The feasibility of coherent energy transfer in microtubules

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It was once purported that biological systems were far too ‘warm and wet’ to support quantum phenomena mainly owing to thermal effects disrupting quantum coherence. However, recent experimental results and theoretical analyses have shown that thermal energy may assist, rather than disrupt, quantum coherent transport, especially in the ‘dry’ hydrophobic interiors of biomolecules. Specifically, evidence has been accumulating for the necessary involvement of quantum coherent energy transfer between uniquely arranged chromophores in light harvesting photosynthetic complexes. The ‘tubulin’ subunit proteins, which comprise microtubules, also possess a distinct architecture of chromophores, namely aromatic amino acids, including tryptophan. The geometry and dipolar properties of these aromatics are similar to those found in photosynthetic units indicating that tubulin may support coherent energy transfer. Tubulin aggregated into microtubule geometric lattices may support such energy transfer, which could be important for biological signalling and communication essential to living processes.

Here, we perform a computational investigation of energy transfer between chromophoric amino acids in tubulin via dipole excitations coupled to the surrounding thermal environment. We present the spatial structure and energetic properties of the tryptophan residues in the microtubule constituent protein tubulin. Plausibility arguments for the conditions favouring a quantum mechanism of signal propagation along a microtubule are provided. Overall, we find that coherent energy transfer in tubulin and microtubules is biologically feasible.

1. Introduction

Since Schrodinger asked ‘what is life?’\cite{1}, a possible link between the ‘weird’ world of quantum phenomena and biology has been hypothesized. It has often been argued that living systems are too ‘warm and wet’ to support quantum effects; however, recent research suggests this is not necessarily always the case. From quantum coherent transport in photosynthesis and magnetoreception in birds to quantum olfaction\cite{2} and single-photon effects in vision\cite{3}, the field of quantum biology is leaping into the mainstream. This leads us to inquire, where else might the effects of quantum biology be found?

Without a doubt, the standard bearer for the emergence of quantum biology has been the long-lived electronic quantum coherence found in the light harvesting antennae used in photosynthesis. In 2007, Engel \textit{et al.}\cite{4} directly observed quantum oscillations caused by electronic coherence at 77 K in the Fenna–Matthews–Olsen (FMO) photosynthetic light-harvesting complex (LHC), a temperature pushing the ‘warm’ limit for quantum processes, catapulting this field into the spotlight\cite{4}. This limit was further pushed to 277 K, nearing physiological temperature, and effectively ruling out the ‘warm and wet’ limit for quantum phenomena in biology\cite{5}. Furthermore, these coherent...
effects do not seem to be restricted to the FMO complex alone, and have been shown for LHCs in plants (LHCII) [6–8], bacteria (LH2) [9,10] and phycobiliproteins [11,12].

The theoretical basis of this coherent energy transfer is the photo-induced interaction of transition dipoles in chlorophyll molecules. The unique chromophoric nature of chlorophyll and the elegant geometrical arrangement of these pigments in the LHCs of plants and bacteria allow for photon energy to be efficiently captured and funneled from the environment to reaction centres. This biological light harvesting critically depends on the quantum mechanism through which photon-induced excitations hop between chromophores [13]. An initially excited donor chromophore can convey its electronic energy to an acceptor chromophore via electric dipole-dipole coupling of their transition electric dipole moments owing to the close correspondence, or ‘resonance’, between their energy levels. This resonance energy transfer (RET), which was originally pioneered by Förster [14] and is more specifically termed FRET, remains the dominant theory applied in electronic energy transport [15,16]. However, this process is not unique to photosynthesis. J-aggregates (aka Scheibe aggregates), collections of dye molecules, are an artificial form of LHC capable of capturing and manipulating photon energy [17,18]. At the root, this phenomenon is attributable to the optimal packing of chromophores with a significant transition dipole.

Interestingly, tubulin, the microtubule constituent protein, possesses a network of chromophoric tryptophan (Trp) amino acids (figure 1). The fluorescence quantum yield for pure Trp is 0.14, and the experimentally observed yield for wild-type tubulin is 0.06 at room temperature [19]. These are comparable to the room temperature fluorescence quantum yields of bacteriochlorophyll of 0.18, and the yield for LH1 of 0.08 and LH2 of 0.10 [20], respectively. The ‘red edge effect’ has also been observed in tubulin [21] indicating Trp to Trp energy transfer [22]. Thus, these may serve as potential conductions pathways in tubulin and microtubules [23]. Could these aromatic chromophores support coherent quantum effects within tubulin? As persistent electronic coherences may be a general property of any system of compact nearly static chromophores coupled to the environment [24], we investigate the biological feasibility of coherent energy transfer in the tubulin dimer. Via a combination of homology modelling, molecular dynamics (MD) simulations, quantum chemistry and optical biophysics, we apply structure-based simulations similar to current studies of the FMO complex [25,26], and larger LHCs [27] to probe potential energy transfer mechanisms in tubulin, and by extension microtubules.

2. Results and discussion

The dominant spatial distribution and orientation of the Trp residues in tubulin after 8 ns of molecular dynamics simulation are shown in figure 1a. Between nearest-neighbours, the average separation ranges between 24.1 and 27.0 Å (figure 1b). Importantly, this range is comparable to the distances between the bilin chromophores in cryptophyte marine algae, which has been shown to support quantum-coherent transfer of electronic excitation [11].

This spatial range, i.e. the average separation between neighbouring dipoles, is considered larger than the extension of the Trp transition densities and, so the point-dipole (PD) approximation was used to determine the inter-Trp excitonic couplings. In calculating the excitonic interaction coefficients, the choice of the dielectric constant value, and the method chosen for screening and local field effects is no trivial matter [28]. Typically, effective protein dielectric constant values are chosen between 2 and 4; however, experimental measures of the dielectric coefficients in protein hydrophobic pockets indicate this value is well above this range, arguing against this practice [29]. The experimentally measured tubulin optical dielectric value of 8.41 falls well outside this range [30], and is expected to have a significant impact on coupling strengths.

In the Förster approximation, accounting only for electrostatic screening effects owing to the polarizable medium being present between the dipoles, the dipolar couplings are small indicating little to no interaction between Trps, regardless of the dielectric value chosen. This is consistent with previous estimates of Förster dipole–dipole energy transfer between Trps in tubulin [19]. This study also calculates negligible intramolecular Trp–Trp energy transfer efficiencies in tubulin, however these are based on an estimated dielectric constant of 2 and an assumed spectral overlap integral for
N-acetyl-L-tryptophanamide in tris-(hydroxymethyl)aminomethane buffer at pH 7.8. As Trp is highly sensitive to its local environment [31], these results are seemingly inaccurate as the calculation does not accurately reflect the protein environment. However, accounting for electrostatic screening effects of methane buffer at pH 7.8. As Trp is highly sensitive to its local environment [31], these results are seemingly inaccurate as the calculation does not accurately reflect the protein environment. However, accounting for electrostatic screening effects of the medium as well as local inhomogeneous field effects yields different results depending on the dielectric constant chosen. As the dielectric constant value increases between 2 and 8.41, the coupling strengths become increasingly non-negligible.

It must be noted here that using a dielectric constant value above 4 with the screening factor $f = \frac{e_{opt}^3(e_{opt} + 2)}{3f^2}$ yields $f > 1$. While this seems somewhat paradoxical in terms of a screening factor enhancing coupling, we consider it in the quantum viewpoint of Knox & van Amerongen [28] as the effect of the protein environment on the Trp dipole strengths. For the experimentally measured optical dielectric constant, coupling strengths up to 60 cm$^{-1}$ are found with the most significant couplings being between Trps 2 and 3, Trps 4 and 7, and Trps 6 and 8. All coupling strengths for the dielectric constant of 8.41 are shown in figure 1c which accounts for the geometrical arrangement in place.

Calculated site energies using a dielectric constant value of 8.41 range between 35 888 and 36 276 cm$^{-1}$, giving the maximum energy difference between Trps of 388 cm$^{-1}$. These site energies are shown in figure 1c and table 1. Diagonalization of the Hamiltonian matrix (table 2) revealed that the degree of delocalization of excited state energies is relatively small. Only for the exciton states at 36 054, 36 176 and 36 300 cm$^{-1}$ is there a significant delocalization over more than one pigment, with meaningful contributions between Trps 4 and 7, and Trps 2 and 3, owing to the close site energies between these pigments and their relatively large couplings.

While the coupling strengths become increasingly non-negligible as the dielectric constant value increases above 2, the general line shape of the simulated spectra does not align well with experiment for values between 2 and 4. This is most prominently seen in the circular dichroism (CD) spectra, and is not accounted for by simple shifts in $E_0$ alone (figure 2). However, when the experimentally measured optical dielectric value of tubulin is used the simulated spectra align well with experimental values (figure 2). This supports the use of the experimentally measured dielectric value over values between 2 and 4.

Population dynamics calculations from the Haken & Strobl [33] method were applied to the Hamiltonian in table 1 for initial excitations occurring on each of the eight Trp residues (figure 3). It was determined that coherent beatings last for approximately 600 fs with a pure dephasing rate of 50 cm$^{-1}$, when the excitation starts at Trps 2, 3, 4 or 7, and for less than 300 fs when starting at Trp 6 or 8. Excitations starting at Trp 1 or 5 did not produce any beating effects. When starting at Trp 2 or 3, the excitation can be seen to spread to Trp 4 and 7 reaching close to the equal population distribution of 0.125 within approximately 2 ps. Negligible exciton populations in the remaining Trps are observed in this time frame. When starting at Trp 4 or 7, the same effect is observed for Trps 2 and 3 with additional increases in exciton.

### Table 1. Site energies (diagonal, shown in italics are with respect to $35\ 888\ cm^{-1}$) and interaction energies in units of cm$^{-1}$.

<table>
<thead>
<tr>
<th>Trp</th>
<th>1</th>
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<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
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<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### Table 2. Excited state energies (cm$^{-1}$), and coefficients of the eigenvectors resulting from diagonalization of the Hamiltonian in table 1. Coefficients larger than 50% are shown in italics.

<table>
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<th>energies</th>
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<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
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<th>8</th>
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<td>0.04</td>
<td>0.00</td>
<td>0.00</td>
<td>−0.02</td>
<td>−0.22</td>
<td>0.40</td>
<td>−0.02</td>
<td>0.89</td>
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<td>−0.04</td>
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<td>0.05</td>
<td>0.01</td>
<td>0.02</td>
<td>0.02</td>
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<tr>
<td>35 946</td>
<td>−0.04</td>
<td>0.00</td>
<td>0.00</td>
<td>0.03</td>
<td>0.95</td>
<td>0.12</td>
<td>0.00</td>
<td>0.28</td>
</tr>
<tr>
<td>36 014</td>
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<td>0.00</td>
<td>0.00</td>
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<td>−0.22</td>
<td>−0.90</td>
<td>0.09</td>
<td>0.35</td>
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<td>36 054</td>
<td>0.02</td>
<td>0.00</td>
<td>0.01</td>
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<td>0.01</td>
<td>0.15</td>
<td>0.57</td>
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</tr>
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<td>36 176</td>
<td>0.00</td>
<td>0.14</td>
<td>0.21</td>
<td>0.56</td>
<td>0.02</td>
<td>0.00</td>
<td>0.79</td>
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</tr>
<tr>
<td>36 208</td>
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<td>−0.48</td>
<td>−0.84</td>
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<td>0.00</td>
<td>0.00</td>
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</tr>
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<td>−0.86</td>
<td>0.50</td>
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<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
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</table>
Additionally, the unique cylindrical lattice symmetries found coherent tunnelling and incoherent relaxation/excitation. It is feasible that such an arrangement could effectively transfer energy along helical pathways. Generalized Förster effects [36], induced by geometrical symmetries, can enhance the exciton diffusion length along cylindrically symmetric structures [37], similar to the helical arrangement of tubulin, and its chromophores, in microtubules.

Is this effect unique to tubulin and microtubules? Trp is a ubiquitous amino acid found in almost all proteins in low abundance, and FRET between Trp residues and other fluorophores is a technique commonly used to probe protein structure and dynamics. In most cases, this RET is restricted to a single isolated protein, or between two adjacent interacting proteins or molecules. Crystalized globular proteins have the potential to efficiently transfer energy between Trp residues [38], yet these are rarely, if ever, found in biology. Protein polymers, such as the cell cytoskeleton, on the other hand, are pervasive in biology, offering candidate structures for this type of energy transmission. While crystal structures for the majority of cytoskeletal components do not currently exist, comparison of their amino acid sequences reveals that the majority of intermediate filaments have a Trp abundance less than a third of that found in tubulin (table 3) suggesting unfavourable conditions for RET. Only actin and synemin possess comparable densities, suggesting that polymers of these molecules could support RET similar to that in tubulin and microtubules. Although the crystal structure of actin is available and reveals 4 Trp per subunit with intramolecular separations ranging between 10 and 23 Å, the intermolecular distances between these Trp clusters is more than 40 Å (figure 4) suggesting unfavourable conditions for RET within a microfilament.

Whether coherent energy transfer in tubulin and microtubules has a biological role remains open. Such rapid signalling through Trp conduction pathways may coordinate the complex organization of the microtubule cytoskeleton required for the tasks of cell division, motor protein trafficking and motility. Microtubules have been shown to reorganize in a dose-dependent manner after exposure to UV light [39–42], with the greatest effect being observed around 280 nm [42]. Feasible mechanisms for these changes include the reduction of disulfide or peptide bonds induced by photoexcitation of Trp groups [43–46], or subtle protein structural changes owing to photo-induced alterations in Trp flexibility [46]. Such a signalling mechanism may explain the observed apparent UV mediated cell-to-cell influence on cell division [47]. Only further investigation, both theoretically and experimentally, will tell.

Thus, we conclude that, based on this initial analysis, the unique Trp network within an individual tubulin dimer can possess significant dipolar couplings capable of supporting quantum coherent beating effects similar to those observed in the FMO photosynthetic complex [4,5], LHCII [7,8], LH2 [9,10] and phycobiliprotein LHCs [11,12]. Furthermore, our results suggest that this network may support coherent energy transfer at physiological temperature between clusters of Trp in tubulin, and microtubule structures. One of the main objectives of this paper is to stimulate future experimental work in this area. While this is purely a computational prediction it supports the continued investigation of coherent energy transfer mechanisms in tubulin and microtubule lattices. Our predictions are experimentally feasible to verify employing the same methods as those used in the case of photosynthetic complexes.

**Figure 2.** Alignment of calculated spectra with experiment. Experimental spectra, bare calculated stick spectra for DC 8.41, and calculated spectra with Gaussian broadening applied for the absorption, circular dichroism (CD) and linear dichroism (LD) of tubulin at 300 K. All values are plotted in arbitrary units similar to Vulto et al. [32]. (Online version in colour.)
3. Methods

Here, we give a brief summary of the computational procedures yielding site energies, excitonic couplings, optical spectra and time evolution of exciton populations. All data will be made available on request.

3.1. Molecular dynamics simulation/dominant tryptophan confirmation

MD simulations were performed based on previous methods [48,49], using NAMD [50]. Coordinates for the αβ-tubulin dimer at 3.5 Å, GDP, GTP and magnesium ion are from the Brookhaven National Laboratory Protein Data Bank [51] entry 1JFF [52] and PDB2PQR 1.7 [53] was used to generate per-atom charge and radius, at pH 7.0 using PROPKA [54,55], and the AMBER [56] force field. Swiss-PdbViewer v. 4.0 [57] was used to model the missing residues in the crystal structure (α-tubulin: 1, 35–60, 440–451, β-tubulin: 1, 438–455). GDP and GTP were modelled for use by the AMBER 94/99 force field by Meagher et al. [58]. PTRAJ, using the AMBER99SB force field [59] from AMBER 10 [56], was used to neutralize the system, add counterions to reproduce physiological ionic concentrations, and add a TIP3P water cube buffer of 25 Å (63 283 molecules of water). After minimization and heating to 310 K, equilibration occurred for 519 ps while gradually releasing constraints on backbone atoms. Then, using periodic boundary conditions, a 17 ns simulation occurred where atomic coordinates were saved from the trajectory every 2 ps.

Figure 3. Time evolution of the exciton population of each tryptophan in tubulin via the Haken–Strobl model. Initial pure states are set as (a) Trp1: αW21, (b) Trp2: αW346, (c) Trp3: αW388, (d) Trp4: αW407, (e) Trp5: βW21, (f) Trp6: βW101, (g) Trp7: βW344 and (h) Trp8: βW397. (Online version in colour.)
The relative method, the site energy shift of the protein environment. Using the charge density coupling functional theory \([64,65]\) at the B3LYP/6-311G(d,p) \([63]\) level. Quantum geometries at the ground state were performed using density functional methods \([26,61,62]\). The vertical transition energies for the 1\textsuperscript{st} and 1\textsuperscript{st} state of the Trp in vacuum, and the factor \(\Delta|E|_{\text{qmm}}\), obtained from the Löwdin atomic point charges in the above quantum chemical calculations, with the remaining background charges of the protein \(q_{\text{bg}}\). As there can be large uncertainties when representing the Trp ring charge distribution as Löwdin atomic point charges, we have chosen to empirically scale the charges by a factor of 0.80 to improve alignment with the experimental spectra (described below). The electroscopic shift \(\Delta|E|_{\text{coul}}\) is given as

\[
\Delta|E|_{\text{coul}} = \frac{1}{2} \sum_{i,j,k} \frac{\Delta q_{ij}^m (r_{ij}^m - r_{ij}^g)}{|r_{ij}^m - r_{ij}^g|}
\]

where \(N\) is the number of partial charges of the \(n\text{th}\) Trp side chain, \(K\) is the total number of background partial charges (including other Trp residues), and \(|r_{ij}^m - r_{ij}^g|\) is the distance between the \(i\text{th}\) difference partial charge of the \(m\text{th}\) pigment and the \(j\text{th}\) partial charge of the background. Background charges were taken from the AMBER99SB force field \([59]\). Here, we use the measured high-frequency dielectric for tubulin setting \(\varepsilon = 8.41\) \([30]\).

The site energy for the \(n\text{th}\) Trp is thus given as

\[
E_n = E_0 + \Delta|E|_{\text{qmm}} + \Delta|E|_{\text{coul}}
\]

where \(E_0\) is taken as 35 000 cm\(^{-1}\) (285 nm) to align with experimental spectra (described below).

The second part of the site energy calculation results in a contribution \(\Delta|E|_{\text{coul}}\) owing to classical electrostatic interaction between the charge distributions of the S\(_0\) and 1\textsuperscript{st} states with the protein environment. Using the charge density coupling \([61,62]\) method, the site energy shift of the \(n\text{th}\) Trp is calculated from the Coulomb interaction of the difference of the S\(_0\) and 1\textsuperscript{st} state partial charges \(\Delta q^m(r_{ij}^m)\), obtained from the Löwdin atomic point charges in the above quantum chemical calculations, with the remaining background charges of the protein \(q_{\text{bg}}\). As there can be large uncertainties when representing the Trp ring charge distribution as Löwdin atomic point charges, we have chosen to empirically scale the charges by a factor of 0.80 to improve alignment with the experimental spectra (described below). The electroscopic shift \(\Delta|E|_{\text{coul}}\) is given as

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\]

where \(N\) is the number of partial charges of the \(n\text{th}\) Trp side chain, \(K\) is the total number of background partial charges (including other Trp residues), and \(|r_{ij}^m - r_{ij}^g|\) is the distance between the \(i\text{th}\) difference partial charge of the \(m\text{th}\) pigment and the \(j\text{th}\) partial charge of the background. Background charges were taken from the AMBER99SB force field \([59]\). Here, we use the measured high-frequency dielectric for tubulin setting \(\varepsilon = 8.41\) \([30]\).

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\]

where \(E_0\) is taken as 35 000 cm\(^{-1}\) (285 nm) to align with experimental spectra (described below).

### 3.3. Excitonic coupling interactions

Excitonic couplings are calculated using the PD approximation, valid as the distance between pigments is large compared with the extension of their transition densities. The PD excitonic coupling is given as

\[
V_{\text{exc}} = \frac{\mu_{\text{exc}}^2}{R_{\text{exc}}} [\mathbf{e}_n \cdot \mathbf{e}_m - 3(\mathbf{e}_n \cdot \mathbf{e}_m)(\mathbf{e}_n \cdot \mathbf{e}_m)]
\]

where \(\mathbf{e}_n\) is a unit vector along the transition dipole moment of the \(n\text{th}\) Trp, the unit vector \(\mathbf{e}_{\text{exc}}\) is oriented along the line connecting the centres of Trps \(m\) and \(n\), \(\mu_{\text{exc}}\) is the transition dipole moment of the 1\textsuperscript{st} transition of Trp in vacuum, and the factor \(f\) effectively takes into account local field and screening effects in an effective way. In the simplest approximation, owing to Förster, \(f = 1/\varepsilon_{\text{opt}}\), accounting only for screening effects. However, when screening effects of the medium as well as local field effects are included, this becomes \(f = \varepsilon_{\text{opt}}^{-1/2}(\varepsilon_{\text{opt}} + 2)^{1/2}\).
by an electron–phonon interaction, acting only on the diagonal elements of the Hamiltonian system $H_S$, with the fluctuations being unbiased, uncorrelated and Gaussian in nature. Under these assumptions, the Haken–Strobl equation for the density operator $\rho$ in the Schrödinger picture is given as

$$\dot{\rho}(t) = -\frac{i}{\hbar} [H_S, \rho(t)] + L_d(\rho(t)),$$

where the pure-dephasing Lindblad operator is given by

$$L_d(\rho(t)) = \sum_{m=1}^{N} \gamma_m \left[ A_m^\dagger \rho(t) A_m - \frac{1}{2} A_m A_m^\dagger \rho(t) - \frac{1}{2} \rho(t) A_m A_m^\dagger \right].$$

with $A_m$ and $A_m^\dagger$ being the creation and annihilation operators, and $\gamma_m$ the pure dephasing rates for the $m$th Trp. As a reasonable assumption, here we take $\gamma_m$ to be 50 cm$^{-1}$ for all sites. All population dynamics were computed using QuTiP 2 [79].

Acknowledgements. Thanks to Dr Chih-Yuan Tseng at Sinoveda Canada Inc., for his assistance in repairing the missing residues of the 1JFF crystal structure of the tubulin dimer, Dr J. Robert Johansson at the RIKEN, Advanced Science Institute for his help with the QuTiP programming package and Dr David Sept at the University of Michigan for supplying files for the actin and microfilament structures.

Funding statement. Funding for this research was provided to J.A.T. by NSERC (Canada), to D.F. by CIHR (Canada), Alberta Innovates - Health Solutions, and the Alberta Cancer Foundation, and to T.J.A.C. by Nova Southeastern University’s Center for Psychological Studies and Institute for Neuro-Immune Medicine. This research was conducted in collaboration with Dr Joel Zysman, Director of High Performance Computing, using the Pegasus platform at the University of Miami Center for Computational Science (CCS; http://csc.miami.edu). This research was also enabled in part by support provided by WestGrid (www.westgrid.ca) and Compute Canada (www.computecanada.ca).

3.4. Alignment with experimental spectra

The tight-binding Hamiltonian for an interacting $N$-body system in the presence of a single excitation is given by [72]

$$H_S = \sum_{m=1}^{N} e_m |m\rangle \langle m| + \sum_{n+m} V_{nm} |n\rangle \langle m| + |m\rangle \langle n| |n\rangle \langle m|,$$

where the states $|m\rangle$ denote the excitation being at site $m$. The site energies and coupling terms are given by $e_m$ and $V_{nm}$, respectively, and are calculated as described above. Exciton stick spectra for absorption, CD and linear dichroism (LD) were calculated from the orientations of the Trp molecules in the dominant configuration and the diagonalized Hamiltonian matrix using the formula described by Pearlstein [73]. Experimental spectra were calculated by applying a Gaussian function to each excitation transition using an assumed full-width half maximum value of 3500 cm$^{-1}$ as commonly observed for Trp [74,75]. These were then compared with experimental absorption, CD and LD spectra adapted from Mozo-Villarías et al. [76], Clark et al. [77] and Marrington et al. [78], respectively.

3.5. Exciton population dynamics

Time evolution of the exciton population in the Trp network in the presence of thermal fluctuations of the environment is modelled using the Haken & Strobl model [33]. Here, it is assumed that thermal fluctuations of the environment couple to the Trp excitons via an assumed full-width half maximum value of 8.41.

References


