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- Coral reefs are considered in the context of acclimatisation, evolution and migration.
- Evidence that these processes play a major role in reducing climate change is minimal.
- In contrast, coral reef ecosystems are rapidly declining across the world.
- Implementing solutions to local factors will buy important time and is essential.
- Future sustainability of coral reefs depends on a rapid decrease in CO<sub>2</sub> emissions.

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**Title:**

Coral reef sustainability through adaptation: Glimmer of hope or persistent mirage?

**Short title:**

Coral reef adaptation: glimmer of hope or mirage?

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**Abstract:**

Coral reefs are highly threatened by human activities at both global (ocean warming and acidification) and local scales (overfishing, pollution, and physical destruction) as current rates of environmental change exceed those seen for tens of millions of years. Recent authors, however, have suggested that coral reefs might increase their tolerance to these rapid environmental changes through acclimatisation, genetic adaptation, and migration. While there is evidence of all three responses acting within coral populations, there is little basis for the conclusion that reef-building corals and coral reefs will become more sustainable and resilient over time. Most studies that make the latter claim have correctly identified components and mechanisms but have otherwise incorrectly extended evidence that is otherwise *necessary but not sufficient* to support the conclusion that coral reefs will survive to their ability to acclimatise, adapt and/or migrate to the current rapid environmental changes.

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8 ecosystems.  
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14 **Keywords:**

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16 Coral reefs, sustainability, acclimatisation, evolution, migration, global climate change, ocean  
17 warming, ocean acidification  
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- 23 • Coral reefs are considered in the context of acclimatisation, evolution and migration.
  - 24 • Evidence that these processes play a major role in reducing climate change is  
25 minimal.  
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  - 27 • In contrast, coral reef ecosystems are rapidly declining across the world.
  - 28 • Implementing solutions to local factors will buy important time and is essential.
  - 29 • Future sustainability of coral reefs depends on a rapid decrease in CO<sub>2</sub> emissions.  
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4 **Introduction**  
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7 The distribution and abundance of coral reef ecosystems is declining rapidly in response to  
8 rapid changes to the environment that surrounds them. It has been  
9 Proposed [1] that “evidence for the ability of individuals or communities to adaptively respond  
10 to  
11 thermal stress suggests that bleaching thresholds may increase in response to climate  
12 warming”. This is an important statement in that it implies we may have more time with  
13 respect to the pressures that coral reefs and related ecosystems. At its heart, is the notion that  
14 reef building corals might become partly or fully sustainable on their own to rapid global  
15 change through evolutionary processes, despite the unprecedented rates of environmental  
16 change in today’s oceans. As will be argued here, however, evidence for evolutionary  
17 adaptation is scant when compared to the much more abundant evidence of rapidly declining  
18 coral populations and associated coral reef ecosystems.  
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26 Coral reefs are found throughout the world’s tropics and can be separated into two broad  
27 categories based on whether or not their calcium carbonate skeletons build up over time to  
28 create the complex three-dimensional structures that typify carbonate coral reefs. Carbonate  
29 coral reefs are found in the region from 30° north or south of the equator where local physical  
30 and chemical conditions are favourable [2]. At the fringe of this distribution (in addition to  
31 regions affected by equatorial upwelling), there are often dense populations of reef-building  
32 corals that don’t build carbonate reef structures [3]. While both coral reef ecosystems are  
33 typified by high levels of biological diversity, carbonate coral reefs are among the most  
34 diverse ecosystems on the planet with an estimated 1 – 9 million species [4]. Both types of  
35 reef systems provide ecosystem goods and services to human populations through support of  
36 fisheries, tourism and broader benefits such as the protection of coastal areas from waves and  
37 storm impacts [5,6].  
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46 **Local and global pressures**  
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48 In addition to being important in terms of their biological diversity and contributions to  
49 humanity, coral reef ecosystems are among the most highly threatened ecosystems, with coral  
50 reefs and human activities tending to collide along tropical coastlines across the world [7,8].  
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53 Currently there are at least 500 million people living along tropical coastal areas with the  
54 number expected conservatively to double by the end of century. As coastal populations  
55 have increased, coastlines have been modified, fisheries overexploited, and levels of pollution  
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have increased rapidly [7,9,10]. Looking across the world's coral reefs, 75% are threatened [7]

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4 and as much as 95% may be in danger of being lost by mid-century [11,12]. These numbers  
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6 have been reinforced by numerous analyses of literature which document rapid decreases in  
7 the size of coral populations. In Southeast Asia and the Western Pacific, coral reefs have  
8 declined by 50% since the early 1980s [13,14]. In the Caribbean, dramatic declines of well  
9 over 90% since the 1970's have been documented for coral cover on Jamaican reefs  
10 [15] and the wider  
11 Caribbean [16,17]. Similar reports exist for the Indian Ocean and other sites [18].

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15 Carbon dioxide arising from the burning of fossil fuels as well as changes to land use have  
16 driven atmospheric concentrations of carbon dioxide to levels that have not been seen for tens  
17 of millions of years [19]. These changes have increased the retention of heat, consequently  
18 driving up  
19 planetary temperatures [Hansen et al. 2013]. At the same time, CO<sub>2</sub> has entered the ocean in  
20 increasing amounts leading to rapidly declining pH and carbonate ion concentrations [19-21].  
21 These changes are occurring at a rate which is unprecedented in 65 Ma if not 300 Ma [22].

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25 In addition to increasing coral mortality, human influences can also result in a series of  
26 cumulative impacts on fundamental parameters such as coral growth, calcification  
27 and  
28 reproduction. Reef-building corals are vulnerable to changes in the temperature and  
29 carbonate chemistry of seawater [12,23-25]. Small changes in temperature over the summer  
30 maxima (under normal light levels) will disrupt the mutualistic symbiosis between reef  
31 building corals and symbiotic dinoflagellates from the genus *Symbiodinium*, resulting in a  
32 sudden whitening of their tissues as the brown symbionts leave (i.e. bleaching, [12]). If the  
33 levels of thermal stress are small (1°C) and short-lived (3-4 weeks), bleached corals will  
34 recover over the months that follow a heat stress event [25-27]. However, if conditions of  
35 warmer (+2-3°C above the long-term summer maxima) for longer (> 6 weeks) corals will  
36 starve, suffer disease and/or die in great numbers [26,28]. Since the first reports in the 1980s,  
37 mass coral bleaching and mortality has affected the majority of coral reefs in the world often  
38 with transformative impacts on coral populations and ecosystem structure [18].

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41 While coral bleaching, disease and mortality are significant endpoints of thermal stress, there  
42 is additional evidence of impacts on the growth and reproduction of corals. Reduced growth  
43 from thermal stress [20] and also significantly reduced reproduction of corals that experience  
44 thermal stress and bleaching [30] is reported. Changes to the carbonate chemistry of  
45 seawater as a result of ocean acidification also have impacts on growth, calcification and  
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4 possibly reproduction as pH and carbonate ion concentrations decrease, with impacts have a  
5 number of life history stages of corals and related tropical organisms [23,24,31].  
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8 Previous studies of the impact of these changes on coral reefs have focused on short-term  
9 experimental exposures to changes in temperature or CO<sub>2</sub>. Very few experiments (exception,  
10 [32], however, have involved attempts to expose communities or ecosystems to elevated  
11 temperature and CO<sub>2</sub>, limiting our understanding of how reefs might change under future  
12 conditions that involve changes to both ocean warming and acidification. Experiments have  
13 also tended to exclude natural variability in conditions that ultimately may have significant  
14 implications for the interpretation of responses. For example, the partial pressure of CO<sub>2</sub> in  
15 waters flowing around Heron Island on the southern Great Barrier Reef experience increases  
16 to 450 ppm in the summer due to changing rates of reef photosynthesis and calcification [B.  
17 Tilbrooke, CSIRO *in* Dove et al 2013]. Consequently, experiments that set their CO<sub>2</sub> levels  
18 at constant partial pressures of 450 ppm in order to explore lower IPCC scenarios such as  
19 SRES-B1 or RCP4.5 are actually only exploring conditions typical of summer under today's  
20 conditions.  
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32 Taking these issues on board, [33] exposed coral communities within  
33 mesocosms to replicated changes in both temperature and CO<sub>2</sub> levels, while at the same time  
34 incorporating diurnal and seasonal variability. The computer controlled system involves  
35 multiple replicate flow-through mesocosms which contain a small section of coral reef and  
36 which are designed to approximate the density and mix of corals and other organisms found  
37 on nearby reef crest communities at Heron Island (Figure 1). After acclimatisation,  
38 conditions in some of these 6000 L mesocosms are adjusted to different offsets across the  
39 treatments for long periods of time (3 – 24 months). The treatments investigated included  
40 Preindustrial, Control (today) as well as future scenarios SRES-B1 (~RCP4.5) and SRES-  
41 A1FI (~RCP8.5), with levels of variability that approximate those typical of a reef crest  
42 communities at Heron Island. Under preindustrial and Control (today) conditions, corals  
43 grew significantly during the experiment and the composition of the coral communities  
44 remain more or less unchanged. Under B1 (RCP4.5) and A1FI (RCP8.5) treatments,  
45 however, branching corals such as *Acropora formosa*; *Seriatopora hystrix*; *Stylophora*  
46 *pistillata*, *Montipora* sp., bleached and died by the end of the experiment. Calcification also  
47 showed significant trends with corals from B1 and A1 FI slowing to near zero in the initial  
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part of the experiment (prior to mortality, [33]). Community calcification also declined but differed in that it decreased well below zero in the AIFI treatment. While dead coral skeletons were dissolving in these treatments, it appeared that carbonate sediments within the experiment experienced some of the largest losses of carbonate within this experiment [33]. The increase in the rate of decalcification matches the results of other experiments on two important bio-eroders, excavating sponges [34] and endolithic microalgae [35] with decalcification rates being driven up by warmer and more acidic conditions.

### **Responses of corals to hostile conditions: acclimatisation, evolution or migration**

One way out of the dilemma that reef-building corals face is to either adjust their physiology (acclimatise), evolve via natural selection (adapt) and/or migrate as conditions become more and more hostile. In this regard, there are some interesting possibilities as to how reefs are likely to respond to rapid changes in the environment that surrounds them.

#### **a. Acclimatisation to stress (modification of the phenotype)**

Acclimatisation occurs when the phenotype of an organism is adjusted over time to better fit a change in the environment. In this way, organisms may acclimatise to new conditions which occur in a wide variety of organisms [36] including reef building corals [37]. While there is evidence that corals can adjust their thermal tolerance within their normal environmental range [38,39], there is little evidence that acclimatisation has resulted in a shift or extension of the upper thermal tolerance of reef-building corals [40]. Simply put, if acclimatisation were occurring perfectly, then corals would not be experiencing the current extensive bleaching and mortality in response to thermal stress as they would have adjusted their physiology to suit the new conditions. Some evidence exists for corals which shift the relative concentration of different clades of symbiotic dinoflagellates in order to better suit the environment [41] although these studies have shown that shifts in the proportion of symbionts may be accompanied by costs in terms of growth and relative competitiveness [42]. Recent evidence suggests that the thermal threshold used by satellites to project when and where mass coral bleaching is likely to occur may have increased over time [1]. This study is complicated by the fact that these measurements are at the community level and hence are most likely due to the loss of fragile species as opposed to acclimatisation and/or adaptation of individual species. This has been pointed out for other studies [43] that have claimed to have demonstrated shifts in tolerance due to acclimatisation or adaptation

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4 [44]. Trans-generational acclimatisation [45], where organisms (in this case on coral reef  
5 fish) inherit greater tolerance from parents who have been previously exposed to stress (in  
6 this case higher CO<sub>2</sub> and lower pH), presents some interesting mechanisms for some  
7 organisms. These experiments have not been attempted with corals (which tend to have long  
8 generation times from 5 to 100 years) or with the combination of factors (i.e. ocean  
9 acidification as well as warming) that are likely to occur under future ocean warming and  
10 acidification. Nonetheless, transgenerational acclimatisation remains an intriguing area for  
11 further investigation.

#### 12 **b. Evolution of stress tolerance (genetic adaptation)**

13 Evolution by natural selection has also been as a mechanism by which thermal and chemical  
14 limits of current populations may shift to become more tolerant as oceans warm and acidify.  
15 Given time, corals, like any organism are likely to adapt to local conditions, which is  
16 reflected in the widespread evidence that corals are locally adapted to temperature, be that at  
17 local [46] or geographic [47-49] scales. However, the rate of environmental change as well  
18 as extent to which stabilisation of environmental conditions occurs or not are critical factors  
19 determining whether or not the evolution of corals, and marine life in general, is likely to  
20 keep pace with anthropogenic ocean warming and acidification. The biological  
21 characteristics of the community and species are also important. In this respect, generation  
22 times as well as the amount of genetic variability within a population are critical factors  
23 determining the ability of locally adapted populations to increase their thermal tolerance.  
24 There is little doubt that organisms such as bacteria, which have short generation times  
25 (minutes to hours) and high mutation rates will be able to keep up changes to ocean  
26 conditions, even if current rates of change are unprecedented in the past 65 Ma if not 300 Ma  
27 [22]. Corals, on the other hand, have generation times from 4 to over 20 years for most  
28 corals [50] and hence do not have demographic characteristics that favour rapid evolution.  
29 The hypothesis that reef-building corals may be able to swap their symbionts for more  
30 thermally adapted varieties [51] has not been supported by the sizeable number studies  
31 that have  
32 sought to show that corals can take on truly novel symbionts that enable them to survive  
33 higher sea temperatures. These studies have also suffered from a number of other issues  
34 including the assumption that the dinoflagellate symbionts are the only factor determining the  
35 overall thermal tolerance of the mutualistic symbiosis [43,52-54].  
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4 While the evidence for the rapid evolution of corals is virtually nonexistent, there is  
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6 substantial evidence for why the rapid evolution of tolerance to future environmental  
7 conditions is unlikely to occur. Firstly, the rates of environmental change exceed those seen  
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9 over the past hundreds of thousands if not tens of millions of years [12,22,55]. Secondly, the  
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11 generation time of organisms such as reef building corals is relatively long [50]. The third,  
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13 which is often overlooked is that conditions with respect to ocean warming and acidification  
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15 are set to change continuously for hundreds of years under our current emission pathway  
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17 [56]. That is, we are imposing conditions that change continuously and which are not the  
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19 ‘step change’ which would otherwise enable populations and communities to ‘catch up’ with  
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21 the change once conditions stabilise once again. The last issue is that adaptation to thermal  
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23 stress is unlikely to be simple and is likely to involve scores of genes and cellular processes  
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25 that need to be adjusted [57]. Consequently, evolution of tolerance to changing temperatures  
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27 and sea water chemistry is unlikely to be a simple selection process (i.e. the change in a  
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29 single gene) but rather a complex set of changes across multiple genes. The probability of  
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31 suitable combinations decreases geometrically as the number of genetic changes required  
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33 increases.

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35 Not surprisingly, the distribution and abundance of coral populations is decreasing rapidly in  
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37 most parts of the world [13-17] under increasing levels local and global drivers of stress. This  
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39 problem is exacerbated by the fact that anthropogenic ocean warming and acidification is  
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41 unlikely to stabilise for many hundreds of years under current scenarios for the emission of  
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43 CO<sub>2</sub> and methane. Until this happens, adaptation might arise within a population of corals but  
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45 will not have the time required for it to spread given that the same adaptation will quite  
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47 rapidly become unfit as ocean temperatures and sea water chemistry continue to change.

### 48 **c. Migration of reefs to higher latitudes**

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50 The warming of ocean waters at higher latitudes is shifting the range of marine organisms  
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52 from plankton to fish [58]. As waters warm at higher latitudes, conditions as far as  
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54 temperature is concerned are becoming increasingly favourable for reef building corals in the  
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56 short-term. This has led to the proposal that coral reefs may shift to higher latitudes over time,  
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58 more or less compensating for their losses at lower latitudes. Consistent with this is evidence  
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60 that the distribution of coral reefs has varied with relatively small shifts in average global  
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62 temperature on the paleontological past [59,60]. There are also a number of recent

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4 observations of reef building corals showing shifts too high latitude locations (up to 14 km yr<sup>-1</sup>; [59-61] in many areas of the world.

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8 It is important to note that both pieces of evidence are *necessary but not sufficient* to support  
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10 the idea that coral reef ecosystems will or are moving to higher latitudes. Paleontological  
11 examples involve warming over hundreds if not thousands of years, mostly involve situations  
12 in which reefs did not experience changes at the same time in pCO<sub>2</sub>, pH or carbonate ion  
13 concentrations [12]. Given that anthropogenic ocean warming and acidification will involve  
14 simultaneous changes in all three parameters, the degree to which examples from the past are  
15 useful proxies for the future is at least questionable. Secondly, the degree to which the  
16 movement of coral species to higher latitudes is evidence that entire coral reef ecosystems  
17 will shift to higher latitudes is also questionable. The movement of coral reef ecosystems to  
18 higher latitudes requires a shift in the distribution and abundance of thousands of species as  
19 well as the movement of complex ecological relationships and processes. These changes also  
20 have to occur over very short periods of time. In a simple example, the Great Barrier Reef  
21 ecosystem would have to travel at the speed of 15-20 km per year to keep up with a 2°C  
22 change over the next 100 years [43]. There is no evidence of this scale of movement of a  
23 coral reef ecosystem anywhere in the literature, and certainly no evidence of the extension of  
24 the southern end of the Great Barrier Reef by 600 km, which should have already occurred  
25 given that the Great Barrier Reef waters have warmed by 0.4°C since 1950 [62].  
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38 Shifts in the distribution of coral populations depend on dispersal and the existence of heat  
39 tolerant individuals at lower latitudes that are ready to migrate into areas as they warm. As  
40 raised by BM Riegl, et al. [49], we may be approaching the limits in terms of thermal  
41 tolerance of individuals in some areas of the world such as the Arabian Gulf, where corals  
42 experience some of the highest sea temperatures globally. There are no obvious sources of  
43 more thermally tolerant corals to replace those in this area of the world as oceans warm  
44 rapidly over the coming decades and century.  
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#### 49 **Requirements for sustainability via adaptation?**

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53 In exploring the requirements for adaptation to play a role in establishing a more sustainable  
54 future for marine ecosystems such as coral reefs, it is important to consider the implications  
55 of future climate trajectories. Of the four major scenarios of the fifth assessment report of the  
56 IPCC, for example, only the scenario RCP 3.0 exhibits the stabilisation of planetary  
57 temperature over the mid to late part century. As this is a requirement for adaptation to play  
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4 a role, this is the only scenario where coral reefs (indeed many other ecosystems) have a  
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6 chance of persisting beyond the middle of this century. All of the other models are  
7 characterised by conditions that continue to change hence imposing rapidly changing  
8 selection pressure over time. As evolutionary rates are many organisms including reef-  
9 building coral are likely to be severely constrained by their life history characteristics (i.e.  
10 long generation times, reduced variability), the distribution and abundance of corals and coral  
11 reefs is likely to continue to decline rapidly.

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17 Achieving sustainability through adaptation will also depend on a rapid reduction of drivers  
18 other than ocean warming and acidification. Increasing the management of local stresses  
19 such as overfishing, for example, can enhance the resilience of coral populations to climate  
20 related phenomena such as thermal stress. TP Hughes, et al. [63], for example, demonstrated  
21 that bleached coral reefs on the central Great Barrier Reef which had healthy populations of  
22 grazing fishes, for example, recovered from coral bleaching related mortality three times  
23 faster than reefs where access by grazing fishes to damaged reefs had been prevented.

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27 Exploring the possibilities of how one can boost the resilience of coral reefs and buy  
28 important time while global society deals with enhanced greenhouse warming and  
29 acidification of our planet will become increasingly important as the current century  
30 progresses.

### 31 32 33 34 35 36 **Conclusion**

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38 It is very clear that were entering to a period in which a lack of action on local and global  
39 drivers of stress will lead to conditions and impacts on these valuable ecosystems which will  
40 take thousands of years to reverse. Evidence that corals and other organisms are, and can  
41 acclimatise, adapt and/or migrate successfully to the unprecedented rapid rates of  
42 environmental change is sparse and in the minds of some circumstances be seen as a  
43 persistent mirage. In this regard, evidence that corals will autonomously evolve into the  
44 resilience state (i.e. benefiting efforts to achieve sustainability) under the current rapid  
45 changes to the environment is not supported by the literature. On the other hand, evidence of  
46 corals are 'losing the fight' is widespread and is on the increase, with most long-term studies  
47 showing declines of around 50% since the early 1980s. Given the dependence of human  
48 communities on coral reefs for food, livelihoods, coastal protection and other services, these  
49 changes are likely to have serious long-term consequences for people, communities and  
50 nations. Given the long-term commitment that these changes involve, it is an imperative that  
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4 we rapidly involve a global strategy in which we rapidly reduce both local and global drivers  
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6 of change and thereby avoid future in which we experience the semi-permanent or permanent  
7 loss of centrally important ecosystems such as coral reefs.  
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**Title:**

Coral reef sustainability through adaptation: Glimmer of hope or persistent mirage?

**Short title:**

Coral reef adaptation: glimmer of hope or mirage?

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**Abstract:**

Coral reefs are highly threatened by human activities at both global (ocean warming and acidification) and local scales (overfishing, pollution, and physical destruction) as current rates of environmental change exceed those seen for tens of millions of years. Recent authors, however, have suggested that coral reefs might increase their tolerance to these rapid environmental changes through acclimatisation, genetic adaptation, and migration. While there is evidence of all three responses acting within coral populations, there is little basis for the conclusion that reef-building corals and coral reefs will become more sustainable and resilient over time. Most studies that make the latter claim have correctly identified components and mechanisms but have otherwise incorrectly extended evidence that is otherwise *necessary but not sufficient* to support the conclusion that coral reefs will survive to their ability to acclimatise, adapt and/or migrate to the current rapid environmental changes.

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8 ecosystems.  
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14 **Keywords:**

15 Coral reefs, sustainability, acclimatisation, evolution, migration, global climate change, ocean  
16 warming, ocean acidification  
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20 **Highlights:**

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- 23 • Coral reefs are considered in the context of acclimatisation, evolution and migration.
  - 24 • Evidence that these processes play a major role in reducing climate change is  
25 minimal.  
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  - 27 • In contrast, coral reef ecosystems are rapidly declining across the world.
  - 28 • Implementing solutions to local factors will buy important time and is essential.
  - 29 • Future sustainability of coral reefs depends on a rapid decrease in CO<sub>2</sub> emissions.  
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4 **Introduction**  
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6 The distribution and abundance of coral reef ecosystems is declining rapidly in response to  
7 rapid changes to the environment that surrounds them. CA Logan, et al. [1], however,  
8 propose that “evidence for the ability of individuals or communities to adaptively respond to  
9 thermal stress suggests that bleaching thresholds may increase in response to climate  
10 warming”. This is an important statement in that it implies we may have more time with  
11 respect to the pressures that coral reefs and related ecosystems. At its heart, is the notion that  
12 reef building corals might become partly or fully sustainable on their own to rapid global  
13 change through evolutionary processes, despite the unprecedented rates of environmental  
14 change in today’s oceans. As will be argued here, however, evidence for evolutionary  
15 adaptation is scant when compared to the much more abundant evidence of rapidly declining  
16 coral populations and associated coral reef ecosystems.  
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26 Coral reefs are found throughout the world’s tropics and can be separated into two broad  
27 categories based on whether or not their calcium carbonate skeletons build up over time to  
28 create the complex three-dimensional structures that typify carbonate coral reefs. Carbonate  
29 coral reefs are found in the region from 30° north or south of the equator where local physical  
30 and chemical conditions are favourable [2]. At the fringe of this distribution (in addition to  
31 regions affected by equatorial upwelling), there are often dense populations of reef-building  
32 corals that don’t build carbonate reef structures [3]. While both coral reef ecosystems are  
33 typified by high levels of biological diversity, carbonate coral reefs are among the most  
34 diverse ecosystems on the planet with an estimated 1 – 9 million species [4]. Both types of  
35 reef systems provide ecosystem goods and services to human populations through support of  
36 fisheries, tourism and broader benefits such as the protection of coastal areas from waves and  
37 storm impacts [5,6].  
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46 **Local and global pressures**  
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48 In addition to being important in terms of their biological diversity and contributions to  
49 humanity, coral reef ecosystems are among the most highly threatened ecosystems, with coral  
50 reefs and human activities tending to collide along tropical coastlines across the world [7,8].  
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52 Currently there are at least 500 million people living along tropical coastal areas with the  
53 number expected conservatively to double by the end of century. As coastal populations  
54 have increased, coastlines have been modified, fisheries overexploited, and levels of pollution  
55 have increased rapidly [7,9,10]. Looking across the world’s coral reefs, 75% is threatened [7]  
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4 and as much as 95% may be in danger of being lost by mid-century [11,12]. These numbers  
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6 have been reinforced by numerous analyses of literature which document rapid decreases in  
7 the size of coral populations. In Southeast Asia and the Western Pacific, coral reefs have  
8 declined by 50% since the early 1980s [13,14]. In the Caribbean, dramatic declines of well  
9 over 90% since the 1970's have been documented for Jamaican reefs [15] and the wider  
10 Caribbean [16,17]. Similar reports exist for the Indian Ocean and other sites [18].

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15 Carbon dioxide arising from the burning of fossil fuels as well as changes to land use have  
16 driven atmospheric concentrations of carbon dioxide to levels that have not been seen for tens  
17 of millions of years [19]. These changes have increased the retention of heat driving up  
18 planetary temperatures [Hansen et al. 2013]. At the same time, CO<sub>2</sub> has entered the ocean in  
19 increasing amounts leading to rapidly declining pH and carbonate ion concentrations [19-21].  
20 These changes are occurring at a rate which is unprecedented in 65 Ma if not 300 Ma [22].

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26 In addition to increasing coral mortality, human influences can also resulted in a series of  
27 cumulative impacts on fundamental parameters such as growth, calcification and  
28 reproduction. Reef-building corals are vulnerable to changes in the temperature and  
29 carbonate chemistry of seawater [12,23-25]. Small changes in temperature over the summer  
30 maxima (under normal light levels) will disrupt the mutualistic symbiosis between reef  
31 building corals and symbiotic dinoflagellates from the genus *Symbiodinium*, resulting in a  
32 sudden whitening of their tissues as the brown symbionts leave (i.e. bleaching, [12]). If the  
33 levels of thermal stress are small (1°C) and short-lived (3-4 weeks), bleached corals will  
34 recover over the months that follow a heat stress event [25-27]. However, if conditions of  
35 warmer (+2-3°C above the long-term summer maxima) for longer (> 6 weeks) corals will  
36 starve, suffer disease and/or die in great numbers [26,28]. Since the first reports in the 1980s,  
37 mass coral bleaching and mortality has affected the majority of coral reefs in the world often  
38 with transformative impacts on coral populations and ecosystem structure [18].

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49 While coral bleaching, disease and mortality are significant endpoints of thermal stress, there  
50 is additional evidence of impacts on the growth and reproduction of corals. T Goreau and A  
51 Macfarlane [29] reported reduced growth from thermal stress while AM Szmant and NJ  
52 Gassman [30] demonstrated that the reproduction of corals was significantly reduced in  
53 corals that experience thermal stress and bleaching. Changes to the carbonate chemistry of  
54 seawater as a result of ocean acidification also have impacts on growth, calcification and  
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4 possibly reproduction as pH and carbonate ion concentrations decrease, with impacts have a  
5 number of life history stages of corals and related tropical organisms [23,24,31].  
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8 Previous studies of the impact of these changes on coral reefs have focused on short-term  
9 experimental exposures to changes in temperature or CO<sub>2</sub>. Very few experiments (exception,  
10 [32], however, have involved attempts to expose communities or ecosystems to elevated  
11 temperature and CO<sub>2</sub>, limiting our understanding of how reefs might change under future  
12 conditions that involve changes to both ocean warming and acidification. Experiments have  
13 also tended to exclude natural variability in conditions that ultimately may have significant  
14 implications for the interpretation of responses. For example, the partial pressure of CO<sub>2</sub> in  
15 waters flowing around Heron Island on the southern Great Barrier Reef experience increases  
16 to 450 ppm in the summer due to changing rates of reef photosynthesis and calcification [B.  
17 Tilbrooke, CSIRO *in* Dove et al 2013]. Consequently, experiments that set their CO<sub>2</sub> levels  
18 at constant partial pressures of 450 ppm in order to explore lower IPCC scenarios such as  
19 SRES-B1 or RCP4.5 are actually only exploring conditions typical of summer under today's  
20 conditions.  
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32 Taking these issues on board, S Dove, et al. [33] exposed coral communities within  
33 mesocosms to replicated changes in both temperature and CO<sub>2</sub> levels, while at the same time  
34 incorporating diurnal and seasonal variability. The computer controlled system involves  
35 multiple replicate flow-through mesocosms which contain a small section of coral reef and  
36 which are designed to approximate the density and mix of corals and other organisms found  
37 on nearby reef crest communities at Heron Island (Figure 1). After acclimatisation,  
38 conditions in some of these 6000 L mesocosms are adjusted to different offsets across the  
39 treatments for long periods of time (3 – 24 months). The treatments investigated included  
40 Preindustrial, Control (today) as well as future scenarios SRES-B1 (~RCP4.5) and SRES-  
41 A1FI (~RCP8.5), with levels of variability that approximate those typical of a reef crest  
42 communities at Heron Island. Under preindustrial and Control (today) conditions, corals  
43 grew significantly during the experiment and the composition of the coral communities  
44 remain more or less unchanged. Under B1 (RCP4.5) and A1FI (RCP8.5) treatments,  
45 however, branching corals such as *Acropora formosa*; *Seriatopora hystrix*; *Stylophora*  
46 *pistillata*, *Montipora* sp., bleached and died by the end of the experiment. Calcification also  
47 showed significant trends with corals from B1 and A1 FI slowing to near zero in the initial  
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4 part of the experiment (prior to mortality, S Dove, et al. [33]). Community calcification also  
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6 declined but differed in that it decreased well below zero in the AIFI treatment. While dead  
7 coral skeletons were dissolving in these treatments, it appeared that carbonate sediments  
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9 within the experiment experienced some of the largest losses of carbonate within this  
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11 experiment [33]. The increase in the rate of decalcification matches the results of other  
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13 experiments on two important bio-eroders, excavating sponges [34] and endolithic  
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15 microalgae [35] with decalcification rates being driven up by warmer and more acidic  
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17 conditions.

### 18 **Responses of corals to hostile conditions: acclimatisation, evolution or migration**

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21 One way out of the dilemma that reef-building corals face is to either adjust their physiology  
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23 (acclimatise), evolve via natural selection (adapt) and/or migrate as conditions become more  
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25 and more hostile. In this regard, there are some interesting possibilities as to how reefs are  
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27 likely to respond to rapid changes in the environment that surrounds them.

#### 28 **a. Acclimatisation to stress (modification of the phenotype)**

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31 Acclimatisation occurs when the phenotype of an organism is adjusted over time to better fit  
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33 a change in the environment. In this way, organisms may acclimatise to new conditions  
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35 which occur in a wide variety of organisms [36] including reef building corals [37]. While  
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37 there is evidence that corals can adjust their thermal tolerance within their normal  
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39 environmental range [38,39], there is little evidence that acclimatisation has resulted in a shift  
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41 or extension of the upper thermal tolerance of reef-building corals [40]. Simply put, if  
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43 acclimatisation were occurring perfectly, however, then corals would not be experiencing the  
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45 current and extensive bleaching and mortality in response to thermal stress as they would  
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47 have adjusted their physiology to suit the new conditions. Some evidence exists for corals  
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49 which shift the relative concentration of different clades of symbiotic dinoflagellates in order  
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51 to better suit the environment [41] although these studies have shown that shifts in the  
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53 proportion of symbionts may be accompanied by costs in terms of growth and relative  
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55 competitiveness [42]. Recent evidence suggests that the thermal threshold used by satellites  
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57 to project when and where mass coral bleaching is likely to occur may have increased over  
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59 time [1]. This study is complicated by the fact that these measurements are at the community  
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level and hence are most likely due to the loss of fragile species as opposed to acclimatisation  
and/or adaptation of individual species. This has been pointed out for other studies [43] that  
have claimed to have demonstrated shifts in tolerance due to acclimatisation or adaptation



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4 [44]. Trans-generational acclimatisation [45], where organisms (in this case on coral reef  
5 fish) inherit greater tolerance from parents who have been previously exposed to stress (in  
6 this case higher CO<sub>2</sub> and lower pH), presents some interesting mechanisms for some  
7 organisms. These experiments have not been attempted with corals (which tend to have long  
8 generation times from 5 to 100 years) or with the combination of factors (i.e. ocean  
9 acidification as well as warming) that are likely to occur under future ocean warming and  
10 acidification. Nonetheless, transgenerational acclimatisation remains an intriguing area for  
11 further investigation.

#### 12 **b. Evolution of stress tolerance (genetic adaptation)**

13 Evolution by natural selection has also been as a mechanism by which thermal and chemical  
14 limits of current populations may shift to become more tolerant as oceans warm and acidify.  
15 Given time, corals, like any organism are likely to adapt to local conditions, which is  
16 reflected in the widespread evidence that corals are locally adapted to temperature, be that at  
17 a local [46] or geographic [47-49] scales. However, the rate of environmental change as well  
18 as extent to which stabilisation of environmental conditions occurs or not are critical factors  
19 determining whether or not the evolution of corals, and marine life in general, is likely to  
20 keep pace with anthropogenic ocean warming and acidification. The biological  
21 characteristics of the community and species are also important. In this respect, generation  
22 times as well as the amount of genetic variability within a population are critical factors  
23 determining the ability of locally adapted populations to increase their thermal tolerance.  
24 There is little doubt that organisms such as bacteria, which have short generation times  
25 (minutes to hours) and high mutation rates will be able to keep up changes to ocean  
26 conditions, even if current rates of change are unprecedented in the past 65 Ma if not 300 Ma  
27 [22]. Corals, on the other hand, have generation times from 4 to over 20 years for most  
28 corals [50] and hence do not have demographic characteristics that favour rapid evolution.  
29 The hypothesis that reef-building corals may be able to swap their symbionts for more  
30 thermally varieties [51] as not been supported by the sizeable number studies that have  
31 sought to show that corals can take on truly novel symbionts that enable them to survive  
32 higher sea temperatures. These studies have also suffered from a number of other issues  
33 including the assumption that the dinoflagellate symbionts are the only factor determining the  
34 overall thermal tolerance of the mutualistic symbiosis [43,52-54].  
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4 While the evidence for the rapid evolution of corals is virtually nonexistent, there is  
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6 substantial evidence for why the rapid evolution of tolerance to future environmental  
7 conditions is unlikely to occur. Firstly, the rates of environmental change exceed those seen  
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9 over the past hundreds of thousands if not tens of millions of years [12,22,55]. Secondly, the  
10  
11 generation time of organisms such as reef building corals is relatively long [50]. The third,  
12 which is often overlooked is that conditions with respect to ocean warming and acidification  
13  
14 are set to change continuously for hundreds of years under our current emission pathway  
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16 [56]. That is, we are imposing conditions that change continuously and which are not the  
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18 ‘step change’ which would otherwise enable populations and communities to ‘catch up’ with  
19 the change once conditions stabilise once again. The last issue is that adaptation to thermal  
20  
21 stress is unlikely to be simple and is likely to involve scores of genes and cellular processes  
22  
23 that need to be adjusted [57]. Consequently, evolution of tolerance to changing temperatures  
24 and sea water chemistry is unlikely to be a simple selection process (i.e. the change in a  
25  
26 single gene) but rather a complex set of changes across multiple genes. The probability of  
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28 suitable combinations decreases geometrically as the number of genetic changes required  
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30 increases.

31  
32 Not surprisingly, the distribution and abundance of coral populations is decreasing rapidly in  
33  
34 most parts of the world [13-17] under increasing levels local and global drivers of stress. This  
35 problem is exacerbated by the fact that anthropogenic ocean warming and acidification is  
36  
37 unlikely to stabilise for many hundreds of years under current scenarios for the emission of  
38  
39 CO<sub>2</sub> and methane. Until this happens, adaptation might arise within a population of corals but  
40  
41 will not have the time required for it to spread given that the same adaptation will quite  
42 rapidly become unfit as ocean temperatures and sea water chemistry continue to change.  
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### 45 **c. Migration of reefs to higher latitudes**

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47 The warming of ocean waters at higher latitudes is shifting the range of marine organisms  
48  
49 from plankton to fish [58]. As waters warm at higher latitudes, conditions as far as  
50 temperature is concerned are becoming increasingly favourable for reef building corals in the  
51  
52 short-term. This has led to the proposal that coral reefs may shift to higher latitudes over time,  
53  
54 more or less compensating for their losses at lower latitudes. Consistent with this is evidence  
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56 that the distribution of coral reefs has varied with relatively small shifts in average global  
57 temperature on the paleontological past [59,60]. There are also a number of recent  
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4 observations of reef building corals showing shifts too high latitude locations (up to 14 km yr<sup>-1</sup>; [59-61] in many areas of the world.

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8 It is important to note that both pieces of evidence are *necessary but not sufficient* to support  
9  
10 the idea that coral reef ecosystems will or are moving to higher latitudes. Paleontological  
11 examples involve warming over hundreds if not thousands of years, mostly involve situations  
12 in which reefs did not experience changes at the same time in pCO<sub>2</sub>, pH or carbonate ion  
13 concentrations [12]. Given that anthropogenic ocean warming and acidification will involve  
14 simultaneous changes in all three parameters, the degree to which examples from the past are  
15 useful proxies for the future is at least questionable. Secondly, the degree to which the  
16 movement of coral species to higher latitudes is evidence that entire coral reef ecosystems  
17 will shift to higher latitudes is also questionable. The movement of coral reef ecosystems to  
18 higher latitudes requires a shift in the distribution and abundance of thousands of species as  
19 well as the movement of complex ecological relationships and processes. These changes also  
20 have to occur over very short periods of time. In a simple example, the Great Barrier Reef  
21 ecosystem would have to travel at the speed of 15-20 km per year to keep up with a 2°C  
22 change over the next 100 years [43]. There is no evidence of this scale of movement of a  
23 coral reef ecosystem anywhere in the literature, and certainly no evidence of the extension of  
24 the southern end of the Great Barrier Reef by 600 km, which should have already occurred  
25 given that the Great Barrier Reef waters have warmed by 0.4°C since 1950 [62].  
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38 Shifts in the distribution of coral populations depend on dispersal and the existence of heat  
39 tolerant individuals at lower latitudes that are ready to migrate into areas as they warm. As  
40 raised by BM Riegl, et al. [49], we may be approaching the limits in terms of thermal  
41 tolerance of individuals in some areas of the world such as the Arabian Gulf, where corals  
42 experience some of the highest sea temperatures globally. There are no obvious sources of  
43 more thermally tolerant corals to replace those in this area of the world as oceans warm  
44 rapidly over the coming decades and century.  
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#### 49 **Requirements for sustainability via adaptation?**

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53 In exploring the requirements for adaptation to play a role in establishing a more sustainable  
54 future for marine ecosystems such as coral reefs, it is important to consider the implications  
55 of future climate trajectories. Of the four major scenarios of the fifth assessment report of the  
56 IPCC, for example, only the scenario RCP 3.0 exhibits the stabilisation of planetary  
57 temperature over the mid to late part century. As this is a requirement for adaptation to play  
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4 a role, this is the only scenario where coral reefs (indeed many other ecosystems) have a  
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6 chance of persisting beyond the middle of this century. All of the other models are  
7 characterised by conditions that continue to change hence imposing rapidly changing  
8  
9 selection pressure over time. As evolutionary rates are many organisms including reef-  
10  
11 building coral are likely to be severely constrained by their life history characteristics (i.e.  
12 long generation times, reduced variability), the distribution and abundance of corals and coral  
13  
14 reefs is likely to continue to decline rapidly.

15  
16 Achieving sustainability through adaptation will also depend on a rapid reduction of drivers  
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18 other than ocean warming and acidification. Increasing the management of local stresses  
19  
20 such as overfishing, for example, can enhance the resilience of coral populations to climate  
21  
22 related phenomena such as thermal stress. TP Hughes, et al. [63], for example, demonstrated  
23  
24 that bleached coral reefs on the central Great Barrier Reef which had healthy populations of  
25  
26 grazing fishes, for example, recovered from coral bleaching related mortality three times  
27  
28 faster than reefs where access by grazing fishes to damaged reefs had been prevented.

29 Exploring the possibilities of how one can boost the resilience of coral reefs and buy  
30  
31 important time while global society deals with enhanced greenhouse warming and  
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33 acidification of our planet will become increasingly important as the current century  
34  
35 progresses.

### 36 **Conclusion**

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38 It is very clear that were entering to a period in which a lack of action on local and global  
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40 drivers of stress will lead to conditions and impacts on these valuable ecosystems which will  
41  
42 take thousands of years to reverse. Evidence that corals and other organisms are, and can  
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44 acclimatise, adapt and/or migrate successfully to the unprecedented rapid rates of  
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46 environmental change is sparse and in the minds of some circumstances be seen as a  
47  
48 persistent mirage. In this regard, evidence that corals will autonomously evolve into the  
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50 resilience state (i.e. benefiting efforts to achieve sustainability) under the current rapid  
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52 changes to the environment is not supported by the literature. On the other hand, evidence of  
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54 corals are 'losing the fight' is widespread and is on the increase, with most long-term studies  
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56 showing declines of around 50% since the early 1980s. Given the dependence of human  
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58 communities on coral reefs for food, livelihoods, coastal protection and other services, these  
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nations. Given the long-term commitment that these changes involve, it is an imperative that

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4 we rapidly involve a global strategy in which we rapidly reduce both local and global drivers  
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6 of change and thereby avoid future in which we experience the semi-permanent or permanent  
7 loss of centrally important ecosystems such as coral reefs.  
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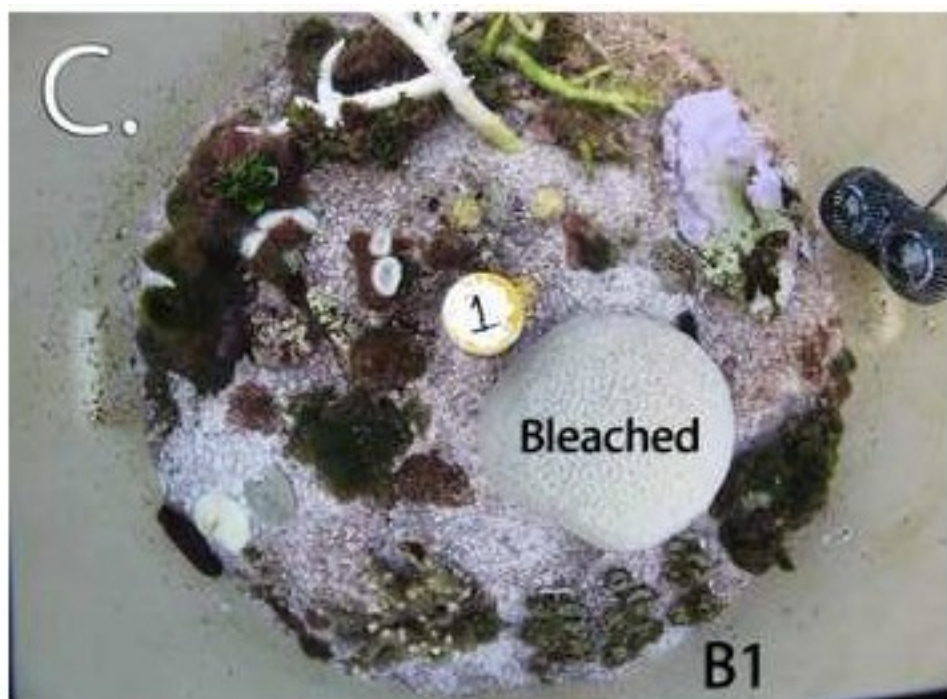
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Figure-1  
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## Legend

Figure 1. Photographs of the final condition within mesocosm tanks from three-month experiment run by Dove et al (2013). A. Preindustrial conditions (atmospheric CO<sub>2</sub> concentration: 280 ppm, - 1°C), B. Control (today) conditions (atmospheric CO<sub>2</sub> concentration: 396 ppm, +0°C), B1 (atmospheric CO<sub>2</sub> concentration: 570 ppm, + 2°C), and A1FI (atmospheric CO<sub>2</sub> concentration: 972 ppm, +4°C). Normal: Coral with typical concentrations of symbiotic dinoflagellates (brown colour). Bleached: coral where symbiotic dinoflagellates have left tissues. Dead: examples where coral has died and has been overgrown by microalgae. Full details are available in Dove et al. (2013).