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The Sumatran earthquake impact on Earth Rotation from satellite gravimetric measurements

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Abstract

From the satellite gravity field measurements (mission GRACE and LAGEOS) we computed the changes in inertia moments of the Earth, which have followed the gigantic Sumatra Earthquake of December 26, 2004. Our approach is based upon the geoid height variations, which has been caused by the Earthquake. According to those gravimetric data, the pole was shifted up to 2 mas towards 90° East and the length of day dropped up to -5 µs. The phase obtained for the pole shift contradicts that one derived from seismic model.

Keywords : Earth rotation Sumatra Earthquake Gravity field variation GRACE

1 Introduction

Whereas the influence of earthquakes on Earth rotation is a recurrent theme since the sixties, nothing has been ever observed. The gigantic Earthquake, that took place on 2004 December 26 at 00h 58min 51s UTC, about 200 km from the western coast of northern Sumatra (epicenter of latitude 3.298° and longitude 95.778°), has constituted an opportunity for recording a possible effect. Indeed its magnitude on the Richter scale reached at least $m = 9$, that makes it the third or forth biggest Earthquake ever recorded after those of Chile (1960, $m = 9.5$), Alaska (1964, $m = 9.2$), Kamchatka (1959, $m = 9$). The earthquake occurred as thrust-faulting on the interface of the India plate and the Burma microplate. In a period of minutes, the faulting released elastic strains that had accumulated for centuries from ongoing subduction of the India plate beneath the overriding Burma microplate. The ground over 1000 km fault was displaced in average by about 11 m. Probably as well shaken as the Earth, some geophysicists, relieved by journalists, claimed in the following hours of the catastrophe, that a sudden polar shift had been observed. In the same time we began our investigation.
Until now the unique way for estimating the influence of the Earthquakes on the Earth rotation was to model the seismic displacement according to the seismic parameters, to derive the changes in Earth inertia moments, and in virtue of the angular momentum balance the rotational effect itself. We had performed this study by applying the Dahlen model (1973), and concluded that the giant Sumatra Earthquakes produced polar shift of about 1-3 cm, too small quantity to be distinguished from daily polar motion associated with atmospheric, oceanic and hydrological excitation (Bizouard, 2005). By applying their own model, Gross and Chao (2006) reached a similar conclusion and estimated that the length of day (LOD) should have decreased by a few $\mu$s (far below the observed accuracy of 20 $\mu$s). For the largest earthquake ever recorded (Chile, 1960) the displacement would have reached 30 cm, and could have been noticed in polar motion whether this later one has been measured by means of modern geodetic techniques.

But the recent observations of the Earth’s gravity field by the mission GRACE (Gravity Recovery and Climate Experiment), begun in 2002, bring a new approach for tackling this problem. Looking at the variations of geoid height around December 26 2004, as measured by GRACE, Loyer (2006), member of the French team GRGS (“Groupement de Recherche pour la Géodésie Spatiale”), noticed a depression of about 1 cm in Indonesia (Fig. 1)-A. From that drop in geoid height the redistribution of mass caused by this earthquake could be tracked and the associated rotational effect could be derived.

Our paper is devoted to this challenge. In section 2 a short description of the GRACE data is given. In section 3 we confirm that the lowering of the geoid was indeed caused by this earthquake. In section 4 the Sumatran rotational effect is computed.

2 Geoid height variation from GRACE and LAGEOS observations

The satellite mission GRACE, always in progress, aims at the very precise determination of the Earth’s gravity field, especially of its temporal variations. By combining the GRACE observations to those of the satellite LAGEOS (mostly reliable for the low degrees of the geopotential), the GRGS team (France) produced a solution, which acts as international standard today (Biancale et al., 2005). This solution, extending at the present time over 3 years (July 2002-September 2005), regularly lengthens thanks to the treatment of the new observations. It consists in a static part, of which the spherical harmonic development extends up to degree 150, and a variable part, of which the spherical harmonic development extends up to degree 50. For the variable part the Stokes coefficients are given with 10 days step (July 2002-September 2005). The variable gravity field is also translated into 105 $1^\circ \times 1^\circ$ latitude-longitude grids of the geoid height (referred to the reference ellipsoid) with a 10 days step. The spatial resolution of this geoid grids reaches 3.2$^\circ$. It should be noticed that the variable part was freed from well modeled variations:

- effect of the atmosphere pressure taking into account the oceanic response: 3D field of
the ECMWF (European Center of Meteorological Weather Forecast) provided every 6 hours / oceanic barotropic model "MOG2D"

- effect of the oceanic tides : model "FES-2004" of the LEGOS (Midi-Pyrénés Observatory).

The observed variations mostly reflects hydrological effect and non-modeled oceanic circulation.

**Precision.** The normalised Stokes coefficients \( C_{lm} \) and \( S_{lm} \) are given with a precision below \( 10^{-11} \). The grids of geoid height are not provided with their uncertainties. By carrying out independent analysis, Wahr et al. (2006) confer to them errors from \( \sigma = 0.2 \) mm (spatial frequencies associated with Stokes coefficients of degrees 10-20) to \( \sigma = 0.7 \) mm (degree 40).

**Link with the inertia moments of the Earth.** In the terrestrial frame \( O_{xyz} \), by assuming a biaxial Earth the inertia matrix takes the form:

\[
I = \begin{pmatrix}
I_{11} & I_{12} & I_{13} \\
I_{12} & I_{22} & I_{23} \\
I_{13} & I_{23} & I_{33}
\end{pmatrix} = \begin{pmatrix}
A + c_{11} & c_{12} & c_{13} \\
c_{12} & A + c_{22} & c_{23} \\
c_{13} & c_{23} & C + c_{33}
\end{pmatrix}
\]

where \( c_{ij} \) with \( i, j = 1, 2, 3 \) are the increments of inertia moment associated with the mass distribution at a given time.

The coefficients of interest are \( I_{13}, I_{23} \) et \( I_{33} \) or their increments. They are directly linked to the Stokes coefficients \( (C_{lm}, S_{lm}) \) of degree 2 by the relations (see e.g. Lambeck, 1980):

\[
\begin{align*}
I_{13} &= -M_e R_e^2 C_{21} \\
I_{23} &= -M_e R_e^2 S_{21} \\
I_{33} &= \frac{1}{3} Tr(I) - \frac{2}{3} M_e R_e^2 C_{20}
\end{align*}
\]

where \( Tr(I) = I_{11} + I_{22} + I_{33} \). On the reasonable assumption that the mechanical system preserved its mass, it is showed that the trace remains constant (Rochester & Smylie 1974). Therefore, a redistribution of masses causing a variation \( \Delta C_{20} \) of the Stoke coefficient \( C_{20} \) induces the axial inertia moment increment:

\[
\Delta I_{33} = -\frac{2}{3} M_e R_e^2 \Delta C_{20}
\]

**3 “Sumatran effect” on geoid height**

**First glance at the geoid height.** In a premonitory study published a few month before the catastrophe, Mikhailov et al. (1994) announced the possibility to detect huge earthquake by GRACE. In a first approximation a seism produces a permanent redistribution of masses. Its duration (approximately 10 minutes) is negligible in comparison with the time sampling of the geoid grid, given every 10 days by stacking the measurements over one month. One
thus expects that, over the zone affected by the seism, the geoid height has undergone quasi-permanent offsets. Indeed, by subtracting the monthly grid preceding the Sumatran seism (from November 25, 2004 to December 24, 2004) to the one following it (from December 25, 2004 to January 23, 2005) Loyer (2006) noticed an obvious anomaly on the area of Sumatra. In this zone the geoid dropped from almost 1 cm, as attested by Fig. 1.

Let us see whether some trend become apparent in the geoid height over the whole data set. This one is estimated as a linear term for each point of the grid. The result displayed on Fig. 2-A confirms a lowering on the zone of Sumatra up to 3 mm/year. This trend is clearly linked with the event of December 26, 2004, because such a trend, estimated over the period before the seism, as showed by Fig. 2-B almost disappears.

We can also see significant reduction of geoid height on Greenland, Alaska and Antarctic. As the equation (9) justifies it (see there-after) the linear trend in these area is connected with the decrease of the geopotential, that we can interpret by a local loss of mass produced by the continental ice melting. This could testify the global warming and its impact on polar ice. For more detail on the quantity of melted mass, one will refer to the studies of Velicogna et al. (2005).

From the geoid height, we shall try to determine the variation of the coefficients $\Delta C_{21}$ and $\Delta S_{21}$ associated with the zone of the seism and in return the variations of the moments of inertia $\Delta I_{13}$ and $\Delta I_{23}$ according to the equations (2), (3), (5) and their effect on terrestrial rotation. Hereafter one describes the steps to be followed to isolate and estimate “the Sumatran effect” starting from the geoid height. The calculation and figures were carried out by programming under MATLAB.

**Removing of the seasonal variations in the geoid height.** The geoid undergoes seasonal variations, at the level of 1 cm over Indonesia, which could produce artifacts. For that reason they have been removed by least square procedure.
Figure 2: Linear trend in geoid height form July 2002 to September 2005 (A); time interval before Sumatra event (B)

**Monthly effect.** We define the monthly effect on the geoid height as the difference of the grid just preceding December 26, 2004 (November 25, 2004- December 24, 2004) to the grid just following the fateful date (December 25, 2004-January 23, 2005), of course freed from the seasonal effect. It is drawn on the Fig. 1-B. By comparison with the Fig. 1-A, it can be noticed that the seasonal effect had artificially increased the monthly variation seen on Sumatra by about 3 mm.

**Permanent effect.** To confirm the permanent nature of the systematic displacement of the geoid in the zone of Sumatra around the date of the earthquake, we have estimated for each point of the grid the constant term on the period preceding this date and the constant term on the period after the seism, the difference $\Delta h$ constituting the permanent effect at the point considered. The result appears on the Fig. 3 and reveals in an obvious way that the geoid dropped overall in the area of Sumatra by approximately 6 mm. The formal uncertainties of the estimated shifts are lower than 0.5 mm over Indenosia, and globally lower than 0.7 mm. That corresponds to the precision given by Wahr et al. (2006) (see section 2), and those offsets present a ratio "signal/noise" larger than 6. Notice that the shift is more considerable than for the two consecutive grids around the seism date (Fig. 1).

To confirm this effect, we carried out the same calculation for other consecutive time intervals, which precede that of the seism and we noted that the Indonesia did not arise, as illustrated by the whole set of graphs of the Fig. 4-A/B/C. For consecutive periods after the seism (May 2005) the difference of the constant terms is also quasi null on Sumatra (Fig. 4-D). That confirms the fact that the drop observed in the Sumatra region is well associated with the date of December 26, 2004.
Figure 3: Difference of constant terms estimated after and before the Sumatra seism.

Figure 4: Difference of constant terms estimated over two consecutive time intervals before the seism (A), (B), (C); after the seism (D) : no drop observed in Indonesia.
4 Rotational effect

Influence of an Earthquake on the Earth rotation When mass redistribution occurs inside the Earth, off-diagonal elements of the earth inertia matrix referred to the cartesian terrestrial frame $0xyz$ $c_{13} = -\int M_x xz \, dm$ and $c_{23} = -\int M_y yz \, dm$ can change, as well as the equatorial relative angular momentum $h = h_1 + ih_2$. It follows that the Earth wobbles around the rotation axis in space, and from a terrestrial point of view the rotation axis moves with respect to the crust. For an elastic Earth model, the coordinates of the Celestial Intermediate Pole $p = x - iy$ obey the equation (see e.g. Munk and MacDonald, 1960):

$$p + i \dot{p} \frac{k_s}{k_s - k_2} \left( \frac{c}{C - A} + \frac{h}{(C - A)\Omega} \right) = 0$$

where $\Omega$ is the mean Earth angular velocity, $c = c_{13} + ic_{23}$, $C$ the axial inertia moment of the Earth, $A$ the equatorial one, $k_2 \approx 0.3$ the Love number of degree 2, $k_s \approx 0.94$ the Secular Love number, $\sigma_C$ the Chandler pulsation $(\Omega/433 \approx k_s - k_2(C - A)/A)$. In the case of an earthquake, $c$ can be modeled as a step function. The effect of relative angular momentum $h$ is negligible, because it is not permanent. Then it can be easily shown that the consequence of the polar motion is a sudden offset of the pole, and a modification of the amplitude of the Chandler component according to :

$$\Delta p = \frac{\Omega c}{A\sigma_c} - \frac{\Omega c}{A\sigma_c} e^{i\sigma_c(t-t_0)}$$

Mass redistribution is also accompanied by variation of axial inertia moment, $c_{33}$ and axial angular momentum $h_3$. In virtue of the angular momentum conservation of the solid Earth the rotation velocity is changed, equivalently Length of Day $LOD_0$ undergoes the increment $\Delta LOD$ given by :

$$\Delta LOD = \frac{c_{33}}{C} + \frac{h_3^2}{\Omega C}$$

Angular momentum associated with seismic displacements is huge (typically $10^{28}$ kg m$^2$ s$^{-1}$, see Seoane, 2006) during the first 100 $\mu$s but disappears after the seism (typical duration of 10 minutes). The only remaining effect is that of the axial inertia increment.

Changes of the Stokes coefficients of degree 2 and inertia moments of the Earth.

The drop of the geoid height over Indonesia provides the changes in the Stokes coefficients $C_{21}, S_{21}, C_{20}$ caused by the seism. Hence, by the relations (2), (3) and (5) we obtain the increments in inertia moments $c_{13} = \Delta I_{13}$, $c_{23} = \Delta I_{23}$ and $c_{33} = \Delta I_{33}$.

Variation of geopotential $W_o$ ($\delta W$) before and after the seism is obtained from the modification of geoid height.

Indeed let us place on a point $P$ on the geoid before the seism where the potential is $W_o$. After the seism, in this same point, the potential becomes $W_o + \Delta W$. Let us consider a point $P'$ being located on the deformed geoid and on the same line of force than P. We have on this line of force :

$$W_{P'} - W_P = \tilde{\nabla} \delta W \cdot PP'$$
By projection on the outer normal to the geoid (\( \vec{n} \)), this equation is written:

\[
W_o - (W_o + \Delta W) = \frac{dW}{d\vec{n}} \Delta h = -g \Delta h
\]

that is:

\[
\Delta W = g \Delta h \tag{9}
\]

where \( \Delta h \) is the variation of geoid height and \( g \) the field of gravity, which can be considered as constant (\( g = 9.83 \text{ m s}^{-2} \)) in order to evaluate \( \Delta W \) starting from \( h \). Having obtained \( \Delta W \), we shall calculate the corresponding Stokes coefficients \( \Delta C_{21} \) and \( \Delta S_{21} \). To isolate the effect of Sumatra, \( \Delta W \) is restricted to the associated area (the fluctuation \( \Delta W \) is taken as null everywhere else). Moreover we make the approximation \( r = R_e \). The variations of the Stokes coefficients result from the well-known relations:

\[
\Delta C_{20} = \frac{R_e}{GM_e} \frac{5}{4\pi} \int_S \Delta W(\theta, \lambda) P_{20}(\cos \theta) \sin \theta \, d\theta \, d\lambda
\]

\[
\Delta C_{21} = \frac{R_e}{GM_e} \frac{5}{12\pi} \int_S \Delta W(\theta, \lambda) P_{21}(\cos \theta) \cos \lambda \sin \theta \, d\theta \, d\lambda
\]

\[
\Delta S_{21} = \frac{R_e}{GM_e} \frac{5}{12\pi} \int_S \Delta W(\theta, \lambda) P_{21}(\cos \theta) \sin \lambda \sin \theta \, d\theta \, d\lambda
\]

These double integrals are computed by trapezoidal method.

**Determination of the area affected by the seism.** Actually it is a rather delicate task to determine the area which has to be taken in these integrals. The larger is the surface, the more will be integrated fluctuations not linked with Sumatra event, and the influence of the errors will grow. Displacements of the ground surface as observed by GPS (Vigny et al., 2005) suggest to consider circular zone of 30° arc radius around the epicenter. On the other hand, the seismic area \( S \) can be deduced from the seismic moment \( M = \mu SD \) where \( \mu \) is the shear modulus (\( \approx 75 \text{ Gpa} \)) and \( D \) is the slip (\( D = 10 \text{ m} \)). The typical value for the seismic moment (for a review see Gross and Chao, 2006), \( M \approx 10^{23} \text{ Nm} \), gives a typical aftershock area surface of 100 000 km² (3° x 3°). This can be considered as the smallest zone disturbed by the seism. Anyway there is no a priori reason to privilege a given surface. Therefore computations were done for spheric caps centered on the epicenter (latitude 3.5° and longitude 95.5°) of increasing area, from 3° to 180° (the whole Earth’s surface).

**Effect on polar motion.** The effect on the rotation pole follows from equation (7):

\[
p(t) = x - iy = \frac{\Omega(\Delta I_{13} + i\Delta I_{23})}{A \sigma_c} (1 - e^{i\sigma_c t}) \tag{11}
\]

On Fig. 5-A, the \( x \) and \( y \) components of the polar shift \( p = \Omega(\Delta I_{13} + i\Delta I_{23})/(A \sigma_c) \) are showed as a function of the arc radius of the spheric cap around the epicenter. We notice a
strong dependence on the area. Whereas $x$ remains below 0.3 mas for regional area (below $30^\circ$), the $y$ component present a significant increase, and reaches a local minimum of -2 mas for the arc radius of $30^\circ$. We attempted to assess the precision of these results. The uncertainties, displayed on 5-B, are obtained from the integrals taking absolute value of the function to be integrated and $\Delta W = g\sigma_h$; $\sigma_h$ is the “mean error” of the permanent geoid shift, taken equal to 0.5 mm (see section 3). From radius larger than $40^\circ$, the ratio of the pole shift $|p|$ over its uncertainty drops below 3, and contribution of the ice caps becomes dubious.

To get a better insight of the effective seismic area, we plot on Fig. 5-C/D the contribution on polar motion of each $10^\circ$ radius spherical cap over the $5^\circ \times 5^\circ$ latitude-longitude grid. Clear patterns take shape, putting forward the Sumatra effect (on $y$ pole exclusively) and important contributions of Central Asia ($-1.5$ mas for $y$), South Indian Ocean/Antarctic ($1$ mas for $y$) and Greenland ($1$ mas for $x$). The strong variations observed in Central Asia and Indian Ocean cannot be caused by the Sumatra Earthquakes, they have other causes either hydrological or linked with oceanic circulation. Therefore the effective seismic zone cannot be hardly extended beyond $30^\circ$. Then, if we favor the $30^\circ$ spheric cap as the effective seismic area, the “Sumatran polar shift” as well the corresponding inertia increment and Stokes coefficients admit the values reported in table 1.

<table>
<thead>
<tr>
<th>$\Delta C_{21}$</th>
<th>$\Delta S_{21}$</th>
<th>$\Delta I_{13}$</th>
<th>$\Delta I_{23}$</th>
<th>Polar motion shift (mas)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$9.0 \times 10^{-13}$</td>
<td>$-7.0 \times 10^{-12}$</td>
<td>$-2.1 \times 10^{26}$</td>
<td>$1.7 \times 10^{27}$</td>
<td>$-0.25 \pm 0.1$</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$-2 \pm 0.5$</td>
</tr>
</tbody>
</table>

Table 1: Sumatra pole shift for $30^\circ$ spheric cap around the epicenter

**Effect on the length of day.** From equation (8) the length of day undergoes a constant variation:

$$\frac{\Delta LOD}{LOD_0} = \frac{\Delta I_{23}}{C}$$

(12)

On Fig. 6-A, the LOD increment is showed as a function of the arc radius of the spheric cap around the epicenter. We notice a continuous decreasing until $80^\circ$. The increment becomes stable from $120^\circ$ and reach constant value of $-13\mu s$. Error are computed in a way similar to those for polar shift and are also drawn on Fig. 6-A. We plot on Fig. 6-B the contribution on LOD of each $10^\circ$ radius spherical cap over the a $5^\circ \times 5^\circ$ latitude-longitude grid covering the Earth. Strongest fluctuations patterns appears in the same area as for polar motion. However a peculiar feature appears : negative increments in the eastern hemisphere and positive in the western ones.

Then, if we favor the $30^\circ$ spheric cap as the effective seismic area, the “Sumatran LOD change” as well the corresponding inertia increment and Stokes coefficient admit the values reported in table 2.
Figure 5: Pole shift in function of the spheric cap: x/y pole on increasing spheric caps centered around the epicenter (A); uncertainties on pole shift estimates (B); x pole on 10° spheric caps (C); y pole on 10° spheric caps (D);
Figure 6: LOD increment in function of the spheric cap: over increasing spheric caps centered around the epicenter (A); over 10° spheric caps (B)

<table>
<thead>
<tr>
<th>$\Delta C_{20}$</th>
<th>$\Delta I_{33}$</th>
<th>LOD increment</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2.8 \times 10^{-11}$</td>
<td>$-4.6 \times 10^{-7}$</td>
<td>$-5.0 \pm 1.5$</td>
</tr>
</tbody>
</table>

Table 2: Sumatran effect on the length of day

5 Discussion

Thanks to the data of the mission GRACE combined with those of LAGEOS, seismic effect on terrestrial rotation has been estimated independently from a geophysical model. Our results have to be compared to the ones derived by the classical approach, integrating seismic parameters into dislocation models. Such an estimation have been done by Bizouard (2005) (model of Dahlen, 1973) and Gross and Chao (2006). As shown in the table 3, both models give more or less convergent value for polar shift. The amplitude, according to the assimilated seismic parameters, can reach 1-2 mas, typical value that we also obtained for 20-30° spheric caps around the epicentre. Geophysical models give a prevalent effect for x component (along Greenwich meridian), whereas it is always the opposite for us (see Fig. 5-A). The geopotential approach contradict seismic modeling, which might be too simplistic. Therefore we call into question the modeling of seismic displacements or the observed seismic parameters.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$-0.7 + i 0.1$ mas</td>
<td>$-1.7 + i 0.45$ mas</td>
<td>$-0.7 + i 0.5$ mas</td>
<td>$-0.7 + i 0.5$ mas</td>
</tr>
</tbody>
</table>

Table 3: Sumatran effect on polar motion from seismic displacement modeling: C. Bizouard (2005) (two sets of seismic parameters) and Gross and Chao (2006).
The effect (≈ 1 mas) is well above the precision of the observations (0.05 mas). However it is not easily separable from other geophysical excitation on this date, that is why nobody could clearly highlight it. In the past the seisms of Chile (1960) and Alaska (1964) would have caused a shift of the pole higher than 10 mas (30 cm), that would have been easily detected by the current techniques.

For the length of the day Chao & Gross (2006) modeled the increment of axial inertia moment and obtained a drop of 2-6 μs confirmed by our result (5 μs). This effect is undetectable in the LOD, because the precision on this parameter is of 20 μs.

These results are founded on hypothesis that the earthquake caused permanent geoid displacement. Actually, post-seismic deformation can produce relaxation of the geoid. The extension of GRACE data will allow us to investigate post-seismic evolution. On the other hand, we could better isolate the seismic effect by modeling and removing the hydrologic influence on the gravity field.

This study is a bright demonstration of the richness of the observations of the satellites GRACE supplemented by those of satellites LAGEOS. They made possible to reconstitute the gravity field with a precision and a space resolution such as the global redistributions yesterday invisible have been lately “photographed”. Thus they lead to the possibility of constraining model of seismic displacement, and more generally determine internal mass motion. Besides this pure geophysical interest, they allow us to investigate episodic changes in Earth’s rotation.

Acknowledgments This study started when Sylvain Loyer (Centre National d’Etude Spatiale, CNES) showed us his rough determination of the Sumatran drop in geoid height. We also highly appreciate the help of his co-worker Jean-Michel Lemoine (CNES) for the GRACE data description.

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