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Natural Infant-Directed Speech Facilitates Neural Tracking of Prosody

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### 22 Highlights

- We investigate infantsâĂŹ tracking of natural infant- and adult-directed speech
- Mothers enhance prosodic stress in infant-directed speech
- Infants track the prosodic stress and syllable rate for natural speech
- Infant-directed speech facilitates infantsâĂŹ tracking of prosodic stress

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1	Natural Infant-Directed Speech Facilitates Neural Tracking of Prosody
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27 Abstract

Infants prefer to be addressed with infant-directed speech (IDS). IDS benefits language acquisition through amplified low-frequency amplitude modulations. It has been reported 29 that this amplification increases electrophysiological tracking of IDS compared to 30 adult-directed speech (ADS). It is still unknown which particular frequency band triggers 31 this effect. Here, we compare tracking at the rates of syllables and prosodic stress, which 32 are both critical to word segmentation and recognition. In mother-infant dyads (n=30), 33 mothers described novel objects to their 9-month-olds while infants' EEG was recorded. 34 For IDS, mothers were instructed to speak to their children as they typically do, while for ADS, mothers described the objects as if speaking with an adult. Phonetic analyses confirmed that pitch features were more prototypically infant-directed in the IDS-condition 37 compared to the ADS-condition. Neural tracking of speech was assessed by speech-brain coherence, which measures the synchronization between speech envelope and EEG. Results 39 revealed significant speech-brain coherence at both syllabic and prosodic stress rates, 40 indicating that infants track speech in IDS and ADS at both rates. We found significantly 41 higher speech-brain coherence for IDS compared to ADS in the prosodic stress rate but not the syllabic rate. This indicates that the IDS benefit arises primarily from enhanced 43 prosodic stress. Thus, neural tracking is sensitive to parentsâĂŹ speech adaptations during natural interactions, possibly facilitating higher-level inferential processes such as word segmentation from continuous speech. 46 Keywords: EEG, speech-brain coherence, speech entrainment, infant-directed speech, 47 natural interaction, adult-directed speech

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Natural Infant-Directed Speech Facilitates Neural Tracking of Prosody

#### 1. Introduction

Across many languages, adults address infants in a characteristic register termed 51 infant-directed speech (IDS) (Soderstrom, 2007; Cristia, 2013; Fernald et al., 1989). IDS differs from adult-directed speech (ADS) along acoustic and linguistic dimensions. In 53 particular, IDS contains exaggerated prosodic cues (Fernald et al., 1989; Grieser and Kuhl, 54 1988; Fernald and Simon, 1984; Katz et al., 1996), is syntactically simpler (Soderstrom et al., 2008) and may be spoken more slowly (Raneri et al., 2020) with expanded vowel sounds (Green et al., 2010; Adriaans and Swingley, 2017). Previous electrophysiological 57 work has indicated that these IDS characteristics benefit infants' speech processing (e.g. Háden et al., 2020; Zangl and Mills, 2007). While earlier EEG studies mostly focused on event-related potentials, we here employ EEG to examine infants' online speech processing 60 continuously. There are indications that IDS benefits infants' language acquisition in 61 particular. Frequent exposure to IDS boosts later vocabulary development (RamÃŋrez-Esparza et al., 2014; Weisleder and Fernald, 2013) and laboratory studies 63 showed that IDS assists infants' word segmentation (Schreiner and Mani, 2017; Thiessen et al., 2005) and recognition (Singh et al., 2009; Männel and Friederici, 2013), and their acquisition of word-object associations (Graf Estes and Hurley, 2013) over ADS. Which specific acoustic cues in IDS help infants' language acquisition? 67 Candidates include increased fundamental frequency (F0) and F0 modulation (see Spinelli et al., 2017, for a meta-analysis). In recent years, a particular focus has been put on the amplitude modulation structure in IDS. Continuous speech contains acoustic information 70 at different timescales, which to a certain extend correspond to linguistic units, such as 71 phonemes, syllables, and intonation phrases. In particular, the amplitude envelope conveys the boundaries of linguistic units even to infant listeners who lack vocabulary as such (see also Goswami, 2019). Leong and Goswami (2015) analyzed the amplitude modulation structure of nursery rhymes, a particularly rhythmic form of IDS, which were read by

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female speakers prompted with a picture depicting young children. The authors found that
   amplitude modulations are centered around three frequency rates, which match the
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   occurrence rates of: prosodic stress (~2Hz), syllables (~5Hz), and phonemes (~20 Hz).
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    When comparing spontaneously produced IDS during mother-infant interactions to ADS
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   that the mother produced when interacting with another adult, Leong et al. (2017) found
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   that amplitude modulations of prosodic stress are enhanced for IDS compared to ADS.
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   This exaggeration of prosodic stress in IDS may be beneficial for infants' language
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   development, as stress can provide an important cue for word onsets in naturalistic speech
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   (Stärk et al., 2021; Jusczyk et al., 1999; Cutler and Carter, 1987) and thus aid word
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   segmentation. If infants are sensitive to the pronounced stress modulations in IDS, these
   could thus provide an important stepping stone into language acquisition.
              Recent studies have shown that infants' neural activity tracks speech by
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   synchronizing with amplitude modulations corresponding to prosodic stress and syllables in
   nursery rhymes (Attaheri et al., 2021). For adults, it has been shown that the
   synchronization between neural activity and speech acoustics supports the segmentation
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   and identification of linguistic units in speech (see Meyer, 2018) and relates to better
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   language comprehension (Peelle et al., 2013; Doelling et al., 2014). Importantly, infants
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   were shown to start tracking simple repeated sentences from birth (Ortiz Barajas et al.,
   2021). This early emergence suggests that neural tracking may support language
   development by aligning neural activity with speech-relevant amplitude modulations. At
   least by 7-months of age, infants' tracking is sensitive to the kind of speech register (IDS
   vs. ADS) and IDS benefits tracking of speech over ADS (Kalashnikova et al., 2018). It
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   remains unclear, however, whether this benefit results specifically from prosodic stress or
   other speech characteristics, such as the syllable rhythm.
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              We here assess infants' tracking of speech in a naturalistic mother-infant
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   interaction. The use of naturalistic IDS has the benefit of high ecological validity, as it
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   elucidates infants' neural processing of the speech input they typically receive and thus
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increases generalizability of findings. Naturalistic stimuli allow for the dissociation of multiple levels of information in parallel (see also Jessen et al., 2021). For this reason, the 104 number of studies relying on naturalistic input for investigating infants' neural processing 105 of speech has recently started to increase and stimuli included recordings taken from 106 natural mother-infant interactions (Kalashnikova et al., 2018), TV cartoons (Jessen et al., 107 2019) and one study even directly assessed face-to-face interactions (Lloyd-Fox et al., 108 2015). In face-to-face interactions, the speaker's visual cues are contingent with infant 109 responses, which is difficult to manipulate in classical experiments. For the current study, 110 the most relevant of these contingent cues is eye contact between parents and infants 111 (mutual gaze), which was shown to increase neural processing of speech if combined with 112 IDS (Lloyd-Fox et al., 2015). However, given the difficulty of manipulating mutual gaze 113 experimentally, the specific effects on infants' speech processing are currently not well 114 understood (for a review, see Cetincelik et al. 2020). 115 In the current study we focus on the association between parental acoustic 116 speech adaptations and infants' tracking, aiming at delineating whether neural tracking is 117 facilitated by prosodic stress (defined by pitch contours) or syllable information (defined by 118 the mean syllable duration) in IDS. To this end, we here contrast 9-month-old infants' 119 responses to their mothers' IDS versus ADS at the stress rate and the syllabic rate. 120 Focusing on 9-month-olds is particularly interesting, as infants at this age have started 121 segmenting words from continuous speech but still mostly rely on prosodic cues (Schreiner 122 and Mani, 2017; Männel and Friederici, 2013), meaning that information in the prosodic 123 stress rate is particularly relevant for their word segmentation (Kooijman et al., 2009). In 124 mother-infant dyads, mothers described novel objects to their 9-month-olds while the 125 infants' electroencephalogram (EEG) was recorded. For IDS, parents were instructed to 126 speak to their infants as they typically do, while for ADS, parents were supposed to 127 describe the objects pretending they talk to an adult without looking at the infant or 128 calling their name. Infants' tracking of maternal speech during the interactions was

assessed using speech-brain coherence, which measures the synchronization between the
neural signal and the speech envelope. We hypothesized that infants show speech-brain
coherence at both the stress rate and the syllable rate. Concerning the difference between
IDS and ADS processing, we postulate that IDS facilitates tracking (Kalashnikova et al.,
2018) and that this facilitation is driven by enhanced amplitude modulations of prosodic
stress (Leong et al., 2017).

2. Method

The present study reanalyzed data from a previous experiment, which assessed 137 the influence of ostensive cues on infants' visual object encoding (Michel et al., 2021). 138 Parents were asked to show and describe a total of 12 novel objects to their infant during a 139 familiarization phase. Half of the objects were described naturally (IDS-condition), the 140 other half were described without ostensive cues (i.e., mutual gaze, calling the infant by 141 their name, and infant-directed speech; ADS-condition). Importantly, parents were asked 142 to refrain from naming the objects. Given the aim of the present study to examine infants' 143 neural processing of natural parental speech, we here assessed infants' tracking of maternal 144 speech during the mother-infant interactions. Only the object description phase was 145 analyzed for the purpose of the current study and will be described in this manuscript.

#### 147 2.1 Participants

The final participant sample consisted of 30 German-learning infants (22 female)
and their mothers. On average, infants were 9 months 12 days old (range: 9 months 0 days
- 9 months, 29 days). Infants were born full-term (> 37 weeks), healthy, and raised in
monolingual German environments. Our sample size was determined by the previously
collected dataset. Michel et al. (2021) based their sample size on studies investigating
infants' object encoding using similar paradigms and measures (e.g. Hoehl et al., 2014;
Begus et al., 2015).

Additional 51 mother-infant (16 female,  $M_{age}=9$  months 15 days) interactions 155 were tested, but not included in the current analysis due to less than 30 s total maternal 156 speech in one of the conditions (n = 17), more than 4 noisy electrodes (n = 1), failure to 157 reach the minimum criterion of 20 EEG epochs per condition after artifact rejection (n =158 19), premature birth (n = 1), technical error (n = 6), or infant fussiness (n = 7). Because 159 of the different foci of this manuscript and the original study (Michel et al., 2021), the 160 exclusion criteria differed between the manuscripts and only 19 infants were commonly 161 included in both. Informed written consent was obtained from the mothers before the 162 experiment and ethical approval for the experimental procedure and reanalysis of the data 163 was obtained from the Medical Faculty of the University of Leipzig. All work was 164 conducted in accordance with the Declaration of Helsinki. The conditions of our ethics 165 approval do not permit public archiving of participant data. Readers seeking access to the 166 data should contact the corresponding author to arrange a formal data sharing agreement. 167

#### 2.2 Procedure 168

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while their electrophysiological activity was continuously recorded using EEG. 170 Mother-infant interactions were recorded on video using four cameras and maternal speech 171 was recorded using a microphone that was placed on the table in front of the mother (see 172 Figure 1A). 173 The study consisted of 4 blocks, during each of which the mother held three 174 novel objects above the table and spoke about them to her infant. The blocks alternated 175 between the IDS-condition and the ADS-condition. The only difference between the two 176 conditions was the way in which the mother was asked to describe the objects. Mothers 177 were told that the aim of the study was to investigate the difference between joint observation and individual processing of objects on infants' visual object encoding, as this 179 was the goal of the original study. They were specifically told to focus on eye gaze and

Mothers and infants were seated across a small table. Infants sat in a baby chair

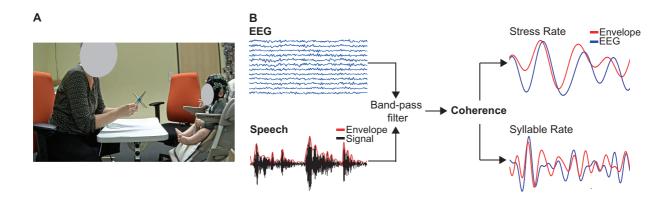


Figure 1. Overview of the experiment and analysis. (A) Example of the setting during the mother-infant interactions. Mother and infant sat across each other at a table. The mother held a novel object and described it to her infant either using IDS or using ADS, while the infant's EEG was recorded. (B) Overview of the speech-brain coherence analysis. Cleaned EEG and speech envelope were band-pass filtered in two frequency bands: prosodic stress rate and syllable rate. Coherence between EEG and envelope was computed for each electrode in both frequency bands.

speech. In the IDS-condition, the mother was asked to speak to her infant as she normally 181 would when interacting with a novel object. She was specifically told that she could use 182 IDS, call their infant's name and look at the infant. In the ADS-condition, the mother was 183 instructed to describe the object as if she were speaking to an adult, that is she was asked 184 to imagine that she was talking to herself or describing the objects to a close friend. She 185 was also asked to refrain from calling the infant's name and looking at the infant, and 186 specifically from establishing eye gaze during the ADS-condition. In both conditions, the 187 infant was not allowed to touch the objects. The condition of the first block was 188 counterbalanced between dyads. Mothers were given standardized oral and written 189 instructions and were reminded of the procedure before every block. 190

Each block started with a 20 s baseline, during which infant and mother looked at soap bubbles produced by an experimenter. Afterwards, the object description phase

started either after mutual gaze between infant and parent had been established 193 (IDS-condition) or after the child looked at the mother (ADS-condition). In both 194 conditions, the trial ended after the infant looked at the object for a cumulative total of 20 195 s. Looking duration was coded online by an experimenter observing the interactions on a screen. A second experimenter then announced the end of a trial by thanking the mother 197 and switched the object. Average trial duration was 39.2 s (SD = 8.6; see Supplementary 198 Figure 1 for an overview of the whole procedure). Mothers were unaware of the looking 199 time criterion. None of the objects had eyes or face-like features on it. Pretests with an 200 independent sample of infants confirmed that, in general, infants were unfamiliar with the 201 objects and all objects were similarly interesting to infants. 202

### 203 2.3 Speech Processing

2.3.1 Preprocessing. Audio recordings were annotated and analyzed using
Praat (Boersma, 2001). We annotated every instance of maternal speech during the object
description phase, excluding fragments with any non-speech interference. Instances of such
interference included: infant vocalizations, laughter, external noise, or (rhythmic)
non-speech sounds, such as knocking the object on the table, scratching the surface of the
object or tapping against the object. Speech segments with pauses longer than 1000 ms
were coded as separate segments.

2.3.2 Amplitude Envelope. The broad-band amplitude envelope of the
audio signals was computed following Gross et al. (2013) using the Chimera toolbox (Smith
et al., 2002). The intensity of the speech signal was normalized per condition. We divided
the frequency spectrum from 100 - 8000 Hz into nine frequency bands equally spaced on
the cochlea. The audio signal was band-pass filtered into these frequency bands with a
fourth order Butterworth filter (forward and backward). Afterwards, the absolute values of
the Hilbert transform were computed for each band and averaged across bands. Last, the
envelope was downsampled to 500 Hz, which corresponds to the sampling rate of the EEG

219 signal.

In addition, we computed the pitch envelope for both conditions separately. For 220 this we determined the respective F0 range for both speech conditions (IDS: 145 - 392 Hz; 221 ADS: 138 - 325 Hz), which we divided into three frequency bands equally spaced on the 222 cochlea. We then followed the same procedure as described for the broad-band envelope. 223 To identify the syllable rate of mothers' IDS and 2.3.3 Frequency Bands. 224 ADS, we annotated the duration of all syllables for the dyads included in the final analysis. The average syllable duration was 194 ms for the ADS-condition and 181 ms for the 226 IDS-condition. The syllable rate was determined as the 2 Hz window centered around the 227 average syllable duration (ADS: 194 ms or 5.15 Hz; IDS: 181 ms or 5.5 Hz), leading to 4.15 228 Hz - 6.15 Hz for ADS and 4.5 - 6.5 Hz for IDS. The prosodic stress rate of mothers' speech was identified based on the pitch 230 envelope. For this, we segmented the parts of the pitch envelope corresponding to 231 uninterrupted maternal speech into epochs of 2 s length with 50% overlap. We then 232 computed the Fourier transform of each epoch using Slepian multitapers and averaged the 233 resulting power spectral density (PSD) estimate across epochs and dyads for both speech 234 conditions. The averaged PSD was visually inspected for deviations from the aperiodic 1/f 235 noise. This way the frequency band for the prosodic stress rate was determined as 1 - 2.5 Hz. We decided not to assess amplitudes below 1 Hz since this is the high-pass frequency 237 recommended for the preprocessing of developmental EEG data (see e.g. Gabard-Durnam 238 et al., 2018). The bands identified for the prosodic stress rate and the syllable rate were in 239 line with rates reported in previous studies (e.g. Leong and Goswami, 2015; 240 Chandrasekaran et al., 2009). 241 **2.3.4** Amplitude Modulations. To compute the amplitude modulations at 242

243 2.3.4 Amplitude Modulations. To compute the amplitude modulations at
the syllable rate, we filtered the broad-band amplitude envelope in the corresponding
frequency bands for IDS and ADS. We then segmented the parts of the envelope
corresponding to uninterrupted maternal speech into epochs of 2 s length with 50%

overlap. Root mean square values were computed for every epoch and averaged across epochs for both speech conditions. 247

Amplitude modulations in the prosodic stress rate were computed based on the 248 pitch envelope. We band-pass filtered the pitch envelope in the frequency band 249 corresponding to prosodic stress before proceeding in the same way as described for the 250 syllable rate. 251

#### 2.4 Experimental Manipulation Check

To assess whether the speech in the IDS-condition was more typically 253 infant-directed than speech in the ADS-condition, we measured the mean F0 and F0 range (between the 5th and the 95th percentile) of maternal speech in both conditions as an 255 acoustic correlate of IDS (see, Spinelli et al., 2017). In addition, we tested whether the 256 amplitude modulations in the prosodic stress rate and the syllable rate differed between IDS versus ADS. We ran separate t-tests for each acoustic measure, assessing a difference 258 between the IDS- and the ADS-condition. Note that we opted for separate tests in 259 assessing condition differences in amplitude modulations in the two frequency bands since 260 they were computed based on different envelopes and are therefore not directly 261 comparable. Resulting p-values were corrected for multiple comparisons using false 262 discovery rate (FDR-correction). 263

### 2.5 EEG-Recording and Preprocessing

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EEG was recorded with a 32-channel EasyCap system by Brain Products GmbH, 265 with active electrodes arranged according to the 10/10 system. The sampling rate of the 266 recordings was 500 Hz. The right mastoid served as the online reference and vertical 267 electrooculograms were recorded bipolarly if tolerated by the infant. 268 EEG processing was done using the publicly available 'eeglab' (Delorme and Makeig, 2004) and 'fieldtrip' (Oostenveld et al., 2011) toolboxes as well as custom Matlab 270 code (The MathWorks, Inc., Natick, US). EEG preprocessing was done automatically using

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a modified version of the Harvard Automated Preprocessing Pipeline (HAPPE:
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    Gabard-Durnam et al., 2018). In line with HAPPE, data was re-referenced to Cz to obtain
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    symmetrical components in the ICA, high-pass filtered with a noncausal finite impulse
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    response filter (pass-band: 1 Hz, -6 dB cutoff: 0.5 Hz) and electrical line noise (50 Hz) was
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    removed using ZapLine from NoiseTools (de Cheveigné, 2020). Noisy channels were
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    identified by assessing the normed joint probability of the average log power from 1 - 125
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    Hz and rejected if exceeding a threshold of 3 SD from the mean (mean number of removed
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    channels = 1; range: 0-4). We applied a wavelet-enhanced ICA (Castellanos and Makarov,
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    2006) with a threshold of 3 to remove large artifacts, before the data was decomposed with
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    ICA and artifact-related components were automatically rejected using MARA (Winkler
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    et al., 2011, ;mean number of rejected components = 14, range: 7-25). Afterwards, noisy
    channels were interpolated using spherical splines and the data was re-referenced to the
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    linked mastoids.
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              EEG data and the broad-band speech envelope were band-pass filtered at the
    stress and syllable rate. Filter order was optimised through the ParksâÅŞMcLellan
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    algorithm (Parks and McClellan, 1972). For the prosodic stress band, this resulted in a
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    14572th-order one-pass 1âAŞ2.5-Hz band-pass filter. The phase shift was compensated for
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    by an according time shift. For the syllabic band, we used an 15883th-order one-pass filter
    with pass-frequencies of 4.5 - 6.5 Hz for IDS and 4.15 - 6.15 Hz for ADS. All data were
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    padded before filter application.
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              The artifact-corrected EEG data was segmented into continuous trials
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    corresponding to the annotated maternal speech and combined with the respective
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    broad-band speech envelope, which had been downsampled to 500 Hz. The combined data
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    was segmented into 2 second epochs with 50% overlap. Epochs with amplitudes exceeding
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    \pm 40 \mu V in any channel were rejected automatically. On average, infants contributed a total
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    of 112 epochs to the analysis (M_{IDS} = 57.8, SD = 27.4; M_{ADS} = 54.2, SD = 32.8). The 23
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    channels included in the final analysis were: Fz, F3/4, F7/8, FC1/2, FC3/4, FT7/8, Cz,
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<sup>299</sup> C3/4, T7/8, CP3/4, Pz, P3/4, and P7/8. We removed the outer channels from the final analysis, since the EEG signal was consistently noisy across infants.

#### 301 2.6 Data Analysis

2.6.1 Speech-Brain Coherence. The relationship between speech and brain signal was quantified using Hilbert coherence over time (see Figure 1B). The coherence value measures the phase-synchronization between the EEG signal and the corresponding speech envelope, weighted by their relative amplitude. Coherence is measured on a scale from 0 (random coupling) to 1 (perfect synchronization).

Coherence between speech envelope and individual electrodes in both frequency rates was computed according to the formula:  $Coh_{xy}(f) = \frac{|P_{xy}(f)^2|}{P_{xx}(f)P_{yy}(f)}$ , where  $P_{xy}(f)$  is the cross-spectral density between the band-pass filtered speech and EEG signal, and  $P_{xx}(f)$  and  $P_{yy}(f)$  are the auto-spectral density of the speech and EEG signal, respectively.

To analyze whether speech-brain coherence was higher than expected by chance, the observed coherence values were compared against surrogate data. Surrogate data was created by randomly pairing the epoched EEG data with the broad-band speech envelope from a randomly selected epoch from the same or a different dyad and applying a circular shift to the envelope time series (Keitel et al., 2017). This process was repeated for 10,000 permutations.

2.6.2 Analyses. The observed and permuted coherence values for each infant
were averaged across trials and channels. P-values were derived as the proportion of
coherence values in the permutation distribution exceeding the observed value. To assess
differences between IDS and ADS, we ran a repeated-measures ANOVA with speech
condition (IDS vs. ADS) and frequency rate (syllabic rate vs. prosodic rate) as
within-subjects factors.

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Table 1

Analysis of speech acoustics. Standard deviation in brackets

Acoustic Measure		IDS	ADS	p-value
Pitch (F0)	Mean	238 Hz (28)	214 Hz (19)	< .001
	Range	247 Hz (62)	188 Hz (49)	< .001
Amplitude Modulations (a.u.; $1 \times 10^{-3}$ )	Stress Rate	2.5 (0.50)	$2.1\ (0.46)$	< .001
	Syllable Rate	1 (0.14)	0.96 (0.15)	.482

3. Results

Maternal speech in the IDS-condition was more prototypically infant-directed 324 than in the ADS-condition. Speech had a significantly higher mean pitch, t(29) = 7.2, 325 p < .001, and pitch range, t(29) = 6.21, p < .001, in the IDS-condition compared to the 326 ADS-condition. The amplitude modulations were significantly higher for IDS than ADS in 327 the stress rate, t(29) = 4.1, p < .001, but not in the syllable rate, t(29) = 0.71, p = .482. 328 Table 1 summarizes the descriptive statistics of the acoustic measures. For further 329 summary statistics of speech content, see supplementary Table 1. 330 The permutation test showed significant speech-brain coherence for both the 331 prosodic stress rate, p < .001, and the syllable rate, p < .001 (Figure 2A). The 332 repeated-measures ANOVA showed a significant main effect of speech condition, 333 F(1,29) = 160.77, p < .001, and no significant main effect of frequency rate, 334 F(1,29) = 2.43, p = .13. Importantly, we observed a significant interaction between speech 335 condition and frequency rate, F(1,29) = 9.14, p = .005 (Figure 2B). Follow-up t-tests 336 revealed that speech-brain coherence for the stress rate was significantly higher in the 337 IDS-condition ( $M_{IDS} = 0.492$ , SD = 0.025) than in the ADS-condition ( $M_{ADS} = 0.476$ , SD338 = 0.022), t(29) = 3.4, p = .002. We found no evidence for a difference between the 339 IDS-condition  $(M_{IDS} = 0.42, SD = 0.02)$  and the ADS-condition  $(M_{ADS} = 0.425, SD = 0.02)$ 340 (0.02) for the syllable rate, t(29) = -0.99, p = .33. Analyses were repeated on

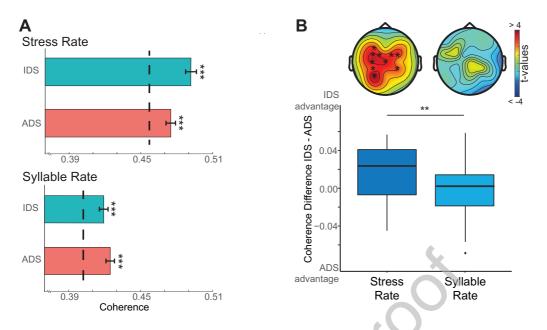


Figure 2. Overview of our results. (A) Coherence values were averaged across all electrodes. Errorbars depict standard errors. Dashed lines indicate 95% significance cut-offs based on a permutation baseline. Speech-brain coherence was significantly higher than chance for both IDS and ADS in the two frequency rates. (B) Scalp topography for the comparison IDS versus ADS. Asteriscs indicate electrodes included in the cluster in the control analysis. For the main analysis, we compared averages across all electrodes. The difference between IDS and ADS was significantly higher in the stress rate than in the syllable rate.

non-normalized data to ensure that the difference between conditions did not arise from intensity differences. The pattern of the results did not change.

#### 3.1 Control Analysis: Ostensive Cues

Ostensive cues potentially influence speech processing (see Çetinçelik et al., 2020;
Csibra and Gergely, 2009). In our study, such cues were primarily present in the
IDS-condition. We therefore conducted additional analyses to control for the possibility
that the tracking difference between IDS and ADS observed in our study was based on
differences in ostensive cues, specifically focusing on mutual eye gaze, infant looks to the

mother's face and mentioning the infant's name.

In every frame of the video recording, mother's and infant's gaze were coded as 351 looking to the object, to the face of the interaction partner, to the environment or as 352 non-codeable. The reliability of the codes was excellent (ICC for mothers = 0.994, ICC for 353 infants = 0.987). Mutual gaze was defined as periods with simultaneous gaze on the other 354 interaction partner. We then reanalyzed the data excluding all epochs containing mutual 355 eye gaze. On average, infants contributed a total of 103 epochs to the follow-up analysis 356  $(M_{IDS} = 49.4, SD = 23.2; M_{ADS} = 54.1, SD = 32.7)$ . A paired t-test comparing the 357 speech conditions in the stress rate showed that speech-brain coherence was still 358 significantly higher for the IDS-condition ( $M_{IDS} = 0.489$ , SD = 0.023) than the 359 ADS-condition ( $M_{ADS} = 0.475$ , SD = 0.022) after controlling for the effect of mutual eye 360 gaze, t(29) = 2.87, p = .0075. It is, however, possible that infants show a sustained effect of 361 mutual gaze beyond the epoch. We therefore also excluded the 5 epochs succeeding mutual 362 eye gaze. This also did not change the pattern of our results. Note that we were unable to 363 exclude the whole object description trial in which mutual eye gaze occured, as this would 364 have left us with too few epochs for a reliable comparison. In addition, we compared 365 tracking of IDS in the prosodic stress rate between infants with high mutual gaze to infants 366 with low mutual gaze, grouped by a median split of the number of epochs containing mutual gaze. The two groups did not significantly differ, t(28) = 0.467, p = .64. 368 To assess the possibility that the IDS advantage for tracking in the prosodic 369 stress rate was driven by maternal visual cues other than mutual gaze, we excluded all 370 epochs in which the infant looked at the mother's face, irrespective of whether there was 371 mutual gaze or not. On average, infants contributed a total of 90.9 remaining trials to this 372 follow-up analysis ( $M_{IDS} = 45.1, SD = 23.3; M_{ADS} = 45.8, SD = 26.57$ ). Speech-brain 373 coherence in the prosodic stress rate remained significantly higher for the IDS-condition 374  $(M_{IDS} = 0.489, SD = 0.026)$  than the ADS-condition  $(M_{ADS} = 0.472, SD = 0.025)$  after 375 excluding these epochs in which infants were looking at their mother's face, t(29) = 3.07, 376

p = .0046.

Lastly, we assessed whether the amount of calling the infant's name in the 378 IDS-condition drove the IDS facilitation in the stress rate. On average, mothers called their 379 infant's name 3.9 times in the IDS-condition (SD = 3.7). We compared tracking in the 380 stress rate between infants who experienced high calling of their name versus infants who 381 experienced low calling of their name, which were grouped based on a median split (median 382 = 3.5). There was no significant difference between the two name-calling groups, t(28) = 0.70, p = .489. Note that we only controlled for instances in which the infantsâÅŹ full 384 name or an abbreviation of it was mentioned, but not for other potentially 385 attention-evoking phrases that mothers commonly use in IDS. We therefore cannot fully 386 rule out that the use of such phrases increased attention specifically in the IDS condition.

#### 3.2 Control Analysis: Topography

All EEG analyses reported before were done on coherence values averaged across 389 the 23 selected electrodes. This approach may hide topography differences between the 390 IDS- and the ADS-condition in the two frequencies of interest. To assess this possibility, we 391 conducted a control analysis on the electrode level, using threshold-free 392 cluster-enhancement with 10,000 permutations for multiple comparison correction 393 (height-weight = 2, extend-weight = 0.5; Smith and Nichols, 2009). In line with our earlier 394 results, we found a significant difference between the IDS- and the ADS-condition in the 395 prosodic stress rate (p < .001), but not in the syllable rate. The difference in the stress 396 rate was driven by a left-central cluster that included electrodes F3, FC3, FC1, C3, CP3, 397 P3, Cz, FC2, FC4, and CP4. These electrodes are marked by asterisks in the topography 398 plot in Figure 2B.

#### 400 3.3 Control Analysis: Pauses

IDS has been related to an increased number of pauses compared to ADS

(Martin et al., 2016), which may form acoustic edges that can contribute to speech-brain

coherence (Gross et al., 2013). In line with earlier findings, the IDS-condition (25 403 pauses/min, SD = 11.3) had a higher rate of pauses than the ADS-condition (17.3) 404 pauses/min, SD = 11.1), t(29) = 3.82, p < .001. Pause durations did not differ between the 405 two conditions  $(M_{IDS} = 259 \text{ ms}, SD = 75; M_{ADS} = 250 \text{ ms}, SD = 78), t(29) = 0.63,$ 406 p = .536. To assess whether the increased number of pauses in IDS contributes to the IDS 407 advantage for tracking, we compared phase-clustering from 1 to 8 Hz (in steps of 0.5 Hz) at 408 word onsets following pauses and thus forming an acoustic edge to phase-clustering at word 409 onsets within continuous speech. The analysis assessed phase-clustering starting -100 ms 410 before word onset until 1 second after in steps of 10 ms for all electrodes individually, and 411 number of word onsets contributing to the analysis were matched. Our analysis used 412 cluster-based permutation for multiple comparison correction and showed no significant 413 difference in phase-clustering between the two types of word onsets (p > .1). Next, we 414 compared phase-clustering at pause offset between the IDS- and the ADS-condition using 415 the same frequencies and time window. The cluster-based permutation analysis showed no 416 significant difference in phase-clustering between the two conditions (p > .1), giving no 417 evidence that infants' neural responses to pauses differed between IDS and ADS. At last, 418 we compared tracking in the stress rate between infants with a higher rate of pauses versus 419 infants with a lower rate of pauses, grouped based on a median split (median = 25.8). The two groups showed no significant differences in tracking, t(29) = 0.69, p = .5. While this 421 does not exclude the possibility that pauses and associated acoustic edges increase 422 speech-brain coherence, we find no evidence that they are the main driver of the IDS 423 facilitation for tracking in the stress rate. 424

#### 4. Discussion

The present study set out to investigate infants' neural tracking of natural IDS compared to ADS and to delineate whether the IDS facilitation is driven by prosodic stress. We observed significant tracking of speech at both the stress and the syllable rate

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during natural interactions of 9-month-olds with their mothers. Adding to previous findings, we report here that tracking is facilitated by IDS and that this effect is specific to 430 the prosodic stress rate. This suggests that the IDS advantage for infants' tracking is 431 specifically based on enhanced prosodic stress and not on the syllable rhythm. Our finding 432 emphasizes the important role of IDS for infants' speech processing and possibly their 433 language development. 434 At the age of 9 months, infants have started to segment words from continuous 435 speech (Jusczyk et al., 1999; Männel and Friederici, 2013; Junge et al., 2014), facilitated by 436 IDS (Schreiner and Mani, 2017). Speech segmentation is crucial for the acquisition of 437 higher-level linguistic meaning and better word segmentation in infancy was shown to 438 predict later vocabulary size (Junge et al., 2012) and syntactic skills (Kooijman et al., 439 2013). Since continuous speech contains no pauses between words, infants must rely on 440 other acoustic cues to detect word boundaries. In stress-based languages like English or 441 German, stressed syllables can provide a valuable cue for segmenting words from 442 continuous speech (Jusczyk et al., 1999), as the majority of content words in these 443 languages have word-initial stress (Cutler and Carter, 1987; Stärk et al., 2021). Our study 444 shows that that not only do mothers enhance their amplitude modulations at the prosodic 445 stress rate in IDS, but also infants do track this enhancement. This suggests that tracking 446 might facilitate higher-level inferential processes such as word segmentation. 447 Because of the way this study was set-up, the IDS-condition included a number 448 of additional ostensive cues that were not present in the ADS-condition. Most relevant are the addition of mutual gaze between mother and infant and calling of the infant's name, as 450 mothers were specifically told to focus on these cues. In addition, it is possible that 451 mothers increased other visual cues in the IDS-condition, as adults were shown to 452

exaggerate facial expressions such as lip and head movements when addressing children

(Swerts and Krahmer, 2010; Smith and Strader, 2014; Green et al., 2010), which we were

unable to assess in the current study. These ostensive cues are special as they help guiding

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infants' attention to maternal speech (Csibra and Gergely, 2006, 2009) and consequently may have assisted to increase infants' speech processing (for a review, see Cetincelik et al., 457 2020). However, we find that the IDS-condition specifically facilitated tracking in the 458 prosodic stress rate and no evidence for an IDS facilitation in the syllable rate. This 459 finding is not compatible with a general increase of attention to maternal speech by 460 ostensive cues in the IDS-condition. In addition, our control analysis showed that the IDS 461 benefit for tracking persists even after we excluded epochs with mutual eye gaze and that 462 infants who experienced more calling of their name did not show a higher tracking of IDS 463 in the prosodic stress rate than infants who experienced less calling of their name. These 464 results do not imply that visual information is irrelevant for speech processing. Previous 465 studies have shown that visual information increases tracking of speech in adults (Crosse et al., 2015; Bourguignon et al., 2020) and likely also in children (Power et al., 2012). As 467 our design does not allow to investigate whether the frequency of visual exaggerations in 468 the IDS-condition coincides with the prosodic stress rate, we conducted a control analysis 469 excluding all epochs during which the infant looked at the mother. Even for the parts of 470 the interactions in which the infants did not look at the mother, the IDS tracking 471 advantage in the prosodic stress rate persisted. This supports our conclusion that the IDS 472 benefit for speech processing results from its acoustic properties, even though we cannot 473 fully exclude the possibility that infants still perceived some exaggerated visual cues even if 474 they did not directly look at the mother's face. Further studies are needed to dissociate the 475 unique contributions of visual and acoustic cues to infants' neural processing of IDS. 476 Regarding parental acoustic speech modulations, the enhanced amplitude 477 modulation in the slow stress rate could assist infants' tracking of speech by increasing 478 rhythmic cues. Natural speech is not perfectly regular. This lack of clear rhythm is a 479 challenge for the synchronization between neural activity and speech input. In adults, 480 linguistic knowledge can compensate for the lack of rhythm by top-down modulating 481 auditory activity via linguistic predictions (Keitel et al., 2017; Rimmele et al., 2018; Meyer 482

et al., 2019; Ten Oever and Martin, 2021). Yet, preverbal infants still lack the linguistic knowledge required for such predictions. The enhancement of slow amplitude modulations 484 in IDS could compensate for this lack by providing additional acoustic cues which aids 485 tracking for the prosodic stress rate. A second possibility is that IDS modulates tracking 486 by increasing infants' attention, possibly via a combination of visual and acoustic cues. 487 The typical acoustic correlates of IDS were shown to increase infants' attention compared 488 to ADS (Consortium, 2020; Kaplan et al., 1995; Roberts et al., 2013; Cooper and Aslin, 489 1990). Neural tracking is affected by attention (Fuglsang et al., 2017) and reflects the 490 selection of relevant attended information (Obleser and Kayser, 2019). Increased tracking 491 of IDS in the prosodic stress rate may thus reflect 9-month-olds' enhanced attention to 492 prosodic stress, which provides them with a relevant acoustic cue aiding word 493 segmentation. These two interpretations are not mutually exclusive but may explain our 494 findings as a combination of enhanced acoustic cues in maternal speech and increased 495 attention of the infant for prosodic stress in IDS. 496

One question that we cannot account for is whether the enhanced 497 synchronization between neural activity and IDS observed here results from genuine 498 entrainment of endogenous oscillations or from auditory-evoked reponses (Keitel et al., 499 2021, see). It has been suggested that oscillations in the auditory cortex phase-lock to 500 acoustic information in a frequency specific manner (Lakatos et al., 2013). In speech 501 processing, F0 amplitude rhythms might entrain neural oscillations in the delta frequency 502 (Bourguignon et al., 2013). For our current results, this could indicate that the amplitude 503 edges or peaks in the prosodic stress rate of IDS provide sufficient rhythmic cues to allow 504 for a phase-alignment of oscillatory activity operating in the frequency range of prosodic 505 stress. Another possibility is that the exaggeration of prosodic stress in IDS leads to a 506 series of evoked responses that are superimposed on neural activity and thus appear in the 507 same frequency band as the prosodic stress rate. Our results are compatible with both 508 explanations, therefore future work is required to distinguish these two accounts for infants' 509

processing of IDS. Since both possbilities result in increased neural processing of acoustic information in the prosodic stress rate in IDS, they are also both compatible with our 511 interpretation that tracking facilitates infants' word segmentation from continuous IDS. 512 Our study provides further evidence for the previously proposed importance of 513 prosody in assisting speech processing. This is especially relevant in light of healthy 514 parent-infant interactions given evidence that clinically depressed mothers show less IDS, 515 potentially impacting children's language development (Lam-Cassettari and Kohlhoff, 2020; 516 Stein et al., 2008; Liu et al., 2017). In healthy parent-infant interactions, IDS may be 517 optimally adapted to infants' needs during language development (see Kalashnikova and 518 Burnham, 2018). As infants grow older, the amount of parents' IDS decreases and changes 519 its acoustic characteristics (Kitamura and Burnham, 2003; Raneri et al., 2020). Leong 520 et al. (2017) showed that the enhancement of prosodic amplitude modulations in IDS 521 decreases when mothers are talking to older infants. These changes in IDS may be tied to 522 infants' increased linguistic knowledge, as parents were shown to use more prototypically 523 infant-directed speech when talking to infants with lower language abilities (Reissland and 524 Stephenson, 1999; Kalashnikova et al., 2020; Bohannon and Marquis, 1977). Importantly, 525 speech tracking was shown to increase with linguistic knowledge (Chen et al., 2020; Choi 526 et al., 2020), meaning that infants' tracking may rely less on acoustic cues in IDS as their 527 linguistic knowledge increases. This implies that parents adapt the acoustic properties of 528 their speech to their infants' language development to allow for a level of tracking that is 529 optimal for the infants' current language status. Future studies need to evaluate the 530 interactions between parents' speech adaptations and infants' linguistic knowledge on 531 infants' tracking of speech. The current study contributes an empirical foundation for such 532 future investigations, by showing that neural tracking is sensitive to parents' speech 533 adaptations during natural interactions, likely facilitating higher-level inferential processes 534 such as word segmentation. This makes tracking a potential neural mechanism for infants' 535 word segmentation from continuous speech. 536

#### Declaration of Competing Interest

The authors declare that there is no conflict of interest.

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538

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**Katharina Menn**: Conceptualization - current study, Formal Analysis, Visualization, Writing - Original Draft. **Christine Michel**: Conceptualization - initial study, Investigation, Data Curation, Writing - Review & Editing. **Lars Meyer**: Conceptualization - current study, Formal analysis, Writing - Original Draft, Supervision. **Stefanie Hoehl**: Conceptualization - initial study, Resources, Writing - Review & Editing. **Claudia Männel:** Conceptualization - current study, Supervision, Writing - Original Draft.



#### Data availability

The conditions of our ethics approval do not permit public archiving of participant data. Readers seeking access to the data should contact the lead author Katharina Menn to arrange a formal data sharing agreement.

#### Code availability

Preprocessing of the EEG data was done using the publicly available HAPPE pipeline V1 (DOI: 10.3389/fnins.2018.00097; download: <a href="https://github.com/lcnhappe/happe">https://github.com/lcnhappe/happe</a>) in EEGLAB v2019.1 (DOI: <a href="https://sccn.ucsd.edu/eeglab/download.php">https://sccn.ucsd.edu/eeglab/download.php</a>) and in fieldtrip (version from 20200521) (DOI: <a href="https://doi.org/10.1155/2011/156869">https://doi.org/10.1155/2011/156869</a>; download: <a href="https://www.fieldtriptoolbox.org/download.php">https://doi.org/10.1155/2011/156869</a>; download: <a href="https://www.fieldtriptoolbox.org/download.php">https://www.fieldtriptoolbox.org/download.php</a>). Custom code was written for the computation of speech envelopes and Hilbert coherence and will be made available if the article is accepted for publication.