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## GROUPS WITH CONTEXT-FREE CO-WORD PROBLEM

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### ABSTRACT

The class of co-context-free groups is studied. A co-context-free group is defined as one whose co-word problem (the complement of its word problem) is context-free. This class is larger than the subclass of context-free groups, being closed under the taking of finite direct products, restricted standard wreath products with context-free top groups, and passing to finitely generated subgroups and finite index overgroups. No other examples of co-context-free groups are known. It is proved that the only examples amongst polycyclic groups or the Baumslag–Solitar groups are virtually abelian. This is done by proving that languages with certain purely arithmetical properties cannot be context-free; this result may be of independent interest.

### 1. Introduction

Let  $G$  be a group with finite generating set  $X$ . The *word problem* of  $G$  with respect to  $X$ , denoted  $W(G, X)$ , is the set of all words in  $(X \cup X^{-1})^*$  which represent the identity element of  $G$ . The *co-word problem* of  $G$  with respect to  $X$ , denoted  $\text{co}W(G, X)$ , is the complement of  $W(G, X)$  in  $(X \cup X^{-1})^*$ , that is, the set of words which represent non-trivial elements of  $G$ .

In this paper we study groups whose co-word problem with respect to some finite generating set (and therefore, it turns out, with respect to any finite generating set) is a context-free language. For brevity we call such groups co-context-free ( $\text{co}\mathcal{CF}$ ) groups. Notice that, since the class of regular languages is closed under complementation, groups with regular co-word problem are precisely the ones with regular word problem, or equivalently all finite groups [1].

Groups with context-free word problem, known as context-free ( $\mathcal{CF}$ ) groups, were classified by Muller and Schupp in [3, 11, 12]; these are precisely the virtually free groups and their word problem is in fact deterministic context-free. Since the complement of a deterministic context-free language is also deterministic context-free, such groups are examples of  $\text{co}\mathcal{CF}$ -groups, but there are many other  $\text{co}\mathcal{CF}$ -groups besides these, whose co-word problems are non-deterministic context-free. The most obvious examples are finitely generated abelian groups; since any such group is a direct product of virtually cyclic groups, its co-word problem can be recognised by a machine which first chooses one component of that direct product, and then projects onto that component and uses the deterministic pushdown automaton which solves the co-word problem for that component.

In Section 2, in addition to proving that the property of being  $\text{co}\mathcal{CF}$  is independent of the choice of finite generating set, we prove the technical results that this class of groups is invariant under passing to finitely generated subgroups, moving

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to finite index overgroups and taking finite direct products. We deduce the results from properties of the class of context-free languages, which also hold for many other classes of languages, so that our results extend to groups with co-word problem in various other language classes (and all the results in this section are stated and proved in this generality). The fact that finitely generated abelian groups are  $\text{co}\mathcal{CF}$  follows immediately from this section. It may be worthy of comment that many of our results (though not in general the direct product result) are also well known to be true for the classes of groups whose word problems (rather than co-word problems) lie in particular formal language classes.

In Section 3 we specialise to the study of groups whose co-word problem is context-free. We note that the word problem of such a group is solvable in cubic time, but that the conjugacy problem and generalised word problem may be unsolvable. However, the order problem is shown to be solvable. We prove that the restricted standard wreath product of a  $\text{co}\mathcal{CF}$ -group and a  $\mathcal{CF}$ -group is a  $\text{co}\mathcal{CF}$ -group. Consequently there exist  $\text{co}\mathcal{CF}$ -groups which are not finitely presentable.

We do not know of any  $\text{co}\mathcal{CF}$ -groups which do not arise in the ways already described. We believe that the class of  $\text{co}\mathcal{CF}$ -groups is not closed under taking free products. Indeed, we conjecture that  $\mathbb{Z}^2 * \mathbb{Z}$  is not a  $\text{co}\mathcal{CF}$ -group, but we have been unable to prove this. In Section 4 we obtain some negative results, proving that polycyclic groups and Baumslag–Solitar groups are only  $\text{co}\mathcal{CF}$ -groups when they are virtually abelian. We use a technique based on Parikh’s theorem [13], which gives conditions on the kinds of context-free languages which can arise as subsets of languages of the form  $w_1^* w_2^* \dots w_n^*$  (commonly known as bounded languages). This is quite a general technique, which we might well apply to exclude other classes of groups, but it most definitely cannot work to exclude free products.

Note that the results which we prove in Section 4 (Propositions 11 and 14) about context-free languages may be of independent interest.

A further paper [6] studies groups whose co-word problem is an indexed language (accepted by a nested stack automaton). In particular it is proved in that paper that for all currently known pairs of  $\text{co}\mathcal{CF}$ -groups  $G$  and  $H$ , the free product  $G * H$  has indexed co-word problem.

## 2. General properties of $\text{co}\mathcal{C}$ - and $\mathcal{C}$ -groups

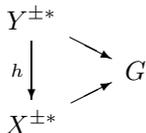
Let  $\mathcal{C}$  be a class of languages. Following [7],  $\mathcal{C}$  is closed under *inverse homomorphisms* if whenever  $\phi: X^* \rightarrow Y^*$  is a monoid homomorphism and  $L \subset Y^*$  is in  $\mathcal{C}$ , then  $\phi^{-1}(L) \in \mathcal{C}$ . (Note that here, and later, we do not demand that  $L$  is contained in  $\phi(X^*)$ ;  $\phi^{-1}(L)$  is defined to be  $\{w \in X^* \mid \phi(w) \in L\}$ .) The following result (at least as far as word problems are concerned) is well known.

LEMMA 1. *Let  $\mathcal{C}$  be a class of languages closed under inverse homomorphisms and let  $G$  be a finitely generated group. Then the following hold.*

- (i)  $W(G, X) \in \mathcal{C}$  for some finite generating set  $X$  if and only if for every finite generating set  $Y$ ,  $W(G, Y) \in \mathcal{C}$ .
- (ii)  $\text{co}W(G, X) \in \mathcal{C}$  for some finite generating set  $X$  if and only if for every finite generating set  $Y$ ,  $\text{co}W(G, Y) \in \mathcal{C}$ .

In this case we say that  $\mathcal{C}$ - or  $\text{co}\mathcal{C}$ -groups are *insensitive* to choice of generators. We shall write  $X^{\pm*}$  as a short-hand notation for  $(X \cup X^{-1})^*$  and  $X^{\pm 1}$  for  $X \cup X^{-1}$ .

*Proof of Lemma 1.* Let  $X$  and  $Y$  be two finite generating sets for  $G$ . Define  $h: Y^{\pm*} \rightarrow X^{\pm*}$  as the homomorphism induced by expressing each  $y \in Y^{\pm*}$  as a selected word  $h(y) \in X^{\pm*}$  representing the same element of  $G$  as  $y$ . Then the following diagram commutes.



Thus  $W(G, Y) = h^{-1}(W(G, X))$  and  $\text{co}W(G, Y) = h^{-1}(\text{co}W(G, X))$ , and the proof is complete. □

**LEMMA 2.** *Let  $\mathcal{C}$  be a class of languages closed under inverse homomorphisms and intersection with regular sets. Then  $\mathcal{C}$ -groups, as well as  $\text{co}\mathcal{C}$ -groups, are closed under taking finitely generated subgroups.*

*Proof.* Let  $H \subset G$  be finitely generated groups. We choose a finite generating set  $X$  for  $G$  which includes a generating set  $X_0$  for  $H$ . Then,  $W(H, X_0) = X_0^{\pm*} \cap W(G, X)$  and  $\text{co}W(H, X_0) = X_0^{\pm*} \cap \text{co}W(G, X)$ . Since  $X_0^{\pm*}$  is a regular language in  $X^{\pm*}$ , the result follows, by Lemma 1 and the hypothesis that  $\mathcal{C}$  is closed under intersection with regular languages. □

The *shuffle* (cf. [2, p. 290]) of a language  $L_1 \subset \Sigma^*$  with a language  $L_2 \subset \Delta^*$  is defined as

$$L_1 \leftrightarrow L_2 = \{x_1y_1 \dots x_ny_n \mid x_1x_2 \dots x_n \in L_1, y_1y_2 \dots y_n \in L_2, x_i \in \Sigma^*, y_i \in \Delta^*\}.$$

**LEMMA 3.** *Let  $\mathcal{C}$  be a class of languages closed under shuffle with regular languages and under union. Then the class of  $\text{co}\mathcal{C}$ -groups is closed under taking finite direct products.*

*Proof.* Let  $(A, X)$  and  $(B, Y)$  be groups with finite generating sets such that  $\text{co}W(A, X) \in \mathcal{C}$  and  $\text{co}W(B, Y) \in \mathcal{C}$ . Then it is easy to see that

$$\text{co}W(A \times B, X \cup Y) = (\text{co}W(A, X) \leftrightarrow Y^{\pm*}) \cup (\text{co}W(B, Y) \leftrightarrow X^{\pm*}),$$

which is a language in  $\mathcal{C}$  by the hypothesis. □

A *generalised sequential machine* is a deterministic finite state automaton with output capacity. A useful way of representing a generalised sequential machine is as a finite graph with doubly labelled edges, where the vertices correspond to the internal states and the double label  $x|y$  of an edge specifies, on the one hand, the input symbol  $x$  which allows movement along this edge and, on the other hand, the output string  $y$  which is to be appended to the output so far. These machines do not accept or recognise languages but generate so-called *generalised sequential machine mappings*. However, we use accept states to confirm the validity of the input, and hence the output.

For example, the generalised sequential machine given by the graph in Figure 1 maps a string  $w$  in  $\{a, b\}^*$  to the string  $x^n$  where  $n$  is the number of  $a$  in  $w$ . The

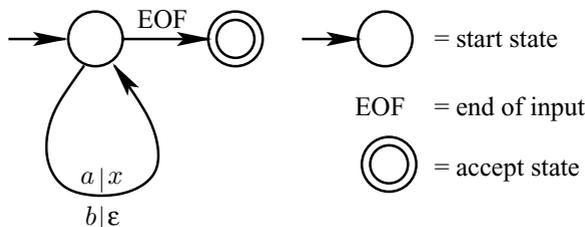


FIGURE 1.

accept state makes sure the input was in  $\{a, b\}^*$ . Let us agree that every input string is terminated by an end-of-input symbol EOF.

Generalised sequential machines are better known than, for instance the shuffle, which turns out to be the image of a generalised sequential machine mapping provided that one of  $L_1, L_2$  is a regular language, for suppose that  $M$  is a finite state automaton recognising the regular language  $L_2 \subset \Delta^*$  and let  $\Sigma$  be a finite alphabet. View  $M$  as a labelled graph. Consider the generalised sequential machine  $T$  obtained by modifying  $M$  as follows: replace each edge label  $x$  by the double label  $\epsilon|x$ , and for each state  $s$  and each  $\sigma \in \Sigma$  introduce a new edge from  $s$  to itself with label  $\sigma|\sigma$ ; here  $\epsilon$  denotes the empty word. Then it is easy to check that the generalised sequential machine mapping generated by  $T$  maps a language  $L_1 \subset \Sigma^*$  to  $L_1 \leftrightarrow L_2$ , and we have the following direct consequence of Lemma 3.

**COROLLARY 4.** *Let  $\mathcal{C}$  be a class of languages closed under union and generalised sequential machine mappings. Then the class of  $\text{co}\mathcal{C}$ -groups is closed under taking finite direct products.*

When  $H$  is a subgroup of finite index in a group  $G$ , then we call  $G$  a *finite index overgroup* of  $H$ .

**LEMMA 5.** *Let  $\mathcal{C}$  be a class of languages closed under union with regular sets and inverse generalised sequential machine mappings. Then the classes of  $\mathcal{C}$ -groups and  $\text{co}\mathcal{C}$ -groups are closed under passing to finite index overgroups.*

*Proof.* Notice that a homomorphism is also a special case of a generalised sequential machine mapping, whence we may use Lemma 1. Let  $H$  be a subgroup of finite index in  $G$ . Let  $T$  be a right transversal for  $H$  in  $G$  with  $1 \in T$ . Now every element  $g$  of  $G$  can be written in the form  $g = ht$  with  $h \in H, t \in T$ . Let  $X$  be a finite generating set for  $H$  and put  $Y = X \cup (T \setminus \{1\})$ . Then  $Y$  is a (finite) generating set for  $G$ . For each  $y \in Y^{\pm 1}$  and  $t \in T$  fix a word  $h_{ty} \in X^{\pm*}$  such that  $ty =_G h_{ty}t'$  for some  $t' \in T$ . Now consider the generalised sequential machine  $F$  given by the following graph (cf. Figure 2):

- (1) state set  $T \cup \{Q\}$  ( $Q \notin T$ );
- (2)  $y|h_{ty}$  labelled edge from  $t$  to  $t'$ , whenever  $y \in Y^{\pm 1}, t, t' \in T$ , and  $ty = h_{ty}t'$ ;
- (3)  $\text{EOF}|t$  labelled edge from  $t$  to  $Q$  for all  $t \in T \setminus \{1\}$ ;
- (4)  $\text{EOF}|\epsilon$  labelled edge from  $1$  to  $Q$  ( $\epsilon$  denotes the empty word);
- (5)  $1 \in T$  is the start state and  $Q$  is the only accept state.

It is easy to see that the generalised sequential machine mapping  $\phi$  generated by  $F$  maps an arbitrary word  $w \in Y^{\pm*}$  to a word  $w't$  with  $w' \in X^{\pm*}, t \in T$ , and such

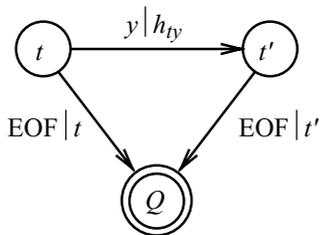


FIGURE 2.

that  $w =_G w't$ . We now have

$$\text{co } W(G, Y) = \phi^{-1}(\text{co } W(H, X) \cup X^{\pm*}(T \setminus \{1\}))$$

and

$$W(G, Y) = \phi^{-1}(W(H, X)),$$

and the proof is complete. □

### 3. Groups with context-free co-word problem

Let  $\mathcal{CF}$  denote the class of context-free languages. Using the fact that  $\mathcal{CF}$  contains all regular languages and is closed under intersection with regular sets, union, generalised sequential machine mappings, and inverse generalised sequential machine mappings (for example [4, Theorem 1.7.2 and Chapter 3]), the results of the previous section immediately imply the following result.

PROPOSITION 6. *The class of  $\text{co } \mathcal{CF}$ -groups is insensitive to choice of generators and closed under passing to finitely generated subgroups, passing to finite index overgroups, and finite direct products.*

As noted in the introduction, the class of  $\mathcal{CF}$ -groups is precisely the class of virtually free groups which also coincides with the class of deterministic  $(\text{co})\mathcal{CF}$ -groups; remember that deterministic context-free languages are closed under complementation. Since non-cyclic free abelian groups are direct products of virtually free groups but not virtually free, the proposition immediately implies that the class of  $\mathcal{CF}$ -groups is a proper subclass of the class of  $\text{co } \mathcal{CF}$ -groups.

Another useful property of  $\text{co } \mathcal{CF}$ -groups follows directly from the fact that every context-free language has membership problem solvable in cubic time (for example [7, pp. 139–140]).

PROPOSITION 7. *The word problem of every  $\text{co } \mathcal{CF}$ -group is solvable in cubic time in terms of the length of the input word.*

Since the direct product  $F \times F$  of two copies of a free group  $F$  of rank at least two has a finitely generated subgroup  $G$  with unsolvable conjugacy problem and unsolvable generalised word problem in  $F \times F$  [9, Theorem 23 in Chapter 3; 10, Theorem 4.6], we have the following result contrasting Proposition 7.

PROPOSITION 8. *There exist  $\text{co}\mathcal{CF}$ -groups with unsolvable conjugacy problem and the generalised word problem is, in general, unsolvable for  $\text{co}\mathcal{CF}$ -groups.*

A group has *solvable order problem* if there is an algorithm which takes as input a word  $w$  in the generators and their inverses and decides whether the element represented by  $w$  has finite or infinite order.

THEOREM 9. *Every  $\text{co}\mathcal{CF}$ -group has solvable order problem. Moreover, if  $w$  turns out to represent an element of finite order, then its order can be determined.*

*Proof.* Since  $w^*$  is a regular language,  $L = \{\epsilon\} \cup (\text{co } W(G) \cap w^*)$  is a context-free language, where again  $\epsilon$  denotes the empty word. Clearly, the element represented by  $w$  has infinite order in  $G$  if and only if  $L = w^*$ . Since  $w^*$  is a bounded language, the first part follows from [4, Theorem 5.6.3(c)]; it is decidable for arbitrary context-free languages  $M_1$  and  $M_2$ , one of them bounded, whether  $M_1 = M_2$ . The second part is another application of the solvability of the membership problem for context-free languages; simply check whether  $w^i \in L$  for  $i = 1, 2, 3, \dots$  until  $w^j \notin L$ .  $\square$

Recall that the class of context-free languages is the same as the class of languages accepted by non-deterministic pushdown automata. For later use and as an informal definition, let us describe such a machine  $P_H$  which accepts the word-problem of the free group  $H$  freely generated by the finite set  $Y$ . The input alphabet as well as the stack alphabet of  $P_H$  is  $Y^{\pm 1}$  and  $P_H$  has one internal state  $q_0$ . Initially the stack of  $P_H$  is empty, that is the read–write head is scanning a special bottom-of-stack marker. When the read–write head is scanning the bottom-of-stack marker and the next input symbol is  $y \in Y^{\pm 1}$ , then  $y$  is pushed onto the stack (and strictly speaking the read head on the input tape is advanced, but we shall always gloss over this point). If, on the other hand, the read–write head is scanning some  $y' \in Y^{\pm 1}$  and the next input symbol is  $y \in Y^{\pm 1}$ , then  $y$  is pushed onto the stack unless  $y^{-1} = y'$  in which case  $y'$  is popped off the stack. Finally  $P_H$  accepts if and only if the read–write head is scanning the bottom-of-stack marker. Clearly,  $P_H$  is deterministic, and a pushdown automaton accepting the word problem of a virtually free group operates essentially in the same fashion, as can be seen from the proof of Lemma 5.

The following result is an interesting closure property for which we know only a machine-theoretic proof.

THEOREM 10. *Let  $G$  be a  $\text{co}\mathcal{CF}$ -group and let  $H$  be a  $\mathcal{CF}$ -group. Then the restricted standard wreath product,  $G \wr H$ , of  $G$  with  $H$  is a  $\text{co}\mathcal{CF}$ -group.*

*Proof.* Assume that  $G$  is generated by  $X$  and  $H$  is generated by  $Y$ . Then  $W = G \wr H$  is generated by  $X \cup Y$ . By definition, the base group  $B$  of  $W$  is the direct product of copies  $G_h$ ,  $h \in H$ , of  $G$ . We view  $B$  as the set of all functions  $b$  from  $H$  to  $G$  with  $b(h)$  trivial for all but finitely many  $h \in H$ . Elements of  $B$  are multiplied component-wise. Now  $h \in H$  acts on  $b \in B$  by

$$b^h(h') = b(h'h^{-1}),$$

and  $W$  is the resulting semi-direct product. We identify  $G$  with the subgroup of the base group comprising those elements  $b$  with  $b(h) = 1$  for all non-trivial  $h \in H$ . Below, by  $X$ -letters we mean elements of  $X \cup X^{-1}$  and similarly for  $Y$ -letters.

Thus every element  $w$  of  $W$  is of the form  $bh$ ,  $b \in B$ ,  $h \in H$ , and  $w$  is non-trivial if and only if either  $h$  is non-trivial (in  $H$ ) or  $b$  maps some element of  $H$  to a non-trivial element of  $G$ . The pushdown automaton  $P$  which accepts the co-word problem of  $W$  decides non-deterministically which of these possibilities it will try to confirm.

In order to see if  $h$  is non-trivial,  $P$  chooses to ignore all  $X$ -letters and simulates the deterministic pushdown automaton  $P_H$  described above, except that  $P$  accepts if and only if  $P_H$  rejects; note that  $B$  is the normal closure of  $G$  in  $W$ .

Let  $w = w_1w_2 \dots w_l \in (X^{\pm 1} \cup Y^{\pm 1})^*$ , where  $w_i \in X^{\pm 1} \cup Y^{\pm 1}$ , and write  $w_{(i)}$  for the prefix of length  $i$  of  $w$ . Furthermore, let  $\bar{w}$  denote the word obtained from  $w$  by deleting all  $X$ -letters. Now suppose that  $w =_W bh$ . Let  $h' \in H$  and let  $I$  be the subset of all elements  $i$  of  $\{1, 2, \dots, l\}$  such that  $\bar{w}_{(i)} =_H h'^{-1}$  and  $w_i \in X^{\pm 1}$ . Then  $\bar{w} =_H h$  and  $b(h') =_G w_{i_1}w_{i_2} \dots w_{i_k}$ , where  $I = \{i_1, i_2, \dots, i_k\}$  and  $i_j < i_{j+1}$  for  $1 \leq j < k$ . In other words,  $b(h')$  is the subsequence of  $w$  consisting of all  $X$ -letters  $w_i$  for which  $\bar{w}_{(i)}$  represents  $h'^{-1}$ .

Now we describe how  $P$  tries to verify that  $b$  maps some element of  $H$  to a non-trivial element of  $G$ . First  $P$  guesses a word  $v$  in  $(Y^{\pm 1})^*$  and passes it to the deterministic pushdown automaton  $P_H$ . Let  $h' \in H$  be the element represented by  $v$ . Now  $P$  shall investigate  $b(h')$ . By the previous paragraph, all  $P$  has to do now is pass  $Y$ -letters to  $P_H$  and ignore all  $X$ -letters unless  $P_H$  is in an accept configuration, in which case  $X$ -letters get passed to a pushdown automaton  $P_G$  accepting  $\text{co}W(G, X)$ . The point is that, if  $P_H$  interprets the stack symbols of  $P_G$  as a bottom-of-stack marker, then  $P_G$  and  $P_H$  can share the same stack because  $P_G$  only ever acts when the stack looks empty to  $P_H$ . It follows that this causes  $P$  to feed precisely the component  $b(h')$  into  $P_G$ . We leave further details to the reader.  $\square$

We conjecture that, if the standard restricted wreath product  $G \wr H$  is a  $\text{co}\mathcal{CF}$ -group with non-trivial bottom group  $G$ , then the top group  $H$  has to be a  $\mathcal{CF}$ -group. Note that both  $G$  and  $H$  are  $\text{co}\mathcal{CF}$ -groups, by Proposition 6.

Using the same idea as in the proof of Theorem 10 one can show that the restricted standard wreath product of a finite group with a virtually cyclic top group has one counter co-word problem. One counter languages are those accepted by a pushdown automaton with only one stack symbol (apart from the bottom marker). Groups with one counter word problem are precisely the virtually cyclic groups (see [5]). This shows that there are groups whose co-word problems are among the simplest non-regular languages and which are not finitely presentable.

It is well known that every group is the syntactic monoid of its word problem (see [14] for example). Since the syntactic monoid of  $L$  coincides with the syntactic monoid of  $\Sigma^* \setminus L$ , every group is also the syntactic monoid of its co-word problem. Thus every group with context-free co-word problem is the syntactic monoid of a context-free language. The examples and constructions given in this paper appear to extend the class of groups known to arise in this way.

#### 4. Groups whose co-word problem is not context-free

In this section we prove that polycyclic groups and Baumslag–Solitar groups are  $\text{co}\mathcal{CF}$ -groups if and only if they are virtually abelian. We do this by means of semilinear sets which we define now.

Fix an integer  $k > 0$ , let  $\mathbb{N}_0$  denote the non-negative integers including zero, and let  $\mathbb{N}_0^k$  denote the set of all  $k$ -tuples of non-negative integers. Elements of  $\mathbb{N}_0^k$  are added component-wise. A subset  $L_i$  of  $\mathbb{N}_0^k$  is called *linear* if there exist  $c_i \in \mathbb{N}_0^k$  and a finite subset  $P_i = \{p_{i1}, \dots, p_{ij_i}\}$  of  $\mathbb{N}_0^k$  such that

$$L_i = \left\{ c_i + \sum_{j=1}^{j_i} \alpha_{ij} p_{ij} \mid \alpha_{ij} \in \mathbb{N}_0 \right\}. \tag{4.1}$$

By definition, a subset  $L$  of  $\mathbb{N}_0^k$  is *semilinear* if it is the union of finitely many linear sets.

We shall use Parikh’s theorem: if  $M \subset w_1^* w_2^* \dots w_k^*$  is context-free, then  $L = \{(n_1, n_2, \dots, n_k) \in \mathbb{N}_0^k \mid w_1^{n_1} w_2^{n_2} \dots w_k^{n_k} \in M\}$  is semilinear [13; 4, Theorem 5.2.1].

Our strategy to show that a group  $G$  is not a  $\text{co}\mathcal{CF}$ -group is to intersect its co-word problem with  $w_1^* \dots w_k^*$  for certain words  $w_i$ , and show that the corresponding subset of  $\mathbb{N}_0^k$  is not semilinear. For then, by Parikh’s theorem,  $w_1^* \dots w_k^* \cap \text{co}W(G)$ , and hence  $\text{co}W(G)$ , are not context-free, as context-free languages are closed under intersection with regular languages.

We deal with the last step in Propositions 11 and 14. Although Proposition 11 is a special case of Proposition 14, we include an independent proof of the former in the hope that it makes the fairly technical proof of Proposition 14 more accessible.

**PROPOSITION 11.** *Let  $L \subseteq \mathbb{N}_0^{r+1}$  for some  $r \in \mathbb{N}$ . Suppose that  $L$  has the following property. For every  $k \in \mathbb{N}$  there exists  $(a_1, \dots, a_r) \in \mathbb{N}_0^r \setminus \{(0, \dots, 0)\}$ , such that the following hold.*

- (i) *There is a unique  $b \in \mathbb{N}_0$  with  $(a_1, \dots, a_r, b) \notin L$ .*
- (ii) *If  $(a_1, \dots, a_r, b) \notin L$  then  $b \geq k \sum_{i=1}^r a_i$ .*

*Then  $L$  is not semilinear.*

*Proof.* The proof is by contradiction. Suppose that  $L$  is the union of the linear sets  $L_1, L_2, \dots, L_n$ , and let  $c_i$  and  $P_i$  be as in (4.1), for  $1 \leq i \leq n$ . We may clearly assume that the elements in each of the  $P_i$  are all non-zero.

Order the  $L_i$  such that, for  $1 \leq i \leq m$ ,  $P_i$  contains an element  $(0, \dots, 0, n_i)$  (where, by assumption,  $n_i > 0$ ), and for  $m + 1 \leq i \leq r$ ,  $P_i$  contains no such element. It is possible that  $m = 0$  or  $m = n$ . Let  $N$  be the least common multiple of the  $n_i$  ( $1 \leq i \leq m$ ), where  $N = 1$  if  $m = 0$ .

Fix some  $i$  with  $m + 1 \leq i \leq n$ . If  $p_{ij} = (x_1, \dots, x_{r+1}) \in P_i$ , then  $x_1 + \dots + x_r$  cannot be zero, and so there exists  $t \in \mathbb{N}$  such that  $x_{r+1} < t(x_1 + \dots + x_r)$ . We can clearly choose the same  $t$  for each  $p_{ij} \in P_i$  and, since the sum of two elements  $(x_1, \dots, x_{r+1}) \in \mathbb{N}_0^{r+1}$  that satisfy the condition  $x_{r+1} < t(x_1 + \dots + x_r)$  also satisfies that condition, we have  $x_{r+1} < t(x_1 + \dots + x_r) + q$  for all  $(x_1, \dots, x_{r+1}) \in L_i$ , where  $q \in \mathbb{N}_0$  is a constant, which we could take to be the last component of  $c_i$ .

Now let  $C \in \mathbb{N}$  be twice the maximum of all of the constants  $t, q$  that arise for all  $P_i$  with  $m + 1 \leq i \leq n$ . Then  $x_{r+1} < C(x_1 + \dots + x_r)$  whenever  $(x_1, \dots, x_{r+1}) \in L_i$  with  $m + 1 \leq i \leq n$ .

Let  $k \geq 2 \max\{N, C\}$  and let  $(a_1, \dots, a_r)$  satisfy (i) and (ii) of the proposition for this  $k$ , with  $b \in \mathbb{N}_0$  say. The uniqueness of  $b$  implies that  $(a_1, \dots, a_r, b - N) \in L$  (note that  $b > N$ ). In particular,  $(a_1, \dots, a_r, b - N) \in L_i$  for some  $i$  with  $1 \leq i \leq n$ . It follows that  $i > m$ , for otherwise we could add  $(N/n_i)(0, \dots, 0, n_i) \in \mathbb{N}P_i$  to  $(a_1, \dots, a_r, b - N)$  to get  $(a_1, \dots, a_r, b) \in L_i$  in contradiction to (i). Thus, by the previous

paragraph, we have  $b - N < C(a_1 + \dots + a_r)$ , or equivalently,  $b < C(a_1 + \dots + a_r) + N \leq 2 \max\{C, N\}(a_1 + \dots + a_r) \leq k(a_1 + \dots + a_r)$ , as  $(a_1 + \dots + a_r) \geq 1$ . This contradicts condition (ii) and the result is established.  $\square$

**THEOREM 12.** *A finitely generated nilpotent group has context-free co-word problem if and only if it is virtually abelian.*

*Proof.* By Proposition 6, every finitely generated virtually abelian group is a  $\text{coCF}$ -group.

Now assume that  $G$  is a finitely generated nilpotent but not virtually abelian group. It is well known that  $G$  has a torsion-free nilpotent but not virtually abelian subgroup. Furthermore, every non-abelian torsion-free nilpotent group has a subgroup isomorphic to the Heisenberg group

$$H = \langle A, B, C \mid [A, B] = C, [A, C] = [B, C] = 1 \rangle,$$

where  $[x, y]$  denotes the commutator  $x^{-1}y^{-1}xy$ . To see this let  $A$  be a non-central element of the second term of the upper central series of  $G$  and let  $B$  be some element not commuting with  $A$ .

By Proposition 6, it suffices to show that  $H$  is not a  $\text{coCF}$ -group. Since  $[A^m, B^m] = C^{m^2}$  holds in  $H$  for all  $m \geq 0$ , the subset of  $\mathbb{N}_0^5$  corresponding to the intersection of  $(A^{-1})^*(B^{-1})^*A^*B^*(C^{-1})^*$  with  $\text{co}W(H, \{A, B, C\})$  satisfies the condition of Proposition 11. (Given  $k$ , consider  $(m, m, m, m)$  with  $m \geq 4k$ .) This completes the proof.  $\square$

Recall that a Baumslag–Solitar group is a group with a presentation of the form  $\langle x, y \mid y^{-1}x^p y = x^q \rangle$ , where  $p, q \in \mathbb{Z} \setminus \{0\}$ , for example [8].

**THEOREM 13.** *A Baumslag–Solitar group is a coCF-group if and only if it is virtually abelian.*

*Proof.* Let  $G$  be given by the presentation  $\langle x, y \mid y^{-1}x^p y = x^q \rangle$ . It is well known that  $G$  is virtually abelian precisely when  $p = \pm q$ . We deal here with the case  $0 < p < q$ , the other cases being similar. Then the subset  $L$  of  $\mathbb{N}_0^4$  corresponding to  $\text{co}W(G) \cap (y^{-1})^*(x^{-1})^*y^*x^*$  does not contain  $(n, p^n, n, q^n)$  for any  $n \in \mathbb{N}$  and  $q^n$  is the only value of  $x$  for which  $(n, p^n, n, x)$  is not in  $L$ . Moreover, since  $q > p$ , for every given  $k$  there exists  $n$  with  $k(2n + p^n) \leq q^n$ . This simply means that  $L$  satisfies the hypothesis of Proposition 11 and the proof is complete.  $\square$

Let us now turn to the generalisation of Proposition 11 which enables us to deal with polycyclic groups. We shall write elements  $(a_1, \dots, a_r, b_1, \dots, b_s)$  of  $\mathbb{N}_0^{r+s}$  as  $(\mathbf{a}; \mathbf{b})$ , where  $\mathbf{a} = (a_1, \dots, a_r) \in \mathbb{N}_0^r$  and  $\mathbf{b} = (b_1, \dots, b_s) \in \mathbb{N}_0^s$ . We shall denote the zero vector in  $\mathbb{N}_0^r$  or  $\mathbb{N}_0^s$  by  $\mathbf{0}$ . For any vector  $\mathbf{v}$ ,  $\sigma(\mathbf{v})$  will denote the sum of its components; for example  $\sigma(\mathbf{a}) = \sum_{i=1}^r a_i$ .

**PROPOSITION 14.** *Let  $L \subseteq \mathbb{N}_0^{r+s}$  for some  $r, s \in \mathbb{N}$ . Suppose that  $L$  has the following property. For every  $k \in \mathbb{N}$ , there exists  $\mathbf{a} \in \mathbb{N}_0^r \setminus \{\mathbf{0}\}$ , such that the following hold.*

- (i) *There is a unique  $\mathbf{b} \in \mathbb{N}^s$  with  $(\mathbf{a}; \mathbf{b}) \notin L$ .*
- (ii) *If  $(\mathbf{a}; \mathbf{b}) \notin L$  then  $b_j \geq k\sigma(\mathbf{a})$  for  $1 \leq j \leq s$ .*

*Then  $L$  is not semilinear.*

*Proof.* Again we argue by contradiction. Suppose that  $L$  is semilinear and is the union of linear sets  $L_1, \dots, L_m$ , where

$$L_i = \left\{ \mathbf{u}_i + \sum_{j=1}^{j_i} \alpha_{ij} \mathbf{v}_{ij} \mid \alpha_{ij} \in \mathbb{N}_0 \right\},$$

$P_i = \{\mathbf{v}_{i1}, \dots, \mathbf{v}_{ij_i}\}$  is the set of periods of  $L_i$ , and  $\mathbf{u}_i, \mathbf{v}_{ij}$  all lie in  $\mathbb{N}_0^{r+s}$ . We may assume that all periods are non-zero.

We order the  $L_i$  such that, for  $1 \leq i \leq m$ ,  $P_i$  contains an element  $(\mathbf{0}; \mathbf{b})$  (where, by assumption,  $\mathbf{b} \neq \mathbf{0}$ ), and for  $m + 1 \leq i \leq n$ ,  $P_i$  contains no such element. It is possible that  $m = 0$  or  $m = n$ .

Our strategy is similar to that used in the proof of Proposition 11, in that we aim to find a vector  $(\mathbf{a}; \mathbf{b})$  that is not in  $L$ , where  $\mathbf{b}$  is ‘large’ compared with  $\mathbf{a}$ , and to consider a suitable  $(\mathbf{a}; \mathbf{b} - \mathbf{v})$  which is in  $L$ . The largeness of  $\mathbf{b}$  will enable us to conclude, as in the earlier proof, that  $(\mathbf{a}; \mathbf{b} - \mathbf{v})$  is not in  $L_i$  for  $i > m$ . The argument for  $i \leq m$  is more complicated here than in Proposition 11. We shall identify a subset  $\mathcal{L}$  of  $\{L_1, L_2, \dots, L_m\}$  consisting of those  $L_i$  that are in some sense close to  $(\mathbf{a}; \mathbf{b})$ , and show that  $(\mathbf{a}; \mathbf{b} - \mathbf{v})$  has to lie in an  $L_i$  in  $\mathcal{L}$ . The vector  $\mathbf{v}$  will be chosen such that  $(\mathbf{0}; \mathbf{v})$  is in the space spanned by the periods of  $L_i$  for all  $L_i \in \mathcal{L}$ , and so can be added to  $(\mathbf{a}; \mathbf{b} - \mathbf{v})$  to obtain a vector in  $L$ , thereby yielding a contradiction. Before we can choose  $(\mathbf{a}; \mathbf{b})$  and  $\mathbf{v}$ , we need to define a number of constants.

As in the proof of Proposition 11, we can find a constant  $C_1$  such that, for any  $(\mathbf{a}; \mathbf{b}) \in L_i$  with  $m + 1 \leq i \leq n$ , we have  $b_j < C_1 \sigma(\mathbf{a})$  for  $1 \leq j \leq s$ .

For  $1 \leq i \leq m$ , we assume that the periods in  $P_i$  are ordered such that  $\mathbf{v}_{ij} = (\mathbf{0}; \mathbf{b}_{ij})$  for  $1 \leq j \leq k_i$ , and  $\mathbf{v}_{ij} = (\mathbf{a}; \mathbf{b})$  with  $\mathbf{a} \neq \mathbf{0}$  for  $k_i < j \leq j_i$ . By the definition of  $m$ , we have  $k_i > 0$  for  $1 \leq i \leq m$ .

For  $1 \leq i \leq m$ , let  $R_i, Q_i, Z_i$  be respectively the real, rational, and integral submodules of  $\mathbb{R}^s, \mathbb{Q}^s, \mathbb{Z}^s$  spanned by  $\{\mathbf{b}_{ij} \mid 1 \leq j \leq k_i\}$ . Let

$$R_i^+ = \left\{ \sum_{j=1}^{k_i} \lambda_j \mathbf{b}_{ij} \mid \lambda_j \in \mathbb{R}, \lambda_j \geq 0 \right\},$$

and define  $Q_i^+$  and  $Z_i^+$  correspondingly.

Let  $(\mathbf{a}; \mathbf{b}) \in L_i$ , where  $1 \leq i \leq m$  and  $\mathbf{a} \neq \mathbf{0}$ . We shall show now that  $\mathbf{b}$  is close to an element of  $Z_i^+$ . Note that  $\mathbf{b}$  is the sum of a constant vector coming from  $\mathbf{u}_i$ , an element of  $Z_i^+$ , and at most  $\sigma(\mathbf{a})$  vectors coming from periods  $\mathbf{v}_{ij}$  with  $j > k_i$ . It follows that there is a constant  $C_2$  such that for any  $i$  with  $1 \leq i \leq m$ , and any such  $(\mathbf{a}; \mathbf{b})$  with  $\mathbf{a} \neq \mathbf{0}$ , we have  $d(\mathbf{b}, Z_i^+) \leq C_2 \sigma(\mathbf{a})$ , and hence  $d(\mathbf{b}, R_i^+) \leq C_2 \sigma(\mathbf{a})$ , where  $d$  is the standard Euclidean metric on  $\mathbb{R}^s$ .

As mentioned above, we shall eventually be choosing a specific subset  $\mathcal{L}$  of  $\{L_1, L_2, \dots, L_m\}$ . We cannot do this yet, because we are not ready to choose our vector  $(\mathbf{a}; \mathbf{b})$ ; we need first to establish some general properties of such subsets  $\mathcal{L}$ . Define  $\mathcal{I}$  to be the set of all subsets  $I$  of  $\{1, 2, \dots, m\}$  such that the intersection of the  $R_i^+$  with  $i \in I$  is not  $\{\mathbf{0}\}$ , that is,

$$I \in \mathcal{I} \iff \bigcap_{i \in I} R_i^+ \neq \{\mathbf{0}\}.$$

Suppose first that  $I \in \mathcal{I}$ . It follows from Lemma 15 below that the intersection of the  $Q_i^+$  contains a non-zero vector in  $\mathbb{Q}^s$ , and hence by multiplying by the least common multiple of the denominators of the coefficients, the intersection of the

$Z_i^+$  for  $i \in I$  contains a non-zero vector in  $\mathbb{Z}^s$  and hence in  $\mathbb{N}_0^s$ . For each  $I \in \mathcal{I}$  choose one such vector  $\mathbf{v}_I$  and let  $l$  be the largest Euclidean length of any of these  $\mathbf{v}_I$ ,  $I \in \mathcal{I}$ . One of these vectors  $\mathbf{v}_I$  will later serve as the vector  $\mathbf{v}$  in  $(\mathbf{a}; \mathbf{b} - \mathbf{v})$  as discussed above.

Suppose, on the other hand, that  $I$  is a subset of  $\{1, 2, \dots, m\}$  but not in  $\mathcal{I}$ . In this case, we shall show that a ‘large’ vector in  $\mathbb{R}_{\geq 0}^s$  is a long way from  $R_i^+$  for at least one  $i \in I$ . For  $c \in \mathbb{R}$ ,  $c > 0$ , let  $H_c = \{\mathbf{v} \in \mathbb{R}_{\geq 0}^s \mid \sigma(\mathbf{v}) = c\}$ . Let  $\mathbf{v} \in H_c$ . Since  $\mathbf{v}$  does not lie in all of the  $R_i^+$  with  $i \in I$ , and each  $R_i^+$  is closed, we have  $\max\{d(\mathbf{v}, R_i^+) \mid i \in I\} > 0$ . Clearly  $\max\{d(\mathbf{v}, R_i^+) \mid i \in I\}$  is a continuous function of  $\mathbf{v}$ , and since  $H_c$  is compact, and the image of a continuous function on a compact set is compact, there exists  $D_c \in \mathbb{R}$ ,  $D_c > 0$  such that  $\max\{d(\mathbf{v}, R_i^+) \mid i \in I\} \geq D_c$  for all  $\mathbf{v} \in H_c$ . Now to every  $\mathbf{v} \in H_1$  and  $\mathbf{w} \in R_i^+$  there are corresponding points  $c\mathbf{v} \in H_c$  and  $c\mathbf{w} \in R_i^+$  with  $d(c\mathbf{v}, c\mathbf{w}) = cd(\mathbf{v}, \mathbf{w})$ , so we can take  $D_c = cD_1$  for all  $c > 0$ .

We are finally ready to choose our vector  $(\mathbf{a}; \mathbf{b})$  and to define our subset  $\mathcal{L}$  of  $\{L_1, L_2, \dots, L_m\}$ . We apply the hypothesis of the theorem with  $k$  chosen such that  $D_1k > C_2 + l$  and  $k > C_1 + l$ . There exist corresponding  $\mathbf{a}$  and  $\mathbf{b}$  for this  $k$ . Let  $I = \{i \in \{1, 2, \dots, m\} \mid d(\mathbf{b}, R_i^+) \leq (C_2 + l)\sigma(\mathbf{a})\}$ . (Then our  $\mathcal{L}$  is just  $\{L_i \mid i \in I\}$ .) Suppose first that  $I \notin \mathcal{I}$ . As we saw above, if  $c = \sigma(\mathbf{b})$ , then there is an  $i \in I$  with  $d(\mathbf{b}, R_i^+) \geq D_c = cD_1$ . By hypothesis, each component  $b_j$  of  $\mathbf{b}$  is at least  $k\sigma(\mathbf{a})$ , and so certainly  $c \geq k\sigma(\mathbf{a})$ , and hence  $d(\mathbf{b}, R_i^+) \geq D_1k\sigma(\mathbf{a}) > (C_2 + l)\sigma(\mathbf{a})$ , contrary to the definition of  $I$ .

Hence  $I \in \mathcal{I}$  and, as we saw above, the intersection of the  $Z_i^+$  for  $i \in I$  contains the non-zero vector  $\mathbf{v}_I$ ; in particular  $|\mathbf{v}_I| \leq l$ . By the hypothesis of the theorem,  $\mathbf{b}$  is unique with  $(\mathbf{a}; \mathbf{b}) \notin L$ , and so  $(\mathbf{a}; \mathbf{b} - \mathbf{v}_I) \in L$ .

Since each component  $b_j$  of  $\mathbf{b}$  satisfies  $b_j \geq k\sigma(\mathbf{a}) > (C_1 + l)\sigma(\mathbf{a})$ , and the components of  $\mathbf{v}_I$  are at most  $l$ , the components of  $\mathbf{b} - \mathbf{v}_I$  are at least  $C_1\sigma(\mathbf{a})$ , and so, by definition of  $C_1$ , we cannot have  $(\mathbf{a}; \mathbf{b} - \mathbf{v}_I) \in L_i$  for  $i > m$ .

If  $i \in \{1, 2, \dots, m\}$  with  $i \notin I$ , then by choice of  $I$  we have  $d(\mathbf{b}, R_i^+) > (C_2 + l)\sigma(\mathbf{a})$ , and since  $|\mathbf{v}_I| \leq l$ , this implies that  $d(\mathbf{b} - \mathbf{v}_I, R_i^+) > C_2\sigma(\mathbf{a})$ . Then, by the definition of  $C_2$ , we cannot have  $(\mathbf{a}; \mathbf{b} - \mathbf{v}_I) \in L_i$ .

Hence we must have  $(\mathbf{a}; \mathbf{b} - \mathbf{v}_I) \in L_i$  for some  $i \in I$ . Then  $\mathbf{v}_I \in Z_i^+$ , and so  $(\mathbf{a}; \mathbf{b} - \mathbf{v}_I) + (0; \mathbf{v}_I) = (\mathbf{a}; \mathbf{b}) \in L_i \subseteq L$ , contrary to assumption.  $\square$

After this final contradiction, the proof of Proposition 14 is complete once we establish the following result which we used above.

LEMMA 15. *Let  $s \in \mathbb{N}$ , let  $P_1, P_2, \dots, P_m$  be finite subsets of  $\mathbb{Q}^s$ , and let  $Q_1, \dots, Q_m$  and  $R_1, \dots, R_m$  be respectively the rational and real subspaces of  $\mathbb{Q}^s$  and  $\mathbb{R}^s$  spanned by  $P_1, \dots, P_m$ . Then the following hold.*

(i) *The intersection  $Q_I$  of the  $Q_i$  has the same dimension as the intersection  $R_I$  of the  $R_i$ .*

(ii) *Suppose that, for some  $i$  with  $1 \leq i \leq m$ , we have  $\lambda_1\mathbf{v}_1 + \dots + \lambda_r\mathbf{v}_r \in R_I$  where, for  $1 \leq j \leq r$ ,  $\mathbf{v}_j \in P_i$ , and  $\lambda_j \in \mathbb{R}$  with  $\lambda_j > 0$ . Then there exist  $\mu_1, \dots, \mu_r \in \mathbb{Q}$  with each  $\mu_j > 0$  and  $\mu_1\mathbf{v}_1 + \dots + \mu_r\mathbf{v}_r \in Q_I$ .*

*Proof.* We prove (i) by induction on  $m$ . For  $m = 1$ ,  $Q_I = Q_1$ ,  $R_I = R_1$ , and the dimensions of  $Q_I$  and  $R_I$  are equal to the  $\mathbb{Q}$ -rank and  $\mathbb{R}$ -rank of the matrix  $A$  of which the rows are the vectors in  $P_1$ . These ranks are both equal to the degree of

the largest square submatrix of  $A$  having non-zero determinant, so they are equal. When  $m > 1$ , we apply the formula  $\dim(U \cap V) = \dim(U) + \dim(V) - \dim(U + V)$  for subspaces  $U$  and  $V$  of a vector space, with  $U$  equal to the intersection of  $Q_1, \dots, Q_{m-1}$  (respectively  $R_1, \dots, R_{m-1}$ ), and  $V = Q_m$  (respectively  $R_m$ ), and the result follows by induction on  $m$ .

By (i), any basis of  $Q_I$  is a basis of  $R_I$ . Using this basis and the fact that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ , it follows that  $Q_I$  is dense in  $R_I$ . Now let  $\mathbf{v} := \lambda_1 \mathbf{v}_1 + \dots + \lambda_r \mathbf{v}_r \in R_I$  be as in (ii), with each  $\lambda_j \in \mathbb{R}$ ,  $\lambda_j > 0$ . We may assume that  $\{\mathbf{v}_1, \dots, \mathbf{v}_r\}$  is the whole of  $P_i$ , since otherwise we can prove the whole lemma with  $P_i$  replaced by that subset. Then, for any  $\epsilon > 0$ , we can find  $\mathbf{v}' \in Q_I$  with  $|\mathbf{v}' - \mathbf{v}| < \epsilon$ . By definition of  $Q_I$ , we have  $\mathbf{v}' = \nu_1 \mathbf{v}_1 + \dots + \nu_r \mathbf{v}_r$  for some  $\nu_j \in \mathbb{Q}$ . We would like each  $|\nu_j - \lambda_j|$  to be small, in order to force  $\nu_j$  to be positive, but since  $P_i$  is not necessarily a subset of  $Q_I$ , we cannot achieve this simply by choosing the  $\nu_j$  to be rational numbers close to the  $\lambda_j$ .

Let  $\phi: \mathbb{R}^r \rightarrow \mathbb{R}^s$  be the linear map which maps  $(\alpha_1, \alpha_2, \dots, \alpha_r) \in \mathbb{R}^r$  to  $\alpha_1 \mathbf{v}_1 + \dots + \alpha_r \mathbf{v}_r$ . Then  $\phi(\mathbf{u}) = \mathbf{v}$  and  $\phi(\mathbf{u}') = \mathbf{v}'$ , where  $\mathbf{u} = (\lambda_1, \dots, \lambda_r)$  and  $\mathbf{u}' = (\nu_1, \dots, \nu_r)$ . We are looking to express  $\mathbf{v}'$  as a sum  $\mu_1 \mathbf{v}_1 + \dots + \mu_r \mathbf{v}_r$  with each  $\mu_j \in \mathbb{Q}$  and  $|\mu_j - \lambda_j|$  small. In other words, we are looking for  $\mathbf{u}'' = (\mu_1, \dots, \mu_r) \in \mathbb{Q}^r$  with  $\phi(\mathbf{u}'') = \phi(\mathbf{u}')$  and  $|\mathbf{u}'' - \mathbf{u}|$  small. Let  $N_{\mathbb{R}}$  be the nullspace of  $\phi$ , and let  $W$  be a complementary subspace to  $N_{\mathbb{R}}$  in  $\mathbb{R}^r$ . Then  $\phi$  maps  $W$  isomorphically onto  $\text{im}(\phi)$ . Let  $\mathbf{x} \in W$  with  $\phi(\mathbf{x}) = \mathbf{v}' - \mathbf{v}$ . Since  $|\mathbf{v}' - \mathbf{v}| < \epsilon$ , we have  $|\mathbf{x}| < K\epsilon$ , where  $K$  is the norm of the inverse map of  $\phi_W: W \rightarrow \text{im}(\phi)$ . We have  $\phi(\mathbf{x} + \mathbf{u}) = \mathbf{v}' - \mathbf{v} + \mathbf{v} = \mathbf{v}'$ , and  $|\mathbf{x} + \mathbf{u} - \mathbf{u}| = |\mathbf{x}|$  is small, but  $\mathbf{x} + \mathbf{u} \notin \mathbb{Q}^r$ , so we cannot just take  $\mathbf{u}'' = \mathbf{x} + \mathbf{u}$ . We have  $\mathbf{x} + \mathbf{u} - \mathbf{u}' \in N_{\mathbb{R}}$ , and by a similar argument to that used in the proof of (i), the dimension of  $N_{\mathbb{R}}$  is equal to the dimension of the rational space  $N_{\mathbb{Q}} := N_{\mathbb{R}} \cap \mathbb{Q}^r$ , so  $N_{\mathbb{Q}}$  is dense in  $N_{\mathbb{R}}$ , and we can find  $\mathbf{y} \in N_{\mathbb{Q}}$  with  $|\mathbf{y} - (\mathbf{x} + \mathbf{u} - \mathbf{u}')| < \epsilon$ . Thus  $\mathbf{u}' + \mathbf{y} \in \mathbb{Q}^r$  with

$$|(\mathbf{u}' + \mathbf{y}) - \mathbf{u}| \leq |\mathbf{y} - \mathbf{x} - \mathbf{u} + \mathbf{u}'| + |\mathbf{x}| < (K + 1)\epsilon,$$

and so, with a suitable small choice of  $\epsilon$ ,  $\mathbf{u}'' := \mathbf{u}' + \mathbf{y}$  will have the required properties. That is,  $\mu_1 \mathbf{v}_1 + \dots + \mu_r \mathbf{v}_r = \mathbf{v}' \in Q_I$  with each  $\mu_j \in \mathbb{Q}$  and  $\mu_j > 0$ , where  $\mathbf{u}'' = (\mu_1, \dots, \mu_r)$ . Thus the lemma holds. □

**THEOREM 16.** *A polycyclic group has context-free co-word problem if and only if it is virtually abelian.*

*Proof.* Let  $G$  be a counterexample. Since all subgroups of a polycyclic group are finitely generated, we can, using Proposition 6, at any time replace  $G$  by any subgroup of  $G$  that is not virtually abelian.

Clearly  $G$  is infinite, so by [15, 5.4.15]  $G$  has a non-trivial free abelian normal subgroup  $N$ . Choose such a subgroup of largest possible rank. Since  $G$  is not virtually abelian,  $G/N$  is also infinite, and so has a non-trivial free abelian normal subgroup  $M/N$ . If  $M$  were virtually abelian, then it would have a free abelian subgroup  $L$  of finite index  $t$ , say, and then  $M^t$  would be free abelian of finite index in  $M$  and normal in  $G$ . Then the rank of  $M^t$  would be greater than that of  $N$ , contrary to the choice of  $N$ , so  $M$  is not virtually abelian, and we can therefore assume that  $M = G$ . Thus  $G/N$  is free abelian.

Let  $g \in G \setminus N$ . If  $\langle g, N \rangle$  were virtually abelian, then some power  $g^t$  of  $g$  with  $t > 0$  would centralise  $N$ , and then  $\langle g^t, N \rangle$  would be a normal free abelian subgroup of

$G$  of rank greater than that of  $N$ . Thus  $\langle g, N \rangle$  is not virtually abelian, and we can assume that  $G = \langle g, N \rangle$ .

In general, the minimal polynomial over  $\mathbb{Q}$  of a matrix with entries in  $\mathbb{Z}$  divides the characteristic polynomial, and hence, by Gauss' lemma, is a monic polynomial in  $\mathbb{Z}[x]$ . If the inverse of the matrix also has integral entries then its determinant is  $\pm 1$ , and hence so also is the constant term of the minimal polynomial.

This applies, in particular, to the minimal polynomial  $p(x)$  of the action of  $g$  by conjugation on  $N$ . If each of the irreducible factors of  $p$  are cyclotomic polynomials, then the action of some power  $g^t$  ( $t > 0$ ) of  $g$  on  $N$  satisfies a polynomial of the form  $(x - 1)^m$  for some  $m > 0$ , and then  $\langle g^t, N \rangle$  is nilpotent,  $G$  is virtually nilpotent, and the result follows from Theorem 12.

Hence we can assume that  $p(x) = q(x)r(x)$ , where  $q \in \mathbb{Z}[x]$  is irreducible and is not cyclotomic. We can regard  $N$  as a  $\mathbb{Z}[x]$ -module, where the action of  $x$  is the conjugation action of  $g$ , and then  $R := r(x)N$  is a submodule on which  $g$  acts with minimal polynomial  $q(x)$ . We can now assume that  $G = \langle g, R \rangle$ , and then  $N = R$  and  $p(x) = q(x)$  is irreducible. Similarly, by replacing  $N$  by a submodule if necessary, we can assume that  $N$  is generated as a  $\mathbb{Z}[x]$ -module by a single element  $v$ , and hence the rank  $n$  of  $N$  is equal to the degree of  $p$ . We can also assume that, for any  $t > 0$ , the minimal polynomial  $p_t(x)$  of the action of  $g^t$  on  $N$  is irreducible and has degree  $n$ , for otherwise we can replace  $G$  by  $\langle g^t, N \rangle$ .

Let

$$p_t(x) = x^n + a_{t,n-1}x^{n-1} + \dots + a_{t1}x + a_{t0}.$$

By [16, Lemma 11.6], if all of the complex roots  $\lambda_1, \dots, \lambda_n$  of  $p(x)$  had absolute value 1, then they would all be roots of unity, but then  $p(x)$  would be cyclotomic, which we are assuming is not the case. Since the product of these roots is the constant term  $a_{1,0}$  of  $p(x)$ , which is 1 or  $-1$ , there must be some root which has absolute value greater than 1. Let us order the  $\lambda_i$  such that  $\lambda_1, \dots, \lambda_m$  are the roots with largest absolute value  $\lambda = |\lambda_1| = \dots = |\lambda_m|$ . For any  $t > 0$ , the roots of  $p_t(x)$  are  $\lambda_i^t$ . Since the coefficient  $a_{t,n-m}$  of  $x^{n-m}$  in  $p_t(x)$  is plus or minus the sum of the products of the  $\lambda_i^t$  taken  $m$  at a time, and  $\lambda_1^t \lambda_2^t \dots \lambda_m^t$  is the unique such product with largest absolute value  $\lambda^{tm}$ , there is a constant  $c > 0$  with the property that, for large enough  $t$ , we have  $|a_{t,n-m}| > c\lambda^t$ .

Let  $I = \{0, 1, \dots, n - 1\}$ , and choose a maximal subset  $J$  of  $I$  with the property that, for any constant  $k \in \mathbb{N}$ , there exists  $t > 0$  such that  $|a_{tj}| > kt$  for all  $j \in J$ . The statement at the end of the preceding paragraph shows that  $\{n - m\}$  has this property, so  $J$  is non-empty. Now there must exist a constant  $C > 0$  such that whenever  $|a_{tj}| > Ct$  for all  $j \in J$ , we have  $|a_{ti}| \leq Ct$  for all  $i \in I \setminus J$ , since otherwise  $J$  would not be maximal. (Suppose not. Then for all  $C > 0$ , there exists  $t > 0$  such that  $|a_{tj}| > Ct$  for all  $j \in J$ , and also  $|a_{ti}| > Ct$  for some  $i \in I \setminus J$ . Then, since  $I$  is finite, there must be some  $i \in I \setminus J$  that occurs in this way for infinitely many  $C \in \mathbb{N}$ , and then  $J \cup \{i\}$  has the property for which  $J$  was supposed to be maximal.) For each  $k \in \mathbb{N}$ , let  $t_k$  be a specific value of  $t$  for which the property in the preceding paragraph holds for the set  $J$ , and let  $(s_{k,n-1}, s_{k,n-2}, \dots, s_{k1}, s_{k0})$  be the sequence of signs of the coefficients  $(a_{t_k,n-1}, a_{t_k,n-2}, \dots, a_{t_k,1}, a_{t_k,0})$  in  $p_{t_k}(x)$ , where each  $s_{kj}$  is 1 or  $-1$ . There must be some such sequence  $(s_{n-1}, s_{n-2}, \dots, s_1, s_0)$  of signs that occurs for infinitely many  $k$ , and then we can alter our choice of  $t_k$  if necessary to ensure that this same sequence occurs for all  $k \in \mathbb{N}$ .

Let  $v$  be a non-trivial element of  $N$ . Then, by definition of  $p_t(x)$ , we have

$$(g^{-nt}vg^{nt})(g^{-(n-1)t}v^{a_{t,n-1}}g^{(n-1)t}) \dots (g^{-t}v^{a_{t1}}g^t)(v^{a_{t0}}) \\ = g^{-nt}vg^t v^{a_{t,n-1}}g^t \dots g^t v^{a_{t1}}g^t v^{a_{t0}} = 1$$

and furthermore,  $a_{t,n-1}, a_{t,n-2}, \dots, a_{t1}, a_{t0}$  are the only values of  $b_{n-1}, b_{n-2}, \dots, b_1, b_0$ , respectively, for which

$$g^{-nt}vg^t v^{b_{n-1}}g^t v^{b_{n-2}} \dots g^t v^{b_1}g^t v^{b_0} = 1,$$

for otherwise  $g^t$  would satisfy a polynomial of degree less than  $n$  in its action on the  $\mathbb{Z}[x^t]$ -submodule of  $N$  generated by  $v$ , and then  $p_t(x)$  would not be irreducible, contrary to what we assumed above.

Now let  $L$  be the subset of  $\mathbb{N}_0^{2n+1}$  defined by  $(a_1, a_2, \dots, a_{2n+1}) \in L$  if and only if

$$\bar{g}^{a_1}vg^{a_2}v_{n-1}^{a_3}g^{a_4}v_{n-2}^{a_5}g^{a_6} \dots g^{a_{2n-2}}v_1^{a_{2n-1}}g^{a_{2n}}v_0^{a_{2n+1}} \neq 1,$$

where  $\bar{g} = g^{-1}$  and, for  $0 \leq j \leq n-1$ ,  $v_i = v$  if  $s_i = 1$  and  $v_i = v^{-1}$  if  $s_i = -1$ . Then, for any  $k \in \mathbb{N}$ , there exists  $t = t_k \in \mathbb{N}$ , such that

$$(nt, t, |a_{t,n-1}|, t, |a_{t,n-2}|, t, \dots, t, |a_{t1}|, t, |a_{t0}|)$$

is the unique element of  $\mathbb{N}_0^{2n+1}$  of the form  $(nt, t, a_3, t, a_5, t, \dots, t, a_{2n-1}, t, a_{2n+1})$  that is not in  $L$ . Furthermore, provided that  $k > C$ , we have  $|a_{tj}| \geq kt$  for  $j \in J$  and  $|a_{tj}| \leq Ct$  for  $j \in I \setminus J$ . Since the semilinearity of a subset of  $\mathbb{N}_0^{2n+1}$  does not depend on the order of its components, we can now apply Proposition 14 to  $L$  to deduce that  $L$  is not semilinear. In this application, the final  $s$  components of the vectors in Proposition 14 correspond to the components of the vectors in  $\mathbb{N}_0^{2n+1}$  containing the entries  $|a_{t,j}|$  with  $j \in J$  in the displayed vector above; so  $s = |J|$ . The first  $r$  components of the vectors in Proposition 14 correspond to all other components of the vectors in  $\mathbb{N}_0^{2n+1}$ .

Since  $L$  is the intersection of the co-word problem of  $G$  with a regular set, this completes the proof of the theorem. □

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