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Abstract
Great auricular nerve (GAN) is frequently sacrificed during parotid surgery. GAN preservation during parotidectomy is advised to avoid complications such as sensitive disorders, but debate still exists. In this study, our experience is reported on the matter. From a cohort of 173 parotidectomies carried out in the period 2005–2010, we studied 60 patients: 20 patients in which we preserved only the posterior branch of GAN (group A), 20 patients in which we preserved also the lobular branch (group B) and 20 patients in which the main trunk of GAN was sectioned (group C); we evaluated tactile sensitivity in all the skin supplied by GAN at 1 week, 1 month, 6 months and 1 year after surgery. Group B is the best in terms of loss and recovery of sensitivity after 1-year post-surgery, followed closely by group A, on the contrary group C confirmed to be the worst. Results suggest that saving as many branches of the GAN as possible during parotid surgery could be useful for reducing hypo-disesthesia. Preserving posterior and lobular branches of the GAN, when possible, improves the sensitivity of the preauricular area with better quality of life for the patient.

Keywords (separated by '-') Great auricular nerve - Parotid surgery - Sensory disorders - Disesthesia

Footnote Information

2 **Great auricular nerve preservation in parotid surgery: rationale**
3 **and long-term results insights**

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Introduction 33

Parotidectomy is a common surgical procedure for the 34
treatment of several parotid diseases, such as benign or 35
malignant neoplasms, inflammatory or autoimmune con- 36
ditions [1]. Aims of conservative parotidectomy are 37
removal of the disease, prevention of facial nerve weakness 38
or palsy and avoidance of aesthetical defects. 39

During superficial or total parotidectomy great care is 40
taken to preserve the facial nerve, not the same attention is 41
used to preserve other structures such as the great auricular 42
nerve (GAN) [2], a sensory nerve that serves the skin of the 43
postero-inferior region of the auricle, the mastoid region 44
and the lower half of the parotid-masseteric region. GAN 45
originates from the anastomotic loop between the second 46
and third cervical nerves; after its origin, it passes around 47
the sternocleidomastoid muscle and it ascends along the 48
muscle until it divides into branches near the mandibular 49
angle [3]. GAN has three branches: the anterior branch 50
which leads to the parotid gland; the lobular branch which 51
goes to the auricular lobule; and the posterior branch which 52
goes to the posterior-auricular area. In most patients the 53
lobular branch has a common trunk with the posterior 54
branch and with different anatomical presentations; only in 55
a minority of cases the main trunk of GAN divides into 56
three branches directly [1]. GAN is frequently sacrificed in 57
parotidectomy to allow the mobilization of the parotid 58
inferior pole but this maneuver results in sensory disorders 59

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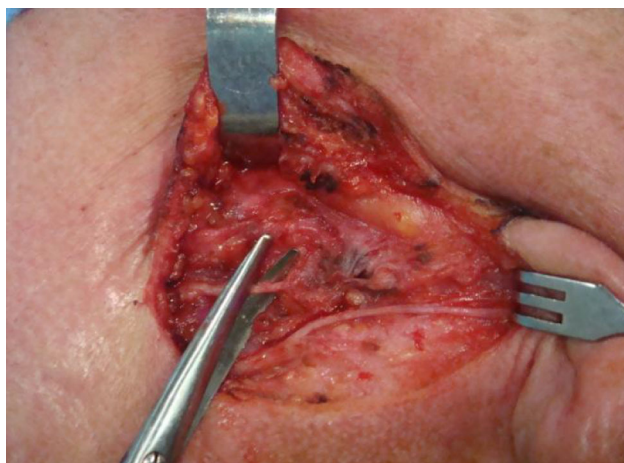


Fig. 1 Group A: preservation only the posterior branch of the GAN

such as: numbness, discomfort when wearing earrings or shaving and suffering a burn [4, 5]. To avoid these complications, GAN preservation during parotidectomy has been advised but controversy about the efficacy of this practice still exists because of the feeling that until one or two years a partial sensory recovery takes place.

This report presents our experience about the preservation of GAN branches during parotidectomy and the sensory outcome in patients with and without GAN preservation. The real effects of GAN sparing vs its sacrifice in the long-term are tested, statistically scrutinized and highlighted to give a rationale for the surgeon to apply this procedure and to clarify the functional results that may be expected in order of sensitivity preservation in the auricular region.

Materials and methods

This is a perspective study, the data from the patients were not always available the patients with missing data were discarded from follow-up. We studied 173 parotidectomies performed in our departments from January 2005 to December 2010 for primitive or secondary tumors of the parotid gland. Patients with pre-operative suspicion of malignancy and those with mental disability were excluded from this study. 121 patients with pre-operative diagnosis of benign parotid lesions were drawn. GAN preservation was determined by its objective feasibility and by surgeon's preference; the risks of parotidectomy were explained to all patients, including those related to sacrifice of GAN or one of its branches. We preserved the posterior and/or lobular branches of GAN in 81/121 patients. We did not take into account the preservation of the anterior branch of GAN because generally during skin flap elevation it is

necessary to divide it. In 40/121 patients the GAN was sacrificed. Patients were divided into three groups: group A (39 patients with preservation of the posterior branch—Fig. 1), group B (42 patients with preservation of the posterior and lobular branches—Fig. 2) and group C (40 patients with total section of GAN—Fig. 3). From this cohort, 20 patients from each group were randomly selected by generation of random numbers in Microsoft Excel software (version 2007) with use of the RAND function. The total number of subjects in each group was decided on the basis of the current English Literature in which a sample size of 10–33 patients in each group is considered representative [1–3].

Parotidectomies were performed using a standard surgical technique through traditional or “face-lift” incision (particular care was taken in female and young patients) [6]. An anterior skin flap was prepared superficially to the platysma and the Superficial Muscular Aponeurotic System (SMAS). The main trunk of the great auricular nerve was identified taking as a reference point its intersection with the anterior margin of the sternocleidomastoid muscle 4–5 cm beneath the earlobe [7]. The course of the nerve was followed until it trifurcates into the anterior branch, posterior branch and lobular branch approximately 0–2 cm above the angle of the jaw. Attempts at preservation were made when there was not any direct contact between nerve and disease. When preservation was selected the saved branches were retracted backwards and isolated before proceeding with surgery. When nerve preservation was judged to be inappropriate or dangerous to the facial nerve, the main trunk of GAN was divided at the lower border of the parotid. The parotid gland was then removed while preserving the trunk and main branches of the facial nerve.

We evaluated tactile sensitivity in all the skin supplied by GAN, subdivided into five areas: the pre-auricular region (area 1) between the anterior border of the auricle and the anterior border of the masseter muscle; the superior auricular region (area 2) corresponding to the superior half of the auricle; the inferior auricular region (area 3) corresponding to the inferior half of the auricle; the posterior auricular region (area 4) located between the posterior auricular insertion and the hairline; and the infra-auricular region (area 5) between the auricle and the angle of the mandible (Fig. 4). The tests were performed pre-operatively and post-operatively (1 week, 1 month, 6 months and 1 year after surgery). The examiner was not informed of GAN preservation to avoid possible bias. Tactile sensitivity was evaluated using a brush gently applied in each area; patient gave a signal as soon as any sensation was felt. The patients were requested to close their eyes during the tests. Each test was repeated four times for each area and it was scored using a Visual Analog Scale (VAS): grade 0 of the VAS indicated no sensation of the examined

Fig. 2 Group B: preservation of the lobular and posterior branches of the GAN. Different anatomical presentations

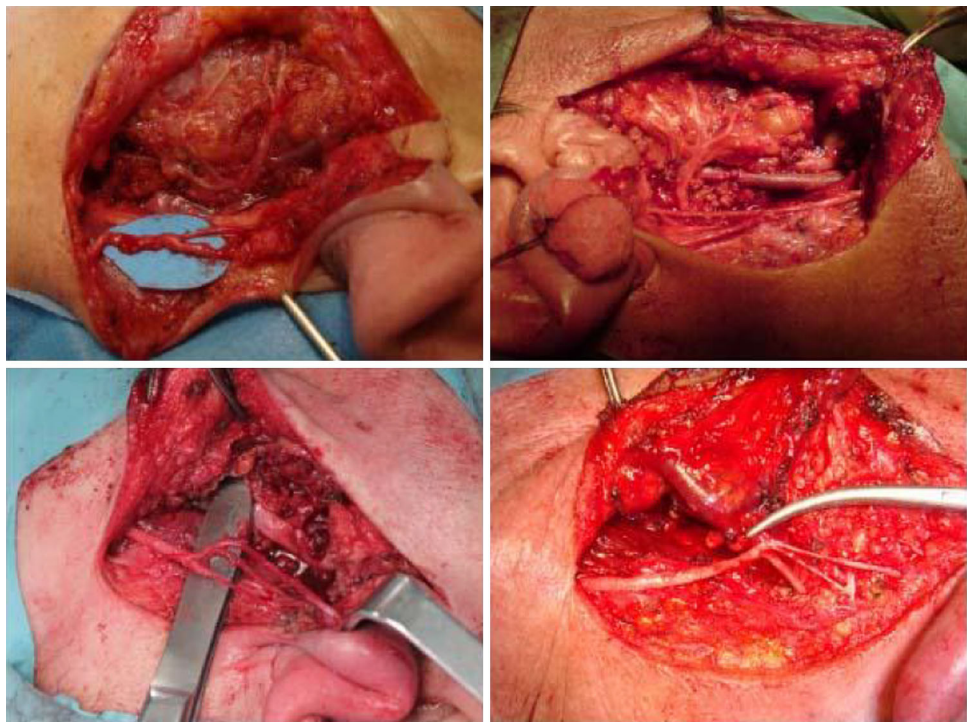


Fig. 3 Group C: total section of the GAN

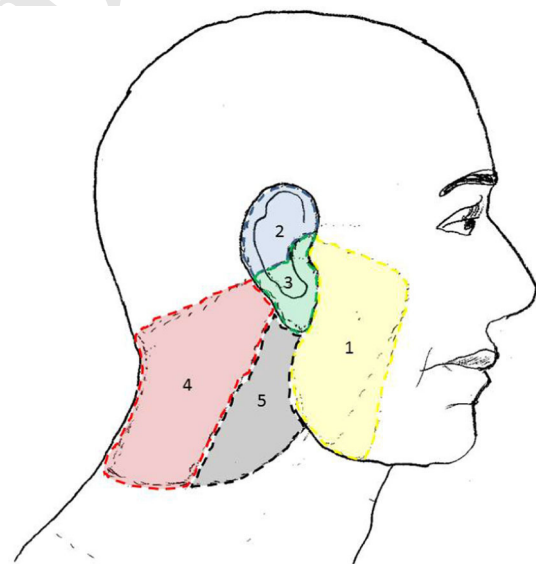


Fig. 4 Schematic representation of the tested areas

145 area and grade 100 indicated no difference in sensation of
 146 the area compared with the contro-lateral corresponding
 147 one.

148 Statistical analysis

149 Subject characteristics were summarized by mean and
 150 standard deviation (SD) for continuous variables and by
 151 percentage for categorical variables. Statistical analysis
 152 was performed using Chi-square test, *t* test, one-way
 153 ANOVA and post hoc comparisons. Statistical significance
 154 was accepted at a value of $p < 0.05$. All statistical analyses

were performed with SPSS version 13 (SPSS Inc., Chicago, IL, USA). 155
 156

Results 157

The study population consisted of 60 subjects, 29 male 158
 (48.3 %) and 31 female (51.7 %). Patient's age ranging 159

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Table 1 Distribution (mean \pm SD) of VAS scores in groups A, B and C stratified by area and period of observation

	Group A	Group B	Group C
Area 1			
Pre-operative	98.5 \pm 3.2	99.5 \pm 1.5	99.2 \pm 2.4
1 week*	73.5 \pm 8.5	82.2 \pm 6.3	78.2 \pm 4.6
1 month* ⁺	79.5 \pm 6.6	86.2 \pm 5.3	84.0 \pm 3.0
6 months* [§]	87.5 \pm 4.1	93.0 \pm 3.4	88.5 \pm 2.8
12 months [§]	94.2 \pm 4.6	97.2 \pm 2.5	92.2 \pm 4.7
Area 2			
Pre-operative	99.5 \pm 2.2	98.7 \pm 3.1	99.2 \pm 2.4
1 week	78.5 \pm 6.5	76.5 \pm 6.5	81.0 \pm 5.7
1 month* ⁺	87.0 \pm 5.7	81.5 \pm 5.4	82.7 \pm 4.9
6 months ⁺ [§]	90.7 \pm 3.7	90.2 \pm 4.7	84.0 \pm 4.7
12 months ⁺ [§]	96.7 \pm 2.9	95.0 \pm 3.2	90.5 \pm 3.9
Area 3			
Pre-operative	100.0 \pm 0	100.0 \pm 0	99.7 \pm 1.1
1 week*	40.0 \pm 9.4	49.2 \pm 7.1	42.5 \pm 10.3
1 month* [§]	43.5 \pm 9.4	62.0 \pm 4.1	44.5 \pm 8.5
6 months* [§]	48.0 \pm 7.8	77.5 \pm 3.0	45.2 \pm 7.6
12 months* ⁺ [§]	51.2 \pm 6.8	90.0 \pm 4.2	45.2 \pm 7.6
Area 4			
Pre-operative	99.7 \pm 1.1	99.2 \pm 2.4	100.0 \pm 0
1 week* ⁺ [§]	74.0 \pm 6.8	63.0 \pm 9.6	50.0 \pm 7.2
1 month ⁺ [§]	82.5 \pm 4.1	79.7 \pm 5.9	51.5 \pm 6.3
6 months ⁺ [§]	87.7 \pm 4.1	86.7 \pm 3.7	51.7 \pm 6.1
12 months ⁺ [§]	95.0 \pm 3.9	92.7 \pm 3.0	57.0 \pm 4.7
Area 5			
Pre-operative	100.0 \pm 0	99.5 \pm 1.5	99.7 \pm 1.1
1 week	53.0 \pm 9.7	50.2 \pm 8.6	49.5 \pm 7.2
1 month ⁺ [§]	65.7 \pm 6.9	69.5 \pm 5.3	51.7 \pm 5.6
6 months ⁺ [§]	79.7 \pm 4.7	82.5 \pm 4.1	57.5 \pm 4.7
12 months ⁺ [§]	87.7 \pm 4.1	90.7 \pm 4.0	69.7 \pm 4.7

* There is significant difference among group A and group B

⁺ There is significant difference among group A and group C

[§] There is significant difference among group B and group C

160 from 39 to 88 years, (mean age 63.2 \pm 10.6). There was no
161 significant difference among three groups in sex ($p = 0.63$)
162 and age ($p = 0.82$) distribution.

163 No significant differences between groups in pre-operative
164 tests results for each area (area 1, $p = 0.43$; area 2,
165 $p = 0.66$; area 3, $p = 0.37$; area 4, $p = 0.30$; area 5,
166 $p = 0.36$) were recorded.

167 Significant difference between the groups in tests per-
168 formed after surgery were recorded as summarized in
169 Table 1.

170 Briefly for area 1 (pre-auricular) and area 4 (post-
171 auricular) in group A the degree of recovery is similar
172 (94.2 vs 95.0); in group B, area 1 resulted to show better
173 sensitivity recovery and in group C it is similar to area 2

that is the region with better recovery. In area 2 (supero-auricular) in group B, this area presented a minor loss at 1 week after surgery when compared to the other areas (area 3 = -50.7; area 4 = -36.2; area 5 = -49.2 vs area 2 = -22.2); furthermore, it presented a very good recovery at 12th month. In group C, unexpectedly area 2 presented only a small sensory loss (-18.2) that was inferior than that of other two groups (group A = -21.0; group B = -22.2), on the other hand recovery at 1-year was suboptimal but lower than group A and group B. The tests in area 3 (ear lobule) showed that the patients with the lobular branch preserved (group B) recovered more quickly and almost to normal level than those ones without (group A and C). In fact, subjects in group A only had a partial recovery of sensitivity in area 3 (VAS score = 51.2) and so they complained of mild discomfort, while subjects in Group C complained of an important numbness (VAS score = 45.2). Regarding area 5 hyper/dysesthesia was almost the same as area 3 in group B (VAS score = 90.7) but significantly higher in group A (VAS score = 87.7). The group C recovered poorly (VAS score = 69.7) as shown by the differences with the other two groups both statistically significant (Fig. 5). GAN preservation combined with a certain technique of operation?

Standard parotidectomy through traditional or “face-lift” incision was uniformly performed in our patients. We did not evaluate the impact of incision type on greater auricular nerve function outcome; anyway we did not find literature data pointing out such a correlation.

Discussion

During a standard parotidectomy it is necessary to cut the posterior branch of GAN to obtain an adequate clearance of lower pole of parotid gland. The side effects of GAN sacrifice is hypoesthesia in the area of skin supplied by this nerve, with subsequent discomfort when wearing earrings, pain and dysesthesia. In the mid-eighties, many Authors suggested to preserve the posterior branch of the GAN if the tumor did not involve the proximity of the nerve to avoid these complications. In 1989, Brown and Ord [7] were the first who gave data in favor of preservation of posterior branch. In Christensen and Jacobsen's [8] opinion the posterior branch could be preserved in 71 % of patients, because it protracts the operating time of only about ten minutes and it also gives the possibility to have a graft to repair facial nerve injury during surgery. On the contrary, Porter and Wood [9] did not support GAN preservation: until one or two years, generally, there is a partial sensory recovery, which is related to neuronal regeneration coming from auriculo-temporal nerve, mandibular branch of trigeminal nerve, lesser

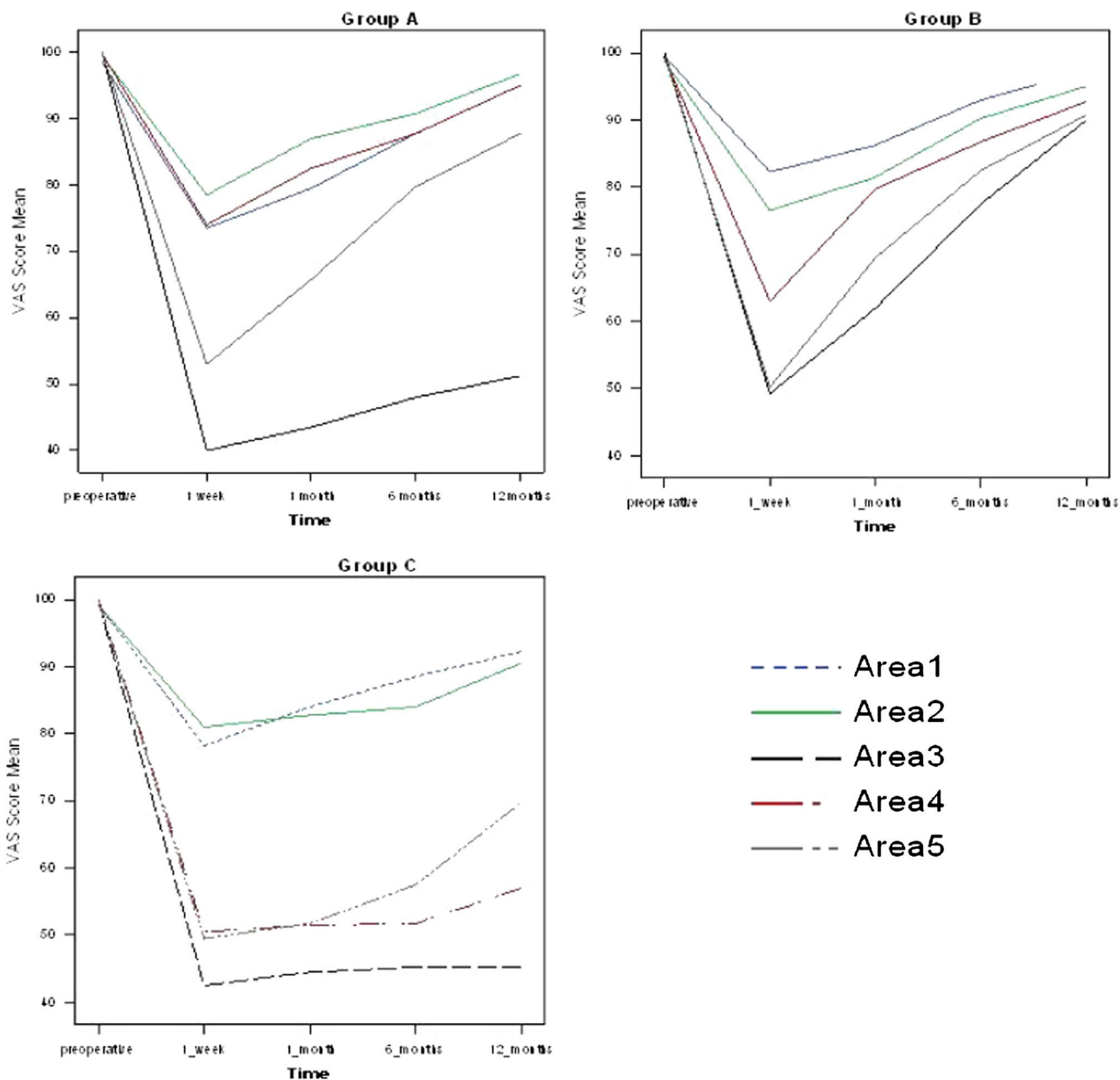


Fig. 5 Results: graphical representation

224 occipital nerve or from transverse cutaneous nerve of the
 225 neck.

226 In this study, the preservation of posterior and lobular
 227 branches of GAN was technically feasible when they did
 228 not go to the parotid lesion. We did not take into account
 229 the preservation of the anterior branch of GAN because
 230 generally during skin flap elevation it is necessary to divide
 231 the anterior branch. In spite of that, we reported that sensi-
 232 tivity in the pre-auricular region (area 1) recovered
 233 almost to the normal level in each group between 6 months
 234 and 1-year, postoperatively. In fact, as reported in Table 1,
 235 this is probably due to the presence of collateral

236 innervation from the mandibular branch of the trigeminal
 237 nerve and to the presence of an accessory anterior branch
 238 that splits up before going into the parotid gland [2, 3].

239 We obtained excellent results in every group also for the
 240 superior auricle area (area 2).

241 The greatest sensory loss occurred in the ear lobule (area
 242 3), followed by the infra-auricular region (area 5) and the
 243 posterior auricular region (area 4), according to Literature
 244 data [1]. The results in area 4 showed a sensory recovery
 245 almost to normal level in group A and B and a partial
 246 sensory recovery in group C, in which GAN was totally
 247 sacrificed. Several mechanisms have been reported to

248 explain this recovery: regeneration of nerve fibers, collat-
249 eral innervation by the lesser occipital nerve.

250 We noted that in terms of loss of sensitivity in the
251 **AQS** immediate postoperative period, area 5 is second only to
252 area 3 (Table 1). Nevertheless, the recovery at 12 months
253 was better when compared to that of area 3.

254 Some attention must be addressed to the fact that the
255 extensive preparation on very small nerves and consecutive
256 scar formation can lead to dysfunction. Possible unfavor-
257 able issues are the anatomical variability of the GAN that is
258 considerable and outlined in the introduction section and
259 the scarring process: both are unpredictable and possibly
260 influencing factors of the final recovery. Based on our
261 experience no significant variation of the functional
262 recovery time linked to anatomy and scarring is expected:
263 recovery time is always shorter when sparing the GA nerve
264 than after section of it [10].

265 Quality of life was not evaluated by specific question-
266 naires in the present paper, this is a very controversial
267 topic, a recent review on the matter reports: “There is level
268 Ib evidence that preservation of the greater auricular nerve
269 minimizes the postoperative sensory disturbance and
270 should be considered whenever tumor clearance is not
271 compromised” [11].

272 Conclusions

273 Our results show that preservation of the posterior and
274 lobular branches of GAN (defined as group B in our study)
275 warrants the best results in terms of loss and recovery of
276 sensitivity after 1 year post-surgery, followed closely by
277 preservation of the posterior branches (group A). Total
278 section of GAN (group C) leads to the worst outcome in
279 terms of residual sensitivity in the long-term.

280 Based on our data saving as many branches of the GAN
281 as possible seems to be very useful for maintaining a good
282 sensitive function in the auricular area in parotidectomy
283 patients.

284 The ear lobule sensitivity it is definitely more important
285 in female patients: it is commonly felt that females (mainly
286 younger ones) are more sensitive to facial scars due to
287 aesthetical concerns; moreover, the ear lobule sensitivity
288 seems even more important to be maintained for the
289 common use of earrings in such patients. Regarding the ear
290 lobule (area 3) results clarifications have to be made the
291 lobule presents the worst clinical outcome; in fact it rep-
292 resents the area with the highest loss and the lowest
293 recovery, in spite of posterior and lobular branches pres-
294 ervation (group B).

295 It seems therefore necessary to inform the patient that
296 even if the lobular branch were saved, a certain discomfort
297 or a certain alteration of sensitivity, limited to ear lobe,
298 could be present. Nevertheless, neural preservation gives a
299 better tactile sensitivity also in the lobule.

300 Finally, it must be underlined that the best candidates for
301 GAN preservation are patients with benign tumors not
302 involving the nerve.

303 In conclusion, the real long-term effects of GAN sparing
304 vs its sacrifice have been highlighted and the rationale for
305 the surgeon to apply this procedure given. The functional
306 results that may be reasonably expected in order of sensi-
307 tivity preservation of the auricular region are shown. It
308 seems then that the maximal GAN preservation, when
309 feasible, may offer a better quality of life after surgery to
310 the patient.

311 **Conflict of interest** Antonio Moretti and co-authors have no con-
312 flicts of interest to declare.

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