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SURVEY

Multicriteria Models for Just-in-Time Scheduling

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Just-in-Time manufacturing consists in organizing the production of elements in order to meet a certain number of objectives or requirements according to the so-called "Just-in-Time philosophy". Just-in-Time has been extensively studied in the literature for many years due to the high number of real-life situations where it can be applied. This paper aims at revisiting Just-in-Time principles and detailing how they can be applied to the scheduling stage of a manufacturing process. Therefore, new models which are multicriteria ones by their very nature, are presented and discussed. The conclusions highlight the fact that most of the existing models presented in the scheduling literature happen to be incomplete regarding Just-in-Time principles.

Keywords: Just-in-Time scheduling; Multicriteria scheduling; Models

1. Introduction

Production management has been a research subject for many researchers and engineers over the years and several general policies, philosophical principles, have been set up. Just-in-Time manufacturing (JiT) is one of those resulting principles. JiT manufacturing concentrates on the production stage and advocates the elimination of wastes by optimizing the manufacturing process. This includes optimizing the organization of the shop, the relations with customers and the production process. As outlined by Kannan and Tan (2005), JiT principles improve the production process of a company under the condition of a good integration of customers and suppliers into the production process. From an historical point of view, interest in JiT manufacturing appeared after the Second World War in the Toyota factories (see a short description in Pinedo and Chao (1999)) which had to meet due dates for orders whilst dealing with the non negligible storage cost of the orders produced.

This paper deals with production scheduling and concentrates on JiT principles. Scheduling consists in deciding on the allocation of tasks on resources over time in order to optimize a given number of objectives also called criteria (T'kindt and Billaut 2006). A cursory glance at the literature shows that two types of papers dealing with JiT scheduling

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1 exist. There are papers mainly focusing on JiT production and papers
2 concentrating on solution techniques applied to JiT scheduling. Gen-
3 erally the former take account of JiT principles and are often related
4 to real-life applications. The latter, on the contrary, focus on more or
5 less simple models for which very dedicated scheduling algorithms are
6 provided. However, these models can be far from the reality of JiT pro-
7 duction. The aim of this paper is, first, to propose a general formulation,
8 a multicriteria one by its very nature, that answers all the requirements
9 of a JiT production system (section 2). Next, literature on JiT schedul-
10 ing is revisited in the light of the previous formulation (section 3) and
11 new models are proposed (section 4).

12 Notice that this paper is not dedicated to solution algorithms but focuses more on
13 models, existing or new ones, and their links and impacts in terms of a JiT policy.
14 The main contribution also results from the gathering of several features related to
15 lot-streaming and multicriteria optimization which has never been studied before.
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20 2. Multicriteria Just-in-Time scheduling

21 2.1 General Just-in-Time principles

22 Numerous definitions of a JiT production system can be found in the literature.
23 Among others, Nollet *et al.* (1994) describe such a system as one which “processes
24 and delivers finish goods *just-in-time* to be sold, components *just-in-time* to be
25 assembled into finished goods and materials bought *just-in-time* to be converted
26 into components”. In a JiT production system, quality and productivity have to
27 be improved at all stages of the industrial system. This implies reducing wastes
28 and taking into account human factors (Nollet *et al.* 1994). This reduction is
29 the main challenge when implementing a JiT system and the term “waste” has
30 usually to be defined depending on the real production system. However, Nollet *et al.*
31 gather a series of general elements as potential sources of wastes.

32 There are *wastes due to overproduction* which induce wasteful storage costs,
33 increased human requirements, *etc.*, opposed to *wastes due to waitings* caused
34 by machine breakdowns for instance. There are *wastes due to an inadequately*
35 *organized shop floor* (wasteful transportation and material handling, for instance,
36 when two resources are too far from each other) or *wastes due to a failing or*
37 *badly prepared production process*. In the same vein, there can be *wastes due to*
38 *production flaws*. At last, and this is of a high importance at the planning and
39 scheduling phase, there are *wastes due to the storage of in-process or finished*
40 *goods*. This is a crucial point in a JiT policy.

41 Another way to define briefly the JiT philosophy, which is complementary to
42 that of Nollet *et al.*, is given by Baglin *et al.* (2001): each product must ideally be
43 processed on a “chain of machines”. This means that when an order (a job) enters
44 the shop it has to be processed by the machines ideally without waiting time, as
45 if they were available for it alone. This is the *smoothing* of the job flow. Clearly,
46 each machine must also have a smooth flow of jobs to process in order to be made
47 cost-effective which, in a sense, can be conflicting with the smoothing of job flow.

48 All the above elements are production based, but in this paper we focus on
49 the scheduling component of the production system. Therefore, only a subset of
50 the above quoted notions concerns scheduling. Firstly, the notion of “chain of
51 machines” can be easily translated into the “no-wait” constraint of the classic
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1 scheduling theory (see T'kindt and Billaut (2006) for a formal definition of that
2 constraint). However, in the case of "pretty close" due dates, imposing the no-wait
3 constraint may result in increasing the tardiness of products, and therefore
4 customer dissatisfaction. Consequently, we may have to violate this constraint and
5 thus increase in-process storage costs in order to limit the tardiness in producing
6 orders. This means that strictly processing products on a "chain of machines"
7 is a concept that may conflict with that of limiting wastes due to the storage
8 of raw materials and customer dissatisfaction. Limiting wastes caused by the
9 storage of materials is, as quoted by Nollet *et al.* (1994), a key point in a JiT
10 production system. Storage is related to three distinct elements of production:
11 the raw materials, the in-process and subcontracted components, and the finished
12 products. The aim is, therefore, to improve the quality and productivity at each
13 level where these elements are encountered in order to reduce the induced storage
14 costs and to answer as much as possible the lead times (Schonberger 1982).
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16

17
18 Now let us consider the JiT principles. First of all, one should notice that it is
19 merely impossible to propose a very general JiT model: we just aim at proposing
20 a quite general JiT formulation, limited to the scheduling component, in the light
21 of general JiT principles.

22 Firstly, let us consider the arrival of raw materials on the shop floor. Due to
23 contractual aspects and to the production for suppliers of raw materials, the need
24 for these ones is often evaluated at a mid-term planning level, *i.e.* long before the
25 scheduling phase. Based on the routings and the decomposition of a product, the
26 required raw materials and components are often ordered independently of the
27 scheduling phase. Hence, during the Material Requirements Planning phase in a
28 MRP system, we decide which materials will be made available in the shop, in
29 which quantity and when. As in this phase we do not have an accurate view of
30 what will be the real operations schedule, so materials are usually made available
31 in the shop before the start of such a schedule (or sufficiently early before an
32 operation, requiring materials, starts). Thus, the calculation of a schedule in
33 a JiT environment can be achieved by considering that each job has a release
34 date corresponding, at least, to the arrival of the raw materials or components
35 in an assembly system. Consequently, this one can correspond to the maximum
36 date among the delivery times negotiated with the suppliers of the required raw
37 materials. Release dates can also occur for internal reasons, as for instance when
38 the production has been balanced in time at the medium-term planning phase.
39 In this case, release dates can be dispatched to take into account the limited
40 production capacities.
41

42 The situation for in-process and subcontracted components is different, notably
43 for work-in-process components because they induce storage constraints and costs
44 which are directly related to the operations schedule. This is also the case for
45 finished products. It follows that, when calculating a JiT schedule, the limitation
46 of work-in-process and finished products storage must be taken into account. As a
47 consequence, reducing storage leads to reduce lead times and products tend to be
48 produced on a "chain of machines". At the end of the chain, the customer is taken
49 into account via the customer dissatisfaction, *i.e.* a measure of the potential cost
50 implied by delivering a job late, regarding the contractual due date of the job.
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54 **Regarding the limitation of work-in-process and the reduction of lead**
55 **times it can be interesting, whenever possible, to consider at the schedul-**
56 **ing phase the job lot-streaming. Lot-streaming consists in splitting each**
57 **operation of a job into sublots with the condition that a subplot can be**
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1 started on the next machine in the routing without waiting for the com-
2 pletion of the whole operation on the current machine. Lot-streaming
3 combines lot splitting and operations overlapping, and is induced by
4 the capability of a production system to transfer part of an operation.
5 Clearly, lot-streaming is a matter of scheduling and can result in reduc-
6 ing the time needed to produce a job and in reducing the time spent
7 by an operation in a storage area. It follows that we might be inter-
8 ested in considering the lot-streaming constraint in the context of a JiT
9 scheduling model.

10
11 In the remainder of this section we first review literature on lot-streaming schedul-
12 ing models before developing a mathematical formulation of the costs to be min-
13 imized when calculating a JiT schedule.
14

15 16 17 2.2 The lot-streaming constraint in scheduling

18 It is interesting to notice that in the case of *divisible* jobs (*i.e.* jobs made up of
19 divisible parts) the lead times can be reduced by enabling lot-streaming. The
20 latter consists in transferring any subplot of an operation (*i.e.* a part of the job) to
21 the next machine without waiting for the completion of the whole operation (see
22 Figure 1). All sublots of the same job must be sequentially processed on any given
23 machine, and between two of these sublots voluntary idle times can be inserted if
24 this helps reducing the costs. The problem of determining the number and size of
25 sublots per job is called the *lot-streaming problem*.
26
27

28
29

Insert Figure 1 about here

30

31 Lot-streaming has been the subject to various studies in the schedul-
32 ing field along the years. A comprehensive survey of lot-streaming
33 based problems and algorithms can be found in Baker and Jia (1993),
34 Potts and van Wassenhove (1994), Triesch and Baker (1994), Chang
35 and Chiu (2005) and Sarin and Jaiprakash (2007). These surveys show
36 the diversity in the use of the lot-streaming constraint and that a clas-
37 sification of problems, encountered in practice or in the literature, can
38 be established depending on several factors. The first one is the subplot
39 configuration, which enables us to distinguish between: problems with
40 different number and size of sublots per job, problems with *consistent*
41 sublots for which the number of sublots is equal for all operations,
42 problems with equal-size sublots for which all operations of the same job
43 are decomposed into equal-size sublots, etc. Scheduling problems with
44 lot-streaming can also be distinguished according to the presence or
45 not of setup times before each subplot, of transfer times between sublots
46 on two consecutive processing machines or of possible inserted idle
47 times between sublots. The literature on lot-streaming and scheduling
48 is rich of publications, but it appears that most of them deal with the
49 minimization of the makespan. To the best of our knowledge, the only
50 work dealing with the lot-streaming constraint in JiT scheduling is
51 due to Yoon and Ventura (2002b) who consider a flowshop scheduling
52 problem with earliness and tardiness penalties. They notably show that,
53 when the sequence of jobs is given, the lot-streaming problem can be
54 solved in polynomial time by means of linear programming for various
55 subplot configurations (equal-size sublots and consistent sublots) and
56 various additional constraints (no-wait constraint, blocking constraint,
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1 limited capacity buffers, ...).

2
3 On the solution side, the sequencing and lot-streaming problems are rarely
4 solved together. Generally, due to the complexity of these problems, they are
5 solved separately in a heuristic iterative scheme. An example of solution of this
6 kind of problems can be found in Dauzère-Pérès and Lasserre (1997) which deals
7 with the jobshop scheduling problem with makespan minimization. The authors
8 consider the case of consistent sublots, *i.e.* all the jobs are split into the same
9 number of sublots, but for an operation all the sublots may have a different size.
10 The main line of their heuristic algorithm is as follows. First, lot sizes are fixed
11 and the sequencing problem is solved by means of an existing heuristic for the
12 jobshop problem. Next, the sequence is assumed to be fixed and the lot-streaming
13 problem is solved via the solution of a linear program. Starting for the obtained
14 lot sizes, the process sequencing/lot-streaming is iterated until no improvement
15 on the makespan criterion is obtained. The computational experiments proposed
16 in Dauzère-Pérès and Lasserre (1997) show that this heuristic provides good results.
17
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19

20 **In this paper, we consider the case of equal-size sublots with no trans-**
21 **fer time, no setup time between two sublots of the same operation, and**
22 **possible inserted idle times. Such assumptions have been considered in**
23 **most of the scheduling problems with lot-streaming dealt with in the**
24 **literature (Sarin and Jaiprakash 2007). Besides, and as argued by Ra-**
25 **masesh *et al.* (2000), “the assumption of equal-size sublots is made in**
26 **the interest of analytical tractability and is clearly justifiable and prac-**
27 **tical”.** Consequently, the model proposed in the remainder can be eas-
28 ily generalized to other subplot configurations as for instance consistent
29 sublots.
30
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34 2.3 Modelling of production costs in JiT scheduling for shop problems

35 Let us define the general scheduling problem under consideration. Assuming that
36 n jobs J_i have to be scheduled on a set of m machines, the routing for job J_i is
37 defined by $\pi_i = (\pi_i(1); \pi_i(2); \dots; \pi_i(m))$ with $\pi_i(k)$ the number of the k -th machine
38 which processes J_i . Whenever sequences $\pi_i, \forall i = 1, \dots, n$, are fixed, we face a
39 jobshop or flowshop problem and if determining these sequences is a part of the
40 problem, then we face an openshop problem.
41

42 **Besides, we assume that each job J_i is made up of q_i indivisible**
43 **elements and can be decomposed into equal-size sublots according to**
44 **the lot-streaming constraint. This implies that all jobs are split into δ**
45 **sublots with δ a variable to compute and the q_i 's being part of the data.**
46 This assumption enables us to be more general than in classic scheduling where
47 jobs are often *indivisible*, *i.e.* $q_i = 1, \forall i = 1, \dots, n$. We define jobs as *divisible*, if
48 $q_i > 1, \forall i = 1, \dots, n$ and in that case a fixed division cost, referred to as λ_i , exists
49 for each job J_i . The processing of the q_i elements of job J_i on machine M_j requires
50 a processing time equal to $p_{i,j}$ and we assume that the processing of one element
51 is equal to $\frac{p_{i,j}}{q_i}$. The completion time of job J_i on machine M_j is denoted by $C_{i,j}$
52 and is a result of the schedule.
53

54 As outlined in section 2.1 each job J_i has a release date, denoted by r_i , which
55 corresponds to the arrival date of the raw materials requested for the processing
56 of that job. Similarly, a due date d_i is set up and corresponds to the delivery
57 date to the customer. We denote by β_i the unit cost for completing job J_i tardy,
58 *i.e.* whenever J_i is delivered at a completion time $C_{i,\pi_i(m)} > d_i$ a cost equal
59
60

to $\beta_i T_i = \beta_i \max(0; C_{i,\pi_i(m)} - d_i)$ is generated. This cost corresponds to the customer dissatisfaction. Similarly, the earliness, denoted by E_i , is defined by $E_i = \max(0; d_i - C_{i,\pi_i(m)})$ and occurs if $C_{i,\pi_i(m)} < d_i$.

At last, we refer to $\gamma_i^{\pi_i(j)}$ as the unit storage cost of work-in-processes of job J_i between machines $M_{\pi_i(j)}$ and $M_{\pi_i(j+1)}$ and to κ_i as the unit storage cost of finish product of job J_i .

Insert Table 1 about here

A summary of the previously presented data is provided in Table 1. We now focus on defining the cost associated to the processing of job J_i and we define $t_{i,j,k}$ as the starting time of the k -th subplot of job J_i on machine $M_{\pi_i(j)}$.

The total cost, referred to as Z_i , induced by a job J_i is made up of the cost for the storage of work in-processes (Figure 2), the storage of finished products (Figure 3), the cost for splitting into sublots and the cost for delivering J_i tardy. This cost was first established in T'kindt and Billaut (2006).

Insert Figure 2 about here

Insert Figure 3 about here

Lemma 2.1: *The total scheduling cost of job J_i when produced Just-in-Time is defined by*

$$Z_i = \beta_i T_i + \delta \lambda_i + \kappa_i q_i E_i + \kappa_i q_i \frac{p_{i,\pi_i(m)}}{\delta} + \kappa_i q_i t_{i,\pi_i(m),\delta} - \frac{q_i}{\delta} \sum_{j=1}^m (\gamma_i^{\pi_i(j)} - \gamma_i^{\pi_i(j-1)}) \sum_{k=1}^{\delta} t_{i,\pi_i(j),k} - \frac{q_i}{2\delta} \sum_{j=1}^m (\gamma_i^{\pi_i(j)} - \gamma_i^{\pi_i(j-1)}) p_{i,\pi_i(j)}.$$

First of all, notice that this cost is not a linear function in the general case, since like the starting times $t_{i,j,k}$, the number of sublots δ has also to be computed.

The first three terms of this cost are the weighted tardiness, the running cost and the weighted earliness, respectively. The fourth and last terms are only functions of the number of sublots δ whilst the fifth and sixth both depends on the number of sublots and on the starting times. If the number of sublots is fixed, then the cost turns to a polynomial function of the starting times (the earliness and tardiness can also be expressed as a function of the starting time of the last subplot in the last machine of the routing of each job).

We are now ready to state the general multicriteria JiT scheduling problem which we refer to as (P_{JiT}) .

Minimize $Z_1(s)$

Minimize $Z_2(s)$

...

Minimize $Z_n(s)$

sc

$$t_{i,\pi_i(1),1}(s) \geq r_i, \forall i = 1, \dots, n$$

$$s \in S$$

with S the set of solutions defined by the constraints of the scheduling problem. From lemma 2.1 it is clear that the costs Z_i and Z_j for two jobs are likely to be conflicting as soon as their due dates are sufficiently close, since in that case, in order to minimize the cost of one of these jobs, we may have to delay the other

1 one and induce storage costs or dissatisfaction (tardiness) costs. Consequently, for
2 a decision maker the aim seems to find a good compromise between all the costs
3 Z_i in order to be *as much as possible Just-in-Time for all jobs*. This latter notion
4 clearly depends on the preference on the jobs of the decision maker. It is a real
5 matter of decision aid.

6 In this paper we consider that finding a good compromise solution for all the
7 costs is equivalent to finding a strict Pareto optimum for the Z_i 's. We say that a
8 schedule s is a strict Pareto optimum, if there does not exist another schedule s'
9 such that $Z_i(s') \leq Z_i(s)$, $\forall i = 1, \dots, n$, with at least one strict inequality.

10 On a practical side, minimizing simultaneously all the Z_i 's doesn't make much
11 sense due to the high number of criteria. Henceforth, model (P_{JiT}) only serves to
12 analyse theoretical aspects of practical JiT problems. For instance, it may help
13 in deciding whether it is relevant or not to minimize the sum of the earliness and
14 tardiness costs for various machine configurations (see section 3). Here, *relevant*
15 means that we fulfill the requirement of a JiT production system and that the
16 optimal solution of the aggregated problem is not dominated, regarding the
17 criteria Z_i , by another solution.

18 In the remainder we investigate the existing JiT models in the scheduling liter-
19 ature and we propose links with the above costs Z_i and the calculation of strict
20 Pareto optima.

21 3. Review of Just-in-Time scheduling literature

22 Just-in-Time scheduling literature has been the subject of numerous state-of-
23 the-art reviews (Baker and Scudder 1990, Hall and Posner 1991, Gordon *et al.*
24 2002a,b, Kaminsky and Hochbaum 2004, Gordon *et al.* 2004). These reviews show
25 that many models for JiT scheduling have been considered over the years and that
26 often they have been based on the costs for completing jobs early or tardy. These
27 models have been introduced without any practical justification nor any link
28 with the JiT production philosophy. This lack of connection is certainly the main
29 reason for the diversity of JiT scheduling models studied in the literature. Few
30 works try to integrate some JiT features other than the customer dissatisfaction
31 or the storage cost for finished products. Bulbul *et al.* (2004) consider the case of
32 indivisible jobs (*i.e.* without the lot-streaming constraint) in a flowshop problem
33 in which the sum of the earliness cost, the tardiness cost and the intermediate
34 inventory holding cost are minimized. The minimized objective function is equal
35 to the sum of criteria Z_i defined in section 3.1 for a flowshop problem and
36 therefore their problem can be considered as a special instantiation of the general
37 multicriteria model described in this paper. The authors propose heuristics and a
38 lower bound based on mathematical programming. Shi and Pan (2003) consider a
39 slightly different jobshop JiT scheduling problem for which the aim is to minimize
40 the total inventory holding cost, in the case of indivisible jobs, as included in
41 the definition of the Z_i 's in section 3.1. To guarantee the delivery dates, they do
42 not minimize the weighted tardiness criterion but consider that each job has a
43 deadline. They propose heuristic algorithms to solve the problem.

44 One of the aim of this paper is to review classic JiT scheduling models in
45 the light of the general multicriteria model deduced from the literature on JiT
46 production systems (see section 2.3).

47 Nevertheless, it is interesting to notice from previous literature reviews, that JiT
48 scheduling problems are complex scheduling problems which basically involve
49

1 three subproblems: a *due date quotation problem*, an *optimal timing problem* and
2 a *sequencing and assignment problem*. All these problems should be considered
3 simultaneously when solving a JiT scheduling problem. However, for practical
4 reasons they are often solved separately in order, for instance, to obtain a heuristic
5 solution. In the remainder of this section we briefly focus on the two particular
6 problems which are the due date quotation problem and the optimal timing
7 problem. This short review aims at highlighting some sub-problems to deal with
8 when solving JiT scheduling problems even for the newly introduced models.
9

10
11 The *due date quotation problem* is a highly strategic problem since it is related
12 to the customer. Therefore, fixing due dates may not be just a matter of science,
13 but also commercial one. For some of the problems found in the literature, the
14 due dates are assumed to be fixed and the due date quotation problem has been
15 solved before the scheduling phase. For other problems, this is not the case and
16 several classic rules exist to solve the due date quotation model as for instance the
17 CON, SLK, TWK, NOP and PPW rules (see Kaminsky and Hochbaum (2004) for
18 a recent review). Sometimes, no rule is used and the due dates are just variables
19 of the scheduling problem. There are also numerous problems for which all jobs
20 are assumed to share the same common due date.

21 This range of situations illustrates well how many different situations can occur
22 in real-life applications and how the due date quotation problem is of a high
23 importance.
24
25

26
27 The *optimal timing problem* is related to the solution of the subproblem for
28 which we assume that the sequences of jobs on machines are known. Consequently,
29 the optimal timing problem consists in calculating the optimal starting times $t_{i,j,k}$
30 and has an interest since the objective function is often not a *regular function*¹.
31 This problem can most of the time be solved in polynomial time even if the
32 original JiT problem is NP-hard.

33 Historically, the first paper dealing with an optimal timing problem is due to
34 Garey *et al.* (1988) who solved a very particular single machine problem with only
35 earliness and tardiness penalties. This algorithm has inspired several authors who
36 next proposed extensions to it. The first ones were Szwarc and Mukhopadhyay
37 (1995) who considered an extension to the weighted earliness and tardiness case.
38 For parallel machines with precedence constraints, Della Croce and Trubian (2005)
39 proposed a polynomial time algorithm for the earliness and tardiness costs.

40 More recent works exploit properties of convexity or even linearity of the cost
41 functions, which yields to more general or faster algorithms. This is the case of
42 Pan and Shi (2005) for the problem tackled by Garey *et al.* who propose a faster
43 solution procedure. Chrétienne and Sourd (2003) consider the general problem
44 with operations connected by precedence constraints and such that each operation
45 has a convex cost function. The aim is to minimize the sum of the convex cost
46 functions. This problem has numerous applications including JiT problems with
47 earliness and tardiness penalties. In the particular case of a single machine with
48 convex cost functions, Hendel and Sourd (2007) refine the algorithm of Chrétienne
49 and Sourd (2003) and propose an extension to the flowshop problem where there
50 is only a cost function on the last operation of each job. Sourd (2005) considers
51 the single machine problem for which the cost functions of each job are assumed to
52 be piecewise linear. The aim is to minimize the sum of the cost functions together
53 with the cost of idle times. This latter cost is defined as the sum of the costs
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58
59 ¹An increasing function of the completion times.
60

1 induced by letting the machine idle between two consecutive jobs. Therefore, the
 2 two costs are likely to be conflicting. This problem is \mathcal{NP} -hard in the weak sense
 3 and a dynamic programming algorithm is proposed.
 4

5 We can now turn to a literature review, which separates problems with indivisible
 6 jobs from problems with divisible jobs. To refer shortly to JiT scheduling
 7 problems, we use the three-field notation introduced and extended by T'kindt and
 8 Billaut (2006) to multicriteria scheduling problems by Graham *et al.* (1979).
 9

10
 11
 12
 13 **3.1 Problems with indivisible jobs**

14 Assume that all jobs are indivisible, *i.e.* $q_i = 1, \forall i = 1, \dots, n$ and therefore $\delta = 1$.
 15 This is the case, mainly studied in the literature, for which no lot-streaming can
 16 be implemented. The total cost of job J_i given in lemma 2.1 is particularized in
 17 the following corollary.
 18

19
 20 **Corollary 3.1:** *In the case of indivisible jobs, *i.e.* $q_i = 1, \forall i = 1, \dots, n$, the total
 21 scheduling cost of job J_i when produced Just-in-Time is defined as*

22
$$Z_i = \beta_i T_i + \kappa_i E_i + \lambda_i + \kappa_i C_{i, \pi_i(m)} - \sum_{j=1}^m (\gamma_i^{\pi_i(j)} - \gamma_i^{\pi_i(j-1)}) C_{i, \pi_i(j)}.$$

23
 24 **Proof:** (sketch). Apply lemma 2.1 with $q_i = 1, \gamma_i^{\pi_i(0)} = 0, \gamma_i^{\pi_i(m)} = \kappa_i, \forall i =$
 25 $1, \dots, n.$
 26 \square

27
 28 For optimisation purposes, the term λ_i can be omitted from the previous formula
 29 since it is a constant, therefore leading to the following criteria to minimize

30
$$Z_i = \beta_i T_i + \kappa_i E_i + \kappa_i C_{i, \pi_i(m)} - \sum_{j=1}^m (\gamma_i^{\pi_i(j)} - \gamma_i^{\pi_i(j-1)}) C_{i, \pi_i(j)}.$$

31 In the remainder of this section we consider this second formulation. Now, let us
 32 turn to the particularization of this cost to various machine environments. The
 33 following corollary presents two particular cases of corollary 3.1.
 34

35
 36 **Corollary 3.2:** *In the case of single-operation jobs (single machine and parallel
 37 machines environments), *i.e.* $m = 1$, the total job scheduling cost Z_i is given by*

38
$$\beta_i T_i + \kappa_i E_i.$$

39
 40 *In the case of 2-operation jobs (flowshop, jobshop and openshop environments), *i.e.**
 41 *$m = 2$, the total job scheduling cost Z_i is given by*

42
$$\beta_i T_i + \kappa_i E_i + \gamma_i (C_{i, \pi_i(2)} - C_{i, \pi_i(1)})$$

43
 44 **Proof:** (sketch). Apply corollary 3.1 with $m = 1$ for the single-operation jobs
 45 problem and $m = 2$ for the 2-operation jobs problems.
 46 \square

47
 48 We now analyse the main objective functions studied in the JiT scheduling liter-
 49 ature and known under the name of early/tardy models.

50 Early/tardy models all involve two criteria which can be: \bar{E} and \bar{T} , $\kappa \bar{E}$ and $\beta \bar{T}$,
 51 or \bar{E}^κ and \bar{T}^β . Generally, these criteria are gathered into a single linear objective
 52 function, referred to as $F_\ell(X, Y)$. Single machine and parallel machines environ-
 53 ments have also been largely dealt with in the literature. Consider the following
 54 2-job instance of the $1|d_i, \beta_i, \kappa_i|F_\ell(\bar{E}^\kappa, \bar{T}^\beta) = \sum_i \kappa_i E_i + \beta_i T_i$ problem.
 55

56

i	r_i	p_i	d_i	κ_i	β_i
1	5	4	12	2	4
2	7	2	10	7	3

57
 58
 59
 60

The set of strict Pareto optima for criteria Z_1 and Z_2 is depicted in Figure 4 together with the criteria vectors associated with the optimal solutions for the $F_\ell(\bar{E}^\kappa, \bar{T}^\beta) = \sum_i \kappa_i E_i + \beta_i T_i$ objective function.

Insert Figure 4 about here

The $F_\ell(\bar{E}^\kappa, \bar{T}^\beta) = \sum_i \kappa_i E_i + \beta_i T_i$ function represents here a total cost of producing Just-in-Time. Consequently the minimal total cost, which is equal to 8 in this example, is assumed to be representative of the best solution for the decision maker. However, he can refuse this solution for different reasons: job J_1 has a too high individual cost Z_1 , or even this job implies a too long storage time, ... Therefore, consequences at job level may lead a decision maker to prefer a solution that does not minimise the total cost. This remark yields the conclusion that, sometimes, it can be interesting to analyse the JiT aspect of a schedule at the level of the Z_i 's and consequently to optimise those criteria instead of the weighted sum. We can definitely assume that optimising the Z_i 's offers more *flexibility* in the choice of the best solution by a decision maker. In other words, considering the Z_i 's and integrating the decision maker's preferences may lead to a different aggregated problem than that of minimizing the sum of the weighted earliness and the weighted tardiness.

What happens in the case of multi-operation jobs, for instance in the case of flowshop, jobshop or openshop problems? Let us consider the following 3-job instance of the $F2|prmu, d_i, \beta_i, \kappa_i|F_\ell(\bar{E}^\kappa, \bar{T}^\beta) = \sum_i \kappa_i E_i + \beta_i T_i$ sample problem.

i	r_i	$p_{i,1}$	$p_{i,2}$	d_i	κ_i	β_i
1	5	4	4	16	2	4
2	7	2	3	15	7	4
3	6	4	5	17	5	5

The optimal schedule for the $F_\ell(\bar{E}^\kappa, \bar{T}^\beta) = \sum_i \kappa_i E_i + \beta_i T_i$ objective function, has a value of 30 and is depicted in Figure 5.

Insert Figure 5 about here

Schedule $\pi = (1, 2, 3)$ of Figure 5 is optimal for the provided instance of the 2-machine flowshop problem. Its objective function value is equal to 30 and is due to jobs J_2 and J_3 which are late and job J_1 which is early. But now, consider the JiT policy as defined in corollary 3.2 with in-process inventory costs γ_i 's defined as $\gamma = [1; 100; 1]$. We can see that job J_2 has a one unit waiting time between the two machines in π and the Z_i 's are given by $Z_1(\pi) = 10$, $Z_2(\pi) = 404$ and $Z_3(\pi) = 25$. Now let us consider the schedule $\pi' = (1, 3, 2)$ given in Figure 6.

Insert Figure 6 about here

From the point of view of the $F_\ell(\bar{E}^\kappa, \bar{T}^\beta) = \sum_i \kappa_i E_i + \beta_i T_i$ objective function, schedule π' is not optimal since the corresponding objective function value is equal to 35. But, the Z_i 's are given by $Z_1(\pi') = 10$, $Z_2(\pi') = 324$ and $Z_3(\pi') = 10$. In fact, π' weakly dominates π , in the sense of Pareto optimality, regarding a real JiT policy defined by the Z_i 's. This is due to the fact that work-in-process costs (which must be reduced in a JiT production system) are not taken into account in the basic early/tardy model.

This simple example shows that in some cases the very well-known early/tardy model can lead to calculate *solutions which do not exactly follow a JiT policy*.

1
 2 A straight extension of the early/tardy model consists in minimising criteria \bar{E}^κ ,
 3 \bar{T}^β and \bar{C}^α , the latter being introduced as “a measure of the work-in-process”, *i.e.*
 4 minimising the criterion \bar{C}^α reduces in a sense the work-in-process storage costs
 5 since it reduces the average time required by a job in the shop. However, this can
 6 only be a rough evaluation of these costs but not their exact measure. In that way,
 7 again, considering the criteria Z_i 's introduced in section 2.3 instead of these three
 8 criteria leads to a more accurate model of a JiT system (just consider again the
 9 previous 2-machine flowshop example).
 10
 11

12 Literature reviews about problems, results and algorithms to solve JiT scheduling
 13 problems with indivisible jobs can be found in Baker and Scudder (1990), Hall and
 14 Posner (1991), Gordon *et al.* (2002a,b), Kaminsky and Hochbaum (2004), Gordon
 15 *et al.* (2004).
 16
 17
 18

19 3.2 Problems with divisible jobs

20 In this section we assume that all jobs are divisible into δ equal-size sublots and
 21 that the JiT job cost Z_i is defined in lemma 2.1. To the best of our knowledge,
 22 this case has never been studied in the literature. We first present here particular-
 23 izations of this lemma to some dedicated shop environments before providing a
 24 brief literature review.
 25
 26
 27

28 **Corollary 3.3:** *In the case of single-operation jobs (single machine and parallel*
 29 *machines environments), i.e. $m = 1$, the total job scheduling cost Z_i is given by*

$$30 \beta_i T_i + \kappa_i q_i E_i + \delta \lambda_i + \kappa_i q_i C_{i,1} - \frac{q_i \kappa_i}{\delta} \left(\sum_{k=1}^{\delta} t_{i,1,k} + \frac{p_{i,1}}{2} \right).$$

31
 32 *In the case of 2-operation jobs (flowshop, jobshop and openshop environments), i.e.*
 33 *$m = 2$, the total job scheduling cost Z_i is given by*

$$34 \beta_i T_i + \kappa_i q_i E_i + \kappa_i q_i C_{i,\pi_i(2)} - \frac{q_i}{\delta} \left(\sum_{k=1}^{\delta} (\gamma_i t_{i,\pi_i(1),k} + (\kappa_i - \gamma_i) t_{i,\pi_i(2),k}) + \frac{\gamma_i p_{i,\pi_i(1)} + (\kappa_i - \gamma_i) p_{i,\pi_i(2)}}{2} \right)$$

35
 36 **Proof:** (sketch). Apply lemma 2.1 with $\gamma_i^{\pi_i(0)} = 0, \gamma_i^{\pi_i(m)} = \kappa_i, \forall i = 1, \dots, n$.
 37
 38 \square

39
 40 It is interesting to notice that the Z_i 's are no longer linear cost functions which
 41 is induced by the constraint of lot-streaming. They can be decomposed into two
 42 parts: a linear component made up of the earliness, tardiness and completion
 43 time of the job, and a non-linear component which depends on the number of
 44 sublots on the one hand and on the starting times of the sublots on the other
 45 hand. Intuitively, the latter component is minimised by increasing the number of
 46 sublots (ideally equal to q_i) and by reducing the gap between $t_{i,\pi_i(1),k}$ and $t_{i,\pi_i(2),k}$
 47 (ideally there is no waiting time for the sublots). Therefore, solving such a problem
 48 involves solving three subproblems: the sequencing problem, the optimal timing
 49 problem and the subplot dimensioning problem. The first two problems are classics
 50 of the JiT literature whilst the last one is related to the lot-streaming constraint.
 51
 52
 53
 54

55 Let us consider the single-operation jobs problems. We show that solving the
 56 problem with divisible jobs is equivalent to solving the problem with indivisible
 57 jobs. We start with an instrumental lemma.
 58

59 **Lemma 3.4:** *For the single-operation job problems with lot-streaming constraint*
 60

1 there is no idle time between two sublots of the same job in any strict Pareto optimal
 2 schedule for the Z_i 's.

3
 4 **Proof:** As stated in corollary 3.2, the total cost Z_i is given by

$$5 Z_i = \beta_i T_i + \kappa_i q_i E_i + \delta \lambda_i + \kappa_i q_i C_{i,1} - \frac{q_i \kappa_i}{\delta} \left(\sum_{k=1}^{\delta} t_{i,1,k} + \frac{p_{i,1}}{2} \right).$$

6 Assuming that schedule σ is a strict Pareto optimal schedule and is such that $\exists \ell > 1$
 7 with $t_{i,1,\ell} > t_{i,1,\ell-1} + \frac{p_{i,1}}{\delta}$. Schedule σ' can be built from σ by right timeshifting
 8 the $(\ell - 1)$ th subplot of job J_i until $t_{i,1,\ell} = t_{i,1,\ell-1} + \frac{p_{i,1}}{\delta}$. Consequently, $t_{i,1,\ell-1}(\sigma') >$
 9 $t_{i,1,\ell-1}(\sigma)$ and $Z_i(\sigma') < Z_i(\sigma)$ which implies a contradiction with the fact that σ is
 10 a strict Pareto optimum.
 11 \square

12
 13 **Theorem 3.5:** *The single machine and parallel machines JiT scheduling prob-*
 14 *lems with divisible jobs reduce to the problems with indivisible jobs.*

15
 16 **Proof:** From lemma 3.4 we can deduce that $t_{i,1,k} = C_{i,1} - (\delta - k + 1) \frac{p_{i,1}}{\delta}$ since
 17 there is no idle time between two consecutive sublots of the same job. Putting this
 18 result together with the total job cost established in corollary 3.3 the total job
 19 scheduling cost Z_i can be rewritten as

$$20 Z_i = \beta_i T_i + \kappa_i q_i E_i + \delta \lambda_i + \frac{q_i \kappa_i}{2} p_{i,1}.$$

21 Each criterion Z_i is therefore minimal when $\delta = 1$ whatever the corresponding
 22 schedule. Thus, minimising this Z_i is equivalent to minimise the Z_i stated in corol-
 23 lary 3.2. \square

24
 25 We now review the literature on JiT scheduling problems with the lot-streaming
 26 constraint. Quite a few such problems have been considered in the literature and
 27 most of the problems involving the lot-streaming constraint are devoted to the
 28 minimisation of the makespan for various shop configurations. We refer to Chang
 29 and Chiu (2005) for a recent survey on this topic.

30 Jin *et al.* (1999) tackle a jobshop problem with equal-size sublots and setup times.
 31 The aim is to minimize a quadratic penalty function F of the promptness and
 32 tardiness of jobs, defined as $F = \sum_{i=1}^n \beta_i T_i^2 + \kappa_i P_i^2$, where P_i is the promptness of
 33 job J_i and is given by $P_i = \max(0, s_i - t_{i,\pi_i(1),1})$ with s_i the target starting time
 34 for J_i . This last measure enables to reduce the storage of raw materials. Taking
 35 into account the promptness and tardiness aimed at reducing work-in-process stor-
 36 age costs and customer dissatisfaction. However, again, situations exist for which
 37 reducing the promptness is not equivalent to reducing neither the work-in-process
 38 storage costs nor the final product storage cost. This is mainly due to the fact
 39 that if a job starts after its target starting time, we have equivalent schedules
 40 with regards to the promptness whilst the work-in-process may differ. Exact and
 41 heuristic algorithms based on lagrangean relaxation and dynamic programming are
 42 proposed by Jin *et al.*

43 Yoon and Ventura (2002b) consider the early/tardy flowshop scheduling
 44 problem with lot-streaming, problem which is referred to as $F|d_i, lot -$
 45 $streaming|F_\ell(\bar{E}^\kappa, \bar{T}^\beta)$. They first focus on solving the optimal timing problem
 46 for equal-size sublots for various additional constraints like buffer capacities, no-
 47 wait constraint and blocking constraint. They also present a linear program for
 48 solving the optimal timing problem in case of the flowshop problem with consis-
 49 tent sublots and infinite capacity buffers. Yoon and Ventura (2002b) next propose
 50 simple neighbourhood heuristics for the general equal-size subplot flowshop prob-
 51 lem, each fixed sequence being evaluated by solving the associated linear program.
 52 Yoon and Ventura (2002a) present a genetic algorithm for the sequencing problem.
 53 Later on, Tseng and Liao (2008) propose for this problem a particule swarm opti-
 54 mization algorithm which is experimentally shown to be outperforming this genetic
 55
 56
 57
 58
 59
 60

1 algorithm.

2 Despite the fact that the tackled problem includes the lot-streaming constraint and
3 is very close from the minimization of the sum of the Z_i 's, it does not fully answer
4 the requirements of a JiT production system since it does not take into account
5 wastes induced by the storage of work-in-process. To illustrate this point, we can
6 have a second look at the second example of section 3.1 and consider the problem
7 with two equal-size sublots: the same conclusions apply.
8
9

10 4. New Just-in-Time scheduling models

11 In this section we investigate new possible JiT scheduling models which aim at
12 being more realistic and which are based on the job cost functions defined in
13 section 2.3. These models illustrate how to optimise simultaneously criteria Z_i
14 and how the decision maker's preferences can be classically tackled. Multicriteria
15 optimisation and decision aid fields provide insights on how to model these
16 preferences and on how they can be taken into account in the optimisation phase.
17 In the remainder we apply these results to derive possible JiT scheduling models.
18
19
20
21

22 One must remember that the general multicriteria problem consists in minimiz-
23 ing the n criteria Z_i according to the decision maker's preferences. Basically, these
24 preferences can be expressed more or less accurately by means, for each criterion
25 Z_i , of a weight α_i , a bound ϵ_i on the maximum allowed value or a goal μ_i to reach.
26 In multicriteria optimisation literature there are numerous distinct methods to
27 combine these preferences in order to calculate a single strict Pareto optimum and
28 we only focus here on two basic ones, namely the convex combination of criteria
29 and the parametric approach.
30

31 The *convex combination method*, referred to as $F_\ell(Z_1, \dots, Z_n)$, consists in min-
32 imising a convex combination of the Z_i 's, *i.e.* $F_\ell(Z_1, \dots, Z_n) = \sum_{i=1}^n \alpha_i Z_i$ with
33 $\alpha_i \in [0; 1]$ and $\sum_{i=1}^n \alpha_i = 1$. In fact, this method is the one which is used for
34 most of the JiT scheduling problems tackled in the literature, and the convex
35 combination is a measure of the total JiT cost which have a sense in practice.
36

37 The *parametric approach*, referred to as $P(Z_1, Z_2, \dots, Z_n)$, consists in minimising
38 a strictly increasing function g of the criteria subject to bound constraints of the
39 form $Z_i \leq \epsilon_i, \forall i = 1, \dots, n$. It is convenient here to consider the following function
40 $g = \sum_{i=1}^n \alpha_i Z_i$ with α_i weights defined as in the convex combination method.
41 Therefore, this application of the parametric approach yields a more general
42 model than the one based on a single convex combination of criteria. Besides, it
43 keeps a sense in practice since we minimise the total JiT cost subject to bounds
44 on individual job costs.
45

46 An important result relies on the capability to calculate strict Pareto optima, for
47 the Z_i 's, for each of these two approaches. It is well-known (T'kindt and Billaut
48 2006) that minimising a convex combination of criteria for non-convex problem
49 only enables to provide a decision maker with a subset of strict Pareto optima,
50 namely the *supported strict Pareto optima*. In other words, if we minimise all
51 possible convex combinations by trying all possible weights α_i , we only obtain a
52 subset of all the strict Pareto optima and some potentially interesting solutions for
53 the decision maker may be missed. This does not hold anymore for the parametric
54 approach since by also changing the bounds ϵ_i we can calculate both the *supported*
55 and *non supported* strict Pareto optima.
56
57

58 To complete this section, we mention that a bound constraint $Z_i \leq \epsilon_i$ means
59
60

that we restrict the starting and the completion times of the last subplot of the last operation of job J_i , if there is no work-in-process storage cost. For instance, consider the single machine problem with indivisible jobs tackled in Estève *et al.* (2006) and referred to as $1|d_i|P(Z_1, \dots, Z_n)$ or equivalently $1|d_i, Z_i \leq \epsilon_i | \sum_i \kappa_i E_i + \beta_i T_i$.

Lemma 4.1: *The $1|d_i, Z_i \leq \epsilon_i | \sum_i \kappa_i E_i + \beta_i T_i$ problem, with indivisible jobs, is equivalent to the $1|r_i, d_i, \tilde{d}_i | \sum_i \kappa_i E_i + \beta_i T_i$ problem with $r_i = \max(0; d_i - p_i - \lfloor \epsilon_i / \kappa_i \rfloor)$ and $\tilde{d}_i = d_i + \lfloor \epsilon_i / \beta_i \rfloor$, $\forall i = 1, \dots, n$. We call r_i the cost related release date and \tilde{d}_i the cost related deadline. We necessarily have $C_i \geq r_i$ and $C_i \leq \tilde{d}_i$.*

In Table 2 we provide some new JiT scheduling problems based on the application of the parametric approach on the Z_i 's. The first column refers to the shop environment, with specification as to whether the lot-streaming constraint is enabled or not. The second column provides the total cost function defined as $\sum_{i=1}^n Z_i$ and the third column provides information about the cost related release times and deadlines deduced from the constraints $Z_i \leq \epsilon_i, \forall i = 1, \dots, n$. Notice that whenever possible we indicate by r_i and \tilde{d}_i the exact values of the cost related data, whilst sometimes we can only establish a lower bound on the release date, denoted by r_i^L . This last column only provide available information about the scheduling problem. The cost related data for the single machine and parallel machines environments are given by Estève *et al.* (2006) for the case of indivisible jobs. These data do not change for the divisible jobs case due to lemma 3.4. Concerning the 2-machine shop environments it seems harder to establish the exact formulation of the cost related release dates and deadlines. However we can deduce a lower bound on the cost related release dates.

Lemma 4.2: *For the 2-machine environment with indivisible jobs, the constraint $Z_i \leq \epsilon_i, \forall i = 1, \dots, n$, induces a lower bound r_i^L on the cost related release date defined by*

$$r_i^L = d_i - p_{i,\pi_i(1)} - p_{i,\pi_i(2)} - \left\lceil \frac{1}{\kappa_i} (\epsilon_i - \gamma_i p_{i,\pi_i(2)}) \right\rceil - \max(0; \left\lceil \frac{\kappa_i - \beta_i}{\gamma_i} \right\rceil).$$

Proof: We assume, for any given i , that job J_i completes early. In this case, according to corollary 3.2 the job cost is given by

$$Z_i = \kappa_i (d_i - C_{i,\pi_i(2)}) + \gamma_i (C_{i,\pi_i(2)} - C_{i,\pi_i(1)})$$

and the constraint $Z_i \leq \epsilon_i$ is equivalent to

$$C_{i,\pi_i(2)} (\gamma_i - \kappa_i) - \gamma_i t_{i,\pi_i(1),1} - \gamma_i p_{i,\pi_i(1)} \leq \epsilon_i - \kappa_i d_i$$

but as $C_{i,\pi_i(2)} \geq t_{i,\pi_i(1),1} + p_{i,\pi_i(1)} + t_{i,\pi_i(2)}$ the previous inequality implies

$$-\kappa_i t_{i,\pi_i(1),1} \leq \epsilon_i - \kappa_i d_i + \kappa_i p_{i,\pi_i(1)} + (\kappa_i - \gamma_i) p_{i,\pi_i(2)}$$

$$\Rightarrow t_{i,\pi_i(1),1} \geq r_i^L = d_i - p_{i,\pi_i(1)} - p_{i,\pi_i(2)} - \frac{1}{\kappa_i} (\epsilon_i - \gamma_i p_{i,\pi_i(2)}).$$

To complete, we just have to notice that this lower bound on the starting time remains valid even if job J_i completes tardy and $\beta_i \geq \kappa_i$. If this last inequality does not hold, then the release time can be decreased by $\frac{\kappa_i - \beta_i}{\gamma_i}$. Thus we obtain the following lower bound on the release date

$$r_i^L = d_i - p_{i,\pi_i(1)} - p_{i,\pi_i(2)} - \left\lceil \frac{1}{\kappa_i} (\epsilon_i - \gamma_i p_{i,\pi_i(2)}) \right\rceil - \max(0; \left\lceil \frac{\kappa_i - \beta_i}{\gamma_i} \right\rceil).$$

□

It seems difficult to establish such a lower bound for the 2-machine environments with divisible jobs due to the lack of direct link between the cases early and tardy in the above proof.

Insert Table 2 about here

1 Table 2 proposes some new JiT scheduling problems to tackle for simple shop
2 environments. For instance, solving the JiT parallel machines problem using the
3 proposed parametric approach is equivalent to solving the $P|r_i, d_i, \tilde{d}_i|\bar{E}^\kappa + \bar{T}^\beta$ prob-
4 lem with the above cost related release dates and deadlines. This problem, when
5 solved to optimality for given bounds ϵ_i , enables to calculate a strict Pareto optima
6 for the Z_i 's and therefore do not lead to the calculation of non desirable solutions
7 for a decision maker. From Table 2 it appears that the multiple-operation environ-
8 ments already seem to harder the harder to tackle due to the difficulty to establish
9 the equation of the cost related data.
10
11

12 5. Conclusions and future research directions

13
14
15 In this paper we have investigated JiT scheduling problems in the light of the
16 existing JiT production literature, establishing a realistic formulation of the cost of
17 scheduling jobs just-in-time. A review of the existing literature has shown that the
18 basic early/tardy models are almost all dominated by the proposed approach in
19 the sense that we can only benefit from using the new models instead of the classic
20 ones considered in the literature. We have also considered the case when jobs can
21 be divided into sublots, establishing that the lot-streaming constraint may help to
22 reduce the storage costs and therefore answering the JiT production philosophy.
23 **Another interest of the proposed models, is that they can be quite**
24 **easily generalized to the case when the sublots are not necessarily of**
25 **equal size, where there are setup times between sublots and where the**
26 **transfer of a subplot between two machines requires a non negligible time.**
27
28
29

30 This paper sets out a lot of new research directions notably in the case of
31 divisible jobs. Many open questions should be dealt with in the near future:
32 How the existing optimal timing algorithms can be applied when lot-streaming is
33 enabled? How can the lot-streaming problem be solved efficiently when the total
34 JiT cost is minimised? Works dealing with this last topic exist but, to the best
35 of our knowledge, they almost exclusively refer to cases in which the makespan
36 is minimised. One should notice that the lot-streaming problem can be solved
37 in polynomial time for several lot-streaming configurations, as for instance, the
38 cases of equal-size sublots or consistent sublots (see Dauzère-Pérès and Lasserre
39 (1997), Yoon and Ventura (2002b)). Moreover, the JiT scheduling problem with
40 lot-streaming could be heuristically solved through an iterative scheme similar
41 to the one proposed by Dauzère-Pérès and Lasserre (1997) but with three steps
42 at each iteration: first, solve the lot-streaming problem with a fixed schedule,
43 second, solve the sequencing problem and, third, solve the optimal timing problem.
44
45
46

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49
50

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Table 1. Summary of the scheduling data

n	: number of jobs,
m	: number of machines,
δ	: number of sublots per operation,
q_i	: number of indivisible elements of job J_i ,
λ_i	: cost for dividing job J_i in elements,
r_i	: release date of job J_i ,
d_i	: due date of job J_i ,
β_i	: unit cost for completing job J_i tardy (penalty costs),
$p_{i,j}$: processing time of the q_i elements of J_i on machine M_j ,
$\gamma_i^{\pi_i(j)}$: unit storage cost of work-in-processes of job J_i between machines $M_{\pi_i(j)}$ and $M_{\pi_i(j+1)}$,
κ_i	: unit storage cost of finish products.

Table 2. New multicriteria JiT scheduling problems

Shop environment		Total cost $\sum_i Z_i$	Cost related data
Single machine and parallel machines	Indiv	$\bar{T}^\beta + \bar{E}^\kappa$	$r_i = \max(0; d_i - p_i - \lfloor \epsilon_i / \kappa_i \rfloor)$ and $\bar{d}_i = d_i + \lfloor \epsilon_i / \beta_i \rfloor$
	Div	$\bar{T}^\beta + \bar{E}^\kappa$	$r_i = \max(0; d_i - p_i - \lfloor \epsilon_i / \kappa_i \rfloor)$ and $\bar{d}_i = d_i + \lfloor \epsilon_i / \beta_i \rfloor$
2-machine shop	Indiv	$\bar{T}^\beta + \bar{E}^\kappa + \bar{C}^\gamma - \sum_i \gamma_i C_{i, \pi_i(1)}$	$r_i^L = d_i - p_{i, \pi_i(1)} - p_{i, \pi_i(2)} - \lfloor \frac{1}{\kappa_i} (\epsilon_i - \gamma_i p_{i, \pi_i(2)}) \rfloor - \max(0; \lfloor \frac{\kappa_i - \beta_i}{\gamma_i} \rfloor)$
	Div	$\bar{T}^\beta + \bar{E}^\kappa + \bar{C}^\gamma - \sum_i \frac{q_i}{\delta} (\sum_{k=1}^{\delta} (\gamma_i t_{i, \pi_i(1), k} + (\kappa_i - \gamma_i) t_{i, \pi_i(2), k}) + \gamma_i p_{i, \pi_i(1)} + (\kappa_i - \gamma_i) p_{i, \pi_i(2)})$	

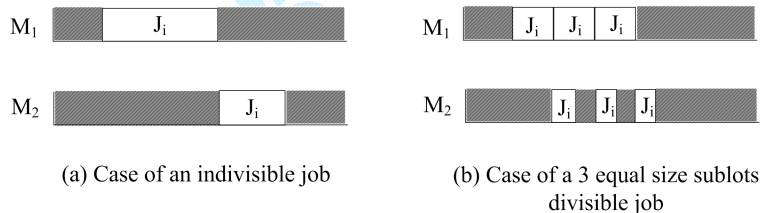


Figure 1. An example of a divisible job and of an indivisible job

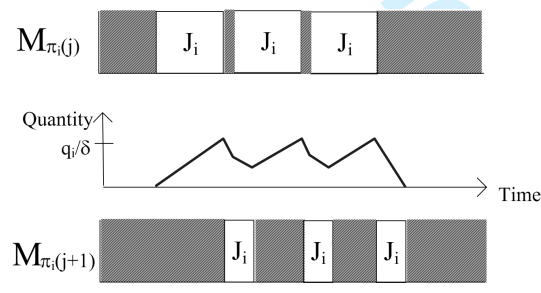


Figure 2. An example of a work-in-process storage area evolution

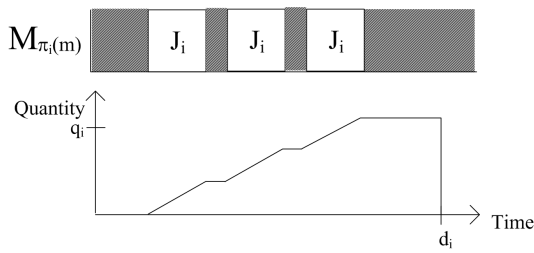


Figure 3. An example of a final product storage area evolution

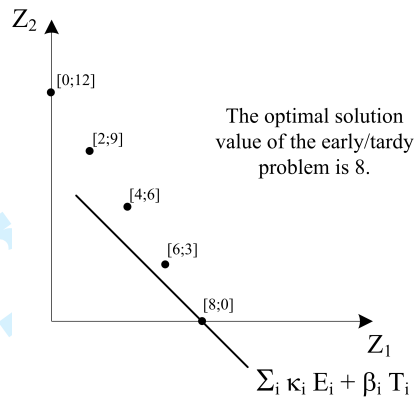


Figure 4. A single machine sample early/tardy scheduling problem

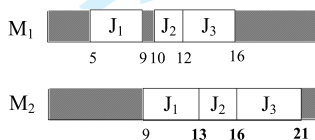


Figure 5. A 2-machine flowshop sample early/tardy scheduling problem

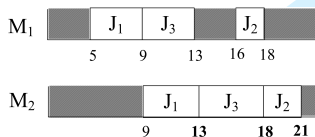
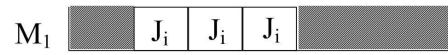
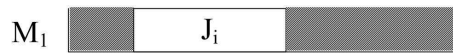


Figure 6. A 2-machine flowshop sample early/tardy scheduling problem



(a) Case of an indivisible job

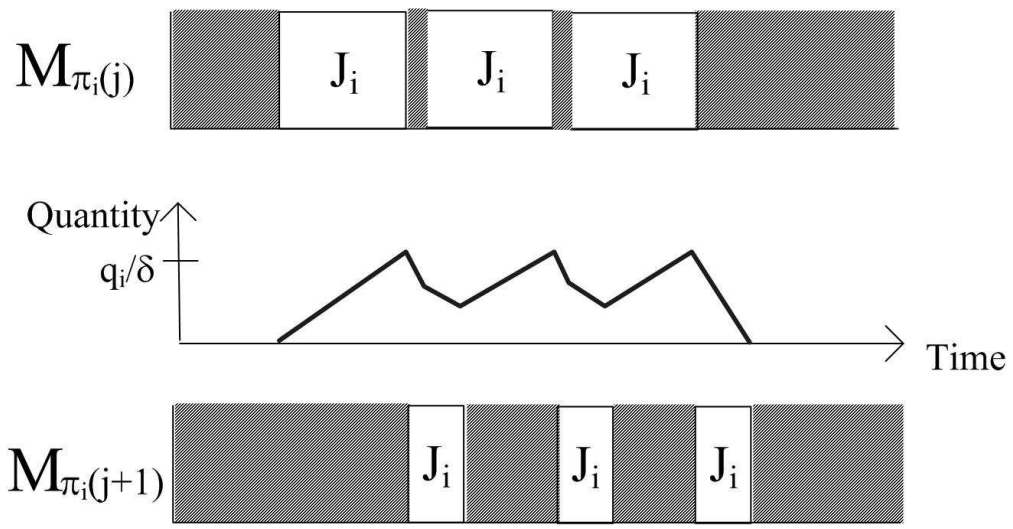
(b) Case of a 3 equal size sublots
divisible job

An example of a divisible job and of an indivisible job
92x25mm (600 x 600 DPI)

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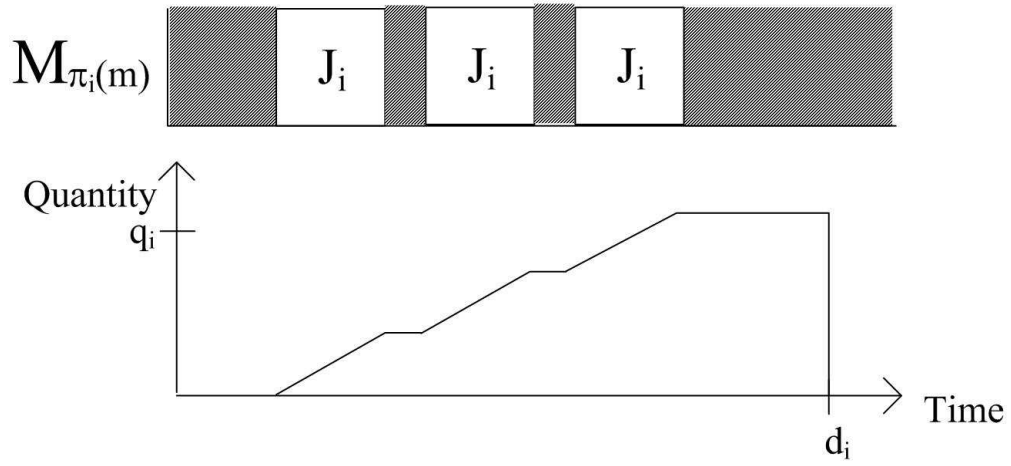
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An example of a work-in-process storage area evolution
55x28mm (600 x 600 DPI)

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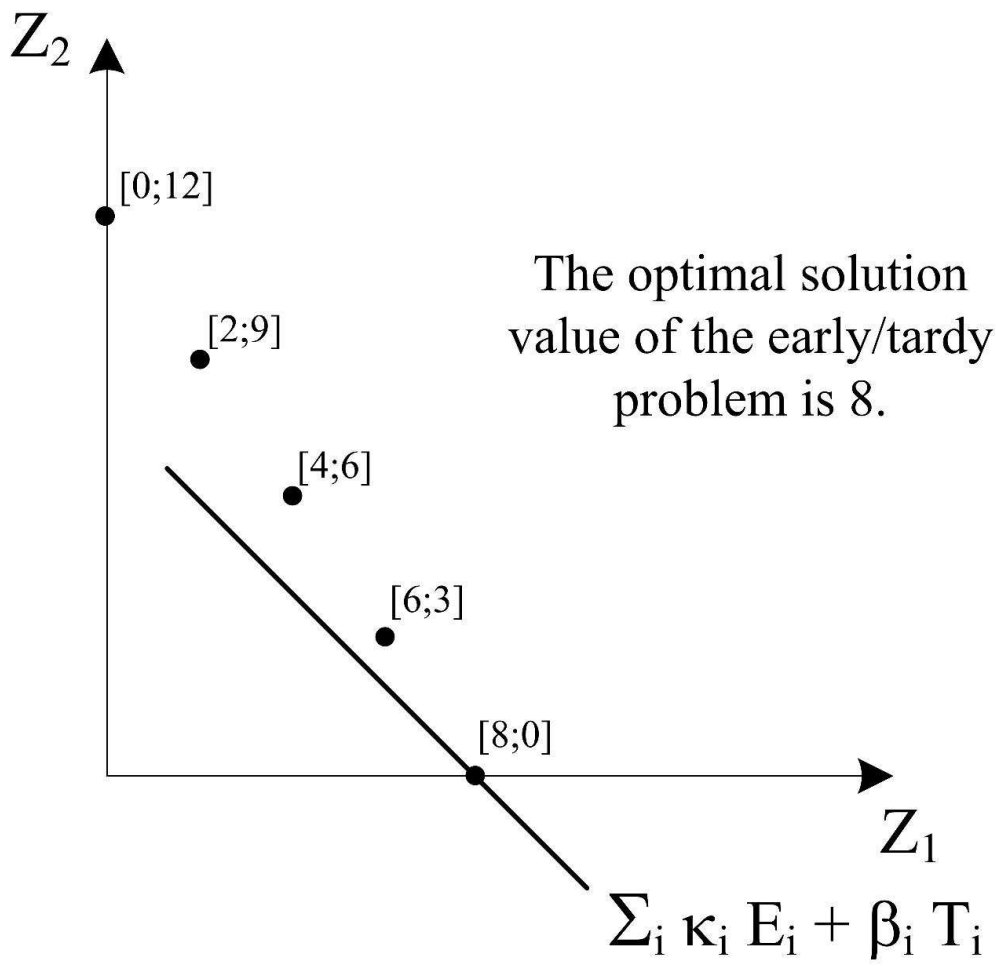


An example of a final product storage area evolution
55x25mm (600 x 600 DPI)

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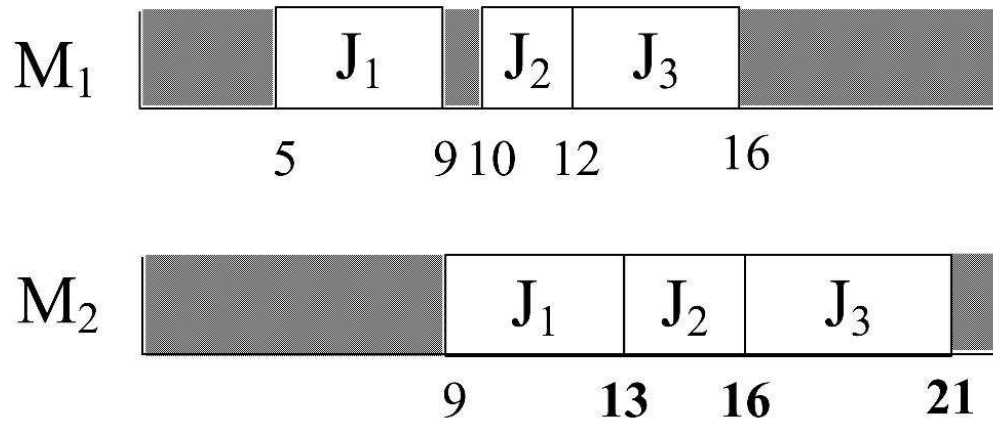
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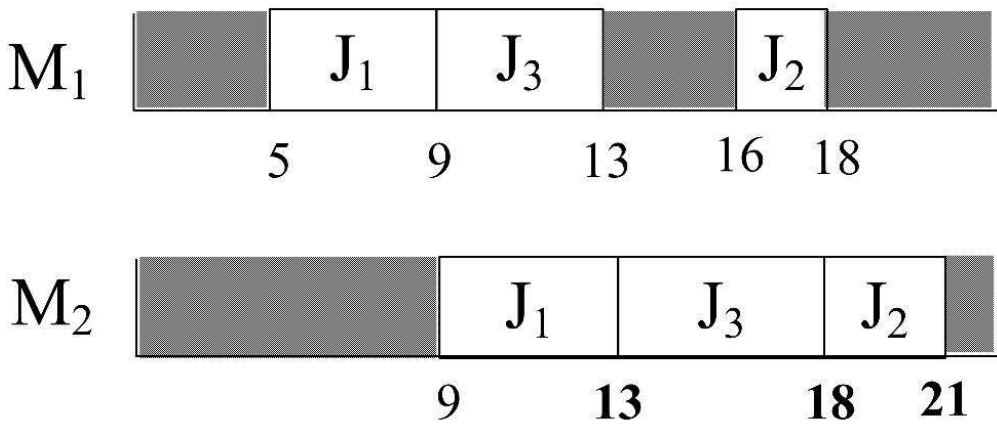
A single machine sample early/tardy scheduling problem
53x53mm (600 x 600 DPI)





A 2-machine flowshop sample early/tardy scheduling problem
41x17mm (600 x 600 DPI)

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A 2-machine flowshop sample early/tardy scheduling problem
41x17mm (600 x 600 DPI)

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