

Assessing intra-annual vegetation regrowth after fire using the pixel based regeneration index

S. Lhermitte^{*,a,b}, J. Verbesselt^c, W.W. Verstraeten^b, S. Veraverbeke^d, P.
Coppin^b

^a*Centro de Estudios Avanzados en Zonas Aridas (CEAZA), Universidad de la Serena,
La Serena, Chile*

^b*M3-BIORES, Biosystems Department, Katholieke Universiteit Leuven, Leuven, Belgium*

^c*CSIRO, 3169 Clayton South, Melbourne, Australia*

^d*Department of Geography, Ghent University, Ghent, Belgium*

Abstract

Several remote sensing studies have discussed the potential of satellite imagery as an alternative for extensive field sampling to quantify fire-vegetation impact over large areas. Most studies depend on Landsat image availability with infrequent image acquisition dates and consequently are limited for assessing intra-annual fire-vegetation dynamics or comparing different fire plots and dates. The control pixel based regeneration index (pRI) derived from SPOT-Vegetation (VGT) normalized difference vegetation index (NDVI) is used in this study as an alternative to the traditional bi-temporal Landsat approach based on the normalized burn ratio (NBR). The major advantage of the pRI is the use of unburnt control plots which allow to express the intra-annual variation due to regeneration processes without external influences. In the comparison of Landsat and VGT data, (i) the inter-annual differences between the bi-temporal and control plot approach were contrasted and (ii)

*Corresponding author. *Tel:* +56982454633 ; *Fax:* +5651334741.
Email address: stef.lhermitte@gmail.com (S. Lhermitte)

metrics of pRI were derived and compared with the inter-annual dynamics of both VGT and Landsat data. Results of these comparisons, demonstrate the overall similarity between NBR and NDVI data, stress the importance of the elimination of external influences (e.g., phenological variations), and emphasize the failure of including post-fire vegetation responses in bi-temporal Landsat assessments, especially in quickly recovering ecotypes with a strong annual phenological cycle such as savanna. This highlights the importance of using high frequent multi-temporal approaches to estimate fire-vegetation impact in temporally dynamic vegetation types.

Key words: wildfires, burn severity, savanna woodland, fire-vegetation impact, time series analysis, remote sensing, SPOT Vegetation

1. Introduction

Wildfires play an essential role in several ecological processes since they partially or completely remove the vegetation layer. This biomass burning has several effects at a variety of spatial and temporal scales. At the micro-scale level, fires affect soil structure, plant nutrition, species composition and competition (Reilly et al., 2006; Kokaly et al., 2007; Fox et al., 2008), whereas at the landscape level, fire disturbances result in changes in composition, structure and function of ecosystems (Eva and Lambin, 2000; Viedma, 2008). On regional to global scales fire can result in changes in vegetation distribution and in atmospheric chemistry as they represent a significant source of trace gases and aerosol particles (Hoelzemann et al., 2004; Van Der Werf et al., 2003). As such, they have a major influence on the global ecosystem distribution (Ehrlich et al., 1997) and affect the global climate (Running, 2008). Besides the different effects across spatial scales, the temporal impact of fires can also vary considerably. For example, in savanna ecosystems vegetation can completely recover in a matter of weeks (Eckhardt et al., 2000; Eva and Lambin, 2000), whereas forest regeneration after burning can take years to centuries (Nepstad et al., 1999). This also shows how fire impact and vegetation growth are closely related (Levick et al., 2009; Sturtevant et al., 2009). The vegetation type influences the fire impact, whereas the fire impact largely determines the post-fire growth (van Langevelde et al., 2003; White et al., 2008). Knowledge of the spatio-temporal distribution of fire-vegetation impact is therefore essential to estimate the fire effects on ecological dynamics and to understand the fire-climate interactions.

25 *1.1. Assessment of fire effects based on remote sensing*

26 Fire-vegetation interactions typically operate at broad temporal and spa-
27 tial scales, that are unsuitable for field sampling. Therefore satellite imagery
28 is often used to derive estimates of the spatio-temporal variability of fire-
29 vegetation dynamics. One qualitative indicator used in this context is burn
30 severity which quantifies the degree to which an ecosystem has changed owing
31 to the fire and incorporates both short- and long-term post-fire effects, re-
32 lated to the direct fire impact and vegetation regrowth respectively (Lentile
33 et al., 2006). Burn severity is defined as the absolute magnitude of envi-
34 ronmental change caused by a fire (Morgan et al., 2001; Key and Benson,
35 2006).

36 *Despite the wide use of satellite imagery for burn severity assessment*
37 *and the current discussion on the temporal dimension in these assessments*
38 *(Keeley, 2009), relatively few studies have addressed the influence of timing*
39 *on the assessment of post-fire effects. Therefore, the aim of this paper is i)*
40 *to illustrate the importance of the intra-annual fire-vegetation dynamics in*
41 *comparison with inter-annual burn severity estimates, and ii) to illustrate the*
42 *use coarse to moderate spatial resolution satellite data as a complementary*
43 *alternative for monitoring these intra-annual fire-vegetation dynamics.*

44 *1.1.1. Fire effects based on Landsat data*

45 Several studies have demonstrated the utility of the use of vegetation
46 indices (VI) derived from Landsat imagery for mapping burn severity (for
47 overview see French et al. (2008)). Most of this work was based on the corre-
48 lation between burn severity mapped in the field and the normalized burn ra-
49 tio (NBR) or bi-temporal differenced normalized burn ratio (dNBR) derived

50 from Landsat imagery, leading to suggestions that NBR and dNBR maps
51 derived from fine spatial resolution imagery provide a transferable means to
52 measure burn severity in several ecosystem types (French et al., 2008). A
53 major drawback of the Landsat based methodologies, however, is the de-
54 pendency on image availability (Ju and Roy, 2008), which is limited due its
55 temporal resolution (every 16 days) and cloud cover. This drawback is even
56 more exaggerated in bi-temporal studies as they require image-to-image nor-
57 malization (Coppin et al., 2004), including the removal of phenological, atmo-
58 spheric and bi-directional reflectance function (BDRF) effects (Song, 2002;
59 Verbyla et al., 2008; Veraverbeke et al., 2010e). As a result, dNBR analysis
60 is practically limited to anniversary image acquisition dates to reduce shifts
61 in the phenological state of the vegetation between data acquisition times.
62 Consequently, NBR and dNBR maps are valuable for obtaining inter-annual
63 information of burn severity over specific fires, but fail to provide a multi-
64 temporal overview of the intra-annual variability of fire-vegetation dynamics
65 on regional to global scale (Michalek et al., 2000). Moreover, cloud-free
66 multiple images from different years on anniversary dates are frequently not
67 available (Song, 2002). Another consequence of these infrequent image acqui-
68 sitions is the dependence of dNBR estimates on acquisition date. For example
69 Key (2006) and Veraverbeke et al. (2010c) illustrated the importance of the
70 time lag since the fire and seasonal timing of a Landsat acquisition on dNBR
71 change and variability. This dNBR dependence on acquisition date inhibits
72 the comparison of dNBR assessments between different fire dates and fire
73 plots (Eidenshink et al., 2007; French et al., 2008; Verbyla et al., 2008).

74 *1.1.2. Fire effects based on coarse to moderate spatial resolution satellite data*

75 The use of coarse to moderate spatial resolution satellite data (e.g., SPOT-
76 Vegetation (VGT), MODIS, etc.) has the potential of providing sound alter-
77 natives to NBR and dNBR estimates at the local scale, given their synoptic
78 coverage and repeated temporal sampling. At these coarse spatial scales, time
79 series can be analyzed that allow to assess the intra-annual fire-vegetation
80 dynamics (Geerken, 2009) and the comparison between different fire dates.
81 In this context, several time series have been proposed based on the evo-
82 lution of post-fire VIs without any reference to the situation prior to the
83 fire event (Fiorella and Ripple, 1993), the difference or ratio in VIs before
84 and after the fire occurrence (White et al., 1996; Viedma et al., 1997; Henry
85 and Hope, 1998; Kushla, 1998; Hicke et al., 2003) and the use of a regener-
86 ation index (RI) that employs information of control plots located close to
87 but unaffected by the fire, to correct for external influences and phenological
88 variation (Díaz-Delgado et al., 1998; Díaz-Delgado and Pons, 2001; Riaño
89 et al., 2002; Díaz-Delgado et al., 2003). The latter approach is founded on
90 the assumption that the vegetation growth of the control plots can serve
91 as an indicator of vegetation growth in case the fire had not occurred. As
92 such, external influences (radiometric calibration uncertainty, errors in the at-
93 mospheric correction, bidirectional reflectance distribution function (BRDF)
94 effects, topographic impact, and shifts in the phenological state of the vege-
95 tation between data acquisition) can be masked out and the variation in RI
96 can be interpreted solely due to regeneration processes. Song (2002) high-
97 lighted the reduction of these kinds of image noise as the primary challenge
98 when using multi-temporal imagery to monitor forest regrowth. Moreover,

99 Viedma et al. (1997) and Song (2003) stressed the need for phenological and
100 seasonal corrections to interpret long-term regrowth of the vegetation com-
101 munities. A drawback of the RI approach, however, is its dependence of static
102 reference data and inability to quantify heterogeneity within a fire plot. To
103 overcome these limitations Lhermitte et al. (2010) proposed the control pixel
104 regeneration index (pRI) that allows to quantify the vegetation regrowth for
105 each fire pixel within a fire plot using selected control pixels based on time
106 series similarity and spatial context. The pRI analysis provides a valuable
107 alternative to study the intra-annual fire-vegetation dynamics.

108 *1.2. Paper overview*

109 In the framework of this paper, a savanna pilot study area was selected
110 for its coexistence of woody and herbaceous vegetation, which reflect dif-
111 ferent intra- and inter-annual vegetation dynamics and vegetation greenness
112 (Scanlon et al., 2002) where (i) green leaf cover of woody vegetation follows
113 a weaker annual wave with low amplitude variations and (ii) green leaf cover
114 of the herbaceous vegetation follows a strong annual phenological wave with
115 high amplitude variations (Fuller et al., 1997; Scanlon et al., 2002). Conse-
116 quently, differences in intra- and inter-annual fire-vegetation dynamics and
117 vegetation greenness can be expected for the burn plots. To assess the impor-
118 tance of these intra- and inter-annual fire-vegetation dynamics in this paper,
119 firstly the inter-annual fire-vegetation dynamics derived from Landsat and
120 VGT imagery were analyzed. This allows to establish a baseline to compare
121 both data sets. Secondly, metrics of intra-annual dynamics were derived from
122 the VGT data using the control pixel based pRI approach and these metrics
123 were contrasted with the inter-annual dynamics of both VGT and Landsat

124 data.

125 **2. Data**

126 *2.1. Study area*

127 The pilot study area covered Landsat ETM+ scene 168/077 between 23-
128 25°S and 30-32°E in the low-lying savanna of the northeastern part of South
129 Africa and southern Mozambique (see Fig. 1). Elevations range from 260-
130 839 m above sea level, and mean annual rainfall varies between 350 mm in
131 the north and 750 mm in the south. The rainfall regime within the annual
132 climatic season can be confined to the summer months (November to April),
133 and over a longer period can be defined by extended wet and dry seasons.
134 Most fires occur in the dry season, from approximately May to October,
135 when herbaceous vegetation is either dead or dormant, and when deciduous
136 trees have shed their leaves, thereby contributing to an accumulation of dry
137 and fine fuels that are easily combustible.

138 The study area comprises mainly tropical grassland with scattered thorny,
139 fine-leaved trees. In general, three dominant vegetation types are present in
140 the fire affected area. In the west, the fire affected area on granite substrate
141 shows a woody vegetation cover of $\pm 20\%$ (red bushwillow (*Combretum apicu-*
142 *latum*), knobthorn (*Acacia nigrescens*), tamboti (*Spirostachys africana*) and
143 marula (*Sclerocarya birrea*) (Eckhardt et al., 2000)). Here grasses accumulate
144 during the growing season due a relatively low-grazing pressure. In the mid-
145 dle next to the Mozambican border, on basalt substrates, the woody cover
146 is less ($\pm 5\%$) and grasses are more palatable and tend to be heavily grazed.
147 Important tree species here include the knobthorn, leadwood (*Combretum*

148 *imberbe*) and marula. In the eastern part of the study area (Mozambique),
149 woody cover is much higher (up to 50%). The distribution of tree cover is
150 also visible in Fig. 1, that displays the fraction tree cover per pixel derived
151 from MODIS vegetation continuous fields (VCF) for the year 2000. The
152 VCF data were generated based on a regression tree algorithm from monthly
153 composites of 500 m resolution MODIS data (Hansen et al., 2002, 2003).

154 2.2. Burnt pixel data

155 Fire scar data of the year 2000 were identified from the Globscar product
156 (Simon et al., 2004) developed by the European Space Agency (ESA) using
157 Along Track Scanning Radiometer (ATSR-2) daytime data of the year 2000.
158 The product combines the result of two algorithms for burnt area detection:
159 the K1 algorithm based on the geometrical characteristics of the burnt pixels
160 in the NIR and thermal infrared (TIR) space, and the E1 algorithm derived
161 from four different spectral channels (Simon et al., 2004). The final product
162 is available with a 1 km spatial resolution at monthly intervals, but provides
163 for each burnt pixel also the date of fire detection.

164 Additionally, burnt pixels were identified from the Global Burnt Area
165 2000 (GBA2000) initiative (Grégoire et al., 2003), not for analysis but to ex-
166 clude errors in control pixel data. This approach was chosen since GBA2000
167 does not provide fire date and cannot be used for pRI calculations, but allows
168 to exclude possible burnt pixels in the control pixel selection approach as it
169 has higher estimates burnt area (Boschetti et al., 2004).

170 *2.3. Control pixel data*

171 Control pixels were selected for input in the pRI approach proposed by
172 Lhermitte et al. (2010). The pRI approach employs a pixel-based selection
173 methodology to correct for external influences and phenological variation
174 based on the Díaz-Delgado et al. (1998) logic. To obtain these control pixels
175 that represent the temporal profile of the fire pixel in case the fire had not
176 occurred, the pRI approach combines time series similarity and spatial con-
177 text. The time series similarity condition allows to select control pixels with
178 similar pre-fire vegetation characteristics as the burnt pixel, whereas the spa-
179 tial context condition maximizes similar post-fire environmental conditions.
180 Both these constraint allow the selection of control pixels that can be used
181 to forecast the temporal behavior of each burned pixel if the fire would not
182 have occurred.

183 In this study, the pRI procedure of Lhermitte et al. (2010) is followed to
184 select control pixels from unburnt pixels in both the Globscar and GBA2000
185 data sets. The procedure uses the root mean square distance (RMSD) as
186 time series similarity measure applied one year NDVI time series before the
187 fire (TSS_{RMSD}) and four out of eight candidate control pixels as the spatial
188 context constraint. Based on these constraints, each fire pixel is consid-
189 ered individually as seed pixel. Based on this seed pixel p , a first run is
190 started that compares p with its N_t spatial adjacent pixels that did not burn
191 (i.e., candidate control pixels). If $N_t < 8$, the spatial neighborhood window
192 around is gradually increased (e.g., from a 3 by 3 to a 5 by 5 window) until
193 $N_t \geq 8$. Subsequently, the mean time series of the $x = 4$ most similar candi-
194 date control pixels (based on TSS_{RMSD}) are used to represent the vegetation

195 growth of each burnt pixel in case the fire had not occurred without external
196 influences such as phenology, atmospheric disturbances, etc. .

197 Fig. 2 shows the burnt pixels (reflected by their RMSD in the TSS_{RMSD}
198 methodology in cyan-yellow-red), the selected control pixels (in green) and
199 the original NBR image at 30 m resolution in October 2000 at the end of the
200 fire season (in purple-dark blue). Comparison of the Globscar burnt pixels
201 and the underlying NBR image, shows that the Globscar data effectively
202 succeeded in detecting large burnt areas in the study area. It is also clearly
203 visible that the amount of under-detection of burnt pixels is high, but that
204 these undetected fire pixels are not selected as control pixels. These errors
205 of under-detection, for example, can be seen on the north-western side where
206 large fires are visible in the NBR image that are not detected by the Globscar
207 project. However, as we focus in this paper on the variability in post-fire
208 vegetation regrowth, the accurate amount of burnt pixels is not important
209 and the study can be repeated as soon as better fire inventories become
210 available.

211 *2.4. Satellite data*

212 *2.4.1. Fine spatial resolution*

213 Several studies have demonstrated the utility of spectral indices derived
214 from Landsat imagery to quantify the fire-vegetation dynamics. Although a
215 considerable amount of these studies focused on the use of the normalized
216 difference vegetation index (NDVI), the normalized burn ratio (NBR) has
217 become an operational spectral index for fire effects (for a comprehensive
218 review of remote sensing techniques to assess wildfire severity using Landsat,
219 see French et al. (2008)). The NBR relates to vegetation vigor and moisture

220 by combining TM/ETM+ band 4 (NIR: 0.76-0.90 μm) and band 7 (SWIR:
221 2.08-2.35 μm):

$$\text{NBR} = (\text{NIR} - \text{SWIR}) / (\text{NIR} + \text{SWIR}) \quad (1)$$

222 In most cases, the NBR reliably separates burnt from unburnt surfaces, and
223 optimally identifies a broad gradient of fire-effect levels within the burn.
224 However, when using mono-temporal post-fire imagery, unburned sparsely
225 vegetated areas and burned areas are often confounded (Key and Benson,
226 2005). Therefore, pre- and post-fire NBR images are generally bi-temporally
227 differenced, resulting in the differenced NBR (dNBR), which permits a clear
228 contrast between burned and unburned regions and correlates with burn
229 severity mapped in the field, where exact definitions of burn severity vary
230 but all relate to the degree of environmental change caused by fire (Roy et al.,
231 2006).

232 In this research, the Landsat NBR data were selected as reference layers
233 to describe the inter-annual fire-vegetation dynamics of the 2000 burn scars.
234 The selection of the NBR was based on data availability, cloud cover and
235 the necessity for anniversary image acquisition dates. As such two anniver-
236 sary date images were selected (4 April 2000 and 28 April 2001) before the
237 Landsat SLC failure (Pringle et al., 2009). Both scenes were geometrically
238 corrected based on control points and a first order nearest neighbor algorithm.
239 Subsequently, the images were atmospherically corrected and converted from
240 digital numbers to reflectance values using the ATCOR2 algorithm developed
241 by Richter (2006). Finally, the reflectance values were used to calculate NBR
242 and dNBR by subtracting the 2001 and 2000 NBR images and the NBR an

243 dNBR images were resampled to averaged NBR and dNBR values per 1 km²
244 for each Globscar burnt pixel.

245 *2.5. Coarse spatial resolution*

246 Time series of intra-annual fire-vegetation dynamics were constructed us-
247 ing NDVI time series derived from ten-daily NDVI image composites (S10)
248 from the SPOT-VEGETATION (VGT) sensor over the study area. NDVI
249 data derived from the red (0.61-0.68 μm) and near infrared (0.78-0.89 μm)
250 bands were used since the NBR cannot be calculated due to the absence of the
251 2.08-2.35 μm band onboard of VGT. Preprocessing of the NDVI data was per-
252 formed by the Vlaamse Instelling voor Technologisch Onderzoek (VITO, Mol,
253 Belgium) in the framework of the Global Vegetation Monitoring (GLOVEG)
254 preprocessing chain. It consisted of the Simplified Method for Atmospheric
255 Correction (SMAC) (Rahman and Dedieu, 1994) and compositing of daily im-
256 ages at ten-day intervals based on the Maximum Value Compositing (MVC)
257 criterion (Holben, 1986). The final NDVI data set consisted of ten-daily, 1
258 km resolution S10 composites for the period 1999-2004 with cloud affected
259 pixels masked as missing data.

260 **3. Methodology**

261 To illustrate the importance of the intra-annual fire-vegetation dynamics
262 in comparison with inter-annual burn severity estimates derived from Landsat
263 imagery, (i) the inter-annual fire-vegetation dynamics of both Landsat and
264 VGT images were contrasted to establish a inter-comparison baseline and
265 (ii) metrics of intra-annual dynamics were derived from the VGT data using

266 the pRI approach and these metrics were compared with the inter-annual
267 dynamics of both VGT and Landsat data.

268 *3.1. Inter-annual fire-vegetation dynamics*

269 Two different approaches were applied to compare the inter-annual fire-
270 vegetation dynamics of both Landsat and VGT data. Firstly, a comparison
271 was performed between the bi-temporally differenced Landsat dNBR data
272 and the bi-temporally differenced VGT NDVI values (dNDVI) of correspond-
273 ing dates. Secondly, a comparison was established between the control pixel
274 approach for both Landsat and VGT images for 28 April 2001. This was
275 done by calculating the pRI:

$$\text{pRI} = \text{VI}_{burn} / \overline{\text{VI}}_{control} \quad (2)$$

276 where VI_{burn} is the NBR and NDVI for burnt pixels of the Landsat and
277 VGT data, respectively, and $\overline{\text{VI}}_{control}$ is the mean NBR and NDVI for the
278 selected control pixels of the Landsat and VGT data for each burnt pixel,
279 respectively. Both approaches allow to assess the inter-annual fire-vegetation
280 dynamics as they compare unburnt and burnt pixels, but the former approach
281 is performed on a bi-temporal basis where external differences should be
282 removed using anniversary dates, whereas the latter approach removes these
283 differences using control pixels that reflect the vegetation growth in case
284 the fire had not occurred. The combination of both approaches therefore
285 allows to intercompare Landsat and VGT data, but also permits to assess
286 the influence of external differences on the estimation of inter-annual fire-
287 vegetation dynamics.

288 *3.2. Intra-annual fire vegetation dynamics*

289 To assess the importance of intra-annual dynamics, an integrated change
 290 approach was used, represented by integrated metrics of intra-annual dynam-
 291 ics derived from the VGT data using pRI time series (pRI^t; see Eq. 2) The
 292 integrated change was selected since it incorporates the combined effect of
 293 fire impact and recovery (Ricotta et al., 1999). This approach is relatively
 294 robust to noise in pRI time series as it removes random noise. The integral
 295 was calculated between the ideal post-fire time series pRI^t = 1 (when the fire
 296 did not occur) and the actual pRI^t time series:

$$\text{IpRI} = \sum_{t=t_0}^{t_1} (1 - \text{pRI}^t) \quad (3)$$

297 where t_0 and t_1 define the integration starting and ending dates. These
 298 dates are defined as the moments when pRI^t = 1. For IpRI1 this implies
 299 that t_0 is the burning date and t_1 is the relative recovery date related to
 300 the number of post-fire observations before the pRI^t reaches one (i.e, when
 301 pRI^t = 1 for the first time in Fig. 3, which corresponds to the moment when
 302 $\text{VI}_{burn} = \overline{\text{VI}}_{control}$). For IpRI2, t_1 of pRI1 is used as t_0 , whereas t_1 is derived
 303 from the subsequent moment when pRI^t reaches one (i.e, when pRI^t = 1 for
 304 the second time in Fig. 3). For the subsequent IpRIs, this procedure can be
 305 repeated as t_1 of the previous is as t_0 , and t_1 is derived from the subsequent
 306 moment when pRI^t reaches one. In total, three metrics (IpRI1, IpRI2 and
 307 IpRI3) were calculated and the selection of t_0 and t_1 was limited to one year
 308 after fire to avoid incorporating fire pixels of the 2001 fire season.

309 The computation of t_0 and t_1 , based on the moments when pRI^t equals

310 one, is crucial in the calculation of IpRI values. Therefore, a smoothed
311 pRI curve based on a cubic spline with two knots per year was used to
312 remove outlier values in the estimation of t_0 and t_1 . The cubic spline is based
313 on piecewise polynomial functions (between the knots) that are designed to
314 minimize a weighted combination of the average squared approximation error
315 over observed data (Harrell, 2001). As a result, the smoothed curve provides
316 an approximation of the original pRI^t time series that is more robust to outlier
317 values and that can be used to calculate t_0 and t_1 with higher accuracy.

318 The calculation of the IpRIs is illustrated in Fig. 3, where the dot-dash
319 line represents the cubic spline fitted on the post-fire pRI^t time series. When
320 the cubic spline reaches one, the moments t_0 and t_1 (represented by the
321 dashed lines) are derived and the three IpRI estimates are calculated based
322 on Eq. 3 from the shaded area using the original pRI^t values. As such, the
323 IpRI provides a measures of combined changes due to the fire event.

324 IpRI1 estimates will show large positive values for high fire-vegetation
325 impact. This can be attributed to the large decrease over time in VIs after
326 fire occurrence (Pereira, 2003; Silva et al., 2003), resulting in pRI^t below one
327 and positive IpRI1 values in Eq. 3. Positive IpRI1 values close to zero, on
328 the other hand, will be associated with pixels that show only a small fire
329 impact or contain a very fast recovery, whereas negative values will originate
330 from pixels that are falsely detected or recover before the fire is detected,
331 resulting in pRI^t above one and negative IpRI1 values in Eq. 3. IpRI2 values,
332 on the other hand, will show large negative values when the post-fire pRI
333 reflects an increased vegetation greenness some time after the fire impact
334 due to the nutrient availability, whereas IpRI3 values will show large positive

335 values when the pRI^t drops again after a short period of increased vegetation
336 greenness.

337 4. Results

338 4.1. Inter-annual fire-vegetation dynamics

339 Fig. 4 illustrates the comparison of the bi-temporal estimates of fire-
340 vegetation impact by Landsat dNBR and VGT dNDVI data. Fig. 4a shows
341 the bi-temporal Landsat dNBR data represented by averaged dNBR val-
342 ues per 1 km² for the Globscar burnt pixels, whereas Fig. 4b shows the
343 bi-temporal VGT dNDVI data for the same burnt pixels. In both figures,
344 yellow-red colors indicate positive values, associated with decreased NBR
345 and NDVI values one year after the fire. Cyan-blue colors, on the other
346 hand, reflect negative dNBR and dNDVI values, indicating a higher NBR or
347 NDVI values one year after the fire. Comparison of both figures shows that,
348 although local differences occur, dNBR and dNDVI detect broadly identical
349 spatial patterns when comparing unburnt and burnt pixels. For example,
350 it is clear that both data sets reflect positive dNBR and dNDVI values and
351 high fire-vegetation impact for points 1-3, whereas they show very low or
352 even negative dNBR and dNDVI values for points 4-5. This is also clear
353 when performing a linear regression analysis between Landsat dNBR and
354 VGT dNDVI (not shown), which indicates a statistically significant linear
355 relationship ($p = 0.01$) with a $R^2 = 0.39$.

356 Fig. 5 reflects the comparison of the control pixel estimates of fire-
357 vegetation impact of Landsat NBR and VGT NDVI data, where
358 Fig. 5a-b show the control pixel based pRI of Landsat NBR and VGT NDVI,

359 respectively. Here, yellow-red colors indicate negative values, associated with
360 decreased NBR and NDVI values in comparison with the unburnt control pix-
361 els. Cyan-blue colors, on the other hand, reflect positive dNBR and dNDVI
362 values, indicating a higher NBR or NDVI values for the burnt than for the
363 unburnt control pixels. Again it is clear that both pRI of NBR and NDVI
364 reflect broadly identical spatial patterns, although the maps are more speck-
365 led and less smooth than for dNBR and dNDVI. This is also apparent when
366 performing a linear regression analysis between Landsat pNBR and VGT
367 pNDVI data (not shown), which again indicates a statistically significant
368 linear relationship ($p = 0.01$) but with a lower $R^2 = 0.10$.

369 Although Figs. 4-5 demonstrate agreement between Landsat NBR and
370 VGT NDVI data, comparison of the spatial patterns between both figures re-
371 veals the differences in fire-vegetation impact when using either a bi-temporal
372 approach or a control pixel approach. For example, its is clear that several
373 points which show a high fire-vegetation impact in the bi-temporal approach
374 (e.g., points 2), show a less pronounced fire-vegetation impact when deter-
375 mined by the control pixel approach. This difference illustrates the impor-
376 tance of external differences on the estimation of inter-annual fire-vegetation
377 dynamics. This is also clear from Fig. 6, which shows the difference in mean
378 VGT NDVI (dNDVI) for the 1999-2000 and 2000-2001 November to April
379 growing seasons for both burnt and control pixels. This difference in mean
380 NDVI can be considered an indicator of the phenological difference in to-
381 tal greenness of vegetation between growing seasons (Defries et al., 1995).
382 This difference in total greenness between growing seasons indicates that the
383 spatial patterns of increased and decreased greenness equally affect the fire

384 and control pixels. Moreover, contrasting of Figs. 4 and 6 reveals that the
385 spatial patterns of increased-decreased greenness between growing seasons
386 affects the bi-temporal fire-vegetation approach, as areas of increased green-
387 ness show a smaller fire-vegetation dNBR-dNDVI impact than areas with
388 a decreased greenness. However, as this difference in increased-decreased
389 greenness is similar for the corresponding control pixels, it is evident that
390 the bi-temporal approach does not properly account for changes in phenol-
391 ogy between years.

392 *4.2. Intra-annual fire vegetation dynamics*

393 Figs. 7 show the three IpRI metrics derived from the VGT NDVI pRI^t
394 time series, where Fig. 7a reflects the initial fire-vegetation impact (IpRI1),
395 Fig. 7b illustrates the increased vegetation greenness some time after the fire
396 (IpRI2), and Fig. 7c shows the subsequent pRI^t drop after a short period of
397 increased vegetation greenness. Comparison of these derived metrics reflects
398 the importance the intra-annual dynamics, as many pixels show large posi-
399 tive IpRI1 values, indicating a severe NDVI decrease after fire, but also large
400 negative IpRI2 values, indicating increased vegetation greenness some time
401 after the fire, followed again by large positive IpRI3 values, indicating a new
402 NDVI decrease after the growing season. This is also clear when looking at
403 the pRI^t time series in Figs. 8 of the example points highlighted in Figs. 7.
404 These example points were selected randomly to represent different tempo-
405 ral patterns of fire-vegetation. From Figs. 7 different temporal patterns of
406 fire-vegetation impact can be derived, that show pronounced intra-annual re-
407 growth dynamics. For example, point 1 shows a large fire-vegetation impact,
408 showing large positive IpRI1 values where both pRI^t time series don't reach

409 the pre-fire level of $\text{pRI}^t = 1$ and thus $\text{IpRI2}=\text{IpRI3}= 0$. Point 2, on the other
410 hand, shows a large fire-vegetation impact but reaches $\text{pRI}^t = 1$ within one
411 year after the fire, but doesn't show a decrease afterwards, whereas point 3
412 reaches $\text{pRI}^t = 1$ rapidly, followed by a period of increased vegetation green-
413 ness and a subsequent drop below $\text{pRI}^t = 1$ at the end of the growing season.
414 This drop does not occur for point 4 that shows a large period of increased
415 vegetation greenness after a short fire impact. Point 5 finally shows less pro-
416 nounced intra-annual dynamics, as its variability reaches $\text{pRI}^t = 1$ after some
417 time and then show little deviation from $\text{pRI}^t = 1$.

418 Moreover, Figs. 8 illustrate the moments of the April 2000 and 2001 Land-
419 sat image acquisition, represented by a small triangle. Since these acquisition
420 dates coincide with the end of the growing season, the importance of the an-
421 nual phenological variations related to the growing season can be inferred.
422 For most points, for example, this annual phenological cycle within the pRI^t
423 time series is clearly apparent as it shows different, often higher pRI values
424 during the growing season and than at the end of the growing season. These
425 increased values pRI values during the growing season can also be related to
426 the amount of tree-grass cover (Fig. 1), since all these points typically occur
427 in regions with relative high grass abundance and lower tree fractions.

428 5. Discussion

429 5.1. Comparison of inter- and intra-annual fire vegetation dynamics

430 The comparison of inter-annual fire-vegetation dynamics of both Land-
431 sat and VGT data illustrates two major topics often discussed in literature.
432 **Firstly, it demonstrates the similarity of spatial patterns between NBR and**

433 NDVI data, although the correlation at pixel level is low. This similarity of
434 spatial patterns with differences at the pixel level can also be observed in the
435 study of Fox et al. (2008), who compared dNDVI and dNBR values in a het-
436 erogeneous forest-scrubland-vineyard environment. These low correlations at
437 pixel level could be explained when i) looking at the study of Veraverbeke
438 et al. (2010a,c) who already established moderate R^2 values due to sensor
439 and scale differences when focusing only on dNBR data, and ii) the expected
440 decrease in R^2 when dNBR data are compared to a different index such as
441 dNVDI. The lower R^2 for pNBR and pNDVI values can moreover be justified
442 by the wider probability distribution function when studying a ratio based
443 index (e.g., pNBR and pNDVI) in comparison with a difference based index
444 (e.g., dNBR and dNDVI) (Marsaglia, 1965). Although this low correlations
445 at pixel level indicate that NBR and NDVI cannot be used interchangeably
446 due to high variations at pixel level, the similarity in spatial patterns suggests
447 that the use of the NDVI index at coarse to moderate spatial resolution may
448 provide a valuable alternative for NBR, when the 2.08-2.35 μm wavelength
449 is not available. This was also established by Epting et al. (2005), Escuin
450 et al. (2008), Hoy et al. (2008), Veraverbeke et al. (2010b), and Veraverbeke
451 et al. (2010d) who determined the dNBR as the optimal index to assess wild-
452 fire impact based on field measurements, but also found high correlations
453 for dNDVI. Epting et al. (2005) studied the efficiency of single date imagery
454 to determine the wildfire impact and again the NBR outperformed NDVI,
455 but also high correlations for NDVI were obtained. Nevertheless, when fire
456 specific wavelengths are available at coarse to moderate spatial resolution
457 (e.g., MODIS) the use of fire adapted vegetation indices, such as NBR, may

458 provide a better alternative, since NDVI time series never were designed to
459 capture specific vegetation variation after fire (Lasaponara, 2006).

460 Secondly, the comparison of inter-annual fire-vegetation dynamics indi-
461 cates the importance of the elimination of external influences (e.g., plant
462 phenology) when using multi-temporal Landsat imagery, stressed by Song
463 (2003), Schroeder et al. (2006), Vicente-Serrano et al. (2008), and Verbyla
464 et al. (2008). In this context, the use of the higher temporal frequency of im-
465 agery, (e.g., coarse to moderate resolution imagery such as VGT or MODIS
466 data (Veraverbeke et al., 2010c)) can be a vital complement to traditional
467 Landsat dNBR analysis. Although these coarser data sets fail to express
468 small scale spatial heterogeneity available in Landsat imagery (Key, 2006)
469 and are complex to analyze at the sub-pixel scale (Eckmann et al., 2008)
470 when fires affect vegetation differently within a coarse pixel, they can serve
471 as complementary data to analyze the temporal dimension and provide an
472 alternative for the assessment of burn severity at continental to global scales
473 (e.g., Verbesselt et al. (2010)). When using coarse to moderate spatial resolu-
474 tion data, the control plot approach proposed by Díaz-Delgado et al. (1998)
475 and adapted by Lhermitte et al. (2010) may provide a valuable alternative
476 to represent vegetation regrowth into one index pRI^t that expresses the vari-
477 ation due to regeneration processes without external influences. Bi-temporal
478 assessments can only partly contribute to this interpretation of burn severity
479 as they fail to include intra-annual post-fire vegetation responses.

480 5.2. Consequences for bi-temporal assessments

481 The failure of including these intra-annual post-fire vegetation responses
482 in bi-temporal assessments is clearly apparent when looking at the intra-

483 annual fire-vegetation dynamics represented in Figs. 7-8, where annual and
484 other regeneration processes can be observed. Due to this intra-annual vari-
485 ability, the timing of Landsat image acquisition will greatly influence the
486 derived Landsat measures. This is evident, when looking at the moment of
487 Landsat acquisition in Fig. 8, where the moment of the April 2000 and 2001
488 image acquisition are represented by a small triangle. In this figure, small
489 changes in acquisition dates will lead to different conclusions on post-fire veg-
490 etation interaction due to two main effects. Firstly, fire-induced change de-
491 creases with vegetation recovery (Allen and Sorbel, 2008; Veraverbeke et al.,
492 2010c), especially in quickly recovering ecotypes such as savanna. Allen and
493 Sorbel (2008), for example, established large differences due to fast recov-
494 ery when the image acquisition timing differed in bi-temporal burn severity
495 assessments. Secondly, the seasonal timing determines the vegetation pro-
496 ductivity and wetness of both the control and burned plots which influences
497 the annual phenological cycle and affects the absolute magnitude of change in
498 any bi-temporal data set (Key and Benson, 2006; Veraverbeke et al., 2010c).
499 Verbyla et al. (2008), on the other hand, reported large differences in dNBR
500 values due to a combined seasonality effect of senescing vegetation and chang-
501 ing illumination conditions.

502 The senescing effect in combination with tree-grass interaction plays a
503 crucial role in our study area, as can be seen by looking at the annual phe-
504 nological cycle within the pRI^t time series for the pixel that show low tree
505 fractions in Fig. 1. The importance of this annual phenological cycle was
506 stressed by Fuller et al. (1997), Scanlon et al. (2002), and Lu et al. (2003)
507 who suggested that in savanna ecosystems the grass layer dominates annual

508 cycle of the NDVI signal throughout most of the seasonal cycle, and that only
509 during the senescent dry season the contribution of the tree is relatively more
510 important. This difference can be explained by looking at the strategies for
511 water use, where grasses are considered to be intensive exploiters while trees
512 and shrubs are extensive exploiters (Burgess, 1995). As such, trees, which
513 have root systems that penetrate both the shallow and deeper soil layers,
514 have a more persistent supply of soil water than grasses, which have dense,
515 shallow root systems and depend on water that is ephemerally available in
516 the upper layer of the soil. Relative to trees, grasses exhibit a greater areal
517 expansion of biomass in response to rainfall in savanna ecosystems, whereas
518 short-term greening of trees is restricted by the standing woody biomass. All
519 these factors contribute to greater expected VI response to precipitation by
520 grasses than by trees (Lu et al., 2003). The effects of annual phenological
521 cycle and fast recovery have however severe implications for the use of the re-
522 generation indices in mixed ecosystems with herbaceous cover. For example,
523 pRI observations at certain moment in the growing season tend to indicate
524 a complete vegetation recovery or even increased greenness, whereas this is
525 not necessarily true for the woody vegetation component. All together, these
526 effects limit any comparison of two bi-temporal fire-vegetation impact assess-
527 ments and link closely to the recent confusion in post-fire effects terminology
528 (fire severity, burn severity, ecosystem response, etc.) (Keeley, 2009).

529 One of the main interests of estimating the spatio-temporal variability
530 of fire-vegetation dynamics is the categorization of the fire-affected pixels in
531 severity classes (Epting et al., 2005; Key and Benson, 2005). This classifica-
532 tion is however not straightforward due to the difficulty to compare dNBR

533 assessments between different fire dates and ecosystems (Eidenshink et al.,
534 2007; Lentile et al., 2007; Miller and Thode, 2007). Miller and Thode (2007)
535 proposed a relative version of the dNBR that allows the comparison among
536 different land cover types, especially in heterogeneous landscapes. This ap-
537 proach does not handle timing differences which may be present among dif-
538 ferent assessments. Consequently, the absolute values of bi-temporal dNBR
539 maps are highly dependent on the timing of the assessment and caution is
540 advised when using the bi-temporal values to monitor and compare trends in
541 fire-vegetation impact in time or across regions. The use of pRI^t time series,
542 however, shows to have potential as a input parameter to spatio-temporally
543 compare trends in fire-vegetation impact.

544 In this study, we proposed a metric IpRI that integrates this temporal
545 variability. This approach was selected to average individual errors over
546 time and remove random noise (the expected integral of random noise is
547 zero). IpRI estimates are therefore robust random noise and are moreover
548 relatively independent to small errors in the determination of t_0 and t_1 . This
549 can be explained by the fact the pRI^t values at the end of the recovery only
550 minimally contribute to the total IpRI. This integrative approach is as such a
551 first step to a spatio-temporal approach to assess severity, which can also be
552 applied on different data sets (e.g., Veraverbeke et al. (2010a)), but it should
553 also be tested and refined in different ecosystems with other environmental
554 and fire characteristics where, for example, a vegetation greenness increase
555 some time after the fire impact followed by a new greenness drop after a
556 short period are not expected. Therefore, interpretation of IpRI signals in
557 these ecosystems will be different, but the integration of temporal variability

558 can still provide a valuable approach due to its robustness to noise.

559 **6. Conclusion**

560 Wildfires play an essential role in several ecological processes and affect
561 the vegetation regrowth at a variety of spatial and temporal scales. Several
562 studies have investigated the potential of satellite imagery to quantify the
563 spatio-temporal fire-vegetation impact over large areas. Most studies how-
564 ever depend on Landsat image availability, for which image acquisition dates
565 are limited, resulting in a reduced capacity to capture the intra-annual fire-
566 vegetation dynamics and the difficulty to compare different fire plots and
567 dates. The objective of this paper was to illustrate the importance of the
568 intra-annual fire-vegetation dynamics in comparison with inter-annual burn
569 severity estimates derived from Landsat imagery. In this context, a savanna
570 pilot study area was selected based on its combination of woody and herba-
571 ceous vegetation, which show different intra- and inter-annual vegetation
572 dynamics and vegetation greenness.

573 Four main conclusions can be derived from this analysis on intra-annual
574 fire-vegetation dynamics based on the comparison of Landsat NBR and VGT
575 NDVI data:

- 576 • It demonstrated the similarity in spatial patterns when using NBR and
577 NDVI data in both a bi-temporal and control pixel approach.
- 578 • It revealed the importance of the elimination of external influences (e.g.,
579 phenological variations) when using bi-temporal Landsat imagery. This
580 confirmed the importance of the control pixel approach (pRI) which

581 provides a valuable alternative to represent vegetation regrowth into
582 one index pRI^t that without the effect of external influences.

- 583 • The use of the pRI and the integrated metric IpRI confirmed the failure
584 of including intra-annual post-fire vegetation responses in bi-temporal
585 assessments, especially in quickly recovering ecotypes such as savanna
586 where the grass layer dominates the annual NDVI cycle throughout
587 most of the season.

- 588 • It illustrated the potential of pRI^t time series to operate as a input pa-
589 rameter to spatio-temporally compare trends in fire-vegetation impact.

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857 **Captions & figures**

858 Fig. 1: Location of the study area and fraction tree cover per pixel (in
859 percent) derived from MODIS vegetation continuous fields (VCF) of the year
860 2000. Additionally, the location of points discussed in Fig. 8 is indicated.

861 Fig. 2: Image overlay of (i) original Landsat NBR image at 30 m resolution
862 at 10 October 2000 at the end of the fire season (purple-dark blue), (ii) burnt
863 pixels reected by their RMSD in the TSS_{RMSD} approach (cyan-yellow-red)
864 and (iii) the set of selected control pixels (green) for all fire pixels.

865 Fig. 3: Illustration of the IpRI calculation, where (i) the dot-dash line
866 represents the cubic spline fitted on the post-fire pRI^t time series, (ii) the
867 moments t_0 and t_1 are displayed as dashed lines and (iii) the three IpRI
868 estimates (IpRI1, IpRI2, IpRI3) are calculated from the shaded area using
869 the original pRI^t values and $pRI^t = 1$.

870 Fig. 4: Comparison of the bi-temporally differenced Landsat dNBR and
871 VGT dNDVI data: a) Landsat bi-temporal averaged dNBR values per 1 km^2
872 for the Globscar burnt pixels, b) VGT bi-temporal dNDVI data for the same
873 burnt pixels. In both figures, yellow-red colors indicate positive values, asso-
874 ciated with decreased NBR and NDVI values one year after the fire. Cyan-
875 blue colors, on the other hand, reflect negative dNBR and dNDVI values,
876 indicating a higher NBR or NDVI values one year after the fire. Addition-
877 ally, the location of points discussed in Fig. 8 is indicated.

878 Fig. 5: Comparison of the control pixel based estimates of fire-vegetation
879 impact based on pRI for Landsat NBR and VGT NDVI data: a) pRI for
880 Landsat NBR values, b) pRI for VGT NDVI data. In both figures, yellow-
881 red colors indicate negative values, associated with decreased NBR and NDVI

882 values with respect to the control pixels. Cyan-blue colors, on the other hand,
883 reflect positive pRI values, indicating a higher NBR or NDVI values for the
884 burnt pixels than for the control pixels. Additionally, the location of points
885 discussed in Fig. 8 is indicated.

886 Fig. 6: Illustration of the difference in mean VGT NDVI (dNDVI) for the
887 1999-2000 and 2000-2001 November to April growing seasons for both burnt
888 and control pixels, where control pixels are delineated in blue.

889 Fig. 7: Map of the three derived IpRI metrics derived from the VGT NDVI
890 pRI^t time series: a) the initial fire-vegetation impact (IpRI1), b) the increased
891 vegetation greenness some time after the fire (IpRI2), c) the subsequent pRI^t
892 drop after a short period of increased vegetation greenness (IpRI3).

893 Fig. 8: Illustration of the IpRI calculation for individual points in Figs. 1,4-
894 5, 7, where (i) the dot-dash line represents the cubic spline fitted on the
895 post-fire pRI^t time series, (ii) the moments t_0 and t_1 are displayed as dashed
896 lines and (iii) the IpRI estimates are calculated from the shaded area using
897 the original pRI^t values and $pRI^t = 1$, and (iv) the April 2000 and 2001
898 Landsat image acquisition are represented by a small triangle: a) pt.1, b)
899 pt.2, c) pt.3 , d) pt.4, e) pt.5 .

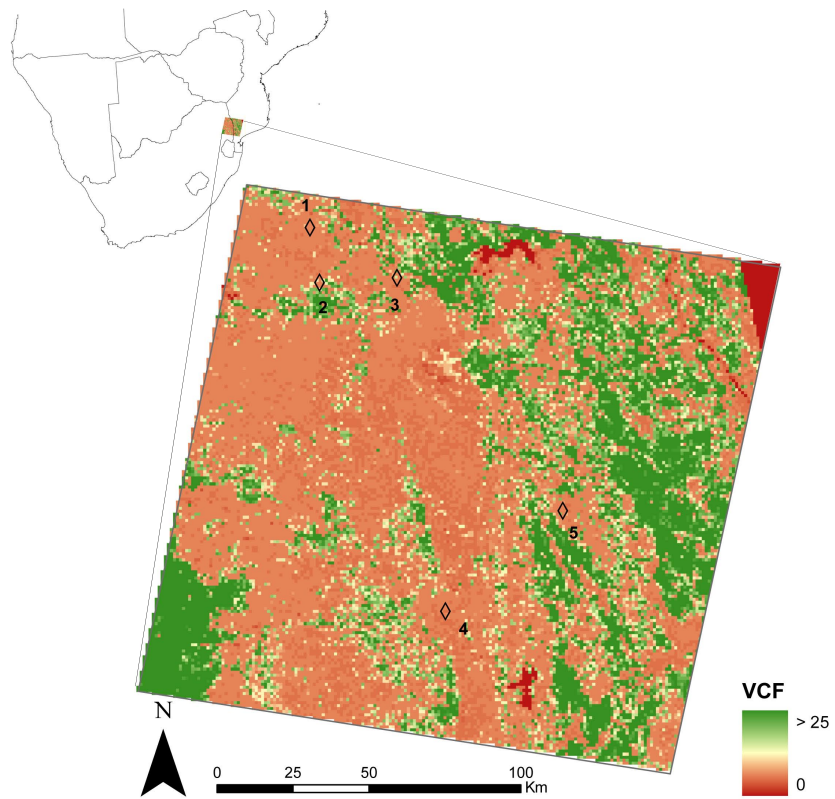


Figure 1: Location of the study area and fraction tree cover per pixel (in percent) derived from MODIS vegetation continuous fields (VCF) of the year 2000. Additionally, the location of points discussed in Fig. 8 is indicated.

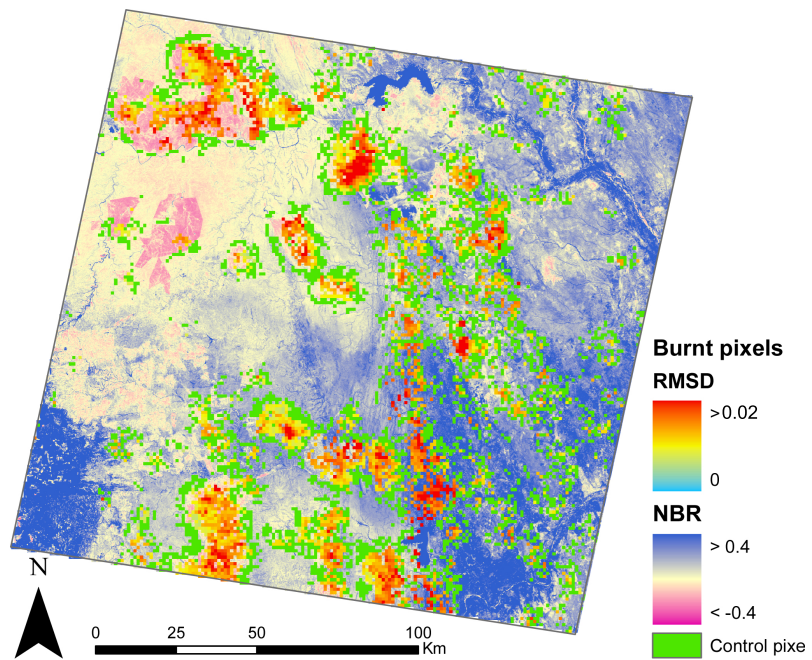


Figure 2: Image overlay of (i) original Landsat NBR image at 30 m resolution at 10 October 2000 at the end of the fire season (purple-dark blue), (ii) burnt pixels reected by their RMSD in the TSS_{RMSD} approach (cyan-yellow-red) and (iii) the set of selected control pixels (green) for all fire pixels.

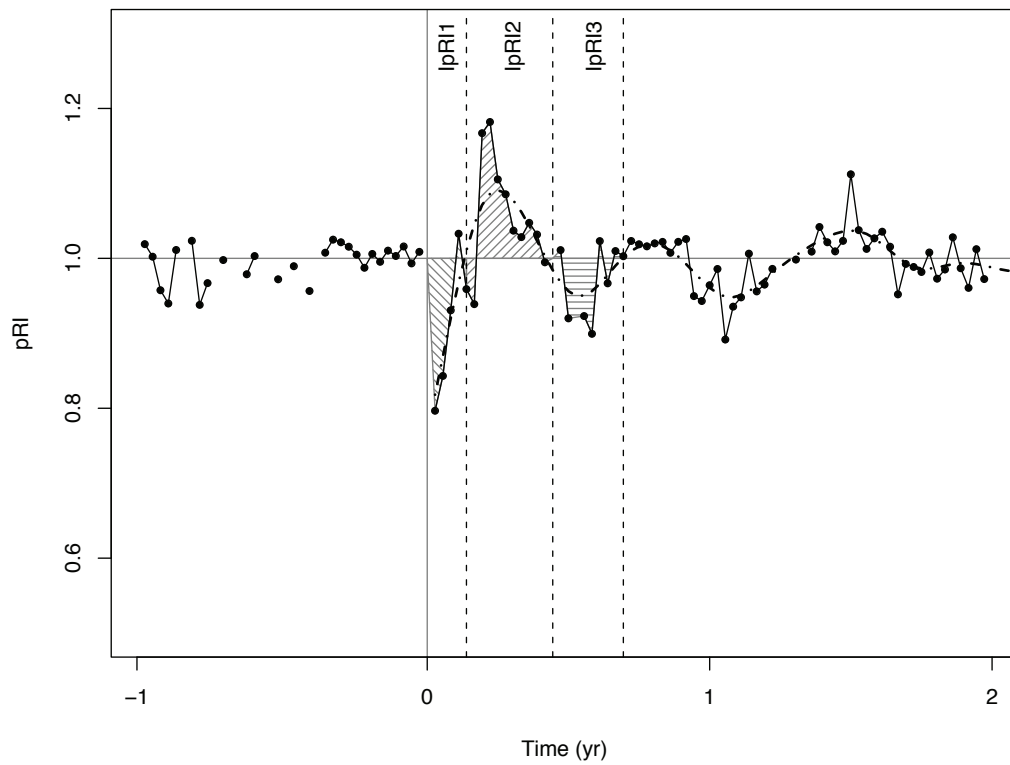


Figure 3: Illustration of the I_{pRI} calculation, where (i) the dot-dash line represents the cubic spline fitted on the post-fire pRI^t time series, (ii) the moments t_0 and t_1 are displayed as dashed lines and (iii) the three I_{pRI} estimates (I_{pRI1} , I_{pRI2} , I_{pRI3}) are calculated from the shaded area using the original pRI^t values and $pRI^t = 1$.

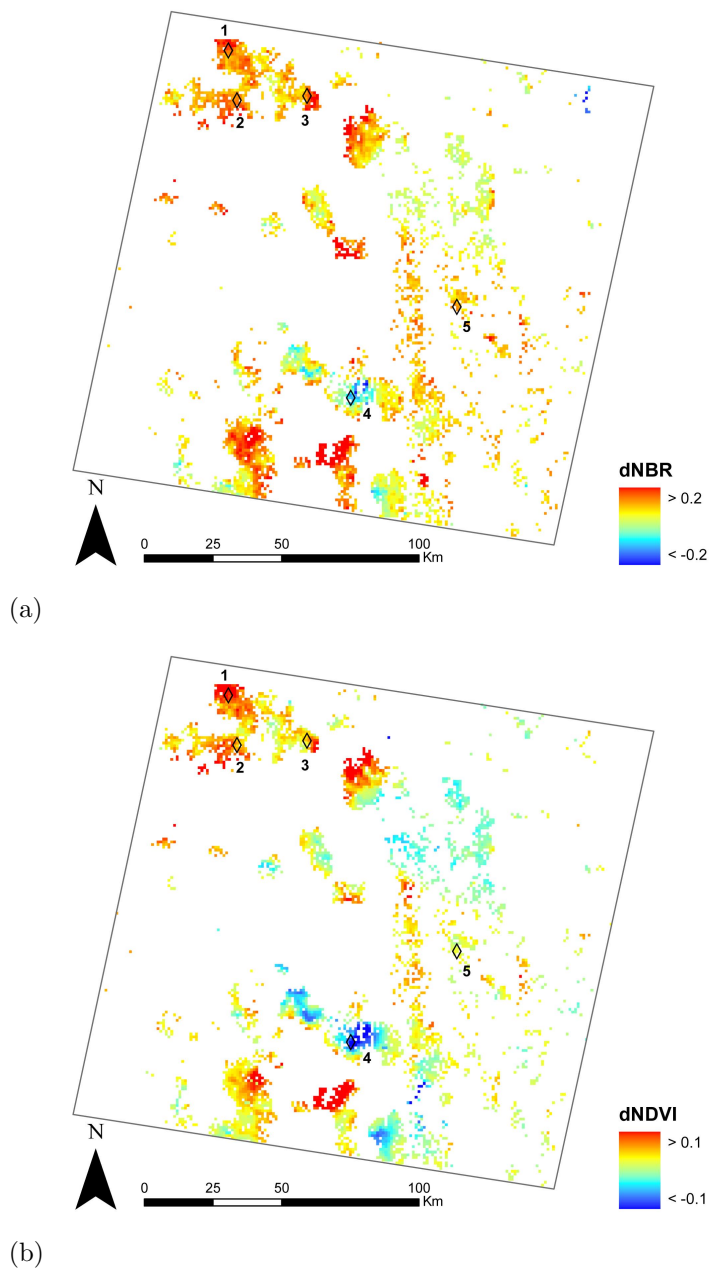


Figure 4: Comparison of the bi-temporally differenced Landsat dNBR and VGT dNDVI data: a) Landsat bi-temporal averaged dNBR values per 1 km² for the Globscar burnt pixels, b) VGT bi-temporal dNDVI data for the same burnt pixels. In both figures, yellow-red colors indicate positive values, associated with decreased NBR and NDVI values one year after the fire. Cyan-blue colors, on the other hand, reflect negative dNBR and dNDVI values, indicating a higher NBR or NDVI values one year after the fire. Additionally, the location of points discussed in Fig. 8 is indicated.

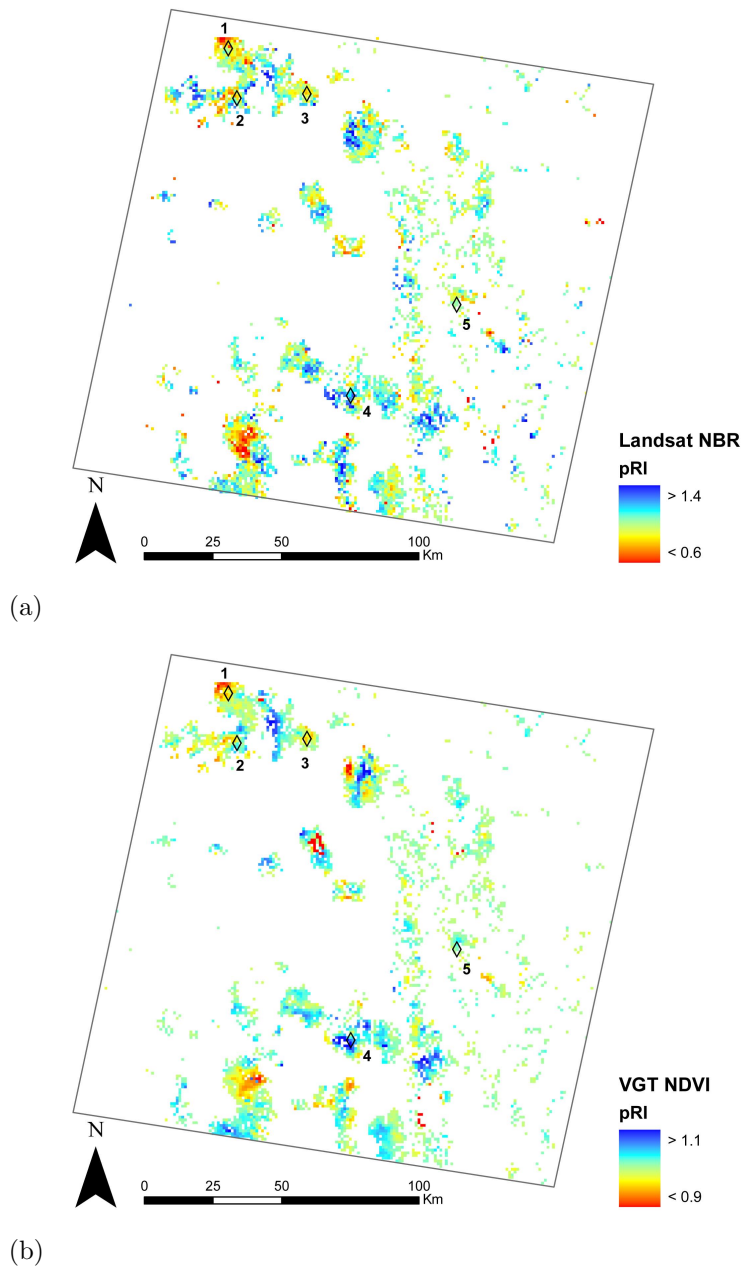


Figure 5: Comparison of the control pixel based estimates of fire-vegetation impact based on pRI for Landsat NBR and VGT NDVI data: a) pRI for Landsat NBR values, b) pRI for VGT NDVI data. In both figures, yellow-red colors indicate negative values, associated with decreased NBR and NDVI values with respect to the control pixels. Cyan-blue colors, on the other hand, reflect positive pRI values, indicating a higher NBR or NDVI values for the burnt pixels than for the control pixels. Additionally, the location of points discussed in Fig. 8 is indicated.

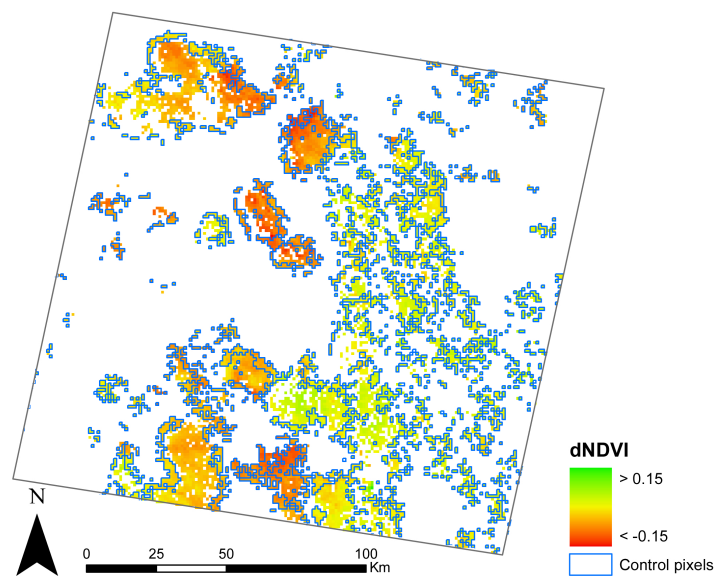


Figure 6: Illustration of the difference in mean VGT NDVI (dNDVI) for the 1999-2000 and 2000-2001 November to April growing seasons for both burnt and control pixels, where control pixels are delineated in blue.

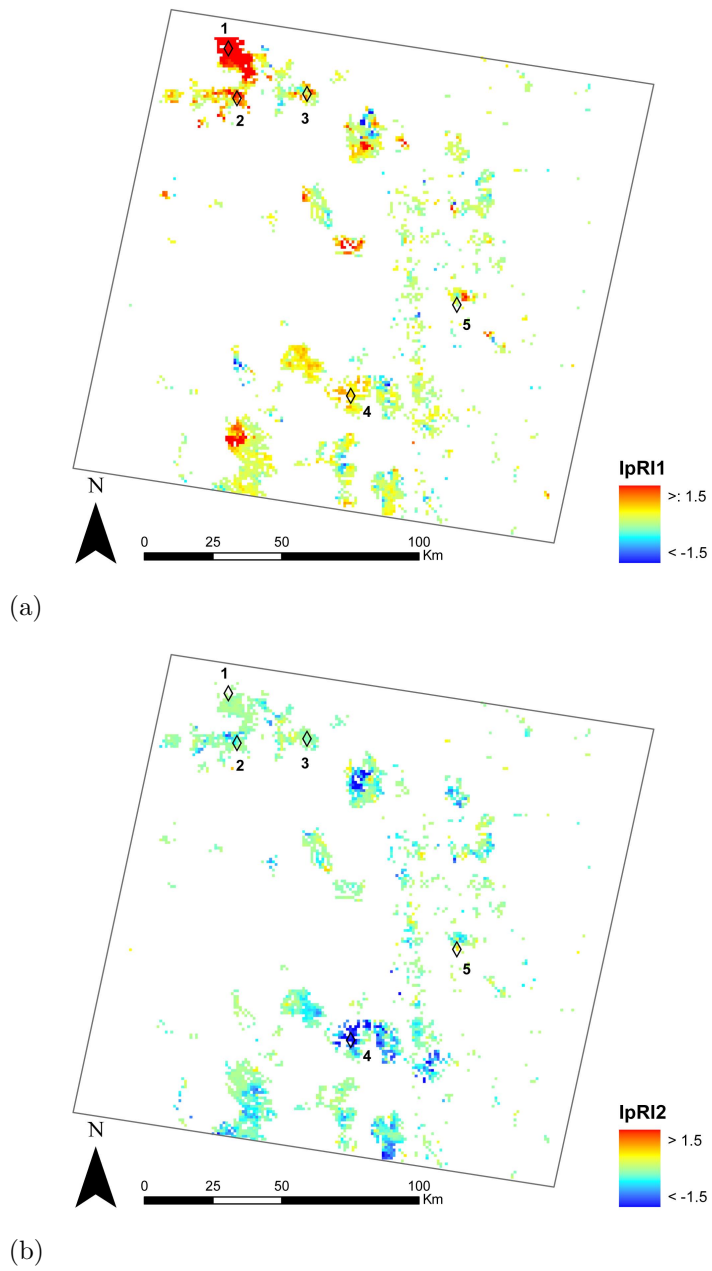
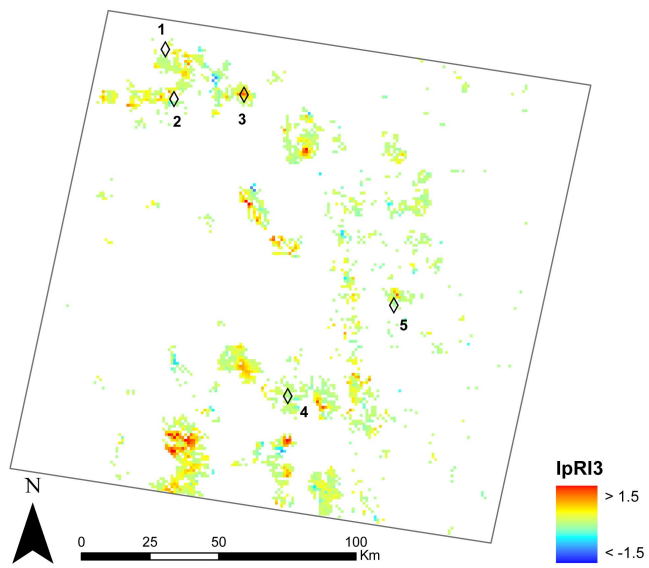
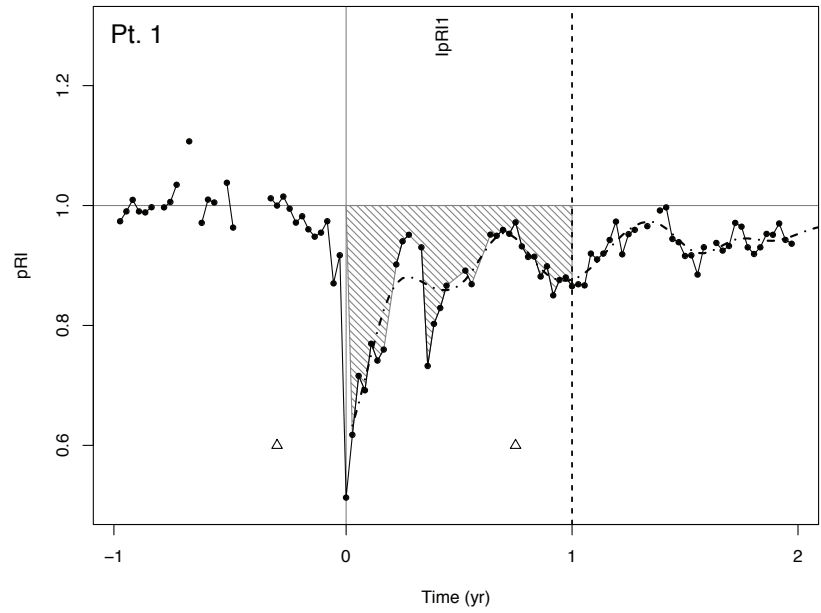


Figure 7: Map of the three derived IpRI metrics derived from the VGT NDVI pRI^t time series: a) the initial fire-vegetation impact (IpRI1), b) the increased vegetation greenness some time after the fire (IpRI2). Additionally, the location of points discussed in Fig. 8 is indicated.

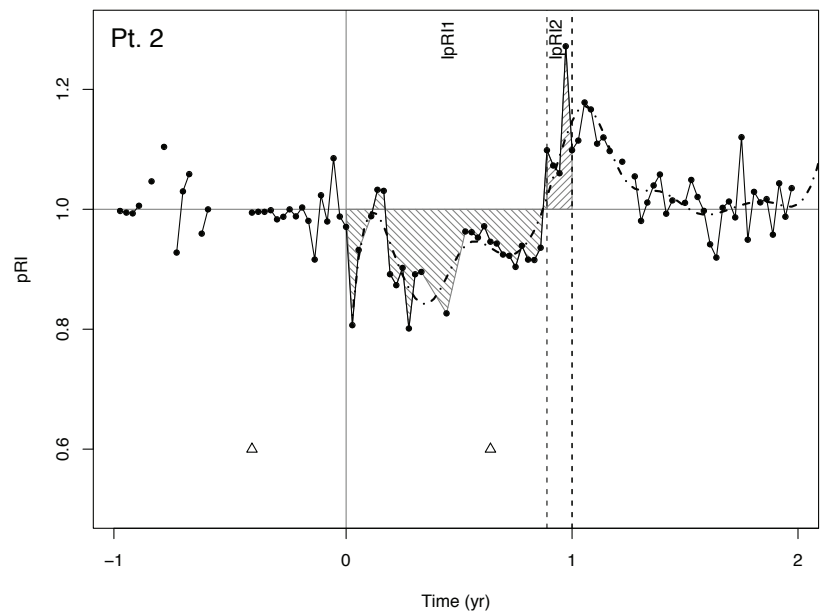


(c)

Figure 7: Map of the three derived IpRI metrics derived from the VGT NDVI pRI^t time series [cont.]: c) the subsequent pRI^t drop after a short period of increased vegetation greenness (IpRI3). Additionally, the location of points discussed in Fig. 8 is indicated.

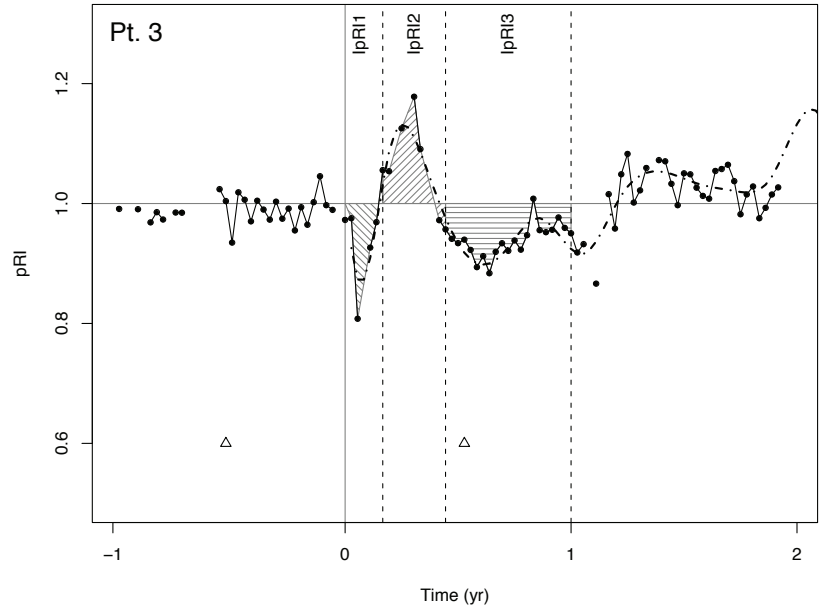


(a)

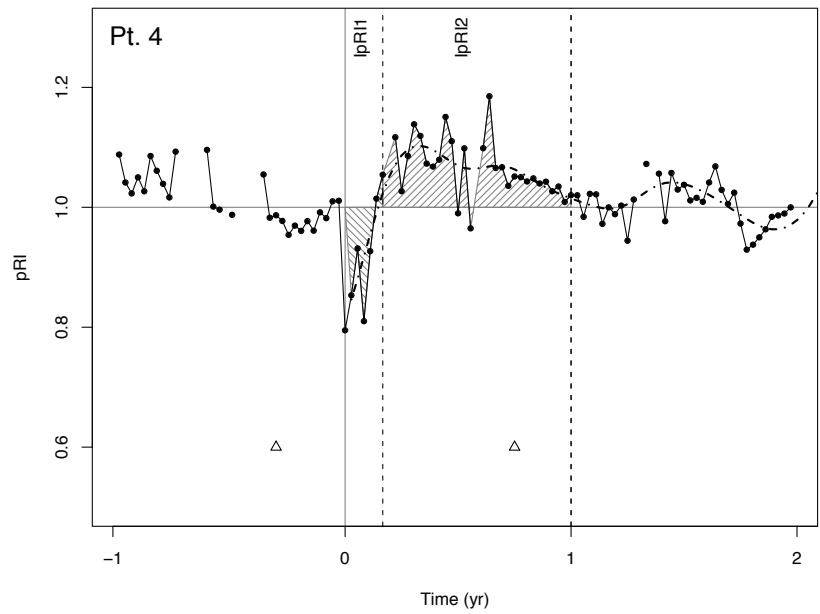


(b)

Figure 8: Illustration of the IpRI calculation for individual points in Figs. 1,4-5, 7, where (i) the dot-dash line represents the cubic spline fitted on the post-fire pRI^t time series, (ii) the moments t_0 and t_1 are displayed as dashed lines and (iii) the IpRI estimates are calculated from the shaded area using the original pRI^t values and $pRI^t = 1$, and (iv) the April 2000 and 2001 Landsat image acquisition are represented by a small triangle: a) pt.1, b) pt.2

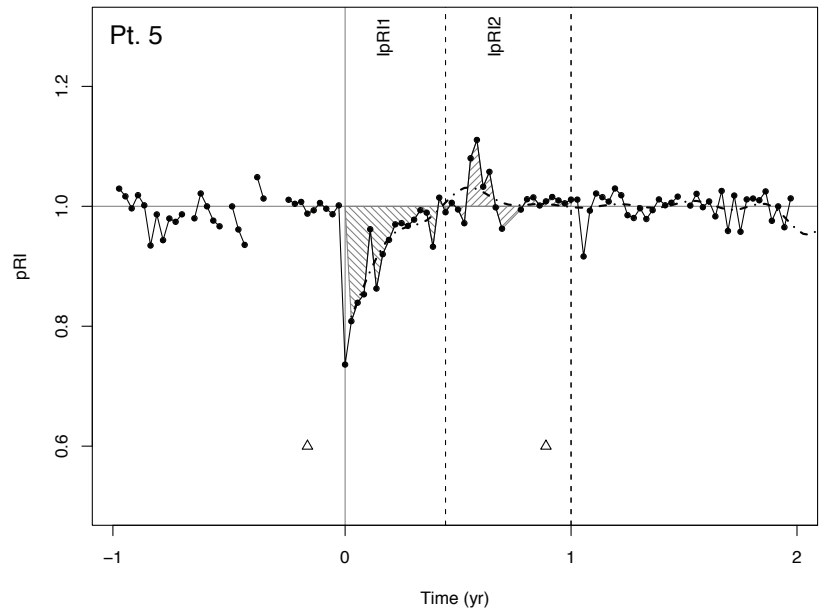


(c)



(d)

Figure 8: [Cont.] Illustration of the IpRI calculation for individual points in Figs. 1,4-5, 7, where (i) the dot-dash line represents the cubic spline fitted on the post-fire pRI^t time series, (ii) the moments t_0 and t_1 are displayed as dashed lines and (iii) the IpRI estimates are calculated from the shaded area using the original pRI^t values and $pRI^t = 1$, and (iv) the April 2000 and 2001 Landsat image acquisition are represented by a small triangle: c) pt.3 , d) pt.4.



(e)

Figure 8: [Cont.] Illustration of the IpRI calculation for individual points in Figs. 1,4-5, 7, where (i) the dot-dash line represents the cubic spline fitted on the post-fire pRI^t time series, (ii) the moments t_0 and t_1 are displayed as dashed lines and (iii) the IpRI estimates are calculated from the shaded area using the original pRI^t values and $pRI^t = 1$, and (iv) the April 2000 and 2001 Landsat image acquisition are represented by a small triangle: e) pt.5