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1 Review

# 2 Capacitor Current Feedback-Based Active Resonance 3 Damping Strategies for Digitally-Controlled 4 LCL-Filtered Grid-Connected Inverters

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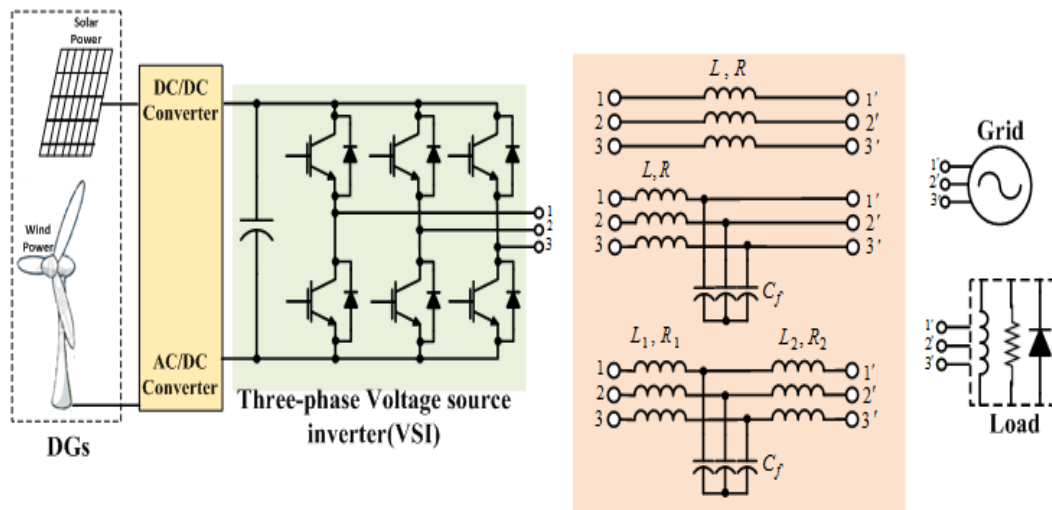
17 **Abstract:** Inductive-capacitive-inductive (LCL)-type line filter is widely used in grid-connected  
18 voltage source inverter, since it can provide substantially improved attenuation of switching  
19 harmonics in injected currents into the grid with lower cost, weight and power losses than the L-type  
20 counterpart. However, the inclusion of third order LCL network complicates the current control  
21 design regarding the system stability issues because of an inherent resonance peak which appears in  
22 the open-loop transfer function of the inverter control system and near to the control stability  
23 boundary. To avoid passive (resistive) resonance damping solutions, due to their additional power  
24 losses, the Active Damping (AD) techniques are often applied with proper control algorithms in  
25 order to damp the LCL filter resonance and stabilize the system. Among these techniques, the  
26 Capacitor Current Feedback (CCF) AD has attracted considerable attention due to its effective  
27 damping performance and simple implementation. This paper thus presents a state-of-the-art  
28 review of resonance and stability characteristics of CCF-based active damping approaches for a  
29 digitally-controlled LCL filter-based grid-connected inverter taking into account the effect of  
30 computation and pulse width modulation delays along with a detailed analysis on proper design  
31 and implementation.

32 **Keywords:** Active resonance damping; discrete-time domain; LCL-filter; current control;  
33 grid-connected inverter

34

## 35 1. Introduction

36 Due to increasing emergence of power electronics-interfaced Distributed Generation (DG) units  
37 in modern power distribution systems, control of interfacing inverters has, to date, become a very  
38 important issue and a flexible outstanding opportunity for robust integration of renewable energy  
39 resources-based DG units with high sustainability as well as for overcoming the various power  
40 quality problems [1-4].



**Figure 1.** Three-Phase VSI connected to grid/load through L/LC/LCL filters.

In low-power applications with high switching frequency, a single inductor  $L$  is usually installed in series with the inverter output port in order to attenuate the switching harmonics of the inverter output currents. However, using such a simple topology in high-power applications with low switching frequency due to the associated high switching losses, leads to the costly and bulky  $L$ -filters. Moreover, the dynamic response and harmonic attenuation performance of the inverter, which should comply with the harmonic limitations in standards such as IEEE 519-1992/2014 and IEC 61000-3-12, may also be affected [5-8]. To overcome these limitations and improve the grid current quality, LCL filter is preferred to the conventional  $L$ -type counterpart due to high attenuation of the converter switching ripples and harmonics even in high-power conversion systems with low switching frequency, reduction of overall size and cost of the filter, decrease of the filter power losses and better dynamic response [8, 9]. Figure 1 illustrates a typical structure of three-phase Voltage Source Inverter (VSI) connected to the grid/load through the popular types of the passive filters. The shunt capacitor in the LCL filter plant is employed to provide a low-impedance path for the high-frequency current components. The LCL filters are broadly used in current-controlled grid-connected VSIs such as Active Power Filters (APFs), and current-controlled DG units, whereas, the LC filters are normally adopted for voltage-controlled DG or UPS systems [10]. It is worthy to point out that if these passive high-order filters are not carefully designed, they won't be able to absorb perfect inverter switching harmonics and also may bring additional switching ripples and resonances to the system. It can lead to inappropriate operation of other Electromagnetic Interference (EMI) sensitive loads/equipment on the grid [11]. The general criteria for proper design of high-order filters such as LCL-type are as follows [12-15]:

1) To filter out all of the inverter output harmonics except for the fundamental frequency; 2) to have a cut off frequency much less than the switching frequency of the VSI (which typically should be lower than 0.1 of the switching frequency); 3) to limit the value of the filter inductances in order to reduce voltage drop and increase voltage transfer ratio at the rated current and also improve the voltage quality (by taking a low  $di/dt$  for large switching current ripples) 4) to minimize the total reactive power under the rated condition in order to ensure high power factor (should normally be limited to lower than 5-10% of rated power). In addition, [16] has demonstrated that the LCL-filter provides the maximum attenuation to the high frequency switching ripples when the inverter-side inductor is equal to the grid-side inductors.

73 Despite the prominent merits of LCL-filter compared to the L-filter, adding it to the inverter  
74 terminal leads to remarkable complexity from the perspective of the current control system design to  
75 preserve the system stability. In fact, the underlying reason for this is the inherent peak of resonance  
76 between the filter elements, which introduces a pole pair on the closed-loop control stability  
77 boundary owing to zero impedance at the resonance frequency. It may lead to greater susceptibility  
78 to interference risks and the lower harmonic impedance introduced to the grid [6, 7, and 17-21]. As a  
79 result, this third-order passive filter brings some resonance hazards at the frequency response,  
80 which decline the efficiency and performance of the inverter system and even in the worst case leads  
81 to the closed-loop system instability. Moreover, if the inverters controller is not properly designed,  
82 the resonances may be excited by the control loop, nonlinear loads, disturbances, or transients [12,  
83 17], which certainly introduce serious power quality problems for the system. Consequently, one of  
84 the most important concerns in the grid-connected inverter system is the inherent resonance caused  
85 by the inverter output LCL-filter [8]. Therefore, at first, by using a detailed discrete-time theoretical  
86 and stability analysis, this paper explains why and when damping is needed for an LCL filter-based  
87 digitally-controlled grid-connected inverter with various resonant frequencies, when computation  
88 and PWM delays arising from the nonlinear modulation process and digital sampling are taken into  
89 account [21-25]. It is noteworthy that the significance and role of digital sampling and PWM  
90 transport delay in design of resonance damping methods for an LCL filtered grid-connected inverter  
91 in order to conform more to the actual conditions are of great importance.

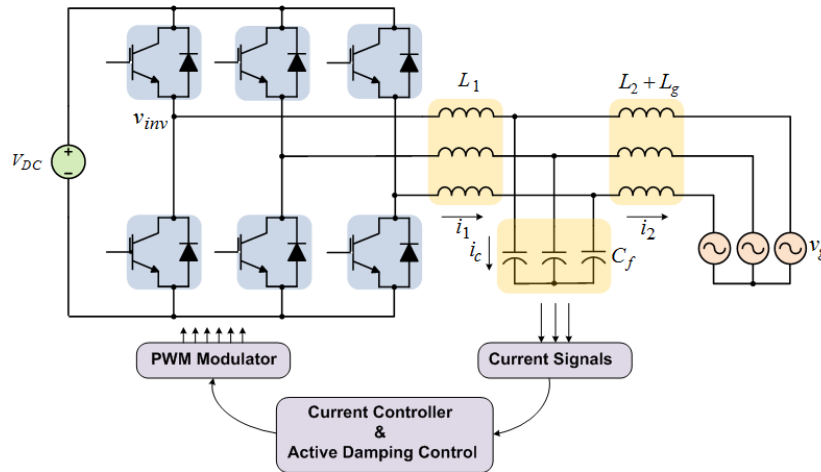
92 There are many well-established methods for shaving the resonance peaks and stabilizing the  
93 system, which can be classified as Active Damping (AD) and Passive Damping (PD) techniques. It is  
94 well known that the PD solutions can be easily realized through adding a real resistor in series or  
95 parallel with the output filter elements, especially the filter capacitor branch, to absorb resonance  
96 energy and also to maintain the system stability [26]. Its performance, however, is inevitably limited  
97 by increased cost, and additional power losses (that can be larger than 1% of the nominal power in  
98 medium voltage applications) [27]. In addition, PD may adversely affect the filter harmonic  
99 attenuation efficiency at high frequencies due to the downgrading of the filter plant to a second  
100 order system by introducing additional resistors to it [9, 27]. In other words, since PD hardly inserts  
101 damping in a selective way at system resonance frequencies, the filter attenuation at the switching  
102 frequency is inevitably compromised. Generally, although PD solution is simple, it will lead to  
103 non-compatibility of high-power converters with the EMI standards [16], reduction of system  
104 bandwidth as well as the elimination of the benefits introduced by the non-damped filters [9, 26].  
105 Recently, to further reduce the filter inductor size, a high-order LLCL filter has been proposed in [28].  
106 In this structure, an inductor is added in series with filter capacitor branch. In addition, various PD  
107 methods for LLCL-filter-based grid-tied inverter have been presented in [29, 30] by considering the  
108 large variation of grid-side inductance. As study of PD strategies is outside the scope of this paper,  
109 the different combinations of PD methods are not presented here.

110 Although effectiveness of the PD solutions have been proven [31], thanks to the significant  
111 advances in power electronic technologies, the switching frequency and control bandwidth of the  
112 DG interface inverter can be much higher than the resonant frequency of output filters, even for  
113 wind power converters at a few megawatts (MW) [14]. Consequently, AD scheme with high  
114 efficiency and flexibility and without any additional power losses are often considered as a more  
115 promising way to provide sufficient damping to the filter plant in the inverter system. In this way,

116 maximizing of the system open-loop gain and increasing of the system damping can be attained by  
117 moving the resonant poles away from the system control stability boundary [9, 21]. The aim of AD  
118 schemes is to dynamically modify the inverter output voltage to alleviate the zero impedance impact  
119 of LCL-filter at resonant frequency. AD techniques can be broadly classified into two categories. The  
120 first group includes digital filters which do not require any additional measurement and placed in  
121 cascade with current controller [32]. Plugging-in these filters provides a sensor-less damping scheme,  
122 but its performance strongly depends on the precision of system parameters and model (sensitivity  
123 to system parameter variations and uncertainties) [7, 9, 33 and 34]. Performance and design of these  
124 damping methods are not investigated in this paper. Another group consists of feedback-type AD  
125 approaches which use the feedback of LCL filter state variables such as filter capacitor current [20, 21,  
126 24, 25, 35-43, and 45] /-voltage [6, 11, and 44] or the inverter-side current feedback [9, 35]. The basic  
127 idea of these approaches is to feedback other control variables to the existing current control loop, so  
128 that they can operate as damping terms in order to suppress the LCL filter resonant peak. It is clear  
129 that the implementation of the feedback-type approaches needs additional sensors, which  
130 undoubtedly increase overall system cost. In addition, they are provided at the expense of increased  
131 complexity of the current controller and damping gains tuning, particularly when computation and  
132 PWM delays are taken into account. However, among feedback-type AD techniques, the CCF AD  
133 has attracted considerable attention for its effective LCL resonance damping performance and  
134 simple implementation in grid-connected inverters [25, 40, and 45]. Hence, this paper conducts an  
135 in-depth investigation on this AD method in discrete-time domain by using impedance-based  
136 analysis and identifies stability limitations and challenges when computation and PWM delays are  
137 taken into account. Consequently, in order to improve the LCL resonance damping performance of  
138 conventional CCF AD scheme, two effective techniques along with determination processes of  
139 current controller and damping gains are introduced, which so far have not been comprehensively  
140 and seamlessly discussed in the literature. It is worthy to note that the analysis conducted in this  
141 paper can be useful for exploring and development of other feedback-type AD methods. Several  
142 comparative results are also presented to validate the part of theoretical findings in this paper,  
143 which would be efficient for engineers in using this damping method in practical applications.

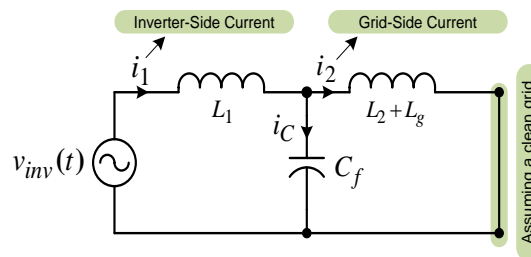
144 This paper is organized as follows. In Section 2, the resonance issue caused by the  
145 grid-connected VSI with an LCL filter under single-loop grid-side current feedback control scheme  
146 will be reviewed under the various resonant frequencies in discrete-time domain when computation  
147 and PWM delays are regarded and at the same time, the AD regions will be identified. After that, the  
148 conventional proportional CCF AD solution is introduced and analyzed in discrete-time domain by  
149 virtual impedance model in Section 3. It is shown that this resonance damping scheme has stability  
150 challenges due to the limitation of valid damping region, especially in a weak grid with the potential  
151 influence of the grid-impedance variation. Two improved CCF AD methods along with  
152 determination processes of current controller and damping gains are then presented in Section 4 to  
153 address this limitation and achieve the desired performance characteristics. Finally, this paper will  
154 end with a general conclusion in Section 5.

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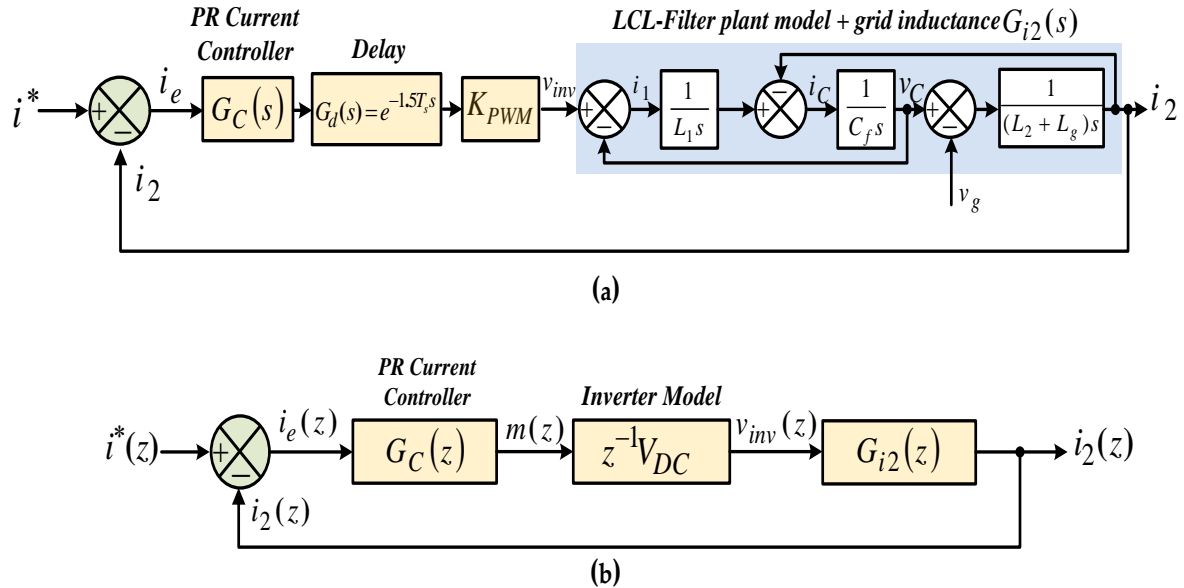
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Figure 2. LCL-filter-based grid-connected three-phase inverter structure.



158  
159

Figure 3. Per-phase equivalent circuit for stability analysis.



160  
161  
162

Figure 4. Block diagram of single-loop grid-side current control scheme without any damping method: (a) s-domain; (b) z-domain.

163 **2. Stability Analysis for Single-Loop-Controlled LCL-Filtered Grid-Connected Inverter with**  
164 **Different resonant frequencies**

165 In this section, the single-loop grid-side current control strategy is analyzed in discrete-time  
166 domain, when computation and PWM delays are considered. It then leads to the identification of  
167 two distinct LCL filter resonant frequency regions [21, 24, and 25], which determined when AD is

168 needed for these systems in order to damp the resonance and retain the system stability.

## 169 2.1. Single-Loop Grid-Side Current Control Strategy in Discrete-Time Domain

### 170 2.1.1. System Description

171 Figure 2 shows the structure of an LCL-filter-based grid-connected three-phase inverter  
172 including inverter-side inductor  $L_1$ , capacitor  $C_f$ , grid-side Inductor  $L_2$ , grid inductor  $L_g$ , inverter  
173 output voltage  $v_{inv}$ , and grid voltage  $v_g$ . It is clear that by neglecting the physical internal damping of  
174 the output filter related to winding resistance of the inductors and the equivalent series resistance of  
175 filter capacitor as well as the resistive component of grid impedance, which offer a certain degree of  
176 damping, the worst case for stability analysis is drawn [9, 17, and 45]. The main aim of the control  
177 system is to regulate the grid-side current  $i_2$  in order to manage the injected active and reactive  
178 powers into the grid. However, typically, it has been stated that a single-loop feedback current  
179 control scheme is not sufficient for this aim because the LCL filter resonance causes controller  
180 instability [7, 20]. This point will be precisely investigated in the following for different resonance  
181 frequencies. Another assumption made here is that the grid voltage  $v_g$  only includes  
182 positive-sequence fundamental component and thus a clean three-phase balanced grid is considered.  
183 It is measured for the purpose of synchronizing the control system by a phase-locked-loop (PLL).  
184 Hence,  $v_g$  can be regarded as short circuit with zero impedance and removed from modeling block  
185 diagrams; since it has not effect on the system stability and harmonic analyses and only influences  
186 the fundamental grid-side current component [9, 21]. It should be noted that a low-bandwidth  
187 synchronizing approach (compared to the grid fundamental frequency) should be applied to avoid  
188 the undesired low-frequency instability [34, 46, and 47]. By incorporating these conditions, Figure 2  
189 can be simplified to the per-phase equivalent circuit depicted in Figure 3. Another important  
190 practical issue that must be taken into account is that the system actual delays can significantly  
191 reduce the phase margin in the high frequency range (the resonance frequencies range) [9, 23]. In  
192 fact, in the digitally-controlled system, there are computation and PWM delays [22, 23, and 40].  
193 When the sampling instant happens at the beginning and in the middle of a switching period  
194 (synchronous sampling scheme), the computation delay that is the time duration from the sampling  
195 instant to the PWM reference update instant, is considered as one sampling period  $T_s$  to avoid the  
196 unwanted intermediate PWM transitions [22, 30, and 40]. Since in the synchronous sampling scheme,  
197 the fundamental component (the average value per switching period) is obtained, the  
198 sampling-induced aliasing is not automatically created [40]. Also, since in this sampling scheme, no  
199 switching devices are switched at the sampling instant, the switching noise is almost avoided.  
200 Because of these advantages, the synchronous sampling scheme is commonly employed in  
201 digitally-controlled systems. In addition, the PWM delay, which is caused by Zero-Order-Hold  
202 (ZOH) effect to keep the PWM reference after it has been updated, is approximately considered as  
203 half sampling period [23, 40]. Thus, in order to investigate the role and importance of the delays in  
204 the effectiveness of AD strategies in digitally-controlled systems, the inverter is well modeled in  
205  $z$ -domain as a linear  $V_{DC}$  gain with one sample delay  $z^{-1}$  created by the nonlinear modulation process  
206 [21-23]. Note that the considering a first-order low-pass term as the actual delay term is not  
207 appropriate, because the main impact defined by delay is to reduce the phase of the open-loop  
208 transfer function, not to decrease amplitude response [21]. Figure 4 illustrates the block diagram of  
209 single-loop grid-side current control scheme in  $s$ -domain and in discrete-time domain ( $z$ -domain) [20,

210 21, 25, 34, 40, and 45].  $G_C(z)$  is the current controller transfer function and  $i^*(z)$  is the reference  
 211 grid-side current. The reference grid-side current is generated either from inverter dc-link voltage  
 212 control loop (for APF systems) or fundamental power reference control (for DG systems). The active  
 213 power reference can be obtained based on the Maximum Power Point Tracking (MPPT) in  
 214 photovoltaic or wind system applications, the maximum system efficiency like in a fuel cell system,  
 215 or the command from energy management center of a microgrid [3]. The reactive power reference  
 216 can also be generated from load power factor compensation algorithms or the voltage support  
 217 requirements. It is worth noting that since the dynamics of the control loop related to the current  
 218 reference generation is much lower than that of the grid-side current loop, the grid-side current loop  
 219 can be evaluated independently, and thus the current reference is directly given as  $i^*$  here [21, 40].

### 220 2.1.2. Stability Analysis

221 To explore the resonance and stability issues, firstly, the discrete-time domain control  
 222 mathematical model of the system shown in Figure 4 is derived. Therefore, by considering Figure 3,  
 223 the transfer function of  $G_{i2}$  in the  $s$ -domain is defined as following [9, 20, and 21],

$$G_{i2}(s) = \frac{i_2(s)}{v_{inv}(s)} = \frac{1}{sL_1} \cdot \frac{\zeta_{LC}^2}{s^2 + \omega_{res}^2} \quad (1)$$

224 where  $\zeta_{LC} = \sqrt{1/((L_2 + L_g) \cdot C_f)}$  and  $\omega_{res} = \sqrt{(L_1 + L_2 + L_g)/(L_1 \cdot (L_2 + L_g) \cdot C_f)}$  which is undamped  
 225 resonance angular frequency and the resonance frequency is  $f_{res} = \omega_{res} / (2\pi)$ . With applying a ZOH  
 226 transform [61] and considering a sampling period of  $T_s = 1/f_s$ , the transfer function of  $G_{i2}$  in  $z$ -domain  
 227 can be calculated as follows [21, 24]:

$$G_{i2}(z) = \frac{i_2(z)}{v_{inv}(z)} = \frac{T_s}{(L_1 + L_2 + L_g) \cdot (z-1)} - \frac{\sin(\omega_{res}T_s)}{\omega_{res}(L_1 + L_2 + L_g)} \times \frac{z-1}{z^2 - 2z \cdot \cos(\omega_{res}T_s) + 1} \quad (2)$$

228 Usually the controller for three-phase systems is designed under two-phase rotating ( $dq$ ) or  
 229 stationary ( $\alpha\beta$ ) coordinate systems (reference frame). However, for the LCL filter, use of the rotating  
 230 coordinate system introduces the complex coupling between  $d$ - and  $q$ -axes. As a result, the  
 231 Proportional Resonant (PR) controller under stationary frame is often used in LCL filter-based  
 232 inverter systems in order to track the ac reference current accurately and also to avoid the mentioned  
 233 strong coupling [21, 23, and 35]. A PR controller has a higher bandwidth and an infinite gain at a  
 234 selected resonant frequency in order to ensure rapid current tracking and remove steady-state error  
 235 at that frequency [16]. The transfer function for this controller in  $s$ -domain can be expressed as

$$G_C(s) = K_p + \frac{K_i s}{s^2 + \omega_0^2} \quad (3)$$

236 where,  $K_p$ , and  $K_i$ , represent the proportional and resonant coefficients of the current controller,  
 237 respectively. Furthermore, multiple parallel low-order harmonics resonant controllers [3] can also be  
 238 added to the current control scheme to provide better harmonic rejection capability. However, it  
 239 should be noted that when the selected frequency is out of the bandwidth of the system, it may lead  
 240 to the system instability. This can be one reason for this fact that the harmonic compensators of the  
 241 PR current controllers are limited to the low-order harmonics [3]. To make damping effects of  
 242 various AD solutions more obvious, only fundamental PR controller is regarded here in  $G_C(s)$ . The



243 best discretization method for this controller due to its important dynamics is a Tustin (bilinear)  
 244 approximation with frequency pre-warping [49, 50], equivalent to the fundamental frequency,  
 245 which yields an equivalent discrete-time current controller transfer function as follows [24]

$$G_C(z) = K_p + \frac{K_i \cdot \gamma_z}{2\omega_0 \cdot (z^2 - 2z \cdot \cos(\omega_0 \cdot T_s) + 1)} \quad (4)$$

246 where  $\gamma_z = (z^2 - 1) \cdot \sin(\omega_0 \cdot T_s)$ .

247 Notice that the discrete PR controller can also be expressed as (5) [39], so that, its frequency  
 248 response is similar and coincide with (4) at whole frequency ranges.

$$G_C(z) = K_p + \frac{K_i \cdot T_s (-z^{-2} + z^{-1})}{z^{-2} + ((T_s \omega_0)^2 - 2)z^{-1} + 1} \quad (5)$$

249 Then, with combination of these transfer functions, the open-loop gain expression for the  
 250 single-loop grid-side current control scheme [see Figure 4(b)] can be readily derived as (6) in  
 251 z-domain for applying the control system analysis approaches, such as frequency response (Bode  
 252 diagram) and root locus analysis.

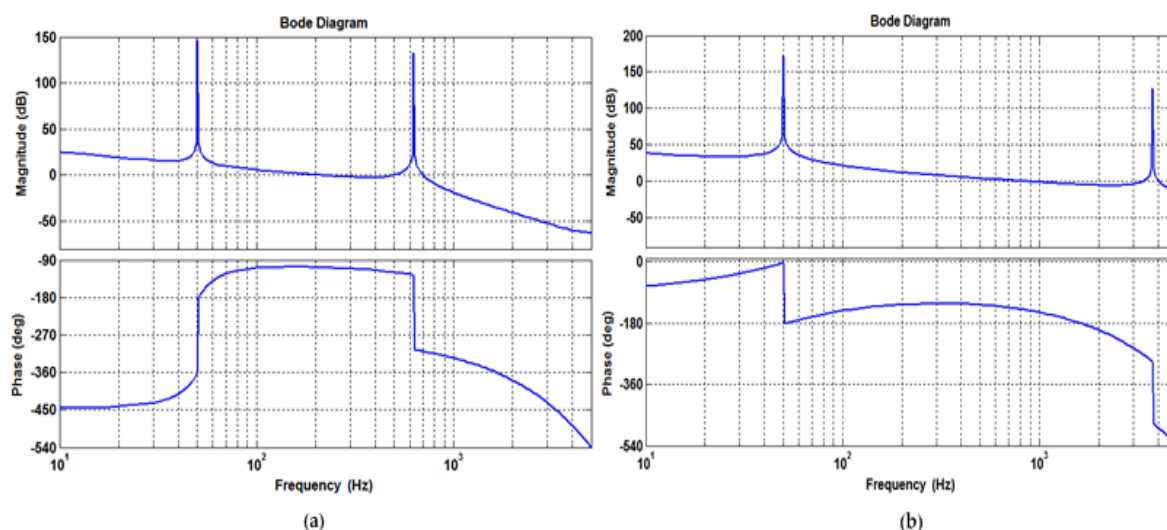
$$G_{open\_loop}(z) = \frac{i_2(z)}{i_e(z)} = z^{-1} V_{DC} \cdot G_C(z) \cdot G_{i2}(z) \quad (6)$$

253 where  $i_e(z)$  is the regulated grid-side current error.

254 **Table 1.** LCL filter and inverter system parameters.

System Parameters		
$L_1 = 3.6$ mH	$L_2 = 1.8$ mH	$L_g = 1.8$ mH
$T_s = 1/f_s = 100$ $\mu$ s (Sampling Period)		
$\omega_0 = 100$ $\pi$	$2V_{DC} = 650$ V	$f_{sw} = 5$ kHz
Filter Capacitances and Resonance Frequencies		
$C_f = 36$ $\mu$ F	$f_{res} = 0.625$ kHz	$f_{res} / f_s = 0.0625$
$C_f = 5$ $\mu$ F	$f_{res} = 1.67$ kHz	$f_{res} / f_s = 0.167$
$C_f = 1$ $\mu$ F	$f_{res} = 3.751$ kHz	$f_{res} / f_s = 0.3751$

255  
 256 To demonstrate the relationship between the current controller stability and the inherent  
 257 resonance of the LCL filter, the detailed stability analysis based on the frequency responses of the  
 258 open-loop gain has been obtained using (6) in MATLAB software environment for the control  
 259 system shown in Figure 4(b), with the parameters given in Table 1, in which the LCL filter is mainly  
 260 designed according to the criteria presented in [12-15]. Three various filter capacitor values are  
 261 regarded [see Table 1], which can be appropriate choices for consideration of the different regions of  
 262 LCL resonant frequencies (between  $\sim 6.25\%$  and  $\sim 37\%$  of the sampling frequency). It is worth  
 263 mentioning that in order to provide an acceptable active resonance damping performance and  
 264 control bandwidth as well as sufficient switching ripple attenuation, the resonance frequency should  
 265 be less than half of the Nyquist frequency, which is half of the sampling and the control updating  
 266 frequency [5, 51]. The sampling frequency  $f_s$  is set to be twice the switching frequency  $f_{sw}$  ( $2f_{sw}$ ) [24, 40,  
 267 and 45].



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**Figure 5.** Bode plots of the open-loop gain in the grid-side current control scheme without any damping method: (a)  $C_f = 36 \mu F$ ; (b)  $C_f = 1 \mu F$ .

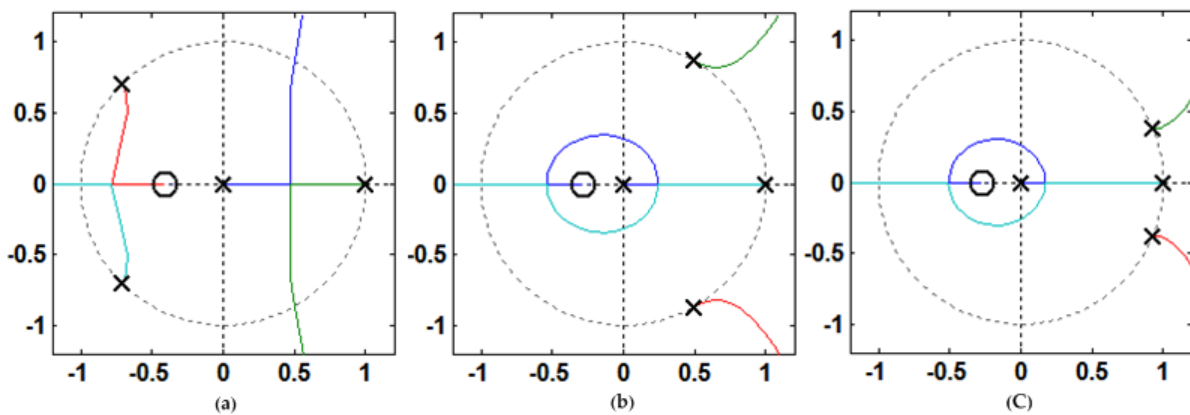
271 Figures 5(a) and 5(b) indicate frequency responses of the single-loop grid-side current control  
272 considering the delay effects when the filter resonant frequency is significantly lower than the  
273 sampling frequency ( $C_f = 36 \mu F$ ) and when the resonant frequency is close to the sampling frequency  
274 ( $C_f = 1 \mu F$ ), respectively. As seen in Figure 5(a), a high-frequency LCL resonance appears in open-loop  
275 gain at the frequency 625 Hz with very high resonant amplitude and a sharp phase transition  
276 passing through  $-180^\circ$ . This certainly and unconditionally leads to instability of the closed-loop  
277 system for all current controller gains along with a slow dynamic response [7, 20, 21, 24, and 25].  
278 Thus, in this situation, the damping solutions are essential to limit the high gain at the LCL  
279 resonance frequency for closed-loop control system stability, even if the physical internal damping  
280 terms of the output filter are included. On the contrary, as is well evident from Figure 5(b), when the  
281 resonant frequency is close to the sampling frequency, the phase of open-loop transfer function  
282 moves below  $-180^\circ$ , before the occurrence of the LCL filter resonance frequency (i.e., no Nyquist  
283 encirclement of -1). Thus, in this case, the system can be stabilized with suitable selection of the  
284 proportional gain  $K_p$ , so that the amplitude response passes through 0 dB before the resonant  
285 frequency [see Figure 5(b)], as long as for any reason, such as the grid impedance variation, the  
286 resonance frequency is not reduced to the low resonance frequency region [24, 40, and 48].

287 In summary, according to the presented theoretical findings, it can be concluded that for a  
288 digitally-controlled LCL-filter-based inverter system with single-loop grid-side current control and  
289 filter low-frequency resonances, the control system is unstable and an AD method is required for  
290 closed-loop control system stability. In contrast, at high-frequency resonances, the grid-side current  
291 feedback only, is adequate to design a conditional stable system with appropriate selection of the  
292 current controller proportional gain without any kind of damping method. As a result, it is obvious  
293 that there is a critical LCL filter resonance frequency that separates the two frequency regions  
294 introduced, so that above this critical resonance frequency, the present current control strategy is  
295 sufficient to attain a suitable stable response, but below it, AD is urgent to ensure the system stability.  
296 This specific frequency can be easily obtained by calculating the point at which the phase of the  
297 open-loop transfer function (6) cuts  $-180^\circ$  [21], as seen from (7).

$$\angle \frac{i_2(z)}{i_e(z)} (z = e^{j\omega T_s}) = \angle e^{-j\omega T_s} V_{DC} G_C(e^{j\omega T_s}) G_{i2}(e^{j\omega T_s}) = -\pi. \quad (7)$$

298 Note that, since the PR controller resonant frequency  $\omega_0$  is much lower than  $-180^\circ$  crossing-over  
 299 angular frequency  $\omega_{cr}$ , hence, it has a little phase contribution at this frequency [21, 25], and only is  
 300 considered as a proportional gain  $K_p$ . Therefore,  $\angle G_C(e^{j\omega T_s}) \approx 0$ . In addition, the resonance of LCL filter  
 301 plant makes a phase contribution with value of  $-\pi/2 - \omega T_s/2$  when it is actually reached. Then, by  
 302 applying these simplifications to (7),  $\omega_{crit}$  can be obtained as follows [21, 24, and 25]. It is obvious  
 303 that the critical resonant frequency becomes equivalent to one-sixth of the sampling frequency ( $f_s/6$ ).

$$\angle \frac{i_2(z)}{i_e(z)} (z = e^{j\omega T_s}) = -\omega T_s - \frac{\pi}{2} - \frac{\omega T_s}{2} = -\pi \Rightarrow \omega_{crit} = \frac{\pi}{3T_s} \rightarrow f_{crit} = \frac{f_s}{6}. \quad (8)$$



304  
 305 **Figure 6.** Root loci of the digital single-loop grid-side current control scheme without any damping  
 306 method: (a) High resonant frequency region ( $C_f = 1\mu F$ ); (b) Critical resonant frequency ( $C_f = 5\mu F$ ); (c)  
 307 Low resonant frequency region ( $C_f = 36\mu F$ ).

308 Hence, for better understanding of stability issues, the discrete root loci results are also  
 309 presented. It is worth noting that in this analysis, as previously mentioned, the PR controller  $G_C(z)$   
 310 can be reasonably simplified to  $K_p$  since above  $\omega_0$ , the resonant term  $K_i$  has insignificant effect in  
 311 terms of the stability analysis. Thus, the system characteristic equation for the single-loop grid-side  
 312 current control system, which represents the closed-loop poles, can be obtained by using the  
 313 conventional  $1 + G_{open\_loop}(z) = 0$  formulation, as

$$z + V_{DC} K_p G_{i2}(z) = 0. \quad (9)$$

314 Figure 6 illustrates the movement of closed-loop poles for this digital current control scheme, in  
 315 different regions of the resonant frequency. As seen from Figure 6(a), when the LCL filter resonant  
 316 frequency is adjusted above  $\omega_{crit}$ , the open-loop gain has four poles, which the conjugate closed-loop  
 317 poles initially move well inside the unit circle. Therefore, the system will be stable until a high  
 318 enough proportional gain  $K_p$  is applied [7, 20, 21, and 24]. These conjugate poles which are necessary  
 319 to study the system stability and relate to the resonance frequency  $\omega_{res}$  can be expressed as follows  
 320 [24]:

$$P_{Conjugate} = \cos(\omega_{res} T_s) \pm j \sin(\omega_{res} T_s). \quad (10)$$

321 In contrast, when the resonant frequency is adjusted at or below  $\omega_{crit}$ , the resonant pole pairs  
 322 always move away from the unit circle [see Figures 6(b) and 6(c)]. Thus, in low resonance frequency

323 region, the system is always unstable without using AD, regardless of the  $K_p$  values. It is notable that  
 324 in this case, use of pole-zero compensation method for proper damping of the LCL-filter resonance  
 325 is very hard; since the system stability is very sensitive to the parameters of the filter [52]. It means  
 326 that the model-based control approaches are more sensitive to system parameter changes.

327 In general, this Subsection clearly revealed that why and when damping is needed in a LCL  
 328 filter-based inverter system with the single-loop grid-side current control scheme for various  
 329 resonant frequencies, while digital sampling and transport delay arising from the controller and  
 330 nonlinear modulation process are considered. It should also be noted that the single-loop control  
 331 schemes suffer from low-bandwidth and there is a tradeoff between control dynamics and  
 332 steady-state performance [35].

### 333 2.2. Current Controller Gains Determination for High Resonant Frequency Region

334 As specified above, if the LCL filter resonant frequency is higher than the critical frequency  $\omega_{crit}$ ,  
 335 the single-loop control with a proper proportional gain  $K_p$  is sufficient to attain a conditional stable  
 336 system. Therefore, in this case, the PR controller defined in (3) can be employed alone to control of  
 337 the grid-side current without any damping method. Consequently, PR current regulator with  
 338 suitable gains can thus be designed for this frequency region in order to provide the effective  
 339 damping effect and greatest control system bandwidth [21, 25]. It should be noted that to prevent  
 340 instability by taking an economical choice, the LCL filters are usually installed in practice with a  
 341 resonance frequency higher than  $f_s/6$  [40-42]. However, in a real grid with the inductive grid  
 342 impedance, in addition to reducing the resonance frequency, the grid-impedance variation can yield  
 343 a wide range variation of the resonance frequency. In view of this, the resonance frequency might  
 344 reduce to the critical frequency of  $f_s/6$ , and thus trigger instability. Hence, the stability challenge in  $f_s$   
 345  $/6$  must be resolved to attain high robustness against the variation of grid impedance [40].

346 As it is clear from Figure 6(a), the current controller proportional gain limitation for this  
 347 frequency region is dependent to the low-frequency poles (delay and series filter inductance effects),  
 348 not the LCL filter resonance effect, similar to what occurs in simple L filter systems, where  
 349  $L = L_1 + L_2 + L_g$  [21]. Hence, for determining these gains ( $K_p$  and  $K_i$ ), only low-frequency component of  
 350 the filter plant model (2) is needed for the open-loop transfer function (6) [9, 20, 21, and 23], as

$$G_{open\_loop}(z) = \frac{i_2(z)}{i_e(z)} = z^{-1} V_{DC} K_p \frac{T_s}{(L_1 + L_2 + L_g)(z-1)}. \quad (11)$$

351 With considering a desired phase margin  $\phi_M$  and calculating the gain crossover frequency  $\omega_{gc}$   
 352 (unity magnitude), the proportional gain  $K_p$  can be adjusted to achieve unity gain at the obtained  
 353 gain crossover frequency, which are described below [9, 20, 21, and 23]:

$$\begin{aligned} \phi_M &= \pi + \angle G_{open\_loop}(z = e^{j\omega_{gc}T_s}) \\ &= \pi + \angle \frac{V_{DC} K_p T_s}{(L_1 + L_2 + L_g) e^{j\omega_{gc}T_s} (e^{j\omega_{gc}T_s} - 1)} = \pi - \omega_{gc}T_s - \frac{\pi}{2} - \frac{\omega_{gc}T_s}{2} \\ \phi_M &= \frac{\pi}{2} - \frac{3}{2} \omega_{gc}T_s. \end{aligned} \quad (13)$$

$$\rightarrow \omega_{gc} = \frac{\frac{\pi}{2} - \phi_M}{\frac{3}{2} T_s} \tag{14}$$

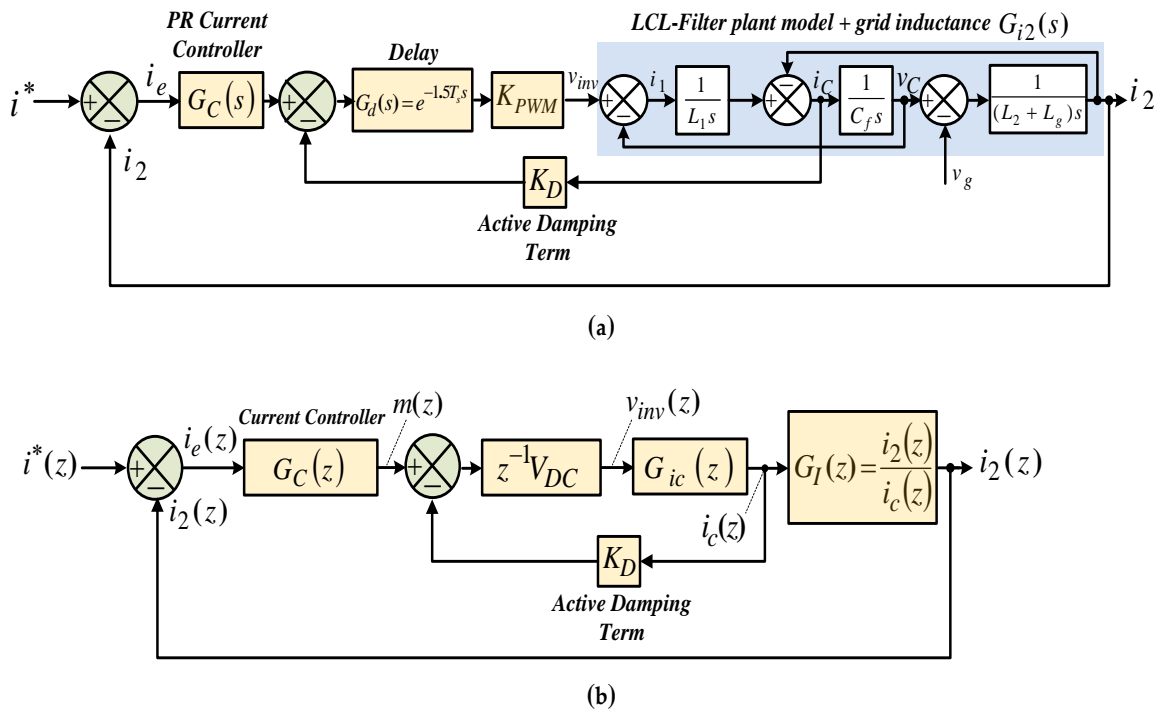
354 Then

$$\begin{aligned} |G_{open\_loop}(z = e^{j\omega_{gc}T_s})| &= 1. \\ \rightarrow K_p &\approx \frac{\omega_{gc}(L_1 + L_2 + L_g)}{V_{DC}} \end{aligned} \tag{15}$$

355 Recognizing that the resonant term  $K_i$  makes low contribution at the crossover frequency [23], it  
 356 can be calculated as follows [9, 20, and 21]:

$$K_i \approx \frac{\omega_{gc}^2(L_1 + L_2 + L_g)}{10V_{DC}} \tag{16}$$

357 Thus, for the high filter resonant frequency included in Table 1 (3.751 KHz) and a desired phase  
 358 margin of  $\phi_M = 45^\circ$ , these gains are obtained as  $K_p = 0.116$  and  $K_i = 60.736$ . This phase margin can be  
 359 easily identified from Figure 5(b). However, as mentioned previously, in a real grid with inductive  
 360 grid impedance, which makes the resonance frequency lower; potential instability may be triggered if  
 361 the grid impedance variation introduced by inductive loads, power transformers, etc, further  
 362 reduces the resonance frequency to an unstable range (at or below  $\omega_{crit}$ ) [24, 40, 53, and 54]. Therefore,  
 363 in general case, when the LCL filter-based inverter system is connected to a weak grid, the stability  
 364 challenge for this resonance frequency region ( $f_{res} \leq f_s/6$ ) must be resolved by an effective AD scheme  
 365 in order to achieve high robustness against the variation of grid impedance [24, 40, and 48].

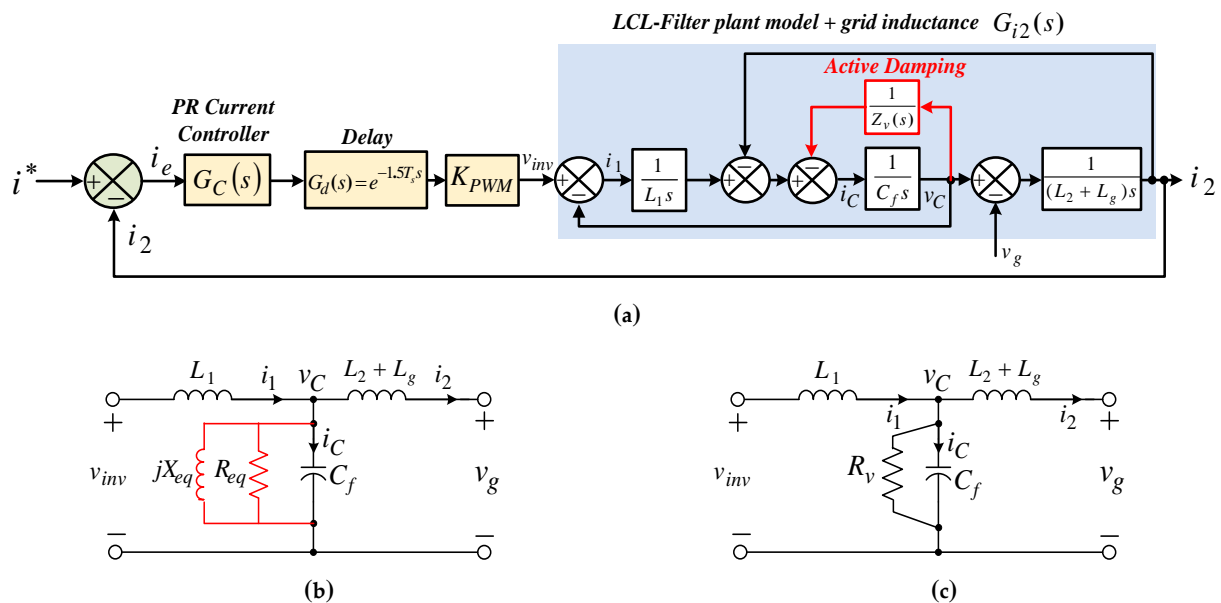


366  
 367  
 368

**Figure 7.** Block diagram of grid-side current control scheme with proportional CCF AD: (a) s-domain; (b) z-domain.

369 **3. Proportional CCF AD Approach**

370 Figures 7(a) and 7(b) illustrate the grid-side current control scheme based on CCF AD method  
 371 via a proportional gain  $K_D$  in both s- and z-domains, respectively, to address the resonance stability  
 372 problem. In this Section, firstly, according to the impedance-based basic analysis in s-domain, the  
 373 physical meaning of proportional CCF AD is well clarified. Then, to design the controller parameters  
 374 and AD term ( $K_D$ ) as well as to confirm the impedance-based analysis, the stability analysis based on  
 375 the Bode diagram and the root locus in z-domain is presented. In [35], it has been proved that the  
 376 proportional feedback of filter capacitor current is equivalent to a virtual resistor damper connected  
 377 in parallel with the passive filter capacitor. This conclusion is, however, drawn without considering  
 378 the delays effect, and therefore it is not accurate for digitally controlled systems [24, 40, 41, 45, and  
 379 55]. With regard to the delays effect, as will be discussed later, the AD scheme based on the  
 380 proportional CCF should be modeled as virtual impedance, rather than as a pure resistor [41, 45, 54,  
 381 and 55]. It is worth noting that if the relationship between capacitor current and voltage is  
 382 considered, the capacitor voltage feedback AD methods are generally equivalent to the CCF AD  
 383 with a minor change. For instance, in [11, 56], the filter capacitor voltage has been fed back through a  
 384 lead-lag filter, which is equivalent to the feedbacks of both filter capacitor current and voltage  
 385 through low-pass filters [48]. Also, in [57], with prediction of the filter capacitor voltage and  
 386 feedback through a high-pass filter, the resulting AD method can be equivalent to the feeding back  
 387 of filter capacitor current through a low-pass filter. Therefore, for simplicity in explaining the  
 388 concept, in this paper, the filter CCF AD schemes are considered and based on them, the other state  
 389 variable feedback AD methods can be developed.



390 **Figure 8.** Equivalent control diagram and filter equivalent circuit for the proportional CCF AD  
 391 scheme: (a) Control diagram representation; (b) Filter equivalent circuit with considering system  
 392 delays; (c) Filter equivalent circuit without considering system delays.

394 **3.1. Impedance-Based Analysis**

395 According to Figure 7(a), the inverter output voltage can be expressed as follows:

$$v_{inv}(s) = G_C(s)G_d(s)K_{PWM} \cdot (i^* - i_2) - K_D K_{PWM} G_d(s) \cdot i_C. \quad (17)$$

396 It is well known from (17) that the filter CCF AD scheme has an interesting circuit physical  
 397 meaning due to the presence of inverter-side inductor between the inverter output voltage and the  
 398 filter capacitor branch. This can easily be determined by obtaining  $i_2$  around the resonance frequency  
 399 considering Figure 3, as seen from (18). It should be noted that due to the limited bandwidth of the

400 closed-loop current control term, the CCF term  $K_D K_{PWM} G_d(s) \cdot i_c$  is regarded as the dominant term  
 401 around the LCL filter resonance frequency [35]. Hence, this term will regulate the system resonance  
 402 damping performance.

$$i_2(s) = - \left( \frac{K_D K_{PWM} G_d(s) i_C(s) + v_C(s)}{sL_1} + i_C(s) \right) = - \left( \frac{v_C(s)}{L_1 / (C_f \cdot K_D K_{PWM} G_d(s))} + \frac{v_C(s)}{sL_1} + sC_f v_C(s) \right). \quad (18)$$

403 Further looking into (18) reveals that the AD based on CCF introduces an extra term to output  
 404 current, which can be well modeled as virtual impedance  $Z_v(s)$  parallel with the filter capacitor  
 405 around the resonant frequency in the continuous s-domain as follows:

$$Z_v(s) = \frac{L_1}{C_f K_D K_{PWM} G_d(s)}. \quad (19)$$

406 Therefore, for better demonstration of circuit physical meaning realized by capacitor current  
 407 proportional feedback AD, its representation in Figure 7(a) is redrawn as Figure 8(a), while retaining  
 408 the system closed-loop response unchanged. The modified filter plant in Figure 8(a) can be  
 409 eventually considered like the equivalent circuit shown in Figure 8(b) in order to provide sufficient  
 410 damping into the filter plant when the system delays are included. This representation reveals that  
 411 the CCF AD is no different from paralleling a virtual impedance  $Z_v(s)$  across the filter capacitor  $C_f$ .  
 412 As  $G_d(s)$  is usually fixed by the chosen sampling frequency, the inserted virtual impedance can be  
 413 shaped by varying  $K_D$ . Therefore, from this analysis, it can be easily concluded that if  $G_d(s) = 1$   
 414 (system without delay), the parallel resistive damper  $R_v$  can be implemented by  $K_D = L_1 / R_v K_{PWM} C_f$ ,  
 415 as seen in Figure 8(c). The modified filter plant shown in Figure 8(b) can also be described in the  
 416 continuous s-domain as follows:

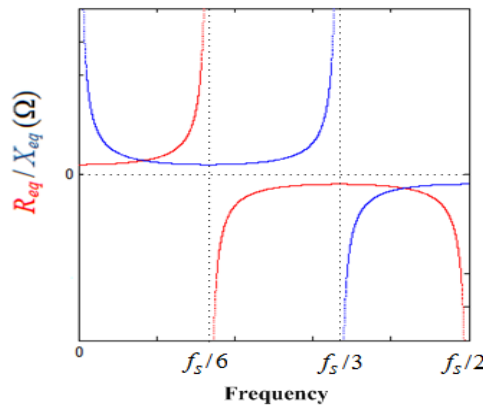
$$i_2 = G_1(s) \cdot v_{inv} - G_2(s) \cdot v_g. \quad (20)$$

$$G_1(s) = \frac{Z_2(s) \cdot Z_v(s)}{Z_1(s)Z_2(s)Z_v(s) + Z_1(s)Z_3(s)Z_v(s) + Z_2(s)Z_3(s)Z_v(s) + Z_1(s)Z_2(s)Z_3(s)} \quad (21)$$

$$G_2(s) = \frac{Z_1(s)Z_v(s) + Z_2(s)Z_v(s) + Z_1(s)Z_2(s)}{Z_1(s)Z_2(s)Z_v(s) + Z_1(s)Z_3(s)Z_v(s) + Z_2(s)Z_3(s)Z_v(s) + Z_1(s)Z_2(s)Z_3(s)} \quad (22)$$

417 where  $Z_1(s) = L_1 s$ ,  $Z_2(s) = 1 / (C_f s)$ , and  $Z_3(s) = (L_2 + L_g) s$ .

418



419

420 **Figure 9.** Curves of  $R_{eq}$  and  $X_{eq}$  as the function of frequency.

421 Taking into account the delay effects and using Euler's formula, the embedded virtual  
422 impedance is composed as (23) [40]

$$Z_v(j\omega) = \mathbf{Re}\{Z_v(j\omega)\} + j \mathbf{Im}\{Z_v(j\omega)\}$$

$$\mathbf{Re}\{Z_v(j\omega)\} = \frac{L_1}{C_f K_D K_{PWM}} \cos(1.5\omega T_s), \quad \mathbf{Im}\{Z_v(j\omega)\} = \frac{L_1}{C_f K_D K_{PWM}} \sin(1.5\omega T_s). \quad (23)$$

423 Further,  $Z_v(j\omega)$  can be rewritten in another form which is seen in (24).

$$Z_v(j\omega) = R_{eq}(\omega) \parallel jX_{eq}(\omega)$$

$$R_{eq}(\omega) = \frac{L_1}{C_f K_D K_{PWM} \cos(1.5\omega T_s)}, \quad X_{eq}(\omega) = \frac{L_1}{C_f K_D K_{PWM} \sin(1.5\omega T_s)}. \quad (24)$$

424 It means  $Z_v$  can be considered as parallel connection of a resistor  $R_{eq}$  and a reactor  $X_{eq}$ , which  
425 both are frequency dependent, as represented in Figure 8(b). The resistive component  $R_{eq}$  is  
426 responsible for damp the LCL-filter resonance peak, whereas, the inductive component  $X_{eq}$  tends to  
427 change the resonance frequency. From (24), it is clear that after introducing the delays, both  $R_{eq}$  and  
428  $X_{eq}$  can become positive or negative. As shown in Figure 9, the frequency ranges to have positive and  
429 negative  $R_{eq}$  are, respectively,  $f < f_s/6$  and  $f_s/6 < f < f_s/2$  (frequencies between the critical LCL  
430 resonance frequency and the Nyquist frequency). In addition, the frequency ranges for inductive or  
431 capacitive  $X_{eq}$  are, respectively,  $f < f_s/3$  and  $f_s/3 < f < f_s/2$ . The negative resistance will insert open-loop  
432 unstable poles to the present current control loop that implies an ineffective AD method [25, 40, and  
433 48]. If a fast dynamic response is also desired, the negative real part causes a non-minimum phase  
434 treatment for the closed-loop response, which should preferably be resolved [25, 34, 40, and 48].  
435 Although, as was demonstrated in Subsection 2.1.2, AD is not necessary for high resonant frequency  
436 region ( $f > f_s/6$ ) [21, 25, and 55], but, it should be noted that the resulting positive resistance damping  
437 performance in low frequency region ( $f < f_s/6$ ) and the inherent damping effect in high frequencies  
438 ( $f > f_s/6$ ), may be compromised accidentally with arrival of the system actual resonance frequency,  
439 respectively, to the critical or high frequency region and low frequency region due to the variation of  
440 grid impedance and embedded virtual impedance [25, 40, and 48].

### 441 3.2. Computation and PWM Delays Effect on the Resonance Damping Performance

442 In this Subsection, the effect of delays on the resonance damping performance is  
443 comprehensively investigated to point out the basic challenges and problems in conventional  
444 proportional CCF AD method. For performance evaluation of the control system of Figure 7(b), it is  
445 needed to have discrete transfer functions of  $G_{ic}(z)$  and  $G_I(z)$ .  $G_{ic}(s)$  is well defined as following [9,  
446 20, and 21],

$$G_{ic}(s) = \frac{i_c(s)}{v_{inv}(s)} = \frac{1}{L_1} \cdot \frac{s}{s^2 + \omega_{res}^2} \quad (25)$$

447 The transfer function relating  $i_2$  to  $i_c$  ( $G_I(s)$ ) can also be easily obtained as the ratio of (1) and (25)  
448 as,

$$G_I(s) = \frac{i_2(s)}{i_c(s)} = \frac{G_{i2}(s)}{G_{ic}(s)} = \frac{\zeta_{LC}^2}{s^2}. \quad (26)$$

449 Similarly, applying a ZOH transform [49] to (25) and consider a sampling period of  $T_s = 1/f_s$ ,  
450 gives z-domain transfer function for  $i_c$  to  $v_{inv}$  as,



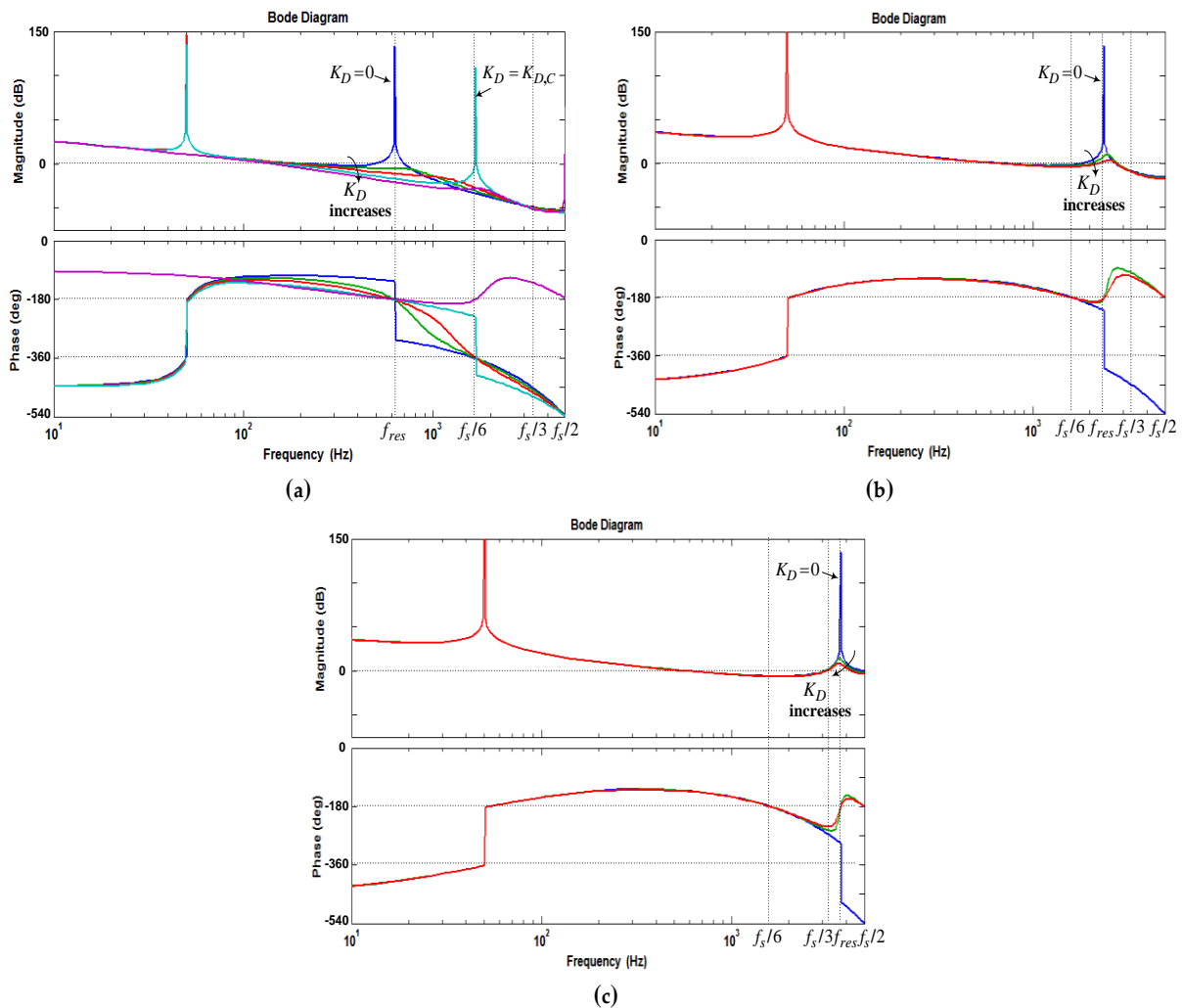
$$G_{ic}(z) = \frac{i_c(z)}{v_{inv}(z)} = \frac{\sin(\omega_{res}T_s)}{\omega_{res}L_1} \times \frac{z-1}{z^2 - 2z \cdot \cos(\omega_{res}T_s) + 1} \quad (27)$$

451 It is worth nothing that for the synchronous sampling case, the capacitor and grid-side currents  
 452 are sampled at the same time instants and also the grid-side current in Figure 7(b) comes from the  
 453 cascaded connection of  $G_{ic}(z)$  and  $G_I(z)$ ; hence additional delay should not be regarded again to the  
 454 system model by  $G_I(z)$ , since delay considered in this process is already accounted for (27) by the  
 455 ZOH transformation [21]. As a result, to discretize (26), the impulse-invariant transformation can be  
 456 used [49], which gives

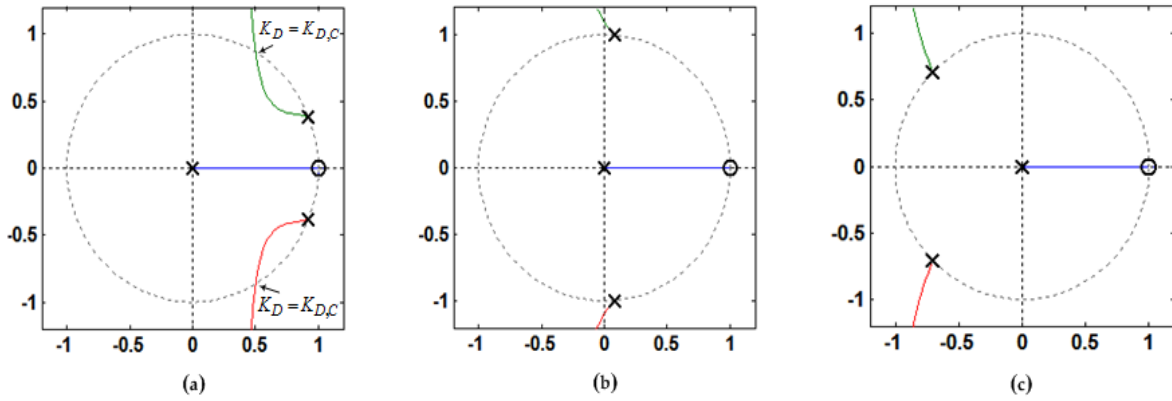
$$G_I(z) = \frac{i_2(z)}{i_c(z)} = \frac{\zeta_{LC}^2 T_s^2 z}{(z-1)^2} \quad (28)$$

457 The derived transfer functions can then be combined to create open-loop gain expression for the  
 458 control system of Figure 7(b) as (29) in order to investigate the stability issue based on z-domain  
 459 Bode diagram and root locus analysis.

$$G_{open\_loop}(z) = \frac{i_2(z)}{i_e(z)} = \frac{V_{DC} \cdot G_C(z) \cdot G_I(z) \cdot G_{ic}(z)}{z + V_{DC} \cdot G_{ic}(z) K_D} \quad (29)$$



460 **Figure 10.** Bode plots of the open-loop gain in the grid-side current control scheme with  
 461 proportional CCF AD method: (a)  $f_{res} < f_s/6$ ;  $C_f = 36\mu F$ ;  $K_p = 0.0261$ ;  $K_i = 3.0769$ ; (b)  $f_s/6 < f_{res} < f_s/3$ ;  $C_f =$   
 462  $2.5\mu F$ ;  $K_p = 0.0991$ ;  $K_i = 44.3077$ ; (c)  $f_s/3 < f_{res} < f_s/2$ ;  $C_f = 1\mu F$ ;  $K_p = 0.1566$ ;  $K_i = 110.7692$ .  
 463



464

465 **Figure 11.** Root loci of the inner proportional CCF only (open-loop characteristic equation):  
 466 (a)  $f_{res} < f_s / 6$ ;  $C_f = 36\mu F$ ; (b)  $f_s / 6 < f_{res} < f_s / 3$ ;  $C_f = 2.5\mu F$ ; (c)  $f_s / 3 < f_{res} < f_s / 2$ ;  $C_f = 1\mu F$ .

467 Then, according to (29), the open-loop (inner proportional CCF loop only) and closed-loop  
 468 characteristic equations for this AD control scheme can be respectively written as

$$z + K_D V_{DC} G_{ic}(z) = 0 \tag{30}$$

$$z + K_D V_{DC} G_{ic}(z) + K_P V_{DC} G_{ic}(z) G_I(z) = 0. \tag{31}$$

469 Figure 10 illustrates Bode diagrams of the open-loop gain  $G_{open\_loop}(z)$  for different resonant  
 470 frequencies. It is worth mentioning that for each resonance frequency  $f_{res}$ , the parameters of current  
 471 controller have been designed based on the phase margin of  $PM = 45^\circ$  at  $\omega_{gc} \approx 0.3\omega_{res}$  to achieve a  
 472 satisfactory transient performance [9]. As seen from the Figure, with the increase of the damping  
 473 term  $K_D$ , both amplitude and phase plots vary substantially. As it is shown in Figures 10(a) and 10(b)  
 474 and is clear from (24), in the range  $(0, f_s / 3)$ , with increase of  $K_D$ , a higher actual resonance frequency  
 475  $f'_{res}$  is generated. In contrast, in the range  $(f_s / 3, f_s / 2)$ , with  $K_D$  increase, a lower  $f'_{res}$  is created [see  
 476 Figure 10(c)]. Since the frequency boundary of  $X_{eq}$  is  $f_s / 3$  [see Figure 9],  $f'_{res}$  will only approach to  
 477  $f_s / 3$  and never step over it. Also, Figure 11 indicates the poles movement for only the inner  
 478 proportional CCF, for different resonance frequencies by using the open-loop characteristic equation  
 479 of (30). It can thus be seen how the resonance poles retain inside unit circle in low resonant  
 480 frequency ( $f_{res} < f_s / 6$ ) to make a damping contribution unless too large damping gain  $K_D$  is applied  
 481 [see Figure 11(a)]. Obviously, there is a maximum useful damping gain, beyond which the stability  
 482 of overall system will be compromised. As seen in Figures 10(a) and 11(a), for a specific  $K_D$ ,  $f'_{res}$   
 483 might step over  $f_s / 6$ . Thus, this  $K_D$  value can be obtained so that the magnitude of the transfer  
 484 function used in root locus analysis becomes equal to unity for a specific pole  $z_0 = 0.5 + j\sqrt{3}/2$ , i.e.,

$$|K_D V_{DC} G_{ic}(z)|_{z=z_0} = 1. \tag{32}$$

485 By solving (32),  $K_{D,C}$  can be found as (33)[40],

$$K_{D,C} = \frac{\omega_{res} L_1}{V_{DC} \sin(\omega_{res} T_s)} |1 - 2 \cos(\omega_{res} T_s)|. \tag{33}$$

486 Generally, after a detailed investigation of the open-loop gain Bode diagrams and root loci of  
 487 the inner proportional CCF only shown in Figures 10 and 11, the key features can be summarized as  
 488 follows.

489 1) If  $f_{res} < f_s / 6$  and  $0 < K_D < K_{D,C}$ , i.e.,  $f'_{res} < f_s / 6$ ,  $R_{eq}$  is positive at  $f'_{res}$  [see Figure 9], and no  
 490 open-loop unstable poles exists, as seen in Figure 11(a). Hence, the phase plot crosses over  
 491  $-180^\circ$  only at  $f_{res}$  in the direction of phase decrease as shown in Figure 10(a). In addition, if  
 492  $f_{res} < f_s / 6$  and  $K_D = K_{D,C}$ , i.e.,  $f'_{res} = f_s / 6$ ,  $R_{eq}$  is infinite at  $f'_{res}$  [see Figure 9], and no open-loop  
 493 unstable poles exists, as seen in Figure 11(a). In this case, it has no contribution to the  
 494 resonance damping performance, and the phase plot also crosses over  $-180^\circ$  only at  $f_{res}$  in the  
 495 direction of phase decrease [see Figure 10(a)]. As it is known well, for evaluating the  
 496 stability, in the open-loop Bode diagram, the frequency ranges with amplitude above 0 dB  
 497 must be investigated. In these frequency ranges, a  $-180^\circ$  crossing in the direction of phase  
 498 increase is considered as a positive crossing  $N^+$  if the gain margin at that  $-180^\circ$  crossover  
 499 frequency is smaller than 0 dB, and a  $-180^\circ$  crossing in the direction of phase decrease is  
 500 considered as a negative crossing  $N^-$  if the gain margin at that  $-180^\circ$  crossover frequency is  
 501 smaller than 0 dB [40, 49]. According to the Nyquist stability criterion [49], to ensure the  
 502 system stability, the value of  $2(N^+ - N^-)$  must be equal to the number of the open-loop  
 503 unstable poles, otherwise, the system gets unstable. For  $f_{res} < f_s / 6$  and  $0 < K_D \leq K_{D,C}$ , i.e.,  $f'_{res} \leq f_s$   
 504  $/ 6$ , the value of  $(N^+ - N^-)$  is equal to zero since the gain margin at  $-180^\circ$  crossover frequency  
 505 ( $f_{res}$ ) is greater than 0 dB, as seen from (34) (in dB). This means that the system will be stable  
 506 in this frequency region.

$$GM_1 = -20 \log \left| G_{open\_loop}(e^{j\omega_{res}T_s}) \right|_{G_c(z)=K_p} = 20 \log \left( \frac{K_D}{K_P \zeta_{LC}^2 T_s^2} \right). \quad (34)$$

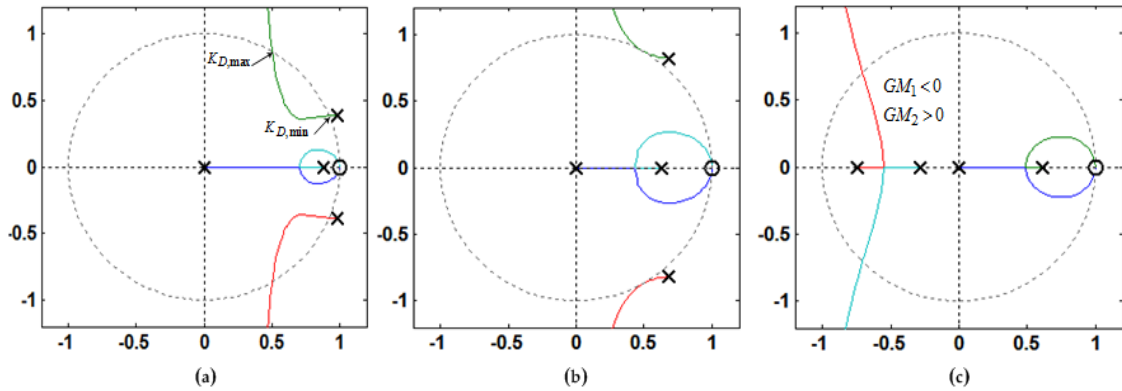
507 For  $K_D = K_{D,C}$ ,  $C_f = 36 \mu F$ ,  $K_p = 0.0261$ , and  $L_2 = L_g = 1.8 mH$ , the gain margin  $GM_1$  in dB is 33.565.

508 2) If  $f_{res} < f_s / 6$  and  $K_D > K_{D,C}$ , i.e.,  $f'_{res} > f_s / 6$ ,  $R_{eq}$  is negative at  $f'_{res}$  [see Figure 9], and a pair of  
 509 open-loop unstable poles appears (non-minimum phase behavior in the closed-loop  
 510 response), as seen in Figure 11(a). In this case, the phase plot crosses over  $-180^\circ$  both at  $f_{res}$   
 511 and  $f_s / 6$ , respectively, in the direction of phase decrease and phase increase as shown in  
 512 Figure 10(a). Hence, according to the Nyquist stability criterion, to ensure the system  
 513 stability, the value of  $2(N^+ - N^-)$  must be equal to 2. It means that the gain margin at  $f_{res}$  and  $f_s$   
 514  $/ 6$ , respectively, must be greater and smaller than 0 dB ( $GM_1 > 0$  dB and  $GM_2 < 0$  dB), i.e.,  $N^- =$   
 515 0 and  $N^+ = 1$ . The gain margin in dB at  $f_s / 6$  can be derived from (29) as (35). By comparing  
 516 (34) and (35), one can easily understand that  $GM_1$  and  $GM_2$  will be equal, if  $f_{res} = f_s / 6$ .

$$GM_2 = -20 \log \left| G_{open\_loop}(e^{j\pi/3}) \right|_{G_c(z)=K_p} = 20 \log \left| \frac{\omega_{res} L_1 (1 - 2 \cos \omega_{res} T_s)}{K_P V_{DC} \zeta_{LC}^2 T_s^2 \sin \omega_{res} T_s} + \frac{K_D}{K_P \zeta_{LC}^2 T_s^2} \right|. \quad (35)$$

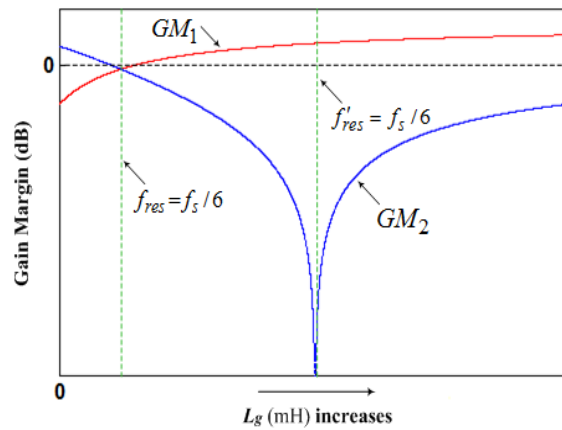
517 3) If  $f_{res} \geq f_s / 6$  and  $K_D > 0$ , i.e.,  $f'_{res} > f_s / 6$ ,  $R_{eq}$  is negative at  $f'_{res}$  [see Figure 9], and a pair of  
 518 open-loop unstable poles appears, as seen in Figures 11(b) and 11(c). In this case, the phase  
 519 plot crosses over  $-180^\circ$  both at  $f_s / 6$  and  $f_{res}$ , respectively, in the direction of phase decrease  
 520 and phase increase as seen in Figures 10(b) and 10(c). Hence, to stabilize the system,  $GM_1 < 0$   
 521 dB and  $GM_2 > 0$  dB are both needed.

522



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**Figure 12.** Root loci of the digital single-loop current control with proportional CCF AD method with variation of damping gain  $K_D$  for a fixed  $K_P$  value: (a) Low resonant frequency region ( $f_{res} < f_s/6$ ;  $C_f = 36\mu F$ ;  $K_P = 0.0261$ ); (b) Critical resonant frequency ( $f_{res} = f_s/6$ ;  $C_f = 5\mu F$ ;  $K_P = 0.07$ ); (c) High resonant frequency region ( $f_{res} > f_s/6$ ;  $C_f = 1\mu F$ ;  $K_P = 0.116$ ).



528  
529

**Figure 13.** Curves of gain margins ( $GM_1$  and  $GM_2$ ) with the increase of  $L_g$ .

530 Figure 12 indicates the effect of proportional CCF AD on the single-loop grid-side current  
531 control scheme [see Figure 7] for a fixed  $K_P$  value at different resonance frequency ranges. As seen in  
532 Figures 12(a) and 12(b), when the LCL resonant frequency is equal or below the critical resonant  
533 frequency  $\omega_{crit}$ , the resonance poles always originate outside the unit circle, and thus, without AD,  
534 the system will be initially unstable. In low resonance frequency region, the poles track back inside  
535 the unit circle with increasing the damping gain  $K_D$ , and hence, the overall system becomes stable  
536 unless too large damping gain is applied, as seen in Figure 12(a). Clearly, there are minimum and  
537 maximum values for damping gain  $K_D$  ( $K_{D,min}$ ,  $K_{D,max}$ ) that ensures the resonant poles, as far as  
538 possible, remain inside the unit circle to retain the system stability. This bounded rang will be  
539 determined in the Subsection 3.4. It is also important to note that for  $K_{D,C} \leq K_D \leq K_{D,max}$ , the gain  
540 margin requirements  $GM_1 > 0$  dB and  $GM_2 < 0$  dB can be satisfied by proper selection of  $K_P$  to achieve  
541 a stable system [see Figure 12(a)]. In contrast, when the LCL resonant frequency is equal to  $\omega_{crit}$ , as  
542 seen from Figure 12(b), AD can only lead to the resonant poles touching the unit circle, but never  
543 entering the circle. Therefore, at the critical resonance frequency, it is essentially not possible to  
544 design a current control scheme with AD to stabilize the system, and consequently, the system will  
545 always remain unstable irrespective of the applied damping gain [21, 40]. In addition, as shown in  
546 Figure 12(c), when the LCL resonant frequency is above  $\omega_{crit}$ , the poles initially are inside the unit

547 circle, as was discussed in previous Section, and hence, with proper selection of  $K_p$ , the system will  
 548 be initially stable without AD. Then, as long as the increased damping gain  $K_D$  does not lead to loss  
 549 of the desired gain margin requirements ( $GM_1 < 0$  dB and  $GM_2 > 0$  dB), the system will be stable but  
 550 with lower stability margin compared with the grid-side current control scheme without AD.

### 551 3.3. Robustness Evaluation against the Grid-Impedance Variation

552 As shown previously, the digitally-controlled LCL-filtered grid-connected inverter with  
 553 proportional CCF AD introduces the negative virtual resistance parallel with the filter capacitor for  
 554 different resonance frequency regions and damping coefficients ( $f_{res} < f_s/6$  and  $K_D > K_{D,C}$  or  $f_{res} \geq f_s/6$   
 555 and  $K_D > 0$ ) due to the system delay effect. In this condition, a pair of open-loop unstable poles is  
 556 generated and the closed-loop response will then have a non-minimum phase behavior [48].  
 557 Therefore, to ensure the system stability, the resonance frequency dependent stringent gain margin  
 558 requirements need to be satisfied. For this reason, the system robustness against the grid inductance  
 559 variation that commonly leads to the variation of resonance frequency  $f_{res}$ , should be evaluated. The  
 560 curves of gain margins ( $GM_1$  and  $GM_2$ ) with the increase of  $L_g$  are illustrated in Figure 13. From this  
 561 Figure and the gain margin requirements discussed above, it can be concluded that if  $f_{res} > f_s/6$  and  
 562  $K_D > 0$ , then,  $GM_1 < 0$  dB and  $GM_2 > 0$  dB are needed to ensure the system stability. However, with  
 563 increasing  $L_g$ ,  $GM_1$  increases, and  $GM_2$  decreases. It leads to the smaller stability margin, which  
 564 represents poor robustness against the variation of grid impedance [40]. If  $f_{res} < f_s/6$  and  $0 < K_D \leq K_{D,C}$ ,  
 565 then,  $GM_1 > 0$  dB is needed to ensure stability. Moreover, if  $f_{res} < f_s/6$  and  $K_D > K_{D,C}$ , then,  $GM_1 > 0$  dB  
 566 and  $GM_2 < 0$  dB are required. In both cases, with increase of  $L_g$ ,  $GM_1$  increases, and  $GM_2$  decreases (for  
 567  $f'_{res} > f_s/6$ ), which thus indicates the larger stability margin, and accordingly, high robustness against  
 568 the variation of grid impedance [40]. Meanwhile, if  $f_{res} = f_s/6$  and  $K_D > 0$ , it is needed to have  $GM_1 < 0$   
 569 dB and  $GM_2 > 0$  dB. However, as seen in Figure 13, in the situation that  $GM_1 = GM_2$ , the system can  
 570 hardly be stable regardless of  $K_D$  value [21, 40].

### 571 3.4. Current Controller and Damping Gains Determination for Low Resonant Frequency Region

572 As mentioned earlier and is clear from the root locus shown in Figure 6(c), when the LCL filter  
 573 resonant frequency is below the critical frequency ( $\omega_{res} < \omega_{crit}$ ), the single-loop grid-side current  
 574 feedback control scheme needs an AD technique to achieve a stable system with minimal oscillation  
 575 [21, 40]. In this Subsection, an enhanced procedure of current controller and damping gains  
 576 determination is introduced. It ensures the stability, highest possible LCL damping and controller  
 577 bandwidth, particularly taking system delay effect into account [21]. It should be noted that, control  
 578 of optimum damping is important regarding stability, since the transient response of a system with  
 579 an insufficient-damping will be seriously compromised when the system excited by a step change,  
 580 whereas in an over-damped system, the system dynamic response and phase margin will strongly  
 581 degrade [9]. Similar to the high frequency region, the current controller gains ( $K_p$  and  $K_i$ ) can also be  
 582 calculated using (15) and (16), respectively, so that the gain crossover frequency is determined to  
 583 obtain an appropriate phase margin, without any damping method, since the low-frequency  
 584 characteristic of control plant will still be dominated by the series inductances [9]. However, by  
 585 referring to the Bode phase plot in Figure 5(a), it can be comfortably found that to avoid the rapid  
 586 transition of transfer function phase (to yield satisfactory transient performance) and achieve a  
 587 sufficient phase margin, the gain crossover frequency must be set enough below the LCL filter  
 588 undamped resonance frequency [9,21, and 40]. Hence, the gain crossover frequency recommended

589 in literature,  $\omega_{gc} \approx 0.3\omega_{res}$ , is considered in this frequency region in order to provide an acceptable  
 590 system bandwidth [9, 20]. Finally, for the low frequency resonance included in Table 1(625 Hz), the  
 591 controller gains are calculated using this strategy that gives the values of  $K_p = 0.0261$  and  $K_i = 3.075$ .  
 592 The frequency response for digital single-loop grid-side current feedback system in low frequency  
 593 region has been already shown in Figure 5(a).

594 As it is mentioned and is clear from Figure 12(a), there are minimum and maximum values for  
 595 damping gain  $K_D$  that ensures the resonant poles remain inside the unit circle to achieve maximum  
 596 damping. This bounded range for  $K_D$  can then be determined by identifying some limitations.  
 597 Accordingly, the maximum value of  $K_D$  can be obtained so that the magnitude of open-loop transfer  
 598 function used in z-domain root locus analysis is equal to unity for a specific pole  $z_0$  on the root locus,  
 599 i.e.,

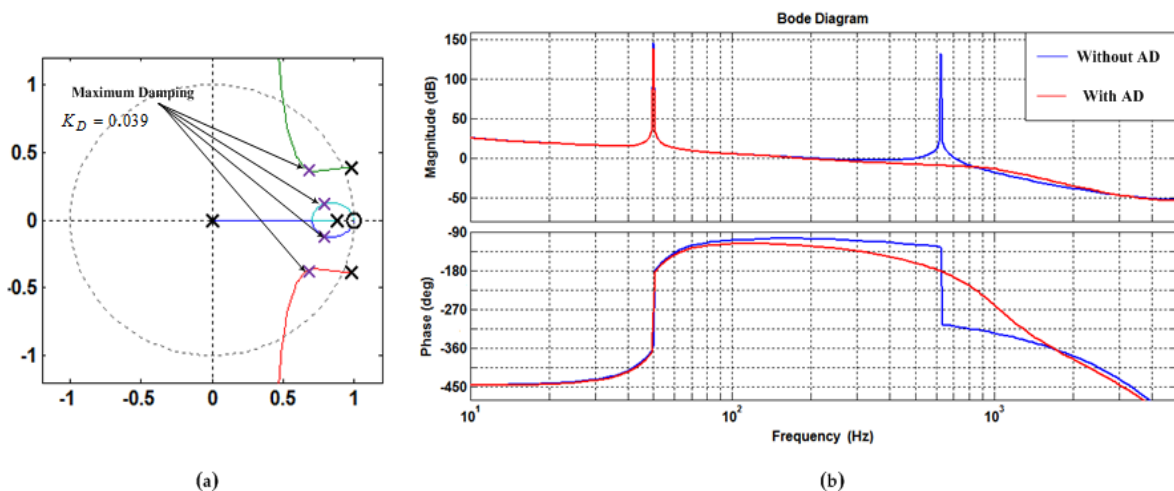
$$\left| \frac{K_D V_{DC} G_{ic}(z)}{z + K_P V_{DC} G_{ic}(z) G_I(z)} \right|_{z=z_0} = 1. \tag{36}$$

600 Note that by increase of the damping gain  $K_D$ , the root loci path tracks through the unit circle at  
 601 the critical resonant frequency  $\omega_{crit}$  or  $z = 0.5 + j\sqrt{3}/2$  (see Figure 12(a)). So, by selecting the  
 602  $z_0 = 0.5 + j\sqrt{3}/2$  and solving (36) by some simple mathematical manipulations,  $K_{D,max}$  can be found as  
 603 (37) [21],

$$K_{D,max} = \frac{\omega_{res} L_1}{V_{DC} \sin(\omega_{res} T_s)} |1 - 2 \cos(\omega_{res} T_s)| + K_P \zeta_{LC}^2 T_s^2 \tag{37}$$

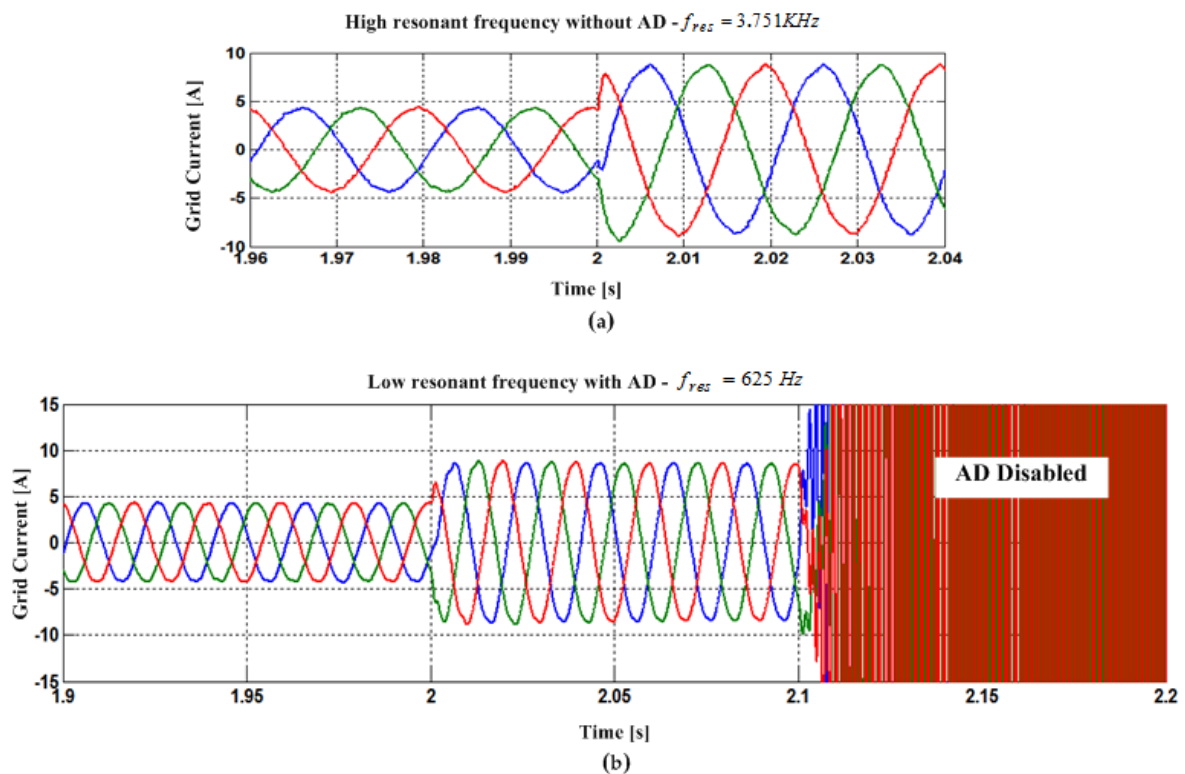
604 Using Routh’s stability criterion used for a continuous time model in [58],  $K_{D,min}$  to maintain the  
 605 system stability can also be found for the discrete time model with delay[21],

$$K_{D,min} \geq \frac{K_P L_1}{L_1 + L_2 + L_g} \tag{38}$$



606  
 607 **Figure 14.** (a) Root locus maximum damping gain  $K_D$  selection; (b) Bode plots. ( $K_p = 0.0261$ ,  $C_f = 36\mu F$ ,  
 608  $K_D = 0.039$ ).

609 As a result, in the low resonance frequency region, the allowable range is  $0.013 \leq K_D \leq 0.098$  in  
 610 order to achieve an effective resonance stability control. Within this specified bounded range for  $K_D$ ,  
 611 using root locus poles placement approach, the maximum possible damping gain can be found, as  
 612 seen in Figure 14(a). Meanwhile, the bounded damping gain rang can also be determined by Jury  
 613 stability criterion [49]. The Bode plot of Figure 14(b) indicates frequency response of the digital  
 614 single-loop current control with proportional CCF AD method when the resonance frequency is  
 615 significantly less than the sampling frequency for a fixed  $K_P$  and the maximum damping gain  $K_D$   
 616 values. As it is clear from the Figure, incorporating proportional CCF AD in low resonance frequency region  
 617 both suppresses the phase transition created in the case of without AD and reduces the resonance peak  
 618 amplitude. Thus, as previously analyzed, this structure can be stabilized in low resonance frequency region with  
 619 suitable proportional controller and damping gains.



620

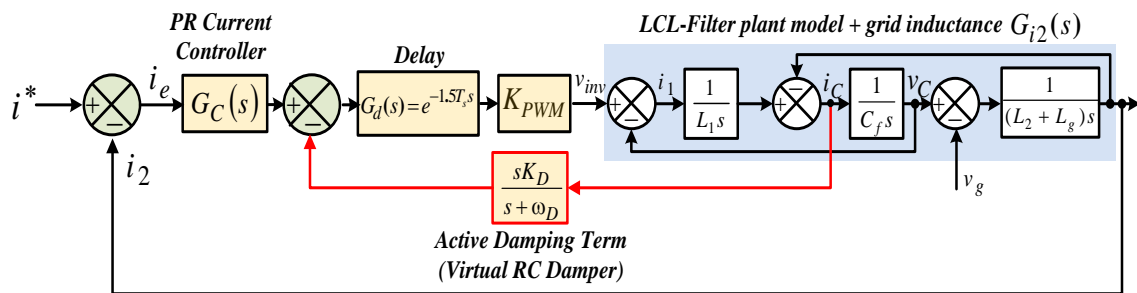
621 **Figure 15.** Simulation results of digitally-controlled LCL-filtered grid-connected inverter system: (a)  
 622 High resonant frequency without AD ( $K_P = 0.116$ ,  $K_i = 60.736$ ,  $C_f = 1\mu F$ ); (b) Low resonant frequency  
 623 with enabling and disabling proportional capacitor feedback AD ( $K_P = 0.0261$ ,  $K_i = 3.075$ ,  $K_D = 0.039$ ,  
 624  $C_f = 36\mu F$ ).

625 In order to verify the theoretical findings and also to design current controller and select  
 626 damping gains, the simulation results have been done with MATLAB/Simulink for a fully switched  
 627 three-phase LCL-filtered inverter system, feeding into a stiff grid under ideal conditions without  
 628 considering the winding resistance of the inductors and the equivalent series resistance of filter  
 629 capacitor as well as the resistance component of grid impedance. Hence, simulation can be regarded  
 630 to represent a worst case to control a well-damped system compared with a real system in practice  
 631 that very small resistances are helping towards stability. The system parameters to test both low and  
 632 high LCL resonant frequency regions are given in Table 1. Figure 15 shows simulation of system  
 633 transient time-domain responses, where a step change of reference current from 4.4 to 8.8 A is

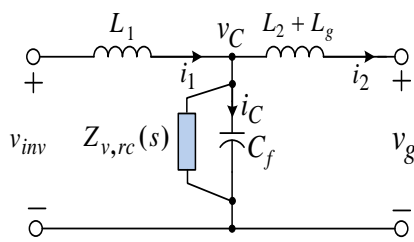
634 applied. As seen from Figure 15(a), despite not using any AD method in high resonant frequency  
 635 region, there is no oscillation even during the transient occurrence. This confirms that above the  
 636 critical resonant frequency, appropriate setting the current controller parameters in single-loop  
 637 control scheme without AD is sufficient to maintain stability and control LCL filter resonance issue.  
 638 However, as mentioned earlier, for a weak grid, where the grid impedance variation leads to wide  
 639 changes in LCL resonance frequency, system can easily become unstable if external damping  
 640 solution is not employed. This could be due to the fact that the actual resonance frequency may be  
 641 close to critical and low resonance frequency region [24, 40, 45, and 48].

642 In contrast, Figure 15(b) indicates time response of the system under low LCL resonance  
 643 frequency with enabling and disabling proportional CCF AD, where controller and damping gains  
 644 set as previously discussed in Subsection 3.4. As it is clear from the Figure, by applying the AD  
 645 method ( $t < 2.1s$ ), system is quite stable without resonance even during the transient event. In  
 646 addition, if AD is disabled ( $t > 2.1s$ ), large resonant currents appear and lead to instability of the  
 647 system. Hence, for low resonant frequency region, AD is necessary to retain system stability and  
 648 grid-side current quality. However, as previously proved theoretically, the proportional CCF AD is  
 649 equivalent to the addition of a virtual impedance in parallel with the filter capacitor when  
 650 computational and modulation delays are considered. The impacts identified from the virtual  
 651 impedance can be included by filter resonance frequency shifting due to its imaginary part and a  
 652 negative real part depending on the ratio of the filter resonance to control frequency [40, 48]. It can  
 653 unintentionally lead to a closed-loop non-minimum phase characteristic with unstable open-loop  
 654 poles.

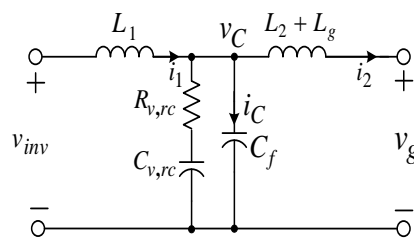
655 Hence, in order to address these issues, improved CCF AD approaches should be provided so  
 656 that the resonance stability performance is robustly maintained for all resonance frequencies against  
 657 a wide variation in grid impedance. Two effective methods to cope with these problems will be  
 658 presented in the next Section.



(a)



(b)



(c)

659

660 **Figure16.** Block diagram and filter equivalent circuit for the first-order HPF-based CCF AD scheme:  
 661 (a) Control diagram representation; (b) Filter equivalent circuit with delays considered; (c) Filter  
 662 equivalent circuit without delays considered.



663 **4. Improved CCF AD Schemes**

664 To extend the valid damping region and ensure robustness against grid impedance variation,  
 665 this Section introduces the improved CCF AD methods. As was proven in the previous Section, due  
 666 to the effect of computation and PWM delays, the proportional CCF AD scheme is equivalent to  
 667 frequency dependent virtual impedance, consisting of a resistor paralleled with a reactor, connected  
 668 in parallel with the filter capacitor. The frequency dependent virtual resistor can damp the resonance  
 669 peak of the LCL filter, whereas the resonance frequency is shifted by the embedded virtual reactor  
 670 and grid-impedance variation. Obviously, by changing the resonance frequency, the damping  
 671 performance will be affected. As clearly demonstrated by the open-loop Bode and root locus  
 672 diagrams in the previous Section, if the actual resonance frequency is higher than the critical  
 673 frequency of  $f_s/6$ , a pair of open-loop unstable poles appear due to the introduction of negative  
 674 virtual resistor component in this frequency region. As a result, the LCL-filtered grid-connected  
 675 inverter system becomes much easier to be unstable if the resonance frequency is close to critical  
 676 frequency of  $f_s/6$  due to the grid-impedance variation. Hence, the stability challenge for this critical  
 677 resonance frequency must be resolved to acquire high robustness against the variation of grid  
 678 impedance through the removal of open-loop unstable poles.

679 *4.1. CCF AD based on First-Order High-Pass Filter*

680 Figure 16(a) shows the control block diagram of CCF AD scheme based on a first-order  
 681 High-Pass Filter (HPF) [48] in s-domain, where  $K_D$  and  $\omega_D$  represent gain and cut-off frequency of the  
 682 AD term, respectively. Corresponding filter equivalent circuits with and without considering delay  
 683 effects, representing this AD method can also be seen in Figures 16(b) and 16(c), respectively. As it is  
 684 clear from Figure 16(c), if delays are overlooked, a virtual series RC damper can be specifically  
 685 incorporated into the original filter plant with damper parameters derived as

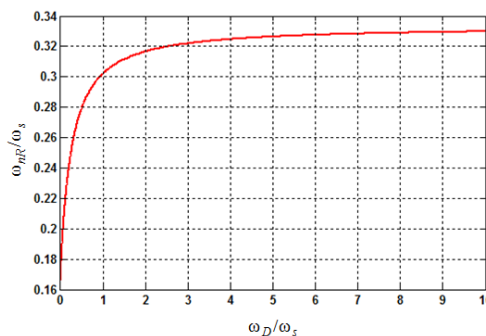
$$R_{v,rc} = \frac{L_1}{C_f K_D K_{PWM}}, \quad C_{v,rc} = \frac{C_f K_D K_{PWM}}{L_1 \omega_D}, \quad \omega_D = \frac{1}{R_{v,rc} C_{v,rc}} \tag{39}$$

686 After considering delays and using Euler's formula, these expressions change to (40)

$$Z_{v,rc}(j\omega) = \frac{L_1}{C_f K_D K_{PWM}} (1 - j \frac{\omega_D}{\omega}) [\cos(1.5\omega T_s) + j \sin(1.5\omega T_s)]$$

$$\text{Re}\{Z_{v,rc}(j\omega)\} = R_{v,rc} \cos(1.5\omega T_s) + \frac{1}{\omega C_{v,rc}} \sin(1.5\omega T_s) \tag{40}$$

$$\text{Im}\{Z_{v,rc}(j\omega)\} = R_{v,rc} \sin(1.5\omega T_s) - \frac{1}{\omega C_{v,rc}} \cos(1.5\omega T_s)$$



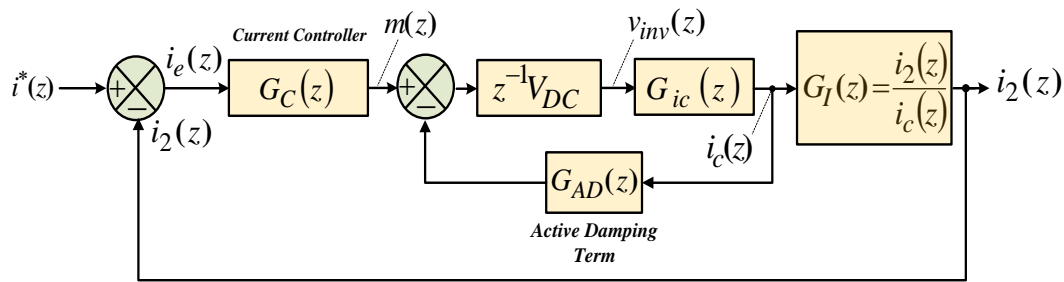
687

688 **Figure17.** Relationship between  $\omega_{nR}$  and  $\omega_D$ .

689 Unlike, the proportional CCF AD scheme in Eq. (23), the real and imaginary parts of (40) have  
 690 an additional term, which are adjustable by  $C_{v,rc}$  and can be useful to lessen the likelihood that  
 691  $\text{Re}\{Z_{v,rc}(j\omega)\}$  becomes negative. With this understanding, the critical frequency  $\omega_{nR}$ , wherein  
 692  $\text{Re}\{Z_{v,rc}(j\omega)\}$  in (40) becomes negative, can be limited in accordance with (41) in terms of the  
 693 sampling frequency when  $\omega_D$  increases, as  $\omega_{nR} = \omega_s / 3$  (see Figure 17).

$$\text{Re}\{Z_{v,rc}(j\omega)\} = 0 \Rightarrow \frac{\omega_{nR}}{\omega_s} \cos(3\pi \frac{\omega_{nR}}{\omega_s}) + \frac{\omega_D}{\omega_s} \sin(3\pi \frac{\omega_{nR}}{\omega_s}) = 0 \quad (41)$$

694 where  $\omega_s = 2\pi f_s$ . Compared to the proportional CCF AD scheme, compensation of the delay-induced  
 695 phase lag can be achieved by the added HPF resulting from extension of the critical frequency from  
 696  $f_s / 6$  to  $f_s / 3$  [48]. This means that  $\text{Re}\{Z_{v,rc}(j\omega)\}$  is less likely to be negative. However, this resulting  
 697 improvement is not limitless, since if LCL resonance frequency of a system placed between  $f_s / 3$  and  
 698  $f_s / 2$  (Nyquist frequency), the closed-loop response has always a non-minimum phase behavior.  
 699



700

701 **Figure18.** Z-domain block diagram of the first-order HPF-based CCF AD scheme.

702 4.1.1. Parameter Tuning, Stability Analysis, and Robustness Evaluation Against Grid  
 703 Impedance Variation

704 For system design and stability analysis as well as robustness evaluation against grid  
 705 impedance variation, z-domain frequency response and root locus analysis are performed based on  
 706 the control scheme in Figure 18, which is z-domain block diagram of Figure 16(a). The HPF used for  
 707 AD term (virtual RC damper) can be discretized by Tustin approximation [49] as follows,

$$G_{AD}(z) = \frac{2K_D \cdot (z - 1)}{(\omega_D T_s + 2)z + (\omega_D T_s - 2)} \quad (42)$$

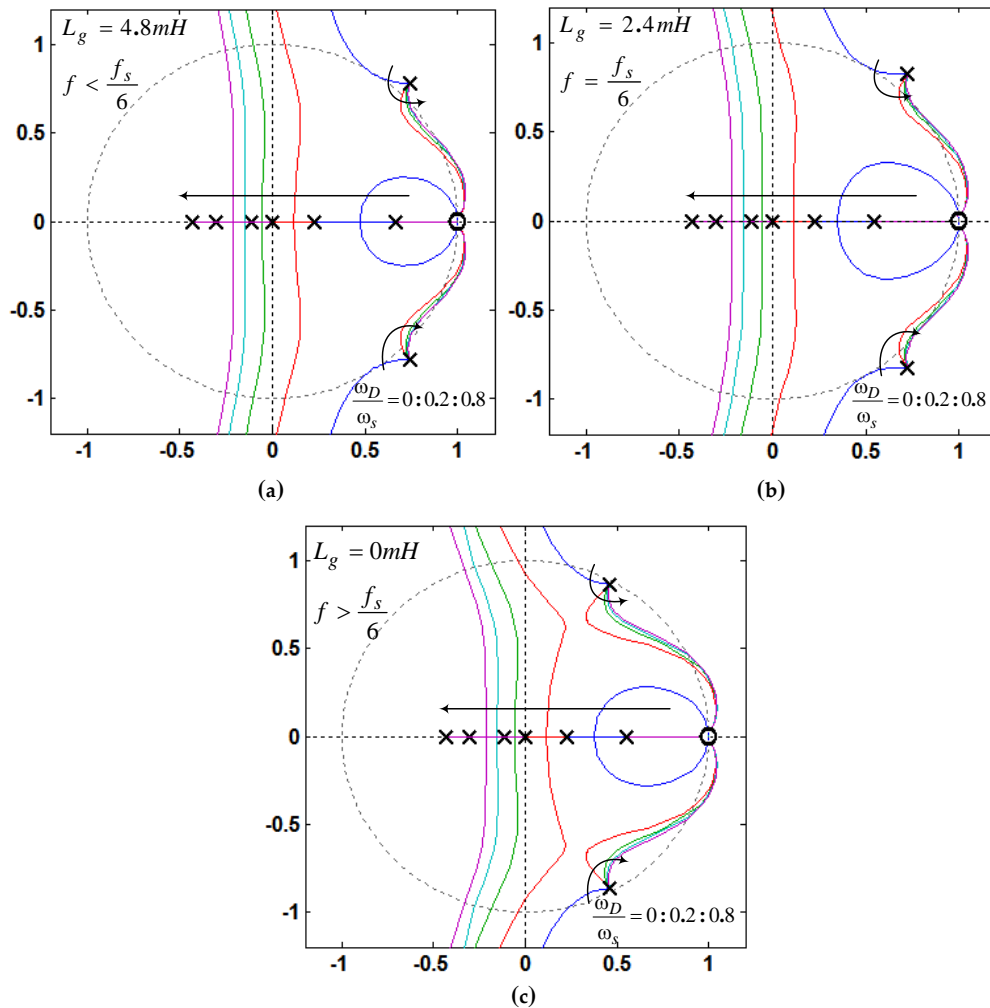
708 Combining (4), (27), (28), and (42), the open-loop gain transfer function for the scheme shown in  
 709 Figure 18 is readily achieved as,

$$G_{open\_loop}(z) = \frac{i_2(z)}{i_e(z)} = \frac{V_{DC} \cdot G_C(z) \cdot G_I(z) \cdot G_{ic}(z)}{z + V_{DC} \cdot G_{ic}(z) \cdot G_{AD}(z)} \quad (43)$$

710 Then, according to (43), the characteristic equation for this AD control scheme can be written as

$$z + K_D V_{DC} G_{ic}(z) G'_{AD}(z) + K_P V_{DC} G_{ic}(z) G_I(z) = 0 \quad (44)$$

711 where  $G'_{AD}(z)$  has the same equation as (42), excluding  $K_D$ .



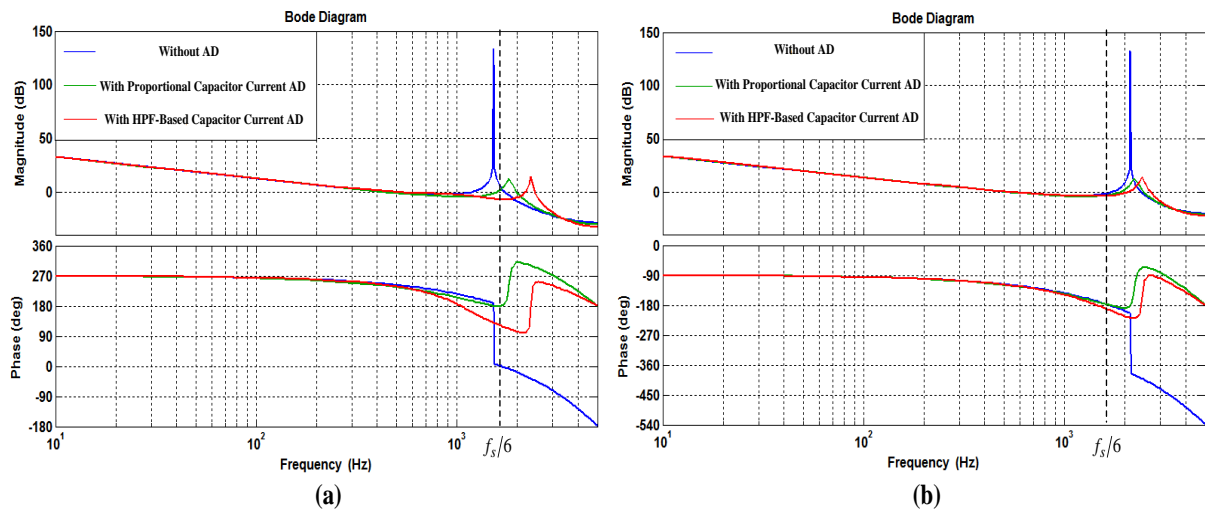
712

713 **Figure 19.** Root loci of the grid-side current control with and without CCF AD scheme for different  
 714 grid inductances: (a)  $L_g = 4.8 \text{ mH}$ ,  $f_{res} = 1.521 \text{ kHz}$ ,  $K_P = 0.09$ ,  $K_i = 25.8$  (Low resonant frequency region);  
 715 (b)  $L_g = 2.4 \text{ mH}$ ,  $f_{res} = 1.667 \text{ kHz}$ ,  $K_P = 0.09$ ,  $K_i = 25.8$  (Critical resonant frequency); (c)  $L_g = 0 \text{ mH}$ ,  $f_{res} =$   
 716  $2.119 \text{ kHz}$ ,  $K_P = 0.06$ ,  $K_i = 26.5$  (High resonant frequency region).

717 Figure 19 shows the closed-loop poles movement of the control scheme with variation of the  
 718 HPF gain  $K_D$ , where HPF cut-off frequency  $\omega_D$  is swept from 0 to  $0.8\omega_s$  with a step of  $0.2\omega_s$  as also  
 719 controller gains are designed based on the algorithms adopted in subsections of 2.2 and 3.4,  
 720 respectively, for low and high resonance frequency regions. To assess robustness subject to wide  
 721 impedance variation, assuming a fixed value for the filter capacitor ( $C_f = 4.7 \mu\text{F}$ ), three grid  
 722 inductance values are considered that represent low and high LCL resonance frequency regions  
 723 around the critical frequency of  $f_s/6 = 1.67 \text{ kHz}$ . As seen in Figures 19(a) and 19(b), due to the lack of  
 724 inherent damping effect, as explained in the previous section, when the LCL resonance frequency is  
 725 below or equal to critical resonance frequency, the resonant poles initially are out of the unit circle,  
 726 and hence, the system will be unstable without AD. It should be noted that applying the  
 727 proportional CCF AD ( $\omega_D = 0$ ) can only lead to the resonant poles touching the unit circle, but never  
 728 entering the circle. Therefore, for this resonance frequency and this type of AD method, the system  
 729 will always be unstable irrespective of the damping gain that is applied (see Figure 19(b), blue line).  
 730 However, in this case, the poles will track back inside the unit circle by applying the HPF-based CCF  
 731 AD method, and hence, the stability will be established. Note that in these resonance frequencies, the

732 stability would be jeopardized by increasing the HPF cut-off frequency  $\omega_D$  (see Figures 19(a) and  
 733 19(b)). In contrast, when the LCL resonance frequency is above the critical frequency ( $L_g = 0 \text{ mH}$ ), the  
 734 resonant poles initially are inside the unit circle because of the inherent resonance damping effect  
 735 (see Figure 19(c)). However, too large HPF gain will force the poles track back outside the unit circle,  
 736 and lead to the system instability.

737 Generally speaking, the design of HPF parameters should firstly be attempted by selecting a  
 738 proper  $\omega_D$  that will give an appropriate margin between resonance frequency and  $\omega_{nR}$  according to  
 739 Figure 17. Then, based on the root locus plots illustrated in Figure 19, the appropriate  $K_D$  can be  
 740 selected [48].



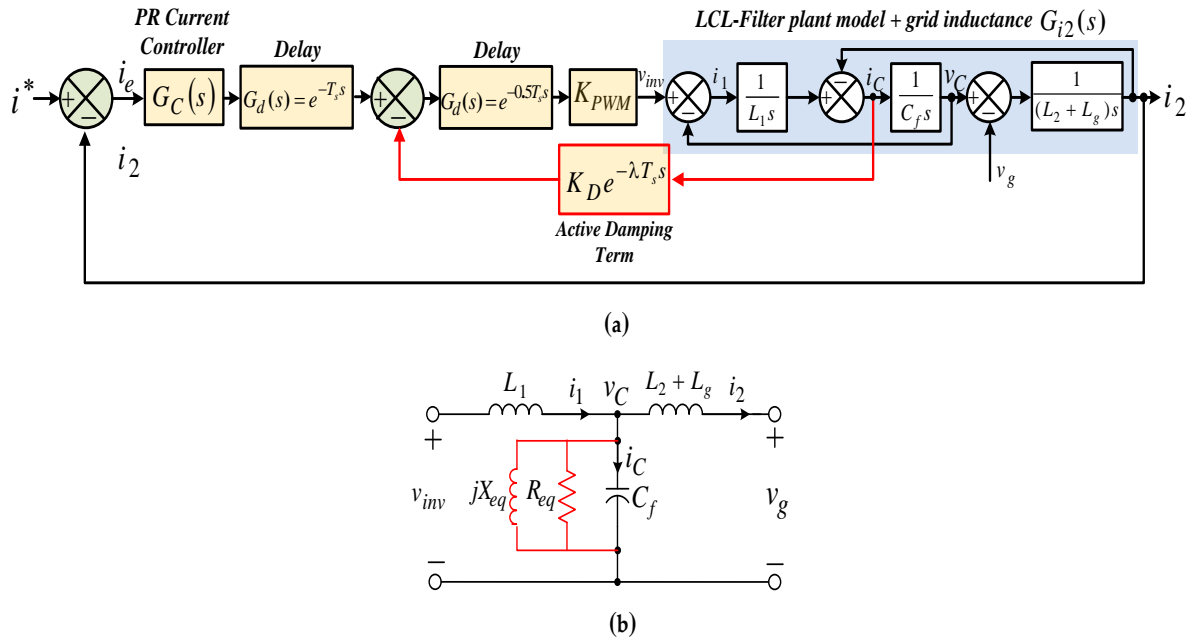
741 **Figure 20.** Bode plots showing open-loop gain of grid-side current control with and without AD  
 742 schemes: (a) Low frequency region ( $L_g = 4.8 \text{ mH}$ ,  $f_{res} = 1.521 \text{ kHz}$ ,  $K_P = 0.09$ ,  $K_D = 0.041$  (green diagram),  
 743  $K_D = 0.115$  (red diagram)); (b) High resonant frequency ( $L_g = 0 \text{ mH}$ ,  $f_{res} = 2.119 \text{ kHz}$ ,  $K_P = 0.06$ ,  $K_D = 0.02$   
 744 (green diagram),  $K_D = 0.055$  (red diagram)).  
 745

746 To further understand effectiveness of the HPF-based CCF AD, comparison among the  
 747 different control cases is performed in the frequency domain [see Figure 20]. As seen in Figure 20, in  
 748 the case of without AD, the system is very sensitive to the variation of grid impedance, so that it will  
 749 be unstable when the resonance frequency decreases below  $f_s / 6$  and also can be stabilized by proper  
 750 selection of proportional controller gain when the resonance frequency increases above  $f_s / 6$ . With  
 751 applying the proportional CCF AD, as explained, a non-minimum phase behavior (the presence of  
 752 open-loop unstable poles) occurs due to the inserted negative virtual resistance [see Figure 20].  
 753 After introducing the HPF-based CCF AD, as it is clear from Figure 20, the behavior of system  
 754 non-minimum phase has been mitigated by the embedded virtual capacitor in the HPF [21, 24, 40, 45  
 755 and 48]. For more details regarding the stability conditions, please, refer to the explanations  
 756 provided for Figure 10 in Section 3.2. Thus, based on these observations prove effectiveness of the  
 757 HPF-based CCF AD scheme because of extension the damping region from  $(0, f_s / 6)$  to  $(0, f_s / 3)$   
 758 through proper selection of the HPF parameters [48].

#### 759 4.2. CCF AD with Reduced Computation Delay

760 To remove the open-loop unstable poles (mitigation of the non-minimum phase characteristic)  
 761 and widen the effective damping region, a CCF AD method with reduced computation delay has  
 762 been presented in [40], which is realized by shifting the capacitor current sampling instant towards  
 763 PWM reference update instant. Using this model-independent method, as will be shown later, the  
 764 embedded virtual impedance is formed in a wider frequency range more like a resistor; thus, high

765 robustness against the variation of grid impedance is obtained. Since, the fundamental component  
 766 of the capacitor current has no contribution in resonance damping performance, the capacitor  
 767 current can be sampled before the PWM reference update instant [40]. To this end, the capacitor  
 768 current is sampled at the time instant of  $\lambda T_s$  ( $0 < \lambda < 1$ ).

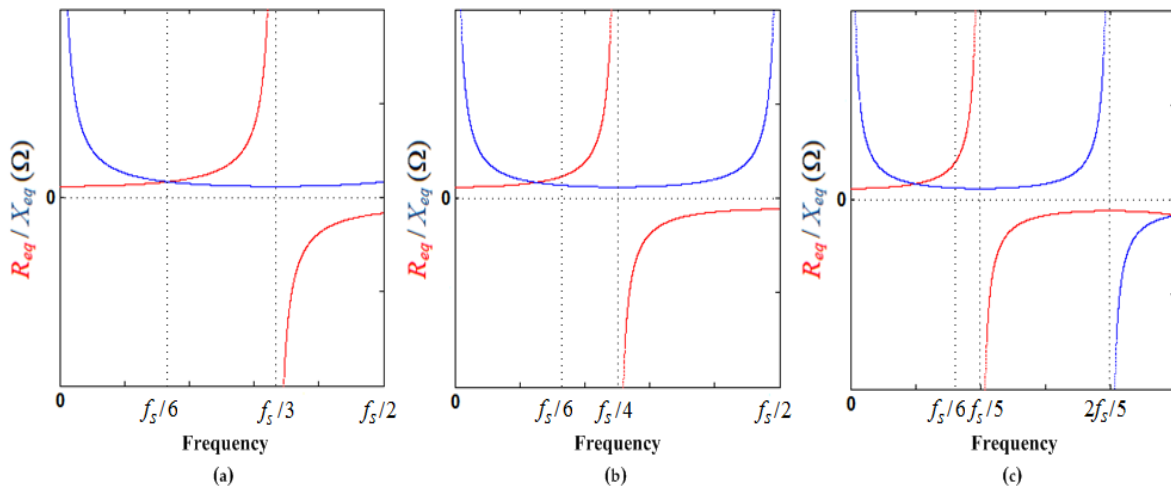


769  
 770 **Figure 21.** Block diagram and filter equivalent circuit of the digitally controlled LCL-filtered  
 771 grid-connected inverter with the improved CCF AD scheme by reduced computation delay: (a)  
 772 Control diagram representation; (b) Filter equivalent circuit.

773 Figure 21(a) indicates the control block diagram of CCF AD with reduced computation delay  
 774 scheme in s-domain. Corresponding filter equivalent circuit by applying this AD method can also  
 775 be seen in Figure 21(b). As it is clear in Figure 21(b), a virtual parallel RL damper can be specifically  
 776 incorporated into the original filter plant with damper parameters derived as

$$Z_v(j\omega) = R_{eq}(\omega) \parallel jX_{eq}(\omega)$$

$$R_{eq}(\omega) = \frac{L_1}{C_f K_D K_{PWM} \cos((\lambda + 0.5)\omega T_s)}, \quad X_{eq}(\omega) = \frac{L_1}{C_f K_D K_{PWM} \sin((\lambda + 0.5)\omega T_s)} \quad (45)$$



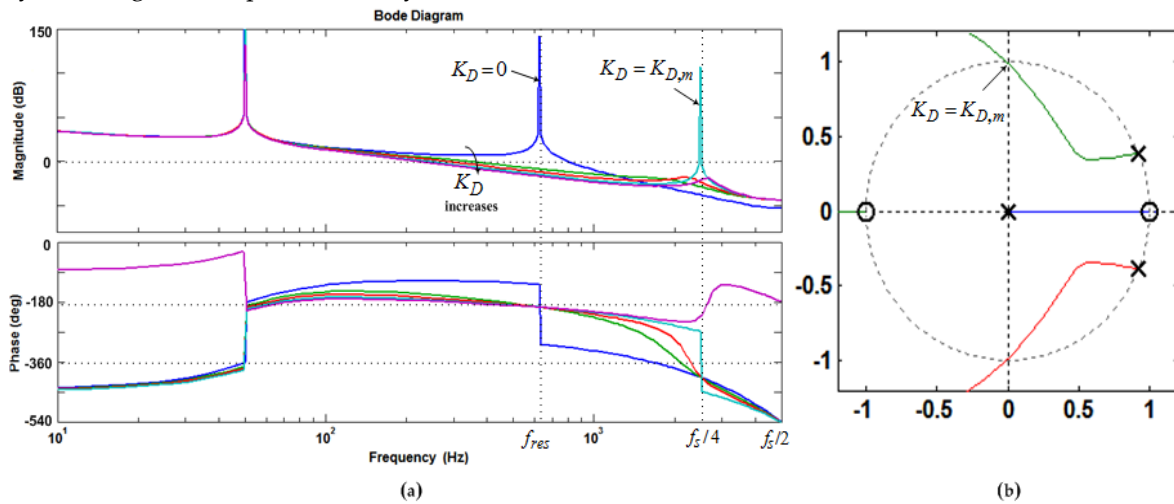
777  
 778 **Figure 22.** Curves of  $R_{eq}$  and  $X_{eq}$  as the function of frequency: (a)  $\lambda = 0.25$ ; (b)  $\lambda = 0.5$ ; (c)  $\lambda = 0.75$ .

779 Based on (45), it is clear that both  $R_{eq}$  and  $X_{eq}$ , which are frequency dependent, can be positive  
 780 or negative. The boundary of frequency that  $R_{eq}$  and  $X_{eq}$  are positive or negative, as shown in Figure  
 781 22, is dependent on  $\lambda$  and can be respectively derived and denoted as follows

$$f_R = \frac{f_s}{4(\lambda + 0.5)}. \tag{46}$$

$$f_X = \frac{f_s}{2(\lambda + 0.5)}. \tag{47}$$

782 Obviously, for the  $\lambda = 1$ (synchronous sampling scheme),  $f_R = f_s/6$ ,  $f_X = f_s/3$ , which discussed  
 783 previously in Section 3. In Figure 22 and Equation (46), it can be observed that compared with the  
 784 synchronous sampling scheme, reduction of computation delay by shifting the capacitor current  
 785 sampling instant ( $0 < \lambda < 1$ ) causes increase in the frequency range in which the  $R_{eq}$  is positive  
 786 ( $f_s/6 < f_R < f_s/2$ ). In addition, with a smaller  $\lambda$ , the virtual impedance behaves more like the virtual  
 787 resistor. According to the analysis presented in Section 3, it can be well found that to get rid of the  
 788 open-loop unstable poles;  $f_R$  should be higher than  $f_{res}$  [40]. Therefore, for the high LCL-filter  
 789 resonant frequencies, a smaller  $\lambda$  would be preferable. Clearly, the ideal case can be achieve when  $\lambda$   
 790 = 0. However in practice,  $\lambda$  is not necessarily so small since it is chosen based on a specific  $f_{res}$  that  
 791 usually constrained by the harmonic attenuation requirements [40]. Thus, for  $f_{res} < f_s/4$ , a positive  $R_{eq}$   
 792 in the range  $(0, f_s/4)$  is desirable, which can be obtained by choosing  $\lambda = 0.5$  (see Figure 22(b)). This  
 793 case is considered in the following subsection to show how the damping performance is improved  
 794 by reducing the computation delay.



795  
 796 **Figure 23.** Stability analysis of the CCF AD with reduced computation delay. (a) Bode plots of the  
 797 open-loop gain. (b) Root loci of the inner proportional CCF only. ( $f_{res} < f_s/6$ ;  $C_f = 36\mu F$ ;  $K_p = 0.0261$ ;  
 798  $K_i = 3.0769$ )

799 4.2.1. Performance of resonance damping with reduced computation delay

800 To evaluate performance of resonance damping with reduced computation delay, the  
 801 open-loop gain expression of Figure 21 (a) is required, which is given as (48) in z-domain.

$$G_{open\_loop}(z) = \frac{i_2(z)}{i_e(z)} = \frac{z^{-1} \cdot V_{DC} \cdot G_C(z) \cdot G_I(z) \cdot G_{ic}(z)}{1 + V_{DC} \cdot K_D \cdot Z_{ZOH}[G_{ic}(s)e^{-\lambda T_s}]} \tag{48}$$

802 where

$$Z_{ZOH}[G_{ic}(s)e^{-\lambda T_s}] = \frac{z-1}{\omega_{res}L_1} \cdot \frac{z \sin((1-\lambda)\omega_{res}T_s) + \sin(\lambda\omega_{res}T_s)}{z(z^2 - 2z \cos(\omega_{res}T_s) + 1)} \quad (49)$$

803 In addition, the open-loop gain (inner proportional CCF loop only) for this AD control scheme  
804 can be obtained as

$$1 + K_D V_{DC} Z_{ZOH}[G_{ic}(s)e^{-\lambda T_s}] = 0 \quad (50)$$

805 Figure 23 illustrates stability analysis of the CCF AD with reduced computation delay based on  
806 the Bode diagrams of the open-loop gain  $G_{open\_loop}(z)$  and root loci of the inner proportional CCF only  
807 for frequency range of ( $f_{res} < f_s/4$ ). As shown in Figures 23(a) and is clear from (45), in the range ( $0, f_s$   
808  $/2$ ), with increase of the  $K_D$ , a higher actual resonance frequency  $f'_{res}$  is generated. Since the  
809 frequency boundary of  $X_{eq}$  is  $f_s/2$  [see Figure 22(b)],  $f'_{res}$  will only approach to  $f_s/2$  but never step  
810 over it. Also, it can be seen from Figure 23(b) that how the resonant poles track inside unit circle for  
811  $f_{res} < f_s/4$  to make a damping contribution unless too large damping gain  $K_D$  is applied [see Figure  
812 23(b)]. Obviously, there is a maximum useful damping gain, beyond which the stability of overall  
813 system will be compromised. This value can be obtained so that the magnitude of the transfer  
814 function used in root locus analysis is equal to unity for a specific pole  $z_0 = j1$  on the root locus, i.e.,

$$\left| K_D V_{DC} Z_{ZOH}[G_{ic}(z)e^{-\lambda T_s}] \right|_{z=z_0} = 1. \quad (51)$$

815 By solving (51),  $K_{D,m}$  can be found as (52)[40]:

$$K_{D,m} = \frac{\omega_{res}L_1 \cos(\omega_{res}T_s)}{V_{DC} \sin(0.5\omega_{res}T_s)}. \quad (52)$$

816 If  $f_{res} < f_s/4$  and  $0 < K_D < K_{D,m}$ , i.e.,  $f'_{res} < f_s/4$ , then  $R_{eq}$  is positive at  $f'_{res}$  [see Figure 22(b)], and no  
817 open-loop unstable pole exists, as seen in Figure 23(b). Hence, the phase plot crosses over  $-180^\circ$  only  
818 at  $f_{res}$  in the direction of phase decrease as shown in Figure 23(a). In addition, if  $f_{res} < f_s/4$  and  
819  $K_D = K_{D,m}$ , i.e.,  $f'_{res} = f_s/4$ , then  $R_{eq}$  is infinite at  $f'_{res}$  [see Figure 22(b)], and no open-loop unstable pole  
820 exists, as seen in Figure 23(b). In this case, it has no contribution to the resonance damping  
821 performance, and the phase plot also crosses over  $-180^\circ$  only at  $f_{res}$  in the direction of phase decrease  
822 [see Figure 23(a)]. Based on the Nyquist stability criterion [49], to ensure the system stability, the  
823 value of  $2(N^+ - N^-)$  will be equal to the number of the open-loop unstable poles, as long as the gain  
824 margin at  $-180^\circ$  crossover frequency ( $f_{res}$ ) is greater than 0 dB. Obviously, these findings are exactly  
825 the same as the case of  $f'_{res} < f_s/6$  in conventional proportional CCF AD scheme with the  
826 synchronous sampling condition ( $\lambda = 1$ ). For more details regarding the stability conditions, please,  
827 refer to the explanations provided for Figure 10 in Section 3.2. It can be found that a robust  
828 damping performance will be achieved using this control method for  $f'_{res} = f_s/4$  with  $\lambda = 0.5$ .

829 In general, using this method, the open-loop unstable poles are removed and with achieving a  
830 stable operation even for the resonance frequency of  $f_s/6$ , high damping robustness against the  
831 variation of grid impedance is acquired. However, since the capacitor current includes abundant  
832 switching ripples, aliasing might happen if the sampling instant is not properly determined.  
833 Therefore, before applying this damping control structure in practice, a detailed investigation on  
834 the sampling-induced aliasing should be provided. It is demonstrated in [40] that aliased harmonics  
835 in the capacitor current sampling are mainly low-order harmonics. However, given that the  
836 LCL-filter resonance appears in the high-frequency range, these low-frequency harmonics will not

837 affect the resonance damping performance, and only might affect the tracking performance of grid  
838 current reference. Fortunately these undesirable effects can be suppressed through the current  
839 controller with high low-frequency gains. Also, [40] has suggested that for  $\lambda \leq 0.1$  and  $\lambda = 0.5$ , the  
840 minimum harmonic contents exist in the capacitor current sampling. Note that in practice, the  
841 selected value of  $\lambda$  is related to the A/D converter and the Digital Signal Processor (DSP) that  
842 employed. Another important implementation issue in the capacitor current sampling is the  
843 switching noise. To overcome this shortcoming, a low-pass filter with a proper cutoff frequency can  
844 be installed between the current sensor and the A/D converter. It is noteworthy that for the delay  
845 reduction, the computation delay can also be compensated with a lead compensator [13]. However,  
846 it causes the amplification of high-frequency noise [40].

## 847 5. Conclusions

848 This paper has presented a comprehensive investigation and complete theoretical analysis of  
849 the digitally controlled LCL-filtered grid-connected inverter with CCF AD approaches, including  
850 sample and PWM transport delay. At first, using a detailed discrete-time stability analysis for  
851 single-loop grid-side current control scheme under various resonance frequencies without any  
852 damping method and with considering the PWM transport delay effect, three controller operation  
853 regions have been identified. It includes a low resonance frequency region ( $f_{res} < f_s / 6$ ) where active  
854 damping is obligatory in order to damp the LCL resonance and retain closed-loop system stability, a  
855 high resonance frequency region ( $f_{res} > f_s / 6$ ) where active damping is not needed and the grid-side  
856 current feedback only is adequate to design a stable system with proper selection of the current  
857 controller gains, and a critical resonance frequency ( $f_{res} = f_s / 6$ ) where the system will be unstable  
858 regardless of the controller that is employed. For high resonance frequency region, then, a controller  
859 gains selection process is presented to provide the effective damping effect and greatest control  
860 system bandwidth. However, by connecting an LCL-filter-based inverter system into a weak grid  
861 with inductive grid impedance, potential instability may be triggered if the grid impedance variation  
862 reduces the resonance frequency to an unstable region ( $f_{res} \leq f_s / 6$ ). Thus, in the general case, to  
863 address this challenge, CCF AD scheme due to its effective damping performance and simple  
864 implementation, can be useful and effective.

865 Thus, in this paper, the physical meaning of this damping method and also role that the PWM  
866 transport delays play in the effectiveness of that, are well also clarified. It is shown that with regard  
867 to the delay effects, the damping performance of proportional CCF is modeled as a frequency  
868 dependent virtual impedance which consists of a resistor paralleled with a reactor. If the system  
869 actual resonance frequency is higher than  $f_s / 6$ , where the resistive component of virtual impedance  
870 is negative, open-loop unstable poles are introduced to the present current control loop that lead to a  
871 non-minimum phase treatment for the system closed-loop system and make easier to be unstable  
872 due to the variation of grid impedance. Using different stability analysis, it is shown that to ensure  
873 the system stability, the resonance frequency dependent stringent gain margin requirements along  
874 with the specific damping term ( $K_D$ ) need to be satisfied.

875 In summary, with the proportional CCF AD scheme, the valid damping region that exhibits  
876 high robustness against the variation of grid impedance is limited only to  $(0, f_s / 6)$ . To extend the  
877 valid damping region and ensure robustness against grid impedance variation, two improved CCF  
878 AD methods based on first-order high-pass filter and reduced computation delay mechanism are  
879 also introduced in this paper. Theoretical stability analysis and control parameters tuning of the  
880 improved CCF AD methods are fully explored.

881

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890 contributed in drafting the paper and analyzed the different presented analytical and simulation  
891 results. In addition, their comments on the paper draft have had a big impact on improving its  
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893

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895

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