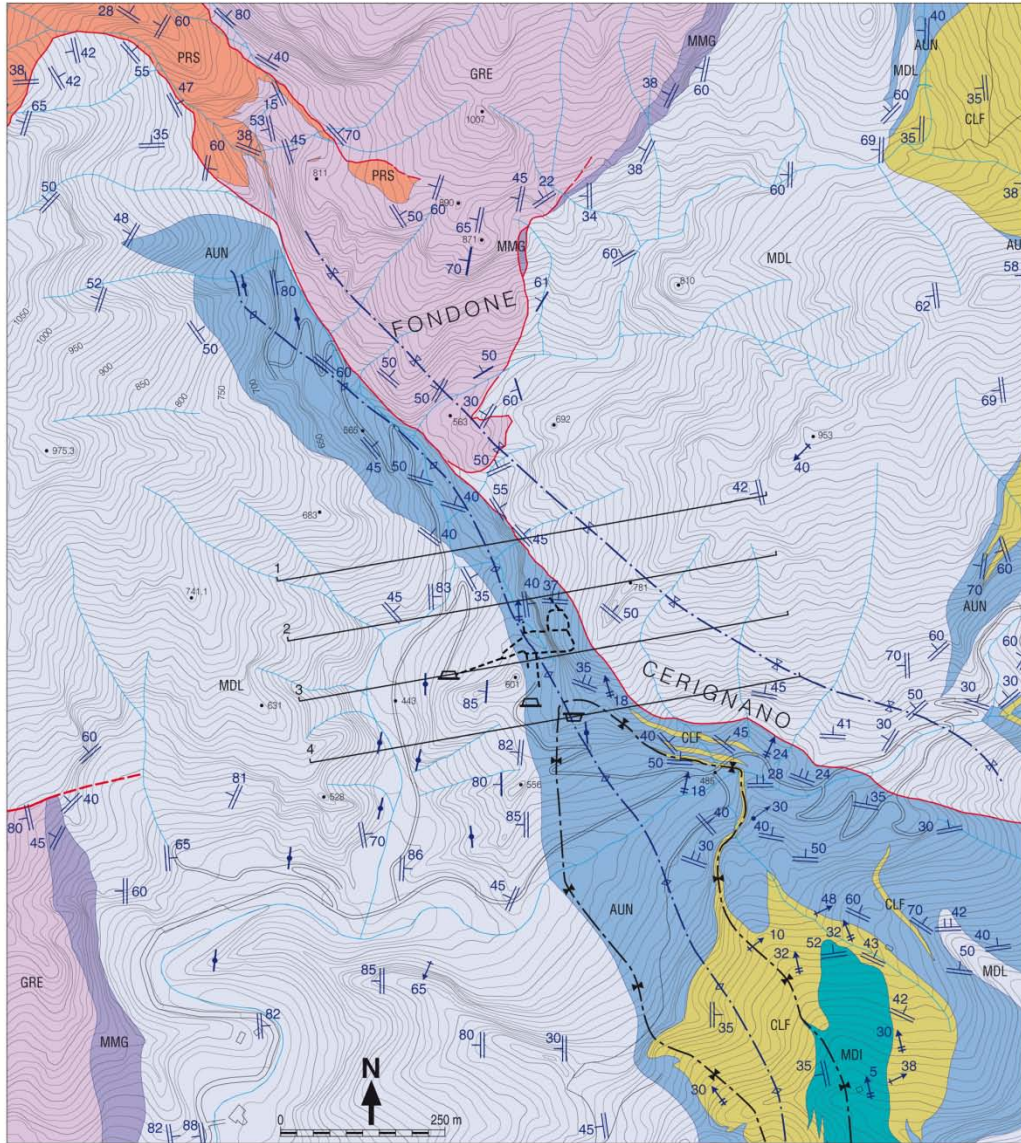
97 associated with non-cylindrical parasitic folds with sub-horizontal axial planar crenulations that involve
98 transportation to the east on the eastern and to the west on the western limbs of the antiform (Carmignani
99 & Kligfield, 1990; Carmignani et al., 1993a). Late stages of D2 are characterized by the development of
100 brittle structures (low-angle, high-angle faults and joint systems) associated with the final exhumation and
101 uplift of the metamorphic units in a frame of late to post-orogenic regional extension of the inner part of
102 the Northern Apennine (Molli et al., 2010; Ottria and Molli, 2000). According to Fellin et al. (2007), Molli
103 and Vaselli (2006), Molli et al. (2000, 2002) and references therein, the peak of metamorphism occurred
104 in the early Miocene (at approximately 27 Ma; Kligfield et al., 1986), during the early D1 phase, at
105 temperatures around 450-350 °C and pressure approximately 0.6 GPa. During the early stage of the D2
106 phase the metamorphism took place at a temperature above 250 °C. The structures associated with this last
107 phase were dated at between 11 and 8 Ma according to zircon fission-track ages (Fellin et al., 2007).

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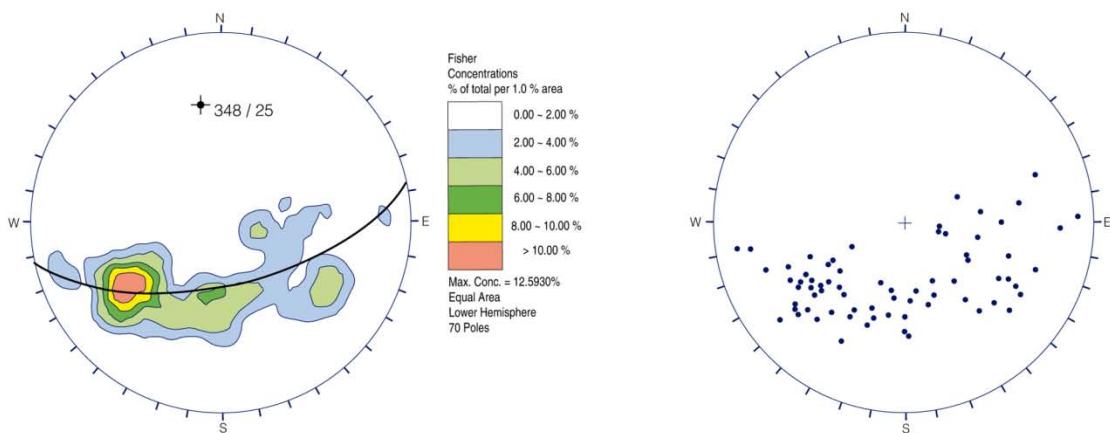
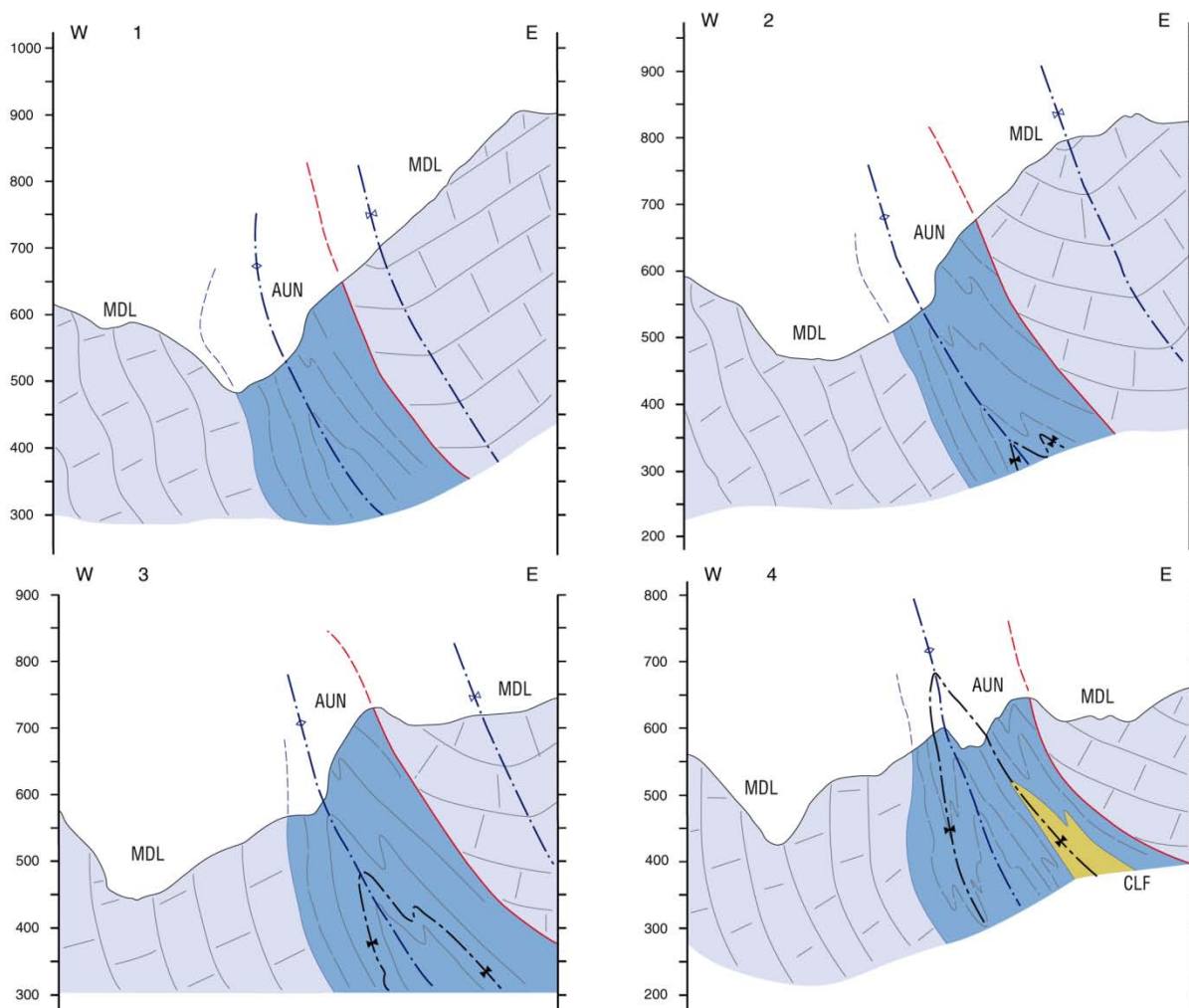
109 3 Operational Framework

110 3.1 Geological surveying

111 To study the geological setting of the area, a new geological survey was carried out inside the quarry and
112 surrounding area. All visible structural features, such as bedding, foliation, stretching lineations and fold
113 axis were measured. A new geological map (Fig. 2) and four geological cross sections (Fig. 3), with
114 structural elements projected along the medium D2 axis (348/25), were realized. This data has then been
115 combined with a detailed engineering-geological survey carried out at a large scale with the help of
116 geomatics as described later in the text.



118 Fig. 2 – Geological map of the Romana quarry and surrounding area



119
 120 Fig. 3 – Geological cross sections of the Romana quarry and surrounding area (top); stereographic projection of Sp
 121 foliation measurements (n=70) through the lower-hemisphere of the Schmidt equal-area method (bottom).

122
123 The excavation activity of the quarry has proceeded underground within a late geological structure (D2)
124 composed of Marble and Dolomitic Marble formations, known as the “Monte Rasori” antiform
125 (Carmignani et al., 1993b). It is a fold structure located on the inverted limb of the “Orto di Donna”
126 syncline belonging to the D1 phase. The syncline represents a first order E-NE vergent fold located on the
127 central area of the Apuan Alps, with an amplitude of about 8 Km and a general N-S strike. The core of the
128 structure is composed of Cherty Limestones and Metaradiolarites formations. The minor fold structures
129 related to the D1 phase are isoclinal, mainly non-cylindrical and frequently recognizable as sheath folds.
130 Their axial direction runs from NE to SW and is sub-parallel to the stretching lineation which, in the entire
131 Apuan complex, shows a N60-80 trend. In association with these folds there is a pervasive axial-plane
132 metamorphic foliation, which is high dipping and characterized by a dip direction toward E-NE.
133 In the study area the “Monte Rasori” antiform is associated with sub-vertical D1 foliations (Figg. 1, 2, and
134 3). Indeed, the “Orto di Donna” syncline in this area has been refolded leading to an unusual high angle
135 D2 marble structure interpreted by Carmignani et al. (1993b) as a fold developed in shear zones confined
136 by less competent rocks according to the model proposed by Rykkelid and Fossen (1992).
137 The marketable marble varieties extractable from the Romana quarry belong to the groups of *white marble*
138 and *veined marble* (Carmignani et al., 2007). *Veined marble* is a meta-limestone that is variable in colour
139 from pearl-white to very light gray, containing some often dense dark gray veins due to the presence of
140 pyrite. Within this variety, metric or multimetric bands of middle to fine grain size marbles can be found,
141 light gray coloured with dark gray to white veins. The most valuable variety from this quarry is known as
142 "*Bianco P*", located in a narrow level having maximum thickness of about 3 m within the *white marble*. It
143 is a white marble characterized by a middle/fine size grain (about 100 µm).

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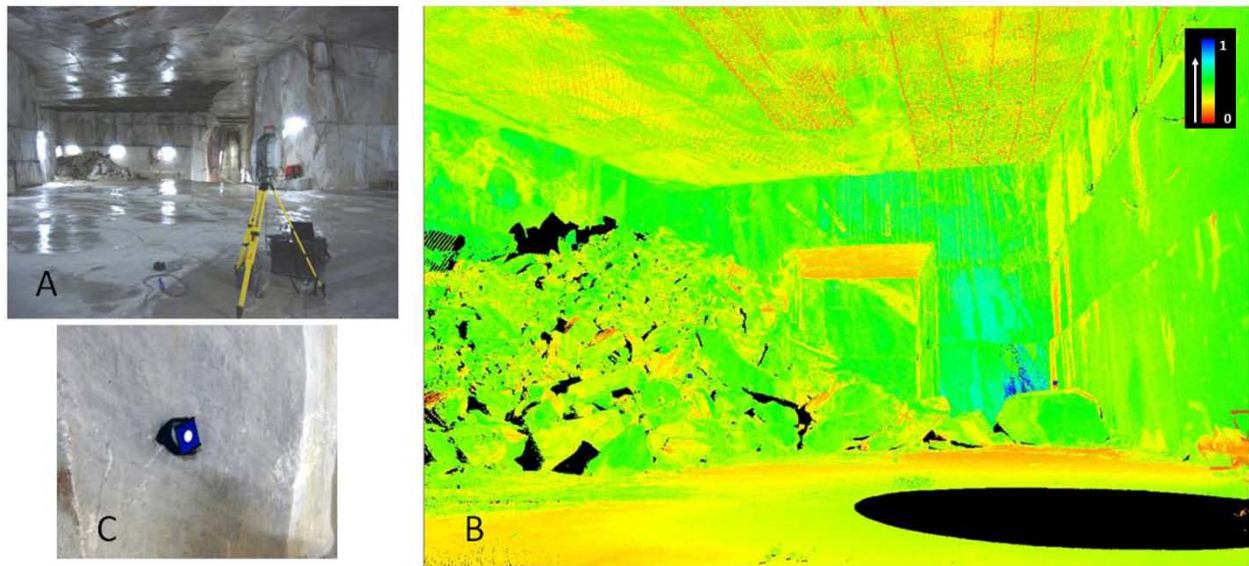
145 3.2 Terrestrial Laser Scanning

146 3.2.1 Point cloud acquisition

147 For TLS of the study area a *LeicaTM ScanStation2* was used (Fig. 4A). At 50 m from the origin, the
148 instrument has an accuracy of 4 mm in distance and 6 mm in position; the spot size is 4 mm and the
149 maximum scan density is less than 1 mm throughout the entire range. These specifications are suitable for
150 geological applications of the present kind. This spot size, as noted by Lichti and Jamtsho (2006), is
151 appropriate in geological environments where irregular surfaces frequently occur. The density chosen for
152 scanning the Romana quarry was 6 cm at a distance of 50 m. In an underground quarry, however, the
153 quarry walls are usually a few meters distant from the laser scanner origin, so that the density of points is
154 almost everywhere greater. Moreover, the quality of the data acquired is high due to the characteristics of
155 the material involved; as explained by Voegtle et al. (2008), the greatest accuracy and intensity of the
156 reflected impulses are observed for smooth and light surfaces, similar to those encountered in the present
157 study.

158 To survey the entire area, which is characterized by an elaborate network of tunnels having a total length
159 of about 600 m, a total of 13 scans was conducted (managed with *LeicaTM Cyclone 8.0* software). The
160 number of scans was chosen as a balance between minimizing possible occlusions and shadows in the
161 output and amount of data that have to be acquired and processed. The output of every scan was a point
162 cloud in which every point was defined by cartesian (x,y,z) coordinates relative to the scanner location
163 and orientation. Each point cloud derives from the record of the "time-of-flight" and the intensity of the
164 laser signal (Fig. 4B). To obtain a unique 3D model of the quarry a process of registration of all the point
165 clouds is needed. To this end, by means of a topographic survey, the cartesian coordinates in an absolute
166 reference system were acquired of some optical targets (Fig. 4C) located in particular positions within the
167 excavation area. Locations were chosen so as to have a minimum of 5 visible targets in each single scan
168 (to limit errors) and, if possible, few targets entering more than one scan. The advantage of this approach
169 is that there is no multiplication effect of the error from the initial scan; the accuracy of the georeferencing
170 process depends on topographic survey (Pejić, 2013). Moreover, the quarry has an irregular underground
171 development but the entry and exit galleries correspond, and this shape makes it possible to adjust the

172 error between the first and the last scans; the generation of a "closed polygonal outline" was used to
173 reduce the error.



174
175 Fig. 4 –Leica™ ScanStation2 during data acquisition (A); Point cloud represented by intensity values (B); detail of
176 an optical target (C).

177

178 3.2.2 Topographic surveying

179 The topographic survey was carried out using a *Leica™ TCRM 1205+R1000* Total Station (TS) and two
180 *Leica™ Viva* dual-frequency GPS receivers. The TS was used to measure the relative coordinates of
181 selected points while GPS was used to determine the absolute coordinates of the origin of the survey and
182 its reference direction (0-Azimuth direction).

183 TS instruments, which provide millimetric accuracy (Bertacchini et al., 2009; Beshr and Elnaga, 2011;
184 Hill and Sippel, 2002; Kirschner & Stempfhuber, 2008; Kontogianni et al., 2007), are used increasingly in
185 other fields of civil engineering, such as quarry surveying (Ganić et al., 2011; Lizzadro et al., 2007).

186 The two geodetic GPS receivers were set up on tripods and operated in static modality, receiving
187 continuous signals from the satellites for a minimum of 3 hours. In this way, the coordinates of the two
188 points were acquired both in the geographic (WGS84) and cartesian system (UTM-WGS84, Zone 32N).

189 The TS was then installed on the point chosen as the origin of the survey by replacing the GPS receiver;
190 this point, with relative coordinates (0,0,0), was named “Base”. By using the second point of absolute
191 coordinates as reference direction (0-Azimuth direction), the TS was initialized and targets visible on the
192 first scan were measured. The further targets necessary to register all of the scans were acquired via the
193 Intersection Method (IM) by moving the TS to different positions. With this method the new positions of
194 the TS were resolved using a minimum of three points of known coordinates (previously measured
195 targets). The IM error using this approach was always less than 5 mm. By using this technique more than
196 60 targets, with coordinates in the local reference system (origin at “Base”), were acquired. They were
197 subsequently used, after the GPS post-processing, for the point clouds registration process that
198 georeferenced the point clouds in an absolute reference system.

199

200 *3.2.3 Acquisition of high resolution photos*

201 After each scan, high resolution images were taken, replacing the TLS with a Nodal Ninja 3II series
202 panoramic tripod head equipped with a Digital Nikon™ D80 camera. To obtain images of the entire
203 surrounding area and generate a 360° panorama view, 42 pictures were needed. For three different vertical
204 angles (-12°, 25° and 62°), one picture was acquired every 25.7° on the horizontal plane. The camera was
205 set with a focal length of 18 mm, automatic focusing, and a diaphragm aperture of $f/8$, giving suitable
206 depth of field and sharp images. The lighting conditions were variable in the study area (underground
207 quarry with artificial lights), and the exposure time was determined case by case according to operator
208 expertise.

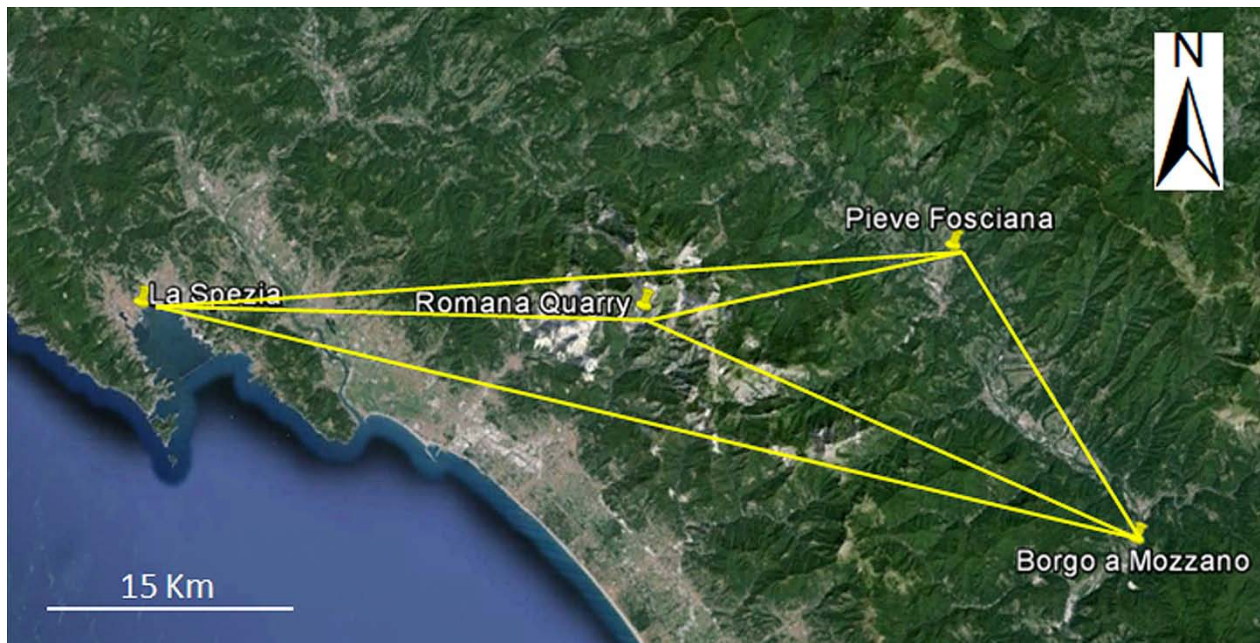
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210 4. TLS data processing

211 4.1 Processing of topographic data

212 GPS data were processed using *Leica™ Geo Office* software and differential methods by combining
213 simultaneous records from three permanent GPS stations (*Borgo a Mozzano, La Spezia* and *Pieve*

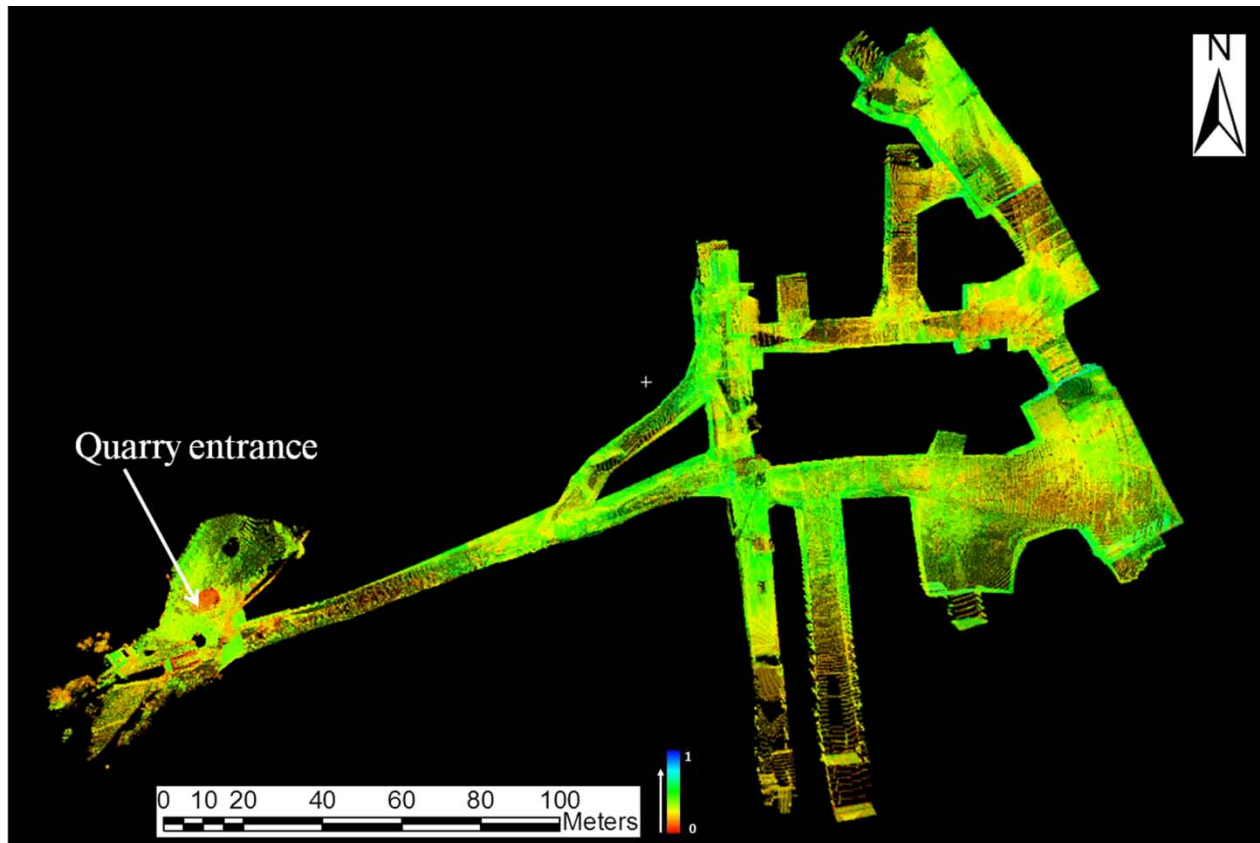
214 *Fosciana*) and measuring the relative baselines (Fig. 5); permanent stations data were available on *Leica*
215 *SmartNet ItalPos* official site (http://it.smartnet-eu.com/rinex-30-sec_568.htm). The orthometric height of
216 the measured GPS points was calculated in collaboration with the Italian Military Geographic Institute.
217 The processing facilitated adjustment of the measurements so as to achieve centimetric accuracy. By using
218 the absolute coordinates of the two GPS points (“Base” and the point used for setting the TS 0-Azimuth
219 direction), a 3D roto-translation of all the targets was performed. This made it possible to assign UTM-
220 WGS84 Zone 32N coordinates (with orthometric height) to all the targets.



221
222 Fig. 5 - Location of permanent GPS stations with calculated baselines (modified from Google Earth™ 2013).
223

224 4.2 Processing of point clouds

225 The processed topographic data for all the targets allowed for georeferencing the point clouds using
226 *Leica™ Cyclone 8.0* software. This processing, called *registration*, makes it possible to refer all the point
227 clouds to an unique reference system by applying a spatial transformation (3D roto-translation), using the
228 targets as system constraints (Fig. 6). The accuracy achieved for the plano-altimetric alignment was sub-
229 centimetric. Subsequently, with the aim of deleting all the irrelevant points acquired during the scans (e.g.
230 trellis, cables, machines, instruments) a cleaning-up of the point clouds was undertaken.



231

232 Fig. 6 – Union of all the point clouds after the registration process.

233

234 4.3 Processing of photographic data

235 Images were processed using *PTGui* (*New House Internet Services BV*[®]) and *Pano2QTVR Gui* (*Garden*
 236 *Gnome Software*[®]) which generated a 360° panorama view from every scan position (Fig. 7). The
 237 information was then mapped to the point clouds by colouring every individual point via a texturing
 238 process. Then, by using a free software routine called *LeicaTM TruView*, a panoramic high definition point
 239 cloud viewer was created. By this viewer it is possible to extract 3D coordinates and make measurement
 240 of distances. This tool proved very useful for geological and engineering-geological surveying, both in
 241 planning the fieldwork activities and in checking the results.



242

243 Fig. 7 - Example of a panorama view created for a single scan position.

244

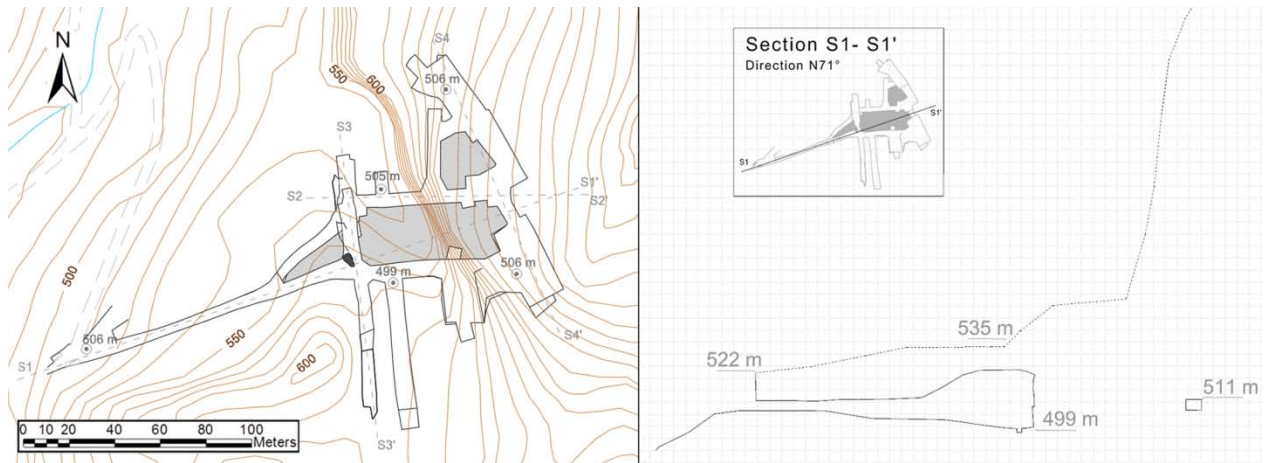
245 5 Output preparation and results

246 5.1 Topographic map creation

247 Starting from the final point cloud that emerges from the registration of all the scans, it was possible to
248 create a new topographic map of the area at a scale of 1:500. The huge number of points from TLS made
249 it possible to extract all the information sought with high accuracy and short working times. Using *LeicaTM*
250 *Cyclone 8.0* it was possible to create linear features representing rooms, pillars, quarry buildings, paths,
251 waste, and all other elements needed in a detailed topography of an underground quarry. Spot heights
252 were extracted from specific points chosen within the point cloud. Subsequently, all the data were edited
253 in a CAD environment and integrated with the regional technical map (*Cartografia Tecnica Regionale -*
254 *CTR*) at a scale of 1:2,000. This procedure was necessary to insert the contours of the external zones of
255 the quarry into the new topographic map (Fig.8). For better representation of the quarry, four cross
256 sections were produced; Fig. 8 shows an example of section S1-S1'.

257 Based on the accuracy of the georeferenced point cloud and the comprehensive nature of the information,
258 the resulting topographic map more than meets the intended tolerances. The updated topography, together
259 with point clouds and high resolution photographs, is an important aid in controlling quarrying activities,
260 guaranteeing safety in the working spaces, and producing additional deliverables. The realization and

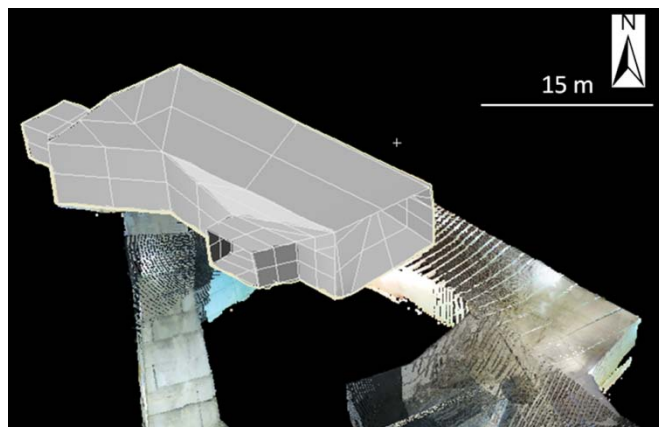
261 validation of the new topographic map was successfully used to create a new geological map and relative
262 cross sections, from which a three-dimensional geological model was produced.



263
264 Fig. 8 – Sketch of the new topographic map (left) and example of cross section S1-S1' (right).

265
266 **5.2 Underground quarry 3D modelling**

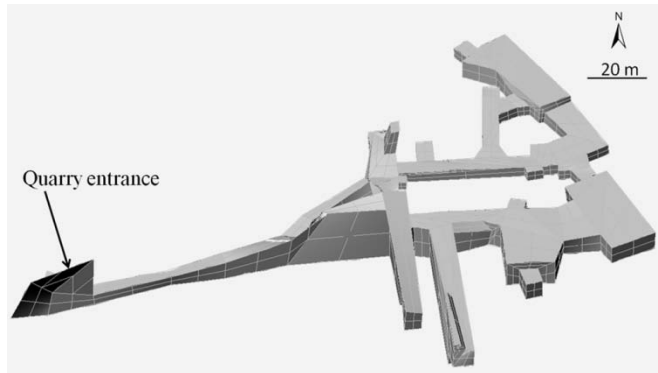
267 A procedure, similar to the one described for extracting the linear features from the point cloud in the
268 realization of the topographic map, was used to represent the 3D model of the quarry area. On the point
269 clouds, the edges of natural surfaces of morphological relevance, and the anthropogenic surfaces related to
270 the excavation activity, were demarcated. Lines were elaborated by means of *Rhinoceros*TM software in
271 order to obtain *Non Uniform Rational Basis-Spline* surfaces (NURBS) that correspond most accurately to
272 the geometry of the excavation area (Fig. 9). The result of this operation, shown in Fig. 10, is the
273 georeferenced three dimensional model of the entire quarry.



274
16

275 Fig. 9 – Perspective view of part of the underground quarry. NURBS surfaces are shown in grey (the scale bar is
276 indicative only).

277



278

279 Fig. 10 – Perspective view of the 3D model of the entire underground quarry (the scale bar is indicative only).

280

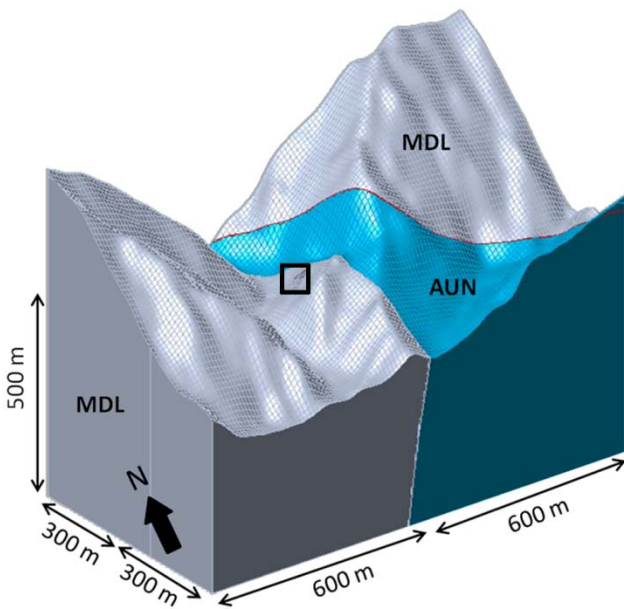
281 The choice of an approach that does not involve the creation of a complex MESH from the point cloud, in
282 favour of NURBS generation, was because of the huge quantity of data, which makes processing difficult
283 even for powerful computers because of the large requirement for memory and high-end video cards. For
284 this reason the point clouds, if processed by meshing, should undergo a significant reduction, which
285 involves an undesirable loss of information and accuracy. Even the manual drawing by NURBS involved
286 a loss of detail, but there were also important advantages such as a greater control, good celerity and easier
287 management of the operations. Furthermore the accuracy of the final 3D model was considered still
288 acceptable.

289 Moreover, these operations turned out to be much easier to manage using NURBS surfaces than MESH,
290 as will be afterwards explained. The model was realized without need to represent those elements useless
291 for the purpose of this work. The operator's expertise and skill were consequently needed when
292 representing to good accuracy and completeness the relation between the quarry shape and the geological
293 structure.

294

295 5.3 Geological 3D modelling

296 The 3D geological model was constructed based on the geological map and the related cross sections. The
297 volumes of distinct formations were arbitrarily delimited: the upper boundary was represented by the
298 Digital Terrain Model (DTM) originating from CTR, and the lower and the lateral ones by horizontal and
299 vertical planes. The base of the model was placed at 550 meters below the quarry entrance, and the lateral
300 boundaries at 600 and 300 meters from the same access (Fig. 11). Even the geological model was
301 elaborated by *RhinocerosTM* by means of NURBS surfaces interpolated between the arcs of the geological
302 map and cross sections that represent the above- and underground contacts. Wherever possible, only the
303 arcs representing certain portions of geological contacts (directly observed during the geological survey)
304 were used. The inferred contacts, which are uncertain because they are hidden or located in inaccessible
305 areas, were used in reconstructing the model surfaces only if necessary. Aiming of simplifying the 3D
306 model the Cherty Limestones Formation was not included. This is justified by the fact that in the area
307 underlying the quarry (geological cross section number 2 and 3 of Fig. 3) such formation is present at a
308 altitude lower than 300 m and does not interest in any way the exploitable area.



309
310 Fig. 11 – Perspective view of the 3D geological model (the quarry entrance is highlighted with a black rectangle);
311 AUN=Marble Formation, MDL=Dolomitic Marble Formation.

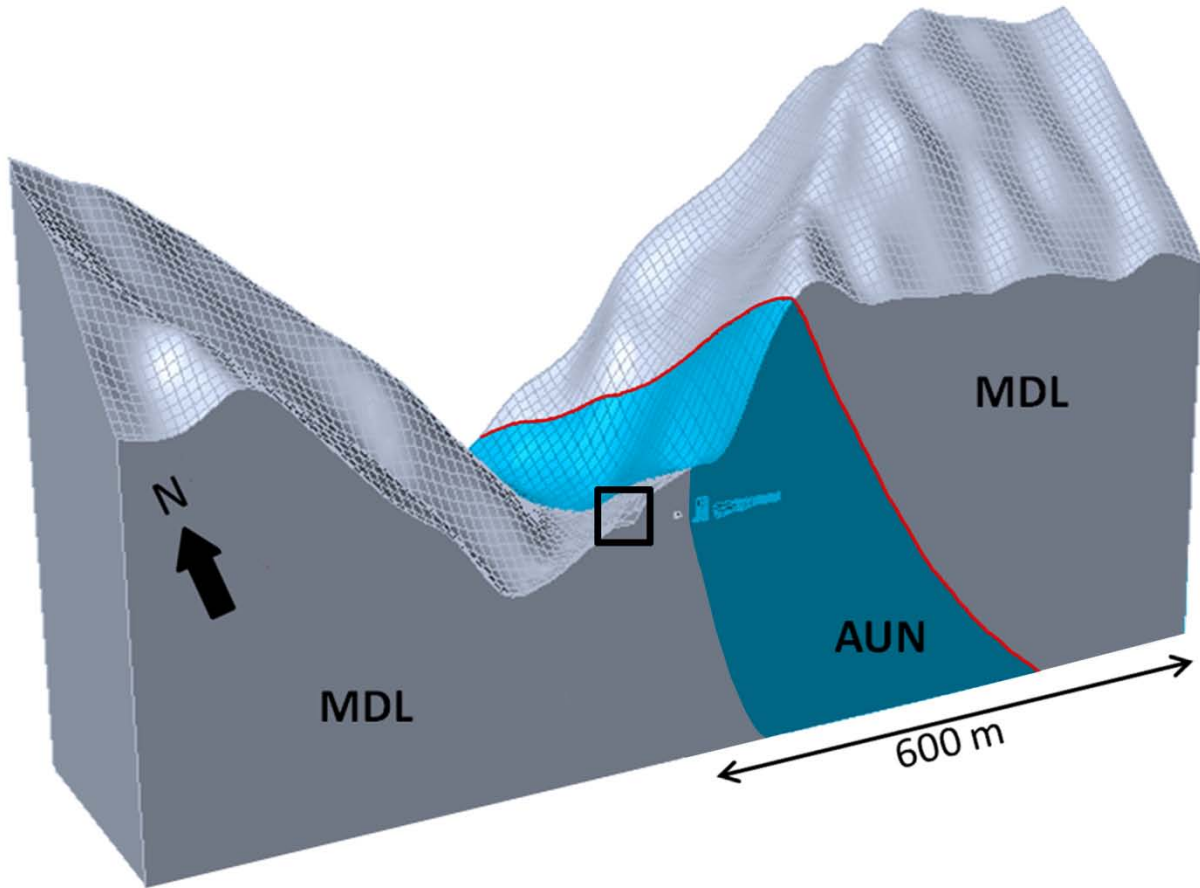
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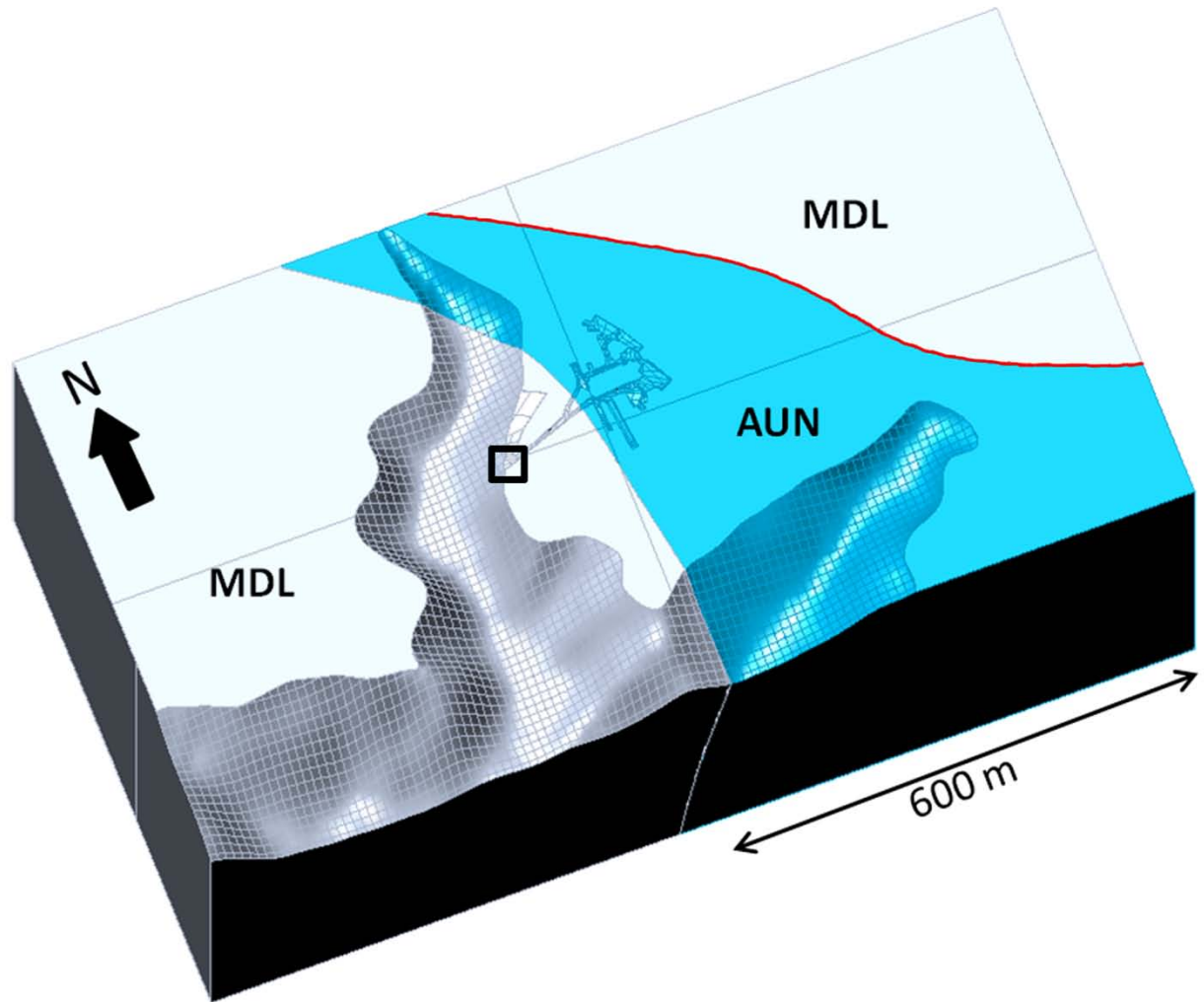
314 5.4 Integration of 3D models

315 To determine the location of exploitable rock from the present shape of the quarry and the marble
316 structure and outcrops, an integration of the two 3D models (underground quarry and geology) was
317 undertaken. This final 3D model was used to assess the accuracy of the geological map and the relative
318 cross sections: if the geological map and cross sections were correct, then the 3D quarry model should
319 intersect the geological model consistently with the field observations made during the underground
320 surveying. In this step it should be underlined the importance of the availability of detailed TLS data and
321 high resolution images that allowed for the examination of the quarry rapidly and directly in laboratory. In
322 addition, the georeferenced point cloud and the *LeicaTM TruView* viewer make it possible to draw lines in
323 correspondence with geological contacts, faults, and any other elements of interest. These lines can then
324 be imported into the final model. If any incongruities were detected (for example if a geological contact
325 did not cross the quarry in the exact position) then the geological sections were modified, and eventually
326 also the geological map.

327 After correction of the model, final vertical and horizontal sections were realized, facilitating analysis of
328 the actual shape of the quarry in relation to the marble structure and outcrops (Figs. 12 and 13).



329
 330 Fig. 12 – Perspective view of the 3D model corresponding to the geological cross section n°3 (the model shows the
 331 quarry entrance highlighted with a black rectangle); AUN=Marble Formation, MDL=Dolomitic Marble Formation;
 332 within the Marble Formation it is possible to note the quarry's layout in light blue colours.
 333



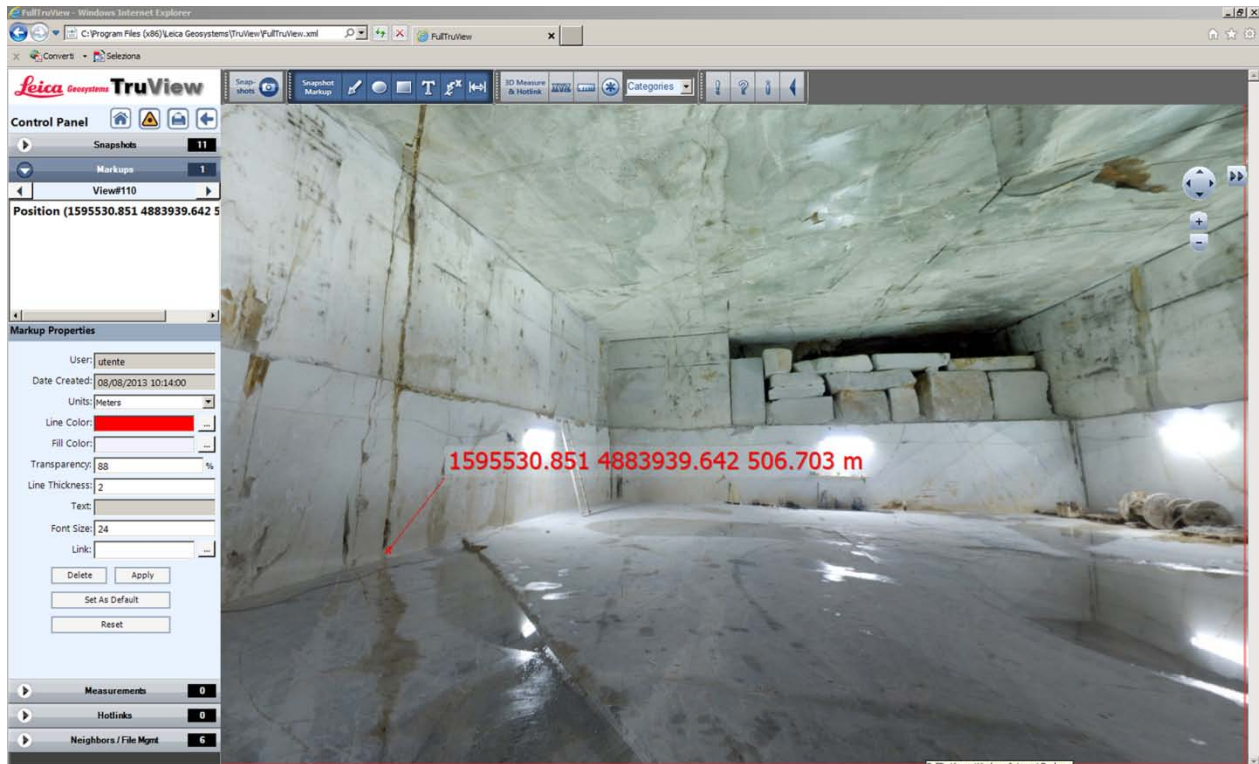
334
 335 Fig.13 – Perspective view of a 3D horizontal section positioned at 515 m a.s.l. (the model shows the quarry shape
 336 with the entrance highlighted with a black rectangle); AUN=Marble Formation, MDL=Dolomitic Marble Formation.
 337

338 Such a model will allow to make measurements of distance and volume, facilitating undoubtedly
 339 cultivation plans redaction. According to the final 3D model for example, further marble exploitation, as
 340 shown in Fig. 13, is possible by proceeding eastward about one hundred meters. Any updating of the TLS
 341 following further marble extraction will involve only short working times allowing multi-temporal
 342 comparisons.

343

344 5.5 Characterization of discontinuities

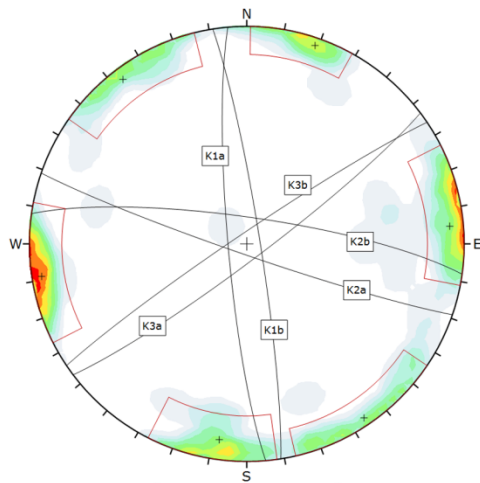
345 A structural and engineering-geological survey of the rock discontinuities was also carried out, with the
346 aim of exploiting the knowledge gained about the stability of the walls and the safety conditions of the
347 quarry. The *Leica™ TruView* viewer proved to be an important tool in this activity. Thanks to the high
348 resolution of the photographic images it was possible to recognize and collect 3D coordinates of points
349 corresponding to several discontinuities, faults and joints on screen (Fig. 14).



350
351 Fig. 14 - Example of Leica™ Truview viewer with 3D coordinates referred to the position of a single point
352 belonging to a normal fault.

353
354 This viewer was very helpful both in an earlier stage of the survey planning and during the survey itself,
355 because it overcame the problem of the unavailability of GPS signal underground. With this method it was
356 possible to measure the coordinates of three or more points coplanar with a discontinuity in different walls
357 of the quarry, and then compute the dip and the dip direction of the plane containing them. The attitudes of
358 various joints and faults were then determined in laboratory, and subsequently verified during the
359 engineering-geological survey. Moreover, *Leica™ TruView* permitted to export and import *.xml files that

360 were compiled by assigning IDs and attributes to the joints. Some of these attributes (dip and dip
 361 direction, spacing, persistence and length) were compiled in laboratory and verified during the
 362 engineering-geological survey; the rest of the structural and engineering-geological info were measured
 363 during fieldwork (aperture, weathering, filling, roughness, water condition, joint wall compressive
 364 strength - JCS - Deere and Miller, 1966 and joint roughness coefficient – JRC - Barton, 1973). No
 365 kinematic indicators were recognized inside the tunnels due to the unfavourable exposition of
 366 discontinuity outcrops and to the quarrying activity that gives a regular cubic shape to the walls.
 367 The availability of *LeicaTM TruView* files made it possible to use a notebook during fieldwork and to fill
 368 in the table of attributes related to the engineering-geological survey directly in a digital format.
 369 Three main sub-vertical systems of discontinuities were recognized, K1 (subdivided into K1a-K1b
 370 because of its variable westward or eastward dip), K2 (subdivided into K2a-K2b because of its variable
 371 south-westward or north-eastward dip), and K3 (subdivided into K3a-K3b because of its variable south-
 372 eastward or north-westward dip). Fig. 15 shows the contour plots of the identified joint systems and the
 373 modal values of the surveyed engineering-geological parameters.



System	Dip	Dip Direction
Mean Set Planes		
K1a	83	265
K1b	85	81
K2a	86	199
K2b	80	8
K3a	84	143
K3b	86	326

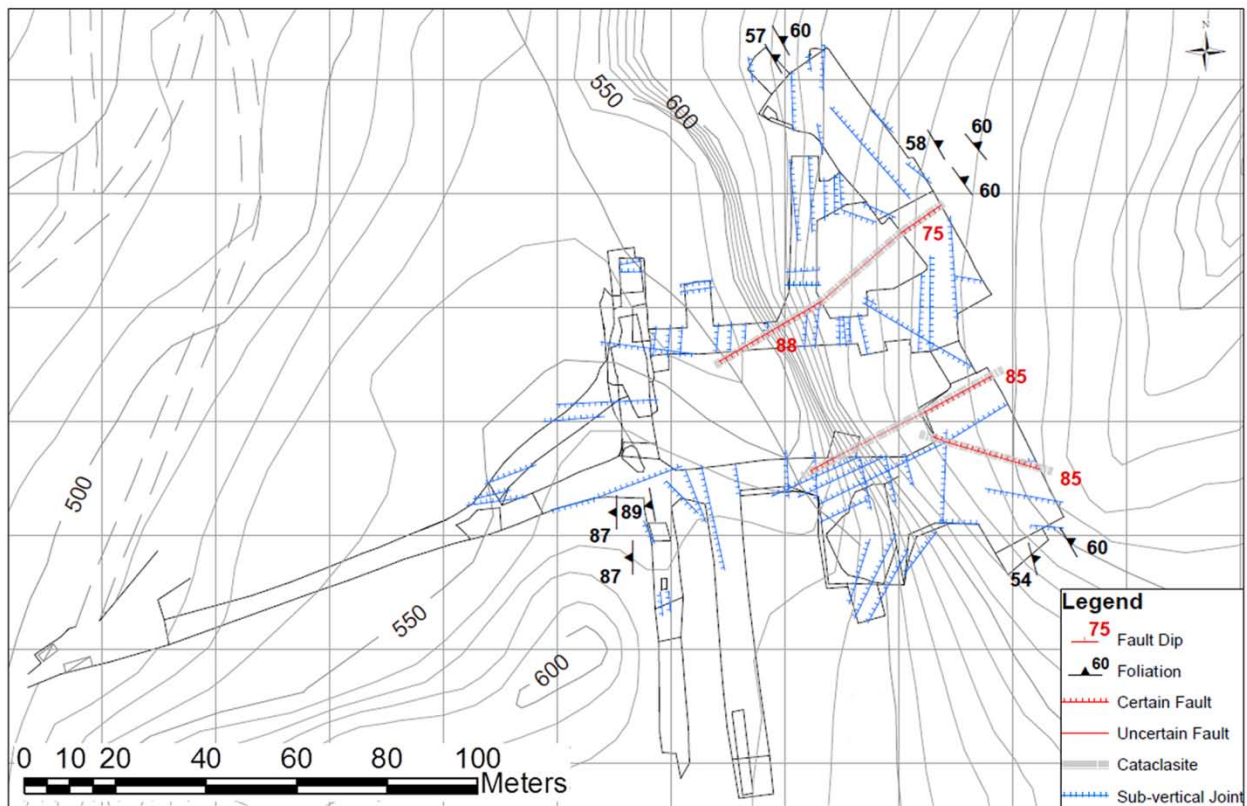
System	Parameters	Description
K1	Persistence	>20 m
	Spacing	1 m
	Aperture	1-5 mm
	Roughness	slightly rough
	Filling	soft <2 mm
	Weathering	Slight
	Water condition	Dripping
K2	Persistence	>20 m
	Spacing	0,2 m
	Aperture	>5 mm
	Roughness	slightly rough
	Filling	Soft >2 mm
	Weathering	Slight
K3	Water condition	Wet
	Persistence	>20 m
	Spacing	0,5 m
	Aperture	>5 mm
	Roughness	Smooth
	Filling	Soft >2 mm
	Weathering	Slight
Water condition	Wet	

374

375 Fig. 15 - Contour plots and characteristics of joint systems from engineering-geological survey. Data is presented
376 using stereographic projection through the Schmidt equal-area method.

377
378 As well as the three main identified systems, the main foliation (Sp), modified during the D2 phase, is
379 present in many walls of the quarry. Its attitude testifies the presence of the Monte Rasori antiform and
380 represents a plane of weakness that changes its dipping along the quarry, from sub-vertical to about 50°.

381 Fig. 16 shows the map of the main measured discontinuities and the foliation.

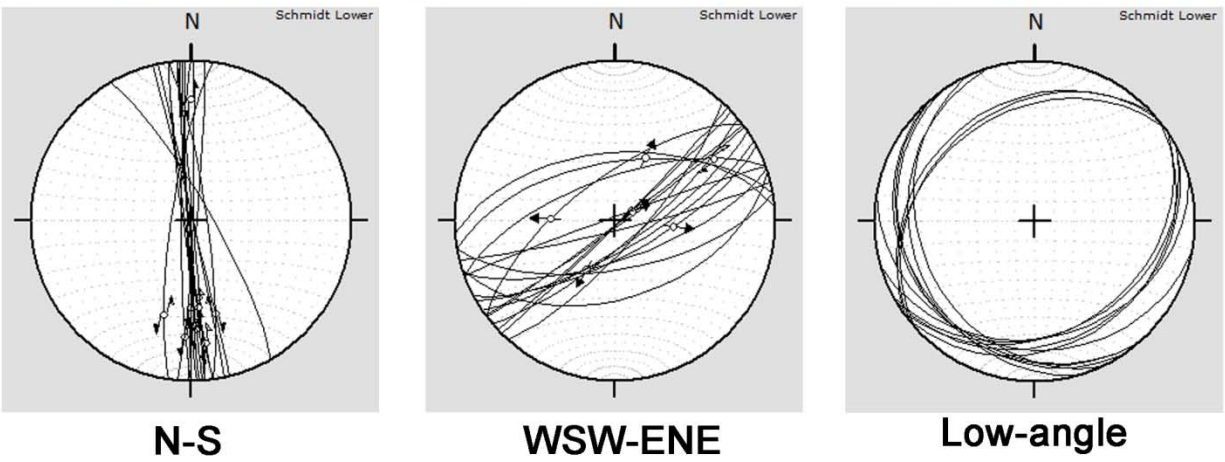
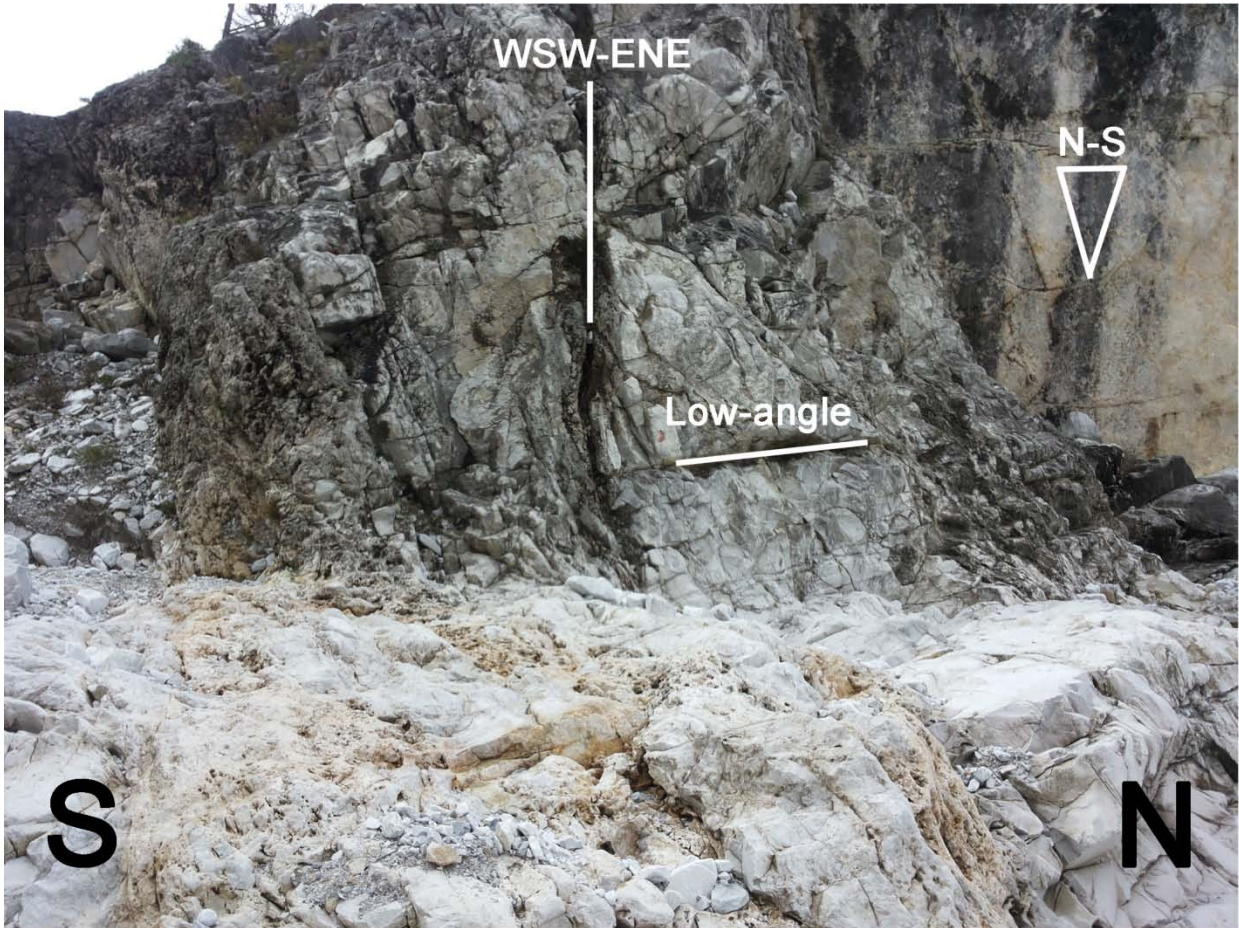


382
383 Fig. 16 - Map of the main measured discontinuities and foliation.

384
385 With the aim of contextualizing the brittle systems observed inside the underground quarry, a further
386 discontinuity survey was carried out in the surrounding area. Directly from outcrops analysis by using
387 conventional structural techniques and interpretation of aerial photographs and DTM from aerial LIDAR

388 (made available by Tuscany Region), three principal discontinuity systems were measured in the Marble
389 and Dolomitic Marble Formations.

390 The principal system is characterized by a general N-S orientation (Fig. 17), sub-vertical dip, hundreds of
391 meters persistence almost parallel to the bedding plane, metric spacing, and absent filling. On this system
392 two generations of kinematic indicators (slickensides type) with different dipping were identified: toward
393 North and South with a pitch ranging from 25° to 45° . The lack of evidences has precluded the direct
394 estimates of the sense of displacement and the formulation of any hypotheses about the chronological
395 order of the indicators.



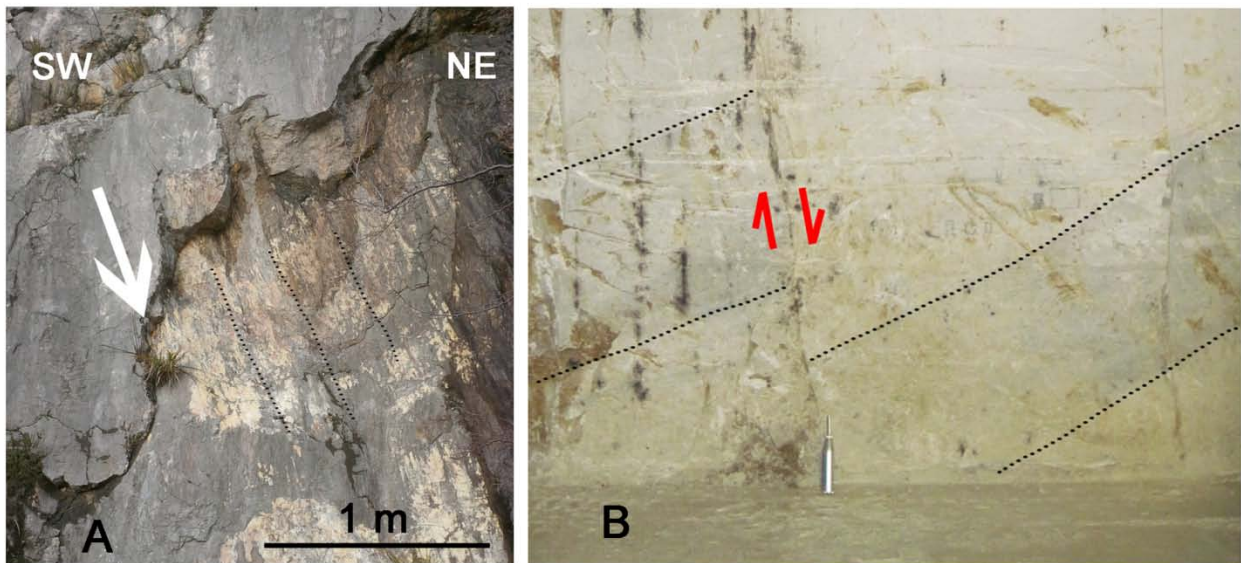
396

397 Fig. 17 – Outcrop of discontinuity systems measured out of the underground quarry (top); stereographic projections
 398 of discontinuities through the Schmidt equal-area method (bottom).

399

400 The second system is characterized by a general WSW-ENE orientation, sub-vertical dip, hundreds of
401 meters persistence, and metric spacing. Locally in these discontinuities the presence of cataclasite with
402 variable thickness ranging from centimetric to decimetric was identified. The cataclasite is composed by
403 fragments of marble or dolomitic marble with size variable from millimetric to centimetric, surrounded
404 by a finer grained matrix. The matrix is composed by calcite and sometimes it is enriched in oxides and
405 clay minerals. Kinematic indicators (mineral growth, ploughing and slickensides) were observed on these
406 surfaces. In this case, the indicators have allowed to clearly identify a sense of displacement typical of
407 direct faulting (Fig. 18A).

408 From the above descriptions, it is possible to associate the K2 and K3 sets measured in the underground
409 quarry to the N-S and WSW-ENE oriented systems. Moreover, in the two faults of the K3 system that are
410 shown in Fig. 16, an apparent motion of about 1 meter was observed (example in Fig. 18B); considering
411 also the kinematic indicators observed on similar discontinuities in the surrounding area of the quarry, it is
412 connectable to a direct fault activity.



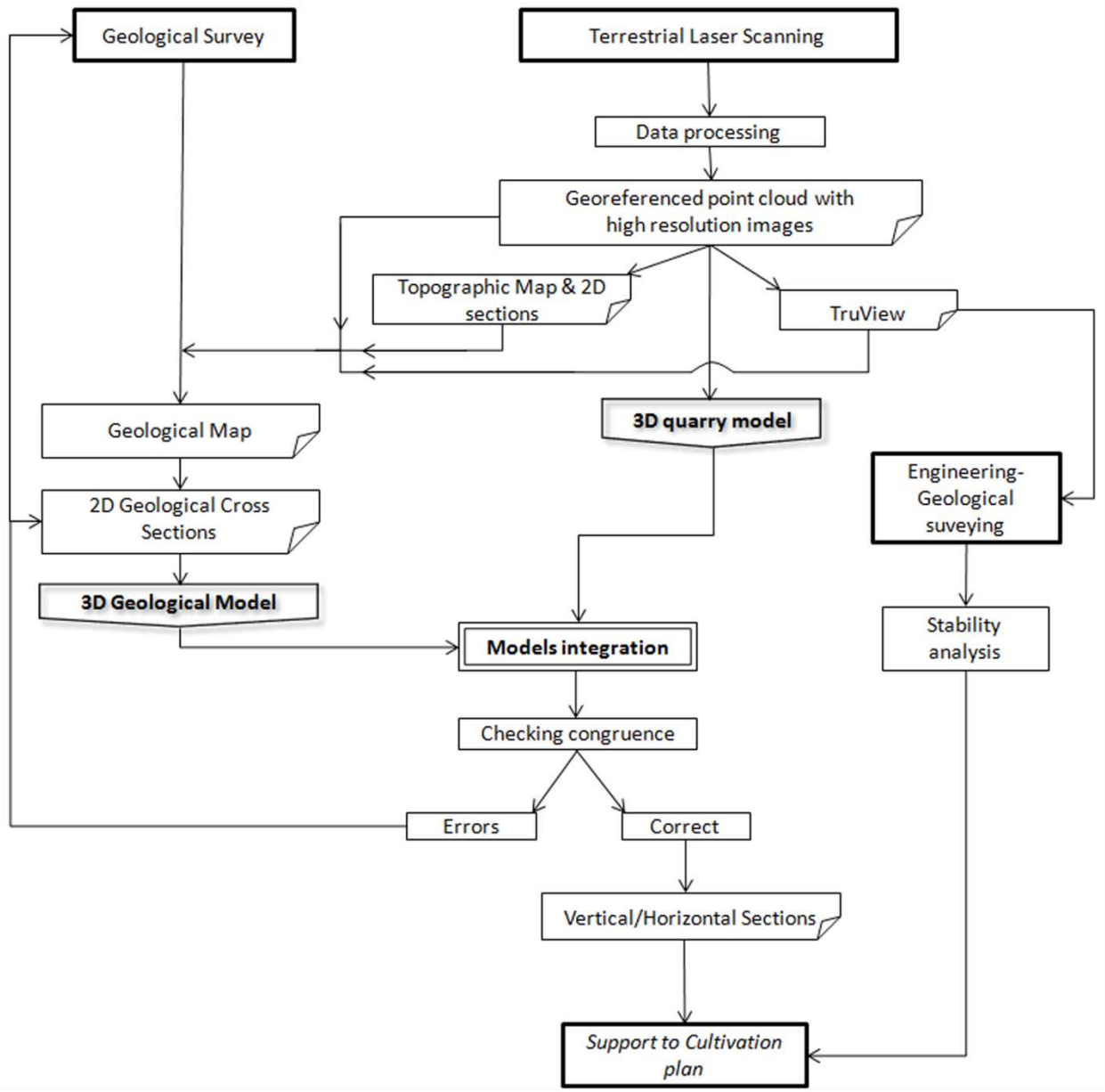
413
414 Fig. 18 – Examples of fault structures observed outside (A) and inside the quarry (B).

415
416 In addition to these systems, a third set of discontinuities, characterized by low-angle dip, metric spacing
417 and absent filling, was recognized (this system is not present inside the quarry).

418 The interpretation of aerial photographs and DTM permitted to highlight the importance of the described
419 joint systems since they influence the hydrographic pattern with an angular shape parallel to the
420 discontinuity orientation.

421 Studies on brittle systems were carried out in the Carrara area by Ottria and Molli (2000). They suggested
422 tentatively that the developed brittle structures, formed during a protracted history of deformation in
423 which an initially mutually interfering system of strike-slip and normal faulting was followed by the
424 development of normal faults (Molli et al., 2010), can be related to a late stage of the D2 phase and
425 bracketed between the late Pliocene and the middle Pleistocene. Despite systematic studies about brittle
426 structure development of the entire Apuan massif have still to be conducted, the evidences in the Romana
427 area allow to relate the observed joint sets to the same D2 phase.

428 Further developments of the present work will conduct toward the stability analysis of the quarry walls:
429 the detected orientation, persistence, and spacing of the discontinuity systems can isolate volumes of rock
430 that can lead to instability of the fronts, influencing the excavation activity. However that may be, the
431 authors want to underline that this paper does not detail the characterization of the rock mass or the
432 stability analysis, but has shown how geomatics can be used in several ways in tackling geological
433 problems in underground quarries. Fig. 19 sets out a flowchart that summarizes all the procedures
434 involved; it allows for a new geological interpretation if the final model is clearly inconsistent with the
435 geological map.



436

437 Fig. 19 - Workflow of the illustrated working approach.

438

439

440 **6 Conclusions**

441 This paper has presented a working approach for integrating modern techniques such as Terrestrial laser
442 scanning with traditional ground geological surveying, with the aim of realizing a three-dimensional
443 geological model of an underground marble quarry located in the Apuan Alps (Italy).

444 The rapidity, accuracy, and detail of data involved in this approach make it a powerful tool for three-
445 dimensional characterization of underground quarrying activities, for which classical surveying techniques
446 require longer working times and are less accurate.

447 The methodology was applied successfully and led to the realization of a complete three-dimensional
448 model of the study area, from which the relations between the quarry and marble geological structure were
449 made clear. This information, together with the engineering-geological data, is fundamental in the
450 preparation of suitable cultivation plans. The quantity and the quality of the data obtained facilitate
451 improvement of workplace security and allow reliable evaluation of productivity.

452 The advantages of this approach can be summarized as follows:

- 453 ▪ Capability of obtaining very large amounts of geometric data (millions of points) and high
454 resolution photographic images in short working times, due to the rapidity of TLS.
- 455 ▪ High quality of the measured data, with centimetric accuracy.
- 456 ▪ Simple management of the 3D topographic and geological models due to the use of NURBS
457 surfaces rather than MESH (powerful computers are not necessary).
- 458 ▪ High quality photographic data and 3D models that allow direct checking of correctness of the
459 geological map and cross sections.
- 460 ▪ Ready understanding of the relations between quarry activities and marble structure.
- 461 ▪ Accurate measurements of volumes and distances.
- 462 ▪ Support for engineering-geological surveys necessary for stability analysis of walls and slopes.

463

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468

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