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Profiling of carotenoids and antioxidant capacity of microalgae from subtropical coastal and brackish waters

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1 **Profiling of carotenoids and antioxidant capacity of microalgae from subtropical coastal**
2 **and brackish waters**

3

4 Running title: Carotenoids and antioxidant capacity of subtropical microalgae

5

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19

20 **Abstract**

21 Carotenoids are associated with various health benefits, such as prevention of age-related
22 macular degeneration, cataract, certain cancers, rheumatoid arthritis, muscular dystrophy and
23 cardiovascular problems. As microalgae contain considerable amounts of carotenoids, there is
24 a need to find species with high carotenoid content. Out of hundreds of Australian isolates,
25 twelve microalgal species were screened for carotenoid profiles, carotenoid productivity, and

26 *in vitro* antioxidant capacity (total phenolic content (TPC) and ORAC). The top four
27 carotenoid producers at 4.68-6.88 mg/g dry weight (DW) were *Dunaliella salina*, *Tetraselmis*
28 *suecica*, *Isochrysis galbana*, and *Pavlova salina*. TPC was low, with *D. salina* possessing the
29 highest TPC (1.54 mg Gallic Acid Equivalents/g DW) and ORAC (577 μ mol Trolox
30 Equivalents/g DW). Results indicate that *T. suecica*, *D. salina*, *P. salina* and *I. galbana* could
31 be further developed for commercial carotenoid production.

32

33 **Keywords:** Carotenoids, microalgae, antioxidant capacity, ORAC, total phenolics

34

35 **1. Introduction**

36 Carotenoids are found mostly in green leafy and yellow-coloured vegetables and orange-
37 coloured fruits. Carotenoids are lipophilic compounds and have been divided into carotenes
38 and xanthophylls based on their chemical structure. The carotenes are hydrocarbons whereas
39 the xanthophylls have oxygenated functional groups making them more polar than the
40 carotenes (Stahl & Sies, 2012). Carotenoid pigments provide protection to the photosynthetic
41 apparatus in plants by dissipating excess energy. They also have a major role in
42 photosynthesis by harvesting light and by stabilizing protein folding in the photosynthetic
43 apparatus. Carotenoids quench singlet oxygen which mainly arises from sunlight absorption
44 by chromophores and thus protect chlorophylls, lipids, proteins and DNA from oxydative
45 damage. More than 600 different carotenoids are known to be present in nature and their
46 distribution, molecular structure or the presence of specific biosynthesis pathways have been
47 suggested as useful tools for algal classification (Ibañez & Cifuentes, 2013).

48

49 Carotenoids have been used as feed for aquaculture and as food colorants for many decades
50 and are increasingly becoming popular as dietary supplements. Carotenoids are known as

51 important antioxidants for human health and there is evidence that they are involved in other
52 biological functions, e.g. regulatory effects on intra- and intercellular signaling and gene
53 expression (Stahl & Sies, 2012). Several trials have reported that carotenoids can protect
54 from lung cancer, amyotrophic lateral sclerosis, and several other degenerative diseases
55 (Ibañez & Cifuentes, 2013). Diets rich in carotenoids (in particular, lutein and zeaxanthin) are
56 well known to reduce the prevalence of age-related macular degeneration (Schalch *et al.*,
57 2007). There are also reports that carotenoids can protect human skin against UV-induced
58 damage. Therefore, it has been suggested that increasing the carotenoid content in food can
59 lead to the improvement of the nutritional quality of the diet, as high conservation of
60 fundamental cellular signaling processes and protective mechanisms are observed in nature
61 (Stahl & Sies, 2012).

62

63 Among the various sources of carotenoids, microalgae have recently created a wide interest
64 due to several advantages: they are relatively easy to cultivate, do not need to compete with
65 food production, and can adapt to environmentally changing conditions by producing a great
66 variety of secondary metabolites. The biosynthesis of carotenoids can be triggered by either
67 controlling the cultivation conditions or by using genetic engineering approaches. Moreover,
68 microalgae can also be used to purify and take up nutrients from wastewater (Ibañez &
69 Cifuentes, 2013). Two microalgal strains, *Dunaliella salina* and *Haematococcus pluvialis* are
70 well known to accumulate β -carotene (up to 14% dry weight (DW)) and astaxanthin (2–3%
71 DW), respectively, under stress conditions (Ibañez & Cifuentes, 2013). The carotenoids from
72 these two strains are already used as food coloring agents, vitamin supplements for food and
73 animal feed, as well as additives to food and cosmetics (Hu, Lin, Lu, Chou & Yang, 2008).
74 *Muriellopsis* sp. has been exploited for commercial production of lutein due to its high
75 growth rate and high lutein content (up to 35 mg L⁻¹; Eonseon, Lee, Hyund, & Chang, 2003).

76 *Chlorella vulgaris* has also been reported as a high producer of lutein (Plaza, Herrero,
77 Cifuentes, & Ibanez, 2009). *Chlorella ellipsoidea* has been reported to produce violaxanthin,
78 coupled with two other xanthophylls, viz. antheraxanthin and zeaxanthin (Plaza *et al.*, 2009).
79 Recently, there has been interest in fucoxanthin, a carotenoid available in brown algae and
80 diatoms, due to claims that it can inhibit cell growth and induce apoptosis in human cancer
81 cells and that it possesses anti-inflammatory, anti-oxidant, anti-diabetic and anti-obesity
82 properties (Maeda, Hosokawa, Sashima, Funayama, & Miyashita, 2005). However, screening
83 studies comparing carotenoid profiles of different algal strains have been studied scarcely so
84 far, with contents reported for 15 strains of chlorophycean (Del Campo, Moreno, Rodriguez,
85 Vargas, Rivas & Guerrero, 2000) and 65 strains of red algae (Schubert and Garcia-Mendoza,
86 2006).

87

88 The oxidative damage caused by reactive oxygen species on lipids, proteins and nucleic acids
89 may trigger various chronic diseases, such as coronary heart disease, atherosclerosis, cancer
90 and ageing. Epidemiological studies have demonstrated an inverse association between intake
91 of fruits and vegetables and mortality from age-related diseases, such as coronary heart
92 disease and cancer, which may be attributed to their phytochemicals and antioxidant capacity
93 (Charles, 2013). Thus, it is important to identify new sources of safe and inexpensive
94 antioxidants of natural origin.

95

96 Phenolic compounds constitute one of the most numerous and ubiquitous groups of
97 phytochemicals which possess a high spectrum of biological activities, including antioxidant,
98 anti-inflammatory, and antimicrobial functions. A large body of preclinical research and
99 epidemiological data suggest that plant phenolics can slow the progression of certain cancers
100 and reduce the risks of cardiovascular disease, neurodegenerative diseases, and diabetes

101 (Kim, Shin, & Jang, 2009). Due to these beneficial characteristics of phenolic compounds for
102 human health, they have been the focus of intensive research (Martins, Mussatto, &
103 Martinez-Avila, 2011), and there is considerable interest in the application of phenolic
104 compounds from plants in the nutraceutical and pharmaceutical industries. Due to the
105 advantages discussed earlier, microalgae rather than vascular plants can potentially be a more
106 useful source of these bioactive compounds.

107

108 Although macroalgae have received much attention as a potential source of natural
109 antioxidants (Duan, Zhang, Li, & Wang, 2006), there has been very limited information on
110 antioxidant capacity of microalgae. There are a number of reports on the evaluation of
111 antioxidant capacity of some species belonging to the genera *Botryococcus* (Rao, Sarada,
112 Baskaran, & Ravishankar, 2006), *Chlorella* (Wu, Ho, Shieh, & Lu, 2005), *Dunaliella*
113 (Herrero, Jaime, Martín-Álvarez, Cifuentes, & Ibáñez, 2006), *Nostoc* (Li, Cheng, Wong, Fan,
114 Chen, & Jiang, 2007), *Phaeodactylum* (Guzmán, Gato, & Calleja, 2001), *Polysiphonia* (Duan
115 *et al.*, 2006), *Scytosiphon* (Kuda *et al.*, 2005), *Arthrospira* (Herrero, Martín-Álvarez,
116 Senorans, Cifuentes, & Ibáñez, 2005; Jaime, Mendiola, Herrero, Soler-Rivas, Santoyo,
117 Senorans, Cifuentes, & Ibáñez, 2005) and *Synechocystis* (Plaza, Santoyo, Jaime, Reina,
118 Herrero, Senorans, & Ibáñez, 2010).

119

120 In this study, we present carotenoid profiles of twelve microalgal strains collected from
121 subtropical marine and brackish waters of commercial interest especially in the aquaculture
122 industry. We also present for the first time antioxidant capacity data of these microalgae to
123 identify local strains with the highest potential for large-scale cultivation. Such information
124 will help to identify the usefulness of these microalgae strains for human health as food
125 additives or as dietary supplements.

126

127 **2. Materials and methods**128 **2.1. Chemicals**

129 Chemicals were purchased from Merck (Kilsyth, VIC, Australia) or Sigma-Aldrich (Castle
130 Hill, NSW, Australia) and were of analytical or HPLC grade. Lutein, zeaxanthin and β -
131 cryptoxanthin, astaxanthin and β -carotene were purchased from Extrasynthese (Genay,
132 France). Milli-Q water was used throughout unless otherwise stated.

133

134 **2.2. Preparation of microalgal samples**

135

136 Out of several hundred microalgal isolates that were collected in coastal or brackish water
137 and maintained at the Algae Biotechnology Laboratory at the University of Queensland,
138 Australia, twelve strains were selected, mainly based on their rapid growth and ease of
139 handling compared to many other cultures. The microalgal strains *Dunaliella salina*,
140 *Isochrysis galbana*, *Nannochloropsis* sp. BR2, *Pavlova lutheri*, *P. salina*, *Chaetoceros*
141 *muelleri*, *C. calcitrans*, *Tetraselmis chui*, *T. suecica*, *Tetraselmis* sp. M8 have been described
142 previously (Lim, Garg, Timmins, Zhang, Thomas-Hall, Schuhmann, *et al.* 2012).
143 *Phaeodactylum tricornutum*, and *Dunaliella tertiolecta* were supplied by CSIRO's collection
144 of living microalgae (Strain IDs: CS 29/8 and CS 175/8; Genbank accessions EF140622.1
145 and EF537907.1; respectively). Pure cultures were obtained and 18S rDNA sequencing was
146 carried out as described previously (Lim *et al.* 2012; respectively). Microalgal cultures were
147 inoculated from master cultures in 250 mL flasks with F/2 media (AlgaBoostTM F/2 2000x).
148 When they reached the late exponential growth phase (doubling times were twice as long as
149 during highest exponential growth), the cultures (100 mL) were centrifuged, the supernatant

150 was decanted and the remaining biomass was washed in MilliQ water before freeze-drying.
151 The freeze-dried samples were kept at -20°C until extraction.

152

153 **2.3. Analysis of carotenoids**

154

155 The carotenoid extraction was based on a previously published method (Fanning *et al.*, 2010)
156 with slight modifications. The samples were crushed using a mortar and pestle and then
157 weighed (10-60 mg) into 50 mL Falcon tubes. The samples were then kept on ice throughout
158 the extraction. A total of 10 mL acetone was added to the samples followed by vortexing.
159 Then, 10 mL hexane and 5 mL 10% NaCl were added. The mixture was vortexed and then
160 centrifuged at $3000 \times g$ at 4°C for 3 min. The top layer of the supernatant was then transferred
161 to another Falcon tube and another 10 mL hexane was added followed by vortexing. The
162 process was repeated until the supernatant became colourless. The combined hexane fractions
163 were then dried in a centrifugal evaporator prior to being reconstituted in 2.5 mL
164 methanol/dichloromethane (50/50, v/v) for HPLC analysis.

165

166 The HPLC-PDA analysis was undertaken as previously described (Fanning *et al.*, 2010) with
167 only minor modifications to the gradient. The following 54 min gradient was used: 0 min,
168 80% phase A; 48 min, 20% phase A; 49 min, 80% phase A; 54 min, 80% phase A (phase A -
169 92% methanol/8% 10 mM ammonium acetate, phase B - 100% methyl tert butyl ether). The
170 extracts (20 μL) were injected onto a YMC C30 Carotenoid Column, 3 μm , 4.6 x 250 mm
171 (Waters, Milford, MA, USA). Using the HPLC conditions described above, an MS scan was
172 undertaken between 530 – 610 mass units in the APCI+ mode (Fu, Magnúsdóttir,
173 Brynjólfson, Palsson, & Paglia, 2012), using the following system and conditions. An
174 Acquity UPLC H-Class system connected to a Quattro Premier triple quad (Micromass MS

175 Technologies, Waters Corporation, Milford, MA, USA) was used. Source temperature and
176 probe temperature were 150°C and 600°C, respectively, desolvation and cone gas flow were
177 450 L/h and 50L/h, respectively, and corona, cone and extractor voltages were 5.0 μ A, 30V
178 and 3V, respectively.

179

180 The carotenoids were identified by comparison of retention times, UV/Vis spectra and mass
181 spectra against authentic standards (Lu *et al.*, 2009), and the concentrations of the identified
182 carotenoids were determined using individual calibration curves. Furthermore, an epoxide
183 test as described by Dugo, Herrero, Giuffrida, Ragonese, Dugo & Mondello (2008) was
184 conducted to confirm the identity of some of the peaks. Three separately-grown cultures were
185 used for each strain ($n=3$).

186

187 **2.4. Antioxidant capacity**

188

189 The freeze-dried samples were crushed using a mortar and pestle and then weighed (10-100
190 mg) into 50 mL Falcon tubes. The samples were then stored on ice throughout the extraction.
191 Extractions were conducted separately by addition of 10 mL water, hexane or ethyl acetate.
192 The mixture was vortexed and then centrifuged at 3000 x g in 4°C for 10 min. The
193 supernatants were transferred to clean Falcon tubes. The extraction procedure was repeated
194 until the supernatants were colourless. The supernatant was then dried in a centrifugal
195 evaporator and reconstituted in 5 mL water (water extracts) or 5 mL isopropanol (ethyl
196 acetate and hexane extracts).

197

198 For measuring the reducing capacity of the microalgal samples, the total phenolic assay by
199 Folin-Ciocalteu reagent, was used as described previously (Singleton & Rossi, 1965; Schlesier,

200 Harwat, Bohm, & Bitsch, 2002). In brief, the extracts (25 μ L) were loaded in 96 well-plates and
201 125 μ L of Folin-Ciocalteu reagent and 125 μ L sodium carbonate were added. The absorbance
202 was read at 750 nm in a PerkinElmer VICTOR 2030 multilabel counter (PerkinElmer,
203 Waltham, MA, USA). Gallic acid monohydrate was used as a standard for calculating the
204 amount of total phenolics in the samples and was expressed as Gallic Acid Equivalents
205 (GAE)/g DW of microalgae and calculated as mean value \pm SD ($n=3$; from separately-grown
206 cultures).

207

208 For measuring the radical absorbance capacity, the oxygen radical absorbance capacity
209 (ORAC) assay as described by Huang, Ou, Hampsch-Woodill, Flanagan, & Prior (2002) was
210 used. In brief, 20 μ L of the diluted samples (1:50 with 75 mM phosphate buffer, pH 7.0) was
211 loaded in black 96 well flat bottom plates. The same amount of trolox (6.25 – 100 μ M) and
212 phosphate buffer were used in the plates as standard and blank, respectively. Then 200 μ L
213 fluorescein solution (92 μ M) was added and the mixture was incubated at 37°C for 8 min in a
214 PerkinElmer VICTOR 2030 multilabel counter. Then 25 μ L AAPH (2,2-Azobis (2-
215 methylpropionamide) dihydrochloride; 79.65 mM) was added to the mixture to start the
216 reaction and the fluorescence was recorded every 2 min for 90 min. Samples were assayed at
217 an excitation wavelength of 490 nm and an emission wavelength of 515 nm. The oxygen
218 radical absorbance capacity of the samples was expressed as μ mol Trolox Equivalents (TE)/g
219 DW of microalgae and calculated as mean \pm SD ($n = 3$; from separately grown cultures).

220 2.5 Statistical analyses

221 The data for total carotenoids, phenolics and antioxidant capacity (ethyl acetate, hexane, and
222 water extracts) of the twelve microalgae strains were compared by one-way ANOVA and
223 Tukey's HSD tests were used to compare differences between strains. Differences were
224 considered significant when p values were below 0.05.

225

226 **3. Results and discussion**

227

228 **3.1. Carotenoid profiles of microalgae**

229

230 The present study reports on carotenoid profiles and contents as well as antioxidant capacity
231 of 12 microalgae species from subtropical coastal and brackish waters. Eight carotenoids,
232 namely trans-violaxanthin, antheraxanthin, astaxanthin, lutein epoxide, lutein, zeaxanthin, α -
233 and β -carotene (Fig. 1) were identified as major carotenoids in some of the various species
234 studied (Table 1). The epoxide test confirmed the identity of lutein epoxide as the peak
235 disappeared after the addition of 0.1 M HCl. There were two other major carotenoids, which
236 were tentatively identified as cis-isomers of violaxanthin or neoxanthin (Table 1). Due to the
237 lack of a cis-violaxanthin or cis-neoxanthin standard and the similarity in the UV/Vis and
238 mass spectra of these compounds there was no basis for further differentiation since an NMR
239 system was not available. However, the acidification of the extract (epoxide test) showed
240 changes in the absorbance spectra of the tentatively identified peaks, with a decrease of
241 around 20 nm, and appearance of neochrome was observed. This is in similarity with the
242 findings of Dugo *et al.* (2008) who reported disappearance of neoxanthin, violaxanthin and
243 antheraxanthin peaks due to acidification of saponified samples of orange juice. Both the
244 carotenoid profile (Table 1) and total carotenoid content (Fig. 2) showed large variation
245 between different strains. β -carotene was present in all of the twelve strains and it was the
246 dominating carotenoid in *Tetraselmis* sp. M8 (49.9%), and *T. chui* (38.1%; Table 1). Trans-
247 violaxanthin was also detected in all strains, except *P. tricorutum* and was the most
248 dominating carotenoid in *Nannochloropsis* sp. BR2 (52.5%). The next abundant carotenoids
249 were lutein and its epoxide dominating the carotenoid levels in *D. salina* (65.2%) and *D.*

250 *tertiolecta* (lutein: 21.8 and lutein epoxide: 13.3%; Table 1). The tentatively identified cis-
251 isomers of violaxanthin and neoxanthin were the most dominant carotenoids in *C. muelleri*
252 (85%), *P. tricornutum* (82.4%), *C. calcitrans* (78%), *P. salina* (75.9%), *I. galbana* (63.8%),
253 and *P. lutheri* (44.1%; Table 1). Astaxanthin was detected only in two strains (*T. suecica* and
254 *Nannochloropsis* sp. BR2) and was present in substantial quantities in *T. suecica* (38.8%).
255 Statistical tests showed significant differences in total carotenoids among the 12 microalgal
256 strains (one-way ANOVA; $p < 0.001$). *D. salina* (6.879 mg/g DW) had the highest total
257 carotenoid content among the 12 strains evaluated (Tukey's test; $p < 0.001$), followed by *T.*
258 *suecica* (5.807 mg/g), *I. galbana* (5.035 mg/g) and *P. salina* (4.678 mg/g; Fig. 2) whereas *D.*
259 *tertiolecta* had the lowest content with 1.053 mg/g. Based on the results of Tukey's test, it
260 can be concluded that there were three statistically similar groups: the first containing *D.*
261 *salina* only, the second containing *I. galbana*, *P. salina* and *C. muelleri*, (*T. suecica*
262 remaining in between these two groups) and the third containing the remaining seven strains
263 (Fig. 2).

264

265 The commercially produced carotenoid, astaxanthin was found in substantial quantities
266 (38.8%; 2.3 mg/g DW) in *T. suecica* confirming its suitability for further studies, that would
267 need to condition it under large-scale cultivation as a potential commercial astaxanthin
268 producer. *T. suecica* has already been used as a source of astaxanthin in feeding trials of the
269 calanoid copepod *Acartia bifilosa* (Holeton, Lindell, Holmborn, Hogfors, & Gorokhova,
270 2009). Zeaxanthin, a carotenoid important in prevention of age-related macular degeneration,
271 age-related cataract formation and ophthalmoprotection in visual processes (Schalch et al.,
272 2007), was found as a minor component only in *D. salina* and *D. tertiolecta*.

273 The present study found *D. salina* to be a rich source of lutein (65.2%; 4.5 mg/g). The
274 content is slightly lower than the Taiwanese *D. salina* strain (6.55 mg/g) reported by Hu *et*

275 *al.*, (2008) although the cultivation conditions of the strain were not discussed by the authors.
276 The results are coincident with the findings of Perez-Garcia, Escalante, de-Bashan, & Bashan
277 (2011) that *Dunaliella* sp. can possess lutein up to 14% body weight under autotrophic
278 condition. The only carotenoid that was found across all microalgal species, as a major
279 component, was β -carotene, and as expected, the highest amount was found in *D. salina*. This
280 strain is already well known for its ability to produce high amounts of β -carotene (up to 14%
281 DW; Ibañez & Cifuentes, 2013) under high salinity, high temperature, high light intensity and
282 nitrogen limitation and is used in production plants in Australia, China, India and Israel
283 (Borowitzka, 2013). Several other *Dunaliella* species (e.g. *D. bardawil*) have also been
284 reported to produce high amounts of β -carotene (Mogedas, Casal, Forján, Vílchez, 2009) but
285 *D. tertiolecta* used in this study did not have enough β -carotene to justify its use in further
286 carotenoid studies. Some successful astaxanthin biosynthesis induction techniques, e.g. high
287 irradiance, nutrient deprivation (nitrogen and phosphorus) in *H. pluvialis* have been reviewed
288 (Del Campo, García-González, & Guerrero, 2007) and could be attempted with *T. suecica* to
289 try to make it a commercially suitable alternative to *H. pluvialis*. Lutein and its isomer were
290 found in almost all strains, with the content in *D. salina* being as high as the ones reported in
291 *Muriellopsis* sp., *Scenedesmus almeriensis*, *Chlorella protothecoides* and *Chlorella*
292 *zofingiensis* (Blanco, Moreno, Del Campo, Rivas, & Guerrero, 2007; Shi, Wu, & Chen 2006;
293 Del Campo et al., 2007). Based on high lutein contents, *I. galbana*, and *P. salina* could also
294 be considered other candidates for further studies for induction of lutein biosynthesis.

295

296 **3.2. Antioxidant capacity of microalgae**

297

298 The total phenolic content varied from 0.068 mg GAE/g DW (*D. tertiolecta* hexane extract)
299 to 1.54 mg GAE/g DW (*D. salina* ethyl acetate extract) for different microalgal species and

300 also for different extracts. Among the three solvents used for extraction, ethyl acetate extracts
301 had the highest yields for all microalgal species (Fig. 3). *D. salina* (ethyl acetate: 1.54 mg
302 GAE/g DW; hexane: 0.32 mg GAE/g DW) and *T. suecica* (ethyl acetate: 0.77 mg GAE/g
303 DW; hexane: 0.3 mg GAE/g DW) had the highest total phenolic content among all species in
304 both, ethyl acetate and hexane extracts (Tukey's test; $p < 0.001$; Fig. 3). However, in water
305 extracts, the highest values were obtained for *I. galbana* (0.235 mg GAE/g DW) and *P.*
306 *lutheri* (0.225 mg GAE/g DW; Tukey's test; $p < 0.001$; Fig. 3). The results of Tukey's test for
307 ethyl acetate and hexane extracts clearly indicate statistical differences of *D. salina* and *T.*
308 *suecica* to the other strains, however, for water extracts such distinctive conclusions could not
309 be reached.

310 The ORAC values varied from 45 (*T. suecica* hexane extract) to 577 $\mu\text{mol TE/g DW}$ (*D.*
311 *salina* ethyl acetate extract) (Fig. 4). Among the three solvents used for this study, the ethyl
312 acetate extracts had the highest ORAC values for all species except *I. galbana* (ethyl acetate:
313 169 $\mu\text{mol TE/g DW}$; hexane: 207 $\mu\text{mol TE/g DW}$; water: 149 $\mu\text{mol TE/g DW}$), and *P.*
314 *lutheri* (ethyl acetate: 176 $\mu\text{mol TE/g DW}$; hexane: 126 $\mu\text{mol TE/g DW}$; water: 273 μmol
315 TE/g DW). Among all microalgae tested, *D. salina* had the highest ORAC values in both
316 ethyl acetate (577 $\mu\text{mol TE/g DW}$) and hexane (288 $\mu\text{mol TE/g DW}$) extracts (Tukey's test;
317 $p < 0.001$; Fig. 4). In the comparison of ORAC values, the top five species in ethyl acetate
318 extracts were *D. salina*, *P. tricornutum*, *C. muelleri*, *P. salina* and *T. suecica*, in hexane
319 extracts were *D. salina*, *I. galbana*, *P. salina* and *Nannochloropsis* sp. BR2 and in water
320 extracts were *P. lutheri*, *P. tricornutum*, *P. salina*, *C. muelleri* and *T. suecica* (Fig. 4). Similar
321 to total phenolics, the results of Tukey's test for ethyl acetate and hexane extracts for ORAC
322 assays clearly indicated statistical differences of *D. salina* compared to the other strains,
323 while for water extracts such distinctive conclusions could not be found.

324

325 Phenolic/polyphenolic compounds are secondary metabolites and stress compounds that are
326 involved in chemical protective mechanisms against different factors of biotic (e.g. grazing,
327 settlement of bacteria or other fouling organisms) and abiotic (e.g. UV-radiation, metal
328 contamination) stresses (Connan & Stengel, 2011). Unlike the findings of Li et al., (2007)
329 and Hajimahmoodi, Faramarzi, Mohammadi, Soltani, Oveisi, & Nafissi-Varcheh (2010), the
330 highest total phenolic contents in the current study were found in the ethyl acetate extracts.
331 The current study, however, confirmed very low total phenolic levels (<5 mg GAE/g DW),
332 similar to the 23 microalgal strains studied by the previously mentioned authors. The low
333 total phenolic contents might be due to the culture conditions as no oxidative or other stress
334 was provided that might trigger the production of more phenolic compounds as described in
335 *Spirulina platensis* (Kepekçi & Saygideger, 2012).

336

337 The ORAC assay is considered more biologically relevant than diphenylpicrylhydrazyl
338 (DPPH) and other similar protocols and is especially useful for extracts when multiple
339 constituents co-exist and complex reaction mechanisms are involved (Huang *et al.*, 2005).
340 The antioxidant capacity in the species studied was higher than the ones reported previously
341 (Blanco *et al.*, 2007). The results also differ from the findings of Blanco *et al.*, (2007) who
342 reported higher antioxidant capacities in water extracts. This discrepancy might be due to the
343 differences of the chemical nature of the compounds that contribute to antioxidant responses
344 within the cellular structure of these species. The ORAC values reported in the current study
345 (45-577 $\mu\text{mol TE/g DW}$) are comparable to or higher than those reported for various fruits
346 and spice extracts which include blueberry (46 $\mu\text{mol TE/g DW}$; Prior *et al.*, 1998) and
347 strawberry (540 $\mu\text{mol TE/g DW}$; Huang *et al.*, 2002), but lower than cinnamon (1256 μmol
348 of TE/g DW ; Su, Yin, Charles, Zhou, Moore, & Yu, 2007). This confirms the suitability of
349 microalgae as a good source of natural antioxidants.

350

351 **4. Conclusion**

352

353 Most of the commercially important carotenoids were found in microalgae from Australia
354 which also exhibited a high oxygen radical absorbance capacity comparable to some fruits,
355 indicating their potential for further studies to enhance production of bioactive compounds.
356 However, there was significant diversity in the carotenoid profiles and contents between the
357 species. Based on the results presented here, *T. suecica*, *D. salina*, *P. salina* and *I. galbana*
358 are promising candidate species for further studies to increase the production of specific
359 carotenoids through process optimization (e.g. growth conditions, harvesting, extraction,
360 downstream processing) and advanced biotechnology (e.g. genetic or metabolic engineering
361 and metabolic flux modeling).

362

363

364 **5. Acknowledgements**

365

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367 Australian Research Council for financial support.

368

369 **6. References**

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514 **Figure Captions**

515

516 **Figure 1.** Representative HPLC chromatogram of carotenoids extracted from microalgae
517 monitored at 450 nm. Numbered peaks indicate 1. putative violaxanthin/neoxanthin isomer,
518 2. putative violaxanthin/neoxanthin isomer, 3. trans-violaxanthin, 4. antheraxanthin, 5.
519 astaxanthin, 6. lutein epoxide, 7. lutein, 8. zeaxanthin, 9. α -carotene, 10. β -carotene isomers.

520

521 **Figure 2.** Ranking of twelve microalgal strains by total carotenoid contents (sum of
522 identified/tentatively-identified carotenoids). Shown are mean values and SEs from three
523 separately-grown cultures for each strain.

524

525 **Figure 3.** Total phenolic content of ethyl acetate (A), hexane (B) and water (C) extracts of
526 microalgal strains. Shown are mean values and SEs from three separately-grown cultures for
527 each strain.

528

529 **Figure 4.** ORAC values of ethyl acetate (A), hexane (B) and water (C) extracts of microalgal
530 strains. Shown are mean values and SEs from three separately-grown cultures for each strain.

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539 **Tables**

540 **Table 1.** Characteristics and concentration (mean \pm SD, $\mu\text{g/g}$ DW and % of total in
541 parenthesis) of carotenoids in 12 microalgae strains from subtropical coastal and brackish
542 waters.
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Table 1. Characteristics and concentration (avg \pm sd, $\mu\text{g/g}$ DW and % of total) of carotenoids in 12 microalgae strains from subtropical coastal and brackish water.

Peak	Carotenoid	t_R (min)	λ_{max} (nm)	m/z	<i>Dunaniella</i> <i>salina</i>	<i>Dunaniella</i> <i>tertiolecta</i>	<i>Tetraselmis</i> sp. M8	<i>Isochrysis</i> <i>galbana</i>	<i>Tetraselmis</i> <i>chui</i>	<i>Tetraselmis</i> <i>suecica</i>	<i>Pavlova</i> <i>salina</i>	<i>Pavlova</i> <i>lutheri</i>	<i>Chaetoceros</i> <i>muelleri</i>	<i>Nannochloropsis</i> sp. BR2	<i>Phaeodactylum</i> <i>tricornutum</i>	<i>Chaetoceros</i> <i>calcitrans</i>
1	Putative violaxanthin/neoxanthin isomer	5.6	449	600, 582	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	127 \pm 59 (9)	2105 \pm 208 (47)	n.d.	n.d.	n.d.
2	Putative violaxanthin/neoxanthin isomer	6.6	449	582	n.d.	360 \pm 81 (34)	n.d.	3205 \pm 53 (64)	n.d.	n.d.	3545 \pm 112 (76)	450 \pm 160 (35)	1672 \pm 132 (38)	n.d.	2404 \pm 100 (82)	1795 \pm 10 (78)
3	Trans-violaxanthin	7.2	440	602	619 \pm 104 (9)	100 \pm 40 (9)	229 \pm 21 (11)	244 \pm 32 (5)	546 \pm 227 (21)	1408 \pm 68 (24)	267 \pm 69 (6)	147 \pm 4 (13)	375 \pm 58 (8)	1078 \pm 371 (52)	n.d.	338 \pm 19 (15)
4	Antheraxanthin	10.2	446	585	279 \pm 30 (4)	42 \pm 16 (4)	126 \pm 19 (6)	n.d.	201 \pm 9 (8)	825 \pm 45 (14)	n.d.	n.d.	n.d.	165 \pm 63 (8)	n.d.	n.d.
5	Astaxanthin	10.6	470	597	n.d.	n.d.	n.d.	n.d.	n.d.	2261 \pm 281 (39)	n.d.	n.d.	n.d.	321 \pm 107 (16)	n.d.	n.d.
6	Lutein	12.8	445	552	4494 \pm 435 (65)	207 \pm 66 (22)	665 \pm 78 (31)	1194 \pm 269 (23)	624 \pm 23 (26)	484 \pm 121 (8)	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
7	Lutein epoxide	11.5	446	583	n.d.	153 \pm 88 (13)	n.d.	n.d.	n.d.	n.d.	521 \pm 241 (11)	438 \pm 227 (32)	146 \pm 113 (3)	n.d.	280 \pm 25 (10)	n.d.
8	Zeaxanthin	14.1	450	570	122 \pm 16 (2)	43 \pm 21 (4)	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
9	Alpha carotene	27.2	n/d	n/d	126 \pm 8 (2)	12 \pm 3 (1)	30 \pm 5 (2)	n.d.	174 \pm 10 (7)	202 \pm 19 (4)	56 \pm 19 (1)	n.d.	n.d.	n.d.	n.d.	n.d.
10	Betacarotene	29.5	452	538	1235 \pm 11 (18)	136 \pm 30 (13)	1057 \pm 168 (50)	393 \pm 83 (8)	941 \pm 23 (38)	626 \pm 62 (11)	288 \pm 22 (6)	139 \pm 33 (11)	159 \pm 46 (4)	446 \pm 13 (24)	209 \pm 39 (8)	169 \pm 8 (7)

n.d.: not detectable

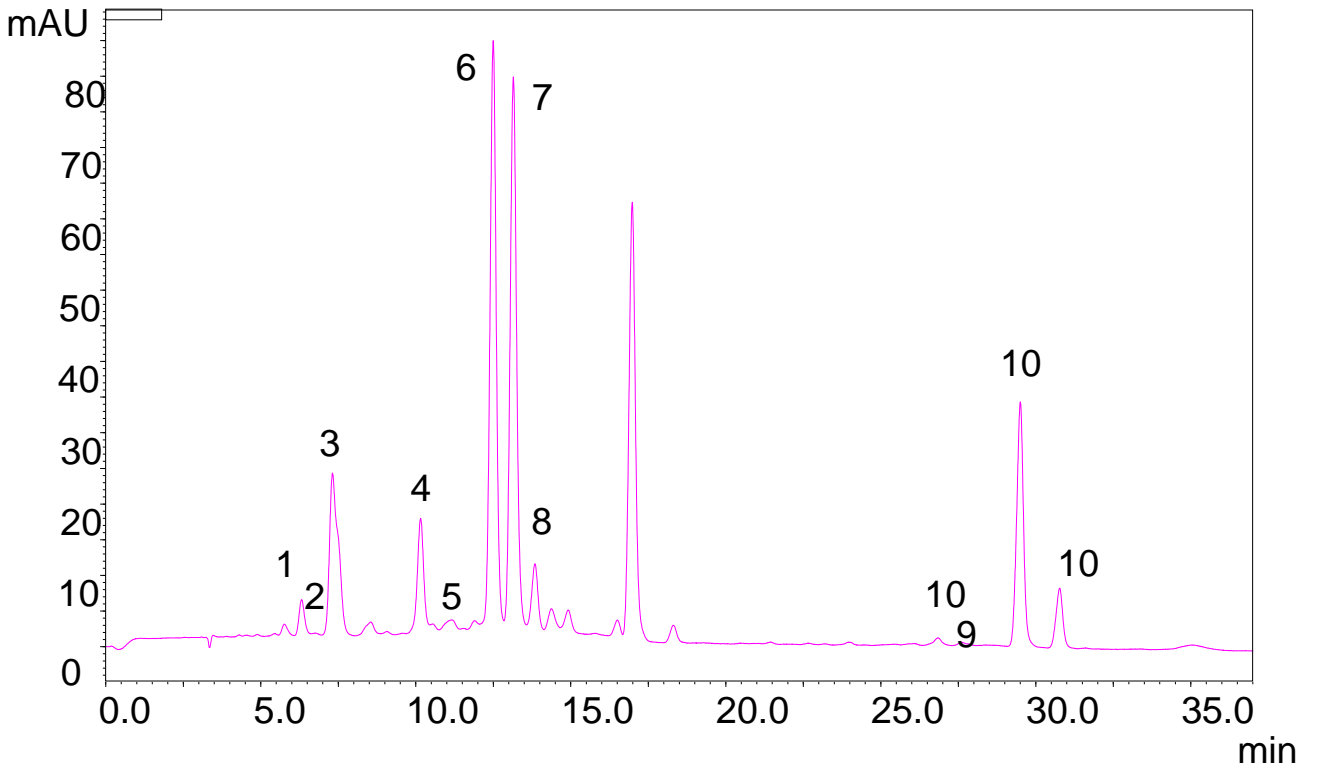


Figure 1

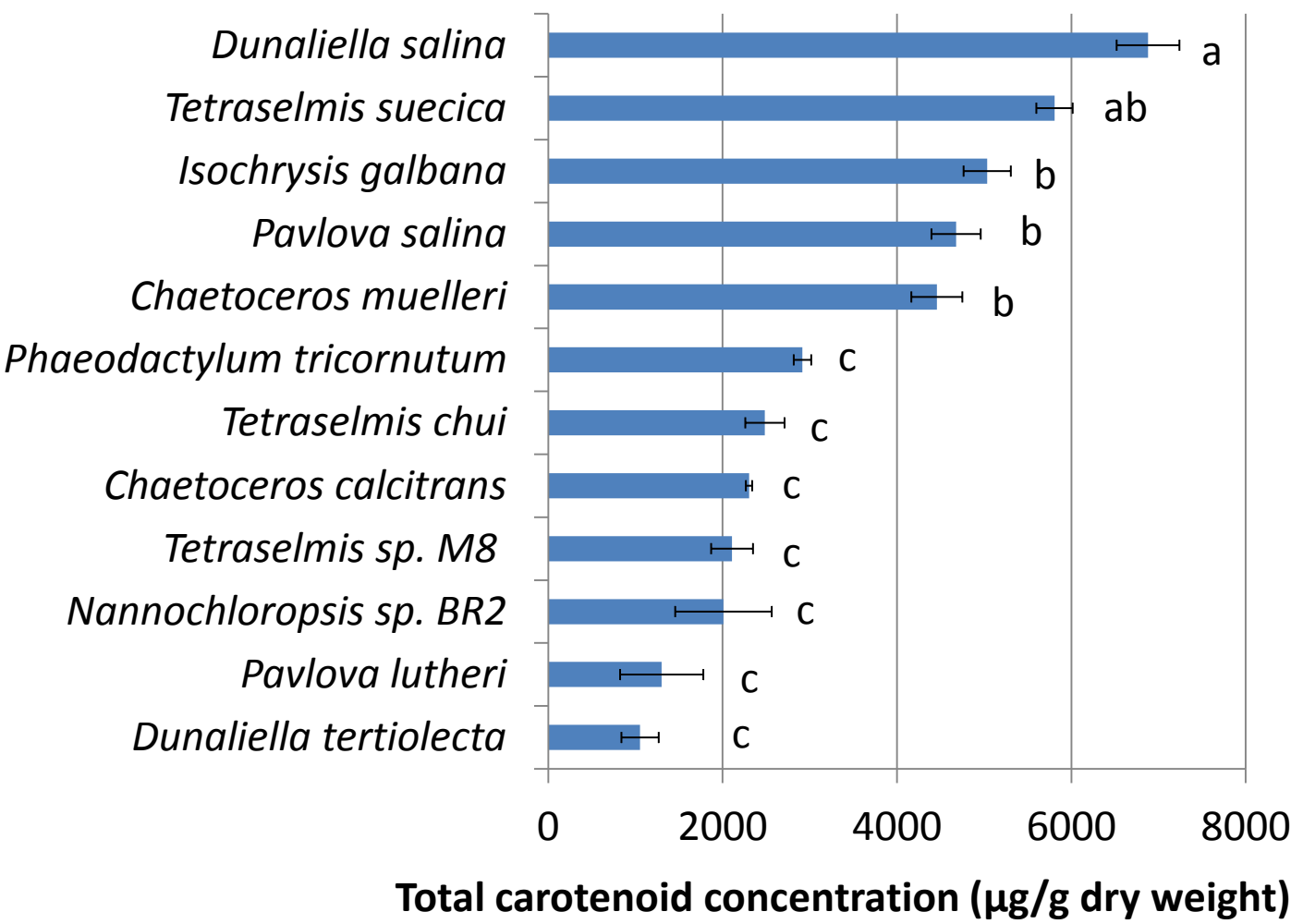


Figure 2

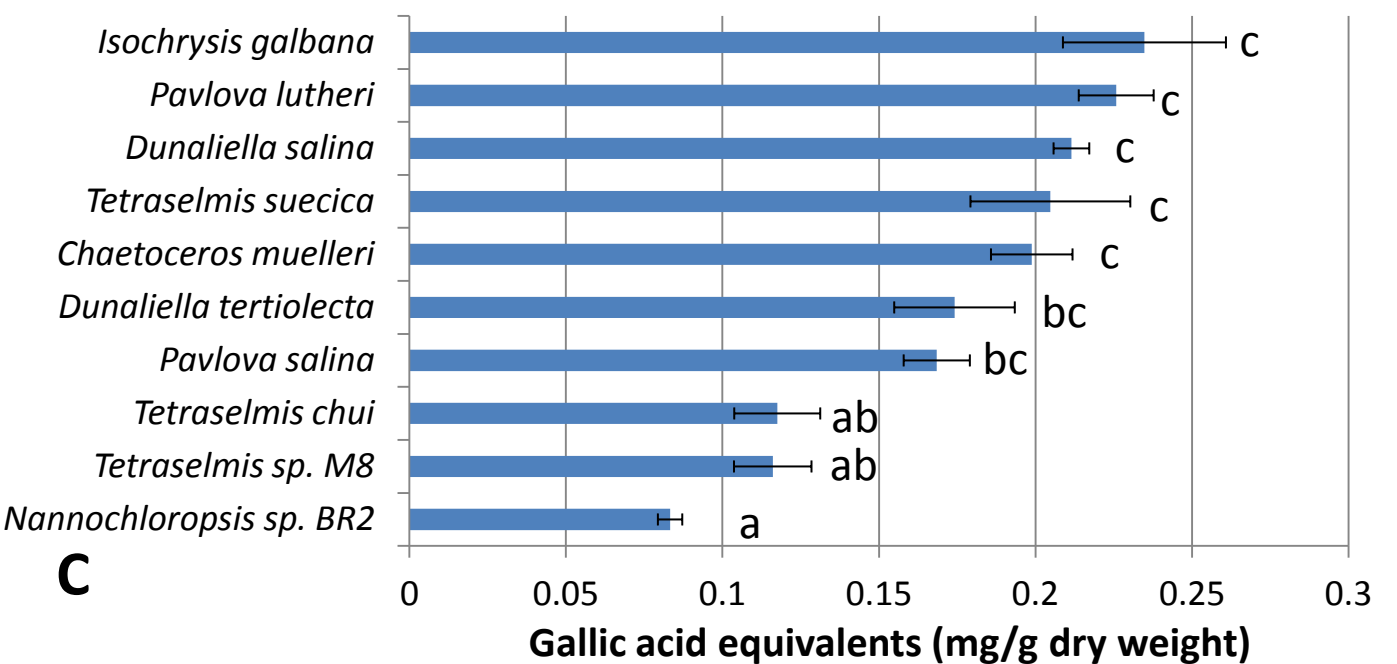
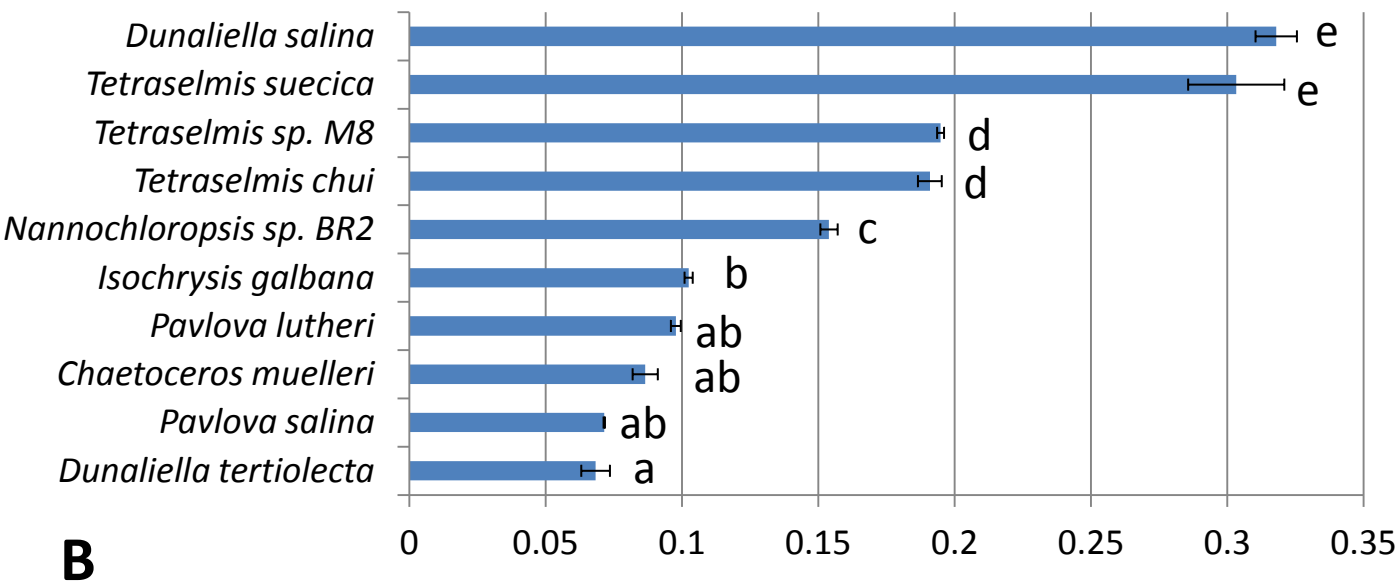
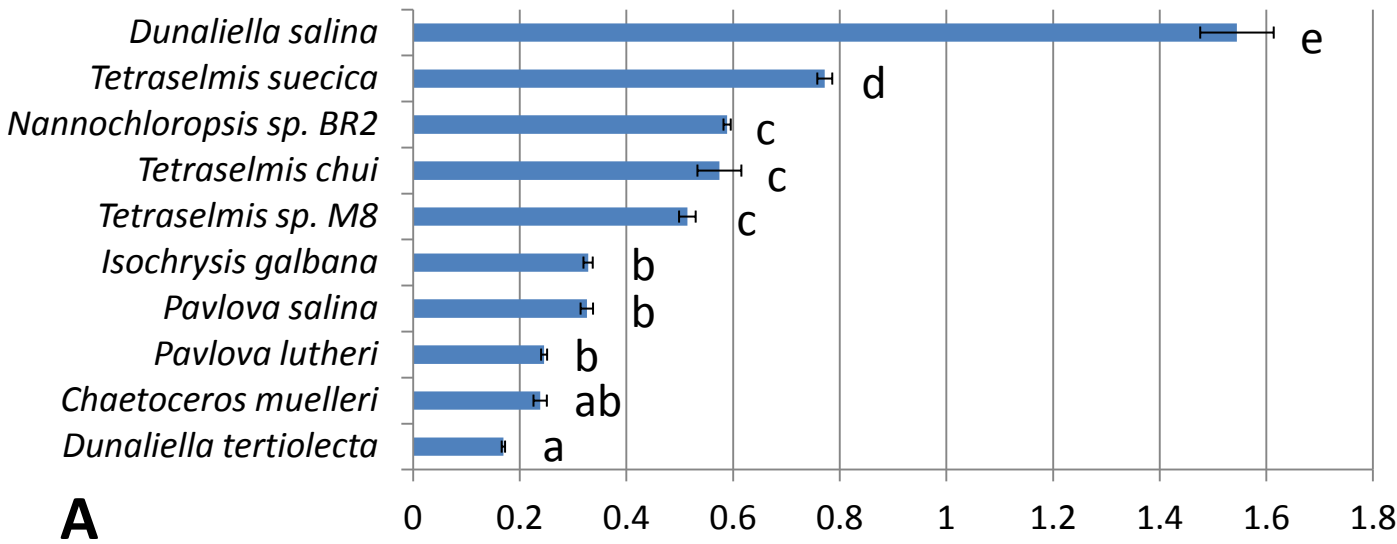
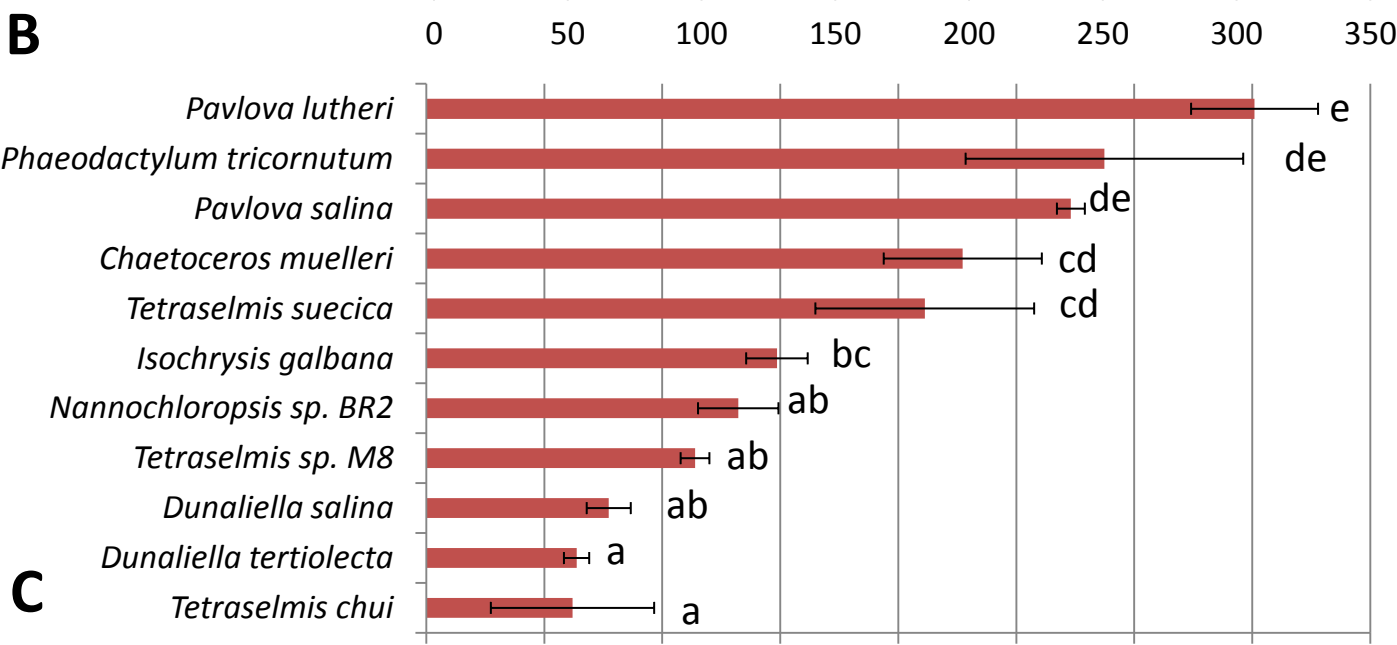
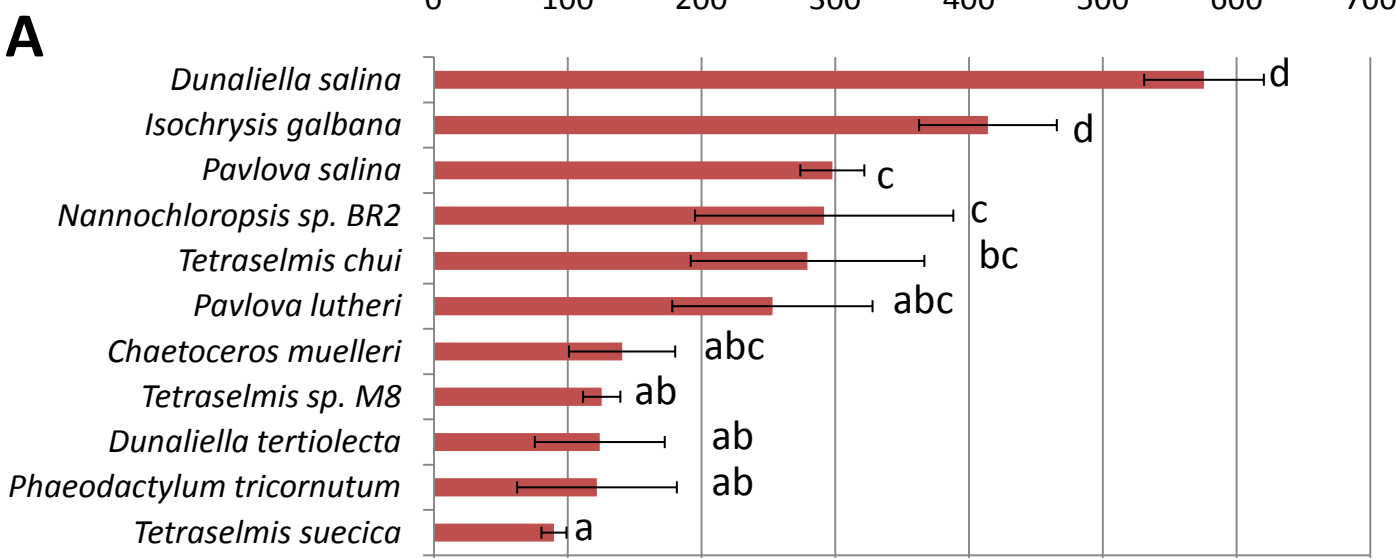
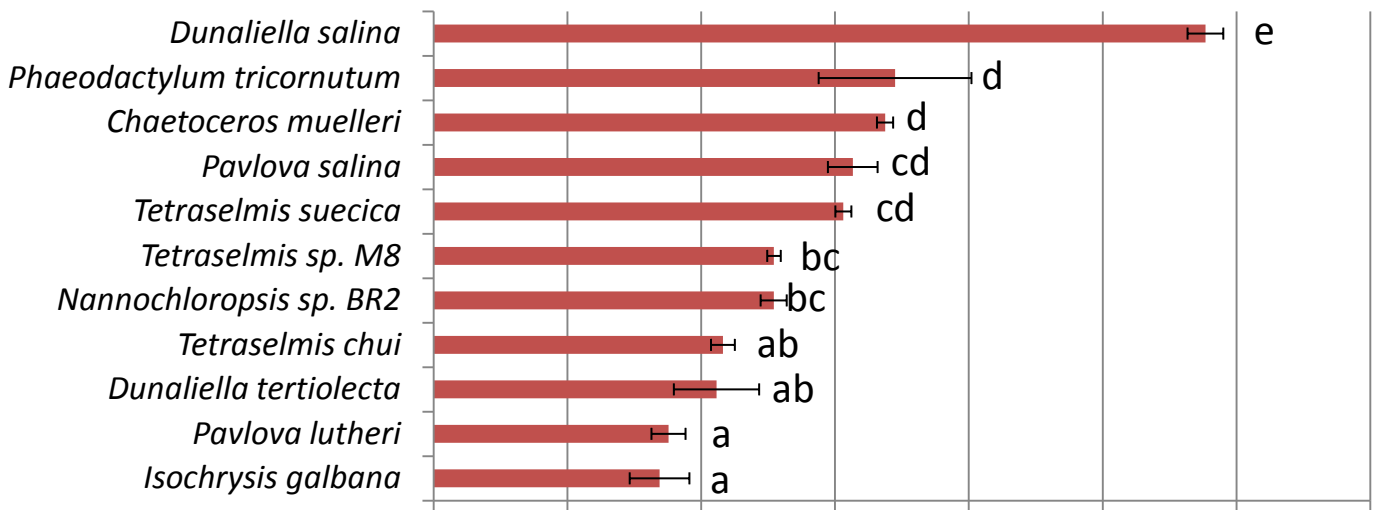


Figure 3



Trolox Equivalents (μmol/g dry weight)

Figure 4

544 **Highlights**

545

- 546 • Carotenoid profiles and antioxidant capacity data of microalgae are presented.
- 547 • *Tetraselmis suecica* and *Dunaliella salina* were the highest carotenoid producers.
- 548 • Total phenolics contents in microalgae were relatively low.
- 549 • Antioxidant capacity was comparable or higher than in plants.
- 550 • Carotenoid accumulation should be further induced by biotic or abiotic stress.

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