Functional Impairment in Mild Alzheimer's Disease

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Abstract.

Background: The temporal relationship of cognitive deficit and functional impairment in Alzheimer's disease (AD) is not well characterized. Recent analyses suggest cognitive decline predicts subsequent functional decline throughout AD progression. **Objective:** To better understand the relationship between cognitive and functional decline in mild AD using autoregressive cross-lagged (ARCL) panel analyses in several clinical trials.

Methods: Data included placebo patients with mild AD pooled from two multicenter, double-blind, Phase 3 solanezumab (EXPEDITION/2) or semagacestat (IDENTITY/2) studies, and from AD patients participating in the Alzheimer's Disease Neuroimaging Initiative (ADNI). Cognitive and functional outcomes were assessed using AD Assessment Scale-Cognitive subscale (ADAS-Cog), AD Cooperative Study-Activities of Daily Living instrumental subscale (ADCS-iADL), or Functional Activities Questionnaire (FAQ), respectively. ARCL panel analyses evaluated relationships between cognitive and functional impairment over time.

Results: In EXPEDITION, ARCL panel analyses demonstrated cognitive scores significantly predicted future functional impairment at 5 of 6 time points, while functional scores predicted subsequent cognitive scores in only 1 of 6 time points. Data from IDENTITY and ADNI programs yielded consistent results whereby cognition predicted subsequent function, but not vice-versa. **Conclusions:** Analyses from three databases indicated cognitive decline precedes and predicts subsequent functional decline in mild AD dementia, consistent with previously proposed hypotheses, and corroborate recent publications using similar methodologies. Cognitive impairment may be used as a predictor of future functional impairment in mild AD dementia and can be considered a critical target for prevention strategies to limit future functional decline in the dementia process.

Keywords: Activities of daily living, Alzheimer's disease, cognition, correlation of data, dementia, function, Phase 3 clinical trials, solanezumab

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INTRODUCTION

Clinical trials of potential treatments for Alzheimer's disease (AD) currently require co-primary endpoints of cognition and function or global clinical status to be assessed independently to satisfy regulatory requirements. Longitudinal studies of persons at risk for AD have demonstrated the initial clinical presentation of AD is one in which there are cognitive deficits measurable with performance-based tests, but with no evident loss of activities of daily living. This initial state is frequently referred to as mild cognitive impairment (MCI) or prodromal AD [1, 2]. The earliest functional deficits, as patients advance to mild dementia, are often difficult to characterize [3]. To enable more informative studies in MCI and mild AD dementia, a better understanding of the relationship between cognitive and functional progression in milder stages of dementia due to AD is needed.

Recent evidence has suggested that AD is a continuum, with the clinical symptoms of overt dementia becoming apparent a decade or more after the biomarker-associated pathophysiological process begins in sporadic AD [4–9] and autosomal dominant AD [10]. Biomarker studies investigating the early stages of neurodegeneration have postulated that, following pathophysiological changes, cognitive impairment occurs first followed by functional impairment [11]. Based on this biomarker evidence of AD pathology, recent academic workgroups have established new diagnostic criteria to span the AD continuum [1, 4, 12, 13].

The early stages of AD, from preclinical to MCI, are defined clinically by the level of cognitive impairment alone [1], as functional deficits are not apparent until later in the disease process. Consequently, demonstrating the benefits of disease-modifying treatments on function in patients in early stages of the disease is inherently difficult. There have been limited publications showing functional effects in mild AD patients. Few studies involving symptomatic drugs approved for mild to severe AD dementia patients temporarily showed improved cognition and stabilization of function with limited or no improvement above baseline in mild AD. One study by Potkin and colleagues (2002) supported the hypothesis that functional impairment differs across the AD continuum, and that treatment with rivastigmine, a cholinesterase inhibitor, produced modest improvement in function across all disease stages; however, the effects were dependent on level of impairment and frequency of functional activity [14]. In mild-moderate AD dementia populations, currently

approved symptomatic treatments including rivastigmine, galantamine, memantine, and donepezil improve cognition and generally may preserve function only over longer periods [15–19].

Auto-regressive cross-lagged (ARCL) panel analysis is a classical structural equation model used to simultaneously analyze multiple outcomes that are measured repeatedly over time. It is designed to assess the strength of potential reciprocal causal relationships between the outcomes and explore inference of influence of one variable over another [20, 21]. Previous studies have used this model to investigate the temporal order and causal relationship between nicotine dependence and average smoking [22] and cognitivebehavioral interventions and chronic pain [23].

While few empirical data have been reported, a recent publication by Zahodne and colleagues (2013) explored the relationship between cognition and function using ARCL panel analysis in two longitudinal studies with non-demented and demented older adults [24]. The two studies included were the population-based Washington Heights/Hamilton Heights Inwood Columbia Aging Project (WHICAP) with non-demented older adults and the clinical trial Predictors Study with patients with probable AD dementia, which measured cognitive and functional abilities for 18 to 24 months and over 6 years, respectively. In these studies, cognitive impairment more consistently predicted subsequent functional impairment in non-demented older adults, a subset who eventually developed dementia, and in patients with prevalent AD. The authors stated these data support the theory that functional impairment may be a direct result of cognitive impairment [24].

The objective of this *post-hoc* analysis was to better understand the temporal relationship between cognitive and functional decline in mild AD dementia. Our previous publication found that cognitive impairment is more evident than functional impairment in mild AD dementia [25]. These results are consistent with the hypothesis that cognitive decline is the core symptom of AD and that functional impairment is primarily caused by and follows cognitive decline in the natural course of the disease progression. This *post-hoc* analysis explores this hypothesis more directly by utilizing ARCL analyses to investigate the potential reciprocal causal-effect between cognitive impairment and functional impairment and compares the relative strength of the two directions.

We hypothesize that the ARCL panel analyses will demonstrate that cognitive decline precedes and predicts functional decline during the natural disease

Patient Demographics in mild AD Dementia				
Category	EXPEDITION Placebo ($n = 663$)	IDENTITY Placebo $(n = 629)$	ADNI (n = 336)	
Age, mean (SD), years	73.3 (7.9)	73.6 (7.9)	75.1 (7.8)	
Female %	54.6	53.7	44.4	
Race, white %	84.2	74.7	92.7	
APOE4 carriers %	59.8	57.1	67.2	
Education, mean (SD), years	12.6 (3.9)	12.5 (3.8)	15.2 (3.0)	
MMSE (baseline), mean (SD)	22.5 (2.8)	22.7 (2.7)	23.2 (2.1)	

 Table 1

 Patient Demographics in mild AD Dementia

EXPEDITION and IDENTITY include mild AD dementia patients treated with placebo. ADNI includes mild AD dementia patients with no investigational treatment. ADNI, Alzheimer's Disease Neuroimaging Initiative; MMSE, Mini Mental State Examination; SD, standard deviation.

progression of mild AD dementia, while the reciprocal direction is not supported.

METHODS

EXPEDITION program

EXPEDITION and EXPEDITION2 were two multicenter, double-blind, Phase 3 studies of solanezumab. Pooled placebo patients with mild AD dementia (Mini-Mental State Examination [MMSE] score 20 to 26, n = 663), were included in this *post-hoc* analysis. Solanezumab, a humanized monoclonal antibody designed to clear soluble amyloid- β (A β) from the brain, was studied as a potential disease-modifying agent for the treatment of AD, and the primary outcomes of the trials (EXPEDITION and EXPE-DITION2) have been reported previously [26]. All patients provided informed consent before participation in the EXPEDITION study program, and the study protocols were approved by ethical review boards. Patient demographics are shown in Table 1.

Cognitive and functional outcome measures were assessed at baseline and at 6 post-baseline time points every 3 months for 18 months in the EXPEDI-TION studies. Cognitive ability was assessed using the 14-item AD Assessment Scale-Cognitive subscale (ADAS-Cog₁₄) with a score range of 0 to 90 (with higher scores indicating greater disability) [27]. Function was measured with the AD Cooperative Study-Activities of Daily Living Scale instrumental subscale (ADCS-iADL), comprised of items 7 through 23 of the ADCS-ADL scale, with scores from 0 to 56 (lower score denoting greater functional loss).

IDENTITY program

A similar clinical trial dataset of pooled placebotreated, mild AD dementia patients (MMSE score 20 to 26) from two multicenter, double-blind, Phase 3 semagacestat studies (IDENTITY and IDENTITY2, n = 629) was also included in the analyses. Semagacestat, a γ -secretase inhibitor, was studied as another putative disease-modifying agent for the treatment of AD. Treatment in both studies was terminated prematurely based on data that showed cognitive worsening in patients treated with semagacestat compared to placebo. Patients were then followed up for seven months to collect additional safety data. The results from the IDENTITY studies have been reported previously [28]. All patients provided informed consent before participation in the IDENTITY study program, and the study protocols were approved by ethical review boards. Additional patient demographics are included in Table 1.

Cognitive and functional outcome measures were the same as those of the EXPEDITION study program and were collected at baseline and at 6 post-baseline time points every 3 months for 18 months.

ADNI

A third dataset included mild AD patients from the AD Neuroimaging Initiative (ADNI) database (MMSE score 20 to 26, n = 336) [29]. Additional patient demographics are included in Table 1. ADNI is a natural history, longitudinal, non-treatment study that was launched in 2003 by the National Institute on Aging (NIA), the National Institute of Biomedical Imaging and Bioengineering (NIBIB), the Food and Drug Administration (FDA), private pharmaceutical companies, and non-profit organizations as a \$60million, 5-year public/private partnership. ADNI is structured like a clinical trial but does not provide an intervention. For up-to-date information, see http://www.adni-info.org.

Cognitive and functional outcome measures in ADNI included time points at baseline and after 6, 12, and 24 months. In the ADNI dataset, cognition was evaluated with the 11-item ADAS-Cognitive subscale (ADAS-Cog₁₁) with score range of 0 to 70 [27, 30] and function was measured with the Functional Activities Questionnaire (FAQ) [31] with scores ranging from 0 to 30 (higher score representing more impairment).

Statistical analyses

Mean scores with standard deviations for each visit on both cognitive and functional measures are shown in Table 2. Spearman rank correlations between the absolute values of cognitive and functional outcome measures at baseline and for each post-baseline visit were determined for each dataset.

ARCL panel analyses were used to evaluate the structural relationships between cognitive and functional impairment based on the longitudinal data over the course of the studies. In the ARCL model, the interrelationship between cognitive performance and functional abilities are evaluated based on the estimates of the autoregressive coefficients from time (t-1) to time (t), β , as well as the cross-lagged regression coefficients from time (t-1) to time (t), γ . The correlation between the two outcome variables, ρ , was also estimated [22] (Fig. 1). Full Information Maximum Likelihood estimation was used to make use of all available data from all patients.

The overall model fit was determined using three standard measures, including the comparative fit index (CFI), root mean square error of approximation (RMSEA), and standardized root mean square residual (SRMR) [21]. A model was considered acceptable with values of RMSEA <0.08, SRMR <0.05, and CFI >0.95 [24]. All analyses were performed using SAS9.2.

Table 2 Cognitive and functional scores at each time point in mild AD dementia

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	Months	Cognition ^a Mean (SD)	Function ^b Mean (SD)
EXPEDITION Placebo	Baseline	29.6 (8.8)	43.9 (9.5)
	3	29.8 (9.6)	42.0 (10.2)
	6	30.9 (10.8)	41.3 (10.7)
	9	30.9 (11.5)	40.5 (10.8)
	12	33.4 (12.1)	39.6 (11.4)
	15	34.0 (13.1)	38.4 (11.9)
	18	35.8 (14.4)	37.1 (12.8)
IDENTITY Placebo	Baseline	30.2 (8.6)	41.6 (10.9)
	3	30.0 (9.2)	41.1 (10.6)
	6	30.9 (10.3)	40.1 (11.4)
	9	30.8 (11.0)	39.7 (11.2)
	12	33.2 (11.9)	39.0 (11.9)
	15	34.1 (12.8)	37.8 (12.3)
	18	34.0 (14.1)	37.7 (12.3)
ADNI	Baseline	19.7 (6.8)	13.2 (7.0)
	6	21.5 (7.9)	15.5 (7.4)
	12	22.8 (8.8)	17.3 (7.2)
	24	27.9 (11.9)	20.3 (7.1)

EXPEDITION and IDENTITY include mild AD dementia patients treated with placebo. ADNI includes mild AD dementia patients with no investigational treatment. ^aCognitive measures included: ADAS-Cog₁₄ for EXPEDITION and IDENTITY study programs; ADAS-Cog₁₁ for ADNI. ^bFunctional measures included: ADCS-iADL for EXPEDITION and IDENTITY study programs; FAQ for ADNI. ADAS-Cog, AD Assessment Scale-Cognitive subscales; ADCS-iADL, AD Cooperative Study-Activities of Daily Living instrumental subscale; ADNI, Alzheimer's Disease Neuroimaging Initiative; FAQ, Functional Activities Questionnaire; SD, standard deviation.

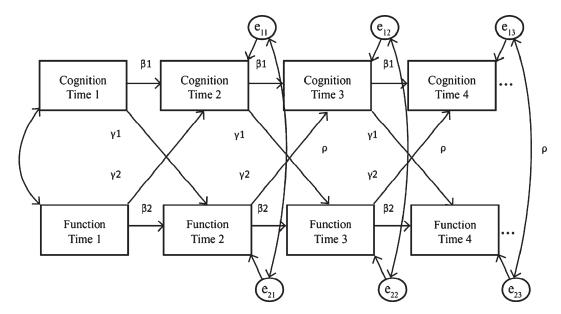


Fig. 1. Path diagram of the autoregressive cross-lagged panel analysis model to assess the interrelationship between cognitive and functional longitudinal data. Autoregressive and cross-lagged coefficients (β , γ) were estimated from time (t-1) to time (t) for cognition and function, and the correlations between the two outcome variables (ρ) was also estimated (modified from Zahodne et al. [24]).

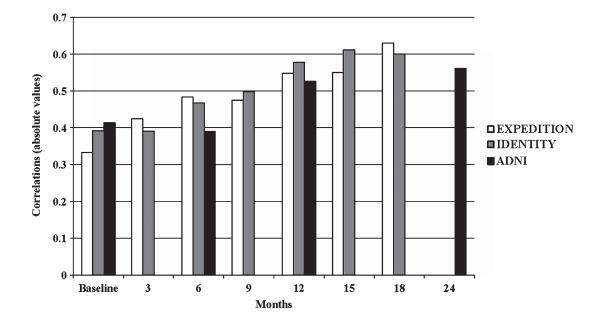


Fig. 2. Correlations between cognitive and functional measures in EXPEDITION, IDENTITY, and ADNI study programs. EXPEDITION and IDENTITY include mild AD dementia patients treated with placebo. ADNI includes mild AD dementia patients with no investigational treatment. All correlations include absolute values for comparisons across the different scales. EXPEDITION and IDENTITY compared ADAS-Cog₁₄ versus ADCS-iADL and ADNI compared ADAS-Cog₁₁ versus FAQ.

RESULTS

EXPEDITION program

In the EXPEDITION study program, the Spearman rank correlation between ADAS-Cog14 and ADCSiADL was 0.333 at baseline and increased to 0.630 after 18 months (Fig. 2). The ARCL panel analysis model fit statistics for the EXPEDITION study program were, RMSEA = 0.04 with a 90% confidence interval of (0.03, 0.05), SRMR = 0.06, and CFI = 0.99 (Table 3). Results demonstrated cognitive impairment significantly predicts future functional impairment in 5 of 6 time points (all time points except 6 month predicting 9 month). Functional scores predicted cognitive outcome in only 1 of 6 time points (6 month predicting 9 month) when the same analyses were performed to test the reverse hypothesis. The magnitude of cross-lagged coefficients was, in general, greater for cognition predicting subsequent function than for function predicting cognition (Table 4). The direction of the cross-lagged regression coefficient indicates that a more impaired cognitive score predicts a more impaired functional score at subsequent visits.

	Table 3
Model fit statistics for ARCL	panel analyses in mild AD dementia

	RMSEA	RMSEA 90% CI	SRMR	CFI
EXPEDITION	0.04	(0.03, 0.05)	0.06	0.99
Placebo IDENTITY Placebo	0.07	(0.06, 0.09)	0.05	0.98
ADNI	0.08	(0.03, 0.12)	0.02	0.99

EXPEDITION and IDENTITY include mild AD dementia patients treated with placebo. ADNI includes mild AD dementia patients with no investigational treatment. All regression coefficients are standardized. ADNI, Alzheimer's Disease Neuroimaging Initiative; ARCL, autoregressive cross-lagged; CFI, comparative fit index; CI, confidence interval; RMSEA, root mean square error of approximation; SRMR, Standardized root mean square residual.

IDENTITY program

In the IDENTITY study program, the Spearman rank correlation between ADAS- Cog_{14} and ADCS-iADL was 0.392 at baseline and increased to 0.600 at 18 months (Fig. 2).

The model fit statistics were RMSEA = 0.07 with a 90% confidence interval of (0.06, 0.09), SRMR = 0.05, and CFI = 0.98 for the IDENTITY study program (Table 3). The cross-lagged regression coefficients were significant for cognition predicting function for

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Regression effect	Cognition on function estimate (SE)	Function on cognition estimate (SE)
Baseline predicting 3 Month	-0.06 (0.03)*	-0.03 (0.03)
3 Month predicting 6 Month	-0.09 (0.03)**	0.03 (0.03)
6 Month predicting 9 Month	-0.04 (0.03)	0.06 (0.03)*
9 Month predicting 12 Month	-0.06 (0.03)*	-0.04 (0.03)
12 Month predicting 15 Month	-0.06 (0.03)*	0.05 (0.03)
15 Month predicting 18 Month	-0.11 (0.02)***	-0.01 (0.02)
Baseline predicting 3 Month	-0.07(0.04)	0.09 (0.06)
3 Month predicting 6 Month	-0.09 (0.04)*	-0.03(0.05)
6 Month predicting 9 Month	-0.09 (0.04)*	0.01 (0.4)
9 Month predicting 12 Month	-0.13 (0.04)**	0.03 (0.04)
12 Month predicting 15 Month	-0.07(0.04)	-0.02(0.04)
15 Month predicting 18 Month	-0.03(0.04)	0.04 (0.04)
Baseline predicting 6 Month	-0.01 (0.07)	0.05 (0.08)
6 Month predicting 12 Month	0.09 (0.05)	0.08 (0.07)
12 Month predicting 24 Month	0.19 (0.06)***	-0.06 (0.06)
	Baseline predicting 3 Month 3 Month predicting 6 Month 6 Month predicting 9 Month 9 Month predicting 12 Month 12 Month predicting 15 Month 15 Month predicting 18 Month Baseline predicting 3 Month 3 Month predicting 6 Month 6 Month predicting 9 Month 9 Month predicting 12 Month 12 Month predicting 15 Month 15 Month predicting 18 Month Baseline predicting 18 Month Baseline predicting 6 Month 6 Month predicting 12 Month	Baseline predicting 3 Month $-0.06 (0.03)^*$ 3 Month predicting 6 Month $-0.09 (0.03)^{**}$ 6 Month predicting 9 Month $-0.04 (0.03)$ 9 Month predicting 12 Month $-0.06 (0.03)^*$ 12 Month predicting 12 Month $-0.06 (0.03)^*$ 15 Month predicting 15 Month $-0.06 (0.03)^*$ 15 Month predicting 15 Month $-0.06 (0.03)^*$ 15 Month predicting 18 Month $-0.11 (0.02)^{***}$ Baseline predicting 6 Month $-0.09 (0.04)^*$ 6 Month predicting 9 Month $-0.09 (0.04)^*$ 9 Month predicting 12 Month $-0.13 (0.04)^{**}$ 12 Month predicting 15 Month $-0.07 (0.04)$ 15 Month predicting 15 Month $-0.03 (0.04)^{**}$ 9 Month predicting 15 Month $-0.03 (0.04)$ 15 Month predicting 18 Month $-0.03 (0.04)$ Baseline predicting 6 Month $-0.01 (0.07)$ 6 Month predicting 12 Month $-0.03 (0.04)$

 Table 4

 ARCL panel analysis results for the 3 patient cohorts with mild AD dementia

*p < 0.05, **p < 0.01, ***p < 0.001. EXPEDITION and IDENTITY include mild AD dementia patients treated with placebo. ADNI includes mild AD dementia patients with no investigational treatment. All regression coefficients are standardized. Cognitive measures included: ADAS-Cog₁₄ for EXPEDITION and IDENTITY study programs; ADAS-Cog₁₁ for ADNI. Functional measures included: ADCS-iADL for EXPEDITION and IDENTITY study programs; FAQ for ADNI. Note: significant coefficients are positive for only the ADNI dataset due to the difference in directionality of the functional measures (higher ADCS-iADL and lower FAQ scores reflect more impairment). ADAS-Cog, AD Assessment Scale-Cognitive subscales; ADCS-iADL, AD Cooperative Study-Activities of Daily Living instrumental subscale; ADNI, Alzheimer's Disease Neuroimaging Initiative; ARCL, autoregressive cross-lagged; FAQ, Functional Activities Questionnaire; SE, standard eror.

3 of 6 time points (3 month predicting 6 month, 6 month predicting 9 month, and 9 month predicting 12 month), but not at any time points for function predicting cognition (Table 4). Similar to the EXPE-DITION study program results, in general, there was a stronger cross-lagged coefficient for cognition predicting subsequent function than vice versa (Table 4). Also analogous to the EXPEDITION results, the direction of the cross-lagged regression coefficient indicates that a more impaired cognitive score predicts a more impaired functional score at the subsequent visit.

ADNI

In the ADNI dataset, the Spearman rank correlation between ADAS- Cog_{11} and FAQ was 0.414 at baseline. By the end of the 24-month study, the correlation increased to 0.561 (Fig. 2).

The model fit statistics for ADNI were RMSEA = 0.08 with a 90% confidence interval of (0.03, 0.12), SRMR = 0.02 and CFI = 0.99 (Table 3). The cross-lagged regression coefficients based on ADNI data were significant for cognition predicting function at one time point (12 month predicting 24 month), but not at any of the time points for function predicting cognition (Table 4). The direction of the cross-lagged coefficients was opposite from those for the EXPEDITION and IDENTITY programs, because higher scores on the FAQ indicate worsened function

for the ADCS-ADL. Therefore, the ADNI data, like the EXPEDITION and IDENTITY data, indicates that a more impaired cognitive score predicts a more impaired functional score at the subsequent visit.

Similar results were observed in all three databases when only completers were included in the crosslagged analyses.

DISCUSSION

This post-hoc analysis evaluated the temporal relationship between cognitive and functional impairment during natural disease progression without investigational treatment interventions in mild AD patients using auto-regressive cross-lagged panel analyses. Results from three independent datasets (EXPEDI-TION, IDENTITY, and ADNI) demonstrated that cognitive impairment preceded and predicted subsequent functional decline in mild AD dementia, but functional impairment did not predict future cognitive decline. These data are consistent with a causal effect of cognition on function. In particular, the data showed that a diminished cognitive ability is associated with a worse functional outcome at the subsequent visit, suggesting that cognitive decline will be followed by future functional decline.

Previous studies have investigated the relationship between clinical symptoms across the AD continuum and the underlying pathology of the disease and have suggested that signs of dementia might not occur until decades after the pathophysiological process begins [4–10]. Biomarker studies suggest that amyloid pathology may occur first followed by neurodegeneration and cognitive symptoms [11, 32]. Additionally, recent consensus statements postulate that targeting patients with earlier forms of the illness provide the best chance of slowing progression of the disease [10, 33–35]. Taken together, the findings from this *post-hoc* analysis support the concept that in patients with mild AD dementia, cognitive decline occurs early in the clinical manifestation on the AD continuum and, if effective, disease-modifying treatments may show effects on cognition prior to consequential effects on function.

The results from this *post-hoc* analysis corroborate the findings from a recent publication using similar methodologies [24]. Zahodne and colleagues applied ARCL panel analysis in two longitudinal studies, the WHICAP and the Predictors Study, which included non-demented older adults and mildly demented AD patients, respectively. They found that cognitive decline preceded and predicted subsequent functional decline both prior to and after dementia onset. Others have also reported that cognitive tests can predict future impairment on activities of daily living before onset of dementia [36-38] and some studies have even suggested functional changes can predict conversion from MCI to AD [39-41]. These studies have shown substantial variability in rates of conversion, consistent with the difficulty in identifying subtle functional changes. To our knowledge, there have not been other empirical data exploring the temporal relationship between cognition and function in patients with mild AD dementia.

Collectively, the conclusion that cognitive impairment precedes and predicts functional impairment is evidenced by replications across multiple independent datasets. This includes three clinical trial cohorts of mild AD dementia patients in this post-hoc analysis and two cohorts (one population-based and one clinical trial-based) in Zahodne et al. [24]. The validity is further strengthened by consistent results across different stages of the AD disease continuum as observed in previous and current studies (2013) (both older adults without dementia and mild AD patients). Additionally, although the coefficients in the ADNI and EXPE-DITION/IDENTITY study programs are in opposite directions due to differences in scoring convention (with the higher score of FAQ and lower score of ADCS-ADL reflecting greater impairment), the magnitude and interpretation of the results are similar. These consistent findings are achieved despite the fact that different instruments and scales for cognition and function are used in the analyses, suggesting our findings are independent to scale selection.

As pointed out by other authors [21], the ARCL model is most useful in evaluating the temporal ordering of two outcomes and in supporting potential causal-effect directions in the relationships of the outcomes. ARCL is utilized in this research to investigate whether cognitive impairment precedes and predicts subsequent functional impairment, or vice versa. It is not the objective of this research to model precise quantitative measures of the trajectory of disease progression in AD dementia, which is a complex undertaking. Future research is needed to comprehensively characterize this quantitative relationship between cognition and function using more sensitive measures and additional representative models of disease progression.

While the ARCL panel analyses demonstrated that cognitive impairment predicts functional impairment in the natural course of the disease, an additional statistical approach has been used to investigate the influence of cognitive treatment effect on functional treatment effect. In a previous study, path analysis was used to assess the relationship between the treatment effect of solanezumab on the slowing of cognitive and functional decline using pooled data from patients with mild AD who participated in the EXPEDITION and EXPEDITION2 studies [25]. In that study, path analyses determined that the treatment effect on function was primarily driven by the direct treatment effect on cognition. Combined with the ARCL panel analyses, these findings support the idea that slowing cognitive impairment can be considered an intermediate step to slowing functional impairment in mild AD dementia.

There are limitations of these post-hoc analyses. Although the results were consistent across various clinical measures commonly used in AD clinical trials, the findings are based on existing cognitive and functional scales. More sensitive clinical diagnostic tools might reveal subtle changes in earlier disease stages, including mild AD dementia. For example, while ADAS-Cog and ADCS-iADL showed a good correlation based on current data, a cognitive scale that is more sensitive to executive function may establish an even stronger relationship and greater magnitude of association with activities of daily living [42, 43]. An optimized functional measure designed to assess very mild functional change and with culturally neutral elements, might be more applicable to a mildly affected patient population such as the ones studied here and may allow detection of greater magnitude of impact of cognitive impairment on functional impairment. Additionally, the power of the models may have been limited due to a smaller sample size of the ADNI dataset and the early termination of the IDENTITY program, leading to the reduced availability of data, especially toward the later time points of the study. Lastly, the analysis is limited to patients with mild AD dementia and there may be different cognitive-functional relationships in later, more severe disease states.

The early stages of symptomatic AD are primarily defined by cognitive impairment that may have clinical implications for patients even before functional impairment is measurable (e.g., patients with prodromal AD or MCI). Our analyses support the causal effect of cognitive impairment on subsequent functional impairment for patients with mild AD dementia. Disease-modifying treatments designed to disrupt underlying AD pathophysiology early in the disease process might be expected to slow the progression of cognitive symptoms, while effects on function may take longer to observe. If cognitive impairment precedes and predicts functional impairment in the natural course of AD, cognition may be used as an indicator of future functional outcomes and should be considered a critical target for prevention strategies to limit future functional decline. Taken together, understanding the temporal relationship between cognition and function has real world implications and may lead to more effective and efficient strategies for drug development in early AD. Further, it may enable appropriate expectations for outcomes with putative disease-modifying therapies studied in earlier stages of AD.

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