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Coenzyme Models 17.

Is 5-Deazaflavin a Model for NAD^+ ?

Comment on the Deviation from Linear Free-energy Relationships

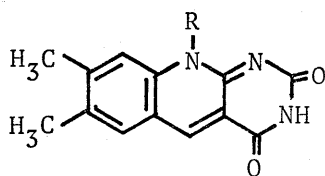
by

Seiji SHINKAI* and Osamu MANABE*

The linear free-energy behavior of 5-deazaisoalloxazine in the reversible adduct formations was evaluated in comparison with that of NAD^+ analogues. It was found that when compared with linear $\log K$ (association constant)– $\log k_f$ (forward reaction rate constant) relationships for NAD^+ analogues the plots for 5-deazaisoalloxazines deviate to the upper area with no apparent exceptions. The distinct deviation was attributed to the smaller K value relative to the k_f value, which is caused by the electron-donating effect of 1- N^- . Thus, 5-deazaflavin may not be a satisfactory model for NAD^+ from the viewpoint of the linear free-energy relationships.

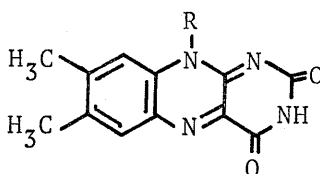
5-Deazaflavin has been designated as a model of flavin as well as that of NAD^+ . It was initially synthesized as a flavin analogue^{1,2)} and has since been employed as a

mechanistic "dummy" in flavin-dependent enzymes and nonenzymatic flavin oxidation reactions.³⁻⁶⁾ In fact, the absorption spectrum is quite similar to that of flavin itself.²⁾

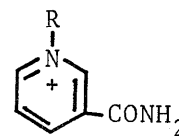


5-Deazaflavin

(5-Deazaisoalloxazine: no methyl group at 7- and 8-positions)



Flavin



NAD^+

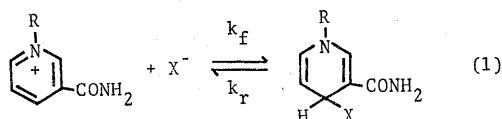
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It was found later, however, that 5-deazaflavin has a characteristic essentially different from that of flavin: insensitivity of 1, 5-dihydro-5-deazaflavin to oxygen.^{7,8)} It was thus proposed that 5-deazaflavin is a model of NAD⁺, rather than that of flavin.⁹⁾

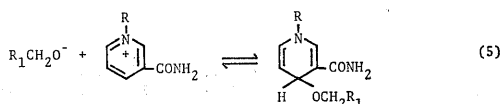
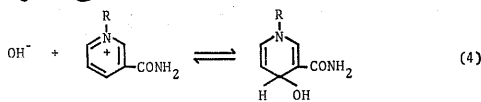
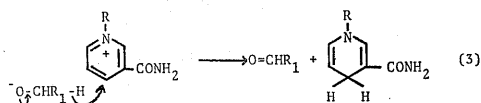
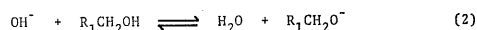
Recently, Yoneda and coworkers¹⁰⁾ demonstrated the facile oxidation of alcohols by 5-deazaalloxazines in the presence of hydroxide ion. On the other hand, no precedent exists for the nonenzymatic oxidation of alcohols by NAD⁺ analogues.* Although Shirra and Suckling¹¹⁾ reported the oxidation of benzyl alcohols by *N*-substituted nicotinamide derivatives at 20°C in tetrahydrofuran, they detected only benzaldehydes and production of the corresponding dihydronicotinamides was not established with certainty. It thus seems to us that their claim has still remain with ambiguity.¹²⁾ It would be interesting to specify why 5-deazaalloxazine is able to oxidize alcohols and NAD⁺ analogue is not, in spite of the proposition that 5-deazaflavin is a model of NAD⁺.⁹⁾

It has been established that *N*-substituted nicotinamides and analogues form adducts with nucleophiles such as CN⁻, SO₃²⁻, RS⁻, and OH⁻ under reversible equilibria (Eq. 1).¹³⁾



Supposing that NAD⁺ oxidation of alcohols takes place in the presence of hydroxide ion, it is conceivable that two different types of reactions proceed competitively, hydride transfer¹⁴⁾ from R₁-CH₂O⁻ (Eq. 3) and reversible

adduct formation with OH⁻ or R₁-CH₂O⁻ (Eqs. 4 and 5).



Since Eqs. 4 and 5 are much faster than Eq. 3, it is readily presumed that NAD⁺ analogues have been converted to the corresponding adducts before they accept a hydride from alcohols. Then, why has 5-deazaalloxazine a chance to accept a hydride ion¹⁰⁾ in spite of the occurrence of similar adduct formation?¹⁵⁾

Recently, Shinkai *et al.*^{16)~18)} and Johnson and Smith¹⁹⁾ found that the logarithms of rate and association constants (k_f and $K(=k_f/k_r)$, respectively) for the addition to NAD⁺ analogues show good linear relationships. For example, the equation for the addition of cyanide ion to NAD⁺ analogues (X⁻=CN⁻ in Eq. 1) is expressed by Eq. 6 (at 25°C)²⁰⁾ or by Eq. 7 (at 30°C).¹⁸⁾

$$\log k_f = 0.55 \log K - 1.45 \quad (6)$$

$$\log k_f = 0.53 \log K - 1.19 \quad (7)$$

On the other hand, a plot for 3, 10-dimethyl-5-deazaalloxazine determined by Chan and Bruice¹⁵⁾ (filled circle in Fig. 1) greatly deviated to the upper area from the linear correlation for NAD⁺ analogues. The similar upper deviation of 5-deazaalloxazine is

*Very recently, Ohnishi and Kitami have reported the oxidation of lithium ethoxide by *N*-substituted nicotinamide derivatives (*Tetrahedron Lett.*, 1978, 4035).

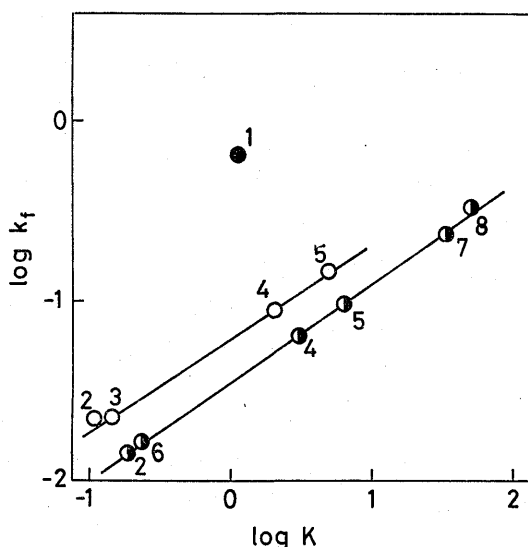


Fig. 1. Log K -log k_f relationship for the addition of cyanide ion to N -substituted nicotinamides. (○) cited from Ref. 16 (at 30°C); (◐) cited from Ref. 20 (at 25°C); (●) plot 1 for 3, 10-dimethyl-5-deazaisoalloxazine cited from Ref. 15 (at 30°C). R group of nicotinamide is: 2, propyl; 3, hexyl; 4, benzyl; 5, 2,6-dichlorobenzyl; 6, methyl; 7, 4-nitrobenzyl; 8, 2-chloro-4-nitrobenzyl.

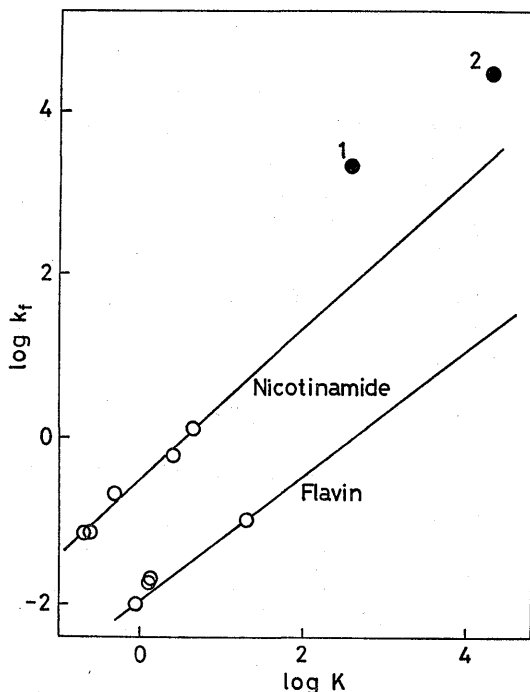


Fig. 2. Log K -log k_f relationship for the

addition of sulfite ion to N -substituted nicotinamides¹⁷⁾ and flavins (cited from S. Shinkai, *Makromol. Chem.*, 179, 2637 (1978)). 1, 3,10-dimethyl-5-deazaisoalloxazine; 2, 3, 10-dimethyl-8-cyano-5-deazaisoalloxazine (both plots are cited from Ref. 15).

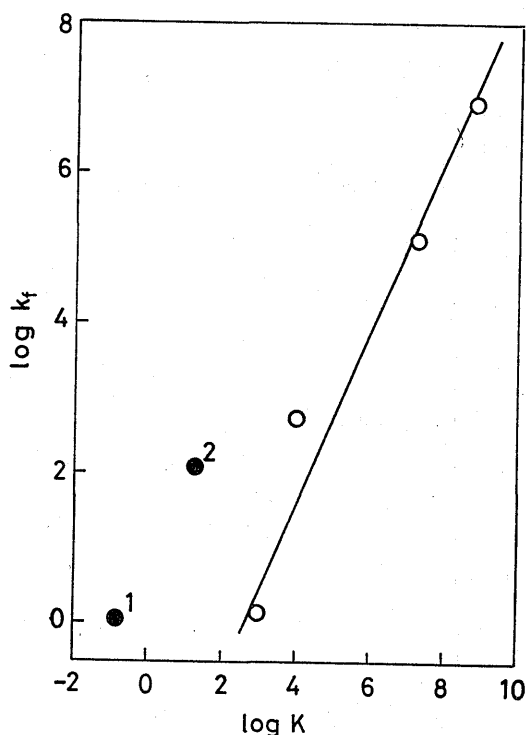
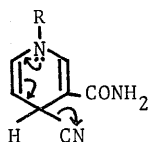


Fig. 3. Log K -log k_f relationship for the addition of hydroxide ion to N -substituted quinolines and acridines (cited from J. W. Bunting and W. G. Meathrel. *Can. J. Chem.*, 51, 1965 (1973); *ibid.*, 52, 303 (1974)). 1, 3,10-dimethyl-5-deazaisoalloxazine; 2, 3,10-dimethyl-8-cyano-5-deazaisoalloxazine (both plots are cited from Ref. 15).

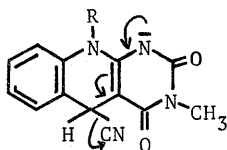
seen in the reaction with sulfite ion (Fig. 2) and hydroxide ion (Fig. 3). Fig. 2 indicates that both rate and association constant for the reaction of NAD⁺ analogues are greater than those for flavins^{17),18)} and that the affinity of sulfite ion toward 5-deazaisoalloxazines is further enhanced.

Here, two possibilities arise to account

for the deviation: (i) the forward reaction rate constants (k_f) is greater than unity and (ii) the adduct of 5-deazaisoalloxazine is destabilized relative to that of NAD^+ analogues, resulting in the smaller K value. The case (i) is observed in the reaction between cyanide ion and polymeric N -substituted nicotinamide.¹⁸⁾ The present deviation of 5-deazaisoalloxazines should be rationalized, we believe, in terms of the case (ii). As illustrated below, the driving force for the reverse reaction of NAD-X adduct (8a) is related to lone-pair electrons on 1- N . On the other hand, anionic 1- N^- of 1, 5-dihydro-5-deazaisoalloxazine would participate in the dissociation of 5-deazaisoalloxazine- X adduct(8b) more efficiently, since the basicity is expected to be much stronger than that of 1- N in NAD-X adduct. It may be said that the relatively high electron-donating ability of 1- N^- destabilizes the 5-deazaisoalloxazine- X adduct.

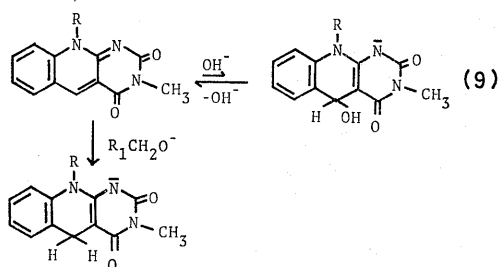


(8a)



(8b)

The foregoing consideration would afford a following reaction scheme which accounts for facile oxidation of alcohols by 5-deazaisoalloxazine.



(9)

Owing to destabilization of the 5-deazaisoalloxazine-OH adduct the concentration of 5-deazaisoalloxazine must be enhanced to a significant extent even in the alkaline pH region and the 5-deazaisoalloxazine would accept a hydride ion from alcohols through a slow, irreversible step.

It is suggested based on the above summary that the NAD^+ analogue which is able to oxidize alcohols must be insensitive kinetically to nucleophiles. Although the proposal is not the case when the hydride transfer is assisted by general-base catalysis ($\text{base}^{\delta+} \cdots \text{H} \cdots \text{OCHR}_1 \cdots \text{H}^{\delta-}$)²¹⁾, there are few precedents for this type of the reaction in which weak general base produces strongly basic hydride ion. It seems, therefore, that the synthetic design of nucleophile-insensitive NAD^+ analogues would lead to the most expeditious method to achieve the NAD^+ model oxidation of alcohols. The synthetic effort is now continued in these laboratories on the basis of the above hypothesis.

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- 13) For a comprehensive review, see U. Eisner and J. Kuthan, *Chem. Rev.*, 72, 1(1972).
- 14) The mechanistic evidence for "hydride" transfer is not offered at present. For the sake of simplicity, we adopted the term for the oxidation of alcohols by NAD⁺ analogues.
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