

# Drivers anticipate lead-vehicle conflicts during automated longitudinal control: sensory cues capture driver attention and promote appropriate and timely responses

Alberto Morando<sup>a,\*</sup>, Trent Victor<sup>a,b</sup>, and Marco Dozza<sup>a</sup>

<sup>a</sup> CHALMERS – University of Technology, Department of Applied Mechanics, Division of Vehicle Safety, Sweden

<sup>b</sup> Volvo Car Safety Centre – Volvo Car Corporation, Sweden

\* Correspondence address: SAFER, Box 8077, 402 78 Göteborg, Sweden

Tel.: +46 70 373 3694

E-mail address: alberto.morando@chalmers.se

**Abstract** Adaptive Cruise Control (ACC) has been shown to reduce the exposure to critical situations by maintaining a safe speed and headway. It has also been shown that drivers adapt their visual behavior in response to the driving task demand with ACC, anticipating an impending lead vehicle conflict by directing their eyes to the forward path before a situation becomes critical. The purpose of this paper is to identify the causes related to this anticipatory mechanism, by investigating drivers' visual behavior while driving with ACC when a potential critical situation is encountered, identified as a forward collision warning (FCW) onset (including false positive warnings). This paper discusses how sensory cues capture attention to the forward path in anticipation of the FCW onset. The analysis used the naturalistic database EuroFOT to examine visual behavior with respect to two manually-coded metrics, glance location and glance eccentricity, and then related the findings to vehicle data (such as speed, acceleration, and radar information). Three sensory cues (longitudinal deceleration, looming, and brake lights) were found to be relevant for capturing driver attention and increase glances to the forward path in anticipation of the threat; the deceleration cue seems to be dominant. The results also show that the FCW acts as an effective attention-orienting mechanism when no threat anticipation is present. These findings, relevant to the study of automation, provide additional information about drivers' response to potential lead-vehicle conflicts when longitudinal control is automated. Moreover, these results suggest that sensory cues are important for alerting drivers to an impending critical situation, allowing for a prompt reaction.

**Keywords:** naturalistic driving, glance analysis, automation, driver behavior, forward collision warning, adaptive cruise control.

## 32 1 INTRODUCTION

33 Adaptive cruise control (ACC) is an advanced driver assistance system (ADAS) that automates the  
34 longitudinal control of the vehicle. This system, classified as level 1 automation (NHTSA, 2015; SAE,  
35 2014), maintains speed and time headway according to chosen settings. The driver activates and sets the  
36 ACC system by pressing buttons on the steering wheel. When a lead vehicle is detected, the speed is  
37 automatically controlled to keep the selected headway. However, ACC's braking capacity is limited to  
38 a level sufficient for normal headway maintenance situations, not extreme braking situations. The  
39 allowed deceleration varies among implementations, but the ACC maximum braking authority is usually  
40 about 0.3g, as suggested in the standards ISO 15622:2010 and ISO 22179:2009. When the driving  
41 situation exceeds the braking capacity of the ACC, because of a highly decelerating lead vehicle, for  
42 example, a frontal collision warning (FCW) is issued. The FCW's role is to redirect the driver's attention  
43 to the forward road and elicit a driver braking response in critical situations, by means of visual and  
44 auditory signals. ACC has primarily been seen as a system supporting normal driving situations, for  
45 comfort. However, by maintaining a safe speed and headway, ACC and FCW have been shown to  
46 improve safety-related measures, reducing the exposure to critical situations (Malta et al., 2011;  
47 NHTSA, 2005).

48 Based on the hierarchical structure proposed by Michon (1985), ACC primarily supports the driver at  
49 the *control* level (i.e. accelerating and braking) and the *maneuvering* level (i.e. speed selection, gap  
50 acceptance and obstacle avoidance); it does not perform the entire dynamic driving task. The driver must  
51 monitor the system and take over when required, either by the system itself (e.g., when a FCW is issued)  
52 or when ACC does not react to a lead vehicle due to system limitations, such as the radar's field-of-  
53 view. Several studies questioned the ability of a driver to reclaim control in an effective and safe manner  
54 after a system failure. They raised concerns about the harmful effect of ACC (and, by extension, of  
55 higher levels of automation) due to the degradation of *situation awareness* and a slower response to  
56 critical events (for example); for a review see de Winter et al. (2014). Situation awareness is defined as  
57 "the perception of the elements in the environment within a volume of time and space, the  
58 comprehension of their meaning, and the projection of their status in the near future" (Endsley, 1988, p.  
59 792). The review by de Winter et al. (2014) shows that results for situation awareness vary between  
60 studies. ACC use can result in deteriorated situation awareness when drivers engage in secondary tasks,  
61 but improves situation awareness if they are attending to the driving task. Similarly, a number of  
62 experiments have found that ACC drivers can be slower to respond to critical events compared to manual  
63 drivers, while many studies have shown faster reactions to artificial visual stimuli (de Winter et al.,  
64 2014). A more nuanced examination of the response processes in critical events when using ACC is  
65 clearly needed.

66 A possible explanation for degraded detection of and response to critical driving situations can be  
67 regarded as an unintended effect, also known as *behavioral adaptation* (OECD, 1990). For example,

68 ACC decreases the visual demand of driving; as a consequence drivers use freed resources to engage in  
69 non-driving activities, which may reduce the attention allocated for monitoring the road ahead (Rudin-  
70 Brown & Parker, 2004). The widespread availability of in-vehicle infotainment systems and nomadic  
71 devices may further aggravate this effect (Lee et al., 2006). In their naturalistic study, Malta et al. (2011)  
72 found a general increase in secondary-task engagement while driving with ACC. A follow-up study by  
73 Tivesten et al. (2015) examined the drivers' visual attention in motorway car-following scenarios. In  
74 steady state driving, the analysis confirmed a lower attention level to the forward path with ACC than  
75 without (~77% mean eyes on path with ACC, compared to ~85% for manual driving without ACC).  
76 Tivesten et al (2015) also clarified that most of the glances away from the forward path were driving-  
77 related. Because driving relies heavily on vision (Shinar, 2007), diversion of visual attention from the  
78 forward road could lead to a collision there. However, Malta et al. (2011) pointed out that drivers kept  
79 their attention on the primary driving task in critical situations. Furthermore, Tivesten et al. (2015)  
80 showed a *threat anticipation* response: drivers anticipate the impending criticality by directing their eyes  
81 to the forward roadway before a situation becomes critical. This is evidence that allocation of attention  
82 away from the road is a function of the current driving situation demand (Ranney, 1994; Summala,  
83 2007).

84 A simulator study by Lee et al. (2006) evaluated the effectiveness of warning modalities at reengaging  
85 drivers when the ACC capabilities are exceeded. Their results showed that if warned that an intervention  
86 is needed, drivers could effectively resume control even if distracted. However, other studies showed  
87 that drivers responded poorly to unexpected events or failures for which alerts are not provided—for  
88 example, sensor failures (Nilsson et al., 2013; Rudin-Brown & Parker, 2004; Stanton et al., 1997; Strand  
89 et al., 2014). Fortunately, in the real world these failures are rare, thanks to technology advances and  
90 sensor redundancy; even so, providing feedback on the system status and availability is recommended  
91 by the standard ISO 15622:2010. Therefore, the difficulties encountered by drivers may be  
92 overrepresented in studies when such feedback is not provided (Lee et al., 2006).

93 Although the FCW is intended to redirect the gaze of the driver towards the forward path and inform  
94 the driver that an avoidance maneuver is needed, the results in (Tivesten et al., 2015) suggested that  
95 there may be other cues that elicit a shift of visual attention in anticipation of a critical situation, even  
96 before an FCW is issued. However, the cause for this anticipatory mechanism was not clearly identified;  
97 hence the need for further investigation. Tivesten et al. (2015) showed that the average percent of eyes  
98 on path increased steadily over time, and they suggested that this increase was due to drivers' reactions  
99 to external stimuli (e.g., related to the approach toward the lead vehicle).

100 This study discusses three sensory cues which are considered relevant for prompting the drivers' visual  
101 attention towards the forward path in anticipation of a lead vehicle conflict. The first cue is the detection  
102 of the longitudinal acceleration of the driver vehicle by the vestibular system. As pointed out in (Lee et  
103 al., 2006; 2007), another benefit of the ACC is that the cue associated with the speed modulation

104 (deceleration or braking) before the onset of the warning may be particularly effective at alerting drivers  
105 and making them resume control when needed. Subjective data from a field operational test of ACC  
106 (Fancher et al., 1998) indicated that drivers acknowledged the deceleration cue as beneficial for  
107 informing them of an evolving headway conflict. Lee et al. (2006) found the detection threshold to be  
108 between 0.15–0.20 m/s<sup>2</sup>. However, this deceleration cue effect is often discounted in studies in fixed-  
109 base simulators, since they do not provide these deceleration cues.

110 The second cue is visual looming, the optical expansion of the lead vehicle in the eye of the driver.  
111 Visual cues have been shown to be particularly relevant in car-following scenarios. Previous studies  
112 have argued that the driver could detect changes in relative velocity and control the evasive maneuvers  
113 (e.g., braking) based solely on information like the visual angle subtended by the lead vehicle ( $\theta$ ), the  
114 rate of change ( $\dot{\theta}$ ), or the combination thereof ( $\tau$ ) (See, for example, Hoffmann, 1968; Hoffmann &  
115 Mortimer, 1994a; Lee, 1976; Mortimer, 1990). More details on these measures are given in section 2.5.  
116 Visual detection performance generally deteriorates towards the retinal periphery, therefore the further  
117 the driver diverts the eyes away from the forward path the worse the ability to detect threats and objects  
118 on the road (Victor et al., 2008). However, results from laboratory experiments show that certain salient  
119 stimuli (e.g., moving and looming targets) induce automatic and reflexive reactions. When one of these  
120 stimuli occurs, the attention is shifted to the stimulus, especially when it is not expected (Jonides, 1981;  
121 Klein et al., 1992; Regan & Vincent, 1995). The salient stimuli expected to elicit an attention shift are  
122 associated with behavioral urgency. For example, given stimuli of the same magnitude, looming objects  
123 indicate an impending collision and would trigger a reflexive response, whereas receding objects should  
124 not elicit the same response, being neither potentially urgent nor threatening (behavioral urgency  
125 hypothesis in Franconeri & Simons, 2003; Lin et al., 2008). In on-road studies, drivers could detect a  
126 closing car even when visual attention was diverted away from the road, but with increasing eccentricity  
127 the threshold for detection increased (Lamble et al., 1999; Summala et al., 1998). (The table in Appendix  
128 B provides a compilation of the results from these two studies.) When looking along the line of motion,  
129 the perceptual threshold of  $\dot{\theta}$  for discriminating the closure of the lead vehicle was around 0.0036 rad/s  
130 (with a minimum value of 0.0022 rad/s), regardless of the test conditions (initial headway, speed, and  
131 deceleration). This threshold is higher than, yet comparable to, the value of about 0.0030 rad/s, which  
132 was proposed by studies from experiments in which the participants were required to watch film clips,  
133 and from reviews of previous findings (Hoffmann & Mortimer, 1994a; Hoffmann & Mortimer, 1994b;  
134 Mortimer, 1990). With increasing eccentricity, the detection threshold for  $\dot{\theta}$  increases linearly.  
135 However, there is little agreement on the results for  $\tau^{-1}$  since, unlike  $\dot{\theta}$ , this variable may be quite  
136 sensitive to the different experimental conditions.

137 The third cue is the brake light onset. The brake light onset signals that the lead vehicle started braking,  
138 but its predictive value is limited and it does not give information about the criticality of the situation,  
139 e.g., whether/how hard one must brake (Lee, 1976). In their study of naturalistic crashes and near-

140 crashes, Victor et al. (2015) concluded that brake lights had a limited impact on driver behavior in rear-  
141 end situations. In fact, the brake light onsets which occurred while the driver was looking forward were  
142 generally ignored (i.e. the drivers were willing to take their eyes off path while the brake lights were  
143 still illuminated) and do not seem to have notably influenced the driver reaction. One explanation is that,  
144 in real world driving, drivers may be exposed to brake light onsets which are not associated to any threat,  
145 leading to a cry-wolf effect (Victor et al., 2015). Furthermore, Markkula et al. (2016) and Victor et al.  
146 (2015) showed that reaction times in real crashes and near-crashes are influenced by lead-vehicle  
147 looming, and not by brake light onsets as reported, for example, by Young and Stanton (2007).  
148 Assuming that the brake lights are salient enough to be detected while looking ahead (consider, for  
149 example, the difficulty encountered in strong sunlight), Summala et al. (1998) found that detection was  
150 significantly impaired in the periphery, even at a low level of eccentricity<sup>1</sup>. However, for night driving,  
151 the stimulus would be more salient and might be more easily detected, making the change in angular  
152 separation of the car's brake lights the prominent cue in the detection of relative speed (Janssen (1974).  
153 There are a multitude of other attentional capture cues that were not taken into account in this study.  
154 Such cues might be related to the road infrastructure (e.g., road signs), to the surrounding traffic (e.g.,  
155 behavior of other vehicles), to other visual properties (e.g., color, luminance, and contrast), and to  
156 cognitive and motivational factors (e.g., experience).  
157 In summary, the present study investigates visual behavior when potentially critical situations (identified  
158 as FCW onsets) are encountered while driving with ACC and identifies possible reasons for the  
159 anticipatory response. The main hypothesis was that visual and vestibular/somatosensory cues were  
160 responsible for orienting the drivers' visual attention towards the forward path in anticipation of the  
161 FCW onset.

## 162 **2 METHODS**

### 163 **2.1 Data source**

164 The data used in this study are from the Swedish subset of the EuroFOT database, collected from 100  
165 Volvo cars (2009–2010 V70 and XC70 models) in the region of Västra Götaland over the period  
166 2010–2011. EuroFOT (Kessler et al., 2012) was a Field Operational Test sponsored by the European  
167 Community to evaluate the impact of ADAS on routine driving in real traffic. Among the ADAS tested,  
168 the ones of particular interest for this study were the ACC and the FCW. All 263 drivers who participated  
169 in the project were Volvo employees who volunteered to participate in the study and drove their own  
170 cars.

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<sup>1</sup> In (Summala et al., 1998) an old compact car was used (1988 Lada Samara), and it is not clear if it was equipped with a center high-mount stop lamp (CHMSL) which could have improved the detection of the brake light onset.

171 Data were continuously collected from the controller area network (CAN) bus, from extra sensors (e.g.,  
172 accelerometer, GPS), and from cameras mounted in the cars, sampled at 10 Hz. The collection began  
173 when the engine was started, and it was interrupted when the ignition was turned off. The driver inputs  
174 were gathered both from the CAN bus (i.e. pedals and steering wheel activity) and a camera recording  
175 foot movement. Other cameras were used to record the forward and backward view of the vehicle and  
176 the face of the driver. All CAN signals were pre-processed (e.g., decoded, synchronized, filtered) and  
177 stored in a relational database.

## 178 **2.2 Event dataset**

179 Initially, 280 critical events were extracted from the database. A critical event occurred any time a FCW  
180 was issued while driving with ACC. A critical event consisted of 20 s of driving, centered at the FCW  
181 onset (i.e. 10 s before and after the warning). General inclusion criteria were that before and at the onset  
182 of FCW, the ACC and FCW were active (the ACC was active at speeds above 30 km/h and disengaged  
183 when the driver pressed the brake pedal) and the alert modality of the FCW was visual and audio  
184 (according to the specifications described in Coelingh et al., 2007). The events were reviewed, and  
185 discarded if the video sources were not available or the driver's eyes were not clearly visible. Events  
186 were also rejected if, in the 5 s interval before the FCW, the driver changed the ACC or the FCW settings  
187 (e.g. ACC set speed, FCW sensitivity, etc.), changed lanes or overtook another vehicle, or overrode the  
188 ACC by accelerating (i.e. the driver intentionally pursued a small forward headway to the lead vehicle,  
189 making the warning predictable). Finally, events were also discarded if a lane departure warning (LDW)  
190 was triggered prior to the onset of FCW; otherwise the LDW may have confounded the effect of the  
191 FCW.

192 In the end, 125 events fulfilled the inclusion criteria and were included in the analysis. The dataset  
193 included 43 unique drivers (36 males and 7 females) between the ages of 18 and 61 (Mean = 47.76  
194 years, standard deviation = 9.13).

195 **2.3 Annotated variables**

196 2.3.1 Event classification

197 Each event was categorized as either rear-end FCW or random FCW. An event was classified as *rear-*  
198 *end FCW* if the FCW was due to an imminent collision with a lead vehicle (from now on referred as  
199 principal other vehicle, POV). An event was classified as *random FCW* if a FCW was issued but no  
200 POV (or other threat) was present. A random FCW was probably the consequence of limitations in  
201 processing the radar information or in detection. A total of 87 rear-end FCW and 38 random FCW were  
202 analyzed.

203 2.3.2 Glance coding

204 In order to study the drivers' visual behavior two metrics were used: *glance location* and *glance*  
205 *eccentricity*. Glance location is the area of interest (AOI) the eyes are directed to. This metric identifies  
206 where the drivers were looking, in order to quantify how they allocated their visual attention; looking  
207 towards the road center is primary to safe maneuvering of the vehicle, although they also performed  
208 secondary visual tasks. Secondary tasks can be either driving-related (e.g. reading road signs, checking  
209 speedometer) or not (e.g. phone-related, reaching for objects). The glance location was manually coded  
210 frame by frame, based on the forward and driver videos (recorded at 10 frames per second), with the  
211 support of the MATLAB-based program FOTware (Dozza et al., 2010). The coding was in line with the  
212 work performed in (Tivesten et al., 2015; Victor et al., 2015). The main AOIs are illustrated in Figure 1  
213 and a more complete description is given in Table A.1. Glance eccentricity is defined as the radial angle  
214 between the forward path and the glance location. Inspired by the work done in (Klauer et al., 2006, p.  
215 107), the annotated AOIs were grouped into four levels based on the average angle away from the  
216 forward path (see Table A.2 for further details).

217 2.3.3 Other annotations

218 Relevant timestamps were annotated (i.e. the time of FCW onset and the time when the POV's brake  
219 lights turned on) as well as information about lighting, weather conditions, and road geometry (see Table



Figure 1. Location of the main AOIs. *Background photo: Volvo car.*

220 A.3 for a summary of the annotated environmental variables). Moreover, a narrative description of each  
221 event was written.

## 222 **2.4 Vehicle measures**

223 Among the signals collected from the CAN bus, the ones of particular interest for describing the vehicle  
224 dynamics and the driving situation (specifically the interaction with the POV) were the speed and the  
225 longitudinal acceleration of the driver vehicle, the distance (*Range*) and the relative speed (*Range rate*)  
226 to the POV, the time to collision (TTC), and the time headway (THW). The TTC and THW are two  
227 commonly used safety indicators. TTC is computed as the ratio between the distance and the relative  
228 speed to the POV; it expresses the severity of the impending collision. In this study the inverse of the  
229 TTC ( $TTC^{-1}$ ) was used in order to have a measure that increases as the risk of colliding with the POV  
230 increases (Summala, 2000, defined it as urgency). THW is computed as the ratio between the distance  
231 to the POV and the speed of the driver vehicle. The THW, in steady-state car following, is a measure of  
232 the exposure to a potential threat. For example, if the leading vehicle brakes, a short THW would require  
233 a faster response than a longer THW in order to avoid the collision. It is important to remember that in  
234 the events under analysis, the drivers selected the desired THW to maintain in the ACC settings.

## 235 **2.5 Optical variables**

236 Three optically-defined variables were used: *theta* ( $\theta$ ), its time derivative *theta dot* ( $\dot{\theta}$ ), and their ratio  
237 *tau* ( $\tau$ ). The angle  $\theta$  is the optical angle (in rad) of the POV at the eyes of the driver<sup>2</sup> and it is calculated  
238 by

$$239 \quad \theta = 2 \cdot \text{atan} \frac{W}{2R}$$

240 where  $W$  is an estimation of the POV width (a standard value of 1.8 m was used, see also Victor et al.,  
241 2015, p. 28), and  $R$  is the distance to the POV (in m). The rate of change of the optical angle,  $\dot{\theta}$  (in  
242 rad/s), is calculated by

$$243 \quad \dot{\theta} = -\frac{4WR}{R^2 + 4W^2}$$

244 where  $\dot{R}$  is the relative speed of the driver vehicle (in m/s) and the POV. Finally,  $\tau$  is given by the ratio  
245 of  $\theta$  and  $\dot{\theta}$ , that is the rate of dilation of the retina image of the POV (in s) as proposed in (Lee, 1976).  
246 As in the case of TTC, in the analysis the inverse of  $\tau$  ( $\tau^{-1}$ ) was used instead. It also turns out that  $\tau$  is the  
247 optical approximation of the physical quantity TTC (Lee, 1976).

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<sup>2</sup> Properly speaking, the angle  $\theta$  is estimated based on information from the front radar, therefore it is the optical angle at the bumper of the driver vehicle.



## 248 2.6 Data analysis framework

### 249 2.6.1 Response and explanatory variables

250 In the analysis framework of this study, the response variable is the time-course of the driver's visual  
251 behavior. In order to visualize it, a stacked histogram was used (see an example in Figure 2, and refer  
252 to Appendix A for the glance's color code). This graph is inspired by previous studies (Tivesten et al.,  
253 2015; Victor et al., 2015) and shows the proportion of various glances over time in the events under  
254 analysis. In addition, the curve for the mean percentage of eyes on path ( $\%EOP_{mean}$ ) was used to better  
255 visualize the trend of the glances on path across the events (black curve in Figure 2). In line with the  
256 work done in (Tivesten et al., 2015), the curve was defined by chunking the glance time series of the  
257 events in bins of 0.5 s. Each point in the curve is defined by first computing the mean percentage of  
258 glances on path in the selected bin for each single event. Then the computed values are averaged across  
259 all the events. The errors bars indicate the 95% confidence interval (95CI), which is also a measure of  
260 the events' inter-variability. The boundaries of the confidence interval are the value of  $\%EOP_{mean}$  in  
261 the selected bin plus/minus 1.96 times the standard error of the mean.

262 The exploratory variables are the measures related to the driver vehicle and the POV, as introduced in  
263 sections 2.4–2.5. In order to facilitate the comparison to the response variable (i.e. the drivers' visual  
264 behavior) the same approach used to define the  $\%EOP_{mean}$  was taken.

### 265 2.6.2 Statistics

266 The non-parametric Friedman test and the Wilcoxon signed rank post-hoc test were used to compare the  
267 observations within the glance time-series at different points in time during the events. The significance  
268 level was set at 0.05 (with the Holm-Šidák correction for multiple comparisons).

## 269 3 RESULTS

### 270 3.1 Glance location

#### 271 3.1.1 Rear-end FCW events

272 Figure 3a shows the glance location history for the rear-end FCW events, centered at the FCW onset. In  
273 general, the drivers' visual attention in the 20 s interval was directed towards the forward path (78.4%).  
274 Most of the off path glances were driving-related. The driver information module accounted for 29.3%,  
275 followed by L+R windscreen (24.8%), rear view mirror (14.7%), and L+R side mirror/window (11.9%).

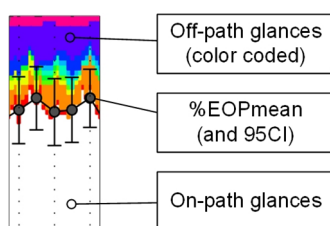


Figure 2. Example of the graph used for visualizing the time-course of the glance location across the events. The graph shows the percentage of glance locations at any given time during the events under analysis. For details on the glances' color code see Appendix A. The black curve indicates the mean percentage of eyes on path ( $\%EOP_{mean}$ ). The error bars indicate the 95% confidence interval.

276 The cumulative distributions show a noticeable increase in glances towards the rear-view mirror just  
277 after the FCW onset (Figure 3b). Moreover, they show that the majority of the glances towards an  
278 interior object and the phone were at the beginning and at the end of the events. In contrast, the  
279 cumulative distributions of the glances off path towards the other AOIs steadily increased over time.

280 Based on a graphical analysis of the %EOPmean trend, five intervals could be identified (Figure 3a):

- 281 1. In the -9.5 s – -2.5 s interval the %EOPmean oscillated around the value 78%, which is in  
282 accordance with the baseline used in (Tivesten et al., 2015). Thus, this interval may be defined  
283 as the *steady state driving interval*.
- 284 2. In the -2 s – 0 s interval the %EOPmean steadily increased from 80.7% to 95.4% (significant:  
285 Friedman test,  $\chi^2(2) = 44.09$ ,  $p < .001$ ; Wilcoxon post-hoc,  $z = 3.35$ ,  $p < .01$ ,  $r = 0.25$ ). This  
286 interval may be defined as the *threat anticipation interval*, in conformity with (Tivesten et al.,  
287 2015).
- 288 3. After the FCW onset, at 0.5 s, the %EOPmean further increased to 97.5%. This interval may be  
289 defined as the *threat interval*, as proposed in (Wege et al., 2013).
- 290 4. Afterwards, in the 1 s – 6 s interval the %EOPmean decreased to 61.6%, a level that was  
291 significantly lower than the beginning of the threat anticipation interval (Friedman test,  $\chi^2(2) =$   
292  $44.09$ ,  $p < .001$ ; Wilcoxon post-hoc,  $z = 2.99$ ,  $p < .01$ ,  $r = 0.23$ ). This interval may be defined  
293 as the *post-threat interval*, as suggested in (Wege et al., 2013).
- 294 5. Finally, in the 6.5 s – 9.5 s interval the %EOPmean converged to a value around 70.7%. This  
295 interval may be defined as the *(back to) steady state driving interval*.

### 296 3.1.2 Random FCW events

297 Figure 4a shows the glance location history for the random FCW events, centered at the FCW onset. As  
298 in the rear-end FCW events, in the 20 s interval the drivers looked at the forward path most (78.1%) of  
299 the time. Likewise, most of the glances off path were driving-related. Among them, the driver  
300 information module accounted for 34.2%, followed by the rear view mirror (17.9%), L+R windscreen  
301 (15.2%) and L+R side mirror/window (13.7%). In general, the cumulative distributions of the off path  
302 glances steadily increased over time. In particular, the rapid increase of glances towards the rear-view  
303 mirror after the FCW onset, found in the rear-end FCW events, was not present here (Figure 4b).

304 In Figure 4a, four intervals can be distinguished in the %EOPmean curve. Note that the threat  
305 anticipation interval seen in rear-end events was not present in the random FCW events, but the other  
306 four are comparable:

- 307 1. In the -9.5 s – 0 s interval the %EOPmean oscillated around the value 77.8%. This interval is  
308 comparable to the baseline used in (Tivesten et al., 2015) and it may be also defined as the  
309 steady state driving interval.
- 310 2. After the FCW onset, at 0.5 s, the %EOPmean suddenly increased from the value of 83.2% at

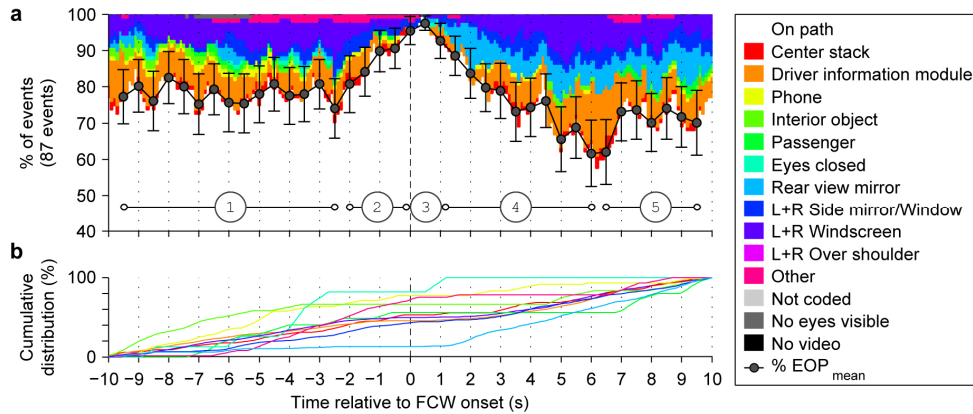


Figure 3. Proportion (a) and cumulative distributions (b) of the glance location over time for the rear-end FCW (frontal collision warning) events. The events are centered at the FCW onset. Numbered circles in (a) indicate the identified intervals described in the text: (1) Steady state driving, (2) Threat anticipation, (3) Threat, (4) Post-threat, (5) (Back to) steady state driving. The curve %EOPmean shows the mean percentage of eyes on path. The error bars indicate the 95% confidence interval.

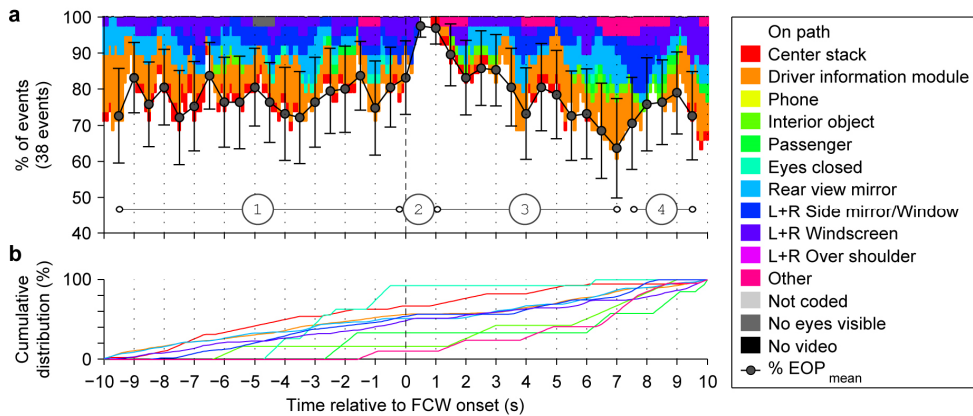


Figure 4. Proportion (a) and cumulative distributions (b) of the glance location over time for the random FCW (frontal collision warning) events. The events are centered at the FCW onset. Numbered circles in (a) indicate the identified intervals described in the text: (1) Steady state driving, (2) Threat, (3) Post-threat, (4) (Back to) steady state driving. The curve %EOPmean shows the mean percentage of eyes on path. The error bars indicate the 95% confidence interval.

311 the end of the steady state driving interval to 97.4% (significant: Friedman test,  $\chi^2(2) = 13.23$ ,  $p$   
 312  $< .01$ ; Wilcoxon post-hoc,  $z = 2.68$ ,  $p < .05$ ,  $r = 0.31$ ). This interval may be defined as the threat  
 313 interval.

314 3. In the 1 s – 7 s interval the %EOPmean decreased to 63.7%, a level that was significantly lower  
 315 than the end of the steady state driving interval (Friedman test,  $\chi^2(2) = 13.23$ ,  $p < .01$ ; Wilcoxon  
 316 post-hoc,  $z = 2.05$ ,  $p < .05$ ,  $r = 0.24$ ). This interval may be defined as the post-threat interval.

317 4. At the end, in the 7.5 s – 9.5 s interval, the %EOPmean rose to an average value of 74.8%. This  
 318 interval may be defined as the (back to) steady state driving interval.

319

320

## 321 **3.2 Glance eccentricity**

### 322 3.2.1 Rear-end FCW events

323 Figure 5a shows the glance history in terms of eccentricity level, time-centered at the FCW onset for the  
324 rear-end FCW events. In the 20 s interval, among the glances away from the forward path, the glances  
325 at low eccentricity accounted for 54.1%, whereas the ones at medium and high eccentricity accounted  
326 for 27.6% and 17.8%, respectively. The view of the forward path was thus mostly contained within the  
327 near-peripheral visual region (i.e., eccentricity within 30°).

328 In addition to the results described section 3.1.1, it is worth noting that:

- 329 – The average percentage of glances at high eccentricity, in the steady state driving and threat  
330 anticipation intervals, had a value of 5.1%, which is greater than the average value of 2.7% in  
331 the post-threat and (back to) steady state driving intervals. On the other hand, the opposite held  
332 for the glances at medium eccentricity, with an average value of 3.3% before the threat interval  
333 and 9.0% after. These results are also evident in the cumulative distributions in Figure 5b, which  
334 show that the distributions for the glances at high and medium eccentricity were skewed towards  
335 the first and second half of the events, respectively.
- 336 – The steady increase in %EOPmean during the threat anticipation interval was primarily a  
337 consequence of the steady decrease of glances at low eccentricity, from 8.7% to 0.2% (Figure  
338 5d). Similarly, the steady decrease in %EOPmean during the post-threat interval was mainly a  
339 consequence of the steady increase of glances at low eccentricity, from 3.2% to 26.2%.
- 340 – The median of the distribution of the POV brake onset times across all the events corresponded  
341 to the beginning of the threat anticipation interval. The whiskers extended into the 4 s interval  
342 before the FCW onset. Three events were not coded because the POV brake lights did not turn  
343 on or were not visible in the video.

### 344 3.2.2 Random FCW events

345 Figure 6a shows the glance history in terms of eccentricity level, centered at the FCW onset, for the  
346 random FCW events. In the 20 s interval, among the glances away from the forward path, the glances  
347 at low eccentricity accounted for 49.4%, whereas the ones at medium and high eccentricity accounted  
348 for 38.6% and 12%, respectively. As in the rear-end events, the view of the forward path was then mostly  
349 contained within the near peripheral region. The cumulative distributions of the glances at low and  
350 medium eccentricity steadily increased over time, whereas that of the high-eccentricity glances was  
351 slightly skewed towards the end of the events (Figure 6b).

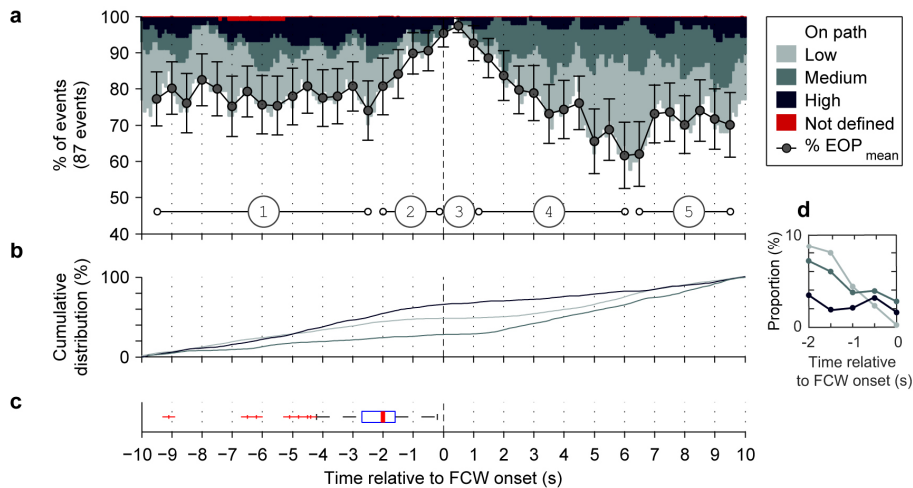


Figure 5. Proportion (a) and cumulative distributions (b) of the glance eccentricity over time, and box plot of the POV (principal other vehicle) brake onset times (c) for the rear-end FCW (frontal collision warning) events. The events are centered at the FCW onset. Numbered circles in (a) indicate the identified intervals described in the text: (1) Steady state driving, (2) Threat anticipation, (3) Threat, (4) Post-threat, (5) (Back to) steady state driving. The curve %EOPmean shows the mean percentage of eyes on path. The error bars indicate the 95% confidence interval. In (d) is displayed the proportion of low, medium, and high glance eccentricity over time in the threat anticipation interval.

352

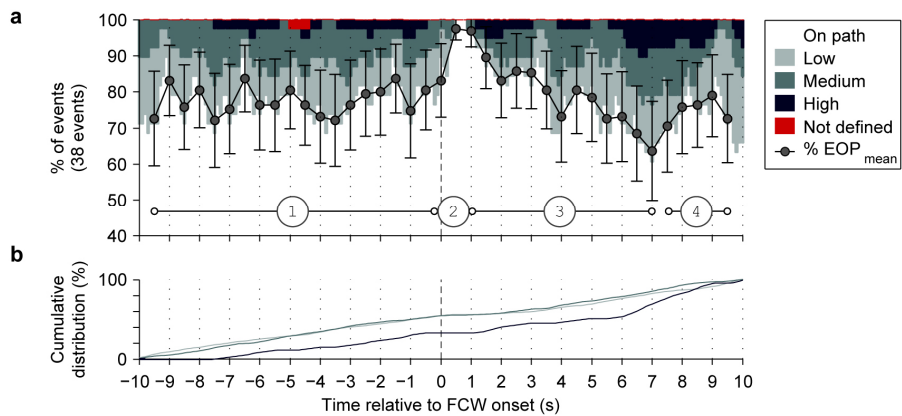


Figure 6. Proportion (a) and cumulative distributions (b) of the glance eccentricity over time for the random FCW (frontal collision warning) events. The events are centered at the FCW onset. Numbered circles in (a) indicate the identified intervals described in the text: (1) Steady state driving, (2) Threat, (3) Post-threat, (4) (Back to) steady state driving. The curve %EOPmean shows the mean percentage of eyes on path. The error bars indicate the 95% confidence interval.

### 353 **3.3 Vehicle dynamics**

#### 354 3.3.1 Rear-end FCW events

355 Figure 7 shows the %EOPmean curve (and the intervals described in section 3.1.1), aligned with the  
356 curves of the selected vehicle measures and centered at the FCW onset. In general, the speed and the  
357 range to the POV monotonically decreased, with one inflection point after the FCW onset. The range  
358 rate had a global minimum of  $-5.76$  m/s at  $0.5$  s. The longitudinal acceleration had a similar result, but  
359 the global minimum of  $-3.48$  m/s<sup>2</sup> was at  $1$  s. The  $TTC^{-1}$  and the brake pressure had a global maximum  
360 at  $1$  s, with values of  $0.285$  s<sup>-1</sup> and  $29.16\%$ , respectively. The THW behaved differently; it had a global  
361 minimum at  $1$  s with a value of  $1.30$  s, and at the end of the post-threat interval there was a noticeable  
362 increase in both mean value ( $4.84$  s) and  $95$  CI as a result of the variable driving conditions. During the  
363 (back to) steady state driving interval, THW decreased again. Table 1 reports the values at the interval  
364 ends, and the average value in the interval. Note that in the steady state driving interval the vehicle  
365 measures were slowly changing over time, as would be expected in car-following, whereas noticeable  
366 changes happened between the threat-anticipation and post-threat intervals. At the beginning of the  
367 threat-anticipation interval (i.e. at the boundary between intervals 1 and 2 in Figure 7) the value of the  
368 longitudinal acceleration was about  $-0.20$  m/s<sup>2</sup>, which is in accordance with the detection threshold  
369 found in (Lee et al., 2007). Finally, in the (back to) steady state driving interval, the measures became  
370 stable again.

#### 371 3.3.2 Random FCW events

372 Due to the absence of a POV, the analysis of vehicle measures such as range, range rate,  $TTC^{-1}$ , and  
373 THW is not relevant in these events. The longitudinal acceleration was negligible and braking was never  
374 applied. As a consequence, the speed was steady at around  $86$  km/h, a value higher than the one found  
375 in section 3.3.1, yet still comparable (Figure 8).

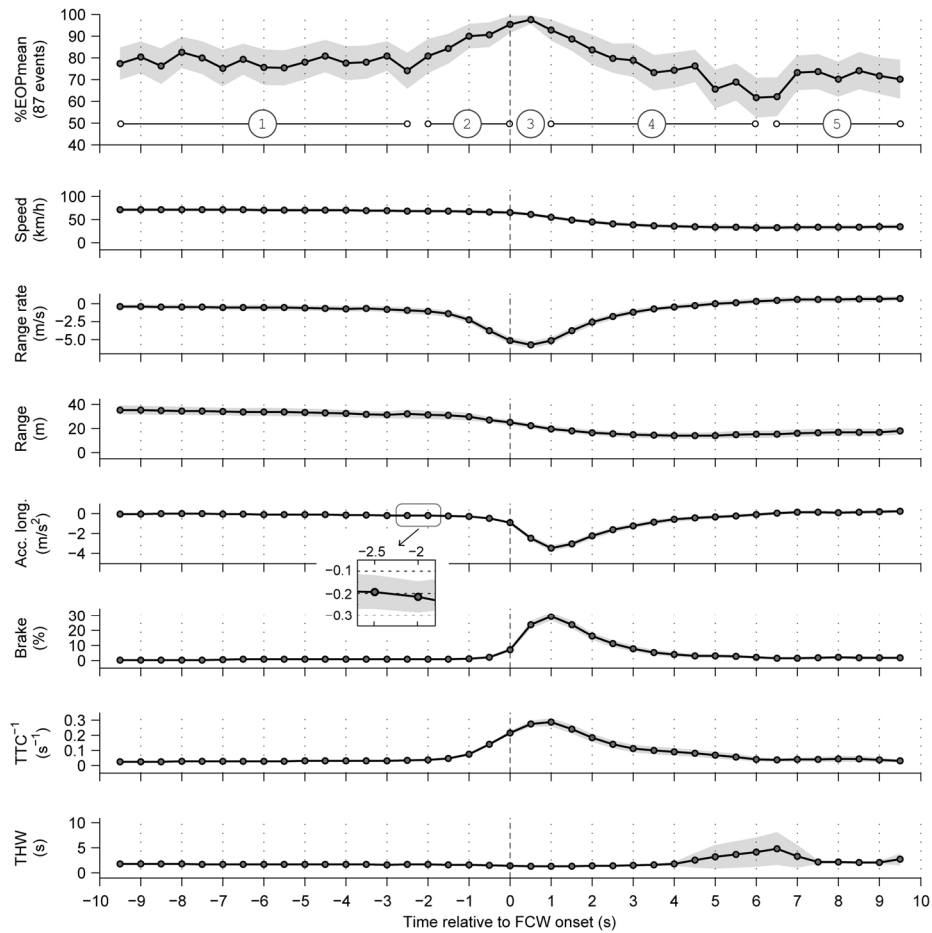


Figure 7. Comparison of %EOPmean (mean percentage of eyes on path) to several vehicle measures for the rear-end FCW (frontal collision warning) events. The events are centered at the FCW onset. The gray area is the 95% confidence interval. Numbered circles indicate the identified intervals described in the text: (1) Steady state driving, (2) Threat anticipation, (3) Threat, (4) Post-threat, (5) (Back to) steady state driving.

Table 1. Summary of the values of the vehicle measures for the rear-end FCW (frontal collision warning) events in the identified intervals described in the text. The events are centered at the FCW onset. The values are reported in the format [start, end] (mean).

Measure	Unit	Interval				
		1 Steady state driving	2 Threat anticipation	3 Threat	4 Post-threat	5 (Back to) steady state driving
Speed	km/h	[71.73, 68.92] (70.72)	[68.49, 65.03] (67.08)	[61.25]	[55.14, 33.06] (39.70)	[33.06, 34.65] (33.76)
Acceleration	m/s <sup>2</sup>	[-0.06, -0.19] (-0.10)	[-0.22, -0.93] (-0.44)	[2.49]	[-3.48, 0.13] (1.30)	[0.05, 0.23] (0.13)
Brake pressure	%	[0.29, 0.70] (0.55)	[0.67, 7.11] (2.35)	[23.48]	[29.16, 1.92] (9.72)	[1.30, 1.64] (1.64)
Range	m	[35.55, 32.16] (33.61)	[31.54, 24.94] (28.89)	[22.43]	[19.66, 15.23] (15.60)	[15.43, 17.88] (16.66)
Range rate	m/s	[-0.44, -0.97] (-0.60)	[-1.10, -5.14] (-2.73)	[-5.76]	[-5.12, 0.31] (-1.41)	[0.44, 0.71] (0.58)
TTC <sup>-1</sup>	s <sup>-1</sup>	[0.02, 0.03] (0.03)	[0.04, 0.21] (0.10)	[0.27]	[0.28, 0.04] (0.13)	[0.04, 0.03] (0.04)
THW	s	[1.78, 1.68] (1.71)	[1.66, 1.40] (1.56)	[1.33]	[1.30, 4.17] (2.18)	[4.84, 2.69] (2.75)

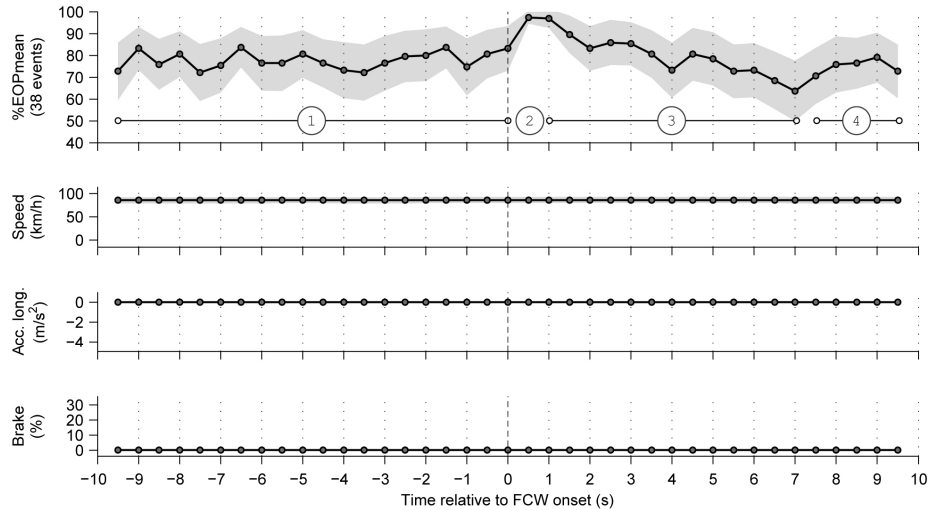


Figure 8. Comparison of %EOPmean (mean percentage of eyes on path) to the vehicle measures for the random FCW (frontal collision warning) events. The gray area is the 95% confidence interval. Numbered circles indicate the identified intervals described in the text: (1) Steady state driving, (2) Threat anticipation, (3) Threat, (4) Post-threat, (5) back to steady state driving.

377

### 378 3.4 Optical variables

379 Note that there were no optical variables for the random FCW events due to the absence of a POV. For  
 380 rear-end FCW events, Figure 9 shows. For the rear-end FCW events, Figure 9 shows the %EOPmean  
 381 curve (and the intervals described in section 3.1.1) aligned with the curves of the optical measures  
 382 associated with the POV, centered at the FCW onset. In general, we can see that  $\theta$  steadily increased  
 383 up to the post-threat interval from 0.062 to 0.202 rad, whereas in the (back to) steady state driving  
 384 interval it slightly decreased. The variables  $\dot{\theta}$  and  $\tau^{-1}$  had the global maximum at 1 s, with a value of  
 385 0.035 rad/s and  $0.284 \text{ s}^{-1}$ , respectively.

386 Looking at Table 2, one can note that the variables in the steady state driving interval are slowly  
 387 changing, and a noticeable change occurs between the threat-anticipation and post-threat intervals. In  
 388 the threat-anticipation interval, the variable  $\dot{\theta}$  crossed the threshold for detecting the looming of the  
 389 POV when looking on path (of about 0.0036–0.0038 rad/s; see gray area in Table B.1) between -2 s and  
 390 -1.5 s. The threshold for detecting the looming at low eccentricity (of about 0.0058–0.0067 rad/s) was  
 391 crossed at about -1 s. At the end of the events, i.e. in the (back to) steady state driving intervals, the  
 392 variables began to settle down.



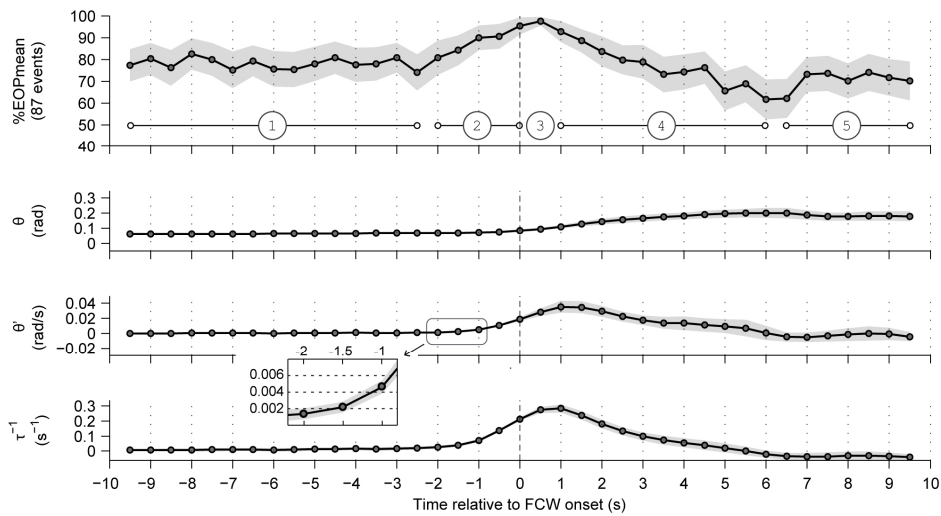


Figure 9. Comparison of %EOPmean (mean percentage of eyes on path) to the optical measures for the rear-end FCW (frontal collision warning) events. The events are centered at the FCW onset. The gray area is the 95% confidence interval. Numbered circles indicate the identified intervals described in the text. (1) Steady state driving, (2) Threat anticipation, (3) Threat, (4) Post-threat, (5) (Back to) steady state driving.

Table 2. Summary of the values of the optical variables for the rear-end FCW (frontal collision warning) events in the identified intervals described in the text. The events are centered at the FCW onset. The values are reported in the format *[start, end] (mean)*.

Measure	Unit	Interval				
		1 Steady state driving	2 Threat anticipation	3 Threat	4 Post-threat	5 (Back to) steady state driving
$\theta$	rad	[0.062, 0.067] (0.064)	[0.068, 0.083] (0.073)	[0.094]	[0.110, 0.202] (0.168)	[0.201, 0.179] (0.183)
$\dot{\theta}$	rad/s	[0.000, 0.001] (0.000)	[0.001, 0.019] (0.007)	[0.028]	[0.035, 0.001] (0.018)	[-0.005, -0.004] (-0.003)
$\tau^{-1}$	s <sup>-1</sup>	[0.007, 0.022] (0.012)	[0.027, 0.214] (0.097)	[0.275]	[0.284, -0.020] (0.101)	[-0.034, -0.041] (-0.035)

393

## 394 4 DISCUSSION

395 This study used data from the naturalistic database EuroFOT (Kessler et al., 2012) to analyze drivers'  
 396 visual behavior in potential critical situations while driving with ACC. The critical events were selected  
 397 anytime a FCW (true positive and false positive) was issued while the ACC was active. The visual  
 398 behavior was examined with respect to two manually-coded metrics, glance location and glance  
 399 eccentricity, together with other measures based upon several recorded CAN signals.

## 400 **4.1 Visual behavior with respect to the identified intervals**

### 401 4.1.1 Steady state driving interval: glance behavior

402 The mean percentage of eyes on path (%EOP<sub>mean</sub>) with ACC in the steady state driving interval, in  
403 both rear-end and random FCW events, is in accordance with the results in (Tivesten et al., 2015). This  
404 study found that the attention to the forward path with ACC was lower than without (~77% mean eyes  
405 on path with ACC, compared to ~85% for manual driving). The use of ACC might have reduced the  
406 driving task demand, which in turn affected visual attention allocation. While diverting attention away  
407 from the forward path could lead to severe consequences, two distinctions must be made: (a) whether  
408 the driver's glances off path are driving-related or not, and (b) whether eye behavior is described as  
409 'eyes off path' or 'eyes off threat'. With regard to the former, the results in sections 3.1.1–3.1.2 show  
410 that most of the glances off path were driving-related (e.g. towards the driver information module, L+R  
411 windscreen, rear-view mirror) thus signifying that attention is actually directed towards the driving task.  
412 Concerning the latter, discriminating between glances off path and glances off threat is important, taking  
413 also into account the benefit of the benefit of the ACC in reducing the risk exposure by maintaining a  
414 safe speed and headway, as mentioned in the introduction. The threat anticipation response suggests that  
415 drivers direct the eyes off path when there is no impending critical situation, but that they are ready to  
416 redirect their visual attention towards a traffic-related threat when needed.

### 417 4.1.2 Threat anticipation interval: what did drivers respond to?

418 In accordance with the findings in (Tivesten et al., 2015), Figure 3a shows that drivers anticipated the  
419 impending critical situations by directing their eyes to the forward roadway before a situation became  
420 critical (that is, before the FCW onset). In line with the literature review presented in the introduction,  
421 the results in this study show that there might be three exogenous cues for attracting drivers' attention:  
422 deceleration, looming, and brake light cue. The relevance of these cues is corroborated by the analysis  
423 of the random FCW events, which demonstrated that the anticipatory response was absent when the cues  
424 were absent.

#### 425 *Deceleration cue*

426 Figure 7 shows that the increase in %EOP<sub>mean</sub> in the rear-end FCW events started when the average  
427 deceleration of the vehicle in the events was about 0.20 m/s<sup>2</sup>, which is in accordance with the detection  
428 threshold found in (Lee et al., 2007). This threshold was crossed between -2.5 s and -2 s, at the beginning  
429 of the threat-anticipation interval. This mild deceleration might have been the effect of either the throttle  
430 release or the brake onset by the ACC. This cue might have informed the drivers of the approaching  
431 phase to a POV, making them look at the road. This behavior seems to be in line with the subjective  
432 data collected by Fancher et al. (1998), which indicates that drivers perceived the ACC-induced  
433 deceleration as a cue to look ahead because of an arising headway conflict.

434 *Looming cue*

435 Figure 5a shows that the steady decrease of glances towards low eccentricity locations was the main  
436 contributor to the steady increase in %EOP<sub>mean</sub> in the rear-end FCW events. The glances at medium  
437 and, in particular, at high eccentricity locations were less influenced, up until the onset of the FCW.  
438 When using the detection threshold for the optical variable  $\dot{\theta}$  (because, unlike  $\tau^{-1}$ , this variable is less  
439 sensitive to the different experimental setup, as shown in Appendix B), the threshold for detecting the  
440 closure of the POV at low eccentricity was approximately at -1 s, which is 1 s later than the onset of the  
441 deceleration cue. This finding suggests that the deceleration cue is more effective than the looming  
442 stimulus (in the drivers' peripheral vision) at redirecting visual attention towards the forward path, since  
443 the former is detected sooner. However, once the glance is on the forward roadway, drivers might rely  
444 on the looming stimulus to perceive the imminent threat. Thus the driver keeps looking at the road,  
445 without diverting attention away, when the looming is detectable. In other words, the looming threshold  
446 value was initially crossed when looking at the forward path at the beginning of the threat anticipation  
447 interval (i.e., between -2 s and -1.5 s); thereafter the glance continued to be on path (for example, as an  
448 effect of the deceleration cue) because the looming of the lead vehicle was above threshold. Note that  
449 the looming threshold reference values summarized in the introduction and in Table B.1 were gathered  
450 from studies that were carried out with alert drivers in favorable conditions on an empty road (Lamble  
451 et al., 1999; Summala et al., 1998). In contrast, looming detection may be more difficult in real-world  
452 driving, due to cluttered driving scenes (e.g. in congested traffic) and less favorable weather and lighting  
453 conditions (Victor et al., 2015).

454 *Brake light cue*

455 Figure 5c shows that the beginning of the steady state driving interval corresponded to the median of  
456 the distribution of the POV brake light onset times across the events. This correspondence suggests that  
457 brake light onset may be influencing drivers to look at the road. However, as pointed out in the  
458 introduction, previous studies have yielded conflicting results. It is difficult to assess from our results  
459 whether the brake lights increase the drivers' visual attention to the forward path. The majority of events  
460 in this study (~87%) occurred in daylight and clear weather (see Table A.3), which reduced the saliency  
461 of the brake light stimulus; Summala et al. (1998) found that detection was significantly impaired in the  
462 visual periphery, even at a low level of eccentricity. This suggests that the brake lights might not be  
463 intense enough to capture the driver's attention in daylight and clear weather circumstances when the  
464 driver isn't looking directly ahead. However, in adverse conditions and for night driving, the brake lights  
465 might be more easily detected at the periphery and they could have played a primary role in the detection  
466 of lead vehicle looming (Janssen, 1974). However, note that even if the brake light stimulus is salient  
467 enough it still would not necessarily be the cue that causes a brake reaction or inhibits an off-road glance,  
468 as argued by Victor et al. (2015) and Markkula et al. (2016).

#### 469 4.1.3 Threat interval: how did drivers respond?

470 Figure 5a shows that the onset of the FCW redirected the glances at medium and, in particular, at high  
471 eccentricity locations. However, as a consequence of the threat anticipation, the %EOPmean was already  
472 95.4% at the onset of the FCW. Interestingly, the random FCW events clearly showed a rapid orienting  
473 effect of the FCW (Figure 4 and Figure 6). These results suggest that the FCW was effective at making  
474 the drivers glance at the forward path, but the absence of a threat allowed drivers to quickly divert their  
475 attention again.

#### 476 4.1.4 Post-threat interval: what did drivers do after the response?

477 Figure 3 shows that drivers started to divert visual attention away from the forward path just after the  
478 onset of the FCW. The increase of glances towards the rear-view mirror was particularly noticeable from  
479 1 s after the FCW onset. This behavior might be interpreted as an improvement of situation awareness  
480 (e.g. checking if the driver vehicle was about to be struck by a following one). In the end of the post-  
481 threat interval one can notice a large proportion of glances towards the driver information module. This  
482 behavior might be the consequence of the drivers' controlling the ACC settings or re-engaging the  
483 system. Although less noticeable, the post-threat interval was also found in the random FCW (Figure  
484 4). It could be argued that the increased frequency of glances to the driver information module and other  
485 types of glances indicate enhanced situation awareness: for example, drivers may look towards the driver  
486 information module to search the settings for the cause of the warning, and towards other areas to check  
487 for the presence of objects in front of the car.

### 488 **4.2 Outstanding question**

489 Why did the drivers receive a FCW even when they were already looking at the forward path and  
490 anticipated the threat? Here we propose to answer this question in terms of trust, expectancy, and  
491 satisficing behavior.

#### 492 *Trust*

493 Trust can be defined as “the attitude that an agent will help achieve an individual’s goals in a situation  
494 characterized by uncertainty and vulnerability” (Lee & See, 2004, p. 54). In this regard, the driver would  
495 have waited until the last second, i.e. the FCW onset, before deciding to intervene and override the ACC,  
496 for example by manually braking, as suggested in (Rudin-Brown & Parker, 2004). Larsson et al. (2014)  
497 argue that an increased brake reaction time during ACC control is not necessarily a disadvantage of the  
498 system; experienced drivers clearly trust the ACC to perform its task appropriately, so they only  
499 intervene at the last second.

#### 500 *Expectancy*

501 Expectancy is the subjective prediction, associated with a degree of uncertainty, about how a specific  
502 situation will develop, based on previous experience and contextual information (Engström et al., 2013;  
503 Sanders, 1966). Expectancy largely regulates the driver’s behavior in steady state driving (Engström et

504 al., 2013). If the need for a response is expected to disappear, drivers might delay their response  
505 (Summala, 2000). For example, the drivers could have waited to proactively brake because they did not  
506 expect the slowing leading car to suddenly brake, or they expected it to start accelerating again. When  
507 the FCW was issued and the drivers detected that the situation didn't develop as expected, they acted in  
508 order to recover the safety margins.

#### 509 *Satisficing behavior*

510 Satisficing behavior is the behavior supported by the notion of acceptable, rather than optimal,  
511 performance (Boer, 1999). In normal driving drivers tend to satisfice to remain within a subjective  
512 comfort zone whose boundaries are primarily determined by safety margins (Summala, 2007).  
513 Additionally, the comfort zone's boundary may be stretched by extra motives (Summala, 2007), from  
514 which the driver could gain a benefit that justifies the cost of getting closer to the discomfort zone. For  
515 example, drivers may decide to wait until the last moment before pressing the brake pedal, thus  
516 decreasing the following distance (cost), in order to avoid disengaging, and having to reengage, the ACC  
517 (benefit).

#### 518 **4.3 Limitations**

519 Naturalistic studies have some limitations intrinsic to their design, such as lack of experimental control  
520 of participants, scenarios, and vehicle systems. These same considerations should be taken into account  
521 in interpreting these results. Video data reduction (such as eye glance behavior) was conducted by the  
522 primary author. Inter-rater reliability was not established.

### 523 **5 CONCLUSION**

524 This study corroborates and extends the results from (Tivesten et al., 2015) and proposes an explanation  
525 for drivers' reactions to potential critical situations, identified as FCW onsets while ACC is active. The  
526 findings indicate that vestibular/somatosensory and visual cues (i.e., deceleration, looming, and lead  
527 vehicle brake lights) attract the drivers' attention to the forward road before the onset of the FCW. This  
528 explanation is further supported by the absence of an anticipatory response in the random FCW events,  
529 in which these three cues were absent.

530 It is argued that the deceleration cue might be the predominant cue for triggering glances towards the  
531 forward roadway before a longitudinal threat develops into a conflict. (If this argument is correct,  
532 simulator experiments could, whenever possible, exploit moving base motion cues to re-engage the  
533 drivers when an intervention is required in critical situations.) Once the driver's attention has been  
534 captured, the looming cue (together with the brake light cue) is probably the main stimulus maintaining  
535 the driver attention to the forward path, providing more information about an impending conflict and  
536 supporting the driver's response.

537 The findings provide evidence of two kind of driver responses, to a warning and to a threat. The response  
538 to a warning is characterized by a quick (but temporary) reorientation to the forward path, whereas the  
539 response to a threat is characterized by a slower, longer-lasting increase of glances on-path. The former  
540 behavior is particularly noticeable in the random FCW events.

541 The random FCW events clearly show that, when there is a warning without an external threat (and  
542 visual and deceleration cues are not provided), there is no anticipatory response. These events show that  
543 the FCW alone acts as an effective attention-orienting mechanism. In contrast, in rear-end FCW events,  
544 the FCW was effective at re-orienting the glances that were further away from the forward roadway  
545 (i.e., at medium and high levels of eccentricity).

546 This study also showed that the time-course of visual behavior in critical situations can be divided into  
547 intervals with different glance characteristics according to the driving situation. The identified intervals  
548 were defined as steady state driving, threat-anticipation, threat, post-threat and (back to) steady state  
549 driving. These contextually defined intervals are essential for understanding the attention response  
550 process.

551 This work is also of interest for automated driving research, because it provides additional information  
552 about drivers' perception of the driving situation and shows how important visual and  
553 vestibular/somatosensory cues can be for alerting drivers to critical situations, and for starting planning  
554 a proper avoidance action. Furthermore, these results are relevant for the design of higher levels of  
555 automation, specifically when re-engaging the driver if the system automation capabilities are exceeded.  
556 The results from this study suggest that it is important to design a vehicle that can communicate system  
557 limitations through actuation and to develop a warning strategy that incorporates knowledge of driver  
558 glance responses to safety systems.

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677 **APPENDIX A. CODING SCHEMA**

Table A.1 Coding scheme for the glance location. The coding was adapted from (Victor et al., 2015, p. 24)

Color code	Glance location*	Description
○	On path	Any glance directed toward the direction of the vehicle's travel. When the vehicle is turning, these glances may be directed toward the vehicle's heading.
●	Center stack	Any glance to the vehicle's vertical center stack.
●	Driver information module	Any glance to the driver information module (e.g., speedometer, control stalks, and steering wheel).
●	Phone	Any glance at a cell phone or other electronic communications device, no matter where it is located.
●	Interior object	Any glance to an identifiable object in the vehicle (e.g., personal items, the cup-holder area between passenger seat and driver seat)
●	Passenger	Any glance to a passenger, whether in front or rear seat. Context will be needed (e.g., they're talking or passing something) in some situations.
●	Eyes closed	Any time that both participant's eyes are closed outside of normal blinking (e.g., the subject is falling asleep or rubbing eyes).
●	Other	Any glance that cannot be categorized using the above codes (e.g., the driver looks straight up at the sky as if watching a plane fly by, the driver looks at the bonnet, the driver is tilting his or her head back to drink and the eyes leave the forward glance but do not really focus on anything at all...).
●	Rear-view mirror	Any glance to the rearview mirror.
●	L+R Side mirror L+R Window	Any glance to the left or right side mirror or window.
●	L+R windshield	Any glance out the forward windshield when the driver appears to be looking out the windshield but clearly not in the direction of travel (e.g., at road signs or buildings)
●	L+R over shoulder	Any glance over either of the participant's shoulders. In general, this will require the eyes to pass the B-pillar. If over the left shoulder, the eyes may not be visible, but this glance location can be inferred from context.
●	No eyes visible	Glance location unknown: Unable to complete glance analysis due to an inability to see the driver's eyes/face (due to obstruction or glare).
●	No video	Unable to complete glance analysis because the video source is temporarily unavailable.
●	Not coded	Time-series data for which glance annotation was not performed.

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Table A.2 Coding scheme for the glance eccentricity.

Color code	Eccentricity level	Average angle	Area of interest
○	On path	Between 0° and 10°	On path
●	Low	Between 10° and 30°	L windshield R windshield Driver information module
●	Medium	Between 30° and 60°	L side mirror L window Rear-view mirror Center stack
●	High	Above 60°	R side mirror R window L over shoulder R over shoulder Interior object Passenger Phone Other Eyes closed
●	Not defined	Not defined	Eyes not visible No video Not coded

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Table A.3 Summary of the annotations of the environmental variables.

Variable	Category	Number of events	
		Rear-end FCW (total of 87 events)	Random FCW (total of 38 events)
Lightening	Daylight	71	12
	Darkness lighted	12	8
	Darkness unlighted	2	8
	Artificial darkness (e.g. tunnel)	2	10
Weather condition	No adverse condition	80	37
	Mist/Light rain	3	1
	Rain	4	0
Road geometry	Straight road	80	31
	Curve	7	7

683 **APPENDIX B. COMPILATION OF RELATED STUDIES ON THE**  
684 **LOOMING DETECTION THRESHOLD**  
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686 Table B.1 presents a compilation of related research on the threshold for detecting the looming of a lead  
687 vehicle as a function of glance eccentricity. Summala et al. (1998) and Lamble et al. (1999) performed  
688 test track studies in which the participants were asked to detect the lead vehicle closure while performing  
689 a visual secondary task. The visual secondary task forced the participants to look away from the forward  
690 pathway at increasing degrees of eccentricity. The methodologies in the two papers were similar except  
691 for (a) different experimental conditions (lead vehicle deceleration, following speed, range and degrees  
692 of eccentricity) and (b) different measures presented in the results. Summala et al. (1998) provided the  
693 detection threshold in terms of  $\tau^{-1}$ , whereas Lamble et al. (1999) provided the results in terms of  $\dot{\theta}$   
694 and TTC. To facilitate the comparison, we integrated and merged the results.

695 The results in (Summala et al., 1998) were integrated by computing the detection threshold in terms of  
696  $\dot{\theta}$ . The car-following scenario used in the study was simulated by implementing a MATLAB-based  
697 script, and the values of  $\dot{\theta}$  at detection were calculated via the equations in section 2.5. (Please note  
698 that only the data at 60 km/h were used since the ACC in the present study was not active at speeds  
699 below 30 km/h.) The results in (Lamble et al., 1999) were integrated by approximating TTC with  $\tau^{-1}$   
700 (Lee, 1976). (Please note that the data at 4° were excluded as suggested by the authors in (Lamble et al.,  
701 1999).)

702 Subsequently, the looming detection thresholds at eccentricities of 0°, 30°, 60° and 90° were predicted  
703 via linear regression. Moreover, the results obtained under varying experimental conditions (i.e., range  
704 and following speed) were merged, to obtain an average reference value for  $\dot{\theta}$  and  $\tau^{-1}$  (gray area in  
705 Table B.1).

Table B.1 Compilation of related results from test track studies on the visual perceptual threshold in terms of  $\dot{\theta}$  and  $\tau^{-1}$ . The detection threshold, as a function of glance eccentricity, was predicted via regression at 0°, 30°, 60°, and 90°. The gray area indicates the analysis over the merged data provided by the studies.

Study	Variable	Predicted threshold as a function of glance eccentricity		Notes	
Summala et al. (1998)				Test track study. Lead vehicle (1.62m wide) braking at $\sim 2.1\text{m/s}^2$ with brake lights deactivated. Clear weather. Participants were requested to brake as soon as they noticed the lead vehicle approaching.	
				<b>Range = 30m, speed = 60km/h</b>	
		$\dot{\theta}$ [rad/s]	0.0051	@0°	$\dot{\theta} = 0.0050781 + 0.00007445 \cdot x^\circ$ $R^2 = 0.94$
			0.0073	@30°	
			0.0095	@60°	
			0.0118	@90°	
		$\tau^{-1}$ [s <sup>-1</sup> ]	0.0939	@0°	$\tau^{-1} = 0.093899 + 0.0013646 \cdot x^\circ$ $R^2 = 0.93$
			0.1348	@30°	
			0.1758	@60°	
			0.2167	@90°	
				<b>Range = 60m, speed = 60km/h</b>	
		$\dot{\theta}$	0.0022	@0°	$\dot{\theta} = 0.0022014 + 0.000069697 \cdot x^\circ$ $R^2 = 0.94$
0.0043	@30°				
0.0064	@60°				
0.0085	@90°				
$\tau^{-1}$	0.0815	@0°	$\tau^{-1} = 0.081462 + 0.0025156 \cdot x^\circ$ $R^2 = 0.93$		
	0.1569	@30°			
	0.2324	@60°			
	0.3079	@90°			
		<b>Range = 30–60m, speed = 60km/h</b>			
$\dot{\theta}$	0.0036	@0°	$\dot{\theta} = 0.0036397 + 0.000072073 \cdot x^\circ$ $R^2 = 0.42$		
	0.0058	@30°			
	0.0080	@60°			
	0.0101	@90°			
$\tau^{-1}$	0.0877	@0°	$\tau^{-1} = 0.08768 + 0.0019401 \cdot x^\circ$ $R^2 = 0.83$		
	0.1459	@30°			
	0.2041	@60°			
	0.2623	@90°			
Lamble et al. (1999)				Test track study. Lead vehicle (1.62m wide) coasting at $\sim 0.7\text{m/s}^2$ . Clear weather. Participants were requested to brake as soon as they noticed the lead vehicle approaching.	
				<b>Range = 20m, speed = 50km/h</b>	
		$\dot{\theta}$	0.0038	@0°	$\dot{\theta} = 0.0038459 + 0.0001116 \cdot x^\circ$ $R^2 = 0.85$
			0.0072	@30°	
			0.0105	@60°	
			0.0139	@90°	
		$\tau^{-1}$	0.2357	@0°	$\tau^{-1} = 0.23567 + 0.00070727 \cdot x^\circ$ $R^2 = 0.79^{***}$
			0.2569	@30°	
			0.2781	@60°	
			0.2993	@90°	
				<b>Range = 40m, speed = 50km/h</b>	
		$\dot{\theta}$	0.0031	@0°	$\dot{\theta} = 0.0031146 + 0.0001014 \cdot x^\circ$ $R^2 = 0.79$
0.0062	@30°				
0.0092	@60°				
0.0122	@90°				
$\tau^{-1}$	0.1955	@0°	$\tau^{-1} = 0.1955 + 0.0012402 \cdot x^\circ$ $R^2 = 0.73^{***}$		
	0.2327	@30°			
	0.2699	@60°			
	0.3071	@90°			
		<b>Range = 20–40m, speed = 50km/h</b>			
$\dot{\theta}$	0.0036	@0°	$\dot{\theta} = 0.003635 + 0.00010376 \cdot x^\circ$ $R^2 = 0.80$		
	0.0067	@30°			
	0.0099	@60°			
	0.0130	@90°			
$\tau^{-1}$	0.2171	@0°	$\tau^{-1} = 0.21708 + 0.00094742 \cdot x^\circ$ $R^2 = 0.62$		
	0.2455	@30°			
	0.2739	@60°			
	0.3023	@90°			

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