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Brodmann, Markus P., Katzman, Mordechai and Sharp, Rodney Y. (2002) Associated primes of graded components of local cohomology modules. *Transactions of the American Mathematical Society*, 354 (11). pp. 4261-4283. ISSN 0002-9947

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ASSOCIATED PRIMES OF GRADED COMPONENTS OF LOCAL COHOMOLOGY MODULES

MARKUS P. BRODMANN, MORDECHAI KATZMAN, AND RODNEY Y. SHARP

ABSTRACT. The i -th local cohomology module of a finitely generated graded module M over a standard positively graded commutative Noetherian ring R , with respect to the irrelevant ideal R_+ , is itself graded; all its graded components are finitely generated modules over R_0 , the component of R of degree 0. It is known that the n -th component $H_{R_+}^i(M)_n$ of this local cohomology module $H_{R_+}^i(M)$ is zero for all $n \gg 0$. This paper is concerned with the asymptotic behaviour of $\text{Ass}_{R_0}(H_{R_+}^i(M)_n)$ as $n \rightarrow -\infty$.

The smallest i for which such study is interesting is the finiteness dimension f of M relative to R_+ , defined as the least integer j for which $H_{R_+}^j(M)$ is not finitely generated. Brodmann and Hellus have shown that $\text{Ass}_{R_0}(H_{R_+}^f(M)_n)$ is constant for all $n \ll 0$ (that is, in their terminology, $\text{Ass}_{R_0}(H_{R_+}^f(M)_n)$ is asymptotically stable for $n \rightarrow -\infty$). The first main aim of this paper is to identify the ultimate constant value (under the mild assumption that R is a homomorphic image of a regular ring): our answer is precisely the set of contractions to R_0 of certain relevant primes of R whose existence is confirmed by Grothendieck's Finiteness Theorem for local cohomology.

Brodmann and Hellus raised various questions about such asymptotic behaviour when $i > f$. They noted that Singh's study of a particular example (in which $f = 2$) shows that $\text{Ass}_{R_0}(H_{R_+}^3(R)_n)$ need not be asymptotically stable for $n \rightarrow -\infty$. The second main aim of this paper is to determine, for Singh's example, $\text{Ass}_{R_0}(H_{R_+}^3(R)_n)$ quite precisely for every integer n , and, thereby, answer one of the questions raised by Brodmann and Hellus.

0. INTRODUCTION

Let $R = \bigoplus_{n \in \mathbb{N}_0} R_n$ be a positively graded commutative Noetherian ring which is standard in the sense that $R = R_0[R_1]$, and set $R_+ := \bigoplus_{n \in \mathbb{N}} R_n$, the irrelevant ideal of R . (Here, \mathbb{N}_0 and \mathbb{N} denote the set of non-negative and positive integers respectively; \mathbb{Z} will denote the set of all integers.) Let $M = \bigoplus_{n \in \mathbb{Z}} M_n$ be a non-zero finitely generated graded R -module. This paper is concerned with the behaviour of the graded components of the graded local cohomology modules $H_{R_+}^i(M)$ ($i \in \mathbb{N}_0$) of M with respect to R_+ .

Received by the editors November 2, 2001.

2000 *Mathematics Subject Classification.* Primary 13D45, 13E05, 13A02, 13P10; Secondary 13C15.

Key words and phrases. Graded commutative Noetherian ring, graded local cohomology module, associated prime ideal, ideal transform, regular ring, Gröbner bases.

The third author was partially supported by the Swiss National Foundation (project number 20-52762.97).

It is known (see [B-S, 15.1.5]) that there exists $r \in \mathbb{Z}$ such that $H_{R_+}^i(M)_n = 0$ for all $i \in \mathbb{N}_0$ and all $n \geq r$, and that $H_{R_+}^i(M)_n$ is a finitely generated R_0 -module for all $i \in \mathbb{N}_0$ and all $n \in \mathbb{Z}$. Set

$$f := f_{R_+}(M) = \inf \left\{ i \in \mathbb{N} : H_{R_+}^i(M) \text{ is not finitely generated} \right\},$$

the finiteness dimension of M relative to R_+ ; see [B-S, 9.1.3]. We assume that f is finite. M. Brodmann and M. Hellus have shown in [B-H, Proposition 5.6] that $\text{Ass}_{R_0}(H_{R_+}^f(M)_n)$ is constant for all $n \ll 0$. The first part (§1) of this paper determines the ultimate constant value under the mild restriction that R is a homomorphic image of a regular (commutative Noetherian) ring; the main result is related to Grothendieck’s Finiteness Theorem for local cohomology, which (under the specified restriction) gives an alternative description of f . Let $^*\text{Spec}(R)$ denote the set of graded prime ideals of R , and $\text{Proj}(R)$ the set $\{\mathfrak{p} \in ^*\text{Spec}(R) : \mathfrak{p} \not\supseteq R_+\}$. Write

$$\lambda_{R_+}^{R_+}(M) := \inf \left\{ \text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} + \text{ht}(R_+ + \mathfrak{p})/\mathfrak{p} : \mathfrak{p} \in \text{Proj}(R) \right\}.$$

(We interpret the depth of a zero module as ∞ .) It is a consequence of Grothendieck’s Finiteness Theorem [G, Exposé VIII, Corollaire 2.3] that, when R is a homomorphic image of a regular ring,

$$f = \lambda_{R_+}^{R_+}(M) = \inf \left\{ \text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} + \text{ht}(R_+ + \mathfrak{p})/\mathfrak{p} : \mathfrak{p} \in \text{Proj}(R) \right\}.$$

(See [B-S, 13.1.17].) The main result of §1 is that, under the assumption that R is a homomorphic image of a regular ring,

$$\begin{aligned} & \left\{ \mathfrak{p} \cap R_0 : \mathfrak{p} \in \text{Proj}(R) \text{ and } \text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = f \right\} \\ &= \text{Ass}_{R_0}(H_{R_+}^f(M)_n) \quad \text{for all } n \ll 0. \end{aligned}$$

The final §2 is concerned with the asymptotic behaviour of $\text{Ass}_{R_0}(H_{R_+}^i(M)_n)$ as $n \rightarrow -\infty$ when $i > f$. Brodmann and Hellus say that $\text{Ass}_{R_0}(H_{R_+}^i(M)_n)$ is *asymptotically stable* (respectively *asymptotically increasing*) for $n \rightarrow -\infty$ if there exists $n_0 \in \mathbb{Z}$ such that $\text{Ass}_{R_0}(H_{R_+}^i(M)_n) = \text{Ass}_{R_0}(H_{R_+}^i(M)_{n_0})$ (respectively $\text{Ass}_{R_0}(H_{R_+}^i(M)_n) \subseteq \text{Ass}_{R_0}(H_{R_+}^i(M)_{n-1})$) for all $n \leq n_0$. They used an example of A. Singh [Si, §4] to show that, when $i > f$, $\text{Ass}_{R_0}(H_{R_+}^i(M)_n)$ need not be asymptotically stable for $n \rightarrow -\infty$. In §2, we use Gröbner basis techniques to show that, for Singh’s example,

$$R = \mathbb{Z}[X, Y, Z, U, V, W]/(XU + YV + ZW),$$

where the polynomial ring $\mathbb{Z}[X, Y, Z, U, V, W]$ is graded so that its 0-th component is $\mathbb{Z}[X, Y, Z]$ and U, V, W have degree 1, we have

$$\text{Ass}_{R_0}(H_{R_+}^3(R)_{-d}) = \{(X, Y, Z)\} \cup \{(p, X, Y, Z) : p \in \Pi(d-2)\} \quad \text{for all } d \geq 3,$$

where

$$\Pi(d-2) := \left\{ p : p \text{ is a prime factor of } \binom{d-2}{i} \text{ for some } i \in \{0, \dots, d-2\} \right\}.$$

It follows that $\text{Ass}_{R_0}(H_{R_+}^3(R)_n)$ is not asymptotically increasing for $n \rightarrow -\infty$, and this settles a question raised by Brodmann and Hellus.

1. ASYMPTOTIC BEHAVIOUR AT THE FINITENESS DIMENSION

1.1. Notation. The notation introduced in the above §0 will be maintained for the whole paper. We shall only assume that R is a homomorphic image of a regular ring when this is explicitly stated. Here we introduce additional notation.

We use, for $j \in \mathbb{Z}$, the notation L_j to denote the j -th component of a \mathbb{Z} -graded module L , and $(\bullet)_j$ to denote the j -th shift functor on the category of graded R -modules and homogeneous homomorphisms (by “homogeneous” here, we mean “homogeneous of degree zero”). It will be convenient to have available the concepts of the *end* and *beginning* ($\text{beg}(L)$) of the graded R -module $L = \bigoplus_{n \in \mathbb{Z}} L_n$, which are defined by

$$\text{end}(L) := \sup \{n \in \mathbb{Z} : L_n \neq 0\} \quad \text{and} \quad \text{beg}(L) := \inf \{n \in \mathbb{Z} : L_n \neq 0\}.$$

(Note that $\text{end}(L)$ could be ∞ , and that the supremum of the empty set of integers is to be taken as $-\infty$; similar comments apply to $\text{beg}(L)$.)

For $\mathfrak{p} \in \text{Spec}(R)$, we abbreviate $\text{depth}_{R_{\mathfrak{p}}} M_{\mathfrak{p}}$ by $\text{depth } M_{\mathfrak{p}}$ and the projective dimension $\text{proj dim}_{R_{\mathfrak{p}}} M_{\mathfrak{p}}$ by $\text{proj dim } M_{\mathfrak{p}}$.

1.2. Lemma. *The notation is as in §0 and 1.1. Let $\mathfrak{p} \in \text{Proj}(R) \cap \text{Ass}_R M$ be such that $\text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = 1$. Set $\mathfrak{p}_0 = \mathfrak{p} \cap R_0$. Then $\mathfrak{p}_0 \in \text{Ass}_{R_0}(H_{R_+}^1(M)_n)$ for all $n < \text{beg}(M)$.*

Proof. Set $\overline{M} := M/\Gamma_{R_+}(M)$, and note that, by [B-S, 2.1.12 and 2.1.7(iii)],

$$\text{Ass}_R(\overline{M}) = \text{Proj}(R) \cap \text{Ass}_R M$$

and there is a homogeneous isomorphism $H_{R_+}^1(M) \cong H_{R_+}^1(\overline{M})$. We therefore can, and do, assume that $\Gamma_{R_+}(M) = 0$ in the remainder of this proof.

We now use homogeneous localization at $\mathfrak{p} + R_+$ to see that it is enough to prove the claim under the additional hypotheses that R is \ast local with unique \ast maximal ideal \mathfrak{m} , and that $\mathfrak{m}_0 := \mathfrak{m} \cap R_0 = \mathfrak{p}_0$. The assumptions that R is standard and \ast local with $\mathfrak{m}_0 = \mathfrak{p}_0$, and that $\text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = 1$, ensure that there exists $g_1 \in R_1 \setminus \mathfrak{p}$, and that, then, $\sqrt{\mathfrak{p} + g_1 R} = \mathfrak{p} + R_+$.

Now there exists $t \in \mathbb{Z}$ such that M has a graded R -submodule N homogeneously isomorphic to $(R/\mathfrak{p})(-t)$. We now consider the ideal transform $D_{R_{g_1}}(N)$ of N with respect to R_{g_1} : this is naturally graded, and since g_1 is a non-zero-divisor on R/\mathfrak{p} , the description of this ideal transform afforded by [B-S, Theorem 2.2.16] shows that (a) multiplication by g_1 provides a homogeneous isomorphism $D_{R_{g_1}}(N) \xrightarrow{\cong} D_{R_{g_1}}(N)(1)$, and that (b) $\mathfrak{p}_0 \in \text{Ass}_{R_0}((D_{R_{g_1}}(N))_n)$ for all $n \in \mathbb{Z}$.

Point (a) leads to the conclusion that multiplication by g_1 provides a homogeneous isomorphism $H_{R_+}^i(D_{R_{g_1}}(N)) \xrightarrow{\cong} H_{R_+}^i(D_{R_{g_1}}(N))(1)$ for each $i \in \mathbb{N}_0$, and so, in particular, for $i = 0$ and 1. Since $g_1 \in R_+$, we conclude that $H_{R_+}^i(D_{R_{g_1}}(N)) = 0$ for $i = 0, 1$.

By [B-S, 2.2.4], the natural (homogeneous) monomorphism $\eta_N : N \rightarrow D_{R_{g_1}}(N)$ has cokernel isomorphic to $H_{R_{g_1}}^1(N)$. But, since $\sqrt{\mathfrak{p} + g_1 R} = \mathfrak{p} + R_+$ and N is annihilated by \mathfrak{p} , there is a (homogeneous) isomorphism $H_{R_{g_1}}^1(N) \cong H_{R_+}^1(N)$. Thus the cokernel of the monomorphism η_N is R_+ -torsion.

It now follows from [B-S, 2.2.13 and 12.4.2(ii)] that there is a homogeneous isomorphism $D_{R_{g_1}}(N) \cong D_{R_+}(N)$. We can thus conclude that $\mathfrak{p}_0 \in \text{Ass}_{R_0}((D_{R_+}(N))_n)$ for all $n \in \mathbb{Z}$. We now note that, since D_{R_+} is a left exact functor, there is a homogeneous R -monomorphism $D_{R_+}(N) \rightarrow D_{R_+}(M)$; the result now follows from

the exact sequence

$$0 \longrightarrow M \longrightarrow D_{R_+}(M) \longrightarrow H_{R_+}^1(M) \longrightarrow 0$$

of graded R -modules and homogeneous R -homomorphisms. □

For part of the proof of our main result of this section, we shall be able to reduce to the case where R_0 is a regular local ring and $R = R_0[X_1, \dots, X_r]$ is a polynomial ring over R_0 in which the independent indeterminates X_1, \dots, X_r all have degree 1. This explains why several subsequent lemmas are concerned with this case.

1.3. Lemma. *The notation is as in §0 and 1.1. In addition, suppose that (R_0, \mathfrak{m}_0) is a regular local ring of dimension d and that $R = R_0[X_1, \dots, X_r]$, a polynomial ring graded in the usual way. Suppose that $\mathfrak{p} \in \text{Supp}(M) \cap \text{Proj}(R)$ is such that $\mathfrak{p} \cap R_0 = \mathfrak{m}_0$. Then*

$$\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = d + r - \text{proj dim } M_{\mathfrak{p}}.$$

Proof. As R is a catenary domain,

$$\text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = \text{ht}(\mathfrak{p} + R_+) - \text{ht } \mathfrak{p} = d + r - \text{ht } \mathfrak{p}.$$

Moreover, by the Auslander-Buchsbaum-Serre Theorem,

$$\text{depth } M_{\mathfrak{p}} = \dim R_{\mathfrak{p}} - \text{proj dim } M_{\mathfrak{p}} = \text{ht } \mathfrak{p} - \text{proj dim } M_{\mathfrak{p}}.$$

□

1.4. Lemma. *The notation is as in §0 and 1.1. In addition, suppose that (R_0, \mathfrak{m}_0) is a regular local ring of dimension d and that $R = R_0[X_1, \dots, X_r]$, a polynomial ring graded in the usual way.*

Let (R'_0, \mathfrak{m}'_0) be a regular local flat extension ring of R_0 such that $\mathfrak{m}_0 R'_0 = \mathfrak{m}'_0$. Let $R' = R \otimes_{R_0} R'_0$, which we identify with $R'_0[X_1, \dots, X_r]$ in the obvious way. Let M' denote the finitely generated graded R' -module $M \otimes_R R'$, and let $\mathfrak{p}' \in \text{Proj}(R')$ be such that $\mathfrak{p}' \cap R'_0 = \mathfrak{m}'_0$. Set $\mathfrak{p} := \mathfrak{p}' \cap R$. Then $\mathfrak{p} \in \text{Proj}(R)$ and $\mathfrak{p} \cap R_0 = \mathfrak{m}_0$; also

$$\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \leq \text{depth}_{R'_{\mathfrak{p}'}} M'_{\mathfrak{p}'} + \text{ht}(\mathfrak{p}' + R'_+)/\mathfrak{p}'.$$

Proof. Observe that there are $R'_{\mathfrak{p}'}$ -isomorphisms

$$M'_{\mathfrak{p}'} \cong (M \otimes_R R') \otimes_{R'} R'_{\mathfrak{p}'} \cong M \otimes_R R'_{\mathfrak{p}'} \cong M_{\mathfrak{p}} \otimes_{R_{\mathfrak{p}}} R'_{\mathfrak{p}'}$$

As $R'_{\mathfrak{p}'}$ is a flat $R_{\mathfrak{p}}$ -algebra, $\text{proj dim } M'_{\mathfrak{p}'} \leq \text{proj dim } M_{\mathfrak{p}}$. Hence, by two uses of Lemma 1.3,

$$\begin{aligned} \text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} &= d + r - \text{proj dim } M_{\mathfrak{p}} \\ &\leq d + r - \text{proj dim } M'_{\mathfrak{p}'} \\ &= \text{depth } M'_{\mathfrak{p}'} + \text{ht}(\mathfrak{p}' + R'_+)/\mathfrak{p}'. \end{aligned}$$

□

1.5. Lemma. *The notation is as in §0 and 1.1. In addition, suppose that (R_0, \mathfrak{m}_0) is a regular local ring of dimension d such that the field R_0/\mathfrak{m}_0 is algebraically closed and that $R = R_0[X_1, \dots, X_r]$, a polynomial ring graded in the usual way.*

Suppose that $r > 1$, that $f_{R_+}(M) = r$ and that $\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^r(M)_n)$ for all $n \ll 0$. Then there exists $y \in R_1 \setminus \mathfrak{m}_0 R_1$ such that y is a non-zero-divisor on $M/\Gamma_{R_+}(M)$, that $f_{R_+}(M/yM) = r - 1$ and that

$$\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^{r-1}(M/yM)_n) \quad \text{for all } n \ll 0.$$

Proof. Set $\overline{M} := M/\Gamma_{R_+}(M)$. For a homogeneous element y of R , we have homogeneous isomorphisms

$$\overline{M}/y\overline{M} \cong M/(yM + \Gamma_{R_+}(M)) \cong (M/yM)/((yM + \Gamma_{R_+}(M))/yM),$$

so that there are homogeneous isomorphisms

$$H_{R_+}^i(M) \cong H_{R_+}^i(\overline{M}) \quad \text{and} \quad H_{R_+}^i(M/yM) \cong H_{R_+}^i(\overline{M}/y\overline{M})$$

for all $i > 0$. We may therefore replace M by \overline{M} . We therefore assume that $\Gamma_{R_+}(M) = 0$ and $\text{Ass}_R M \subseteq \text{Proj}(R)$.

Now let $\mathfrak{p} \in \text{Ass}_R M$ and set $\mathfrak{p}_0 := \mathfrak{p} \cap R_0$. Then, since R is a regular, and therefore catenary, domain,

$$\begin{aligned} \text{ht}_{R_0} \mathfrak{p}_0 + r - \text{ht } \mathfrak{p} &= \text{ht}(\mathfrak{p}_0 R + R_+) - \text{ht } \mathfrak{p} \\ &= \text{ht}(\mathfrak{p}_0 R + R_+)/\mathfrak{p} = \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \\ &= \text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \\ &\geq f_{R_+}(M) = r. \end{aligned}$$

(We have used Grothendieck's Finiteness Theorem to obtain the inequality.) Therefore $\text{ht } \mathfrak{p}_0 R = \text{ht}_{R_0} \mathfrak{p}_0 = \text{ht } \mathfrak{p}$, so that $\mathfrak{p} = \mathfrak{p}_0 R$ and $\mathfrak{p} \subseteq \mathfrak{m}_0 R$. It therefore follows that, if we let U denote the subset of $R_1 \setminus \mathfrak{m}_0 R_1$ defined by

$$U := \{a_1 X_1 + a_2 X_2 : (a_1, a_2) \in R_0 \times R_0 \setminus (\mathfrak{m}_0 \times \mathfrak{m}_0)\},$$

then $U \cap \mathfrak{p} = \emptyset$. Therefore each element of U is a non-zero-divisor on M .

Set $J := \Gamma_{\mathfrak{m}_0 R}(H_{R_+}^r(M)) = \bigoplus_{n \in \mathbb{Z}} \Gamma_{\mathfrak{m}_0}(H_{R_+}^r(M)_n)$. The hypotheses ensure that J is not a finitely generated R -module. We shall show that one of the elements of U can be taken for y . To achieve this, we suppose that, for all $x \in U$, there exists $n_x \in \mathbb{Z}$ such that, for all $n \leq n_x$, it is the case that $\mathfrak{m}_0 \notin \text{Ass}_{R_0}(H_{R_+}^{r-1}(M/xM)_n)$, and we seek a contradiction.

This supposition means that, for each $x \in U$, we have $\Gamma_{\mathfrak{m}_0}(H_{R_+}^{r-1}(M/xM)_n) = 0$ for all $n \leq n_x$. Since $f_{R_+}(M) = r$, there exists $\tilde{n} \in \mathbb{Z}$ such that $H_{R_+}^{r-1}(M)_n = 0$ for all $n \leq \tilde{n}$. For each $x \in U$, the application of local cohomology with respect to R_+ to the exact sequence

$$0 \longrightarrow M(-1) \xrightarrow{x} M \longrightarrow M/xM \longrightarrow 0$$

shows that $f_{R_+}(M/xM) \geq r - 1$ and leads to an exact sequence of R_0 -modules

$$0 \longrightarrow H_{R_+}^{r-1}(M/xM)_n \longrightarrow H_{R_+}^r(M)_{n-1} \xrightarrow{x} H_{R_+}^r(M)_n$$

for each $n \leq \tilde{n}$. The left exactness of the functor $\Gamma_{\mathfrak{m}_0}$ therefore leads to the conclusion that, for each $x \in U$, the map

$$J_{n-1} = \Gamma_{\mathfrak{m}_0}(H_{R_+}^r(M)_{n-1}) \xrightarrow{x} J_n = \Gamma_{\mathfrak{m}_0}(H_{R_+}^r(M)_n)$$

is injective for all $n \leq \min\{\tilde{n}, n_x\}$. Hence $(0 :_J x)$ is an R -module of finite length, for all $x \in U$. Since R_0/\mathfrak{m}_0 is algebraically closed, we can now deduce from [B, Corollary (2.2)] that J is an R -module of finite length, and this is a

contradiction. We have therefore proved that there exists $y \in U$ such that $\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^{r-1}(M/yM)_n)$ for infinitely many $n < 0$. This implies that $f_{R_+}(M/yM) \leq r - 1$; therefore, as we have already noted that $f_{R_+}(M/yM) \geq r - 1$, we must have $f_{R_+}(M/yM) = r - 1$. Hence, by [B-H, Proposition (5.6)], $\text{Ass}_{R_0}(H_{R_+}^{r-1}(M/yM)_n)$ is asymptotically stable for $n \rightarrow -\infty$; therefore $\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^{r-1}(M/yM)_n)$ for all $n \ll 0$. \square

1.6. Lemma. *The notation is as in §0 and 1.1. In addition, suppose that (R_0, \mathfrak{m}_0) is a regular local ring of dimension d and that $R = R_0[X_1, \dots, X_r]$, a polynomial ring graded in the usual way.*

Assume that $f_{R_+}(M) < r$ and that $0 \rightarrow N \rightarrow F \rightarrow M \rightarrow 0$ is an exact sequence of finitely generated graded R -modules and homogeneous homomorphisms in which F is free. Then:

- (i) $\text{depth } N_{\mathfrak{p}} = \min \{ \text{ht } \mathfrak{p}, \text{depth } M_{\mathfrak{p}} + 1 \}$ for all $\mathfrak{p} \in \text{Supp}(N)$;
- (ii) for $i \in \mathbb{N}_0$, the (necessarily homogeneous) connecting homomorphism

$$H_{R_+}^i(M) \rightarrow H_{R_+}^{i+1}(N)$$

induced by the given exact sequence is an isomorphism when $i < r - 1$ and a monomorphism when $i = r - 1$; and

- (iii) $f_{R_+}(N) = f_{R_+}(M) + 1$.

Proof. Note that $N \neq 0$ because $f_{R_+}(F) = r$.

- (i) This is immediate from the exact sequence $0 \rightarrow N_{\mathfrak{p}} \rightarrow F_{\mathfrak{p}} \rightarrow M_{\mathfrak{p}} \rightarrow 0$.
- (ii) This is immediate from the fact that $H_{R_+}^i(F) = 0$ for all $i < r$.
- (iii) This now follows from part (ii) and the hypothesis that $f_{R_+}(M) < r$. \square

1.7. Lemma. *Assume that (R_0, \mathfrak{m}_0) is a regular local ring. Then there exists a regular local flat extension ring (R'_0, \mathfrak{m}'_0) of R_0 such that $\mathfrak{m}_0 R'_0 = \mathfrak{m}'_0$ and R'_0/\mathfrak{m}'_0 is algebraically closed.*

Proof. Denote as usual $\dim R_0$ by d . Let $(\widehat{R}_0, \widehat{\mathfrak{m}}_0)$ denote the completion of R_0 , so that $\widehat{\mathfrak{m}}_0 = \mathfrak{m}_0 \widehat{R}_0$; of course, this is a regular local flat extension ring of R_0 of dimension d . By [B-M-M, Proposition (2.2)], there exists a (Noetherian) local flat extension ring (R'_0, \mathfrak{m}'_0) of \widehat{R}_0 such that $\widehat{\mathfrak{m}}_0 R'_0 = \mathfrak{m}'_0$ and R'_0/\mathfrak{m}'_0 is algebraically closed. Therefore $\mathfrak{m}_0 R'_0 = \mathfrak{m}'_0$, so that \mathfrak{m}'_0 can be generated by d elements. By flatness, $\dim R'_0 \geq d$, and so (R'_0, \mathfrak{m}'_0) is a regular local ring of dimension d . \square

We are now ready to present our main result of this section.

1.8. Theorem. *Assume that the graded ring R is a homomorphic image of a regular (commutative Noetherian) ring, and that the non-zero graded R -module $M = \bigoplus_{n \in \mathbb{Z}} M_n$ is finitely generated and not R_+ -torsion. Set*

$$f := f_{R_+}(M) = \inf \left\{ i \in \mathbb{N} : H_{R_+}^i(M) \text{ is not finitely generated} \right\}.$$

Then

$$\text{Ass}_{R_0}(H_{R_+}^f(M)_n) = \{ \mathfrak{p} \cap R_0 : \mathfrak{p} \in \text{Proj}(R) \text{ and } \text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = f \}$$

for all $n \ll 0$.

Note. By Grothendieck's Finiteness Theorem (see [B-S, 13.1.17]), the set on the right-hand side of the final display in the statement of the theorem is non-empty: note that f is finite. A consequence of this theorem is that that set is finite.

Proof. We first show by induction on f that, for $\mathfrak{p} \in \text{Proj}(R)$ with

$$\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = f,$$

we have $\mathfrak{p} \cap R_0 \in \text{Ass}_{R_0}(H_{R_+}^f(M)_n)$ for all $n \ll 0$. Now $\text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \geq 1$; so, if $f = 1$ and

$$\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = 1,$$

then $\mathfrak{p} \in \text{Ass}_R M$. The claim in the case when $f = 1$ is therefore immediate from Lemma 1.2.

Thus we assume now that $f > 1$ and make the obvious inductive assumption. One can use homogeneous localization at $\mathfrak{p} + R_+$ to see that it is enough to complete the inductive step under the additional hypotheses that R is *local with unique *maximal ideal \mathfrak{m} , and that $\mathfrak{m}_0 := \mathfrak{m} \cap R_0 = \mathfrak{p}_0$.

Set $\overline{M} := M/\Gamma_{R_+}(M)$; recall ([B-S, 2.1.7]) that there are homogeneous isomorphisms $H_{R_+}^i(M) \xrightarrow{\cong} H_{R_+}^i(\overline{M})$ for each $i \in \mathbb{N}$. Since $M_{\mathfrak{p}} \cong \overline{M}_{\mathfrak{p}}$, it follows that one may assume, in this inductive step, that $\Gamma_{R_+}(M) = 0$.

The argument now splits into two cases, according as $\mathfrak{p} \in \text{Ass}_R M$ or $\mathfrak{p} \notin \text{Ass}_R M$. In the first case, it follows from [B-S, 15.1.2] that there exist a positive integer d and a homogeneous element $g_d \in R_d$ which is a non-zerodivisor on M . Let \mathfrak{q} be a minimal prime ideal of $\mathfrak{p} + Rg_d$; necessarily, $\mathfrak{q} \in \text{Proj}(R)$ (since $f > 1$), and $\mathfrak{q} \cap R_0 = \mathfrak{m}_0$. The catenarity of R ensures that $\text{ht}((\mathfrak{q} + R_+)/\mathfrak{q}) = f - 1$. It follows from [Ma, Chapter 6, Lemma 4] that $\mathfrak{q} \in \text{Ass}(M/g_dM)$, and so one can use Grothendieck's Finiteness Theorem (see [B-S, 9.5.2]) to see that $f_{R_+}(M/g_dM) \leq 0 + \text{ht}((\mathfrak{q} + R_+)/\mathfrak{q}) = f - 1$.

In the second case, when $\mathfrak{p} \notin \text{Ass}_R M$, we choose g_d as follows. First note that, for each $\mathfrak{q}' \in \text{Ass}_R M$, we have $\mathfrak{p} \cap R_+ \not\subseteq \mathfrak{q}'$. To see this, suppose that $\mathfrak{p} \cap R_+ \subseteq \mathfrak{q}'$ for some $\mathfrak{q}' \in \text{Ass}_R M$. Then $\mathfrak{p} \subset \mathfrak{q}'$ (since $\Gamma_{R_+}(M) = 0$), so that, since $\mathfrak{q}' \cap R_0 \supseteq \mathfrak{p} \cap R_0 = \mathfrak{m}_0$, we have

$$\text{ht}((\mathfrak{q}' + R_+)/\mathfrak{q}') = \text{ht}(\mathfrak{m}/\mathfrak{q}') < \text{ht}(\mathfrak{m}/\mathfrak{p}) = \text{ht}((\mathfrak{p} + R_+)/\mathfrak{p}).$$

This implies that $\text{depth } M_{\mathfrak{q}'} + \text{ht}((\mathfrak{q}' + R_+)/\mathfrak{q}') < f - 1$, contrary to Grothendieck's Finiteness Theorem. We have therefore shown that $\mathfrak{p} \cap R_+ \not\subseteq \mathfrak{q}'$. As this is true for all $\mathfrak{q}' \in \text{Ass}_R M$, we can now use [B-S, 15.1.2] to see that there exist a positive integer d and a homogeneous element $g_d \in \mathfrak{p} \cap R_d$ which is a non-zerodivisor on M . Note that $\text{depth}(M/g_dM)_{\mathfrak{p}} = \text{depth } M_{\mathfrak{p}} - 1$, so that, by Grothendieck's Finiteness Theorem,

$$f_{R_+}(M/g_dM) \leq \text{depth}(M/g_dM)_{\mathfrak{p}} + \text{ht}((\mathfrak{p} + R_+)/\mathfrak{p}) = f - 1.$$

Thus, in both cases, we have found a homogeneous element g_d of R of positive degree d which is a non-zerodivisor on M and is such that $f_{R_+}(M/g_dM) \leq f - 1$. Application of local cohomology with respect to R_+ to the exact sequence

$$0 \longrightarrow M(-d) \xrightarrow{g_d} M \longrightarrow M/g_dM \longrightarrow 0$$

shows that $f_{R_+}(M/g_dM) \geq f - 1$, and that, for all $n \ll 0$, the R_0 -module $H_{R_+}^f(M)_n$ has a submodule isomorphic to $H_{R_+}^{f-1}(M/g_dM)_{n+d}$. It therefore follows that $f_{R_+}(M/g_dM) = f - 1$ (so that M/g_dM is not R_+ -torsion), and we can apply the inductive hypothesis to M/g_dM .

In our first case, when $\mathfrak{p} \in \text{Ass}_R M$, we have already noted that

$$\mathfrak{q} \in \text{Proj}(R) \cap \text{Ass}(M/g_dM),$$

that $\mathfrak{q} \cap R_0 = \mathfrak{m}_0$, and that $\text{depth}(M/g_dM)_{\mathfrak{q}} + \text{ht}((\mathfrak{q} + R_+)/\mathfrak{q}) = f - 1$. We therefore use \mathfrak{q} to draw a conclusion from the inductive hypothesis.

In our second case, when $\mathfrak{p} \notin \text{Ass}_R M$, we noted that

$$\text{depth}(M/g_dM)_{\mathfrak{p}} + \text{ht}((\mathfrak{p} + R_+)/\mathfrak{p}) = f - 1;$$

in this case, we use \mathfrak{p} to draw a conclusion from the inductive hypothesis.

In both cases, the inductive hypothesis yields that

$$\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^{f-1}(M/g_dM)_{n+d})$$

for all $n \ll 0$. Therefore $\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^f(M)_n)$ for all $n \ll 0$, and the inductive step is complete.

We have thus proved that

$$\text{Ass}_{R_0}(H_{R_+}^f(M)_n) \supseteq \{\mathfrak{p} \cap R_0 : \mathfrak{p} \in \text{Proj}(R) \text{ and } \text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = f\}$$

for all $n \ll 0$.

To complete the proof, we suppose that $\mathfrak{p}_0 \in \text{Ass}_{R_0}(H_{R_+}^f(M)_n)$ for all $n \ll 0$; it is enough for us to show that there exists $\mathfrak{p} \in \text{Proj}(R)$ with $\mathfrak{p} \cap R_0 = \mathfrak{p}_0$ and $\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = f$. Our first steps in this direction show that additional simplifications are possible.

Invert $R_0 \setminus \mathfrak{p}_0$; in other words, apply homogeneous localization at $\mathfrak{p}_0 + R_+$. Observe that the hypotheses imply that $(R_0)_{\mathfrak{p}_0}$ is a homomorphic image of a regular local ring (R'_0, \mathfrak{m}'_0) and that $R_{(\mathfrak{p}_0 + R_+)}$ is an image of a polynomial ring $R' := R'_0[X_1, \dots, X_r]$, graded in the usual way, under a ring homomorphism which is homogeneous in the sense of [B-S, Definition 13.1.2]. Consider M as a finitely generated graded R' -module; we can then use the Graded Independence Theorem [B-S, 13.1.6] to see that $f = f_{R'_+}(M)$ and that it is enough for us establish the existence of a $\mathfrak{p} \in \text{Proj}(R)$ with the specified properties under the additional hypotheses that (R_0, \mathfrak{m}_0) is a regular local ring, that $\mathfrak{p}_0 = \mathfrak{m}_0$, and that $R = R_0[X_1, \dots, X_r]$, a polynomial ring graded in the usual way.

We deal first with the case where $r = 1$. Then $f = 1$. Set $\overline{M} := M/\Gamma_{R_+}(M)$, and recall that there is a homogeneous isomorphism $H_{R_+}^1(M) \xrightarrow{\cong} H_{R_+}^1(\overline{M})$. Therefore, since $M_{\mathfrak{q}} \cong \overline{M}_{\mathfrak{q}}$ for all $\mathfrak{q} \in \text{Spec}(R) \setminus \text{Var}(R_+)$, we can, and do, impose the additional hypothesis that $\Gamma_{R_+}(M) = 0$. (Here, $\text{Var}(\mathfrak{a})$, for an ideal \mathfrak{a} of R , denotes the variety of \mathfrak{a} .)

By hypothesis, $(0 :_{H_{R_+}^1(M)} \mathfrak{m}_0)_n \neq 0$ for all $n \ll 0$. It therefore follows that the graded R -module $\Gamma_{\mathfrak{m}_0 R}(H_{R_+}^1(M))$ is not finitely generated.

Let

$$0 \longrightarrow {}^*E^0(M) \xrightarrow{d^0} {}^*E^1(M) \xrightarrow{d^1} {}^*E^2(M) \longrightarrow \dots \longrightarrow {}^*E^i(M) \longrightarrow \dots$$

be the minimal $*$ injective resolution of M , with associated (necessarily homogeneous) augmentation homomorphism $d^{-1} : M \longrightarrow {}^*E^0(M)$. Since $\Gamma_{R_+}(M) = 0$, it follows from [S, Theorem 2.4] that $\Gamma_{R_+}({}^*E^0(M)) = 0$, so that $\Gamma_{\mathfrak{m}}({}^*E^0(M)) = 0$. Therefore

$$H_{R_+}^1(M) \cong \text{Ker}(\Gamma_{R_+}(d^1)) \quad \text{and} \quad H_{\mathfrak{m}}^1(M) \cong \text{Ker}(\Gamma_{\mathfrak{m}}(d^1)).$$

Here, $\Gamma_{R_+}(d^1) : \Gamma_{R_+}(*E^1(M)) \longrightarrow \Gamma_{R_+}(*E^2(M))$ is the map induced by d^1 , *et cetera*. Thus

$$\Gamma_{\mathfrak{m}_0 R} \left(H_{R_+}^1(M) \right) \cong \Gamma_{\mathfrak{m}_0 R} \left(\text{Ker} \left(\Gamma_{R_+}(d^1) \right) \right) = \text{Ker} \left(\Gamma_{\mathfrak{m}}(d^1) \right) \cong H_{\mathfrak{m}}^1(M).$$

Therefore, $H_{\mathfrak{m}}^1(M)$ is not finitely generated. Hence, by Grothendieck's Finiteness Theorem (see [B-S, 13.1.17]), there exists $\mathfrak{p} \in * \text{Spec}(R) \setminus \text{Var}(\mathfrak{m})$ such that $\text{depth } M_{\mathfrak{p}} + \text{ht } \mathfrak{m}/\mathfrak{p} = 1$. This means that $\mathfrak{p} \in \text{Ass}_R M$ and $\text{ht } \mathfrak{m}/\mathfrak{p} = 1$. Note that $\mathfrak{p} \not\subseteq R_+$, because $\Gamma_{R_+}(M) = 0$. Therefore $\mathfrak{p}_0 := \mathfrak{p} \cap R_0 = \mathfrak{m}_0$, since otherwise $\mathfrak{m} \supset \mathfrak{p}_0 + R_+ \supset \mathfrak{p}$ would be a chain of distinct prime ideals of R , contrary to the fact that $\text{ht } \mathfrak{m}/\mathfrak{p} = 1$. The claim is therefore proved in the case where $r = 1$.

Now suppose that $r \geq 2$, and that the desired result has been proved for smaller values of r . Note that, by Grothendieck's Finiteness Theorem, it is enough for us to show that there exists $\mathfrak{p} \in \text{Proj}(R) \cap \text{Var}(\mathfrak{m}_0 R)$ with $\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \leq f$.

By Lemma 1.7, there exists a regular local flat extension ring (R'_0, \mathfrak{m}'_0) of R_0 such that $\mathfrak{m}_0 R'_0 = \mathfrak{m}'_0$ and R'_0/\mathfrak{m}'_0 is algebraically closed. Let $R' = R \otimes_{R_0} R'_0$, which we identify with $R'_0[X_1, \dots, X_r]$ in the obvious way. Let M' denote the finitely generated graded R' -module $M \otimes_R R'$. It follows from [B-S, 13.1.8 and 15.2.2] that $f_{R'_+}(M') = f$ and $\mathfrak{m}'_0 \in \text{Ass}_{R'_0}(H_{R'_+}^f(M')_n)$ for all $n \ll 0$.

Suppose that we have found $\mathfrak{p}' \in \text{Proj}(R') \cap \text{Var}(\mathfrak{m}'_0 R')$ such that

$$\text{depth } M'_{\mathfrak{p}'} + \text{ht}(\mathfrak{p}' + R'_+)/\mathfrak{p}' \leq f.$$

Set $\mathfrak{p} := \mathfrak{p}' \cap R$. Then it follows from Lemma 1.4 that $\mathfrak{p} \in \text{Proj}(R) \cap \text{Var}(\mathfrak{m}_0 R)$ and

$$\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \leq \text{depth}_{R'_0} M'_{\mathfrak{p}'} + \text{ht}(\mathfrak{p}' + R'_+)/\mathfrak{p}' \leq f.$$

Therefore we can, and do, assume for the remainder of this proof that R_0/\mathfrak{m}_0 is algebraically closed.

We now proceed by descending induction on f . Note that $f \leq r$. So we deal first with the case where $f = r$. By Lemma 1.5, there exists $y_r \in R_1 \setminus \mathfrak{m}_0 R_1$ such that y_r is a non-zerodivisor on $M/\Gamma_{R_+}(M)$, that $f_{R_+}(M/y_r M) = r - 1$ and that

$$\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^{r-1}(M/y_r M)_n) \quad \text{for all } n \ll 0.$$

Since the image of y_r in $R_1/\mathfrak{m}_0 R_1$ is non-zero, there exist $y_1, \dots, y_{r-1} \in R_1$ such that R_1 is generated (over R_0) by y_1, \dots, y_{r-1}, y_r . Note that

$$R = R_0[y_1, \dots, y_{r-1}, y_r]$$

and that y_1, \dots, y_{r-1}, y_r are algebraically independent over R_0 . Therefore, we can, and do, assume that $y_r = X_r$.

We can consider $M/X_r M$ as a finitely generated graded module over $R/X_r R$. The Graded Independence Theorem [B-S, 13.1.6] shows that $f_{(R/X_r R)_+}(M/X_r M) = r - 1$ and that

$$\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{(R/X_r R)_+}^{r-1}(M/X_r M)_n) \quad \text{for all } n \ll 0.$$

Since $R/X_r R$ is (homogeneously) isomorphic to $R_0[X_1, \dots, X_{r-1}]$, we may apply the inductive hypothesis to deduce that there exists

$$\bar{\mathfrak{p}} \in \text{Proj}(R/X_r R) \cap \text{Var}(\mathfrak{m}_0(R/X_r R))$$

with

$$\text{depth}(M/X_r M)_{\bar{\mathfrak{p}}} + \text{ht}(\bar{\mathfrak{p}} + (R/X_r R)_+)/\bar{\mathfrak{p}} \leq r - 1.$$

Let \mathfrak{p} be the inverse image of $\bar{\mathfrak{p}}$ under the natural ring homomorphism $R \rightarrow R/X_rR$. Then $X_r \in \mathfrak{p}$ and $\mathfrak{p} \in \text{Proj}(R) \cap \text{Var}(\mathfrak{m}_0R)$; also $\text{depth } M_{\mathfrak{p}} = \text{depth}(M/X_rM)_{\bar{\mathfrak{p}}} + 1$ (because $M_{\mathfrak{p}} \cong (M/\Gamma_{R_+}(M))_{\mathfrak{p}}$) and

$$\text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} = \text{ht}(\bar{\mathfrak{p}} + (R/X_rR)_+)/\bar{\mathfrak{p}}.$$

Hence $\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \leq r$. Thus we have found a \mathfrak{p} with the required properties in the case where $f = r > 1$.

Now suppose that $f < r$ and that the desired result has been proved for larger values of f (for this value of r). There is an exact sequence $0 \rightarrow N \rightarrow F \rightarrow M \rightarrow 0$ of finitely generated graded R -modules and homogeneous homomorphisms in which F is free. By Lemma 1.6(iii), we have $f_{R_+}(N) = f + 1$; by part (ii) of the same lemma, $\mathfrak{m}_0 \in \text{Ass}_{R_0}(H_{R_+}^{f+1}(N)_n)$ for all $n \ll 0$. Therefore, by the inductive hypothesis, there exists $\mathfrak{p} \in \text{Proj}(R) \cap \text{Var}(\mathfrak{m}_0R)$ with $\text{depth } N_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \leq f + 1$.

Note that $\text{depth } M_{\mathfrak{p}} \leq \text{ht } \mathfrak{p}$: we consider the cases where $\text{depth } M_{\mathfrak{p}} < \text{ht } \mathfrak{p}$ and $\text{depth } M_{\mathfrak{p}} = \text{ht } \mathfrak{p}$ separately. When $\text{depth } M_{\mathfrak{p}} < \text{ht } \mathfrak{p}$, it follows from Lemma 1.6(i) that $\text{depth } N_{\mathfrak{p}} = \text{depth } M_{\mathfrak{p}} + 1$; therefore $\text{depth } M_{\mathfrak{p}} + \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} \leq f$. In the other case, $\text{depth } M_{\mathfrak{p}} = \text{ht } \mathfrak{p}$, so that, again by Lemma 1.6(i), $\text{depth } N_{\mathfrak{p}} = \text{ht } \mathfrak{p}$. Therefore, in this case,

$$\begin{aligned} \text{ht}(\mathfrak{m}_0 + R_+) &= \text{ht}(\mathfrak{p} + R_+) = \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} + \text{ht } \mathfrak{p} \\ &= \text{ht}(\mathfrak{p} + R_+)/\mathfrak{p} + \text{depth } N_{\mathfrak{p}} \leq f + 1 \leq r. \end{aligned}$$

Therefore $\mathfrak{m}_0 = 0$, and R_0 is a field. In this case, the desired conclusion is clear from the graded version of Grothendieck's Finiteness Theorem (see [B-S, 13.1.17]). The proof is now complete. \square

2. FURTHER EXAMINATION OF SINGH'S EXAMPLE

In [Si, §4], A. K. Singh showed that the ring

$$R' := \mathbb{Z}[X, Y, Z, U, V, W]/(XU + YV + ZW),$$

where X, Y, Z, U, V, W are independent indeterminates over \mathbb{Z} , has the property that $\text{Ass}_{R'}(H_{\mathfrak{a}}^3(R'))$ is infinite, where \mathfrak{a} is the ideal generated by the images of U, V, W . Brodmann and Hellus [B-H, (5.7)(A)] observed that Singh's argument leads to an interesting conclusion about graded components of graded local cohomology modules: we can consider $\mathbb{Z}[X, Y, Z, U, V, W]$ as a positively graded ring with 0th component $\mathbb{Z}[X, Y, Z]$ and U, V, W each assigned degree 1; R' inherits a structure as a standard positively graded ring with $R'_+ = \mathfrak{a}$; the argument Singh used to prove his result mentioned above actually shows that $\{\mathfrak{p} \cap \mathbb{Z} : \mathfrak{p} \in \text{Ass}_{R'}(H_{R'_+}^3(R'))\}$ is an infinite set, and Brodmann and Hellus noted that this implies that $\text{Ass}_{R'_0}(H_{R'_+}^3(R'))_n$ is not asymptotically stable for $n \rightarrow \infty$.

Our aim in the rest of this paper is to use Gröbner basis techniques on Singh's example to identify precisely the set $\text{Ass}_{R'_0}(H_{R'_+}^3(R'))_n$ for each $n \leq -3$, and to then deduce that $\text{Ass}_{R'_0}(H_{R'_+}^3(R'))_n$ is not asymptotically increasing for $n \rightarrow \infty$.

2.1. Notation. Throughout the rest of the paper, the symbol L will denote either a field or a principal ideal domain (PID), and R will denote the polynomial ring $L[X, Y, Z, U, V, W]$, graded so that U, V, W have degree 1 and X, Y, Z have degree 0; thus $R_0 = L[X, Y, Z]$. We shall set $F := XU + YV + ZW$, and $R' := R/FR$, again a standard positively graded ring. The natural map $R \rightarrow R'$ maps R_0 isomorphically

onto R'_0 , and so we shall identify elements of R_0 with their natural images in R'_0 . In the case where $L = \mathbb{Z}$, the rings R and R' are those occurring in Singh's example mentioned above. However, it will be helpful in another context to have some calculations available in the case where L is the rational field, for example.

Since $H^3_{R'_+}(R')$ is homogeneously isomorphic to $H^3_{R_+}(R/FR)$, we can use the exact sequence

$$H^3_{R_+}(R)(-1) \xrightarrow{F} H^3_{R_+}(R) \longrightarrow H^3_{R_+}(R/FR) \longrightarrow 0$$

of graded R -modules and homogeneous homomorphisms (induced from the exact sequence

$$0 \longrightarrow R(-1) \xrightarrow{F} R \longrightarrow R/FR \longrightarrow 0)$$

to study $H^3_{R'_+}(R')$. Also, we can realize $H^3_{R_+}(R)$ as the module $R_0[U^-, V^-, W^-]$ of inverse polynomials described in [B-S, 12.4.1]: this graded R -module has end -3 , and, for each $d \geq 3$, its $(-d)$ -th component is a free R_0 -module of rank $\binom{d-1}{2}$ with base $(U^\alpha V^\beta W^\gamma)_{-\alpha, -\beta, -\gamma \in \mathbb{N}, \alpha + \beta + \gamma = -d}$. We plan to study the graded components of $H^3_{R_+}(R/FR)$ by considering the cokernels of the R_0 -homomorphisms

$$F_{-d} : R_0[U^-, V^-, W^-]_{-d-1} \longrightarrow R_0[U^-, V^-, W^-]_{-d} \quad (d \geq 3)$$

given by multiplication by F . In order to represent these R_0 -homomorphisms between free R_0 -modules by matrices, we specify an ordering for each of the above-mentioned bases by declaring that

$$U^{\alpha_1} V^{\beta_1} W^{\gamma_1} < U^{\alpha_2} V^{\beta_2} W^{\gamma_2}$$

(where $-\alpha_i, -\beta_i, -\gamma_i \in \mathbb{N}$ and $\alpha_i + \beta_i + \gamma_i = n \leq -3$ for $i = 1, 2$) precisely when $\alpha_1 > \alpha_2$ or $\alpha_1 = \alpha_2$ and $\beta_1 > \beta_2$. For example, this ordering on our base for $R_0[U^-, V^-, W^-]_{-5}$ is such that

$$\begin{aligned} U^{-1}V^{-1}W^{-3} &< U^{-1}V^{-2}W^{-2} < U^{-1}V^{-3}W^{-1} < U^{-2}V^{-1}W^{-2} \\ &< U^{-2}V^{-2}W^{-1} < U^{-3}V^{-1}W^{-1}. \end{aligned}$$

We shall frequently need to consider an R_0 -homomorphism from the free R_0 -module R_0^n (regarded as consisting of column vectors) to R_0^m (where m and n are positive integers) given by left multiplication by an $m \times n$ matrix C with entries in R_0 . In these circumstances, we shall also use C to denote the homomorphism; its image $\text{Im } C$ is just the submodule of R_0^m generated by the columns of C , for if $(\mathbf{e}_i)_{i=1, \dots, n}$ denotes the standard base for R_0^n , then $C\mathbf{e}_j$ is just the j -th column of C (for $1 \leq j \leq n$).

The theory of Gröbner bases is well developed for ideals in polynomial rings in finitely many indeterminates with coefficients in a principal ideal domain, and for submodules of finite free modules over polynomial rings in finitely many indeterminates over a field (see, for example, [A-L, Chapter 3]). It is straightforward to combine the methods from these two parts of the theory to produce a theory of Gröbner bases for submodules of finite free $L[X, Y, Z]$ -modules. Thus much of the work below applies both to the case where L is a PID and the case where L is a field.

In this paper, we use the lexicographical term order with $X > Y > Z$ in R_0 , and for each $n \in \mathbb{N}$ we set $>$ to be the 'term-over-position' extension of this order to R_0^n defined as follows: a monomial in R_0^n is a column vector of the form $m\mathbf{e}_j$, where m is a monomial in R_0 and \mathbf{e}_j is the j -th standard base vector of R_0^n ; and

$m_1 \mathbf{e}_{j_1} > m_2 \mathbf{e}_{j_2}$ (for monomials m_1, m_2 of R_0 and $j_1, j_2 \in \{1, \dots, n\}$) if and only if

$$m_1 > m_2 \quad \text{or} \quad m_1 = m_2 \quad \text{and} \quad j_1 < j_2.$$

If A is an $m \times n$ matrix with entries in R_0 (we shall say ‘over R_0 ’) and $\mathbf{f}, \mathbf{h} \in R_0^n$, then we shall say that \mathbf{f} reduces to \mathbf{h} modulo A , denoted by $\mathbf{f} \xrightarrow{A}_+ \mathbf{h}$, when \mathbf{f} reduces to \mathbf{h} modulo the set of columns of A (see [A-L, Definition 3.5.8], but modify that definition to imitate [A-L, Definitions 4.1.1 and 4.1.6] in the case where L is a PID).

We shall denote the leading monomial, leading coefficient and leading term of $\mathbf{f} \in R_0^n$ by $\text{lm}(\mathbf{f})$, $\text{lc}(\mathbf{f})$ and $\text{lt}(\mathbf{f})$ respectively.

We shall use I_n to denote the $n \times n$ identity matrix. For each $n \in \mathbb{N}$, we let A_n denote the $n \times (n + 1)$ matrix given by

$$A_n = \begin{bmatrix} Z & Y & 0 & \dots & 0 \\ 0 & Z & Y & 0 & \dots \\ \vdots & & \ddots & \ddots & \\ 0 & \dots & 0 & Z & Y \end{bmatrix}.$$

2.2. Lemma. *Let $d \in \mathbb{N}$ with $d \geq 3$.*

(i) *With the notation of 2.1, the R_0 -homomorphism*

$$F_{-d} : R_0[U^-, V^-, W^-]_{-d-1} \longrightarrow R_0[U^-, V^-, W^-]_{-d}$$

given by multiplication by F is represented, relative to the bases specified in 2.1 listed in increasing order, by the $\binom{d-1}{2} \times \binom{d}{2}$ matrix

$$T_d := \begin{bmatrix} A_{d-2} & XI_{d-2} & 0 & \dots & & 0 \\ 0 & A_{d-3} & XI_{d-3} & 0 & \dots & 0 \\ 0 & 0 & A_{d-4} & XI_{d-4} & & 0 \\ \vdots & \vdots & & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & 0 & A_1 & XI_1 \end{bmatrix},$$

where A_{d-2}, \dots, A_1 are as defined in 2.1.

(ii) *Each associated prime in $\text{Ass}_{R_0}(H_{R'_+}^3(R')_{-d})$ contains X, Y and Z .*

(iii) *$(X, Y, Z) \in \text{Ass}_{R_0}(H_{R'_+}^3(R')_{-d})$.*

Proof. (i) This follows from the fact that, for negative integers α, β, γ ,

$$F(U^\alpha V^\beta W^\gamma) = X(1 - \delta_{\alpha,-1})U^{\alpha+1}V^\beta W^\gamma + Y(1 - \delta_{\beta,-1})U^\alpha V^{\beta+1}W^\gamma + Z(1 - \delta_{\gamma,-1})U^\alpha V^\beta W^{\gamma+1},$$

where $\delta_{i,j}$ is Kronecker’s delta.

(ii) Consider the last column of T_d to see that $X\mathbf{e}_{\binom{d-1}{2}} \in \text{Im } T_d$; therefore $XY\mathbf{e}_{\binom{d-1}{2}}, XZ\mathbf{e}_{\binom{d-1}{2}} \in \text{Im } T_d$, so that $X^2\mathbf{e}_{\binom{d-1}{2}-1}, X^2\mathbf{e}_{\binom{d-1}{2}-2} \in \text{Im } T_d$ in view of the next-to-last and second-to-last columns of T_d ; we can now continue in this way to see that each element of $\text{Coker } T_d = \text{Coker } F_{-d}$ is annihilated by X^{d-2} . By symmetry, Y^{d-2} and Z^{d-2} also annihilate $\text{Coker } F_{-d} = \text{Coker } T_d$.

(iii) It is clear from part (i) that $(\text{Im } F_{-3} :_{R_0} U^{-1}V^{-1}W^{-1}) = (X, Y, Z)$. Hence $(0 :_{R_0} \text{Coker } F_{-3}) = (X, Y, Z)$. Now multiplication by U^{d-3} induces an R_0 -epimorphism $\text{Coker } F_{-d} \longrightarrow \text{Coker } F_{-3}$, so that, in view of the above proof of part (ii), we have

$$(X^{d-2}, Y^{d-2}, Z^{d-2}) \subseteq (0 :_{R_0} \text{Coker } F_{-d}) \subseteq (0 :_{R_0} \text{Coker } F_{-3}) = (X, Y, Z).$$

Therefore (X, Y, Z) is a minimal member of the support of $\text{Coker } F_{-d}$. □

2.3. Lemma. *Let $k, m, n, q \in \mathbb{N}_0$ with $m, n > 0$. Let $A = [a_{ij}]$ be an $m \times n$ matrix with entries in $L[Y, Z]$, let $\mathbf{f} \in L[Y, Z]^n$, and let M and M' denote the $(k + n + m + q)$ -rowed block matrices over R_0 given by*

$$M := \begin{bmatrix} 0 & 0 \\ XI_n & \mathbf{f} \\ A & 0 \\ 0 & 0 \end{bmatrix} \quad \text{and} \quad M' := \begin{bmatrix} 0 \\ XI_n \\ A \\ 0 \end{bmatrix},$$

in which the first k and last q rows are all zero.

Then each S -polynomial of two columns of M is either 0 or reduces modulo M' to

$$\begin{bmatrix} 0 \\ 0 \\ \pm A\mathbf{f} \\ 0 \end{bmatrix}$$

(in which the lowest ‘0’ stands for the $q \times 1$ zero matrix), and these column matrices do arise from S -polynomials in this way.

Proof. Suppose $\mathbf{f} \neq 0$, and let $\mathbf{f} = \sum_{j=1}^t c_{i_j} T_{i_j} \mathbf{e}_{i_j}$ be an expression for \mathbf{f} as a sum of terms, where the T_{i_j} are monomials in Y and Z and the c_{i_j} are elements of L ; suppose that $\text{lt}(\mathbf{f}) = c_{i_h} T_{i_h} \mathbf{e}_{i_h}$. Let \mathbf{m}_j denote the j th column of M , for each $j = 1, \dots, n + 1$.

Since $\mathbf{f} \in L[Y, Z]$, we have $\text{lcm}(T_{i_h}, X) = T_{i_h} X$. All S -polynomials of two columns of M are zero except possibly for those of \mathbf{m}_{i_h} and \mathbf{m}_{n+1} . Note that

$$\mathbf{m}_{n+1} = \sum_{j=1}^t c_{i_j} T_{i_j} \mathbf{e}_{i_j+k} \quad \text{and} \quad \mathbf{m}_i = X\mathbf{e}_{i+k} + \sum_{\rho=1}^m a_{\rho i} \mathbf{e}_{\rho+k+n} \quad (1 \leq i \leq n).$$

We have

$$\begin{aligned} S(\mathbf{m}_{i_h}, \mathbf{m}_{n+1}) &= \frac{c_{i_h}}{1} \frac{T_{i_h} X}{X} \mathbf{m}_{i_h} - \frac{c_{i_h}}{c_{i_h}} \frac{T_{i_h} X}{T_{i_h}} \mathbf{m}_{n+1} = c_{i_h} T_{i_h} \mathbf{m}_{i_h} - X\mathbf{m}_{n+1} \\ &= c_{i_h} T_{i_h} X\mathbf{e}_{i_h+k} + \sum_{\rho=1}^m a_{\rho i_h} c_{i_h} T_{i_h} \mathbf{e}_{\rho+k+n} - \sum_{j=1}^t c_{i_j} X T_{i_j} \mathbf{e}_{i_j+k} \\ &= \sum_{\rho=1}^m a_{\rho i_h} c_{i_h} T_{i_h} \mathbf{e}_{\rho+k+n} - \sum_{\substack{j=1 \\ j \neq h}}^t c_{i_j} X T_{i_j} \mathbf{e}_{i_j+k} \\ &\xrightarrow{M'} \sum_{\rho=1}^m a_{\rho i_h} c_{i_h} T_{i_h} \mathbf{e}_{\rho+k+n} - \sum_{\substack{j=1 \\ j \neq h}}^t c_{i_j} X T_{i_j} \mathbf{e}_{i_j+k} + \sum_{\substack{j=1 \\ j \neq h}}^t c_{i_j} T_{i_j} \mathbf{m}_{i_j} \\ &= \sum_{j=1}^t \sum_{\rho=1}^m a_{\rho i_j} c_{i_j} T_{i_j} \mathbf{e}_{\rho+k+n} = \begin{bmatrix} 0 \\ 0 \\ A\mathbf{f} \\ 0 \end{bmatrix}, \end{aligned}$$

as claimed. □

2.4. Theorem. *Consider the matrix*

$$T_d := \begin{bmatrix} A_{d-2} & XI_{d-2} & 0 & \dots & \dots & 0 \\ 0 & A_{d-3} & XI_{d-3} & 0 & \dots & 0 \\ 0 & 0 & A_{d-4} & XI_{d-4} & & 0 \\ \vdots & \vdots & & \ddots & \ddots & \vdots \\ 0 & 0 & \dots & 0 & A_1 & XI_1 \end{bmatrix}$$

of 2.2. Define matrices $G_{d-2}, G_{d-3}, \dots, G_1$ by descending induction as follows: let G_{d-2} be a $(d-2)$ -rowed matrix with entries in $L[Y, Z]$ whose columns include those of A_{d-2} and provide a Gröbner basis for $\text{Im } A_{d-2}$; for $i \in \mathbb{N}$ with $d-2 > i \geq 1$, on the assumption that G_{i+1} has been defined as an $(i+1)$ -rowed matrix with entries in $L[Y, Z]$, let G_i be an i -rowed matrix with entries in $L[Y, Z]$ whose columns include those of $A_i G_{i+1}$ and provide a Gröbner basis for $\text{Im } A_i G_{i+1}$. Then:

(i) *the columns of*

$$T'_d := \left[\begin{array}{cccccc|cccc} A_{d-2} & XI_{d-2} & 0 & \dots & \dots & 0 & G_{d-2} & 0 & \dots & 0 \\ 0 & A_{d-3} & XI_{d-3} & 0 & \dots & 0 & 0 & G_{d-3} & 0 & \dots & 0 \\ 0 & 0 & A_{d-4} & XI_{d-4} & & 0 & 0 & 0 & G_{d-4} & & 0 \\ \vdots & \vdots & & \ddots & \ddots & \vdots & \vdots & \vdots & & \ddots & \vdots \\ 0 & 0 & \dots & 0 & A_1 & XI_1 & 0 & 0 & \dots & 0 & G_1 \end{array} \right]$$

form a Gröbner basis for $\text{Im } T'_d = \text{Im } T_d$; and

(ii) *the columns of*

$$H_d := \left[\begin{array}{cccccc} A_{d-2} & 0 & 0 & \dots & 0 \\ 0 & A_{d-3}A_{d-2} & 0 & \dots & 0 \\ 0 & 0 & A_{d-4}A_{d-3}A_{d-2} & \dots & 0 \\ \vdots & \vdots & & \ddots & \vdots \\ 0 & 0 & \dots & & A_1 A_2 \dots A_{d-2} \end{array} \right]$$

generate $\text{Im } T_d \cap L[Y, Z]^{\binom{d-1}{2}}$.

Proof. (i) Let $\mathbf{s} = S(\mathbf{f}, \mathbf{g})$ be a non-zero S -polynomial of two columns \mathbf{f} and \mathbf{g} of T'_d . There are various cases to consider.

First of all, if \mathbf{f} and \mathbf{g} have leading terms in one of the first $d-2$ rows of T'_d , then either $\mathbf{s} \xrightarrow{T'_d}_+ 0$ because the columns of $[A_{d-2}|G_{d-2}]$ form a Gröbner basis, or else \mathbf{s} reduces modulo T'_d to a column of

$$\begin{bmatrix} 0 \\ \pm A_{d-3}G_{d-2} \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

by Lemma 2.3; since the columns of G_{d-3} include the columns of $A_{d-3}G_{d-2}$, it follows that $\mathbf{s} \xrightarrow{T'_d}_+ 0$ in this case also.

Now suppose that $i \in \mathbb{N}$ with $d - 2 > i > 1$ and that \mathbf{f} and \mathbf{g} have leading terms in row

$$k + \sum_{j=i+1}^{d-2} j \quad \text{for some } k \in \{1, \dots, i\}.$$

Then either $\mathbf{s} \xrightarrow{T'_d} 0$ because the columns of G_i form a Gröbner basis, or else \mathbf{s} reduces modulo T'_d to a column of

$$\begin{bmatrix} 0 \\ \vdots \\ 0 \\ \pm A_{i-1}G_i \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

(where the block $A_{i-1}G_i$ is in the rows corresponding to those where the blocks A_{i-1} and G_{i-1} are positioned in T'_d), by Lemma 2.3 again; since the columns of G_{i-1} include the columns of $A_{i-1}G_i$, it follows that $\mathbf{s} \xrightarrow{T'_d} 0$ in this case also.

Finally, suppose that \mathbf{f} and \mathbf{g} have leading terms in the last row of T'_d . In this case, either $\mathbf{s} \xrightarrow{T'_d} 0$ because the columns of G_1 form a Gröbner basis, or $\mathbf{s} = S \left(X\mathbf{e}_{\binom{d-1}{2}}, h\mathbf{e}_{\binom{d-1}{2}} \right)$ for some $h \in L[Y, Z]$; in the latter case, \mathbf{s} reduces to 0 in one step modulo $\{X\mathbf{e}_{\binom{d-1}{2}}\}$.

Thus, in all cases, $\mathbf{s} \xrightarrow{T'_d} 0$. Hence, by (the analogue of) [A-L, Theorem 3.5.19], the columns of T'_d form a Gröbner basis.

To complete the proof of part (i), it only remains for us to show that $\text{Im } T'_d = \text{Im } T_d$. It is easy to see by descending induction on i that, for all $i = d - 2, d - 3, \dots, 1$, the columns of G_i include the columns of $A_i A_{i+1} \dots A_{d-2}$ and form a Gröbner basis (over $L[Y, Z]$) for $\text{Im } A_i A_{i+1} \dots A_{d-2}$; hence (over both $L[Y, Z]$ and $L[X, Y, Z]$)

$$\text{Im } A_i A_{i+1} \dots A_{d-2} = \text{Im } A_i G_{i+1} = \text{Im } G_i \quad \text{for all } i = d - 3, d - 4, \dots, 1.$$

By Lemma 2.3, for such an i , each column of

$$\begin{bmatrix} 0 \\ \vdots \\ 0 \\ A_i G_{i+1} \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

(in which the block $A_i G_{i+1}$ occupies the rows corresponding to those occupied by A_i in T_d) can be obtained as a result of reducing modulo T_d the S -polynomial of a

column of T_d and a column of

$$\begin{bmatrix} 0 \\ \vdots \\ 0 \\ G_{i+1} \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

(in which the block G_{i+1} occupies the rows corresponding to those occupied by A_{i+1} in T_d). The claim in part (i) now follows from another use of descending induction.

(ii) Since the lexicographical order we are using on $L[X, Y, Z]$ is an elimination order with X greater than Y and Z , it follows from (the analogue of) [A-L, Theorem 3.6.6] that the intersection of the set of columns of T'_d with $L[Y, Z]^{\binom{d-1}{2}}$ provides a Gröbner basis for $\text{Im } T_d \cap L[Y, Z]^{\binom{d-1}{2}}$.

Therefore the columns of

$$\left[\begin{array}{c|cccc} A_{d-2} & G_{d-2} & 0 & \dots & 0 \\ 0 & 0 & G_{d-3} & 0 & \dots & 0 \\ 0 & 0 & 0 & G_{d-4} & & 0 \\ \vdots & \vdots & \vdots & & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 & G_1 \end{array} \right]$$

form a Gröbner basis for $\text{Im } T_d \cap L[Y, Z]^{\binom{d-1}{2}}$, and the claim in part (ii) follows from this. □

The following lemma provides motivation for part (ii) of the above theorem.

2.5. Lemma. *Consider the matrices T_d of 2.2 and H_d of 2.4. Let $r \in L \setminus \{0\}$. Then r annihilates a non-zero element of $\text{Coker } T_d$ if and only if r annihilates a non-zero element of the quotient $L[Y, Z]$ -module $\text{Coker } H_d$ of $L[Y, Z]^{\binom{d-1}{2}}$.*

Proof. Suppose that r annihilates a non-zero element of $\text{Coker } T_d$. Thus there exists a $v \in R_0^{\binom{d-1}{2}} \setminus \text{Im } T_d$ such that $rv \in \text{Im } T_d$. We can and do assume that v has been chosen so that its leading term is minimal among the leading terms of all possible such columns. But $Xe_1, Xe_2, \dots, Xe_{\binom{d-1}{2}}$ are all leading terms of columns of T_d , and so v does not involve X . In view of this and the fact, established in 2.4, that $\text{Im } H_d \subseteq \text{Im } T_d$, we have $v \in L[Y, Z]^{\binom{d-1}{2}} \setminus \text{Im } H_d$. Furthermore, $rv \in \text{Im } T_d \cap L[Y, Z]^{\binom{d-1}{2}}$, and, by 2.4, this is the $L[Y, Z]$ -submodule of $L[Y, Z]^{\binom{d-1}{2}}$ generated by the columns of H_d .

The converse is even easier. □

2.6. Proposition. *For each integer $i = 0, \dots, n - 1$, $A_{i+1}A_{i+2} \dots A_n$ is the $(i + 1) \times (n + 1)$ matrix*

$$\begin{bmatrix} Z^{n-i} & \dots & \dots & \binom{n-i}{j} Z^{n-i-j} Y^j & \dots & \dots & Y^{n-i} & 0 & 0 & \dots \\ 0 & Z^{n-i} & \dots & \dots & \binom{n-i}{j} Z^{n-i-j} Y^j & \dots & \dots & Y^{n-i} & 0 & \dots \\ & & \ddots & & & & & & & \ddots \\ \dots & 0 & 0 & Z^{n-i} & \dots & \dots & \binom{n-i}{j} Z^{n-i-j} Y^j & \dots & \dots & Y^{n-i} \end{bmatrix}.$$

Proof. The result follows from an easy reverse induction on i . □

The particular case of 2.6 in which $i = 0$ yields the following.

2.7. Corollary. $A_1 A_2 \dots A_n$ is the $1 \times (n + 1)$ matrix whose $(1, i + 1)$ -th entry is $\binom{n}{i} Y^i Z^{n-i}$ for all $i = 0, \dots, n$.

2.8. Proposition. Let $r, k \in \mathbb{N}$, and let $Q_{r,r+k}$ be the $r \times (r + k)$ matrix with entries in $L[Y, Z]$ given by

$$Q_{r,r+k} := \begin{bmatrix} Z^k & \dots & \dots & \binom{k}{j} Z^{k-j} Y^j & \dots & \dots & Y^k & 0 & 0 & \dots \\ 0 & Z^k & \dots & \dots & \binom{k}{j} Z^{k-j} Y^j & \dots & \dots & Y^k & 0 & \dots \\ & & \ddots & & & & & & \ddots & \\ \dots & 0 & 0 & Z^k & \dots & \dots & \binom{k}{j} Z^{k-j} Y^j & \dots & \dots & Y^k \end{bmatrix},$$

let \mathbf{c}_j denote the j -th column of $Q_{r,r+k}$ (for $j = 1, \dots, r + k$), and let $\tilde{Q}_{r,r+k}$ be the result of evaluation of $Q_{r,r+k}$ at $Y = Z = 1$. Thus

$$\tilde{Q}_{r,r+k} := \begin{bmatrix} 1 & \dots & \dots & \binom{k}{j} & \dots & \dots & 1 & 0 & 0 & \dots \\ 0 & 1 & \dots & \dots & \binom{k}{j} & \dots & \dots & 1 & 0 & \dots \\ & & \ddots & & & & & & \ddots & \\ \dots & 0 & 0 & 1 & \dots & \dots & \binom{k}{j} & \dots & \dots & 1 \end{bmatrix}.$$

Consider $L[Y, Z]$ as an \mathbb{N}_0^2 -graded ring in which $L[Y, Z]_{(0,0)} = L$ and $\deg Y^i Z^j = (i + j, i)$. Turn the free $L[Y, Z]$ -module

$$L[Y, Z]^r = L[Y, Z] \mathbf{e}_1 \oplus \dots \oplus L[Y, Z] \mathbf{e}_r$$

into an \mathbb{N}_0^2 -graded module over the \mathbb{N}_0^2 -graded ring $L[Y, Z]$ in such a way that $\deg \mathbf{e}_i = (0, i)$ for $i = 1, \dots, r$. All references to gradings in the rest of this proposition and its proof refer to this \mathbb{N}_0^2 -grading.

(i) For all $i \in \mathbb{N}_0$ and $j \in \mathbb{N}$, the component $(L[Y, Z]^r)_{(i,j)}$ is a free L -module with base

$$(Y^{j-\rho} Z^{i-j+\rho} \mathbf{e}_\rho)_{\rho=\max\{j-i,1\}, \dots, \min\{j,r\}}.$$

(Of course, we interpret a free module with an empty base as 0.)

(ii) $\text{Im } Q_{r,r+k}$ is a graded submodule of $L[Y, Z]^r$, and, for all $i \in \mathbb{N}_0, j \in \mathbb{N}$,

$$(\text{Im } Q_{r,r+k})_{(i,j)} = \begin{cases} 0 & \text{if } i < k, \\ \sum_{\sigma=\max\{j+k-i,1\}}^{\min\{j,r+k\}} LY^{j-\sigma} Z^{i-j+\sigma-k} \mathbf{c}_\sigma & \text{if } i \geq k, \\ (L[Y, Z]^r)_{(i,j)} & \text{if } i \geq 2k + r \\ & \text{or } j \geq k + r. \end{cases}$$

(iii) The \mathbb{N}_0^2 -graded $L[Y, Z]$ -module $\text{Coker } Q_{r,r+k}$ vanishes in all except finitely many degrees; in fact, $\text{Coker } Q_{r,r+k}$ is a finitely generated L -module with

$$\text{Coker } Q_{r,r+k} = \bigoplus_{i=0}^{2k+r-1} \bigoplus_{j=1}^{k+r-1} (\text{Coker } Q_{r,r+k})_{(i,j)};$$

for $0 \leq i < k$ (and $j \in \mathbb{N}$), the component $(\text{Coker } Q_{r,r+k})_{(i,j)}$ is a free L -module; and for $k \leq i \leq 2k + r - 1$ and $1 \leq j \leq k + r - 1$, the component

$(\text{Coker } Q_{r,r+k})_{(i,j)}$, as an L -module, is isomorphic to the cokernel of a submatrix of $\tilde{Q}_{r,r+k}$ made up of the (consecutive) columns of that matrix numbered $\max\{j+k-i, 1\}, \max\{j+k-i, 1\} + 1, \dots, \min\{j, r+k\}$.

Proof. (i) This is immediate from the fact that, for $\alpha, \beta \in \mathbb{N}_0$ and $\rho \in \{1, \dots, r\}$, we have $\deg Y^\alpha Z^\beta \mathbf{e}_\rho = (\alpha + \beta, \alpha + \rho)$.

(ii) Note that \mathbf{c}_j is a homogeneous element of $L[Y, Z]^r$ of degree (k, j) (for all $j = 1, \dots, k+r$). Hence $\text{Im } Q_{r,r+k}$ is a graded submodule of $L[Y, Z]^r$, and a homogeneous element of $\text{Im } Q_{r,r+k}$ is expressible as an $L[Y, Z]$ -linear combination of the columns of $Q_{r,r+k}$ in which all the coefficients are homogeneous. Note that $\deg Y^\alpha Z^\beta \mathbf{c}_\sigma = (\alpha + \beta + k, \alpha + \sigma)$ (for $\alpha, \beta \in \mathbb{N}_0$ and $\sigma \in \{1, \dots, r\}$). Hence

$$(\text{Im } Q_{r,r+k})_{(i,j)} = 0$$

if $i < k$, while

$$(\text{Im } Q_{r,r+k})_{(i,j)} = \sum_{\sigma=\max\{j+k-i, 1\}}^{\min\{j, r+k\}} LY^{j-\sigma} Z^{i-j+\sigma-k} \mathbf{c}_\sigma$$

if $i \geq k$.

Notice that the vectors $Z^k \mathbf{e}_1, Z^{k+1} \mathbf{e}_2, \dots, Z^{k+r-1} \mathbf{e}_r$ and $Y^{k+r-1} \mathbf{e}_1, Y^{k+r-2} \mathbf{e}_2, \dots, Y^k \mathbf{e}_r$ are all in $\text{Im } Q_{r,r+k}$: for any $1 < s \leq r$ multiply the s -th column of $Q_{r,r+k}$ by Z^{s-1} and reduce with respect to $Z^k \mathbf{e}_1, Z^{k+1} \mathbf{e}_2, \dots, Z^{k+s-2} \mathbf{e}_{s-1}$ to obtain $Z^{k+s-1} \mathbf{e}_s \in \text{Im } Q_{r,r+k}$; a similar argument shows that

$$Y^k \mathbf{e}_r, Y^{k+1} \mathbf{e}_{r-1}, \dots, Y^{k+r-1} \mathbf{e}_1 \in \text{Im } Q_{r,r+k}.$$

Therefore, if $i \geq 2k+r$ or $j \geq k+r$, then

$$Y^{j-\rho} Z^{i-j+\rho} \mathbf{e}_\rho \in \text{Im } Q_{r,r+k} \quad \text{for all } \rho = \max\{j-i, 1\}, \dots, \min\{j, r\}$$

since $Y^{j-\rho} \mathbf{e}_\rho \in \text{Im } Q_{r,r+k}$ if $j \geq k+r$, while if $j < k+r$ and $i \geq 2k+r$, then $i-j \geq k+1$ and $Z^{i-j+\rho} \mathbf{e}_\rho \in \text{Im } Q_{r,r+k}$. Thus, for $i \geq 2k+r$ or $j \geq k+r$, all the members of the base found in part (i) for the free L -module $L[Y, Z]_{(i,j)}^r$ lie in $\text{Im } Q_{r,r+k}$.

(iii) All the claims of this except the final one now follow from the previous parts. To deal with the final one, suppose that $k \leq i \leq 2k+r-1$ and $1 \leq j \leq k+r-1$. We shall use ‘overlines’ to denote natural images in cokernels of elements of free modules. It will be convenient to abbreviate $\min\{j, r+k\}$ by γ and $\max\{j+k-i, 1\}$ by β ; the conditions imposed on i and j ensure that $\beta \leq \gamma$.

By part (i), $(\text{Coker } Q_{r,r+k})_{(i,j)}$ is generated, as an L -module, by

$$\left\{ \overline{Y^{j-\rho} Z^{i-j+\rho} \mathbf{e}_\rho} : \rho = \max\{j-i, 1\}, \dots, \min\{j, r\} \right\}.$$

(Note that, once again, the conditions imposed on i and j ensure that

$$\max\{j-i, 1\} \leq \min\{j, r\}.)$$

The fact that, for each $\sigma = \beta, \dots, \gamma$, we have

$$\overline{Y^{j-\sigma} Z^{i-j+\sigma-k} \mathbf{c}_\sigma} = 0$$

shows that the σ -th column of $\tilde{Q}_{r,r+k}$ leads to a column of relations on the generators displayed above. Furthermore, part (ii) shows that every column of relations on those generators is an L -linear combination of the columns of relations arising (in this way) from the β -th, $(\beta+1)$ -th, \dots , γ -th columns of $\tilde{Q}_{r,r+k}$. \square

2.9. *Remark.* Note that, with the notation of 2.8 (and provided $r > 1$), we have

$$Q_{r-1,r+k} = Q_{r-1,r}Q_{r,r+k}.$$

2.10. *Remark.* Let B be a matrix with integer entries and positive rank d ; let p be a prime number. Then it follows from the theory of the Smith normal form that $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\text{Coker } B)$ if and only if the ideal generated by the $d \times d$ minors of B is contained in $p\mathbb{Z}$.

In view of Remark 2.10 and Proposition 2.8, we are going, in the case when $L = \mathbb{Z}$, to be interested in the value of the determinant of a square matrix (with integer entries) of the form

$$\Omega := \begin{bmatrix} \binom{k}{i} & \binom{k}{i+1} & \cdots & \binom{k}{i+s-1} \\ \binom{k}{i-1} & \binom{k}{i} & \cdots & \binom{k}{i+s-2} \\ \vdots & \vdots & & \vdots \\ \binom{k}{i-s+1} & \binom{k}{i-s+2} & \cdots & \binom{k}{i} \end{bmatrix},$$

where $k, s \in \mathbb{N}$, $i \in \mathbb{N}_0$ and we use the convention that a binomial coefficient $\binom{\xi}{\eta}$ is 0 if either $\eta < 0$ or $\eta > \xi$. The value of this determinant was known to V. van Zeipel in 1865 [Z]; the calculation is described in [Mu, Chapter XX]. For the convenience of the reader, we indicate a route to the answer.

2.11. **Proposition** (see van Zeipel [Z]). *Let Ω be as displayed above. Then*

$$\det \Omega = \prod_{j=0}^{s-1} \frac{\binom{k+s-1-j}{i}}{\binom{i+j}{i}}.$$

Proof. Add the penultimate row of Ω to the last row; in the result, add the $(r - 2)$ -th row to the $(r - 1)$ -th, and continue in this way until the first row has been added to the second. In this way one sees that

$$\det \Omega = \begin{vmatrix} \binom{k}{i} & \binom{k}{i+1} & \cdots & \binom{k}{i+s-1} \\ \binom{k+1}{i} & \binom{k+1}{i+1} & \cdots & \binom{k+1}{i+s-1} \\ \binom{k+1}{i-1} & \binom{k+1}{i} & \cdots & \binom{k+1}{i+s-2} \\ \vdots & \vdots & & \vdots \\ \binom{k+1}{i-s+2} & \binom{k+1}{i-s+3} & \cdots & \binom{k+1}{i+1} \end{vmatrix}.$$

Now repeat the same sequence of elementary row operations, but this time stop after the second row has been added to the third; then do a further such sequence, this time stopping after the third row has been added to the fourth. Continue in this way to see that

$$\det \Omega = \begin{vmatrix} \binom{k}{i} & \binom{k}{i+1} & \cdots & \binom{k}{i+s-1} \\ \binom{k+1}{i} & \binom{k+1}{i+1} & \cdots & \binom{k+1}{i+s-1} \\ \binom{k+2}{i} & \binom{k+2}{i+1} & \cdots & \binom{k+2}{i+s-1} \\ \vdots & \vdots & & \vdots \\ \binom{k+s-1}{i} & \binom{k+s-1}{i+1} & \cdots & \binom{k+s-1}{i+s-1} \end{vmatrix}.$$

We proceed by induction on i . When $i = 0$, it is clear from the initial form of Ω that $\det \Omega = 1$, and the claim is true. We therefore assume that $i > 0$, and make the obvious inductive assumption.

With reference to the last display, take out a factor $k + j - 1$ from the j -th row ($1 \leq j \leq s$) and a factor $1/(i + l - 1)$ from the l -th column ($1 \leq l \leq s$) to see that

$$\det \Omega = \frac{k(k+1)\dots(k+s-1)}{i(i+1)\dots(i+s-1)} \begin{vmatrix} \binom{k-1}{i-1} & \binom{k-1}{i} & \cdots & \binom{k-1}{i+s-2} \\ \binom{k}{i-1} & \binom{k}{i} & \cdots & \binom{k}{i+s-2} \\ \binom{k+1}{i-1} & \binom{k+1}{i} & \cdots & \binom{k+1}{i+s-2} \\ \vdots & \vdots & & \vdots \\ \binom{k+s-2}{i-1} & \binom{k+s-2}{i} & \cdots & \binom{k+s-2}{i+s-2} \end{vmatrix}.$$

Now use the inductive hypothesis. □

2.12. Corollary. *In the situation of Proposition 2.11, we have*

$$\det \Omega = \frac{\prod_{j=0}^{s-1} \binom{k+s-1}{i+j}}{\prod_{j=0}^{s-1} \binom{k+s-1}{j}}.$$

Proof. First note that, for $j \in \{1, \dots, s-1\}$, we have

$$\binom{k+s-1-j}{i} = \binom{k+s-1}{i+j} \frac{(i+1)\dots(i+j)}{(k+s-j)\dots(k+s-1)}.$$

It therefore follows from Proposition 2.11 that

$$\begin{aligned} \det \Omega &= \prod_{j=0}^{s-1} \frac{\binom{k+s-1-j}{i}}{\binom{i+j}{i}} \\ &= \left(\prod_{j=0}^{s-1} \binom{k+s-1}{i+j} \frac{i!j!}{i!} \right) \left(\prod_{j=0}^{s-1} \frac{1}{(k+s-j)\dots(k+s-1)} \right) \\ &= \left(\prod_{j=0}^{s-1} \binom{k+s-1}{i+j} \right) \left(\prod_{j=0}^{s-1} \frac{j!(k+s-j-1)!}{(k+s-1)!} \right) \\ &= \frac{\prod_{j=0}^{s-1} \binom{k+s-1}{i+j}}{\prod_{j=0}^{s-1} \binom{k+s-1}{j}}. \end{aligned}$$

□

2.13. Notation. For each $n \in \mathbb{N}$, we set

$$\Pi(n) := \left\{ p : p \text{ is a prime factor of } \binom{n}{i} \text{ for some } i \in \{0, \dots, n\} \right\}.$$

2.14. Corollary. *Let $r, k \in \mathbb{N}$ and consider the matrix $\tilde{Q}_{r,r+k}$ of 2.8. Let Δ be a submatrix of $\tilde{Q}_{r,r+k}$ formed by $c (> 0)$ consecutive columns of that matrix; set $s := \min\{c, r\}$. If $p \in \mathbb{Z}$ is a prime number such that every $s \times s$ minor of Δ is contained in $p\mathbb{Z}$, then $p \in \Pi(r+k-1)$.*

Proof. We argue by induction on r . Note that $\tilde{Q}_{1,1+k}$ is the $1 \times (1+k)$ matrix

$$\left[1 \quad \binom{k}{1} \quad \cdots \quad \binom{k}{j} \quad \cdots \quad 1 \right],$$

and so the result is clear in this case.

Now suppose that $r > 1$ and that the result has been established, for all values of k , for smaller values of r .

If $s = r$, then there is an $r \times r$ submatrix of $\tilde{Q}_{r,r+k}$ of the form

$$\Omega := \begin{bmatrix} \binom{k}{i} & \binom{k}{i+1} & \cdots & \binom{k}{i+r-1} \\ \binom{k}{i-1} & \binom{k}{i} & \cdots & \binom{k}{i+r-2} \\ \vdots & \vdots & & \vdots \\ \binom{k}{i-r+1} & \binom{k}{i-r+2} & \cdots & \binom{k}{i} \end{bmatrix},$$

where $i \in \{0, \dots, k\}$, such that $\det \Omega \in p\mathbb{Z}$. It now follows from Corollary 2.12 that p is a factor of $\binom{k+r-1}{l}$ for some $l \in \{0, \dots, k+r-1\}$.

Now suppose that $s = c < r$. Set $D' := \tilde{Q}_{r-1,r}D$. As $\tilde{Q}_{r-1,r+k} = \tilde{Q}_{r-1,r}\tilde{Q}_{r,r+k}$ by 2.9, it follows that $\Delta' := \tilde{Q}_{r-1,r}\Delta$ is the $(r-1) \times c$ submatrix of $\tilde{Q}_{r-1,r+k}$ involving the same columns as Δ . But

$$\tilde{Q}_{r-1,r} = \begin{bmatrix} 1 & 1 & 0 & \cdots & 0 \\ 0 & 1 & 1 & 0 & \cdots \\ \vdots & & \ddots & \ddots & \\ 0 & \cdots & 0 & 1 & 1 \end{bmatrix},$$

and so the rows of Δ' are the sums of consecutive rows of Δ . Therefore any $s \times s$ minor of Δ' is the sum of 2^s determinants, each one being either obviously zero or an $s \times s$ minor of Δ . Hence every $s \times s$ minor of Δ' is contained in $p\mathbb{Z}$, and so, by the inductive hypothesis, $p \in \Pi(r-1+k+1-1)$, that is, $p \in \Pi(r+k-1)$. \square

2.15. Lemma. *The set of integers $\{\#\Pi(n) : n \in \mathbb{N}\}$ is unbounded.*

Proof. Let $(p_n)_{n \in \mathbb{N}}$ be an enumeration of the prime numbers. Then, for each $n \in \mathbb{N}$, we have

$$p_1 p_2 \cdots p_n = \binom{p_1 p_2 \cdots p_n}{1} \in \Pi(p_1 p_2 \cdots p_n).$$

\square

2.16. Lemma. *Let $p \in \mathbb{Z}$ be a prime number. Then the sets*

$$\{j \in \mathbb{N} : j \geq 3 \text{ and } p \in \Pi(j-2)\} \quad \text{and} \quad \{j \in \mathbb{N} : j \geq 3 \text{ and } p \notin \Pi(j-2)\}$$

are both infinite.

Proof. If p divides $j-2 \in \mathbb{N}$, then $p \in \Pi(j-2)$ because p divides $\binom{j-2}{1} = j-2$; hence the first set is infinite.

To prove that the second set is infinite it is enough to show that $p \notin \Pi(p^k-1)$ for all $k \geq 1$. Let T be an indeterminate; working modulo p we have

$$(1+T)^{p^k-1}(1+T) = (1+T)^{p^k} \equiv 1+T^{p^k},$$

and if we compare the coefficients of T^i on both sides of this congruence we see that, for $0 < i \leq p^k-1$,

$$\binom{p^k-1}{i} + \binom{p^k-1}{i-1} \equiv 0,$$

and since p does not divide $\binom{p^k-1}{0} = 1$, an easy induction on i shows that p does not divide $\binom{p^k-1}{i}$ for all i with $0 \leq i \leq p^k-1$. \square

We are now ready to present our main results about Singh's example.

2.17. Theorem. Let R' denote the ring $\mathbb{Z}[X, Y, Z, U, V, W]/(XU + YV + ZW)$ (considered by Singh) graded in the manner described in 2.1; let $-d \in \mathbb{Z}$ with $d \geq 3$; and let $p \in \mathbb{Z}$ be a prime number. Then:

- (i) $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(H_{R'_+}^3(R')_{-d})$ if and only if $p \in \Pi(d-2)$;
- (ii) $\text{Ass}_{R'_0}(H_{R'_+}^3(R')_{-d}) = \{(X, Y, Z)\} \cup \{(q, X, Y, Z) : q \in \Pi(d-2)\}$;
- (iii) the set of integers $\left\{ \# \left(\text{Ass}_{R'_0}(H_{R'_+}^3(R')_{-j}) \right) : j \geq 3 \right\}$ is unbounded;
- (iv) the sets

$$\left\{ j \in \mathbb{Z} : j \geq 3 \text{ and } (p, X, Y, Z) \in \text{Ass}'_{R'_0}(H_{R'_+}^3(R')_{-j}) \right\}$$

and

$$\left\{ j \in \mathbb{Z} : j \geq 3 \text{ and } (p, X, Y, Z) \notin \text{Ass}'_{R'_0}(H_{R'_+}^3(R')_{-j}) \right\}$$

are both infinite; and

- (v) $\text{Ass}_{R'_0}(H_{R'_+}^3(R')_n)$ is not asymptotically increasing for $n \rightarrow -\infty$.

Proof. (i) It follows from Lemma 2.2 that $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(H_{R'_+}^3(R')_{-d})$ if and only if $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\text{Coker } T_d)$; furthermore, by Lemma 2.5, this is the case if and only if $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\text{Coker } H_d)$, where the matrix H_d is as defined in Theorem 2.4. It therefore follows from 2.6 and the notation introduced in 2.8 that $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(H_{R'_+}^3(R')_{-d})$ if and only if

$$p\mathbb{Z} \in \bigcup_{i=1}^{d-2} \text{Ass}_{\mathbb{Z}}(\text{Coker } Q_{i,d-1}).$$

Suppose that $p \in \Pi(d-2)$, so that there exists $j \in \{1, \dots, d-3\}$ such that p is a factor of $\binom{d-2}{j}$. Then it follows from Theorem 2.8(iii) that (for example) $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\text{Coker } Q_{1,d-1})_{d-2,j+1}$.

Conversely, suppose that $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\text{Coker } Q_{i,d-1})$, where $i \in \{1, \dots, d-2\}$. We use Theorem 2.8(iii) to see that $p\mathbb{Z} \in \text{Ass}_{\mathbb{Z}}(\text{Coker } \Delta)$, where Δ is a submatrix of $\tilde{Q}_{i,d-1}$ formed by $c (> 0)$ consecutive columns of that matrix; set $s := \min\{c, i\}$. It follows from Proposition 2.11 that Δ has rank s , and therefore from Remark 2.10 that the ideal generated by the $s \times s$ minors of Δ is contained in $p\mathbb{Z}$. Therefore $p \in \Pi(d-2)$ by Corollary 2.14.

- (ii) This is now immediate from part (i) and Lemma 2.2.
- (iii) This is a consequence of part (ii) and Lemma 2.15.
- (iv) This is now immediate from part (ii) and Lemmas 2.2 and 2.16.
- (v) This is a consequence of parts (ii) and (iv). □

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