



**Quadriceps wasting and physical inactivity in patients with COPD**

Journal:	<i>European Respiratory Journal</i>
Manuscript ID:	ERJ-01701-2011.R2
Manuscript Type:	Original Article
Date Submitted by the Author:	n/a
Complete List of Authors:	<p>Shrikrishna, Dinesh; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Patel, Mehul; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Tanner, Rebecca; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Seymour, John; Department of Asthma, Allergy and Respiratory Science, Division of Asthma, Allergy and Lung Biology, King's College London,                  Connolly, Bronwen; Guy's and St Thomas' NHS Foundation Trust and King's College London, NIHR Comprehensive Biomedical Research Centre, London,                  puthucheary, zudin; Guy's and St Thomas' NHS Foundation Trust and King's College London, NIHR Comprehensive Biomedical Research Centre, London,                  Walsh, Simon; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Bloch, Susannah; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Sidhu, Paul; Department of Radiology, King's College London,                  Hart, Nick; Guy's and St Thomas' NHS Foundation Trust and King's College London, NIHR Comprehensive Biomedical Research Centre, London,                  Kemp, Paul; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Moxham, John; Department of Asthma, Allergy &amp; Respiratory Science, Division of Asthma, Allergy and Lung Biology, King's College London,                  Polkey, Michael; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,                  Hopkinson, Nicholas; National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust &amp; Imperial College London,</p>

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Key Words:	skeletal muscle dysfunction, COPD muscle exercise oxidative stress inflammation

SCHOLARONE™  
Manuscripts

Quadriceps wasting and physical inactivity in COPD

## Quadriceps wasting and physical inactivity in patients with COPD

Dinesh Shrikrishna<sup>1</sup>, Mehul Patel<sup>1</sup>, Rebecca J Tanner<sup>1</sup>, John M Seymour<sup>3</sup>, Bronwen A Connolly<sup>2</sup>, Zudin A Puthuchery<sup>2</sup>, Simon LF Walsh<sup>1</sup>, Susannah A Bloch<sup>1</sup>, Paul S Sidhu<sup>4</sup>, Nicholas Hart<sup>2</sup>, Paul R Kemp<sup>1</sup>, John Moxham<sup>3</sup>, Michael I Polkey<sup>1\*</sup>, Nicholas S Hopkinson<sup>1\*</sup>.

<sup>1</sup>National Heart and Lung Institute, NIHR Respiratory Biomedical Research Unit, Royal Brompton and Harefield NHS Foundation Trust & Imperial College London, UK.

<sup>2</sup>Guy's and St Thomas' NHS Foundation Trust and King's College London, NIHR Comprehensive Biomedical Research Centre, London, UK

<sup>3</sup>Department of Asthma, Allergy & Respiratory Science, Division of Asthma, Allergy and Lung Biology, King's College London, UK.

<sup>4</sup>Department of Radiology, King's College Hospital, London, UK.

\*Joint senior authors

### Corresponding Author

Dinesh Shrikrishna

NIHR Respiratory Biomedical Research Unit of Royal Brompton and Harefield NHS Foundation Trust and Imperial College London, Fulham Road, UK, SW3 6NP.

Email: [dinesh.shrikrishna@nhs.net](mailto:dinesh.shrikrishna@nhs.net) Tel: 0044 2073518029 Fax: 0044 2073518939

**Keywords:** Mild Chronic Obstructive Pulmonary Disease, Skeletal Muscle, Ultrasound Rectus Femoris Cross-sectional Area

**Word count:** 3212

Quadriceps wasting and physical inactivity in COPD

## ABSTRACT

**Background:** Quadriceps weakness is an important complication of advanced COPD but few data exist concerning muscle bulk in early disease. We hypothesised that quadriceps bulk, measured by ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>), would be reduced in mild as well as advanced COPD compared to controls and would correlate with physical activity.

**Methods:** 161 patients with stable COPD and 40 healthy subjects had a measurement of USRF<sub>CSA</sub> and wore a multisensor armband to record physical activity.

**Results:** USRF<sub>CSA</sub> was reduced in GOLD stage I patients compared to healthy subjects ( $p=0.0002$ ). Stage II-IV patients had reduced USRF<sub>CSA</sub> ( $p<0.0001$ ) compared to controls but were not significantly different from stage I disease. Physical activity level was reduced in stage I ( $p=0.002$ ) and stage II-IV disease compared to controls. Using regression analysis, physical activity level was independently associated with USRF<sub>CSA</sub> in stage I ( $p=0.01$ ), but not stage II-IV disease where residual volume to total lung capacity (RV/TLC) ratio was the only independent predictor of physical activity level.

**Conclusions:** Quadriceps wasting exists in patients with mild, as well as advanced, COPD and is independently associated with physical inactivity in GOLD stage I disease. The identification of these patients may guide early lifestyle and therapeutic interventions.

Quadriceps wasting and physical inactivity in COPD

## INTRODUCTION

Skeletal muscle dysfunction is a well recognised extrapulmonary complication of chronic obstructive pulmonary disease (COPD) with loss of lean body mass identified as a key determinant of disability [1] and an independent predictor of mortality [2]. In particular, reduced quadriceps strength is associated with reduced exercise capacity [3], impaired quality of life [4], increased healthcare use [5] and mortality independent of airflow obstruction [6].

The mechanisms involved in the development of skeletal muscle weakness in COPD are likely to be multi-factorial with systemic factors, such as oxidative stress [7], thought to interact with the key local factor of muscle inactivity [8, 9] particularly in the lower limbs [10]. Objectively measured physical activity has been identified as a strong predictor of all-cause mortality in COPD [11], highlighting its importance in a 'downward disease spiral' where progressive dyspnoea leads to reduced exercise capacity with subsequent muscle deconditioning and further inactivity [12].

Quadriceps weakness has recently been observed in the absence of severe airflow obstruction in COPD [13], and in addition there is data to suggest a reduction in physical activity in GOLD stage I patients [14]. Despite the potential rationale for muscle wasting in mild disease, little data exists on reduced quadriceps bulk in this patient group. Mid-thigh cross-sectional area measured by computed tomography (CT) has been shown to predict mortality in moderate-severe COPD [15], however the ionising radiation exposure makes this method of imaging undesirable particularly in mild disease. Magnetic resonance imaging (MRI) has also been used as a thigh

Quadriceps wasting and physical inactivity in COPD

muscle imaging modality in COPD [16] but the accessibility and expense of this tool prohibit its adoption in the wider COPD population.

Ultrasound measurement of rectus femoris cross-sectional area (USRF<sub>CSA</sub>) is a radiation-free measure of muscle bulk that relates to quadriceps strength in COPD but is effort independent [17]. We hypothesised that quadriceps wasting, measured by USRF<sub>CSA</sub>, would be observed in mild as well as advanced COPD compared to healthy age-matched subjects and that this would correlate with daily physical activity levels.

## METHODS

### Patients and study design

This cross-sectional study was approved by the Joint University College London Committees on the Ethics of Human Research (Committee Alpha) and the Ethics Committee of the Royal Brompton and Harefield NHS Foundation Trust. All participants provided written informed consent. The COPD patients were recruited through outpatient clinics at the Royal Brompton Hospital, King's College and St Thomas' Hospitals as well as through public events conducted on World COPD and No-Smoking days. The period of recruitment was from August 2009 to August 2011. COPD patient diagnosis was based on NICE guidelines [18] with severity defined using GOLD stage classification. Subjects within one month of an exacerbation or with a significant co-morbidity including cardiac failure, neurological

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

disease or rheumatoid arthritis that limited muscle function or activity level were excluded. Healthy age-matched controls were recruited by advertisement in local newspapers.

### **Ultrasound Rectus Femoris Cross-sectional area**

Measurement of quadriceps rectus femoris cross-sectional area was made by ultrasound using a technique previously described by Seymour *et al* [17]. B-mode ultrasonography was used with an 8MHz 7cm linear array transducer (PLF 805 ST, Toshiba Medical Systems, Crawley, UK). Two trained operators (DS and MP) performed the ultrasound examinations. The patient was positioned supine with the rested leg supported in passive extension. An anatomical landmark was found at three-fifths distance along a line from the anterior superior iliac spine to the superior patella border. The transducer was positioned in the transverse plane and orientated so that the entire rectus femoris cross-sectional area could be visualised onscreen. This image was frozen (figure 1) and the echogenic line representing the fascia around the rectus femoris was outlined manually by the operator. Rectus femoris cross-sectional area was calculated using a planimetric technique (Nemio, Toshiba Medical Systems) and the average of three consecutive measurements was taken.

### **Quadriceps strength and fat-free mass measurements**

A volitional measurement of quadriceps maximum voluntary contraction (QMVC) was made using the technique described by Edwards *et al* 1977 [19]. Subjects sat on a modified chair with their knee fixed at 90° and performed at least 3 sustained maximal isometric quadriceps contractions. QMVC was taken as the highest tension sustained for 1 second.

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 Fat free mass index (FFMI) was determined by bioelectrical impedance analysis at  
6  
7 50kHz (BodyStat QuadScan 4000; BodyStat, Douglas, United Kingdom) and a  
8  
9 disease specific regression equation [20]. Measurements of the impedance at 5kHz  
10  
11 and 200kHz also allowed calculation of the bioelectrical impedance ratio ( $Z_{200}/Z_5$ ).  
12  
13 Health-related quality of life was determined using the St. George's Respiratory  
14  
15 Questionnaire (SGRQ) and breathlessness was recorded using the Medical  
16  
17 Research Council (MRC) dyspnoea score. A detailed description of techniques has  
18  
19 been included in the online supplement.  
20  
21  
22

### 23 **Physical Activity Monitoring**

24  
25  
26 Daily physical activity was recorded using a multisensor biaxial accelerometer  
27  
28 armband (SenseWear, BodyMedia; Pittsburgh, PA) as previously described by Watz  
29  
30 *et al* [9]. The armband incorporates physiological sensors that quantify galvanic skin  
31  
32 response, heat flux and skin temperature to estimate energy expenditure and has  
33  
34 been previously validated against indirect calorimetry in COPD patients [21, 22] and  
35  
36 against the doubly labelled water technique in healthy subjects [23]. The physical  
37  
38 activity level (PAL) was calculated using total energy expenditure (TEE) and sleep  
39  
40 energy expenditure as a surrogate for resting energy expenditure (REE)  
41  
42 (PAL=TEE/REE). Daily step count and PAL were measured over six consecutive  
43  
44 days incorporating one weekend and four weekdays. A valid physical activity  
45  
46 assessment was defined as  $\geq 21.5$  hours (90%) wearing time a day on at least 5  
47  
48 days. Data were downloaded and analysed using Sensewear professional software  
49  
50 version 6.1.  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

## **Pulmonary Function Testing**

Pulmonary function testing was undertaken by the Royal Brompton Hospital Lung Function Department. Spirometry, plethysmographic lung volumes, carbon monoxide diffusing capacity (TLco) (CompactLab system; Jaeger, Wurzburg, Germany) and arterial blood gases were determined in accordance with European Respiratory Society (ERS) /American Thoracic Society (ATS) recommendations [24-26].

## **Data and Statistical Analysis**

Data are presented as mean  $\pm$  SD, with accompanying p value, and analysis was performed using StatView 5.0 (Abacus concepts, Inc., Berkeley, CA, USA). Between group comparisons used analysis of variance (ANOVA), with post-hoc correction for more than 2-groups. Relationships between USRF<sub>CSA</sub>, quadriceps strength, FFMI, impedance ratio, daily physical activity and pulmonary function were analysed using univariate and multivariate linear regression models. Figure construction was performed with GraphPad Prism Version 5.0 (GraphPad Software, San Diego, California, USA).

## **RESULTS**

Two hundred and one subjects, comprising 161 stable COPD patients and 40 healthy age-matched volunteers, participated in the study. Baseline characteristics are shown in table 1. Of these, 154 subjects (123 COPD patients and 31 healthy participants) completed a valid physical activity assessment. Out of the remaining 47 subjects, 14 did not complete a valid period of assessment, 4 subjects declined to

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 participate in this part of the study and the remainder were not given an armband for  
6  
7 logistical reasons (e.g. armband availability and subject's distance from hospital). In  
8  
9 those subjects participating in activity monitoring, a valid period of assessment was  
10  
11 reached in 92% (154/168). Average wearing time per day was 98% and did not  
12  
13 significantly differ across groups (see table 2).  
14  
15

### 16 **USRF<sub>CSA</sub> and quadriceps strength in COPD (Stage I-IV) and healthy subjects**

17  
18  
19  
20 USRF<sub>CSA</sub> and quadriceps strength (QMVC) were reduced in all GOLD stages  
21  
22 compared to controls (table 2, figure 2 and figure 3). There were no significant  
23  
24 differences in USRF<sub>CSA</sub> or QMVC across GOLD stages, except between QMVC in  
25  
26 stage I and IV ( $p < 0.02$ ). In COPD patients, FEV<sub>1</sub>% predicted showed no association  
27  
28 with USRF<sub>CSA</sub> and a weak association with QMVC ( $r = 0.2$ ,  $p = 0.03$ ). USRF<sub>CSA</sub> had a  
29  
30 linear relationship with QMVC in COPD subjects ( $r = 0.6$ ,  $p < 0.0001$ ) (online fig 1).  
31  
32 QMVC was also significantly associated with FFMI ( $r = 0.54$ ,  $p < 0.0001$ ) and the  
33  
34 impedance ratio ( $Z_{200}/Z_5$ ) ( $r = -0.54$ ,  $p < 0.0001$ ) in COPD (online fig 2). A multiple  
35  
36 regression model was used to predict USRF<sub>CSA</sub> in all COPD subjects incorporating  
37  
38 the significant independent variables from the univariate analysis (online table 1).  
39  
40 Gender ( $r = 0.27$ ,  $p = 0.003$ ), QMVC ( $r = 0.24$ ,  $p = 0.01$ ), residual volume to total lung  
41  
42 capacity (RV/TLC) ratio ( $r = -0.28$ ,  $p = 0.01$ ), inspiratory capacity (IC) ( $r = 0.20$ ,  $p = 0.04$ )  
43  
44 and FFMI ( $r = 0.19$ ,  $p = 0.04$ ) were retained as independent predictors of USRF<sub>CSA</sub>  
45  
46 ( $r = 0.75$ ,  $p < 0.0001$ ). In a similar multiple regression model with QMVC as the  
47  
48 dependent variable, only USRF<sub>CSA</sub> ( $r = 0.24$ ,  $p = 0.02$ ) and FFMI ( $r = 0.25$ ,  $p = 0.01$ ) were  
49  
50 retained as independent predictors of quadriceps strength in COPD ( $r = 0.74$ ,  
51  
52  $p < 0.0001$ ). As gender was identified as an independent variable to predict USRF<sub>CSA</sub>,  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 the COPD and healthy subjects were separated into males and females (online fig 3).  
6  
7 In both genders, USRF<sub>CSA</sub> was reduced in all GOLD stages compared to controls and  
8  
9 there were no significant differences in USRF<sub>CSA</sub> across GOLD stages. Males  
10  
11 (n=108) had a significantly greater USRF<sub>CSA</sub>, compared to females (n=93), 597mm<sup>2</sup>  
12  
13 vs 470mm<sup>2</sup>, (p<0.0001).  
14  
15

### 16 17 **Relationship of daily physical activity with GOLD stage and USRF<sub>CSA</sub>**

18  
19  
20 Daily physical activity was significantly reduced in all GOLD stages compared to  
21  
22 healthy controls (figure 4 and figure 5). Mean group differences are shown in table 2.  
23

24 Daily physical activity showed a linear relationship with FEV<sub>1</sub>% predicted (steps,  
25  
26 r=0.6; PAL, r=0.4, p<0.0001) and USRF<sub>CSA</sub> (steps, r=0.3, p=0.002; PAL, r=0.2  
27  
28 p<0.05) in all COPD patients. In stage I disease, a multiple linear regression model to  
29  
30 predict USRF<sub>CSA</sub> was used incorporating the significant independent variables from  
31  
32 the univariate analysis (online table 2). Physical activity level was the only variable  
33  
34 retained as an independent predictor of USRF<sub>CSA</sub> in stage I disease (r=0.76, p=0.01).  
35  
36 In a similar regression analysis in stage II-IV disease, gender (r=0.29, p=0.01),  
37  
38 RV/TLC ratio (r=-0.28, p=0.01) and IC (r=0.29, p=0.02) but not physical activity, were  
39  
40 retained as independent predictors of USRF<sub>CSA</sub> (r=0.78, p<0.0001).  
41  
42

43  
44 In a separate multiple linear regression model to predict physical activity in stages II-  
45  
46 IV COPD, when incorporating the univariate correlates (online table 3), RV/TLC ratio  
47  
48 was retained over FEV<sub>1</sub>% predicted as the only independent variable associated with  
49  
50 physical activity level (r=-0.23, p=0.03). Using this model in stage I COPD, USRF<sub>CSA</sub>  
51  
52 but not QMVC was retained as the only independent correlate with physical activity  
53  
54 level (r=0.64, p=0.005).  
55  
56

Quadriceps wasting and physical inactivity in COPD

### Ultrasound validity and reproducibility

A subset of 80 COPD patients had an additional mid-thigh CT scan (detailed in online supplement) with ultrasound rectus femoris cross-sectional area correlating significantly with mid-thigh CT<sub>CSA</sub> ( $r=0.7$ ,  $p<0.0001$ ) and rectus femoris CT<sub>CSA</sub> ( $r=0.7$ ,  $p<0.0001$ ). Further data on inter-occasion and observer variability (online fig 4), ultrasound measurement of the pennation angle and use of different measurement points are described in the supplement (online table 4).

## DISCUSSION

Using USRF<sub>CSA</sub> we found quadriceps wasting in mild, as well as advanced COPD judged by GOLD stage. A 17% reduction in mean USRF<sub>CSA</sub> was observed in stage I patients compared to a healthy age matched group with a similar whole-body FFMI. The study also identified an independent association between physical activity level and USRF<sub>CSA</sub> in stage I disease, with this group significantly less active when compared to healthy subjects.

### Significance of the findings

A recent study incorporating a large UK and Dutch COPD cohort [13] identified a 28% prevalence of quadriceps weakness in Stage I patients and supports our contention that reduced quadriceps muscle bulk is present in early disease. The simple and effort independent nature of ultrasound makes it an attractive test for detecting patients who may benefit from early intervention and avoids the need for strength measurements using research based equipment or less reliable portable

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 handheld devices, both of which are inherently subject to volitional influence.  
6  
7 Interestingly, in our study, USRF<sub>CSA</sub> rather than quadriceps strength was  
8  
9 independently associated with physical activity in stage I COPD, implying that this  
10  
11 effort independent measure of quadriceps size may be a more sensitive parameter  
12  
13 for investigating the relationship between lower limb muscle dysfunction and physical  
14  
15 activity in patients with mild disease. This is particularly important as new COPD  
16  
17 phenotypes are established requiring evaluation and as therapeutic interventions  
18  
19 focus on physical activity promotion [27].  
20  
21  
22

23  
24 The finding of reduced daily physical activity in Stage I COPD compared to healthy  
25  
26 subjects is supported by previous data from Watz *et al* [14] showing a reduction in  
27  
28 activity in GOLD Stage I patients compared to a chronic bronchitis (formerly GOLD  
29  
30 stage 0) cohort. Although their observed reduction did not reach statistical  
31  
32 significance the comparison was not made with a healthy control group as in our  
33  
34 current study. There have been very few other studies investigating physical activity  
35  
36 in mild to moderate COPD patients. A multi-centre study recently found a reduction  
37  
38 in early disease from stage II COPD onwards compared to healthy controls, however  
39  
40 this study had a small number of patients (n=9) with GOLD stage I disease [28].  
41  
42 There is evidence to suggest that symptomatic GOLD stage I patients experience  
43  
44 dynamic hyperinflation associated with dyspnoea during exercise compared to  
45  
46 control subjects [29]. GOLD stage I patients in our study had a significantly higher  
47  
48 MRC dyspnoea score compared to healthy controls and this may therefore provide a  
49  
50 mechanism for the initial reduction in physical activity seen early in the disease  
51  
52 process.  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 The finding of reduced physical activity in stage I COPD and its association with  
6 USRF<sub>CSA</sub> allows discussion of a potential mechanism for reduced quadriceps bulk in  
7 mild disease. Stage II-IV patients also demonstrated a reduction in quadriceps bulk  
8 compared to control subjects but this was not significantly different from the stage I  
9 group suggesting that a threshold level of physical inactivity, reached early in the  
10 disease process, triggers the depletion in muscle bulk. There is evidence from the  
11 Copenhagen City Heart Study [30] and elsewhere [31] that physical inactivity may in  
12 fact precede the occurrence of airflow obstruction and that it is a significant  
13 aetiological factor for the development of COPD. In addition, recent data has  
14 highlighted physical activity to be a strong predictor of all-cause mortality in COPD  
15 [11] emphasising its importance in this patient group, although that study compared  
16 activity to measures of whole body FFM and BMI, rather than quadriceps muscle bulk  
17 or strength. In keeping with previous work [14, 32], we found that lung function is  
18 associated with the level of physical activity in COPD, with RV/TLC ratio rather than  
19 FEV<sub>1</sub>% predicted found to be an independent predictor of physical activity level in  
20 stage II-IV disease. USRF<sub>CSA</sub> was also independently associated with RV/TLC ratio  
21 and IC, but not FEV<sub>1</sub>% predicted, highlighting that although FEV<sub>1</sub> can be used for  
22 classifying the severity of airflow obstruction [33] it does not reflect the true severity  
23 of the disease. There is increasing evidence to support measures of gas trapping and  
24 thoracic distension as better indicators of disease severity than airflow obstruction in  
25 COPD [34, 35]. Our finding that USRF<sub>CSA</sub> has a stronger association with physical  
26 activity in the mild compared to more advanced group, suggests that these  
27 pulmonary factors are more limiting to activity in moderate-severe patients, compared  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 to those with mild COPD where the association between muscle wasting and  
6  
7 inactivity is more pronounced.  
8  
9

10  
11 Importantly, in our study whole-body measurement of FFMI was similar in controls  
12 and patients with mild disease, although USRF<sub>CSA</sub> was reduced, supporting local  
13 disuse as a key factor. Disuse may also increase susceptibility to systemic factors,  
14 particularly the effects of smoking which is in itself known to be associated with  
15 skeletal muscle oxidative stress [36] and quadriceps weakness [37]. It should be  
16 noted however that inactivity may act as a significant confounder when observing  
17 quadriceps dysfunction as an effect of smoking. Further studies are needed to  
18 explore whether the fibre type switch from oxidative type I fibres to anaerobic type II  
19 fibres reported in advanced COPD [38], occurs earlier in the disease process as a  
20 consequence of physical inactivity interacting with systemic effects.  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32

33 Of additional note from this study, the bioelectrical impedance ratio ( $Z_{200}/Z_5$ ) has  
34 been found to show strong associations with USRF<sub>CSA</sub> and quadriceps strength in  
35 COPD patients; univariate correlates are shown in the supplement (online table 5). At  
36 the low (5 kHz) frequency, current does not penetrate cell membranes however at  
37 the high (200 kHz) frequency, both intracellular and extracellular spaces are  
38 penetrated. Therefore, the ratio of the bioelectrical impedance at these frequencies  
39 ( $Z_{200}/Z_5$ ) is thought to give an index of separation of the two compartments;  
40 extracellular and total body water. In contrast to the use of bioelectrical impedance  
41 analysis to calculate fat free mass using regression equations which may include  
42 height, weight and gender, the impedance ratio is based on direct measurements.  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

shown to be associated with greater disease severity in patients with heart failure [39]. The impedance ratio therefore warrants further investigation as a non-invasive biomarker in COPD.

### Critique of the method

Although this study cannot establish causation, the association between physical inactivity and depletion in muscle bulk in mild disease is strongly suggestive of a mechanistic link. The potential role of USRF<sub>CSA</sub> in detecting longitudinal change and response to intervention in COPD remains to be investigated.

The patients recruited in this cross-sectional study are a combination of those seen in hospital outpatients as well as those from the community setting who are not seen in secondary care (table 1). Importantly, the patients with mild disease in this study had very similar physical activity levels to the GOLD stage I cohort studied by Watz *et al* [14], suggesting that our group is representative of the general COPD population.

The strong correlation of ultrasound RF<sub>CSA</sub> with both mid-thigh and rectus femoris CT measurements supports the use of rectus femoris as a representation of quadriceps bulk and confirms our initial findings in a small cohort of COPD patients [17]. Furthermore, inter-observer and inter-occasion agreement for USRF<sub>CSA</sub> measurement in this study were similar to that for other muscle ultrasound imaging [40]. The key areas for measurement error in our experience result from operator accuracy of probe position in relation to surface anatomy and inaccurate cursor outline of the acquired rectus femoris image, both of which may be related to



Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 operator training and experience. CT and MR imaging modalities have been shown  
6  
7 to have an advantage over ultrasound in serial measurements which is likely to relate  
8  
9 to use of bony landmarks for measurement position in comparison to the use of  
10  
11 surface anatomy. However, a randomised controlled trial using electrical muscle  
12  
13 stimulation to reduce muscle wasting in the intensive care unit (ICU) setting has  
14  
15 shown that ultrasound measurement of the quadriceps has strong potential as a  
16  
17 bedside imaging modality for identifying serial changes in muscle bulk following  
18  
19 intervention [41].  
20  
21  
22

23  
24 In relation to the objective measurements of activity in this study, both daily step  
25  
26 count and PAL were used as measures of daily physical activity, although the  
27  
28 Sensewear armband monitor has been shown to underestimate step count at slow  
29  
30 walking speeds [22]. This may account for differences in the statistical strength of  
31  
32 these activity variables when incorporated into the regression analyses. Importantly,  
33  
34 the study participants showed good compliance with the Sensewear armband in  
35  
36 keeping with recent data on the wearing time of this device in COPD and healthy  
37  
38 subjects [42].  
39  
40  
41

## 42 **Conclusion**

43  
44  
45 In summary, this study has shown that quadriceps wasting identified by USRF<sub>CSA</sub>  
46  
47 exists in patients with mild, as well as advanced, COPD. Quadriceps bulk was  
48  
49 associated with daily physical activity, independent of airflow limitation, in GOLD  
50  
51 stage I disease. Our data suggest that, rather than being an end-stage phenomenon,  
52  
53 quadriceps wasting occurs in a substantial minority of COPD patients including those  
54  
55 with early disease. Ultrasound measurement of rectus femoris cross-sectional area  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

has potential as a physiological biomarker in COPD and the identification of these patients may guide early lifestyle and therapeutic interventions.

### **ACKNOWLEDGEMENTS**

The study was funded by the UK Medical Research Council (G0701628). NSH is a Higher Education Funding Council for England (HEFCE) Clinical Senior Lecturer. MIP is part funded by the NIHR Respiratory Biomedical Research Unit of the Royal Brompton Hospital and Imperial College, London. JMS was funded by the BLF (PO4/8). SAB is a Medical Research Council Clinical Fellow (G0901955).

The authors wish to thank Dr Afroditi Boutou for her statistical input in the data analysis and are grateful to the members of the Lung Function Department at the Royal Brompton Hospital for their testing of study participants. In particular the authors wish to thank all the patients and healthy volunteers who participated in this study.

### **COMPETING INTERESTS**

The authors have no conflicts of interest to declare.

Quadriceps wasting and physical inactivity in COPD

## REFERENCES

1. Skeletal muscle dysfunction in chronic obstructive pulmonary disease. A statement of the American Thoracic Society and European Respiratory Society. *Am J Respir Crit Care Med* 1999; 159(4 Pt 2): S1-40.
2. Schols AM, Broekhuizen R, Weling-Scheepers CA, Wouters EF. Body composition and mortality in chronic obstructive pulmonary disease. *Am J Clin Nutr* 2005; 82(1): 53-59.
3. Gosselink R, Troosters T, Decramer M. Peripheral muscle weakness contributes to exercise limitation in COPD. *Am J Respir Crit Care Med* 1996; 153(3): 976-980.
4. Simpson K, Killian K, McCartney N, Stubbing DG, Jones NL. Randomised controlled trial of weightlifting exercise in patients with chronic airflow limitation. *Thorax* 1992; 47(2): 70-75.
5. Decramer M, Gosselink R, Troosters T, Verschueren M, Evers G. Muscle weakness is related to utilization of health care resources in COPD patients. *Eur Respir J* 1997; 10(2): 417-423.
6. Swallow EB, Reyes D, Hopkinson NS, Man WD, Porcher R, Cetti EJ, Moore AJ, Moxham J, Polkey MI. Quadriceps strength predicts mortality in patients with moderate to severe chronic obstructive pulmonary disease. *Thorax* 2007; 62(2): 115-120.
7. Barreiro E, Peinado VI, Galdiz JB, Ferrer E, Marin-Corral J, Sanchez F, Gea J, Barbera JA. Cigarette smoke-induced oxidative stress: A role in chronic obstructive pulmonary disease skeletal muscle dysfunction. *Am J Respir Crit Care Med* 2010; 182(4): 477-488.

## Quadriceps wasting and physical inactivity in COPD

- 1  
2  
3  
4  
5 8. Pitta F, Troosters T, Spruit MA, Probst VS, Decramer M, Gosselink R.  
6  
7 Characteristics of physical activities in daily life in chronic obstructive pulmonary  
8  
9 disease. *Am J Respir Crit Care Med* 2005; 171(9): 972-977.
- 10  
11 9. Watz H, Waschki B, Boehme C, Claussen M, Meyer T, Magnussen H.  
12  
13 Extrapulmonary effects of chronic obstructive pulmonary disease on physical activity:  
14  
15 a cross-sectional study. *Am J Respir Crit Care Med* 2008; 177(7): 743-751.
- 16  
17 10. Man WDC, Soliman MGG, Nikolettou D, Harris ML, Rafferty GF, Mustafa N,  
18  
19 Polkey MI, Moxham J. Non-volitional assessment of skeletal muscle strength in  
20  
21 patients with chronic obstructive pulmonary disease. *Thorax* 2003; 58(8): 665-669.
- 22  
23 11. Waschki B, Kirsten A, Holz O, Muller KC, Meyer T, Watz H, Magnussen H.  
24  
25 Physical Activity Is the Strongest Predictor of All-Cause Mortality in Patients With  
26  
27 COPD: A Prospective Cohort Study. *Chest* 2011; 140(2): 331-342.
- 28  
29 12. Polkey MI, Moxham J. Attacking the disease spiral in chronic obstructive  
30  
31 pulmonary disease. *Clinical medicine (London, England)* 2006; 6(2): 190-196.
- 32  
33 13. Seymour JM, Spruit MA, Hopkinson NS, Natanek SA, Man WD, Jackson A,  
34  
35 Gosker HR, Schols AM, Moxham J, Polkey MI, Wouters EF. The prevalence of  
36  
37 quadriceps weakness in COPD and the relationship with disease severity. *Eur Respir*  
38  
39 *J* 2010; 36(1): 81-88.
- 40  
41 14. Watz H, Waschki B, Meyer T, Magnussen H. Physical activity in patients with  
42  
43 COPD. *Eur Respir J* 2009; 33(2): 262-272.
- 44  
45 15. Marquis K, Debigare R, Lacasse Y, LeBlanc P, Jobin J, Carrier G, Maltais F.  
46  
47 Midthigh muscle cross-sectional area is a better predictor of mortality than body  
48  
49 mass index in patients with chronic obstructive pulmonary disease. *Am J Respir Crit*  
50  
51 *Care Med* 2002; 166(6): 809-813.
- 52  
53  
54  
55  
56  
57

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 16. Mathur S, Takai KP, Macintyre DL, Reid D. Estimation of thigh muscle mass  
6  
7 with magnetic resonance imaging in older adults and people with chronic obstructive  
8  
9 pulmonary disease. *Phys Ther* 2008; 88(2): 219-230.

10  
11 17. Seymour JM, Ward K, Sidhu PS, Puthuchery Z, Steier J, Jolley CJ, Rafferty  
12  
13 G, Polkey MI, Moxham J. Ultrasound measurement of rectus femoris cross-sectional  
14  
15 area and the relationship with quadriceps strength in COPD. *Thorax* 2009; 64(5):  
16  
17 418-423.

18  
19  
20 18. NICE. Management of chronic obstructive pulmonary disease in adults in  
21  
22 primary and secondary care 2010. <http://guidance.nice.org.uk/CG101/Guidance> Date  
23  
24 last updated: December 23<sup>rd</sup> 2011. Date last accessed: December 23<sup>rd</sup> 2011.

25  
26  
27 19. Edwards RH, Young A, Hosking GP, Jones DA. Human skeletal muscle  
28  
29 function: description of tests and normal values. *Clinical science and molecular*  
30  
31 *medicine* 1977; 52(3): 283-290.

32  
33 20. Steiner MC, Barton RL, Singh SJ, Morgan MD. Bedside methods versus dual  
34  
35 energy X-ray absorptiometry for body composition measurement in COPD. *Eur*  
36  
37 *Respir J* 2002; 19(4): 626-631.

38  
39 21. Patel SA, Benzo RP, Slivka WA, Scirba FC. Activity monitoring and energy  
40  
41 expenditure in COPD patients: a validation study. *COPD* 2007; 4(2): 107-112.

42  
43 22. Hill K, Dolmage TE, Woon L, Goldstein R, Brooks D. Measurement properties  
44  
45 of the SenseWear armband in adults with chronic obstructive pulmonary disease.  
46  
47 *Thorax* 2010; 65(6): 486-491.

48  
49 23. St-Onge M, Mignault D, Allison DB, Rabasa-Lhoret R. Evaluation of a portable  
50  
51 device to measure daily energy expenditure in free-living adults. *Am J Clin Nutr* 2007:  
52  
53 85(3): 742-749.

## Quadriceps wasting and physical inactivity in COPD

- 1  
2  
3  
4  
5 24. Miller MR, Hankinson J, Brusasco V, Burgos F, Casaburi R, Coates A, Crapo  
6 R, Enright P, van der Grinten CP, Gustafsson P, Jensen R, Johnson DC, MacIntyre  
7 N, McKay R, Navajas D, Pedersen OF, Pellegrino R, Viegi G, Wanger J.  
8 Standardisation of spirometry. *Eur Respir J* 2005; 26(2): 319-338.  
9  
10  
11  
12  
13 25. Macintyre N, Crapo RO, Viegi G, Johnson DC, van der Grinten CP, Brusasco  
14 V, Burgos F, Casaburi R, Coates A, Enright P, Gustafsson P, Hankinson J, Jensen  
15 R, McKay R, Miller MR, Navajas D, Pedersen OF, Pellegrino R, Wanger J.  
16 Standardisation of the single-breath determination of carbon monoxide uptake in the  
17 lung. *Eur Respir J* 2005; 26(4): 720-735.  
18  
19  
20  
21  
22 26. Wanger J, Clausen JL, Coates A, Pedersen OF, Brusasco V, Burgos F,  
23 Casaburi R, Crapo R, Enright P, van der Grinten CP, Gustafsson P, Hankinson J,  
24 Jensen R, Johnson D, Macintyre N, McKay R, Miller MR, Navajas D, Pellegrino R,  
25 Viegi G. Standardisation of the measurement of lung volumes. *Eur Respir J* 2005:  
26 26(3): 511-522.  
27  
28  
29  
30  
31 27. Casaburi R. Activity promotion: a paradigm shift for chronic obstructive  
32 pulmonary disease therapeutics. *Proc Am Thorac Soc* 2011; 8(4): 334-337.  
33  
34  
35  
36 28. Troosters T, Sciurba F, Battaglia S, Langer D, Valluri SR, Martino L, Benzo R,  
37 Andre D, Weisman I, Decramer M. Physical inactivity in patients with COPD, a  
38 controlled multi-center pilot-study. *Respir Med* 2010; 104(7): 1005-1011.  
39  
40  
41  
42 29. Ofir D, Laveneziana P, Webb KA, Lam YM, O'Donnell DE. Mechanisms of  
43 dyspnea during cycle exercise in symptomatic patients with GOLD stage I chronic  
44 obstructive pulmonary disease. *Am J Respir Crit Care Med* 2008; 177(6): 622-629.  
45  
46  
47  
48 30. Garcia-Aymerich J, Lange P, Benet M, Schnohr P, Anto JM. Regular physical  
49 activity modifies smoking-related lung function decline and reduces risk of chronic  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 obstructive pulmonary disease: a population-based cohort study. *American journal of*  
6  
7 *respiratory and critical care medicine* 2007; 175(5): 458-463.

8  
9  
10 31. Hopkinson NS, Polkey MI. Does physical inactivity cause chronic obstructive  
11  
12 pulmonary disease? *Clin Sci (Lond)* 2010; 118(9): 565-572.

13  
14 32. Garcia-Rio F, Lores V, Mediano O, Rojo B, Hernanz A, Lopez-Collazo E,  
15  
16 Alvarez-Sala R. Daily physical activity in patients with chronic obstructive pulmonary  
17  
18 disease is mainly associated with dynamic hyperinflation. *Am J Respir Crit Care Med*  
19  
20 2009; 180(6): 506-512.

21  
22 33. Pellegrino R, Viegi G, Brusasco V, Crapo RO, Burgos F, Casaburi R, Coates  
23  
24 A, van der Grinten CP, Gustafsson P, Hankinson J, Jensen R, Johnson DC,  
25  
26 MacIntyre N, McKay R, Miller MR, Navajas D, Pedersen OF, Wanger J. Interpretative  
27  
28 strategies for lung function tests. *Eur Respir J* 2005; 26(5): 948-968.

29  
30  
31 34. Hannink JD, van Helvoort HA, Dekhuijzen PN, Heijdra YF. Dynamic  
32  
33 hyperinflation during daily activities: does COPD global initiative for chronic  
34  
35 obstructive lung disease stage matter? *Chest* 2010; 137(5): 1116-1121.

36  
37  
38 35. O'Donnell DE, Guenette JA, Maltais F, Webb KA. Decline of Resting  
39  
40 Inspiratory Capacity in COPD: The Impact on Breathing Pattern, Dyspnea and  
41  
42 Ventilatory Capacity during Exercise. *Chest* 2011. doi:10.1378/chest.11-0787.

43  
44 36. Montes de Oca M, Loeb E, Torres SH, De Sanctis J, Hernandez N, Talamo C.  
45  
46 Peripheral muscle alterations in non-COPD smokers. *Chest* 2008; 133(1): 13-18.

47  
48  
49 37. van den Borst B, Koster A, Yu B, Gosker HR, Meibohm B, Bauer DC,  
50  
51 Kritchevsky SB, Liu Y, Newman AB, Harris TB, Schols AM. Is age-related decline in  
52  
53 lean mass and physical function accelerated by obstructive lung disease or smoking?  
54  
55 *Thorax* 2011; 66(11): 961-969.

Quadriceps wasting and physical inactivity in COPD

1  
2  
3  
4  
5 38. Gosker HR, Zeegers MP, Wouters EF, Schols AM. Muscle fibre type shifting in  
6 the vastus lateralis of patients with COPD is associated with disease severity: a  
7 systematic review and meta-analysis. *Thorax* 2007; 62(11): 944-949.

8  
9  
10  
11 39. Castillo Martinez L, Colin Ramirez E, Orea Tejada A, Asensio Lafuente E,  
12 Bernal Rosales LP, Rebollar Gonzalez V, Narvaez David R, Dorantes Garcia J.  
13 Bioelectrical impedance and strength measurements in patients with heart failure:  
14 comparison with functional class. *Nutrition* 2007; 23(5): 412-418.

15  
16  
17  
18 40. O'Sullivan C, Bentman S, Bennett K, Stokes M. Rehabilitative ultrasound  
19 imaging of the lower trapezius muscle: technical description and reliability. *J Orthop*  
20 *Sports Phys Ther* 2007; 37(10): 620-626.

21  
22  
23  
24  
25 41. Gerovasili V, Stefanidis K, Vitzilaios K, Karatzanos E, Politis P, Koroneos A,  
26 Chatzimichail A, Routsis C, Roussos C, Nanas S. Electrical muscle stimulation  
27 preserves the muscle mass of critically ill patients: a randomized study. *Crit Care*  
28 2009; 13(5): R161.

29  
30  
31  
32  
33 42. Waschki B, Spruit MA, Watz H, Albert PS, Shrikrishna D, Groenen M, Smith C,  
34 Man WD, Tal-Singer R, Edwards LD, Calverley PM, Magnussen H, Polkey MI,  
35 Wouters EF. Physical activity monitoring in COPD: Compliance and associations  
36 with clinical characteristics in a multicenter study. *Respir Med* 2011  
37 doi:10.1016/j.rmed.2011.10.022  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57



Quadriceps wasting and physical inactivity in COPD

## FIGURE LEGENDS

- Figure 1:** Ultrasound assessment of the rectus femoris in the transverse plane (RF, rectus femoris; VL, vastus lateralis; VM, vastus medialis; VI, vastus intermedius).
- Figure 2:** Ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>) versus GOLD stage in COPD patients and healthy controls (ANOVA - no significant difference between I-IV). Cross bars represent the standard error of the mean (SEM).
- Figure 3:** Quadriceps maximal voluntary contraction (QMVC) versus GOLD stage in COPD and healthy controls (ANOVA - no significant difference between GOLD stages, except I and IV;  $p < 0.02$ ). Cross bars represent SEM.
- Figure 4:** Daily physical activity (steps) versus GOLD stage in COPD patients and healthy controls (ANOVA - significant differences also observed between stages 1,3 & 1,4  $p < 0.0001$ ; 2,3  $p = 0.002$ ; 2,4  $p < 0.0001$  & 3,4  $p = 0.03$ ). Cross bars represent SEM.
- Figure 5:** Physical activity level (PAL) versus GOLD stage in COPD subjects and healthy controls (ANOVA - significant differences also observed between stages 1,3  $p = 0.0006$ ; 1,4  $p = 0.0002$  & 2,4  $p = 0.04$ ). Cross bars represent SEM.

Quadriceps wasting and physical inactivity in COPD

**Table 1: Baseline characteristics of COPD and control subjects**

	Controls (n=40) mean (SD)	COPD I (n=38)	COPD II (n=45)	COPD III (n=41)	COPD IV (n=37)	p value
Age (years)	65 (8)	67 (9)	67 (9)	67 (9)	63 (8)	0.17
Gender (M/F)	20/20	19/19	22/23	22/19	25/12	0.45
BMI (kg/m <sup>2</sup> )	25.9 (3.6)	26.5 (4.8)	26.6 (5.9)	25.2 (4.5)	22.4 (3.8)	0.0006
FFMI (kg/m <sup>2</sup> )	17.8 (2.1)	17.9 (2)	17.7 (2.7)	17.6 (2.2)	16.2 (1.9)	0.004
Smoking (pack years)	9.1 (14.3)	28.1 (22.2)	42 (29.2)	50 (26.2)	55.5 (29.3)	<0.0001
Current smokers (%)	-	11	29	27	14	0.09
Outpatients (%)	-	47	60	66	89	0.001
Long-acting beta agonist (%)	-	58	80	85	100	<0.0001
Long-acting anticholinergic (%)	-	42	71	83	97	<0.0001
Inhaled corticosteroid (%)	-	58	78	83	100	<0.0001
Oral corticosteroid (% ≥5mg/day)	-	0	0	0	8	0.02
FEV <sub>1</sub> % pred	103.1 (11.7)	90.8 (8.8)	63.2 (8.8)	39.4 (5.5)	24 (3.8)	<0.0001
TLco% pred	89.3 (17.1)	67.5 (17.8)	58.1 (14.7)	39.9 (13.9)	26.6 (9)	<0.0001

## Quadriceps wasting and physical inactivity in COPD

RV%TLC ratio	34 (4.6)	40.6 (6.6)	46.6 (8)	57.9 (7.5)	64.7 (6.9)	<0.0001
IC (litres)	2.8 (0.7)	2.7 (0.8)	2.3 (0.8)	1.9 (0.6)	1.8 (0.4)	<0.0001
PaO <sub>2</sub> (kPa)	11.2 (1.1)	10.6 (1.5)	10.2 (1.2)	9.1 (1.2)	9.1 (1.3)	<0.0001
PaCO <sub>2</sub> (kPa)	5 (0.6)	4.7 (0.5)	5 (0.4)	5.1 (0.5)	5.4 (0.6)	<0.0001
MRC score (1-5)	1.1 (0.3)	1.8 (0.4)	2.5 (0.9)	3.1 (0.9)	3.6 (0.9)	<0.0001
SGRQ (Symptoms)	-	29.4 (24.8)	49.6 (22.8)	50 (23.7)	61.6 (19.1)	<0.0001
SGRQ (Activity)	-	34.7 (25)	58.1 (23.9)	70.1 (19.4)	84.5 (11.5)	<0.0001
SGRQ (Impacts)	-	15.5 (15.1)	29.9 (17.4)	33.8 (17.4)	48.4 (18.1)	<0.0001
SGRQ (Total)	-	23.1 (16.8)	41.1 (17.9)	47.6 (16.4)	61.5 (13.8)	<0.0001

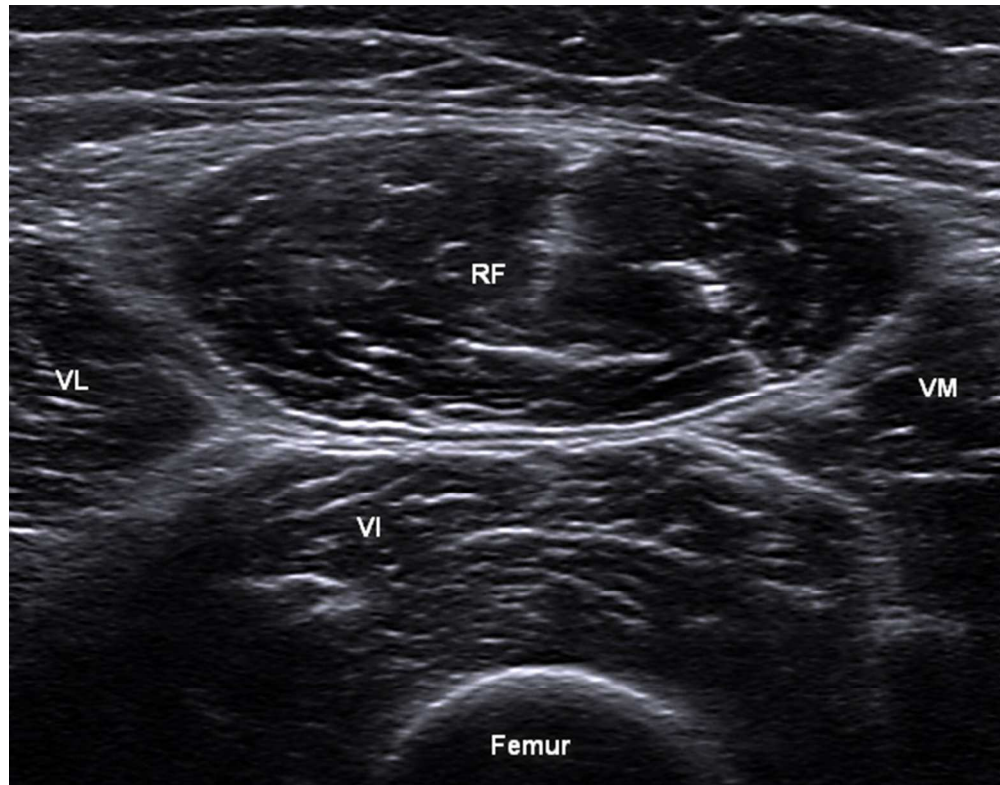
Abbreviations: BMI - body mass index; FFMI – fat free mass index; FEV<sub>1</sub> - forced expiratory volume in 1 second; TLco – carbon monoxide diffusing capacity; RV – residual volume; TLC – total lung capacity; IC – inspiratory capacity; PaO<sub>2</sub> - arterial partial pressure of oxygen; PaCO<sub>2</sub> - arterial partial pressure of carbon dioxide; SGRQ – St George’s respiratory questionnaire; Outpatients – defined as any previous hospital clinic attendance.

Quadriceps wasting and physical inactivity in COPD

**Table 2: Quadriceps and physical activity measurements in COPD and control subjects**

	Controls mean (SD)	COPD I	COPD II	COPD III	COPD IV	P value
USRF <sub>CSA</sub> (mm <sup>2</sup> )	640 (136)	530 (116)	511 (135)	504 (122)	509 (122)	<0.0001
QMVC (kg)	34.3 (8.8)	29.6 (7.2)	27.9 (7.3)	27.3 (8.8)	25.3 (6.8)	<0.0001
Step count	11735 (4399)	7960 (3430)	6606 (3328)	4010 (2316)	2219 (1157)	<0.0001
Physical Activity Level	1.69 (0.25)	1.56 (0.16)	1.47 (0.16)	1.4 (0.12)	1.38 (0.19)	<0.0001
Armband wearing time (hours/day)	23.57 (0.28)	23.60 (0.26)	23.64 (0.37)	23.57 (0.52)	23.61 (0.39)	0.95
Z <sub>200</sub> /Z <sub>5</sub> Impedance ratio	0.789 (0.03)	0.791 (0.03)	0.806 (0.03)	0.816 (0.03)	0.814 (0.03)	0.0002

Abbreviations: USRF<sub>CSA</sub> – ultrasound rectus femoris cross-sectional area; QMVC – quadriceps maximal voluntary contraction.



33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Figure 1: Ultrasound assessment of the rectus femoris in the transverse plane (RF, rectus femoris; VL, vastus lateralis; VM, vastus medialis; VI, vastus intermedius).  
52x40mm (300 x 300 DPI)

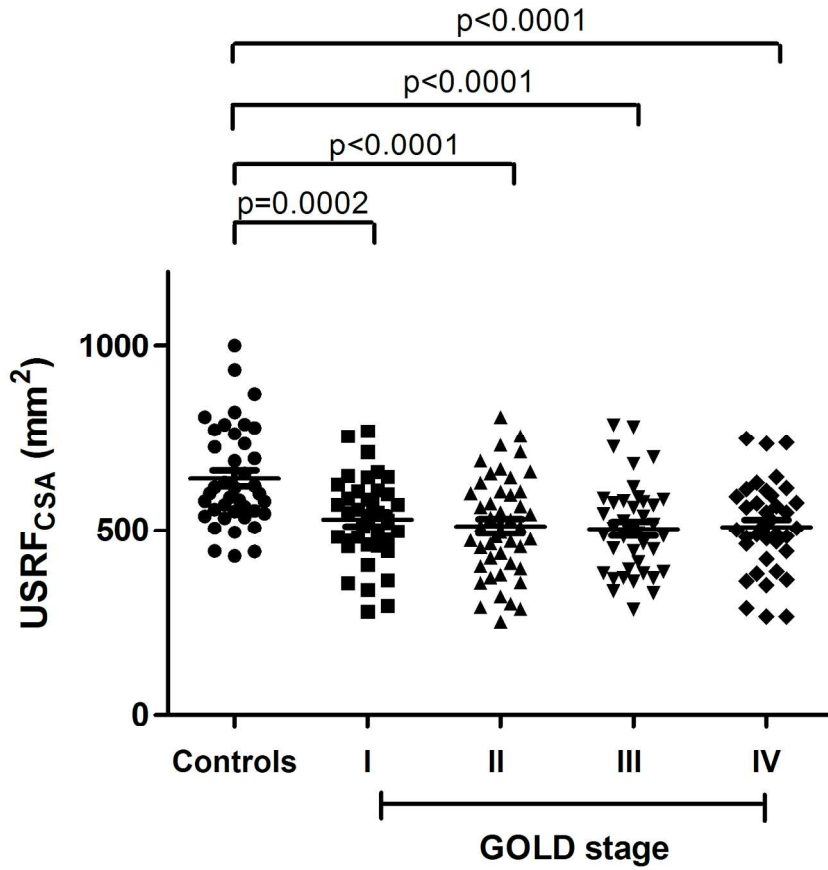


Figure 2: Ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>) versus GOLD stage in COPD patients and healthy controls (ANOVA - no significant difference between I-IV). Cross bars represent the standard error of the mean (SEM).  
177x172mm (300 x 300 DPI)

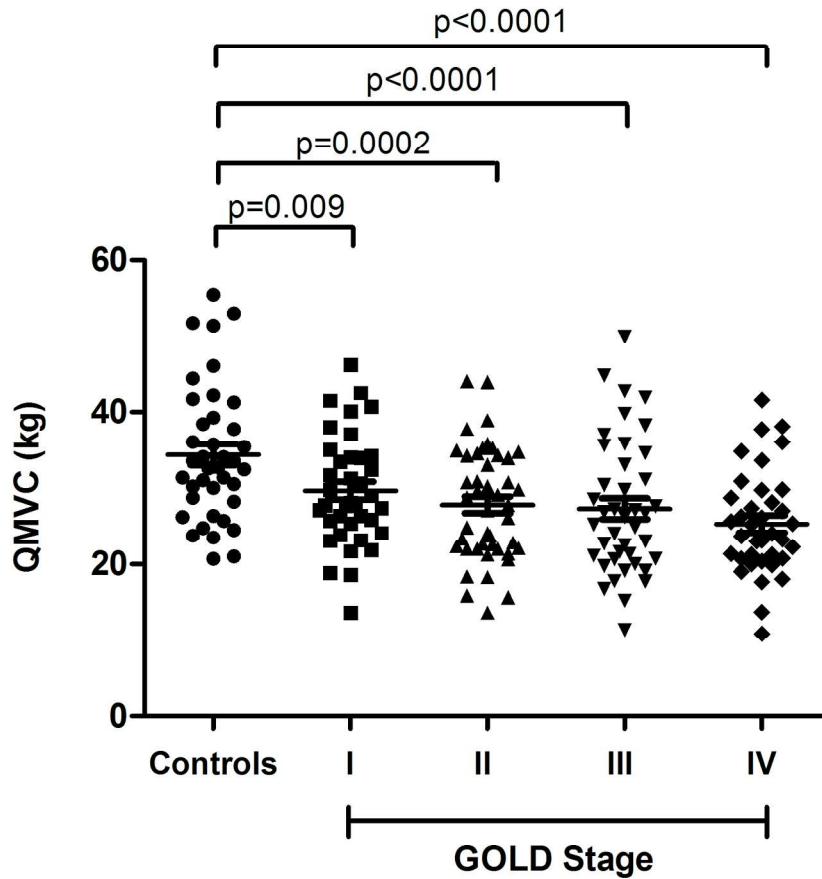


Figure 3: Quadriceps maximal voluntary contraction (QMVC) versus GOLD stage in COPD and healthy controls (ANOVA - no significant difference between GOLD stages, except I and IV;  $p < 0.02$ ). Cross bars represent SEM.

177x174mm (300 x 300 DPI)

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

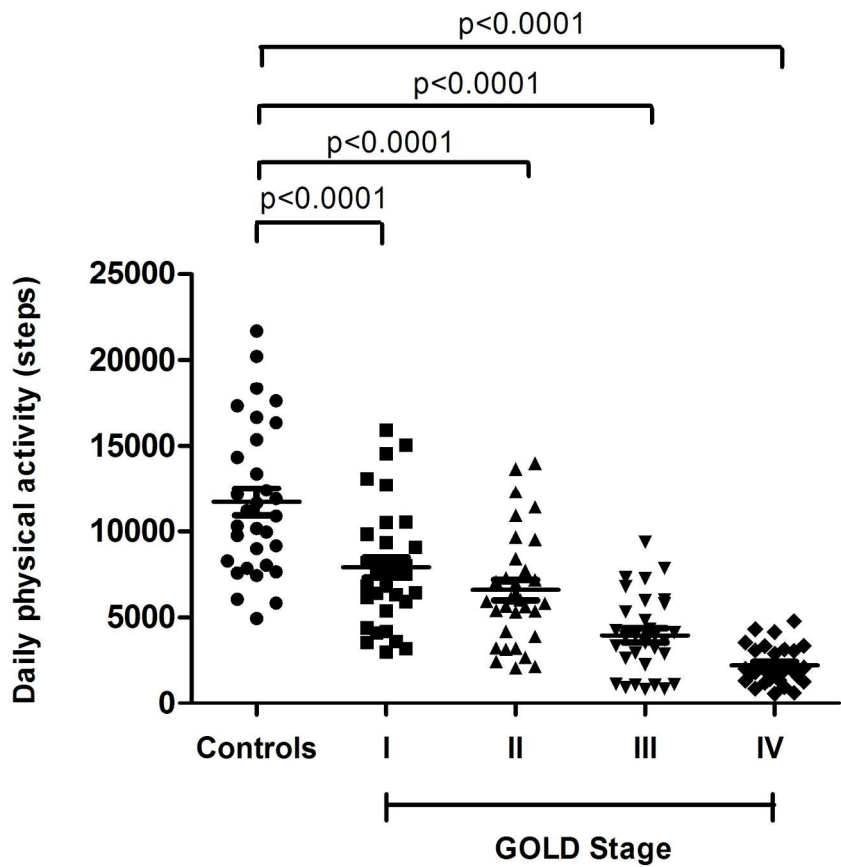


Figure 4: Daily physical activity (steps) versus GOLD stage in COPD patients and healthy controls (ANOVA - significant differences also observed between stages 1,3 & 1,4 p<0.0001; 2,3 p=0.002; 2,4 p<0.0001 & 3,4 p=0.03). Cross bars represent SEM. 177x170mm (300 x 300 DPI)



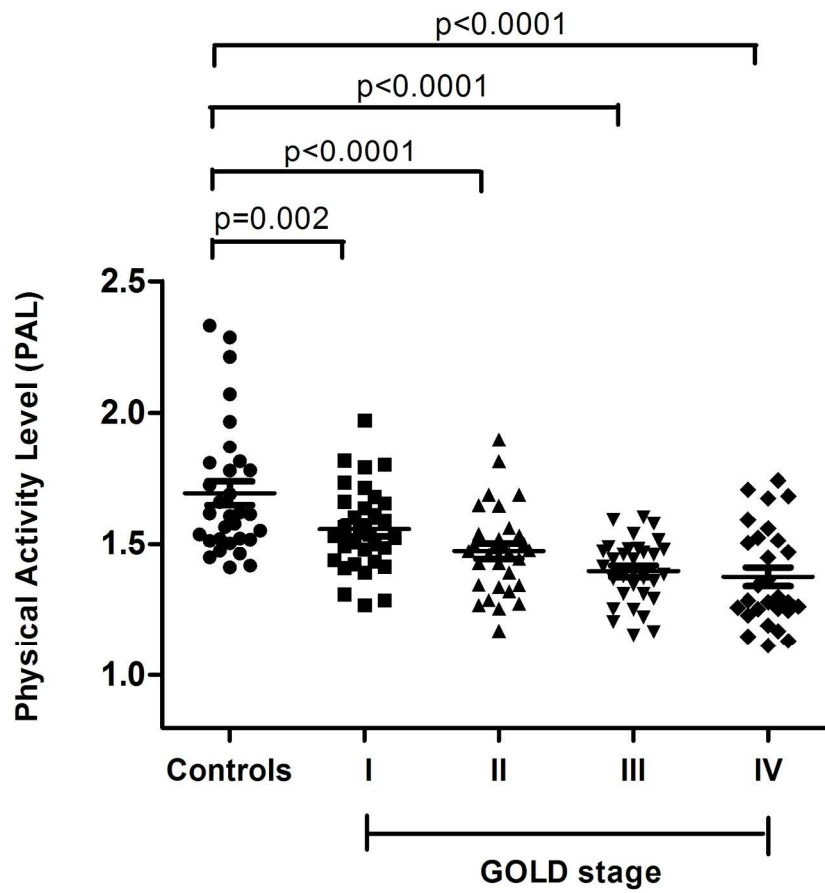


Figure 5: Physical activity level (PAL) versus GOLD stage in COPD subjects and healthy controls (ANOVA - significant differences also observed between stages 1,3  $p=0.0006$ ; 1,4  $p=0.0002$  & 2,4  $p=0.04$ ). Cross bars represent SEM.  
177x175mm (300 x 300 DPI)

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

**Quadriceps wasting and physical inactivity in patients with COPD**

**ONLINE SUPPLEMENT**

Dinesh Shrikrishna, Mehul Patel, Rebecca J Tanner, John M Seymour, Bronwen A  
Connolly, Zudin A Puthucheary, Simon LF Walsh, Susannah A Bloch, Paul S Sidhu,  
Nicholas Hart, Paul R Kemp, John Moxham, Michael I Polkey, Nicholas S Hopkinson

## **METHODS**

### **Quadriceps maximal voluntary contraction (QMVC)**

The subject sat on a modified chair with their knee fixed at 90 degrees. An inextensible strap connected the ankle of their dominant leg to a strain gauge. The signal from the strain gauge was amplified and passed to a computer running CHART software (Labchart version 7.1, PowerLab Analogue-Digital Converter, AD instruments, Oxfordshire, UK). The subject performed at least 3 sustained maximal isometric quadriceps contractions of between 5 and 10 seconds duration. Consistent traces within 5% of maximum were obtained. A gap of approximately 30 seconds was given between each contraction to allow time to recover. Vigorous encouragement was given and the force generated was visible online using the CHART software. The QMVC was taken as highest tension sustained for 1 second.

### **Fat free mass measurement using bioelectrical impedance**

This technique uses the electrical impedance of body tissues to determine an estimate of total body water, as electricity is conducted by dissolved ions. A two-compartment model is used which assumes that adipose tissue contains no water and that the FFM is of a particular percentage water. Single frequency, 50kHz, bioelectrical impedance values are incorporated into regression equations which include height, weight and gender to calculate fat free mass (FFM).

### **Mid-thigh Computed Tomography (CT) Cross-sectional area**

CT was performed on a 64-slice CT scanner (Siemens SOMATOM Sensation 64, Erlangen, Germany) with the patient in a supine position. A single section of the mid-thigh at a predefined level was obtained using the following acquisition parameters:

1  
2  
3 50mAs, 120kVp. The protocol was modified to deliver a reduced amount of radiation  
4  
5 per scan. Images were viewed and CT cross-sectional areas calculated using Digital  
6  
7 Imaging Communications in Medicine viewing software (DicomWorks, version  
8  
9 1.3;<http://dicom.online.fr>) at standard window settings for visualisation of soft tissues  
10  
11 (centre 40 HU, window width 380 HU).  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## RESULTS

### Ultrasound rectus femoris pennation angle and measurement distance

Using values of rectus femoris pennation angle ( $RF_{PA}$ ), the angle at which these muscle fibres insert into the muscle aponeurosis, to derive ultrasound rectus femoris physiological cross-sectional area ( $USRF_{PCSA}$ ), the relationship between  $USRF_{PCSA}$  and quadriceps strength (QMVC) was compared to that between anatomical  $USRF_{CSA}$  alone and QMVC in a group of 31 healthy adults, 9 of whom were males, median (IQR) age 31 (24.5-37) years.  $USRF_{CSA}$  and  $USRF_{PCSA}$  were measured at both three fifths and two thirds distance from anterior superior iliac spine to the superior patellar border. Correlations were determined using Pearson's correlation coefficient and revealed no differences in relationship between anatomical ( $USRF_{CSA}$ ) and physiological ( $USRF_{PCSA}$ ) cross-sectional areas measured at either distance, and quadriceps strength, suggesting that pennation angle added no further value to the relationship between cross-sectional area and strength (online table 4).

**FIGURE LEGEND**

- Online Figure 1:** Quadriceps strength (QMVC) versus ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>) in COPD patients (Pearson correlation;  $r=0.6$ ,  $p<0.0001$ )
- Online Figure 2:** Quadriceps strength (QMVC) versus Impedance ratio in COPD patients (Pearson correlation;  $r=-0.54$ ,  $p<0.0001$ ).
- Online Figure 3:** Ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>) in COPD patients and healthy controls separated by gender - males (A) and females (B); (ANOVA - no significant difference between stages I-IV). Cross bars represent SEM.
- Online Figure 4:** Bland-Altman analysis comparing the rectus femoris cross-sectional area (RF<sub>CSA</sub>) measured by ultrasound on two separate occasions ( $n=21$ ). Mean (SD) bias - 0.06 (0.21) cm<sup>2</sup> : coefficient of repeatability 0.41cm<sup>2</sup>, Dotted line represents 95% limits of agreement -0.47 to +0.36 cm<sup>2</sup> , index of reliability 0.97. Similar analysis was conducted for inter-observer variability ( $n=10$ ): mean (SD) bias 0.11 (0.29) cm<sup>2</sup> : coefficient of repeatability 0.57cm<sup>2</sup>, 95% limits of agreement -0.46 to +0.68 cm<sup>2</sup>, index of reliability 0.97.

## TABLES

**Online Table 1:** Univariate correlates of USRF<sub>CSA</sub> in all COPD subjects

	<b>USRF<sub>CSA</sub> (r)</b>	<b>p</b>
<b>Age</b>	-0.20	0.01
<b>Gender</b>	0.48	<0.0001
<b>FFMI</b>	0.49	<0.0001
<b>FEV<sub>1</sub> % pred</b>	0.08	0.32
<b>TLco% pred</b>	0.26	0.001
<b>RV/TLC ratio</b>	-0.22	0.006
<b>IC</b>	0.44	<0.0001
<b>QMVC</b>	0.60	<0.0001
<b>Impedance ratio</b>	-0.53	<0.0001
<b>PAL</b>	0.20	<0.05
<b>Steps</b>	0.30	0.002

Abbreviations: QMVC - quadriceps maximum voluntary contraction; USRF<sub>CSA</sub> - ultrasound rectus femoris cross-sectional area; FEV<sub>1</sub> - forced expiratory volume in 1 second; RV – residual volume; TLC – total lung capacity; IC – inspiratory capacity; TLco – carbon monoxide diffusing capacity; FFMI - fat free mass index; PAL – physical activity level; r and p values derived from Pearson's correlation coefficient.

**Online Table 2:** Univariate correlates of USRF<sub>CSA</sub> in stage I COPD subjects

	<b>USRF<sub>CSA</sub> (r)</b>	<b>p</b>
<b>Age</b>	-0.33	0.04
<b>Gender</b>	0.50	0.001
<b>FFMI</b>	0.43	0.007
<b>FEV<sub>1</sub> % pred</b>	0.07	0.70
<b>TLco% pred</b>	0.38	0.03
<b>RV/TLC ratio</b>	-0.42	0.01
<b>IC</b>	0.41	0.02
<b>QMVC</b>	0.63	<0.0001
<b>Impedance ratio</b>	-0.64	<0.0001
<b>PAL</b>	0.70	<0.0001
<b>Steps</b>	0.53	0.002

Abbreviations: QMVC - quadriceps maximum voluntary contraction; USRF<sub>CSA</sub> - ultrasound rectus femoris cross-sectional area; FEV<sub>1</sub> - forced expiratory volume in 1 second; RV – residual volume; TLC – total lung capacity; IC – inspiratory capacity; TLco – carbon monoxide diffusing capacity; FFMI - fat free mass index; PAL – physical activity level. r and p values derived from Pearson's correlation coefficient.



**Online Table 3:** Univariate correlates of physical activity in stages II-IV COPD

	<b>Steps (r)</b>	<b>p</b>	<b>PAL (r)</b>	<b>p</b>
<b>Age</b>	-0.12	0.25	-0.08	0.46
<b>Gender</b>	0.18	0.10	0.14	0.18
<b>FFMI</b>	0.19	0.07	0.10	0.40
<b>USRF<sub>CSA</sub></b>	0.24	0.02	0.05	0.70
<b>FEV<sub>1</sub>% pred</b>	0.57	<0.0001	0.21	<0.05
<b>TLco% pred</b>	0.54	<0.0001	0.07	0.50
<b>RV/TLC ratio</b>	-0.53	<0.0001	-0.23	0.03
<b>IC</b>	0.27	0.01	0.01	0.92
<b>QMVC</b>	0.22	0.04	0.02	0.82
<b>Impedance ratio</b>	-0.27	0.01	-0.12	0.30

Abbreviations: QMVC - quadriceps maximum voluntary contraction; USRF<sub>CSA</sub> - ultrasound rectus femoris cross-sectional area; FEV<sub>1</sub> - forced expiratory volume in 1 second; RV – residual volume; TLC – total lung capacity; IC – inspiratory capacity; TLco – carbon monoxide diffusing capacity; FFMI - fat free mass index; PAL – physical activity level. r and p values derived from Pearson's correlation coefficient. Using regression analysis, RV/TLC ratio was retained over FEV<sub>1</sub>% predicted as the only independent predictor of physical activity level in stage II-IV COPD patients (p=0.03). FEV<sub>1</sub>% predicted and TLco% predicted were independent predictors of step count (p<0.0001).

**Online Table 4:** Relationship between rectus femoris anatomical cross-sectional area ( $RF_{CSA}$ ) and rectus femoris physiological cross-sectional area ( $RF_{PCSA}$ ) with quadriceps strength

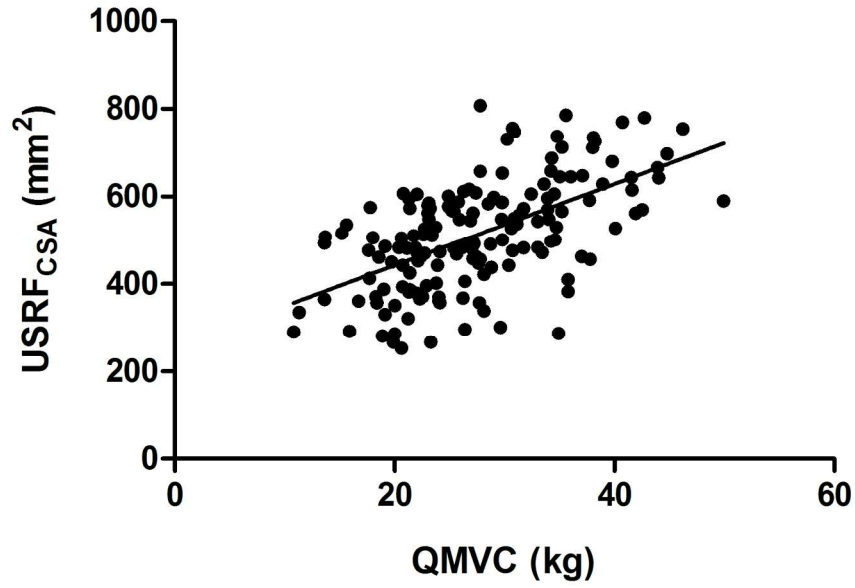
	<b>r</b>	<b>p</b>
<b>3/5 USRF<sub>CSA</sub> + QMVC</b>	0.7	0.001
<b>3/5 USRF<sub>PCSA</sub> + QMVC</b>	0.7	0.001
<b>2/3 USRF<sub>CSA</sub> + QMVC</b>	0.6	0.002
<b>2/3 USRF<sub>PCSA</sub> + QMVC</b>	0.6	0.002

Abbreviations: QMVC - quadriceps maximum voluntary contraction; USRF<sub>CSA</sub> - ultrasound rectus femoris cross-sectional area; USRF<sub>PCSA</sub> - rectus femoris physiological cross sectional area; 3/5 - measurements performed at three fifths distance between anterior superior iliac spine and superior patellar border; 2/3 - measurements performed at two thirds distance. r and p values derived from Pearson's correlation coefficient.

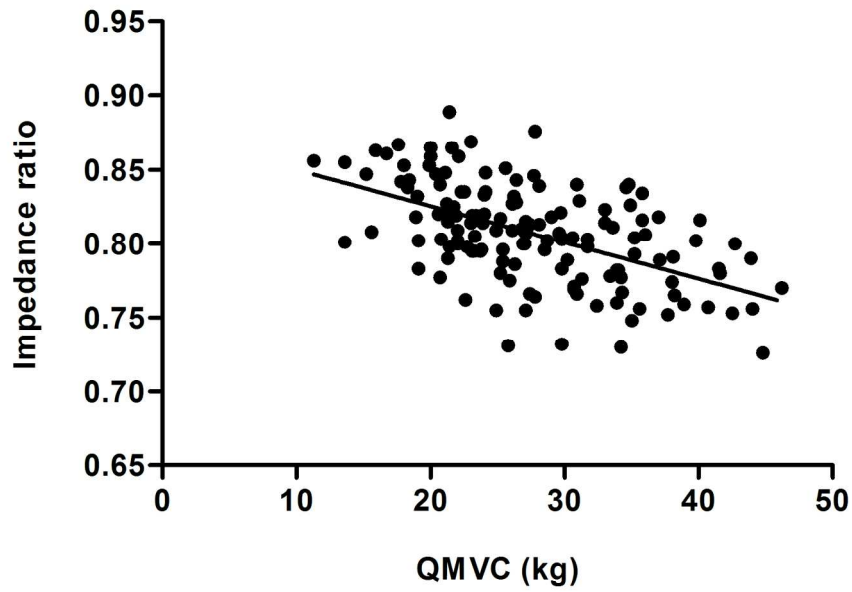
**Online Table 5:** Univariate correlates of the impedance ratio in COPD patients.

	<b>r</b>	<b>p</b>
<b>Age</b>	0.37	<0.0001
<b>Gender</b>	0.34	<0.0001
<b>QMVC</b>	-0.54	<0.0001
<b>USRF<sub>CSA</sub></b>	-0.53	<0.0001
<b>FEV<sub>1</sub>% pred</b>	-0.32	0.0001
<b>FFMI</b>	-0.48	<0.0001
<b>Steps</b>	-0.35	0.0001
<b>PAL</b>	-0.27	0.003

Abbreviations: QMVC - quadriceps maximum voluntary contraction; USRF<sub>CSA</sub> - ultrasound rectus femoris cross-sectional area; FEV<sub>1</sub> - forced expiratory volume in 1 second; FFMI - fat free mass index; PAL – physical activity level. r and p values derived from Pearson's correlation coefficient.

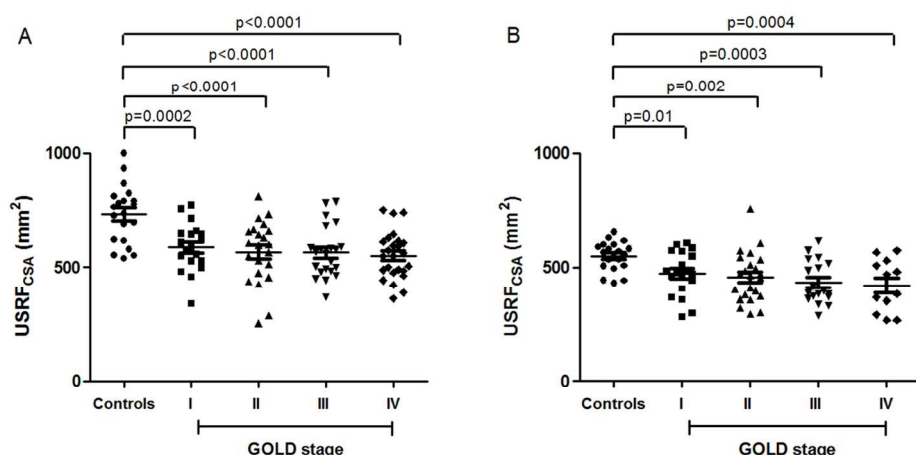


Online Figure 1: Quadriceps strength (QMVC) versus ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>) in COPD patients (Pearson correlation;  $r=0.6$ ,  $p<0.0001$ )  
177x123mm (300 x 300 DPI)

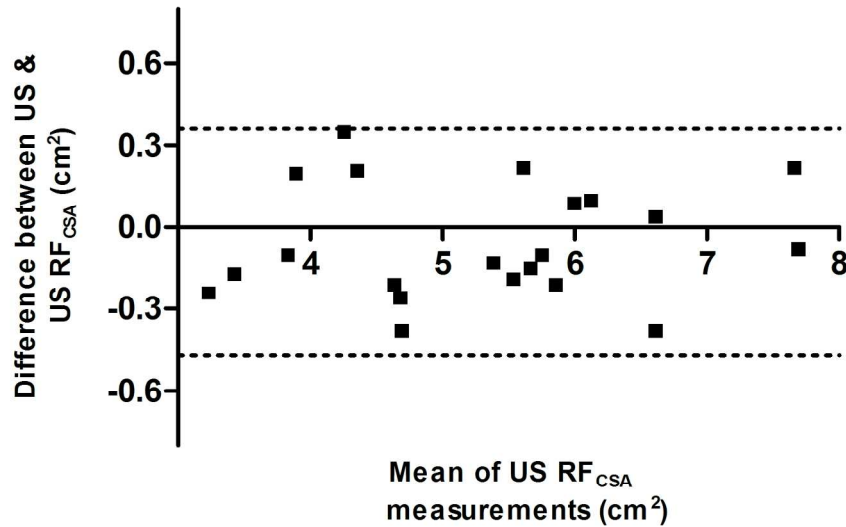


Online Figure 2: Quadriceps strength (QMVC) versus Impedance ratio in COPD patients (Pearson correlation;  $r=-0.54$ ,  $p<0.0001$ ).  
177x123mm (300 x 300 DPI)

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



Online Figure 3: Ultrasound rectus femoris cross-sectional area (USRF<sub>CSA</sub>) in COPD patients and healthy controls separated by gender - males (A) and females (B); (ANOVA - no significant difference between stages I-IV). Cross bars represent SEM.  
253x126mm (150 x 150 DPI)



Online Figure 4: Bland-Altman analysis comparing the rectus femoris cross-sectional area ( $RF_{CSA}$ ) measured by ultrasound on two separate occasions ( $n=21$ ). Mean (SD) bias  $-0.06$  ( $0.21$ )  $cm^2$ ; coefficient of repeatability  $0.41cm^2$ , Dotted line represents 95% limits of agreement  $-0.47$  to  $+0.36$   $cm^2$ , index of reliability  $0.97$ . Similar analysis was conducted for inter-observer variability ( $n=10$ ): mean (SD) bias  $0.11$  ( $0.29$ )  $cm^2$ ; coefficient of repeatability  $0.57cm^2$ , 95% limits of agreement  $-0.46$  to  $+0.68$   $cm^2$ , index of reliability  $0.97$ .

178x115mm (300 x 300 DPI)