

1 Overexpression of tumour-associated carbohydrate antigen 2 Sialyl-Tn in advanced bladder tumours

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4 José Alexandre Ferreira^{a,b,1} and Paula A. Videira^{c,1}, Luís Lima^{b,d,e,f}, Sofia Pereira^{b,g},
5 Mariana Silva^c, Mylène Carrascal^c, Paulo F. Severino^{c,h}, Elisabete Fernandes^b, Andreia
6 Almeida^{a,b}, Céu Costa^{b,g}, Rui Vitorino^a, Teresina Amaroⁱ, Maria J. Oliveira^{j,k,1}, Celso A.
7 Reisj^{d,k,m}, Fabio Dall'Olio^h, Francisco Amado^{a,n} and Lúcio Lara Santos^{b,g,o}

8
9 ^aQOPNA, Mass Spectrometry Center, Department of Chemistry, University of Aveiro, Aveiro,
10 Portugal; ^bExperimental Pathology and Therapeutics Group, Portuguese Institute of Oncology,
11 Porto, Portugal; ^cCEDOC, Departamento de Imunologia, Faculdade de Ciências Médicas,
12 FCM, Universidade Nova de Lisboa, Lisboa, Portugal; ^dInstitute of Biomedical Sciences of
13 Abel Salazar, University of Porto, Porto, Portugal; ^eNúcleo de Investigação em Farmácia -
14 Centro de Investigação em Saúde e Ambiente (CISA), Health School of the Polytechnic
15 Institute of Porto, Porto, Portugal; ^fLPCC, Research Department-Portuguese League
16 Against Cancer (NRNorte), Portugal; ^gHealth School of University of Fernando Pessoa,
17 Porto, Portugal; ^hDepartment of Experimental, Clinical and Specialty Medicine (DIMES),
18 University of Bologna, Bologna, Italy; ⁱDepartment of Anatomic Pathology, Hospital Pedro
19 Hispano, Matosinhos, Portugal; ^jINEB - Institute of Biomedical Engineering, Porto University;
20 ^kDepartment of Pathology e Oncology, Faculty of Medicine, Porto University; ^lDepartment of
21 Biology, Faculty of Sciences, Porto University; ^mInstitute of Molecular Pathology and
22 Immunology of the University of Porto (IPATIMUP), Porto, Portugal; ⁿSchool of Health
23 Sciences, University of Aveiro (ESSUA); ^oDepartment of Surgical Oncology, Portuguese
24 Institute of Oncology, Porto, Portugal

25
26 ¹ These authors contributed equally to this work

27 **Corresponding authors:** José Alexandre Ferreira (jferreira@dq.ua.pt); Paula Videira
28 (paula.videira@fcm.unl.pt)

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33 **Abstract**

34 Little is known on the expression of the tumour-associated carbohydrate antigen
35 sialyl-Tn (STn), in bladder cancer. We report here that 75% of the high-grade bladder
36 tumours, presenting elevated proliferation rates and high risk of recurrence/progression
37 expressed STn. However, it was mainly found in non-proliferative areas of the tumour,
38 namely in cells invading the basal and muscle layers. STn was also found in tumour-
39 adjacent mucosa, which suggests its dependence on a field effect of the tumour.
40 Furthermore, it was not expressed by the normal urothelium, demonstrating the cancer-
41 specific nature of this antigen. STn expression correlated with that of sialyltransferase
42 ST6GalNAc.I, its major biosynthetic enzyme. The stable expression of ST6GalNAc.I in
43 the bladder cancer cell line MCR induced STn expression and a concomitant increase of
44 cell motility and invasive capability. Altogether, these results indicate for the first time a
45 link between STn expression and malignancy in bladder cancer. Hence, therapies
46 targeting STn may constitute new treatment approaches for these tumours.

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58 **1. Introduction**

59 Bladder cancer, the fifth most common cancer in Western society, is a growing
60 concern, owing to increased incidence during the past years (Ploeg et al., 2009; van
61 Rhijn et al., 2009). Most of the newly diagnosed bladder cancer cases are superficial, or
62 low-grade non-muscle invasive papillary tumours, being conservatively treated by
63 complete transurethral resection of the tumour (Babjuk et al., 2012). However,
64 approximately half of the patients show a high-percentage of recurrences and an
65 elevated risk of progression to muscle invasive disease, which correlates with poor
66 prognosis (Hussain et al., 2009). The risk of recurrence and/or progression is mostly
67 determined by clinicopathological features (Babjuk et al., 2012). According to the
68 European Organization for Research and Treatment of Cancer (EORTC), this group
69 includes high grade (HG) papillary tumours and carcinoma *in situ* (CIS) and those with
70 multifocal or recurrent lesions (Babjuk et al., 2012). The evaluation of the nuclear
71 protein Ki-67 (Ki-67 proliferation index), an established marker of cell proliferation, is
72 often used to enhance the prognostic accuracy of risk classification given by
73 clinicopathological features (Margulis et al., 2009; Santos et al., 2003), since it is
74 considered a surrogate biomarker of bladder cancer aggressiveness, disease recurrence
75 and progression (Margulis et al., 2009; Santos et al., 2003).

76 Tumour resection followed by a schedule of intravesical instillations with live
77 attenuated strains of *Mycobacterium bovis* (Bacillus Calmette-Guérin, BCG) is the
78 standard adjuvant therapeutic option for high-risk of recurrence/progression bladder
79 tumours (Askeland et al., 2012; Babjuk et al., 2012). Although BCG has improved the
80 management of high-risk patients, 30-40% of cases either show intolerance or relapse
81 after treatment (Yates and Roupret, 2011). Consequently, these patients require life-long
82 follow-up and repeated courses of treatment making bladder cancer the costliest to treat

83 among solid tumours (Askeland et al., 2012; Dovedi and Davies, 2009; Sievert et al.,
84 2009). Upon therapeutic failure and/or muscle invasion, cystectomy is advocated for
85 oncological control (Askeland et al., 2012; Dovedi and Davies, 2009; Sievert et al.,
86 2009). Furthermore, at the moment there is a lack of specific biomarkers to target
87 aggressive cell phenotypes and direct molecular-based therapy, which may be used to
88 avoid preventive cystectomy (Dovedi and Davies, 2009).

89 Vaccines using tumour-associated glycans, in association with immunological
90 boosters, are emerging as potential therapeutic strategies against cancer (Hakomori,
91 2001; Lakshminarayanan et al., 2012; Ryan et al., 2010; Sorensen et al., 2006). In the
92 forefront of these antigens is sialyl-Tn (STn; Neu5Ac α 2-6GalNAc α -O-Ser/Thr)
93 (Gilewski et al., 2007; Julien et al., 2009; Miles et al., 2011). STn has been mostly
94 observed in tumour-associated mucins due to their high number of potential O-
95 glycosylation sites (Clement et al., 2004; Conze et al., 2010; Julien et al., 2006; Marcos
96 et al., 2011; Pinto et al., 2012). However, integrins (Clement et al., 2004) and CD44
97 (Julien et al., 2006), among other proteins, may also carry this posttranslational
98 modification. Overexpression of STn antigen has been detected in breast (Leivonen et
99 al., 2001), esophagus (Ikeda et al., 1993), colon (Itzkowitz et al., 1989), pancreas (Kim
100 et al., 2002), stomach (David et al., 1996; Marcos et al., 2011), endometrium (Inoue et
101 al., 1991), and ovary (Numa et al., 1995) carcinomas, whereas low or no expression was
102 observed in the respective normal tissues. STn overexpression was also reported in
103 several cancer precursor lesions, such as esophageal dysplastic squamous epithelia (Itoh
104 et al., 1996), gastric intestinal metaplasia (Baldus et al., 1998; Ferreira et al., 2006) and
105 colonic moderate dysplasia (Cao et al., 1997).

106 STn is known to influence cell recognition by the immune system (Angata et al.,
107 2007), affect processes as cell cycle, apoptosis, and actin cytoskeleton dynamics,

108 decrease cell–cell aggregation and increase extra-cellular adhesion, migration, invasion
109 (David et al., 1996; Julien et al., 2006; Julien et al., 2005; Pinho et al., 2007) and
110 metastization (Ozaki et al., 2012). In line with these observations, STn positive (STn⁺)
111 cells have been frequently observed at the invasion front of tumours and in peritoneal
112 and pleural effusions in ovarian cancer patients; yet they are less common in metastatic
113 lesions than in primary tumours (Davidson et al., 2000). In gastric carcinomas, STn was
114 correlated with the depth of invasion and metastization (Ikeda et al., 1993), and thus
115 poor prognosis (Terashima et al., 1998). Conversely, STn was not correlated with the
116 depth of invasion in studies concerning colorectal (Itzkowitz et al., 1989; Ogata et al.,
117 1998) and breast cancers (Schmitt et al., 1995). However, some contradicting results
118 have been presented regarding its association with metastasis and decreased survival in
119 these cancers (Julien et al., 2012). Hence, a recent review suggests that the biological
120 role of STn in tumour development may be dependent on each cancer type or sub-
121 type (Julien et al., 2012).

122 Despite these observations, there is little information regarding STn in the
123 context of bladder cancer. Given its clinical relevance and the fact that there are
124 available therapies based on this antigen, we addressed the presence of STn in bladder
125 tumours and the mechanisms underlying its expression.

126

127 **2. Materials and Methods**

128 *2.1. Patient and sampling*

129 Formalin-fixed, paraffin embedded (FFPE) tissues were prospectively collected
130 from 69 patients, mean age of 69 years (age range 45–89), who underwent transurethral
131 resection (TUR) of the bladder tumour in the Portuguese Institute for Oncology of Porto
132 (IPO-Porto, Portugal), between July 2011 and May 2012. Based on urothelial carcinoma

133 grading and staging criteria of the World Health Organization (WHO), three different
134 groups were considered (Table 1), low-grade (LG, n=24) and high-grade HG non
135 muscle-invasive (NMIBC, n=26) and muscle-invasive (MIBC, n=19) bladder cancers.
136 Of HG NMIBC, 21 were papillary tumours and 5 were carcinoma *in situ* (CIS). None of
137 these patients had received prior adjuvant therapy. Six normal urothelium tissues of
138 necropsied male individuals without bladder cancer history, within the same mean of
139 age range, were also included.

140 Additionally, FFPE tissues from 16 radical cystectomy cases including the main
141 lesion in each specimen, responsible for therapeutic decision, the adjacent mucosa,
142 which may or may not include a concomitant tumour, and the ureter representing a
143 distant mucosa, were also studied. Mucosa without visible histopathological alterations
144 was defined as “histologically normal” mucosa.

145 All procedures were performed under the approval of the Ethics Committee of
146 IPO-Porto, after patient’s informed consent. All clinicopathological information was
147 obtained from patients’ clinical records.

148

149 *2.2. Tissue expression of STn and Ki-67*

150 FFPE tissue sections were screened for STn and Ki-67 by
151 immunohistochemistry using the avidin/biotin peroxidase method. Briefly, 3 µm
152 sections were deparaffinised with xylene, rehydrated with graded ethanol series,
153 microwaved for 15 min in boiling citrate buffer (10mM Citric Acid, 0.05% Tween 20,
154 pH 6.0), and exposed to 3% hydrogen peroxide in methanol for 20 min. The expression
155 of STn was then evaluated using anti-STn mouse monoclonal antibody, clone TKH2
156 (Kjeldsen et al., 1988), that identifies both single and clustered STn residues (Ogata et
157 al., 1998), whereas Ki-67 was evaluated using monoclonal mouse anti-human Ki-67

158 antibody, clone MIB-1 (Dako). After blockage with BSA (5% in PBS), the antigens
159 were identified with Vectastain Elite ABC peroxidase kit (Vector Lab) followed by
160 incubation with 3,3-diaminobenzidine tetrahydrochloride (DAB, Dako). Finally, the
161 slides were counterstained with hematoxylin for 1 min. Positive and negative control
162 sections of intestinal metaplasia were tested in parallel. The negative control sections
163 were performed by adding BSA (5% in PBS) devoid of primary antibody. STn⁺ tissues
164 were also treated with a neuraminidase from *Clostridium perfringens* (Sigma-Aldrich)
165 as previously described by Marcos *et al.* (Marcos et al., 2011) in order to remove the
166 sialic acid. The desialyated samples were thereafter screened for STn. The *O*-acetylation
167 of Neu5Ac residues in STn was evaluated after treatment with 100 mM NaOH at room
168 temperature for 30 min as described by Ogata *et al.* (Ogata et al., 1998) prior to
169 immunohistochemistry with antibody TKH2.

170 A semi-quantitative approach was established to score the immunohistochemical
171 labeling based on the intensity of staining and the percentage of cells that stained
172 positively. The STn and Ki-67 expression were assessed double-blindly by two
173 independent observers and validated by an experienced pathologist. Whenever there
174 was a disagreement, the slides were reviewed, and consensus was reached. Tumours
175 were classified as proliferative whenever Ki-67 expression was higher than 18%, as
176 described by Santos *et al.* (Santos et al., 2003).

177

178 2.3. Cell lines culture

179 The human bladder cancer cell line MCR and the transduced variants of MCR
180 (MCRnc and MCRSTn⁺), were grown as described by Videira *et al.* (Videira et al.,
181 2009b).

182

183 2.4. Generation of STn⁺ bladder cancer cells

184 MCR cells were transduced with a retroviral vector generated with the
185 ViraPower™ Lentiviral Expression System (Invitrogen), according to manufacturer's
186 instructions. The whole coding region of human ST6GalNAc.I was PCR amplified and
187 cloned in the pLenti6/V5 Directional TOPO cloning vector which drives the expression
188 of inserted genes through the CMV promoter. A negative control retroviral vector was
189 prepared with an empty plasmid. After transduction with negative control- or
190 ST6GalNAc.I-expressing vectors, MCR cells were selected with 4 $\mu\text{g}.\text{ml}^{-1}$ blasticidin.
191 An additional immunomagnetic enrichment of the STn⁺ cells was performed by using
192 mouse anti-STn (HB-STn1 clone from Dako), followed by the secondary antibody anti-
193 mouse IgG associated to paramagnetic microbeads (Miltenyi Biotec). The stable
194 transduction of the enzyme was confirmed by evaluation of ST6GalNAc.I expression
195 and activity. STn expression was determined by analysis of the mean fluorescence
196 intensity (MFI) \pm SE through flow cytometry analysis using monoclonal antibody
197 TKH2.

198

199 *2.5. Evaluation of STn expression in cell lines*

200 For phenotypic characterization, cells were stained with 1:50 diluted anti-STn
201 TKH2 monoclonal antibody for 16 hours at 4 °C, and 1:100 diluted goat fluorescein
202 isothiocyanate (FITC)-labelled anti-mouse IgG (Dako) for 15 min at 4 °C in the dark
203 and then acquired in a FacsCalibur Flow cytometer (Becton Dickinson). Data were
204 analyzed using the WinMDI v2.9 software (The Scripps Research Institute, San Diego,
205 CA, USA).

206

207 *2.6. Analysis of ST6GalNAc.I expression*

208 RNA extraction from FFPE sections was performed after deparaffinization of
209 the tissue using Absolutely RNA FFPE kit (Agilent technologies) while for cell lines it
210 was used the GenElute Mammalian Total RNA Purification kit and DNAase treatment
211 (Sigma), according to the manufacturer's instructions. The purity of RNA extracts was
212 determined based on the A_{260}/A_{280} ratio. Only ratios between 1.9 and 2.1 were
213 considered further.

214 Approximately 250 to 500 ng of total RNA (1 μ g for cell lines) was converted
215 by reverse transcription into cDNA, using the random-primers-based High Capacity
216 cDNA Archive Kit (Applied Biosystems). The expression levels of ST6GalNAc.I were
217 determined by TaqMan assay (Applied Biosystems), the reference sequences detected
218 by each primer/probe set and the Assay ID provided by the manufacturer were the
219 following: ST6GalNAc1 (NM018414.2/Hs00300842_m1). Real time PCR was
220 performed in a 7500 Fast Real-Time PCR System using the TaqMan Universal PCR
221 Master Mix Fast from Applied Biosystems, as described previously by Videira *et al.*
222 (Videira et al., 2009a; Videira et al., 2007). During the cDNA exponential amplification
223 the product formation was proportional to the fluorescence emission resulting from the
224 TaqMan probe degradation (van der Velden et al., 2003). The ST6GalNAc.I mRNA
225 levels were normalized for the expression of β -actin, which was taken as a suitable
226 endogenous control for bladder cancer cells (Videira et al., 2007). The relative mRNA
227 levels were calculated by adapting the $2^{-\Delta\Delta C_t}$ formula (Livak and Schmittgen, 2001).

228

229 2.7. Evaluation of ST6GalNAc.I activity

230 MCR cell pellets were homogenized in H₂O and the protein concentration was
231 determined using the RC-DC protein quantification kit (BioRad) according to the
232 manufacturer's instructions. Sialyltransferase activity was assayed in whole cell

233 homogenates as previously described by Dall'Olio *et al.* (Dall'Olio et al., 1997) with
234 some modifications. Briefly, the reaction mixture contained 80 mM sodium cacodylate
235 buffer pH 6.5, 0.5% Triton X-100, 6 $\mu\text{g}\cdot\mu\text{l}^{-1}$ of asialo bovine submaxillary mucin
236 (ABSM, prepared by acid desialylation of BSM) as acceptor substrate, 30 μM (1280
237 Bq) of CMP-[^{14}C]Sia (Amersham) and 2 $\mu\text{g}\cdot\mu\text{l}^{-1}$ of homogenate proteins. Endogenous
238 controls were prepared in the absence of acceptor substrate. The enzyme reactions were
239 incubated at 37 °C for 2 hours and the acid insoluble radioactivity was measured as
240 previously described by Dall'Olio *et al.* (Dall'Olio et al., 1997). The incorporation on
241 endogenous substrates was subtracted.

242

243 *2.8. Cell proliferation measurement*

244 To study their proliferative capacity, cells were labelled with CellTrace™ CFSE
245 Cell Proliferation Kit (Invitrogen). The MCR cells were resuspended into medium at
246 final concentration of 1 x 10⁶ cells.ml⁻¹ and incubated with 10 μM CFSE, following the
247 manufacturer's instructions. Subsequently, the CFSE-labelled cells were seeded into 24-
248 well microplates, incubated in a 5% CO₂ incubator at 37 °C and harvested at 24, 48, 72
249 and 96 hours post-culture. Flow cytometry using a FACSCalibur (Becton-Dickinson)
250 was performed and the data collected were analyzed with ModFit LT 3.2 software
251 (Verity Software House, Topsham, ME), allowing to assess the cell proliferation index
252 (PI). The PI represents the average number of cells that were originated from a single
253 cell of the parental generation. The parental generation was set based on the analysis of
254 data obtained from the cells corresponding to the 24 hours of culture.

255

256 *2.9. Analysis of cell motility using a wound-healing assay*

257 Cell motility was tested in a wound-healing migration assay. MCR cells were
258 seed into 12-well microplates and grown to confluency. A scratch was made in the

259 monolayer with a sterile 200 μ l pipette tip. After wounding, the suspended cells and
260 debris were washed away and fresh medium was added. At 0 and 24 hours after
261 wounding, scratched regions were photographed with an inverted microscope equipped
262 with a digital camera.

263

264 *2.10. Invasion Assay*

265 Invasion assays were performed using BD Biocoat Matrigel™ invasion
266 chambers, comprised by an 8- μ m diameter pore size filter coated with a thin layer of
267 matrigel, and placed in a two-compartment system in a 24-well plate. Prior to each
268 experiment, filters were re-hydrated in serum-free DMEM medium for 2 h at 37 °C.
269 After detachment of subconfluent cells with trypsin/EDTA, cells were suspended in
270 culture medium supplemented with 5% inactivated FBS, counted and seeded on the
271 upper side of the matrigel-coated filter at a density of 5×10^4 cells/well. After 24 h at 37
272 °C, filters were fixed in 4% paraformaldehyde and non-invading cells, present on the
273 upper side, were completely removed, to facilitate analysis. Cells that had invaded the
274 underside of the filters were mounted in Vectashield+4',6-diamidino-2-phenylindole
275 (DAPI, Vector Laboratories, CA, USA), and visualized through a Zeiss Axiovert 200M
276 fluorescence microscope (Carl Zeiss, Germany). Invasive cells were scored in at least
277 12 microscopic fields (20x objective) when DAPI-counterstained nuclei passed through
278 the filter pores. Results are presented as means \pm SD for each sample. Invasion levels are
279 expressed as a ratio of the results obtained with the mock-transfected control cell line.

280

281 *2.11. Statistical analysis*

282 Statistical analysis was performed using the Student's T-test for unpaired
283 samples. Differences were considered to be significant when $p < 0.05$. A chi-square test

284 was used to analyse correlations between clinicopathological features and STn and Ki-
285 67 expressions.

286

287 **3. Results**

288 *3.1.1. Expression of STn in bladder tumours*

289 STn expression in bladder tumours was evaluated by immunohistochemistry
290 using mouse monoclonal antibody clone TKH2. As shown in Table 1, STn is not
291 expressed in the healthy urothelium; conversely 46% of the bladder tumours presented
292 cells with STn membrane and cytoplasmic staining (32/69) (Figure 1), demonstrating
293 the tumour-specific nature of this antigen. The removal of sialic acids from the tissue
294 sections with a α -neuraminidase impaired the recognition by TKH2 and confirmed STn
295 expression.

296 STn expression was lower in low-grade (LG) NMIBC (21% STn⁺ tumours;
297 Figure 1A-B) compared to high-grade lesions (HG; 67%), which include papillary
298 tumours (76% STn⁺ tumours; Figure 1C-E), CIS (20% of STn⁺ tumours; Figure 1F),
299 and MIBC (74% STn⁺ tumours; Figure 1G-H). Noteworthy, STn was absent from the
300 majority of CIS (4/5; 80%) and showed an expression comparable to LG tumours.
301 Altogether, these results highlight an association between the STn antigen and high
302 grade NMIBC ($p < 0.002$; Figure 2) as well as with muscle invasive tumours ($p < 0.03$;
303 Figure 2).

304 The *O*-acetylation of sialic acid residues prevents TKH2 from recognizing STn
305 antigens in certain tissues (Ogata et al., 1998). To exclude this possibility in bladder
306 cancer, the slides were chemically de-*O*-acetylated prior to immunohistochemistry. This
307 procedure did not alter STn expression patterns demonstrating that STn antigens were
308 not encrypted by *O*-acetylation.

309

310 *3.1.2. Pattern and extension of STn expression in bladder tumours*

311 The STn antigen presented a focal expression that for the majority of the STn
312 positive cases (26/36) did not exceeded 15% of the tumour section (Table 1).
313 Furthermore, in 25% of the STn positive cases (9/36) the antigen was detected in less
314 than 5% of the tissue (data omitted from Table 1). Higher expression patterns were
315 restricted to HG papillary NMIBC, where 27% of the cases (7/26) presented STn levels
316 between 15% and 45% of the tumour section (Table 1) and locally diffuse staining
317 (Figure 1C,D,G). STn was mainly observed in basal layer cells (75% of STn⁺ cases;
318 Figure 1A,C-E), but it could be also detected throughout the papillae (Figure 1C-E) and
319 cells of the luminal surface (Figure 1F) in cases presenting locally diffuse staining. STn
320 was further observed in cells invading the basal (50% of STn⁺ of HG NMIBC; Figure
321 1C-E,G) and muscle layers (57% of STn⁺ MIBC; Figure 1G,H), suggesting a role in
322 invasion.

323

324 *3.1.3. STn antigen expression in advanced tumours and in the surrounding areas*

325 The STn antigen was also evaluated in a series of radical cystectomy specimens
326 which included the tumour used for therapeutic decision (termed “main tumour” in
327 Figure 3) and the tumour-adjacent mucosa. The ureters were included as distant mucosa
328 (Figure 3). In agreement with the observations from Table 1, STn was detected in 69%
329 (11/16) of all main tumours as well as in their adjacent mucosa (Figure 3),
330 independently of their histological classification. Noteworthy, STn was absent from
331 90% of the distant mucosas of STn positive cases; the only exceptions being a ureter
332 with pre-neoplastic and another with a neoplastic lesions (Figure 3). These results point
333 out that the STn⁺ tumour-adjacent mucosa may display molecular changes similar to

334 those of the main lesions. Thus, this antigen may be useful as a marker of field
335 carcinogenesis in the bladder.

336

337 *3.2 Expression of ST6GalNAc.I in bladder tumours*

338 The presence of STn has been strongly associated with the overexpression of
339 ST6GalNAc.I in several human malignancies. To assess this event in bladder tumours,
340 mRNA levels of *ST6GalNAc.I* gene were analyzed and normalized in relation to β -actin,
341 which proved to be a stable expressed gene in previous studies concerning bladder
342 tumours (Videira et al., 2007). As shown by Figure 4, low gene expression levels were
343 detected in tumours that did not express STn. In addition, the levels of *ST6GalNAc.I*
344 increased with the expression of STn, and were significantly higher in the tumours with
345 STn expression superior to 15%. Figure 4 also shows that this behaviour was similar in
346 LG and HG tumours. However, as a result of higher STn expression, the average
347 ST6GalNAc.I mRNA levels were more elevated in HG (53%) tumours than LG (9%).
348 These observations suggest that overexpression of *ST6GalNAc.I* gene is one of the main
349 events leading to STn expression in bladder tumours.

350

351 *3.3. STn expression and tumour proliferation*

352 As shown above, the expression pattern of STn correlates with HG tumours,
353 known to present elevated proliferation rates (Margulis et al., 2009; Santos et al., 2003).
354 To assess a possible association between STn and proliferation, 24 cases from the initial
355 series of 69 bladder tumours, comprehending 12 LG and 12 HG tumours (7 NMIBC,
356 none of them CIS, and 5 MIBC), were screened for STn and Ki-67 expression. Tumours
357 presenting Ki-67 expression superior to 18% were classified as proliferative. As
358 highlighted by the graphical matrix in Figure 5A, 8% (1/12) LG and 75% (9/12) HG

359 cases showed elevated Ki-67, confirming the higher proliferation of HG tumours
360 ($p < 0.0012$). Similarly, Figure 5A also shows an association between proliferative
361 phenotypes and STn expression ($p < 0.001$). However, in all STn positive cases, the
362 examination of sequential sections revealed that STn antigen expression was mainly
363 seen in areas that did not express Ki-67 (Figure 5A), although some overlap was present
364 in 25% of the cases (3/12; Figure 5B). This indicates that the STn antigen is mostly
365 expressed in non-proliferative areas of the tumour. Nevertheless, the majority of the
366 non-proliferative tumours also did not express STn (12/14), demonstrating an
367 interdependence between both phenomena.

368

369 *3.4. In vitro assessment of the biological significance of STn expression*

370

371 *3.4.1. Development of a high-grade bladder cancer cell line overexpressing STn*

372 To further corroborate the role of ST6GalNac.I in the expression of STn antigen
373 by bladder cancer cells, we induced the overexpression of ST6GalNac.I in a bladder
374 cancer cell line. The MCR bladder cell line, that showed negligible expression of
375 ST6GalNac.I and no STn (data not shown), was transduced with a lentivirus expressing
376 the coding region of the human *ST6GalNac.I* gene. The obtained cell line variant,
377 herein named MCRSTn⁺, showed markedly increased expression of *ST6GalNac.I*
378 mRNA levels (Figure 6A). It also showed significantly higher sialyltransferase activity
379 towards the ABSM, a substrate for the ST6GalNac.I enzyme, when compared with the
380 negative control cell line (MCRnc) transduced with void lentivirus (Figure 6A). The
381 overexpression of STn antigen by MCRSTn⁺ cell line variant was confirmed by flow
382 cytometric analysis (Figure 6B).

383

384 *3.4.2. STn influence on cell proliferation, migration and invasion*

385 STn expression was correlated with tumours with higher proliferative indexes
386 (Figure 5). To assess the influence of STn in proliferation, MCR cells (MCRnc and
387 MCRSTn⁺) were cultured for 48, 72 and 96 hours and then evaluated in relation to their
388 proliferation index. The comparison between the two cell line variants showed that the
389 proliferation index of MCRSTn⁺ cells was generally higher than the index of MCRnc
390 cells, although only statistically different at 72 hours of culture (p<0.05; Figure 7).
391 However, this effect was no longer significant at 96 hours of culture (Figure 7).

392 STn positive cells were observed invading the basal and muscle layers (Figure 1
393 and 2) and in the adjacent mucosa of advanced stage bladder tumours (Figure 5),
394 suggesting a correlation of STn with invasion and migration. Thus, the influence of STn
395 expression in MCR cell invasion was assessed using the Matrigel invasion assay. Our
396 results evidence that MCR cells transduced with ST6GalNAc.I (MCRSTn⁺) are
397 approximately four folds more invasive than bladder cells transduced with the negative
398 control (MCRnc; Figure 8A). The effect of STn expression on cell migration was
399 estimated by a wound-healing assay. Therefore, uniform scratches were made in
400 confluent monolayers of MCRnc and MCRSTn⁺ cell lines and the capability of the cells
401 to migrate and fill the scratches was monitored. As observed in Figure 8A, by 24 hours
402 after wounding, the MCRSTn⁺ cells had almost completely covered the empty space.
403 Conversely, the negative control, MCRnc cells, displayed a large “gap”, thus
404 demonstrating their lower capability to closure the wound. Our results evidence that
405 MCR cells expressing STn present increased invasion and wound repair capacities.

406

407 **4. Discussion**

408 The STn antigen is highly expressed by several human carcinomas and
409 preneoplastic lesions (Julien et al., 2012) and is explored as a tumour marker in
410 serological assays (CA72-4) (Reis et al., 2010).

411 Despite the clinical relevance of STn in human malignancies, scarce information
412 is available about its role in bladder tumours. Over twenty years ago, Langkilde *et al.*
413 (Langkilde et al., 1992) addressed this antigen on series of transitional cell carcinomas
414 (currently classified as high-grade urothelial cell carcinomas according to current WHO
415 guidelines (Babjuk et al., 2012)). Normal mucosal biopsy specimens from patients with
416 non-malignant bladder urologic diseases were included as controls. According to the
417 authors, STn was not expressed by the control group, showed a very restricted pattern of
418 expression in bladder tumours and no association with recurrence and progression.
419 Subsequent *in vitro* studies found that mucins MUC1, MUC2 and MAUB (mucin
420 antigen of the urinary bladder) isolated from bladder cancer cell lines carried STn
421 (Bergeron et al., 1996; Bergeron et al., 1997). However, no evidence of such an
422 expression was found in tumours. Herein, we readdressed this matter and found that the
423 STn antigen was associated with advanced stage bladder tumours. More important, STn
424 was absent in the healthy urothelium, which demonstrates its tumour-associated nature.
425 Since this study was performed on a recent prospective series it is not possible, at this
426 point, to determine correlations with disease outcome. Nevertheless, STn was mainly
427 expressed by HG papillary NMIBC, known for their elevated risk of recurrence and
428 progression to muscle invasive disease and MIBC that encompass an elevated risk of
429 metastization and present decreased overall survival (Babjuk et al., 2012). STn
430 expression was further associated with elevated Ki-67, a proliferation-related molecule
431 and a surrogate biomarker of increased risk to recurrence and progression in bladder
432 tumours (Margulis et al., 2009; Santos et al., 2003). In addition, the majority of non-

433 proliferative tumours did not express STn, which demonstrates that the expression of
434 the antigen is indeed a characteristic of proliferative tumours. Still, STn was mainly
435 detected in non-proliferative areas of the tumours. However, the STn antigen was
436 frequently observed in areas of invasion of the basal and muscle layers, suggesting it
437 may be associated with the process of cell migration and invasion. This reinforces the
438 notion that STn is part of a malignant bladder cancer phenotype, as previously observed
439 for other carcinomas (Clement et al., 2004; Julien et al., 2006; Ohno et al., 2006; Ozaki
440 et al., 2012; Pinho et al., 2007). We also found the STn antigen in tumour-adjacent
441 mucosa, which may be explained by the migration of STn⁺ cells to the tumour
442 surroundings. On the other hand, this may be a consequence of field carcinogenesis
443 previously observed in bladder cancers (Jones et al., 2005; Palmeira et al., 2011).
444 Nevertheless, the STn antigen holds potential as a biomarker of bladder disseminated
445 disease.

446 STn is a product of an incomplete *O*-glycosylation process due to the premature
447 *O*-6 sialylation of the glycoside GalNAc α 1-O-Ser/Thr (Tn antigen) by ST6GalNAc.I
448 (Marcos et al., 2004). In several epithelial tumours STn results from an increased
449 ST6GalNAc.I expression and/or activity (Marcos et al., 2011; Sewell et al., 2006;
450 Vazquez-Martin et al., 2004). Previous studies have reported ST6GalNAc.I expression
451 by the urothelium at the mRNA level (Yamamoto et al., 2003); however we and others
452 (Langkilde et al., 1992) have not detected STn expression in the histologically healthy
453 tissues. These observations suggest either the absence of the antigen or the insufficient
454 sensitivity of the method. ST6GalNAc.I localization in the Golgi apparatus and the
455 competitive action of other glycosyltransferases for the Tn antigen may also favour the
456 extension of the *O*-glycan chain in non-pathological conditions. On the other hand we
457 showed that the levels of STn in bladder tumours were correlated with the expression of

458 ST6GalNAc.I, supporting this as a major molecular mechanism underlying STn
459 biosynthesis in these tumours. Few cases presented STn expression associated with a
460 basal level of ST6GalNAc.I, meaning that other factors may contribute to promote the
461 biosynthesis of STn. A disorganization of secretory organelles (Sewell et al., 2006),
462 somatic mutations in the gene *Cosmc*, encoding a molecular chaperone essential for *O*-
463 chain elongation (Ju et al., 2008), the down-regulation/decreased activity of several
464 other glycosyltransferases and/or the availability of sugar donors for biosynthesis, may
465 also lead to STn overexpression. The integrated study of metabolic pathways,
466 glycosyltransferases expression/activity, intra-cellular ultrastructures and
467 microenvironmental changes may further enlighten the molecular events leading to
468 abnormal *O*-glycosylation of bladder cancer proteins.

469 In addition we have screened HT1376, 5637, T24 and MCR bladder cancer cell
470 lines and found neglectable levels of the STn antigen (data not shown). The same was
471 previously observed in gastric (Ozaki et al., 2012; Pinho et al., 2007) and breast
472 (Clement et al., 2004; Julien et al., 2006; Julien et al., 2005) cancers cell models,
473 demonstrating that tumour cells may lose the ability to express this antigen *in vitro*.
474 Microenvironmental factors may play a determinant role in the induction of STn
475 biosynthesis, yet these events remain unknown. Following the association of STn with
476 invasive cases, we elected the invasive bladder cancer cell line MCR to evaluate the
477 biological role of STn in these tumours. We started by stably transducing the MCR cells
478 with ST6GalNAc.I, which resulted in the overexpression of STn. The expression of STn
479 did not promote a significant enhancement of MCR cell proliferation, which is
480 agreement with observations made for breast (Clement et al., 2004; Julien et al., 2006;
481 Julien et al., 2005) and gastric cancer models (Pinho et al., 2007). These findings
482 associated with the absence of the antigen from most bladder tumours non-proliferative

483 areas strongly suggests that STn expression does not play a direct role in tumour
484 proliferation.

485 On the other hand, STn expression significantly enhanced the migration and
486 invasive capacity of MCR cells, demonstrating that this antigen plays an important role
487 in bladder cancer cell invasion, as suggested by the observation of bladder tumours.
488 Enhanced migration capabilities of STn⁺ cells on components of the extracellular
489 matrix, such as fibronectin and collagen, have been described for other cancer cell lines
490 (Julien et al., 2006; Julien et al., 2005; Pinho et al., 2007), and result, among several
491 factors, from impaired integrin binding (Clement et al., 2004). In addition, STn
492 expression has been shown to increase the invasion potential of tumour cells (Clement
493 et al., 2004; Julien et al., 2006; Ohno et al., 2006; Ozaki et al., 2012; Pinho et al., 2007),
494 supporting a similar role in bladder tumours. Further experiments are however required
495 to clarify the molecular mechanisms underlying promotion of cancer cell invasion and
496 migration. These findings reinforce however that alterations in the glycosylation
497 patterns of cell-surface proteins may strongly interfere with events like cell-cell
498 adhesion, cell-matrix interaction, tumour growth, motility and invasion (Dall'Olio et al.,
499 2012).

500 In resume, our work comprehensively describes the expression of the STn
501 antigen in bladder cancer. Namely, it demonstrates the tumour-specific nature of this
502 type of glycosylation and its association with advanced, highly proliferative tumours,
503 invasion and organ disseminated disease. Thus, the evaluation of STn antigen may add
504 valuable information about the aggressiveness of proliferative tumours, complementing
505 the information given by Ki-67. Studies are ongoing in broader retrospective series to
506 determine the association of STn with disease outcome and corroborate these findings.
507 We are also devoted to the identification of the glycoproteins yielding STn, which is

508 expected to bring insights about the role of this type of glycosylation in bladder
509 carcinogenesis and provide novel therapeutic vectors. The antigen STn may also be
510 monitored noninvasively in urine or serum using as is the case for other human
511 carcinomas using the CA72-4 test (Reis et al., 2010). This could allow decreasing the
512 number of cystectomies in post-surgery follow-ups of patients with high-grade tumours,
513 a particularly critical matter for the elderly that constitute the majority of the cases.

514 Furthermore, the STn antigen is associated to high-grade NMIBC which
515 currently constitutes one of the main therapeutics concerns due to their elevated risk of
516 recurrence/progression (Babjuk et al., 2012). Adjuvant immunotherapy with BCG has
517 allowed to delay recurrence and decrease the risk of progression into muscle invasive
518 disease (Babjuk et al., 2012); still more than half of the patients either recur within two-
519 years after TUR of the tumour or show intolerance to the treatment (Askeland et al.,
520 2012; Yates and Roupret, 2011). Due to the lack of efficient therapies, upon therapeutic
521 failure and/or muscle invasion, the patient is faced with cystectomy (Babjuk et al.,
522 2012).

523 Carbohydrate antigens associated with advanced-stage tumours and malignant
524 phenotypes such as STn, are expressed at the cell surface and, therefore, available for
525 antibody or lectin-mediated recognition (Neutsch et al., 2012). Thus, these antigens may
526 present an opportunity for the introduction of novel therapeutics, such as selective drug-
527 delivery approaches (Neutsch et al., 2012) or carbohydrate-based immunotherapy
528 (Heimburg-Molinaro et al., 2011). An anti-cancer vaccine named Theratope,
529 comprehending a synthetic STn coupled to the immunogenic carrier keyhole limpet
530 haemocyanin has already been developed (Julien et al., 2009; Miles et al., 2011;
531 Sandmaier et al., 1999). Tests in animal models and humans for breast, ovarian, and
532 colorectal cancers have showed that the antigen is safe and produces a strong immune

533 response against these tumours (Julien et al., 2009; Julien et al., 2012; Miles et al.,
534 2011). Even though Theratope failed to improve overall survival of metastatic breast
535 cancer patients in a phase III clinical study, the design of the study disregarded the
536 heterogeneous STn expression between patients (Miles et al., 2011), compromising the
537 outcome (Julien et al., 2012; Zeichner, 2012). Thus, Theratope or other STn-based
538 vaccine designs may constitute valuable therapeutic options for STn positive advanced
539 bladder tumours. However, given the low association of STn with more proliferative
540 areas of the tumour, one is led to speculate that advanced stage bladder cancer patients
541 may better benefit from the combination of anti-STn immunotherapy and anti-
542 proliferative drugs. Furthermore, these approaches may allow targeting disseminated
543 disease in the adjacent and distant mucosa from the main tumour.

544

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555

556 **Table 1.** STn expression in the healthy urothelium and in non-muscle invasive
 557 (NMIBC) and muscle invasive (MIBC) bladder cancers of different clinicopathological
 558 natures.

	Total	STn Expression
Normal Urothelium	6	
-		6 (100%)
+		-
++		-
+++		-
Total STn ⁺		0 (0%)
NMIBC	50	
Low-grade papillary tumours	24	
-		19 (79%)
+		5 (21%)
++		-
+++		-
Total STn ⁺		5 (21%)
High-grade (CIS + papillary tumours)	26	
<i>Carcinoma in situ (CIS)</i>	5	
-		4 (80%)
+		1 (20%)
++		-
+++		-
Total STn ⁺		1 (20%)
<i>high-grade papillary tumours</i>	21	
-		5 (24%)
+		9 (43%)
++		4 (19%)
+++		3 (14%)
Total STn ⁺		16 (76%)
MIBC	19	
-		5 (26%)
+		11 (58%)
++		2 (11%)
+++		1 (5%)
Total STn ⁺		14 (74%)

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-: no reactivity; +: ≤15%; ++: 15-30%; +++: 30%-45% of the tumour

564 **Figure captions**

565

566 **Figure 1. Expression of STn in FFPE bladder tumours.** A) Low-grade papillary
567 tumour showing a predominance of STn⁺ cells in the basal layer; B) Magnification
568 which shows tumour cells with membrane and cytoplasmic STn⁺ staining; C) High-
569 grade papillary tumour evidencing the focal nature of STn expression. Positive cells
570 were found both in the basal layer and throughout the papillae; D) High-grade papillary
571 tumour showing locally extensive STn positivity; E) High-grade papillary tumour
572 evidencing STn⁺ in the basal layer; F) CIS showing STn⁺ in the cells facing the lumen
573 of the bladder; G) MIBC showing locally extensive STn expression including at the
574 muscle invasive front; H) MIBC highlighting STn⁺ cells invading the muscle layer.

575

576 **Figure 2. Association between STn expression and HG NMIBC and MIBC.** The
577 percentage of STn+ tumours was higher in HG when compared to LG and also in MIBC
578 when compared to NMIBC (LG+HG). “*” p=0.03; “***” p=0.002 (Chi-square Test).

579

580 **Figure 3. Expression pattern of STn in radical cystectomy specimens.** Radical
581 cystectomy specimens have been organized based on histological grade. They include
582 the tumour responsible by the therapeutic decision termed “main tumour” (MT), an
583 adjacent (AM) and distant mucosa (DM). The graphical matrix highlights that,
584 whenever STn is expressed by the main tumour (13/16; 63%), it is always present in the
585 adjacent mucosa (13/13, 100%). One preneoplastic and one neoplastic distant mucosa
586 also expressed the antigen.

587

588 **Figure 4. Association between ST6GalNAc.I and STn expression in LG and HG**
589 **bladder tumours.** The graph shows that ST6GalNAc.I expression is increased in STn⁺
590 tumours and increases further for more elevated STn expressions (>15-30% of the
591 tumour section). This suggests that the overexpression of ST6GalNAc.I is one of the
592 main mechanisms underlying the presence of STn in bladder cancers. Furthermore, it
593 shows this event occurs in both LG and HG tumours. “*” p<0.05; “***” p<0.01
594 (Student’s T-test)

595

596 **Figure 5. Expression of STn and Ki-67 in bladder tumours.** A) Graphical Matrix
597 highlighting the association between proliferative tumours (Ki-67>18%) and STn
598 expression in bladder tumours. HG NMIBC and MIBC were considered to be
599 proliferative tumours and a significant association was found between STn expression
600 and tumours presenting proliferation phenotypes (p<0.001; Chi-square Test). The
601 notation “Ki-67/STn” in the column more to the right refers to tumours presenting areas
602 that appear to exhibit cells expressing both Ki-67 and STn. B) Immunohistochemistry
603 for Ki-67 and STn highlighting Ki-67⁺/STn⁺; Ki-67⁺/STn⁻; and Ki-67⁻/STn⁺ areas.

604

605 **Figure 6. ST6GalNAc.I mRNA expression and sialyltransferase activity in bladder**
606 **cancer MCR cell lines.** A) ST6GalNAc.I expression and activity in MCR cell lines.
607 The relative mRNA levels of ST6GalNAc.I (open bars) and sialyltransferase activity
608 towards ABSM (gray bars) were analyzed as described in the Material and Methods
609 section. Both, ST6GalNAc.I mRNA and sialyltransferase activity towards ABSM are
610 negligible in negative control cells and markedly increased upon ST6GalNAc.I
611 transduction. B) Flow cytometry analyses of transduced MCR cells. Both negative
612 control (MCRnc in grey histogram) and ST6GalNAc.I-transduced (MCRSTn⁺ in open

613 histogram) cell lines were stained with the secondary antibody anti-Ig's-FITC following
614 incubation with the primary antibody anti-STn antigen. 90% of the ST6GalNAc.I-
615 transduced cells expressed the STn antigen (MFI = 216). The data are shown as a mean
616 \pm standard deviation of 3 independent studies. “*” $p < 0.05$, “***” $p < 0.01$ (Student's T-
617 test)

618

619 **Figure 7. Comparison between the proliferation capacity of MCRnc and**
620 **MCRSTn⁺ cells.** The transduced MCR cells were labelled with CFSE and cultured for
621 various periods of time (48, 72 and 96 hours). The cells were harvested and analyzed by
622 flow cytometry with Modfit software, allowing the calculation of the proliferation
623 index, which represents the average number of cells that was originated by a single cell
624 of the parent generation. At the various periods of culture, MCRSTn⁺ cells show a
625 higher proliferation index than the negative control, but this difference was only
626 statistically significant at 72 hours of culture. The data are presented as a mean \pm
627 standard deviation of 3 independent studies. “*” $p < 0.05$ (Student's T-test)

628

629 **Figure 8. STn expression promotes MCR cells wound healing closure and invasion.**
630 A) Wound healing closure assay. Uniform scratches were made using a 200 μ L pipette
631 tip in confluent monolayers of MCRSTn⁺ and MCRnc cells. Cells were allowed to heal
632 and the extent of closure was monitored by microscopic analysis. After 24 hours
633 culture, the MCRSTn⁺ cells had almost completely covered the wound, in clear contrast
634 to negative control, MCRnc, where unoccupied space was still observed. B) Invasion
635 assay. MCRSTn⁺ and MCRnc cells were incubated for 24h, in the upper compartment
636 of Matrigel invasion chambers, in complete DMEM medium and in the absence of other
637 chemoattractants. Invasive cells were determined as described in Materials and Methods.

638 The data are presented as a mean \pm standard deviation of 4 independent studies. “*”

639 $p < 0.001$ (Student’s T-test).

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STn⁺ muscle invasive bladder tumour

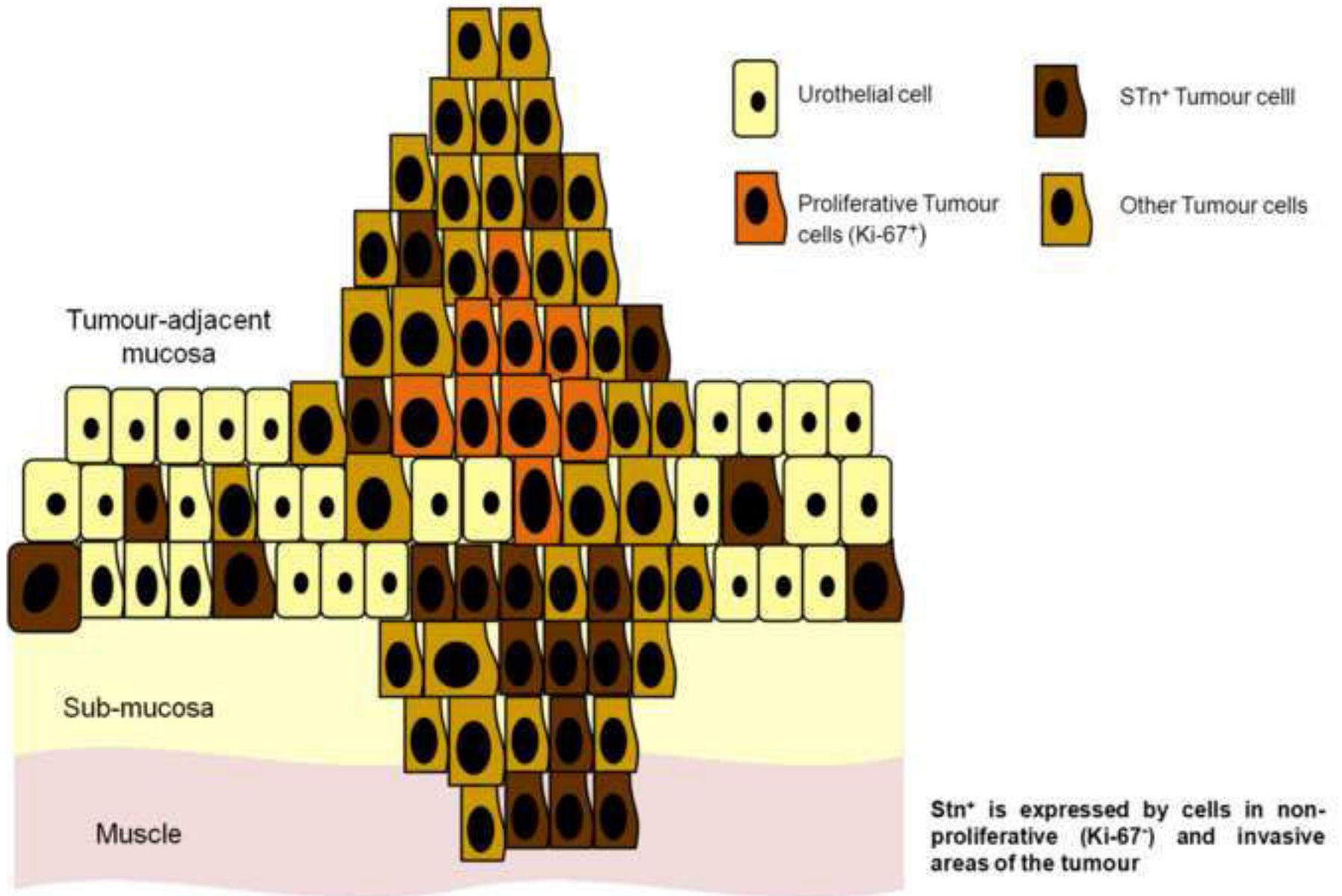


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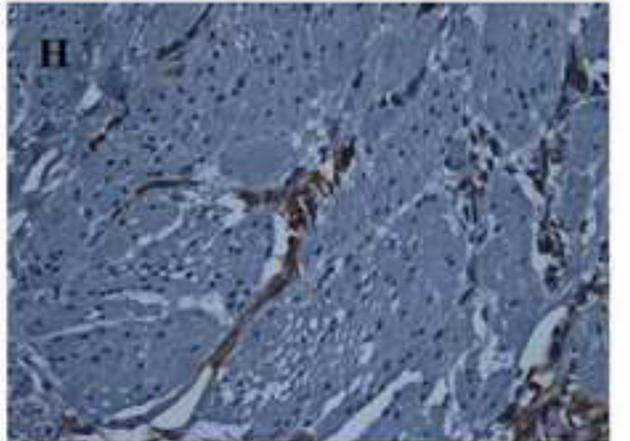
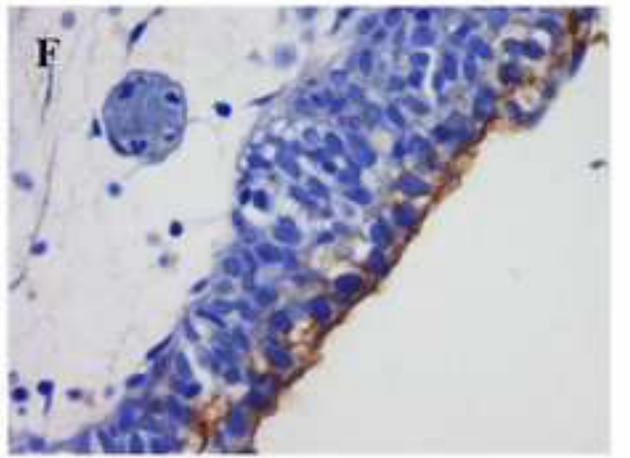
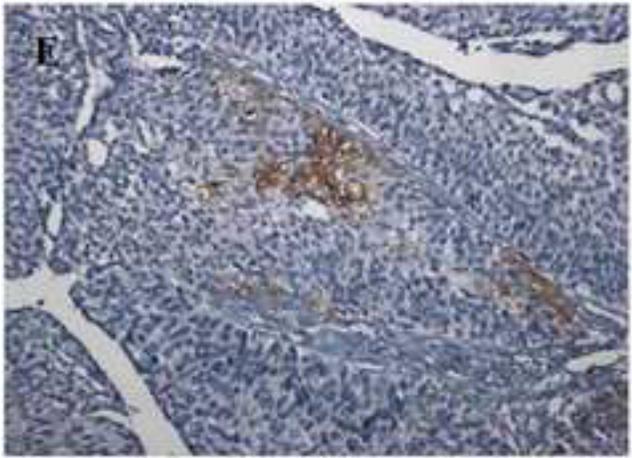
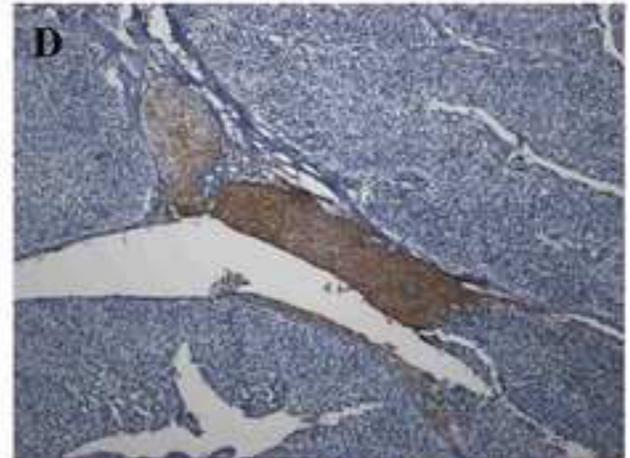
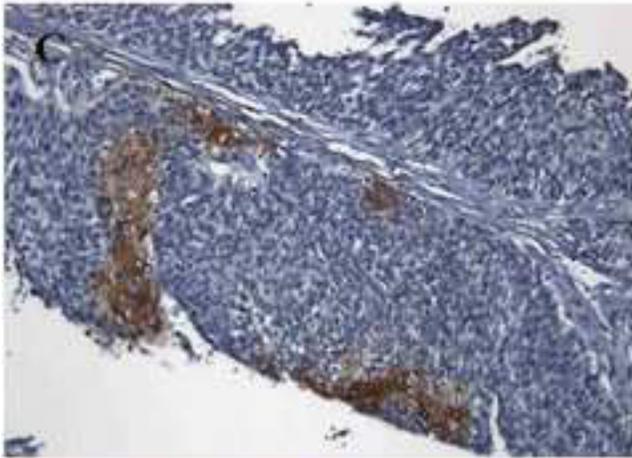
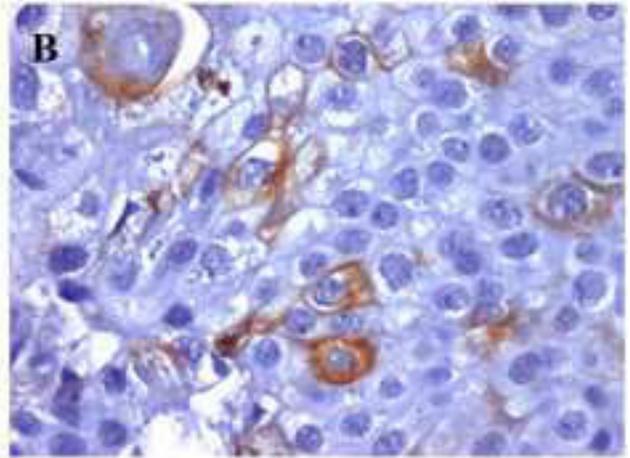
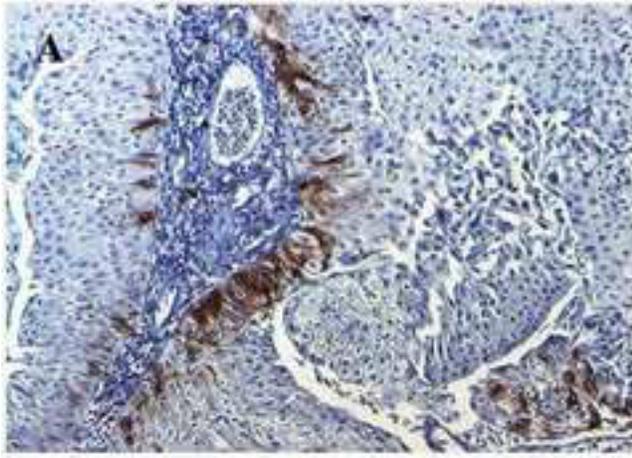


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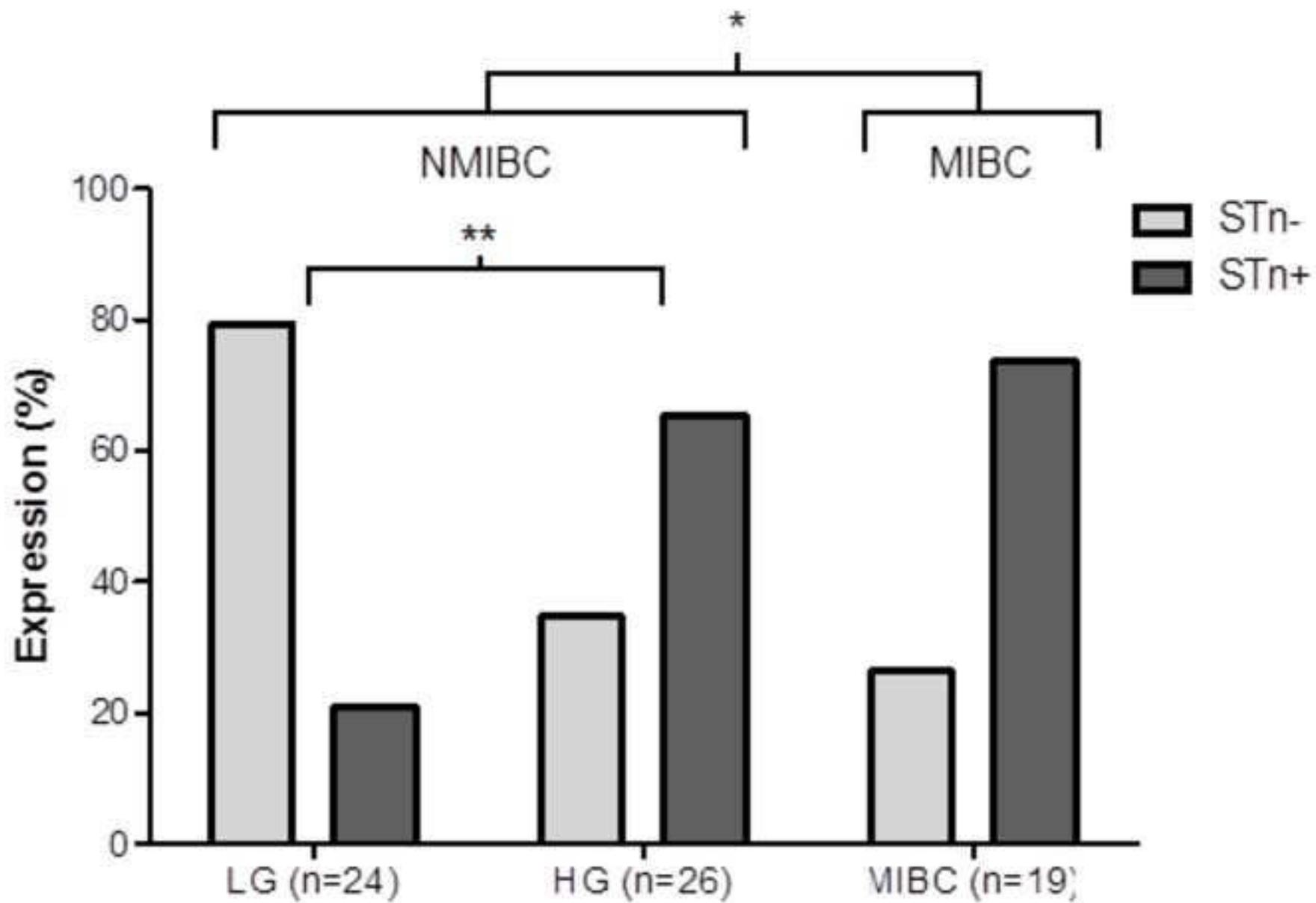


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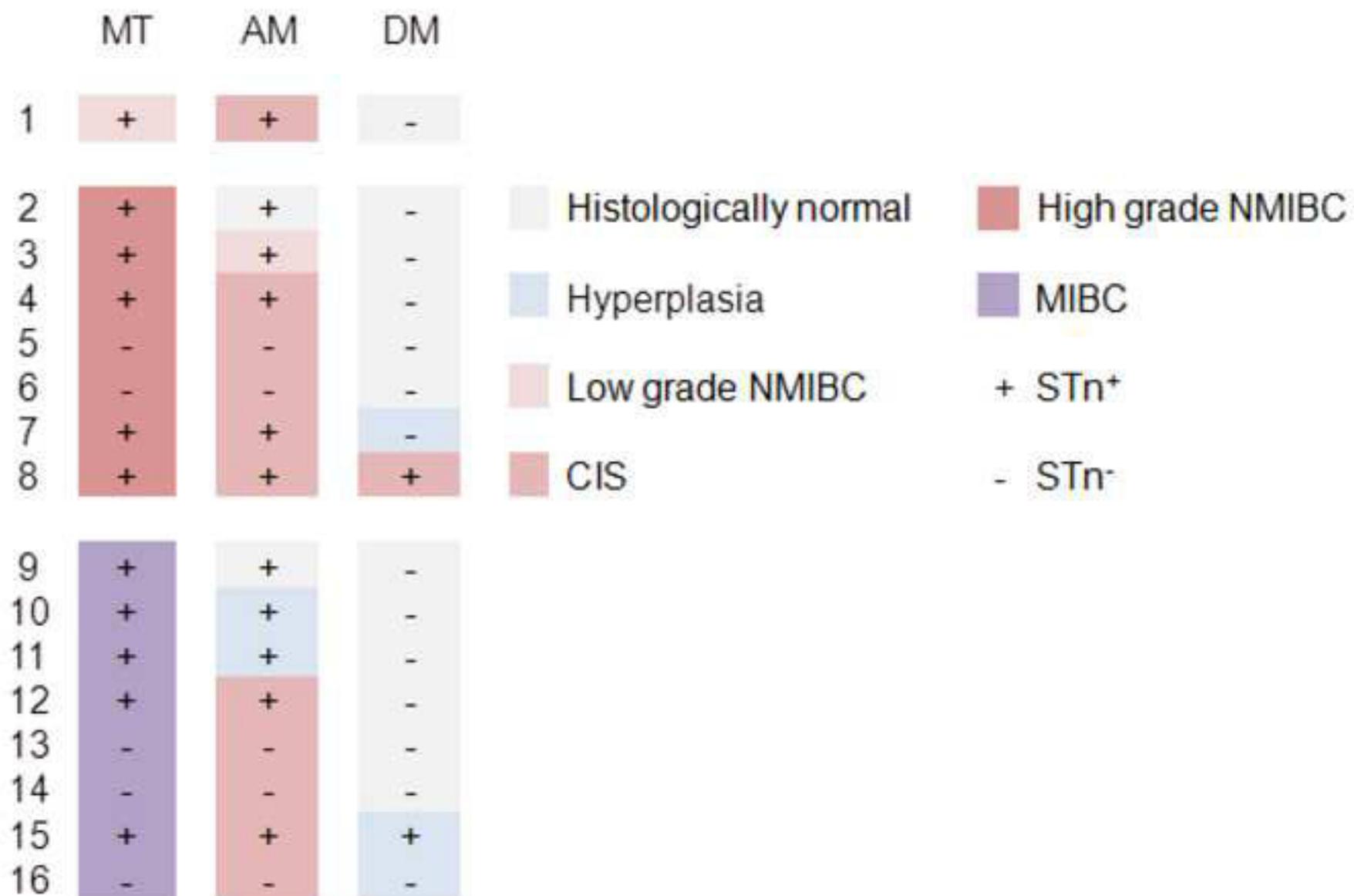
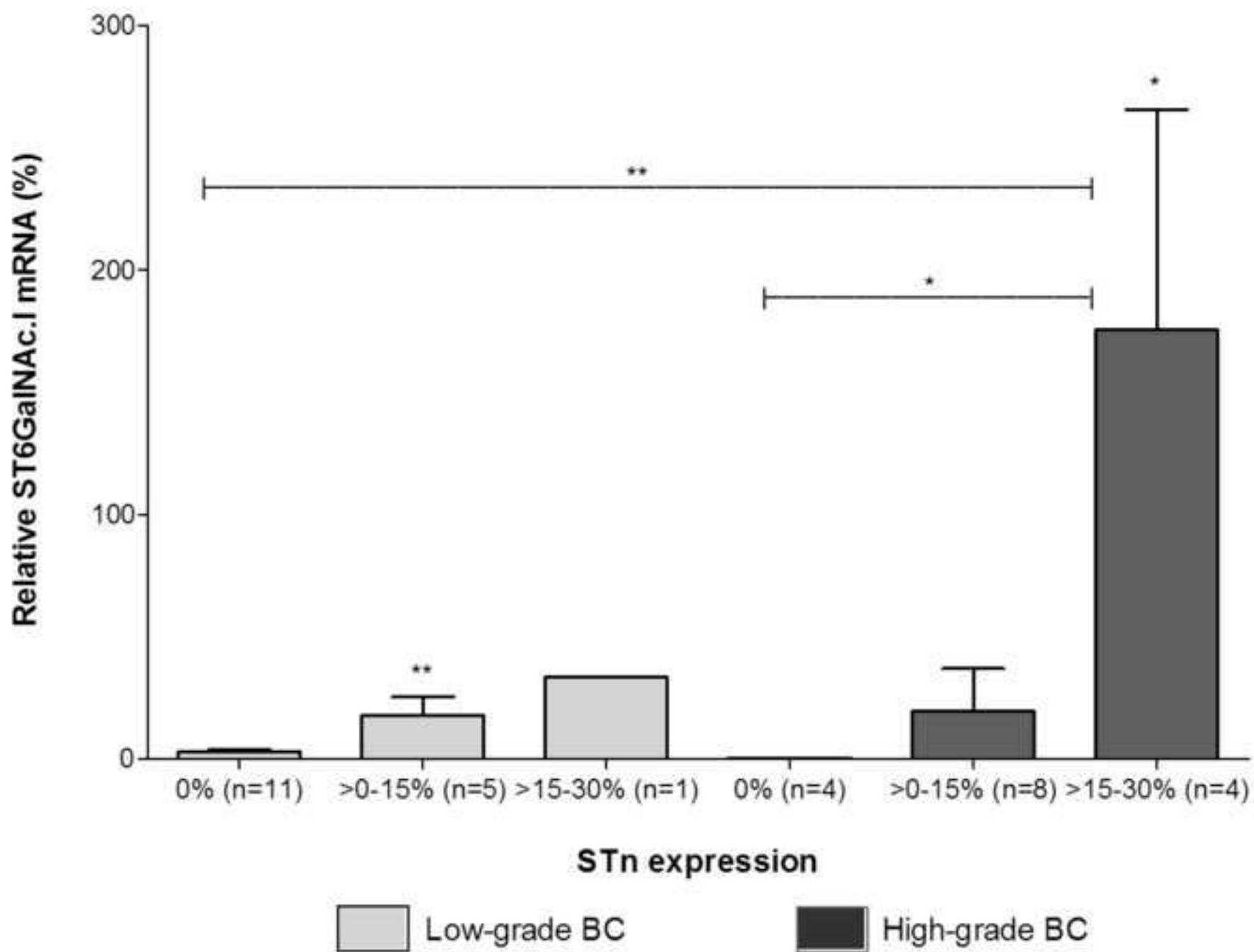
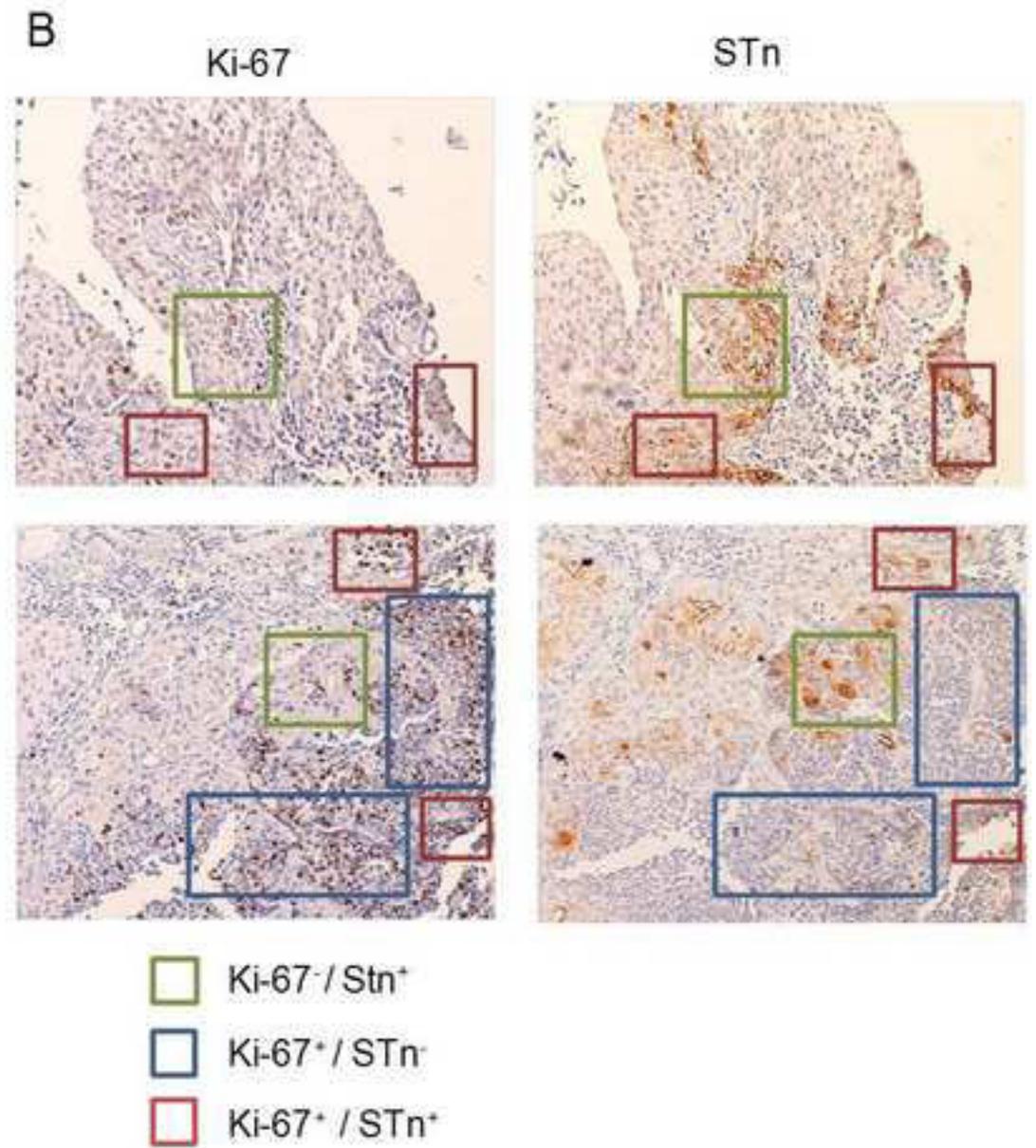
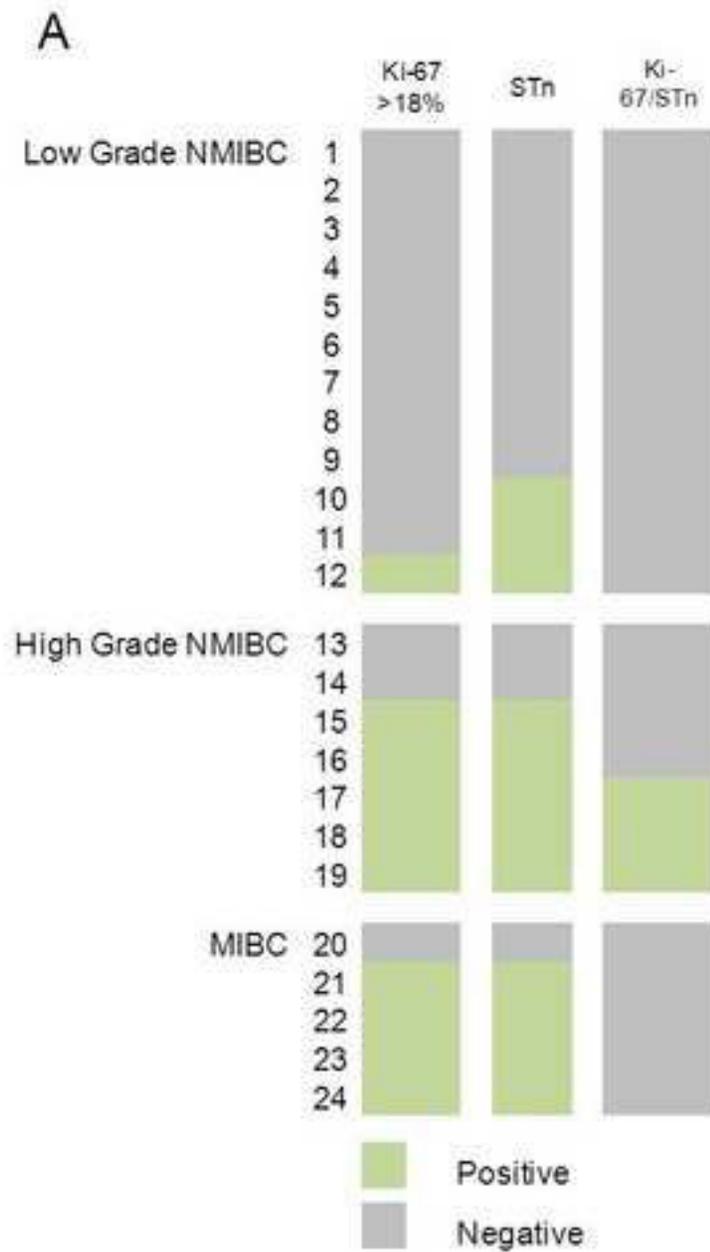


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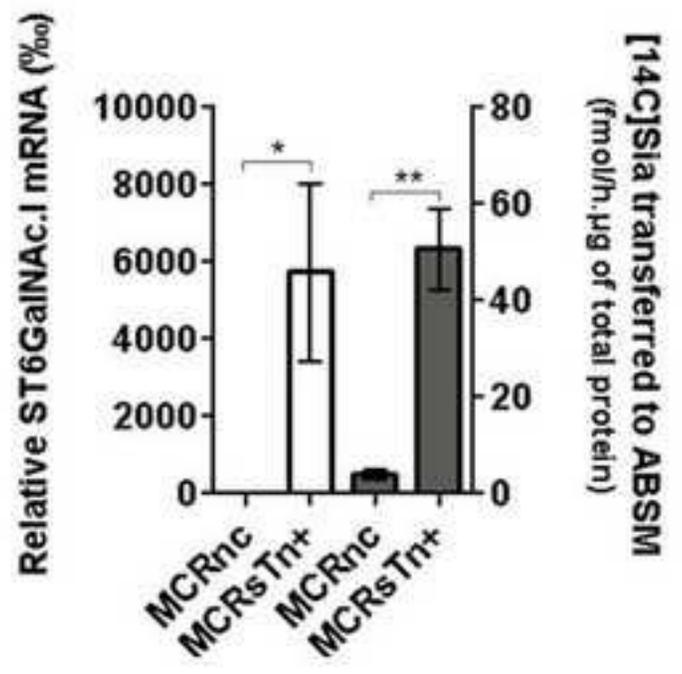


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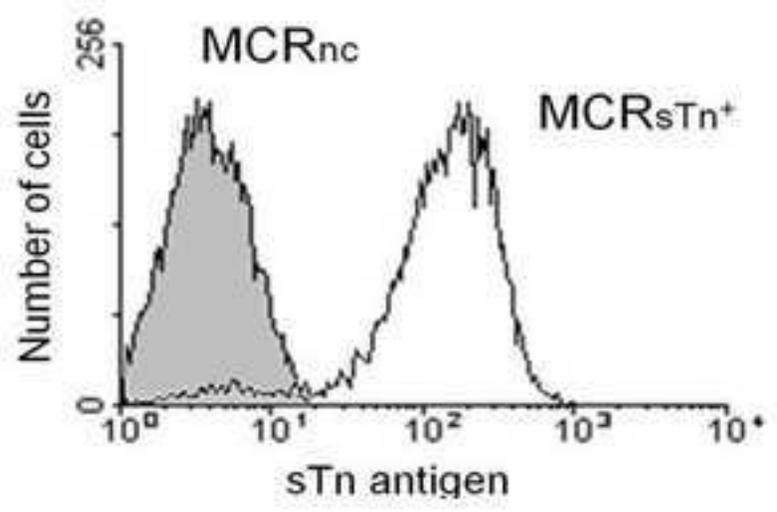


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