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Title: Native forest replacement by exotic plantations in southern Chile (1985-2011) and partial compensation by natural regeneration

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Abstract: Although several studies have reported rates of deforestation and spatial patterns of native forest fragmentation, few have focused on the role of natural forest regeneration and exotic tree plantations on landscape dynamics. The objective of this study was to analyze the dynamics of land cover change in order to test the hypothesis that exotic tree plantations have caused a major transformation of temperate forest cover in southern Chile during the last three decades. We used three Landsat satellite images taken in 1985 (TM), 1999 (ETM+), and 2011 (TM) to quantify land cover change, together with a set of landscape indicators to describe the spatial configuration of land cover. Our results showed that the major changes were dynamic conversion among forest, exotic tree plantation and shrubland. During the study period, the area covered by exotic tree plantations increased by 168% (20,896 to 56,010 ha), at an annual rate of 3.8%, mostly at the expense of native forest and shrubland. There was a total gross loss of native forest of 30% (54,304 ha), but a net loss of initial cover of only 5.1% (9,130 ha), at an annual net deforestation rate of 0.2%. The difference between gross and net loss of native forest was mostly the result of conversion of shrubland and agricultural and pasture land to secondary forest following natural regeneration. Over the course of the study period, exotic tree plantations showed a constant increase in patch density, total edge length, nearest-neighbor distance, and largest patch index; maximum mean patch size occurred in the middle of the study period. Native forest exhibited an increase and then a decrease in patch density and total edge length, whereas mean patch size and largest patch index were lowest in the middle of the period. Overall, the observed trends indicate expansion of exotic tree plantations and increase in native forest loss and fragmentation, particularly between 1985 and 1999. Forest loss included both old-growth and secondary forests, while native forest established after secondary succession differed in diversity, structure, and functionality from old-growth and old growth/secondary forests. Since different successional stages influence the provision of ecosystem services, the changes observed in our study are likely to have consequences for humans that extend beyond immediate changes in land use patterns.

Madrid, 06th Oct 2014

Editor in Chief
Forest Ecology and Management

Dear Editor,

We are submitting the ms. entitled "Seeing the glass as half-full or half-empty: a story of native forest replacement by exotic plantations in southern Chile (1985-2011), and how natural regeneration partly compensate these losses". In this paper we analyze the role of natural forest regeneration and exotic tree plantations on landscape dynamics in southern Chile during the last three decades.

I look forward to hearing from you about the possible publication of our ms. in *Forest Ecology and Management*.

Sincerely,



Carlos Zamorano-Elgueta
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Highlights

- We analysed land use change in southern Chile between 1985 and 2011.
- Tree plantations increased mainly during 1985-1999 period (+168%).
- There was a dynamic conversion among forest, exotic tree plantation and shrubland.
- Natural forest regeneration on abandoned areas partly compensated forest loss.
- These changes could affect the ecosystem service provisions at the landscape scale.

Dear Editor,

We thank you and the referees for the thorough review of the manuscript entitled "Seeing the glass as half-full or half-empty: a story of native forest replacement by exotic plantations in southern Chile (1985-2011), and how natural regeneration partly compensate these losses". We have addressed the reviewers' concerns in the manuscript itself and below we provide point-by-point explanations. In addition, we have modified the title to read "Native forest replacement by exotic plantations in southern Chile (1985-2011) and partial compensation by natural regeneration".

We hope that this new version of the manuscript will be suitable for publication in Forest Ecology and Management.

We look forward to hearing from you,

Carlos Zamorano-Elgueta, José María Rey Benayas, Luis Cayuela, Stijn Hantson and Dolores Armenteras

Response to Reviewer #1's comments:

R. We thank the reviewer for the overall positive and constructive comments on our manuscript. Below we provide detailed responses to each comment.

Title

#1. I would recommend making a title that better describes the scientific contents of the article. The half glass vs. half full and 'story' portion of the title does not provide any additional information, and actually is a bit confusing. For example, would the glass half-full be seeing this replacement might be beneficial for something, such as ecosystem services? I doubt this is what I will find upon reading the article, but most readers of the journal will only see the title.

R. We agree and have modified the title to read "Native forest replacement by exotic plantations in southern Chile (1985-2011) and partial compensation by natural regeneration".

Abstract

#2. Excellent abstract. Last sentence needs a space (after the last sentence's period).

R. Done

Introduction

#3. Line 11: 'extents' not extends

R. Done

#4. Line 11: confusing wording here.

R. Done

#5. Paragraph 1 and 2 require revision by a native English speaker. Awkward to read and follow.

R. The writing has been thoroughly reviewed by a native English speaker.

#6. Given the focus of the manuscript is remote sensing analyses, I do not feel that the introduction provides a good overview of remote sensing of land use changes and deforestation in Chile (and in this area in particular if other such studies exist). Rather it provides much interesting information on forest ecology and management, which are related but not directly relevant to the activities performed in this study.

R. We have thoroughly revised the introduction, reduced those parts not directly related to the goal of this study and added an overview of remote sensing of land use changes and deforestation in Chile (p. 2, line 60 – p.3, line 69).

#7. The introduction should also discuss the importance of the various approaches used in the methods section. For example, there is a large focus on patch size and fragmentation, but little mention of this in the introduction.

R. We have added a new paragraph to the introduction (p. 2, lines 49-59).

Methods

#8. Figure 4 does not look like a professional figure suitable for publication

R. Figure 4 has been revised.

Response to Reviewer #2's comments:

#1. The paper "Seeing the glass as half-full or half-empty: a story of native forest replacement by exotic plantations in southern Chile (1985-2011), and how natural regeneration partly compensate these losses" by Zamorano-Elgueta et al and submitted to Forest Ecology and Management describes a study whereby land cover maps generated from Landsat data from three different time periods were compared. Exotic forests were found to be increasing, and total area occupied by native forest was found to be slightly decreasing. In general, the paper covers an important topic, and one that deserves more attention from the forest management community. I found the paper to be interesting and warrants publication. However, I do have some suggestions to the authors for when they revise the manuscript.

R. We thank the reviewer for the overall positive and constructive comments on our manuscript. Below we provide detailed responses to each comment.

#2. My biggest concern is that the accuracies, while reasonable, do not necessarily tell us the degree to which the trends described are valid. I would like to see additional discussion about various aspects of this. To the authors' credit, standard accuracy assessment for all three classification products was conducted, which at least gives the reader an idea of product uncertainty. However, accuracies for the exotic plantations are in the order of 75%, and I was left wondering how that translates into the exotic plantation area estimates generated, and ultimately how that impacts the estimates of the trends derived thereafter. Some discussion of some strengths and weaknesses of the data products themselves might be appropriate. While the accuracy numbers are objective and good to report, sometimes other observations can help as well. Is there any other information that can be brought in to help strengthen your case? Where in the classifications do you perceive there to be weaknesses?

R. For the period analyzed, classification accuracy was intermediate for exotic tree plantations; nevertheless, overall area estimates for 1985 (20,896 ha) and 1999 (44,921 ha) were roughly similar to estimates from other sources: 15,000-20,000 ha for 1985 and 40,000-46,000 ha for 1999 (INFOR 1986, CONAF et al. 1999, González et al. 2005, TNC 2011). This information has been added to the manuscript in the Methods, Results and Discussion (p. 6, lines 165-167; p. 7, lines 193-196; p. 12, lines 308-323).

#3. How spectrally unique are the exotic forests? Do they appear different in the imagery? Some discussion of this might help, because in many parts of the world exotic or plantation forests are exceedingly difficult to classify because spectrally they look much like the native forests. If the plantation forests look different from other types of forests, they will be likely easier to classify. This information might help give the reader more confidence in your classification results.

R. The Bhattacharyya distance was used as a statistical measure of between-class separability based on spectral signatures (Bhattacharyya 1943). One advantage of this index is its close relationship to the probability of accurate classification (Choi and Lee 2001). A separability value of 2.0 indicates a proper pixel separation with no more pixel overlap. Good separability is attained with values between 1.9 and 2.0. Values between 1.9 and 1 indicate that two classes can be separable to some extent, whereas values less than 1 indicate very poor separability. The equation we used to compute the Bhattacharyya distance is described in the PCI user manual (PCI 2001). The average between-class separability based on the Bhattacharyya distance ranged from 1.948 to 1.997. Signature separability between exotic tree plantation and native forest was 1.987, 1.999, and 1.991 for the 1985, 1999, and 2011 scenes, respectively. This indicates good spectral separability between these two land cover classes. This information has been included in the manuscript (p. 5, line 146 – p. 6, line 152; p. 7, lines 185-188).

#4. How many training sites were used per class?

R. We added the following sentence to answer this question (p. 5, lines 140-141): “The number of training sites for the various land cover classes for the three images studied ranged between 18-32, 19-35, and 18-32”. The detailed information is shown in this table for the reviewer’s reference:

	2011	1999	1985
	Training sites		
Native forest	28	26	25
Exotic tree plantation	32	35	26
Shrubland	18	19	18
Agricultural and pasture land	22	20	23
Bare ground	23	27	26

#5. It appears that the shrubland category is being replaced by forest. While this might be perceived as a good thing by the forestry community, are there reasons to be concerned about the loss of shrublands? Or are the shrublands comprised of less desirable species of minor conservation concern?

R. We mention in the manuscript that the dominance of secondary forest at landscape scale may affect the ability of forest to provide ecosystem services (p. 16, lines 429-436). For example, replacement of mature forest by young forest has been shown to reduce soil-related (Moran et al. 2000) and water-related ecosystem services (Lara et al. 2009).

#6. While I am not necessarily opposed to "creative" titles (i.e. "Seeing the glass as half-full or half-empty..."), I know of a number of researchers that do not like these. I suggest keeping to the basics, and remove this part of the title.

R. Done. We have modified the title to "Native forest replacement by exotic plantations in southern Chile (1985-2011) and partial compensation by natural regeneration".

#7. Are the exotic species expected to spread much on their own? And do they have much intrinsic value to wildlife? Some basic information on these communities would help give the reader a better idea of the magnitude of the problem.

R. We have added more detailed background on ecological aspects of exotic tree plantations (p. 13, lines 338-350).

#8. There are a few sentences that did not make much sense to me and therefore require some clarifications. These include the last two sentences of the abstract, and the sentence beginning with "Natural forest" on line 331. In the latter case, I am not sure what is being stated. It sounds as if a protected area was established in 2002, but that there was logging in it and planting of exotic trees. If that is the case, I do not see how it would have facilitated natural forest regeneration.

R. The abstract has been corrected. Before the creation of the Valdivian Coastal Reserve, this area was the property of Bosques S.A., a forest company. During the 1980's and 1990's, thousands of hectares of native forests were felled and replaced with exotic tree plantations, the largest replacement of native forest by exotic tree species carried out in Chile. After the creation of the protected area, these productive practices stopped and forest conservation and restoration were promoted. At present, this area is characterized by abundant forest regeneration, especially seedlings, probably as a consequence of the creation of the private protected area. Furthermore, even though regeneration comprised an age range from recently established seedlings to saplings potentially older than 40 years, most of the regeneration corresponded to seedlings < 0.3 m (C. Zamorano-Elgueta, unpublished data). This corresponds to recently established regeneration (< 5-10 years old; see Vita 1977, Donoso et al. 2006). This information has been included in the manuscript (p. 14, lines 380-387).

#9. It is mentioned on line 147 that two maps of land cover for 1985 and 1999 derived from Landsat were used. Do you have any idea how accurate these maps were? How were the maps used?

R. Overall accuracy for classification was 93.9% and 85.8% for the 1985 and 1999 scenes. Both user accuracy and producer accuracy were 100% for the exotic tree plantation in 1985; the corresponding values were 69% and 83% for 1999. These maps served as reference materials in our classifications.

#10. Some light editing for English grammar would help make the paper easier to read.

R. The writing has been thoroughly reviewed by a native English speaker.

1 *1. Introduction*

2 Humans have changed land use and land cover for millenia, resulting in significant impacts on
3 the environment (e.g. Klein et al. 2011). Human activities and demands are rapidly changing ecosystems
4 and landscapes, and only small or remote areas of the globe show no evidence of human intervention
5 (Lambin et al. 2011). Transformation of natural landscapes has eroded ecosystem functions, and habitat
6 loss and fragmentation have increased vulnerability to edge effects and biodiversity loss (Fearnside 2005,
7 Laurance et al. 2006). Acting in the opposite direction is passive regeneration, which may counteract the
8 effects of habitat loss and fragmentation (Morrison and Lindell 2010, FAO 2011), especially for areas
9 where natural recolonization is fast due to seed availability, extensive residual cover of natural habitat,
10 and conserved soil (Prach et al. 2007, Chazdon 2008). Given their complexity, the processes involved in
11 land cover change are the focus of research programs and strategies for sustainable management
12 (Vitousek 1994). Although important advances have been made, significant gaps remain in our
13 understanding of the spatial ecology of these changes (Iverson et al. 2014).

14 Among the drivers of land cover change, tree plantations play an important role in many parts of
15 the world. Tree plantations are typically established on cleared agricultural land, but they also expand at
16 the expense of native forest, which is an emerging cause of forest loss and fragmentation worldwide
17 (Foley et al. 2005). Three factors have caused the expansion of tree plantations towards increasingly
18 difficult-to-reach areas: depletion of finite resources, particularly timber; natural limits to increasing yield
19 on high-quality land; and development of tree plantation technology feasible on cheaper marginal lands
20 (Kröger 2013). As a result, planted forests are rapidly expanding worldwide and they currently account
21 for ca. 7% of the total forest area, whereas the area covered by native forests declined by 5.2 million ha
22 annually between 2000 and 2010 (FAO 2011, Kröger 2013). The two main areas where plantation
23 expansion has been particularly dramatic are South America, where area increased by 67% between 1990
24 and 2010, and the Asia-Pacific region, where area increased by 61.6% (Kröger 2013).

25 Some tree plantations are intended to provide chiefly environmental benefits, including those
26 fostered by the European Community Agrarian Policy (European Commission 2013) and the Chinese
27 Grain-to-Green project (Song et al. 2014). However, most tree plantations are grown primarily for
28 producing wood efficiently and for contributing significantly to economic growth; these activities may
29 produce substantial changes in natural ecosystems, with impacts on biodiversity and ecosystem services
30 (Hartley 2002). Furthermore, management practices such as periodic clearing of understory vegetation

31 can have more drastic effects than any competitive or allopathic effects due to the planted trees (Atauri et
32 al. 2004). The global trend of tree plantation expansion is likely to continue, especially for the production
33 of biofuels (Kole et al. 2012) and carbon storage (Lindenmayer 2009), while natural forests are in decline
34 and increasingly fragmented (FAO 2010).

35 In Chile, tree plantation establishment began in the 1940s (Toro and Gessel 1999), and in the
36 1970s the country showed the highest annual rates of plantation increase in South America, especially
37 between 1995 and 2009, due to both afforestation (49,020 ha) and reforestation (53,610 ha) (FAO 2010,
38 INFOR 2010). At present, forest plantations are dominated by *Pinus radiata* (D. Don) and *Eucalyptus*
39 spp., which account for 2.3 million ha (INFOR 2013), an area increasing by 37,000 ha annually (CONAF
40 2014). The geographic range of southern temperate forest has declined considerably during the last
41 century (Smith-Ramírez 2004), partly as a result of conversion of native forest to other land cover types.
42 These processes, together with fragmentation of remnant habitats, threaten native forest in southern Chile
43 (Echeverría et al. 2006, Lara et al. 2011, Nahuelhual et al. 2012). These temperate rainforests are globally
44 important ecoregions because of their biodiversity (Myers et al. 2000, Smith-Ramírez 2004, Smith-
45 Ramírez et al. 2007), and they have been targeted for urgent conservation by the World Bank, the World
46 Wildlife Fund and other organizations (Dinerstein et al. 1995). In Chile, the last remnants of temperate
47 forest are restricted to upper elevations in the Andean mountains and the southern section of the Coastal
48 Range, where continuous tracts of forest still exist (Smith-Ramírez 2004).

49 Studying land cover change has always been limited by data availability. The development of
50 Geographical Information Systems (GIS) has offered a variety of tools for analyzing landscape spatial
51 patterns (Franklin, 2001). The evaluation of temporal forest change based on satellite imagery can then be
52 linked to fragmentation analysis, representing a valuable set of techniques for assessing the severity of
53 threats to ecosystems (Dávalos et al. 2014, Kumar et al. 2014). Many indices have been developed to
54 quantify patterns at the landscape scale, including area (Armenteras et al. 2003), edge (Franklin 2001,
55 McGarigal et al. 2012), shape (Franklin 2001, McGarigal 2012), distance (McGarigal 2012), and
56 connectivity metrics (Franklin 2001, McGarigal 2012). The use of these metrics in deforestation and
57 fragmentation studies has increased exponentially around the world in recent decades (Willson et al 1994,
58 Armenteras et al. 2003, Cayuela et al. 2006, Dávalos et al. 2014), probably motivated by increasing
59 accessibility to remote sensing data and powerful computers (Newton et al. 2009).

60 Relatively few studies have analyzed land cover change and forest fragmentation in Chile

61 (Echeverría et al. 2006, Schulz et al. 2010, Nahuelhual et al. 2012, Altamirano et al. 2013). Echeverría et
62 al. (2006) assessed the patterns of deforestation and forest fragmentation in the Coastal Range of south-
63 central Chile over a 25-year period using data from 1975, 1990 and 2000. Schulz et al. (2010)
64 investigated land cover changes and major trends in landscape dynamics in central Chile, including
65 regeneration, using multi-temporal satellite images taken in 1975, 1985, 1999 and 2008. Nahuelhual et al.
66 (2012) analyzed the drivers of plantation expansion in south-central Chile for the periods 1975-1990 and
67 1990-2007. Finally, Altamirano et al (2013) analyzed patterns of deforestation and fragmentation in
68 south-central Chile using fine-resolution (0.0225 ha) classified maps from satellite images taken in 1986,
69 1999 and 2008.

70 Notwithstanding the growing literature on land cover change, few studies have investigated
71 simultaneously how landscape dynamics are affected by natural forest regeneration (e.g. Pütz et al 2011,
72 Schulz et al. 2010) and exotic tree plantations (e.g. Nahuelhual et al. 2012). Improving our understanding
73 of such dynamics may help mitigate or reverse their impact on forest ecosystems, contribute to land use
74 planning, and guide the design and implementation of conservation and restoration programs at the
75 landscape scale. The objective of this study was to analyze the dynamics of land cover change in order to
76 test the hypothesis that exotic tree plantations have caused a major transformation of temperate forest
77 cover in southern Chile in a recent time period spanning 26 years. To achieve this goal, our study
78 attempted to (1) determine the rates and amount of land cover change, (2) measure the spatial distribution
79 of forest loss and expansion of plantations, and (3) examine whether natural regeneration has
80 compensated for forest loss at the landscape scale.

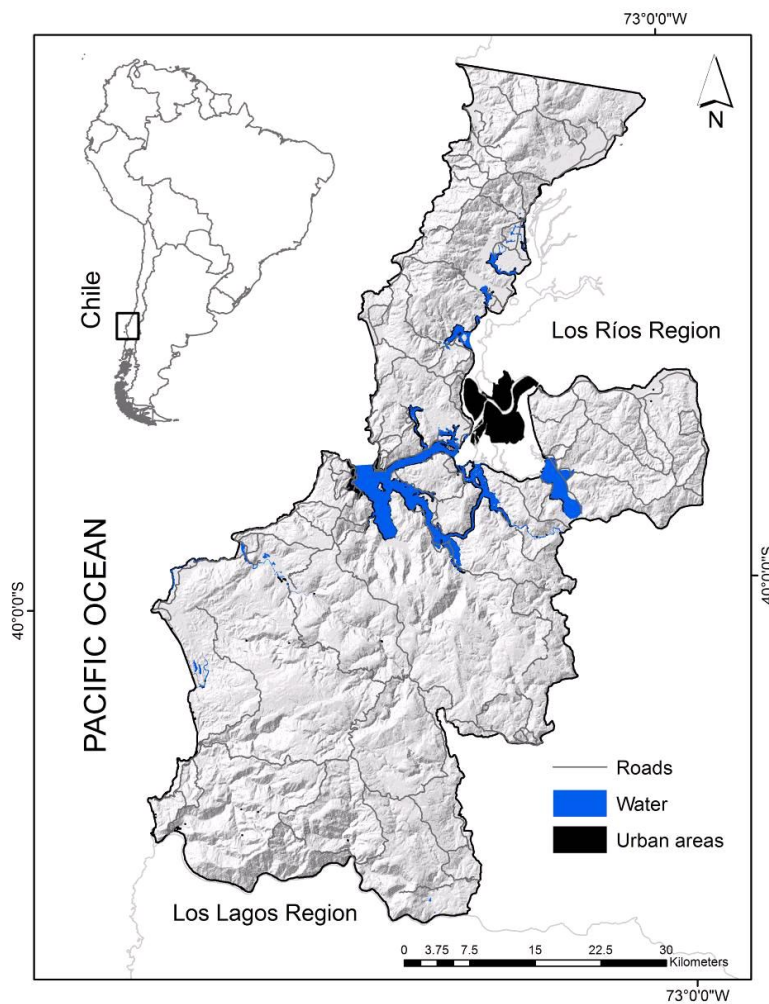
81

82 *2. Methods*

83 *Study area*

84 The study area covers ca. 2,700 km² of the Chilean Coastal Range (**Fig. 1**), including rivers and
85 wetlands, and elevation ranges from 4 to 684 m. It has abundant endemic flora and fauna, which reflect
86 the location of vegetation refuges during the last glacial period (Armesto et al. 1995). Evergreen forests
87 are the dominant vegetation type, occupying 79% of the total forest cover in the study area (CONAF et al.
88 1999). The predominant climate is temperate with Mediterranean influence, a mean annual temperature of
89 11 °C and a mean annual precipitation of 2,500 mm. Soils are derived from metamorphic material and
90 granitic rocks (IREN-CORFO 1964).

91 Land tenure is characterized by a mosaic of different land cover types, productive activities, and
 92 local stakeholders. The dominant types of land tenure correspond to properties owned by forest
 93 companies (81,100 ha, 30% of the study area) that concentrate the area covered by exotic tree plantations,
 94 private protected areas (52,000 ha, 19.3%), and small properties (46,827 ha, 17%) owned by
 95 "campesinos". This Spanish name refers to rural people in the subsistence economy who live on <200 ha,
 96 as defined in Chilean law. The other major types of land tenure are large properties, i.e. ≥ 200 ha (45,663
 97 ha, 16.97%) and public protected areas (26,000 ha, 9.74%). Most campesino-owned small properties
 98 show frequent and intense alterations due to constant efforts to achieve adequate production for family
 99 subsistence (Zamorano-Elgueta et al. 2012).



117 Figure 1. Location of the study area within the Coastal Range of southern Chile.

118
 119
 120

121 *Image classification*

122 A set of three Landsat images (path 233, row 88) were acquired for the years 1985 (TM), 1999
123 (ETM+), and 2011 (TM), with a pixel spatial resolution of 30 x 30 m. All images were taken during the
124 dry season (December to February). The images were pre-processed, including geometric, atmospheric
125 and topographic corrections (Hanson and Chuvieco 2011). We defined five classes of land cover: (1)
126 native forest, (2) exotic tree plantation, (3) shrubland, (4) agricultural and pasture land, and (5) bare
127 ground. Exotic tree plantations corresponded to industrial plantations of exotic tree species dominated by
128 *Pinus radiata* (D. Don) and *Eucalyptus* spp. (Reyes and Nelson 2014).

129 Landscape complexity poses particular challenges for image classification (Cayuela et al. 2006a).
130 Frequent misclassification is inevitable, particularly if various categories are interspersed within a small
131 spatial area (Foody 2002) or if some of the land cover categories have overlapping spectral signatures
132 (Pedroni 2003). For example, vegetation stages following successional gradients, such as shrubland,
133 arboreal-shrubland and forest categories (Echeverría et al. 2006), usually show very similar spectral
134 signatures, as do forest successional stages, such as young, intermediate and old forest (Liu et al. 2014).
135 For this reason, we included within the native forest category the three main forest successional stages
136 defined by the Chilean native forest cadastre (CONAF et al. 1999): old-growth, old-growth/secondary,
137 and secondary forest.

138 To classify the scenes we used a supervised classification method. Training sites were selected to
139 represent the spectral variability of each land cover class and were extracted from color composite images
140 and based on local knowledge (Chuvieco 2010). The number of training sites for the various land cover
141 classes for the three images studied ranged between 18-32, 19-35, and 18-32. The maximum likelihood
142 algorithm was used to assign probabilities of membership to each class and each pixel was assigned to the
143 most probable class (Richards and Jia 2006).

144

145 *Accuracy assessment*

146 The Bhattacharyya distance was used as a statistical measure of between-class separability based
147 on spectral signatures (Bhattacharyya 1943). One advantage of this index is its close relationship to the
148 probability of accurate classification (Choi and Lee 2001). A separability value of 2.0 indicates a proper
149 pixel separation with no more pixel overlap. Good separability is attained with values between 1.9 and 2.0.
150 Values between 1.9 and 1 indicate that two classes can be separable to some extent, whereas values less

151 than 1 indicate very poor separability. The equation we used to compute the Bhattacharyya distance is
 152 described in the PCI user manual (PCI 2001).

153 Accuracy of the 1999 and 2011 scenes was assessed using ALOS scenes from 2010 with a pixel
 154 spatial resolution of 10 x 10 m, and the most updated version of the cadastre for the Región de los Ríos
 155 (CONAF-CONAMA 2008). The cadastre was developed at the 1:50,000 scale, and was derived from
 156 aerial photographs and satellite imagery. To classify the 1985 scene, we used forest cover maps generated
 157 from aerial photographs of 1985 at a scale of 1:60,000 (Lara et al., unpublished data). We also used two
 158 maps of land cover from 1986 and 1999 derived from Landsat scenes to assess the accuracy of the 1985
 159 and 1999 classifications (Gonzalez et al. 2005). Sets of 173 and 199 control points were used for the 1985
 160 and 1999 scenes, respectively. The points were overlaid onto the reference land cover maps and assigned
 161 to respective classes. In order to assess the accuracy of the 2011 image, 198 ground control points were
 162 visited in the field during the dry season in 2012. Confusion matrices were constructed to cross-validate
 163 the land covers derived from the satellite scenes. Three accuracy measures were calculated: producer's
 164 accuracy, user's accuracy, and overall accuracy. Most processing was performed using PCI 7.0 (PCI
 165 2001) and ArcGis 10 (ESRI 2011). Finally, we compared the overall area estimated for each land cover
 166 type in our 1985, 1999 and 2011 classifications with estimates obtained from technical reports (González
 167 et al. 2005, TNC 2011) and regional statistics (CONAF et al. 1999, INFOR 1986).

168

169 *Cover change and spatial configuration of tree plantations and native forest*

170 To compare the change in cover of exotic tree plantations and native forest for the 1985-1999
 171 and for 1999-2011 periods, we used the compound interest rate formula proposed by Puyravaud (2003):

172

$$173 \quad \text{Change rate} = 100 / (t_2 - t_1) \times \ln (A_2 / A_1)$$

174

175 where A_1 and A_2 are the cover of exotic tree plantations or native forest at times t_1 and t_2 . Both net and
 176 gross changes were calculated. Net change represents the difference between the gains and losses in a
 177 cover type between two periods. Gross change represents the total area modified between the two periods.
 178 The spatial configuration of fragments was quantified and compared using the following landscape
 179 metrics: (a) patch density (number of patches/100 ha), (b) total edge length (km), (c) mean patch size (ha),
 180 (d) Euclidean nearest-neighbor distance (m), and (e) largest patch index (%). Landscape spatial indices

181 were computed using FRAGSTATS (version 4.2, Mcgarigal et al. 2012).

182

183 *3. Results*

184 *Accuracy assessment*

185 The average between-class separability based on the Bhattacharrya distance ranged from 1.948
186 to 1.997. Signature separability between exotic tree plantation and native forest was 1.987, 1.999, and
187 1.991 for the 1985, 1999, and 2011 scenes, respectively, indicating good spectral separability between
188 these two land cover classes.

189 Overall accuracy for classification was 73.2%, 83.9%, and 82.9% for the 1985 TM, 1999 ETM+,
190 and 2011 TM scenes, respectively. The lowest producer's accuracy was obtained in exotic tree plantations
191 for the 1985 and 1999 scenes, and in shrublands for the 2011 scene, whereas the lowest user's accuracy
192 was obtained in exotic tree plantations for the 1985 scene, and in shrublands for the 1999 and 2011 scenes
193 (**Appendix 1**). Classification accuracy was intermediate for exotic tree plantations; nevertheless, overall
194 area estimates for 1985 (20,896 ha) and 1999 (44,921 ha) were roughly similar to estimates from other
195 sources: 15,000-20,000 ha for 1985 and 40,000-46,000 ha for 1999 (INFOR 1986, CONAF et al. 1999,
196 González et al. 2005, TNC 2011).

197

198 *Changes in land cover*

199 All land cover classes except exotic tree plantations showed a net loss (**Table 1**). Net losses were
200 highest for shrubland (18,906 ha, -7.4% of the study area), followed by native forest (9,130 ha, -3.6%)
201 and agricultural and pasture land (7,263 ha, -2.8%). Conversely, exotic tree plantations gained 35,114 ha
202 or 13.7%. These changes were more intense between 1985 and 1999 than between 1999 and 2011 (**Fig. 2,**
203 **Appendix 2**).

204

205

206

207

208

209

210 **Table 1.** Net change (gain minus loss) for land cover classes in hectares and as a percentage of the study
 211 area.

Cover type	1985-1999						1999-2011					
	Gains		Losses		Net change		Gains		Losses		Net change	
	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%
Native forest	21,987	8.5	30,908	-12.0	-8,921	-3.5	23,188	9.0	23,397	-9.1	-209	-0.1
Exotic tree plantation	30,245	11.8	6,219	-2.4	24,025	9.4	21,125	8.2	10,036	-3.9	11,089	4.3
Shrubland	12,346	4.8	23,790	-9.3	-11,444	-4.5	7,261	2.8	14,723	-5.7	-7,462	-2.9
Agricultural and pasture land	7,712	3.0	13,710	-5.3	-6,000	-2.3	7,122	2.7	8,385	-3.3	-1,263	-0.5
Bare ground	3,114	1.2	776	0.3	2,338	0.9	414	0.2	2,570	-1.0	-2,155	-0.8

212

213 Over the study period, the dominant land cover class was native forest, which decreased from
 214 69.9% of the study area (179,663 ha) in 1985 to 66.4% (170,534 ha) in 2011; it was 66.5% (170,743 ha)
 215 in 1999. Between 1999 and 2011, total native forest cover remained relatively stable (**Fig. 2, Appendix 2**).
 216 The annual net deforestation rate was 0.2% (351 ha per year) over the entire study period, and higher in
 217 1985-1999 (0.36% or 637 ha) than in 1999-2011 (0.01% or 17 ha per year). Native forest was distributed
 218 across the entire study area but concentrated in the southern parts, where it showed a continuous
 219 distribution (**Fig. 2**). In the central and eastern parts of the study area, native forest occurred as a higher
 220 number of smaller patches.

221 Exotic tree plantations represented 8.1% of the study area (20,896 ha) in 1985, 17.5% (44,921 ha)
 222 in 1999, and 21.8% (56,010 ha) in 2011 (**Fig. 2, Appendix 2**). In other words, exotic tree plantations
 223 increased by 168% from 1985 to 2011, with an annual net gain of 3.8% equivalent to 1,351 ha per year.
 224 This rate was higher in 1985-1999 (1,717 ha/yr, 5.5%) than in 1999-2011 (924 ha/yr, 1.8%). In 1985,
 225 exotic tree plantations were concentrated in the eastern and central parts of the study area, whereas in
 226 1999 they extended over the entire study area, especially in the northern and central parts (**Fig. 2**). This
 227 expansion continued in 2011, but at a lower rate.

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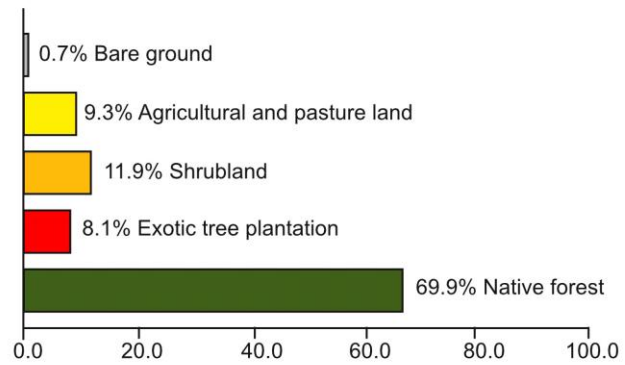
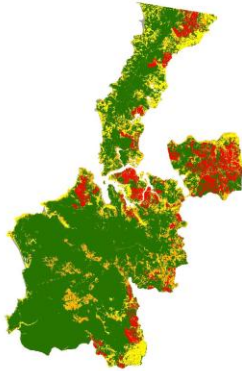
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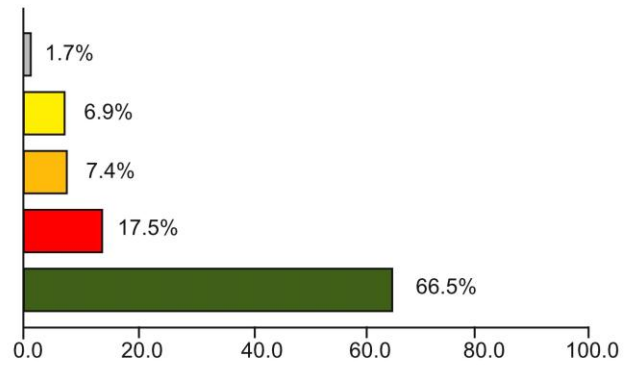
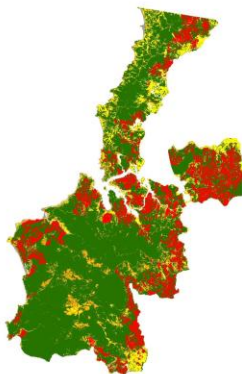
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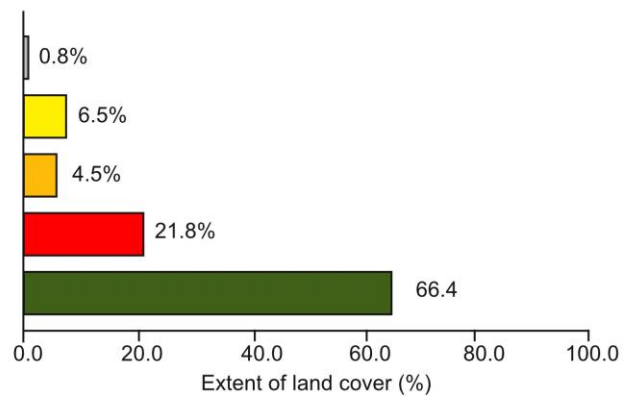
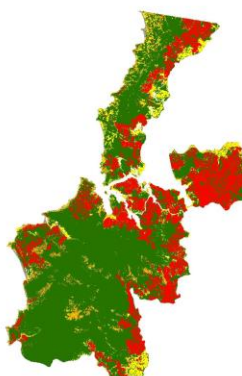
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259 Figure 2. Land cover maps based on the classification of TM and ETM+ Landsat images for the years (a)

260 1985, (b) 1999, and (c) 2011, and comparison of the extents of land cover classes as percentages of the

261 study area.

262 Shrubland and agricultural and pasture land represented 11.9% (30,461 ha) and 9.3% (23,911 ha)
 263 of the study area, respectively, in 1985, 7.4% (19,017 ha) and 6.9% (17,913 ha) in 1999, and 4.5%
 264 (11,555 ha) and 6.5% (16,650 ha) in 2011 (**Fig. 2, Appendix 2**).

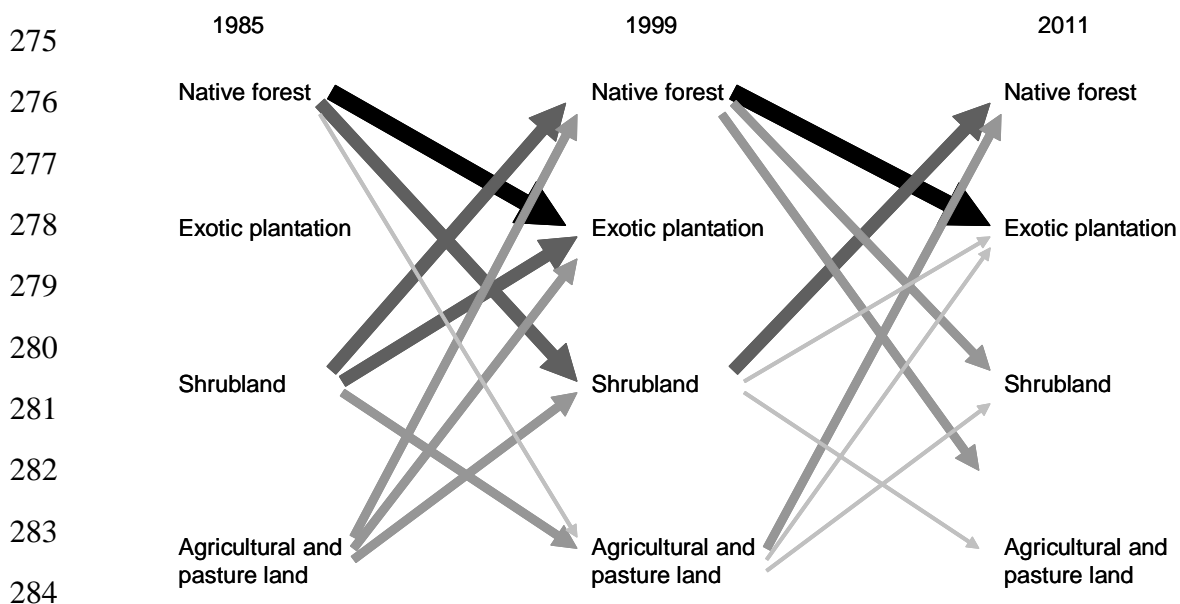
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266 *Change trajectories among land cover classes*

267 The major changes occurred among native forest, shrubland, and exotic tree plantations and, to a
 268 lesser extent, between these three types of land cover classes and agricultural and pasture land (**Fig. 3**).

269 Between 1985 and 1999, changes consisted mainly of conversion of native forest to exotic tree
 270 plantations (7.4% of the study area) and to shrubland (3.3%). Also remarkable during this period was the
 271 conversion of shrubland to native forest (4.4% of the study area), exotic tree plantations (2.7%), and
 272 agricultural and pasture land (1.8%), as well as conversion of agricultural and pasture land to native forest
 273 (2.1%) and exotic tree plantations (1.6%) (**Fig.3**).

274



285 Figure 3. Major change trajectories and their contributions to net change among land cover classes in the
 286 study area. Thick arrows correspond to net change of >6% of the study area, intermediate arrows to net
 287 change of 2.5-4.4%, and thin arrows to net change of 1-1.9%. The thinner arrows correspond to a
 288 marginal net change of <1%.

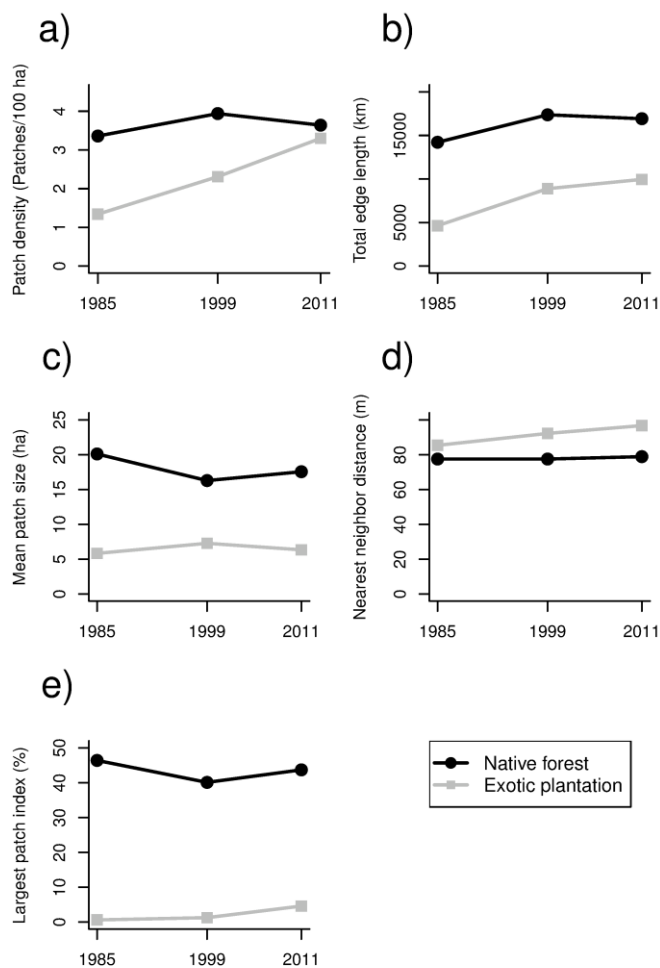
289

290 Between 1999 and 2011, the major changes were the conversion of native forest to exotic tree
 291 plantations (6.5% of the study area), shrubland (1.4%), and agricultural and pasture land (1.2%).

292 Agricultural and pasture land changed mainly to native forest (1.6%), whereas shrubland changed to
 293 native forest (3.9%), agricultural and pasture land (0.9%), and exotic tree plantations (0.8%) (**Fig. 3**).
 294

295 *Changes in spatial configuration*

296 Exotic tree plantations showed a constant increase in patch density (**Fig. 4a**), total edge length
 297 (**Fig. 4b**), nearest-neighbor distance (**Fig. 4d**), and largest patch index (**Fig. 4e**) along the studied years,
 298 with greatest mean patch size occurring in the middle year (**Fig. 4c**). Native forest exhibited an increase
 299 and then a decrease in patch density (**Fig. 4a**) and total edge length (**Fig. 4b**), whereas mean patch size
 300 (**Fig. 4c**) and largest patch index (**Fig. 4e**) were lowest in the middle year. The nearest-neighbor distance
 301 showed minimal variation over the study period (**Fig. 4d**).



302

303

304 Figure 4. Variation in landscape metrics of exotic tree plantation and native forest fragments for the years
 305 1985, 1999, and 2011: (a) patch density, (b) total edge, (c) mean patch size, (d) nearest-neighbor distance,
 306 and (e) largest patch index.

307 4. Discussion

308 *Evaluation of methods and results*

309 Monitoring of land cover change based on remote sensing data is certainly an imprecise task
310 (Foody, 2002). Classifications of satellite imagery into land cover types are never completely accurate,
311 which affects analyses of forest loss and landscape patterns (Cayuela et al. 2006, Echeverría et al. 2006).
312 According to the confusion matrices (Appendix 1), image accuracy tended to improve as the image date
313 became more recent. This might be related to the availability of concurrent land cover maps and field data
314 that supplement the more recent images.

315 The high overall accuracy of the 1999 and 2011 images revealed that the supervised
316 classification, which was strongly supported by ground-based information, provided a suitable
317 identification of land cover types in each of the satellite scenes processed. The classification conducted
318 for the oldest scene (TM 1985) suffered from the disadvantage of limited ground validation and lower
319 values of accuracy, particularly for exotic plantations. In contrast, the estimated area of land cover classes
320 derived from the 1985 and 1999 classifications matched well estimates derived from alternative sources
321 (INFOR 1986, González et al. 2005, CONAF et al. 1999, TNC 2011). This indicates that our analysis can
322 accurately reflect coarse-grained landscape patterns, with uncertainty increasing as we narrow down our
323 focus to pixel grain.

324 325 *Land cover changes*

326 Our study points to three major, concurrent changes in southern Chilean temperate forests in
327 recent decades: (1) intense expansion of exotic tree plantations, (2) reduction and fragmentation of native
328 forest and shrubland and (3) natural regeneration of native forest at the expense of shrubland and
329 agricultural and pasture land. Exotic tree plantation area increased the fastest of all land cover classes, as
330 reported by Echeverría et al. (2006). Exotic tree plantations increased from 8% of the study area in 1985
331 to over 20% in 2011. This rate of increase is consistent with results from the adjacent region in the
332 Coastal Range of south-central Chile: Echeverría et al. (2006) measured an annual deforestation rate of
333 4.5% for the period 1975-2000, and Nahuelhual et al. (2012) highlighted the rapid expansion of exotic
334 tree plantations from 5.5% to 42.4% of the landscape, at annual rates of 7.9% for 1975-1990 and 5.1% for
335 1990-2007. Conversely, native forest initial cover showed a net loss of only 5.1% over the study period, at
336 an annual deforestation rate of 0.2%. This discrepancy between gross and net forest loss is mostly the
337 result of conversion of shrubland and agriculture and pasture land to secondary forest.

338 The expansion of exotic tree plantations may have several negative impacts on the ecological
339 functioning of the landscape. Exotic forest plantations are widely thought to be less favorable habitats
340 than native forests (Carnus et al. 2006). Comparisons of unmanaged forests and exotic tree plantations
341 have shown that exotic plantations contain impoverished flora (Hartley et al. 2002) and fauna (Schnell et
342 al. 2003). Plantations may be unsuitable for many native species because of the loss of some structural
343 components of native habitats, such as understory vegetation (Brockerhoff et al. 2008), which are critical
344 for some wildlife species. The effect of tree plantations on biodiversity depends on the type of plantation
345 and the natural structure of surrounding native forests (Hartley 2002); in fact, plantations can contribute
346 to biodiversity conservation if correctly designed and managed (Hartley 2002, Carnus et al. 2006). On the
347 other hand, management of exotic tree plantations, which mainly involves extensive clear cutting, leaves
348 the soil unprotected for several years until the new plantation is established (Pérez 1999). Exotic tree
349 species, particularly numerous pine species, often invade nearby natural habitat (Williams & Wardle
350 2005), which can harm areas set aside for conservation or water production (Kröger 2013).

351 A large part of the study area contains exotic tree plantations, which have been identified in other
352 regions of Chile as a direct cause of deforestation and biodiversity loss (Nahuelhual et al. 2012). In
353 addition, much of our study region has been degraded as a result of logging for firewood and clearing for
354 livestock and cultivation (Smith-Ramírez 2004, Zamorano-Elgueta et al. 2014). Logging and clearing
355 became more intense in central-south and central Chile with European colonization, especially in the
356 Coastal Range (Camus 2006). In these areas, anthropogenic disturbance poses a serious threat to
357 biodiversity conservation, mainly due to the concentration of the human population and the characteristics
358 of the coastal mountains. These mountains, unlike the Andes Range, spread out in the east-west direction
359 and altitudes do not generally exceed 1,500 m, making them more accessible to humans and thereby
360 rendering the forests more vulnerable to threats (Armesto et al. 1995). These features help explain the
361 major expansion of exotic tree plantations in the region (Echeverría et al. 2006, Nahuelhual et al. 2012).

362 Our results show that land cover change in the Coastal Range of Southern Chile took place as a
363 progressive conversion among forest, exotic tree plantation, shrubland and agricultural and pasture land
364 cover. These patterns are similar to those reported in dryland forest of central Chile, especially in the
365 coastal zone, where frequent exchanges were reported between pasture and shrubland as well as among
366 pasture, bare ground and agricultural areas (Schulz et al. 2010). However, the expansion of exotic tree
367 plantations in central Chile did not result in major conversion of native forest. Instead, forest loss was

368 triggered by the conversion of forest to shrubland, mostly driven by a continuous degradation due to
369 permanent grazing pressure, firewood extraction and charcoal production (Armesto et al. 2007). In
370 general, successional recovery of dry forests is largely constrained by such factors as water availability,
371 soil erosion, human-induced fires and the lower regeneration ability of forest species than shrubland
372 species (Armesto et al. 2007). In our study area, however, these constraints are less severe and do not
373 impede natural regeneration (Albornoz et al. 2013). This is evidenced by the large proportion of
374 shrubland converted to native forest during the study period, in contrast to deforestation patterns in other
375 regions (Vogelmann et al. 2012, Armenteras et al. 2013).

376 Native forest regeneration may have been facilitated by the creation in 2002 of a 50,000-ha
377 private protected area in the region, which was established in an area historically altered by firewood
378 production, intensive logging of high-value species such as the conifer *Fitzroya cupressoides* (González
379 2004) and plantation of exotic trees. In this area, the largest replacement of native forest by exotic tree
380 species in Chile took place during the 1980s and 1990s. After the creation of the protected area, these
381 productive practices were stopped, allowing forest conservation and restoration to be promoted. At
382 present, this area is characterized by abundant forest regeneration, especially seedlings, probably as a
383 consequence of the creation of the private protected area. Furthermore, even though regeneration
384 comprised an age range from recently established seedlings to saplings potentially older than 40 years,
385 most of the regeneration corresponded to seedlings < 0.3 m (C. Zamorano-Elgueta, unpublished data).
386 This corresponds to recently established regeneration (< 5-10 years old; see Vita 1977, Donoso et al.
387 2006). In addition, the two major Chilean forest companies, recently certified by the Forest Stewardship
388 Council (FSC), have started several initiatives of forest conservation and restoration to fulfill the
389 commitments stipulated in this certification. Restoration activities on these companies' properties may
390 spread native forest in the future. Despite these promising trends, replacement of native forests by exotic
391 tree plantations remains a common practice, as indicated in the present study and in previous work
392 (Echeverría et al. 2006, Schulz et al. 2010, Nahuelhual et al. 2012).

393 Native forest and shrubland were found to be more dynamic, showing larger gains and losses
394 over the study period, than other land cover classes. We observed exchanges between native forest and
395 shrubland as well as between shrubland and agricultural and pasture land, which took place in small
396 patches scattered throughout the study area. Such exchanges resulted in a net loss of native forest and
397 shrubland due to the expansion of exotic tree plantations. This pattern of change is still motivated by the

398 afforestation policies implemented by the Chilean government since the 1970s to promote fast-growth
399 tree plantations; these policies include subsidies covering 75-90% of afforestation costs (Reyes and
400 Nelson 2014).

401

402 *Spatial configuration of changes*

403 Our analysis showed a constant increase in patch density, largest patch index, total edge length
404 and nearest-neighbor distance on exotic tree plantations over the study period, whereas mean patch size
405 increased initially and then decreased. These results indicate that plantations expanded as both continuous
406 and non-continuous patches throughout the study area; in other words, new plantation area appeared both
407 as isolated patches and as patches close to existing plantations. These metrics also initially increased for
408 native forests and then later decreased. The greatest decline in the largest native forest patch size
409 coincided with the time when annual forest loss was greatest, as reported elsewhere (Cayuela et al. 2006b,
410 Echeverría et al. 2006, Schulz et al. 2010). Echeverría et al. (2006) suggested that the constant action of
411 deforestation led to a decline in patch density of native forest in southern Chile. The decline in patch
412 density and other metrics in our study area may be the result of passive conversion of shrubland to native
413 forest and the decreasing annual rate of exotic tree plantation.

414 Trends in the spatial configuration of exotic tree plantations and native forest may help explain
415 some changes in the pattern of these cover classes since the 1980s. While exotic tree plantations initially
416 increased during the study period, native forest gradually became more fragmented. In 1999, exotic tree
417 plantations became the second dominant land cover class, and replaced the dominance of shrubland and
418 agricultural and pasture land observed in 1985. Native forest was surrounded primarily by shrubland at
419 the beginning of the study period, whereas exotic tree plantations came to dominate the neighboring areas
420 of native forest patches by the end of the period.

421

422 *Application to sustainable land use planning*

423 Deforestation and native forest fragmentation in the Coastal Range of Región de Los Ríos was
424 found to be less intensive than in other regions of Chile (Echeverría et al. 2006, Schulz et al. 2010,
425 Nahuelhual et al. 2012). In addition, we found a relatively high rate of passive conversion of shrubland to
426 native forest. These results may reflect the better conservation status of the study area compared to other
427 regions of Chile. Nevertheless, the continuing expansion of exotic tree plantations and loss and

428 fragmentation of native forest may lead to microclimatic changes at the forest edges that may facilitate
429 the spread of exotic species towards the interior of the forest fragments (Murcia 1995). Whereas forest
430 loss included both old-growth and secondary forests, native forest established after secondary succession
431 differed in diversity, structure, and functionality from old-growth and old growth/secondary forests (Lu et
432 al. 2003), and they respond differently to human impacts in the study area (Zamorano-Elgueta et al. 2014).
433 Different successional stages also provide different levels of ecosystem services including those related to
434 soil (Moran et al. 2000) and water (Lara et al. 2009), in particular during the dry summer season. Thus,
435 these alterations will have consequences for humans beyond the immediate changes in land use patterns
436 (Turner et al. 1993).

437 Our study is a first step to understanding ecological processes underpinning forest changes in
438 southern Chile, and it is not an end in itself (Li and Wu 2004). An important complement to the work
439 described here would be to precisely define the native forest categories as old-growth, old-
440 growth/secondary and secondary forest, which show important differences despite their similar spectral
441 signatures. This may improve our understanding of how land cover change and exotic tree plantations
442 influence landscape ecology, including in areas where the percentage of native forest cover shows
443 constant, albeit slight, increases. These results may support conservation or restoration strategies,
444 including the definition of priority areas for conservation or restoration actions. Identifying priority areas
445 would increase the efficiency and impact of available resources to design, plan and establish forest
446 restoration programs, where interventions will produce the greatest benefits, such as in maintaining and
447 enhancing biodiversity and providing ecosystem services. Future research should focus on the
448 management of external influences on forests, such as the expansion and ecological impacts of exotic tree
449 plantations.

450

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461

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727 **APPENDIX 1**

728 Confusion matrices for Dempster-Shafer classifications of (a) 1985, (b) 1999, and (c) 2011 Landsat
 729 scenes. NF, native forests; EP, exotic tree plantation; SHR, shrubland; APL, agricultural and pasture land;
 730 BG, bare ground.

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732 (a)

Land cover map	Ground verification points						Total	User's accuracy
	1985 TM							
	NF	EP	SHR	APL	BG			
NF	73	8	9	8	0	98	74.5	
EP	8	15	0	0	0	23	65.2	
SHR	7	0	20	1	0	28	71.4	
APL	3	1	2	18	0	24	75.0	
BG	0	0	0	0	0	0	0.0	
Total	91	24	31	27	0	173		
Producer's accuracy	80.2	62.5	64.5	66.7	0.0			
Overall classification accuracy: 73.2%								

739

740 (b)

Land cover map	Ground verification points						Total	User's accuracy
	1999 ETM+							
	NF	EP	SHR	APL	BG			
NF	91	13	0	2	1	107	85.1	
EP	8	38	1	0	0	47	80.8	
SHR	1	1	18	2	1	23	78.3	
APL	1	0	2	16	0	19	84.2	
BG	0	0	0	0	3	3	100.0	
Total	101	52	21	20	5	199		
Producer's accuracy	90.1	73.1	85.7	80.0	60.0			
Overall classification accuracy: 83.9%								

749

750 (c)

Land cover map	Ground verification points						Total	User's accuracy
	2011 TM							
	NF	EP	SHR	APL	BG			
NF	89	14	4	1	0	108	82.4	
EP	11	50	0	0	0	61	81.9	
SHR	1	1	10	1	0	13	76.9	
APL	2	0	0	12	1	15	80.0	
BG	0	0	0	0	1	1	100.0	
Total	103	65	14	14	2	198		
Producer's accuracy	86.4	76.9	71.4	85.7	50			
Overall classification accuracy: 82.9%								

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766 **APPENDIX 2**

767 Transition matrices for different land cover changes in southern Chile for the periods (a) 1985-1999 and
 768 (b) 1999-2011. NF, native forest; EP, exotic tree plantation; SHR, shrubland; APL, agricultural and
 769 pasture land; BG, bare ground.

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771 (a)

	NF		EP		SHR		APL		BG		Total 1985	
	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%
NF	148,756	57.9	19,035	7.4	8,562	3.3	2,648	1.0	662	0.3	179,663	69.9
EP	5,175	2.0	14,676	5.7	711	0.3	250	0.1	83	0.03	20,896	8.1
SHR	11,250	4.4	6,880	2.7	6,671	2.6	4,652	1.8	1,008	0.4	30,461	11.9
APL	5,335	2.1	4,187	1.6	2,826	1.1	10,201	3.9	1,361	0.5	23,911	9.3
BG	227	0.1	142	0.06	246	0.1	161	0.06	1,153	0.4	1,930	0.7
Total 1999	170,743	66.5	44,921	17.5	19,017	7.4	17,913	6.9	4,268	1.7	256,861	100.0

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774 (b)

	NF		EP		SHR		APL		BG		Total 1999	
	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%
NF	147,346	57.4	16,712	6.5	3,538	1.4	3,073	1.2	74	0.03	170,743	66.5
EP	8,352	3.2	34,885	13.6	872	0.3	762	0.3	50	0.02	44,921	17.5
SHR	10,024	3.9	2,119	0.8	4,294	1.7	2,440	0.9	140	0.05	19,017	7.4
APL	4,106	1.6	1,860	0.7	2,268	0.9	9,528	3.7	151	0.06	17,913	6.9
BG	706	0.3	434	0.2	583	0.2	847	0.3	1,698	0.7	4,268	1.7
Total 2011	170,534	66.4	56,010	21.8	11,555	4.5	16,650	6.5	2,113	0.8	256,861	100.0

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