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Factors influencing vegetation cover change in Mediterranean Central Chile (1975-2008)

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Abstract

Question: Which are the factors that influence forest and shrubland loss and regeneration and their underlying drivers?

Location: Central Chile, a world biodiversity hotspot.

Methods: Using land cover data from the years 1975, 1985, 1999 and 2008, we fitted classification trees and multiple logistic regression models to account for the relationship between different trajectories of vegetation change and a range of biophysical and socio-economic factors.

Results: The variables that most consistently showed significant effects on vegetation change across all time intervals were slope and distance to primary roads. We found that

25 forest and shrubland loss on one side and regeneration on the other side often displayed
26 opposite patterns in relation to the different explanatory variables. Deforestation was
27 positively related to distance to primary roads and to distance within forest edges and was
28 favored by a low insolation and a low slope. In turn, forest regeneration was negatively
29 related to the distance to primary roads and positively to the distance to the nearest forest
30 patch, insolation and slope. Shrubland loss was positively influenced by slope and distance
31 to cities and primary roads and negatively influenced by distance to rivers. In reverse,
32 shrubland regeneration was negatively related to slope, distance to cities and distance to
33 primary roads and positively related to distance from existing forest patches and distance to
34 rivers.

35 **Conclusion:** This article reveals how biophysical and socioeconomic factors influence
36 vegetation cover change and the underlying social, political and economical drivers. This
37 assessment provides a basis for management decisions, considering the crucial role of
38 perennial vegetation cover for sustaining biodiversity and ecosystem services.

39

40

41 **Keywords:** Deforestation, Driving forces, Forest regeneration, Land cover change, Shrubland
42 regeneration.

43 **Introduction**

44 Landscapes are influenced by both ecological factors and the presence of humans and can
45 therefore be considered as the joint effect of natural events and human intervention on the
46 environment (Naveh & Lieberman 1994). In inhabited areas, it is the human element that is
47 increasingly playing the most significant role in the creation, transformation and evolution
48 of landscapes, mostly through land use and land cover change that ultimately affect the
49 natural vegetation (Burel & Baudry 2003; Serra et al. 2008). As vegetation contributes to
50 carbon storage, water cycle regulation and other ecosystem functions, these changes can
51 have profound impacts on human well-being (Millennium Ecosystem Assessment 2005). It
52 is therefore important to identify how these changes occur (patterns) and to understand the
53 underlying driving forces that influence them (processes). Most studies have focused on the
54 documentation and analysis of spatial patterns of vegetation change, particularly
55 deforestation (e.g. Cayuela et al. 2006; Echeverría et al. 2006), while little attention has
56 been paid to the underlying processes generating such change (Bürgi et al. 2004).
57 Understanding the processes that act as driving forces of vegetation dynamics is useful as
58 well to predict trajectories of change and mitigate future impacts that may otherwise have a
59 negative effect on the provision of ecosystem services. This is a challenging issue as
60 changes in vegetation cover can be influenced by a complex set of factors, ranging from
61 global external drivers (e.g. demand from international markets and environmental policies)
62 to local conditions and pressures (e.g. population increase and infrastructure development,
63 Geist & Lambin 2002).

64

65 In Latin America, many countries face growing conflicts between resource development
66 and environmental degradation (Grau & Aide 2008). Vegetation and land cover change are
67 therefore critical issues for landscape conservation, management and planning. Despite of
68 the increasing number of studies investigating land cover change over the last two decades,
69 most of the studies in Latin America have focused mainly on: (1) patterns (e.g. Sandoval &
70 Real 2005; Echeverría et al. 2008) rather than on processes (but see Baldi & Paruelo 2008);
71 (2) tropical (Geist & Lambin 2002; Armenteras et al. 2006; Chowdhury 2006,) rather than
72 on temperate regions (but see Sandoval & Real 2005; Grau et al. 2008); (3) deforestation
73 (Armenteras et al. 2006; Cayuela et al. 2006; Echeverría et al. 2006, 2008; Zak et al. 2008;
74 Gasparri & Grau 2009) rather than on afforestation (but see, Munroe et al. 2002; Etter et al.
75 2006; Calvo-Alvarado et al. 2009; Clement et al. 2009; Redo et al. 2009) and; (4) forests
76 (e.g. Armenteras et al. 2006; Echeverría et al. 2008) rather than on vegetation as a whole,
77 including other vegetation types such as shrubland or pastureland. There are therefore
78 important gaps that need to be addressed in the Latin American context. This study aims to
79 fill one of such gaps in Mediterranean Central Chile. Previous studies have attempted to
80 describe patterns of landscape change in the region rather qualitatively (e.g., Aronson et al.
81 1998; Armesto et al. 2007) and, more recently, also quantitatively (Schulz et al. 2010).
82 However, as far as we know, none has yet investigated the underlying factors influencing
83 loss and gain of forest and shrubland cover in this dryland forest landscape.

84

85 Central Chile is acknowledged as one of the 25 world's biodiversity hotspots (Myers et al.
86 2000). At the same time, this area concentrates about one third of the Chilean human
87 population and it is important for agricultural production. Historical records indicate that

88 this region has experienced profound landscape transformations resulting from logging,
89 agriculture expansion and livestock overgrazing since the mid-sixteenth century (Elizalde
90 1970; Vogiatzakis et al. 2006). Such transformations have been particularly intense in the
91 last three decades, resulting in a continuous reduction of forest and shrubland cover. This
92 reduction has taken place as a progressive degradation of forest to shrubland and a highly
93 dynamic conversion between shrubland and human-induced types of land cover, such as
94 cropland and pastures (Schulz et al. 2010).

95

96 The main objective of this study is to investigate the influence and relative importance of
97 different biophysical and socio-economical factors on loss and gain of forest and shrubland
98 in Central Chile in three study intervals spanning 33 years. To achieve this, we relied on
99 land cover maps derived from remote sensing imagery and the analysis of the main
100 trajectories of vegetation cover change (Schulz et al. 2010) using multivariate statistical
101 tools. A major motivation for studying the factors that influence vegetation change is to
102 help incorporate such factors within local and regional policies and planning approaches.

103

104 **Methods**

105 **Study area**

106 The study area is located in the Mediterranean bioclimatic zone of Central Chile (Amigo &
107 Ramírez 1998) between 33°51'00"–34°07'55" S and 71°22'00"–71°00'48" W. It extends
108 over 13,175 km² and is home to around 5.2 million inhabitants (INE 2003). The area
109 exhibits a high climatic variability due to the varied topography from sea level to 2260 m

110 a.s.l., which results in a spatially heterogeneous mosaic of vegetation. Major vegetation
111 formations found in the area are evergreen sclerophyllus forest, commonly associated with
112 the woody taxa *Cryptocarya alba*, *Quillaja saponaria*, *Lithrea caustica*, *Peumus boldus*,
113 and the mostly deciduous and xerophytic *Acacia caven* shrubland, commonly associated
114 with the woody taxa *Prosopis chilensis*, *Cestrum parqui*, and *Trevoa trinervis* (Rundel
115 1981; Arroyo et al. 1995; Armesto et al. 2007). In the last decades, *Acacia caven* shrubland
116 has been predominant and covers most of the lower hill slopes, whereas evergreen
117 sclerophyllous forest remains on steeper slopes with southern aspect and in drainage
118 corridors. Major agricultural land use activities are vineyard and fruit cultivation as well as
119 corn and wheat cropping, which are mostly concentrated in flat valleys. Important uses of
120 vegetation resources by local communities are extraction of fuel wood from native tree and
121 shrub species, and extensive livestock husbandry on pastures, in shrublands and forests. In
122 the flat coastal zone, conversions to commercial timber plantations of exotic species like
123 *Pinus radiata* and *Eucalyptus globulus* have occurred since the 1970s (Aronson et al.
124 1998), but they do not represent a major land cover change in terms of extent (Schulz et al.
125 2010).

126

127 **Measures of land cover change**

128 We used pre-existing land cover maps derived from Landsat images taken in 1975 (MSS),
129 1985 (TM), 1999 (ETM+), and 2008 (TM), which were classified by means of a supervised
130 procedure and post-classification improvements through the use of ancillary data (Schulz et
131 al. 2010). The following eight land cover classes were present: (1) forest, (2) shrubland, (3)
132 pasture, (4) bareland, (5) agricultural land, (6) timber plantations, (7) urban areas, and (8)

133 water. Classification accuracy was 65.8%, 77.3%, 78.9%, and 89.8% for the 1975 MSS,
134 1985 TM, 1999 ETM+, and 2008 TM images, respectively (Schulz et al. 2010). A full
135 description of the classification procedure and accuracy assessment is provided in Schulz et
136 al. (2010).

137

138 Over the whole study area, a grid of sampling points separated at a regular distance of 1000
139 m was generated in order to get a representative set of samples. This grid was overlapped
140 with all four land cover maps, and samples of all trajectories of land cover change were
141 extracted for the three change intervals (1975-85, 1985-1999, 1999-2008) and for the entire
142 study interval (1975-2008). To investigate in detail vegetation loss and gain, sampling
143 points were extracted with the same grid and reclassified into four independent datasets
144 with binary response variables for the following change trajectories: (1) forest to no forest
145 (FNF, i.e. deforestation), (2) shrub to no natural vegetation (SNV, i.e., shrubland loss), (3)
146 no natural vegetation to shrubland (NVS, i.e. shrubland regeneration), and (4) shrubland to
147 forest (STF, i.e. forest regeneration). For our aims here, the class “no natural vegetation”
148 included agricultural land, pasture, bareland and urban areas. The number of sample points
149 that were analysed for changes from any of the eight land cover classes to any other class
150 and the sample points that changed or did not (i.e. change vs. no-change) for the four
151 specific trajectories of vegetation change in all study intervals are shown in Appendix S1.
152 Each of the vegetation change trajectories is based on an independent dataset and contains
153 no overlapping points in space; thus, it was not necessary to perform multiple test
154 corrections of results (see below). An overview of the analysis procedure is shown in
155 Figure 1.

156

157 **Explanatory variables**

158 Two sets of explanatory variables were used in the analyses of vegetation change, namely
159 biophysical and socio-economic variables. The following six biophysical variables were
160 selected for all change trajectories: (1) elevation (m); (2) slope (degrees); (3) potential
161 insolation (Wh/m^2), which was elaborated by means of an ArcGIS (version 9.2, ESRI Inc.
162 Redlands, US) algorithm that incorporates topography based on a digital elevation model
163 (1:50,000 scale) and solar angle based on the geographical position. Insolation serves as a
164 proxy for the effects of aspect on incoming radiation, which has an important influence on
165 vegetation in Central Chile (Armesto & Martinez 1978; Badano et al. 2005); (4) distance to
166 rivers (m), calculated as the distance to the nearest river or stream. For the FNF change
167 trajectory, we additionally used the variable (5) distance within nearest forest edge (m),
168 which represents the distance from the nearest forest edge from sampling points situated
169 inside a forest patch. For the NVS and STF change trajectories, the variable (6) distance to
170 nearest forest patch (m) was included, which represents the distance between a non-forest
171 sampling point and the nearest forest patch.

172

173 To account for the effects of human influence on vegetation change, we used the following
174 five socio-economic variables: (1) distance to cities > 20,000 inhabitants (m); (2) distance
175 to villages and towns < 20,000 inhabitants (m); (3) distance to primary, paved roads (m);
176 (4) distance to secondary roads (m); and (5) distance to agricultural land (m). All distances
177 were Euclidean distances. Geographic information was handled in ArcGis (version 9.2,

178 ESRI Inc. Redlands, US) and its extension Spatial Analyst. A more detailed description of
179 the explanatory variables is provided in Table 1.

180

181 **Statistical analyses**

182 To analyse the explanatory variables of vegetation cover change, we employed two
183 different modelling techniques in all study intervals, namely classification trees and
184 multiple logistic regression. To avoid multicollinearity effects, we first performed
185 Pearson's correlation tests and discarded highly correlated variables ($r > 0.7$) for further
186 analyses. For all change trajectories and intervals, there was a high positive correlation
187 between elevation and distance to agricultural land. We used distance to agricultural land
188 instead of elevation as, in contrast to elevation, distance to agricultural land changed
189 throughout the three study intervals, thus providing a more descriptive picture of human
190 land use. Three initial variables representing potential insolation, namely equinox (e),
191 summer (s) and winter (w) solstices, were also highly correlated (e-w: $r > 0.9$; e-s: $r > 0.6$;
192 s-w: $r > 0.4$). Furthermore, summer solstice was highly correlated with slope ($r > 0.7$) in
193 half of the models. To avoid multicollinearity we selected equinox, as it represents medium
194 rather than extreme values of insolation throughout the year. Nevertheless, random tests
195 using winter and summer solstice instead of equinox were performed for the four change
196 trajectories and showed that equinox was a good representative variable of the amount of
197 insolation at a sampling point.

198

199 *Classification trees*

200 Classification trees allowed the investigation of factors that influence all possible
201 trajectories of change in the landscape when they were considered simultaneously. This
202 provides information on relevant trajectories of change over the entire landscape in each
203 time interval, gives insights on the associated factors, and reveals tendencies of the spatial
204 distribution of changes in relation to the explanatory variables. Classification trees were
205 used to predict membership of samples in the classes of a categorical dependent variable,
206 i.e. any possible trajectory of change, from their measured values on one or more predictor
207 variables, i.e. the biophysical and socio-economical explanatory variables. Classification
208 trees are built on binary recursive partitioning, an iterative process of splitting the data into
209 partitions and then splitting them up further on each branch. Branches were not pruned and
210 therefore show the full spectrum of significant correlations. These analyses were performed
211 using the R “tree” package (Ripley 2007).

212

213 *Multiple logistic regression*

214 Multiple logistic regression was used to explore the effects of the biophysical and socio-
215 economical variables on specific trajectories of change in forest and shrubland cover, i.e.
216 FNF, SNV, NVS, and STF. It provides information on the probability and significance of
217 occurrence of change, i.e. the dependent variable is a binary response variable, within the
218 specific setting of explanatory variables. Four multiple logistic regression models
219 simultaneously entering all explanatory variables were developed for each trajectory of
220 change – no change in each time interval (1975-1985, 1985-1999, 1999-2008, and 1975-

221 2008).

222

223 To determinate the set of explanatory variables constituting the best model fit for each
224 interval and change trajectory, we used the full set of explanatory variables and performed
225 a backward stepwise model selection based on the Akaike Information Criterion (AIC)
226 (Akaike 1973; Reineking & Schröder 2006). AIC is actually equivalent to twice the log-
227 likelihood of the model fitted plus two times the number of parameters estimated in its
228 formation. Given that the model with the smallest log-likelihood is considered to be that
229 with the best fit, the addition of two times the number of parameters means that AIC
230 effectively includes a penalty for adding predictor variables to the model. Thus, AIC aids to
231 identify the most parsimonious model amongst a set of models that sequentially remove
232 explanatory variables from a full model (Burnham & Anderson 2002). To evaluate
233 performance, we calculated the area under the Receiver-Operating-Characteristic/ROC-
234 curve (AUC) (Swets 1988), after an internal validation using bootstrapping with 10,000
235 bootstrap samples (Hein et al. 2007). According to Hosmer & Lemeshow (2000) and Hein
236 et al. (2007), AUC-values above 0.7 describe an acceptable model performance, values
237 between 0.8 and 0.9 denote excellent performance, and values above 0.9 mean an
238 outstanding performance.

239

240 *Spatial autocorrelation*

241 To account for possible effects of spatial autocorrelation, the residuals of the final logistic
242 regression models were analysed using Moran's I correlograms (Dormann et al. 2007). We
243 did not find any significant spatial autocorrelation (Appendix S2) and, consequently, we did

244 not apply further model corrections. All statistical analyses were performed with the R
245 statistical software (R Development Core Team 2009).

246

247 **Results**

248 **Trajectories of change and influencing factors**

249 Classification trees for the four study intervals are shown in Figure 2. For the entire study
250 interval 1975-2008 (Figure 2a), the first split was produced by distance to agricultural land.

251 At close distances to agricultural land (i.e., < 15 m), change from agricultural land to
252 shrubland was the main trajectory of vegetation change. Further than this distance, slope
253 determined a second split. In flat areas (i.e., slope < 5 degrees), proximity to cities (third
254 split) resulted in a change from shrubland to urban areas. At larger distances from cities,
255 distance to agricultural land (fourth split) determined the conversion from shrubland to
256 agricultural land at close distances (< 114 m), whereas further away the main change was
257 conversion from shrubland to pasture. On steeper slopes (i.e., > 5 degrees), distance to
258 agricultural land (fifth split) determined either the conversion from shrubland to pasture
259 nearby agricultural land (i.e., < 737 m) or, on the contrary, a degradation from forest to
260 shrubland further than this distance.

261

262 A similar pattern was consistently found in the intervals 1975-1985 (Figure 2b), 1985-
263 1999, (Figure 2c), and 1999-2008 (Figure 2d). The major noticeable difference was found
264 for interval 1999-2008, when slope did not appear to be a significant variable, distance to
265 agricultural land gained importance as an explanatory variable of change in vegetation

266 cover, and the transformation of pasture to shrubland emerged as a relevant trajectory of
267 change mostly occurring near agricultural land located far away from cities.

268

269 **Factors influencing change in forest and shrubland cover**

270 The 16 multiple logistic regression models for the four change trajectories and four time
271 intervals resulted in 12 models with AUC-value > 0.7 and four models with AUC-values $<$
272 0.7 but > 0.66 . The relationships between the tested explanatory variables and deforestation
273 (FNF), forest regeneration (STF), shrubland loss (SNV), and shrubland regeneration (NVS)
274 during the four study intervals are summarized in Table 2. The variables that most
275 consistently showed significant effects on vegetation change across the four time interval
276 models were slope and distance to primary roads. Forest and shrubland loss on one side and
277 regeneration on the other side often displayed opposite patterns in relation to different
278 explanatory variables. This is particularly the case for distance to primary roads;
279 deforestation and shrubland loss tended to occur further away from primary roads, whereas
280 forest and shrubland regeneration primarily occurred close to primary roads in almost all
281 four time intervals. A similar reverse pattern can be observed for forest loss and
282 regeneration in relation to insolation and slope, as well as for shrubland loss and
283 regeneration in relation to distance to rivers and slope.

284

285 *Deforestation (FNF)*

286 The logistic regression models indicated a consistent positive effect of distance to the
287 nearest edge and to primary roads and a negative effect of slope and insolation on the

288 probability of an area experiencing forest loss for the four study intervals (Table 2,
289 Appendix S3a). Additionally, distance to agriculture was positively related to deforestation
290 for all intervals, except for the 1975-1985 interval. Distance to rivers was negatively related
291 to deforestation for the 1999-2008 interval, whereas distance to secondary roads was
292 positively related to deforestation for the overall 1975-2008 interval (Table 2, Appendix
293 S3a).

294

295 *Shrubland loss (SNV)*

296 Slope, distance to cities and distance to primary roads were positively related to shrubland
297 loss, whereas distance to rivers was negatively related in all four time intervals (Table 2,
298 Appendix S3b). Distance to secondary roads was positively related to shrubland loss in all
299 intervals, except for the 1975-1985 interval. Distance to villages also had a positive effect
300 on shrubland loss during the 1985-1999 and 1999-2008 intervals. Insolation and distance to
301 agricultural land were statistically significant but did not show a clear pattern in three of the
302 four time intervals.

303

304 *Forest regeneration from shrubland (STF)*

305 Conversion of shrubland to forest was positively related to distance to the nearest forest
306 patch and insolation in all four intervals and to slope in all intervals but in 1975-1985. It
307 was consistently and negatively related to primary roads in all intervals and to distance to
308 villages in all intervals but in 1985-1999 (Table 2, Appendix S3c). Over the entire 1975-
309 2008 interval, distance to cities was also negatively related to the probability of forest

310 regeneration, but did not have a consistent effect in other intervals. Distance to agricultural
311 land had a negative effect in the 1985-1999 and 1999-2008 intervals.

312

313 *Shrubland regeneration (NVS)*

314 Shrubland regeneration from areas with no natural vegetation was positively related to
315 distance from existing forest patches and to distance from rivers in all time intervals. In
316 most time intervals, it was negatively related to slope, distance to cities and distance to
317 primary roads (Table 2, Appendix S3d). Distance to secondary roads was negatively related
318 to shrubland regeneration in the 1985-1999 and the overall 1975-2008 interval. Other
319 variables significantly related to shrubland regeneration but with no clear pattern across
320 time intervals were insolation, distances to villages and agricultural land (Table 2).

321

322 **Discussion**

323 Statistical assessments of factors influencing vegetation cover change are limited by a
324 number of uncertainties, including the accuracy of underlying land cover maps and the
325 partial lack of data on progressively changing factors, like distance to roads. These
326 uncertainties can affect the models' output. Nonetheless, model performance in this study,
327 as evaluated by the AUC, can be regarded as acceptable. Gellrich et al. (2007), for instance,
328 considered AUC values of 0.67 for model predictions as satisfactory in a study of forest re-
329 growth. Therefore, the investigation reported here contributes to understand some of the
330 factors that explain vegetation cover change in Mediterranean regions.

331

332 **Relative importance of factors influencing land cover change**

333 Land cover change in Central Chile between 1975 and 2008 was strongly influenced by
334 human land use. Apart of the spatial arrangement of agricultural fields and urban areas
335 across the landscape slope appears as the only biophysical variable to influence land cover
336 change. Areas very close (< 15 m) to existing agricultural fields appeared likely to be set
337 aside and subjected to shrubland regeneration, which can be explained by rotational
338 agricultural practices in the region. Next to these fallow fields (i.e. from 15 m to ca. 100 m),
339 the pattern of conversion of shrubland to agriculture on flat areas rather than on steep
340 slopes was detected (Fuentes et al. 1989; Zak et al. 2008). As expected, areas with gentle
341 slopes had a tendency to be converted from shrubland to more intensive land use types such
342 as agriculture and pasture (Schulz et al. 2010). In steeper areas, these changes seem to take
343 place progressively at closer distances from agricultural fields across the different studied
344 time intervals, which may indicate a remarkable expansion of the agricultural frontier
345 upwards the hills.

346

347 In contrast with previous time intervals, slope was not a relevant explanatory variable of
348 change in the 1999-2008 interval, hinting that this natural constraint set by the abiotic
349 landscape pattern was removed or reduced (Bürgi & Turner 2002). This seems plausible, as
350 the lack of water availability, a limitation for agriculture on the hillsides in Central Chile,
351 has been overcome due to government programmes subsidizing small-scale irrigation
352 systems since 1990 (Maletta 2000). As a result of agricultural expansion upwards the hills,
353 forest remnants, mainly located on high elevations and steep slopes, became successively
354 closer to human influence and therefore more prone to anthropogenic pressures. In the

355 1999-2008 interval, revegetation from pastures to shrubland was relevant further than 8 km
356 away from the cities, which could indicate a tendency of reduced land use pressure or land
357 abandonment in remote areas.

358

359 **Loss and regeneration of forest and shrubland**

360 Unexpectedly, the probability of deforestation was higher within forest stands than at the
361 edges in all study intervals. Consequently, we detected a higher probability of deforestation
362 at larger distances to primary roads and agricultural fields. This pattern might reveal a
363 hidden pressure through cattle grazing and illegal firewood collection and charcoal
364 production (Armesto et al. 2007; Balduzzi et al. 1982; Fuentes et al. 1986; Rundel et al.
365 1999). Such hidden pressures are not rare in Latin American countries like Chile (Callieri
366 1996), Mexico (Ochoa-Gaona & Gonzalez-Espinosa 2000), or Colombia (Aubad et al.
367 2008), where rural population often depends on firewood for household consumption and
368 illegal production of charcoal for income generation.

369

370 The probability of shrubland loss increased on steep slopes, further away from cities,
371 villages, primary roads, and agricultural land, and at closer distance to rivers. This can be
372 explained by land use history in the region. Shrubland occurrence has predominated during
373 the entire studied interval on areas with steep slopes such as foothills, whereas flat areas
374 had been historically occupied by agriculture, roads, and human settlements. This finding
375 also indicates that the pressure for land use has started to exceed available flat land, and
376 more extensive land use types such as cattle breeding have been pushed up the hills

377 (Armesto et al. 2010). On the other hand, agricultural expansion has been favoured by
378 water availability in the vicinity to rivers and led to increased shrubland loss and the
379 elimination of almost all natural vegetation at the riverbanks during the last three decades
380 (Schulz et al. 2010)

381

382 Forest regeneration from shrubland and shrubland regeneration, largely from agricultural
383 land and pasture, mostly occurred on areas further away from existing forest patches. While
384 forest regeneration was more likely to occur on steep slopes and on highly insolated areas,
385 shrubland regeneration was more likely on flatter slopes and closer to rivers. Although
386 agricultural land has been shown to be expanding upwards the hills, low productivity in
387 these soils leads to crop abandonment following a few years of agricultural activity. Also,
388 where forest and shrubland is not further used for free ranging cattle, succession may lead
389 to regeneration. Additionally, forest and shrubland regeneration in Central Chile tended to
390 occur nearby roads, villages, and agricultural fields. These patterns have also been detected
391 in northern Argentina (Grau et al. 2008), where secondary forests occur close to
392 agricultural and urban sectors. Urban-led demands for conservation and recreational land
393 uses (Lambin et al. 2001) and more off-farm opportunities in the vicinity of roads (Clement
394 et al. 2009) are plausible explanations of these patterns.

395

396 **Drivers underlying the factors that influence vegetation change**

397 We have identified four major social, political, and economical changes that could partly
398 explain the factors influencing vegetation cover change in our study, namely population

399 increase, a new neoliberal market policy, technological innovations and lack of effective
400 environmental policies.

401

402 Population density has increased in the study area by 53% between 1970 and 2002 (INE
403 1970, 2003). This has led to an increase in resource demand, as urbanization affects land
404 cover change elsewhere through the transformation of urban-rural linkages (Lambin et al.
405 2001). As a result, forces of vegetation change emerge in opposite directions, a general
406 pattern found in many parts of the world (Antrop 2005). On one hand, rural areas have
407 experienced intensifications and an increase in area under production. On the other hand,
408 some remote areas might have experienced land abandonment as a result of rural-urban
409 migrations (rural population declined in 2002 to 93% of the 1970 population in the study
410 area; INE 1970, 2003). These processes are responsible for the highly dynamic changes
411 observed in shrubland cover.

412

413 Agricultural production has changed due to a new neoliberal market policy in Chile. The
414 most important transformation in agriculture was the development of the fruit export sector
415 in the 1980s and 1990s (Altieri & Rojas 1999). Since 1975, exports for two of the main
416 agricultural products of the study region- wine and avocado- have increased at the national
417 level by a factor of 27 and 25, respectively, and export market prices have increased by
418 242% for wine (1975-2007) and by 128% for avocado (1990-2007) (FAO 2009). This has
419 led to an expansion of agriculture towards less favourable areas on steep slopes at the
420 mountainsides, which has been facilitated by technological advancements. For instance,
421 there has been an increase of micro-irrigation and the use of water pumps by 425% and

422 197%, respectively, between 1997 and 2007 (INE 1997; INE 2007). In the same interval, a
423 989% increase in the use of large tractors was reported for the study area (INE 1997, INE
424 2007).

425

426 Altieri & Rojas (1999) argued that in Chile, the government's involvement in
427 environmental matters was marginal until 1989, probably as a result of the authoritarian
428 regime between 1973 and 1989. It was only in 1990 when systematic formulation of
429 environmental policies began (Altieri & Rojas 1999). Although in 1992 negotiations for a
430 new forest law started, it took until 2007 to approve the new forest legislation, including
431 improvements for the preservation and sustainable use of the country's forests. Therefore,
432 during the studied interval, native forests remained largely unprotected from human
433 interventions, and environmental policies had no major influence on vegetation cover
434 changes.

435

436 **Implications for management**

437 The progressive degradation of the natural vegetation has generally negative impacts on
438 ecosystem functions and services such as water provision, which are of utmost importance
439 in Mediterranean regions like Central Chile. Severe soil erosion and degradation have been
440 reported to extend on agroecosystems from the rainfed coastal plains to the Central Valley
441 in Chile (Altieri & Rojas 1999), and have been classified as severe to moderate
442 desertification (CONAF 2006). An increase in bareland from 9 to 13% of the study area
443 (Schulz et al. 2010) could be a result of such processes. Strategies to reduce pressure on

444 natural vegetation cover and enhance passive restoration are therefore urgently needed.
445 These could include the control or certification of fuelwood, recently implemented in areas
446 further south in Chile, and the restriction of cattle to shrublands while banning grazing in
447 forests. Strategies to accelerate the recovery of natural vegetation could involve restoration
448 of small forest islands within less suitable agricultural lands, which could serve for the
449 natural spread of seeds through wind and fauna (Rey Benayas et al. 2008). This study
450 provides insights on the spatial configuration of processes of passive revegetation and
451 indicates areas more prone to land use pressures. Whatever strategies are being developed,
452 integrative land use planning is needed to optimize the spatial distribution of land use types
453 (Gao et al. 2010), taking into consideration the particular vulnerability of the landscape as
454 well as the influencing factors and underlying circumstances that enhance change or
455 stability.

456

457 To conclude, an integration of biophysical and human factors remains an important
458 research task in the explanation of land use and land cover change (Sluiter & de Jong
459 2007). The analysis of the effects of factors influencing vegetation change trajectories
460 unravelled which factors have been constant in the most recent history of Mediterranean
461 Central Chile. Subtle phenomena such as the tendency of internal forest fragmentation and
462 degradation remain. Although topography constrains the expansion of agriculture on the
463 last remnants of natural vegetation, it is increasingly being overcome due to technical
464 innovations. Forest and shrubland recovery is taking place at closer proximity to human
465 settlements and roads, which might indicate a trend towards a new appreciation of forest in
466 terms of recreation and landscape aesthetics. Nevertheless, as loss of vegetation cover has

467 not been halted yet in the region, our assessment can help to develop environmental
468 policies that limit human land use to the most suitable areas, while enhancing the
469 restoration of natural vegetation for the long term maintenance of forest ecosystem
470 services.

471

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479

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660 **Table 1.** Description of the biophysical and socio-economic explanatory variables used to
 661 assess factors that influence vegetation cover change in Central Chile for the interval 1975-
 662 2008.

Variables	Description	Source
Biophysical		
Elevation	Elevation in m.a.s.l.	DEM ¹ 1:50,000
Slope	Slope in degrees	DEM ¹ 1:50,000
Insolation	Insolation on equinox, summer and winter solstice	DEM ¹ 1:50,000
Dist_river	Distance from rivers Euclidian distance from first and second order rivers and streams	Hidrology, IGM ² 1:50,000
Dist_edge	Distance within forest edge Euclidean distance from sampling points inside forest patches to the nearest forest edge	Land cover maps (Schulz et al. 2010)
Dist_forest_patch	Distance to nearest forest patch Euclidean distance from sampling points outside forest patches to nearest forest patch	Land cover maps (Schulz et al. 2010)
Socio-economic		
Dist_city>20T	Distance to cities Euclidean distance from cities > 20,000 inhabitants in 1982 and 2002 elaborated on the basis of shape files and city census data	MIDEPLAN ³ , INE ⁴
Dist_village<20T	Distance to villages Euclidean distance from villages and towns < 20,000 inhabitants in 1982 and 2002	MIDEPLAN ³ , INE ⁴
Dist_road_P	Distance to primary roads Euclidean distance to highways and paved roads with two or more lanes	Roads, IGM ² 1:50,000
Dist_road_S	Distance to secondary roads Euclidean distance to unpaved roads with on one or two lanes, trails and tracks	Roads, IGM ² 1:50,000
Dist_agri	Distance to agricultural land Euclidean distance to agricultural fields 1975, 1985, 1999	Land cover maps (Schulz et al. 2010)
¹ Digital Elevation Model, Instituto Geográfico Militar de Chile, ² Instituto Geográfico Militar de Chile (IGM 1990) ³ Ministerio de Planificación y Cooperación, ⁴ Instituto Nacional de Estadística de Chile (INE 1982, 2003)		

663

664 **Table 2.** Summary of results of the multiple logistic regression models showing the
665 relationships between the tested explanatory variables and deforestation (FNF), shrubland
666 loss (SNV), forest regeneration from shrubland (STF), and shrubland regeneration (NVS)
667 for the intervals 1975-1985, 1985-1999, 1999-2008, and 1975-2008. Each sign (-, 0, or +)
668 indicates the direction of significant effects ($P < 0.05$), i.e. a significant positive effect (+),
669 a significant negative effect (-), or a non-significant effect (0) for each time interval (one
670 sign per interval, which are arranged in the order explained above). The symbol / indicates
671 that the variable was not included in the model (see Section 2.3). No sign means that the
672 variable did not appear in the final model. A description of explanatory variables is found
673 in Table 1.

674

Explanatory Variables	Trajectories of vegetation change 1975-1985, 1985-1999, 1999-2008, 1975-2008			
	Deforestation / shrubland loss		Forest / shrubland regeneration	
	FNF	SNV	STF	NVS
Slope	----	++++	0+++	---+
Insolation	----	-+-0	++++	0-0+
Dist_river	00-0	----		++++
Dist_edge	++++	/	/	/
Dist_forest_patch			++++	++++
Dist_city>20T		++++	0-+-	-0--
Dist_village<20T		0++0	-0--	0+-0
Dist_road_P	++++	++++	----	0---
Dist_road_S	000+	0+++	-0-0	0-0-
Dist_agri	0+++	0+--	0--0	0-+-

675 **Figures:**

676 **Figure 1.** Overview of the analysis procedure to investigate factors influencing vegetation
677 cover change in Central Chile.

678

679 **Figure 2.** Classification trees for (a) the entire study interval (1975-2008) and intervals (b)
680 1975-1985, (c) 1985-1999, and (d) 1999-2008. The root of each interval tree is at the top
681 and each sequential split along each branch is labelled with the respective splitting
682 criterion. Values that are true go left from the “splitting point”, whereas values that are
683 false go right. The height of the vertical segment above each split is related the decrease in
684 deviance associated with that split.

Fig. 1. Overview of the analysis procedure to investigate factors influencing vegetation cover change in Central Chile.

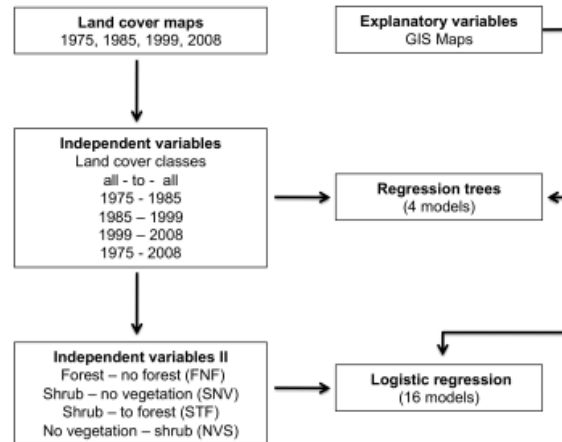
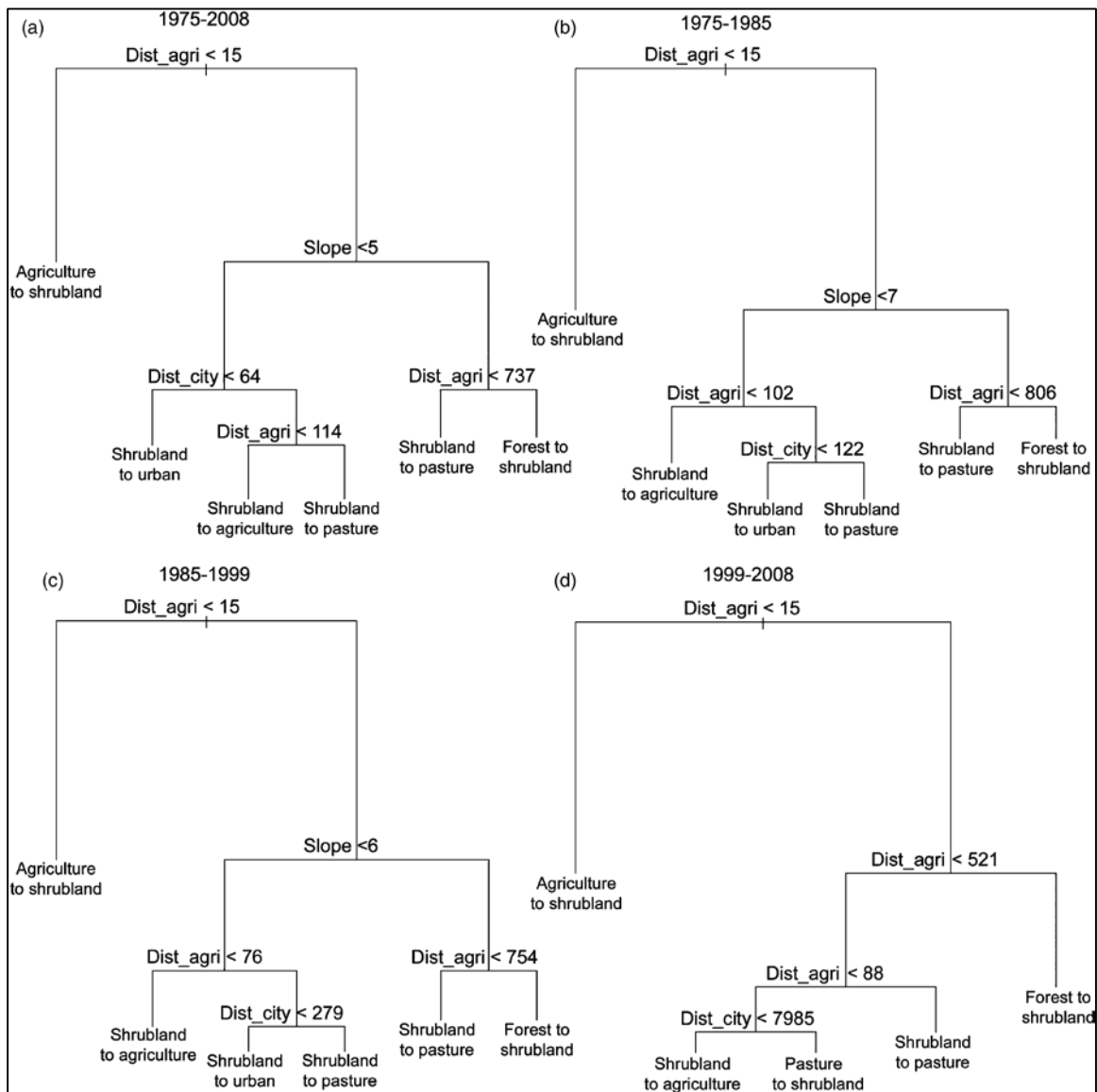


Fig. 2. Classification trees for (a) the entire study interval (1975–2008) and intervals (b) 1975–1985, (c) 1985–1999, and (d) 1999–2008. The root of each interval tree is at the top and each sequential split along each branch is labelled with the respective splitting criterion. Values that are true go left from the ‘splitting point’, whereas values that are false go right. The height of the vertical segment above each split is related the decrease in deviance associated with that split.



Appendix S3. Results of the multiple logistic regression models of (a) deforestation, (b) shrubland loss, (c) forest regeneration, and (d) shrubland regeneration for the intervals 1975-1985, 1985-1999, 1999-2008, and 1975-2008. A description of explanatory variables is found in Table 1.

(a) Deforestation (FNF)				
1975- 1985	Estimate	Std. Error	p-value	AUC
(Intercept)	1.96E+00	4.03E-01	1.10E-06	0.68
Dist_edge_75	1.04E-02	1.14E-03	<2.00E-16	
Insolation	-7.53E-04	9.51E-05	2.41E-15	
Dist_road_P	2.58E-05	8.89E-06	0.00373	
Slope	-2.27E-02	5.06E-03	<2.00E-16	
1985- 1999				
(Intercept)	-3.76E-01	5.11E-01	0.4619	0.67
Dist_edge_85	2.49E-02	3.05E-03	3.40E-16	
Dist_road_P	3.40E-05	1.05E-05	0.0012	
Insolation	-2.53E-04	1.18E-04	0.0314	
Dist_agri	8.32E-05	4.15E-05	0.0449	
Slope	-1.15E-02	6.74E-03	0.0868	
1999-2008				
(Intercept)	6.48E-01	5.70E-01	0.2558	0.75
Dist_edge_99	4.19E-02	4.53E-03	<2.00E-16	
Dist_agri	3.98E-04	4.97E-05	1.21E-15	
Insolation	-7.75E-04	1.32E-04	4.11E-09	
Dist_road_P	3.06E-05	1.20E-05	0.0109	
Slope	-2.18E-02	7.56E-03	0.0039	
Dist_river	-1.53E-04	8.78E-05	0.0808	
1975-2008				
(Intercept)	-6.73E-01	3.75E-01	0.072466	0.71
Dist_edge_75	1.08E-02	1.13E-03	<2.00E-16	
Dist_road_S	1.47E-04	6.63E-05	0.026728	
Dist_road_P	3.73E-05	9.97E-06	0.000184	
Dist_agri	1.92E-04	3.85E-05	5.90E-07	
Insolation	-3.27E-04	9.10E-05	0.000318	
Slope	-1.13E-02	5.17E-03	0.029582	

Appendix S3 (continuation).

(b) Shrubland loss (SNV)				
1975-1985	Estimate	Std. Error	p-value	AUC
(Intercept)	5.79E-01	3.67E-01	0.1143	0.66
Slope	4.80E-02	3.39E-03	<2.00E-16	
Dist_river	-1.43E-04	3.45E-05	3.25E-05	
Dist_city>20T	7.15E-06	1.98E-06	0.0003	
Dist_road_P	1.64E-05	6.92E-06	0.0177	
Insolation	-1.55E-04	9.11E-05	0.0889	
1985- 1999				
(Intercept)	-1.69E+00	4.11E-01	4.00E-05	0.76
Slope	7.74E-02	4.31E-03	<2.00E-16	
Dist_village	4.54E-05	2.23E-05	0.041508	
Dist_river	-1.41E-04	3.79E-05	0.000196	
Dist_agri	1.29E-04	4.15E-05	0.00179	
Dist_road_P	1.18E-05	7.32E-06	0.107393	
Insolation	3.16E-04	1.01E-04	0.001769	
Dist_road_S	1.08E-04	6.89E-05	0.117012	
Dist_city>20T	3.72E-06	2.02E-06	0.065808	
1999- 2008				
(Intercept)	-4.08E-03	3.41E-01	9.90E-01	0.71
Slope	4.19E-02	3.66E-03	<2.00E-16	
Dist_city>20T	1.13E-05	2.13E-06	1.12E-07	
Dist_road_P	3.83E-05	7.45E-06	2.81E-07	
Dist_road_S	2.18E-04	6.39E-05	6.42E-04	
Dist_river	-1.99E-04	4.11E-05	1.28E-06	
Dist_village	3.65E-05	2.21E-05	9.86E-02	
Dist_agri	1.18E-04	3.79E-05	1.92E-03	
Insolation	-1.85E-04	8.29E-05	2.59E-02	
1975-2008				
(Intercept)	-1.20E+00	7.21E-02	<2.00E-16	0.72
Slope	5.83E-02	3.56E-03	<2.00E-16	
Dist_city>20T	2.12E-05	2.08E-06	<2.00E-16	
Dist_agri	-8.30E-05	3.35E-05	0.0133	
Dist_river	-1.71E-04	3.94E-05	1.51E-05	
Dist_road_P	3.23E-05	7.21E-06	7.42E-06	
Dist_road_S	2.56E-04	5.72E-05	7.28E-06	

Appendix S3 (continuation).

(c) Forest regeneration from shrubland (STF)				
1975-1985	Estimate	Std. Error	p-value	AUC
(Intercept)	-7.35E-01	3.87E-01	0.0574	0.76
Dist_f_forest_75	5.62E-03	6.29E-04	<2.00E-16	
Dist_village	-8.05E-05	3.21E-05	0.0121	
Insolation	6.31E-04	9.91E-05	1.95E-10	
Dist_road_P	-1.88E-05	1.01E-05	0.0643	
Dist_road_S	-1.30E-04	7.51E-05	0.0824	
1985- 1999				
(Intercept)	-9.70E-01	3.98E-01	0.014811	0.75
Dist_f_forest_85	7.99E-03	7.50E-04	<2.00E-16	
Insolation	5.94E-04	9.18E-05	9.63E-11	
Slope	1.37E-02	5.32E-03	0.01027	
Dist_road_P	-2.49E-05	8.71E-06	0.004266	
Dist_city>20T	-1.34E-05	3.82E-06	0.000447	
Dist_agri	-6.98E-05	3.55E-05	0.049104	
1999- 2008				
(Intercept)	-1.09E+00	4.39E-01	0.013179	0.78
Dist_f_forest_99	9.22E-03	1.01E-03	<2.00E-16	
Dist_village	-1.02E-04	3.50E-05	0.003683	
Insolation	6.47E-04	9.88E-05	5.72E-11	
Slope	2.38E-02	6.12E-03	0.000102	
Dist_road_P	-2.47E-05	1.01E-05	0.014115	
Dist_agri	-2.38E-04	4.49E-05	1.21E-07	
Dist_road_S	-1.53E-04	6.93E-05	0.027531	
Dist_city>20T	9.06E-06	4.44E-06	0.04116	
1975-2008				
(Intercept)	5.26E-01	4.59E-01	0.2522	0.73
Dist_f_forest_75	6.03E-03	7.21E-04	<2.00E-16	
Dist_road_P	-1.77E-05	1.04E-05	0.08965	
Dist_village	-1.40E-04	3.24E-05	1.65E-05	
Insolation	2.90E-04	1.08E-04	0.00728	
Slope	1.34E-02	5.78E-03	0.0206	
Dist_city>20T	-7.18E-06	4.37E-06	0.10028	

Appendix S3 (continuation).

(d) Shrubland regeneration (NVS)				
1975-1985	Estimate	Std. Error	p-value	AUC
Intercept	3.16E-01	7.35E-02	1.67E-05	0.69
Slope	-4.63E-02	4.53E-03	<2.00E-16	
Dist_f_forest_75	7.57E-04	6.75E-05	<2.00E-16	
Dist_river	9.79E-05	3.49E-05	0.00499	
Dist_city>20T	-1.34E-05	1.94E-06	4.72E-12	
1985-1999				
(Intercept)	2.24E+00	8.54E-01	0.00881	0.81
Slope	-8.48E-02	7.14E-03	<2.00E-16	
Dist_f_forest_85	1.19E-03	1.03E-04	<2.00E-16	
Dist_river	3.01E-04	4.45E-05	1.30E-11	
Dist_road_P	-5.58E-05	1.05E-05	1.02E-07	
Dist_agri	-7.46E-04	9.59E-05	7.45E-15	
Dist_village	6.95E-05	2.35E-05	0.00305	
Insolation	-5.24E-04	2.16E-04	0.0152	
Dist_road_S	-1.62E-04	9.45E-05	0.08641	
1999-2008				
(Intercept)	1.21E+00	1.13E-01	<2.00E-16	0.79
Slope	-6.51E-02	5.64E-03	<2.00E-16	
Dist_f_forest_99	1.55E-03	1.33E-04	<2.00E-16	
Dist_river	2.75E-04	5.61E-05	9.66E-07	
Dist_city>20T	-2.07E-05	2.14E-06	<2.00E-16	
Dist_agri	3.77E-04	4.99E-05	4.30E-14	
Dist_village	-6.64E-05	2.31E-05	0.004032	
Dist_road_P	-3.41E-05	1.04E-05	0.000983	
1975-2008				
(Intercept)	2.78E-01	4.65E-01	0.550461	0.74
Dist_f_forest_75	7.93E-04	7.66E-05	<2.00E-16	
Dist_river	3.22E-04	5.42E-05	2.98E-09	
Dist_road_P	-4.00E-05	1.04E-05	0.000117	
Dist_city>20T	-2.01E-05	2.29E-06	<2.00E-16	
Dist_agri	-3.39E-04	5.86E-05	7.39E-09	
Dist_road_S	-2.63E-04	8.39E-05	0.001731	
Insolation	2.32E-04	1.18E-04	0.049289	
Slope	4.16E-04	2.33E-04	0.073649	