Analysis of the Performance of MMC under Fault Conditions in HVDC-based Off-shore Wind Farms

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Abstract—This work analyzes the behavior of an MMC-HVDC-connected off-shore wind power plant (WPP) during dc faults. For that purpose, detailed models of the dc cable, MMC stations and transformers have been used in order to obtain reliable results. The influence of the WPP control method in the short-circuit behavior of the HVDC link has also been studied. Results show that the dynamics of the WPP contribution to pole-to-ground faults are slightly slower than those of the wind turbines current control loops. Therefore, the wind turbine front end converters can be used to reduce the peak and average value of the fault current in such a system. Moreover, it has been found that ferro-resonant oscillations can appear in the off-shore ac-grid when the WPP delivers constant power during faults.

Index Terms—Fault analysis, HVDC grid, modular multilevel converter, off-shore wind farm.

I. INTRODUCTION

HIGH-VOLTAGE dc (HVDC) links with Line Commutated Converters (LCCs) have been used for more than 50 years in electric power systems. However, Voltage Source Converters (VSCs) are being progressively introduced because they allow independent control of active and reactive powers, connection to low Short-Circuit Ratio (SCR) ac-grids (or even isolated grids), and facilitate the possibility of creating multi-terminal dc-grids. As a counterpart, VSCs present higher losses and more complex protection schemes during dc faults than traditional LCCs [1].

Modular Multilevel Converters (MMCs) are the preferred technology for VSC-HVDC stations [2]. Commonly used half-bridge cells do not have dc side fault blocking capability, which complicates the development of large multi-terminal dc-grids [3]. In this regard, new cell topologies have been proposed, such as full-bridge cells or diode-clamp SubModules (SMs) [4], [5]. Other converter topologies have also been proposed to limit short-circuit currents [6], [7]. However, their higher number of semiconductors increases converter losses. Therefore, half-bridge cells are still widely used due to their higher efficiency.

The influence of capacitor and cable characteristics, number of converter stations, short-circuit location and ac-grid SCR on short-circuit currents has been studied [8], as well as the influence of different multi-terminal topologies (radial, ring, slightly meshed and highly meshed) [9]. Both [8], [9] consider two-level VSC converters with a symmetrical unipolar configuration and dc-side capacitors grounded at its midpoint.

Moreover, several studies analyze dc short-circuits when two-level converters are used [10]–[12]. In [11] and [12], three evolution stages corresponding to the dc-side capacitor discharge, diode freewheeling conduction and grid-side current feeding an uncontrolled rectifier are proposed to analytically study the response of a two-level converter during short-circuits.

The influence of the Wind Power Plant (WPP) control method has received little attention in the published literature, even by those studies that considered MMCs instead of two-level converters [9], [13]. Therefore, previous studies did assume important simplifications regarding the converter, lines and/or WPP.

The importance of accurate and detailed models can be clearly seen in [14], where the effect of HVDC configuration and grounding system on the system fault response is studied. In this paper, the influence of the WPP control method on the short-circuit behavior of the HVDC link will be studied. Although pole-to-pole faults would be more severe for the equipment, these are not likely to occur in undersea cables since cable insulation damage in one of the poles would cause, as a first step, a pole-to-ground fault. Hence, only pole-to-ground faults will be considered. Detailed models of the cable, MMC converter stations and transformers (including saturation) will be used in order to obtain realistic results. It will be shown that the dynamics of the wind farm contribution to pole-to-ground faults are slower than those of the wind turbines current control loops. Therefore, the control strategy used for the wind turbines and the MMCs can be used to reduce the peak and average value of the fault current. Moreover, it will be shown that sustained ferro-resonant oscillations might appear in the off-shore grid after a HVDC fault when the wind power plant is operated under constant power control and the off-shore MMC acts as a grid-former converter.

The paper is organized as follows. Section II introduces a brief description of the system under study, including the description of the MMC model. An analytical study and simplified expressions to determine the system dynamics...
are presented in Section III. Section IV is devoted to presenting simulations results under several scenarios. Finally, a discussion is presented in Section V and some conclusions are stated in Section VI.

II. SYSTEM MODELING

The system under study (Fig. 1) consists of a Permanent Magnet Synchronous Generator (PMSG) based 400 MW off-shore WPP connected to the on-shore grid through a ±150 kV HVDC link with a symmetrical monopolar configuration. The system uses half-bridge MMC stations with zig-zag transformers for their earth connection. Low impedance grounding has been considered in order to provide a reasonable limit to HVDC cable over-voltages during pole-to-ground faults. The proposed ground impedance represents a trade-off between cable overvoltage (and surge arrester rating) and maximum fault current magnitude [15], [16].

The on-shore converter controls the HVDC voltage, whereas the off-shore converter acts as a grid-forming converter for the off-shore ac-grid, setting its frequency and voltage. The wind turbines are controlled to track their optimal power reference. The wind turbine back-end converters regulate the back-to-back converters dc link voltage by controlling the generator currents via field oriented control technique [17]. This description corresponds to the typical exploitation of off-shore WPPs although other configurations are possible, e.g., wind turbines working as a grid-forming units [18].

A detailed model of the WPP has been built in PSCAD. Two 151-level MMC stations have been simulated (see Fig. 2) by using a simplified model that reproduces accurately the dynamic behavior during transients [19]. As dc currents and voltages might appear on the MMC ac terminals during dc-side short-circuits, magnetic saturation has been considered for the power transformers. Saturation is represented by means of a compensating current source across the winding closest
A. MMC simplified model

A detailed diagram of the MMC converter stations and the HVDC cable under study is shown in Fig. 2. The converter stations consist of a three phase MMC with 150 half-bridge cells per arm. Additionally, all the SMs include a thyristor (T3) which is fired in the event of a dc fault to avoid damaging overcurrents flowing through the SM diodes.

The high number of components considered would lead to extremely large simulation times in electromagnetic transient programs. In this paper, a simplified but accurate and efficient model is used to study the behavior of the MMCs during dc faults [13], [19], [22]. The simplified model used has been thoroughly verified against full models with a reduced number of SMs both for steady state and fault operation [19].

One phase of the simplified model, which is based on a Thevenin’s equivalent circuit of each arm, is shown in Fig. 3. All cells in each arm are replaced by a variable voltage source, a variable capacitor, and a variable resistor regardless of the number of levels. When there is a change in the number of cells to be inserted in the arm, the equivalent voltage, capacitance and resistance values are updated.

In order to achieve a consistent behavior when the protections are triggered, the protecting thyristors, T3u,1, are also included in the simplified model. Additionally, during the fault, the ideal switches S1u,1 are opened and the ideal switches S2u,1 are closed to accurately consider the MMC behavior during faults. Further details about the MMC simulation technique and its validation can be found in [19].

A standard voltage balancing algorithm is used for the MMC [23]. A control algorithm to reduce the circulating current among the legs has also been used [24] in both converter stations.

This section is devoted to the analysis of the currents flowing through the MMC when a pole-to-ground dc fault is experienced (Fig. 2). To derive the analytical expressions, a π-equivalent model for the cable is used, with the corresponding characteristic parameters [21].

It should be highlighted that the off-shore MMC rectifier is directly fed by the WPP, which controls the active power delivered to the HVDC link, whereas the MMC inverter is connected to the on-shore ac-grid, which can be represented by its Thevenin equivalent. Hence the fault behavior of each converter is different.

Therefore, the fault current analysis will be first carried out for a scenario where both converter stations are connected to ac-grids. Then the study will be extended to incorporate the constant power performance of the WPP during the fault.

A. Short-circuit behavior when connecting two ac-grids

At the onset of a pole to ground fault, the converter control tries to keep its normal operation until the protections are fired. After a few milliseconds, the protecting thyristors are triggered (either by overcurrent or by overvoltage trips).

Once the protection thyristors are fired, the upper arm of the converter behaves like a three-phase half-wave rectifier, as shown in Fig. 4.

The total fault current ($i_F$) will be the addition of the fault currents fed from each one of the converters and the cable discharge current:

$$i_F(t) = i_{F1}(t) + i_{F2}(t) + i_{Fc}(t)$$  (1)

where $i_{F1}$, $i_{F2}$ are the fault currents from the inverter and rectifier converters and $i_{Fc}$ is the cable discharge current. These three components will be calculated as follows.

From Fig. 4, the following expression for the on-shore inverter fault current is obtained:
by solving the following system of equations and applying the on-shore and off-shore converter, respectively.

\[ v_{abi} = (R_e + R_{TH} + R_G) i_{F_1} + R_F (i_{F_1} + i_{F_2} + i_{F_C}) \]
\[ + \left( \frac{2}{3} L_{TH} + L + L_c + \frac{1}{3} L_G + \frac{1}{3} L_{TH} \right) \frac{di_{F_1}}{dt} \]  
(2)

\[ v_{abi} = R_{T_i} i_{F_2} + L_{T_i} \frac{di_{F_2}}{dt} + R_F (i_{F_1} + i_{F_2} + i_{F_C}) \]  
(3)

where \( R_{T_i} = R_e + R_{TH} + R_G \) is the equivalent total resistance and \( L_{T_i} = L_{TH} + L + L_c + \frac{1}{3} L_G \) is the equivalent total inductance of the circuit in Fig. 4.

Similarly, the expression of the off-shore fault current can be obtained:

\[ v_{abi} = R_{T_i} i_{F_2} + L_{T_i} \frac{di_{F_2}}{dt} + R_F (i_{F_1} + i_{F_2} + i_{F_C}) \]  
(4)

where \( R_{T_i} = R_e + R_{TH} + R_G \) is the equivalent total resistance and \( L_{T_i} = L_{TH} + L + L_c + \frac{1}{3} L_G \) is the equivalent total inductance of the offshore rectifier station.

To obtain the steady state fault currents, the overlap angle has to be considered. For a half-wave rectifier bridge:

\[ R_{eq} = \frac{3 \omega (L_{TH} + L)}{2 \pi} \]  
(5)

\[ E_{eq} = \frac{3}{\pi \sqrt{2}} V_{ab} \]  
(6)

where \( R_{eq} \) is an equivalent resistance in series with \( R_T \) and \( E_{eq} \) is the equivalent dc voltage at the converter terminals. Fig. 5 shows the equivalent circuit for fault current calculation, considering overlap angles for both converters. Note that \( i_{F_C} \) does not contribute to the steady state fault current.

Therefore, the steady-state fault currents through each converter, \( I_{F_{1\infty}} \) and \( I_{F_{2\infty}} \), are calculated as follows:

\[
\begin{bmatrix}
I_{F_{1\infty}} \\
I_{F_{2\infty}}
\end{bmatrix} = \begin{bmatrix}
R_{T_i} + R_F + R_{eqi} & R_F \\
R_{T_i} + R_F + R_{eqi} & R_F
\end{bmatrix}^{-1} \begin{bmatrix}
E_{eqi} \\
E_{eqr}
\end{bmatrix}
\]  
(7)

where \( R_{eqi} \) and \( R_{eqr} \) are the equivalent resistances for the on-shore and off-shore converter, respectively. Analogously, \( E_{eqi} \) and \( E_{eqr} \) are the equivalent voltage source values for the on-shore and off-shore converter, respectively.

The expressions for the transient evolution of the on-shore \( (i_{F_1}(t)) \) and off-shore \( (i_{F_2}(t)) \) fault currents can be obtained by solving the following system of equations and applying the inverse Laplace transform (Fig. 5):

\[
\begin{bmatrix}
R_{T_i} + R_F + R_{eqi} + L_{T_i} s & R_F \\
R_{T_i} + R_F + R_{eqi} + L_{T_i} s & R_F
\end{bmatrix} \begin{bmatrix}
\frac{i_{F_1}}{i_{F_2}} \\
\frac{i_{F_1}}{i_{F_2}}
\end{bmatrix} = \begin{bmatrix}
\frac{E_{eqi}}{E_{eqr}} \\
\frac{E_{eqi}}{E_{eqr}}
\end{bmatrix}
\]  
(8)

At this stage, the contribution of the cable discharge to the total fault current should be considered as:

\[ i_{F_C}(t) = \frac{E_0}{\omega_c L_c} e^{-\delta t} \sin(\omega_c t) \]  
(9)

where \( E_0 \) is the pole voltage at the fault onset, and:

\[ \delta = \frac{R_c + R_F}{2 L_c} \]  
(10)

\[ \omega_c^2 = \frac{1}{L_c C_c} - \left( \frac{R_c + R_F}{2 L_c} \right)^2 \]  
(11)

where \( R_c \), \( L_c \), and \( C_c \) are the cable resistance, inductance and capacitance, respectively. For cables of the considered rating and length, (9) leads to an underdamped response much faster than the station fault dynamics in (8). Therefore, it is sensible to assume that \( i_{F_C}(t) = i_{F_1}(t) + i_{F_2}(t) \) for values of \( t \) above a few milliseconds after the fault. This simplification has been verified by means of a detailed simulation (Fig. 8).

The negative pole voltage at each converter station will be:

\[ E_{R_+}(t) = -2 E_0 + R_F i_P(t) + R_{eqr} i_{F_C}(t) \]  
(12)

where \( R_{eqr} \) is the cable resistance from the fault point to the rectifier station. Similarly:

\[ E_{I_-}(t) = -2 E_0 + R_F i_P(t) + R_{eqi} i_{F_1}(t) \]  
(13)

where \( R_{eqi} \) is the cable resistance from the fault point to the inverter station.

B. Influence of the WPP on the short-circuit behavior

If one of the converter stations is connected to a WPP, the overall response will be influenced by the WPP. Taking into account that the wind turbines are controlled to track their optimal power reference, the WPP can be considered as a constant power current source during the duration of the fault and, therefore, the off-shore current fault is determined by the operating point of the WPP just before the fault onset. The previous analysis is still valid but considering in this case that the fault power from the off-shore converter has to equalize the power generated by the WPP. Taking this into account, (7) becomes:

\[ \begin{bmatrix}
(R_{T_i} + R_F + R_{eqi}) I_{F_{1\infty}} + R_F I_{F_{2\infty}} = E_{eqi} \\
(R_{T_i} + R_F + R_{eqr}) I_{F_{1\infty}} + R_{eqr} I_{F_{2\infty}} = E_{eqr}
\end{bmatrix} \]  
(14)

where \( P_{WPP} \) is the power generated by the WPP before the fault onset.

Therefore, the values of \( I_{F_{1\infty}}, I_{F_{2\infty}} \) and \( E_{eqr} \) are obtained from (14), and the dynamic response of the fault currents can be calculated according to (8).

The presented analysis can be used to design adequate values of ground impedance, transformer and MMC converter inductance in order to provide an adequate trade-off between maximum fault current, fault current dynamics and maximum pole overvoltage.

Clearly, the aforementioned fault current study can easily be extended to a multi-terminal scenario.

IV. CASE STUDIES

A pole-to-ground fault is applied at the midpoint of the positive pole of the HVDC link at \( t = 11 \) ms, with a fault resistance of \( 10 \Omega \) when the off-shore wind farm is delivering its rated power (400 MW).
A. Case a) Operation with activated MMC protections

In this case study, thyristor protections are activated after the fault detection. Inverter protections are triggered when branch current reaches 1.4 pu (2.1 kA), which happens 1.5 ms after the fault onset. The 1.4 pu current trigger point is selected in such a value to prevent the current flowing through the IGBT diodes to rise above their specified peak forward current.

Current triggering of the off-shore rectifier station would lead to a much longer delay, as off-shore arm currents take more than 11 ms to reach 1.4 pu. At this stage, the off-shore rectifier station would trigger on negative pole overvoltage, as it reaches 1.7 pu in about 3 ms. Again a 1.7 pu overvoltage setpoint represents an acceptable trade-off to avoid cable overvoltage without requiring increased surge arrester rating.
or no load, the oscillation frequency is close to the natural frequency of the off-shore ac-grid. This is to be expected, as, at this frequency, the total reactive power on the off-shore ac-grid is zero. As the reactive power absorbed by the rectifier is power dependent, the oscillation frequency will change depending on the active power level, in order to maintain the reactive power balance.

The high frequency oscillations might lead to overvoltages (albeit limited by transformer saturation). In such situation, diodes T1 (see Fig. 2) can be forward-biased if the dc-pole to ac-phase voltage is higher than the total capacitor voltage in one arm. In that case, the current flows through the SM capacitors and their voltages increase. This fact can be appreciated by the positive ac current components seen in Fig. 7 from \( t = 20 \) ms onwards. However, this effect is not experienced in the on-shore converter since it is connected to the transmission ac-grid that fixes the ac voltage.

The protection mechanism drives the negative pole current to zero in both converter stations while keeping the cell capacitor voltages within reasonable limits.

Fig. 8 shows the current through the fault resistor \( (I_F) \) and through the inverter and rectifier stations zig-zag transformers \( (I_{Fv} \) and \( I_{Fr} \)), as well as the top and bottom branch currents of both converters. Fault currents settle to their steady state value in about 40 ms after the fault.

At the beginning of the fault, the cable capacitance discharges through the fault resistance. At this stage, there is no fault current flowing through the zig-zag transformers. After 3 ms, the total fault current is the addition of rectifier and inverter zig-zag transformer currents.

The fault current \( I_F \) shows an initial peak of around 4 kA due to the discharge of the cable capacitance through the fault resistance. The cable capacitance discharge transient lasts around 3 ms. The fault current \( I_F \) reaches 5 kA in about 15 ms and a peak of 7.5 kA in 40 ms after the fault onset.

Currents through the bottom arms of both converters are zero once the protections are triggered and all the ac currents flow through the top arms of the converters.

Fig. 9 shows the comparison of the estimated fault currents values obtained from the analytical study and the simulated fault currents values. For the parameters used (appendix I), when the WPP is delivering its rated power, calculated steady-state fault currents of 2.73 kA and 4.61 kA are obtained for the on-shore and off-shore converters, respectively. The settling time of the transient response is about 40 ms. These values are in an excellent agreement with the simulation results.

B. Case b). Wind Power Plant power reduction during faults

The results in the previous section point out that a reduction on peak fault current is possible if the WPP can reduce its generated power in less than 40 ms after the fault onset. Wind turbines should be remotely triggered by the off-shore converter station, as voltages and currents on wind turbine terminals do not significantly depart from their rated values within 40 ms.

Figs. 10 to 12 show the behavior of the on-shore and off-shore converter stations when a power reduction command is issued to the WPP. It is assumed that the communication delay between the off-shore converter station and the wind turbines is 5 ms. Hence, the power reduction is activated 8 ms after the onset of the fault.

When a 5 ms communication delay is considered, the maximum rectifier and total fault currents decrease by 33% and 31%, respectively. For a 20 ms delay, the values are 14% and 15%, respectively. In all cases, the steady state rectifier current is reduced to zero and the total fault current settles to 5.2 kA, which corresponds to a reduction of 31%, as per (14).

Once the power reduction command is received, the off-shore converter currents (Fig. 11) are reduced to zero in about 20 ms following the wind turbine current dynamics. The wind farm power reduction causes the ac-grid oscillations to...
The proposed technique for off-shore converter maximum fault current reduction can only be accomplished if communication between the converter station and the WPP is sufficiently fast and, moreover, if the proposed strategy does not adversely affect the wind turbines themselves.

Communication delays of 5 ms and below are reasonable with dedicated fiber optics for protection coordination. Delays of 20 ms are also well within technological reach of current real time industrial communication networks (e.g. EtherCAT over fiber optics).

Large active power transients produced by the current limitation strategy might lead to unacceptable mechanical stress. However, certified wind turbines should withstand mechanical stresses caused by grid disconnection or by ac-grid faults [25], provided that such events are not excessively frequent. Clearly, HVDC short-circuits are non frequent events and hence mechanical elements of certified wind turbines can withstand them without significant degradation.

Additionally, a detailed NREL-FAST – PSCAD co-simulation study has been carried out to evaluate the mechanical stress increase due to the proposed current mitigation strategy. The study assumes a 15m/s wind, with 10% turbulence and a wind turbine back-to-back converter with a dynamic braking resistor that can absorb rated power during 1 second. Under these conditions, gearbox torsional moment, blade root and tower base moments do not significantly increase, provided that the mechanical torque can be ramped down in about 1 second using dynamic braking.

Therefore, the proposed current limitation strategy does not lead to excessive mechanical loads nor reduces the operational life of the wind turbine due to increased fatigue.

VI. CONCLUSIONS

This work presents a study on the behavior of a symmetrical monopolar VSC-HVDC link connecting a wind power plant during cable faults. Particular attention has been paid to the use of a detailed and accurate model of the system, including 151-level MMC stations, detailed cable and transformer models (including saturation).

The study points out the different behavior of the on-shore and off-shore converter stations during faults, when the off-shore MMC station is controlled as a grid former converter and the WPP delivers optimal active power.

Their different behavior can be observed both with and without the protecting thyristors being activated. In both cases, high frequency oscillations might appear in the off-shore ac-grid due to the saturation characteristic of the transformers and the fact that the WPP is under constant power control. This behavior does not appear when transformer saturation is not considered.

It has been found that the time constant of the WPP contribution to the fault current is slow enough to allow for WPP power reduction after the fault. This strategy keeps the off-shore MMC currents below maximum levels during the complete transient, and it leads to zero pole currents.
on the off-shore station. Moreover, ferro-resonant oscillations disappear as no active power is feeding the resonance anymore.

When using WPP power reduction after the fault, on-shore converter fault currents increase slightly, although overall fault currents are reduced.

Finally, it has clearly shown that wind power plants operated to deliver reference optimal power cannot be considered as voltage sources for accurate HVDC fault studies.

APPENDIX

SYSTEM PARAMETERS

Off-shore ac-grid:
- 33 kV grid: 33 kV (L-L rms), 500 MVA, 50 Hz
  - Cable parameters: \( C = 0.38 \mu \text{F/km}, L = 0.031 \text{mH/km}, \text{length} = 20 \text{ km} \)
- 150 kV grid: 150 kV (L-L rms), 500 MVA, 50 Hz
  - Cable parameters: \( C = 0.27 \mu \text{F/km}, L = 0.54 \text{mH/km}, \text{length} = 4 \text{ km} \)
- Wind Turbine Transformer \( T_W: 400 \text{ MW}, 2.33 \text{ kV (L-L rms)}, L_{W} = 0.06 \mu \text{pu}, R_{W} = 0.005 \text{pu} \)
- Step-up Transformer \( T_{WG}: 500 \text{ MVA, 33/150 \text{ kV (L-L rms)}, L_{WG} = 0.1 \mu \text{pu}, R_{WG} = 0.01 \text{pu} \)
- Zig-zag Transformer \( T_{Z} \) \( [16]: 5 \text{ MVA, 50 Hz, } 19.05/19.05 \text{ kV (L-L rms), } L_{Z} = 0.16 \text{pu} \)
- Reactive power compensation capacitor: 150 \( \mu \text{F} \)

HVDC Link:
- Base Values: \( \pm 150 \text{ kV, 400 MW, 100 km} \)
- Cable characteristics: [26]

<table>
<thead>
<tr>
<th>Layer</th>
<th>Material</th>
<th>Radius (mm)</th>
<th>( \rho (\Omega \text{m}) )</th>
<th>( \epsilon_R )</th>
<th>( \mu )</th>
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<td>48</td>
<td>-</td>
<td>2.2</td>
<td>1</td>
</tr>
</tbody>
</table>

- Off-shore Converter Transformer \( T_R: 500 \text{ MVA, 50 Hz, } 150/150 \text{ kV (L-L rms)}, L = 0.10 \mu \text{pu, } R = 0.01 \text{pu} \)
- On-shore Converter Transformer \( T'_{R}: 500 \text{ MVA, 50 Hz, } 150/400 \text{ kV (L-L rms)}, L = 0.10 \mu \text{pu, } R = 0.01 \text{pu} \)
- MMC Zig-zag Transformers \( T_z: 5 \text{ MVA, 50 Hz, } 86.60/86.60 \text{ kV (L-L rms)}, L_z = 0.16 \mu \text{pu} \)
- MMCs: 435 MVA (P = 400 MW, Q = \pm 170 MVAr), 151 levels, \( L = 0.15 \mu \text{pu, } R = 0.0025 \mu \text{pu, } C = 9.67 \mu \text{F} \)

On-shore ac-grid:
- Base Values: 500 MVA, 400 kV (L-L rms), 50 Hz
- Line inductance and resistance: \( L_G = 0.099848 \mu \text{pu}, R_G = 0.017365 \mu \text{pu} \)
- Short-circuit ratio: \( SCR = 10 \)

Transformers saturation model:
- Air core reactance: 0.20 pu
- Knee voltage: 1.25 pu

REFERENCES


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