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# CONFORMATIONAL PREFERENCE OF CIS-1-ARYL-2-(2-BENZO[C]PHENANTHRYL)-AND CIS-1-ARYL-2-(3-PHENANTHRYL)-ETHENES

BY

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NMR measurements under different conditions (temperature, solvents used, presence of a shift-reagent) of *cis*- and *trans*-1-aryl-2-(2-benzo[c]phenanthryl)-ethenes reveal that an overcrowded conformation predominates in *cis* isomers. In the analogous 1-aryl-2-(3-phenanthryl)ethenes no clear conformational preference is found.

In connection with investigations on the formation and properties of helicenes<sup>1,2,3</sup> we were interested in the conformational equilibria of diarylethenes, used in the syntheses of helicenic molecules. To this aim we investigated the NMR spectra of several *cis-trans* pairs of arylbenzo[c]phenanthrylethenes (I-VI) and arylphenanthrylethenes (VII-X).

Güsten and Salzwedel found<sup>4</sup> that  $\delta$ -values of olefinic and aromatic protons in substituted *cis*-stilbenes are always larger than in corresponding *trans* compounds as a consequence of mesomeric effects in the non-planar *cis* isomers. However, in diarylolefines having larger aryl residues the steric relationships between *cis* and *trans* isomers are much more complicated.

For *cis*-I two extreme conformations are possible, *cis*-IA or *cis*-synand *cis*-IB or *cis*-anti-, which interchange by rotation around the  $C_{\alpha}$ - $C_{3}$ axis. (In *cis*-II, -III, -IV, -VIII and -IX four conformations are possible because also rotation around the  $C_{\beta}$ - $C_{Ar}$  axis leads to different conformations.) Although neither IA nor IB can be completely planar,

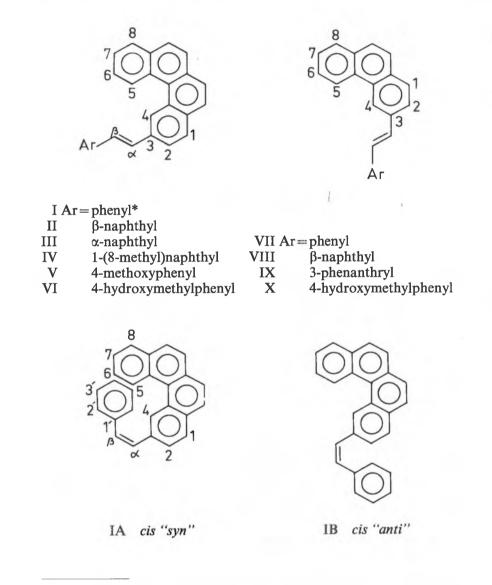
- <sup>3</sup> W. H. Laarhoven and Th. J. H. M. Cuppen, Recl. Trav. Chim. Pays-Bas, 92, 553(1973)
- W. H. Laarhoven and M. H. de Jong, Recl. Trav. Chim. Pays-Bas 92, 651(1973)
- <sup>4</sup> H. Güsten and M. Salzwedel, Tetrahedron 23, 173, 187 (1967).

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<sup>&</sup>lt;sup>1</sup> W. H. Laarhoven, Th. J. H. M. Cuppen and R. J. F. Nivard, Tetrahedron 26, 4865 (1970).

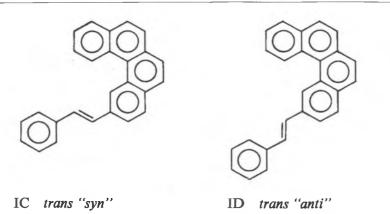
<sup>&</sup>lt;sup>2</sup> W. H. Laarhoven and R. J. F. Nivard. ibid. 28, 1803 (1972).

crowding in IA will be much more serious. Therefore, IB seems to be preferred to IA. The most important conformations of the *trans* isomer (IC and ID) are both coplanar.



\* The numbering of the benzo[c]phenanthrene moiety, which is not in accordance with IUPAC-rules, has been chosen in order to simplify tabulation of data from both series I-VI and VII-X (see Tables I-IV).

#### Cis-1-aryl-2-(3-benzo[c]phenanthryl) ethenes



It can be assumed that protons 5, 6 and 7 in the cis-syn forms (A) are

It can be assumed that protons 5, 6 and 7 in the *cis-syn* forms (A) are shifted upfield if compared with the corresponding protons in conformations C and D of the *trans* isomers. This will not be the case in the *cis-anti* forms (B).

A comparison of the NMR spectra of the *cis-trans* isomers investigated shows that in *trans* isomers of compounds I-VI the angular protons 4 and 5 have about the same chemical shift value (8.9–9.1, Table I); in the *cis*-isomers they are clearly separated ( $\Delta\delta$ : 0.44–0.80 ppm). A similar difference was found for angular protons 4 and 5 in the phenanthrene series VII-X: coinciding  $\alpha_3$ -protons in *trans* compounds,  $\delta = 8.6-8.8$ , but in *cis* isomers  $\Delta\delta = 0.20-0.25$  ppm. In both series the multiplets of the other aromatic protons are much more extended to higher field in the spectra of *cis* than in those of *trans* isomers.

By spin decoupling  $\delta$ -values of several protons could be determined accurately. These values are given in Table I, together with differences in  $\delta$ -values ( $\Delta\delta$ ) of the corresponding protons in *cis-trans* isomers. The  $\Delta\delta$ -values of ethenic protons (about 0.50 ppm) are equal to those found in stilbene derivatives<sup>4</sup>. The spin-spin coupling constants of  $\alpha$ - and  $\beta$ protons are  $J_{cis}12.0\pm0.5$  and  $J_{trans}16.0\pm0.5$ .

 $\Delta\delta$ -values of 2'- and 3'-protons in V and VI (0.16 and 0.12, respectively) are rather small in comparison with those found in *para*-substituted stilbenes<sup>4</sup> (0.25 and 0.16, respectively). This may be due to a reduction of the mesomeric influence of the substituent on the ring positions 2' and 3'. This might be a consequence of the large polarisability of the benzphenanthryl residue in our series.

The large  $\Delta\delta$ -values found for protons 5 and 6 in compounds I-VI suggest that the *cis* isomers are predominantly in the sterically unfavoured *cis-syn* conformation. The largest  $\Delta\delta$ -values are found in the

## Table I

## Chemical shifts ( $\delta$ in ppm) of protons in *cis* and *trans* isomers of compounds I-X, and differences in chemical shifts ( $\Delta\delta$ ) between corresponding protons in *cis-trans* isomers, measured in CS<sub>2</sub> solution.

Benzo[c]phenanthrene series	Proton:	α,β	2′	3'	4	5	6	7	CH <sub>3</sub> or CH <sub>2</sub> X
I	cis	6.72			8.83	8.33	7.18		
	trans	7.23			8.96	9.00			
	Δδ	0.51			0.13	0.67			
II	cis	6.84			8.78	8.06	6.44	7.20	
	trans	7.34			9.03	9.06	7.58		
	Δδ	0.50			0.25	1.00	1.14		
III	cis	7.05			8.70	7.98	6.81	7.28	
	trans				9.06	9.03	7.61		
	Δδ				0.36	1.05	0.80		
IV	cis				8.78	7.98	6.61	7.25	2.96
	trans				9.14	9.10			2.96
	Δδ				0.36	1.12			0
v	cis	6.62	7.22	6.67	8.79	8.35		1	3.62
	trans	7.11	7.38	6.78	8.92	8.99			3.75
	Δδ	0.49	0.16	0.11	0.13	0.64			0.13
VI	cis	6.73	7.25	7.14	8.79	8.26			4.49
. –	trans	7.21	7.42	7.27	8.97	9.02			4.57
	Δδ	0.48	0.17	0.13	0.18	0.76			0.08

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Phenanthrene series	Proton:	α,β	2′	3′	4	5	6	7	CH <sub>3</sub> or CH <sub>2</sub> X
VII	cis	6.81			8.55	8.36			
	trans	7.36			8.71	8.74			
	Δδ	0.55			0.16	0.38			
VIII	cis	6.94			8.62	8.38			
	trans	7.51			8.76	8.76			
	Δδ	0.57			0.14	0.38			
IX	cis	7.02			8.66	8.42			
	trans	7.59			8.80	8.78			
	Δδ	0.57			0.14	0.36			
х	cis	6.69, 6.86			8.41	8.21			
	trans				8.55	8.55			
	Δδ		1		0.14	0.34			

Table I (contd.)

All NMR spectra were recorded with a Varian HA 100 spectrometer.

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naphthyl derivatives II-IV, in which the additional ring apparently causes stronger shielding of these protons in the *cis*-isomers.

The striking resemblance between the preferred conformation of the *cis* isomers and hexahelicene is illustrated in Fig. 1.

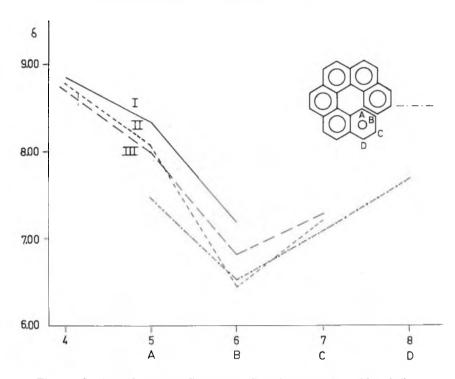


Fig. 1.  $\delta$  values of corresponding protons in *cis* isomers I-III and hexahelicene.

In the phenanthrene series (VII-X) preference for the *cis-syn* conformation in *cis* isomers seems to be much less pronounced. The  $\Delta\delta$ values of proton 5, which are lower than in compounds I-VI, appear to be independent of the size of the aryl residue. A comparison with a helical molecule as given in Fig. 1 is not possible in this series.

Another indication that at least in the *cis* isomers of the benzophenanthrene series a helical conformation is the preferred one, was found in the temperature dependence of their NMR spectra. As demonstrated in Fig. 2 for *cis*- and *trans*-III, on decrease in temperature all peaks shift to higher field but to a strongly varying degree. In Table II the temperature-dependence of  $\delta$ -values for several protons in compounds I-IV, VII and VIII are given.

#### Table II

	α	β	4		5		6		7	
	cis	trans	cis	trans	cis	trans	cis	trans	cis	tran
I	-0.04	0	-0.11	-0.11	-0.23	-0.04	-0.10			
II	0.0	-0.02	-0.10	-0.11	-0.27	- 0.06	-0.39		-0.06	
III	-0.02		-0.10	-0.18	-0.22	-0.06	-0.16			
IV	-0.03		+0.11		-0.33		-0.27			
VII*	-0.03		+ 0.01		+ 0.08					
VIII*	+0.03	+0.07	+0.05	+0.05	+0.13	+0.07				

Temperature dependence of chemical shifts (ppm $10^2/^{\circ}$ C) in CS <sub>2</sub> solutions
between 25° and $-70$ °C. (Negative values mean upfield shifts on lowering of temperature.)

\* Measured in AsCl<sub>3</sub>.

#### Table III

### Temperature-effect on chemical shift of protons in compound II, measured in different solvents (ppm 10<sup>2</sup>/°C).

	α,β		4		5		6		7	
€	cis	trans	cis	trans	cis	trans	cis	trans	cis	trans
CS <sub>2</sub>	0	-0.02	-0.10	-0.11	-0.27	-0.06	-0.39		-0.06	_
diglyme	+ 0.08	+0.02	-0.06	0	-0.26	0	-0.33	-	-0.02	-
DMSO	-0.05	-	-0.11	-0.07	-0.27	0.06	-0.36	-	-0.08	_

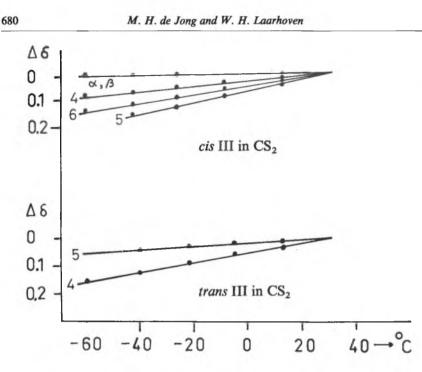


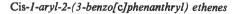
Fig. 2. Temperature effect on the chemical shifts of protons  $\alpha$ ,  $\beta$ , 4, 5 and 6 in *cis* and *trans* III ( $\Delta\delta$  in ppm).

It is improbable that the large effect on the chemical shift of protons 5 and 6 in compounds I-IV arises from specific solvent effects. The temperature dependence of all protons in hexahelicene, measured in the same solvent was found to be lower than 0.01 (ppm  $10^2/^{\circ}C$ ); for protons 4 and 5 of 3-methylbenzo[c]phenanthrene (numbering as in our formulae) values of 0.06 and 0.04, respectively, were found. Moreover, a quite similar temperature dependence was found for protons 5 and 6 in varying solvents, as shown in Table III for compound II.

Therefore, the upfield shifts of protons 5 and 6 in *cis* compounds I-IV on decrease in temperature seem to be due to a shift in the conformational equilibrium in the direction of the *cis-syn* form.

For a final check we measured NMR spectra of the *cis* and *trans* isomers of VI and X in the presence of a shift reagent, tris(dipivalomethanato)-praseodymium.

An exact analysis of these data is not possible because the influence of the reagent on peaks of various protons depends not only on their distance to the praseodymium atom but also on the relevant H—Pr—O angle which is not known. Moreover, a possible influence of the reagent on the conformational equilibria has been neglected.



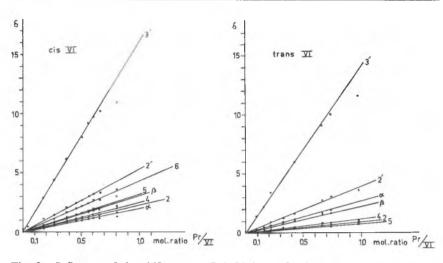


Fig. 3. Influence of the shift reagent  $Pr(DPM)_3$  on  $\delta$ -values of protons in *cis* and *trans* VI ( $\delta$  in ppm; solvent  $CS_2$ ).

In Fig. 3 shifts of several protons in *cis* and *trans* VI are given in dependence on the mol, ratio  $Pr(DPM)_3/VI$ . In Table IV the shifts of protons in compounds VI and X are given relative to that of proton 3'. These data suggest that in *cis* VI proton 6 is much closer to Pr than 2-H or 4-H. The data on *cis* X are not conclusive; the position of the 6-H signal could not be determined exactly due to overlap by other protons.

Table IV

	protons:	2′	3'	α	β	2	4	5	6
cis VI trans VI cis X trans X		0.33 0.30 0.33 0.33	1 1 1 1	0.12 0.17 0.12 0.18	0.19 0.16 0.19 0.18	0.15 0.06 0.18	0.15 0.08 0.14 0.08	0.19 0.06 0.12	0.28

Shifts of proton peaks caused by the shift reagent tris(dipivalomethanato)praseodymium, relative to the shift of 3'-H measured in CS<sub>2</sub>.

From these results it can be concluded that the *cis* isomers of compounds I-VI exist mainly in the overcrowded conformations\*. Com-

<sup>\*</sup> After completion of this investigation Prof. R. H. Martin informed us that he had drawn a similar conclusion. See: R. H. Martin, N. Defay, H. P. Figeys, K. Lè Van, J. J. Ruelle and J. J. Schurter, Helv. Chim. Acta 55, 2241 (1972).

parison of  $\delta$ -values of corresponding protons in hexahelicene and in *cis*-I shows that at room temperature about 80% of the latter compound will be in the *syn* conformation A. We assume that the preference for an overcrowded conformation is caused by van der Waals interactions between the aryl residues. It is remarkable that a similar conformational preference is not found in the *cis* compounds VII-X. This difference might be due to a lower polarizability of the phenanthrene moiety in comparison with the benzo [c] phenanthrene group.

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