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On the ground states and dynamics of space fractional nonlinear Schrödinger/Gross-Pitaevskii equations with rotation term and nonlocal nonlinear interactions

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Abstract

In this paper, we propose some efficient and robust numerical methods to compute the ground states and dynamics of Fractional Schrödinger Equation (FSE) with a rotation term and nonlocal nonlinear interactions. In particular, a newly developed Gaussian-sum (GauSum) solver is used for the nonlocal interaction evaluation \cite{33}. To compute the ground states, we integrate the preconditioned Krylov subspace pseudo-spectral method \cite{5} and the GauSum solver. For the dynamics simulation, using the rotating Lagrangian coordinates transform \cite{16}, we first reformulate the FSE into a new equation without rotation. Then, a time-splitting pseudo-spectral scheme incorporated with the GauSum solver is proposed to simulate the new FSE. In parallel to the numerical schemes, we also prove some existence and nonexistence results for the ground states. Dynamical laws of some standard quantities, including the mass, energy, angular momentum and the center of mass, are stated. The ground states properties with respect to the fractional order and/or rotating frequencies, dynamics involving decoherence and turbulence together with some interesting phenomena are reported.

Keywords: fractional Schrödinger equation, rotation, nonlocal nonlinear interaction, rotating Lagrangian coordinates, Gaussian-sum solver, ground state, dynamics

1. Introduction

Recently, a great deal of attention has been directed towards the derivation of a powerful generalization of PDEs through the inclusion of fractional order operators. These developments now impact strongly most areas of physics and engineering \cite{41,44,49,64,79}. Additionally, some new applications are also emerging in biology, molecular dynamics, finance, etc. Due to the fact that extremely important applications are related to these models, a significative effort has been made in the last few years to obtain some mathematical properties and numerical tools \cite{11} for the generalized systems of PDEs. An example of such a keen interest is the recent Journal of Computational Physics \cite{19} special issue in 2015 that is dedicated to “Fractional PDEs: Theory, Numerics, and Applications”. The aim of this paper is to contribute to this new hot area for fractional quantum physics, with possible applications, e.g. in Bose-Einstein condensation (BEC).

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During the last decades, the classical Schrödinger Equation (SE) has been widely investigated and applied to many areas in physics (optics, electromagnetic, superfluidity, etc.). It is known as the fundamental equation of classical quantum mechanics which can be interpreted by the Feynman path integral approach over Brownian-like quantum paths [33]. Brownian motion (Wiener process) represents a simple diffusion random walk process. More general and complex stochastic processes (Lévy motion) exist and can be still presumably modeled by modifying the standard diffusion equation using a fractional Laplacian operator \((-\Delta)^s := (-\nabla^2)^s\) (with \(s > 0\) being the fractional order) [60, 70]. Lévy processes provide a general framework to study anomalous diffusion. Fractional diffusion has been widely studied by many authors [22, 23, 44, 50, 51, 61, 64, 71, 72, 73] and is now considered as a suitable way to describe spatially disordered systems (such as porous media and fractal media), turbulent fluids and plasmas, biological media with traps, etc. Fractional diffusion for classical mechanics is referred to as subdiffusion if \(s < 1\) and as superdiffusion if \(s > 1\). For more details, we refer to [41] where a concise table shows the scaling laws for fractional diffusion.

Analogously, in the context of quantum mechanics, fractional quantum models, based in particular on Schrödinger-type equations, are now emerging while being however more limited in terms of publications and studies compared with classical fractional mechanics. Laskin extended the Feynman path integral approach over Lévy-like quantum paths and derived a Fractional Schrödinger Equation (FSE) that modifies the SE by involving the fractional Laplacian \((-\Delta)^s\) [53, 54, 55, 56]. The FSE was applied to represent the Bohr atom, fractional oscillator [56], and it is a new fractional approach to study the quantum chromodynamics (QCD) problem of quarkonium [53]. The FSE also arises in the continuum limit of the discrete SE with long-range dispersive interaction [50], in the mathematical description of boson stars [30] and in some models of water wave dynamics [56]. It has also been proposed to study BEC of which the particles obey a non-Gaussian dispersive interaction [50], in the mathematical description of boson stars [30] and in some models of water wave dynamics [56]. The FSE was applied to represent the Bohr atom, fractional oscillator [56], and it is a new fractional approach to study the quantum chromodynamics (QCD) problem of quarkonium [53]. The FSE also arises in the continuum limit of the discrete SE with long-range dispersive interaction [50], in the mathematical description of boson stars [30] and in some models of water wave dynamics [56].

More precisely, we consider here the following generalized dimensionless (space-)Fractional NonLinear Schrödinger equation (FNLSE) with a rotation term and a nonlocal nonlinear interaction

\[
i\partial_t \psi(x, t) = \left[ \frac{1}{2} (-\nabla^2 + m^2)^s + V(x) + \beta |\psi(x, t)|^2 + \lambda \Phi(x, t) - \Omega L_z \right] \psi(x, t),
\]

(1.1)

\[
\Phi(x, t) = \mathcal{U} \ast |\psi(x, t)|^2,
\]

(1.2)

In the context of BEC, this equation is also called as FGPE. Here, \(\psi(x, t)\) is the complex-valued wavefunction, \(t > 0\) is the time variable and \(x \in \mathbb{R}^d\) is the spatial coordinate. The constant \(m \geq 0\) denotes the scaled particle mass, with \(m = 0\) representing the massless particle. The parameter \(s > 0\) is the space fractional order characterizing the nonlocal dispersive interaction. The fractional kinetic operator is defined via a Fourier integral operator

\[
(-\nabla^2 + m^2)^s \psi = \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \hat{\psi}(k) \left(|k|^2 + m^2\right)^s e^{i k \cdot x} dk,
\]

(1.3)

where the Fourier transform is given by \(\hat{\psi}(k) = \int_{\mathbb{R}^d} \psi(x) e^{-i k \cdot x} dx\). The potential \(V(x)\) is supposed to be trapping, a standard example is the harmonic potential given by

\[
V(x) = \begin{cases} 
\frac{\gamma_v^2 x^2 + \gamma_d^2 y^2}{2}, & d = 2, \\
\frac{\gamma_v^2 x^2 + \gamma_d^2 y^2 + \gamma_z^2 z^2}{2}, & d = 3,
\end{cases}
\]

(1.4)

where \(\gamma_v (v = x, y, z)\) is the trapping frequency in the \(v\)-direction. The real-valued constants \(\beta\) and \(\lambda\) characterize the local and nonlocal interaction strengths (positive/negative for repulsive/attractive interaction), respectively. The local interaction is supposed to be cubic, but other choices may also be considered. Concerning the nonlocal interaction (1.2), the convolution kernel \(\mathcal{U}(x)\) can be chosen as either the kernel of...
Moreover, the global and/or local well-posedness for the initial value problem (1.1)–(1.2) with state solutions and radially symmetric solutions, and their corresponding properties have been investigated. Since then, the FNLSE has been studied in view of applications. Nevertheless, there are few numerical studies so far. Amore et al. [15] proposed a collocation method and Wang et al. [24] developed an energy conserving Crank-Nicolson finite difference (FD) location method and Wang et al. [24].

The Cauchy problem of generalized semi-relativistic Hartree equation (with state solutions other than the cases $s = 1/2$ and 1, which would correspond to a subdispersion effect in analogy to the subdiffusion process characterizing heat-like equations) is also a unit vector representing the dipole orientation and $\theta$ is the $z$-component of the angular momentum, $\Omega$ represents the rotating frequency. Generally speaking, it is difficult to obtain analytical solutions of the FNLSE due to the nonlocal fractional dispersive interaction. For example, even for the simplest case with a box potential, there is still a controversy over the eigenpair solutions [18, 19, 42, 43, 47]. Therefore, being able to develop some accurate numerical methods is crucial and would provide a powerful tool to understand fractional quantum mechanics in view of applications. Nevertheless, there are few numerical studies so far. Amore et al. [15] proposed a collocation method and Wang et al. [24] developed an energy conserving Crank-Nicolson finite difference (FD) method and Wang et al. [24].

\[
\mathcal{U}(\mathbf{x}) = \begin{cases} 
\frac{-1}{2^d \pi^d |x|^d}, & 0 < \mu \leq d - 1, \\
-\delta(\mathbf{x}) - 3 \partial_{\mathbf{n}n} \left( \frac{1}{|x|^d} \right), & \Leftrightarrow \hat{\mathcal{U}}(\mathbf{k}) = \begin{cases} 
\frac{C}{|k|^{d-\mu}}, & 0 < \mu \leq d - 1, \\
-1 + \frac{3(n_1 k)^2}{|k|^2}, & 3D \text{ DDI}, \\
\frac{3(n_1 k)^2 - n_3^2 |k|^2}{2|k|^2}, & 2D \text{ DDI},
\end{cases}
\end{cases}
\]

where $C = \pi^{d/2 - 1} \Gamma \left( \frac{d-\mu}{2} \right) / \Gamma \left( \frac{d}{2} \right)$ ($\Gamma(t) := \int_0^\infty x^{t-1} e^{-x} dx$ is the Gamma function), $n = (n_1, n_2, n_3)^T \in \mathbb{R}^3$ is a unit vector representing the dipole orientation and $n_1 = (n_1, n_2)^T$. In addition, $L_z = -i(x\partial_y - y\partial_x) = -i\partial_\theta$ is the $z$-component of the angular momentum, $\Omega$ represents the rotating frequency. The FNLSE conserves two important physical quantities (see Section 4.1): the mass

\[
\mathcal{N}(\psi(t)) := \mathcal{N}(t) = \int_{\mathbb{R}^d} |\psi(x, t)|^2 dx \equiv \mathcal{N}(0),
\]

and the energy

\[
\mathcal{E}(\psi(t)) := \mathcal{E}(t) = \int_{\mathbb{R}^d} \left( \frac{1}{2} \psi(-\nabla^2 + m^2)^s \psi + V(x)|\psi|^2 + \frac{\beta}{2} |\psi|^4 + \frac{\lambda}{2} \Phi|\psi|^2 - \Omega \bar{\psi} L_z \psi \right) dx \equiv \mathcal{E}(0). \tag{1.7}
\]

Here, $\psi^*$ is the complex conjugate of $\psi$. The ground states $\phi_0(x)$ of the FNLSE (1.1) are defined by

\[
\phi_0(x) = \arg \min_{\phi \in S} \mathcal{E}(\phi), \quad S = \{ \phi \in \mathbb{C} | \| \phi \|_2 = 1, \mathcal{E}(\phi) < \infty \},
\]

where $\| \phi \|_2$ is the $L^2(\mathbb{R}^d)$-norm of $\phi$. The FNLSE (1.1) brings together a wide range of Schrödinger-type PDEs. When $s = 1$ and $m = 0$, FNLSE reduces to the standard nonlinear Schrödinger equation (NLSE, also known as GPE). Both the ground states and dynamics properties of NLSE have been extensively studied theoretically and numerically. One can refer e.g. to [3, 4, 6, 7, 8, 11, 14, 15, 16, 17, 20, 23, 28] and references therein. For $s = 1/2$ and $\Phi$ taken as the Coulomb potential, (1.1) reduces to the semi-relativistic Hartree equation that models boson stars [30]. Properties of the ground states have been partially investigated in [27, 55, 59] for $V(x) \equiv 0$. The Cauchy problem of generalized semi-relativistic Hartree equation (with $s \in [1/2, 1]$) has also been widely studied in [11, 24, 26, 50, 57]. To the best of our knowledge, there are neither theoretical nor numerical studies on the ground state properties for $s > 0$ other than the cases $s = 1/2$ and 1. When $s \in (0, 1)$ (which would correspond to a subdispersion effect in analogy to the subdiffusion process characterizing heat-like equations) and $m = \lambda = \Omega = 0$, it reduces to the NLSE that is originally derived by Laskin [53]. Later, he proved the hermitian character of the fractional Hamiltonian, derived the energy spectra of a hydrogen-like atom and computed a fractional oscillator [53, 55, 54]. Since then, the FNLSE has attracted an increasing attention. For example, for stationary FNLSE with bounded/unbounded potential and various generalised nonlineairities other than $|\psi|^2 \psi$, the existence of solutions (such as the bound/ground state solutions and radially symmetric solutions), and their corresponding properties have been investigated. Moreover, the global and/or local well-posedness for the initial value problem (1.1)–(1.2) with $V(x) \equiv 0$ and $\lambda = 0$ were also studied. We refer to [25, 31, 40, 43, 65, 77, 83] and references therein for more details. For $s > 1$ (that we call superdispersion hereafter), there are a few Schrödinger-type equations, while it is quite common for the superdiffusion equations [14]. We consider here this case for some possible eventual physical applications.

Generally speaking, it is difficult to obtain analytical solutions of the FNLSE due to the nonlocal fractional dispersive interaction. For example, even for the simplest case with a box potential, there is still a controversy over the eigenpair solutions [18, 19, 42, 43, 47]. Therefore, being able to develop some accurate numerical methods is crucial and would provide a powerful tool to understand fractional quantum mechanics in view of applications. Nevertheless, there are few numerical studies so far. Amore et al. [15] proposed a collocation method and Wang et al. [24] developed an energy conserving Crank-Nicolson finite difference (FD) method and Wang et al. [24].
scheme when $\Omega = \lambda = 0$. Similar FD schemes were also proposed for coupled equations \cite{76, 77}. As is well-known, the Crank-Nicolson scheme is nonlinearly implicit and hence requires heavy inner iterations. Worse still, the nonlocal nature of the fractional Laplacian naturally leads to dense matrix representation that hinders efficient computations. Recently, the time-splitting Fourier pseudo-spectral method was adapted to study the dynamics when $\Omega = \lambda = 0$ \cite{51, 52}. Decoherence properties and finite time blow-up results were studied respectively in \cite{51} and \cite{52}. When the nonlocal nonlinear interaction ($\lambda \neq 0$) is taken into account, Bao and Dong \cite{13} proposed a sine pseudo-spectral method to compute the ground states and dynamics of the three-dimensional semi-relativistic Hartree equation ($\mu = 1$ in (1.5)). In \cite{13}, the Coulomb potential $\Phi$ (1.2) is reformulated to satisfy the following Poisson equation

$$-\Delta \Phi = |\psi|^2, \quad x \in \mathbb{R}^3, \quad \lim_{|x| \to \infty} \Phi(x) = 0.$$  \hspace{1cm} (1.9)

Similar ideas were also applied to nonlocal DDI in NLSE \cite{11, 12}. However, due to the slow decay property of $\Phi$ at the far-field, a large computational domain is necessary to guarantee a satisfactory accuracy. Up to now, most existing numerical methods are proposed for non-rotating FNLSE with $s \leq 1$. As far as we know, there were neither theoretical nor numerical methods for the generalized FNLSE (1.1) for both subdispersion $s < 1$ and superdispersion $s > 1$, with $\Delta \Omega \neq 0$. The difficulties to develop an accurate and efficient scheme lie in the evaluation of the nonlocal interaction $\Phi$ (1.2) and proper treatment of the rotation term $L_z \psi$.

To compute the nonlocal interaction, Jiang et al. \cite{48} recently proposed an accurate NonUniform Fast Fourier Transform (NUFFT)-based algorithm in the Fourier domain by adopting the polar/spherical co-ordinates near the singularity. The method requires $O(N \log N)$ arithmetic operations ($N$ being the total number of grid points) and is more accurate than the standard PDE approach (1.9). This solver has been recently integrated within the gradient flow algorithm and time-splitting scheme for computing the ground state and dynamics of NLSE \cite{15, 17}. However, this solver is not ideal because of the large pre-factor in $O(N \log N)$, and it is rather slow for 3D problems. Very recently, by approximating the kernel $U(x)$ with the summation of a finite number of Gaussians, Zhang et al. \cite{33} proposed a Gaussian-sum (GauSum)-based method to evaluate $\Phi$ in the physical space. The algorithm also achieves a spectral accuracy, requires $O(N \log N)$ operations and obtains a speed-up factor around 3-5 compared with the NUFFT-based algorithm. Concerning the rotation term, Antoine and Duboscq \cite{5, 8} proposed a robust preconditioned Krylov subspace spectral solver for the ground state computation of the NLSE with large $\Omega$ and $\beta$. For the dynamics of the NLSE with a rotation term, Bao et al. \cite{16} developed a rotating Lagrangian coordinates transformation method to reformulate the rotating term into a time-dependent trapping potential in the rotating Lagrangian coordinates, which allows for the implementation of high-order time-splitting schemes for the new NLSE \cite{21}.

The main objectives of this paper are threefold.

1. Investigate theoretically the existence of the ground states of the general FNLSE (1.1) with respect to the fractional order $s$ and the rotation speed $\Omega$. Develop the dynamical laws for the centre of mass as well as other standard dynamical quantities for general $s$ and arbitrary $\Omega$, and compare them with the ones derived in \cite{51}.

2. Develop some efficient and accurate numerical methods for computing the ground states and dynamics of the general FNLSE (1.1) by incorporating the GauSum solver into the adapted version of the gradient flow and time-splitting Fourier pseudo-spectral method. The preconditioned Krylov subspace iteration \cite{5} and the rotating Lagrangian transformation technique \cite{16} will be also integrated into the numerical methods for the ground state computation and dynamics simulation, respectively.

3. Apply our numerical methods to study some interesting behavior, such as the influence of the nonlocal dispersion on the ground states and the vortex pattern as well as possible dynamical properties such as chaos and decoherence.

The rest of the paper is organized as follows. In Section 2, we briefly review the Gaussian Sum method. The ground state computation, including the ground states properties and numerical methods as well as
numerical results are presented in Section 3. In Section 4, we derive some dynamical laws for some global physical quantities that are usually considered for the standard NLSE. We then propose an efficient and robust numerical method for the dynamics simulation. Some numerical results are also reported. Finally, a conclusion and some discussions are developed in Section 5.

2. Brief review of the Gaussian-Sum (GauSum) method

With the strong confining potential, the density is smooth and decays exponentially fast. Therefore, we can reasonably truncate the whole space to a bounded domain, e.g., a square box $B_L := [-L, L]^d$. The density is then rescaled to be compactly supported in a unit box $B_1$, which is now the computational domain. One of the key ideas is to use a GauSum approximation of the kernel $U$ (see $U_{GS}$ in (2.5)) to reformulate the potential into two integrals, namely, the long-range regular integral and the short-range singular integral. To be precise, we can reformulate the potential [1.2] as follows

$$\Phi(x) \approx \int_{B_1} U(x - y) \rho(y) dy = \int_{B_2} U(y) \rho(x - y) dy = \int_{B_2} U_{GS}(y) \rho(x - y) dy + \int_{B_1 \setminus B_2} (U(y) - U_{GS}(y)) \rho(x - y) dy + I_5$$

with $B_3 := \{x | x| \leq \delta\}$ being a small neighbourhood of the origin with radius $\delta \sim 10^{-4} - 10^{-3}$ and $U_{GS}$ is given explicitly as follows

$$U_{GS}(y) = U_{GS}(|y|) := \sum_{q=0}^{Q} w_q e^{-\tau_q |y|^2}, \quad Q \in \mathbb{N}^+,$$

where

$$I_5 = \int_{B_3 \setminus B_2} (U(y) - U_{GS}(y)) \rho(x - y) dy,$$

for $|I_5| \leq C e_0 \delta^d \|\rho\|_{L^\infty}$. Thus the remainder integral $I_5$ is negligible and is omitted here. Note that the GauSum approximation can be numerically computed with sinc quadrature and we refer to [33] for more details.

To compute the regular integral $I_1$, plugging the explicit GauSum approximation (2.5) into $I_1(x)$ yields

$$I_1(x) = \sum_{q=0}^{Q} w_q \int_{B_2} e^{-\tau_q |y|^2} \rho(x - y) dy, \quad x \in B_1.$$
where \( a_j = -3, b_j = 3, j = 1, \ldots, d \) and \( k \in \mathbb{Z}^d \). After some careful calculations, we have

\[
I_1(x) = \sum_k \hat{\rho}_k \left( \sum_{q=0}^{Q} w_q G^q_k \right) \prod_{j=1}^{d} \frac{e^{2 \pi i k_j (x^{(j)} - a_j)}}{y_j},
\]

where

\[
G^q_k = \prod_{j=1}^{d} \int_0^2 e^{-\tau_j y_j^2} e^{-2 \pi i k_j y_j} dy_j = \prod_{j=1}^{d} \int_0^2 2 e^{-\tau_j y_j^2} \cos(2 \pi k_j y_j) dy_j,
\]

can be pre-computed once for all if the potential is computed on the same grid.

For the near-field correction integral \( I_2 \), within the small ball \( B_k \), the density function \( \rho_k(y) := \rho(x - y) \) is approximated by a low-order Taylor expansion as

\[
\rho_k(y) \approx P(x)(y) = \rho_k(0) + \sum_{j=1}^{d} \frac{\partial \rho_k(0)}{\partial y_j} y_j + \frac{1}{2} \sum_{j,k=1}^{d} \frac{\partial^2 \rho_k(0)}{\partial y_j \partial y_k} y_j y_k + \cdots.
\]

Next, we integrate in spherical/polar coordinates. The computation boils down to a multiplication of the near-field correction integral \( I_2 \), within the small ball \( B_k \), the density function \( \rho_k(y) := \rho(x - y) \)

\[
\rho_k(y) \approx P(x)(y) = \rho_k(0) + \sum_{j=1}^{d} \frac{\partial \rho_k(0)}{\partial y_j} y_j + \frac{1}{2} \sum_{j,k=1}^{d} \frac{\partial^2 \rho_k(0)}{\partial y_j \partial y_k} y_j y_k + \cdots.
\]

3. Ground state computation: properties, numerical scheme and simulations

In this section, we first prove some results related to the existence/non-existence of the ground states (subsection 3.1). We next propose in subsection 3.2 an efficient and accurate numerical method for computing the ground states by combining the normalized gradient flow which is discretized by the semi-implicit backward Euler Fourier pseudo-spectral method and the Gaussian-Sum nonlocal interaction solver. We shall refer to this new method as GF-GaussSum hereafter. Finally, subsection 3.3 reports some simulations of the ground states to show some special features related to FNLSEs.

3.1. Existence and nonexistence of the ground states

To simplify the presentation, we divide the energy functional \( \mathcal{E}(\phi) \) into five parts, i.e. the kinetic, potential, rotating, local and nonlocal interactions energy parts

\[
\mathcal{E}(\phi(x)) = \mathcal{E}_{\text{kin}}(\phi) + \mathcal{E}_{\text{pot}}(\phi) + \mathcal{E}_{\text{rot}}(\phi) + \mathcal{E}_{\text{int}}(\phi) + \mathcal{E}_{\text{non}}(\phi),
\]

where

\[
\mathcal{E}_{\text{kin}}(\phi) := \frac{1}{2} \left( -\nabla^2 + m^2 \right) \phi, \quad \mathcal{E}_{\text{pot}}(\phi) := \langle V(x) \phi, \phi \rangle,
\]

\[
\mathcal{E}_{\text{rot}}(\phi) = -\Omega \langle L_z \phi, \phi \rangle, \quad \mathcal{E}_{\text{int}}(\phi) := \frac{\beta}{2} \langle |\phi|^2, |\phi|^2 \rangle, \quad \mathcal{E}_{\text{non}}(\phi) := \frac{\lambda}{2} \langle (\Phi, |\phi|^2) \rangle,
\]

with \( \langle f, g \rangle = \int_{\mathbb{R}^d} f \, \overline{g} \, dx \). We first prove some properties of the energy functional \( \mathcal{E}(\phi(x)) \) for any \( \phi \in S \).
Lemma 3.1. If the convolution kernel $U(x)$ in \(1.5\) is chosen as the Coulomb-type interaction and $V$ is the harmonic potential defined by \(1.4\), we have the following properties

(i) For any positive $\varepsilon > 0$, we have for $\phi \in S$

\[
\left| \langle \Phi, \rho \rangle \right| = \left| \langle U(x) \ast \rho, \rho \rangle \right| \leq \varepsilon \|\nabla \phi\|_2^2 + C_\varepsilon, \tag{3.2}
\]

where $C_\varepsilon$ is a real-valued constant that depends only on $d, \mu$ and $\varepsilon$.

(ii) When $s > 1$, for any $m \geq 0$ and $\phi \in S$, we have

\[
\int_{\mathbb{R}^d} \left[ \frac{1}{8} \phi (-\nabla^2 + m^2)^s \phi + \left( V(x) - \frac{\gamma_r^2 |x|^2}{2} \right) |\phi|^2 + \frac{\beta}{2} |\phi|^4 \right] dx + C_1 \leq \mathcal{E}(\phi)
\]

\[
\leq \int_{\mathbb{R}^d} \left[ \frac{7}{8} \phi (-\nabla^2 + m^2)^s \phi + \left( V(x) + \frac{\gamma_r^2 |x|^2}{2} \right) |\phi|^2 + \frac{\beta}{2} |\phi|^4 \right] dx + C_2, \tag{3.3}
\]

where $\gamma_r = \min\{\gamma_x, \gamma_y\}$, $C_1$ and $C_2$ are two constants that only depend on $\Omega$, $s$, $\gamma_r$, $d$ and $\mu$.

Proof. (i) Using the Hardy-Littlewood-Sobolev (HLS) inequality, we have for the Coulomb-type interaction

\[
\left| \langle \Phi, \rho \rangle \right| = \left| \langle U(x) \ast \rho, \rho \rangle \right| = \frac{1}{2d-1} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{\rho(x) \rho(y)}{|x - y|^\mu} dx dy \leq c_{d, \mu} \|\rho\|_p^2 = c_{d, \mu} \|\phi\|_{2p}^4, \tag{3.4}
\]

where $1 < p = \frac{2d-\mu}{d+\mu} \leq \frac{2d}{d+\mu} < 2$ and the constant $c_{d, \mu}$ depends only on $d$ and $\mu$. For the 3D case, let us introduce $\sigma = \frac{3-p}{2p}$. By the Hölder’s inequality, Young’s inequality and the embedding theorem, we obtain

\[
\left| \langle \Phi, \rho \rangle \right| \leq c_{d, \mu} \left( \|\phi\|_2 \|\phi\|_{\sigma}^{1-\sigma} \right)^4 \leq \varepsilon \|\phi\|_2^2 + C_\varepsilon \leq \varepsilon \|\nabla \phi\|_2^2 + C_\varepsilon. \tag{3.5}
\]

Similarly, for the 2D case, let $q = \frac{4p}{2p+d} > 2p > 2$ and $\sigma = \frac{q-2p}{4q-2p}$. Then, one gets

\[
\left| \langle \Phi, \rho \rangle \right| \leq c_{d, \mu} \left( \|\phi\|_2 \|\phi\|_{\sigma}^{1-\sigma} \right)^4 \leq \varepsilon \|\phi\|_2^2 + \tilde{C}_\varepsilon \leq \varepsilon \|\nabla \phi\|_2^2 + \tilde{C}_\varepsilon. \tag{3.6}
\]

(ii) Let $\gamma_r = \min\{\gamma_x, \gamma_y\}$. By Young’s inequality and Plancherel’s formula, we have

\[
\left| \int_{\mathbb{R}^d} \tilde{\phi} L_z \phi \ dx \right| \leq \int_{\mathbb{R}^d} \left[ \left| (\gamma_r x \phi) (\Omega \partial_x \phi / \gamma_r) \right| + \left| (\gamma_r y \phi) (\Omega \partial_y \phi / \gamma_r) \right| \right] dx
\]

\[
\leq \frac{\gamma^2}{2} \int_{\mathbb{R}^d} |x|^2 |\phi|^2 dx + \frac{\Omega^2}{2 \gamma^2} \int_{\mathbb{R}^d} |\nabla \phi|^2 dx = \frac{\gamma^2}{2} \int_{\mathbb{R}^d} |x|^2 |\phi|^2 dx + \frac{\Omega^2}{2 \gamma^2} (2\pi)^d \int_{\mathbb{R}^d} |k|^2 |\tilde{\phi}|^2 dk
\]

\[
\leq \frac{\gamma^2}{2} \int_{\mathbb{R}^d} |x|^2 |\phi|^2 dx + \frac{\Omega^2}{2 \gamma^2} (2\pi)^d \int_{\mathbb{R}^d} \left[ \frac{2\gamma^2 |k|^2 + m^2}{2 \Omega^2} \right] |\tilde{\phi}|^2 dk - \frac{\Omega^2 m^2}{2 \gamma^2}
\]

\[
\leq \frac{\gamma^2}{2} \int_{\mathbb{R}^d} |x|^2 |\phi|^2 dx + \frac{1}{4} \int_{\mathbb{R}^d} \tilde{\phi} \left( -\nabla^2 + m^2 \right)^s \phi \ dx + C. \tag{3.7}
\]

Similarly, for the Coulomb-type nonlocal interaction, we obtain

\[
\left| \frac{\lambda}{2} \langle \Phi, \rho \rangle \right| \leq \varepsilon \|\nabla \phi\|_2^2 + \tilde{C}_\varepsilon = \frac{\varepsilon}{(2\pi)^d} \int_{\mathbb{R}^d} |k|^2 |\tilde{\phi}|^2 \ dk + \tilde{C}_\varepsilon \leq \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \left[ \frac{1}{8} \left( |k|^2 + m^2 \right)^s + C \right] |\tilde{\phi}|^2 \ dk
\]

\[
= \frac{1}{8} \int_{\mathbb{R}^d} \tilde{\phi} \left( -\nabla^2 + m^2 \right)^s \phi \ dx + C. \tag{3.8}
\]

Therefore, the inequality (3.3) follows from (3.7) and (3.8). \qed
**Theorem 3.1.** If $V(x)$ is a trapping harmonic potential defined in [I, A], then the following properties hold.

(i) If $s > 1$ and $\beta \geq 0$, then there exists a ground state of the FNLSE for all $\Omega > 0$ if one of the following conditions holds:

(A) $U(x)$ reads as either Coulomb-type.

(B) For 3D DDI: $-\beta/2 \leq \lambda \leq \beta$.

(C) For 2D DDI: (i) $\lambda = 0$. (ii) $\lambda > 0$ and $n_3 = 0$. (iii) $\lambda < 0$ and $n_3^2 \geq 4$.

(ii) If $\Omega = 0$, $\beta > 0$ and $\lambda > 0$, then the ground state of the FNLSE exists for all $s > 0$.

(iii) If $0 < s < 1$, there exists no ground state if one of the following conditions holds

(A) $\forall \Omega > 0$, $U(x)$ is a Coulomb-type interaction or a 3D DDI.

(B) $U(x)$ is the 2D DDI, $\forall \Omega > \Omega_0 = c|\gamma|^\frac{2}{5}$ with $c = \left(\frac{(2n^2+1)^{\frac{n-\mu}{2}}}{4\pi^{n-\mu}}\right)^{\frac{1}{2}} (\approx 0.54$ for $\gamma = 1$). Here, $\gamma = \max\{\gamma_x, \gamma_y\}$

**Proof:** (i) For the Coulomb-type interaction, it is clear by Lemma [3.1] that the energy functional $E$ is bounded below, coercive and weakly lower semi-continuous on $S$. Hence, (A) follows. For the DDI, the proof is similar as those for the non-fractional case [10, 12] by noticing (3.7). Similar arguments lead to (ii).

(iii) Denote $\gamma = \max\{\gamma_x, \gamma_y\}$. In 2D, we choose the function

$$\phi_n(x) = F^{-1}\left(\widehat{\phi}_n(x)\right), \quad \text{with} \quad \widehat{\phi}_n(k) = F(\phi_n)(k) = \left(4\pi e^{n+1}\right)^{1/2} \left(n!\right)^{-1/2} \exp(-\varepsilon|k|^2/2)|k|^n e^{i\theta}. \quad (3.9)$$

By Plancherel’s formula, it is easy to check that $||\phi_n||_2 = \frac{1}{\pi}||\phi_n||_2 = 1$, and thus $\phi_n \in S$. Let $\rho_n = ||\phi_n||^2$. By Young’s inequality and Cauchy-Schwarz inequality, we obtain

$$E_{1}(\phi_n) =: E_{\text{kin}}(\phi_n) + E_{\text{pot}}(\phi_n) + E_{\text{rot}}(\phi_n)$$

$$\leq \frac{1}{4\pi^2} \left[\frac{1}{2} \left(||k|^2 + m^2\right) \phi_n \phi_n - \frac{\gamma^2}{2} (\Delta \phi_n, \phi_n) - i\Omega (\hat{J}_x \phi_n, \phi_n)\right]$$

$$\leq \frac{\varepsilon n^{\gamma} \Gamma(n+1+s)}{2\varepsilon^s} + \frac{\varepsilon^{\gamma^2} - \Omega}{2} n + \varepsilon\gamma^2 \frac{2}{5}, \quad (3.10)$$

$$|E_{\text{int}}(\phi_n)| = \frac{|\beta|}{2} \rho_n^2 \leq \frac{|\beta|}{2} \frac{1}{2(2\pi)^6} \phi_n \phi_n \phi_n \leq \frac{|\beta|}{2(2\pi)^2} \left(\frac{1}{(2\pi)^2} ||\phi_n||_2^2\right) ||\phi_n||_4^2$$

$$= \frac{|\beta|}{2(2\pi)^4} \frac{2n! (n/2 + 1)^2}{\Gamma(n+1)}. \quad (3.11)$$

Furthermore, we compute the nonlocal interaction energy $E_{\text{non}}(\phi_n)$. For the Coulomb-type interaction, by using the HLS inequality [3.4] and the Hölder’s inequality, we obtain

$$|E_{\text{non}}(\phi_n)| \leq \frac{c_\mu |\lambda|}{2} \rho_n \mu \leq \tilde{c}_\mu \rho_n \mu \rho_n \mu \leq \frac{1}{\pi \varepsilon} \left[\frac{2n! (n/2 + 1)^2}{\Gamma(n+1)} \right]^{\mu/2}, \quad (3.12)$$

where $p = \frac{s}{s-\mu}$, $0 < \mu \leq 1$, and $\tilde{c}_\mu$ depends only on $\mu$ and $\lambda$. Together with the Stirling’s formula

$$\Gamma(x+1) \sim \sqrt{2\pi x} \left(\frac{x}{e}\right)^x, \quad \text{when} \quad x \to \infty, \quad (3.13)$$

one gets

$$|E_{\text{int}}(\phi_n)| \sim \sqrt{n}, \quad |E_{\text{non}}(\phi_n)| \sim \sqrt{n}, \quad n \to \infty. \quad (3.14)$$
Let $\varepsilon < \frac{2\Omega}{\gamma^2}$, $\forall \Omega > 0$ and $s < 1$, we then prove that
\[
\limsup_{n \to \infty} \mathcal{E}(\phi_n) \leq \limsup_{n \to \infty} \left[ \mathcal{E}_1(\phi_n) + |\mathcal{E}_{\text{int}}(\phi_n)| + \mathcal{E}_{\text{non}}(\phi_n) \right] \\
\leq \limsup_{n \to \infty} \left[ \frac{c_2 n^s}{2 e^\varepsilon} + \left( \frac{\varepsilon^2}{2} - \Omega \right) n + c_1 \sqrt{n} + c_0 \right] = -\infty, \tag{3.15}
\]
which implies the nonexistence of the ground states.

For the 2D DDI, we have
\[
|\mathcal{E}_{\text{non}}(\phi_n)| = \frac{|\lambda|}{8\pi^2} |\langle \hat{\mathcal{H}}_{\text{ex}} \hat{\rho}_n, \hat{\rho}_n \rangle| \leq \frac{|\lambda|}{8\pi^2} \left( \frac{3(3n^2 + n^2|\mathbf{k}|^2)}{2|\mathbf{k}|} \right) \hat{\rho}_n, \hat{\rho}_n \leq \frac{3|\lambda|}{16\pi^2} \langle |\mathbf{k}| \hat{\rho}_n, \hat{\rho}_n \rangle. \tag{3.16}
\]
By the generalized Minkowski inequality, we prove that
\[
4\pi^2 \left( \langle |\mathbf{k}| \hat{\rho}_n, \hat{\rho}_n \rangle \right)^{\frac{1}{2}} = \left[ \int_{\mathbb{R}^2} |\mathbf{k}| \int_{\mathbb{R}^2} \hat{\phi}_n(\mathbf{k} - \mathbf{\xi}) \hat{\phi}_n(\mathbf{\xi}) d\mathbf{\xi} \right]^2 d\mathbf{k} \leq \int_{\mathbb{R}^2} \left[ \int_{\mathbb{R}^2} |\mathbf{k}| \hat{\phi}_n(\mathbf{k} - \mathbf{\xi}) |^2 \hat{\phi}_n(\mathbf{\xi})^2 d\mathbf{k} \right]^2 d\mathbf{\xi} \leq \int_{\mathbb{R}^2} \hat{\phi}_n(\mathbf{\xi}) \left( \sqrt{|\mathbf{\xi}|} + \left( \int_{\mathbb{R}^2} |\mathbf{k}| \hat{\phi}_n(\mathbf{k})^2 d\mathbf{k} \right)^{\frac{1}{2}} \right) d\mathbf{\xi} = \left( \sqrt{|\mathbf{k}|} \hat{\phi}_n \right)_1 + \|\hat{\phi}_n\|_1 \|\sqrt{|\mathbf{k}|} \hat{\phi}_n\|_2
\]
\[
= \frac{\pi^2 2^\frac{d+2}{4}}{\varepsilon^\frac{d}{4}} \frac{\sqrt{\Gamma(n + \frac{3}{2})}}{\sqrt{\Gamma(n + 1)}} + \frac{\pi^2 2^\frac{d+3}{4}}{\varepsilon^\frac{d}{4}} \frac{\sqrt{\Gamma(n + \frac{5}{2})}}{\sqrt{\Gamma(n + 1)}}, \tag{3.17}
\]
Again, by the Stirling’s formula $[3.13]$, we show that
\[
|\mathcal{E}_{\text{non}}(\phi_n)| \preceq \frac{c_3|\lambda|}{\varepsilon^{3/2}} n, \quad n \to \infty, \tag{3.18}
\]
where $c_3 = \frac{3\sqrt{2}(2s^2+1)^2}{48\sqrt{s}e^{3s}}$. Let us set $\varepsilon = \left( 3c_3|\lambda|/\gamma^2 \right)^{\frac{1}{2}}$ and $\Omega > \Omega_0 = \left( \frac{(2s^2+1)n_0^2}{48\sqrt{s}e^{3s}} \right)^{\frac{1}{2}} |\lambda|^{\frac{1}{2}}$. It follows that
\[
\limsup_{n \to \infty} \mathcal{E}(\phi_n) \leq \limsup_{n \to \infty} \left[ \frac{c_2 n^s}{2 e^\varepsilon} + \left( \frac{\varepsilon^2}{2} - \Omega \right) n + c_1 \sqrt{n} + c_0 \right] = -\infty,
\]
leading to the nonexistence of the ground states.

In 3D, we choose the sequence
\[
\phi_n^{3D}(\mathbf{x}) = \phi_n(x,y) \phi(z), \tag{3.19}
\]
where $\phi(z) = \left( \frac{\gamma}{\varepsilon} \right)^{1/4} \exp\left\{-\frac{\gamma z^2}{\varepsilon} \right\}$ and $\phi_n(x,y) = \mathcal{F}^{-1}(\hat{\phi}_n(\mathbf{k}))$, with $\hat{\phi}_n(\mathbf{k})$ reading as (3.9). Then, the argument proceeds similarly as those in 2D for the 3D Coulomb potential. As for the 3D DDI, noticing that
\[
|\mathcal{E}_{\text{non}}(\phi_n^{3D})| \leq \frac{3|\lambda|}{\varepsilon} \|\phi_n^{3D}\|_1^4 = \frac{3|\lambda|\sqrt{\gamma_2}}{2\sqrt{2\pi}} \|\phi_n\|_1^4 = \frac{3|\lambda|\sqrt{\gamma_2}}{2\sqrt{2\pi}} \|\hat{\rho}_n\|_2^2, \tag{3.20}
\]
the left argument proceeds similarly as those in 2D from (3.11).

\hfill \Box

**Remark 3.1.** For the 2D DDI, one open question concerns the plausible fact that (iii)(B) in Theorem [3.7] may hold for $\forall \Omega_0 > 0$. The proof presented here does not seem to be directly applicable for this conjecture.
Remark 3.2. It might be interesting to understand the existence/non-existence and the uniqueness of the ground states for the more general FNLS

\[ i\partial_t \psi = \left[ \frac{1}{2}(-\nabla^2 + m^2)\psi + \frac{1}{2}\gamma^2|\psi|^p + \beta|\psi|^q + \lambda \Phi - \Omega L_z \right] \psi, \]  

(3.21)

where the constants \( \beta \) and \( \lambda \) can be positive or negative and the powers \( p \) and \( q \) are real-valued positive constants. We leave it as an open problem for some future studies.

3.2. Numerical method

For a constant time step \( \Delta t \), we introduce the discrete times \( t_n = n\Delta t \) for \( n = 0, 1, 2, \ldots \). The gradient flow with discrete normalization (GFDN) method reads as

\[ \partial_t \phi(x, t) = \left\{ \frac{1}{2}(-\nabla^2 + m^2)^s + V(x) + \beta|\phi|^2 + \lambda \Phi(x, t) - \Omega L_z \right\} \phi(x, t), \]  

(3.22)

\[ \Phi(x, t) = (U*|\phi|^2)(x), \quad x \in \mathbb{R}^d, \quad t_n \leq t < t_{n+1}, \]  

(3.23)

\[ \phi(x, t_{n+1}) = \frac{\phi(x, t_n)}{\|\phi(x, t_n)\|_2^2}, \quad x \in \mathbb{R}^d, \quad n \geq 0, \]  

(3.24)

with the initial data

\[ \phi(x, 0) = \phi_0(x), \quad x \in \mathbb{R}^d, \quad \text{with} \quad \|\phi_0\|_2 = 1. \]  

(3.25)

Let \( \phi^n(x) \) and \( \Phi^n(x) \) be the approximations of \( \phi(x, t_n) \) and \( \Phi(x, t_n) \), respectively. The above GFDN is usually discretized in time via the semi-implicit backward Euler method \([5, 15, 17, 80]\). Let us define the operators

\[ \frac{\phi^{(1)}(x) - \phi^n(x)}{\Delta t} = -\left\{ \frac{1}{2}(-\nabla^2 + m^2)^s + V(x) + \beta|\phi^n|^2 + \lambda \Phi^n(x) - \Omega L_z \right\} \phi^{(1)}(x), \]  

(3.26)

\[ \Phi^n(x) = (U*|\phi^n|^2)(x), \quad x \in \mathbb{R}^d, \]  

(3.27)

\[ \phi^{n+1}(x) = \frac{\phi^{(1)}(x)}{\|\phi^{(1)}(x)\|_2^2}, \quad x \in \mathbb{R}^d, \quad n \geq 0. \]  

(3.28)

The ground states decay exponentially fast due to the trapping potential. Therefore, in practical computations, we first truncate the whole space to a bounded rectangular domain and impose periodic boundary conditions. Then, we discretize the equation \((3.26)\) via the Fourier pseudo-spectral method in space and evaluate the nonlocal interaction \( \Phi^n(x) \) by the GaussSum solver. The full discretized scheme of system \((3.26)-(3.28)\) can then be solved by a fixed-point iteration or a preconditioned Krylov subspace solver with a similar preconditioner as those in \([5]\). Let us define the operators

\[ A^{BE.n} := \frac{1}{\Delta t} + \frac{1}{2}(-\nabla^2 + m^2)^s + V(x) + \beta|\phi^n|^2 + \lambda \Phi^n(x) - \Omega L_z, \]  

(3.29)

\[ P^{BE}_{\Delta} = \left[ \frac{1}{\Delta t} + \frac{1}{2}(-\nabla^2 + m^2)^s \right]^{-1}, \quad A^{BE.n}_{TF} = V(x) + \beta|\phi^n|^2 + \lambda \Phi^n(x) - \Omega L_z, \]  

(3.30)

\[ P^{BE}_{\Delta} \Phi^n = \left[ \frac{1}{\Delta t} + V(x) + \beta|\phi^n|^2 + \lambda \Phi^n(x) \right]^{-1}, \quad A^{BE.n}_{\Delta} \Phi^n = \frac{1}{2}(-\nabla^2 + m^2)^s - \Omega L_z. \]  

(3.31)

Moreover, we denote by \( I, A^{BE.n}_{\Delta}, A^{BE.n}_{TF}, A^{BE.n}_{\Delta, \Omega} \) the discretized versions of the above operators, and by \( \phi^{(1)} \) and \( \phi^n \) the discretization of \( \phi^{(1)} \) and \( \phi^n \) through the Fourier pseudo-spectral approximation. Then, the finite-dimensional linear system corresponding to \((3.26)-(3.28)\) reads as

\[ A^{BE.n} \phi^{(1)} = b^n := \phi^n / \Delta t. \]  

(3.32)
Two preconditioned versions of the linear system are the following
\[
\left( I + P\Delta^{-\beta} P\Delta^{-\gamma} \right) \phi^{(1)} = P\Delta^{-\beta} b^n, \quad \text{or} \quad \left( I + P\Delta^{-\beta} P\Delta^{-\gamma} \right) \phi^{(1)} = P\Delta^{-\beta} b^n. \quad (3.33)
\]
We refer the reader to [5] for more details and omit them here for brevity. Like in the standard case [5, 8], the most efficient solver uses the first preconditioned system (left) in (3.33) based on $P\Delta^{-\beta}$. In particular, the acceleration of the convergence of the Krylov subspace solver (BiCGStab) is visible when $\Omega$, $\beta$ and $\lambda$ are large. In practice, we use this preconditioned solver in subsection 3.3.

3.3. Numerical results
In this subsection, we report some numerical results concerning the ground states of (1.1)-(1.2) computed by the GF-GauSum solver built in the previous subsection. To this end, unless stated, we fix $m = 0$ and $d = 2$. We carry out the computation on the domain $B = [-32, 32] \times [-32, 32]$ that is discretized with uniform mesh sizes $h_x = h_y = \frac{1}{32}$. We use a constant time step $\Delta t = 10^{-3}$. The trapping potential $V(x)$ is chosen as \([1,4]\) with $\gamma_x = \gamma_y = 1$. The nonlocal interaction is of Coulomb-type with $\mu = 1$. The initial guess $\phi_0(x)$ is chosen as
\[
\phi_0(x) = \frac{(1 - \Omega)\phi_0(x) + \Omega\phi_0^{(1)}(x)}{\| (1 - \Omega)\phi_0(x) + \Omega\phi_0^{(1)}(x) \|}, \quad \text{with} \quad \phi_\omega(x) = \frac{1}{\sqrt{2\pi}} e^{-\frac{|x|^2}{2}}, \quad \phi_\rho(x) = \frac{x + iy}{\sqrt{\pi}} e^{-\frac{|x|^2}{2}}, \quad x \in B. \quad (3.34)
\]
The ground state $\phi_g(x)$ is reached when the stopping criterion holds: $\| \phi^n(x) - \phi^{n+1}(x) \|_\infty \leq \varepsilon_0 \Delta t$. In the computations, we choose the accuracy parameter $\varepsilon_0 = 10^{-9}$.

Example 3.1. Non-rotating FNLSE. Here, we impose $\Omega = 0$. We study the ground states of the following four cases:

- **Case I.** Linear case, i.e. $\beta = \lambda = 0$.
- **Case II.** Purely long-range interaction, i.e. $\beta = 0$ and $\lambda = 10$.
- **Case III.** Purely short-range interaction, i.e. $\beta = 10$ and $\lambda = 0$.
- **Case IV.** Both long-range and short-range interactions, i.e. $\beta = \lambda = 10$.

Figure 1 shows the slice plots of the ground states along the $x$-axis, i.e. $\phi_g(x,0)$, for different fractional orders $s$ of the FNLSE.

Example 3.2. Non-rotating FNLSE with harmonic + optical lattice potential. Here, we choose $\Omega = 0$. We consider the ground states of the FNLSE in a harmonic plus optical lattice potential with different parameters. To this end, we let $\lambda = 64$ and $\beta = 0$ and choose the potential as
\[
V(x, y) = \frac{x^2 + y^2}{2} + 10(\sin^2(\pi x) + \sin^2(\pi y)).
\]
The spatial mesh sizes are chosen as $h_x = h_y = \frac{1}{32}$ in this case. Figure 2 shows the contour plot of the ground state density $\rho_g := |\phi_g(x)|^2$ and the slice plot of $\phi_g(x,0)$ with different fractional orders $s$.

From Figures 1 and 2 and additional results not shown here, we can conclude that (i) The ground states become more peaked and narrower as the fractional order $s$ tends smaller, which corresponds to subdispersion. (ii) A large fractional order helps in smoothing out the density profile (cf. Fig. 2) for the superdispersion case. (iii) The repulsive local/nonlocal interactions suppress the “focus” or “homogenization” effect as the dispersive order $s$ tends smaller or larger. In other words, the repulsive nonlinear interaction helps to stabilize the ground states. (iv) When $\beta$ and/or $\lambda$ are/is large, the nonlinear interaction dominates and the dispersive effect can be neglected.
Figure 1: Slice plots of $\phi_g(x,y=0)$ for Cases I–IV (from left to right) for subdispersion $s \leq 1$ (top row) and superdispersion $s \geq 1$ (bottom) in example 3.1.

Figure 2: Contour plots of the density of the ground state $\rho_g(x,y)$ and the slice plot of $\phi_g(x,y=0)$ in example 3.2.

Example 3.3. Rotating FGPE. In this example, we present the ground states of the rotating FGPE with only local nonlinear interaction, i.e. $\lambda = 0$ and $\beta = 100$.

We propose to numerically study the dependence of the first critical rotating velocity $\Omega_c$ to create a vortex with respect to the fractional dispersive order $s$. Figure 3 shows this relation derived by a linear regression

$$\Omega_c(s) \approx -0.02634 s^2 + 0.19393 s + 0.21071.$$  \hspace{1cm} (3.35)

Figure 4 displays the contour plots of the ground state density $\rho_g$ for different values of $\Omega$ but with $s = 1.2$ (superdispersion). From Figure 4 and additional results not shown here, we can conclude that (i) The first
critical rotating velocity $\Omega_c$ depends almost linearly on $s$. (ii) For the superdispersion case, i.e. $s > 1$, the ground states exist for all velocities $\Omega$. As $\Omega$ increases, the ground states will undergo three phase transitions (similar to the non-fractional GPE with quartic order trapping potential), i.e., from Gaussian-type to one-vortex profile, from vortex lattice to vortex-lattice with a hole at the center and then to a giant vortex. It would be interesting to study how these critical rotating frequencies for the transitions depend on $s$ and how they compare with those in the case of the standard GPE.

![Figure 3: Critical rotating frequency vs. the fractional order $s$ in example 3.3.](image)

**4. Dynamics computation: properties, numerical scheme and simulations**

In this section, we first present analogous dynamical laws for some commonly used quantities in the classical rotating GPE. Then, we extend the rotating Lagrangian coordinates transform proposed for the standard GPE \[16\] to the FGPE. In the rotating Lagrangian coordinates, the rotation term vanishes, giving rise to a time-dependent potential. Based on the new FNLSM, we propose a time-splitting Fourier pseudo-spectral method incorporated with the GauSum solver to simulate the dynamics.

**4.1. Dynamical properties**

Here we study the dynamical properties of the mass, energy, angular momentum expectation and center of mass \[16\]. The dynamical laws can be used as benchmarks to test the numerical methods and are briefly listed here. For details, one can either refer to appendices or to \[71\] for analogous proofs to their non-fractional counterparts.

**Mass and energy.** The FNLSM (1.1)-(1.2) conserves the mass (1.6) and the energy (1.7), i.e.

$$\mathcal{N}(t) = \mathcal{N}(t = 0), \quad \mathcal{E}(t) = \mathcal{E}(t = 0).$$  \hspace{1cm} (4.1)

**Proof:** It is straightforward to prove in a similar way as their non-fractional counterparts \[71\] by using the Plancherel’s formula.

**Angular momentum expectation.** The angular momentum expectation is defined as

$$\langle L_z \rangle(t) = \int_{\mathbb{R}^d} \bar{\psi}(x,t) L_z \psi(x,t) \, dx, \quad t \geq 0.$$  \hspace{1cm} (4.2)

**Lemma 4.1.** The angular momentum expectation $\langle L_z \rangle(t)$ satisfies the following equation

$$\frac{d}{dt} \langle L_z \rangle(t) = \int_{\mathbb{R}^d} |\psi|^2 (y \partial_x - x \partial_y) (V(x) + \lambda \Phi(x,t)) \, dx.$$  \hspace{1cm} (4.3)
This implies that the angular momentum expectation is conserved, i.e.

\[ \langle L_z \rangle(t) = \langle L_z \rangle(0), \quad t \geq 0, \quad (4.4) \]

when \( V(x) \) is radially/cylindrically symmetric in 2D/3D and one of the following conditions holds: (i) \( \lambda = 0 \), (ii) \( \lambda \neq 0 \), \( \Phi(x) \) is the Coulomb potential or (iii) \( \lambda \neq 0 \), \( \Phi(x) \) is the dipole potential with dipole axis \( n = (0, 0, 1)^T \), i.e. is parallel to the \( z \)-axis.

**Proof.** Details of the proof are given in Appendix A. \( \square \)

**Center of mass.** The center of mass is defined by

\[ \mathbf{x}_c(t) = \int_{\mathbb{R}^d} \mathbf{x} |\psi(x, t)|^2 d\mathbf{x} = \langle \mathbf{x} \psi, \psi \rangle. \quad (4.5) \]

**Lemma 4.2.** The center of mass \( \mathbf{x}_c(t) \) satisfies the following equations, for \( 0 < s \leq 1 \) (subdispersion),

\[ \dot{\mathbf{x}}_c - \Omega J \mathbf{x}_c = i \langle G * \psi, \nabla \psi \rangle, \quad (4.6) \]

\[ \ddot{\mathbf{x}}_c - 2\Omega J \dot{\mathbf{x}}_c + \Omega^2 J^2 \mathbf{x}_c = 2 \text{Re} \left( \langle G * (V \psi), \nabla \psi \rangle \right). \quad (4.7) \]

Here, we set \( V(x, |\psi|) = V(x) + \beta |\psi|^2 + \lambda \Phi(x, t) \), and

\[ J = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \quad \text{for} \quad d = 2, \quad J = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \text{for} \quad d = 3. \quad (4.8) \]
Remark 4.2. We also remark here that it might be interesting to derive the dynamical laws for the con-
densate width $\delta$, and hold for a general potential $V$, compared with their results, the dynamical laws (4.6)-(4.7) are simpler.

Proof. A detailed proof is reported in Appendix B.

Remark 4.1. If $s = 1$, $V(x)$ is the harmonic potential (1.4) and $\Phi(x)$ is the Coulomb potential or DDI with $n = (0,0,1)^T$, then (4.7) reduces to [71]

$$\ddot{x}_c - 2\Omega J\dot{x}_c + (\Omega^2 J^2 + \Lambda_d)x_c = 0,$$

where

$$\Lambda_d = \begin{pmatrix} \gamma_x^2 & 0 \\ 0 & \gamma_y^2 \end{pmatrix}, \quad \text{for} \quad d = 2, \quad \Lambda_d = \begin{pmatrix} \Lambda_2 & 0 \\ 0 & \gamma_z^2 \end{pmatrix}, \quad \text{for} \quad d = 3. \quad (4.12)$$

In [71], the authors derived a dynamical law for the center of mass for the FNLSE with $s \in (\frac{1}{2}, 1]$ and for a harmonic trapping potential. Compared with their results, the dynamical laws (4.6)-(4.7) are simpler and hold for a general potential $V(x)$ as well as for the full subdispersion case, i.e. $\forall \ s \in (0,1]$. It is also interesting to explore similar equations for the superdispersion case $s > 1$.

Remark 4.2. We also remark here that it might be interesting to derive the dynamical laws for the con-
densate width $\delta$, which is defined as

$$\delta_s(t) = \int_{\mathbb{R}^d} v^2 |\psi(x)|^2 dx, \quad v = x, y \text{ in 2D and } v = x, y, z \text{ in 3D}. \quad (4.13)$$

The derivation and proof is feasible but tedious. One can refer to [71] for the analogous details.

4.2. Numerical method

In this subsection, we first introduce a coordinates transformation and reformulate the rotating FGPE (1.1)-(1.2) in the new coordinates, eliminating hence the rotation term.

4.2.1. Rotating Lagrangian coordinates transformation

For any time $t \geq 0$, let $A(t)$ be the orthogonal rotational matrix defined as [16]

$$A(t) = \begin{pmatrix} \cos(\Omega t) & \sin(\Omega t) \\ -\sin(\Omega t) & \cos(\Omega t) \end{pmatrix}, \quad \text{if} \quad d = 2, \quad A(t) = \begin{pmatrix} \cos(\Omega t) & \sin(\Omega t) & 0 \\ -\sin(\Omega t) & \cos(\Omega t) & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \text{if} \quad d = 3. \quad (4.14)$$

It is easy to check that $A^{-1}(t) = A^T(t)$ for any $t \geq 0$ and $A(0) = I$, where $I$ is the identity matrix. For any $t \geq 0$, we introduce the rotating Lagrangian coordinates $\mathbf{x}$ as [9,16,38]

$$\tilde{x} = A^{-1}(t)x = A^T(t)x \quad \Leftrightarrow \quad x = A(t)\tilde{x}, \quad x \in \mathbb{R}^d, \quad (4.15)$$

and we denote by $\phi := \phi(\tilde{x}, t)$ the wave function in the new coordinates

$$\phi(\tilde{x}, t) = \psi(x, t) = \psi(A(t)\tilde{x}, t), \quad x \in \mathbb{R}^d, \quad t \geq 0. \quad (4.16)$$
By some simple calculations, one can easily obtain

\[ \partial_t \phi(\tilde{x}, t) = \partial_t \psi(x, t) + \nabla \psi(x, t) \cdot \left( \tilde{A}(t) \tilde{x} \right) = \partial_t \psi(x, t) - \Omega(x \partial_y - y \partial_x) \psi(x, t), \quad (4.17) \]

\[ (-\nabla^2 + m^2)^* \psi(x, t) = (-\nabla^2 + m^2)^* \phi(\tilde{x}, t). \quad (4.18) \]

Plugging them back into (1.1)–(1.2) gives the following FNLS in the rotating Lagrangian coordinates

\[ i \partial_t \phi(\tilde{x}, t) = \left[ \frac{1}{2} (-\nabla^2 + m^2) + \mathcal{W}(\tilde{x}, t) + \beta |\phi|^2 + \lambda \tilde{\Phi}(\tilde{x}, t) \right] \phi(\tilde{x}, t), \quad \tilde{x} \in \mathbb{R}^d, \quad t > 0, \quad (4.19) \]

\[ \tilde{\Phi}(\tilde{x}, t) = \tilde{U} * |\phi|^2, \quad \tilde{x} \in \mathbb{R}^d, \quad t \geq 0. \quad (4.20) \]

Here, \( \mathcal{W}(\tilde{x}, t) = V(A(t)\tilde{x}) \) and \( \tilde{U}(\tilde{x}, t) \) reads as

\[ \tilde{U}(\tilde{x}, t) = \begin{cases} \frac{1}{2^{d-1-\mu}} \pi^{\mu}, & 0 < \mu < d - 1, \\ -\delta(\tilde{x}) - 3 \partial_{m(t)} m(t) \left( \frac{1}{2\pi |\tilde{x}|} \right), & 3D \text{ DDI}, \\ -\frac{3}{2} \left( \partial_{m_{\perp}(t)} m_{\perp} (t) - m_{\perp}^2 \nabla_\perp^2 \right) \left( \frac{1}{2\pi |\tilde{x}|} \right), & 2D \text{ DDI}, \end{cases} \quad (4.21) \]

with \( m(t) \in \mathbb{R}^3 \) defined as \( m(t) = A^{-1}(t) n := (m_{1}(t), m_{2}(t), m_{3}(t))^T \) and \( m_{\perp}(t) = (m_{1}(t), m_{2}(t))^T \).

We can clearly see that the rotation term vanishes in the new coordinates (see (4.19)). Instead, the trapping potential and the dipole axis become time-dependent. The absence of the rotating term allows us to develop a simple and efficient time-splitting scheme.

### 4.2.2. A time-splitting pseudo-spectral method

Here we shall consider the new equation (4.19)–(4.20) which has been reformulated in rotating Lagrangian coordinates. In a practical computation, we first truncate the problem into a bounded computational domain \( B = [L_x, R_x] \times [L_y, R_y] \times [L_z, R_z] \) if \( d = 3 \), or \( B = [L_x, R_x] \times [L_y, R_y] \) if \( d = 2 \). From \( t = t_n \) to \( t = t_{n+1} := t_n + \Delta t \), the equation is solved in two steps. One first considers

\[ i \partial_t \phi(\tilde{x}, t) = \frac{1}{2} (-\nabla^2 + m^2)^* \phi(\tilde{x}, t), \quad \tilde{x} \in B, \quad t_n \leq t \leq t_{n+1}, \quad (4.22) \]

with periodic boundary conditions on the boundary \( \partial B \) for a time step \( \Delta t \), then solves

\[ i \partial_t \phi(\tilde{x}, t) = \left[ \mathcal{W}(\tilde{x}, t) + \beta |\phi|^2 + \lambda \tilde{\Phi}(\tilde{x}, t) \right] \phi(\tilde{x}, t), \quad \tilde{x} \in B, \quad t_n \leq t \leq t_{n+1}, \quad (4.23) \]

\[ \tilde{\Phi}(\tilde{x}, t) = (\tilde{U} * \tilde{\rho})(\tilde{x}, t), \quad \tilde{x} \in B, \quad t_n \leq t \leq t_{n+1}, \quad (4.24) \]

for the same time step. Here, \( \tilde{\rho}(\tilde{x}, t) = |\phi(\tilde{x}, t)|^2 \) if \( \tilde{x} \in B \) and \( \tilde{\rho}(\tilde{x}, t) = 0 \) otherwise. The linear subproblem \( (4.22) \) is discretized in space by the Fourier pseudo-spectral method and integrated in time exactly in the phase space. The nonlinear subproblem \( (4.23)–(4.24) \) preserves the density pointwise, i.e. \( |\phi(\tilde{x}, t)|^2 \equiv |\phi(\tilde{x}, t = t^n)|^2 = |\phi^n(\tilde{x})|^2 \), and it can be integrated exactly as

\[ \phi(\tilde{x}, t) = \exp \left\{ -i \left[ (t - t_n) \beta |\phi^n(\tilde{x})|^2 + \lambda \Phi(\tilde{x}, t) + P(x, t) \right] \right\}, \quad \tilde{x} \in B, \quad t_n \leq t \leq t_{n+1}, \quad (4.25) \]

\[ \varphi(\tilde{x}, t) = \int_{\mathbb{R}^d} \tilde{K}(\tilde{y}, t) \rho(\tilde{x} - \tilde{y}, t^n) d\tilde{y}, \quad (4.26) \]

where the time-dependent kernel \( \tilde{K}(\tilde{x}, t) \) has the form

\[ \tilde{K}(\tilde{x}, t) = \int_{t_n}^t \tilde{U}(\tilde{x}, \tau) d\tau = \begin{cases} (t - t_n)/(2^{d-1-\mu}|\tilde{x}|^{\mu}), & \text{Coloumb}, \\ -\delta(\tilde{x})(t - t^n) - 3 \tilde{L}_3(t)(\frac{1}{2\pi |\tilde{x}|}), & 3D \text{ DDI}, \\ -\frac{3}{2} \tilde{L}_2(t)(\frac{1}{2\pi |\tilde{x}|}), & 2D \text{ DDI}. \end{cases} \quad (4.27) \]
Here, the differential operators $\tilde{L}_0(t) = \int_{t_n}^t \partial_{m(\tau)} m(\tau) d\tau$ and $\tilde{L}_2(t) = \int_{t_n}^t \partial_{m(\tau)} m(\tau) d\tau$ can be actually integrated analytically and have some explicit expressions. One refers to section 4.1 in [16] for more details. The GauSum solver is then applied to evaluate the nonlocal nonlinear interaction $\phi(\tilde{x}, t)$ (4.26). In addition, we have

$$P(\tilde{x}, t) = \int_{t_n}^t W(\tilde{x}, \tau) d\tau = \int_{t_n}^t V(A(\tau)\tilde{x}) d\tau.$$

If $V(x)$ is chosen as the harmonic potential (1.4), then $P(\tilde{x}, t)$ can be calculated analytically. For a general potential, a numerical quadrature can be used to approximate the integral (4.28).

To simplify the notations, we only present the scheme for the 2D case. Let $L$ and $M$ be two even positive integers. We choose $h_\tilde{x} = \frac{R_\tilde{x}}{L}$ and $h_\tilde{y} = \frac{R_\tilde{y}}{M}$ as the spatial mesh sizes in the $\tilde{x}$- and $\tilde{y}$-directions, respectively. We define the indices and grid points sets as

$$\mathcal{T}_{LM} = \{ (\ell, m) \in \mathbb{N}^2 | 0 \leq \ell \leq L, 0 \leq m \leq M \},$$

$$\tilde{\mathcal{T}}_{LM} = \{ (p, q) \in \mathbb{N}^2 | -L/2 \leq p \leq L/2 - 1, -M/2 \leq q \leq M/2 - 1 \},$$

$$\mathcal{G}_{\tilde{x}\tilde{y}} = \{ (\tilde{x}_m, \tilde{y}_n) = (L_x + \ell h_x, L_y + m h_y), (\ell, m) \in \mathcal{T}_{LM} \}.$$

We introduce the following functions

$$W_{pq}(\tilde{x}, \tilde{y}) = e^{i\mu_p (\tilde{x} - L_x)} e^{i\mu_q (\tilde{y} - L_y)}, \quad (p, q) \in \tilde{\mathcal{T}}_{LM},$$

with

$$\mu_p = \frac{2\pi p}{R_\tilde{x} - L_x}, \quad \mu_q = \frac{2\pi q}{R_\tilde{y} - L_y}, \quad (p, q) \in \tilde{\mathcal{T}}_{LM}.$$

Let $f_{lm}^n$ ($f = \phi, \varphi$ or $P$) be the approximation of $f(\tilde{x}_m, \tilde{y}_n, t_n)$ for $(\ell, m) \in \mathcal{T}_{LM}$ and $n \geq 0$. We denote by $\phi^n$ the solution at time $t = t_n$, with components $\{ \phi^n_{lm}, (\ell, m) \in \mathcal{T}_{LM} \}$. We take the initial data as $\phi^0_{lm} = \phi(\tilde{x}_m, \tilde{y}_n)$, for $(\ell, m) \in \mathcal{T}_{LM}$. A second-order time-splitting Fourier pseudo-spectral (TSFP) method to solve (4.19)-(4.20) is given by

$$\phi^{(1)}_{lm} = \sum_{p=-L/2}^{L/2-1} \sum_{q=-M/2}^{M/2-1} e^{-\frac{\Delta t}{4} \left[ (\mu_p^2 + (\mu_q^2 + m^2)^2) \right]^{1/2} (\hat{\phi}^n)_{pq}} W_{pq}(\tilde{x}_m, \tilde{y}_n), \quad (4.29)$$

$$\phi^{(2)}_{lm} = \phi^{(1)}_{lm} \exp \left\{ -i \left[ \Delta t |\phi_{lm}^n|^2 + \lambda \phi_{lm}^{n+1} + P_{lm}^{n+1} \right] \right\}, \quad (4.30)$$

$$\phi^{n+1}_{lm} = \sum_{p=-L/2}^{L/2-1} \sum_{q=-M/2}^{M/2-1} e^{-\frac{\Delta t}{4} \left[ (\mu_p^2 + (\mu_q^2 + m^2)^2) \right]^{1/2} (\hat{\phi}^{(2)}_n)_{pq}} W_{pq}(\tilde{x}_m, \tilde{y}_n). \quad (4.31)$$

Here, $(\hat{\phi}^{(1)}_n)_{pq}$ and $(\hat{\phi}^{(2)}_n)_{pq}$ are the discrete Fourier series coefficients of the vectors $\phi^n$ and $\phi^{(2)}$, respectively. This method is referred to as TS2-GauSum. The TS2-GauSum method (4.29)-(4.31) is explicit, efficient, simple to implement, unconditionally stable and can be easily extended to high-order time-splitting schemes.

### 4.3. Numerical results

In this subsection, we present some numerical results for the dynamics of the FNLSE/FGPE solved by TS2-GauSum. To this end, unless stated, we let $m = 0$, $\Omega = 0$, $d = 2$ and choose the computational domain as $\mathbf{B} = [-16, 16] \times [-16, 16]$. The mesh sizes in space and time are chosen as $h_x = h_y = \frac{1}{16}$ and $\Delta t = 10^{-3}$, respectively. The trapping potential $V(x)$ is chosen as (1.4) with $\gamma_x = \gamma_y = 1$. The nonlocal interaction is of Coulomb-type with $\mu = 1$. The initial data is set to

$$\psi_0(x) = \phi^s_g(x - x_0) e^{i \psi_0(0.8x + 0.5y)}, \quad (4.32)$$

where $\phi^s_g(x)$ is the ground state of the FNLSE with the fractional order $s$. Starting from the ground state $\phi^s_g(x)$, we shift it by $x_0 \in \mathbb{R}^2$ and/or imprint an initial momentum as shown above.
Example 4.1. Dynamics of the FNLSE ($x_0 = (0, 0)^T$). In this example, let $\beta = 0$, $\lambda = -1$, $v_0 = 1$ and $x_0 = (0, 0)^T$ in (4.32). We study two cases in (4.32): Case I: $s = 1$, Case II: $s \neq 1$.

Figure 5 and 6 show the dynamics of mass, energy, centre of mass, condensate widths of the FNLSE with different fractional orders $s$. We can observe that (i) The mass and total energy are well conserved. (ii) The fractional order significantly affects the dynamics of the FNLSE. As we know, for the classical NLSE ($s = 1$), the density profile retains its initial shape, meanwhile swings periodically in the harmonic trap (cf. Fig. 5 (a)). However, for the fractional case ($s \neq 1$), the density profile is quite different from the initial profile. For the subdispersion case, $s < 1$, the decoherence emerges, i.e. the loss of solitary profile, and it becomes stronger when $|s - 1|$ is larger. For superdispersion, i.e. $s > 1$, there is much less decoherence observed. The density profile would exhibit damped oscillations around what appears to be a rescaled ground state, which behaves similarly as the breather solutions of the classical NLSE. (iii) For both cases, the decoherence is weak and turbulence (the high frequencies) does not emerge, letting alone the chaotic dynamics. The turbulence and/or chaotic dynamics might emerge if the initially imprinted momentum is large enough.

Figure 5: Time evolution of the energies, centre of mass and condensate width in example 4.1 for case I for: (a) $s = 1$ (standard case), (b) $s = 0.95$ (subdispersion case) and (c) $s = 1.5$ (superdispersion case).
Example 4.2. Dynamics of the FNLSE with position shifts in initial data. With fixed $s = 0.75$ (subdispersion) and $v_0 = 0$ in (4.32), we study the following four cases:

- **Case I.** Linear fractional Schrödinger equation. Let $\beta = \lambda = 0$, $x_0 = (1, 1)^T$.
- **Case II.** Linear fractional Schrödinger equation. Let $\beta = \lambda = 0$, $x_0 = (3, 3)^T$.
- **Case III.** FNLSE with purely short-range interaction. Let $\beta = 50$, $\lambda = 0$, $x_0 = (3, 3)^T$.
- **Case IV.** FNLSE with purely long-range interaction. Let $\beta = 0$, $\lambda = 10$, $x_0 = (3, 3)^T$.

Figure 7 shows the dynamics of the mass, energy, centre of mass, condensate widths, while Figure 8 shows the contour plot of the density $|\psi(x, t)|^2$ at different times. Similarly to Example 4.1, we can see that (i) For the FNLSE, the density profile no longer retains its initial shape as in the classical NLSE. The density profile also oscillates around the center of the trap and decoherence emerges. (ii) The dynamics of the wave function depends crucially on the initial shift $x_0$. If the initial shift is small, the initial shape is changed slightly, i.e. the decoherence is small (cf. Fig. 8(a)), while for large shifts, the decoherence appears very quickly. Turbulence and chaotic dynamics might also occur for a large $x_0$ in the linear FSE (cf. Fig. 8(b)). (iii) Both the short- and long-range nonlinear interactions can reduce and/or delay the emergence of decoherence and suppress the wave function from chaotic dynamics. Turbulence emerges in the FNLSE with pure local nonlinearity (see Fig. 8(c)), while the decoherence is weaker in the FNLSE with pure nonlocal nonlinearity. The density profile would actually oscillate like a breather (cf. Fig. 8(d)). It would also be interesting to investigate the decoherence and turbulence properties in the superdispersion case $s > 1$ and analyze how they are affected through a rotation effect. This will be analyzed in future research. Our results are in accordance with those showed in [51].

Figure 6: Time evolution of the energies, centre of mass and condensate width in example 4.1 for case II for: (a) $s = 0.5$ (subdispersion case), (b) $s = 1.1$ (superdispersion case).
Figure 7: Time evolution of the energies, centre of mass and condensate width in example 4.2 for cases I to IV (from top to bottom). Here, we consider a subdispersion case for $s = 0.75$.

5. Conclusion

In this paper, we proposed efficient and robust numerical methods for computing the ground states and dynamics of the FNLSE equation with an angular momentum and nonlocal interaction potentials. Existence and non-existence of the ground states were presented and dynamical laws for the mass, energy, angular
momentum and center of mass were obtained.

We then studied the ground states and dynamics of the FNLSE numerically. It was found that the fractional order $s$ affects both the ground states and dynamics in a significant way. The ground states become more peaked as $s < 1$ tends smaller, corresponding here to subdispersion. For the superdispersion case, i.e. $s > 1$, the creation of a giant vortex can be observed for a fast rotating system, which is totally different from the behavior of the classical GPE. Critical values of the rotating frequencies to create the first vortex solution are numerically found to depend on $s$. For the dynamics, decoherence as well as turbulence were observed in the FNLSE when an initial data is prepared from a ground state with imprinted phase shift and/or position shift. It is shown that the smaller the fractional exponent $s$ is, the easier the decoherence emerges. The larger the initial shift is, the easier the turbulence and chaotic dynamics arise. Furthermore, the presence of repulsive nonlinearities, both local and nonlocal, can suppress the “peaking” effects of the ground states and the decoherence/turbulence observed in the dynamics.

It is worthwhile to remark that the ground states of the FNLSE decay only algebraically as $|x| \to \infty$ when the external potential $V(x)$ is bounded [36] and $\beta < 0$. A very large computational domain is necessary for both the ground state computation and the dynamics [52]. It would be interesting and crucial to derive a fractional version of the free boundary conditions such as the transient BC, absorbing BC and also the PML [3] for the FNLSE.

![Figure 8: Contour plots of the density $|\psi(x, t)|^2$ at different times in example 4.2 for cases I to IV (from top to bottom). Here, we consider $s = 0.75$ (subdispersion).](image)
Finally, let us emphasize that the time and space fractional NLSE, for $0 < \gamma < 1$,

$$
\begin{align*}
  i\partial_t \psi(x, t) &= \left[ \frac{1}{2} (-\nabla^2 + m^2)^\gamma + V(x) + \beta |\psi(x, t)|^2 + \lambda \Phi(x, t) - \Omega L_z \right] \psi(x, t), \\
  \Phi(x, t) &= \mathcal{U} \ast |\psi(x, t)|^2, \quad x \in \mathbb{R}^d, \ t > 0, \ d \geq 2.
\end{align*}
$$

(5.33)

(5.34)

is also very interesting for some applications \cite{31, 32, 33, 34, 35, 36, 37}. The next step of our work would consist in analyzing efficient and accurate numerical methods for solving FNLSes both in space and time and understand their behavior and properties.

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Appendix A. Proof of lemma \[4.1\]

Let us introduce $k = (k_x, k_y, k_z)^T$ if $d = 3$, and $k = (k_x, k_y)^T$ if $d = 2$. Let $J_z = y \partial_x - x \partial_y$ and $\tilde{J}_z = k_y \partial_{k_x} - k_x \partial_{k_y}$. Then, we have $\tilde{J}_z \psi = \tilde{J}_z \hat{\psi}$. Differentiating (4.2), noticing (1.1) and using the Plancherel’s formula, we have

$$
\frac{d}{dt} \langle L_z \rangle(t) = \langle L_z \psi_1, \psi \rangle + \langle L_z \psi, \psi_2 \rangle - \langle i\hat{\psi}, J_z \psi \rangle - \langle J_z \psi, i\hat{\psi} \rangle
$$

$$
= \frac{1}{(2\pi)^d} \left\{ -\langle \frac{1}{2}(|k|^2 + m^2)^\gamma \hat{\psi} + \nabla \psi, J_z \hat{\psi} \rangle - \langle J_z \psi, \frac{1}{2}(|k|^2 + m^2)^\gamma \hat{\psi} + \nabla \psi \rangle \right\}, \quad (A.1)
$$

with $\nabla \psi := V \psi + \lambda \Phi \psi$. The rotation and local nonlinear terms cancel. We omit both for brevity.

By integrating the above equation by parts, we have

$$
\frac{d}{dt} \langle L_z \rangle(t) = \frac{1}{(2\pi)^d} \left\{ \langle \frac{1}{2}(|k|^2 + m^2)^\gamma \hat{\psi} + \nabla \psi, J_z \hat{\psi} \rangle - \langle J_z \psi, \frac{1}{2}(|k|^2 + m^2)^\gamma \hat{\psi} + \nabla \psi \rangle \right\}
$$

$$
= \frac{1}{(2\pi)^d} \left\{ \langle \hat{\psi}, J_z (\nabla \psi) \rangle - \langle \nabla \psi, \hat{\psi} \rangle \right\} = \frac{1}{(2\pi)^d} \left\{ -\langle J_z \hat{\psi}, \nabla \psi \rangle - \langle \nabla \psi, J_z \hat{\psi} \rangle \right\}
$$

$$
= -\langle J_z \psi, \nabla \psi \rangle - \langle \nabla \psi, J_z \psi \rangle = \langle \psi \nabla \psi, J_z \psi \rangle = \int_{\mathbb{R}^d} |\psi|^2(y \partial_x - x \partial_y) \left( V(x) + \lambda \Phi(x, t) \right) d x. \quad (A.2)
$$

Therefore, by adapting the polar/cylindrical coordinates transformation in 2D/3D and noticing $y \partial_x - x \partial_y = -\partial_y$, one can obtain

$$
I_1 := \int_{\mathbb{R}^d} |\psi|^2(y \partial_x - x \partial_y)V(x) d x = 0, \quad (A.3)
$$

provide that $V(x)$ is radially/cylindrically symmetric in 2D/3D. Now that

$$
I_2 := \int_{\mathbb{R}^d} |\psi|^2(y \partial_x - x \partial_y) \Phi(x, t) d x = \frac{1}{(2\pi)^d} \int |\psi|^2, \ J_z \Phi \rangle = \int_{\mathbb{R}^d} \tilde{U}(k) |\psi|^2(k_y \partial_{k_x} - k_x \partial_{k_y}) |\psi|^2 d k, \quad (A.4)
$$

applying the polar/cylindrical coordinates transformation in 2D/3D in the Fourier space, it is easily to get $I_2 = 0$ if $\tilde{U}(k)$ in (1.5) is chosen as the Coulomb-type interaction or DDI with $n = (0, 0, 1)^T$. 

□
Appendix B. Proof of lemma 4.2

**Step 1:** By differentiating (4.5) and noticing (1.1), we have

\[
\dot{x}_c(t) = \frac{d}{dt} \langle x \psi, \psi \rangle = \frac{i}{2} \{ x i \psi_t, \psi \} + i \langle x \psi, i \psi_t \rangle = i \{ x \psi, i \psi_t \} - \langle x i \psi_t, \psi \rangle
\]

\[
= \frac{i}{2} \{ (x \psi, (-\Delta + m^2)^s \psi) - \langle x (-\Delta + m^2)^s \psi, \psi \rangle \} - \Omega [\langle x J_z \psi, \psi \rangle + \langle \psi, x J_z \psi \rangle]. \quad \text{(B.1)}
\]

An integration by parts and an application of Plancherel’s formula lead to

\[
\dot{x}_c(t) = \frac{i}{2} \frac{1}{(2\pi)^d} \left\{ (i \nabla_k \hat{\psi}, (|k|^2 + m^2)^s \hat{\psi}) - \langle i \nabla_k [(|k|^2 + m^2)^s \hat{\psi}(k)], \hat{\psi} \rangle \right\} + \Omega \langle \psi, J_z \hat{x}_c \rangle
\]

\[
= \frac{i}{2} \frac{1}{(2\pi)^d} \left\{ (|k|^2 + m^2)^s \hat{\psi}, \hat{\psi} \right\} + \Omega \langle \psi, J_z \hat{x}_c \rangle. \quad \text{(B.2)}
\]

Note that (B.2) is well-defined for \( \forall s > 0 \). If \( s = 1 \), (B.2) yields

\[
\dot{x}_c(t) - \Omega J_z x_c = \frac{1}{(2\pi)^d} \langle k \hat{\psi}, \hat{\psi} \rangle = i \langle \psi, \nabla \psi \rangle = i \langle G \psi, \nabla \psi \rangle, \quad \text{(B.3)}
\]

with \( G(x) = \delta(x) \). If \( 0 < s < 1 \), we have

\[
\left( |k|^2 + m^2 \right)^{s-1} = \frac{1}{c_s} \int_0^\infty \lambda^{-s} e^{-\pi (|k|^2 + m^2) \lambda} d\lambda, \quad \text{with} \quad c_s = \Gamma(1-s)/\pi^{1-s}. \quad \text{(B.4)}
\]

Hence, one gets

\[
s^\mathcal{F}^{-1} \left( \left( |k|^2 + m^2 \right)^{s-1} \hat{\psi} \right) = s \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \left( |k|^2 + m^2 \right)^{s-1} \psi \ e^{ikx} \, dk
\]

\[
= \frac{s}{c_s (2\pi)^d} \int_0^\infty \lambda^{-s} e^{-\pi \lambda m^2} \left[ \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \hat{\psi} \ e^{-\pi k^2 \lambda} \ e^{ikx} \, dk \right] \, d\lambda = \frac{s}{c_s (2\pi)^d} \int_0^\infty \lambda^{-s} e^{-\pi \lambda m^2} \left[ \psi \ast \mathcal{F}^{-1} \left( e^{-\pi \lambda |k|^2} \right) \right] \, d\lambda
\]

\[
= \frac{s}{c_s (2\pi)^d} \int_{\mathbb{R}^d} \psi(y) \left[ \int_0^\infty \lambda^{-2s+d} e^{-\pi \lambda m^2} e^{-\pi |y|^2/4} \, d\lambda \right] \, dy =: \left( G \ast \psi \right)(x), \quad \text{(B.5)}
\]

with

\[
G(x) = \frac{s}{c_s (2\pi)^d} \int_0^\infty \lambda^{-2s+d} e^{-\pi \lambda m^2} e^{-\pi |x|^2/4} \, d\lambda = \frac{2^{-s-d/2}}{\Gamma(1-s) \pi^{d/2}} \left( \frac{m}{|x|} \right)^{\frac{d}{2} + s - 1} K_{\frac{d}{2} + s - 1} (m|x|), \quad \text{(B.6)}
\]

where \( K_v(z) \) is the modified Bessel function of the second-kind and order \( v \) defined by (4.16). Finally, we obtain

\[
\dot{x}_c(t) - \Omega J_z x_c = \frac{s}{(2\pi)^d} \left( \left( |k|^2 + m^2 \right)^{s-1} \hat{k} \hat{\psi}, \hat{\psi} \right) = \left( s^\mathcal{F}^{-1} \left( \left( |k|^2 + m^2 \right)^{s-1} \hat{\psi} \right), \mathcal{F}^{-1} \left( \hat{k} \hat{\psi} \right) \right) = i \langle \left( G \ast \psi \right), \nabla \psi \rangle. \quad \text{(B.7)}
\]

**Step 2:** Let us consider the second-order derivative of (B.2). By (3.5), we have

\[
\dot{x}_c(t) - \Omega J_z \dot{x}_c = 2 s \text{Re} \left( C_d \langle k (|k|^2 + m^2)^{s-1} \hat{\psi}_t, \hat{\psi} \rangle \right) + 2 s \text{Im} \left( C_d \langle \hat{\psi}_t, k (|k|^2 + m^2)^{s-1} \hat{\psi} \rangle \right)
\]

\[
= 2 \text{Im} \left( C_d \langle s^\mathcal{F}^{-1} \left( \left( |k|^2 + m^2 \right)^{s-1} \hat{\psi} \right), \mathcal{F}^{-1} \left( \hat{k} \hat{\psi} \right) \rangle \right) + s \Omega \left[ C_d \langle \hat{\psi}, k (|k|^2 + m^2)^{s-1} \hat{J}_z \hat{\psi} \rangle + C_d \langle \hat{\psi}, \hat{J}_z \hat{\psi}, k (|k|^2 + m^2)^{s-1} \hat{\psi} \rangle \right] - C_d \langle k (|k|^2 + m^2)^{s-1} \hat{\psi}, \hat{J}_z \hat{\psi} \rangle
\]

\[
= 2 \text{Re} \left( \langle G \ast \nabla \psi, \nabla \psi \rangle \right) + s \Omega C_d \langle \left( |k|^2 + m^2 \right)^{s-1} \hat{\psi} \rangle \hat{J}_z \hat{k}
\]

\[
= 2 \text{Re} \left( \langle G \ast (\nabla \psi), \nabla \psi \rangle \right) + \Omega J \left( \dot{x}_c - \Omega J_x \right).
\]
Hence, we obtain

\[ \ddot{x}_c(t) - 2\Omega J \dot{x}_c + \Omega^2 J^2 x_c = 2 \text{Re} \left( (G * (V \psi), \nabla \psi) \right). \]  

(B.8)

ending hence the proof. \(\square\)

References


