

1 Assessing the influence of ITZ on the steady-state chloride diffusivity of
2 concrete using a numerical model

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10 ABSTRACT

11

12 In this study, the influence of the aggregate-cement paste interfacial transition zone (ITZ) on the steady-state
13 chloride diffusivity of mortars and concretes was examined using a semi-empirical, three-phase composite
14 sphere model. Mortars and concretes were modelled as three-phase composites consisting of the aggregate, bulk
15 cement paste and an inhomogeneous ITZ. The latter was divided into a series of homogenous concentric shell
16 elements of equal thickness. The initial porosity and cement gradients at the ITZ were first estimated from the
17 overall water/cement ratio (w_0/c). The evolution of the porosity, solid hydration products and remnants of
18 unreacted cement were then calculated from the hydration degree and local water/cement ratio (w/c) using
19 Powers' empirical model. Based on the Laplacian equation, an element transfer matrix was derived analytically
20 to predict the steady-state chloride diffusivity. The model was calibrated using available experimental data and
21 then applied to perform a sensitivity analysis to evaluate the effects of aggregate content, water/cement ratio,
22 curing period, ITZ width, maximum aggregate size and aggregate gradation on diffusivity. Some of these
23 variables are impractical to quantify by laboratory experimentation. Implications of the findings with regards to
24 the role of ITZ on mass transport properties are discussed.

25

26 Keywords: Interfacial transition zone (A); diffusion (B); transport properties (B); microstructure (B); modeling
27 (E); transfer matrix method.

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31

32 **1. Introduction**

33

34 The durability of most concrete structures is determined by their resistance to penetration of external deleterious
35 species, such as chloride ions. Thus, the ability to estimate the transport properties of a concrete from its mixture
36 proportions and microstructure is attractive, as it would assist the development of service life prediction models
37 and durability-based design codes. However, the microstructure of concrete is complex and its transport
38 properties are influenced by many interacting parameters. This study aims to use a numerical model to explore
39 the relative influences of these parameters and to determine those having the most significant effect on the
40 steady state chloride diffusivity of concrete. Chloride diffusion was selected as the transport process of interest
41 for this study because it is crucial to one of the most widespread and problematic deterioration processes
42 affecting concrete structures, chloride-induced reinforcement corrosion. This particular transport coefficient, i.e.
43 steady state chloride diffusivity as measured in a diffusion cell test, was selected because it is required in
44 mechanistic models of chloride transport that treat chloride ion diffusion and chloride binding separately, but it
45 is extremely time-consuming to measure on representatively thick concrete specimens [1].

46

47 At the most basic level, concrete can be viewed as consisting of aggregate particles distributed in a continuous
48 cement paste matrix. Most aggregates used in concrete are dense compared with the paste and so are assumed to
49 allow negligible transport through them. However, the cement paste region surrounding each aggregate particle,
50 i.e. the ITZ, contains higher porosity and lower cement content relative to the bulk cement paste regions farther
51 away and is usually accorded a separate phase. The ITZ occupies a significant fraction of the total paste volume
52 in practical mortars and concretes, and so the property of this phase is expected to have an influence on the
53 overall behaviour of the composite. Indeed, modelling work has found that the ITZ forms an interconnected
54 network even for a modest width of 10-20 μ m [2], while experimental studies on mortars have observed an
55 increase in the paste porosity due to the presence of the porous ITZs [3].

56

57 However, available experimental studies on mortars and concretes, where the aggregate content is systematically
58 increased to change the ITZ volume fraction, do not provide a clear answer as to whether the ITZ has a
59 significant effect on bulk transport properties [4]. For example, some studies have found that the ITZ has a
60 significant influence on the chloride diffusivity [5, 6], while results from other studies seem to suggest otherwise
61 [3, 7, 8]. These conflicting results from different sources underscore the difficulty in isolating the ITZ effect

62 through experimental studies, where other important parameters that influence transport inevitably vary, when
63 preparing and testing samples with different aggregate contents.

64

65 Because a large number of related factors have the potential to influence transport properties, many experiments
66 need to be carried out in order to understand their significance and interactions, and even so, may not be able to
67 isolate all of these effects. In this study, a numerical model is first developed to estimate the chloride diffusivity
68 of conventional concretes. The model is then used to carry out a sensitivity analysis to examine the significance
69 of various parameters, in particular the influence of the ITZ on chloride diffusivity.

70

71 **2. Modelling techniques**

72

73 2.1. Overview

74

75 The microstructure of concrete is inherently complex and variable, so some approximation is inevitable when
76 attempting to model its effect on bulk properties. In this study, we only consider the case of diffusion under
77 saturated and steady-state conditions. To simplify analysis, the aggregates are modelled as polydispersed spheres
78 and the ITZs as shells of certain width that extend from the aggregate particles. The volume fractions of the ITZ
79 and bulk paste are calculated from the ITZ width, aggregate content and size distribution. To model the
80 microstructure development, the initial distribution of cement particles from the aggregate surface is first
81 specified using an empirical equation with the overall water/cement ratio (w_0/c) and ITZ width as parameters
82 that define the shape of the gradient. A hydration model is then executed and the change in phase composition of
83 the ITZ and bulk paste is followed as hydration proceeds. After a desired hydration degree is achieved, the
84 capillary and gel porosity is calculated as a function of distance from the aggregate surface and converted into
85 diffusivity values using a previously derived relationship. These values are then used as inputs into the three-
86 phase composite sphere model to estimate the diffusivity of the overall system comprised of the aggregate, ITZ
87 and bulk paste.

88

89 2.2. Three-phase composite sphere model

90

91 A three-phase composite sphere model shown in Fig. 1a is used to represent the concrete matrix, where the inner
 92 sphere is the aggregate phase with radius r_a , surrounded by a concentric ITZ shell of width $r_b - r_a$. The ITZ is
 93 further subdivided into N concentric shell elements of equal thickness, where each shell element is composed of
 94 unreacted cement, hydration products and pores. The aggregate and the ITZ shells are embedded in bulk paste
 95 matrix of thickness $r_c - r_b$. The shell elements are assumed to be homogeneous and isotropic in composition and
 96 transport property. The values of r_a , r_b and r_c are defined such that the volume fractions of the aggregates, ITZ
 97 and bulk paste in the model match those values calculated for the actual sample (shown next). This approach of
 98 homogenisation at the mesoscale is broadly similar to previous models such as the n-layered spherical inclusion
 99 model that has been applied to study elasticity, thermoelasticity, electrical/thermal conductivity and ionic
 100 diffusivity of composite materials [9-11]. However, unlike some effective medium based models, the ITZ is not
 101 assumed here to be a single shell of uniform property, but the composition and diffusivity of each ITZ shell is
 102 allowed to vary with distance from the aggregate surface and with the progress of hydration as shown in Fig. 1b.

103

104 To determine the volume fractions of the ITZ and bulk paste, the aggregate size distribution is required and this
 105 can either be generated from typical sieve analysis, or assumed to follow a particular gradation such as Fuller or
 106 Equal Volume Fraction (EVF) that represent the lower and upper bounds of typical aggregate gradation
 107 respectively [12]. This can be expressed as

$$108 \quad p(D) = \frac{nD_{min}^n D_{max}^n}{(D_{max}^n - D_{min}^n)D^{n+1}} \quad (1)$$

109 where $p(D)$ is the probability density function for the aggregate distribution in terms of the number of
 110 aggregate particles, D is the diameter of aggregate particles, which varies between the minimum aggregate
 111 diameter D_{min} and the maximum aggregate diameter D_{max} , and n is a coefficient denoting the type of aggregate
 112 gradation (2.5 for Fuller gradation and 3 for EVF gradation).

113

114 The volume fraction of ITZ is then computed, taking into account the overlapping of ITZ shells in samples
 115 containing large aggregate contents. This is carried out analytically using the ‘void exclusion probability’
 116 derived by Lu & Torquato [13] for the polydispersed spheres system, and as described in Bentz & Garboczi [10].
 117 When applied to our case, the void exclusion probability is basically the volume fraction of the space not

118 occupied by all the spheres and ITZ shells, i.e. fraction of the bulk paste. Accordingly, the ITZ volume fraction
 119 f_i for an ITZ width of h can be expressed as

$$120 \quad f_i = (1 - f_a)[1 - \exp(-\pi\rho(t_1h + t_2h^2 + t_3h^3))] \quad (2)$$

121 where f_a is the aggregate volume fraction, ρ is the total number of aggregate particles per unit volume, and t_1 ,
 122 t_2 and t_3 are coefficients defined in terms of the mean aggregate radius $\langle R \rangle$ and the mean square aggregate
 123 radius $\langle R^2 \rangle$, over the entire aggregate size distribution.

$$124 \quad t_1 = \frac{4\langle R^2 \rangle}{1 - f_a} \quad (3a)$$

$$125 \quad t_2 = \frac{4\langle R \rangle}{1 - f_a} + \frac{8\pi\rho\langle R^2 \rangle^2}{(1 - f_a)^2} \quad (3b)$$

$$126 \quad t_3 = \frac{4}{3(1 - f_a)} + \frac{16\pi\rho\langle R \rangle\langle R^2 \rangle}{3(1 - f_a)^2} + \frac{64\lambda\pi^2\rho^2\langle R^2 \rangle^3}{27(1 - f_a)^3} \quad (3c)$$

127 The parameter λ in Eq. (3c) is equal to 0, 2, or 3, depending on the approximation used in the derivation by Lu
 128 & Torquato [13]. However, Garboczi & Bentz [10] observed that the value for λ does not make much
 129 difference to the calculated ITZ volume fraction, and the best agreement with numerical simulation results was
 130 obtained when $\lambda = 0$. Thus λ is taken as zero in this paper. Once the ITZ volume fraction is known, the
 131 volume fraction of bulk paste f_m is obtained by simple subtraction.

132

133 2.3. Microstructure and hydration model

134

135 To model the microstructure development of the cement paste, we begin by specifying the initial distribution of
 136 cement particles at the time of mixing. This was previously estimated by Crumbie [14] who measured the
 137 distributions of unreacted cement, porosity and hydration products after various hydration times using
 138 backscattered electron microscopy. From these, the initial distribution of the cement particles can be back-
 139 calculated and the results for concretes with different w_0/c ratios are reproduced in Fig. 2. Crumbie [14] found
 140 that the ITZ width is between 20-30 μm and that the porosity tends towards 100% at the interface. As expected,
 141 the width of the ITZ appears to be related to the cement particle size, but is independent of the aggregate size and
 142 w_0/c ratio, although the latter influences the gradient of the cement distribution.

143

144 Using the data from Crumbie [14], we can approximate the initial distribution of cement particles $f_c(r)$ with the
 145 following equation:

$$146 \quad f_c(r) = \begin{cases} f_{c,bulk} \sum_{j=1}^4 (b_j/b_0) [(r-r_a)/(r_b-r_a)]^j, & r_a \leq r < r_b \\ f_{c,bulk}, & r_b \leq r \leq r_c \end{cases} \quad (4)$$

147 where $f_{c,bulk}$ is the cement volume fraction in the bulk paste, r is the distance from the centre of the aggregate,
 148 r_a , r_b and r_c are as defined in Fig. 1b, b_0 is the sum of b_j (i.e. $b_0 = \sum b_j$) and b_j is a series of empirical
 149 functions expressed in terms of w_0/c ratio, obtained from least squares analysis:

$$150 \quad \begin{aligned} b_1 &= 4.670 - 5.228(w_0/c) \\ b_2 &= -10.569 + 12.700(w_0/c) \\ b_3 &= 9.950 - 12.195(w_0/c) \\ b_4 &= -3.397 + 4.195(w_0/c) \end{aligned} \quad (5)$$

151
 152 The volume fraction of cement in the bulk paste $f_{c,bulk}$ can be calculated from w_0/c ratio, cement density ρ_c
 153 and the volume fractions of the bulk paste and ITZ as follows. Consider that the total volume of cement in the
 154 composite sphere V_c is the sum of the volume of cement located in the ITZ, $V_{c,ITZ}$, and in the bulk cement paste,
 155 $V_{c,bulk}$, i.e.

$$156 \quad V_c = V_{c,ITZ} + V_{c,bulk} = \frac{4\pi(r_c^3 - r_a^3)}{3[1 + \rho_c(w_0/c)]} \quad (6)$$

157 $V_{c,ITZ}$ can be obtained by integrating Eq. (4) from $r = r_a$ to r_b :

$$158 \quad V_{c,ITZ} = \int_{r_a}^{r_b} 4\pi r^2 f_c(r) dr = 8\pi f_{c,bulk} \sum_{j=1}^4 \sum_{k=1}^3 \frac{b_j r_a^{(3-k)} (r_b - r_a)^k}{b_0 (j+k) [(k-1)!] [(3-k)!]} \quad (7)$$

159 and $V_{c,bulk}$ is the product of $f_{c,bulk}$ and the volume of the bulk paste:

$$160 \quad V_{c,bulk} = \frac{4\pi(r_c^3 - r_b^3) f_{c,bulk}}{3} \quad (8)$$

161 Substituting Eqs. (7) and (8) into Eq. (6) and solving for $f_{c,bulk}$ yields:

$$162 \quad f_{c,bulk} = \frac{(r_c^3 - r_a^3)}{[1 + \rho_c(w_0/c)] \left[(r_c^3 - r_b^3) + 6\pi \sum_{j=1}^4 \sum_{k=1}^3 \frac{b_j r_a^{(3-k)} (r_b - r_a)^k}{b_0 (j+k) [(k-1)!] [(3-k)!]} \right]} \quad (9)$$

163

164 Fig. 2 shows that the agreement between Eq. (4) and the data from Crumbie [14]. The correlation coefficients for
 165 the regression analysis are 0.9982 and 0.9978 for w_0/c ratio of 0.4 and 0.6 respectively. Note that this approach
 166 does not simulate the packing of individual cement grains on the aggregate surface. The cement particles are
 167 merely distributed to recreate the gradients measured by Crumbie [14] as a function of w_0/c ratio, but allowing
 168 us to treat the ITZ width as a freely variable parameter. With the initial cement distribution described, the local
 169 water/cement ratio (w/c) at any point in the ITZ and bulk paste can be determined as follows:

$$170 \quad w/c = \frac{1 - f_c(r)}{\rho_c f_c(r)} \quad (10)$$

171
 172 After the initial distribution of the cement particles, simple empirical equations are used to model the hydration
 173 reactions between cement and water. The cement particles are allowed to hydrate and the change in phase
 174 composition of the ITZ shells and bulk paste is followed with the progress of hydration. The hydration of cement
 175 is assumed to occur via a dissolution and precipitation process, and the reaction rates are as described in the
 176 model by Parrot & Killoh [15]. In this approach, the rate of hydration of a particular clinker phase $R_{i,t}$ is
 177 expressed by a set of three equations below, where the lowest value of $R_{i,t}$ at any time is taken as the rate
 178 controlling step and used to calculate the instantaneous degree of hydration:

$$179 \quad \text{Nucleation and growth: } R_{i,t} = \frac{K_1}{N_1} (1 - \alpha_{i,t}) [-\ln(1 - \alpha_{i,t})]^{1-N_1} \quad (11a)$$

$$180 \quad \text{Diffusion: } R_{i,t} = \frac{K_2 (1 - \alpha_{i,t})^{2/3}}{1 - (1 - \alpha_{i,t})^{1/3}} \quad (11b)$$

$$181 \quad \text{Formation of hydration shell: } R_{i,t} = K_3 (1 - \alpha_{i,t})^{N_3} \quad (11c)$$

182 where $\alpha_{i,t}$ is the degree of hydration of clinker phase i (C_3S , C_2S , C_3A and C_4AF) at time t (in days) and K_1 ,
 183 N_1 , K_2 , K_3 , and N_3 are empirical constants from Parrot & Killoh [15], as listed in Table 1. The degree of
 184 hydration at time $t + \Delta t$ is then expressed as:

$$185 \quad \alpha_{i,t+\Delta t} = \alpha_{i,t} + \Delta t R_{i,t} \quad (12)$$

186 where Δt is the time interval for integration. The overall degree of hydration α is calculated as a weighted
 187 average of the degrees of hydration of the clinker phases. For this study, hydration is assumed to occur at room
 188 temperature so the effect of temperature on the rate constants is not considered, although this is possible in

189 Parrot & Killoh's model. However the effect of water/cement ratio is accounted for by reducing the degree of
 190 hydration by a factor β as follows:

$$191 \quad \beta = \begin{cases} [1 + 4.444(w/c) - 3.333\alpha]^4 & \text{for } \alpha > 1.333(w/c) \\ 1, & \text{for } \alpha \leq 1.333(w/c) \end{cases} \quad (13)$$

192
 193 As the cement hydrates, the originally water-filled spaces (capillary pores) become progressively filled with
 194 hydration products due to increase in total solids volume. However, the main hydration product (C-S-H) also
 195 contains pores, i.e gel pores, and these will increase in volume as hydration continues. According to Powers &
 196 Brownyard's [16] model (as summarised by Hansen [17]), the volume fractions of capillary f_{cap} and gel pores
 197 f_{gel} are related to the water/cement ratio and the degree of hydration, hence the total porosity f_p is:

$$198 \quad f_p = f_{cap} + f_{gel} = \frac{(w/c) - 0.36\alpha}{(w/c) + 0.32} + \frac{0.19\alpha}{(w/c) + 0.32} \quad (14)$$

199
 200 Note that the above equation was derived using specific values of chemical and physical bound water, and
 201 chemical shrinkage for the hydration of typical Portland cements under room temperature conditions [17]. Thus,
 202 the equation is likely to be less accurate for other systems such as those containing supplementary cementitious
 203 materials. Assuming that the hydration products are deposited close to the cement from which they are formed,
 204 this equation can then be used to calculate the porosity of the ITZ shells and bulk paste at any location and time,
 205 from the local water/cement ratio (Eq. 10) and degree of hydration (Eq. 12). Fig. 3 shows an example of the
 206 simulated distributions of unreacted cement, capillary and gel pores, and hydration products for a concrete at 0.4
 207 w_0/c ratio that has hydrated for 28 days.

208

209 2.4. Calculation of chloride diffusivity

210

211 Initially, the ITZ contains a higher water/cement ratio and more porosity than the bulk paste. As the cement
 212 hydrates, the porosity is reduced throughout, but remains higher in the ITZ. The previous section described how
 213 the porosity can be quantified as a function of distance from the aggregate surface at any time during hydration.
 214 The next step is to apply these results to define the diffusivities of the ITZ shells and bulk paste in our composite
 215 model (Fig. 1b).

216 Zheng & Zhou [18] presented a semi-empirical equation for the steady-state chloride diffusivity of Portland
 217 cement pastes, which was derived by considering the cement paste as consisting of impermeable solids and pore
 218 space. By introducing a hypothetical homogeneous medium of non-zero diffusivity and applying the effective
 219 medium model described in Koelman & de Kuyper [19], the chloride diffusivity of the cement paste was shown
 220 to be a function of the porosity and the diffusivity of chloride ions in the pore solution:

$$221 \quad D_{cp} = \frac{2f_p^{2.75}D_p}{f_p^{1.75}(3-f_p)+14.4(1-f_p)^{2.75}} \quad (15)$$

222 where D_{cp} and D_p are the chloride diffusivity of the cement paste and the pore solution respectively. The
 223 exponents for porosity are derived from percolation theory [19, 20] and the value 14.4 is a fitting parameter from
 224 two sets of experimental data for ASTM Type 1 cement pastes with w_0/c ratios ranging from 0.4 to 0.8 [18].
 225 We note that D_p is the only non-measurable parameter in the model and needs to be calibrated with
 226 experimental results from neat cement pastes. D_p accounts for pore geometry effects and physico-chemical
 227 interactions, and so should be significantly lower than the diffusivity of chloride ions in bulk water ($=2.0 \times 10^{-9}$
 228 m^2/s at 20°C) [21]. Eq. (15) has been further tested on three independent sets of experimental data showing
 229 reasonably good agreement [18]. It also appears to fit both experimental data obtained by using simple diffusion
 230 cells or electro-migration.

231

232 Once the ITZ shells and bulk paste have been assigned with their respective diffusivities, the final step in the
 233 model is to derive the effective diffusivity of the composite sphere model by applying a concentration gradient in
 234 the radial direction and using a transfer matrix method [9]. For steady-state diffusion, the chloride content
 235 $C(r, \varphi, \theta)$ in a homogeneous and isotropic medium should satisfy the following governing equation [22]:

$$236 \quad \nabla^2 C(r, \varphi, \theta) = 0 \quad (16)$$

237 where ∇^2 is the Laplacian operator in spherical polar coordinates with the origin at the common centre of the
 238 spheres; r , φ and θ are the distance from the centre, and the polar and azimuthal angles respectively.

$$239 \quad \nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \varphi^2} \quad (17)$$

240 The aggregate phase is assumed to have zero diffusivity so the radial flux $q(r, \varphi, \theta)$ at the aggregate surface
 241 ($r = r_a$) and at the outer boundary of the composite sphere ($r = r_c$) can be written as:

242 $q(r_a, \varphi, \theta) = 0$ and $q(r_c, \varphi, \theta) = \bar{q}_{N+1} \cos \theta$ (18)

243 From the governing Eq. 16 and the boundary conditions in Eq. 18, it can be shown that the general solution for
 244 the chloride content and corresponding radial flux in the i -th homogeneous spherical shell element are in the
 245 form of [22]:

246 $C(r, \varphi, \varphi) = \left(A_{1i} r + \frac{A_{2i}}{r^2} \right) \cos \theta$ and $q(r, \varphi, \varphi) = -\bar{D}_i \left(A_{1i} - \frac{2A_{2i}}{r^3} \right) \cos \theta$ (19)

247 where A_{1i} and A_{2i} are constants that can be determined from the boundary conditions. If the chloride content
 248 and radial chloride flux at $r = r_i$ are expressed as $\bar{C}_i \cos \theta$ and $\bar{q}_i \cos \theta$ respectively, substitution of $r = r_i$ and
 249 $r = r_{i+1}$ into Eq. 19 yields:

250 $\bar{C}_i = \left(A_{1i} r_i + \frac{A_{2i}}{r_i^2} \right)$ and $\bar{q}_i = -\bar{D}_i \left(A_{1i} - \frac{2A_{2i}}{r_i^3} \right)$ (20a)

251 $\bar{C}_{i+1} = \left(A_{1i} r_{i+1} + \frac{A_{2i}}{r_{i+1}^2} \right)$ and $\bar{q}_{i+1} = -\bar{D}_i \left(A_{1i} - \frac{2A_{2i}}{r_{i+1}^3} \right)$ (20b)

252 By rearranging Eq. 20 and eliminating A_{1i} and A_{2i} , \bar{C}_{i+1} and \bar{q}_{i+1} can be expressed in terms of \bar{C}_i and \bar{q}_i as:

253 $\begin{Bmatrix} \bar{C}_{i+1} \\ \bar{q}_{i+1} \end{Bmatrix} = [t^i] \begin{Bmatrix} \bar{C}_i \\ \bar{q}_i \end{Bmatrix}$ (21)

254 where $[t_i]$ is a 2×2 element transfer matrix and its four elements are as follows:

255 $t_{11}^i = \frac{r_i^3 + 2r_{i+1}^3}{3r_i r_{i+1}^2}, t_{12}^i = \frac{r_i^3 - r_{i+1}^3}{3\bar{D}_i r_{i+1}^2}, t_{21}^i = \frac{2\bar{D}_i (r_i^3 - r_{i+1}^3)}{3r_i r_{i+1}^3}, t_{22}^i = \frac{2r_i^3 + r_{i+1}^3}{3r_{i+1}^3}$ (22)

256 By iterating Eq. 22, one can then derive an equation that relates \bar{C}_{N+1} and \bar{q}_{N+1} to \bar{C}_1 and \bar{q}_1 according to the
 257 principle of transfer matrix method [23]:

258 $\begin{Bmatrix} \bar{C}_{N+1} \\ \bar{q}_{N+1} \end{Bmatrix} = [T] \begin{Bmatrix} \bar{C}_1 \\ \bar{q}_1 \end{Bmatrix}$ (23)

259 where the global transfer matrix $[T]$ is:

260 $[T] = \prod_{i=1}^{N+1} [t^{(N+2-i)}]$ (24)

261 It follows from the boundary condition (Eq. 18) that $\bar{q}_1 = 0$ and thus:

262 $\bar{q}_{N+1} = \frac{T_{21} \bar{C}_{N+1}}{T_{11}}$ (25)

263 If the composite sphere model is considered as a monolithic homogeneous medium with an effective diffusivity
264 D_{con} , it is easily shown that:

$$265 \quad \bar{q}_{N+1} = -\frac{D_{con} \bar{C}_{N+1}}{r_c} \quad (26)$$

266 Finally, by comparing Eq. (25) with Eq. (26), it follows that:

$$267 \quad D_{con} = -\frac{T_{21} r_c}{T_{11}} \quad (27)$$

268

269 To apply Eq. (27), it is first necessary to evaluate the effect of the number of ITZ shells, N on the simulation.
270 Obviously, dividing the ITZ into more elements will improve accuracy of the simulation, but at the cost of
271 longer computation time. Fig. 4 shows the effect of N on the calculated diffusivity ratio D_{con}/D_p for concretes
272 with total aggregate volume fraction ranging from 0.2 to 0.8. The simulated concrete has a $w_0/c = 0.5$,
273 aggregate size = 0.15-16mm (Fuller gradation), $h = 35\mu\text{m}$ and curing period of 28 days. It can be seen from Fig.
274 4 that in all cases, D_{con}/D_p increases with N up to about $N=5$. For $N > 5$, D_{con}/D_p achieves a relatively
275 stable value, which suggests that the minimum number of ITZ elements required is about six. As a conservative
276 estimate, we will use $N=10$ for all subsequent simulations.

277

278 3. Results

279

280 3.1. Comparison with previous experimental results

281

282 In this section, we compare the simulated diffusivities from the proposed model with two sets of experimental
283 results found in the literature. The first set, from Delagrave et al. [3], consists of three series of mortars. Series 1
284 was made of French OPC (CPA-CEM I 42.5) at 0.38 w_0/c ratio and 0.3-3mm natural siliceous sand at 0%,
285 19% and 57% volume fractions. 10mm thick samples were cured in saturated lime solution for 28 days and then
286 vacuum saturated in a simulated pore solution (0.08M KOH, 0.025M NaOH). The samples were then tested
287 using a two-compartment diffusion cell similar to the one described by Chatterji & Kawamura [24], containing
288 0.35M NaCl in the simulated pore solution in the upstream compartment. Chloride concentration in the
289 downstream compartment was periodically measured for 15 months and the effective diffusion coefficient was

290 obtained from the steady-state regime according to Fick's first law. Mortars from Series 2 were made of ASTM
291 Type I cement at 0.45 w_0/c ratio, while mortars from Series 3 were made of ASTM Type III cement at 0.25
292 w_0/c ratio. Both contained 0.15-0.6mm crushed siliceous sand at 0%, 30% and 50% volume fractions. 15mm
293 thick samples from both series were cured in saturated lime solution for 3 months then vacuum-saturated in
294 deionised water and tested using a migration cell technique similar to the one described by Buenfeld & El-Belbol
295 [25]. The upstream compartment of the migration cell was filled with a 0.5M NaCl in 0.3M NaOH solution, and
296 a 10V potential was applied across the cell. The chloride concentration in the downstream compartment was
297 monitored for 3 weeks and the migration coefficient was obtained from the steady-state regime according to the
298 Nernst-Planck equation.

299

300 The second set of data is from Yang & Su [26], who tested mortars made of ASTM Type I cement at a w_0/c
301 ratio of 0.40. The mortars contained 0.15-4.75mm sand at 0%, 10%, 20%, 30% and 40% volume fractions. After
302 demoulding, the 50mm thick samples were cured in water for 12 months and then vacuum saturated in deionised
303 water. The samples were tested using a migration cell similar to the one described earlier, except that a higher
304 60V potential was used.

305

306 To apply the proposed model, the ITZ width, h and the chloride diffusivity in the pore solution, D_p need to be
307 predetermined. The ITZ width is known to be related to the cement particle size, and typical values of 20 to
308 50 μ m have been reported in the literature. Thus, the lower and upper bounds for the simulation can be made by
309 taking h equal to 20 and 50 μ m respectively. In well-hydrated and very dense systems, the ITZ may be very
310 small or even non-existent, so we will simulate the case of a thin ITZ (5 μ m) for comparison. The chloride
311 diffusivity in the pore solution D_p , however, is dependent on many factors such as the materials and mix
312 proportions, hydration degree, test conditions and pore structure. Unfortunately, there is a lack of data to
313 quantify this relationship, so D_p can only be determined by fitting results of neat pastes. This produces the
314 following D_p values: $2.8 \times 10^{-11} \text{m}^2/\text{s}$, $9.5 \times 10^{-11} \text{m}^2/\text{s}$ and $1.9 \times 10^{-11} \text{m}^2/\text{s}$ for Series 1, 2 and 3 respectively [3] and
315 $6.2 \times 10^{-11} \text{m}^2/\text{s}$ for the samples from Yang & Su [26]. As expected, D_p is significantly lower than the diffusivity
316 in bulk water or in simulated pore solutions ($\sim 10^{-10} \text{m}^2/\text{s}$) [27].

317

318 Fig. 5 compares the numerical simulations with experimental results, which are plotted against aggregate volume
319 fraction. Note that the actual aggregate size distribution and cement composition from the original references
320 were used as inputs to the proposed model. The measured diffusivities range slightly over one order of
321 magnitude, between 1×10^{-13} and $4.5 \times 10^{-12} \text{m}^2/\text{s}$. The results show that in all cases, the diffusivity decreases with
322 increase in aggregate volume fraction. For samples with w_0/c ratio of 0.38, 0.40 and 0.45 (Figs. 5a, b & d), the
323 measured diffusivities seem to agree well with the estimated values when the ITZ width is taken to be equal to
324 $20 \mu\text{m}$. The errors are between -5% and +3%, except in one instance ($w_0/c = 0.38$, $f_a = 0.55$) where the
325 diffusivity is under-estimated by 26%. For samples with w_0/c ratio of 0.25 (Fig. 5d), the agreement between
326 the measured diffusivity values and the estimated values appear to be reasonable when a smaller ITZ width of
327 $5 \mu\text{m}$ is assumed. However, the discrepancy here is higher than the previous case, that is -12% and +18% for
328 $f_a = 0.3$ and 0.5 respectively. Nevertheless, the smaller ITZ width is consistent with the expectation for this mix,
329 which has the lowest w_0/c ratio.

330

331 3.2. Sensitivity analysis

332

333 A sensitivity analysis was carried out using the proposed model to examine the relative influence of w_0/c ratio,
334 curing period, ITZ width and aggregate volume fraction, size and gradation. We plotted the ratio D_{con}/D_p
335 against aggregate volume fraction for four test cases as described in Table 2, which were designed to examine
336 the effect of changing a particular variable on the simulated diffusivity ratio. Results are shown on separate plots
337 for each test case in Fig. 6, plotted on the same scale to facilitate comparison. The percentage change in
338 D_{con}/D_p due to varying each parameter at the simulated range is also given in Table 3.

339

340 For all cases, the diffusivity decreases significantly with the increase in aggregate content and samples with large
341 w_0/c ratio and short curing age show the highest change in D_{con}/D_p ratio. For instance, increasing the
342 aggregate content from 0 to 60% decreases the D_{con}/D_p ratio by a factor of three for $0.6 w_0/c$, and by a factor
343 of two for $0.4 w_0/c$ ratio. Fig. 6a shows that a reduction in w_0/c ratio will also cause a significant reduction in
344 D_{con}/D_p , as expected. However, this effect decreases at high aggregate contents. At 0% aggregate, D_{con}/D_p for

345 0.6 w_0/c ratio is about three times that for 0.4 w_0/c ratio, while at 60% aggregate, D_{con}/D_p for 0.6 w_0/c
346 ratio is about twice that for 0.4 w_0/c ratio.

347

348 For samples with equal w_0/c ratio and aggregate content, D_{con}/D_p decreases with the increase in curing age
349 (Fig. 6b) and this effect is again more pronounced at low aggregate contents. When the sample is cured longer,
350 the effect of further hydration on D_{con}/D_p becomes smaller. This is probably due to the fact that the rate of
351 hydration and pore filling is higher at early ages and that the difference in porosity between the ITZ and the bulk
352 cement paste becomes smaller as hydration proceeds.

353

354 At equal w_0/c ratio and curing age, increasing the ITZ width results in a small increase in D_{con}/D_p (Fig. 6c).
355 Using a smaller aggregate size and finer gradation (the EVF compared with the Fuller) also increases D_{con}/D_p
356 slightly (Fig. 6d). Nevertheless, comparing Figs. 6 (c & d) with Figs. 6 (a & b), it is evident that the effect of ITZ
357 width and aggregate size on the diffusivity is small compared with aggregate content, w_0/c ratio and curing age.

358

359 **4. Discussion**

360

361 The proposed model has several limitations due to the approximations used in its development. Although its
362 agreement with some experimental data appears to be good, it is only strictly valid within the assumptions used
363 and is not expected to be generally applicable. The model contains several empirical relationships and requires
364 calibration with experimental data, which also limits its ability to predict the properties of in-situ concrete.
365 Nevertheless, as stated in the Introduction, our main objective here is to use the model to investigate how
366 different parameters affect the diffusivity, which would otherwise be difficult to ascertain from experiments.

367

368 Obviously, real mortars and concretes are far more complicated than the three-phase model presented here. Real
369 aggregate particles are not spheres, and therefore have a larger specific surface and create more ITZ than
370 spherical aggregate particles at the same volume fraction. However, this approximation is not likely to have a
371 huge influence on the estimated diffusivities, as evident from our simulations (Figs. 6c & d).

372

373 Some studies have shown that the ITZ width is related to the median size of cement particles used. Our model
374 does not simulate the packing of individual cement particles, but it treats the ITZ width as a variable so that we
375 are not restricted here. In addition, the modelled ITZ is not a single shell of uniform property, but has gradients
376 extending out to its width, which depends on the initial mixture proportions and evolves with hydration.
377 However, the real ITZ microstructure is spatially variable, its width and microstructural gradients are not
378 exhibited uniformly around each and every aggregate particle. Although its average property taken over many
379 locations shows well-defined gradients, its local property is highly variable. The mobility of calcium ions in
380 solution results in preferential nucleation and growth of calcium hydroxide on the aggregate surface, so that the
381 porosity of the ITZ in many locations may be very low due to the presence of these deposits [28]. In our model,
382 this effect is not captured because our approach assumes that the hydration products are always deposited close
383 to the cement from which they form. Bleeding effects may also increase the porosity in other locations. Arguably,
384 mapping an averaged property onto every aggregate particle may be a conservative approach, and this merits
385 further investigation.

386

387 Mortars and concretes also contain entrapped or entrained air voids, which are often assumed to play a negligible
388 role in mass transport since they appear isolated, and so can simply be treated as inclusions that dilute the paste
389 matrix. Large air voids would also have their associated ITZs, and in certain cases, may be packed very close to
390 aggregate particles. These void-paste ITZs are potentially more porous than the aggregate-paste ITZs, since
391 aggregates can absorb some excess water in the fresh state and release it later for hydration of the surrounding
392 paste. Modelling work by Bentz et al. [29] found that increasing the amount of empty air voids from 0 to 10%
393 caused a small decrease in chloride diffusivity of concrete, suggesting that the dilution effect is more dominant.
394 However, unlike aggregate particles, air voids may not be treated as inclusions with zero conductivity depending
395 on their degree of saturation. Samples exposed to water for long periods may have air voids that are saturated
396 with water. We do not know if these effects are significant or may cancel out, as there has been no systematic
397 experimental study to establish the effect of saturated air voids on diffusivity.

398

399 Structures in service are often cracked due to loading and drying shrinkage, but modelling microcracks and its
400 effect on transport properties is extremely challenging. For samples with high aggregate contents, these localised
401 microcracks could become interconnected, thereby potentially compounding any effect of the ITZ. However,
402 there are some experimental and theoretical studies that show that microcracking has very little effect on

403 diffusion compared with pressure-induced flow [4, 30, 31]. This is because permeability is influenced by pore
404 size, while diffusivity is more dependent on the total porosity, which is not significantly increased by the
405 microcracks.

406

407 In modelling the transport process, we assumed that all pores are saturated and that the only transport mechanism
408 occurring is diffusion in the steady-state regime, where free and bound chlorides are already in equilibrium.
409 Furthermore, we assumed that chloride diffusion occurs in both capillary and gel pores. This is an approximation
410 because it is reasonable to expect that the larger capillary pores would play a dominating role at early ages.
411 When these become filled with hydration products at later ages, diffusion would then occur via the smaller gel
412 pores.

413

414 Previous modelling and experimental studies, for example Winslow et al., 1994, have shown that ITZ percolates
415 in samples with high aggregate contents. Our model does not explicitly address this, although it takes the ITZ
416 into account. However, whether or not ITZ percolation influences the composite property depends on the
417 contrast between the ITZ property and that of the bulk matrix [32, 33]. Fig. 7 shows the calculated diffusivity
418 ratio between the ITZ and bulk paste, D_{ITZ}/D_{bulk} , for a range of aggregate contents and ITZ widths, for a
419 concrete with w_0/c ratio of 0.6. The ITZ diffusivity is taken as the average diffusivity of all the ITZ shell
420 elements. It can be observed that the D_{ITZ}/D_{bulk} ratio increases with aggregate content and ITZ width, but is no
421 more than five in the most extreme case. This contrast is considered low, and is not expected to have a
422 significant effect on the overall property.

423

424 The sensitivity analysis shows that the aggregate volume fraction, w_0/c ratio, and curing age are the most
425 significant parameters, while the ITZ width, aggregate size and aggregate gradation have less influence on
426 diffusivity. This finding agrees well with the study by Bentz et al. [29]. It suggests that the changes in total paste
427 volume and total porosity due to mix design and curing have a more significant effect on diffusivity. Increasing
428 aggregate content produces more ITZ, but this is accompanied by a decrease in total porosity because more paste
429 is replaced by the non-porous aggregate particles. At constant aggregate content, increasing the ITZ width or
430 using a finer aggregate size creates more porous ITZ, but this is balanced by a denser bulk paste (lower w/c
431 ratio) as a result of water conservation in the mix. These effects tend to cancel each other so that the overall

432 influence of the ITZ width and aggregate size is negligible within the range examined. Therefore, the influence
433 of ITZ on the overall diffusivity is not significant, despite it being on average more porous and itself having a
434 diffusivity that is several times that of the bulk paste.

435

436 **5. Conclusions**

437

438 In this study, a numerical method for estimating the steady-state chloride diffusivity of mortars and concretes
439 was presented. Mortars and concretes were approximated as three-phase composites representing the non-porous
440 aggregate, bulk cement paste and an inhomogeneous ITZ. The model was calibrated using experimental data and
441 then applied to examine the influence of several parameters on diffusivity. It was found that the chloride
442 diffusivity decreases with increase in aggregate volume fraction, curing period and maximum aggregate diameter,
443 but increases with increase in w_0/c ratio and ITZ width. The most significant parameters however, were the
444 aggregate content, w_0/c ratio and curing age, which control the total porosity of the composite. The net effect
445 of the ITZ on the overall diffusivity was actually small, although its porosity and local diffusivity are higher than
446 those of the bulk paste. This indicates that diffusivity is governed by the volume fraction and microgeometry of
447 the entire pore structure within the cement paste, and not just that within the porous ITZ.

448

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450

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454

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526 **List of table and figure captions**

527 Table 1 Parameters used for calculating the hydration of clinker phases for ordinary Portland cement as a
528 function of time, from Parrott & Killoh [15]

529 Table 2 Experimental design for sensitivity analysis

530 Table 3 Results from the sensitivity analysis showing the percentage change in D_{con}/D_p

531 Fig. 1 Schematics of the three-phase composite sphere model used to represent concrete. The various dimensions
532 and notations used in the model are shown.

533 Fig. 2 Initial distribution of cement particles from aggregate surface at different water/cement ratios. Data is
534 obtained from experimental results of Crumbie [14], which is then fitted using Eq. (4).

535 Fig. 3 Example of simulated gradients for a concrete hydrated for 28 days ($w_0/c=0.40$, ASTM Type 1 cement,
536 aggregate size: 0.15-16mm, $h=25\mu\text{m}$).

537 Fig. 4 Effect of the number of ITZ elements on the calculated diffusivity ratio D_{con}/D_p for various aggregate
538 volume fractions, f_a ($w_0/c=0.50$, ITZ width = $35\mu\text{m}$, curing period=28 days, aggregate gradation=0.15-16mm,
539 Fuller). The simulation shows that $N=10$ is sufficient to obtain representative results.

540 Fig. 5 Comparison between the numerical simulation results with experimental results of Delagrave et al. [3] and
541 Yang & Su [26].

542 Fig. 6 Results from sensitivity analysis showing the effect of a) w_0/c ratio, b) curing period, c) ITZ width and d)
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544 Fig. 7 Effect of ITZ width and aggregate content on D_{ITZ}/D_{bulk} ratio for a concrete with w_0/c 0.6 and ASTM
545 Type 1 cement cured for 28 days.

546

547 Table 1 Parameters used for calculating the hydration of clinker phases for ordinary Portland cement as a
 548 function of time, from Parott & Killoh [15]

Parameter	Clinker phase			
	Alite	Belite	Aluminate	Ferrite
K_1	1.5	0.5	1.0	0.37
N_1	0.7	1.0	0.85	0.7
K_2	0.05	0.006	0.04	0.015
K_3	1.1	0.2	1.0	0.4
N_3	3.3	5.0	3.2	3.7

549

550

551 Table 2 Experimental design for sensitivity analysis

Test case	Simulation parameters				
	w_0/c	Curing period, t (d)	ITZ width, h (μm)	Aggregate D_{max} (mm) & gradation	Aggregate fraction, f_a
(a)	0.4, 0.5, 0.6	28	35	16 (Fuller)	0-0.6
(b)	0.5	3, 7, 14, 28, 56	35	16 (Fuller)	0-0.6
(c)	0.5	28	5, 10, 20, 35, 50	16 (Fuller)	0-0.6
(d)	0.5	28	35	8, 16, 32 (Fuller) 16 (EVF)	0-0.6

552

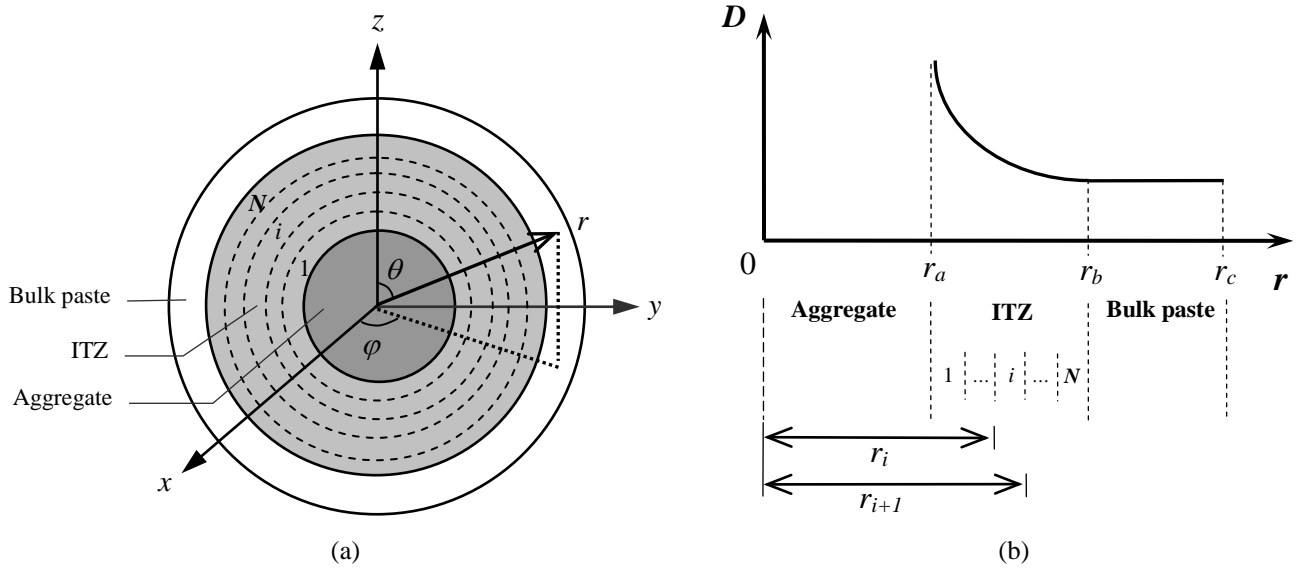
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554 Table 3 Results from the sensitivity analysis showing the percentage change in D_{con}/D_p

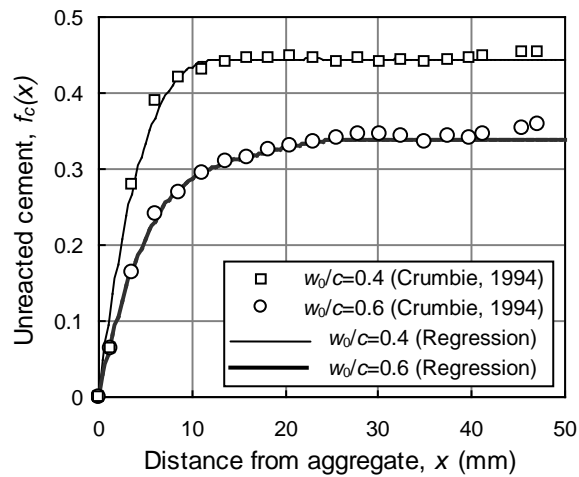
Parameter	Simulated range	Percentage change in D_{con}/D_p	
		$f_a = 0$	$f_a = 0.6$
1. w_0/c ratio	0.4 to 0.6	+ 186	+ 134
2. Curing period	3 to 56 days	- 59	- 42
3. ITZ width	5 to 50 μm	0	+ 27
4. Aggregate volume fraction	0 to 0.6		- 153
5. Maximum aggregate diameter	8 to 32mm	0	- 14
6. Aggregate gradation	EVF to Fuller	0	+ 19

555

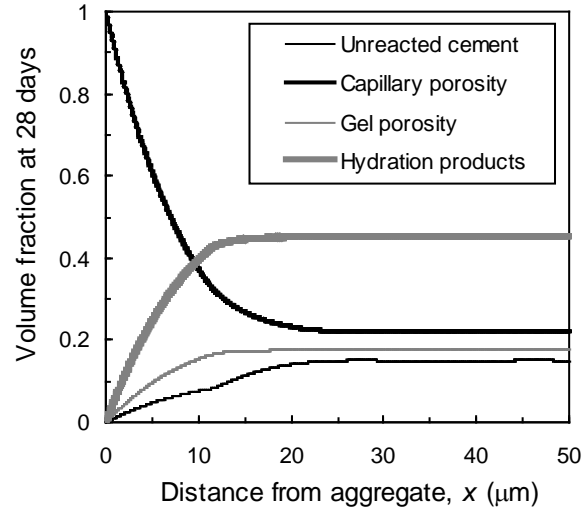
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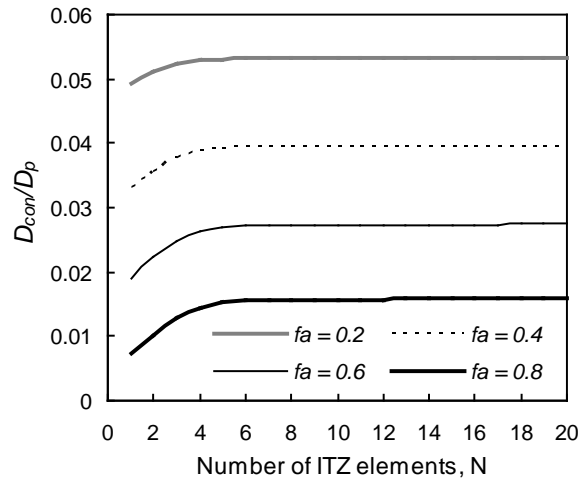
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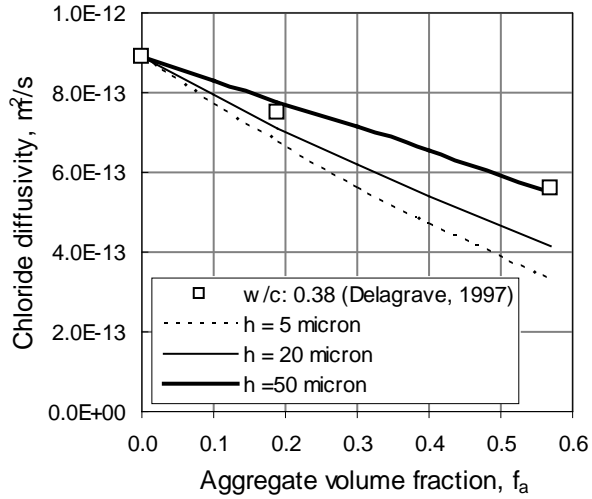
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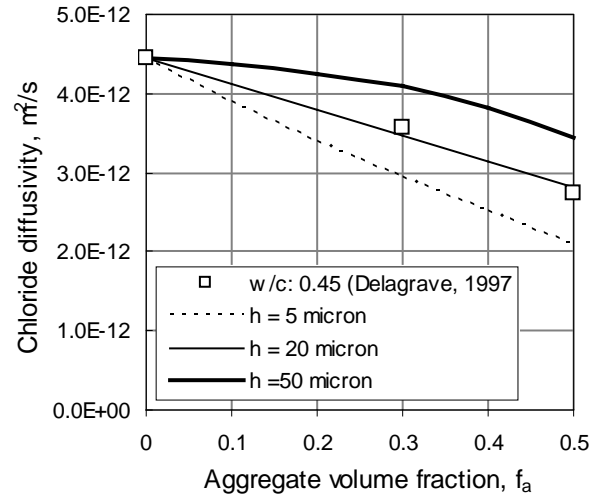
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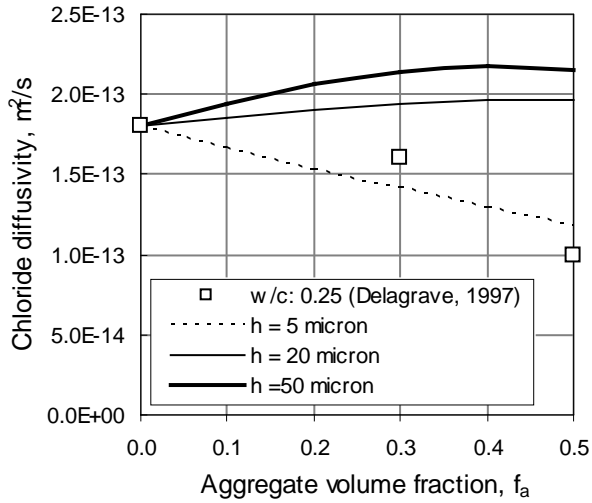
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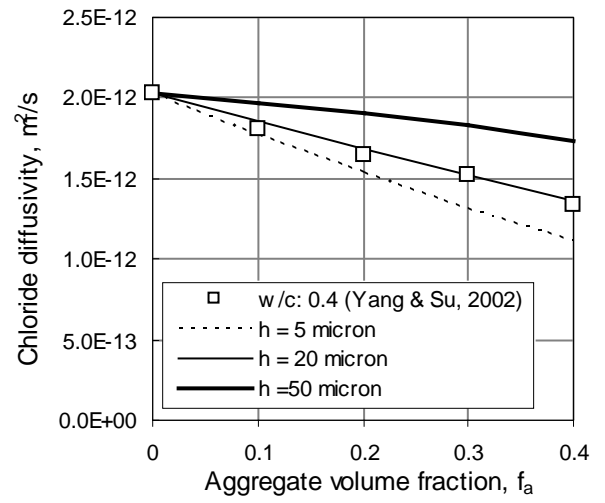
(a) Series 1 w_0/c 0.38 (from Delagrave et al [3])



(b) Series 2 w_0/c 0.45 (from Delagrave et al [3])



(c) Series 3 w_0/c 0.25 (from Delagrave et al [3])

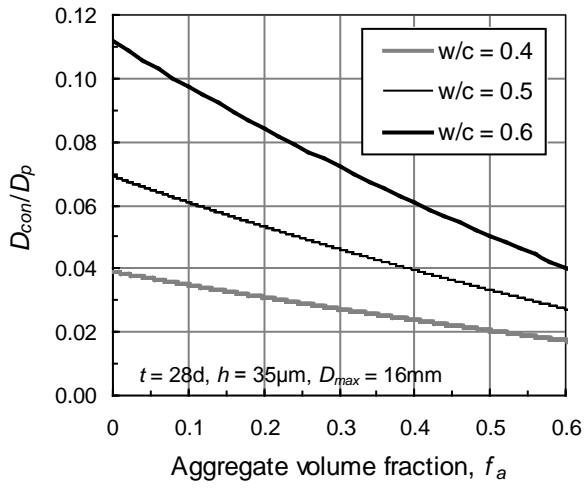


(d) w_0/c 0.4 (from Yang & Su [26])

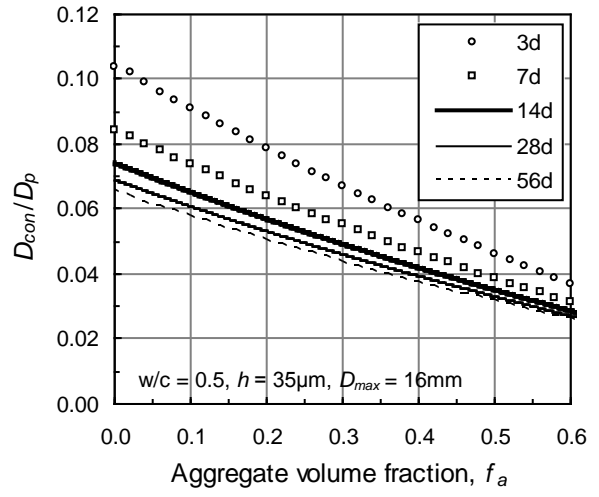
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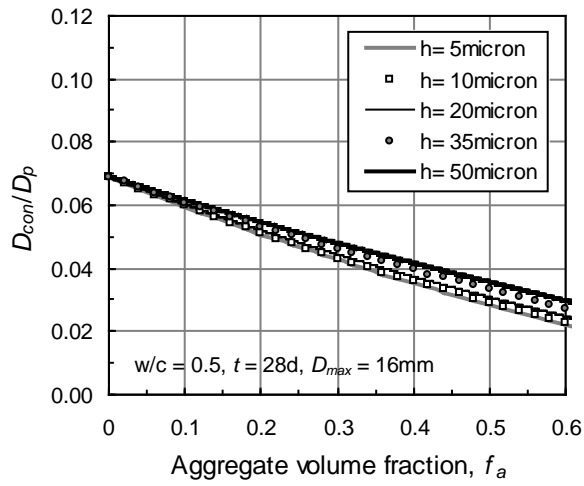
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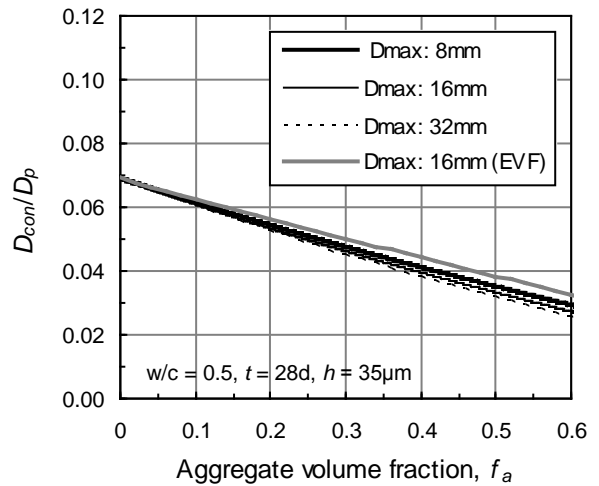
(a)



(b)



(c)

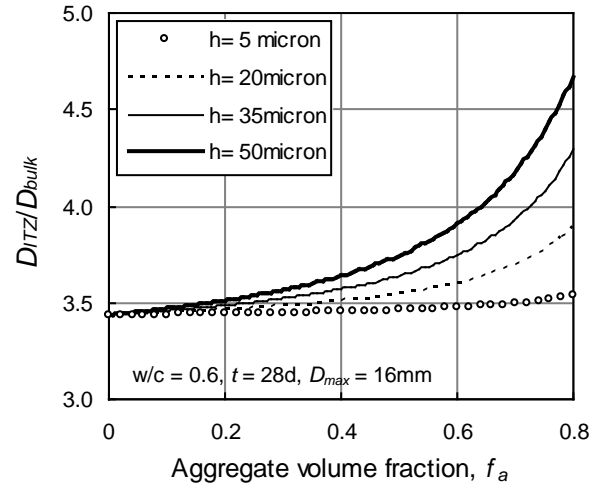


(d)

573 Fig. 6 Results from sensitivity analysis showing the effect of a) w_0/c ratio, b) curing period, c) ITZ width and d)

574 aggregate maximum size and gradation, on the simulated D_{con}/D_p

575



576 Fig. 7 Effect of ITZ width and aggregate content on D_{ITZ}/D_{bulk} ratio for a concrete with w_0/c 0.6 and ASTM
 577 Type 1 cement cured for 28 days.