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# The genomic substrate for adaptive radiation in African cichlid fish

David Brawand<sup>1,2\*</sup>, Catherine E. Wagner<sup>3,4\*</sup>, Yang I. Li<sup>2\*</sup>, Milan Malinsky<sup>5,6</sup>, Irene Keller<sup>4</sup>, Shaohua Fan<sup>7</sup>, Oleg Simakov<sup>7,8</sup>, Alvin Y. Ng<sup>9</sup>, Zhi Wei Lim<sup>9</sup>, Etienne Bezault<sup>10</sup>, Jason Turner-Maier<sup>1</sup>, Jeremy Johnson<sup>1</sup>, Rosa Alcazar<sup>11</sup>, Hyun Ji Noh<sup>1</sup>, Pamela Russell<sup>12</sup>, Bronwen Aken<sup>6</sup>, Jessica Alföldi<sup>1</sup>, Chris Amemiya<sup>13</sup>, Naoual Azzouzi<sup>14</sup>, Jean-François Baroiller<sup>15</sup>, Frederique Barloy-Hubler<sup>14</sup>, Aaron Berlin<sup>1</sup>, Ryan Bloomquist<sup>16</sup>, Karen L. Carleton<sup>17</sup>, Matthew A. Conte<sup>17</sup>, Helena D’Cotta<sup>15</sup>, Orly Eshel<sup>18</sup>, Leslie Gaffney<sup>1</sup>, Francis Galibert<sup>14</sup>, Hugo F. Gante<sup>19</sup>, Sante Gnerre<sup>1</sup>, Lucie Greuter<sup>3,4</sup>, Richard Guyon<sup>14</sup>, Natalie S. Haddad<sup>16</sup>, Wilfried Haerty<sup>2</sup>, Rayna M. Harris<sup>20</sup>, Hans A. Hofmann<sup>20</sup>, Thibaut Hourlier<sup>6</sup>, Gideon Hulata<sup>18</sup>, David B. Jaffe<sup>1</sup>, Marcia Lara<sup>1</sup>, Alison P. Lee<sup>9</sup>, Iain MacCallum<sup>1</sup>, Salome Mwaiko<sup>3</sup>, Masato Nikaido<sup>21</sup>, Hidenori Nishihara<sup>21</sup>, Catherine Ozouf-Costaz<sup>22</sup>, David J. Penman<sup>23</sup>, Dariusz Przybylski<sup>1</sup>, Michaelle Rakotomanga<sup>14</sup>, Suzy C. P. Renn<sup>10</sup>, Filipe J. Ribeiro<sup>1</sup>, Micha Ron<sup>18</sup>, Walter Salzburger<sup>19</sup>, Luis Sanchez-Pulido<sup>2</sup>, M. Emilia Santos<sup>19</sup>, Steve Searle<sup>6</sup>, Ted Sharpe<sup>1</sup>, Ross Swofford<sup>1</sup>, Frederick J. Tan<sup>24</sup>, Louise Williams<sup>1</sup>, Sarah Young<sup>1</sup>, Shuangye Yin<sup>1</sup>, Norihiro Okada<sup>21,25</sup>, Thomas D. Kocher<sup>17</sup>, Eric A. Miska<sup>5</sup>, Eric S. Lander<sup>1</sup>, Byrappa Venkatesh<sup>9</sup>, Russell D. Fernald<sup>11</sup>, Axel Meyer<sup>7</sup>, Chris P. Ponting<sup>2</sup>, J. Todd Streebman<sup>16</sup>, Kerstin Lindblad-Toh<sup>1,26</sup>, Ole Seehausen<sup>3,4</sup> & Federica Di Palma<sup>1,27</sup>

**Cichlid fishes are famous for large, diverse and replicated adaptive radiations in the Great Lakes of East Africa. To understand the molecular mechanisms underlying cichlid phenotypic diversity, we sequenced the genomes and transcriptomes of five lineages of African cichlids: the Nile tilapia (*Oreochromis niloticus*), an ancestral lineage with low diversity; and four members of the East African lineage: *Neolamprologus brichardi/pulcher* (older radiation, Lake Tanganyika), *Metriaclima zebra* (recent radiation, Lake Malawi), *Pundamilia nyererei* (very recent radiation, Lake Victoria), and *Astatotilapia burtoni* (riverine species around Lake Tanganyika). We found an excess of gene duplications in the East African lineage compared to tilapia and other teleosts, an abundance of non-coding element divergence, accelerated coding sequence evolution, expression divergence associated with transposable element insertions, and regulation by novel microRNAs. In addition, we analysed sequence data from sixty individuals representing six closely related species from Lake Victoria, and show genome-wide diversifying selection on coding and regulatory variants, some of which were recruited from ancient polymorphisms. We conclude that a number of molecular mechanisms shaped East African cichlid genomes, and that amassing of standing variation during periods of relaxed purifying selection may have been important in facilitating subsequent evolutionary diversification.**

Wide variation in the rates of diversification among lineages is a feature of evolution that has fascinated biologists since Darwin<sup>1,2</sup>. With approximately 2,000 known species, hundreds of which coexist in individual African lakes, cichlid fish are amongst the most striking examples of adaptive radiation, the phenomenon whereby a single lineage diversifies into many ecologically varied species in a short span of time<sup>3</sup> (Fig. 1). The largest radiations, which in Lakes Victoria, Malawi and Tanganyika, have generated between 250 (Tanganyika) and 500 (Malawi and Victoria) species per lake, took no more than 15,000 to 100,000 years for Victoria and less than 5 million years for Malawi<sup>3–5</sup>, but 10–12 million years for Lake Tanganyika<sup>6</sup>. The radiations in Lake Victoria and Malawi thus display the highest sustained rates of speciation known to date in vertebrates<sup>7</sup>. The evolution of these lineages and their genomes has presumably been

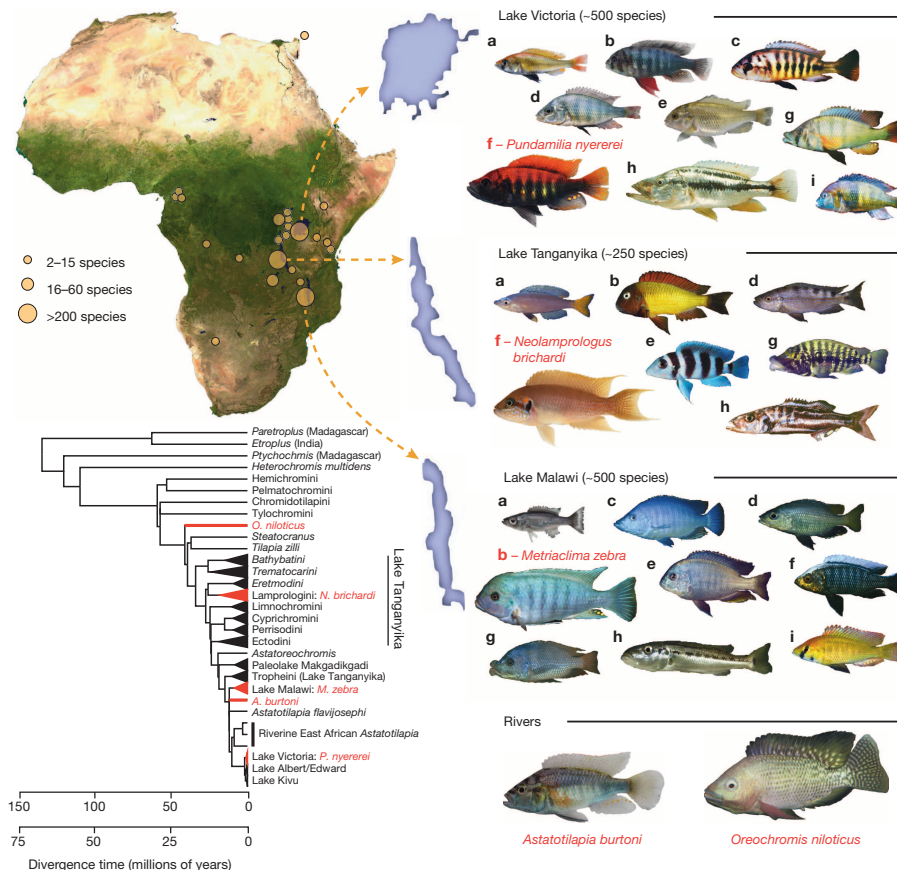
shaped by cycles of population expansion, fragmentation and contraction as lineages colonized lakes, diversified, collapsed when lakes dried up, and re-colonized lakes, and by episodic adaptation to a multitude of ecological niches coupled with strong sexual selection. Genetic diversity within lake radiations has been influenced by admixture following multiple colonization events and periodic infusions through hybridization<sup>8,9</sup>.

Cichlid phenotypic diversity encompasses variation in behaviour, body shape, coloration and ecological specialization. The frequent occurrence of convergent evolution of similar ecotypes (Fig. 1) suggests a primary role of natural selection in shaping cichlid phenotypic diversity<sup>10,11</sup>. In addition, the importance of sexual selection is demonstrated by a profusion of exaggerated sexually dimorphic traits like male nuptial colour and elaborate bower building by males<sup>3</sup>. Ecological and sexual selection

<sup>1</sup>Broad Institute of MIT and Harvard, Cambridge, Massachusetts 02142, USA. <sup>2</sup>MRC Functional Genomics Unit, University of Oxford, Oxford OX1 3QX, UK. <sup>3</sup>Department of Fish Ecology and Evolution, Eawag Swiss Federal Institute of Aquatic Science and Technology, Center for Ecology, Evolution & Biogeochemistry, CH-6047 Kastanienbaum, Switzerland. <sup>4</sup>Division of Aquatic Ecology, Institute of Ecology & Evolution, University of Bern, CH-3012 Bern, Switzerland. <sup>5</sup>Gurdon Institute, Cambridge CB2 1QN, UK. <sup>6</sup>Wellcome Trust Sanger Institute, Hinxton CB10 1SA, UK. <sup>7</sup>Department of Biology, University of Konstanz, D-78457 Konstanz, Germany. <sup>8</sup>European Molecular Biology Laboratory, 69117 Heidelberg, Germany. <sup>9</sup>Institute of Molecular and Cell Biology, A\*STAR, 138673 Singapore. <sup>10</sup>Department of Biology, Reed College, Portland, Oregon 97202, USA. <sup>11</sup>Biology Department, Stanford University, Stanford, California 94305-5020, USA. <sup>12</sup>Division of Biology and Biological Engineering, California Institute of Technology, Pasadena, California 91125, USA. <sup>13</sup>Benaroya Research Institute at Virginia Mason, Seattle, Washington 98101, USA. <sup>14</sup>Institut Génétique et Développement, CNRS/University of Rennes, 35043 Rennes, France. <sup>15</sup>CIRAD, Campus International de Baillarguet, TA B-110/A, 34398 Montpellier cedex 5, France. <sup>16</sup>School of Biology, Georgia Institute of Technology, Atlanta, Georgia 30332-0230, USA. <sup>17</sup>Department of Biology, University of Maryland, College Park, Maryland 20742, USA. <sup>18</sup>Animal Genetics, Institute of Animal Science, ARO, The Volcani Center, Bet-Dagan, 50250 Israel. <sup>19</sup>Zoological Institute, University of Basel, CH-4051 Basel, Switzerland. <sup>20</sup>Department of Integrative Biology, Center for Computational Biology and Bioinformatics; The University of Texas at Austin, Austin, Texas 78712, USA. <sup>21</sup>Department of Biological Sciences, Tokyo Institute of Technology, Tokyo, 226-8501 Yokohama, Japan. <sup>22</sup>Systématique, Adaptation, Evolution, National Museum of Natural History, 75005 Paris, France. <sup>23</sup>Institute of Aquaculture, University of Stirling, Stirling FK9 4LA, UK. <sup>24</sup>Carnegie Institution of Washington, Department of Embryology, 3520 San Martin Drive Baltimore, Maryland 21218, USA. <sup>25</sup>National Cheng Kung University, Tainan City, 704 Taiwan. <sup>26</sup>Science for Life Laboratory, Department of Medical Biochemistry and Microbiology, Uppsala University, 751 23 Uppsala, Sweden.

<sup>27</sup>Vertebrate and Health Genomics, The Genome Analysis Centre, Norwich NR18 7UH, UK.

\*These authors contributed equally to this work.



**Figure 1 | The adaptive radiation of African cichlid fish.** Top left, map of Africa showing lakes in which cichlid fish have radiated. Right, the five sequenced species: *Pundamilia nyererei* (endemic of Lake Victoria); *Neolamprologus brichardi* (endemic of Lake Tanganyika); *Metriaclima zebra* (endemic of Lake Malawi); *Oreochromis niloticus* (from rivers across northern Africa); *Astatotilapia burtoni* (from rivers connected to Lake Tanganyika). Major ecotypes are shown from each lake: **a**, pelagic zooplanktivore; **b**, rock-dwelling algae scraper; **c**, paedophage (absent from Lake Tanganyika); **d**, scale eater; **e**, snail

converge in the cichlid visual system, where trichromatic colour vision, eight different opsin genes and novel spherical lenses promote sensitivity in the highly dimensional visual world of clear-water lakes<sup>12–14</sup>. Rapidly evolving sex determination systems, often linked to male and female colour patterns, may also speed cichlid diversification<sup>15,16</sup>. Ecological, social and behavioural variation correlates with striking diversity in brain structures<sup>17</sup> that appears early in development<sup>18</sup>.

Exceptional phenotypic variation, even among closely related species, makes cichlids different from most other fish groups, including those that share the same habitats with them but have not diversified as much, as well as those that have radiated into much smaller species flocks in northern temperate lakes<sup>19</sup>. However, how cichlids evolve in this exceptionally highly dimensional phenotype space remains unexplained.

We sequenced the genomes of five representative cichlid species from throughout the East African haplo-tilapia lineage (Extended Data Fig 1a), which gave rise to all East African cichlid radiations. These five lineages diverged primarily through geographical isolation, and three of them subsequently underwent adaptive radiations in the three largest lakes of Africa (Fig. 1). Here we describe the comparative analyses of the five genomes coupled with an analysis of the genetic basis of species divergence in the Lake Victoria species flock to examine the genomic substrate for rapid evolutionary diversification.

### Accelerated gene evolution

To assess whether accelerated sequence evolution was a general feature of East African cichlids, we annotated the genomes of all five cichlids

crusher; **f**, reef-dwelling planktivore; **g**, lobe-lipped insect eater; **h**, pelagic piscivore; **i**, ancestral river-dweller also found in lakes (absent from Lake Tanganyika). Bottom left, phylogenetic tree illustrating relationships between the five sequenced species (red), major adaptive radiations and major river lineages. The tree is from ref. 4, pruned to the major lineages. Upper timescale (4), lower timescale (32). Photos by Ad Konings (Tanganyika **a**, **b**, **d**, **e**, **g**, **h**; Malawi **a**, **c**, **d**, **e**, **f**, **g**, **h**, **i**), O.S. (Victoria **a**–**g**, **i**; Malawi **b**), Frans Witte (Victoria **h**), W.S. (Tanganyika **f**), Oliver Selz (Victoria **f**, *A. burtoni*), Marcel Haesler (*O. niloticus*).

(Extended Data Fig. 1a) and estimated the nonsynonymous/synonymous nucleotide substitution (dN/dS) ratio by sampling the concatenated alignments of all genes annotated with particular gene ontology (GO) terms. An elevated rate of nonsynonymous nucleotide substitutions can indicate accelerated evolution (either due to relaxed constraint or positive selection); this approach has been applied previously in the context of cichlid vision<sup>13</sup> and morphology<sup>20,21</sup>. We obtained significantly higher dN/dS ratios in *O. niloticus* (89 terms) compared to stickleback (11 terms), but considerably higher ranks still in the lineages of the East African radiation, haplochromines (299 terms) and *N. brichardi* (254 terms), (Extended Data Fig. 1b). In general, terms involved in morphological and developmental processes ranked significantly higher in haplochromines than in *O. niloticus* ( $P$  value = 0.036, Mann–Whitney  $U$ -test).

Amongst protein-coding genes with an increased number of nonsynonymous variants in haplochromines compared to *N. brichardi* and *O. niloticus*, two developmental genes, *nog2* and *bmpr1b*, emerged showing haplochromine-specific substitutions. This result is notable given that three genes, a ligand (*bmp4*)<sup>21</sup>, a receptor (*bmpr1b*) and an antagonist (*nog2*) in the BMP pathway, all known to influence cichlid jaw morphology, show accelerated rates of protein evolution in haplochromine cichlids.

Of 22 candidate genes previously identified in teleost morphogenesis, vision and pigmentation, three are predicted to have undergone accelerated evolution in the common ancestors of the East African radiations suggesting a role in the diversification of cichlids: endothelin receptor type B1 (*ednrb1*) affects colour patterning<sup>22</sup> and perhaps pharyngeal jaw

development (Extended Data Fig. 2); green-sensitive opsin (*kfh-g*) and Rhodopsin (*rho*) are proteins important in vision.

## Gene duplication

Gene duplication allows for subsequent divergent evolution of the resultant gene copies, enabling functional innovation of the proteins and/or expression patterns<sup>23</sup>. East African cichlids, including *Oreochromis niloticus*, possess an unexpectedly large number of gene duplicates. We find 280 duplications in the lineage leading to the common ancestor of the lake radiations and 148 events in the common ancestor of the haplochromines. When normalizing for branch lengths this corresponds to an approximately 4.5- to 6-fold increase in gene duplications that occurred in the common ancestor of the East African lake radiations relative to older clades, and an even higher duplication rate in the common ancestor of just the haplochromines (Fig. 2, Extended Data Fig. 3a–c).

Inferred duplication rates in ancestral populations exceeded those in the extant taxa (Fig. 2). This could reflect the technical challenge of separating young, near-identical gene paralogues or true reduced rates in each lake radiation. Additionally, we could be underestimating lineage-specific rates of duplication owing to the sampling of a single species per radiation, if duplications accumulate during speciation but only some become fixed.

Cichlid-specific gene duplicates do not show statistically significant enrichment for particular gene categories (Supplementary Information). Expansion of the olfactory receptor gene family, which is a frequent feature of vertebrate evolution<sup>24</sup>, was also seen in *O. niloticus*, but not in any of the lake cichlids (Extended Data Fig. 4; Supplementary Information). Retained duplicated genes are known to often diverge in function through neo- or subfunctionalization<sup>25</sup>, and this has been suggested as part of the reason why bony fish generally are so species-rich (more than 50% of all known species of vertebrates are fish). Moreover, differential retention of alternative copies of duplicated genes through the process of divergent resolution has been suggested to promote speciation rates directly<sup>26</sup>.

Differences in the expression patterns of duplicate genes may contribute to evolutionary divergence of species. The expression patterns of 888 duplicate gene pairs from the common ancestor of the East Africa cichlids were categorized according to whether they are expressed widely among tissues (52.8%), are similarly restricted in their expression patterns for both gene copies (26.6%), or, in at least one gene copy, have newly gained expression in one or more tissues (20.6%). 7.5% of duplicates lost or gained complete tissue specificity, many (43%) of which

have gained specific expression in the testis. In each of the stomatin and *RNF141* gene pairs, one gene copy is broadly expressed whereas expression of the other is restricted to the testis (Extended Data Fig. 3d). *RNF141* is the zebrafish orthologue of the human *ZNF230*, a transcription factor suggested to have a role during spermatogenesis. This observation is particularly interesting in the context of strong sexual selection<sup>14</sup> observed in many East African cichlids<sup>15,16</sup>, including our sequenced species with the exception of *N. brichardi*.

## Transposable element insertions alter gene expression

As in other teleosts, approximately 16–19% of the four East African cichlid genomes consist of transposable elements (TEs), and over 60% of cichlid TEs are DNA transposons (Extended Data Fig. 5; Supplementary Information). Three waves of TE insertions were detected in each of the cichlid genomes (Extended Data Fig. 6a–f), including a cichlid-specific burst of the Tigger family<sup>27</sup>. Notably, this TE family has continued expanding in the youngest radiation, Lake Victoria (Extended Data Fig. 6a).

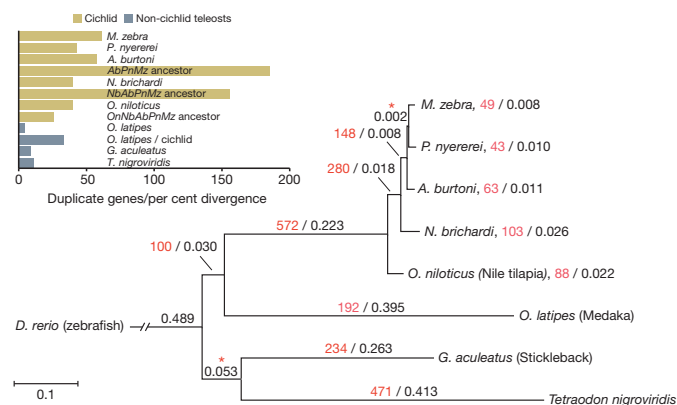
We analysed the distribution of TE insertions near the 5' untranslated region (5' UTR; 0–20 kilobases upstream), or 3' UTR (0–20 kb downstream) of orthologous gene pairs. We find that genes with TE insertions near the 5' UTRs are significantly associated with increased gene expression in all tissues (false discovery rate (FDR) < 0.05, Mann–Whitney test, Extended Data Fig. 7a) compared to genes without TE insertions. In contrast, TE insertions near 3' UTRs are significantly associated with increased gene expression in all tissues except brain and skeletal muscle (FDR < 0.05, Mann–Whitney U-test).

Generally, when inserted within or near genes in the transcriptional sense orientation, TE insertions show the expected pattern of purifying selection. Such TEs often contain polyadenylation signals that result in transcriptional arrest<sup>27</sup>. In all five cichlid species, intronic TE insertions occur preferentially in the antisense orientation of protein-coding genes, with the strongest bias being observed for long terminal repeats (LTRs) or long interspersed nucleotide repetitive elements (LINEs) (Extended Data Fig. 7b). As expected, intronic DNA transposons and LINEs or LTRs present in intergenic regions fail to show a significant orientation bias, and short interspersed nucleotide repetitive elements (SINE) show a moderate bias for sense insertions (Extended Data Fig. 7c).

Surprisingly, none of the five cichlid genomes showed any deficit of sense-oriented LINE insertions with approximately 15% divergence, which correspond to a time of transposable element insertions in the common ancestor of the haplo-tilapiine cichlids (Extended Data Fig. 7d). This suggests that ancestral East African cichlids went through an extended period of relaxed purifying selection during which overall TE activity increased (Extended Data Fig. 6a–f). However, in more recent history, haplochromine cichlids showed an increased efficiency in purging potentially deleterious TE insertions (Extended Data Fig. 7d).

## Divergence of regulatory elements

To identify potential regulatory sequences that have diverged among the East African cichlids, we first predicted conserved noncoding elements (CNEs)<sup>28</sup> in Nile tilapia and eight other teleosts using a 9-way alignment of teleost genomes (zebrafish, *Tetraodon*, stickleback, medaka and the five cichlids; Supplementary Information). We then identified 13,053 highly conserved noncoding elements (hCNEs) in tilapia and medaka. These are expected to be similarly conserved among the four East African lake cichlids as they shared a common ancestor with Nile tilapia more recently than with medaka. Among these hCNEs we searched for CNEs that exhibited significant changes (accelerated CNEs, aCNEs) (FDR-adjusted  $P < 0.05$ ). A total of 625 such aCNEs (4.8%) were found to have diverged in one or more of the East African lake cichlids. Whereas the majority of aCNEs (93%) have experienced a higher rate of nucleotide substitutions, approximately a quarter have also experienced insertions (23%) and/or deletions (32%), again suggesting relaxed purifying selection. The aCNEs are distributed in intergenic regions (70%), introns (28%) and UTRs (2%) of protein-coding genes (Supplementary information).



**Figure 2 | Gene duplication in the ancestry of East African lake cichlids.** Black numbers represents species divergence calculated as neutral genomic divergence between the sequenced species using ~2.7 million fourfold degenerate sites from the alignment of 9 teleost genomes. This neutral substitution model suggests ~2% pairwise divergence between the three haplochromines and a ~6% divergence to *N. brichardi*. Red numbers represent duplicated genes. Asterisks indicate excluded branches owing to incomplete lineage sorting in haplochromines or weak support of consensus species tree.

The largest number of aCNEs is found in *N. brichardi* ( $n = 214$ ), with lower numbers found in *A. burtoni* ( $n = 140$ ), *P. nyererei* ( $n = 129$ ) and *M. zebra* ( $n = 142$ ). Approximately 60% of the aCNEs ( $n = 370$ ) are accelerated in only one lineage. The remaining aCNEs have either accumulated mutations independently in several lineages, or their accelerated evolution was initiated in a common ancestor.

The majority of aCNEs in lake cichlids showed enrichment for nearby genes involved in 'homophilic cell adhesion' ( $P = 5.8 \times 10^{-4}$ ) and 'G-protein coupled receptor activity' ( $P = 6.4 \times 10^{-4}$ ). To verify the cis-regulatory function of these aCNEs, we assayed the ability of six selected aCNEs and their corresponding *O. niloticus* hCNEs to drive reporter gene expression in transgenic zebrafish. The assays not only indicated their potential to function as enhancers, but also demonstrated that aCNEs have altered the expression pattern compared to their homologous hCNEs, indicating their potential for altering expression of their target genes in a tissue-specific manner. We illustrate this with an example in Extended Data Fig. 8 (additional examples in Extended Data Fig. 9).

### Novel microRNAs alter gene expression

MiRNAs offer yet another effective way of altering gene expression programs. We identified 1,344 miRNA loci (259–286 per cichlid species) from deep sequencing of small RNAs in late stage embryos (Extended Data Fig. 10a). By comparing these loci with known teleost microRNAs (Supplementary Information) we discovered: (1) 40 cases of *de novo* miRNA emergence and nine cases of apparent miRNA loss; (2) four distinct mature miRNAs with mutation(s) in the seed sequence; (3) at least 9 cases of arm switching<sup>29</sup>, (4) one case of seed shifting<sup>29</sup>, and (5) 92 distinct miRNAs with mutation(s) outside the seed sequence.

We explored miRNA spatial expression patterns in one case of arm switching (*t\_mze-miR-7132a-5p* and *t\_mze-miR-7132a-3p*) and for four *de novo* miRNAs (Fig. 3 and Extended Data Fig. 10). In the case of arm switching, spatial expression of the miRNA is clearly differentiated between the two pairs, consistent with results described previously<sup>30</sup>. The spatial expression of the four *de novo* miRNAs (*miR-10029*, *miR10032*, *miR-10044*, *miR-10049*) is confined to specific tissues (for example, fins, facial

skeleton, brain) and is strikingly complementary to genes predicted to contain target sites for these miRNAs (*miR-10032* targets *neurod2*, and *miR-10029* targets *bmpr1b*). The *neurod2* gene is known to be involved in brain development and neural differentiation whereas *bmpr1b*, previously described amongst the fast evolving genes, is implicated in the development and morphogenesis of nearly all organ systems.

### Extensive shared polymorphisms

Owing to their relatively recent divergence time and the potential for gene flow between lakes<sup>8,9,31</sup>, we predicted widespread incomplete lineage sorting (ILS) among haplochromine cichlids. We found that nearly half (43%) of the nucleotides sequenced are incompletely sorted amongst the three haplochromines (Fig. 4a). Furthermore, assuming a constant mutation rate, and an *A. burtoni*–*M. zebra*–*P. nyererei* speciation event ~10 million years ago (Myr ago) (ranging from 7 Myr ago to 15 Myr ago depending on whether Gondwana rifting dates are included or excluded from calibration<sup>32</sup>), we predict the subsequent speciation event between the lineages to which *M. zebra* and *P. nyererei* belong to about 8.5 Myr ago (Supplementary Information). The degree of ILS is highly variable across chromosomes. Compared to intergenic regions, coding regions were found to be slightly, yet significantly, depleted in ILS (43.5% vs 41.0%,  $P < 0.001$ ). Reduction of ILS in coding versus noncoding regions in allopatric lineages of haplochromine cichlids is less than that found in the similarly divergent primate trio, gorilla–chimpanzee–human (30% vs 22%)<sup>33</sup>. This suggests that natural selection has been a more efficient force on primate genomes than on the allopatrically diverging genomes of the haplochromine cichlid lineages, with important implications for genetic diversity in the radiations to which these lineages gave rise.

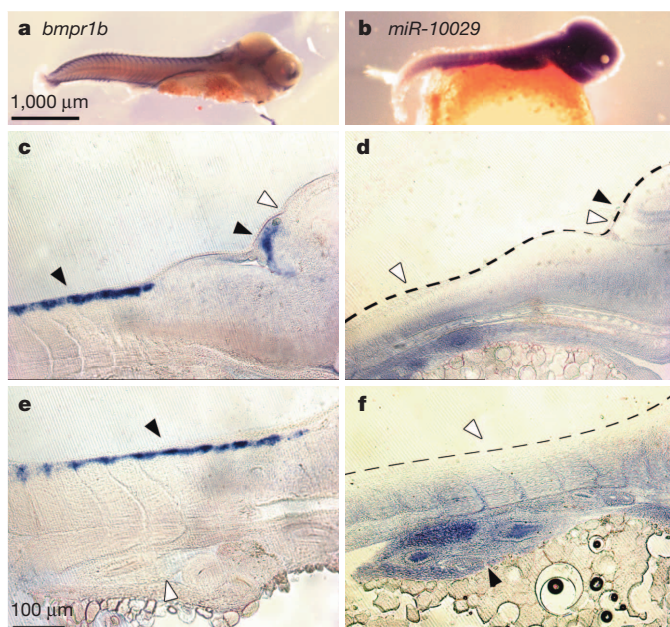
### Lake Victoria, a recent evolutionary radiation

Cichlid fish adaptive radiation is characterized by rapid speciation without geographical isolation. In Lake Victoria, several hundred endemic species emerged within the past 15,000–100,000 years<sup>34</sup>. We analysed patterns of genome-wide genetic variation in six sympatric and closely related species of the genera *Pundamilia*, *Mbipia* and *Neochromis*, all of which are endemic to Lake Victoria. We used the *P. nyererei* genome to investigate the pattern and magnitude of genomic differentiation in pairwise species comparisons. We then further characterized the regions of genomic differentiation to learn about: (1) the genomic distribution of divergent sites putatively under selection; (2) their nature (coding vs regulatory); (3) whether diversification occurred by selection on old standing variation, newer mutations or both.

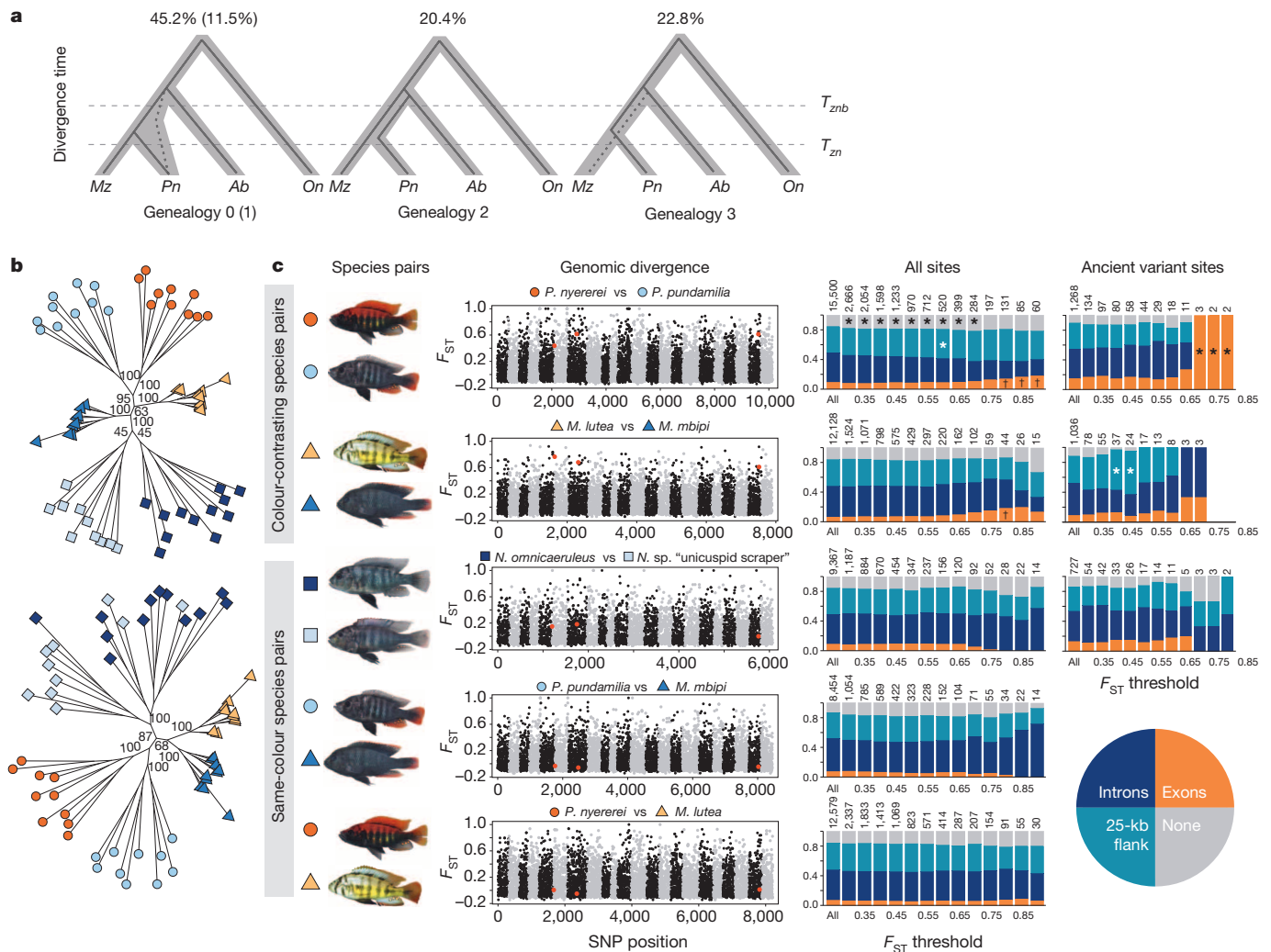
### Divergent selection on many genes

Analyses of restriction-site-associated DNA (RAD) data showed that the average genome-wide divergence was significant in all pairwise species comparisons ( $P < 0.001$ ). In each pairwise comparison, we find many SNPs with high fixation index ( $F_{ST}$ ) values distributed across all chromosomes (Fig. 4c). In each pair, 250 to 439 of these SNPs constitute significant outliers from the  $F_{ST}$  distribution (FDR < 5%; Fig. 4c), and BAYESCAN results indicate numerous loci under selection. Phylogenetic trees reconstructed from the concatenated RAD sequence data resolve species with high bootstrap support<sup>35</sup>, and loci putatively under selection play a strong role in differentiating species (Fig. 4b). Taken together, these results suggest that even the most recent rapid speciation in African lake cichlids is associated with genomically widespread divergence. Fixation of alternative alleles between species happens but is restricted to a minority of the many divergent loci, consistent with models of polygenic adaptation from standing genetic variation<sup>36</sup>.

We used the annotated *P. nyererei* reference genome to identify genes that diverged during and soon after speciation for three sister species pairs and two pairs of more distant relatives (Fig. 4c). We annotated all SNPs according to their positions in exons and potential cis-regulatory elements (in introns and 25 kb either side of genes), and analysed the proportion of SNPs in each category over increasing  $F_{ST}$ . In both pairs of sister species that differ primarily in male breeding coloration, the



**Figure 3 | Novel cichlid microRNAs.** a–f, Complementary expression of *mir-10029* (b, d, f) and its predicted target gene *bmpr1b* (a, c, e) in stage 18 (6 days post-fertilization) *Metriaclicma zebra* embryos. c–f are 18- $\mu$ m sagittal sections. In c and d arrows point to expression (black) or lack of expression (white) in the somites, presumptive cerebellum, and optic tectum (from left to right). In e and f, arrows point to expression and lack of expression in the somites (dorsal) and the gut (ventral). In all panels, anterior is to the right.



**Figure 4 | Genomic divergence stems from incomplete lineage sorting (ILS) and both old and novel coding and noncoding variation.** **a**, Coalescence times and trees supporting ILS among the genomes of allopatric East African cichlid lineages were inferred by coalHMM. The most common genealogy matches the known species tree and represents a *M. zebra*–*P. nyererei* coalescence that falls between the two speciation times,  $T_{zn}$  (speciation *M. zebra*–*P. nyererei*) and  $T_{znb}$  (speciation *M. zebra*–*P. nyererei*–*A. burtoni*). In genealogies 1 (dashed line), 2, and 3, all coalescence events are ancient and occur before time  $T_{znb}$ . **b**, Phylogenetic analysis of RAD-sequence data showing well-supported differentiation among young Victoria species. The complete data set (top) renders the genus *Mbipia* non-monophyletic, exclusion of the top 1% divergent loci (bottom) supports monophyly of each genus. **c**, Genomic divergence in paired comparisons of Lake Victoria cichlids (per-site  $F_{ST}$ ; black/grey are chromosomes). Sister species from top: *Pundamilia*

*nyererei*/*P. pundamilia* and *Mbipia lutea*/*M. mbipi* differ in male breeding coloration but have conserved morphology; *Neochromis omnicaeruleus*/*N. sp. "unicuspid scraper"* and distant relatives *P. pundamilia*/*M. mbipi* and *P. nyererei*/*M. lutea* have similar coloration but differ in morphology. Red-highlighted SNPs indicate significantly divergent sites between colour-contrasting species, but not between same-colour species. Bar plots show the proportion of SNPs in four annotation categories: exons (orange), introns (dark blue), 25-kb flanking genes (turquoise), or none of the above (grey), for thresholds of increasing  $F_{ST}$ . In "All sites" and "Ancient variant sites" analyses, symbols indicate an excess of SNPs in a given annotation category compared to expectations from the full data set or from all non-ancient variant sites, respectively (FDR  $q$ -values: \* $q < 0.05$ ; † $q = 0.05$ ), (Supplementary Information, Data Portals, Supplementary Population Genomics FTP files).

proportion of SNPs in exons increases from  $<10\%$  in the full set of SNPs, to  $>18\%$  at highly divergent SNPs. In the species that have diverged primarily in morphology, we find no exonic variants among highly divergent SNPs, and an increasing proportion of SNPs in introns with increasing  $F_{ST}$  (Fig. 4c).

These data suggest contrasting genomic mechanisms underlying phenotypic evolution depending on whether speciation is driven primarily by divergence of coloration and associated traits or by divergence of morphology associated with feeding ecology. This supports two predictions from evolutionary developmental biology<sup>37</sup>: (1) variation in coding sequence is most likely to be involved in the divergence of physiological and/or terminally differentiated traits like colour; (2) regulatory variation is more important in morphological changes involving genes that have pleiotropic effects in developmental networks.

For the *Pundamilia* species pair, putative regulatory SNPs with  $F_{ST}$  values significantly greater than zero show enrichment in conserved transcription factor binding sites and PhastCon elements (conserved elements across 46 vertebrate species), supporting a regulatory role for these variants. GO term enrichment analyses indicate that exonic SNPs are associated with metabolism and biosynthesis processes, while putative regulatory SNPs are associated with terms related to morphogenesis and development.

Comparing  $F_{ST}$  for each SNP in all six pairwise comparisons of the *Mbipia* and *Pundamilia* species revealed 3 candidate regulatory SNPs on LG6, 7 and 22 that are highly divergent in all comparisons of species with different colours, but not significantly differentiated between species with similar colours (Fig. 4c). The SNP on LG7 falls within a known quantitative trait locus (QTL) interval for yellow versus blue colour (and

sex determination) in Malawi cichlids<sup>15</sup>. None of these SNPs are fixed differences between species, suggesting polygenic adaptation.

### Sorting of ancient polymorphisms

To investigate whether ancient genetic variation, predating the origin of the Lake Victoria species flock, was an important source of alleles that are divergently sorted during speciation, for SNPs in each of the three Victoria sister species pair comparisons, we identified orthologous sites among the four other cichlid genomes. We find 14–15% of all Victoria SNPs are also variable among the other cichlid genomes. Among these ‘ancient variants’, the proportion of SNPs in exons increases from 9–15% among all sites to 30–100% at highly divergent SNPs in both pairs of sister species that differ primarily in male breeding coloration (Fig. 4c). Among the ancient exonic variants that became fixed in the red/blue *Pundamilia* speciation event is *srd5a2b*, a teleost-specific duplicate of *srd5a2* which, in mammals, converts testosterone to dihydrotestosterone and has been implicated in sexual differentiation<sup>38</sup>. In the blue sister species that have diverged primarily in morphology, two ancient variants in potential *cis*-regulatory regions are highly divergent despite incomplete reproductive isolation among these incipient species<sup>39</sup> (Fig. 4b). We compared the proportions of putative ancient variants to all SNPs between annotation categories, and find evidence for higher proportions of ancient variants in gene-associated regions than in non-genic regions (likelihood ratio tests on  $2 \times 2$  contingency tables; exons: *Pundamilia*  $P = 0.016$ , *Neochromis*  $P = 0.015$ ; flanking regions: *Pundamilia*  $P = 0.020$ ; all other  $P > 0.1$ ).

These analyses suggest that the genomic substrate for adaptive radiation includes ample coding and regulatory polymorphism, likely to be present well before the start of the radiations, some of which became subsequently sorted during species divergence.

### Conclusions

In African lakes, nearly 1,500 new species of cichlid fish evolved in a few million years when environmentally determined opportunity for sexual selection and ecological niche expansion<sup>4</sup> was met by an evolutionary lineage with unusual potential to adapt, speciate and diversify. Our analyses of five cichlid species representing five different lineages in the haplo-tilapiine clade, some of which gave rise to radiations, and of six closely related species from the most recent radiation, shed light into the complex genomic mechanisms that may give East African cichlids their unusual propensity for diversification.

We provide evidence for accumulation of genetic variation under relaxed constraint preceding radiation and involving multiple evolutionary mechanisms, including accelerated evolution of regulatory and coding sequence, increased gene duplication, TE insertions, novel miRNAs and retention of ancient polymorphisms, possibly including interspecific hybridization. In addition, our data on genomic divergence within the Lake Victoria species flock suggest that adaptive radiation within the lakes is associated with divergent selection on many regions in the genome, both coding and regulatory, often recruiting old alleles from standing variation.

We conclude that neutral and adaptive processes both make important contributions to the genetic basis of cichlid radiations, but their roles are distinct and their relative importance has changed through time: neutral (and non-adaptive) processes seem to have been crucial to amassing genomic variation, whereas selection subsequently sorted some of this variation. The interaction of both is likely to have been necessary for generating many and diverse new species in very short periods of time.

**Online Content** Methods, along with any additional Extended Data display items and Source Data, are available in the online version of the paper; references unique to these sections appear only in the online paper.

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**Supplementary Information** is available in the online version of the paper.

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**Author Contributions** T.D.K., R.D.F., A.M., O.S., J.T.S., K.L.C., N.O., J.-F.B., D.J.P. and H.A.H. conceived the original tilapia white paper. F.D.P., K.L.-T. and E.S.L. revised, planned and oversaw the genome project. D.J.P., W.S., H. S. G., M.E.S., O.S., K.L.C., T.D.K., G.H., O.E. and H.A.H. provided tissues and RNAs for sequencing. C.A. prepared the high molecular weight tilapia DNA. M.L. extracted genomic DNA for sequencing. L.W. prepared 40-kb libraries (Fossils) for Illumina sequencing. R.S. performed quality control of RNA. J.A., J.J. and F.D.P. oversaw the sequencing and assembly of genomes and transcriptomes as well as submissions of data. J.T.M. and P.R. performed quality control of assemblies and alignments of genomes. J.M.T. performed *de novo* assembly of transcriptomes. M.C. performed quality control of tilapia and *M. zebra* assemblies. A.B., Sa.Y., I.M., S.G., D.P., F.J.R., T.S., Sh.Y. and D.B.J. assembled the genome. F.G., R.G., M.R., J.-F.B., H.D’C., C.O.-C. contributed to the tilapia radiation hybrid map. F.B.-H. and N.A. analysed the *OR* and *TAAR* gene families. B.A., T.H. and S.S. annotated the tilapia genome. D.B. and Y.I.L. annotated the *N. brichardi* and the lake cichlids. D.B. performed gene expression, genome evolution, gene duplication and TE insertion analyses. Y.I.L. and L. S.-P. performed quality control of RNA-seq data and assemblies, gene evolution, incomplete

lineage sorting and ancient variant analyses. S.F., Oleg S. and A.M., N.O., M.N. and H.N. analysed the TE landscape of cichlid genomes. S.F., Oleg S. and A.M. performed the TE burst history analysis and analysed copy number variants using read depth. E.B. and S.C.P.R. analysed duplications by comparative genomic hybridization (aCGH). H.A.H. and R.M.H. performed PCR to validate the transcriptome. A.Y.N., Z.W.L., A.P.L. and B.V. performed conserved CNE analysis and functional assays of cichlid CNEs. M.M. and E.M. performed microRNA sequencing and annotation from embryos of cichlid species as well as target identification. R.A., F.J.T. and R.D.F. annotated adult brain microRNAs in *A. burtoni*. R.B., N.S.H. and J.T.S. performed microRNA and target gene *in situ* hybridization. O.S. designed and oversaw the population genomics data analysis from Lake Victoria species; L.G., S.M. and I.K. generated the data; C.E.W., I.K., H.J.N. and O.S. analysed the data. F.D.P., K.L.-T. and O.S. wrote the manuscript with input from D.B., C.E.W. and Y.I.L., I.K., J.T.S., W.H., C.P.P. as well as additional authors. L.G. assisted with figure preparation and coordination.

**Author Information** Genome assemblies and transcriptomes have been deposited in GenBank. The BioProject Identifiers are as follows. Genome sequencing: PRJNA59571 (SRP004171) for *O. niloticus*; PRJNA60365 (SRP004799) for *N. brichardi*; PRJNA60367 (SRP004869) for *P. nyererei*; PRJNA60369 (SRP004788) for *M. zebra*; and PRJNA60363 (SRP004787) for *A. burtoni*. Transcriptome sequencing (mRNAs): PRJNA78915 for *O. niloticus*; PRJNA77747 for *N. brichardi*; PRJNA83153 for *P. nyererei*; PRJNA77743 for *M. zebra*; and PRJNA78185 for *A. burtoni*. Additional SRA information for each tissue can be found in the Supplementary Informations. Transcriptome sequencing (microRNAs): PRJNA221867 (SRS489376) for *O. niloticus*; PRJNA222491 (SRS491903) for *N. brichardi*; PRJNA222489 (SRS491906) for *P. nyererei*; PRJNA221871 (SRS491904) for *M. zebra*; and PRJNA222490 (SRS491905) for *A. burtoni*. Cichlid microRNAs were deposited in miRBase. Reprints and permissions information is available at [www.nature.com/reprints](http://www.nature.com/reprints). The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to F.D.P. (Federica.di-palma@tgac.ac.uk), K.L.-T. (Kersli@broadinstitute.org), J.T.S. (todd.streelman@biology.gatech.edu), and O.S. (ole.seehausen@eawag.ch).

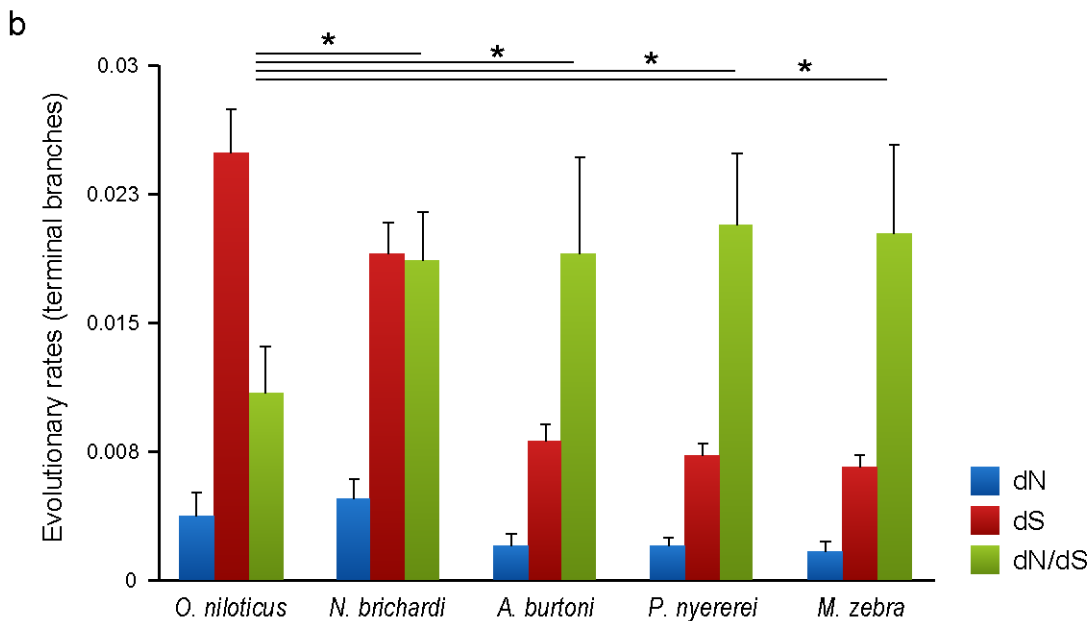


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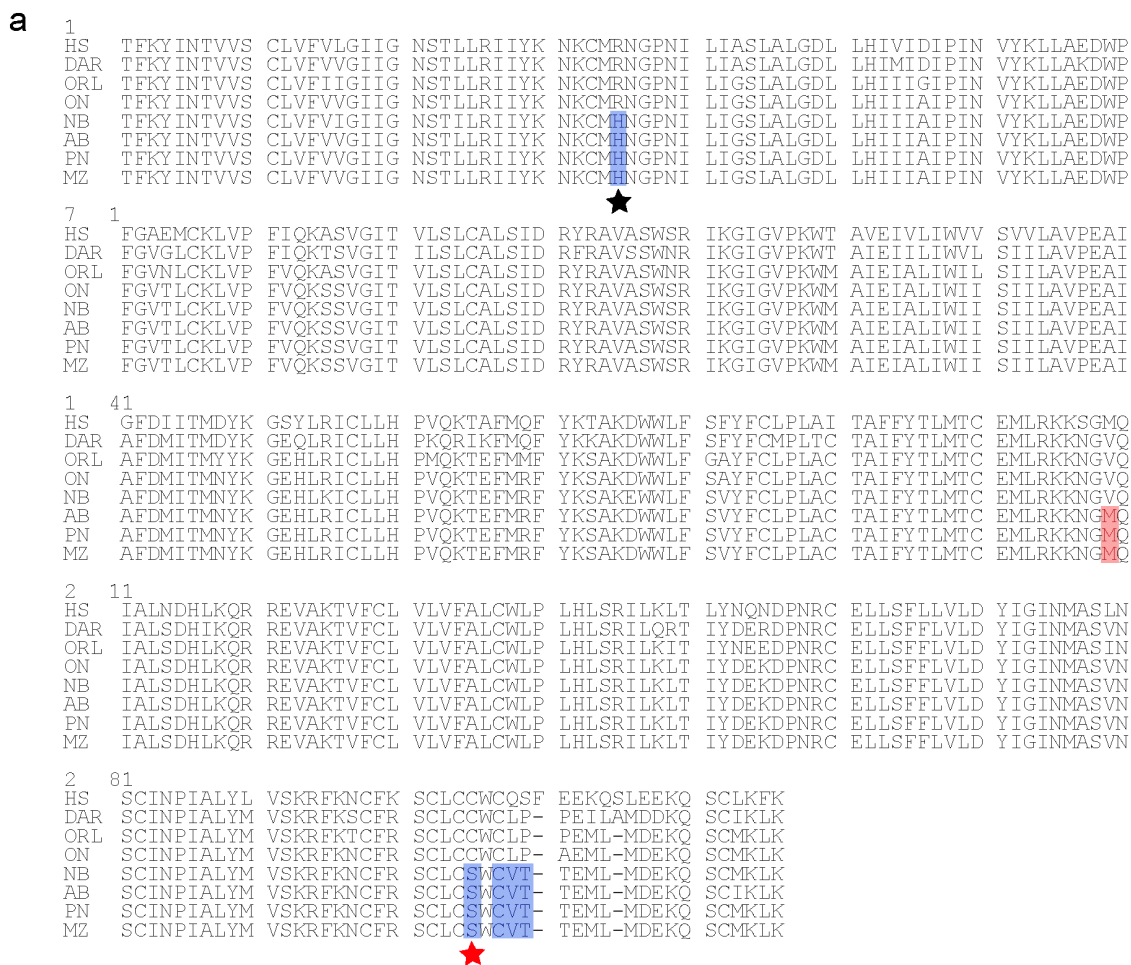
**a** Genome assembly and annotation

Locality	African Riverine		Lake Victoria	Lake Tanganyika	Lake Malawi	<i>Latimeria chalumnae</i>	<i>Gasteosteus aculeatus</i>
Species	<i>O. niloticus</i>	<i>A. burtoni</i>	<i>P. nyererei</i>	<i>N. brichardi</i>	<i>M. zebra</i>		
Estimated genome size (Gb)	1.01	0.923	0.993	0.98	0.946	2.85	0.53
Contig N50 (kb)	29.3	21.9	22.6	13.2	20	12.7	83.2
Scaffold N50 (Mb)	2.8	1.2	2.5	4.4	3.7	0.92	10.8
Sequence coverage (X)	269	131	126	171	128	77.5	(Sanger) 9
% repetitive by 48-mers	13.66	7.65	8.41	10.02	9.24	15.1	25.2
% GC content	40.42	40.51	40.6	40.44	40.54	41.15	44.6
Heterozygosity	1 / 4365	1 / 976	1 / 729	1 / 365	1 / 1029	1 / 435	1 / 700
Protein-coding gene count	24,559	23,436	20,611	20,119	21,673	19,033	20,787



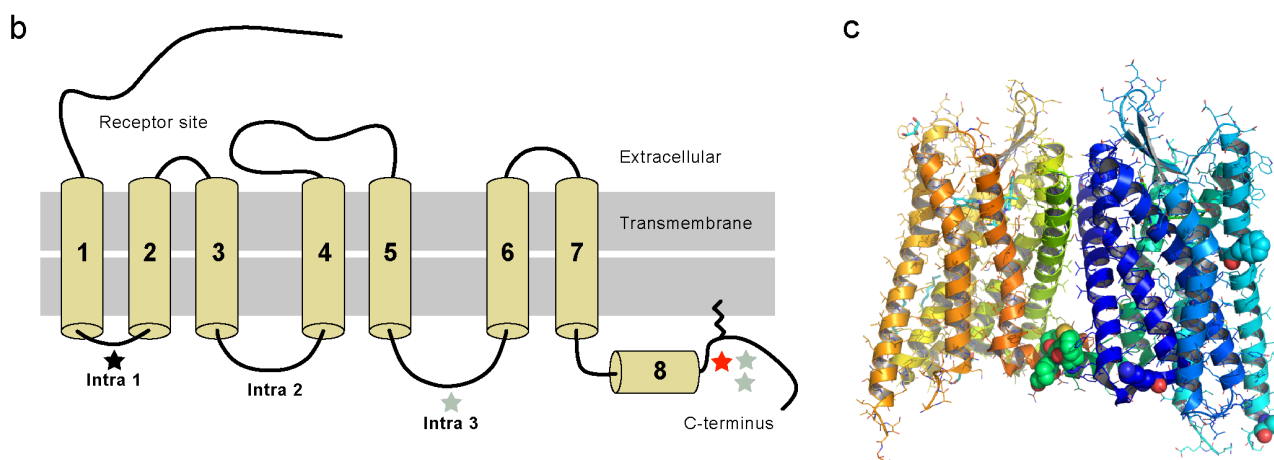
**Extended Data Figure 1 | Genome assembly and evolutionary rates.**  
**a**, Genome assembly and annotation. **b**, Genome-wide dN/dS. Rates are calculated from 20 resampled sets of 200 orthologous genes. Gene annotations

from interspecies projections (see Methods in Supplementary Information) were excluded from the data set.



★ Putative site required for SRF activation

★ Putative palmitoylation site

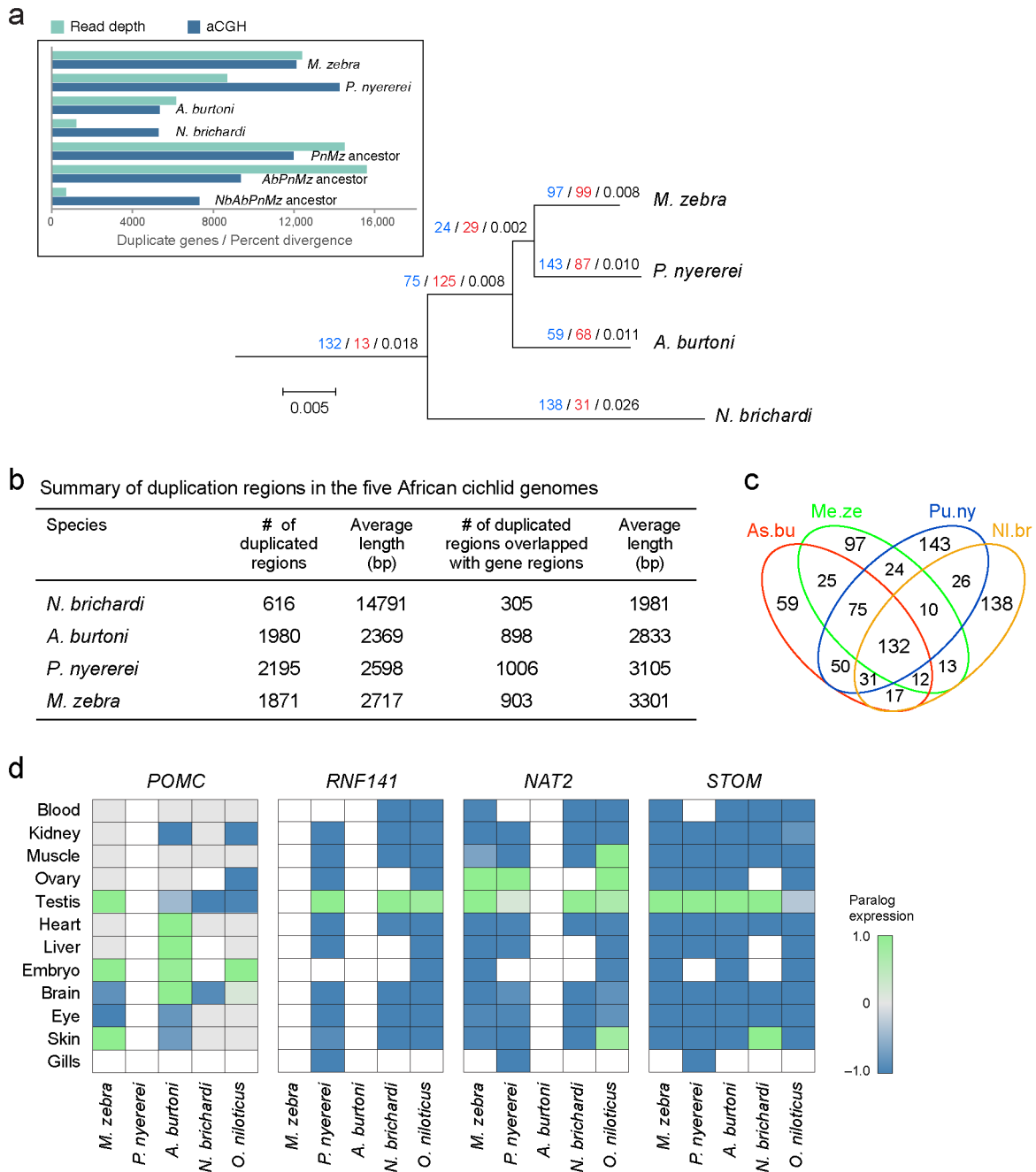


**Extended Data Figure 2 | Rapid Evolution of EDNRB1.** **a**, Alignments of EDNRB1 in cichlids with human (HS), zebrafish (DAR) and medaka (ORL). Black star denotes site shown to be required to activate SRF in human by interacting with the G protein G13 (ref. 40). Red star denotes site that may affect the anchoring of the C terminus of EDNRB1 to the transmembrane domain<sup>41</sup>. Highlighted are amino acid substitution in the ancestor of haplochromine

and lamprologini (blue) and in the ancestor of haplochromine (red). **b**, Location of substitutions on 7 transmembrane domain representation (Adapted from ref. 42 *Science* **318**, 1453–1455. Reprinted with permission from AAAS). **c**, Sites (spheres) on the structure of the human kappa opioid receptor in complex (4DJH). Only the right homodimer is annotated.

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**Extended Data Figure 3 | Duplication in the cichlid genomes.** **a**, The number of the recently duplicated genomic regions identified by the read depth method in the five East African cichlid genomes. The numbers in red is the number of duplicated genes and the numbers in black is the corresponding branch length. **b**, Summary of duplication regions in the five African cichlid genomes. **c**, Venn diagram of the duplicated genes detected by aCGH across

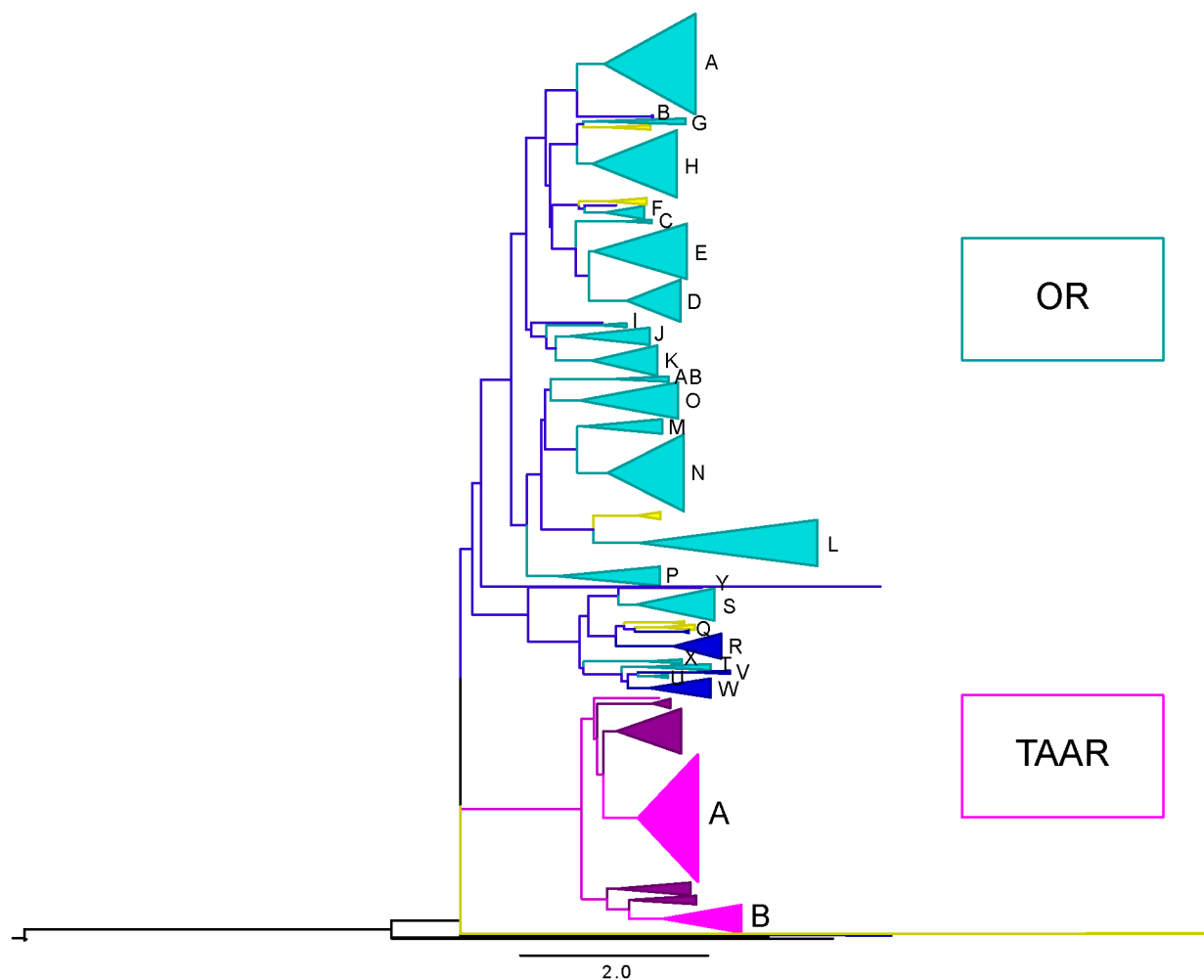
cichlid species relative to *O. niloticus*. **d**, Expression patterns of duplicate genes. Matrix represents the expression level of retained duplicate genes from the cichlid common ancestor in the specified tissues. Expression is shown as an inverse logit function of  $\log_2$ -transformed, relative sequence fragment numbers. Uncoloured fields designate missing expression data or absence of either of the paralogue copies in the annotation set.

**a** Cichlid OR and TAAR genes identified in this study\*

Cichlid genes	<i>O. niloticus</i>	<i>H. burtoni</i>	<i>M. zebra</i>	<i>N. brichardi</i>	<i>P. nyererei</i>	<i>D. rerio</i>	<i>G. aculeatus</i>	<i>O. latipes</i>	<i>T. rubripes</i>
OR	158	90	102	69	88	136 [3]	97 [4]	62 [4]	40 [3]
Pseudo OR	7	9	12	12	12	10 [3]	46 [4]	28 [4]	54 [3]
Edge OR	102	52	28	36	34	–	–	–	–
TAAR	45	23	20	12	19	109 [5]	49 [5]	25 [5]	13 [5]
Pseudo TAAR	7	3	3	1	2	10 [5]	15 [5]	7 [5]	6 [5]
Edge TAAR	13	4	5	14	6	–	–	–	–

\* The numbers of OR and TAAR genes for the fish models are from Niimura and Nei (2005), Chen Ming et al. (2010), and Hashiguchi and Nishida (2007).

**b**

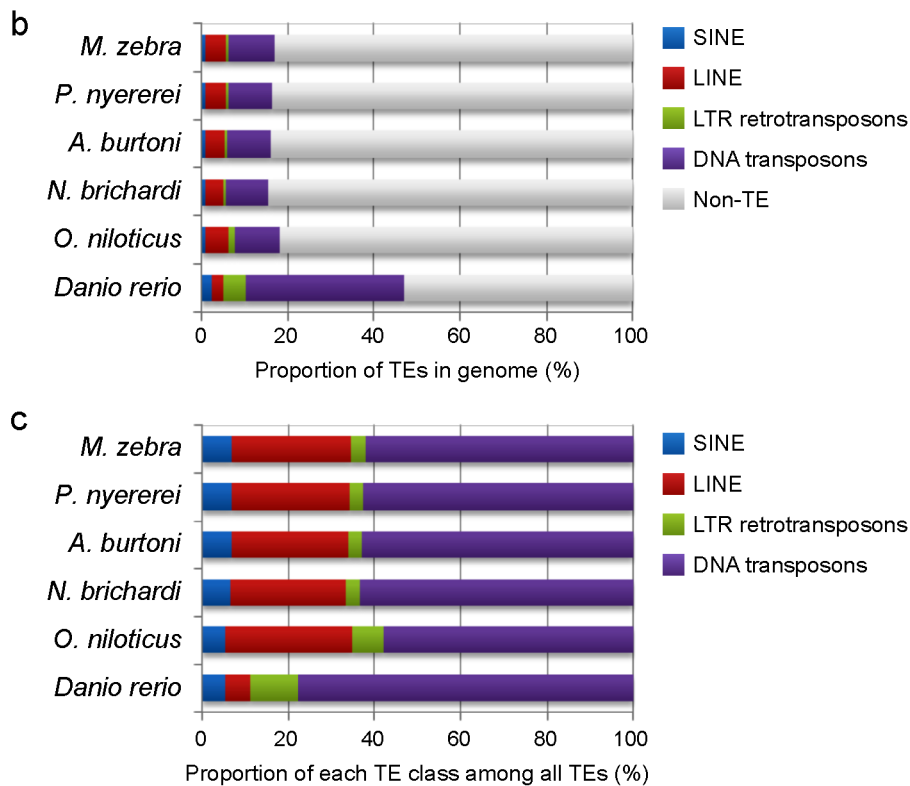


**Extended Data Figure 4 | Cichlid OR and TAAR genes.** **a**, Cichlid OR and TAAR genes identified in this study. **b**, PHYML tree based on the fish TAAR and OR amino acid sequences. A phylogeny tree was constructed with all OR and TAAR cichlid proteins identified in this study ( $n = 503 + 119$ ) plus 229 OR and 173 TAAR genes identified in zebrafish, fugu, tetraodon, medaka and stickleback. The amino-acid sequences were aligned with MAFFT version 7 and a tree constructed with PHYML and visualized with Fig Tree

(version 1.3.1). The TAAR branches are in pink and the OR branches in blue or yellow. Colours indicate the composition of the branches. Dark blue branches are made of cichlid OR only, light blue indicates the presence of cichlid and model fish OR proteins. Yellow is for branches made of OR model fish proteins, only. Light pink branches correspond to cichlid and model fish TAAR proteins, and dark pink to model fish TAAR proteins only. Letters correspond to family names.

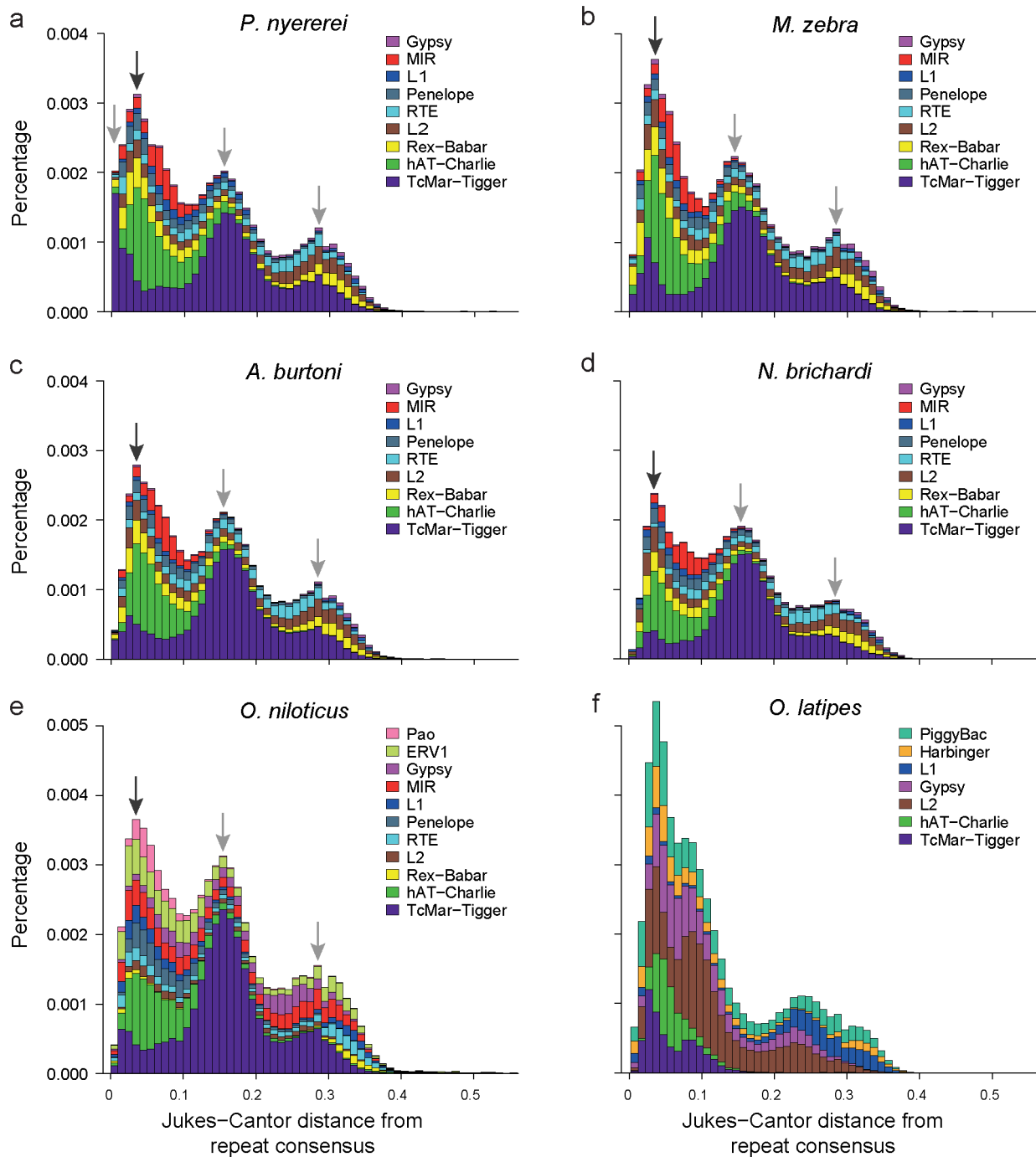
**a** Repeat content of selected vertebrate genomes

Species name	Genome size (Gb)	Repeat content (%)	Reference
Human	3.2	44.8	Lander et al. 2001
Mouse	2.5	38.6	Waterston et al. 2002
Dog	2.4	36.8	Lindblad-Toh et al. 2005
Chicken	1.1	9.4	Hillier et al. 2004
Zebrafinch	1.2	9.21	Warren et al. 2010
Lizard	1.8	34.4	Alfoldi et al. 2011
Frog	1.7	34.5	Hellsten et al. 2010
Stickleback	0.5	25.2	Jones et al. 2012
Fugu	0.3	2.7	Aparicio et al. 2002
Medaka	0.7	17.5	Kasahara et al. 2007
Cod (Newbler)	0.8	25.4	Star et al. 2011



**Extended Data Figure 5 | Comparison of TEs among cichlids and other vertebrate genomes.** **a**, Repeat content of selected vertebrate genomes. Table, no legend. **b**, Proportions of TEs in the genomes. **c**, Proportions of each TE class

among all TEs. The TE proportions are much lower in cichlid genomes than that in zebrafish.

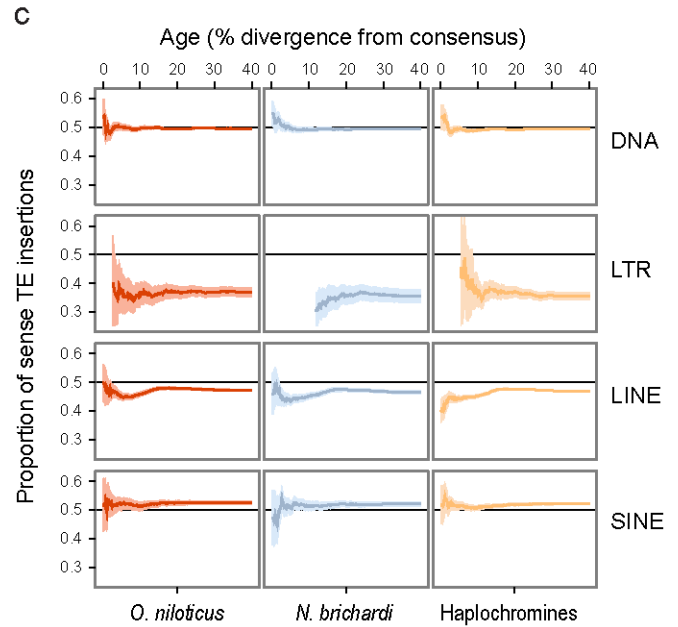
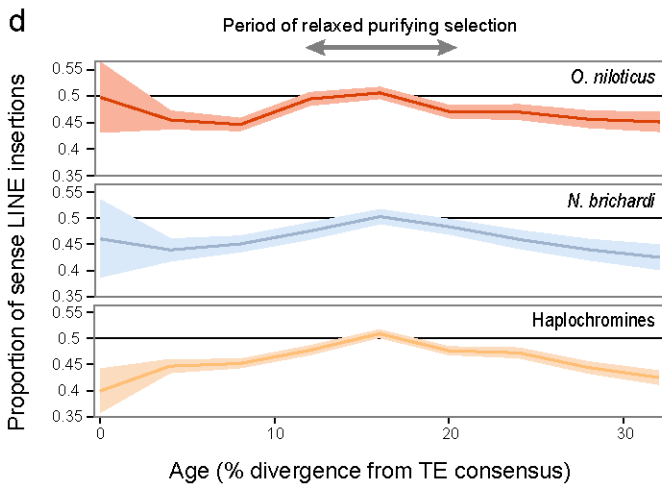
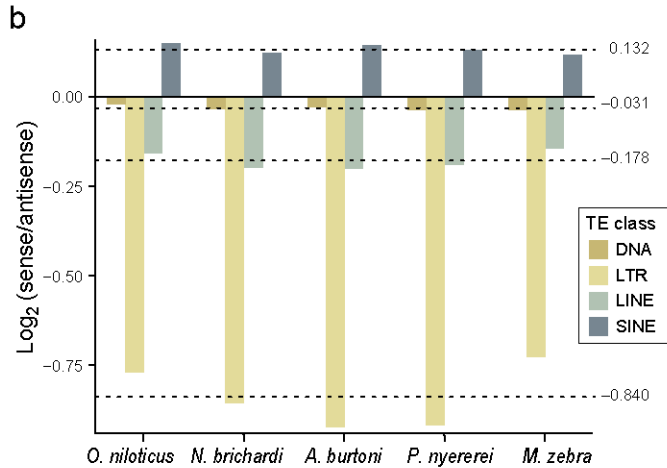


Extended Data Figure 6 | A comparison of TEs in the African cichlids and Medaka genomes. a-f, The x-axis indicates a specific TE family at a given

divergence from the consensus sequence and y-axis indicates its percentage of the genome.

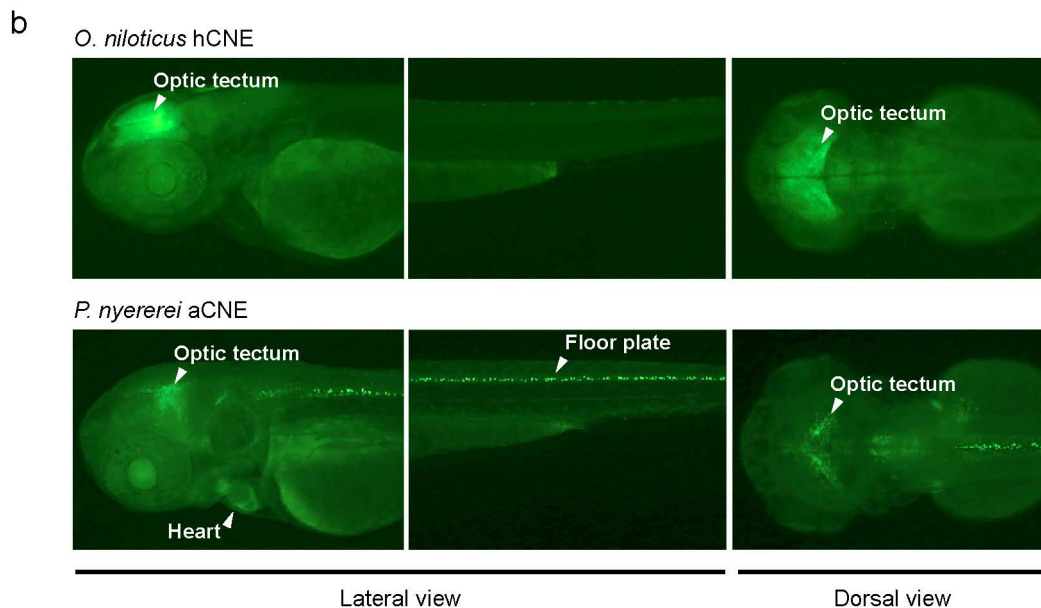
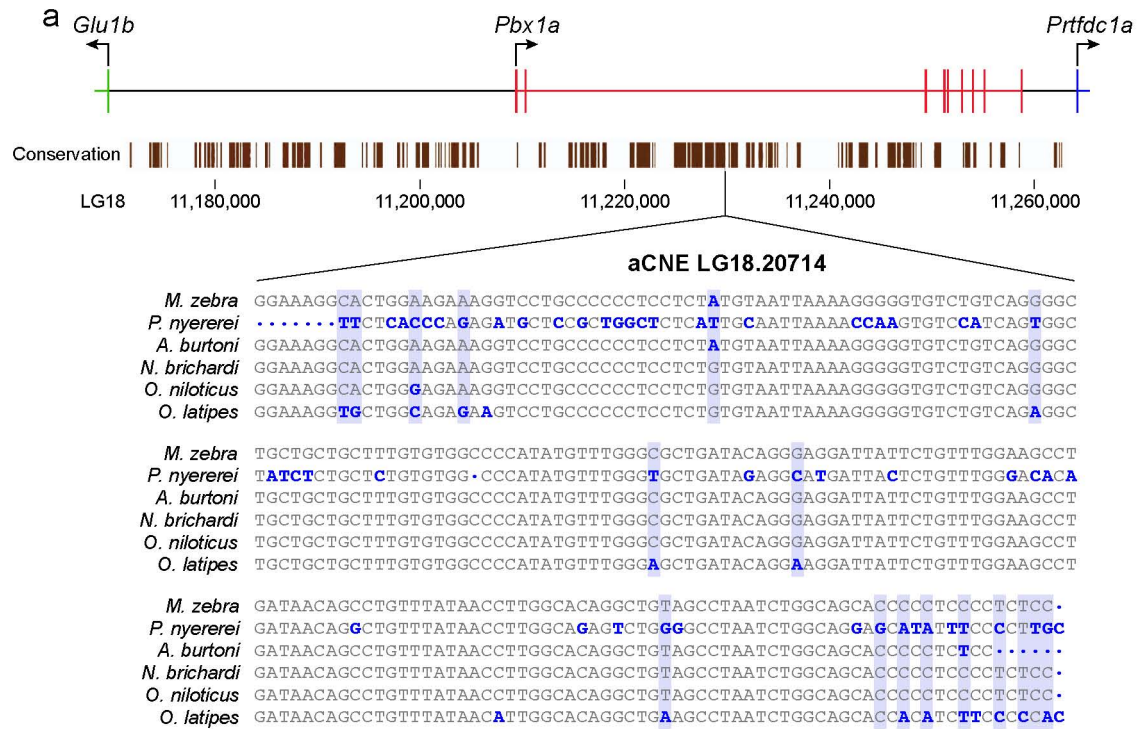
**a** Expression change after TE insertion

Expression change	Upstream insertion				Downstream insertion			
	[-Inf, -2]	[-2, 0]	[0, 2]	[2, Inf]	[-Inf, -2]	[-2, 0]	[0, 2]	[2, Inf]
Brain	0.043	0.372	0.506	0.079	0.042	0.410	0.498	0.050
Eye / retina	0.037	0.361	0.504	0.097	0.044	0.407	0.477	0.072
Heart	0.097	0.328	0.459	0.117	0.077	0.348	0.462	0.113
Kidney	0.070	0.347	0.453	0.129	0.078	0.373	0.455	0.093
Skeletal muscle	0.063	0.355	0.488	0.095	0.047	0.372	0.517	0.063
Testis	0.049	0.335	0.483	0.133	0.073	0.439	0.412	0.076



**Extended Data Figure 7 | Cichlid transposable elements.** **a**, Association between TE insertions and gene expression levels of orthologous genes. All 5 cichlids are merged into the same data set. Groupings are based on whether one gene copy lies within 20 kb up or downstream of a TE. **b**, Orientation bias of transposable elements within or near non-duplicated genes. TE orientation bias in intron sequence of 5 cichlid species. Bias is shown as  $\log_2(\text{sense/antisense})$  of TE counts. **c**, Orientation bias of transposable elements in introns of protein coding genes. The  $x$ -axis denotes the maximum age of

the TEs as divergence from the consensus sequence. The  $y$ -axis shows the proportion of TE insertions in the sense of transcription. Data points with large confidence intervals (exceeding the display range) are omitted. **d**, Orientation bias of LINE insertions in introns in 4% divergence wide windows in *O. niloticus*, *N. brichardi* and combined haplochromines. Proportion of sense oriented LINES in introns is shown on the  $y$ -axis. Age is shown on the  $x$ -axis as percent divergence from the TE consensus.

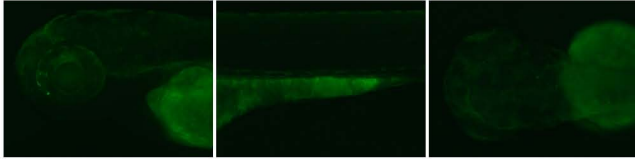


**Extended Data Figure 8 | Reporter gene expression of a selected *O. niloticus* hCNE-*P. nyererei* aCNE pair in transgenic zebrafish. a, *O. niloticus* *Pbx1a* locus showing the conservation track and alignment of an hCNE (LG18.20714) in *O. latipes* and East Africa cichlids. b, Reporter gene expression**

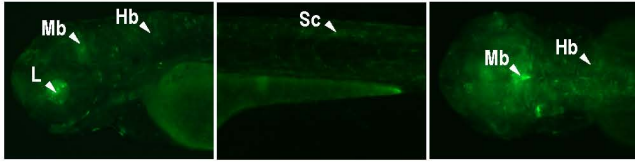
in 72 hours post-fertilization (hpf) G1 transgenic zebrafish. Expression is shown for the hCNE in *O. niloticus* and the corresponding aCNE in *P. nyererei*. The *P. nyererei* aCNE also shows expression in circulating blood cells.



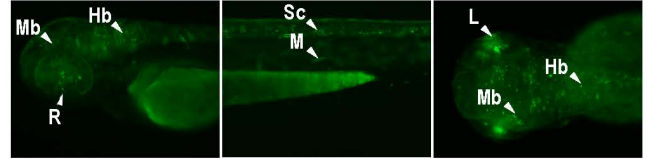
**a** Tilapia hCNE #911



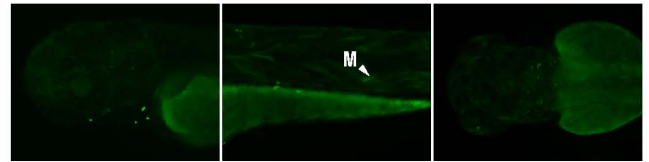
**b** *N. brichardi* aCNE #911



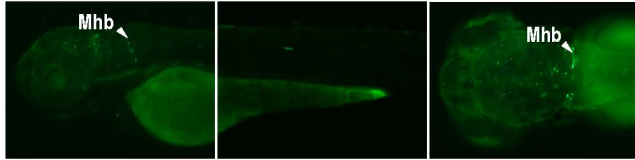
**c** Tilapia hCNE #7012



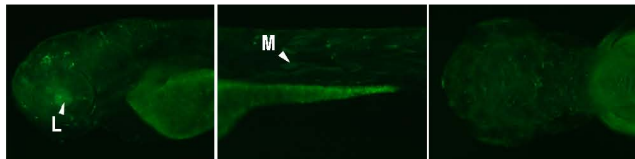
**d** *N. brichardi* aCNE #7012



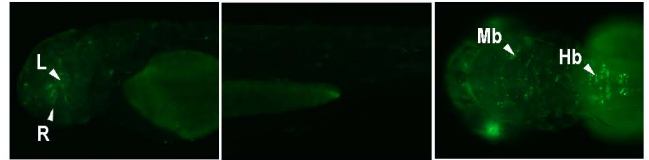
**e** Tilapia hCNE #1649



**f** *N. brichardi* aCNE #1649



**g** Tilapia hCNE #26432



**h** *M. zebra* aCNE #26432



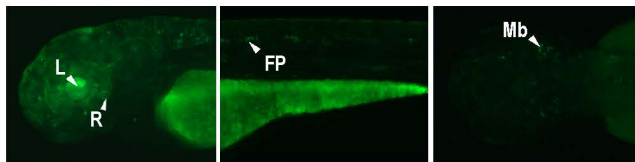
Lateral view

Dorsal view

**i** Tilapia hCNE #5509



**j** *A. burtoni* aCNE #5509



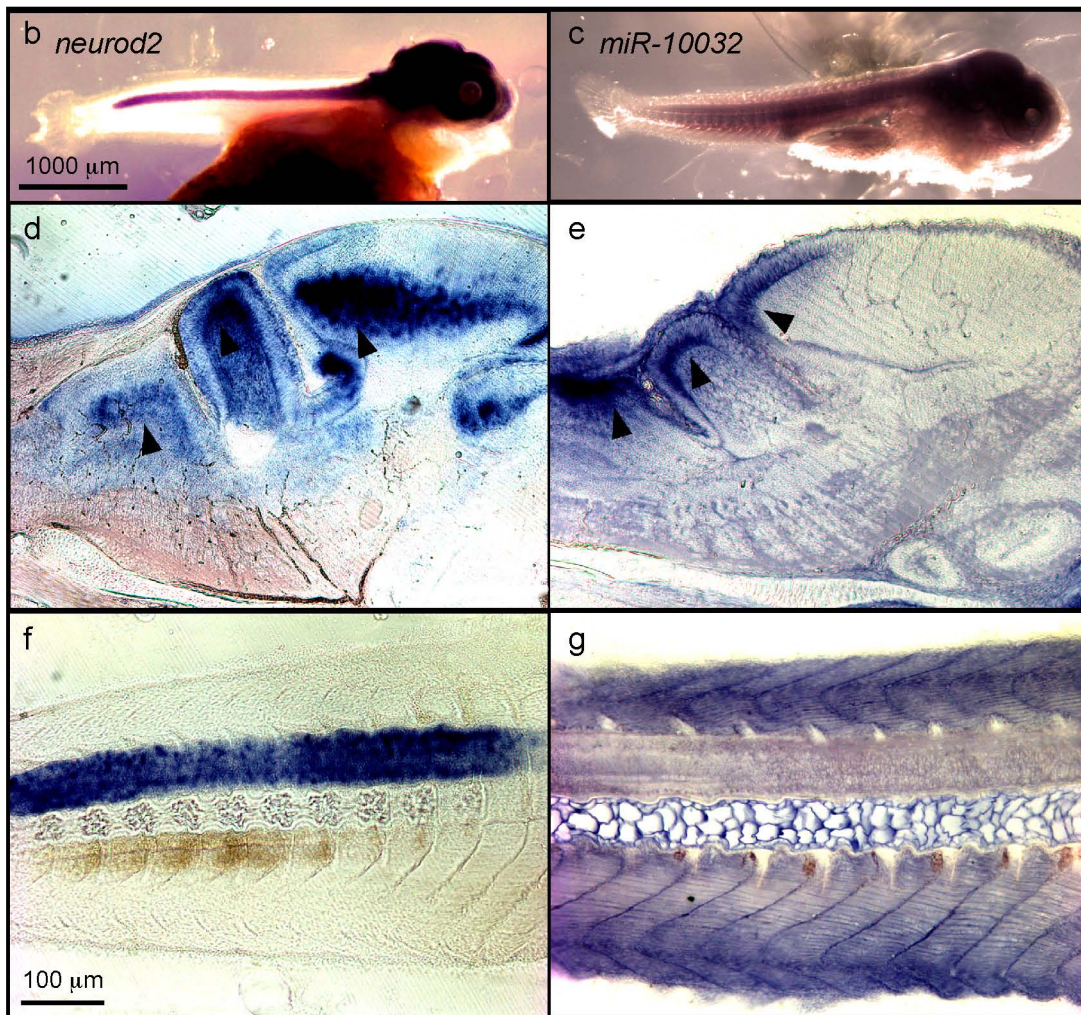
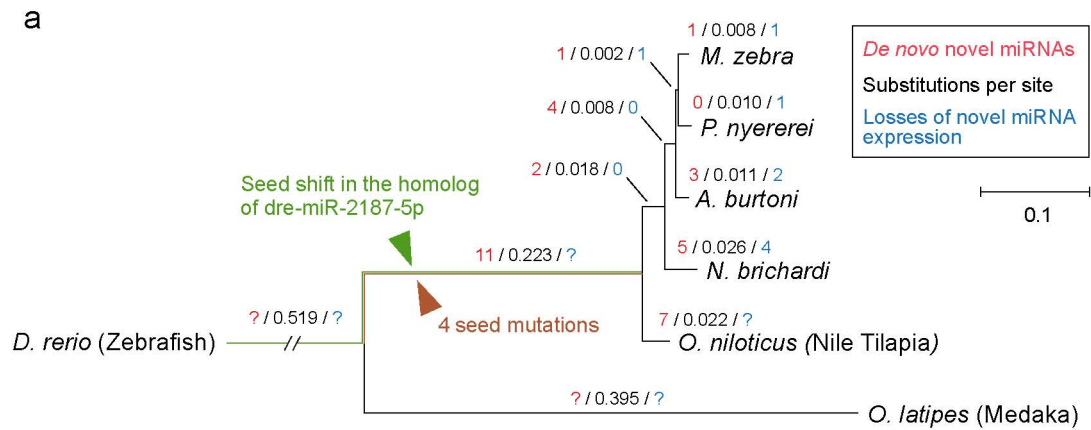
Lateral view

Dorsal view

FP: floor plate  
 Hb: hindbrain  
 Mb: midbrain  
 Mhb: midbrain–hindbrain boundary  
 Sc: spinal cord  
 L: lens  
 M: muscle  
 R: retina

**Extended Data Figure 9 | Reporter gene expression of a selected hCNE–aCNE pairs in transgenic zebrafish (G0).** **a, b**, Comparison of expression pattern driven by *O. niloticus* and *N. brichardi* aCNE #911 (*UNCX* locus) in 72 hours post-fertilization (hpf) zebrafish embryos. **c, d**, Comparison of expression pattern driven by tilapia and *N. brichardi* aCNE #7012 (*SERPINH1* locus) in 72 hpf zebrafish embryos. **e, f**, Comparison of expression pattern

driven by tilapia and *N. brichardi* aCNE #1649 (*TBX2* locus) in 72 hpf zebrafish embryos. **g, h**, Comparison of expression pattern driven by tilapia and *M. zebra* aCNE #26432 (*FOXP4* locus) in 72 hpf zebrafish embryos. **i, j**, Comparison of expression pattern driven by tilapia and *A. burtoni* aCNE #5509 (*PROX1* locus) in 72 hpf zebrafish embryos.



**Extended Data Figure 10 | Cichlid microRNAs.** **a**, Novelty in microRNAs mapped on the phylogenetic tree of the five cichlid species. Complementary expression of novel cichlid miRNA *mir-10032* (**c**, **e**, **g**) and predicted target gene *neurod2* (**b**, **d**, **f**) in stage 23 (9–10 days post-fertilization) *Metriaclima zebra*

embryos. **d**, **e** are 18- $\mu$ m sagittal sections. In **d** and **e**, arrows point to expression in the medulla (left), cerebellum (middle) and optic tectum (right). *neurod2* is expressed in the neural tube (**b**, **f**), while *mir-10032* is expressed in the surrounding somites (**c**, **g**). In all panels, anterior is to the right.