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Viewpoint: Making sense of the minefield of footprint indicators

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59 In recent years, footprint indicators have emerged as a popular mode of reporting
60 environmental performance. The prospect is that these simplified metrics will guide
61 investors, businesses, public sector policymakers and even consumers of everyday goods
62 and services in making decisions which lead to better environmental outcomes. However,
63 without a common “DNA”, the ever expanding lexicon of footprints lacks coherence and
64 may even report contradictory results for the same subject matter (1). The danger is that
65 this will ultimately lead to policy confusion and general mistrust of all environmental
66 disclosures.

67 Footprints are especially interesting metrics because they seek to express the
68 environmental performance of products and organizations from a life cycle perspective.
69 The life cycle perspective is important to avoid misleading claims based only on a selected
70 life cycle stage. For example, the water used to manufacture beverages may be important,
71 but if a beverage includes sugar, irrigation water used to cultivate sugarcane could be a
72 greater concern. The focus on environmental performance distinguishes footprints from
73 technical efficiency measures, such as energy use efficiency or water use efficiency, which
74 typically only make sense when applied to a single life cycle stage as they lack local
75 environmental context.

76 However, unlike technical efficiency, which can usually be accurately measured and
77 verified, footprint indicators, with their wider view of environmental performance, are
78 usually calculated using models which can differ in scope, complexity and model
79 parameter settings. Despite the noble intention of using footprints to evaluate and report
80 environmental performance, the potential inconsistency between different approaches acts
81 as a deterrent to use in many public policymaking and business contexts and can lead to
82 confusing and contradictory messages in the marketplace.

83 **Building on the international standards**

84 One way to achieve consistency in footprints is to start with the foundation of the
85 international standards describing environmental management from a life cycle
86 perspective, i.e. ISO 14040 and 14044. These international standards pre-date the recent
87 broad-based popular interest in footprints and do not address the subject directly.
88 Nevertheless, they are the global consensus documents underpinning life cycle
89 assessment (LCA), which already supports a wide range of complex environmental
90 decision-making in government and industry (2).

91 The major distinction between LCA and footprints is that the former is oriented toward
92 comprehensive assessment of all relevant environmental impacts and evaluation of trade-
93 offs, whereas the latter are more limited in scope, addressing only specific environmental
94 subjects of societal concern. This leads to LCA study reports being rich in technical detail
95 and although valuable in this regard, these reports are generally not widely accessible to
96 people outside the field. This is in contrast to footprints which have a primary orientation
97 toward non-LCA experts and society in general. Moreover, LCA practitioners work with a
98 set of indicators defined by the LCA expert community (3). However, these LCA impact
99 category indicators (e.g. terrestrial acidification, particulate matter formation,
100 photochemical oxidant formation) are not necessarily the lens through which society views
101 environmental protection.

102 All this is to say that while footprints should be based on LCA, they also have their own
103 special characteristics. Already a wide range of individual footprint protocols reference ISO
104 14044: e.g. ISO TS14067, ISO 14046, PAS2050, GHG Protocol Product Standard, BPX
105 30-323-0. A task group established under the United Nations Environment Programme
106 (UNEP) / Society of Environmental Toxicology and Chemistry (SETAC) Life Cycle Initiative
107 is working on generic guidance to support the coherent development and application of
108 footprint indicators addressing any subject of stakeholder concern – defined now or in the
109 future (4).

110 **Defining attributes**

111 Footprints seek to condense complicated environmental information into a metric that
112 society can use to make choices that can be expected to lead to improved environmental
113 outcomes within the scope covered by the footprint. We have identified four defining
114 attributes that should characterise all footprint indicators.

115 *Environmental relevance:* When aggregating data, having common units is necessary, but
116 not sufficient; environmental equivalence is needed. To illustrate, it would not be
117 environmentally meaningful to aggregate emissions of different greenhouse gases without
118 first applying factors, such as those published by the Intergovernmental Panel on Climate
119 Change describing the relative global warming potentials. Similarly, to assess the
120 environmental performance of consumptive water use along a supply chain it is necessary
121 to apply a model which accounts for differences in local water availability.

122 *Accurate terminology:* A footprint indicator addresses a specific subject of environmental
123 concern and the indicator's name must reflect the scope and not be misleading. Where
124 necessary, a qualifying term should be added. For example, following ISO 14046, the term
125 *water footprint* is applied only when both consumptive and degradative (pollution) aspects
126 of water use are assessed. When only consumptive water use is assessed, *water scarcity*
127 *footprint* is a suggested alternative.

128 *Directional consistency:* Footprints need to follow a consistent logic whereby a smaller
129 value is always preferable to a higher value. This facilitates the easy interpretation of
130 footprints, which is important considering their orientation towards society and non-
131 technical stakeholders.

132 *Transparent documentation:* Footprint methodologies and public footprint disclosures need
133 to be supported by documentation enabling technical peer review. Study reports should
134 document all methods, data sources and assumptions transparently and without bias.

135 From a technical perspective, footprint indicators might be based on life cycle inventory
136 data (provided the environmental relevance criterion is satisfied), an existing LCA impact
137 category indicator result, or the aggregation of results from different LCA impact categories
138 of relevance to the topic of the footprint. Examples of these three types of footprints are:
139 phosphorus depletion footprint, carbon footprint, and water footprint respectively.

140 **Multiple benefits**

141 In the European Union, the proliferation of inconsistent footprint methodologies has been
142 identified as the underlying issue hampering the functioning of a market for green products
143 (5). The benefits of harmonisation are many: reduced implementation costs for business,
144 avoidance of market access barriers, a common basis for industry to seek out resource
145 efficiency opportunities with supply chain partners, and increased consumer understanding
146 and confidence that footprint communications are trustworthy (5). The solution we propose
147 is the development of a coherent set of footprint indicators based on LCA.

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155 The views expressed in this article are those of the authors and do not necessarily reflect
156 those of the various affiliated organizations.

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175 *organisations. COM/2013/0196 final. European Commission, Brussels, 2013.*
176

177 **FIGURES**



178
179 Figure 1. Many types of environmental footprints pointing in different directions make for
180 policy confusion and contradictory messages in the marketplace. This problem can be
181 overcome if footprints describing environmental performance are based on life cycle
182 assessment (LCA).

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