



# Stable isotope characterization of pedogenic and lacustrine carbonates from the Chinese Tian Shan: constraints on the Mesozoic - Lower Cenozoic palaeo-environmental evolution

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1 Stable isotope characterization of pedogenic and lacustrine carbonates from the Chinese Tian Shan:  
2 constraints on the Mesozoic – Lower Cenozoic palaeo-environmental evolution

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33 16 Abstract  
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36 17 In the Mesozoic-Cenozoic continental deposits of the Tian Shan area, two main levels containing  
37 18 pedogenic carbonates have been identified on both the southern and northern foothills of the range:  
38 19 one in the Upper Jurassic series and one in the Upper Cretaceous - Lower Palaeocene series. In order  
40 20 to reconstruct the palaeo-environmental and palaeo-topographic characteristics of the Tian Shan  
41 21 area during these two periods, we measured the oxygen and carbon isotope composition of these  
42 22 pedogenic carbonates (calcrete and nodules). The stable isotope compositions are homogeneous:  
43 23 most  $\delta^{18}\text{O}$  values are between 21 and 25‰ and most  $\delta^{13}\text{C}$  values are between -4 and -6‰. No  
44 24 distinction can be made between the calcrete and nodule isotopic compositions. The constancy of  
45 25 isotopic values across the Tian Shan is evidence of a development of these calcification features in  
46 26 similar palaeo-environmental conditions. The main inference is that no significant relief existed in  
47 27 that area at the Cretaceous - Palaeogene boundary, implying that most of the present relief  
48 28 developed later, during the Cenozoic. In addition to the pedogenic carbonates, few beds of  
49 29 limestones interstratified in the Jurassic series of the southern foothills display oxygen and carbon  
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30 isotope compositions typical of lacustrine carbonates, ruling out brackish water incursion at that  
31 period in the region.

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33 Keywords: pedogenic carbonates - stable isotopes - Mesozoic palaeo-environment - Tian Shan

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## 35 **1. Introduction**

36 Calcification is a ubiquitous phenomenon that occurs in a large variety of geological settings  
37 including limestone diagenesis and continental weathering. In continental environments, under semi-  
38 arid conditions, the interaction between meteoric waters or groundwaters and sedimentary rocks  
39 commonly leads to pedogenic calcification (e.g. Wright and Tucker, 1991; Alonso-Zarza, 2003;  
40 Hasiotis et al., 2007). The resulting calcareous features correspond to the so-called calcretes and  
41 occur as isolated nodules or rather continuous levels of carbonates (Retallack, 1997; Wright, 2008).  
42 The oxygen and carbon isotope composition of such neo-formed carbonates provides information on  
43 the palaeo-environments within which they precipitated (e.g. Alonso-Zarza, 2003). Indeed, while the  
44 oxygen isotope composition of these carbonates depends upon processes taking place in the soil –  
45 ground water system, such as evaporation, it is also function of the composition of the meteoric  
46 water. The later depends on the palaeo-latitude and the palaeo-altitude of the area, as well as on the  
47 distance to the ocean from which the atmospheric masses are derived. In a specific region, any  
48 variation in the  $\delta^{18}\text{O}$  value of the carbonates through time may thus be, for example, a consequence  
49 of the climatic evolution. At a specific stratigraphic level, geographic variations of  $\delta^{18}\text{O}$  may relate to  
50 the existence of a significant palaeo-topography (e.g. Garzione et al., 2000). In a similar way, the  
51 carbon isotope composition of continental neo-formed carbonates depends on the source of the  
52 carbon in the region, which relates to the relative abundance of carbon-bearing rocks (e.g. marine  
53 carbonates) over available organic carbon. The former have  $\delta^{13}\text{C}$  values close to 0‰, whereas the  
54 second source has very negative values, close to -25‰ for carbon derived from C3-type plants and -  
55 15‰ from C4-type ones. The  $\delta^{13}\text{C}$  signal can thus potentially be related to the geology of the area,  
56 the palaeo-flora itself partially controlled by the palaeo-climate (e.g. Pustovoytov, 2002).

57 In North-West China, the intracontinental, east-west oriented Tian Shan Range (Fig. 1) provides  
58 spectacular outcrops of Mesozoic-Cenozoic clastic sediment series. During fieldwork, we recognized  
59 several occurrences of calcretes and nodules throughout these series, especially in the Upper  
60 Mesozoic and Lower Cenozoic deposits. A strong aridification occurred during Late Jurassic, following

61 the progressive appearance of dry seasons since the late Early Jurassic (e.g. Allen et al., 1991;  
62 Hendrix et al., 1992; Eberth et al., 2001; Li et al., 2004; Ashraf et al., 2010; Pan et al., 2012). Since this  
63 period, arid to semi-arid climate has been predominant in the Tian Shan area (e.g. Allen et al., 1991;  
64 Hendrix et al., 1992; Li et al., 2004; Sun and Wang, 2005; Jiang et al., 2008).

65 Since the late 1970s, the Cenozoic tectonic development of the range and its foreland basins has  
66 been widely studied (e.g. Tapponnier and Molnar, 1979; Windley et al., 1990; Allen et al., 1991;  
67 Hendrix et al., 1994; Charreau et al., 2005, 2009, 2012; Jolivet et al., 2014). In contrast, the Mesozoic  
68 evolution of the Tian Shan area is still largely debated (Jolivet et al., 2013), despite some efforts to  
69 reconstruct the sedimentary depositional environments (e.g. Hendrix et al., 1992; Li et al., 2004;  
70 Eberth et al., 2001; Vincent and Allen, 2001; Sha et al., 2011), the palaeo-topographic evolution  
71 (Dumitru et al., 2001; Jolivet et al., 2010; Yang et al., 2013; D. Liu et al., 2013; Yang et al., n.d. in  
72 press) and the palaeo-climate (e.g. Hendrix et al., 1992, 1994; Hendrix, 2000; Eberth et al., 2001; Li et  
73 al., 2004; Ashraf et al., 2010; Bian et al., 2010). In this paper, we provide new isotopic data on  
74 pedogenic carbonates (calcrete and nodules) and limestone beds in order to improve our  
75 understanding of the general evolution of the area from Late Jurassic to Early Palaeogene.

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## 77 **2. Geological setting**

78 The Tian Shan is a large mountain belt extending in Central Asia through Kyrgyzstan,  
79 Kazakhstan and North-West China (Fig. 1a). This range is surrounded by several basins among which  
80 the Junggar Basin to the north and the Tarim Basin to the south (Fig. 1b). In the central part of the  
81 Tian Shan, several intramontane basins are preserved such as the Yili, Bayanbulak and Turfan basins  
82 (Fig. 1 and 2). The Tian Shan Range is limited by large crustal thrusts verging northward in the north  
83 and southward in the south towards the Junggar and Tarim Basins respectively (Fig. 1b). During the  
84 Palaeozoic, several accretion events gave rise to an important topography that was progressively  
85 eroded from Middle Triassic to Middle Jurassic (e.g. Dumitru et al., 2001; Li et al., 2004; Jolivet et al.,  
86 2010; 2013; Yang et al., 2013; S. Liu et al., 2013). The present-day topography formed later, mostly  
87 through the Cenozoic reactivation of Late Palaeozoic tectonic structures, driven by the far-field  
88 effects of the India-Asia collision event (e.g. Tapponnier and Molnar, 1979; Windley et al., 1990; Allen  
89 et al., 1991; Hendrix et al., 1994; Glorie et al., 2010; De Grave et al., 2011, 2013). Whereas the  
90 present-day topography is believed to have developed since Late Oligocene – Miocene (e.g. Charreau  
91 et al., 2005, 2009, 2012), uncertainties remain about the topographic evolution of the range during  
92 the Jurassic - Early Palaeogene period. For example, while low-thermochronology data suggest a long

93 tectonic quiescence during most of the Mesozoic leading to very slow and constant exhumation  
94 within the range (Dumitru et al., 2001; Jolivet et al., 2010; 2013), Upper Jurassic alluvial fan deposits  
95 exposed in the foothills are interpreted as the consequence of a compressive reactivation of the  
96 range (Hendrix et al., 1992; Li et al., 2004). In this context, the present study on calcretes and nodules  
97 provides independent information on the palaeo-topographic evolution of the area.

### 99 **3. Field work and samples**

#### 100 *3.1 Field description*

101 Throughout the area, we recognized several calcareous features (calcretes and nodules) at  
102 distinct stratigraphic levels (Fig. 2). Very few age data are available due to the lack of clear marine  
103 deposits, the scarcity of interbedded volcanic levels and the very limited available palynological and  
104 faunistic data (e.g. Wang and Gao, 2012; Yang et al., 2013). Nevertheless regional stratigraphic  
105 correlations can be made using available geological maps and the stratigraphic columns presented in  
106 published palynoflora and vertebrate studies (e.g. Eberth et al., 2001; Bian et al., 2010; Jiang et al.,  
107 2008; Heilbronn, 2014), as well as our own observations.

108 Below is a short description of the stratigraphy and the inferred associated depositional  
109 environments (Heilbronn, 2014). The present-day semi-arid climate of this region offers excellent  
110 outcrops preservation and exposure. In the northern foothills, from west to east (Fig. 1), the Wusu  
111 section exposes 1650 m of Middle Jurassic to Upper Cretaceous series, the Manas section exposes  
112 8100 m of Triassic to Neogene series and the Qiu Er Gou section exposes 55 m of late Upper  
113 Cretaceous deposits. In the Bayanbulak intramontane basin (Fig. 1), 620 m of Jurassic to Neogene  
114 series are exposed, the stratigraphic continuity of which remains questionable. Finally, in the  
115 southern foothills, the Yaha section provides 2800 m of Jurassic to Neogene deposits.

116 The Mesozoic series of the Tian Shan area are constituted of continental deposits (Hendrix et  
117 al., 1992; Li et al., 2004; Jiang et al., 2008; Li and Peng, 2010). These series are mainly composed of  
118 stream and alluvial plain deposits (few decimetres- to a few metre-thick grey and red sandstone  
119 beds) alternating with floodplain or lake deposits (clays and siltstones). Thick conglomerates which  
120 deposited in an alluvial fan environment are exposed at the end of the Upper Jurassic and the Upper  
121 Cretaceous series (Fig. 2).

122 Calcareous features are mainly recognized at two intervals: the late Middle Jurassic to Lower  
123 Cretaceous and the late Upper Cretaceous to Palaeocene. The most continuous level of neo-formed  
124 carbonate is located in the later. This calcrete consists of a several metres thick indurated and white  
125 layer, parallel to the bedding and laterally continuous over tens of kilometres (Fig. 3a). The calcrete is  
126 commonly associated with conglomeratic beds (host rock), consisting in matrix-supported  
127 conglomerates with sub-angular to sub-rounded pebbles (Fig. 3b). Carbonate nodules are white,  
128 crumbly to highly indurated, spherical to elongated concretions of micritic carbonate (few  
129 centimetres in diameter), and either occur as disseminated bodies in red silty to sandy levels or form  
130 coalescent beds. Such a feature is classical for pedogenic carbonate (see Fig. 3E in Li et al., 2013). On  
131 several locations, one can observe a vertical transition from a zone enriched in nodules towards the  
132 massive calcrete layer (Fig. 3c); both features thus likely represent two stages of calcification,  
133 complete for calcrete, incipient for nodules. Processes controlling the formation of massive calcretes  
134 are still discussed and it is likely that both phreatic and pedogenic processes are involved (e.g.  
135 Wright, 2008 and references therein).

136 Moreover some limestone beds occur in the silty to sandy alternations of the late Middle Jurassic  
137 continental series of the Yaha section (Fig. 2) (e.g. Jiang et al., 2008). They are white to dark grey in  
138 colour, several centimetres- to several decimetres-thick and rather continuous laterally (Fig. 3d) and  
139 often show evidence of bioturbation (burrows). Middle Jurassic brackish water incursions have been  
140 inferred along the southern margin of the Junggar Basin (Chen and Zhang, 2000; Sha et al., 2011; Pan  
141 et al., 2013). We thus analysed those limestone beds in order to check their possible marine origin,  
142 even if they are interbedded with sediment series described as continental deposits (Hendrix et al.,  
143 1992; Sobel,1999; Jiang et al., 2008).

### 144 145 *3.2 Sampling strategy*

146 The recognition of nodules and calcrete layers in several places throughout the Tian Shan  
147 Range and their localization in the same stratigraphic levels (Upper Jurassic, Upper Cretaceous) offer  
148 a unique opportunity to specify the palaeo-topographic evolution of the area during the late  
149 Mesozoic. Every observed type of carbonated pedogenic feature has been sampled. Samples were  
150 first collected along a north-south transect across the Tian Shan, mostly focusing on the Manas,  
151 Bayanbulak and Yaha sections. For the Upper Cretaceous level, five to six samples have been  
152 collected from each section (Table 1; Fig. 2). When the calcrete and nodules were present together  
153 in a single site, both were sampled as they might have formed under distinct pedogenic conditions,

154 even if some continuity between the two types of objects has been locally observed (Fig. 3c). For the  
155 Jurassic period, the sampling is not fully complete since calcification has not been observed in the  
156 Bayanbulak section and is only poorly developed in the Manas and Wusu sections.

157 On the northern foothills, six samples were also collected from the Qiu Er Gou section only  
158 20 km east of the Manas section (Fig. 1). Calcification in these two close-by sites is located in the  
159 Upper Cretaceous series and likely developed under identical climatic and topographic conditions.  
160 They thus allow specifying the isotopic heterogeneities solely associated with the calcification  
161 processes. For example, evaporation can induce kinetic effects that modify the  $\delta^{18}\text{O}$  signature of  
162 pedogenic carbonates (Ufnar et al., 2008 and references therein).

163 Finally, several late Middle Jurassic limestone beds have been sampled on the Yaha section  
164 (Fig. 3d) both in order to confirm or infirm their suspected lacustrine origin using the isotopic tool,  
165 and to use their isotopic composition as a complementary proxy to palaeo-environmental  
166 reconstruction (e.g. Li et al., 2013).

### 167 168 *3.3 Sample description and preparation*

169 A total of 32 fist-sized samples have been collected (Fig. 4). Calcrete samples consist of  
170 conglomerates in which the matrix has been cemented by neo-formed calcite (Fig. 4a). Figure 4a  
171 shows white calcite cement that precipitated around the pebbles. This cement has been sampled by  
172 scraping or micro-drilling and reduced to powder for isotopic analysis. Since the conglomerates  
173 contain some detrital fragments of carbonate rocks, special care was taken to avoid contamination of  
174 the sampled powder by pebble-derived carbonate. In one sample of the Manas section (sample MC  
175 11-3; Table 1), three types of neo-formed carbonates were observed and sampled: calcitic cement  
176 (fraction A), a few millimeters wide veinlets (fraction B) and millimetric geodic calcite grains (fraction  
177 C).

178 Nodule samples consist of nearly pure neo-formed calcite, sometimes easily extracted from their  
179 sandy to silty host rock (Fig. 4b). These nodules were crushed and neo-formed calcite was separated  
180 by hand-picking from remaining small detrital grains. For both the calcrete and nodule samples, the  
181 separated calcite was then crushed in a boron carbide mortar before analysis.



182 Limestone samples sometimes contain some detrital materials, but no pebble was observed.  
183 Centimetre-sized fragments of limestones have been detached from the samples, crushed in an  
184 Abiche mortar, then in a boron carbide mortar to get a finely grained powder.

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#### 186 **4. Analytical techniques**

187 The stable isotope analysis was performed at the stable isotope laboratory of Geosciences  
188 Rennes, University of Rennes 1. About 12 mg of calcite powder have been reacted with anhydrous  
189  $H_3PO_4$  for a few hours at 50°C. The liberated  $CO_2$  was analysed on a VG Optima triple collector mass  
190 spectrometre. Routine analysis of in-house standard (Prolabo Rennes) and international standards  
191 NBS 18 and NBS 19 ensures accuracy of the results. The global precision is estimated at  $\pm 0.15\%$  for  
192 oxygen (SMOW) and  $\pm 0.10\%$  for carbon (PDB). Isotopic results are reported using the conventional  
193 "delta" notation in Table 1 and summarized in Figure 5, where they are compared with data from the  
194 literature.

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#### 196 **5. Results**

197 In Table 1, for the Qiu Er Gou section where calcrete and nodules have been collected in  
198 nearby horizons, there is no significant isotopic difference between the two facies. These two  
199 components are thus not distinguished in Figure 5a.

200 The analytical data are rather clustered (our data shown in black and grey on Fig. 5), with  
201 some points lying outside of the main field. The data for calcrete and nodules are shown in Figure 5a  
202 and those for limestones in Fig. 5b. On the Manas section, the lowest  $\delta^{18}O$  value (19.2‰) was  
203 measured for the geodic calcite (sample MC 11-3A, see Fig. 5a), suggesting that this calcite  
204 developed under physicochemical conditions distinctly different from the ones prevailing during the  
205 main calcification event. On the same section, the Palaeogene nodule MC 11-SED-6 (see Fig. 5a) is  
206 somewhat different from the others, displaying lower  $\delta^{18}O$  (20.4‰) and  $\delta^{13}C$  (-6.1‰) values. On the  
207 Yaha section, the two Jurassic nodules (YA 12-SED-39 and 41, see Fig. 5a) display extreme  $\delta^{13}C$  values,  
208 respectively of -7.6 and -2.0‰. Similarly, the Lower Cretaceous nodule (YA 12-CHIM-1, see Fig. 5a) is  
209 also distinguishable from the Upper Cretaceous – Lower Palaeogene calcrete by higher  $\delta^{18}O$  (24.6‰)  
210 and  $\delta^{13}C$  (-2.98‰) values. On the Yaha section, while the calcrete displays homogeneous isotopic

211 compositions, the nodules show wider isotopic ranges and only the Palaeogene nodule YA 12-SED-  
1 212 19A (see Fig. 5a) shows a composition identical to that of the Yaha calcrete.

4 213 However, in spite of these differences, the Upper Cretaceous - Lower Palaeogene calcrete  
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6 214 and nodules of the Tian Shan display rather homogeneous oxygen and carbon isotope compositions,  
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8 215 irrespective of the sampling site. Fluctuations are small in comparison to the range of possible values.

10 216 Indeed, most of the Upper Cretaceous - Lower Palaeogene calcrete and nodule  $\delta^{18}\text{O}$  values  
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12 217 are between 21 and 25‰ (SMOW), while most of the  $\delta^{13}\text{C}$  values are between -4 and -6‰ (PDB) (Fig.  
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14 218 5a). On the other hand, the Tian Shan calcrete and nodules differ overall from other calcretes in  
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16 219 China (Early Cretaceous in age; Li et al., 2013) by lower  $\delta^{13}\text{C}$  values and more homogeneous  
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18 220 compositions.

20 221 Finally, the Middle Jurassic limestone beds from the Yaha section have highly variable oxygen  
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22 222 and carbon isotope composition:  $\delta^{18}\text{O}$  values are between 18 and 23‰ (SMOW) and  $\delta^{13}\text{C}$  values are  
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24 223 between -3.5 and +3.1‰ (PDB), well outside the field of unaltered marine limestones (Fig. 5b). When  
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26 224 compared to the lacustrine limestones analysed by Li et al. (2013), the values obtained in the Yaha  
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28 225 limestones fall within the field of the SE China and NW China data. We thus interpret those  
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30 226 limestones as lacustrine deposits.

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## 34 228 **6. Discussion**

37 229 The stable isotope dataset presented here allow us discussing several characteristics of the  
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39 230 palaeo-environmental evolution of the Chinese Tian Shan. Indeed, the isotope compositions of the  
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41 231 pedogenic carbonates formed in equilibrium with soil or ground water are believed to record a  
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43 232 significant part of the original signature of the water, which is itself influenced by several  
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45 233 geographical and morphological parameters: continentality, latitude and altitude. Consequently,  
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47 234 besides climatic evolution, any topographic changes across space or through time will induce an  
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49 235 evolution in the isotopic record.

50 236 The Yaha section provides a record of calcification conditions in both the Late Jurassic and  
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52 237 the Late Cretaceous - Early Palaeogene times, which helps discussing the evolution of the southern  
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54 238 foothills during the Late Mesozoic. The pre-requisite of such analyses is that calcification developed  
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56 239 soon after sediment deposition. Although there is no indication for this in the Tian Shan, the nodules  
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58 240 were often found in close association with the calcrete (Fig. 3c) and were thus very likely developed

241 close to the surface. Only the small geodic calcite with an oxygen isotope composition distinct from  
1 242 the whole nodule and calcrete population (sample MC 11-3A) obviously developed in association  
2 243 with some diagenetic event, after the main calcification phase. This shows that the diagenetic  
3 244 circulations that occurred in the series induced isotopic deviation strong enough to be recorded in  
4 245 our data. To a first order, excluding these data (see sample MC 11-3A), it is thus reasonable to discuss  
5 246 the Late Cretaceous - Early Palaeogene palaeo-climatic and palaeo-topographic characteristics, using  
6 247 calcretes and nodules that developed at this stratigraphic level. Finally, some aspects linked to the  
7 248 process of calcification itself can be discussed.

### 15 249 *6.1 Isotopic variability within a calcification site*

17 250 As previously mentioned, numerous processes can influence the isotopic values of pedogenic  
18 251 carbonates (e.g. Ufnar et al., 2008; Charreau et al., 2012; Li et al., 2013), independently of the initial  
19 252 composition of the meteoric water. Some effects are related to the hydrological conditions  
20 253 (evaporation, respiration, seasonality) and influence the oxygen isotopic record. Others are linked to  
21 254 the nature of the palaeo-flora and the relative amount of organic carbon over inorganic carbon  
22 255 hosted in limestones which are mostly of marine origin. It is far beyond the scope of this study to  
23 256 discuss the impact of each of these processes on the isotopic composition of the nodules and  
24 257 calcrete that we measured (the reader is invited to refer to the exhaustive discussion developed in  
25 258 Ufnar et al., 2008; Charreau et al., 2012; Li et al., 2013). Instead, we sampled two neighbouring sites  
26 259 (the Manas and Qiu Er Gou sections) in the northern foothills in order to specify the range of isotopic  
27 260 heterogeneity associated with the calcification processes in our study area. The range of values of  
28 261 the Qiu samples are 21.4 to 24.6‰ ( $\delta^{18}\text{O}$ ) and -4.29 to -6.14‰ ( $\delta^{13}\text{C}$ ), while the range of the Manas  
29 262 samples are 19.2 to 25.3‰ ( $\delta^{18}\text{O}$ ) and -3.75 to -6.08‰ ( $\delta^{13}\text{C}$ ). We consider these ranges as quite  
30 263 comparable.

32 264 Each site displays a similar range in  $\delta^{18}\text{O}$  values for the Upper Cretaceous - Lower Palaeogene  
33 265 calcrete and nodules ( $\delta^{18}\text{O}$  between 22 and 25.3‰ for the Manas site, between 21.4 and 24.6‰ for  
34 266 the Qiu Er Gou site; Table 1). The  $\delta^{13}\text{C}$  values are also comparable ( $\delta^{13}\text{C}$  between -3.7 and -4.9‰ for  
35 267 the Manas site, between -4.3 and -6.0‰ for the Qiu Er Gou site). The carbonation processes acting at  
36 268 both sites were thus nearly identical, inducing comparable isotopic heterogeneity.

38 269 Also, some neo-formed carbonates display specific isotopic compositions in relation to  
39 270 original textural characteristics. The most obvious one is the geodic calcite that developed in the  
40 271 calcrete of the Manas section (MC 11-3A). This calcite has a  $\delta^{18}\text{O}$  of 19.2‰ which is lower than that  
41 272 one of its calcrete host (22.2‰). Two main factors can lower the  $\delta^{18}\text{O}$  value of carbonate. Firstly, the

273  $\delta^{18}\text{O}$  value of the fluid may have been 3‰ lower when the geode developed than when the calcrete  
1 274 formed. Secondly, the isotopic fractionation is inversely correlated with temperature. Assuming a  
2 275 constant  $\delta^{18}\text{O}$  value of the water from which precipitation occurred, a higher temperature of  
3 276 precipitation during the formation of the geode could result in a lower  $\delta^{18}\text{O}$  value of the calcite. We  
4 277 favor this second hypothesis for two reasons. Firstly, lowering the  $\delta^{18}\text{O}$  value of the original meteoric  
5 278 water would imply a drastic change in palaeo-climate or palaeo-environmental conditions between  
6 279 the calcrete stage and the geodic stage, a set of conditions that should be recorded by other proxies  
7 280 like the sedimentological record itself and in neighbor areas of Central Asia, which is not the case  
8 281 (e.g. Li et al., 2004). Secondly, a decrease of 3‰ of the  $\delta^{18}\text{O}$  value of calcite corresponds to an  
9 282 increase of the temperature of calcite precipitation of about 12-15°C: if calcrete precipitated at 20°C,  
10 283 which corresponds reasonably to pedogenic carbonation conditions, the geode may have  
11 284 precipitated at about 32-35°C. This temperature increase may correspond to burial of the series of a  
12 285 few hundreds of metres within a normal geothermal gradient, a burial that actually occurred in the  
13 286 area during the Cenozoic. Therefore, the geodic calcite developed under late diagenetic conditions  
14 287 rather than under early pedogenic ones.  
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## 33 290 *6.2 Temporal evolution on the southern foothills (Yaha section)*

35 291 Along the Yaha section, the calcification features formed merely during the Late Jurassic and  
36 292 the Late Cretaceous - Early Palaeogene. These pedogenic carbonates suggest that both periods were  
37 293 characterized by a similar semi-arid climate (e.g. Hendrix et al., 1992; Li et al., 2004; Sun and Wang,  
38 294 2005). Moreover, the oxygen isotope composition of the neo-formed carbonates is comparable: from  
39 295 21.2 to 22.6‰ for the Jurassic, and from 21.6 to 23.4‰ for the Early Palaeogene, which is again  
40 296 consistent with similar climatic conditions. In fact, the Late Cretaceous sample YA-12-CHIM-1 displays  
41 297 a  $\delta^{18}\text{O}$  value (24.6‰) slightly above the other Yaha samples. As we have only one sample for this  
42 298 intermediate stratigraphic level, we can hardly consider this single value as representative of a  
43 299 regional palaeo-environmental event. It remains that the homogeneity of the stable isotope  
44 300 compositions of the calcification features studied here may indicate that our samples underwent  
45 301 comparable in-situ processes such as evaporation. This homogeneity also suggests that the  
46 302 composition of the rainwater, and by inference also the fundamental parameters evaporation,  
47 303 continentality, latitude, and altitude were likely comparable in the Yaha region for the Late Jurassic  
48 304 and Early Palaeogene periods. In other words, based on our isotopic data no major palaeo-  
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305 geographic changes occurred during this period in the Yaha region (confirms earlier data published  
306 by Li et al., 2004), i.e. no significant topographic variations occurred either by relief building or  
307 destruction. Furthermore, the transgression of the Paratethys Sea from the West into the Tarim  
308 Basin during the Late Cretaceous (e.g. Sobel, 1999) had no influence on the conditions of  
309 development of pedogenic carbonates in the Yaha section.

310  
311 In the Yaha region, the isotopic compositions of the Middle Jurassic limestones are different  
312 from those of marine limestones of the same age elsewhere in the world (Veizer et al., 1999) (Fig.  
313 5b). It could be argued that these limestones were originally marine and that subsequent diagenesis  
314 affected their primary compositions, as known for many carbonate platforms (e.g. Brigaud et al.,  
315 2009). However, the fact that  $\delta^{13}\text{C}$  of the limestones is highly variable and that the  $\delta^{13}\text{C}$  values are  
316 not correlated with the  $\delta^{18}\text{O}$  values, does not plead for such a diagenetic modification of marine  
317 limestones. Indeed, the  $\delta^{18}\text{O}$  values of the limestones ranging between 18 and 23‰, the large  $\delta^{13}\text{C}$   
318 variation with both positive and negative values and the lack of correlation between the oxygen and  
319 carbon signatures are common features of lacustrine carbonates (e.g. Li et al., 2013 and references  
320 therein). These authors provided a very detailed discussion on the use of these isotopic signatures in  
321 terms of palaeo-environmental reconstructions. In the present work, it would be hazardous to  
322 develop such a discussion because of the rather small number of isotopic data. Our inference that  
323 the limestone beds are lacustrine is consistent with the silty to sandy continental nature of the series  
324 in which they are inter-bedded (see field description above and in Hendrix et al., 1992; Sobel, 1999;  
325 Jiang et al., 2008; Heilbronn, 2014).

### 327 *6.3 Palaeo-topography during the Late Cretaceous - Early Palaeogene period*

328 We have analysed calcification features in three Upper Cretaceous - Lower Palaeogene series  
329 in the north, the south and within the Tian Shan Range. Currently, there are two first order  
330 differences between the northern and southern sides of the range (Charreau et al., 2012): 1) the  
331 cumulative meteoric precipitation rate is much higher on the northern side; i.e., between 100 and  
332 250 mm/year in the southern Junggar Basin than on the southern side of the Tian Shan; i.e., less than  
333 40 mm/year in the Tarim Basin, and 2) the mean  $\delta^{18}\text{O}$  value of meteoric water is lower than -8‰ on  
334 the northern side and higher than this value on the southern side. If a significant palaeo-topography

335 existed during the Late Cretaceous - Early Palaeogene period, such an isotopic gradient in meteoric  
1 336 water compositions likely existed and should have been recorded in pedogenic carbonates.  
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4 337 From the lithological point of view, our field observation showed that calcrete features  
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6 338 developed mainly in conglomerates, i.e. on rocks with comparable porosity and permeability  
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8 339 properties. Also, a semi-arid climate prevailed over the whole study area in order to develop  
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10 340 pedogenic carbonates (e.g. Hendrix et al., 1992). It is then reasonable to think that the prominent  
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12 341 variable that could have been recorded by the carbonates developed in the Upper Cretaceous -  
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14 342 Lower Palaeogene series, is the initial isotopic composition of the meteoric water, a property that  
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16 343 depends primarily on the origin of atmospheric masses (e.g. Charreau et al., 2012). In Table 1 and  
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18 344 Figure 5, there is no significant isotopic difference between the Upper Cretaceous - Lower  
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20 345 Palaeogene pedogenic carbonates of the northern, central and southern parts of the present-day  
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22 346 Tian Shan Range. The interpretation that immediately comes out is that the meteoric waters were  
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24 347 identical in the three regions.  
25

26 348 The easier way to reach identical values is to consider that these meteoric waters originated  
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28 349 from the same oceanic reservoir and underwent the same atmospheric evolution. The Paratethys sea  
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30 350 in the West-Tarim Basin (e.g. Dercourt et al., 1993; Mao and Norris, 1988) could be a possible source  
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32 351 of these meteoritic waters. Regardless of the marine source of meteoric waters, there is no isotopic  
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34 352 evidence for the existence of any orographic barrier between the studied sections at the time of  
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36 353 calcification. If the latter rapidly followed deposition, there was no significant relief in that area at  
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38 354 the Cretaceous - Palaeogene boundary.  
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## 42 43 357 **7. Conclusion**

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46 358 Pedogenic carbonates (calcrete and nodules) and limestone beds have been identified and  
47  
48 359 analysed for  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  on two main stratigraphic levels of the Tian Shan Mesozoic-Cenozoic  
49  
50 360 series (Late Jurassic and Late Cretaceous - Early Palaeogene). The limestone beds are restricted to  
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52 361 the Middle Jurassic series of the southern foothills and are probably of lacustrine origin. The oxygen  
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54 362 and carbon isotope compositions of the pedogenic carbonates are homogeneous in both the  
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56 363 southern and northern foothills of the Tian Shan Range, as well as in the intramontane Bayanbulak  
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58 364 Basin. Palaeo-environmental conditions of pedogenic carbonates development were thus identical  
59  
60 365 on a regional scale during the Late Cretaceous - Early Palaeogene period. No significant palaeo-relief

366 existed by that time in the area, implying that the actual Tian Shan topography mostly results from  
1 367 the Cenozoic reactivation event.

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376

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**505 Table caption**

506 Table 1- Oxygen and carbon isotope composition of the pedogenic and lacustrine carbonates from  
507 the Tian Shan Range.

**509 Figure caption**

510 Fig. 1- a) Schematic tectonic map of Asia and location of the Tian Shan Range in Central Asia. b) Map  
511 of the Tian Shan area (DEM, G-TOPO-30, Mercator projection) showing the five sampling sites: the  
512 Wusu, Manas and Qiu Er Gou sections on the northern foothills, the Bayanbulak section in the  
513 Bayanbulak intramontane basin, and the Yaha section on the southern foothills of the range. Section  
514 location and GPS coordinates are given in Table 1.

515  
516 Fig. 2- Synthetic figure showing (top) the present-day topographic profile through the Tian Shan  
517 Range, together with the five sampling sites (black dots; W. = Wusu; M. = Manas; Q. = Qiu Er Gou; B.  
518 = Bayanbulak; Y. = Yaha). (left) General lithological column (C = Clay, s = Silt, Sf = Fine sand, Sm =  
519 Medium sand, Sc = Coarse sand, g = Gravel, P = Pebble, B= Boulder) derived from our field  
520 observations of the Middle Jurassic to Palaeocene series from the Yaha section (southern foothills).  
521 This column shows the general trends and types of Mesozoic deposits around the Tian Shan Range  
522 and is consistent with the work of Hendrix et al. (1992). The correspondence between lithological  
523 limits and stratigraphic ages relies on the available geological maps, previous studies (Hendrix et al.,  
524 1992) and our field work (J1 = Early Jurassic; J2 = Middle Jurassic; J3 = Late Jurassic; K1 = Early  
525 Cretaceous; K2 = Late Cretaceous; P = Palaeocene). (right) Type and stratigraphic position of the neo-  
526 formed carbonates.

527  
528 Fig. 3- Outcrop views. a) Landscape view of the sampled 8 metre-thick massive calcrete, which runs  
529 over tens of kilometres laterally (Late Cretaceous – Early Palaeocene, top of the Manas Section). b)  
530 Detailed view of the massive conglomeratic calcrete (hammer for scale). The boundaries of the  
531 carbonate body correspond to lithological limits between sandy layers and gravel-rich layers. c)  
532 Transition from nodule-rich layers into a massive calcrete (Late Cretaceous – Early Palaeocene, Yaha

533 Section; hammer for scale). d) Decimetric grey to white limestone layers. These layers are not easily  
1 534 distinguishable solely from their colour.

4 535

7 536 Fig. 4- Examples of studied samples. a) Conglomeratic massive calccrete (sample MC 11 4; Late  
8 537 Cretaceous – Early Palaeocene; Manas section). b) Centrimetre-sized calcareous nodules (sample B  
9 538 11 SED 1; Early Palaeocene; Bayanbulak section). c) Limestone, locally with shales or terrigenous  
10 539 input (sample YA 12 SED 1; Middle Jurassic; Yaha section).

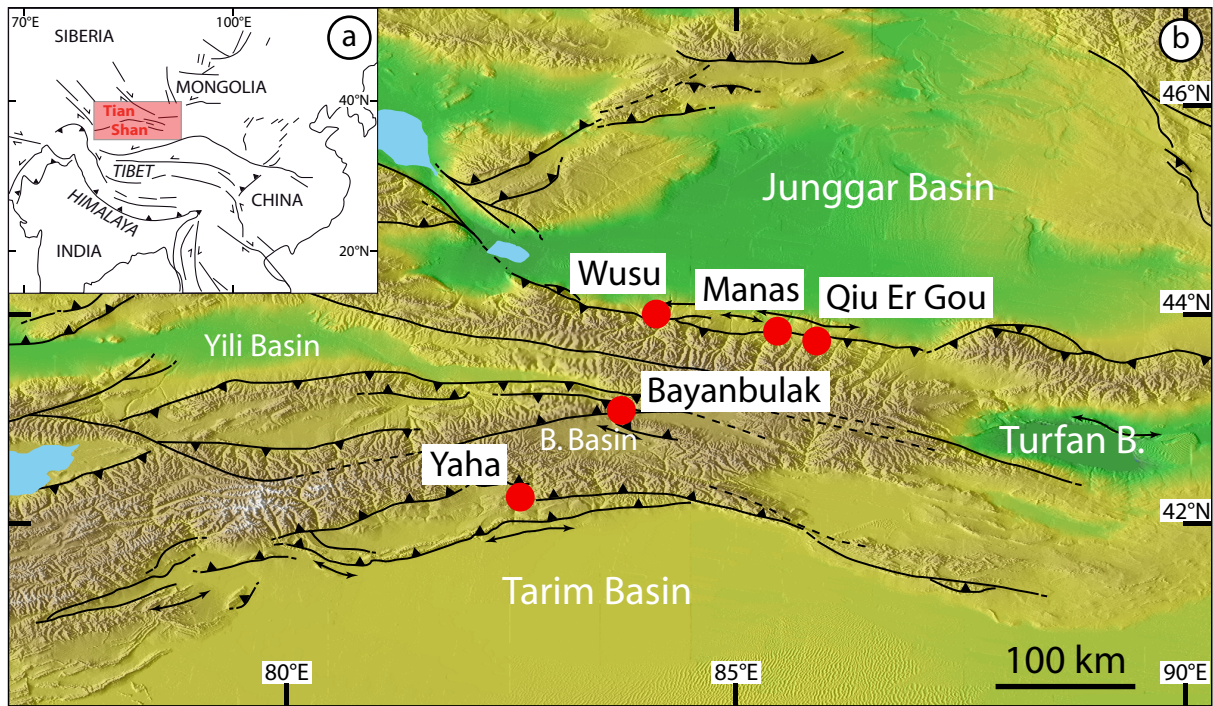
15 540

17 541 Fig. 5-  $\delta^{13}\text{C}$  (PDB) vs.  $\delta^{18}\text{O}$  (SMOW) diagram of the pedogenic carbonates (a) and the limestones (b) of  
18 542 the Tian Shan Range. The isotopic compositions of Lower Cretaceous pedogenic carbonates and  
19 543 limestones from China are shown for comparison (data from Li et al., 2013). The field of Jurassic  
20 544 marine limestones of the world is indicated in grey (Veizer et al., 1999).

Table

Sample	Type	Formation	Coordinates		Calcite	
			Latitude	Longitude	$\delta^{18}\text{O}$	$\delta^{13}\text{C}$
<b>WUSU</b>						
WE 12 SED 1	Calcar. nodules	Jurassic (J3)	44° 8'20,90"N	84°30'19,70"E	21.2	-4.96
<b>MANAS</b>						
MC 11 SED 6	Calcar. nodules	Palaeogene	43°57'55,08"N	85°48'28,08"E	20.4	-6.08
MC 11 5	Calcrete	Cret./Palaeogene	idem		25.3	-3.75
MC 11 4	Calcrete	Cret./Palaeogene	idem		23.7	-4.22
MC 11 3C	Calcrete (matrix)	Cret./Palaeogene	idem		22.2	-4.05
MC 11 3B	Calcrete (vein)	Cret./Palaeogene	idem		22.1	-4.87
MC 11 3A	Calcrete (geode)	Cret./Palaeogene	idem		19.2	-4.73
MC 11 2	Calcrete	Cret./Palaeogene	43°57'42,72"N	85°48'27,60"E	22.0	-4.67
<b>QIU ER GOU</b>						
HU 12-SED 12	Calcar. nodules	Cret./Palaeogene	43°52'3,00"N	86°23'32,30"E	21.4	-5.19
HU 12-SED 11	Calcar. nodules	Cret./Palaeogene			23.3	-6.02
HU 12-SED 10	Calcrete	Cret./Palaeogene			24.6	-4.29
HU 12-SED 9	Calcrete	Cret./Palaeogene			23.0	-4.99
HU 12-SED 8	Calcar. nodules	Cret./Palaeogene			22.8	-5.33
HU 12-SED 7	Calcrete	Cret./Palaeogene	43°52'2,40"N	86°23'29,50"E	22.7	-6.14
<b>BAYANBULAK</b>						
B 11 SED 1	Calcar. nodules	Palaeogene?	43° 4'23,52"N	84°17'6,66"E	22.3	-4.65
B 11 SED 06	Calcrete	Cret./Palaeogene?	idem		23.1	-5.31
B 11 SED 02	Calcrete	Cret./Palaeogene?	idem		23.0	-5.79
B 11 SED 05	Calcrete	Cret./Palaeogene?	idem		24.3	-5.32
B 11 SED 04	Calcrete	Cret./Palaeogene?	43° 4'21,96"N	84°17'5,52"E	23.0	-5.53
<b>YAHA</b>						
YA 12 SED 19 A	Calcar. nodules	Palaeogene	42° 4'52,32"N	83°15'48,20"E	23.4	-4.10
YA 12 SED 18	Calcrete	Cret./Palaeogene	42° 4'56,30"N	83°15'48,20"E	22.7	-4.75
YA 12 SED 17	Calcrete	Cret./Palaeogene	42° 4'57,15"N	83°15'48,61"E	22.7	-4.95
YA 12 SED 13	Calcrete	Cret./Palaeogene	42° 4'56,84"N	83°15'50,13"E	21.6	-4.13
YA 12 SED 16	Calcrete	Cret./Palaeogene	42° 4'57,32"N	83°15'50,13"E	21.7	-3.86
YA 12 CHIM 1	Calcar. nodules	Cretaceous (K1)	42° 5'47,80"N	83°16'40,10"E	24.6	-2.98
YA 12 SED 41	Calcar. nodules	Jurassic (J3)	42° 6'52,30"N	83°17'18,50"E	21.2	-2.03
YA 12 SED 39	Calcar. nodules	Jurassic (J2-J3)	42° 6'57,90"N	83°17'18,10"E	22.6	-7.61
YA 12 SED 38	Limestone	Jurassic (J2)	idem		20.1	-3.16
YA 12 SED 37	Limestone	Jurassic (J2)	idem		19.2	-0.26
YA 12 SED 36	Limestone	Jurassic (J2)	idem		18.5	0.20
YA 12 SED 34	Limestone	Jurassic (J2)	idem		23.0	3.14
YA 12 SED 33	Limestone	Jurassic (J2)	42° 7'3,37"N	83°17'7,09"E	17.7	-0.37
YA 12 SED 1	Limestone	Jurassic (J2)	42° 7'32,40"N	83°14'10,40"E	20.4	-3.49

Figure 1



Heilbronn et al., 2014 - Fig. 1

Figure 2

Heilbronn et al., 2014 - Fig. 2

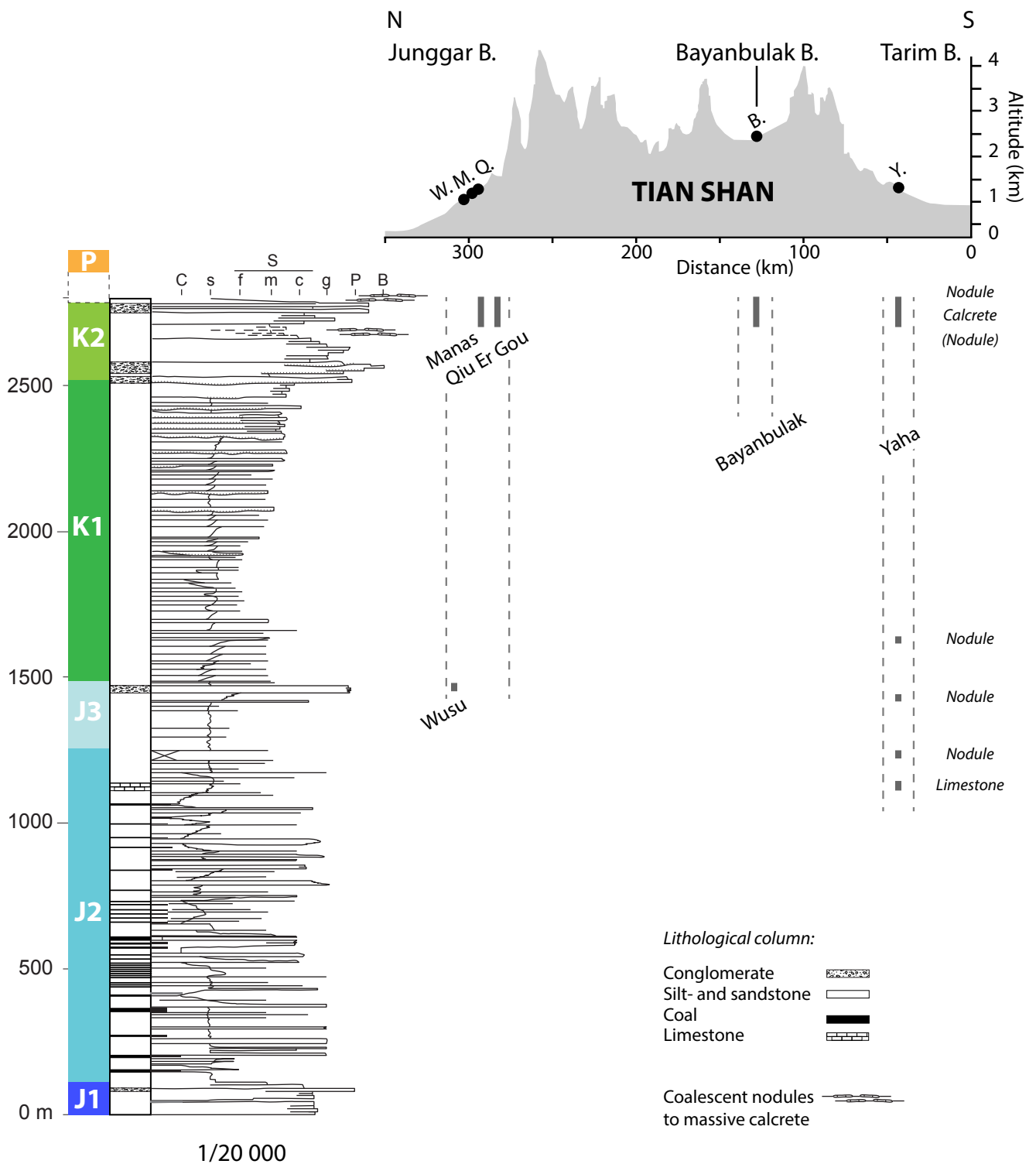


Figure 3



Fig. 3 - Outcrop-views.



Figure 4

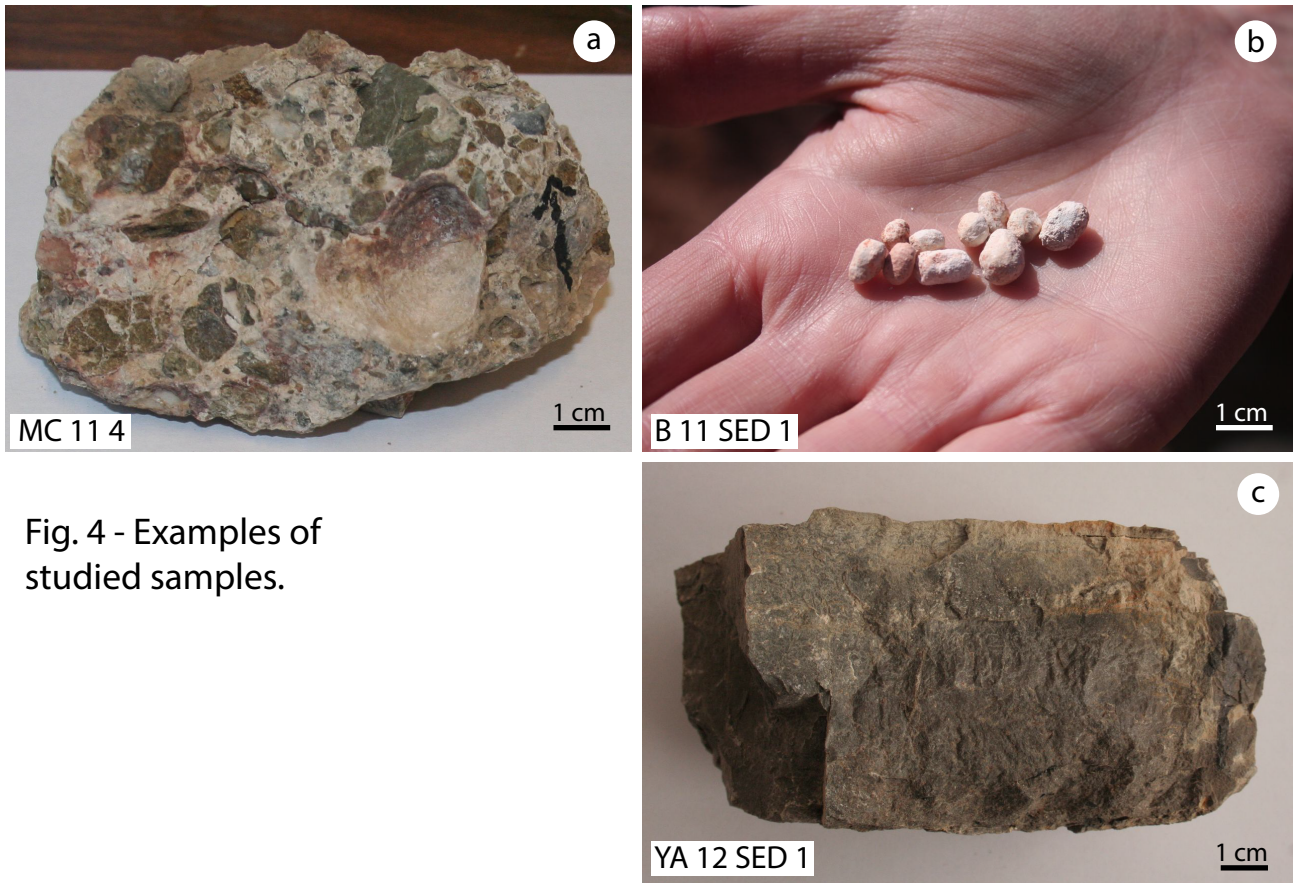
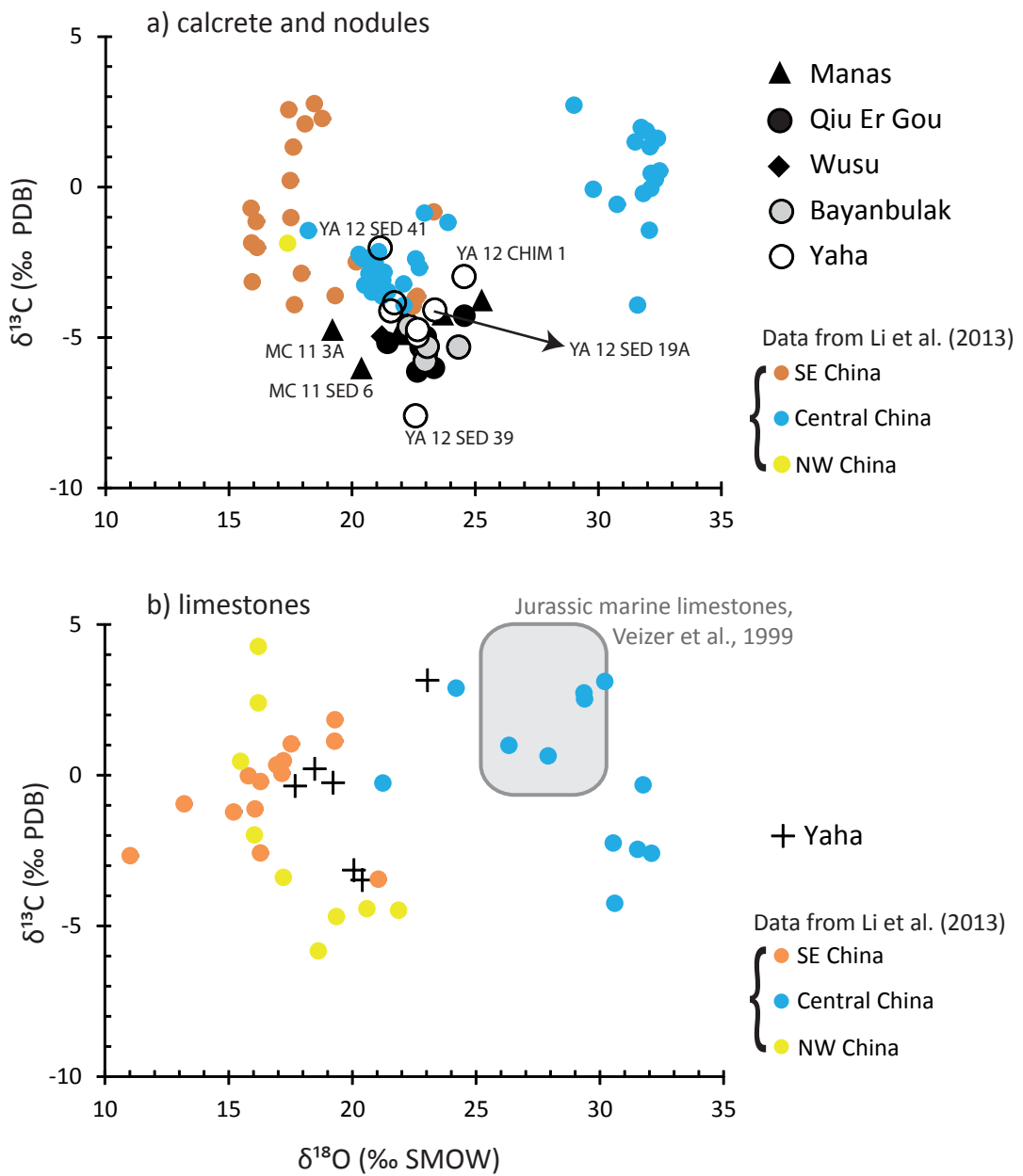


Fig. 4 - Examples of studied samples.

Figure 5



Heilbronn et al., Figure 5