Moderate Hyperventilation during Intravenous Anesthesia Increases Net Cerebral Lactate Efflux

Frank Grüne, M.D., Stephan Kazmaier, M.D., Ph.D., Hans Sonntag, M.D., Ph.D., Robert Jan Stolker, M.D., Ph.D., Andreas Weyland, M.D., Ph.D.

ABSTRACT

Background: Hyperventilation is known to decrease cerebral blood flow (CBF) and to impair cerebral metabolism, but the threshold in patients undergoing intravenous anesthesia is unknown. The authors hypothesized that reduced CBF associated with moderate hyperventilation might impair cerebral aerobic metabolism in patients undergoing intravenous anesthesia.

Methods: Thirty male patients scheduled for coronary surgery were included in a prospective, controlled crossover trial. Measurements were performed under fentanyl-midazolam anesthesia in a randomized sequence aiming at partial pressures of carbon dioxide of 30 and 50 mmHg. Endpoints were CBF, blood flow velocity in the middle cerebral artery, and cerebral metabolic rates for oxygen, glucose, and lactate. Global CBF was measured using a modified Kety-Schmidt technique with argon as inert gas tracer. CBF velocity of the middle cerebral artery was recorded by transcranial Doppler sonography. Data were presented as mean (SD). Two-sided paired t tests and one-way ANOVA for repeated measures were used for statistical analysis.

Results: Moderate hyperventilation significantly decreased CBF by 60%, blood flow velocity by 41%, and partial pressure of oxygen of the jugular venous bulb by 45%. Cerebral metabolic rates for oxygen and glucose remained unchanged; however, net cerebral lactate efflux significantly increased from −0.38 (2.18) to −2.41 (2.43) µmol min⁻¹ 100 g⁻¹.

Conclusions: Moderate hyperventilation, when compared with moderate hypoventilation, in patients with cardiovascular disease undergoing intravenous anesthesia increased net cerebral lactate efflux and markedly reduced CBF and partial pressure of oxygen of the jugular venous bulb, suggesting partial impairment of cerebral aerobic metabolism at clinically relevant levels of hypocapnia. (Anesthesiology 2014; 120:335–42)

Hypocapnia induced by hyperventilation and associated alkalosis have a wide range of physiological effects, including increased cerebrovascular resistance (CVR), decreased cerebral blood flow (CBF), cerebral oxygen delivery (cDO₂), and cerebral metabolism. Despite routine end-tidal carbon dioxide monitoring, periods of inadvertent hyperventilation occur frequently during mechanical ventilation under general anesthesia, which may be associated with unfavorable side effects such as cognitive dysfunction and increased length of hospital stay.

Patients with Alzheimer disease are predisposed to postoperative cognitive dysfunction. This group of patients has an increased vasoconstrictive response to hypocapnia and concomitantly a greater increase in oxygen extraction fraction (OEF) than control patients. In patients with traumatic injury, vascular disorders, or meningitis, hyperventilation is associated with an impaired aerobic cerebral metabolism, reflected by an increase in net cerebral lactate efflux (cerebral metabolic rate [CMR] of lactate [CMRL]).

What We Already Know about This Topic

• Hyperventilation decreases cerebral blood flow
• The threshold at which this reduction impairs cerebral metabolism in patients under intravenous anesthesia is unknown

What This Article Tells Us That Is New

• In 30 patients scheduled for coronary surgery with fentanyl or midazolam anesthesia, mild hyperventilation (Paco₂, 30 mmHg) reduced cerebral blood flow by 60%, did not alter cerebral metabolic rate for oxygen or glucose, but increased net cerebral lactate efflux, consistent with partial impairment of cerebral aerobic metabolism

However, until now there are only few studies describing the interrelation between hyperventilation and CMRL in animals and humans without cerebral diseases, and their results have not been consistent. A recent report about moderate and profound hyperventilation in anesthetized young pigs without cerebral disorder showed a reduction...
in regional CBF and oxygen availability, resulting in tissue hypoxia as reflected by an increase in markers of anaerobic metabolism.9 Similarly, investigations using magnetic resonance spectroscopy or the Kety–Schmidt technique in awake volunteers undergoing hyperventilation showed an increase in net cerebral lactate efflux.8,10,11 The anesthetized brain might be less vulnerable to ischemia than the nonanesthetized brain as the induction of anesthesia reduces cerebral electric activity, metabolism, and flow.1 In humans, induction of intravenous anesthesia even may reduce cerebral lactate efflux.12–14 But moderate hyperventilation during anesthesia also showed a trend to increase net cerebral lactate efflux though not reaching significance.13,15,16 The relevance of this finding, however, may be limited, because of the small number of patients, which have been included in these studies.

The interrelation between moderate variations in PaCO2, CVR, CBF, global cDO2, and cerebral metabolism in patients undergoing intravenous anesthesia is thus not fully understood. We therefore investigated the effects of variation in arterial carbon dioxide partial pressure on cerebral hemodynamics and metabolism in 30 cardiac surgical patients undergoing intravenous anesthesia. We hypothesized that moderate hyperventilation, when compared with moderate hypoventilation, will reduce CBF and cDO2 to an extent which might impair cerebral aerobic metabolism.

**Materials and Methods**

**Design**

The prospective study was designed and performed in a controlled, crossover design at the University of Göttingen Medical Center aiming at changes in CBF, CBF velocity (V), and the metabolic effects of hyper- versus hypoventilation in anesthetized patients. Each patient served as his own control. Approval was obtained from the local institutional review board (Medical Ethical Committee of the Georg-August-University of Göttingen, Göttingen, Niedersachsen, Germany; No. 07/09/90). Study period was 27 months (February 20, 1991 until May 10, 1993).

**Endpoints**

The primary endpoints of the trial were changes in CBF, blood flow velocity of the middle cerebral artery (V MCA), cDO2, CMRL, CMR of oxygen (CMRO2), and CMR of glucose. The secondary endpoints were changes in cerebral zero-flow pressure (ZFP), effective cerebral perfusion pressure (CPP eff), and CVR.

**Screening and Inclusion of Patients**

Due to logistic reasons, we could perform only 1–2 measurements per month. Thus, standard screening procedures could not be applied in this crossover trial. Patients were eligible for inclusion if scheduled for elective coronary surgery. Exclusion criteria were being older than 80 yr of age, female sex, patient refusal, active neurological disease, and a history of cerebrovascular disease, brain injury, or intracranial surgery. All patients were informed of the purpose of the study and provided written informed consent before being enrolled. None of the eligible patients refused inclusion of the trial. There were no dropouts during the study period.

**Sample Size Calculation**

The intersubject and intrasubject variability of CBF and cerebral lactate metabolism has been reported in earlier studies.10,13,15,16 However, there was a lack of data regarding the variance of the CMRL measurement method in anesthetized patients, which was necessary for an exact sample size calculation for this crossover trial. We expected a 50% difference of CMRL with an estimated effect size of 0.7–0.8. For a statistical power of 0.8–0.9, the sample size had to be between 24 and 30 patients. Therefore, we projected a sample size of 30 patients.

**Anesthesia Procedure**

Individual medications were continued until surgery. Anesthesia was induced by intravenous administration of 7 µg/kg of fentanyl, 0.2 mg/kg of midazolam, and 0.1 mg/kg of pancuronium. Anesthesia was maintained with 10 µg·kg⁻¹·h⁻¹ of fentanyl and 150 µg·kg⁻¹·h⁻¹ of midazolam. The anesthesia procedure, the details of mechanical ventilation, and the methods of insertion of catheters have been described in detail in a previous report.14

**Measurements**

Cerebral blood flow was measured with the use of modified Kety–Schmidt inert gas saturation technique with argon as a tracer gas.14,17,18 The wash-in period was 10 min. Blood samples were obtained simultaneously from the arterial and jugular bulb catheters at a constant rate of 0.5 ml/min by a high-precision aspiration pump with gas-tight Hamilton glass syringes. The withdrawal rate for probes of the argon end-concentration was 5 ml/20 s. A brain–blood partition coefficient of 1.10 was used to calculate CBF.19,20

Blood flow velocity in the proximal (M1) segment of the MCA was measured by transcranial Doppler sonography as extensively described in earlier reports.14,21,22 Because transcranial Doppler measurements of VMCA from the transtemporal window fail with above average incidence in elder female patients, we included only male patients in this study.23,24

Measurements were performed at two different PaCO2 levels, approximately 50 and 30 mmHg, in a randomized sequence before surgery. All measurements were performed during hemodynamic and respiratory steady-state conditions. The time interval between the measurements was 20 min. Blood samples were drawn twice, at the beginning and end of each argon wash-in period, to measure hemoglobin concentration, blood gas analysis (ABL; Radiometer, Copenhagen, Denmark), and blood glucose and lactate concentrations (enzymatic tests; Boehringer, Mannheim, Germany). For comparisons with CBF measurements, VMCA was averaged during the 10-min period of each argon wash-in maneuver. End-expiratory concentrations of carbon
dioxide were continuously recorded to ensure a stable PaCO₂ during argon saturation.

**Calculations**

Cerebral ZFP was calculated at the beginning and end of each CBF measurement from two simultaneous 10-s recordings (two breathing cycles) of the VMCA envelope and arterial pressure curves. During each 10-s period, we first averaged diastolic, mean, and systolic data of arterial blood pressure (ABP) and VMCA to obtain a pressure–flow velocity plot. Cerebral ZFP was then extrapolated by linear regression analysis of the ABP–VMCA relationship. The ABP axis intercept of the regression line determines the ZFP. The cerebral ZFP was used as a measure of the effective downstream pressure of the cerebral circulation. Consequently, CPPₚ = mean ABP – ZFP and CVR = CPPₚ/CBF, respectively. Cerebrovascular CO₂ reactivity was calculated from the slope of the linear regression line of the relationship between CBF and PaCO₂ as well as VMCA and PaCO₂. Relative CO₂ reactivity was calculated as the percentage change in CBF or VMCA per mmHg change in PaCO₂.

CMRO₂, CMR of glucose, and CMRL were calculated based on the reversed Fick principle, multiplying CBF by the difference in arterio–jugular venous content of oxygen (AJVDO₂) and lactate (AJVDL), and glucose. By definition, positive CMR values indicate consumption or net influx, and negative values indicate production or net efflux. For AJVDL and CMRL, we thus expected negative values in case of cerebral lactate production.5,8,27

The OEF was calculated as the ratio between AJVDO₂ and arterial oxygen content. The lactate–oxygen index (LOI), which relates cerebral lactate production to oxygen extraction, was defined as LOI = AJVDL [mM] AJVDO₂ [mM]. The lactate–glucose index (LGI), that is, the fraction of glucose consumption that is metabolized and excreted from brain as lactate, was defined as the ratio between the arterio–jugular venous content differences of lactate and glucose. For these calculations, contents of oxygen and glucose concentrations have been converted into mM. Because one molecule of glucose is metabolized to two molecules of lactate, an LGI of, for example, 0.1 indicates that 5% of the cerebral lactate consumption have been metabolized to lactate.5,8,27

**Statistical Analysis**

The results presented in tables are expressed as mean (SD) unless otherwise stated. To provide an estimate of the effect of hypocapnia and its clinical meaningfulness, we calculated mean differences (MD) and their 95% CIs (MD; 95% CI, lower bound, upper bound; P value). The difference between hyperventilation and hyperventilation was calculated using t tests for paired data or Welch test and nonparametric Wilcoxon signed-rank tests, if indicated. To prevent from type I error inflation, all primary endpoints were tested by one-way ANOVA for repeated measurements followed by Bonferroni multiple comparison tests. All statistical analyses were performed two-sided, and a P value of less than 0.05 was considered to be significant.

Calculations were performed using SPSS 17 (IBM SPSS Statistics, Armonk, NY), and graphs were made using Prism 6.0c (GraphPad Software, La Jolla, CA).

Sample size calculation was done with G*Power 3 (University of Düsseldorf, Department of Psychology, Düsseldorf, Germany).29

**Results**

A total of 30 male patients were included in the study. The mean age of the patients was 56.8 yr (median, 58; range, 41–78 yr), mean height 173(6) cm, and mean body weight 77(9) kg. In one patient, CBF could not be measured during hyperventilation, because of technical problems during jugular venous blood sampling. Hemodynamic and metabolic data are presented in table 1.

Due to the controlled adjustment of mechanical ventilation, the variability of PaCO₂ at both target levels was small. The blood temperature of the patients was effectively kept constant. Only one of our patients had diabetes mellitus. None of the patients showed increased levels of blood glucose.

The effects of ventilatory changes on the cerebral circulation were substantial. Hyperventilation reduced CBF by 60%, and VMCA by 41%, when compared with hyperventilation. This reduction was predominantly caused by increased CVR (MD, 0.95; 95% CI, 0.75–1.11 mmHg·ml⁻¹·min⁻¹·100 g⁻¹; P < 0.001) and decreased CPPₚ (−14%). The decrease in CPPₚ during hyperventilation occurred because of a significant increase in the cerebral ZFP (MD, 13; 95% CI, 9–16 mmHg; P < 0.001), which exceeded the small increase in mean arterial pressure (MD, 5; 95% CI, 2–8 mmHg; P = 0.003). The decrease in CBF and cDO₂ (by 58%) during hyperventilation was associated with a pronounced decrease in the venous jugular bulb oxygen saturation (MD, 31; 95% CI, 34–28%; P < 0.001) and venous jugular bulb partial pressure of oxygen (PjO₂; MD, 21; 95% CI, 19–22 mmHg; P < 0.001; fig. 1). The cDO₂/CMRO₂ ratio changed from 4.5:1 to 1.8:1; that is, the OEF during hyperventilation markedly increased from 0.24 to 0.57.

Mean arterial–jugular venous difference of oxygen, glucose, and lactate changed significantly during moderate hyperventilation when compared with hyperventilation (table 1). The mean cerebral efﬂux of lactate signiﬁcantly increased, by 2.0 μmol·min⁻¹·100 g⁻¹, whereas mean CMRO₂ and CMR of glucose remained constant. LOI and LGI signiﬁcantly decreased, that is, became more negative.

Cerebrovascular CO₂ reactivity of CBF was 2.02 (1.18) ml·min⁻¹·100 g⁻¹·mmHg⁻¹, corresponding to a relative change of 2.79 (0.77) %·mmHg⁻¹. The cerebrovascular CO₂ reactivity of mean VMCA was 1.18 (0.48) cm·s⁻¹·mmHg⁻¹, corresponding to a relative change of 2.03 (0.50) %·mmHg⁻¹.
Hyperventilation Increases Cerebral Lactate Efflux

Discussion
We investigated the effects of variations in arterial carbon dioxide partial pressure on cerebral hemodynamics and metabolism in cardiac surgical patients undergoing fentanyl or midazolam anesthesia. Compared with hyperventilation, moderate hyperventilation was associated with a significant reduction in CBF, cDo₂, and Pjvo₂. The mean cerebral efflux of lactate significantly increased, whereas mean CMRO₂ and CMR of glucose remained constant.

Hyperventilation reduces Paco₂ and decreases extracellular H⁺, leading to cerebral vasoconstriction and consequently to reduced CBF and cDo₂. An associated increase in net cerebral efflux of lactate at low Paco₂ levels in principle may be explained by different mechanisms:

- Dissociation of oxygen-binding curve to the left as a result of the respiratory alkalosis (Bohr effect) and
- Alkalosis induced change of redox systems of lactate/pyruvate and NADH/NAD⁺ and
- Severe cerebral hyperfusion with tissue hypoxia

Recent investigations on lactate kinetics and oxygenation using lactate isotopes demonstrate simultaneous lactate uptake and release in the brain. In addition to glucose and ketone bodies, lactate is also known to be an essential part of cerebral energy metabolism. Recent trials have shown that the glucose taken up by astrocytes is converted to lactate, and that the lactate released from astrocytes may be taken up by neurons and used as energy, especially in activated neurons, referred to as the astrocyte–neuron lactate shuttle hypothesis. Thus, partial metabolic compartmentalization appears to exist between astrocytes and neurons, with astrocytes feeding the neurons with lactate generated from glycolysis upon cerebral activation.

The magnitude of change in mean CMR of our patients was 2.0 μmol·min⁻¹·100 g⁻¹, which was greater than expected. Previous studies have shown that absolute levels of CMR may considerably vary depending on the group of patients and the

Table 1. Hemodynamic and Metabolic Data during Moderate Changes in Paco₂ in Patients without Cerebral Disease with Intravenous Anesthesia

<table>
<thead>
<tr>
<th>Parameters (n = 30)</th>
<th>Units</th>
<th>Hyperventilation, Mean (SD)</th>
<th>Hypoventilation, Mean (SD)</th>
<th>Mean Differences (CI, 5%; 95%)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paco₂</td>
<td>mmHg</td>
<td>31 (3)</td>
<td>51 (3)</td>
<td>20 (19; 21)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CBF†</td>
<td>ml·min⁻¹·100 g⁻¹</td>
<td>27 (6)</td>
<td>68 (24)</td>
<td>41 (28; 53)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>V_{max} mean†</td>
<td>cm/s</td>
<td>34 (12)</td>
<td>58 (17)</td>
<td>24 (19; 28)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>MAP</td>
<td>mmHg</td>
<td>76 (12)</td>
<td>71 (11)</td>
<td>−5 (−8; −2)</td>
<td>0.003</td>
</tr>
<tr>
<td>ZFP†</td>
<td>mmHg</td>
<td>24 (9)</td>
<td>11 (11)</td>
<td>−13 (−16; −9)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CPP eff</td>
<td>mmHg</td>
<td>51 (11)</td>
<td>59 (14)</td>
<td>8 (4; 12)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CVR†</td>
<td>mmHg·ml⁻¹·min⁻¹·100 g⁻¹</td>
<td>1.93 (0.52)</td>
<td>0.95 (0.32)</td>
<td>−0.95 (−1.11; −0.75)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Hb</td>
<td>mg/dl</td>
<td>12.7 (1.5)</td>
<td>12.4 (1.4)</td>
<td>−0.3 (−0.5; 1.0)</td>
<td>0.505</td>
</tr>
<tr>
<td>Temp_Blood</td>
<td>°C</td>
<td>35.3 (0.4)</td>
<td>35.3 (0.5)</td>
<td>−0.06 (−0.15; 0.03)</td>
<td>0.166</td>
</tr>
<tr>
<td>pH</td>
<td>—</td>
<td>7.48 (0.03)</td>
<td>7.30 (0.03)</td>
<td>−0.18 (−0.19; −0.16)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Sa₀₂†</td>
<td>%</td>
<td>96 (1)</td>
<td>95 (2)</td>
<td>−1.6 (−2.4; −0.7)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>SvO₂†</td>
<td>%</td>
<td>41 (8)</td>
<td>72 (5)</td>
<td>31 (28; 34)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Pa₀₂</td>
<td>mmHg</td>
<td>121 (31)</td>
<td>109 (28)</td>
<td>−11 (−23; 0)</td>
<td>0.047</td>
</tr>
<tr>
<td>Pjv₀₂†</td>
<td>mg/dl</td>
<td>9.7 (1.6)</td>
<td>3.9 (0.9)</td>
<td>−5.8 (−6.3; −5.3)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>AJVDO₂†</td>
<td>mg/dl</td>
<td>11.2 (2.7)</td>
<td>5.6 (2.3)</td>
<td>−5.6 (−4.4; −6.9)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>AJVDL†</td>
<td>mm</td>
<td>−0.080 (0.079)</td>
<td>−0.003 (0.033)</td>
<td>0.077 (0.047; 0.107)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>cDo₂*†</td>
<td>ml·min⁻¹·100 g⁻¹</td>
<td>4.61 (0.83)</td>
<td>11.06 (4.01)</td>
<td>6.49 (4.42; 8.51)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CMRO₂*</td>
<td>ml·min⁻¹·100 g⁻¹</td>
<td>2.64 (0.68)</td>
<td>2.51 (0.77)</td>
<td>−0.14 (−0.20; 0.48)</td>
<td>&gt;0.999</td>
</tr>
<tr>
<td>CMRG*</td>
<td>mg·min⁻¹·100 g⁻¹</td>
<td>3.10 (1.23)</td>
<td>3.56 (1.49)</td>
<td>0.46 (1.43; 0.51)</td>
<td>&gt;0.999</td>
</tr>
<tr>
<td>CMRL*</td>
<td>μmol·min⁻¹·100 g⁻¹</td>
<td>−2.41 (2.43)</td>
<td>−0.38 (2.18)</td>
<td>2.03 (0.60; 3.48)</td>
<td>0.003</td>
</tr>
<tr>
<td>OEF</td>
<td>—</td>
<td>0.57 (0.09)</td>
<td>0.24 (0.05)</td>
<td>−0.34 (−0.36; −0.31)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>LOI</td>
<td>—</td>
<td>−0.014 (0.014)</td>
<td>−0.004 (0.016)</td>
<td>0.011 (0.004; 0.018)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>LGI</td>
<td>—</td>
<td>−0.13 (0.13)</td>
<td>−0.03 (0.15)</td>
<td>0.11 (0.04; −0.18)</td>
<td>0.003</td>
</tr>
</tbody>
</table>

The P values, which refer to the difference between hyperventilation and hypoventilation, were calculated using two-sided t test for paired data (n = 30).

* Statistical analyses of primary endpoints were adjusted by one-way ANOVA for repeated measurements with Bonferroni multiple comparison procedure (n = 29). † Because the variances of some outcome variables substantially differed between hyperventilation vs. hypoventilation, these parameters were additionally examined by Welch test and nonparametric Wilcoxon signed-rank tests, which showed that the differences persist.

AJVDO₂ = arterio-jugular venous difference in glucose; AJVDL = arterio-jugular venous difference in lactate; AJVDJ = arterio-jugular venous difference in oxygen; CBF = cerebral blood flow; cDo₂ = cerebral oxygen delivery; CMRG = cerebral metabolic rate of glucose; CMR = cerebral metabolic rate of lactate; CMRO₂ = cerebral metabolic rate of oxygen; CPP = effective cerebral perfusion pressure; CBF = cerebrovascular resistance; Hb = hemoglobin concentration; LGI = lactate-glucose index; LoI = lactate-oxygen index; MAP = mean arterial pressure; OEF = oxygen extraction fraction; Pa₀₂ = arterial partial pressure of carbon dioxide; Pjv₀₂ = jugular venous partial pressure of oxygen; Pjvo₂ = venous blood saturation of the jugular bulb; Temp_Blood = blood temperature; V_{max} mean = mean blood flow velocity of the middle cerebral artery; ZFP = zero-flow pressure.

which was significantly lower than the relative CO₂ reactivity of CBF (MD, −0.76; 95% CI, −0.96 to −0.56; P < 0.001).

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level of consciousness. Absolute values of net cerebral lactate efflux in our patients thus have to be interpreted with care. A slight lactate efflux at hypocapnia may not necessarily indicate tissue hypoxia. However, the increase in AJVDL and net cerebral lactate efflux associated with hypocapnia and the concomitant decrease in CBF might be suspicious for anaerobic metabolism in relatively ischemic brain regions.

It seems unlikely that the increase of net cerebral lactate efflux at low PaCO₂ levels might solely be caused by alkalosis-induced enzymatic effects. There are no oxygen stores in the brain in contrast to myoglobin which stores oxygen in the muscle. Thus, the rate of oxygen delivery from the blood to brain tissue critically depends on the vessel-to-tissue oxygen partial pressure (P_{tO₂}) gradient and the efficiency of oxygen transfer from the capillary bed. A definite ischemic threshold for brain tissue oxygenation has not yet been defined. Jones et al. demonstrated that CBF less than 18 ml·min⁻¹·100 g⁻¹ in awake monkeys results in irreversible brain tissue infarction. Michenfelder et al. reported critical CBF values of approximately 10–20 ml·min⁻¹·100 g⁻¹ in patients with ischemic changes in electroencephalography during carotid endarterectomy. In awake humans under normocapnic conditions, the cDO₂/CMRO₂ ratio is approximately 3:1 corresponding to an OEF of 0.33. An OEF of greater than 0.4 in patients with traumatic head injury corresponded to a critically increased microdialysis lactate/pyruvate ratio which might reflect a mismatch between substrate demand and delivery on a cellular level. In our patients, the OEF considerably exceeded the threshold of 0.4 during hyperventilation, mainly caused by a reduction of cDO₂ while CMRO₂ remained unchanged.

Most investigators have considered jugular venous PO₂ below 20 mmHg and tissue PO₂ values below 10 mmHg as pathological. Clausen et al. showed that even moderate hyperventilation (PaCO₂ = 30 mmHg) leads to a critical reduction of regional CBF below 18 ml·min⁻¹·100 g⁻¹ in 22% of observed pigs; the tissue oxygen pressure decreased below 10 mmHg in 30% of the animals undergoing moderate hyperventilation. Furthermore, recent investigations showed that the final diffusion gradient from the microcirculation to the

**Fig. 1.** (A–D) Values of cerebral blood flow (CBF), jugular venous partial pressure of oxygen (PjVO₂), cerebral metabolic rate of oxygen (CMRO₂), and lactate (CMRL) during moderate hyperventilation compared with moderate hypoventilation. Straight lines link individual values for each subject. Data are mean (SD) for each measurement. The P values, which refer to the difference between hyperventilation and hypoventilation, were calculated using two-sided t tests for paired data. P values of primary endpoints were adjusted by Bonferroni multiple comparisons procedure (*). PaCO₂ = arterial partial pressure of carbon dioxide.
hyperventilation leads to a moderate but pro-
influence on the results of our study. Induction of anesthesia
size of 0.73).

In contrast, other investigations could demonstrate
that hyperventilation leads to a substantial decrease in
CMRO$_2$ of 35,55 or tissue oxygen pressure.9 However, investigations
on CBF and cerebral metabolism with variations of
PaCO$_2$ in anesthetized patients or volunteers without cerebral
disease are scarce and also showed an unchanged CMRO$_2$
attenuated flow velocity. A similar phenomenon previously had been
demonstrated.8,10,15,16,52,53

In conclusion, moderate hyperventilation, when compared with hypoventilation, leads to a significant
decrease in venous jugular bulb oxygen saturation, PjvO$_2$, AJVDO$_2$, CBF, and thus CDO$_2$. Net cerebral lactate efflux
increased, which was associated with more negative LOI and
LGI. Thus, we can assert that no severe or delayed energy metabolism after a short phase of moderate hyper-
ventilation, but it suggests that cerebral aerobic metabolism
may partly be impaired. However, the clinical significance of
these findings remains unclear, because in humans without
cerebrovascular or traumatic brain injury detrimental effects
of hyperventilation in terms of morphologic or histologic
changes have not yet been demonstrated.

For the analysis of our data, different methodological
aspects have to be considered.

First, the a priori sample size calculation was based on
estimation of the effect size because of a lack of data regarding
CMRL. A post hoc calculation, however, showed a statisti-
cal power of 97% (n = 30, α error probability = 0.05; effect
size of 0.73).

Furthermore, the type of anesthesia may have potential
influence on the results of our study. Induction of anesthesia
with fentanyl and midazolam leads to a moderate but pro-
portional reduction in CBF and cerebral metabolism.12,14,56
The cerebrovascular CO$_2$ reactivity in our patients favorably
compared with data in conscious patients. Although we thus
have no reason to assume that intravenous anesthesia with
fentanyl and midazolam per se may have affected the PCO$_2$
induced changes in CMRL in our patients, the results of
this study cannot a priori be extrapolated to other types of
anesthesia.

Similarly, the external validity of our data could be
limited by the fact that our patients were suffering from
coronary artery disease and concomitant asymptomatic cere-
brovascular disease cannot completely be excluded despite
normal cerebrovascular CO$_2$ reactivity. Therefore, the con-
clusions from our study results should be limited to this
patient population.

The changes in CBF induced by hyperventilation and
hypotension are related to changes in CVR and CPP. The
calculation of these variables commonly requires measure-
ments of intracranial pressure. We used a minor invasive
method to estimate cerebral ZFP and CPP by extrapolating
pressure–flow velocity plots using recordings of ABP and
V$_{MCA}$. This technique, however, is well established and may
even provide a more meaningful quantification of the cere-
bral downstream pressure than intracranial pressure, particu-
larly in the absence of intracranial hypertension.6,22,57,58

The finding that moderate hyperventilation leads to a small but
significant reduction of CPP$_{eff}$ due to a significant increase
in ZFP is in accordance with previous trials investigating the
effects of hyperventilation on the effective downstream
pressure of the cerebral circulation.6,22,57,58

The Kety–Schmidt method has been considered a refer-
ce method for estimating global CBF.59–61 In contrast to
positron emission tomography or single-photon emission
computed tomography, the Kety–Schmidt technique is
generally independent of assumptions regarding tracer kinet-
ics, but might slightly overestimate global CBF in case of
incomplete cerebral tracer saturation. Because of the cross-
over design of our study, a slight systematic overestimation
of CBF has minor importance for the interpretation of
hemodynamic and metabolic changes.

In our investigation, we studied CBF and metabolism
aiming at PaCO$_2$ levels of 30 and 50 mmHg. We chose these
PaCO$_2$ levels because they roughly reflect the range of unin-
tended variations of PaCO$_2$ that often occur in routine clinical
practice. The lack of data during normocapnia is a potential
limitation of our study. Individual extrapolation of CBF at a
PaCO$_2$ of 40 mmHg gave a mean CBF under normocapnia
of 48 (10) ml·min$^{-1}$·100 g$^{-1}$. The absolute and relative
CO$_2$ reactivity of CBF is in accordance with previous stud-
ies on the cerebral circulation during general anesthesia.
This underlines the validity of our hemodynamic measure-
ments.8,13,18,55,62 Interestingly, the relative cerebrovascular
CO$_2$ reactivity of CBF was significantly higher than cerebro-
vascular CO$_2$ reactivity of V$_{MCA}$. The most probable explana-
tion is that changes in PaCO$_2$ do not only cause changes in
vascular diameter at the arteriolar level but might also cause
minor changes in diameter of the MCA, resulting in a slight
systematic difference between relative changes in flow and
flow velocity. A similar phenomenon previously had been
described during hypothermic cardiopulmonary bypass.14

In conclusion, moderate hyperventilation, when com-
pared with hypoventilation, increased net cerebral lactate
eflux and decreased LOI and LGI in cardiovascular patients
undergoing fentanyl–midazolam anesthesia. These metabolic
changes suggest that the observed decrease in CBF, cDO$_2$,
and PiO$_2$ may partly impair cerebral aerobic metabolism at clinically relevant levels of hypocapnia.

**Acknowledgments**

The authors gratefully thank Gerhard H. Visser, M.D., Ph.D. (Heemstede, The Netherlands), for his helpful comments on the interpretation of data, and Sanne Hoeks, Ph.D., Erasmus MC (Rotterdam, The Netherlands), for her expert advice in statistical analysis. Support was provided solely from institutional and/or departmental sources.

**Competing Interests**

The authors declare no competing interests.

**Correspondence**

Address correspondence to Dr. Grüne: Erasmus MC, Department of Anesthesiology, Room H1283, PO Box 2040, 3000 CA Rotterdam, The Netherlands. f.grune@erasmusmc.nl. Information on purchasing reprints may be found at www.anesthesiology.org or on the masthead page at the beginning of this issue. ANESTHESIOLOGY's articles are made freely accessible to all readers, for personal use only, 6 months from the cover date of the issue.

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