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**Title**

A Genome-wide Association Study of Emphysema and Airway Quantitative Imaging Phenotypes

Michael H. Cho<sup>1,2</sup>, Peter J. Castaldi<sup>1</sup>, Craig P. Hersh<sup>1,2</sup>, Brian D. Hobbs<sup>1,2</sup>, R. Graham Barr<sup>4</sup>, Ruth Tal-Singer<sup>5</sup>, Per Bakke<sup>6</sup>, Amund Gulsvik<sup>6</sup>, Raúl San José Estépar<sup>3</sup>, Edwin Van Beek<sup>7</sup>, Harvey O. Coxson<sup>8</sup>, David A. Lynch<sup>9</sup>, George R. Washko<sup>2</sup>, Nan M. Laird<sup>10</sup>, James D. Crapo<sup>9</sup>, Terri H. Beaty<sup>11</sup>, Edwin K. Silverman<sup>1,2</sup>, on behalf of the NETT Genetics, ECLIPSE, and COPDGene Investigators

<sup>1</sup>Channing Division of Network Medicine, <sup>2</sup>Division of Pulmonary and Critical Care Medicine, and <sup>3</sup>Laboratory of Mathematics in Imaging, Department of Radiology, Brigham and Women's Hospital, Boston, MA; <sup>4</sup>Department of Medicine, College of Physicians and Surgeons, and Department of Epidemiology, Mailman School of Public Health, Columbia University, New York, New York, <sup>5</sup>GlaxoSmithKline Research and Development, King Of Prussia, PA, <sup>6</sup>Department of Clinical Science, University of Bergen, Norway, <sup>7</sup>Queens Medical Research Institute, University of Edinburgh, Department of Radiology and Department of Biomedical Engineering, University of Iowa; <sup>8</sup>Department of Radiology, University of British Columbia, Vancouver, Canada <sup>9</sup>National Jewish Health, Denver, CO; <sup>10</sup>Harvard School of Public Health, Boston, MA; <sup>11</sup>Johns Hopkins University Bloomberg School of Public Health, Baltimore, MD.

**Corresponding author**

Michael H. Cho ([remhc@channing.harvard.edu](mailto:remhc@channing.harvard.edu)), tel: 617-525-0897, fax: 888-487-1078.

**Author Contributions:** Study design: MHC, CPH, RGB, RTS, PB, AG, HOC, DAL, GRW, NL, JDC, THB, EKS. Phenotype acquisition and quality control: MHC, PJC, CPH, RGB, RTS, PB, AG, HOC, DAL, GRW, JDC, EKS. Genotype data acquisition and quality control: MHC, PJC, THB, EKS. Data analysis: MHC, PJC, BDH, NML, THB, EKS. Critical revision of the manuscript: all authors

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### **At a Glance Commentary**

#### Scientific Knowledge on the Subject

Chronic obstructive pulmonary disease is a complex and heterogeneous disease. Quantitative image analysis of chest CT scans can characterize this heterogeneity. Recent studies have identified genetic variants that increase susceptibility to emphysema or airway wall thickening, but have not examined both measurements in large populations of subjects with disease.

#### What This Study Adds to the Field

Our study confirms previously described associations and additionally identifies new genome-wide significant associations with emphysema near *SERPINA10* and *DLC1*. We also show that many loci previously identified in population-based studies of lung function are associated with emphysema or airway phenotypes. Genome-wide analysis of quantitative imaging may identify novel risk factors for COPD phenotypes, and also identify imaging features associated with previously identified genetic loci.

1 **Abstract**

2 **Rationale:** Chronic obstructive pulmonary disease (COPD) is defined by the presence of airflow  
3 limitation on spirometry, yet COPD subjects can have marked differences in CT imaging.

4 These differences may be driven by genetic factors. We hypothesized that a genome-wide  
5 association study of quantitative imaging would identify loci not previously identified in  
6 analyses of COPD or spirometry. In addition, we sought to determine whether previously  
7 described genome-wide significant COPD and spirometric loci were associated with emphysema  
8 or airway phenotypes.

9 **Objective:** To identify genetic determinants of quantitative imaging phenotypes.

10 **Methods:** We performed a genome-wide association study on two quantitative emphysema and  
11 two quantitative airway imaging phenotypes in the COPDGene (non-Hispanic white and  
12 African-American), ECLIPSE, NETT, and GenKOLS studies; and on % gas trapping in  
13 COPDGene. We also examined specific loci reported as genome-wide significant for  
14 spirometric phenotypes related to airflow limitation or COPD.

15 **Results:** The total sample size across all cohorts was 12,031, of which 9,338 were from  
16 COPDGene. We identified five loci associated with emphysema-related phenotypes, one with  
17 airway-related phenotypes, and two with gas trapping. These loci included previously reported  
18 associations, including the *HHIP*, 15q25, and *AGER* loci, as well as novel associations near  
19 *SERPINA10* and *DLC1*. All previously reported COPD and a significant number of spirometric  
20 GWAS loci were at least nominally ( $P < 0.05$ ) associated with either emphysema or airway  
21 phenotypes.

22 **Conclusions:** Genome-wide analysis may identify novel risk factors for quantitative imaging  
23 characteristics in COPD, and also identify imaging features associated with previously identified  
24 lung function loci.

## 25 Introduction

26 Chronic obstructive pulmonary disease (COPD) is a highly prevalent and morbid disease,  
27 defined by a simple measurement - the presence of irreversible airflow limitation on spirometry.  
28 Despite this simple clinical definition, COPD is a complex and heterogeneous disease with  
29 marked differences in the presence of key components that contribute to airflow obstruction in  
30 COPD – emphysema and airways disease (1). With the advent of standardized quantitative  
31 measurements, chest CT scans have become the prevalent method of characterizing lung  
32 parenchyma and airways in COPD(2).

33 Over the past several years, advances in image generation and analysis have led to studies  
34 demonstrating clinical and pathophysiologic relevance of these imaging measures. These  
35 include associations with spirometry(3, 4), respiratory symptoms(5), susceptibility to  
36 osteoporosis(6) and lung cancer(7), exacerbations(8), and lung function decline(9, 10).

37 The development of COPD is strongly influenced by genetic factors(11). Genetic  
38 variation is also an important determinant of emphysema and airway disease. Emphysema or  
39 airway imaging characteristics appear to be separately heritable(12, 13). Obstruction on  
40 pulmonary function can be seen in diseases predominantly involving the airway (in cystic  
41 fibrosis), or in those that involve the parenchyma through emphysema (alpha-1 antitrypsin  
42 deficiency and cutis laxa)(14). Previous genome-wide studies have identified variants associated  
43 with emphysema(15–17) or airway disease(18), though generally in smaller sample sizes or  
44 predominantly population-based subjects.

45 We hypothesized quantitative imaging reflects component disease processes leading to  
46 airflow obstruction in COPD, and could have genetic determinants not discovered by analyses

47 using lung function alone. To address this hypothesis, we performed a genome-wide association  
48 study of quantitative emphysema and airway phenotypes in current and former cigarette smokers  
49 with and without COPD. We additionally hypothesized genetic loci associated with spirometry  
50 related to airflow obstruction in general population samples or with COPD affection status would  
51 demonstrate an association with imaging phenotypes. Some of these results have been  
52 previously presented as an abstract(19).

### 53 **Methods**

54 Imaging measurements were available in COPDGene (NCT00608764. [www.copd.org](http://www.copd.org))  
55 non-Hispanic white and African-Americans, the Evaluation of COPD Longitudinally to Identify  
56 Predictive Surrogate Endpoints (ECLIPSE, SCO104960, NCT00292552, [www.eclipse-  
58 copd.com](http://www.eclipse-<br/>57 copd.com)), National Emphysema Treatment Trial (NETT), and GenKOLS (Genetics of COPD,  
58 Norway) study. Detailed descriptions including genotyping quality control, genotyping  
59 imputation, and quantitative imaging, have been previously published(5, 8, 20–27). All cohorts  
60 included only current or former smokers. COPDGene is a multicenter study including subjects  
61 of self-described non-Hispanic white or African-American ancestry and included subjects with  
62 and without COPD and with a range of spirometry. Subjects in the remaining studies were  
63 white. Controls had normal spirometry. Cases in the ECLIPSE and GenKOLS studies were at  
64 least GOLD spirometry grade 2 in severity. NETT cases had severe COPD ( $FEV_1 < 45\%$   
65 predicted) and were selected for the presence of emphysema.

66 Quantitative image analysis was performed on segmented CT chest images, using the  
67 number of voxels below -950 Hounsfield Units (%LAA-950) to estimate emphysema, and,  
68 alternatively, the Hounsfield Units at the 15<sup>th</sup> percentile of the density histogram (Perc15). The



69 airway wall area (Pi10) was the value for a hypothetical 10mm airway obtained by plotting a  
70 regression line of the square root of the airway wall area versus the airway internal perimeter(2).  
71 The wall area percent (WAP) was the percentage of the wall area compared to the total bronchial  
72 area for segmental and smaller airways (see Supplement). Percent gas trapping was measured at  
73 end-tidal exhalation and defined as the percent of lung voxels with  $< -856$  HU(28).

74 We genotyped all subjects on Illumina platforms and imputed genotypes using MaCH  
75 and minimac(29) with 1000 Genomes Phase I v3 reference panels. We performed linear  
76 regression on each phenotype using residuals adjusted for age, sex, pack-years of smoking,  
77 current smoking status, and ancestry-based principal components. Imaging variables with  
78 marked non-normality were log-transformed (%LAA-950 and % gas trapping). COPDGene and  
79 ECLIPSE were additionally adjusted for CT scanner type. As airway measurements are not  
80 scaled to body size, we additionally adjusted for height. For gas trapping, a covariate for study  
81 center was also added to account for site-related technical variations in expiratory CT scans.

82 Results from all studies were combined into a meta-analysis. Given substantial  
83 heterogeneity within our studies, our primary analysis used a modified random-effects  
84 model(30). We also examined results using the standard fixed-effects model(31). As we  
85 hypothesized that emphysema and airway disease measured by quantitative CT may be causal  
86 for reduced lung function and COPD, our primary analyses included all subjects, with an  
87 additional analysis in cases only (including GOLD spirometry grade 1 for COPDGene subjects).  
88 To explore and control for the effect of ascertainment, we applied a method for analysis of  
89 secondary phenotype data within case-control association studies(32).

90 Additional methods are available in the Supplement.

## 91 Results

### 92 Genome-wide association of five quantitative imaging phenotypes

93 Baseline characteristics of subjects in each cohort are shown in **Table 1**. The total  
94 sample size across all cohorts was 12,031. Genome-wide significant results from the modified  
95 random-effects meta-analysis are shown in **Table 2**. Loci with prior evidence of association  
96 with COPD, lung function, and / or emphysema – *HHIP*, *CHRNA3/5/IREB2*, and *AGER* – were  
97 the most significant associations with %LAA-950. We also identified additional associations at  
98 genome-wide significance ( $P < 5 \times 10^{-8}$ ) near *DLC1* and *SERPINA10*. An association near  
99 *CHRNA4* was just below genome-wide significance (rs183345681,  $P = 1.8 \times 10^{-7}$ ). An analysis of  
100 Perc15 also identified the *DLC1* and *HHIP* loci associations.

101 In our analysis of airway phenotypes, no association reached genome-wide significance  
102 for Pi10. One result for wall area percent yielded  $P < 5 \times 10^{-8}$  (rs142200419); however, this  
103 association was markedly attenuated in the fixed effects meta-analysis, due to effects in the  
104 opposite directions in one of the cohorts (Table S1). For the association analysis of gas trapping  
105 in COPDGene, the *AGER* and *LINC00310/KCNE2* loci achieved significance. No genome-wide  
106 significant results were identified in any of the case-only analyses (**Table S2**). For the regions  
107 yielding genome-wide significance in all subjects, we additionally examined results from an  
108 analysis accounting for ascertainment in COPDGene and GenKOLS, and including cases only  
109 from ECLIPSE (due to the small number of controls in this cohort). P-values obtained using this  
110 method(32) (**Table S1**) were generally only slightly less significant, with the possible exception  
111 of *HHIP* and *CHRNA3*, suggesting that overall our results were not simply driven by an  
112 association with case-control status. Results in cases and controls separately and, for loci not

113 previously described as genome-wide significant in COPD, a case-control analysis, are shown in  
114 **Tables S3 and S4.**

115 The association with %LAA-950 near *SERPINA10* is also near *SERPINA1*, variants in  
116 which are the cause of alpha-1 antitrypsin deficiency. The most common form of severe alpha-1  
117 antitrypsin deficiency is due to homozygosity for the Z allele, rs28929474. This variant was  
118 imputed with relatively high quality (Rsq > 0.9 in all white cohorts; 0.66 in COPDGene African-  
119 Americans). We examined the imputed rs28929474 in all cohorts, and did not find any ZZ  
120 subjects in NETT and GenKOLS; in COPDGene, seven non-Hispanic white ZZ subjects had  
121 been genotyped and subsequently excluded from analyses after *SERPINA1* genotyping  
122 (Foreman, In Preparation). All seven of these subjects were correctly identified with imputed  
123 genotypes. Linkage disequilibrium exists between our top associated SNP at this locus,  
124 rs45505795, and rs28929474 ( $D' = 0.7$ ,  $r^2 = 0.295$ ). To determine if the association with  
125 rs45505795 could be accounted for by rs28929474, we performed a meta-analysis conditioned  
126 on rs28929474. The resulting P-value was 0.007, demonstrating that rs28929474 accounts for  
127 some, but not all, of the association signal. While known or identified ZZ homozygotes were  
128 excluded from COPDGene, NETT, and GenKOLS, ECLIPSE excluded only known alpha-1  
129 deficient subjects. We identified six putative ZZ subjects in ECLIPSE. To determine whether  
130 the association signal in ECLIPSE was driven by the presence of these six subjects, we repeated  
131 the association analysis after dropping these subjects and found the P-value was slightly  
132 attenuated but remained significant ( $P = 0.0018$ ), consistent overall with an increased risk of  
133 emphysema among MZ carriers.

134 To further explore the potential functional consequences of individual loci described in  
135 this study, we searched for evidence of functional impact using existing data sources. Of the loci

136 described in this study not previously associated with COPD, one was a cis-eQTL in lung –  
137 rs55706246 near *LINC00310* was in modest LD ( $r^2 = 0.24$ ) with rs2834438, an eQTL for *KCNE2*  
138 ( $p = 3.1 \times 10^{-7}$ )(33). Using GWAS3D, the top-scoring variant at the *DLCI* locus was rs58863591,  
139 which had active enhancer marks (H3K4me1 and DNase hypersensitivity) and potential long-  
140 range interactions upstream of *DLCI* and near *SENP2*(34).

141 We also sought to determine whether the group of top (most significant) markers for each  
142 analysis ( $P < 1 \times 10^{-6}$ ) could yield to insights about cell types based on regulatory data  
143 ENCODE(35). In the emphysema analysis, cell type enhancer enrichment from analysis of  
144 %LAA-950 among all subjects included enhancers in umbilical vein endothelial cells (Huvec,  $P$   
145  $= 6.0 \times 10^{-4}$ ) and DNase I hypersensitivity sites in several types of endothelial cells ( $P = 6.6 \times 10^{-3}$   
146 to 0.03 for pulmonary artery endothelial cells (HPAEC) and adult blood, adult lymphatic, and  
147 neonatal lymphatic microvascular endothelial cells (HMVEC)). We found similar findings for  
148 the Perc15 analysis, with the strongest DNase enrichment for pulmonary artery endothelial cells  
149 ( $P=0.017$ ). For the airway phenotypes, we found modest evidence for enrichment for enhancers  
150 K562 (leukemia) and HSMM (skeletal muscle) cell lines ( $P = 0.02$ ) and DNase enrichment in  
151 CD14+ monocytes ( $P = 0.04$ ).

152 We also sought to determine whether our results were consistent with a set of genes more  
153 likely to act within a specific gene sets or pathways. Top-ranked results identified several  
154 individual potential pathways of interest, including the toll-like receptor and phosphoinositide 3-  
155 kinase pathways (iGSEA4GWAS(36)) and telomere maintenance (INRICH(37)) for the %LAA-  
156 950 analyses. Gene sets that appeared to overlap between top-ranked sets among different  
157 methods included regulation of apoptosis, isoprenoid biosynthetic process, nicotinic

158 acetylcholine channel activity, actin cytoskeleton, and B-cell receptor signaling for emphysema  
159 GWAS; and for airway, WNT signaling and muscle contraction.

160 **Associations at loci previously identified in association with COPD or COPD-related**  
161 **spirometric phenotypes**

162 Genome-wide association studies have identified multiple variants associated with  
163 COPD(23–26, 38) or measures of lung function(39–41). We sought to determine whether there  
164 was evidence these variants might have an effect on quantitative imaging phenotypes, even if  
165 they did not reach genome-wide significance. After excluding loci previously associated in these  
166 cohorts with COPD, we found a strong enrichment in nominally significant ( $P$ -value  $< 0.05$ ) loci  
167 among the two emphysema and two imaging phenotypes ( $P = 4.9 \times 10^{-9}$ ), suggesting many of  
168 these variants may also affect quantitative imaging measurements. We further classified these  
169 variants into those showing a stronger association (by one-sided  $P$ -value) with emphysema- or  
170 airway-related phenotypes, assigning directionality such that the risk allele for COPD or reduced  
171 lung function demonstrated greater emphysema or increased airway wall thickness (**Table 3**).  
172 Enrichment for nominally significant  $P$ -values appeared to be greater among markers associated  
173 with quantitative emphysema ( $P = 1.9 \times 10^{-6}$ ) versus those associated with airway wall thickness  
174 ( $P=1.3 \times 10^{-3}$ ).

175 We next examined regulatory patterns using Haploreg(35) in variants classified as either  
176 emphysema or airway-associated identified in **Tables 2 & 3**. ‘Emphysema’ variants were  
177 modestly enriched for enhancers seen in hepatocellular carcinoma (HepG2,  $P=0.05$ ), while those  
178 more strongly associated with airway phenotypes were enriched for enhancers from lung  
179 fibroblasts (NHLF) and epidermal keratinocytes (NHEK,  $P=0.03$  to  $0.04$ ). Both analyses were

180 enriched for mammary epithelial cells (HMEC,  $P=2.5 \times 10^{-4}$  to  $1.6 \times 10^{-3}$ ) and umbilical vein  
181 endothelial cells (Huvec,  $P=0.02$  to  $0.03$ ). The most significant DNase enrichment for  
182 emphysema-associated variants was lung-derived lymphatic microvascular endothelial cells  
183 (HMVEC-LLy;  $P 8 \times 10^{-4}$ ), while top results for airway-associated variants were embryonic lung  
184 fibroblasts (WI-38), mammary fibroblasts (HMF), and small airway epithelial cells (SAEC;  $P$   
185  $3.6-6.6 \times 10^{-4}$ ). Emphysema-associated DNase results were not significant in the airway results,  
186 and vice versa.

## 187 Discussion

188 In a genome-wide association study of quantitative imaging phenotypes in smokers with  
189 and without COPD, we identified genome-wide significant associations with loci previously  
190 shown to be associated with COPD or with spirometric measures related to airflow limitation,  
191 including the 15q25, *HHIP*, and *AGER* loci, the latter also identified in in association with  
192 emphysema in a general population sample(15) and with emphysema and sRAGE levels in  
193 COPD(42). We also describe a genome-wide association with emphysema and variants near  
194 *SERPINA10*, and show that this association is in strong linkage disequilibrium with the Z-allele  
195 of *SERPINA1*, and not due the presence of PI ZZ individuals. This report is thus consistent with  
196 other reports showing an increased risk of airflow limitation for subjects with PI MZ(43, 44) and  
197 emphasizes the role of alpha-1 antitrypsin in the pathogenesis of COPD and emphysema in a  
198 broader group of patients.

199 One of our top associations with emphysema (both for %LAA-950 and Perc15) was a  
200 novel locus, located in the gene *DLC1* (deleted in liver cancer 1). *DLC1* frequently undergoes  
201 loss of heterozygosity or epigenetic silencing in solid cancers, including lung cancers(45). *DLC1*

202 appears to inhibit cell growth and increases apoptosis(46), and act as a tumor suppressor  
203 through the RhoGAP-dependent and RhoGAP-independent activity(47). *DLC1* is highly  
204 expressed in the lung(48, 49). In a study of regional emphysema, *DLC1* expression showed a  
205 trend towards decreased expression with an increase in the mean linear intercept(50) (nominal P-  
206 value, 0.04). Recently, a locus in *DLC1* was described in association with smoking behavior in  
207 African-Americans(51). We found a trend towards association with current smoking at this  
208 locus in COPD Gene African-Americans ( $P = 0.06-0.07$ ). However, we found no association  
209 with pack-years of smoking ( $P > 0.49$ ). In addition, *DLC1* SNPs in this study are approximately  
210 200kb away and not in linkage disequilibrium with our reported *DLC1* loci ( $r^2 < 0.004$  in  
211 COPD Gene African-Americans), and we found no consistent evidence of effect on either pack-  
212 years or current smoking at either locus in other cohorts. We also note an additional association  
213 near *CHRNA4* just below genome-wide significance. Previous studies have identified  
214 associations with smoking behavior in this region(52, 53), though previously described variants  
215 do not appear to be in strong LD with our identified variant. Additional studies will be needed to  
216 confirm our associations and determine their relationship to cigarette smoking.

217 We also examined variants previously identified at genome-wide significance in  
218 association with COPD or spirometric measures related to airflow obstruction. Most of these loci  
219 were at least nominally significantly ( $P < 0.05$ ) associated with one or more quantitative CT  
220 phenotypes. Many appeared to have stronger associations with either quantitative emphysema or  
221 airway phenotypes. These findings suggest that genetic determinants of lung function in the  
222 general population may influence emphysema or airway disease, and are consistent with the  
223 hypothesis that there may be variants affecting airflow obstruction in different ways detectable  
224 by quantitative imaging.

225           In addition to examining individual loci, our study also explores the relevance of groups  
226 of markers that may not reach genome-wide significance. An analysis of gene sets provides  
227 supportive evidence for biological mechanisms previously been implicated in COPD, including  
228 telomere maintenance(54–57), phosphoinositide-3-kinase(58, 59), actin organization, and B-cell  
229 receptor signaling(50). An exploratory analysis of regulatory regions from ENCODE identified  
230 enrichment for endothelial cells. In animal models, targeted disruption of endothelial cells  
231 through genetic or immune mechanisms leading to apoptosis can lead to emphysema(60–62).  
232 Endothelial cell apoptosis has been seen in emphysematous human tissue(60) and endothelial  
233 microparticles, a marker for apoptosis, were related to emphysema in the MESA study(63). In  
234 contrast to prior work(16), we did not see an enrichment for fibroblasts from our quantitative  
235 emphysema analyses, but did see such enrichment in our airway-related lung function analysis.

236           Emphysema and airway disease are important components of COPD. We used  
237 automated and standardized measurements, available on a large number of subjects and free of  
238 inter-reader variation. We performed an analysis including all subjects in an effort to maximize  
239 power, and applied a method to account for ascertainment based on case-control status.  
240 However, due to the high correlation of disease status with imaging characteristics, we cannot  
241 rule out a degree of confounding for some of our associations. Although we performed five  
242 association analyses, we reported unadjusted P-values as our phenotypes are correlated, and  
243 some of our findings are seen in multiple phenotypes. Quantitative imaging can be affected by  
244 factors not related to intrinsic lung pathology, such as degree of inflation, obesity, smoking, and  
245 characteristics of individual CT scanners(5, 64, 65). Our decision to adjust for specific  
246 covariates was based on a desire to maximize findings of genetic analysis by controlling for the  
247 influence of age, smoking, and effects of individual scanners, yet allowing for genetic effects



248 that may affect disease processes contributing to more than one characteristic (e.g., low BMI and  
249 emphysema(66)). Ultimately, our findings will require replication, ideally in additional large  
250 cohorts that include a range of severity of COPD.

251 Our analysis also included studies with different imaging protocols, proportions of  
252 severity of disease, and racial groups. Thus, despite our large sample size, these factors may  
253 have resulted in a reduction in statistical power. We attempted to at least partially address this  
254 issue by using a method(30) that can improve power in the setting of heterogeneity. While most  
255 of the P-values from this method were very similar to those using standard fixed-effects models,  
256 this method resulted in *AGER* reaching genome-wide significance, consistent with prior studies.  
257 Our study is unable to address several causes of potential heterogeneity. Genetic factors may be  
258 specific to racial / ethnic groups(15). Technical factors may be less likely to influence reads by  
259 radiologists or semi-supervised methods and may explain why we were unable to replicate  
260 previous findings based on these approaches(16, 17). These factors, as well as differing  
261 proportions of severity of disease, may also indicate why we were unable to replicate findings  
262 from a recently reported analysis of airway wall thickness(18). Chest CT scans contain a wealth  
263 of data, and current measures of overall lung density or airway wall measurements do not  
264 adequately represent all relevant features. Efforts to expand and standardize radiologist  
265 interpretation and novel computational and machine learning-based methods may improve the  
266 ability to detect genetic effects.

267 Our work also demonstrates that previously described genetic associations with lung  
268 function in the population appear to influence airway or emphysema phenotypes. Using data  
269 from the ENCODE project, we identified non-overlapping enrichment of regulatory regions for  
270 our two sets of analyses. Our results are consistent with the hypothesis that emphysema and

271 airway imaging characteristics may be driven by different pathogenic processes and genetic  
272 factors(12). However, lung function, disease status, and imaging features are all correlated, and  
273 the relationship between specific imaging features is potentially complex(67). Our relative  
274 preponderance of associations with quantitative emphysema compared to airway, for example,  
275 may reflect the stronger correlation between lung function and our quantitative emphysema  
276 measurements or technical factors that affect airway measurements(67, 68). Our sets,  
277 particularly for ‘airway’ were loosely defined, and included results not reaching a nominal level  
278 of significance. Additional analytic methods, such as causal modeling, may help clarify the  
279 relationships between genetic variants, lung function, and CT imaging. Ultimately, however, the  
280 specific effects of individual variants will need to be determined by careful functional studies.

281 Differences in susceptibility to and phenotypic heterogeneity in COPD remain poorly  
282 understood. Despite their limitations, genome-wide association studies are currently the most  
283 powerful method to identify novel genetic risk factors for this complex and heterogeneous  
284 disease. Our analysis reflects a coordinated effort across multiple studies and to our knowledge  
285 is the largest genome-wide analysis of quantitative pulmonary imaging reported to date, and the  
286 first to include a substantial number of subjects with COPD. Our work identifies several genetic  
287 loci that may influence specific imaging phenotypes and identifies potential functional pathways  
288 and cell types through which these loci may exert their phenotypic effects. It also describes CT  
289 imaging phenotype-specific associations for loci previously implicated in GWAS for COPD or  
290 spirometric phenotypes related to COPD. Additional insights will result from increasing power;  
291 thus we anticipate a critical role for combining existing and upcoming studies using improved  
292 imaging phenotypes, to help unravel the complexity of pulmonary pathology in COPD.

293

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584

## Tables

**Table 1: Baseline characteristics of subjects with quantitative imaging phenotypes.** Cases = GOLD Grade 2 or more severe (e.g. NETT) cases; Controls = GOLD 0 smoking controls; Non-cases: includes GOLD 0, 1, and PRISm subjects.

	COPDGene non-Hispanic Whites		COPDGene African Americans		ECLIPSE		NETT	GenKOLS (Norway)	
	Non-cases	Cases	Non-cases	Cases	Controls	Cases	Cases	Controls	Cases
<b>n</b>	3062	3243	2132	901	145	1393	332	406	417
<b>Age</b>	59.7 (8.6)	64.4 (8.3)	53 (6)	58.6 (8.1)	57.3 (9.4)	63.4 (7)	67.4 (5.9)	55.6 (9.4)	64.2 (9.3)
<b>Pack-years</b>	39.7 (21.5)	54.4 (27.5)	36.6 (20.5)	42 (23.1)	31.8 (26.6)	49.8 (26.7)	65.8 (30.8)	19.8 (14.1)	31 (18.2)
<b>Sex (%Male)</b>	1462 (47.7%)	1832 (56.5%)	1209 (56.7%)	497 (55.2%)	85 (58.6%)	911 (65.4%)	212 (63.9%)	216 (53.2%)	263 (63.1%)
<b>Current smokers</b>	1263 (41.2%)	1199 (37%)	1838 (86.2%)	595 (66%)	58 (40%)	480 (34.5%)	0	164 (40.4%)	210 (50.4%)
<b>FEV<sub>1</sub>, % predicted</b>	91.3 (14.8)	57.4 (23)	92.2 (16.5)	59.5 (22)	108.6 (13.4)	47.4 (15.5)	28.2 (7.3)	94.9 (9.2)	52.5 (16.9)
<b>%LAA-950</b>	1.2 (0-26.9)	7.5 (0-61.9)	0.7 (0-35.8)	4.6 (0-61.2)	2.3 (0.1-14.2)	16.3 (0.1-58.7)	15 (0.3-49.9)	0.5 (0-34.4)	7 (0-53.2)
<b>Perc15, HU</b>	-909.9 (22.8)	-938.1 (26.8)	-893.4 (28.1)	-926.5 (32)	-906.2 (25.9)	-950.9 (25.9)	-949.7 (17.8)	-891.6 (26.3)	-932.8 (30.2)
<b>Pi10, mm</b>	3.64 (0.11)	3.69 (0.14)	3.69 (0.13)	3.73 (0.15)	4.34 (0.15)	4.41 (0.20)	4.58 (0.49)	4.76 (0.29)	4.94 (0.34)
<b>Wall area percent (WAP)</b>	60.2 (2.8)	62.3 (3.1)	61.2 (3.3)	62.9 (3.3)	63.2 (3.7)	65.6 (4.1)	73.2 (3.8)	74.8 (2.9)	76.1 (3)
<b>Gas trapping, %</b>	9.3 (0-83.4)	34 (0.1-87.8)	7.2 (0-70.5)	29.3 (0.2-85.2)					

**Table 2: Genome-wide significant associations.** %LAA-950: percent of low attenuation area less than -950 Hounsfield units; Perc15 - Hounsfield Units at the 15th percentile of the density histogram; WAP percentage of the wall area compared to the total bronchial area.

Phenotype	Chr	Marker Name	Closest Gene	Effect Allele	Allele Frequency		Modified Random Effects			Fixed Effects			
					Nhw	Aa	P value	Beta	SE	P value	Beta	Se	
<b>Emphysema</b>													
	<b>%LAA-950</b>	4	rs13141641	<i>HHIP</i>	T	0.59	0.89	<b><math>1.7 \times 10^{-12}</math></b>	0.12	0.023	$8.4 \times 10^{-13}$	0.12	0.018
		15	rs55676755	<i>CHRNA3</i>	C	0.63	0.84	<b><math>2.4 \times 10^{-9}</math></b>	-0.11	0.017	$1.4 \times 10^{-9}$	-0.11	0.017
		6	rs2070600	<i>AGER</i>	T	0.04	0.01	<b><math>4.6 \times 10^{-9}</math></b>	-0.14	0.11	$6.5 \times 10^{-8}$	-0.24	0.044
		8	rs75200691	<i>DLC1</i>	T	0.88	0.92	<b><math>9.7 \times 10^{-9}</math></b>	0.15	0.026	$5.7 \times 10^{-9}$	0.15	0.026
	14	rs45505795	<i>SERPINA10</i>	C	0.04	0.008	<b><math>1.4 \times 10^{-8}</math></b>	-0.31	0.08	$9.8 \times 10^{-9}$	-0.31	0.053	
<b>Perc 15</b>	8	rs74834049	<i>DLC1</i>	A	0.12	0.08	<b><math>6.0 \times 10^{-10}</math></b>	-3.4	0.54	$3.3 \times 10^{-10}$	-3.4	0.54	
	4	rs13141641	<i>HHIP</i>	T	0.59	0.89	<b><math>8.4 \times 10^{-10}</math></b>	-2.2	0.39	$4.7 \times 10^{-10}$	-2.2	0.36	
<b>Airway</b>													
<b>WAP</b>	4	rs142200419	<i>MIR2054</i>	T	0.98	N/A	<b><math>4.6 \times 10^{-9}</math></b>	0.24	1	$8.8 \times 10^{-5}$	0.9	0.23	
<b>Gas trapping</b>													
<b>%</b>	6	rs2070600	<i>AGER</i>	T	0.04	0.01	<b><math>3.5 \times 10^{-9}</math></b>	-0.23	0.039	$2.4 \times 10^{-9}$	-0.23	0.039	
	21	rs55706246	<i>LINC00310</i>	A	0.11	0.03	<b><math>1.3 \times 10^{-8}</math></b>	0.28	0.18	$2.1 \times 10^{-7}$	0.15	0.029	

**Table 3: P-values for genetic variants previously reported in genome-wide association analyses**(23–26, 39, 40, 69–71). The risk allele for spirometric phenotypes denotes the allele associated with a lower FEV<sub>1</sub> or FEV<sub>1</sub>/FVC ratio, and thus would be expected to increase risk for COPD. The sign associated with the P-values denotes whether the direction of association is consistent with the direction for COPD (increase in %LAA-950, Pi10, wall area percent, or gas trapping; decrease in Perc15). In Table 3b, results are grouped by whether the smaller directional P-value was found in emphysema phenotypes (top) or airway-related phenotypes (bottom). Genome-wide significant loci from **Table 2** (e.g. *HHIP*) are not included here. All refers to all subjects, case refers to all cases (GOLD 1-4 or 2-4).

**Table 3a: Variants from GWAS of moderate-to-severe or severe COPD**

SNP	Chr	Locus	Risk Allele	Emphysema				Airway				Gas Trapping	
				%LAA-950		Perc15		Pi10		Wall Area Percent		All	Case
				All	Case	All	Case	All	Case	All	Case		
rs626750	11	<i>MMP12</i>	G	2x10 <sup>-5</sup>	<b>4x10<sup>-7</sup></b>	6x10 <sup>-6</sup>	7x10 <sup>-7</sup>	-0.1	-0	0.2	-0.1	0.008	0.1
rs4846480	1	<i>TGFB2</i>	A	<b>2x10<sup>-6</sup></b>	3x10 <sup>-5</sup>	1x10 <sup>-4</sup>	5x10 <sup>-4</sup>	-0.7	-0.4	0.2	-0.9	3x10 <sup>-4</sup>	0.009
rs7937	19	<i>RAB4B</i>	T	<b>2x10<sup>-6</sup></b>	0.03	6x10 <sup>-5</sup>	0.03	0.9	-0.08	0.4	-0.04	9x10 <sup>-4</sup>	0.2
rs754388	14	<i>RIN3</i>	C	<b>3x10<sup>-5</sup></b>	0.1	5x10 <sup>-5</sup>	0.04	0.4	-0.5	0.04	-0.6	0.003	0.1
rs7671167	4	<i>FAM13A</i>	T	3x10 <sup>-4</sup>	0.3	<b>2x10<sup>-4</sup></b>	0.07	0.6	-0.8	0.1	-0.5	9x10 <sup>-5</sup>	0.6

**Table 3b: Variants from GWAS of lung function**

SNP	Chr	Locus	Risk Allele	Emphysema				Airway				Gas Trapping	
				%LAA-950		Perc15		Pi10		Wall Area Percent		All	Case
				All	Case	All	Case	All	Case	All	Case		
rs153916	5	<i>SPATA9-RHOBTB3</i>	T	0.001	0.02	<b>2x10<sup>-5</sup></b>	0.02	-0.2	-0.3	0.9	-0.7	0.002	0.1
rs1529672	3	<i>RARB</i>	C	8x10 <sup>-4</sup>	0.06	<b>2x10<sup>-4</sup></b>	0.08	0.5	-1	0.1	0.9	2x10 <sup>-4</sup>	0.03
rs2284746	1	<i>MFAP2</i>	G	0.002	0.2	<b>0.002</b>	0.1	-0.06	-0.5	0.9	1	8x10 <sup>-4</sup>	0.07
rs12899618	15	<i>THSD4</i>	A	<b>0.003</b>	0.2	0.02	0.3	0.7	0.4	0.02	0.3	0.003	0.6
rs7765379	6	<i>HLA-DQB1</i>	T	<b>0.004</b>	0.05	0.04	0.08	-0.4	-0.5	-0.4	-0.2	0.2	0.9
rs9978142	21	<i>KCNE2-LINC00310</i>	T	<b>0.005</b>	0.06	0.04	0.07	-0.01	-0.05	-0.5	-0.9	0.04	0.004

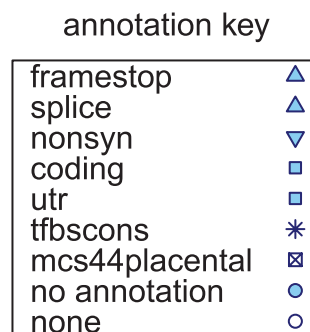
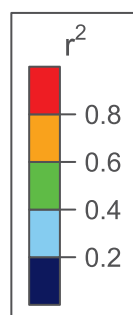
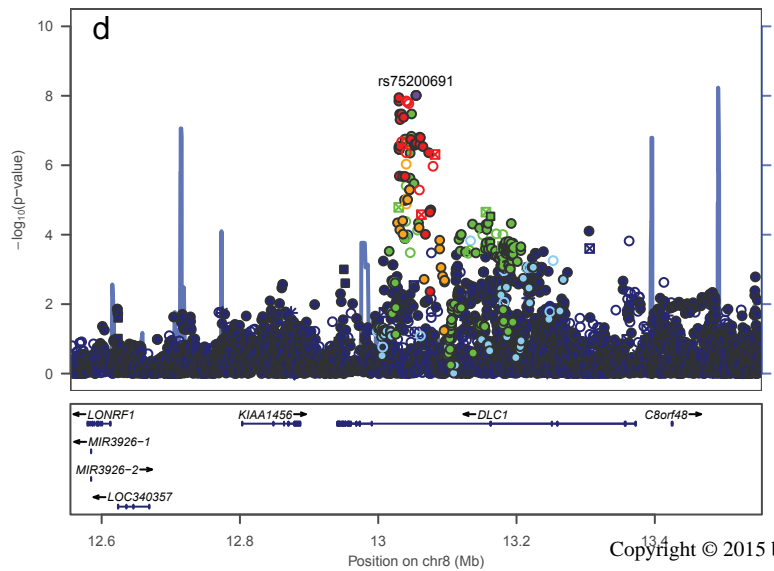
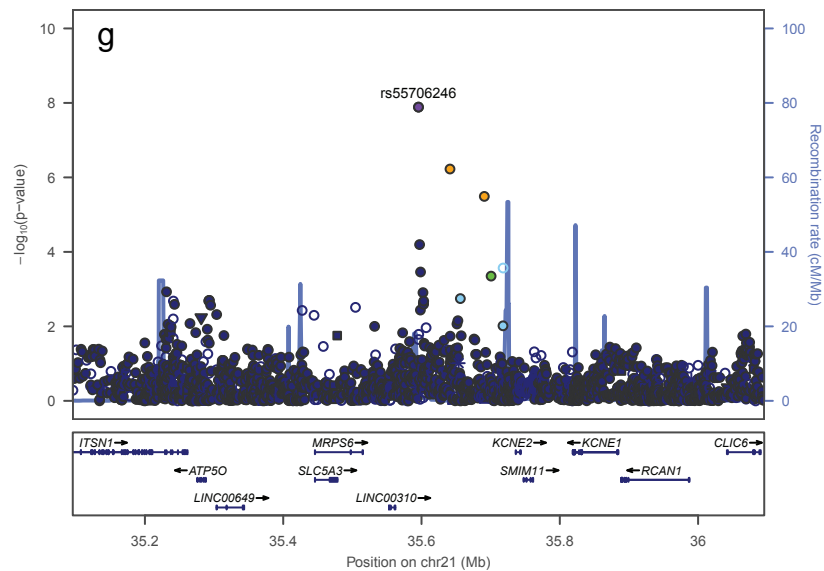
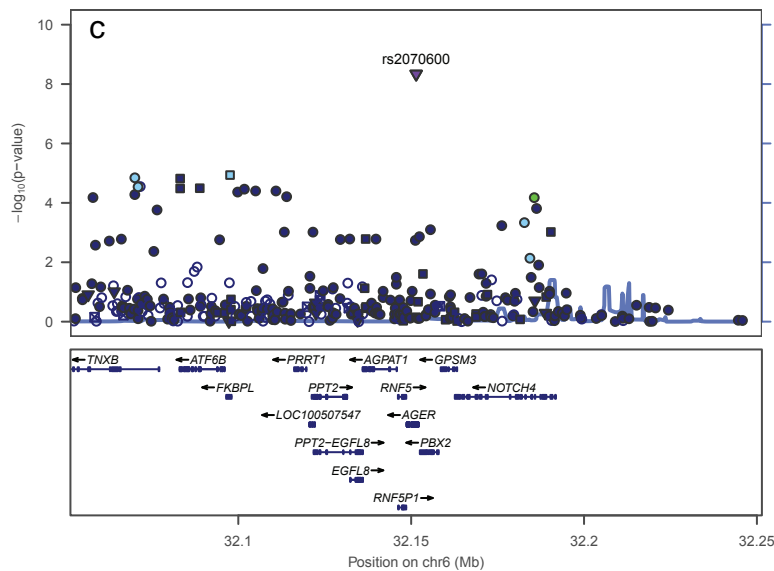
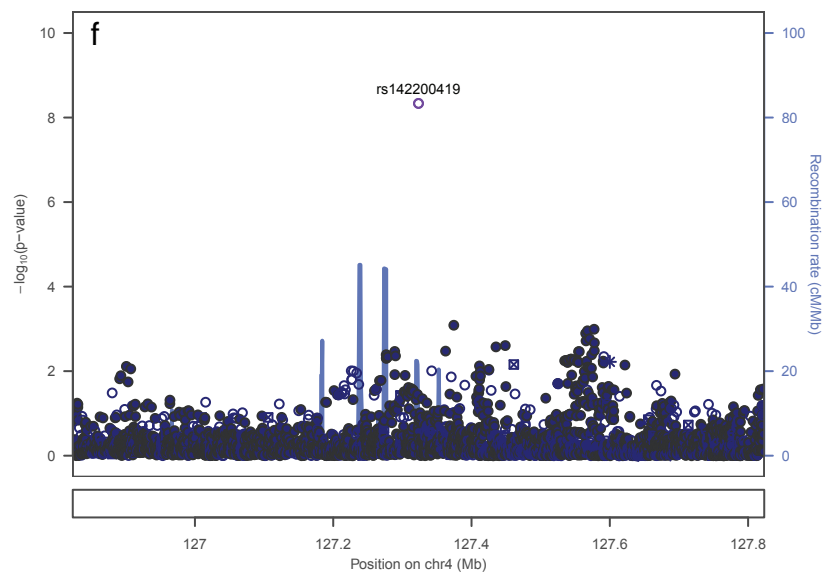
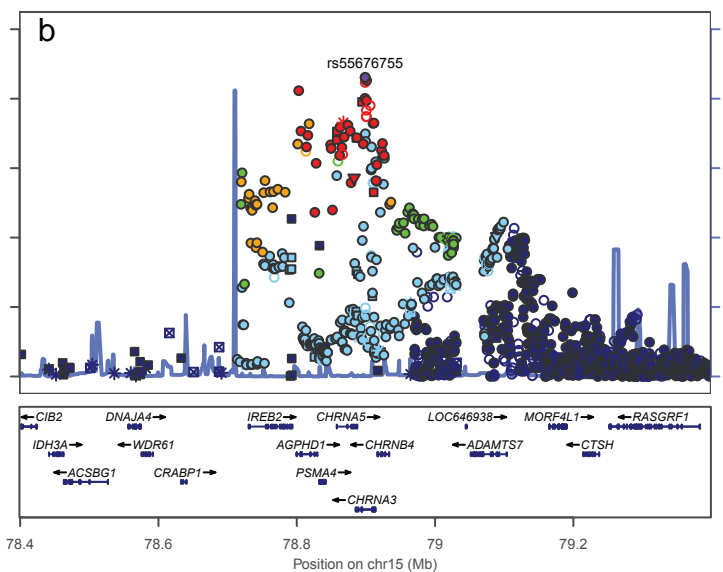
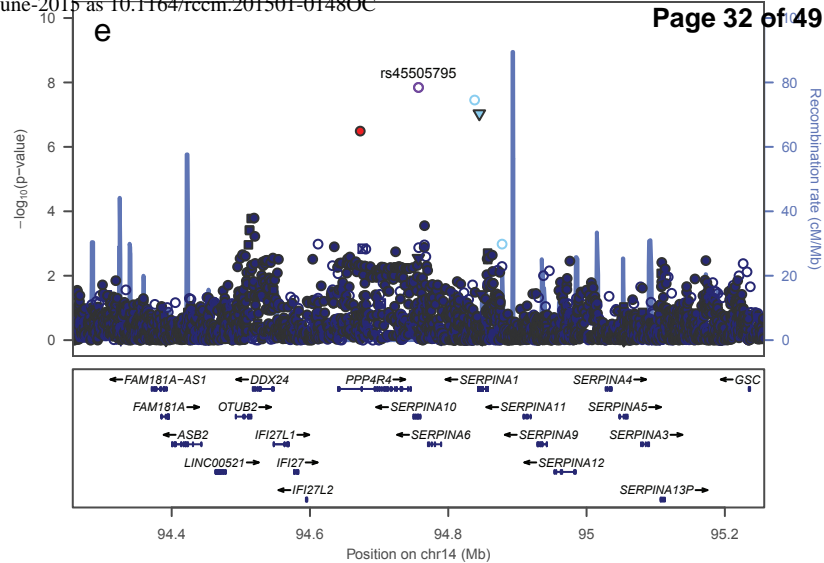
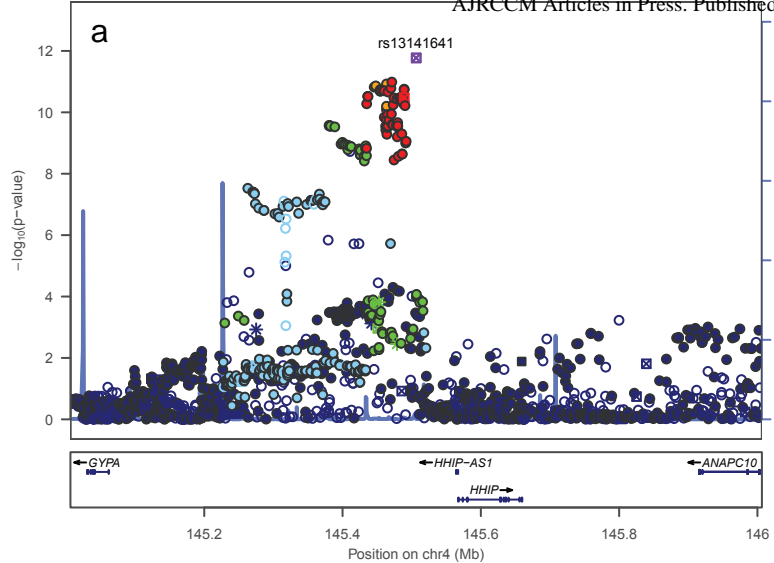
rs3817928	6	<i>GPR126</i>	A	<b>0.01</b>	0.5	0.01	0.8	-0.1	-0.3	0.4	0.4	0.006	0.2
rs1036429	12	<i>CCDC38</i>	C	0.04	0.03	<b>0.01</b>	0.06	-0.5	-0.5	0.1	0.5	0.04	0.4
rs11134779	5	<i>ADAM19</i>	G	0.02	0.1	<b>0.01</b>	0.2	0.5	0.3	0.5	-0.7	0.04	0.08
rs11172113	12	<i>LRP1</i>	T	<b>0.04</b>	-0.9	0.2	-0.6	0.4	0.6	0.5	0.09	9x10 <sup>-5</sup>	0.2
rs993925	1	<i>TGFB2-LYPLAL1</i>	C	0.2	-0.3	<b>0.1</b>	-0.1	-0.8	-0.6	-1	-0.4	0.004	0.9
rs7594321	2	<i>DNER</i>	C	0.2	0.6	<b>0.1</b>	0.8	-0.4	0.3	-0.5	-1	0.07	0.2
rs2798641	6	<i>ARMC2</i>	T	0.5	0.3	0.6	-0.4	0.1	0.03	<b>8x10<sup>-4</sup></b>	0.004	0.06	-0.7
rs10516526	4	<i>GSTCD/INTS12/NPNT</i>	A	0.4	-0.3	0.4	-0.2	0.04	0.009	<b>0.001</b>	0.003	0.006	0.3
rs11168048	5	<i>HTR4</i>	T	0.05	0.5	0.09	0.8	0.06	0.2	<b>0.002</b>	0.07	0.3	-0.5
rs2865531	16	<i>CFDP1</i>	A	-1	-0.7	-0.9	-0.8	0.08	0.4	<b>0.007</b>	0.07	0.3	-0.3
rs2571445	2	<i>TNS1</i>	A	0.4	0.2	-0.3	0.4	1	-0.5	<b>0.008</b>	0.1	-0.2	-0.7
rs11654749	17	<i>KCNJ2</i>	T	-0.1	-0.05	-0.09	-0.04	0.4	-0.5	<b>0.02</b>	1	-0.5	-0.3
rs1344555	3	<i>MECOM</i>	T	-0.8	-1	-0.5	-0.8	0.5	0.7	0.3	<b>0.05</b>	-0.1	0.9
rs2857595	6	<i>NCR3-AIF1</i>	A	0.9	0.6	0.7	0.3	0.3	0.09	0.3	<b>0.06</b>	-0.6	0.6
rs11001819	10	<i>C10orf11</i>	G	-0.04	-0.01	-0.02	-0	0.7	0.8	<b>0.07</b>	0.1	-1	-0.1
rs16909898	9	<i>PTCH1</i>	G	-1	-0.1	0.7	-0.2	0.5	-0.8	<b>0.2</b>	-0.9	0.1	-0.9
rs12447804	16	<i>MMP15</i>	T	-0.2	-0.3	-0.3	-0.3	0.6	0.5	0.7	<b>0.2</b>	-0.6	-0.6
rs7068966	10	<i>CDC123</i>	C	-0.5	-0.5	0.8	-1	-0.1	-0.1	<b>0.2</b>	0.9	0.8	-0.7
rs6903823	6	<i>ZKSCAN3</i>	G	-0.7	0.9	1	0.8	0.7	0.9	0.9	-0.9	-0.4	-0.7
rs12477314	2	<i>HDAC4-FLJ43879</i>	C	-0.3	-0.08	-0.3	-0.1	-0.01	-0.05	-0.6	-0.5	-0.7	-0.1

## Figures

**Figure 1: Local association plots for genome-wide significant loci. a-e) %LAA-950, f) wall area percent, g) % gas trapping.**

Additional data are available in the Supplement.





1 **Title**

2 A Genome-wide Association Study of Emphysema and Airway Quantitative Imaging

3 Phenotypes

4 Michael H. Cho<sup>1,2</sup>, Peter J. Castaldi<sup>1</sup>, Craig P. Hersh<sup>1,2</sup>, Brian D. Hobbs<sup>1,2</sup>, R. Graham Barr<sup>4</sup>,  
5 Ruth Tal-Singer<sup>5</sup>, Per Bakke<sup>6</sup>, Amund Gulsvik<sup>6</sup>, Raúl San José Estépar<sup>3</sup>, Edwin Van Beek<sup>7</sup>,  
6 Harvey O. Coxson<sup>8</sup>, David A. Lynch<sup>9</sup>, George R. Washko<sup>2</sup>, Nan M. Laird<sup>10</sup>, James D. Crapo<sup>9</sup>,  
7 Terri H. Beaty<sup>11</sup>, Edwin K. Silverman<sup>1,2</sup>, on behalf of the NETT Genetics, ECLIPSE, and  
8 COPDGene Investigators

9

10 <sup>1</sup>Channing Division of Network Medicine, <sup>2</sup>Division of Pulmonary and Critical Care Medicine,  
11 and <sup>3</sup>Laboratory of Mathematics in Imaging, Department of Radiology, Brigham and Women's  
12 Hospital, Boston, MA; <sup>4</sup>Department of Medicine, College of Physicians and Surgeons, and  
13 Department of Epidemiology, Mailman School of Public Health, Columbia University, New  
14 York, New York, <sup>5</sup>GlaxoSmithKline Research and Development, King Of Prussia,  
15 PA, <sup>6</sup>Department of Clinical Science, University of Bergen, Norway, <sup>7</sup>Queens Medical Research  
16 Institute, University of Edinburgh, Department of Radiology and Department of Biomedical  
17 Engineering, University of Iowa; <sup>8</sup>Department of Radiology, University of British Columbia,  
18 Vancouver, Canada <sup>9</sup>National Jewish Health, Denver, CO; <sup>10</sup>Harvard School of Public Health,  
19 Boston, MA; <sup>11</sup>Johns Hopkins University Bloomberg School of Public Health, Baltimore, MD.

20

## 21 **Supplemental Data**

## 22 **Supplemental Methods**

## 23 **Study Populations**

### 24 **Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-points**

25 (ECLIPSE; SCO104960, NCT00292552, [www.eclipse-copd.com](http://www.eclipse-copd.com)): ECLIPSE cases and controls  
26 were aged 40-75 with at least a 10 pack-year smoking history without other respiratory diseases  
27 and without known alpha-1 antitrypsin deficiency. Cases were GOLD Grade 2 and above (post-  
28 bronchodilator forced expiratory volume in 1 second ( $FEV_1$ ) < 80% predicted and  $FEV_1$ /forced  
29 vital capacity (FVC) < 0.7); controls had no evidence of obstruction and  $FEV_1$  > 85% predicted.  
30 Details of the ECLIPSE study have been previously published(1).

31 Genotyping was performed using the Illumina HumanHap 550 V3 (Illumina, San Diego, CA),  
32 and BeadStudio quality control, including reclustering on project samples was performed  
33 following Illumina guidelines. Quality control was performed using Python ([www.python.org](http://www.python.org))  
34 and R ([www.r-project.org](http://www.r-project.org)) scripts in conjunction with plink (v1.05). Subjects and markers with  
35 a call rate of < 95% were excluded. Population stratification exclusion and adjustment on self-  
36 reported white subjects was performed using EIGENSOFT Version 2.0. Details of the  
37 genotyping and previous genome-wide association have been published(2). Imputation was  
38 updated using MaCH and minimac with the 1000 Genomes Phase I v3 EUR reference panel as  
39 previously described(3), resulting in a total of 11,040,911 variants with  $R_{sq} > 0.3$ .

40 Low-dose (120kVp and 40mAs) CT scans were performed at baseline, 1 year, and 3 year time  
41 points; baseline scans were used for the current analysis. All scans were performed using  
42 multidetector CT scans (GE Healthcare, Milwaukee, Wis. or Siemens Healthcare, Erlangen,

43 Germany) and images were reconstructed using 1.0mm (Siemens) or 1.25mm (GE) contiguous  
44 slices and an intermediate spatial frequency reconstruction algorithm. CT scanners were  
45 calibrated regularly using standard water calibration phantoms. All CT scans were analyzed at  
46 the University of British Columbia using Pulmonary Workstation 2.0 software (VIDA  
47 Diagnostics, Coralville, IA, U.S.A.). Airways were segmented using a region growing algorithm  
48 using the third (segmental) to fifth generation airways(4, 5). Wall area percent was calculated  
49 using the mean value of measurements for selected segmental airways (the same as used for  
50 COPDGene below) across all lobes.

51 **National Emphysema Treatment Trial** (NETT, [www.nhlbi.nih.gov/health/prof/lung/nett/](http://www.nhlbi.nih.gov/health/prof/lung/nett/)):  
52 NETT subjects had severe airflow obstruction by post-bronchodilator spirometry (FEV1 < 45%  
53 predicted) and evidence of emphysema on computed tomography (CT). Subjects with  
54 significant sputum production or bronchiectasis were excluded. Details of the NETT trial have  
55 been published(6).

56 For the NETT Genetics Ancillary Study, we genotyped a subset of 382 self-reported white  
57 subjects without severe alpha-1 antitrypsin deficiency with available blood for genotyping who  
58 provided written consent. Genotyping was performed using the Illumina Quad 610 array  
59 (Illumina, San Diego, CA), with quality control, population stratification adjustment, and  
60 imputation procedures as previously described previously(2). A separate set of principal  
61 components was calculated for the NETT cases. Imputation was updated using MaCH and  
62 minimac with the 1000 Genomes Phase I v3 EUR reference panel(3) , resulting in a total of  
63 10,659,967 variants with  $R_{sq} > 0.3$ .

64 NETT CT scans were performed on one of three types of scanners (General Electric, Fairfield,  
65 CT; Siemens, Malvern, PA; or Picker International, Toronto, ON, Canada) with a range of 2- to  
66 8-mm slice thickness, with 75% of the scan data from 4 to 5 mm. Densitometric measures were  
67 performed with the Pulmonary Analysis Software Suite (PASS, Iowa City, IA). Airway  
68 measurements were obtained using 3D Slicer ([www.Slicer.org](http://www.Slicer.org)) and Airway Inspector  
69 ([www.airwayinspector.org](http://www.airwayinspector.org)) at Brigham and Women's Hospital. The full width at half-maximum  
70 (FWHM) method was used to measure the wall thickness and wall area of each airway.

71 **Norway (GenKOLS, Genetics of Chronic Obstructive Lung Disease, GSK code RES11080):**

72 GenKOLS cases and controls had at least a > 2.5 pack year smoking history. Cases had post-  
73 bronchodilator FEV1 < 80% predicted and FEV1/FVC < 0.7, while controls had normal  
74 spirometry. Subjects with severe alpha-1 antitrypsin deficiency and other lung diseases (aside  
75 from asthma) were excluded. Details of the GenKOLS study have been previously published(7).  
76 Genotyping was performed using Illumina HumanHap 550 arrays (Illumina, San Diego, CA),  
77 with quality control, population stratification adjustment, and imputation procedures as described  
78 previously. A separate set of principal components was calculated for the subset of subjects with  
79 CT imaging data. Imputation was updated using MaCH and minimac with the 1000 Genomes  
80 Phase I v3 EUR reference panel(3), resulting in a total of 10,657,975 variants with Rsq > 0.3.

81 High-resolution CT chest scans were performed on a subset of the cohort using a GE LightSpeed  
82 Ultra. A low spatial frequency reconstruction algorithm was used for density measurements, and  
83 a high spatial frequency algorithm (bone) for airway measurements. Images were analyzed at the  
84 James Hogg iCAPTURE Centre (Vancouver, BC, Canada). Emphysema extent was assessed on  
85 lung images segmented using a modified boarder tracing algorithm with prior position

86 knowledge, and the extent of emphysema was assessed using the percentage of lung voxels with  
87 attenuation values less than -950 Hounsfield units (HU). Airways with an internal perimeter >  
88 6mm were identified on the CT scans and measured using the Full Width at Half Maximum  
89 algorithm. Details on the imaging techniques in GenKOLS have been previously described(8).

90 **COPDGene** (NCT00608764, [www.copdgene.org](http://www.copdgene.org)). COPDGene subjects were of non-Hispanic  
91 white or African-American ancestry, aged 45-80 years old, with a minimum of 10 pack-years of  
92 smoking, and without a history of lung disease other than asthma. Subjects found to have  
93 evidence of other lung disease on CT, such as significant bronchiectasis or interstitial lung  
94 disease, were excluded from the current analysis. Genotyping was performed by Illumina (San  
95 Diego, CA) on the HumanOmniExpress array, with quality control and imputation as previously  
96 described(3), resulting in a total of 11,437,352 variants for non-Hispanic whites and 22,904,273  
97 for African-Americans with  $R_{sq} > 0.3$ .

98 CT chest imaging was performed on all subjects using a standardized protocol(9). Quantitative  
99 analysis utilized the lower-spatial-resolution smooth reconstruction algorithm. Analysis of  
100 emphysema severity was performed on segmented lung images by using the Slicer software  
101 package (<http://www.slicer.org/>). Emphysema percentage was defined as all lung voxels with a  
102 CT attenuation value of less than -950 HU. Airway analysis was performed by using the VIDA  
103 Pulmonary Workstation, version 2.0 (Vida Diagnostics, Coralville, Iowa,  
104 <http://www.vidadiagnostics.com/>). Measurements were obtained along the center line of the  
105 lumen, in the middle third of the airway segment, for one segmental airway of each lung lobe  
106 including the lingula; the mean value across all lobes was used for analysis. Details of the  
107 imaging techniques have been described previously(10).

## 108 **Additional Genetic Analysis Methods**

109 Imputed genotypes were included for analysis if they had an  $R^2$  of 0.3 or greater. Individual  
110 genetic variants were included in the meta-analysis if they were missing in no more than one  
111 study (except for gas trapping, where the variant was required to be present in both COPDGene  
112 populations); variants with minor allele frequency  $< 1\%$  overall or  $< 0.5\%$  in individual studies  
113 were excluded, resulting in 6.9 (gas trapping) to 7.6 million (all other phenotypes) total analyzed  
114 variants. All variants were oriented to the '+' strand of the hg19 reference assembly. P-values  
115 were not adjusted for multiple comparisons.

116 Our primary analyses were performed in all subjects, with a method used to specifically address  
117 ascertainment. We additionally assessed the impact of each of the top variants in cases and non-  
118 cases separately using the same methods as for the overall meta-analysis. For results in the  
119 *SERPINA10* locus, we performed a meta-analysis conditioning on the *SERPINA1* Z allele by  
120 performing a linear regression including this SNP as a covariate in the model, and performing a  
121 meta-analysis on the target SNP.

122

123 To determine whether loci previously described in association with lung function were enriched  
124 for nominally significant ( $P < 0.05$ ) associations in our quantitative imaging, we performed a  
125 Fisher's exact test. To determine whether any of the variants that we identified in this analysis  
126 were expression quantitative trait loci in lung, we searched the published dataset of Hao et al  
127 (11) and data from the GTEx consortium. Since Hao et al report only significant genotyped  
128 loci, we searched for variants in linkage disequilibrium with our top-reported variants using  
129 plink. VEGAS version 0.8.27 (12) gene-based analysis was performed using the CEU reference

130 haplotypes and including the top 20 percent of SNPs for a given gene. For the GRAIL  
131 (<http://www.broadinstitute.org/mpg/grail/grail.php>)(13) analysis, HapMap2 CEU variants were  
132 pruned for linkage disequilibrium using plink(14) with 250kb windows and an  $r^2$  of 0.1. Results  
133 with overall P-value  $< 1 \times 10^{-4}$  were input as seed and query regions, including text from PubMed  
134 articles up to May 2012. For the analysis using iGSEA4GWAS(15), default settings of 500kb up  
135 and downstream boundaries and canonical pathways was used. For DEPICT(16)  
136 (<http://www.broadinstitute.org/mpg/depict/>), SNPs were pruned to 500kb boundaries with an  $r^2$   
137 of 0.05. For INRICH(17), input files were pruned using an  $r^2$  of 0.05 using a range of 20kb up  
138 and downstream with 10,000 replicates. MAGENTA(18) was run using version July 2011,  
139 under default settings. Overlap between results from these analyses was examined using an FDR  
140  $< 0.05$  for iGSEA4GWAS,  $P < 0.005$  for DEPICT,  $P < 0.05$  for INRICH, and nominal GSEA  
141 75<sup>th</sup> percentile  $P < 0.05$ , to allow similar number of results in each dataset.

142 For the analysis of enhancer and promoter enrichment in ENCODE data, we used Haploreg  
143 v2(19), using SNPs with GWAS P-values of  $< 1 \times 10^{-6}$  for the top GWAS results, an  $r^2$  of 0.8 and  
144 using 1000 Genomes EUR Pilot data as background for enrichment. Briefly, Haploreg calculates  
145 enrichment using the background set of variants to determine the level of overlap of specifically  
146 annotated regions from the ENCODE project, and calculates an uncorrected binomial P-value.

147 Linkage disequilibrium between SNPs was estimated using the 1000 Genomes reference data in  
148 SNAP(20), the 1000 Genomes EUR reference data, or (for the calculation with the reported  
149 *DLCI* variant) the imputed genotypes in the African-American COPDGene samples, and  
150 calculated using plink. All chromosomal positions are given using the NCBI37/hg19 assembly,  
151 and alleles are referenced to the + strand.



152 **Supplemental Results**

153 **Genome-wide Association Quality Control**

154 None of the individual genome-wide association results for each cohort and phenotype  
155 demonstrated evidence of substantial inflation of p-values ( $\lambda_{GC}$  range 1.0 – 1.02). For the meta-  
156 analyses, the fixed effects analysis for Pi10 in all subjects demonstrated minimal evidence of  
157 inflation ( $\lambda_{GC}=1.06$ ,  $\lambda_{GC1000}=1.01$ ), the remainder of both fixed and modified random effects  
158 studies did not show evidence of inflation ( $\lambda_{GC} = 1.02$ ).

159

160 **Supplemental Tables**

**Table S1: Detailed results for the top genome-wide association results.** Results given for each cohort. For the analyses involving all subjects, the second line shows the P-values from the SPREG(21) analysis (for COPDGene and Norway) or for cases only (ECLIPSE).

Phenotype	Cohort	Closest Gene	Marker Name	COPDGene non-Hispanic Whites			COPDGene African-Americans			ECLIPSE			NETT			Norway			
				Beta	SE	P-value	Beta	SE	P-value	Beta	SE	P-value	Beta	SE	P-value	Beta	SE	P-value	
%LAA-950	All	HHIP	rs13141641	0.16	0.024	7.6x10 <sup>-11</sup>	0.11	0.056	0.059	0.11	0.038	0.0031	0.004	0.067	0.95	0.12	0.076	0.12	
		CHRNA3	rs55676755	-0.13	0.025	9.4x10 <sup>-8</sup>	-0.094	0.045	0.037	-0.092	0.039	0.018	-0.02	0.066	0.76	-0.097	0.077	0.21	
		AGER	rs2070600	-0.35	0.058	1.6x10 <sup>-9</sup>	-0.22	0.18	0.21	-0.22	0.1	0.029	0.26	0.14	0.065	-0.1	0.18	0.56	
		DLC1	rs75200691	0.16	0.037	2.6x10 <sup>-5</sup>	0.18	0.063	0.0042	0.13	0.057	0.027	0.11	0.097	0.25	0.17	0.11	0.11	
SERPINA10	rs45505795	-0.28	0.074	1.7x10 <sup>-4</sup>	-0.56	0.23	0.013	-0.39	0.1	0.00011	-0.064	0.16	0.7	-0.64	0.21	0.0024			
Perc15, HU	All	DLC1	rs74834049	-3.3	0.7	3.0x10 <sup>-6</sup>	-3.6	1.4	0.011	-3	1.5	0.052	-3.5	2.1	0.095	-5.3	2.3	0.02	
		HHIP	rs13141641	-2.5	0.45	1.7x10 <sup>-8</sup>	-1	1.2	0.42	-3	0.99	0.0022	-0.25	1.4	0.86	-1.8	1.5	0.23	
WAP, %	All	MIR2054	rs142200419	1.3	0.27	1.1x10 <sup>-6</sup>				1.8	0.67	0.0093	0.56	1.4	0.7	-2.8	0.71	6.9x10 <sup>-5</sup>	
Gas trapping, %	All	AGER	rs2070600	-0.24	0.042	1.4x10 <sup>-8</sup>	-0.13	0.15	0.39										
		LINC00310	rs55706246	0.11	0.03	2.3x10 <sup>-4</sup>	0.45	0.099	4.7x10 <sup>-6</sup>										

**Table S2:** Additional results from each genome-wide study. Results with  $P < 1 \times 10^{-6}$  in either the modified random effects or fixed effects analysis are shown.

Phenotype	Group	Chr	Marker Name	Closest Gene	Effect Allele	Allele Frequency		Modified Random Effects			Fixed Effects		
						Nhw	Aa	P value	Beta	SE	P value	Nhw	Aa
<b>Emphysema</b>													
%LAA-950, %	All	9	rs3919995	ZNF462	A	0.59	0.5	1.3x10 <sup>-7</sup>	-0.081	0.023	8.1x10 <sup>-8</sup>	-0.088	0.016
		20	rs183345681	CHRNA4	A	0.23	0.18	1.8x10 <sup>-7</sup>	-0.12	0.023	1.1x10 <sup>-7</sup>	-0.12	0.023
		14	rs117167774	LOC100506433	T	0.013	0.013	1.8x10 <sup>-7</sup>	0.47	0.23	0.00013	0.33	0.086
		2	rs360488	FAM84A	A	0.23	0.082	3.7x10 <sup>-7</sup>	0.09	0.038	3.0x10 <sup>-7</sup>	0.11	0.021
		1	rs7512679	TGFB2	T	0.24	0.47	4.5x10 <sup>-7</sup>	0.092	0.018	2.9x10 <sup>-7</sup>	0.092	0.018
		8	rs7823498	NRG1	T	0.79	0.73	4.6x10 <sup>-7</sup>	-0.098	0.019	3.1x10 <sup>-7</sup>	-0.098	0.019
		11	rs7947523	MIR4300	C	0.68	0.44	4.9x10 <sup>-7</sup>	-0.086	0.048	0.00014	-0.064	0.017
		20	rs2070755	PCK1	C	0.49	0.4	5.3x10 <sup>-7</sup>	0.11	0.047	0.00041	0.058	0.016
		8	rs10109725	CSMD1	T	0.03	0.0069	6.3x10 <sup>-7</sup>	0.28	0.14	8.6x10 <sup>-6</sup>	0.25	0.055
		5	rs924633	DNAH5	A	0.95	0.92	9.2x10 <sup>-7</sup>	0.18	0.092	8.1x10 <sup>-5</sup>	0.14	0.036
		4	rs62343714	LOC401164	T	0.092	0.16	1.2x10 <sup>-6</sup>	0.12	0.036	8.8x10 <sup>-7</sup>	0.13	0.026
		19	rs7937	MIA-RAB4B	T	0.57	0.3	1.5x10 <sup>-6</sup>	-0.08	0.016	9.7x10 <sup>-7</sup>	-0.08	0.016
	Cases	11	rs608194	MMP12	T	0.18	0.33	1.4x10 <sup>-7</sup>	0.05	0.074	2.9x10 <sup>-5</sup>	0.11	0.027
		6	rs72971709	GRIK2	A	0.013	0.0029	2.6x10 <sup>-7</sup>	0.38	0.31	2.6x10 <sup>-5</sup>	0.44	0.1
		18	rs12605822	ANKRD12	A	0.13	0.11	3.6x10 <sup>-7</sup>	0.17	0.072	3.0x10 <sup>-6</sup>	0.15	0.031
		14	rs3811345	LINC00617	A	0.87	0.86	4.4x10 <sup>-7</sup>	0.16	0.03	2.8x10 <sup>-7</sup>	0.16	0.03
		15	rs9788721	AGPHD1	T	0.62	0.62	5.5x10 <sup>-7</sup>	-0.1	0.025	3.5x10 <sup>-7</sup>	-0.11	0.021
		1	rs72482608	PRRX1	A	0.62	0.52	7.6x10 <sup>-7</sup>	-0.11	0.021	4.8x10 <sup>-7</sup>	-0.11	0.021
		5	rs13184316	ARL15	A	0.23	0.05	8.2x10 <sup>-7</sup>	0.07	0.1	0.78	-0.0073	0.027
<b>Perc15, HU</b>	All	1	rs72637224	XCL2	T	0.05	0.14	3.3x10 <sup>-7</sup>	3.6	1.2	2.1x10 <sup>-7</sup>	3.5	0.68
		16	rs9933712	ERCC4	A	0.021	0.38	4.2x10 <sup>-7</sup>	5.2	1.8	2.6x10 <sup>-7</sup>	3.7	0.72
		20	rs183345681	CHRNA4	A	0.23	0.18	4.7x10 <sup>-7</sup>	2.4	0.47	3.0x10 <sup>-7</sup>	2.4	0.47
		12	rs75751297	FLJ31485	A	0.47	0.36	6.6x10 <sup>-7</sup>	2.4	0.48	4.2x10 <sup>-7</sup>	2.4	0.48
		11	rs7125940	MIR4300	T	0.34	0.58	6.9x10 <sup>-7</sup>	-1.9	1	8.3x10 <sup>-5</sup>	-1.4	0.35
		15	rs144442299	UNC13C	T	0.018	0.0051	7.8x10 <sup>-7</sup>	-5.4	2.9	5.4x10 <sup>-7</sup>	-7.4	1.5
		20	rs2070755	PCK1	C	0.49	0.4	8.5x10 <sup>-7</sup>	-2.5	1.1	0.0092	-0.88	0.34

		3	rs111646341	<i>LSAMP</i>	A	0.97	0.98	9.0x10 <sup>-7</sup>	5.8	1.8	5.7x10 <sup>-7</sup>	5.6	1.1	
		14	rs45505795	<i>SERPINA10</i>	C	0.038	0.0076	9.5x10 <sup>-7</sup>	6.4	2.7	2.6x10 <sup>-6</sup>	5.2	1.1	
		4	rs10016562	<i>TRPC3</i>	T	0.62	0.73	1.0x10 <sup>-6</sup>	1.6	0.5	6.4x10 <sup>-7</sup>	1.7	0.35	
		8	rs7823498	<i>NRG1</i>	T	0.79	0.73	1.0x10 <sup>-6</sup>	2	0.4	6.4x10 <sup>-7</sup>	2	0.4	
		15	rs9788721	<i>AGPHD1</i>	T	0.62	0.62	1.1x10 <sup>-6</sup>	1.7	0.35	6.7x10 <sup>-7</sup>	1.7	0.35	
		6	rs2647050	<i>HLA-DQB1</i>	T	0.65	0.65	1.2x10 <sup>-6</sup>	1.6	0.48	7.7x10 <sup>-7</sup>	1.7	0.35	
		20	rs6080212	<i>KIF16B</i>	A	0.16	0.15	1.4x10 <sup>-6</sup>	-2.2	0.45	8.8x10 <sup>-7</sup>	-2.2	0.45	
	Cases	10	rs139326003	<i>MBL2</i>	A	0.12	0.089	1.6x10 <sup>-7</sup>	4.2	0.95	1.2x10 <sup>-7</sup>	3.9	0.74	
		11	rs185888204	<i>OR8B3</i>	A	0.11	0.11	1.9x10 <sup>-7</sup>	-7.1	3	2.5x10 <sup>-6</sup>	-6.1	1.3	
		15	rs503464	<i>CHRNA5</i>	A	0.22	0.27	2.5x10 <sup>-7</sup>	-3.2	0.6	1.5x10 <sup>-7</sup>	-3.2	0.6	
		18	rs12605822	<i>ANKRD12</i>	A	0.13	0.11	4.0x10 <sup>-7</sup>	-3.3	1.4	5.3x10 <sup>-7</sup>	-3.6	0.71	
		1	rs72482608	<i>PRRX1</i>	A	0.62	0.52	5.0x10 <sup>-7</sup>	2.5	0.48	3.2x10 <sup>-7</sup>	2.5	0.48	
		11	rs654600	<i>MMP12</i>	A	0.83	0.72	5.2x10 <sup>-7</sup>	-1.7	1.6	5.1x10 <sup>-5</sup>	-2.5	0.63	
		4	rs13140744	<i>TRPC3</i>	T	0.38	0.26	8.9x10 <sup>-7</sup>	-2.2	0.64	5.7x10 <sup>-7</sup>	-2.4	0.48	
		1	rs75565482	<i>XCL2</i>	A	0.95	0.91	1.1x10 <sup>-6</sup>	5.1	1.6	7.1x10 <sup>-7</sup>	5.2	1.1	
		14	rs3811345	<i>LINC00617</i>	A	0.87	0.86	1.5x10 <sup>-6</sup>	-3.4	0.7	9.5x10 <sup>-7</sup>	-3.4	0.7	
	<b>Airway</b>													
	<b>Pi10</b>	All	8	rs13281609	<i>CSMD3</i>	T	0.047	0.0079	3.2x10 <sup>-7</sup>	-0.044	0.01	2.2x10 <sup>-7</sup>	-0.043	0.0082
			11	rs113835537	<i>CTSF</i>	A	0.84	0.83	8.5x10 <sup>-7</sup>	0.012	0.0023	5.4x10 <sup>-7</sup>	0.012	0.0023
			1	rs654950	<i>HIVEP3</i>	C	0.42	0.12	8.6x10 <sup>-7</sup>	-0.011	0.0055	3.5x10 <sup>-6</sup>	-0.0089	0.0019
		Cases	3	rs168302	<i>GRM7</i>	T	0.66	0.87	9.8x10 <sup>-8</sup>	-0.016	0.004	6.0x10 <sup>-8</sup>	-0.017	0.0032
			9	rs4877691	<i>FAM75D1</i>	A	0.24	0.38	6.6x10 <sup>-7</sup>	-0.017	0.0078	2.0x10 <sup>-6</sup>	-0.016	0.0034
			2	rs115089939	<i>LOC647012</i>	T	0.99	1	1.1x10 <sup>-6</sup>	-0.086	0.017	7.2x10 <sup>-7</sup>	-0.086	0.017
			5	rs79581221	<i>ATG10</i>	T	0.014	0.0017	1.1x10 <sup>-6</sup>	-0.077	0.016	7.4x10 <sup>-7</sup>	-0.077	0.016
	<b>WAP</b>	All	1	rs12724666	<i>PDZK1P1</i>	A	0.033	0.0092	8.7x10 <sup>-8</sup>	1.1	0.2	5.9x10 <sup>-8</sup>	1.1	0.2
			8	rs2513900	<i>AZIN1</i>	C	0.51	0.74	2.6x10 <sup>-7</sup>	0.23	0.043	1.7x10 <sup>-7</sup>	0.23	0.043
			17	rs3826538	<i>RPA1</i>	T	0.072	0.27	1.5x10 <sup>-6</sup>	-0.35	0.071	9.3x10 <sup>-7</sup>	-0.35	0.071
		Cases	3	rs76493322	<i>GRM7</i>	A	0.46	0.45	3.1x10 <sup>-7</sup>	-0.36	0.069	2.0x10 <sup>-7</sup>	-0.36	0.069
			2	rs10932600	<i>ATIC</i>	A	0.62	0.73	1.3x10 <sup>-6</sup>	-0.32	0.065	8.4x10 <sup>-7</sup>	-0.32	0.065
			1	rs61797053	<i>KIAA1324</i>	A	0.067	0.019	1.5x10 <sup>-6</sup>	0.67	0.14	9.5x10 <sup>-7</sup>	0.67	0.14
	<b>Gas Trapping</b>													

All	4	rs1512281	<i>HHIP-AS1</i>	A	0.59	0.88	2.3x10 <sup>-7</sup>	0.082	0.016	1.9x10 <sup>-7</sup>	0.082	0.016
	8	rs74834049	<i>DLC1</i>	A	0.11	0.082	6.1x10 <sup>-7</sup>	0.12	0.024	5.0x10 <sup>-7</sup>	0.12	0.024
	1	rs6669119	<i>PAX7</i>	T	0.1	0.12	9.90E-07	-0.14	0.062	1.60E-06	-0.11	0.024
	8	rs2844036	<i>ANKRD46</i>	A	0.78	0.88	1.10E-06	-0.11	0.022	8.60E-07	-0.11	0.022
	10	rs655766	<i>BAMBI</i>	T	0.28	0.22	1.20E-06	0.08	0.016	9.90E-07	0.08	0.016
Cases	12	rs10875912	<i>MLL2</i>	T	0.66	0.67	8.30E-08	-0.091	0.017	7.10E-08	-0.091	0.017
	20	rs430086	<i>MACROD2</i>	A	0.98	0.86	2.50E-07	0.16	0.16	9.80E-06	0.19	0.044
	2	rs72822868	<i>SNAR-H</i>	T	0.91	0.98	5.20E-07	0.23	0.046	4.30E-07	0.23	0.046
	12	rs2460882	<i>SP1</i>	T	0.84	0.38	6.40E-07	0.11	0.022	5.30E-07	0.11	0.022
	11	rs1789001	<i>OR9G4</i>	A	0.57	0.43	6.80E-07	0.079	0.024	5.60E-07	0.084	0.017
	6	rs12527942	<i>MRPL14</i>	T	0.03	0.048	9.40E-07	0.32	0.34	0.033	0.1	0.047
	8	rs13259853	<i>CSMD1</i>	A	0.44	0.099	1.00E-06	-0.088	0.022	8.30E-07	-0.09	0.018
	17	rs12449664	<i>NTN1</i>	A	0.14	0.084	1.10E-06	0.15	0.03	9.10E-07	0.15	0.03

**Table S3:** Lookup of top quantitative CT association results in all subjects within separate analyses in COPD cases and non-cases.

Phenotype	Chr	Marker Name	Closest Gene	Effect Allele	Cases						Non-cases					
					Modified Random Effects			Fixed Effects			Modified Random Effects			Fixed Effects		
					P value	Beta	SE	P value	Beta	Se	P value	Beta	SE	P value	Beta	Se
%LAA-950	4	rs13141641	HHIP	T	$4.4 \times 10^{-5}$	0.09	0.030	$3.6 \times 10^{-5}$	0.09	0.021	$2.0 \times 10^{-2}$	0.05	0.021	$1.5 \times 10^{-2}$	0.05	0.021
	15	rs55676755	CHRNA3	C	$3.2 \times 10^{-6}$	-0.08	0.034	$3.1 \times 10^{-6}$	-0.09	0.021	$5.1 \times 10^{-1}$	0.02	0.022	$4.4 \times 10^{-1}$	0.02	0.022
	6	rs2070600	AGER	T	$1.9 \times 10^{-2}$	-0.08	0.095	$3.1 \times 10^{-2}$	-0.12	0.054	$1.9 \times 10^{-3}$	-0.11	0.126	$4.4 \times 10^{-3}$	-0.18	0.073
	8	rs75200691	DLC1	T	$6.3 \times 10^{-3}$	0.09	0.032	$4.4 \times 10^{-3}$	0.09	0.032	$1.4 \times 10^{-4}$	0.12	0.032	$9.4 \times 10^{-5}$	0.12	0.032
	14	rs45505795	SERPINA10	C	$3.9 \times 10^{-4}$	-0.21	0.056	$2.6 \times 10^{-4}$	-0.21	0.056	$1.8 \times 10^{-2}$	-0.18	0.073	$1.4 \times 10^{-2}$	-0.18	0.073
Perc 15	8	rs74834049	DLC1	A	$7.5 \times 10^{-4}$	-2.6	0.74	$5.1 \times 10^{-4}$	-2.6	0.74	$2.7 \times 10^{-4}$	-0.27	0.81	$1.9 \times 10^{-4}$	-2.4	0.064
	4	rs13141641	HHIP	T	$8.3 \times 10^{-5}$	-2.0	0.49	$5.5 \times 10^{-5}$	-2.0	0.49	$2.7 \times 10^{-1}$	-0.53	0.43	$2.2 \times 10^{-1}$	-0.52	0.43
Airway																
WAP	4	rs142200419	MIR2054	T	$3.1 \times 10^{-4}$	0.30	1	$3.9 \times 10^{-2}$	0.7	0.34	$3.7 \times 10^{-3}$	-0.29	1.23	$1.7 \times 10^{-2}$	0.71	0.30
Gas trapping																
%	6	rs2070600	AGER	T	$5.2 \times 10^{-3}$	-0.15	0.083	$4.4 \times 10^{-3}$	-0.13	0.047	$5.1 \times 10^{-4}$	-0.18	0.05	$4.3 \times 10^{-4}$	-0.18	0.050
	21	rs55706246	LINC00310	A	$3.7 \times 10^{-3}$	0.15	0.099	$3.2 \times 10^{-3}$	0.09	0.032	$2.2 \times 10^{-2}$	0.19	0.159	$3.9 \times 10^{-2}$	0.08	0.038

**Table S4:** Top overall quantitative CT loci not previously reported in case-control association analyses for moderate-to-severe and severe COPD in COPDGene, ECLIPSE, GenKOLS, and NETT/NAS(3)

Chr	Marker Name	Closest Gene	Effect Allele	Moderate-To-Severe COPD		Severe COPD	
				P-value	Beta	P-value	Beta
6	rs2070600	AGER	T	$2.9 \times 10^{-4}$	-0.35	$1.4 \times 10^{-5}$	-0.45
8	rs75200691	DLC1	T	0.35	0.05	0.21	0.08
8	rs74834049	DLC1	A	0.39	-0.04	0.20	-0.08
14	rs45505795	SERPINA10	C	$3.4 \times 10^{-5}$	0.42	$1.6 \times 10^{-5}$	0.51
4	rs142200419	MIR2054	T	0.25	0.19	0.47	0.14

21	rs55706246	<i>LINC00310</i>	A	$6.5 \times 10^{-3}$	-0.16	$1.2 \times 10^{-2}$	-0.18
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