

1 **An experimental study of the potential for fault reactivation during changes in gas and**  
2 **pore-water pressure.**

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8 **Abstract:** *The injection of CO<sub>2</sub> into a depleted reservoir will alter the pore pressure, which if*  
9 *sufficiently perturbed could result in fault reactivation. This paper presents an experimental*  
10 *study of fault reactivation potential in fully saturated kaolinite and Ball Clay fault gouges.*  
11 *Clear differences were observed in fault reactivation pressure when water was injected, with*  
12 *the addition of mica/illite in Ball Clay seen to reduce the pressure necessary for reactivation.*  
13 *Slip occurred once pore-pressure within the gouge was sufficient to overcome the normal*  
14 *stress acting on the fault. During gas injection localised dilatant pathways are formed with*  
15 *approximately only 15 % of the fault observing an elevated gas pressure. This localisation is*  
16 *insufficient to overcome normal stress and so reactivation is not initiated. Therefore faults*  
17 *are more likely to conduct gas than to reactivate. The Mohr approach of assessing fault*  
18 *reactivity potential gave mixed results. Hydro-mechanical coupling, saturation state,*  
19 *mineralogical composition and time-dependent features of the clay require inclusion in this*  
20 *approach otherwise experiments that are predicted to be stable result in fault reactivation.*

21 **Highlights**

- 22 • The shear apparatus allowed fault reactivation to be observed and investigated for  
23 variations in clay gouge mineralogy

- 24 • Reactivation pressure related to yield strength and starting shear strength in kaolinite  
25 and Ball Clay respectively
- 26 • Gas not able to initiate fault reactivation with faults becoming conductive to gas as  
27 opposed to creating slip
- 28 • Mohr-circle approach to assessing safe pressure changes insufficient to predict  
29 reactivation

## 30 **Keywords**

31 *Fault reactivation; multiphase flow; kaolinite; Ball Clay; shear testing.*

## 32 **1.0 Introduction**

33 The capture of CO<sub>2</sub> from large point source emitters and storage in the form of a super-  
34 critical fluid within geological formations has been identified as a key technology in tackling  
35 anthropogenic climate change (Haszeldine, 2009; Bickle, 2009). To achieve a reduction in  
36 emissions, significant quantities of CO<sub>2</sub> need to be injected into suitable geological  
37 formations capable of containing the fluid for thousands of years. It has been estimated that  
38 approximately 30 billion barrels of CO<sub>2</sub> need to be injected annually (Zoback & Gorelick,  
39 2012). Several demonstration projects have been conducted injecting megatonne scale CO<sub>2</sub>  
40 into depleted hydrocarbons reservoirs, such as at Sleipner (Norwegian North Sea; Arts *et al.*,  
41 2008), Weyburn (Saskatchewan Province, Canada; Wilson *et al.*, 2004) and In Salah  
42 (Algeria; Mathieson *et al.*, 2010). Storage of CO<sub>2</sub> in depleted reservoirs offers the security of  
43 storage with an effective top-seal that previously acted as a seal to hydrocarbons.

44 The use of a depleted reservoir will play a role in the performance of the storage facility.  
45 During depletion, pore pressure within the reservoir will have been lowered during  
46 hydrocarbon extraction and as a result the reservoir will have subsided. The injection of  
47 super-critical fluid into a depleted reservoir will result in the opposite, with pore pressure

48 increased and heave of the reservoir. The use of injection and extraction boreholes can  
49 minimise this effect, with water injected at a rate similar to the extraction rate of the  
50 hydrocarbon during drawdown, and extraction of aquifer water at a similar rate to CO<sub>2</sub>  
51 injection during carbon sequestration. Local deformation will still occur though if the two  
52 boreholes are well spaced, as seen during the In Salah CO<sub>2</sub> storage project in Algeria  
53 (Mathieson *et al.*, 2010). Perturbations of the reservoir pore fluid pressures are required in  
54 order to initiate flow out of, or into the reservoir. These changes in pore pressure, and as a  
55 result the stress state, may result in undesired geomechanical deformation that could affect  
56 the integrity of the overlying seal. Zoback & Gorelick (2012) identified the risk to security  
57 from a geomechanical point of view, while Economides & Ehlig-Economides (2009) showed  
58 that an upper pressure limit exists for CCS, above which the seal is potentially compromised  
59 due to the formation of fractures. However, Vilarrasa & Carrera (2015) state that large  
60 earthquakes are unlikely to be triggered during CO<sub>2</sub> injection in sedimentary basins and  
61 therefore leakage is not likely to be induced. Verdon *et al.* (2013) examined the deformation  
62 observed at injection sites and noted that the geomechanical response was complicated and  
63 non-intuitive at Weyburn, small at Sleipner due to the high permeability of the reservoir, and  
64 uplift and microseismic activity was noted at In Salah. Therefore, reservoirs need to be  
65 considered on an individual basis based on their geometry and the properties of the geology  
66 present.

67 Hydraulic and mechanical interactions play a critical role in reactivating faults at various  
68 scales in the Earth's upper crust (Scholz, 1990). Injection of fluid and the resulting changes in  
69 the stress-state can result in the reactivation of existing faults (Cappa & Rutqvist, 2011;  
70 Segall & Rice, 1995), which can result in felt seismicity. This has occurred in geothermal  
71 projects (e.g. Bachmann *et al.*, 2012; Gan & Elsworth, 2014), waste water injection during  
72 shale gas exploration (e.g. Ellsworth, 2013), during hydraulic fracturing (e.g. Clarke *et al.*,

73 2014; Holland, 2013), and by natural gas injection at the Castor storage site in Spain (Cesca  
74 *et al.*, 2014). However, only micro-seismicity has been observed during Carbon Capture and  
75 Storage (Verdon *et al.*, 2013).

76 Faults with high clay content within the fault core may have a permeability as low as  $10^{-22}$  m<sup>2</sup>  
77 (Faulkner & Rutter, 2000). Such flow barriers within a reservoir may increase overpressure  
78 locally, which could result in fault reactivation (Rutqvist *et al.*, 2007; Rinaldi *et al.*, 2015).  
79 This may create an open migration pathway for CO<sub>2</sub> to escape from the reservoir (Zoback &  
80 Gorelick, 2012), although no correlation between seismicity and leakage was found in  
81 numerical modelling (Rinaldi *et al.*, 2014<sup>a,b</sup>). Experimental work related to fault reactivation  
82 has tended to look at mechanical controls using analogue sand-box experiments (Krantz,  
83 1991; Richard & Krantz, 1991; Dubois *et al.*, 2002; Bellahsen & Daniela, 2005; Del  
84 Ventisette *et al.*, 2006) or examining the flow properties of fault gouge and inferring fault  
85 weakness on geomechanical response (Crawford *et al.*, 2008; Faulkner & Rutter, 2000;  
86 Faulkner & Rutter, 2001).

87 Modelling studies of fault reactivation potential, or slip tendency, have been conducted by  
88 several workers; some of which are summarised here, see Rutqvist (2012) for a more  
89 comprehensive summary of numerical modelling. Streit & Hillis (2004) estimated fault  
90 stability for underground storage of CO<sub>2</sub> based on the Mohr-Coulomb approach of predicting  
91 individual fault strength. A similar approach using slip tendency analysis using the 3-  
92 dimensional Mohr-space has been proposed by Leclère & Fabbri (2013). Williams *et al.*  
93 (2015) calculated slip tendency based on the ratio of shear to normal stress for faults within  
94 the Moray Firth, North Sea, to determine which were critically stressed. A critically stressed  
95 fault is one where the shear stresses acting upon the fault is at the limit of the frictional  
96 strength of the fault, i.e. as soon as stress is increased on the fault it will result in slip. They  
97 found that pore fluid increases as modest as several kPa were sufficient to cause reactivation

98 for certain fault segments, with a maximum pore pressure of 20 MPa. However, Zhang *et al.*  
99 (2015) used a coupled geomechanical–fluid flow modelling approach and demonstrated that  
100 reactivation wasn't likely in the South West Hub of Western Australia. Coupled reservoir-  
101 geomechanical numerical modelling (Rutqvist, 2011) has been used to simulate fault/fracture  
102 zone reactivation induced by CO<sub>2</sub> injections (Cappa & Rutqvist, 2012; Rinaldi & Rutqvist,  
103 2013) to assess the potential for fault instability and shear failure (Cappa & Rutqvist, 2011).  
104 Gan & Elsworth (2014) modelled the role of both pore fluid change and temperature  
105 drawdown on fault reactivation in relation to geothermal projects and showed that  
106 temperature variations needed to be considered when examining fault stability.

107 A fault will remain locked as long as the applied shear stress is less than the strength of the  
108 contact. Karl Terzaghi first showed in 1923 that pore-fluid under pressure has a profound  
109 effect on the physical properties of porous solids (Terzaghi, 1943). In a saturated porous  
110 system, the fluid supports some proportion of the applied load lowering the overall stress  
111 exerted through grains. Strength is therefore determined not by confining pressure alone, but  
112 by the difference between confining and pore-pressures. Hubbert & Rubey (1959) showed  
113 this applies to faults; a pore pressure of  $P_f$  reduces the frictional strength of faults ( $\tau$ ), which  
114 can be represented by a criterion of Coulomb form:

$$115 \quad \tau_f = C + \mu\sigma'_n = C + \mu(\sigma_n - P_f) \quad [1]$$

116 where  $C$  is the cohesive strength of the fault,  $\mu$  is the coefficient of friction,  $\sigma_n$ , is the normal  
117 stress on the fault, and ' denotes effective stress. Byerlee (1978) showed that  $\mu$  ranges  
118 between 0.6 and 1.0, but can be approximated as  $0.75 \pm 0.15$  (Sibson, 1994). Fault  
119 reactivation can therefore occur when shear stress along the fault ( $\tau$ ) equals  $\tau_f$ . This condition  
120 can occur through an increase in shear stress, decrease in normal stress, or an increase in fluid  
121 pressure.

122 This paper presents results from an experimental study aimed at evaluating fault reactivation  
123 potential within the laboratory in two fault gouges. The current study represents the second  
124 stage of a three-part investigation of the potential for fault reactivation during the  
125 sequestration of carbon dioxide. The three parts of the study were; 1) the role of stress history  
126 on fault flow properties, as reported in Cuss *et al.* (2016); 2) quantification of fault  
127 reactivation potential as a result of elevated pore pressure (the current study); and 3) the role  
128 of stress history on fault reactivation. The scenario being investigated is for a static boundary  
129 condition for stress acting on a fault with an increase in pore pressure initiating fault  
130 reactivation; therefore directly simulating an increase in pore pressure in response to the  
131 injection of CO<sub>2</sub> during sequestration. The objectives of the study were:

- 132 • Investigate whether fault reactivation could be detected using a shear apparatus with an  
133 angled fault-plane within the laboratory;
- 134 • Investigate the mechanical properties of two clay gouges during shear;
- 135 • Variation in fault reactivation behaviour between two clay gouges;
- 136 • Variation in fault reactivation potential as a result in elevation of gas or water pressure.

137 In order to simulate a critically stressed fault, gouge material was sheared to a stress  
138 representative of the residual shear strength before pore pressure was elevated. This ensured  
139 that the fault plane was actively stressed. Equation (1) shows that the coefficient of friction  
140 dictates the strength of a fault, although cohesion also contributes to fault strength. Two clay  
141 gouges were selected so as to determine whether different material properties would alter the  
142 potential for fault reactivation, or whether a single parameter could be used to estimate the  
143 stress state at failure for different gouge compositions. The primary aim of the study was to

144 establish maximum pore pressure perturbations that could be employed during carbon  
145 sequestration.

146 Previous experimental work at the British Geological Survey (BGS) on fracture  
147 transmissivity in Opalinus clay (Cuss *et al.*, 2011; 2014<sup>a,b</sup>) and kaolinite gouge (Sathar *et al.*,  
148 2012) showed that hydraulic flow is a complex, focused, transient property that is dependent  
149 upon stress history, normal stress, shear displacement, fracture topology, fluid composition,  
150 and clay swelling characteristics. The current experimental program aimed to extend this  
151 knowledge by investigating the potential for fault reactivation by elevating pore pressure  
152 within gouge filled discontinuities.

## 153 **2 Experimental setup**

154 All experiments were performed using the bespoke Angled Shear Rig (ASR, Figure 1)  
155 designed and built at the BGS. Previous experiments conducted on Opalinus Clay (Cuss *et*  
156 *al.*, 2009; 2011; 2014<sup>b</sup>) showed that fracture topology is a key parameter in controlling fluid  
157 flow along fractures. In order to reduce the number of variables required to fully understand  
158 flow, an analogue discontinuity with smooth fracture surfaces was investigated. The surfaces  
159 of the discontinuity were machined from steel and therefore flow could only occur through  
160 the fault gouge within the discontinuity.

161 The ASR (Figure 1) comprised of 5 key components:

- 162 1. Rigid body that had been designed to have a bulk modulus of compressibility and shear  
163 modulus approximately 2 orders of magnitude greater than the clay gouge tested,  
164 resulting in minimal deformation of the apparatus compared to the test sample;
- 165 2. Vertical load system comprising an Enerpac hydraulic ram that was controlled using a  
166 Teledyne/ISCO 260D syringe pump, a rigid loading frame and an upper thrust block (up

167 to 20 MPa vertical stress, 72 kN force). The Enerpac ram had a stroke of 105 mm, which  
168 meant that it could easily accommodate the vertical displacement of the top block as it  
169 rode up the fault surface at constant vertical load. Note: The vertical stress created by the  
170 ram is not equal to the normal stress perpendicular to the fault plane and represents the  
171 maximum principal (vertical) stress within a reservoir;

172 3. Shear force actuator comprised of a modified and horizontally mounted Teledyne/ISCO  
173 500D syringe pump designed to drive shear as slow as 14 microns a day at a constant rate  
174 (equivalent to 1 mm in 69 days) along a low friction bearing;

175 4. Pore pressure system comprising a Teledyne/ISCO 500D syringe pump that could deliver  
176 either water or gas up to a pressure of 25.8 MPa. The syringe pump delivered fluid  
177 through the centre of the top block directly to the fault surface.

178 5. A state-of-the-art custom designed data acquisition system using National Instruments  
179 LabVIEW™ software facilitating the remote monitoring and control of all experimental  
180 parameters.

181 The experimental fault assembly consisted of precision machined 316 stainless steel top and  
182 bottom blocks (thrust blocks) with a dip of 30 degrees with respect to horizontal (the shearing  
183 direction). The thrust blocks were polished so as not to introduce preferential pathways for  
184 flow. The top block was connected to the vertical loading arrangement by means of a swivel  
185 mechanism which was engaged to the shoulders on either side of the top block. Care was  
186 taken in the design of the swivel mechanism so as to negate rotation and tilting of the top  
187 blocks and shear mechanism. Two pore pressure transducers, attached to ports which were  
188 positioned orthogonally to each other at 15 mm from the central pore fluid inlet allowed  
189 measurement of pore pressures within the fault gouge (see Figure 1). The thrust blocks of the  
190 apparatus were made with a contact area of 60 mm × 60 mm. The lower thrust block was



191 longer than the top one so that the contact area of the experimental discontinuity could be  
192 maintained constant throughout the test.

193 As shown in Figure 1, the shear force actuator acted upon the angled bottom-block of the  
194 apparatus. The movement of the bottom-block was measured using a linear variable  
195 differential transducer (LVDT), which had a full range of  $\pm 25$  mm and an accuracy of 0.5  
196  $\mu\text{m}$ . Vertical travel of the thrust block was measured by a high precision non-contact  
197 capacitance displacement transducer, which had a full range of  $\pm 0.5$  mm and an accuracy of  
198 0.06  $\mu\text{m}$ . Horizontal load was measured using a load cell fitted laterally to the top-block. This  
199 measured the force resultant from lateral movement of the bottom block transmitted through  
200 the clay gouge.

201 Gouge material for the experiments was prepared from either powdered kaolinite or Ball Clay  
202 (as described in Table 1);  $16 \pm 0.1$  g of de-ionized water was added to  $20 \pm 0.1$  g of oven  
203 dried clay powder. The water and clay were then stirred for five minutes giving a fully  
204 saturated paste. The mixed paste was smeared uniformly onto the surface of the top block,  
205 which was then carefully lowered onto the bottom block thus forming a paste gouge. The  
206 initial thickness of the gouge was in the order of 1 mm. However, as no lateral confinement  
207 was made of the clay gouge, thickness decreased to approximately  $70 \pm 10$   $\mu\text{m}$  with loading  
208 up to 10 MPa and clay was squeezed from between the thrust blocks; this excess material  
209 acted as a buffer preventing water from the shear bath entering the fault gouge or causing  
210 sloughing. No lateral gouge confinement was included as this would require sealing elements  
211 that would have a high frictional component along the fault surface compared with the low  
212 frictional properties of the clay.

213 Twenty-eight experiments are described in this paper (Table 2); of these, 13 were fault  
214 reactivation experiments conducted using water as the injected fluid, 7 were fault reactivation

215 experiments conducted with gas as the injection fluid, and the remaining 8 are reported only  
216 for mechanical data. For all 28 experiments the first stage was to conduct a shear experiment.  
217 Once the apparatus had been assembled, vertical stress was increased in steps up to the  
218 desired magnitude. Vertical stress was kept constant by the Teledyne/ISCO syringe pump for  
219 the remainder of the experiment. The shear actuator was initiated to give 1 mm of strain over  
220 a 24 hour period; this equated to a strain-rate of  $1.93 \times 10^{-7} \text{ s}^{-1}$ . Data were logged every  
221 minute throughout the experiment. Within the 24-hour long shear experiment, the gouge had  
222 achieved stable peak stress sliding. After approximately 24 hours the shear actuator was  
223 turned off and constant pressure was maintained in the vertical loading ram.

224 Fault reactivation experiments were performed by injecting fluid into the central port of the  
225 top thrust block. For water injection, de-ionised water was injected at a constant pressure of  
226 0.25 MPa throughout the shear experiment. Once stable pressure had been achieved, the  
227 injection syringe pump was switched to a constant flow-rate of  $0.25 \text{ ml h}^{-1}$ , sufficient to raise  
228 pore fluid pressure within the fault gouge to 10 MPa over a 24-hour period. For gas injection  
229 experiments, an interface vessel was filled with 170 ml of helium at a pressure of 2 MPa.  
230 Cuss *et al.* (2015) showed that the gas entry pressure of kaolinite gouge was in excess of 5  
231 MPa, therefore a starting pressure of 2 MPa would not result in gas flow within the gouge.  
232 The injection syringe pump was switched to constant flow rate operation and delivered 10 ml  
233  $\text{h}^{-1}$  of water into the base of the interface vessel, raising the pressure within the gas to  
234 sufficient levels to allow gas entry within a 5 hour time-frame. Helium was selected as the  
235 permeant as it is inert and to allow direct comparison with previous experiments (Sathar *et*  
236 *al.*, 2012; Cuss *et al.*, 2015). Fault reactivation was observed as an instantaneous reduction in  
237 shear stress and change in vertical displacement of the load frame. Some tests showed single  
238 movements, others showed multiple slip events, whilst some tests showed no sign of  
239 reactivation.

240 Once the time of fault reactivation was known, it was possible to determine the vertical and  
241 horizontal stress at reactivation. Pore pressure was calculated as the average pore pressure  
242 within the fault gouge, this being more representative of the force acting to oppose normal  
243 stress over the complete fracture surface as opposed to the maximum pore pressure, which  
244 represented a localised increase. As shown in Figure 1, radial flow was assumed from the  
245 central injection filter. This would result in a pore pressure gradient as shown in Figure 8a,  
246 giving an average pore pressure within the gouge of  $0.35 P_p$ , where  $P_p$  is the injection  
247 pressure. The recorded vertical and horizontal stress components were rotated to represent  
248 normal and shear stress. Throughout this paper, vertical and horizontal stresses are referred to  
249 when discussing far-field stresses, whereas normal and shear stress are used to discuss the  
250 local stress on the fault.

251 Gas entry-pressure was determined using the methodology described in Cuss *et al.* (2015), by  
252 comparing the pressure predicted from Boyle's law with the observed gas pressure. Using the  
253 ideal gas law it is possible to determine the mass flux into the clay gouge. A departure is seen  
254 between predicted and observed once gas starts to enter the clay; from this the gas entry  
255 pressure is then derived.

### 256 **3 Experimental results**

257 A total of 28 tests were conducted during the current study, as shown in Figure 2 and Table 2;  
258 of these, 22 were conducted on kaolinite and 6 were Ball Clay. All 28 tests are reported here  
259 for their mechanical shear content, the initial stage of each test was identical for all tests.  
260 Following shearing, a total of 20 of the tests were conducted as fault reactivation  
261 experiments; a total of 13 water-injection reactivation experiments were conducted, 7 gas-  
262 injection.

263 Figure 2 shows the results for the 24-hour long shear tests conducted, with all tests conducted  
264 with the same protocols irrespective of whether they were fault reactivation tests or not, or  
265 whether they were gas or water injection. Tests on kaolinite gouge ranged in vertical stress  
266 from 1.1 to 6.4 MPa, while for Ball Clay the range was 2.6 to 6.3 MPa. As shown in Figure  
267 2a and b, good repeatability was seen during repeat testing at given vertical stresses for both  
268 kaolinite and Ball Clay gouges. Figure 2c shows an example result for test  
269 ASR\_BigCCS\_11K and the four parameters that can be calculated for each test. The starting  
270 shear stress is simply the magnitude of stress observed before shear was initiated. The initial  
271 stress-strain response was linear, the slope of which described the shear modulus. In most  
272 tests, this was observed as a well-defined linear response, the deviation from which describes  
273 the yield shear stress. The yield stress was determined as the departure from the linear region  
274 by 0.02 MPa; all tests were checked that this criterion was appropriate and that a similar  
275 result was being achieved as would be by manual identification. The final shear stress  
276 parameter identified was peak shear stress. As shown in Figure 2, all tests showed classic  
277 elasto-plastic behaviour. Therefore the peak stress condition also describes the residual  
278 strength of the gouge. Table 2 outlines the vertical and shear stress for the start, yield, and  
279 peak shear stress conditions.

280 Figure 3 and Table 3 show the results for starting, yield, and peak shear stresses for all  
281 experiments in the current study. As can be seen, the data describe linear relationships with  
282 few outliers. Linear regression is shown in Figure 3 with the intercept set to zero; as shown in  
283 Table 3, this does not significantly reduce the  $R^2$  achieved showing that it is a good  
284 approximation. Comparing the trends for kaolinite and Ball Clay shows that Ball Clay has a  
285 higher starting shear stress; therefore the starting condition is not simply the translation of  
286 vertical stress into the horizontal direction with the difference being due to the mineralogical  
287 difference of the two clays. Ball Clay, however, has lower yield strength with a much reduced

288 linear relationship observed between stress and strain. Ball Clay is also a weaker material and  
289 is not able to sustain as high a shear stress as kaolinite. Therefore the addition of illite, quartz,  
290 and possibly water content are resulting in a reduced strength compared with pure kaolinite.  
291 Figure 4 shows the data for shear modulus; as shown in Table 2 tests ASR\_BigCCS\_19BC  
292 and ASR\_BigCCS\_25Kg gave anomalously low and high shear moduli respectively. Figure 4  
293 shows that kaolinite is a more stiff material when stress is below 5.5 MPa, with Ball Clay  
294 showing greater stiffness above this condition. However, considerable spread is seen in the  
295 kaolinite data compared to Ball Clay, with  $R^2$  of 0.37 and 0.95 respectively. The slope of  
296 peak shear stress represents the coefficient of friction ( $\mu$ ), whilst the intercept represents the  
297 cohesion ( $C$ ) of the material, as shown in Figure 4b. From this parameter it is possible to  
298 derive the angle of internal friction ( $\phi$ ) and fault angle ( $\theta$ ), as shown in Table 4, from the  
299 relationships:

$$300 \quad \mu = \tan\phi \quad \text{and} \quad \phi = 90^\circ - 2\theta \quad [2]$$

301 Figure 5a-c shows an example result from fault reactivation test ASR\_BigCCS\_14BC using  
302 water as the injection fluid. As shown (Figure 5a), the injection of fluid at a constant rate  
303 increased the pore fluid pressure in the fault from the starting average pore pressure of 0.1  
304 MPa up to 9 MPa over a 24-hour period. As pore pressure rose, a series of slip events were  
305 initiated, as shown by a reduction in shear stress (Figure 5b) and change in vertical  
306 displacement (Figure 5c). A total of nine slips occurred, with the first occurring at an average  
307 pore pressure in the gouge of 1.27 MPa. The time between slip events decreased with  
308 subsequent slip events, this was not related to the increase in pore pressure gradient with time  
309 as the pore pressure between slip events also decreased. Therefore the gouge was undergoing  
310 strain softening as a result of reactivation, with further slip events taking less energy to  
311 initiate.

312 All 13 reactivation tests conducted resulted in slip of the critically stressed fault plane as a  
313 result of elevated pore pressure, results are shown in Figure 6, Table 2, and Table 3. The  
314 reactivation pressure is defined as the pore pressure that is sufficient to initiate fault  
315 reactivation and slip. Kaolinite gouge showed good repeatability for the three tests conducted  
316 at 2.7 MPa vertical stress. A linear relationship is seen between reactivation pressure and  
317 vertical stress, with a value of  $R^2$  of 0.91 (Figure 6a, Table 3). This is reduced to 0.39 when  
318 the intercept is set to zero, with this suggesting that reactivation in kaolinite gouge is  
319 controlled by the yield strength of the clay. A less well defined linear relationship is observed  
320 for Ball Clay, with a value of  $R^2$  of 0.56 (Figure 6b, Table 3); note that tying the intercept to  
321 zero does not significantly alter the statistics. The results suggest that the initial starting stress  
322 controls the reactivation pressure. This indicates that Ball Clay has little strength and that the  
323 first slip occurs once vertical stress has been overcome. Plotting reactivation pressure against  
324 vertical stress (Figure 6c) shows that both clays form similar relationships with differences in  
325 the intercept, which may be related to the difference in relative strength of the two clays.  
326 However, plotting the data in the differential stress versus effective mean stress space (Figure  
327 6d) gives a single fault reactivation envelope for both clays.

328 During gas injection, the addition of water in the base of the interface vessel results in an  
329 exponential increase in gas pressure dependent on the starting volume of the gas and the  
330 change in volume, which is related to the rate at which the syringe pump delivers water into  
331 the vessel. The form of the pressure response can be predicted from Boyle's law, as can the  
332 STP (standard temperature pressure) flow of gas into the fault gouge. Initially the STP flow  
333 rate is very small and rises gradually but then the rate of increase of the flow rate abruptly  
334 increases. The pressure at which this occurs is identified as the gas entry pressure. Gas peak  
335 pressure is simply the maximum gas pressure experienced. Gas breakthrough is the pressure  
336 when gas was able to reach the outside of the top block, resulting in a reduction in gas

337 pressure. Table 5 shows the gas entry and maximum gas pressure for all gas injection  
338 experiments. Note that test ASR\_BigCCS\_22Kg was started from 2.5 MPa, which was  
339 greater than the gas entry pressure.

340 The results for the fault reactivation tests conducted on kaolinite using gas as the injection  
341 fluid markedly contrast with the results seen for water injection (Figure 5d-f, Table 2). Only  
342 one test resulting in evidence of fault reactivation, as shown in Figure 5d-f. Assuming radial  
343 flow, this occurred at an average pore pressure within the gouge of 1.65 MPa, which is lower  
344 than that seen during water injection (average of 2.1 MPa). As shown in Figure 5d, fault  
345 reactivation resulted in increased flow into the gouge, as seen by a marked change in slope of  
346 pore pressure, this increased until gas pressure peaked at 5.58 MPa, when gas injection was  
347 stopped. This was followed by a reduction in pressure to approximately 1 MPa as gas escaped  
348 along a conductive pathway between the injection filter and the outside of the gouge. The  
349 reduction of gas pressure accelerated at Day 1.13, suggesting that a further gas pathway had  
350 managed to reach breakthrough.

351 Figure 7 shows the results from the fault reactivation experiments using gas as the permeant.  
352 No sensitivity to vertical stress was observed in gas entry pressure or the maximum gas  
353 pressure achieved (Figure 7a). Only one experiment resulted in fault reactivation. As seen,  
354 gas pressure was not able to achieve the level observed during water injection, except for one  
355 test conducted at a low vertical stress of 1.13 MPa. However, this test did not show any signs  
356 of fault reactivation. Figure 7b shows that no significant differences were apparent in shear  
357 stress between tests conducted with gas or water injection. As plotted, the shear stress at gas  
358 entry and that during reactivation with water entry perfectly correspond, clearly  
359 demonstrating that mechanically there were no differences between the two types of test.

#### 360 **4 Discussion**

361 The current study successfully reproduced fault reactivation in the laboratory and allowed  
362 differences to be noted between water and gas injection, as well as variations related to clay  
363 gouge mineralogy.

364 The mechanical aspects of the current study produced well constrained data for two fault  
365 gouges. Very good repeatability was seen for repeat tests conducted at near identical  
366 boundary conditions. Well constrained linear relationships were noted for starting, yield and  
367 peak shear stress. Few outliers were seen in all tests and these occurred in the starting shear  
368 stress. These tend to remain unexplained and are probably due to small shear movements  
369 occurring during the setup of the experiment. It should be noted that the anomalous data  
370 points did not result in anomalous yield or peak strength results; strengthening the assumed  
371 hypothesis of shear movement during setup. As starting shear stress is not the primary dataset  
372 these are not viewed as problematic. The differences between the starting shear stress for the  
373 two gouges is likely to represent variations in cohesion. Although zero cohesion has been  
374 assumed, a better fit to the Ball Clay data is achieved with cohesion of 0.33 MPa (Table 4),  
375 whereas little change is seen in kaolinite. However, the addition of quartz and mica/illite  
376 results in more vertical stress being translated into the horizontal direction, suggesting that  
377 Ball Clay is a weaker material with less frictional strength. This is also apparent in the peak  
378 stress condition and lower coefficient of friction. This observation is in contrast with  
379 Crawford *et al.* (2008), who showed that sheared gouge samples showed a continuous  
380 reduction in frictional strength with increasing clay fraction. This suggests that either the  
381 mica/illite content played a significant role in weakening the gouge, or that the nature (grain  
382 size, roundness etc) differed between the two studies. It could also be a result in variations in  
383 clay saturation, although in all tests the gouge was close to 100 % saturation. Figure 4 shows  
384 that the results from this study correspond with Byerlee's law (Byerlee, 1978) and therefore  
385 that the measured values are consistent with natural rocks.



386 The fault reactivation study was able to clearly identify reactivation. However, some  
387 hydraulic injection tests resulted in single reactivation, whereas others resulted in multiple  
388 slip-events (see Figure 5b). The cause for this is uncertain. One hypothesis may be that a  
389 larger single slip event releases more energy than a smaller one. However, no variation in  
390 shear stress reduction or magnitude in dilation was observed. In general, all slip events using  
391 water tended to have similar magnitudes in shear stress reduction and dilation. Variations in  
392 the number of slip events were seen for the four tests conducted with a kaolinite gouge at a  
393 vertical stress of about 2.6 MPa. Figure 8a shows the assumed pore pressure distribution  
394 within the fault gouge. Cuss *et al.* (2011) reported that not all of a fracture surface in  
395 Opalinus Clay was conductive during hydraulic flow and that deformation along a sheared  
396 fracture was localised into zones of differing texture. It is possible that the initial pore  
397 pressure distribution is similar to that described by Figure 8a, but as slip occurs the gouge is  
398 modified resulting in parts becoming conductive, whilst other parts are self-sealed by the  
399 shear movement. In tests that showed limited slip events it is possible that the gouge  
400 contained conductive channels following shear that resulted in pore pressure dissipation and  
401 pressure not increasing as expected. In tests that did show multiple slip-events, these channels  
402 did not result in pore pressure dissipation and pressure continued to ramp, becoming  
403 sufficient to cause further slip events. Data is not available to fully determine the reasons for  
404 these observations.

405 The results for hydraulic injection produced reliable data that showed a marked difference  
406 between the two clay gouges. As shown in Figure 6, reactivation tended to occur when the  
407 average pore pressure exceeded the yield strength of kaolinite, whereas in Ball Clay  
408 reactivation occurred at a stress below the initial starting shear strength. This results in two  
409 different reactivation envelopes as shown in Figure 6c. This clearly shows that mica/illite  
410 and/or quartz reduces the stress at which a fault will reactivate. However, considering data in

411 the effective mean stress versus differential stress space ( $Q$ - $P$ ) results in a well constrained  
412 single reactivation envelope, as seen in Figure 6d. Effective mean stress ( $P$ ) is defined simply  
413 as the mean stress minus the effect of pore pressure, i.e.  $P = ((\sigma_1 + \sigma_2 + \sigma_3)/3) - P_f$ . The  
414 differential stress ( $Q$ ) is simply defined as the difference between the maximum and  
415 minimum principal stresses, i.e.  $Q = \sigma_1 - \sigma_3$ . This suggests that in  $Q$ - $P$ , mineralogy plays no  
416 role in determining reactivation. This envelope suggests that reactivation will occur when  
417 differential stress is 2.5 times the effective mean stress:

$$418 \quad Q = 2.5P \quad [3]$$

419 This relationship can be used to determine the pore pressure likely to cause fault reactivation  
420 along existing features. Therefore the likelihood of fault reactivation is dependent on pressure  
421 within the storage reservoir, the magnitude of which will depend on the quantity of fluid  
422 injected and the flow properties of the reservoir.

423 A marked difference was noted for fault reactivation when gas was injected into the clay  
424 gouge. In general, it can be stated that fault reactivation was not possible when gas was  
425 injected. As shown in Figure 8a, modelled pore pressure distribution in the clay gouge  
426 assuming radial flow would result in a pore pressure of approximately 300 kPa at the  
427 monitoring pore pressure filter location on the fault surface given the experimental boundary  
428 conditions. However, Figure 8b shows typical data recorded during gas and water injection  
429 experiments (tests reported in Cuss *et al.*, 2014<sup>a</sup>), showing that pore pressure within the  
430 gouge was significantly less than 300 kPa. For the case of gas injection the pore pressure  
431 observed in the gouge was effectively atmospheric, indicating no elevation of pore pressure  
432 as a result of gas injection. All tests were typical of this response. In order to understand gas  
433 and water flow in clay gouge a number of observations can be drawn upon. In Cuss *et al.*,  
434 (2011) it was reported that less than 50 % of a fracture surface was hydraulically conductive

435 in Opalinus Clay, as identified from the injection of fluorescein. In Sathar *et al.* (2012) it was  
436 reported that localised streams of bubbles were seen following gas breakthrough in injection  
437 experiments. These observations led to the development of the Fracture Visualisation Rig  
438 (see Wiseall *et al.*, 2015). Using a 50 mm thick 110 mm diameter quartz fused glass window,  
439 water and gas injection into clay gouge can be observed. As shown in Figure 8c, the injection  
440 of gas into a kaolinite gouge results in the formation of a number of dilatant gas pathways,  
441 until a pathway reaches the outside of the apparatus and facilitates breakthrough, resulting in  
442 the elastic closure of the dilatant pathways. This helps to explain the low pore pressure within  
443 the gouge, with no pathway intercepting the pore pressure observation ports. As reported in  
444 Cuss *et al.* (2012<sup>a</sup>; 2014<sup>a</sup>), clay rich materials are able to sustain very high pressure gradients  
445 when gas is injected. Even when gas is flowing, the elevated gas pressure is not transmitted  
446 to the bulk pore fluid. Therefore this is not a phenomena restricted to the geometry of the  
447 current experimental apparatus, the clay gouge selected, or saturation of the gouge.

448 Figure 9 shows the conceptual model to explain the differences seen between water and gas  
449 injection. During water injection, radial flow is observed resulting in a pore pressure  
450 distribution within the clay gouge. The force exerted perpendicular to the fault can be equated  
451 as the average pore pressure within the gouge. This means that an elevated pressure sufficient  
452 to overcome cohesion within the gouge is possible, resulting in slip. In the case of gas  
453 injection, localised dilatant gas pathways are formed. This compresses the clay walls either  
454 side of the pathway, but results in only a localised perturbation of the clay. Although large  
455 gas pressures may be present within the dilatant features, the average pore pressure within the  
456 gouge is much less than for corresponding pressures of water injection. Figure 8d suggests  
457 that a maximum of 15 % of the gouge would be made of dilatant gas pathways, meaning that  
458 the force exerted perpendicular to the fault would be much less than for water injection; a  
459 multiplier of injection pressure of 0.35 for water and 0.14 for gas. The flow properties of

460 kaolinite and Ball Clay are such that it is much easier for a dilatant pathway to form and  
461 propagate to a condition of breakthrough, than it is to result in an average force sufficient to  
462 overcome the vertical stress and cohesion of the gouge, which would result in slip.

463 One anomalous observation was the single gas injection experiment that resulted in fault  
464 reactivation (test ASR\_BigCCS\_23Kg). This occurred at a gas pressure of 4.71 MPa, which  
465 is less than the absolute water pressure (average of 6 MPa) seen to cause reactivation during  
466 hydraulic testing. As discussed above, pore pressure is not well transmitted from the gas  
467 phase to the water-saturated clay, as seen by low pore pressure within the gouge. Therefore,  
468 the upward force acting on the surfaces of the fault would be highly localised. Each test was  
469 conducted as identical as practicable, using the same mixture of clay, setting up procedures,  
470 quantity of gas, and gas injection rate. As seen in Figure 2a and Table 2, the mechanical part  
471 of the experiment gave near identical results for test ASR\_BigCCS\_23Kg as  
472 ASR\_BigCCS\_26Kg, the latter of which did not reactivate. However, Figure 5 clearly shows a  
473 reactivation event at a time that does not correspond with initial gas entry, with a small  
474 reduction in shear stress and change in vertical displacement. This shear movement resulted  
475 in an increased gas flow into the gouge. Repeating the experiment (test ASR\_BigCCS\_26Kg)  
476 and conducting a further experiment at lower vertical stress (test ASR\_BigCCS\_27Kg)  
477 showed no evidence of reactivation. Close examination of the test data for test  
478 ASR\_BigCCS\_23Kg has not identified anything different between this and the non-  
479 reactivating gas injection tests and the reason for slip remains undetermined.

480 Gas transport properties showed no sensitivity to vertical stress, with a constant gas entry and  
481 maximum gas pressure. Part one of the current study, as defined in the introduction and  
482 reported in Cuss *et al.* (2016), examined the hydraulic flow properties of kaolinite gouge as a  
483 function of vertical stress. This data showed a clear reduction in hydraulic transmissivity of  
484 kaolinite gouge, reducing from 4.3 to  $1.5 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$  between a vertical stress of 0.8 and 10

485 MPa. Such a reduction would be expected for gas flow. As described in Cuss *et al.* (2015),  
486 repeat testing in the current apparatus resulted in a repeatable gas entry pressure, but once gas  
487 flow was initiated, little repeatability in flow properties was observed. This was attributed to  
488 differences in the number and distribution of pathways, as shown during fracture  
489 visualisation tests (Wiseall *et al.*, 2015; Figure 8c). The pressure at which gas pathways form  
490 is reproducible as dictated by the strength of the gouge. Once formation begins, the number  
491 of pathways arbitrarily alters and therefore transport properties also vary. It would be  
492 expected that as the gouge is compressed to a greater degree by increased vertical stress that  
493 gas entry would increase. However, the nano-metre scale of clay minerals means that the  
494 entry pressure is not altered. This might change at greater vertical stresses or if gouge was not  
495 able to be squeezed out from between the thrust blocks. Cuss *et al.* (2015) report the variation  
496 in flow properties for fractures of varying orientation to the shear direction under constant  
497 vertical stress. Experiments conducted at 0, 15, 30 and 45° degrees to the shear orientation at  
498 constant vertical stress can be viewed as variations in normal stress to a single fracture. As  
499 with the current study, little variation in gas entry pressure was observed.

500 The primary aim of this study was to test experimentally the controls on fault reactivation and  
501 the safe operational pressure limits of CCS. It is common to apply Mohr-Coulomb concepts  
502 to estimate fault reactivation potential and therefore the current study is presented in Mohr  
503 space in Figure 10, with the frictional sliding envelope determined from the coefficient of  
504 friction shown in Figure 4b. The fault angle represents the slip-plane with respect to the  
505 direction of shear. For the current experimental set-up the 2-D Mohr circle has been used,  
506 with the size of the Mohr circle bound by the vertical stress and the horizontal stress.

507 Some tests resulted in fault reactivation at a pressure very close to that predicted by the Mohr  
508 approach (e.g. Figure 10a,b). Contrary, tests shown in Figure 10c,d show that reactivation  
509 occurred at a stress far below the pressure predicted from the frictional sliding envelope.

510 These tests show a stress state that should be stable. Figure 10e shows an example of a test  
511 where reactivation occurred at a pore pressure greater than predicted. Generally these results  
512 are mixed. Some tests are successfully predicted, some under-estimated and some over-  
513 estimated. An under-estimate of pore-pressure variation is acceptable, where an over-estimate  
514 means that faults that are predicted to be stable would in fact slip. Figure 10f shows the  
515 results for the single gas test that resulted in reactivation. As seen, the Mohr approach shows  
516 that reactivation should have occurred at this gas pressure and that the approach would appear  
517 valid. However, Figure 10g,h show that at least three tests, with possibly a fourth, were at a  
518 stress condition where reactivation should have been observed. Therefore the localised nature  
519 of gas pathway formation is not fully accounted for in the approach. Given the mixed results,  
520 caution needs to be used when using the Mohr approach to determining fault reactivation  
521 potential. Should a maximum pore pressure be restricted to 0.5 – 0.75 of the pore pressure  
522 predicted by the Mohr approach then this approach may be satisfactory.

523 The Mohr-Coulomb approach to predicting fault reactivation is used by many studies  
524 reported, e.g. Cappa & Rutqvist, 2011, 2012; Rinaldi & Rutqvist, 2013; Rinaldi et al., 2015.  
525 The current study suggests that as a first approximation the approach is valid, although the  
526 complete prediction of the pore-pressure is more complex. This may be due to artefacts of the  
527 experimental set-up or be associated with complex coupling that occurs as a result of the  
528 hydro-mechanical properties of the clay gouge that are not fully described by the simplified  
529 approach presented here. It is clear that this is an area that requires further research in order to  
530 fully appreciate the physics driving fault reactivation. The observations of the current study  
531 also suggest that free-gas will not result in fault reactivation. However, it should be  
532 acknowledged that the experimental geometry meant that gas was able to drain from the fault  
533 gouge and that in nature sufficient quantities of gas may become present within faults to  
534 initiate reactivation.

535 One limitation of the current study was not being able to inject super-critical CO<sub>2</sub>. Therefore  
536 the emphasis of the study was on changes in pore-water pressure as a result of CO<sub>2</sub> injection  
537 and should free-gas be present in the reservoir, the consequence of elevated gas pressure on  
538 existing faults. The influence of super-critical CO<sub>2</sub> directly in contact with faults was not  
539 investigated, nor was the influence of CO<sub>2</sub> should a gaseous phase form. The study was  
540 conducted at low pressures compared with in situ stress states and further investigation is  
541 needed to determine whether similar findings would be found at representative reservoir  
542 pressures.

## 543 **5 Conclusions**

544 This paper presents results from an experimental study of 28 shear tests on a simulated fault  
545 angled 30° to the shear direction with a fault gouge of kaolinite or Ball Clay. The main  
546 conclusions of the study were:

- 547 • Mechanical data showed good repeatability, with Ball Clay having less frictional  
548 strength, but becomes stiffer than kaolinite at vertical stresses greater than 5 MPa. Good  
549 linear relationships were seen for starting, yield and peak shear stress; the latter  
550 corresponding to the coefficient of friction for the gouge material, with achieved results  
551 correspond with Byerlee's law.
- 552 • The addition of mica/illite and/or quartz reduces the cohesive strength of the gouge. As  
553 Crawford *et al.* (2008) showed that quartz content increases the frictional properties it is  
554 likely that mica/illite is responsible for the reduction in cohesion.
- 555 • Fault reactivation occurred at pressure related to the yield strength in kaolinite and at a  
556 pressure less than the starting shear stress in Ball Clay. This shows that Ball Clay has a  
557 much lower frictional strength than kaolinite. A single envelope was achieved for fault  
558 reactivation potential when data were viewed in the differential ( $Q$ ) versus effective

559 mean stress ( $P$ ) space; stating reactivation will occur when  $Q = 2.5 P$ . This suggests that  
560 the  $Q$ - $P$  representation is irrespective of mineralogy, at least for the range of conditions  
561 tested in the current work.

562 • During gas injection, only one test showed reactivation and this occurred at a pressure  
563 predicted by the Mohr approach. However, 3 further tests predicted to slip showed no  
564 evidence of movement.

565 • Gas entry and maximum gas pressure showed no pressure sensitivity to vertical stress.  
566 The gas entry pressure is dictated by the frictional properties of the clay gouge, which do  
567 not significantly alter over the range of vertical stresses investigated. The maximum  
568 pressure achieved is also related to the frictional properties and therefore also showed  
569 little to no sensitivity to vertical stress over the limited stresses investigated.

570 • Gas injection results in localised discrete pathways, with pressure elevated in  
571 approximately 15 % of the fault area. This means that the average pressure exerted  
572 normally to the fault is not sufficient to induce slip. During hydraulic injection the pore  
573 pressure distribution is more evenly dispersed and results in a greater normal force that is  
574 sufficient to initiate slip. No difference is seen in the mechanical data, demonstrating that  
575 the lack of reactivation is only due to the localisation of gas flow.

576 • The frictional properties of the fault gouge dictate that it is more likely to become  
577 conductive to gas than to reactivate.

578 • The Mohr approach of assessing fault reactivity had mixed results, but is generally  
579 viewed as a valid approach. Some tests had good predictions of pore pressure at  
580 reactivation, whilst most were either under or over-estimated. An over-estimate of pore  
581 pressure adds a safety margin to predictions and is acceptable. However, an under-  
582 estimate in gas pressure means that faults predicted to be stable may in reality reactivate.  
583 Given the mixed results, caution needs to be used when using the Mohr approach to



584 determining fault reactivation potential. A safety margin can be used to ensure that  
585 favourably oriented faults do not reactivate. In the simple form presented, the Mohr-  
586 Coulomb approach did not capture the full complexity observed. This is likely a result of  
587 flow localisation resulting in complex pore-pressure distributions or due to hydro-  
588 mechanical coupling, which is complex in clays.

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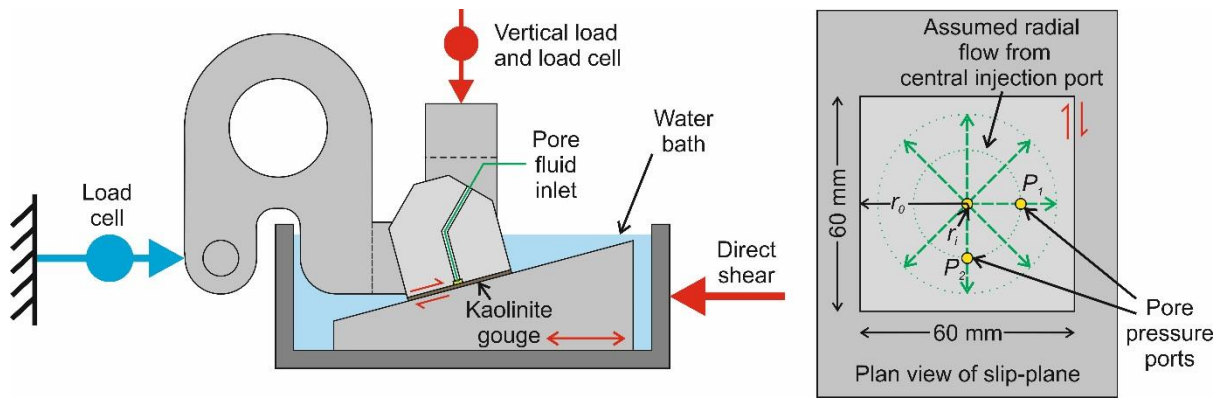
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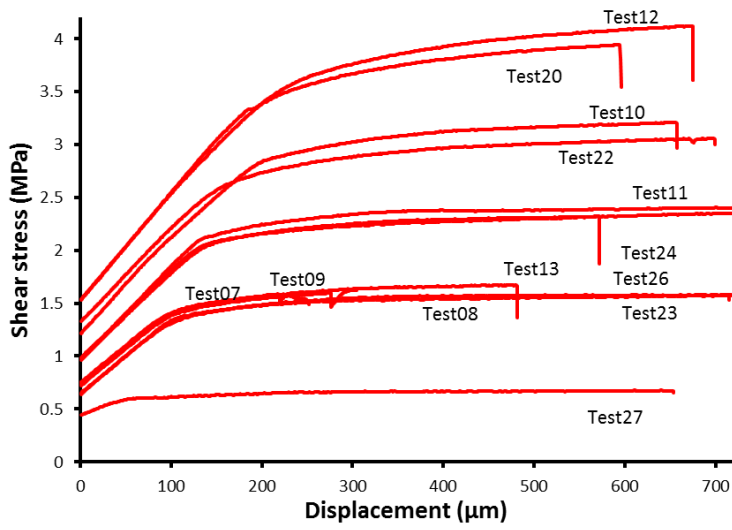
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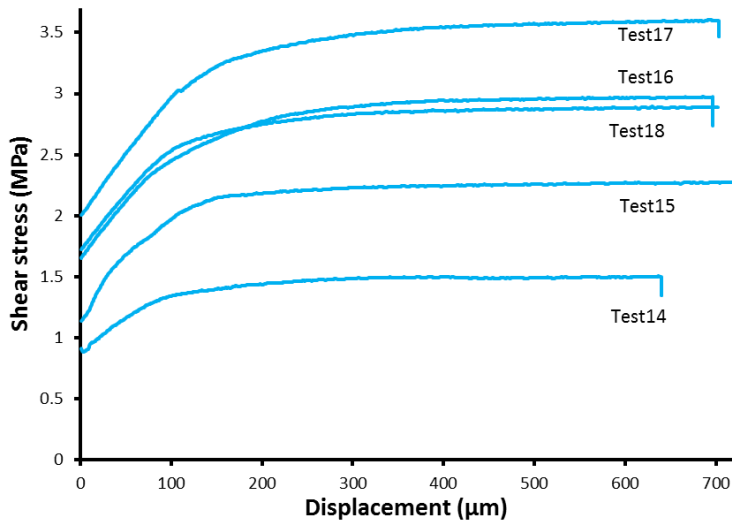
750 **Figure 1** Schematic of the Angled Shear Rig (ASR).

751 a)

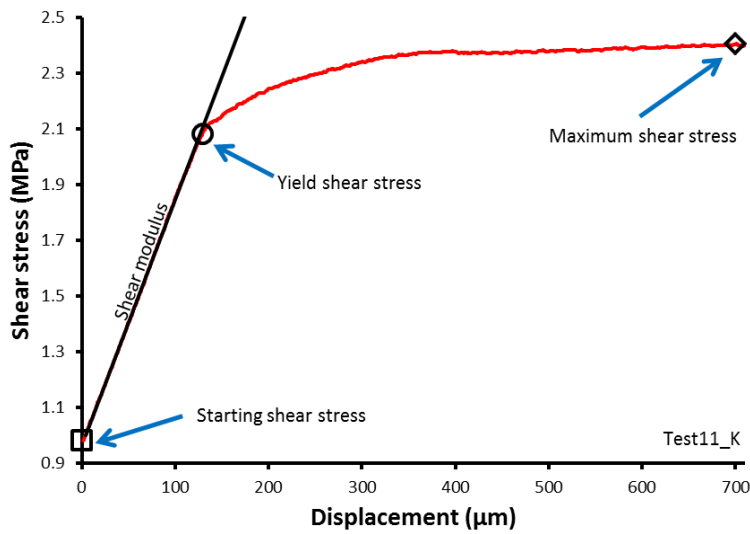


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753 b)



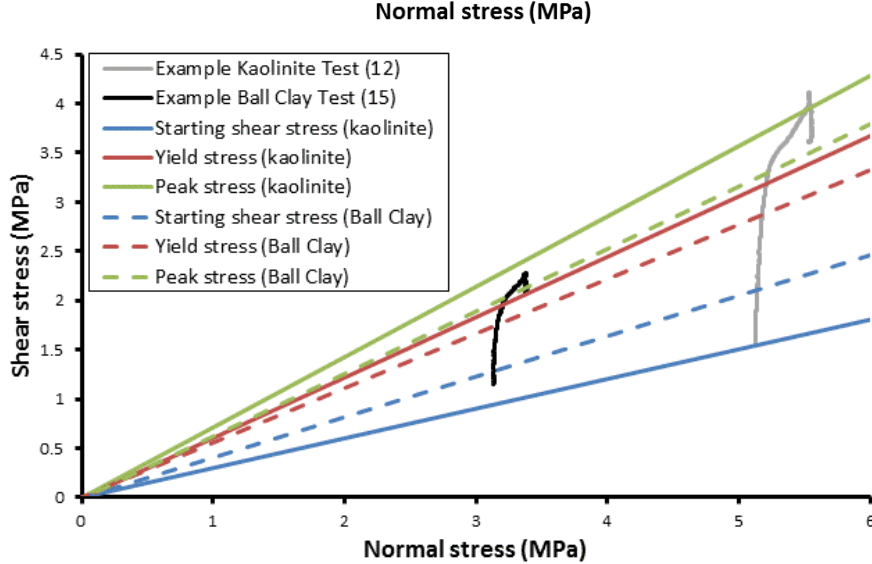
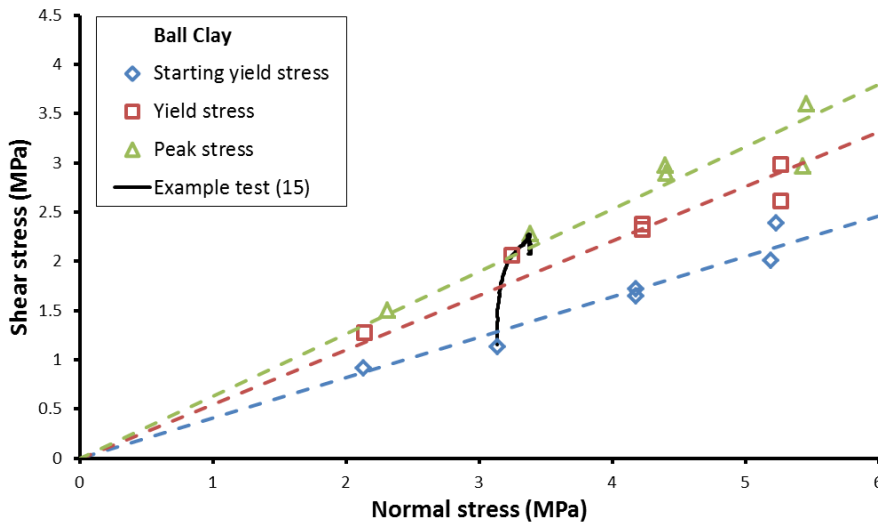
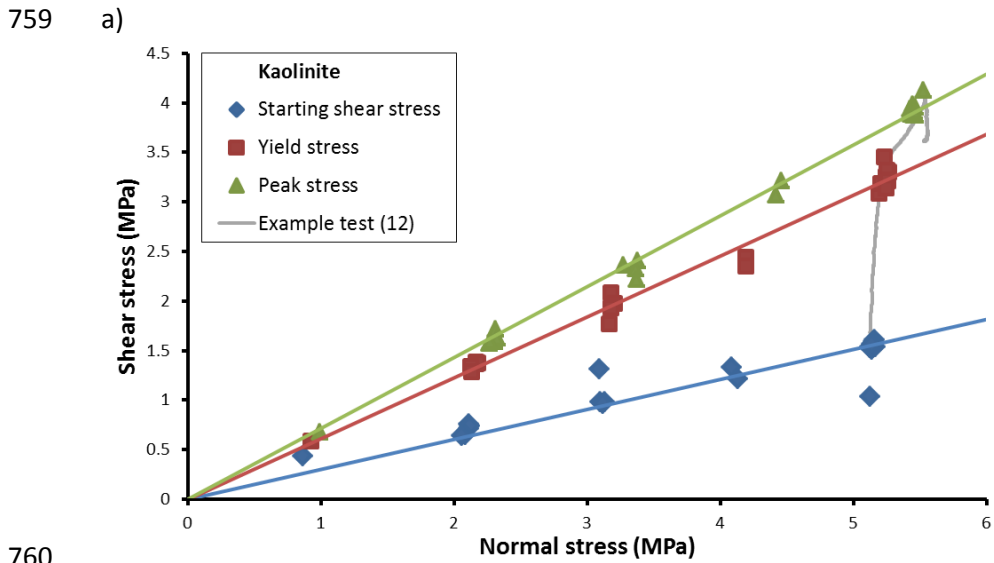
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755 c)

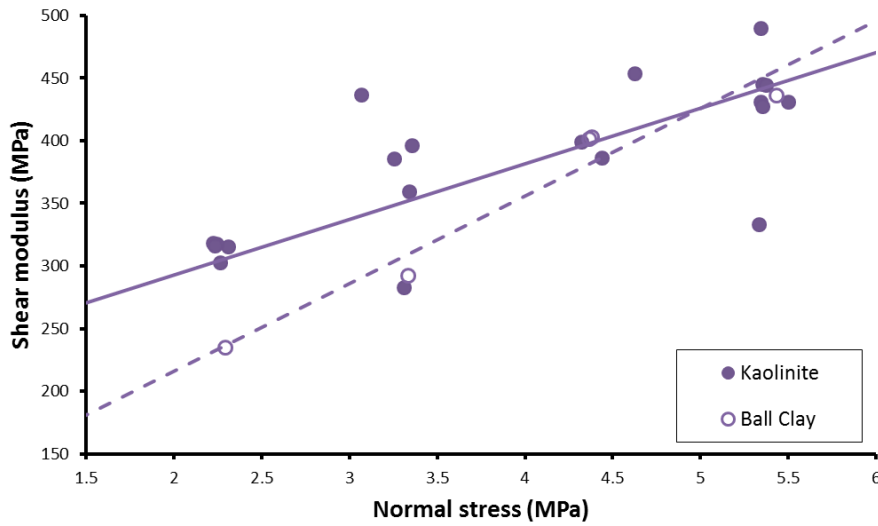


756 **Figure 2** Mechanical strength data for shear tests conducted on (a) kaolinite and (b) Ball  
757 Clay gouge materials. From these data it is possible to identify starting shear stress, yield  
758 shear stress, peak shear stress, and shear modulus (c).

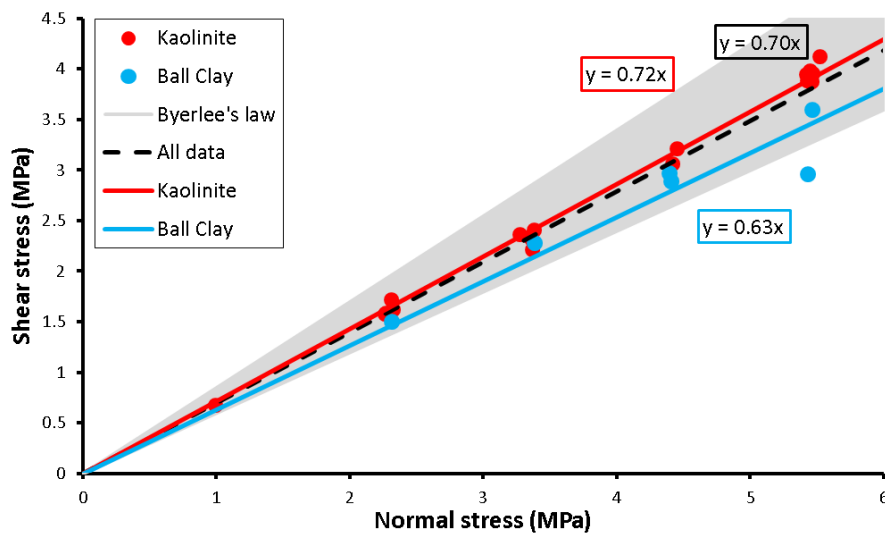


**Figure 3** Strength parameters for shear tests conducted on (a) kaolinite and (b) Ball Clay gouge materials. Clear linear trends are seen for the starting shear stress, the yield shear

765 stress, and the peak shear stress. Comparison can be made between kaolinite and Ball Clay  
766 gouges (c).

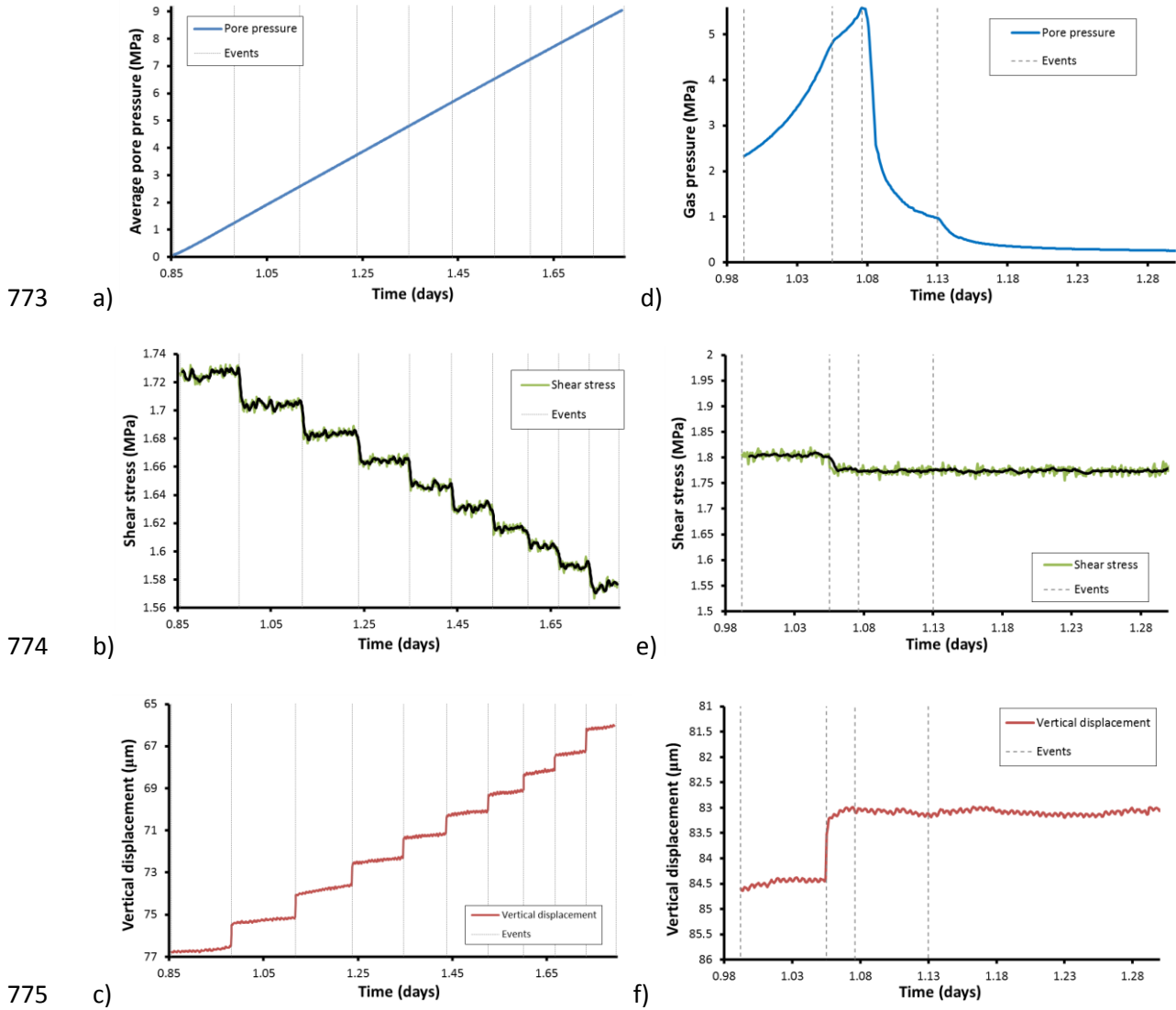


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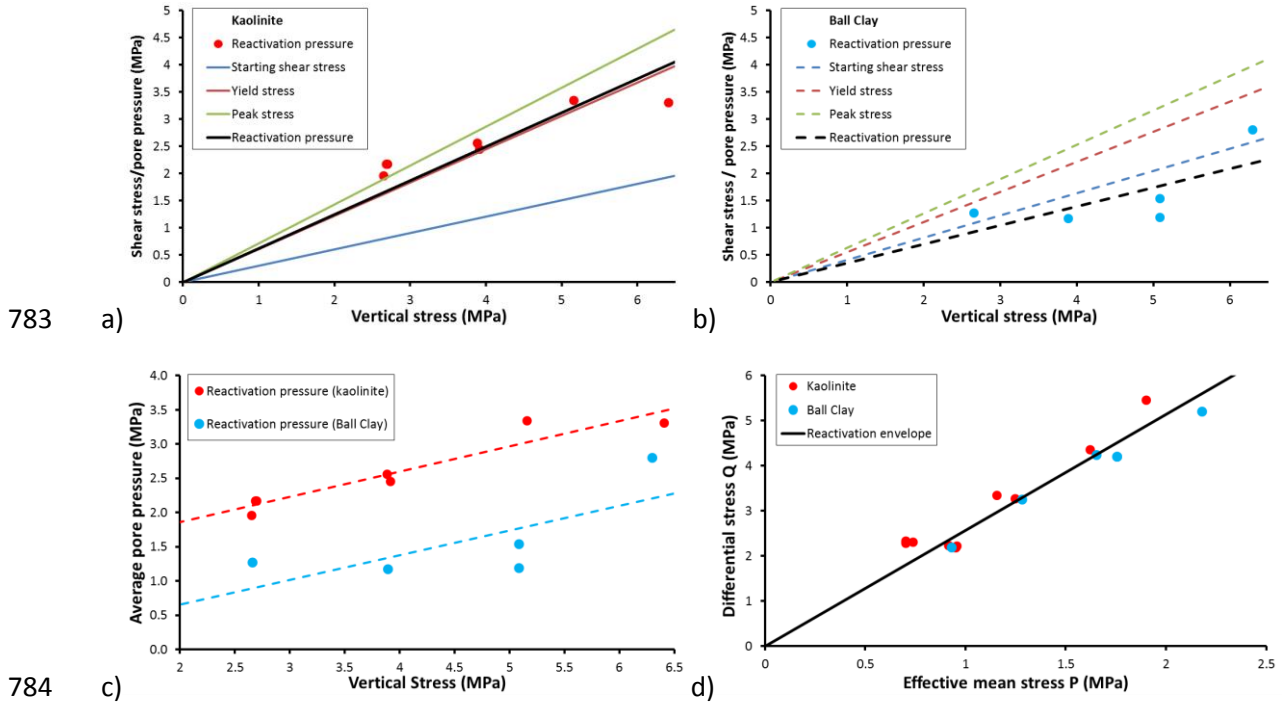


768 b

769 **Figure 4** Shear properties for tests conducted on kaolinite and Ball Clay gouge. A) Shear  
 770 modulus data. At stresses below 5 MPa it can be seen that kaolinite is a more stiff material,  
 771 whereas Ball Clay becomes stiffer above these stress levels. B) Calculation of coefficient of  
 772 internal friction, showing that the current data correspond to Byerlee's law (Byerlee, 1978).



776 **Figure 5** Example results from fault reactivation tests using water (a-c) and gas (d-f) as  
 777 injection fluid. A) The injection of water creates a pore pressure increase. Fault reactivation is  
 778 identified by a reduction in shear stress (b) and dilation on the fault plane (c). A total of 24  
 779 slip events were identified until the fault could no longer hold pore pressure. d) The injection  
 780 of gas creates a pore pressure increase. Fault reactivation is identified by a reduction in shear  
 781 stress (e) and dilation on the fault plane (f). As shown, only one slip event was identified. Gas  
 782 flow is seen to increase following slip, as seen by a reduction in gas gradient (d).



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a)

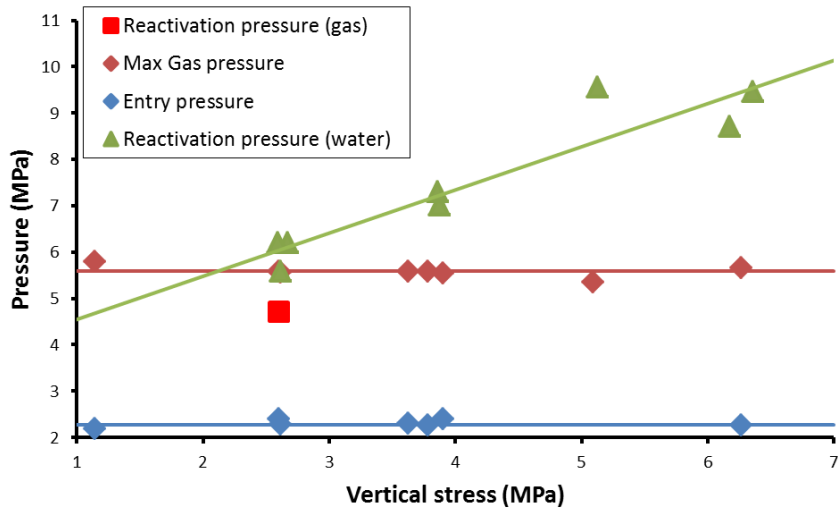
b)

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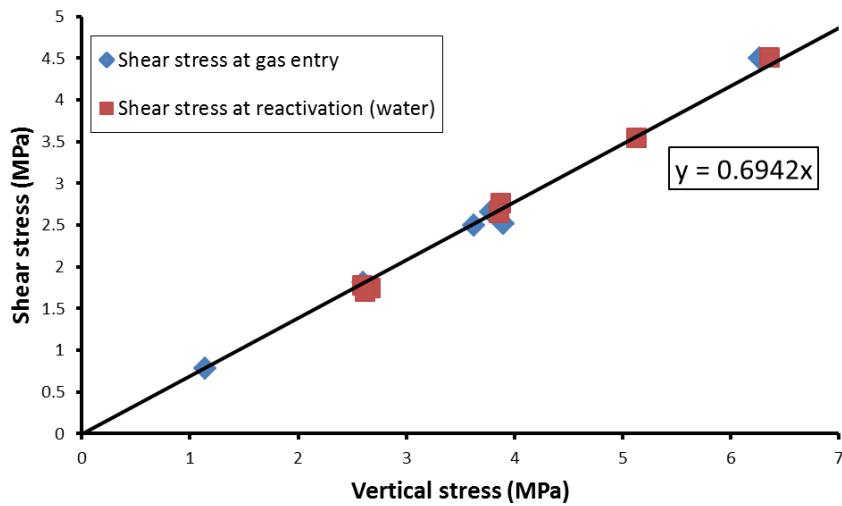
c)

d)

785 **Figure 6** Results from the fault reactivation study using water as an injection fluid. A)  
 786 Reactivation pressure for kaolinite can be seen to approximate the yield shear stress. B) In  
 787 Ball Clay the reactivation stress approximates the starting shear stress. C) Plotting  
 788 reactivation stress against vertical stress gives two relationships, whereas plotting data in the  
 789 effective mean stress versus differential stress (Q-P) space gives a unified envelope for  
 790 predicting fault reactivation (d).

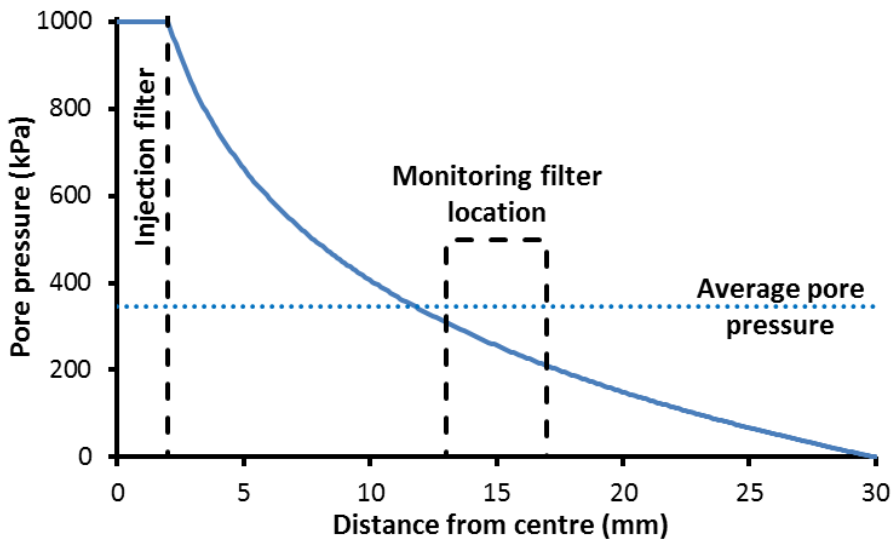


791 a)

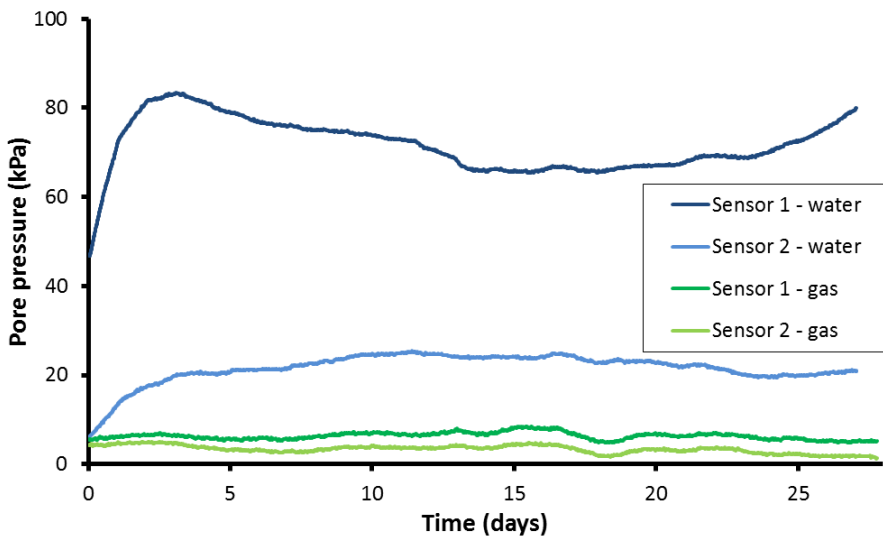


792 b)

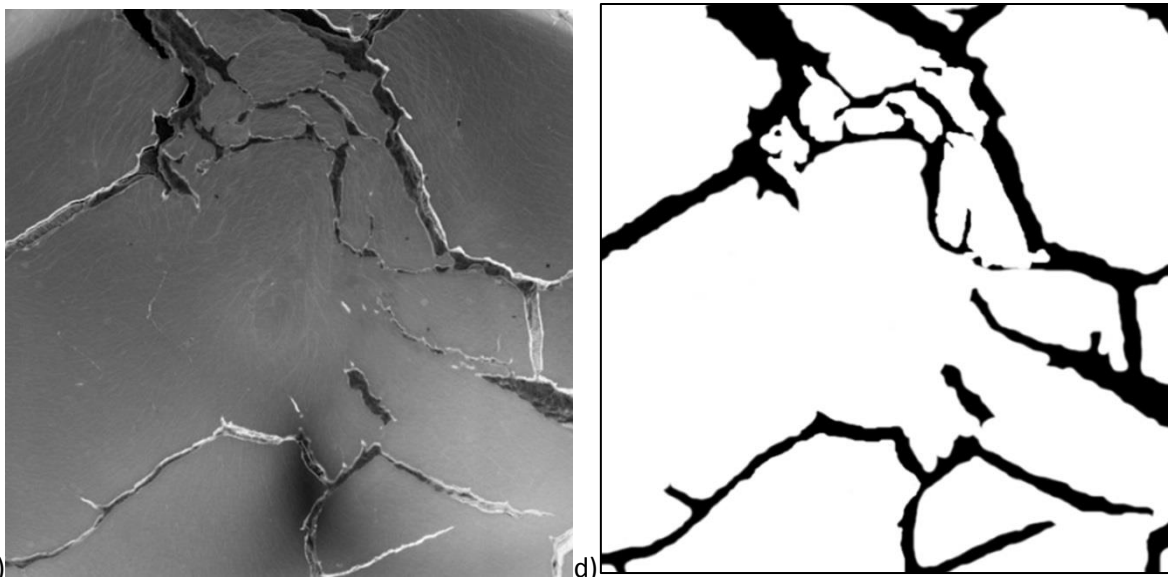
793 **Figure 7** Results for fault reactivation using gas. A) Gas entry pressure and maximum gas  
 794 pressure show no sensitivity to vertical stress loading. B) Comparing the shear stress at gas  
 795 entry with the level seen at reactivation for water experiments shows no difference between  
 796 the injection fluids.



797 a)



798 b)

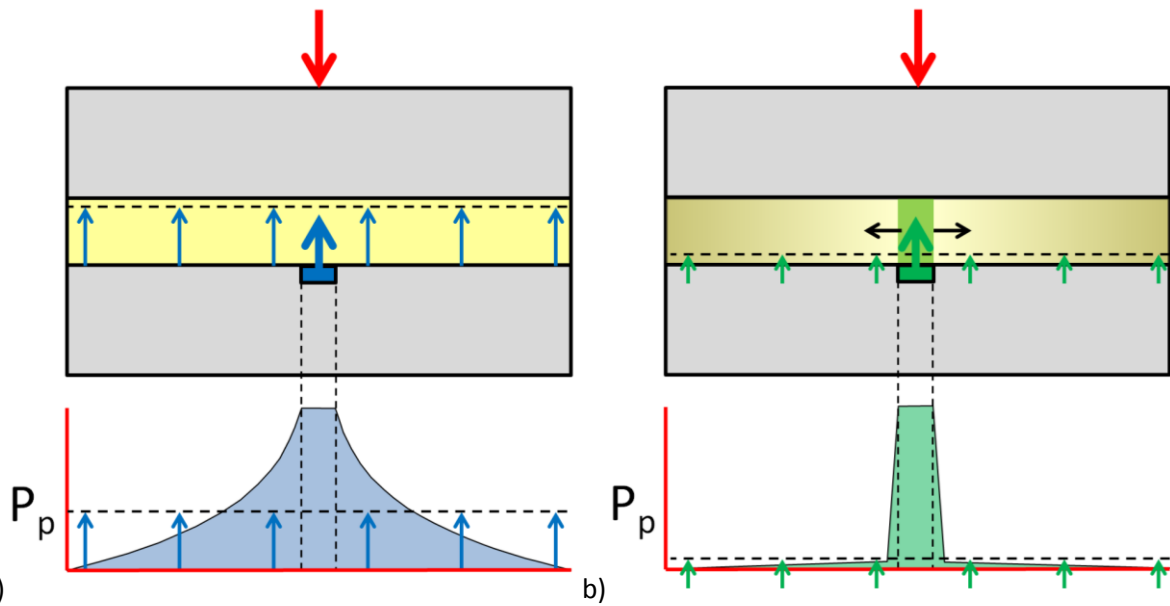


799 c) d)

800 **Figure 8** Observations of pore pressure within the fault gouge. A) Modelled result for pore  
 801 pressure distribution assuming radial flow, indicating that pore pressure at the monitoring



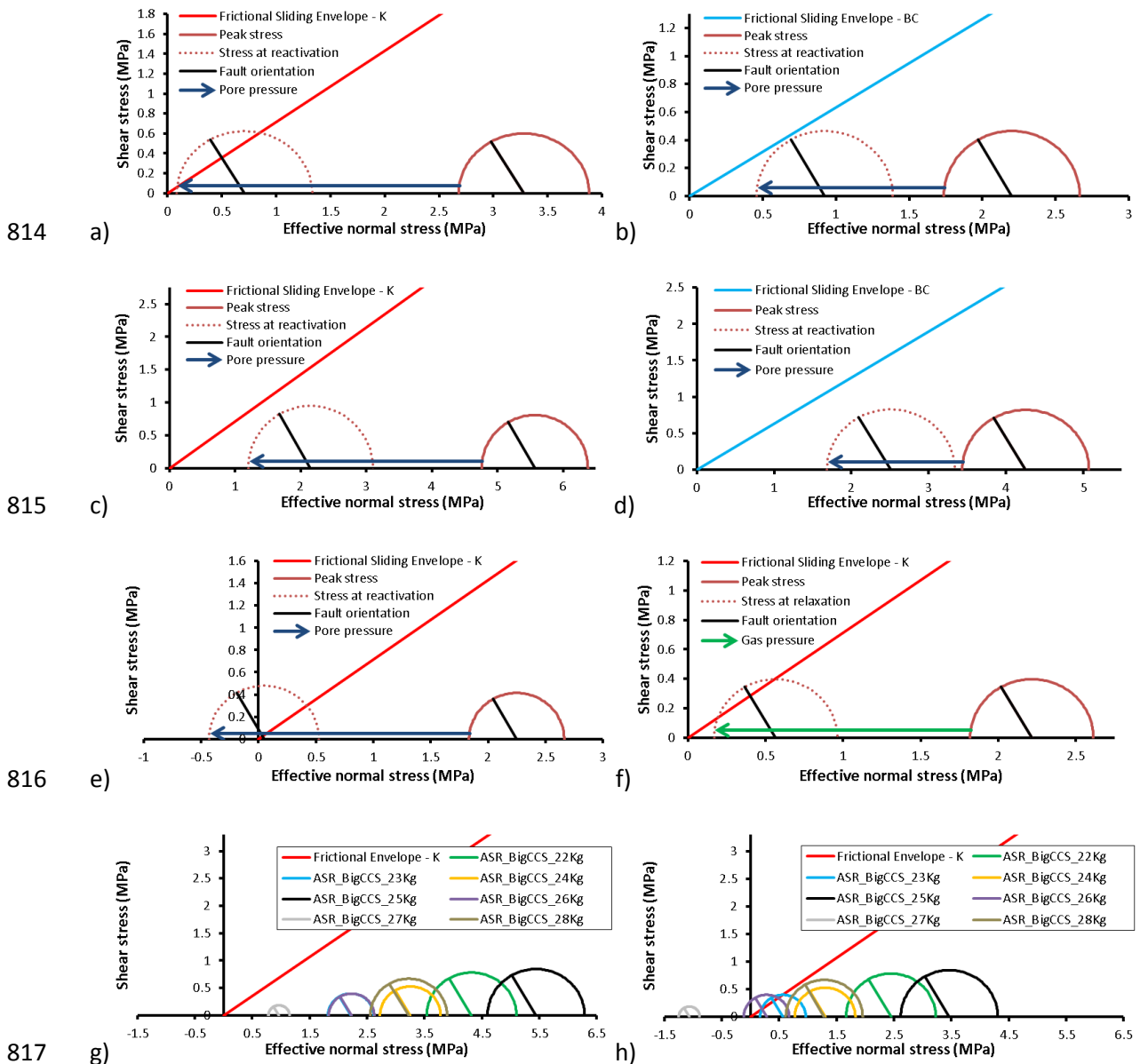
802 ports should be approximately 300 kPa. B) Observed pore pressure at the monitoring filter  
803 location shown in (a) during testing shows pore pressure is greatly below that modelled, with  
804 a very low pressure seen during gas injection (Cuss *et al.*, 2014<sup>a</sup>). C) Processed photograph  
805 from a Fracture Visualisation test showing a 60 × 60 mm square area with dilatant gas  
806 pathways. D) Location of pathways predicting < 15 % coverage.



807 a)

b)

808 **Figure 9** Model for fault reactivation. A) Water injection: The elevated water pressure  
 809 results in a pore pressure profile as shown. The average pore pressure acting vertically is  
 810 sufficient to cause fault reactivation. B) Gas injection: Pore pressure within the gouge is only  
 811 locally increased by gas injection. The gouge compresses to accommodate dilatant pathways,  
 812 as opposed to classical two-phase flow, resulting in a low average pore pressure acting  
 813 vertically that isn't sufficient to cause reactivation.



814 a) 815 c) 816 e) 817 g) 818 **Figure 10** Representation of the test data in Mohr space. (a-b) Examples of where the Mohr  
 819 approach gives good approximation for fault reactivation; (c-d) examples where reactivation  
 820 occurred at pressures lower than the Mohr approach would predict; (e) example where  
 821 reactivation didn't occur until a magnitude greater than predicted; (f) gas pressure sufficient  
 822 to result in reactivation; (g-h) demonstration that four tests during gas injection would have  
 823 been predicted to reactivate.

Gouge	Supplier	Geological information	Location	Composition
Kaolinite	Imerys	well-ordered form, coarse hexagonal platelets <sup>1</sup>	St Austell, UK	100 % kaolinite
Ball Clay		A1 seam; Tertiary, Poole Formation, Oakdale Clay Member)	Arne Clay Pit, Wareham, UK	37% kaolinite, 35% mica/illite and 26% quartz, together with some feldspar <sup>2</sup>

824 **Table 1** – Description of the clay gouge materials used during the current study. <sup>1</sup> Highley,  
825 (1984); <sup>2</sup> Donohew *et al.* (2000).

	Experiment	Sample Material	Type of test	Slip-plane orientation	Reactivation pore press (MPa)	Vertical stress (MPa)					Shear stress (MPa)				
						Average	Start	Yield	Peak	Reactivation	Start	Shear modulus	Yield	Peak	Reactivation
1	ASR_BigCCS_07K	Kaolinite	Fault reactivation with water	30°	2.17	2.67	2.44	2.50	2.66	2.70	0.75	316	1.37	1.58	1.51
2	ASR_BigCCS_08K	Kaolinite			2.17	2.59	2.44	2.50	2.67	2.69	0.72	318	1.39	1.71	1.53
3	ASR_BigCCS_09K	Kaolinite			1.95	2.62	2.45	2.51	2.68	2.65	0.74	302	1.37	1.62	1.47
4	ASR_BigCCS_10K	Kaolinite			3.34	5.13	4.77	4.84	5.14	5.16	1.21	386	2.44	3.21	3.07
5	ASR_BigCCS_11K	Kaolinite			2.45	3.88	3.57	3.67	3.90	3.92	0.98	396	2.08	2.41	2.39
6	ASR_BigCCS_12K	Kaolinite			3.31	6.35	5.92	5.99	6.38	6.41	1.53	431	3.09	4.12	3.91
7	ASR_BigCCS_13K	Kaolinite			2.56	3.86	3.62	3.70	3.88	3.89	0.98	359	1.97	2.32	2.29
8	ASR_BigCCS_14BC	Ball Clay			1.27	2.65	2.46	2.47	2.67	2.66	0.92	236	1.27	1.51	1.50
9	ASR_BigCCS_15BC	Ball Clay			1.17	3.85	3.62	3.75	3.91	3.89	1.13	293	2.06	2.28	2.26
10	ASR_BigCCS_16BC	Ball Clay			1.74	5.06	4.82	4.88	5.07	5.07	1.65	403	2.33	2.98	2.96
11	ASR_BigCCS_17BC	Ball Clay			2.80	6.27	6.00	6.08	6.30	6.30	2.01	436	2.99	3.60	3.57
12	ASR_BigCCS_18BC	Ball Clay			1.19	5.04	4.83	4.88	5.08	5.08	1.71	401	2.38	2.89	2.88
13	ASR_BigCCS_19BC	Ball Clay			2.75	6.20	6.04	6.08	6.27	6.25	2.38	149	2.62	2.96	2.95
14	ASR_BigCCS_20K	Kaolinite	#1	30°	/	5.34	5.92	6.01	6.26	/	1.53	453	3.19	3.94	/
15	ASR_BigCCS_21K	Kaolinite	#2		/	6.17	5.93	6.04	6.31	/	1.51	489	3.46	3.96	/
16	ASR_BigCCS_22Kg	Kaolinite	Fault reactivation with gas	30°	/	4.99	4.72	4.84	5.10	/	1.33	399	2.36	3.07	/
17	ASR_BigCCS_23Kg	Kaolinite			1.65	2.57	2.41	2.45	2.61	2.60	0.65	318	1.33	1.58	1.56
18	ASR_BigCCS_24Kg	Kaolinite			/	3.76	3.60	3.67	3.78	/	0.96	386	1.93	2.36	/
19	ASR_BigCCS_25Kg	Kaolinite			/	6.21	5.92	6.05	6.29	/	1.04	905	3.26	3.98	/
20	ASR_BigCCS_26Kg	Kaolinite			/	2.58	2.38	2.46	2.62	/	0.64	316	1.28	1.58	/
21	ASR_BigCCS_27Kg	Kaolinite			/	1.13	1.00	1.07	1.15	/	0.44	149	0.59	0.68	/
22	ASR_BigCCS_28Kg	Kaolinite			/	3.82	3.57	3.66	3.89	/	1.32	283	1.77	2.22	/
23	ASR_BigCCS_29Ksh	Kaolinite	Stress history tests	30°	/	6.16	5.96	6.08	6.27	/	1.61	333	3.30	3.89	/
24	ASR_BigCCS_30Ksh	Kaolinite			/	6.19	5.96	6.06	6.31	/	1.53	445	3.32	3.88	/
25	ASR_BigCCS_31Ksh	Kaolinite			/	6.17	5.95	6.07	6.29	/	1.58	431	3.21	3.87	/
26	ASR_BigCCS_32Ksh	Kaolinite			/	6.19	5.95	6.07	6.27	/	1.56	428	3.21	3.93	/
27	ASR_BigCCS_33Ksh	Kaolinite			/	3.55	3.55	3.55	3.54	/	0.78	436	0.78	0.78	/
28	ASR_BigCCS_34Ksh	Kaolinite			/	6.21	5.93	6.06	6.30	/	1.57	445	3.14	3.91	/

826 **Table 2** – List of all experiments undertaken as part of the current study. #1 = stress history test, mechanical data only reported here; #2 = flow  
827 test, only mechanical test reported here.

Relationship	Starting shear stress			Yield shear stress			Peak shear stress			Reactivation pressure		
	Slope	Intercept	R <sup>2</sup>	Slope	Intercept	R <sup>2</sup>	Slope	Intercept	R <sup>2</sup>	Slope	Intercept	R <sup>2</sup>
Kaolinite <sup>1</sup>	0.30	/	0.84	0.61	/	0.99	0.72	/	0.99	0.63	/	0.39
Kaolinite <sup>2</sup>	0.25	0.24	0.88	0.61	0.00	0.99	0.74	-0.11	0.99	0.37	1.14	0.91
Ball Clay <sup>1</sup>	0.41	/	0.93	0.55	/	0.90	0.63	/	0.88	0.36	/	0.56
Ball Clay <sup>2</sup>	0.44	-0.13	0.93	0.46	0.45	0.93	0.56	0.38	0.90	0.38	-0.09	0.56

828 **Table 3** – Relationship between vertical and shear stress for kaolinite and Ball Clay gouge. Note condition (1) has the intercept set as 0, whereas  
829 condition (2) does not.

Parameter		Kaolinite <sup>1</sup>	Kaolinite <sup>2</sup>	Ball Clay <sup>1</sup>	Ball Clay <sup>2</sup>	Average <sup>1</sup>	Average <sup>2</sup>
Coefficient of friction	$\mu$	0.717	0.738	0.634	0.561	0.697	0.706
Cohesion (MPa)	$C$	/	(-0.09)	/	0.33	/	(-0.4)
$R^2$		0.99	0.99	0.88	0.90	0.96	0.96
Angle of internal friction	$\phi$	35.6	36.4	32.4	29.2	34.9	35.2
Fault angle	$\theta$	27.2	26.8	28.8	30.4	27.6	27.4

830 **Table 4** – Shear properties of the test gouge. Note condition (1) has the intercept set as 0,  
831 whereas condition (2) does not. Linear regression has resulted in two tests showing a negative  
832 cohesion, these are shown in parenthesis as cohesion should not be less than zero for these  
833 experiments.



Test	Gas entry pressure (MPa)	Maximum gas pressure (MPa)	Reactivation pressure (MPa)
ASR_BigCCS_22Kg	/	5.35	
ASR_BigCCS_23Kg	2.40	5.58	4.71
ASR_BigCCS_24Kg	2.26	5.58	
ASR_BigCCS_25Kg	2.27	5.66	
ASR_BigCCS_26Kg	2.29	5.57	
ASR_BigCCS_27Kg	2.19	5.80	
ASR_BigCCS_28Kg	2.39	5.54	
<b>Average</b>	2.30	5.58	4.71

834 **Table 5** – Gas testing properties.