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Estimating plant stem emerging point of beets in early growth stages

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Abstract

Successful intra-row mechanical weed control of sugar beet (*beta vulgaris*) in early growth stages requires precise knowledge about location of crop plants. A computer vision system for locating Plant Stem Emerging Point (PSEP) of sugar beet in early growth stages was developed and tested. The system is based on detection of individual leaves; each leaf location is then described by centre of mass and petiole location. After leaf detection were the true PSEP locations annotated manually and a multivariate normal distribution model of the PSEP relative to the located leaf was built. From testing the system, PSEP estimates based on a single leaf have an average error of $\sim 3mm$. When several leaves are detected the average error decreases to less than 2mm.

Keywords: plant center, machine vision, leaf extraction

1 1. Introduction

Mechanical inter-row weeding between crop rows have been used for a long time. But mechanical intra-row weeding within rows between the single crop plants is relatively new. Physical intra-row methods can in general rely on three different strategies (Griepentrog and Dedousis, 2010): (1) soil coverage of weeds or (2) weed root/stem cutting or (3) uprooting of weeds (whole plant or partly). The first option is only relevant in some crop types like cereals and potatos. Sugar beet (*beta vulgaris*) at dicotyledon stage does not belong to these groups(Melander, 2000; Kouwenhoven, 1997) and only strategy two and three may be used.

Several intra-row mechanical weed management methods need to know where the crop plants are located especially with concern to the Plant Stem Emerging Point (PSEP) which is defined as the point where the plant stem emerges from the soil surface. Computer vision was used by (Tillett et al., 2008) to locate transplanted cauliflower plants, before a cultivation disc is moved such that the crop plants are not harmed. RTK-GPS have been used to mark the position

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of crop seeds during sowing (Griepentrog et al., 2005), but the PSEP is not 17 identical to the planted seeds position, as the orientation of the seed have not 18 been taken into account. (Nørremark et al., 2008) used the RTK GPS coor-19 dinates to control a cycloid hoe doing intra-row weed control based on seed 20 positions. Uncertainty in seed orientation, PSEP, and GPS accuracy limits the 21 achievable precision to approx 30mm. (Sun et al., 2010) used RTK-GPS for 22 mapping transplanted tomatoes, 95% of the plants were within 51mm from the 23 true plant position. Based on vision input the crop plant positions may be 24 determined with at higher accuracy and precision as (Astrand and Baerveldt, 25 2002) indicated by guiding an autonomous weed robot with 20mm accuracy 26 along crop rows. Earlier work on extraction of individual leaves from images 27 include (Franz et al., 1991) which analysed boundary curvature by comparing 28 with a known leaf shape and (Neto et al., 2006) which detected individual leaves 29 in complex scenes based on Gustafson–Kessel clustering. This paper describes 30 and evaluates a vision based method which detects single crop leaves and predict 31 where the corresponding PSEP is located. 32

33 2. Materials and methods

The current work consists of three parts: (1) development of a leaf detector, 34 (2) building of a relative PSEP model, and (3) using the relative PSEP model 35 to predict true PSEP based on detected leaves. An example image of sugar beet 36 plants in early growth stages is shown in figure 2. The leaves can be described 37 as convex objects with a thin stem (petiole). Leaves are detected by locating 38 convex regions of the plant contour. The relative PSEP model is generated 39 by comparing manually marked PSEP locations (ground truth values) with the 40 detected leaves. Based on the relative PSEP location model and detected leaves, 41 estimates of the true PSEP locations are obtained automatically. Finally are 42 the methods for evaluating performance described. 43

44 2.1. Image acquisition and segmentation

Images from sugar beet fields were acquired by a bi-spectral line scanning 45 camera mounted on the Robovator (Poulsen, 2010) intra-row mechanical weed-46 ing robot. The setup for image capturing is shown in Fig. 1. The imaged sugar 47 beet plants were part of field emergence trials conducted by Maribo Seed in 2009. 48 Precise plant placement is not required for field emergence trials which can be 49 seen directly in the acquired images where sugar beet plants are distributed 50 randomly over the captured region. The captured area was illuminated with 51 two 55W halogen lamps. Each line in the acquired image consists of 256 pixels 52 and a typical data file consist of approximately 13,000 scan lines. A single pixel 53 measured approximately $1.1mm \times 1.1mm$. A sample image can be seen in Fig. 54 2. For each pixel both a red and a near infrared value are available. Com-55 bining red and near infrared values makes it possible to segment images into 56 plant material and soil which is done by calculating the NDVI value for each 57 pixel (Backes and Jacobi, 2006). After this operation a single channel image is 58

obtained with plant material having a high NDVI value compared to soil. This
image is segmented using a threshold of 0.2 to form a binary image, the threshold was found by trial and error. These binary images are the basis for the data
material used in this paper. Before further analysis are connected components
located. It is assumed that a leaf will only contribute to one connected blob.
To remove noise only blobs with an area larger than 160 pixels are kept.

65 2.2. Leaf extraction

For detecting leaves the general leaf structure is exploited. Examples of 66 leaf shapes are shown in Fig. 2. The structure consists of a large mainly 67 convex region attached to the rest of the plant via a thin stem (petiole) (Meier, 68 2001). The leaf extraction method works in two steps. First convex regions are 69 located and marked as leaf tip candidates, this is described in section 2.3. From 70 the located leaf tip candidates a search for the corresponding petiole is then 71 initiated, the search process is described in section 2.4. If a petiole is located 72 a leaf is found. When a leaf is detected the leaf location and orientation is 73 described by petiole location \vec{S} and the leaf centre of mass \vec{C} . 74

75 2.3. Leaf tip candidate location

In this section a method for locating leaf tip candidates within the segmented 76 images is described. Leaf tip candidates are found at local curvature minima in 77 curvature of the plant boundary. At this stage is the plant boundary specified as 78 the list of coordinates \vec{z}_k where $k \in [1, \ldots, n]$ and the boundary is followed clock 79 wise. The curvature is then defined as the angle between the line connecting 80 point $k - \Delta$ and k and the line connecting point k and $k + \Delta$. The sign of 81 the direction change indicates whether the current location of the boundary is 82 concave or convex. In this paper the parameter $\Delta = 12$ was used together with a 83 running average of the five nearest points. Plant boundary and curvature along 84 the boundary is visualized in Fig. 3. Local maxima corresponds to concave 85 regions, which are often located at leaf intersections or near the sugar beat 86 growth point, which is assumed to be vertically above PSEP where several 87 leaves are connected to a common area. Local minima corresponds to convex 88 regions such as leaf tips. 89

To locate a single leaf tip candidate for each leaf, the following steps are 90 used: (1) division of the boundary into concave and convex regions, (2) locate 91 the minima in each convex region and (3) thresholding of the located minima. 92 The purpose of the first step is to split the boundary into segments that at 93 most contain a single leaf tip. As splitting points are used locations where the 94 curvature changes from positive to negative or from negative to positive values. 95 The second step finds the most likely leaf tip location, which are the points 96 along the boundary where the boundary is convex and change of direction is 97 maximized. Step three removes possible leaf tip locations according to direction 98 change, if the direction change is too small (less than 1 radians) the candidate 99 is eliminated. 100

¹⁰¹ 2.4. Location of corresponding petiole

From each of the candidate leaf tips a search for the corresponding petiole is 102 then initiated. Two walkers are placed at the leaf tip with the goal of following 103 the boundary in each direction, one clockwise and one counter clockwise. The 104 movement of the walkers is controlled such that they will reach the petiole 105 nearly simultaneous. Each walker is then moved forward until the next step 106 along the boundary will bring the euclidean distance between the walker and 107 the leaf tip point above a specified threshold distance l. Then the distance 108 between the walkers is measured. This process (walker movement and distance 109 measurement) is repeated with increasing values of l. In Fig. 4 the search 110 strategy is visualized. For each value of the distance threshold the corresponding 111 circle is drawn together with the two walker locations. 112

To locate the petiole the distance between the walkers are investigated as 113 follows: (1) search for a narrow leaf region which initiates the region in which 114 the petiole can be located followed by a (2) search for a broadening of the leaf 115 width which ends the region in which the petiole can be found. This strategy 116 was implemented as a state machine. The state machine starts in the leaf-tip 117 state and remains there until the distance between the two walkers get below 118 half of the maximum distance between the walkers and the stage is changed to 119 the leaf-stem stage. While in leaf-stem stage the system keeps track of the min-120 imum distance between the walkers and corresponding walker locations. When 121 the distance between the walkers exceed three times the minimum distance ob-122 served in the leaf-stem stage the search is terminated. The leaf boundary cutoff 123 positions are given by the location of the walkers where the distance between the 124 walkers are minimized within the leaf-stage. The petiole location is set to the 125 midpoint of the two boundary cutoff positions. To avoid infinite loops petiole 126 search is terminated if one of the walkers reach a leaf tip candidate or the two 127 walkers pass each other. 128

¹²⁹ 2.5. Manual marking of root / leaf relative locations

After the automatic extraction of plant leaves as described in section 2.2, 130 real PSEP location were marked manually. A program showed each plant and 131 the user should then mark the pixel nearest the true PSEP. Fig. 5 illustrate a 132 sample image with PSEPs marked with red spots and detected leaves marked 133 by orange. To describe the marked PSEP location relative to the extracted leaf, 134 the leaf coordinate system is placed with origin located at the petiole \vec{S} and 135 direction of the x axis parallel to the vector $\vec{C} - \vec{S}$. An example is shown in Fig. 136 6. 137

The manual annotation of the location of the true PSEP locations is prone to errors. PSEP locations were marked with a single pixel, so the average quantization error will be $\sim 0.5mm$ along each dimension. The true PSEP locations marked by a person will also have an uncertainty. To estimate size of the typical error in this process the same image was annotated by two persons. Differences in PSEP locations were calculated and mean distance between annotations were determined.

145 2.6. PSEP location model

A multivariate normal distribution is used to model the PSEP location within the leaf coordinate system. The model is defined as:

$$p(\vec{x}) = \frac{1}{2\pi |\Sigma_{lc}|} \exp\left[-\frac{1}{2}(\vec{x} - \vec{x}_{lc})^T \Sigma_{lc}^{-1}(\vec{x} - \vec{x}_{lc})\right]$$
(1)

where \vec{x}_{lc} is the centre of the true PSEP estimate and Σ_{lc} is the covariance matrix. Both \vec{x}_{lc} and Σ_{lc} are expressed in the leaf coordinate system. Ellipses are used to visualize the multivariate normal distribution, contours of certain values are drawn such that a given fraction of the probability is inside the ellipses. To calculate the ellipses the formula below is used:

$$(\vec{x} - \vec{x}_{lc})^T \Sigma_{lc}^{-1} (\vec{x} - \vec{x}_{lc}) = \chi_{2,\alpha}^2$$
(2)

where $\chi^2_{2,\alpha}$ is the χ^2 distribution with 2 degrees of freedom and P value $1-\alpha$. 153 Typical fractions used for visualization are 68%, 95% and 99.7%. As the PSEP 154 is defined relative to the leaf (Fig. 6) the x and y coordinate values translate to 155 a displacement along the major leaf axis and displacement perpendicular to the 156 same axis respectively. The PSEP is expected to lie in extension of the primary 157 leaf axis (low y values) shifted to negative x values. For later analysis position 158 and uncertainty parameters are converted to the global coordinate system using 159 a coordinate transformation based on rotation and translation. 160

¹⁶¹ 2.7. Combination of relative PSEP location models

In many cases is it possible to detect more than a single leaf, an example is shown in Fig. 7. In the figure 99.7% ellipses of the two estimates of the true PSEP share a common region and it is expected that the true PSEP is located within this region. To combine two PSEP models $(p_A(\vec{x}) \text{ and } p_B(\vec{x}))$ the probability densities are multiplied and normalized.

$$p_C(\vec{x}) \propto p_A(\vec{x}) \cdot p_B(\vec{x}) \tag{3}$$

If the PSEP models are defined by the parameters Σ_A , Σ_B , \vec{x}_c^A and \vec{x}_c^B the parameters of the combined model can be expressed as (Gales and Airey, 2006)

$$\Sigma_C^{-1} = \Sigma_A^{-1} + \Sigma_B^{-1} \tag{4}$$

$$\vec{x}_c^C = \Sigma_C \left(\Sigma_A^{-1} \vec{x}_c^A + \Sigma_B^{-1} \vec{x}_c^B \right) \tag{5}$$

This combination of PSEP models is based on the same principle as least squares estimation in the Kalman filter.

171 2.8. Generation of position predictions

To test the developed method for PSEP estimation, the method was applied to a test image. True plant locations were determined manually and compared to six sets $D_{1,...,6}$ of predicted PSEP locations. These sets were used to measure accuracy of the located PSEPs under different conditions, eg. different number of detected leaves per plant.

From all the detected leaves were a PSEP generated (using only information 177 from this leaf). This is set D_1 . D_2 contains PSEPs calculated from two detected 178 leaves. All possible combinations were tested and leaf pairs was combined if 179 distance between centers of their PSEP models was less than 20mm. D_3 and 180 D_4 are similar to D_2 except that 3 and 4 leaves are used for calculating the PSEP. 181 For a plant where n leaves was detected, the set D_k would contain $\binom{k}{n}$ elements 182 related to that plant. Not all plants had all four leaves detected, therefore will 183 D_4 not contain PSEPs associated to these plants so when the number of leaves 184 used to calculate PSEPs is increased, will the precision of the located PSEPs 185 increase, but a larger fraction will be missed. D_5 is a compromise between 186 large coverage and low placement error. The set is built on D_1 by merging 187 PSEP models with a distance between predicted plant centers of 20mm or less. 188 This merging scheme will generate combined PSEP models based on position 189 information from up to 4 leaves. In addition were a set, D_6 , generated by 190 manual annotation by a different person than the one who marked the reference 191 PSEPs. D_6 covered only one third of the test image and was used to estimate 192 uncertainty of the manually marked PSEPs. 193

194 2.9. Performance evaluation

Performance of the PSEP location model were judged according to the following values:

False positives: If a leaf is falsely found by the leaf separator method it constitute a false positive. These cases are characterized by having a long
distance from the predicted PSEP to the nearest true PSEP. False positives are detected by setting a threshold on the allowed distance from
predicted leaf location to the nearest true PSEP.

Missed PSEP locations: If none of a plant's leaves have been detected a
PSEP is missed. It is characterized by having a long distance from the
true PSEP to the nearest predicted PSEP. Missed PSEPs are detected by
setting a threshold on the allowed distance.

Predicted position error: The error in the predicted PSEP location were av eraged for all predicted PSEP locations with an error less than a threshold
 of 20mm.

209 3. Results

210 3.1. Leaf detector performance

For evaluating performance of the leaf detector, the 805 leaves present in the test images were counted manually. The leaf detector located 46.6% (395) leaves, of those 2.4% (19) were false positives.

214 3.2. Relative PSEP model

The leaf detector were applied to three datasets. True PSEPs were marked by hand in all three datasets. Additionally leaves were detected by the leaf detector method and their location specific information recorded. Analyzing leaves and PSEPs led to the generation of 223 data points. In the local leaf coordinate system the multivariate normal distribution model is described by the parameter values:

$$\vec{x}_{lc} = \begin{pmatrix} 5.40\\ 0.24 \end{pmatrix} mm$$
 $\Sigma_{lc} = \begin{pmatrix} 12.65 & 1.28\\ 1.28 & 2.35 \end{pmatrix} mm^2$ (6)

221 3.3. Fraction of PSEP locations found

The fraction of missed PSEPs is visualized as a function of the chosen thresh-222 old in Fig. 8. All six PSEP prediction methods show the same trend. At first 223 the fraction of missed PSEPs decreases linearly until the curve flattens out. The 224 point where the curve flattens out indicates the maximum error of the position 225 estimate and the fraction of PSEPs that are not found. Note that humans are 226 good at locating a large fraction of the PSEPs. The fraction of roots not found 227 within 20mm are shown in the MR column in Tab 1. If a single leaf (D_1) is 228 used to predict PSEPs approximately 10% of the true PSEPs will be missed, 229 this number increases strongly when the number of leaves used in the prediction 230 is increased. $\sim 37\%$ of the true PSEPs are missed with estimates based on two 231 leaves, this number is increased to $\sim 89\%$ when four leaves are used to generate 232 estimates. This increase in fraction of missed PSEPs is only to be expected, as 233 the plants with one or two detected leaves are not present in D_3 and D_4 . 234

²³⁵ 3.4. Fraction of false positives

To gain insight in the accuracy of PSEP-location-estimates the fraction of 236 false positives is visualized as a function of threshold distance in Fig. 9. The 237 figure is divided into four regions, each representing a dataset. In dataset One 238 is the PSEP near which the leaf detector found a single leaf; in *Three* the leaf 239 detector located three leaves. From the green curve it is seen that $\sim 20\%$ of 240 the D_1 position-estimates have a distance (error) of more than 4mm to the 241 nearest true PSEP, for comparison is the corresponding distance for D_2 3mm. 242 The figure shows that when the number of leaves used to generate a PSEP-243 location–estimate is increased the error in the estimate is reduced significantly. 244 The figure was divided into four underlying data sets such that each dataset 245 could be weighted appropriately. If all the data was shown in one plot it would 246

²⁴⁷ be difficult to interpret because each set of location estimates was based on a ²⁴⁸ unique dataset. The number of false positives and missed roots for each of the ²⁴⁹ estimate sets is given in table 1. The listed values are found using a threshold ²⁵⁰ distance of 20mm. In addition the estimate error (distance from estimate to ²⁵¹ nearest PSEP) is described using the average value and the 95% quantile (95% ²⁵² of the predicted PSEP had an error of less than...).

253 4. Discussion

The leaf detector is not able to locate all leaves in the test images. This is 254 due to overlapping leaves, leaves with irregular shapes and to a certain extend 255 limitations in the implemented algorithm. Some typical cases are shown in Fig. 256 10. The petiole search is fragile and will fail if more than a single leaf tip 257 candidate is found in one leaf. In the used leaf definition (convex area with a 258 thin petiole) overlapping leaves can influence both criteria: the combined leaf 259 area is not guaranteed to be convex and the petiole region can be hidden or 260 widened. Rarely will the relative location of leaf tip estimate and petiole cause 261 the petiole search strategy to fail, this is the case when distance between petiole 262 and leaf tip estimate is less than the distance between leaf tip estimate and the 263 true leaf tip. To reduce the fraction of missed PSEPs the leaf detector must 264 be improved. If a PSEP is not located none of the associated leaves have been 265 detected. 266

Before evaluation of the implemented algorithms the uncertainty of the true 267 PSEP position should be investigated. This can be achieved by comparing 268 true PSEPs with PSEPs determined by a human being different from the one 269 who determined the true PSEPs initially. The difference between such two 270 manual annotations can be used as an estimate of the position uncertainty 271 of the true PSEPs. On average the difference was 1.37mm and in 95% of 272 the cases the difference between the two human annotations were less than 273 3.58mm. Two sources contribute to this difference (1) quantification error and 274 (2) uncertainty / unreliability of the human annotation. The quantification 275 error origins from the annotation program, which used integer coordinates for 276 describing PSEPs. A rough estimate of this error is $\pm 0.5mm$ along the two 277 coordinate axes. The human annotation unreliability origins from differences in 278 test image interpretation. 279

When the leaf detector has found two leaves of a single plant the correspond-280 ing true PSEP will with a probability of 95% be within a distance of 5mm or less 281 from the guess. This and similar values are shown in table 1. (Sun et al., 2010) 282 positions 95% of the plants within 51mm. The accuracy of the vision system 283 is thus one order of magnitude better than RTK-GPS seeding of plants. When 284 three or more leaves are used to predict PSEPs the accuracy is comparable to 285 286 the human annotation. One interpretation of this is that the developed method can predict PSEPs with a higher accuracy than the reference predictions based 287 on manual annotation given that two or more leaves are detected for each PSEP. 288

289 5. Conclusion

A system for automated PSEP estimation of sugar beet plants (in growth 290 stages BBCH10-14) based on leaf detection has been developed and tested. 291 In a set of test images the system detected 46.7% of the present leaves. A 292 multivariate Gaussian PSEP model was built based on the detected leaves and 293 manual annotation of true PSEPs. Given centre of mass and attach point of a 294 single leaf the model states that the average true PSEP will be at a distance 295 of 6.2mm from the petiole attachment point and placed on the line connecting 296 the leaf attach point and the leaf centre of mass. 95% of the volume below the 297 multivariate Gaussian is contained within an ellipse with semi major and semi 298 minor axes of 12mm and 6mm respectively. 299

In the set of test images the detected leaves were used to predict the true 300 PSEPs. With PSEP prediction based on single leaves were 90% of the true 301 PSEPs located within 20mm of at least one predicted PSEP location. In this 302 case where the average distance from predicted location to true PSEP of 3.3mm. 303 When several leaves of the same plant are detected, the PSEP models can 304 be combined using least-squares estimation and thus produce an even better 305 estimate of the true root location. E.g. by combining two leaves the average 306 error is reduced to 1.9mm. Precise quantification of error in three and four leaf 307 based PSEP estimates is hindered as these methods perform on par with the 308 human annotation used as reference. 309

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Figure 1: The camera unit consisted of camera combined with halogen lamp. During image acquisition were eight such units mounted in front of a tractor.

Set	# leaves	Count	\mathbf{FP}	\mathbf{MR}	Avg	95%
D_1	1	395	4.8%(19)	10.0%	3.29 ± 0.14	15.76
D_2	2	313	1.6%(5)	37.3%	1.88 ± 0.07	4.62
D_3	3	132	0.8%(1)	70.1%	1.42 ± 0.09	3.02
D_4	4	29	0.0%(0)	89.1%	1.22 ± 0.20	2.39
D_5	1 - 4	188	8.0%(15)	10.4%	2.66 ± 0.21	49.51
D_6	na	71	0.0%(0)	2.7%	1.37 ± 0.26	3.58

Table 1: Count: Number of position estimates. FP: False positives, percentage of predicted plant positions with a distance to the nearest true plant location larger than 20mm. MR: Missed roots, percentage of true PSEPs within 20mm of a predicted PSEP location. Avg: Average estimate error in mm. 95%: 95% quantile of estimate errors in mm.



Figure 2: Plant segmentation was done in two steps. First were NDVI values calculated for each pixel, then was the image thresholded. The shown images are (a) pseudo RGB image of raw data (red is shown as red and NIR is shown as green while the blue channel is set to zero) (b) NDVI image before thresholding and (c) after thresholding.



Figure 3: Example of plant boundary and the calculated curvature along the boundary. The boundary is followed clockwise. Leaf tips are local minima and locations near the PSEP corresponds to peaks.



Figure 4: Visualization of the search strategy. The boundary is followed from the leaf tip until the euclidean distance between the current location and the leaf tip exceeds a specified threshold. This is done in both directions and distance between the located points is measured. The procedure is repeated with increasing distance thresholds illustrated by concentric circles. When distance between located points is minimized the leaf cut-off location is found.



Figure 5: Manually marking of PSEPs. The orange leaves were detected by the leaf detector. PSEPs are marked with a red spot.



Figure 6: PSEP location as specified in the leaf coordinate system. The following points are marked: centre of mass C, stem attach point S and PSEP location R. The PSEP location model is indicated by the three concentric ellipses. According to the PSEP location model, will 68% of the true PSEP locations be placed within the central ellipse, the two other ellipses will contain 95% and 99.7% respectively.



Figure 7: Combination of two PSEP location models. The ellipses contains are similar to those shown in figure 6. For the raw models are the ellipse for 99.7% shown and for the combined model: 68%, 95% and 99.7%.



Figure 8: Fraction of missed PSEPs as a function of the threshold distance.
When the number of leaves used to estimate true PSEPs are increased the fraction of missed PSEPs also increases. The following color encoding is used: ■ D1,
D2, ■ D3, ■ D4, ■ D5 and ■ D6



Figure 9: Fraction of false positives as a function of the threshold distance. Error of PSEP-location-estimates is seen to decrease when the number of leaves used to make the estimate is increased. Color encodings as in figure 8.



Figure 10: Easy and difficult cases for the leaf detector. Leaf tip candidates are marked by purple squares. Cyan indicates concave locations. Detected leaves are marked in blue.