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Volcanic markers of the post-subduction evolution of Baja California and Sonora, Mexico: Slab tearing versus lithospheric rapture of the Gulf of California

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Abstract

1 The study of the geochemical compositions and K-Ar or Ar-Ar ages of ca. 350
2 Neogene and Quaternary lavas from Baja California, the Gulf of California and Sonora allows
3 us to discuss the nature of their mantle or crustal sources, the conditions of their melting and
4 the tectonic regime prevailing during their genesis and emplacement. Nine
5 petrographic/geochemical groups are distinguished: “regular” calc-alkaline lavas; adakites;
6 magnesian andesites and related basalts and basaltic andesites; niobium-enriched basalts; alkali
7 basalts and trachybasalts; oceanic (MORB-type) basalts; tholeiitic/transitional basalts and
8 basaltic andesites; peralkaline rhyolites (comendites); and icelandites. We show that the spatial
9 and temporal distribution of these lava types provides constraints on their sources and the
10 geodynamic setting controlling their partial melting. Three successive stages are distinguished.
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13 Between 23 and 13 Ma, calc-alkaline lavas linked to the subduction of the Pacific-
14 Farallon plate formed the Comondú and central coast of Sonora volcanic arc. In the extensional
15 domain of western Sonora, lithospheric mantle-derived tholeiitic to transitional basalts and
16 basaltic andesites were emplaced within the southern extension of the Basin and Range
17 province.
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19 The end of the Farallon subduction was marked by the emplacement of much more
20 complex Middle to Late Miocene volcanic associations, between 13 and 7 Ma. Calc-alkaline
21 activity became sporadic, and was replaced by unusual post-subduction magma types including
22 adakites, niobium-enriched basalts, magnesian andesites, comendites and icelandites. The
23 spatial and temporal distribution of these lavas is consistent with the development of a slab
24 tear, evolving into a 200 km-wide slab window sub-parallel to the trench, and extending from
25 the Pacific coast of Baja California to coastal Sonora. Tholeiitic, transitional and alkali basalts
26 of subslab origin ascended through this window, adakites derived from the partial melting of its
27 upper lip, relatively close to the trench, and calc-alkaline lavas, magnesian andesites and
28 niobium-enriched basalts formed from hydrous melting of the supraslab mantle triggered by
29 the uprise of hot Pacific asthenosphere through the window.
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31 During the Plio-Quaternary, the “no-slab” regime following the sinking of the old
32 part of the Farallon plate within the deep mantle allowed the emplacement of alkali and
33 tholeiitic/transitional basalts of deep asthenospheric origin in Baja California and Sonora. The
34 lithospheric rupture connected with the opening of the Gulf of California generated a high
35 thermal regime associated to asthenospheric uprise, and emplaced Quaternary depleted MORB-
36 type tholeiites. This thermal regime also induced partial melting of the thinned lithospheric
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2 mantle of the Gulf area, generating calc-alkaline lavas as well as adakites derived from slivers
3 of oceanic crust incorporated within this mantle.
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7 **Keywords:** slab tearing, slab melting, ridge-trench collision, adakite, basalt, comendite,
8 magnesian andesite, asthenospheric window, Basin and Range, Gulf of California, Baja
9 California, Sonora, México
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16 **1. Introduction**

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20 The geochemical (major, trace elements and isotopic) compositions of fresh magmatic
21 rocks are mostly inherited from those of their source materials during partial melting, although
22 they may have been modified later by intracrustal petrogenetic processes such as fractional
23 crystallization coupled or not with assimilation of host rocks, or magma mixing. On one hand,
24 experimental studies allow the petrologist to take into account the geochemical effects linked
25 to variable source mineralogy, temperature, pressure, and melting rate on the composition of
26 the melts. On the other hand, the presence of a given source at depth and the physical
27 conditions governing its partial melting are controlled by the regional geodynamic setting.
28 Magmatic rocks are thus potential markers of the tectonic regime prevailing during their
29 emplacement.
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39 The Neogene and Quaternary geological history of Baja California, Sonora and Gulf of
40 California has been marked by the almost continuous emplacement of volcanic rocks showing
41 an exceptional geochemical diversity (Gastil et al., 1979; Sawlan, 1991; Benoit et al., 2002).
42 Mafic lavas encompass the whole range of basaltic compositions, from depleted mid-oceanic
43 ridge basalts (MORB) to plume-type alkali basalts, through various kinds of tholeiitic,
44 transitional and calc-alkaline basalts and the very rare niobium-enriched basalts (NEB:
45 Aguillón-Robles et al., 2001). Intermediate and evolved lavas are also highly diversified. In
46 addition to the types commonly found in calc-alkaline series, they include unusual rocks such
47 as magnesian andesites (Saunders et al., 1987; Calmus et al., 2003), adakites (Aguillón-Robles
48 et al., 2001; Calmus et al., 2008), icelandites and peralkaline rhyolites (Vidal-Solano et al.,
49 2008a, b).
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A majority of authors have considered this geochemical diversity as resulting from the partial melting of contrasted mantle and crustal sources, during the complex tectonic evolution of the Pacific margin, which followed the end of the subduction of the Farallon oceanic plate around 12.5 Ma. In Baja California, the wide range of erupted magmas is generally attributed to the opening of an asthenospheric window, although the details of the process are debated: for instance, the source of adakites is thought to be either the subducted Farallon crust or the mafic base of the continental crust (see Pallares et al., 2007, 2008; Castillo, 2008, 2009; Maury et al., 2009, and references therein). In Sonora, the association of tholeiitic to transitional basalts (temporally evolving towards alkali basalts) with icelandites and peralkaline rhyolites is linked to the transition from a typical Basin and Range regime to rift opening in the nearby Gulf of California (Vidal-Solano et al., 2008a, b).

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However, a rather different point of view has been developed in two recent articles. Negrete-Aranda and Cañón-Tapia (2008) consider that a stalled Farallon slab is still present beneath Baja California, and that the post-subduction magmas originated from sources located in the mantle wedge or the overlying continental crust. These authors claim that the partial melting of these sources was due to the thermal rebound following the end of subduction, and that the temporal and spatial distribution of post-subduction lavas resulted from local tectonic features like the stress field and the tensile strength of the Baja California crustal rocks. Till et al. (2009) consider all the Miocene volcanism in Sonora as subduction-related (continental arc type), and find only subtle geochemical changes (slight variations of incompatible element ratios, e.g. La/Nb) concomitant with the ridge-trench collision off Baja California at 12.5 Ma. They suggest that the subduction signature of the sub-arc Sonoran mantle was not erased 4 m.y. after the end of subduction, and thus that what they call “petrotectonic modeling” is a perilous exercise.

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Numerous good quality geochemical analyses of K-Ar and/or Ar-Ar dated lavas from Baja California Peninsula, the Gulf of California islands and coastal Sonora have been published during the last ten years. The purpose of this paper is to review them, to discuss their implications on the nature of the magmatic sources at depth, and finally to examine critically the constraints that they may provide on the tectonic evolution of the Pacific margin of northwestern México.

2. Tectonic framework

1 The Middle Miocene to Recent tectonic and magmatic evolution of northwestern
2 Mexico is closely related to the transition between subduction regime and the opening of the
3 Gulf of California. After the Pacific-Farallon ridge entered the trench at the latitude of present-
4 day Los Angeles, the Rivera triple junction migrated progressively to the south, until the
5 eastwards subduction of Farallon plate and subsequent microplates below North America plate
6 ended between 12.5 and 12.3 Ma with the capture of microplates by the Pacific plate
7 (Lonsdale, 1991). This is the case north of the Shirley transform fault, when the sea-floor
8 spreading between Pacific and Farallon plates stooped after Chron 5AB (Lonsdale, 1991) and
9 more precisely during the younger part of the Chron 5A (Dyment, 2003) along the Guadalupe
10 ridge. South of the Shirley transform fault, this capture was progressive until 8 to 7 Ma, period
11 during which the Pacific-Magdalena ridge experienced a break into several segments, together
12 with a $\sim 50^\circ$ clockwise rotation (Michaud et al., 2006). After that rotation, the direction of
13 demising seafloor spreading along the ridge segments was closely parallel to the margin, which
14 suggests (1) that the spreading centers segments accommodated the main part of the
15 transcurrent motion between Pacific and North America plates before 8-7 Ma (Michaud et al.,
16 2006), and (2) that the onset of the activity of the San Benito-Tosco Abrejos fault zone
17 (Spencer and Normark, 1989) probably occurred at that time.

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31 The limit between Pacific and North America plates was located along the Tosco-
32 Abrejos and San Benito fault zones from 8-7 Ma until ca. 6 Ma, when the transtensional
33 regime in the Gulf of California became established. The displacement along the Tosco-
34 Abrejos-San Benito fault system is evaluated to 350 to 400 km, which is the offset necessary
35 to complete the 650-700 km of the northwest relative motion of Pacific plate with respect to
36 North America since 12.3 Ma (Atwater and Stock, 1998), after restoring the offset of 276 km
37 accumulated within the Gulf of California since 6.3 Ma (Oskin and Stock, 2003). Based on the
38 provenance data of detrital zircons, Fletcher et al. (2007) concluded that the dextral slip along
39 the Tosco-Abrejos fault was less than 150 km, and that the main transform boundary between
40 Pacific and North America plates was the Gulf of California, between 12.5 and 6 Ma. In both
41 cases, west of the Main Gulf Escarpment (MGE), Baja California Peninsula is considered to
42 be stable between Upper Miocene and Present. No major fault is known between Tosco-
43 Abrejos fault and the MGE. East verging normal faults of the western Los Cabos block
44 belong to the rifting structures of the Gulf Extensional Province (GEP). Minor faults are
45 associated with some volcanic fields of Baja California Sur such as La Purisima (Bellon et al.,
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2006). The adakitic domes and dykes of Santa Clara volcanic field are aligned along a NW-SE direction, subparallel to the paleotrench off Vizcaino Peninsula.

On the continent, prior to the opening of the Gulf of California, we can distinguish two morpho-tectonic regions that were inherited from the Paleogene and Early Miocene geologic evolution, marked by the subduction of the Farallon plate: (1) To the east, a large Basin and Range province which is part of the Southern Basin and Range province (Sonder and Jones, 1999). It is divided into three NNW-SSE trending areas (e.g. Henry, 1989); an eastern Basin and Range subprovince that extends from the Rio Grande Rift to the Trans-Mexican Volcanic Belt; a western Basin and Range province extending between the western limit of the Sierra Madre Occidental (SMO) and the Main Gulf Escarpment (MGE); and finally the Sierra Madre Occidental, a huge Oligocene volcanic belt, corresponding to a relatively unextended domain located between the western and eastern Basin and Range subprovinces, respectively. (2) West of the MGE, the Peninsular Ranges belt which backbone is composed mainly by plutons of the Cretaceous magmatic arc, together with some accreted terranes along the western margin. This zone is not affected by Basin and Range extension. Based on the previous morphotectonic distinction, we conclude that the MGE coincides with the western limit of the Basin and Range province. Henry (1989, his Fig. 7) reported that the region surrounding the Gulf of California experienced intense faulting during Basin and Range extension, before the opening of the Gulf of California. He also considered that normal faults aligned with the MGE represent the western limit of the Basin and Range Province.

A majority of authors agree to consider that NE-SW extension observed along and east of the MGE is related the opening of the Gulf of California (e.g. Stock and Hodges, 1989). Nevertheless, in the southern Sierra Juarez, along the present eastern coast of Baja California, Lee et al. (1996) interpreted west-dipping normal faulting which occurred between 15.98 ± 0.13 and 10.96 ± 0.05 Ma as the first east-west extension of the Gulf Extensional Province (GEP). That age is coeval with some ages of Basin and Range extension determined in Sonora, and it is thus necessary to distinguish the extension related to Basin and Range from the extension due to lithosphere breakup at the beginning of the opening of the Gulf of California. That distinction has been also questioned by Dokka and Merriam (1982) for the region of Puertecitos, and Stock and Hodges (1989) for the whole Gulf region. These last authors propose that the eastern limit of the GEP coincides with the western limit of the SMO, but recognize at the same time that the limit of the GEP is not well defined in Sonora, due to the lack of reliable data. In the work of Stock and Hodges (1989) as well as in many others papers,

1 the use of the acronym GEP is accompanied by a reference to the classical study of the geology
2 of the state of Baja California, Mexico, by Gastil et al. (1975). Nevertheless, a detailed lecture
3 of that exhaustive work does not show any evidence for a precise description of such a
4 morphologic or tectonic province. Gastil et al. (1975) present the Gulf of California depression
5 (p. 76 and 131) as a structural province limited by the coast of Sonora and the MGE in Baja
6 California, probably reported for the first time by Gabb (1882) as “an enormous fault” along
7 the coastline between La Paz and Mulege. Later maps of the GEP (Lee et al., 1996; Till et al.,
8 2009 and many others), refer to the figure 1 of Gastil et al. (1975) where the authors presented
9 the Basin and Range province (and not a hypothetical GEP) as extending in northwestern
10 Mexico from the Sierra Madre Occidental western escarpment to the east to the MGE to the
11 west. Henry and Aranda Gómez (2000) presented a new evaluation of the 12-6 Ma extension
12 around the Gulf of California, and concluded that it occurred probably throughout the southern
13 Basin and Range province, including the eastern Basin and Range, east of the SMO. In the case
14 of Sonora, they reported tilted volcanic rocks younger than 12-10 Ma but the highest angles of
15 dip were observed in Sierra Santa Ursula, close to the Gulf of California. Following Roldán-
16 Quintana et al. (2004) and Calmus et al. (1997), we will consider that the eastern limit of the
17 GEP in Sonora might correspond to the Empalme graben and its continuation toward the north
18 along the Hermosillo graben. To the east of that limit, minor tilting and extension could be
19 associated to Late Miocene waning Basin and Range extension within a Miocene Extensional
20 Arc Province as suggested by Gans (1997).

39 **3. Lava types: their occurrences, specific geochemical features and mantle/crustal** 40 **sources**

41 *3.1. Data base and classification*

42 We have compiled ca. 350 chemical analyses of ^{40}K - ^{40}Ar or ^{40}Ar - ^{39}Ar dated Neogene
43 and Quaternary lavas for which a large set of major and trace element data, mostly obtained by
44 Inductively Coupled Plasma-Atomic Emission Spectrometry (ICP-AES) and Inductively
45 Coupled Plasma-Mass Spectrometry (ICP-MS), is available. Our main source of data for Baja
46 California is a set of papers (Aguillón-Robles et al., 2001; Benoit et al., 2002; Calmus et al.,
47 2003; Bellon et al., 2006; Pallares et al., 2007, 2008; Calmus et al., 2008) for which the

1 analytical techniques are described by Cotten et al. (1995). For coastal Sonora, we have mostly
2 used the set of data of Vidal-Solano et al. (2005, 2008a,b) and Till et al. (2009).

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4 Calc-alkaline lavas showing a typical subduction-related geochemical signatures have
5 been classified according to their K_2O and SiO_2 contents (Peccerillo and Taylor, 1976), and
6 other lavas according to the TAS diagram (Le Bas et al., 1986). Transitional basalts are defined
7 according to Middlemost's (1975) criteria, and adakites according to the Sr/Y versus Y plot of
8 Defant and Drummond (1990). Niobium-enriched basalts (NEB) are named after their original
9 description in Santa Clara volcanic field, Baja California (Aguillón-Robles et al., 2001),
10 although their definition and relationships with alkali basalts are still a matter of debate
11 (Castillo, 2008, 2009; Maury et al., 2009).
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20 3.2. "Regular" calc-alkaline lavas

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24 Calc-alkaline lavas form the bulk of the Comondú Late Oligocene to Middle Miocene
25 calc-alkaline belt (Gastil et al., 1979; Hausback, 1984; Umhoefer et al., 2001). It extends all
26 along the eastern part of the Baja California Peninsula from 32°N to 24°N (Fig. 1), mostly as
27 coalescent stratovolcanoes along its main ridge, and also forms the bulk of volcanic cover of
28 Isla Tiburón and a number of sierras close to the coast of central Sonora. Medium-K to high-K
29 andesites are prominent, with subordinate dacites, basaltic andesites and basalts. These lavas
30 are highly porphyritic, with phenocrysts of plagioclase (labradorite), clinopyroxene (augite,
31 diopside) and olivine altered to iddingsite, together with occasional crystals of hornblende,
32 titanomagnetite and orthopyroxene. They display a characteristic "subduction-related"
33 incompatible element signature, with high ratios of Large Ion Lithophile Elements (LILE) such
34 as Ba and Sr over High Field Strength Elements (HFSE) and typical depletion of the latter,
35 resulting in negative Nb and Ti anomalies in their multi-element patterns (Fig. 2a). The
36 fractionated character of their rare earth element (REE) patterns is mostly due to their high
37 contents in light REE (LREE; e.g. La, Ce). Unlike adakites and related rocks, they are not
38 selectively depleted in yttrium and heavy REE (HREE) and therefore display low Sr/Y (< 20)
39 and La/Yb (< 10) ratios. In the following discussion they will be referred to as "regular" calc-
40 alkaline lavas (opposed to adakites and related rocks).
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56 The Comondú volcanic belt was active until 15-14.5 Ma in northern Baja California
57 (Martín et al., 2000; Pallares et al., 2007; Fig. 3a); until 11 Ma in Baja California Sur (Sawlan
58 and Smith, 1984; Sawlan, 1991; Bellon et al., 2006); and until 12-11 Ma in Sonora (Mora-
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1 Klepeis and McDowell, 2004; Vidal-Solano et al., 2008a; Till et al., 2009). However, limited
2 calc-alkaline activity persisted during the Late Miocene in various volcanic fields of Baja
3 California and Sonora (Fig. 3b), until 5.8 Ma in Puertecitos (Martín-Barajas et al., 1995), 7.3
4 Ma in Jaraguay (Pallares et al., 2007) and 8.3 Ma in Sierra El Aguaje (Till et al., 2009). Then,
5 it resumed during the Plio-Quaternary along the eastern coast of Baja California and within the
6 Gulf of California (Figs. 1 and 3c), emplacing several volcanic edifices, most of them of
7 medium-K composition. These include from north to south: the youngest lavas of the
8 Puertecitos volcanic field (3.2-2.7 Ma; Martín-Barajas et al., 1995), Isla San Luis (Pleistocene;
9 Paz-Moreno and Demant, 1999), the Cerro Starship centre in the SW of Isla Tiburón (5.7-3.7
10 Ma; Oskin and Stock, 2003), Isla San Esteban (4.5-2.5 Ma; Desonie, 1992; Calmus et al.,
11 2008), Tres Virgenes young volcano (160-36 ka; Schmitt et al., 2006), La Reforma and El
12 Aguajito calderas (1.4-1.2 Ma; Demant, 1984; Garduño-Monroy et al., 1993; Schmitt et al.,
13 2006), Cerro Los Mencionares volcanic centre (4.3-3.8 Ma; Bigioggero et al., 1995; Aguilón-
14 Robles, 2002), and Isla Coronado (0.69 Ma-Holocene; Bigioggero et al., 1987). Some of these
15 volcanic edifices contain, in addition to “regular” calc-alkaline lavas, adakites (Isla San
16 Esteban; Calmus et al., 2008) or lavas plotting within the adakite field in most geochemical
17 diagrams (Isla Coronado, Cerro Mencionares, Tres Virgenes; see below).

18 Although no specific geochemical modelling of the origin of the Comondú “regular”
19 calc-alkaline lavas has been attempted, all authors have assumed that these andesite-dominated
20 suites derive from the usual arc lava source, i.e. the supraslab mantle metasomatized by
21 hydrous fluids transferred from the downgoing Pacific-Farallon plate (Arculus, 1994; Stern,
22 2002). The origin of calc-alkaline lavas younger than the postulated end of the subduction
23 event (ca. 12.5 Ma) has also been attributed to the delayed partial melting of this previously
24 metasomatized source (Bellon et al., 2006; Till et al. 2009). This melting may have been
25 triggered by the heat supply from the Pacific asthenosphere during the Late Miocene
26 development of an asthenospheric window (Pallares et al., 2007, 2008), and later by the high
27 thermal regime linked to the opening of the Gulf of California (Calmus et al., 2008).

3.3. *Adakites and lavas intermediate between adakites and calc-alkaline lavas*

28 Adakites (Defant and Drummond, 1990) or high-silica adakites (Martin et al., 2005) are
29 low-K to medium-K andesitic and dacitic rocks ($\text{SiO}_2=56-70$ wt.%), usually amphibole-rich,
30 the geochemical signature of which shows the high LILE/HFSE ratios and relative depletion in
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1 Nb typical of calc-alkaline magmas (Fig. 2b). In addition, they display highly fractionated REE
2 patterns ($\text{La/Yb} > 20$), very low HREE and Y contents, positive anomalies in Sr, very high Sr/Y
3 (> 50) and equivalent ratios, and isotopic Sr, Nd, Pb signatures similar to that of oceanic
4 MORB-type basalts. Their characteristic Y and HREE depletion (Fig. 2b) is thought to traduce
5 the selective incorporation of these elements in garnet (either residual garnet in their source or
6 deep fractionation of garnet from the early melts). Adakites are generally considered as derived
7 either (i) from the partial melting of subducted oceanic crust metamorphosed into the garnet
8 amphibolite or eclogite facies (Defant and Drummond, 1990; Sen and Dunn, 1994a; Martin,
9 1999; Defant and Kepezhinskias, 2001; Martin et al., 2005), or (ii) from the partial melting of
10 the mafic base of thickened Andean-type crust (Atherton and Petford, 1993; Petford and
11 Atherton, 1996; Arculus et al., 1999), or finally from (iii) high-pressure fractionation
12 (involving separation of garnet) of basaltic to andesitic liquids in mantle conditions (Prouteau
13 and Scaillet, 2003; Müntener and Ulmer, 2006; Alonso-Perez et al., 2009). In addition to
14 typical adakites, the compositions of which match those of experimental garnet amphibolite or
15 garnet eclogite partial melts, many adakite associations contain rocks displaying Sr/Y and
16 La/Yb ratios intermediate between the former and calc-alkaline melts. These rocks might result
17 either from mixing involving the two kinds of melts (Jego et al., 2005), or alternatively from
18 variable fractionation of garnet or garnet + amphibole in calc-alkaline magmas (e.g.
19 Macpherson et al., 2006; Chiaradia et al., 2009).

20 Adakites have never been identified in Sonora, but several occurrences are described
21 (and other suspected) in Baja California and the Gulf of California islands. The largest is the
22 Late Miocene Santa Clara volcanic field (Vizcaino Peninsula), the volume of which is
23 estimated to 25 km^3 . In this area, ca. 20 dacitic domes and up to 250 m thick associated lava
24 flow and pyroclastic flow sequences were emplaced between 11 and 8.7 Ma (Aguillón-Robles
25 et al., 2001; Benoit et al., 2002), in close spatial and temporal association with niobium-
26 enriched basalts (NEB). In addition, adakites dated between 6.2 and 4.9 Ma have been reported
27 by Bonini and Baldwin (1998) from Isla Santa Margarita, and an adakitic dyke 9.7 Ma old from
28 the Jaraguay volcanic field (Pallares et al., 2007). In the Santa Rosalía basin, adakitic flows
29 (the Santa Rosalía dacites) were emplaced between 12.5 and 12.3 Ma (Conly et al., 2005).

30 Pliocene (4.5-2.6 Ma old) adakitic andesites and dacites, associated with
31 contemporaneous calc-alkaline lavas, have been reported from Isla San Esteban in the Gulf of
32 California (Calmus et al., 2008). Although they were not identified as such by former authors,
33 Pliocene-Quaternary adakites or adakite-related lavas might also occur in several other
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1 volcanic centres. Indeed, analyses of dacites or andesites displaying low heavy rare earth
2 elements (HREE) and Y contents together with high Sr/Y and La/Yb ratios have been recorded
3 from the Pliocene Cerro Mencionares complex (Bigioggero et al., 1995), and the Quaternary
4 Isla Coronado (Bigioggero et al., 1987) and Tres Virgenes volcanoes (Cameron and Cameron,
5 1985).
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9 The origin of Late Miocene Baja California adakites has been attributed to the melting
10 of the subducting Pacific-Farallon oceanic crust along the edges of an asthenospheric window
11 (Aguillón-Robles et al., 2001; Benoit et al., 2002; Pallares et al., 2007). This interpretation has
12 been challenged by Castillo (2008) who prefers that of the partial melting of metabasites from
13 the base of the Baja crust. However, the thickness of this crust (less than 33 km) may not allow
14 garnet to be stable in such conditions (Maury et al., 2009). In addition, the occurrence of
15 mantle-derived ultramafic xenocrysts and xenoliths in Rancho San Lucas adakite (Santa Clara)
16 suggests that adakitic melts ascended through the upper Baja mantle, and thus derived either
17 from the downgoing slab (Maury et al., 2009) or alternatively from delaminated Baja crust
18 slivers (Castillo, 2009). The source of the Pliocene San Esteban adakites, which overlie a still
19 thinner continental crust and clearly post-date the subduction event, is thought to be isolated
20 slivers of oceanic crust left within the Gulf mantle. Their melting might have been triggered by
21 the hot thermal regime linked to its opening, especially during the spreading stage of the Lower
22 Tiburón basin, concomitant with volcanic activity in San Esteban (Calmus et al., 2008).
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37 *3.4. Magnesian basalts, basaltic andesites and andesites: the “bajaite” suite*

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41 Magnesian andesite suites are characterised by high contents in incompatible elements,
42 although they display the relative depletion in Nb typical of calc-alkaline magmas. They
43 include basalts, basaltic andesites and andesites ($\text{SiO}_2 < 60$ wt.%) showing MgO and
44 compatible transition elements (Cr, Co, Ni) contents higher than expected from their silica
45 contents (when compared to regular calc-alkaline series). A majority of them are rich in Sr
46 (>1000 ppm) and their multielement patterns (Fig. 2c) show positive anomalies for this
47 element, together with variable but often marked depletions in Y and HREE, which have been
48 interpreted as indicative of an adakitic imprint (Defant and Drummond, 1990; “low-silica
49 adakites” of Martin et al., 2005). In Baja California, these unusual lavas, which were termed
50 “bajaites” by Rogers et al. (1985), form six volcanic fields delineating a 500 km-long array
51 parallel to the Gulf of California, from Jaraguay to La Purísima (Fig. 1). They cover a total
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1 surface of ca. 9000 km² and range in age from Middle Miocene (14.6 Ma; Calmus et al., 2003;
2 Pallares et al., 2008) to Holocene in La Purísima (Bellon et al., 2006). They are moderately
3 porphyritic, with 5 to 20 modal% phenocrysts which include, by order of decreasing
4 abundances, olivine, diopsidic clinopyroxene, orthopyroxene, labradorite, titanomagnetite,
5 and occasional phlogopite and sanidine. They display easily recognizable geochemical
6 characteristics: SiO₂ up to 57%, MgO up to 8%, low FeO*/MgO ratios usually less than 2,
7 high Na/K, low Rb/Sr (<0.01), very high contents in Sr (up to 3000 ppm) and Ba (>1000
8 ppm), highly fractionated REE patterns (Fig. 2c), and relatively low ⁸⁷Sr/⁸⁶Sr < 0.7048
9 (Rogers et al., 1985; Saunders et al., 1987; Rogers and Saunders, 1989; Calmus et al., 2003).

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Saunders et al. (1987) and Rogers and Saunders (1989) concluded that the genesis of the
Baja California magnesian andesite suite was a two-stage process, involving mantle
metasomatism by silicic melts during subduction followed by melting of this metasomatized
mantle during a post-subduction (extensional) event. Calmus et al. (2003) and Pallares et al.
(2008) proposed a detailed petrogenetic model involving melting of supraslab mantle having
interacted with slab melts. In this model, reactions between adakitic melts and the deep
supraslab mantle led to metasomatized pargasite-rich peridotites. Then, incongruent
dehydration melting of pargasitic amphibole, at depths of ca. 80 km, triggered the genesis of
bajaitic melts, and left a garnet-rich residue. This melting occurred at minimal temperatures of
1050-1075°C, consistent with a high thermal flux in the mantle wedge during the opening of
an asthenospheric window following ridge-trench collision, as well as during the subsequent
“no-slab” regime which followed the sinking of the Farallon plate into the deep mantle
(Pallares et al., 2008). The model proposed by Castillo (2008) is rather similar to the former
one, except that metasomatic mantle minerals are thought to result from the percolation of
hydrous fluids rather than slab melts.

3.5. Niobium-enriched basalts

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Niobium-enriched basalts (NEB) are an extremely unusual rock type, only found in
close spatial and temporal association with Baja California adakites in Santa Clara, Vizcaíno
Peninsula (11.7-8.7 Ma; Aguillón-Robles et al., 2001) and possibly in Santa Rosalía (11-9
Ma; Conly et al., 2005). In Santa Clara, they occur as fluidal lava flows of olivine-plagioclase-
phyric basalts which form horizontal mesas (Aguillón-Robles et al., 2001). They overlie
adakitic pyroclastic flow deposits, some of which contain NEB blocks (Maury et al., 2009).

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These NEB are silica-oversaturated, highly sodic, and differ from the vast majority of arc basalts by their higher Nb (10-30 ppm) and TiO₂ (1.3-1.8 wt.%) contents. They display rather smooth enriched incompatible element patterns culminating at the level of Nb (Fig. 2d), with variable positive anomalies in Ba, Sr and Ti which are almost identical to those of the Philippine NEB (Sajona et al., 1996).

There is an ongoing debate regarding the genesis of these unusual basalts, which differ from the alkali basalts described below by their silica-saturated character, their generally lower enrichment in incompatible elements and their lower Sr isotopic ratios (Aguillón-Robles et al., 2001; Benoit et al., 2002). Unlike the magnesian andesite suite, they show neither negative Nb anomalies nor strong depletion in Y and HREE. The above authors thought these NEB to derive from the melting at relatively low pressures (depths of 40-60 km), i.e. in the spinel stability field, of amphibole-rich supraslab mantle having interacted with adakitic melts (Defant et al., 1992; Kepezhinskas et al., 1996; Sajona et al., 1996). A major difference with the petrogenetic history of the magnesian andesite suite is that, for the latter, interactions between slab melts and the supraslab mantle occurred at greater depths (ca. 80 km), i.e. in the garnet stability field. Castillo (2008, 2009) proposed an entirely different model, in which NEB of Baja California are genetically unrelated to adakites despite ample field evidence for their association (Maury et al., 2009). They are thought to result from the fractional crystallization of San Quintín-type melts coupled with their contamination by tholeiitic mantle materials and/or the Baja California continental crust. The San Quintín-type melts would have ascended through a slab window located beneath the Proto-Gulf of California should therefore have travelled ca. 150 km towards the fossil trench within the Baja lithospheric mantle. In addition, Santa Clara NEB are less radiogenic in Sr than San Quintín basalts, a feature hardly consistent with the contamination hypothesis (Maury et al., 2009).

3.6. Alkali basalts and trachybasalts

Alkali basalts in Baja California and Sonora are mostly Quaternary in age. In Baja California, the well-preserved San Quintín strombolian cones and associated flows, which contain peridotitic and granulitic xenoliths (Gastil et al., 1979) have been extensively studied (Luhr et al., 1995). Available ages range from 126 to 90 ka, but the eruptive activity probably continued into the Holocene. In Sonora, Quaternary alkali basalts and trachybasalts occur in

1 two volcanic fields, where they are associated with tholeiitic basalts. In the Pinacate volcanic
2 field, alkali basaltic to trachytic activity emplaced the Santa Clara volcanic shield between 1.7
3 and 1.1 Ma. Then, the 1500 km² Pinacate series magmas erupted until the Holocene (13 ka;
4 Turrin et al., 2008), forming hundreds of strombolian cones, maars and tuff rings (Gutmann,
5 2002) and emplacing tholeiitic, transitional and mildly alkali basalts together with trachybasalts
6 and minor trachyandesites. The ca. 300 km² Quaternary Moctezuma volcanic field (Paz
7 Moreno et al., 2003) is located at the foothills of the Sierra Madre Occidental. It includes
8 tholeiitic lava flows emitted during an early fissural event (1.7 Ma), overlain by younger (0.53-
9 0.44 Ma) alkali trachybasaltic lava flows erupted from small monogenetic cones.
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11 Late Miocene alkali basalt-related lavas have only been described in northern Baja
12 California. The two large plateaus (ca. 600 km² each) of Mesa San Carlos and Mesa Santa
13 Catarina, located along the west coast of Peninsula 100 km south of El Rosario, are capped by
14 trachybasaltic (hawaiitic) flows dated between 9.3 and 7.5 Ma (Pallares et al., 2007).
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16 Quaternary alkali basalts and trachybasalts from San Quintín, Pinacate and Moctezuma
17 volcanic fields and Late Miocene San Carlos – Santa Catarina trachybasalts contain olivine,
18 clinopyroxene, plagioclase, titanomagnetite and ilmenite. They are mildly silica-undersaturated
19 (less than 5% normative nepheline). Their multielement patterns (Fig. 2e) display a
20 considerable enrichment in the most incompatible elements, culminating at the level of Nb, and
21 relative depletion in Rb, Ba and K. They are typical of an ocean island basalt (OIB)-type source
22 with little or no crustal contamination (Luhr et al., 1995). Isotopic (Sr, Nd, Pb) data are only
23 available for the Quaternary San Quintín (Luhr et al., 1995) Pinacate (Asmerom and Edwards,
24 1995; Goss et al., 2008) and Moctezuma (Paz Moreno et al., 2003) volcanic fields. They plot
25 consistently within the OIB field, and evidences for crustal contamination are limited to two
26 San Quintín cones. These alkali basaltic magmas are thought to derive from plume-type
27 asthenospheric mantle (Asmerom and Edwards, 1995; Paz Moreno et al., 2003), at depths
28 increasing from the spinel lherzolite field below San Quintín (Luhr et al., 1995) to the garnet
29 lherzolite field below the Pinacate (Goss et al., 2008).
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51 *3.7. Oceanic (MORB-type) basalts and related rocks*

52 Oceanic basalts in the studied area are exclusively Quaternary. Their occurrence is
53 restricted to the Gulf of California, although Holocene tholeiitic dacites from the Cerro Prieto
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1 geothermal field, south of Mexicali, have been considered as MORB-related (Herzig, 1990).
2 These oceanic basalts form the small Isla Tortuga, made of tholeiitic basaltic and ferrobasic
3 flows (< 1.7 Ma; Batiza, 1978; Batiza et al., 1979) overlain by possibly Holocene hyaloclastic
4 tuffs (Medina et al., 1989). They have also been found in several holes drilled during DSDP
5 Leg 64 (Saunders et al., 1982a,b; Perfit et al., 1982; Fornari et al., 1982) along the Gulf rise
6 (DSDP site 479) and in the Guaymas Basin (< 0.4 Ma; DSDP sites 477, 478) and Yaqui Basin
7 (DSDP site 481). These basalts range from subaphyric to sparsely porphyritic lavas containing
8 phenocrysts of calcic plagioclase or olivine + plagioclase. Their major element compositions
9 are broadly similar to those of normal MORB from the East Pacific Rise (EPR). Like the latter,
10 they are poor in incompatible elements, and their flat to depleted multielement patterns (Fig.
11 2f) are consistent with their derivation from depleted asthenospheric sources similar to the EPR
12 ones. However, the Sr contents of Guaymas Basin and Tortuga basalts are higher than those
13 from basalts from the Gulf mouth area, and the former also display higher La/Yb, Sr/Zr, Zr/Ti
14 and Th/Hf ratios, which suggest that their mantle source contained a “residual calc-alkaline
15 component” (Saunders et al., 1982).
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30 *3.8. Tholeiitic or transitional basalts and basaltic andesites*

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34 Late Miocene tholeiitic basaltic andesites (11.3-7.2 Ma; Benoit et al., 2002; Bellon et
35 al. 2006) crop out in Baja California, between San Juanico and San Ignacio, as very fluid flows
36 overlying tilted Tertiary sedimentary rocks. They cap large sub-horizontal plateaus (mesas) and
37 have probably been emitted from fissures. Similar tholeiitic lavas dated to 6 Ma have been
38 collected in northern Baja California peninsula near 31°22'N (El Paraiso, Aguillón-Robles,
39 2002). These tholeiitic rocks contain sparse plagioclase and olivine phenocrysts, are silica-
40 oversaturated, and characterized by low K₂O (< 0.6 wt.%) and rather high TiO₂ (1.6-1.9 wt.%)
41 contents. They are also richer in Nb and other HFSE than their calc-alkaline equivalents, and
42 their rather flat REE and multielement patterns (Fig. 2g, bottom patterns) do not display any
43 subduction imprint. Melting of depleted subslab mantle accounts satisfactorily for these flat
44 patterns and depleted Sr and Nd isotopic signatures of these tholeiites (Benoit et al., 2002).
45 However, a small sediment contribution is required to explain their enriched Pb isotopic
46 features.
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58 Three episodes of Neogene-Quaternary tholeiitic to transitional basaltic volcanism can
59 be recognized in Sonora. During the Early Miocene (20.6-19 Ma) tholeiitic lava flows, which
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1 were later affected by extensional tectonics, were emplaced in the substratum of the Pinacate
2 Volcanic Field (Vidal-Solano et al., 2008a) and in several sierras. These lavas contain less than
3 5% phenocrysts (olivine and plagioclase), and are olivine + hypersthene to quartz-normative
4 basalts and basaltic andesites. Their multielement patterns (Fig. 2g, bottom patterns) are flat to
5 slightly enriched. They display small negative Nb anomalies, which, together with their Sr and
6 Nd isotopic signatures, suggest the involvement of lithospheric materials, possibly from a
7 Precambrian lithospheric mantle source (Vidal-Solano et al., 2008a). Indeed, these rocks are
8 rather similar to the lithospheric mantle-derived Early Miocene basalts of southern Nevada and
9 westernmost Arizona, and the basaltic andesites from the Mojave Desert, California (Miller et
10 al., 2000).

11 The second episode of tholeiitic to transitional magmatism in Sonora occurred during
12 the Middle and Upper Miocene (Serravallian-Tortonian, 13.0-7.2 Ma). It emplaced lava flows
13 in the Pinacate substratum (Paz Moreno et al., 2008), Las Trincheras (Mora-Klepeis and
14 McDowell, 2004), and possibly, according to Till et al. (2009)'s analyses, in Sierra Libre, Sierra
15 El Aguaje, Coastal Sonora and Guaymas. This event was concomitant with the eruption in the
16 same areas of peralkaline rhyolites and icelandites (see below), which are considered as
17 derived from the open system fractional crystallization of such tholeiitic/transitional magmas
18 (Vidal-Solano et al., 2005, 2007, 2008a,b). The mafic lavas from this episode display
19 multielement patterns (Fig. 2g, upper group) more enriched than the older ones, with weaker
20 Nb anomalies indicative of lithospheric contribution. Their Sr and Nd isotopic compositions
21 are also closer to the MORB field (Vidal-Solano et al., 2008a). These features might traduce a
22 temporal change in the composition of the mantle sources of the tholeiites, from shallow
23 lithospheric to deeper asthenospheric mantle, as the result of convective thinning and extension
24 of the Basin and Range lithosphere (Fitton et al., 1991; DePaolo and Daley, 2000; Vidal-
25 Solano et al., 2008a).

26 Finally, tholeiitic basalts and basaltic andesites were also emplaced in Sonora during
27 the Quaternary, in association with alkali basalts, in the Pinacate and Moctezuma volcanic
28 fields (see section 3.6). They contain less than 10% olivine phenocrysts, and are either olivine
29 + hypersthene or quartz-normative. Their main mineralogical difference with respect to the
30 alkali basalts lies in the composition of clinopyroxenes. These are Ca-rich in the alkaline lavas
31 and subcalcic with orthopyroxene or pigeonite in the tholeiitic lavas (Paz Moreno et al., 2003).
32 The multi-element patterns of the tholeiitic lavas are subparallel to (although less enriched in
33 the most incompatible elements) those of the associated alkali basalts and trachybasalts, and
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typical of their OIB affinity. Isotopic (Sr, Nd, Pb) data on the Pinacate (Asmerom and Edwards, 1995; Goss et al., 2008) and Moctezuma (Paz Moreno et al., 2003) tholeiites plot within the OIB field and do not indicate the occurrence of lithospheric contamination processes. Like the associated alkali basalts, these Quaternary tholeiites are thought to derive from plume-type asthenospheric mantle (Asmerom and Edwards, 1995; Paz Moreno et al., 2003; Goss et al., 2008).

3.9. *Peralkaline rhyolites and icelandites*

Vidal-Solano (2005) and Vidal-Solano et al. (2005, 2007, 2008a,b) demonstrated that two rock types outcropping in central Sonora and the Puertecitos area in Baja California, and considered as calc-alkaline by other authors (Sawlan, 1991; Martín-Barajas et al., 1995; Till et al., 2009), are respectively peralkaline rhyolites (comendites) and icelandites. Both types commonly occur in tholeiitic to transitional basalt series erupted in extensional settings, and are generally thought to derive from the closed- or open-system fractional crystallization of the associated basaltic magmas. The Sonora and Puertecitos peralkaline rhyolites occur as ignimbrite deposits and less commonly as rhyolitic domes, and have been dated to 12.6-12 Ma (Vidal-Solano et al., 2008a,b). Most ignimbrite deposits have suffered some weathering, and thus their major element analyses do not show anymore the (Na+K)/Al ratios higher than unity which are characteristic of peralkaline rhyolites. Their Sr isotopic ratios indicate that they have also experienced limited contamination by the Precambrian substratum of Sonora (Vidal-Solano et al., 2008a,b). However, they have retained many typical features (Vidal-Solano et al., 2005, 2007), including (1) their unmistakable mineralogical association, with phenocrysts of fayalite, Fe-rich augite, alkali feldspar and zircon, (2) major element compositions characterized by high silica (>70 wt.%), low alumina (~ 12 wt.%) and high alkalis, and (3) strong enrichment in the most incompatible elements, including the LREE, and marked depletion in Ba, Sr and Eu typical of feldspar fractionation (Fig. 2h). Till et al. (2009) have published rhyolite analyses displaying similar characteristics from the Miocene volcanic fields of Suaqui Grande, Sierra Libre, Santa Ursula, Sierra El Aguaje, Sierra Mazatan and coastal Sonora, together with Ar-Ar ages (12.5-10.1 Ma) close to those of Vidal-Solano et al. (2008a). These rhyolitic sequences, more than 1000 m-thick in Sierra Santa Ursula, are interpreted as the first volcanic manifestation of the continental breakup of the Gulf of California. The main

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emission center of the 12.5 Ma old San Felipe Tuff is supposed to be located in the Sierra Kunkaak between Bahía de Kino and Punta Chueca (Oskin, 2002). However, the very large distribution of its deposits from San Felipe area in Baja California until Guaymas region and Central Sonora, as well as their variable thickness, may be due to the occurrence of several emission centers. The Lista Blanca Formation of La Colorada, Mazatán region and Tecoripa in Central Sonora, may correspond to the most distal pyroclastic deposits to the east.

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In the same areas of central Sonora (e.g. in Sierra Lista Blanca and Sierra San Antonio), peralkaline comenditic ignimbrites are often capped by black porphyritic lava flows containing andesine, augite, pigeonite and Fe-Ti oxide phenocrysts set in a glassy groundmass (Vidal-Solano et al., 2008b). One of these flows yielded an Ar-Ar age of 10.9 ± 0.4 Ma. These rocks show major and trace element features typical of intermediate lavas from the tholeiitic basalt series (icelandites): intermediate silica contents (60-65 wt.%), high total iron oxide (5-13 wt.%) and FeO/MgO ratios, together with TiO₂ contents (> 1 wt.%) higher than those of calc-alkaline lavas. Their enriched incompatible element patterns (Fig. 2h) show negative anomalies in Ba, Sr, Eu and Ti weaker than those of the comendites (Vidal-Solano, 2005). Like the comendites, these icelandites also display small negative Nb anomalies and Sr, Nd and Pb isotopic compositions consistent with minor assimilation of upper crustal Precambrian materials (Vidal-Solano et al., 2008a,b). Therefore, they are thought to derive from open-system fractional crystallization of tholeiitic to transitional magmas. Till et al. (2009) have published andesite and dacite analyses with similar characteristics from the Miocene volcanic fields of Sierra Libre, Santa Ursula, Sierra El Aguaje and coastal Sonora, together with one Ar-Ar age (11.41 ± 0.04 Ma) and several ages estimated by stratigraphic correlation for these rocks (12.2-11.1 Ma), which are close to that of the former authors.

4. Discussion

4.1. *Volcanism and tectonic reconstructions: spatial and temporal constraints*

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There are two prerequisite conditions for reconstructing past tectonic regimes from the spatial and temporal patterns of volcanic activity described above. First, the geographic position of the volcanics should mark those of their sources at the time of their emplacement: in other words, most authors implicitly or explicitly assume that magmas ascend more or less

1 vertically toward the surface from their ca. 50 to 100 km deep source, i.e. through the
2 lithospheric mantle and the overlying crust. This assumption is consistent with current models
3 of magma dynamics and emplacement in arcs as well as in intraplate extensional/transensional
4 settings and in rifts (Annen et al., 2006; Zellmer and Annen, 2008). In both cases, magmas are
5 thought to ascend first as visco-elastic diapirs, and then to fill subvertical fracture networks
6 within the brittle upper crustal rocks. However, Castillo (2008) claimed that basaltic magmas
7 produced beneath the axis of the Proto-Gulf of California migrated laterally toward the fossil
8 trench within the lithospheric mantle (1) ~150 km below Santa Clara and Mesa San Carlos
9 volcanic fields, and (2) ~100 km below San Ignacio volcanic field (Fig. 1). This model has
10 been questioned by Maury et al. (2009), who contended that corresponding magmas uprose
11 through the Baja California asthenospheric window as proposed by previous authors (Benoit et
12 al., 2002; Pallares et al., 2007).

22 The second condition is that the K-Ar and/or Ar-Ar ages of volcanic rocks could be
23 taken as indicative of that of the tectonic event responsible for their genesis, i.e. that melts are
24 not stored within the crust long enough to allow tectonic changes to occur between partial
25 melting and emplacement. Based on a proposal by Cañón-Tapia and Walker (2004), Negrete-
26 Aranda and Cañón-Tapia (2008) consider that Baja California melts could have been stored
27 within either their source zones or the overlying lithosphere during $\sim 10^6$ yrs. Thus, there could
28 be a significant decoupling between the tectonic regime deduced from their composition and
29 that occurring at the time of their emplacement. The same hypothesis has been considered for
30 Sonora lavas by Till et al. (2009) for subduction-related lavas post-dating by 4 Ma the end of
31 the subduction of the Farallon plate at ca. 12.5 Ma. However, current thermal models predict
32 that silicate melts can have segregation times (from their mantle or crustal source) in the range
33 10^3 - 10^6 yrs, but ascent times that are geologically almost instantaneous (Annen et al., 2006).
34 The largest volcanoes on Earth, i.e. the Hawaiian shield volcanoes, have an expected life time
35 of ~ 1 Ma (DePaolo and Stolper, 1996), as exemplified by the ~ 0.65 Ma time range obtained for
36 the 3.1 km deep drilling in Mauna Kea volcano (Garcia et al., 2007). Of course, lower
37 storage/residence time is likely to be expected for the much smaller Baja California and Sonora
38 volcanoes.

54 The time span separating partial melting from cooling at the surface can also be
55 evaluated using short-lived U-series isotopes (e.g. Hawkesworth et al., 2004). It is usually very
56 short (a few 10^3 yrs, or even less) for mafic magmas from intraplate settings (Sigmarsson et al.,
57 2005) and arcs (Turner et al., 2001; Zellmer, 2008), e.g. for the Pinacate Quaternary basalts

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(Asmerom and Edwards, 1995). In arcs, it increases together with silica contents up to 10^4 – 10^5 yrs, with 2×10^5 yrs (0.2 Ma) as an usual upper limit for the more evolved rock types (Hawkesworth et al., 2004; Zellmer et al., 2005; Zellmer, 2008). This last time span is within the range of usual errors on K-Ar and Ar-Ar ages measured at ca. 10-12 Ma (± 0.05 to ± 0.40 Ma). Therefore, we will in the following discussion consider these ages as representative of the times of partial melting of the mantle or crustal sources of Baja California and Sonora lavas.

4.2. *Insights from the distribution of lava types in northwestern Mexico*

The spatial distribution of the above-described lava types is rather striking (Fig. 1). Indeed, they usually occur within several hundred kilometers long belts subparallel to the fossil trench and to the axis of the Gulf of California. Five of these belts can be recognized. They are, from west to east: (1) a belt in clear fore-arc position, including the alkali basalts and trachybasalts from San Quintín and San Carlos – Santa Catarina, the Santa Clara adakites and NEB and the Santa Margarita adakites; (2) the “magnesian andesite belt” extending from Jaraguay to La Purísima and the San Juanico - San Ignacio tholeiitic basaltic andesites; (3) the Comondu calc-alkaline arc; (4) a set of Plio-Quaternary calc-alkaline islands and volcanoes located along the western Gulf margin (Isla San Luis, Tres Virgenes, La Reforma, Cerro Mancenares, Isla Coronado); and (5) the central part of the Gulf, including the MORB-type basalts from Guaymas Basin and Tortuga Island and the calc-alkaline and adakitic lavas of Isla San Esteban. Such a belt array is much less obvious in Sonora, although the tholeiitic/transitional lavas and the peralkaline rhyolites and icelandites occur within a broad NW-SE trending band (Fig. 1).

The main implication of the belt array distribution described above is that the Early-Middle Miocene organization of the Pacific-Farallon subduction zone controlled the distribution of the post-subduction (Late Miocene to Quaternary) volcanism throughout Baja California. Especially, adakites, NEB, magnesian andesites, tholeiitic andesites and alkali basalts/trachybasalts were emplaced on front-arc position with respect to the axis of the Comondu arc (Fig. 1). This feature suggests that the slab tearing process responsible for the ascent of subslab magmas occurred in front-arc position (Pallares et al., 2007) rather than in back-arc position, i.e. beneath the Proto-Gulf of California (Castillo, 2008). Another interesting feature is the back-arc position of Plio-Quaternary calc-alkaline and/or adakitic islands and volcanoes (Isla San Luis, Tres Virgenes, La Reforma, Cerro Mancenares, Isla Coronado), close

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2 to the axis of the Gulf, which may indicate that the thermal flux responsible for the partial
3 melting of their sources was linked to the Gulf opening (Desonie, 1992; Bigioggero et al.,
4 1987, 1995; Calmus et al., 2008).
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7 *4.3. Spatial and temporal patterns of volcanism in northwestern Mexico*

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10 4.3.1. Early-Middle Miocene (Aquitanian to Langhian): active subduction and Basin 11 and Range tectonics 12 13

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16 Prior to ca. 13 Ma, calc-alkaline magmatism was active in all the studied areas (Fig.
17 3a), where it built up the last volcanic edifices of the Comondú arc and several sierras in
18 Sonora. Other geochemical groups were not represented in Baja California with the exception
19 of a single magnesian andesite flow, 14.6 Ma old, in La Purísima (Bellon et al., 2006). In
20 western Sonora, tholeiitic to transitional basalts and basaltic andesites, very similar to the
21 lithospheric mantle-derived Early Miocene basalts of the Basin and Range province in the
22 southwestern USA (Miller et al., 2000), were emplaced in several areas (Fig. 3a), e.g. in the
23 substratum of the Pinacate and Moctezuma volcanic fields. Their geochemical signature is
24 consistent with the involvement of lithospheric materials, possibly from a Precambrian
25 lithospheric mantle source (Vidal-Solano et al., 2008a). Thus, their occurrences stake the
26 southward prolongation of the Basin and Range extensional province (Paz Moreno et al., 2003;
27 Vidal-Solano et al., 2008a). The lack of these tholeiitic to transitional lavas in Baja California
28 is consistent with the fact that this area was not part of the Basin and Range province, as
29 discussed above (§ 2).
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45 4.3.2. Middle to Late Miocene (Serravallian-Messinian): end of subduction and Basin 46 and Range extension, slab-tearing and opening of an asthenospheric window 47 48 49

50 A major change is recorded at ca. 13 Ma throughout the studied area (Fig. 3b).
51 Sporadic calc-alkaline activity persisted during the Late Miocene in several volcanic fields of
52 Baja California and Sonora (until 5.8 Ma in Puertecitos, 7.3 Ma in Jaraguay and 8.3 Ma in
53 Sierra El Aguaje) but it became volumetrically minor with respect to other lava types.
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57 In Baja California, these include (1) lavas of presumed subslab mantle origin, such as
58 the Mesa San Carlos and Mesa Santa Catarina trachybasalts (Pallares et al., 2007; Castillo,
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2008), the San Juanico - San Ignacio tholeiitic basaltic andesites (Benoit et al., 2002; Bellon et al. 2006), and Santa Clara NEB (according to the interpretation of Castillo, 2008); (2) lavas derived from the partial melting of the subducted Pacific-Farallon oceanic crust, i.e. Santa Clara adakites according to the interpretation of Aguillón-Robles et al. (2001) and followers (e.g. Maury et al., 2009); (3) lavas of presumed supraslab mantle origin, such as the volumetrically major magnesian andesites (Saunders et al., 1987; Calmus et al., 2003; Castillo, 2008; Pallares et al., 2008) and the Santa Clara NEB (Aguillón-Robles et al., 2001; Benoit et al., 2002); and finally (4) adakites derived from the mafic base of the Baja crust heated by subslab niobium-enriched melts, according to Castillo's (2008, 2009) model. Whatever the divergences regarding the sources of individual lava types, most authors (with the exception of Negrete-Aranda and Cañón-Tapia, 2008) agree that that the geochemical features of some of them suggest that they derive from the subslab (Pacific) asthenospheric mantle. Therefore, a tear-in-the-slab (evolving through time towards a slab window) is thought to have developed beneath the Peninsula at ca. 13 Ma (Calmus et al., 2003; Bellon et al., 2006; Michaud et al., 2006; Pallares et al., 2007, 2008; Castillo, 2008, 2009; Maury et al., 2009). In addition to the ascent of subslab melts, it allowed the hot Pacific asthenosphere to flux into the window, and to trigger the melting of parts of the metasomatized supraslab mantle (e.g. of the sources of the magnesian andesite suite).

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In Sonora, tholeiitic to transitional basalts and basaltic andesites were emplaced between 13 and 7.2 Ma in several volcanic fields, e.g. Pinacate and Las Trincheras (Fig. 3b). Their geochemical features suggest their derivation from deep asthenospheric mantle, uplifting as the result of convective thinning and extension of the Basin and Range lithosphere, and therefore a "no-slab" regime beneath coastal Sonora (Vidal-Solano et al., 2008a). The associated peralkaline rhyolites (12.6-12 Ma) and icelandites (10.9 Ma) are thought to derive from the open-system fractional crystallization of these basaltic magmas (Vidal-Solano et al., 2008a,b) coupled with minor assimilation of Precambrian continental crust.

The locations of the asthenosphere-derived Middle to Late Miocene lavas from Baja California and Sonora suggest that an about 200 km wide slab window parallel to the fossil trench developed between ca. 13-10 Ma from Mesa San Carlos, Mesa Santa Catarina and Santa Clara to the west towards Sierra de Mazatán and Sierra Lista Blanca to the east (Fig. 3b). The wider distribution of its magmatic markers in the northern part of the study area is consistent with its formation as a southern extension of the pre-existing Southern California window (Wilson et al., 2005; Michaud et al., 2006; Pallares et al., 2007; Vidal-Solano et al., 2008a,b;

1 McCrory et al., 2009). However, available K-Ar and Ar-Ar data do not allow to track its
2 propagation, as ages of 13-12 Ma have been measured on basalts and magnesian andesites
3 from Sonora (Vidal-Solano, 2008a; Till et al., 2009) and southern Baja California (La
4 Purísima; Bellon et al., 2006). The lack of Miocene adakites in Sonora and their occurrence in
5 Santa Clara, Santa Rosalía and Jaraguay is consistent with their interpretation as slab melts.
6 Indeed, oceanic metabasalts experience hydrous melting at relatively low pressures (1-2.5 GPa:
7 Defant and Drummond, 1990; Martin, 1999; Martin et al., 2005) in hot subduction zones,
8 where adakites are often found in front-arc position with respect to calc-alkaline lavas (Defant
9 et al., 1992). Therefore, the Miocene Baja California adakites were likely to derive from the
10 upper (i.e. shallow) lip of the slab window (Benoit et al., 2002; Pallares et al., 2007), which
11 underwent partial melting triggered by its thermal erosion by the ascending hot subslab
12 asthenosphere (Thorkelson, 1996; Thorkelson and Breitsprecher, 2005). On the contrary, this
13 partial melting was unlikely to occur in the much deeper lower (eastern) lip of the window,
14 beneath Sonora. The occurrence of magnesian andesites and NEB in Baja California and their
15 lack in Sonora are consistent with this interpretation, providing that these lavas derive from the
16 supraslab mantle metasomatized by adakitic melts (Benoit et al., 2002; Calmus et al., 2003;
17 Pallares et al., 2008). The partial melting of this supraslab mantle has probably been triggered
18 by the thermal input from the Pacific asthenosphere ascending through the slab window
19 (Pallares et al., 2007, 2008; Castillo, 2008).
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37 4.3.3. Pliocene-Quaternary: opening of the Gulf and melting of slab slivers

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41 Young volcanic activity in the northern and northeastern parts of the Pacific Mexican
42 margin emplaced Quaternary alkali basalts in San Quintín, and tholeiitic, transitional and
43 mildly alkali basalts together with trachybasalts in Pinacate and Moctezuma volcanic fields
44 (Fig. 3c). The incompatible element patterns and isotopic (Sr, Nd, Pb) compositions of these
45 lavas are typical of their OIB affinity, and they are thought to derive from plume-type
46 asthenospheric mantle (Asmerom and Edwards, 1995; Luhr et al., 1995; Paz Moreno et al.,
47 2003; Goss et al., 2008).
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54 The numerous Plio-Quaternary magnesian andesite fields which trend NNW-SSE along
55 Baja California from Jaraguay to La Purísima (Figs. 1 and 3c) resulted from the dehydration
56 melting of amphibole-rich supraslab mantle metasomatized by either adakitic melts and/or by
57 hydrous fluids (Saunders et al., 1987; Calmus et al., 2003; Castillo, 2008; Pallares et al., 2008).
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With time, they became progressively depleted in Y and HREE, a feature consistent with the increase of the amount of residual garnet produced by the dehydration melting reaction (Pallares et al., 2008). The origin of the melting is attributed to the high thermal flux linked to the uprise of Pacific asthenosphere in the “no-slab” regime which followed the detachment and sinking of the deep part of the Farallon plate (Pallares et al., 2007, 2008; Castillo, 2008).

The fact that the Quaternary Gulf tholeiites from Isla Tortuga and Guaymas Basin differ from regular MORB by their selective enrichment in LILE and variable Sr isotopic ratios has been attributed to the presence of a “minor residual calc-alkaline component” in the mantle underlying the central and northern parts of the Gulf of California (Saunders et al., 1982a,b). In the same area, numerous Plio-Quaternary calc-alkaline and/or adakitic volcanoes trend along the western margin of the Gulf (Puertecitos, Isla San Luis, Tres Virgenes, La Reforma, El Aguajito, Cerro Los Mencionares, and Isla Coronado) and are even present in its central part (Isla San Esteban). Their occurrence suggests the presence below the Gulf area of slivers of subducted oceanic crust and of lithospheric mantle carrying a subduction-related geochemical imprint, due to its interaction with either slab-derived hydrous fluids or adakitic melts (Desonie et al., 1992; Calmus et al., 2008).

The Miocene tectonic and magmatic history of the area may account for the presence of slivers of oceanic crust and of subduction-modified mantle beneath the thinned continental crust of the central and northern Gulf of California. Prior to the opening of the Gulf, it was part of the western North American margin, and was underlain by the subducting Farallon plate from ca. 25 to 13 Ma. The subcontinental lithospheric mantle may then have interacted with slab-derived hydrous fluids. During the opening of the slab window and the following sinking of the deep part of the Farallon plate, slivers of oceanic crust may have been introduced within this lithospheric mantle beneath Isla San Esteban, and possibly Cerro Mencionares and Isla Coronado (Calmus et al., 2008). Indeed, Thorkelson (1996) and Thorkelson and Breitsprecher (2005) have shown that the slab edges of an asthenospheric window are able to either melt or to leave restite fragments, which may become long-term residents of the continental lithospheric mantle. Then, the lithospheric thinning and rupture linked to the Pliocene opening of the Gulf generated a high thermal regime, and asthenosphere-derived MORB-type tholeiites were emplaced in Isla Tortuga and in local spreading centres (Guaymas Basin, Lower Tiburón?). During their ascent, they interacted with the subduction-modified lithospheric mantle and were enriched in LILE, LREE and radiogenic Sr. The high thermal regime associated to asthenospheric uprise also induced partial melting of the Gulf

1 heterogeneous lithospheric mantle, generating calc-alkaline basalts and basaltic andesites, or
2 alternatively adakites from slivers of oceanic crust incorporated within this mantle (Calmus et
3 al., 2008).
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7 **5. Conclusions**

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11 1. Prior to 13 Ma, the Neogene magmatic activity in northwestern Mexico, linked to the
12 subduction of the Pacific-Farallon oceanic plate, emplaced the widespread and relatively
13 homogeneous calc-alkaline Comondú and central coast of Sonora volcanic arc. In the
14 extensional domain of western Sonora, tholeiitic to transitional basalts and basaltic andesites,
15 very similar to the lithospheric mantle-derived Early Miocene basalts of the Basin and Range
16 province in the southwestern USA, were emplaced in several areas (Fig. 3a).
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24 2. The end of the Farallon subduction was marked by the emplacement of much more
25 complex Middle to Late Miocene volcanic associations (Fig. 3b), between 13 and 7 Ma.
26 Sporadic calc-alkaline activity persisted in Baja California (BC) and Sonora (SO), but became
27 volumetrically minor with respect to other lava types. These include (a) lavas of presumed
28 subslab mantle origin, such as alkali trachybasalts (BC), asthenosphere-derived
29 tholeiitic/transitional basalts and basaltic andesites (BC, SO), and peralkaline rhyolites
30 (comendites) and icelandites resulting from the open system fractional crystallization of these
31 basaltic magmas (SO); (b) adakites derived from the partial melting of the subducted Pacific-
32 Farallon oceanic crust (BC); and (c) magnesian andesites and niobium-enriched basalts (BC)
33 derived from the melting of supraslab mantle metasomatized by adakitic melts.
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45 3. We show that the spatial and temporal distribution of these lava types is consistent
46 with the development of a slab tear, evolving into a 200 km-wide slab window parallel to the
47 fossil trench, within the young part of the subducted plate. Tholeiitic, transitional and alkali
48 basalts of subslab origin ascended through this window (BC, SO), and adakites (BC) derived
49 from the partial melting of the upper lip of the slab window triggered by its thermal erosion by
50 the ascending hot subslab asthenosphere. The latter also triggered the melting of the hydrous
51 fluid and/or slab melt-metasomatized supraslab mantle, generating calc-alkaline lavas (BC,
52 SO), magnesian andesites (BC) and NEB (BC). The extension of the slab window below the
53 continent is difficult to determine because it is controlled by several kinematic and geologic
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1 parameters. The first one is the geometry of the connection with the southern California
2 asthenospheric window. The good fit between the location of the southern limit of the latter
3 (Wilson et al., 2005) and the northern limit of the Baja California slab window proposed by
4 Pallares et al. (2007) suggests that both slab windows are connected, and that the southern one
5 is a younger extension of the northern and older one. In the case of the California slab window,
6 it is possible to evaluate the velocity of opening based on the spreading ridge rate prior to ridge
7 subduction, and on the spreading rate of remaining active ridge segments between Pacific and
8 Farallon or between fragmented plates, such as the Guadalupe or Magdalena microplates. On
9 the contrary, below northwestern Mexico, no ridge subduction occurred and spreading along
10 the ridge segments decreased between 12 and ca. 8 Ma west of southern Baja California
11 peninsula. Then the opening rate of the slab window would depend of the sinking velocity of
12 the eastern Farallon slab root, and of the possible retreat of Guadalupe and Magdalena to the
13 west with respect to Baja California. The hypothesis of an initiating slab window at ca. 12.5
14 Ma below southernmost Baja California is proposed also by Fletcher et al. (2007) in their
15 tectonic evolution model depicted by three schematic cross sections at 16, 15-13, and 12.5 Ma
16 at the latitude of the Magdalena fan. At 15-13 Ma these authors hypothesize a breakup of the
17 slab below the Comondú arc, where the dip of the slab increases.

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The Middle and Late Miocene volcanism in Baja California is very different from the
contemporaneous volcanism in Sonora. Two reasons can be reasonably proposed to explain
such a difference. In Baja California, thermal conditions allowed the partial melting of the
subducted Magdalena plate and generation of adakite and magnesian andesite. Below Sonora,
on one hand, the depth and temperature of the subducted slab were incompatible with the
formation of such magmas. On the other hand, the presence of tholeiitic to transitional basalts
and derived peralkaline rhyolites and icelandites in Sonora are related to the continental Basin
and Range extension which did not occur in Baja California, west of the Main Gulf
Escarpment. Nevertheless, the age of this silicic magmatism and its distribution mainly along
the western margin of the Gulf of California suggest that it was probably triggered by the
initiation of breakup of the Gulf Extensional Province.

4. During the Plio-Quaternary (Fig. 3c), the “no-slab” regime following the sinking of
the old part of the Farallon plate within the deep mantle allowed the emplacement of OIB-type
tholeiitic/transitional (SO) and alkali basalts (BC, SO) of deep asthenospheric origin. The
lithospheric thinning and rupture linked to the opening of the Gulf of California (GC)
generated a high thermal regime associated to asthenospheric uprise, and emplaced depleted

1 MORB-type tholeiites (GC). This thermal regime also induced partial melting of the
2 heterogeneous thinned lithospheric mantle of the Gulf area, generating calc-alkaline basalts and
3 related lavas (BC, GC, SO), and locally adakites from slivers of oceanic crust incorporated
4 within this mantle (BC, GC).
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9 5. We believe that the geochemical study and dating of Neogene and Quaternary lavas
10 may provide constraints on regional tectonic reconstructions, keeping in mind that the
11 composition of a magma is primarily inherited from its source(s), and that this (these) source(s)
12 can melt under various tectonic regimes during the geodynamic evolution of an active
13 continental margin.
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35 window models.
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Figure captions

Fig. 1. Geological sketch map of Baja California and Sonora, showing the major Neogene and Quaternary volcanic fields and the distribution of the main lava types. The regional geology is modified from Ortega-Gutiérrez et al. (1992), Calmus et al. (2003, 2008), Pallares et al. (2007) and Consejo de Recursos Minerales (1992).

Fig. 2. Incompatible multi-element patterns of selected lava types from the northwestern Mexican margin normalized to the Primitive Mantle of Sun and McDonough (1989). (a): “Regular” calc-alkaline lavas from Baja California volcanic fields (Martín-Barajas et al., 1995; Aguillón-Robles et al., 2001; Benoit et al., 2002; Pallares et al., 2007, 2008;) and Sonora volcanic fields (Vidal-Solano et al., 2005, 2007, 2008; Till et al., 2009); (b): Adakites from Santa Clara Volcanic Field (Aguillón-Robles et al., 2001) and Jaraguay Volcanic Field (Pallares et al., 2007, 2008); (c): Magnesian basalts, basaltic andesites and andesites from Baja California volcanics fields (Calmus et al., 2003; Bellon et al., 2006; Pallares et al., 2007, 2008); (d): Niobium-enriched basalts from Santa Clara Volcanic Field (Aguillón-Robles et al., 2001); (e): Alkali basalts and trachybasalts from San Quintín Volcanic Field (Luhr et al., 1995), Moctezuma Volcanic Field (Paz-Moreno et al., 2003), San Carlos Volcanic Field (Pallares et al., 2007, 2008), and Pinacate Volcanic Field (Vidal-Solano et al., 2008); (f): Oceanic (MORB-type) basalts from Isla Tortuga (Batiza, 1978) and Guaymas Basin (Saunders et al., 1982b); (g): Tholeiitic and

1 transitional basalts and basaltic andesites from San Ignacio-San Juanico Volcanic Field
2 (Benoit et al., 2002), Las Trincheras Volcanic Field (Mora-Klepeis and McDowell,
3 2004), Moctezuma Volcanic Field (Paz-Moreno et al., 2003), Central Sonora (Vidal-
4 Solano et al., 2005, 2007, 2008) and Coastal and Eastern Sonora (Till et al., 2009); (h):
5 Peralkaline rhyolites and icelandites from Sonora volcanic fields (Vidal-Solano et al.,
6 2005, 2007, 2008).
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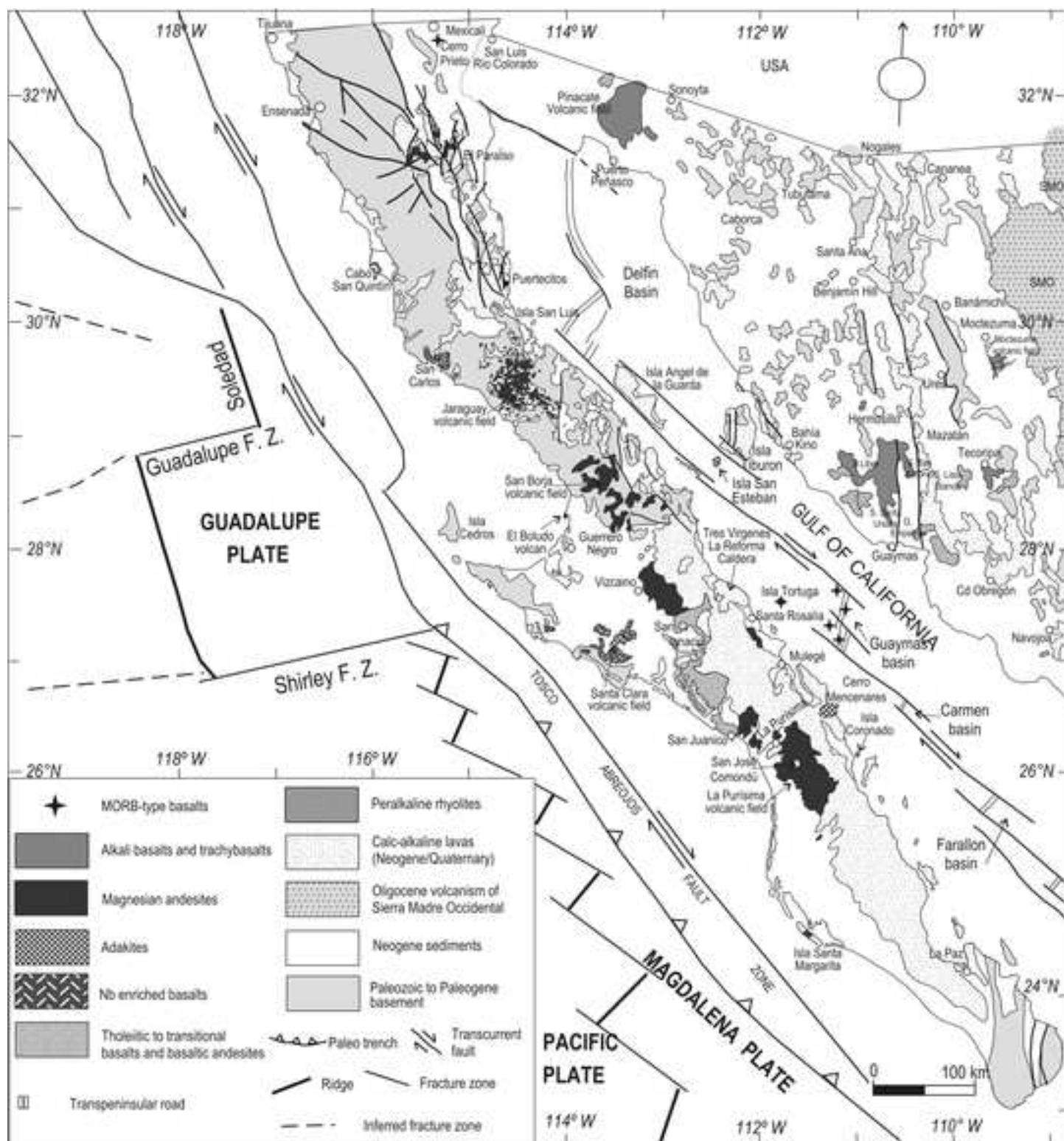
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13 Fig. 3. Sketches of Baja California and Sonora at various periods showing the locations and
14 types of lavas together with structural elements of the adjacent Pacific Ocean and the
15 Gulf of California. (a): Early-Middle Miocene (Aquitanian to Langhian): tectonic setting
16 drawn at 16 Ma from Wilson et al. (2005). (b): Middle-Upper Miocene (Serravallian to
17 Messinian): tectonic setting at 11 Ma (Wilson et al., 2005; Pallares et al., 2007; Maury et
18 al., 2009), during the peak of adakitic volcanism in Santa Clara. (c): Pliocene-
19 Quaternary: present-day tectonic setting from Calmus et al. (2008). The present-day
20 coastal lines are depicted on this reconstruction, but the Gulf of California did not exist at
21 16 and 11 Ma. Abbreviated plate names: PAC (Pacific); MAG (Magdalena); GUA
22 (Guadalupe). The distribution of Aquitanian-Langhian, Serravallian-Messinian and Plio-
23 Quaternary volcanic rocks is from Batiza (1978); Saunders et al. (1982b); Luhr et al.
24 (1995); Martín-Barajas et al. (1995); Aguilón-Robles et al. (2001); Benoit et al. (2002);
25 Calmus et al. (2003, 2008); Oskin and Stock (2003); Paz-Moreno et al. (2003); Mora-
26 Klepeis and McDowell (2004); Conly et al., (2005); Bellon et al. (2006); Pallares (2007);
27 Pallares et al. (2007, 2008); Vidal-Solano et al. (2005, 2007, 2008a,b); Till et al. (2009).
28 Abbreviations for volcanic fields/localities discussed in the text are as follows: CLR
29 (Caldera La Reforma), CP (Cerro Prieto), CSP (Cerro San Pedro), CS (Coastal Sonora),
30 CST (Cerro Starship, Isla Tiburón), EP (El Paraiso), G (Guaymas), GB-S477 (Guaymas
31 Basin DSDP site 477), GB-S478 (Guaymas Basin DSDP site 478), GB-S479 (Guaymas
32 Basin DSDP site 479), GB-S481 (Guaymas Basin DSDP site 481), HI (Hilarenos), ICO
33 (Isla Coronado), ISE (Isla San Esteban), ISL (Isla San Luís), ISM (Isla Santa Margarita),
34 JA (Jaraguay), JA-CA (Jaraguay-Cataviña), JA-EC (Jaraguay-El Crucero), JA-LCH
35 (Jaraguay-Laguna de Chapala), JA-SI (Jaraguay-Santa Inés), LB (Sierra Lista Blanca),
36 LM (Los Mencionares), LP (La Purísima), LP-SC (La Purísima-San José de Comondú),
37 LPU-CLC (La Purísima-Cerro Los Cerritos), LPU-CP-CJM (La Purísima-Cerro
38 Pabellón-Cerro Jesús del Monte), LPU-SM (La Purísima-San Miguel), LT (Las
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1 Trincheras), M (Moctezuma), MSC (Mesas San Carlos and Santa Catarina), P
2 (Puertecitos Volcanic Province), PI (Pinacate), S (Sahuaripa), SB (San Borja), SB-RO
3 (San Borja-Rosarito), SB-SI (San Borja-San Ignacito), SC (Santa Clara), SEA (Sierra El
4 Aguaje), SF (San Felipe), SG (Suaqui Grande), SI (San Ignacio), SIG-SF (San Ignacio-
5 San Francisco), SL (Sierra Libre), SM (Sierra de Mazatán), SQ (San Quintin), SR (Santa
6 Rosalía), SRO (Santa Rosa), SSA (Sierra San Antonio), SU (Sierra Santa Ursula), TV
7 (Tres Virgenes), VIZ (Vizcaíno).
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15 Fig. 4. Three schematic W-E cross sections from the paleo-trench to Sierra Madre Occidental,
16 showing relationship between the evolution of volcanism and tectonics from 12 Ma to
17 present, at ca. 28°N latitud. A: Between ca. 12 and 9 Ma, the activity of Magdalena ridge
18 decreased and the right-handed motion between Pacific and North America plates began
19 along the Tosco-Abrejos fault zone. The localization of the Oligocene to Early Miocene
20 metamorphic core complex (MCC) belt and schematic Basin and Range faulted structure
21 are shown. Note that there is no Basin and Range structure in Baja California. B: The
22 plate motion is partitioned into the waning Tosco-Abrejos fault zone and the Gulf of
23 California, here the Tiburón transform fault (TTF). The Gulf Extensional Province is
24 located along the western Basin and Range Province. C: At present time, the dextral
25 transform motion is principally controlled by faults within the Gulf, here the Ballena
26 transform fault (BTF). Note the intracontinental Quaternary basaltic volcanism in the
27 Moctezuma region. For A, B and C, see text for the source and origin of volcanism.
28 SBTAFZ (San Benito Tosco Abrejos Fault Zone), MCC (metamorphic core complex),
29 MGE (Mean Gulf Escarpment), TTF (Tiburón transform fault), BTF (Ballena transform
30 fault).
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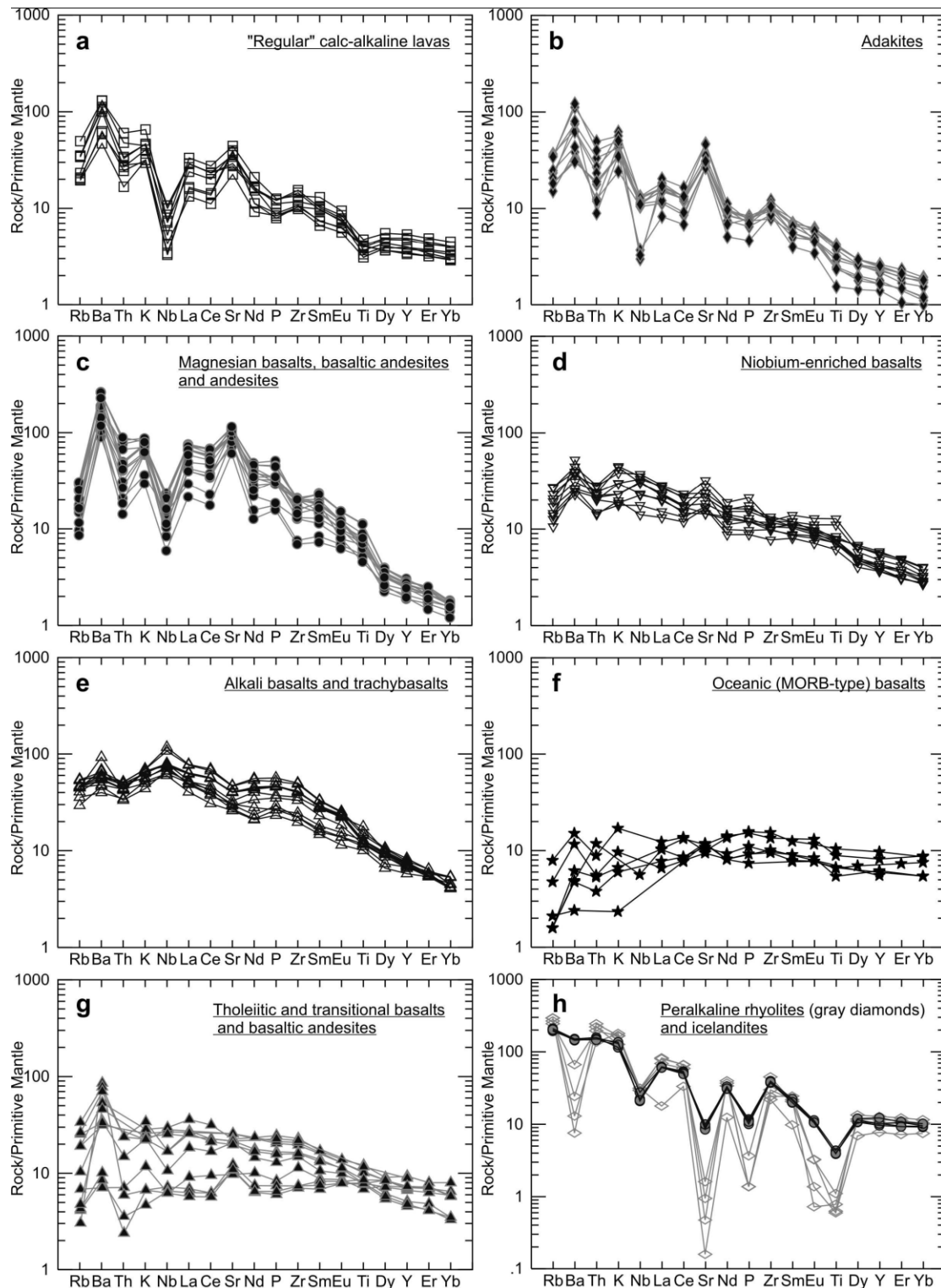


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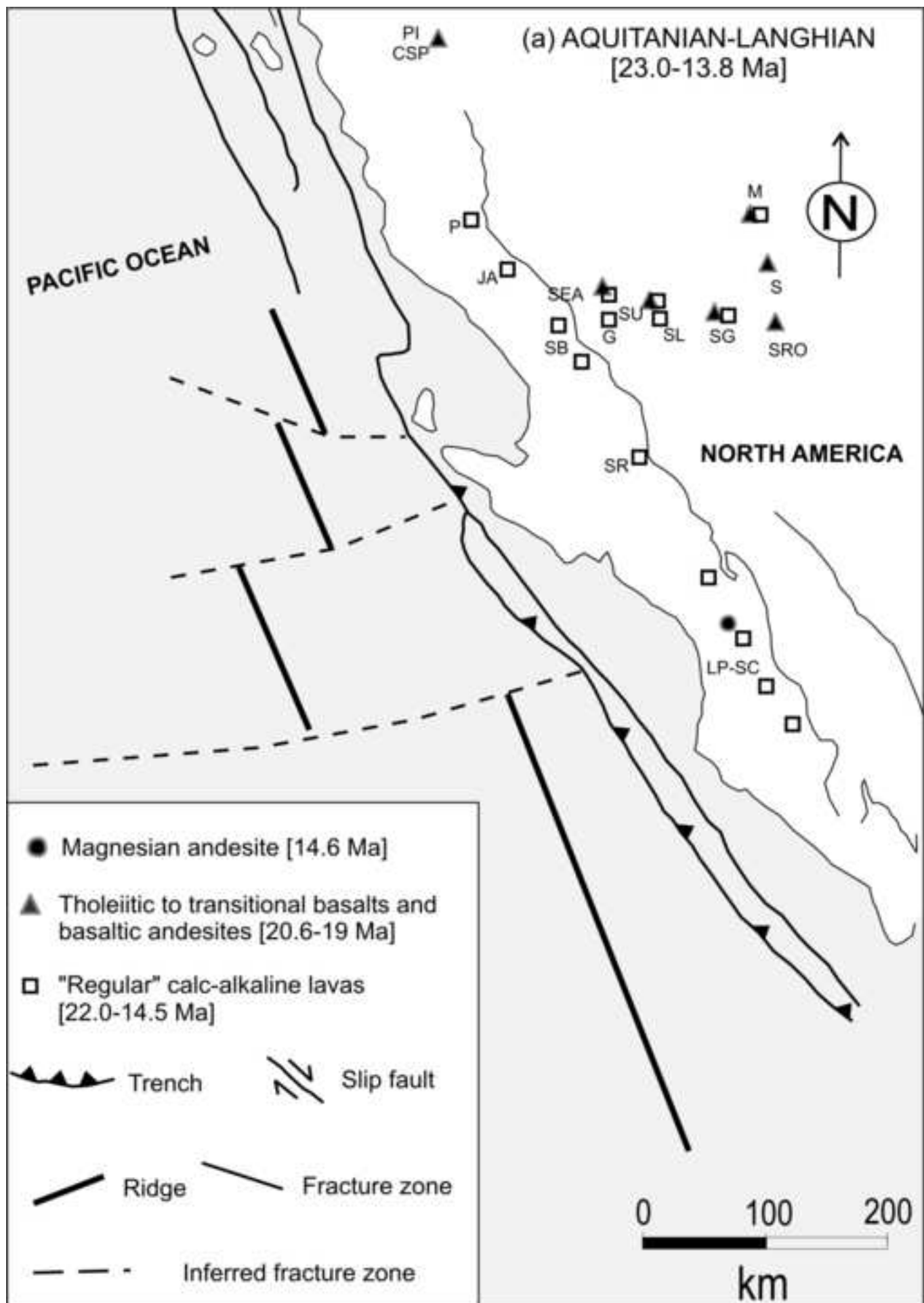


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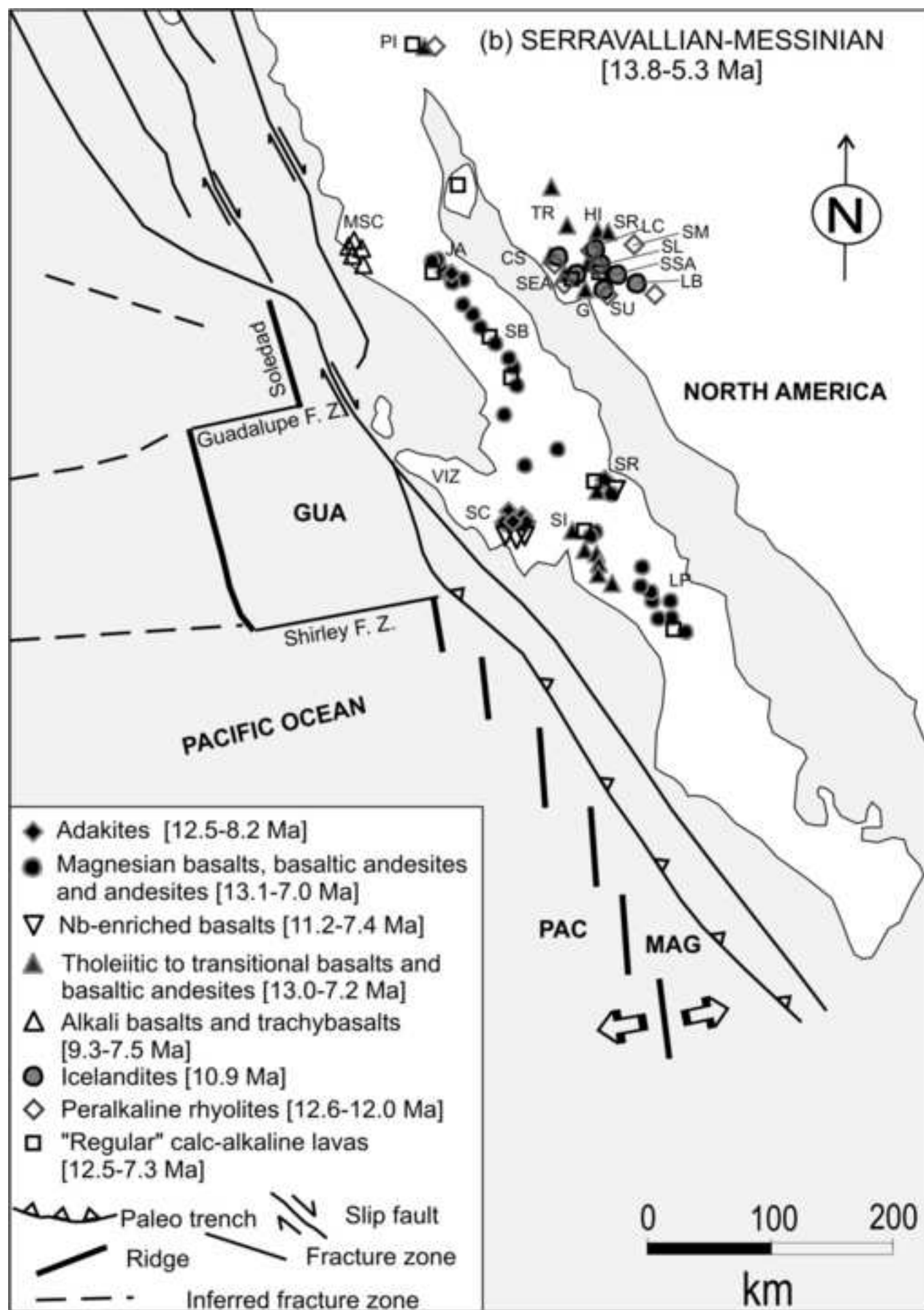


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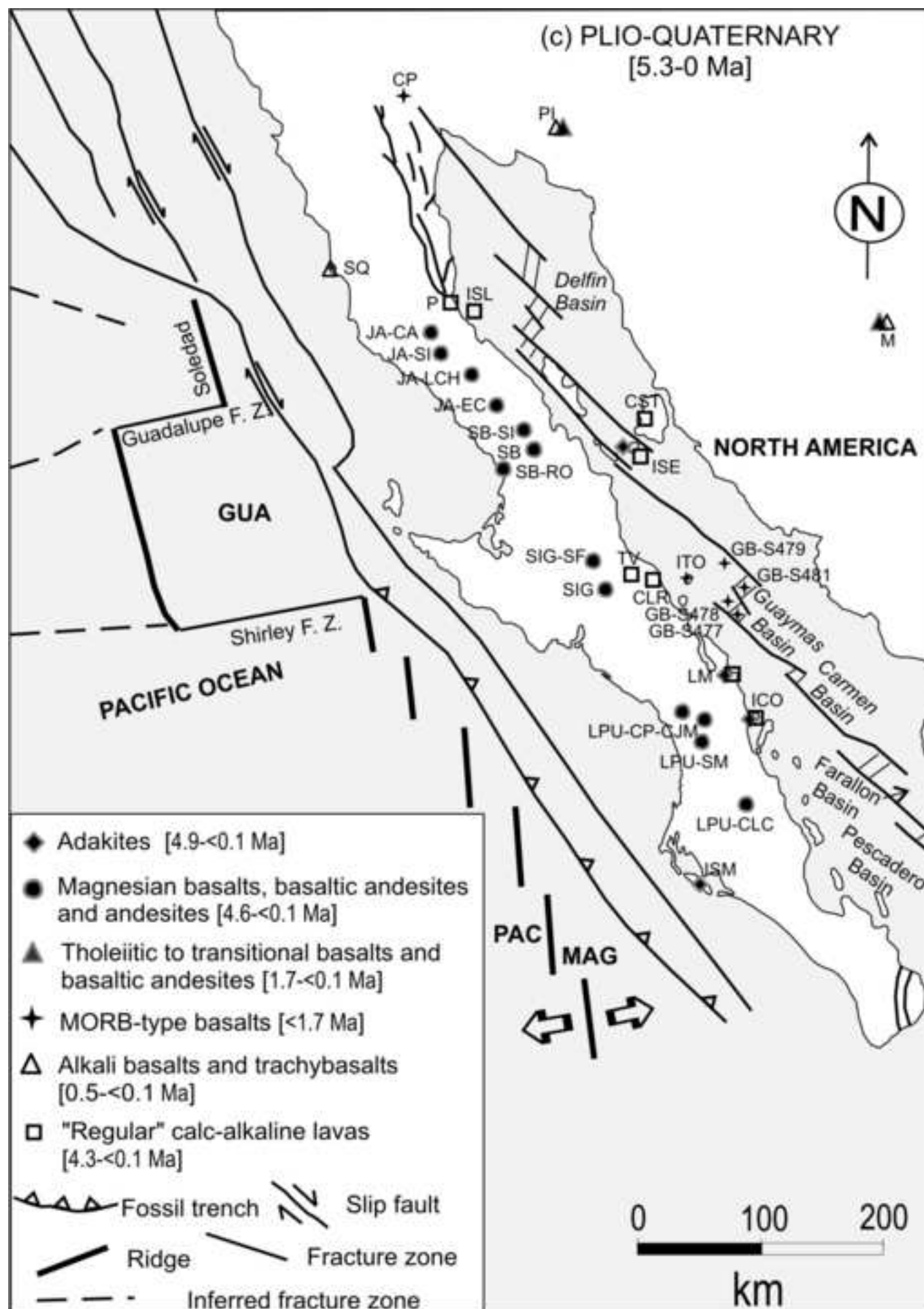


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