

Université  
de Toulouse

# THÈSE

En vue de l'obtention du

## DOCTORAT DE L'UNIVERSITÉ DE TOULOUSE

Délivré par :

Université Toulouse 3 Paul Sabatier (UT3 Paul Sabatier)

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**Présentée et soutenue par :**

**Nicolas CHASSAING**

le jeudi 12 décembre 2013

**Titre :**

Génétique des micro-anophtalmies : revue des phénotypes et des génotypes ;  
stratégies d'identification de nouveaux gènes impliqués dans le  
développement oculaire

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**École doctorale et discipline ou spécialité :**

ED BSB : Gènes, cellules et développement

**Unité de recherche :**

EA-4555, Université Paul-Sabatier Toulouse III

**Directeur(s) de Thèse :**

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Professeur Stéphane BEZIEAU (PU-PH) - Rapporteur

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*A Marie, Paul et Carmen*

*A mes parents*



Patrick,

Ce travail est l'occasion pour moi de te remercier pour la formation de généticien que tu m'as donnée. Tu m'as enseigné l'importance d'une vision englobant les différents aspects de notre spécialité (clinique, moléculaire et chromosomique) ainsi que les aspects éthiques et sociétaux indissociables de notre métier. Tu m'as appris à réfléchir aux implications potentielles de chaque analyse prescrite et à réfléchir aux bénéfices, mais aussi aux limites et aux conséquences éventuelles de celles-ci. Merci également de m'avoir fait confiance et de m'avoir ainsi permis rapidement de m'autonomiser que ce soit dans le cadre de la génétique clinique, du laboratoire hospitalier ou du laboratoire de recherche. J'ai ainsi pu apprendre à développer des projets tout en sachant pouvoir compter sur tes conseils en cas de doute ou difficulté.

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## PRESENTATION GENERALE

Les anophtalmies et microphthalmies (AM) sont les plus sévères des malformations de l'œil. Leur incidence est estimée entre 1 sur 5000 et 1 sur 10000 naissances<sup>1</sup>. La microphthalmie est définie par une diminution de la longueur axiale de l'œil inférieure à -2 déviations standard (19 mm à un an et 21 mm à l'âge adulte)<sup>2, 3</sup>, l'anophtalmie par une absence de structure oculaire. Les AM peuvent être isolées, associées à d'autres malformations oculaires (AM complexes), et parfois à une déficience intellectuelle, ou à des malformations d'organes (AM syndromiques). Ces malformations oculaires sont une cause importante de malvoyance et la prévalence des AM chez les aveugles est estimée entre 3,2 % et 11,2 %<sup>1</sup>.

Bien que certaines causes environnementales soient connues pour être impliquées dans les AM (rubéole, acide rétinoïque, thalidomide, alcool...) elles ne rendent compte que d'une minorité des AM. Les causes génétiques sont prépondérantes, et le risque de récurrence d'AM dans la fratrie d'un cas index est estimé entre 10 % et 15 %. Des mutations dans plusieurs gènes ont été décrites dans ces anomalies du développement oculaire. Différentes voies métaboliques ont ainsi été impliquées, mais les principaux gènes codent pour des facteurs de transcription (PAX6, SOX2, OTX2, RAX, VSX2/CHX10 et FOXE3). Tous ces gènes sont fortement conservés au cours de l'évolution et jouent un rôle dans le développement des yeux et de la tête, même chez les invertébrés. Des mutations du gène *SOX2* expliquent 10 à 20 % des AM<sup>4, 5</sup>, alors que les autres gènes ne sont impliqués que beaucoup plus rarement. Notre laboratoire hospitalier s'est spécialisé dans le diagnostic moléculaire des anomalies du développement embryonnaire oculaire, et j'ai participé pendant ma formation médicale à mettre au point les analyses moléculaires de plusieurs des gènes d'AM et à analyser des patients. Mon travail de thèse a consisté pour partie à analyser les résultats moléculaires obtenus dans une cohorte de 150 patients atteints d'AM. Ceci nous a permis de préciser la fréquence de l'implication de chaque gène et d'analyser les phénotypes associés.

A partir de deux familles particulières, nous avons pu explorer plus précisément les phénotypes liés aux mutations des gènes *OTX2* et *STRA6* :

- nous avons, en effet, observé la présence de patients présentant un défaut sévère du développement de la mâchoire inférieure (agnathie ou otocéphalie) dans une famille où une mutation du gène *OTX2* avait été identifiée chez plusieurs membres atteints d'AM. Nous avons pu recruter, en collaboration avec le Pr Ethylin Jabs (New York, USA), d'autres patients otocéphales et confirmer l'implication du gène *OTX2* chez certains. Nous avons de plus essayé de comprendre, en collaboration avec l'équipe du Pr. Nicholas Katsanis et du Dr. Erica Davis (Université de Duke, Durham, USA), les facteurs pouvant expliquer ces variations

phénotypiques intra familiales et avons apporté des arguments pour un modèle de gènes modificateurs.

- Les mutations du gène *STRA6* ont été impliquées dans une AM syndromique, le syndrome de Matthew-Wood (ou PDAC pour Pulmonary, Diaphragmatic, Anophthalmia, Cardiac). Nous avons pu montrer que ce gène pouvait être à l'origine d'un spectre phénotypique plus large allant du syndrome de Matthew-Wood à une atteinte oculaire isolée. Nous avons spécifiquement étudié l'implication de ce gène dans les différentes présentations cliniques dans un projet collaboratif avec le Dr. Nicola Ragge (Oxford, UK).

Malgré des analyses moléculaires poussées, aucune cause génétique ne peut être identifiée chez plus de 3/4 des patients avec AM. L'hétérogénéité génétique déjà mise en évidence indique que seule une partie (probablement petite) des gènes d'AM avait été identifiée et que d'autres gènes d'AM restaient donc à découvrir. Pour identifier ces gènes, nous avons décidé de mener en parallèle plusieurs approches :

- Le séquençage de gènes et régions candidates
- L'identification de gènes candidats par recherche de réarrangement chromosomique en CGH-array
- L'identification de gènes candidats par une approche plus fondamentale visant à identifier les cibles des facteurs de transcription majeurs déjà impliqués dans les AM (*SOX2*, *OTX2*, *RAX* et *PAX6*)

Grâce au développement des techniques de séquençage haut débit, nous avons pu séquencer un total de 407 gènes candidats (fonctionnels, positionnels, ou cible d'un des 4 facteurs de transcription étudiés). Cette analyse nous a permis d'identifier un 2<sup>ème</sup> gène majeur impliqué dans les défauts du développement oculaire, le gène *PTCH1*. Le caractère délétère des mutations identifiées dans notre cohorte a pu être confirmé sur un modèle de poisson zèbre (*Danio rerio*) grâce à une collaboration entreprise avec le Dr. Erica Davis (Université de Duke, Durham, USA). L'implication de ce gène est particulièrement intéressante car elle permet de confirmer un faisceau d'éléments qui laissait suspecter l'implication importante de la voie *SHH* dans le développement oculaire. Cette analyse a également pointé d'autres gènes dont l'implication dans les défauts du développement embryonnaire oculaires reste à démontrer.

Enfin, nous avons participé, dans le cadre de projets collaboratifs à l'identification de nouveaux gènes impliqués dans des AM isolées (*TMX3*) ou syndromiques (*ALDH1A3*, *RARB*).

Nous avons initié plus récemment un nouveau projet collaboratif avec le Dr. Nicola Ragge (Oxford, UK) et avons étudié par séquençage d'exome 24 patients atteints d'AM (12 trio chez des cas sporadiques et 12 patients issus de parents apparentés). D'autres projets collaboratifs similaires (utilisant le séquençage haut débit) ont également été entrepris pour identifier des gènes impliqués dans des anomalies du développement oculaire isolées (anomalies de la chambre antérieure) ou syndromiques (microphthalmie de Lenz, syndrome de Gillespie, syndrome PDAC).

Afin de bien montrer la diversité des différentes approches employées, j'ai décidé de subdiviser cet exposé en 6 grandes parties :

- 1- Une introduction à l'anatomie de l'œil, à son embryologie, à la génétique des AM et une description des 4 facteurs de transcription sélectionnés pour notre approche expérimentale.
- 2- La présentation des résultats obtenus par analyse des gènes d'AM précédemment identifiés dans notre cohorte de 150 patients souffrant d'AM
- 3- Une description plus spécifique des phénotypes associés aux mutations des gènes *OTX2* et *STRA6*
- 4- La recherche de nouveaux gènes d'AM avec la description des différentes stratégies utilisées en parallèle. Un sous chapitre sera dédié à chaque approche méthodologique.
- 5- Un exposé des travaux collaboratifs finalisés
- 6- Une discussion générale récapitulant les principaux résultats et soulignant le lien entre ces différents chapitres. Il y sera associé une partie sur les perspectives de poursuivre ce travail.



# **CHAPITRE I**

---

## **INTRODUCTION**

## I-1 : Anatomie de l'œil

### Introduction

L'œil est l'organe de la vision. Il permet de capter la lumière pour l'analyser et interagir avec l'environnement. C'est un organe complexe comprenant des structures aux fonctions très différentes. Ces structures assurent une fonction de protection (sclérotique), de réfraction (cornée, cristallin), d'accommodation (le cristallin et ses annexes), de diaphragme (iris et pupille) et de réception et transmission de l'image (rétine) vers les structures cérébrales au travers du nerf optique.

### Anatomie de l'œil

L'œil humain est constitué d'un globe oculaire, formé de 3 enveloppes (de l'extérieur vers l'intérieur): la scléro-cornée, l'uvée (iris, corps ciliaire et choroïde), et la rétine. Il est divisé en deux parties situées de part et d'autre du cristallin : le segment antérieur et le segment postérieur (Fig. 1).

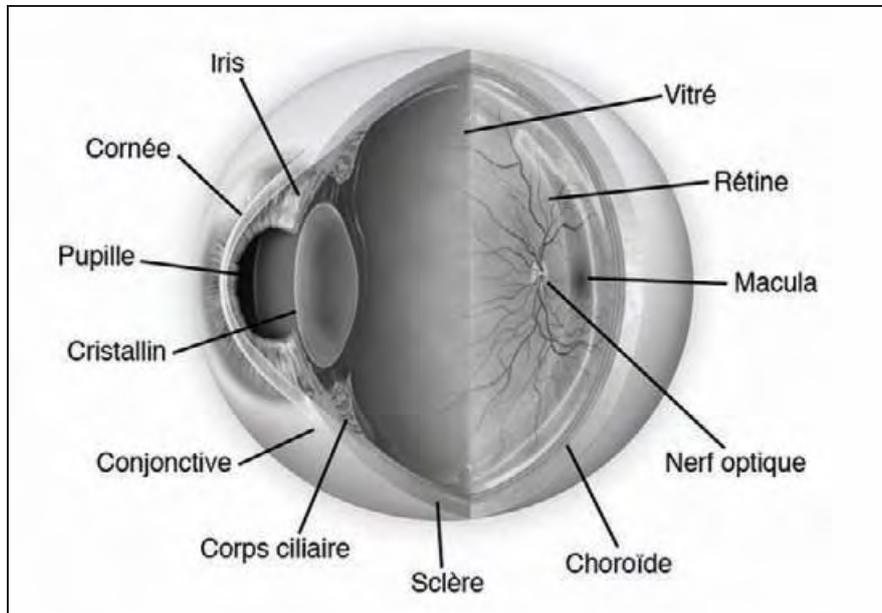


Figure 1: Anatomie de l'œil.<sup>1</sup>

Les différentes structures oculaires sont indiquées. Le segment antérieur comprend l'humeur aqueuse, l'iris et la pupille, la cornée et le cristallin. Le segment postérieur comprend l'humeur vitrée et la rétine.

<sup>1</sup> <http://tpeveil.e-monsite.com/medias/images/anatomie-oeil-1.jpg>

## 1. Le segment antérieur

### a. La cornée

La cornée prolonge la sclérotique dans sa partie antérieure. Il s'agit d'un tissu conjonctif transparent recouvrant en avant la pupille et l'iris. Elle a un rôle de dioptré convergent.

La cornée se compose de 5 couches qui sont de l'extérieur vers l'intérieur :

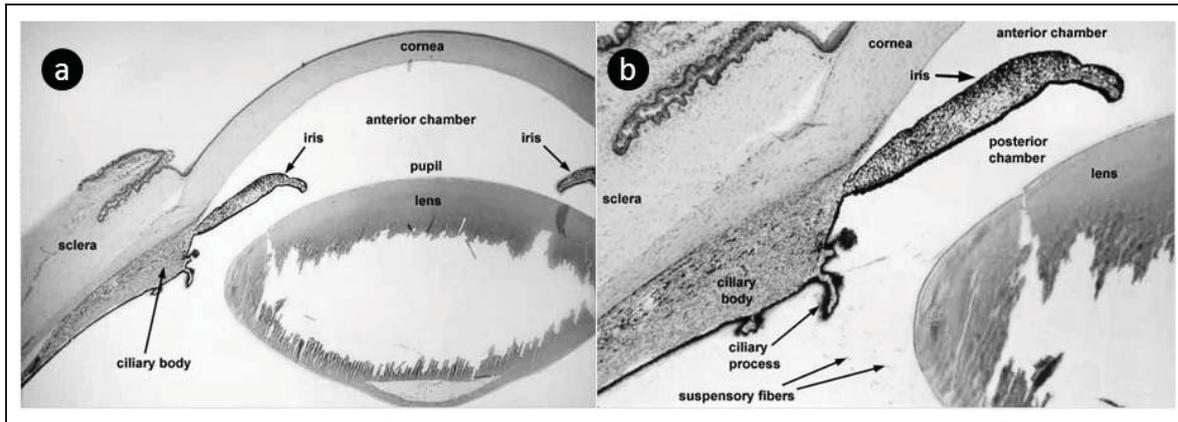
- *Un épithélium cornéen* qui joue un rôle de barrière avec le milieu extérieur. Il s'agit d'un épithélium pavimenteux stratifié non kératinisé, qui repose sur une membrane basale. Sa surface apicale, tapissée de microplis retient un film aqueux de larmes humidifiant en permanence la cornée.
- *La membrane de Bowman* située entre la membrane basale épithéliale et le stroma.
- *Le stroma cornéen*, collagène et dense. Il occupe plus de 90 % de la cornée. Il est limité en avant par la membrane de Bowman et en arrière par la membrane de Descemet. Les microfibrilles de collagène sont groupées en lamelles empilées parallèlement, entre lesquelles se disposent les fibroblastes du stroma, ou kérateocytes. D'une lamelle à l'autre, l'orientation des microfibrilles est différente. Les microfibrilles ont toutes le même diamètre, et leur indice de réfraction est identique à celui de la substance fondamentale, conditions essentielles au maintien de la transparence de la cornée.
- *La membrane de Descemet*, membrane basale épaissie de l'endothélium cornéen.
- *L'endothélium cornéen*, pavimenteux simple.

### b. L'iris

L'iris a une forme de disque perforé en son centre par la pupille. Le stroma irien est formé de tissu conjonctif qui prolonge le stroma du corps ciliaire. On trouve dans ce stroma

- deux muscles lisses responsables des variations du diamètre de la pupille : le dilatateur et le constricteur de la pupille.
- des cellules pigmentaires responsables des différences de couleur des yeux.

La face postérieure du stroma irien est revêtue par un épithélium bistratifié poursuivant l'épithélium des procès ciliaires qui prolonge la rétine (Fig. 2).



**Figure 2: Coupe histologique d'un œil.<sup>2</sup>**

(a) Les différentes structures de la chambre antérieure sont visibles et indiquées sur la coupe histologique. (b) Agrandissement au niveau du corps ciliaire. On remarque la continuité entre le stroma irien et le stroma du corps ciliaire et entre l'épithélium postérieur des procès ciliaire et celui de l'iris. Les ligaments suspenseurs liant le cristallin au corps ciliaire sont également visibles.

#### c. La pupille

La pupille est l'ouverture dans l'iris qui a un rôle de diaphragme : elle module la quantité de lumière pénétrant dans l'œil qui atteindra la rétine.

#### d. Le cristallin

C'est la structure postérieure du segment antérieur de l'œil. De forme d'une lentille biconvexe, il assure une fonction d'accommodation. Le cristallin est un massif épithélial transparent, non vascularisé. Ce massif épithélial est constitué de cellules dont le noyau a disparu et contenant des protéines spécifiques (cristallines) dont la fonction est d'assurer la transparence du cristallin et d'absorber les UV pour protéger la rétine. Les cellules cristalliniennes sont tassées les unes contre les autres avec un grand axe grossièrement antéro-postérieur. Le cristallin est relié par les ligaments suspenseurs du cristallin au corps ciliaire. Celui-ci, par le jeu de la contraction des muscles ciliaires contenus dans son stroma conjonctif, peut ainsi modifier la forme du cristallin et permettre l'accommodation à la distance (Fig. 2).

#### e. Les procès ciliaires

Ce sont des franges formées d'un axe conjonctif riche en vaisseaux et revêtu d'un épithélium cubique bistratifié. Cet épithélium est le prolongement vers l'avant de la rétine. Les procès ciliaires sécrètent

<sup>2</sup> Adaptée de <http://www.siumed.edu/~dking2/ssb/>

l'humeur aqueuse qui assure la nutrition de la cornée, de l'iris et du cristallin. L'humeur aqueuse est drainée au niveau de l'angle irido-cornéen.

## 2. Le segment postérieur

Le segment postérieur de l'œil est limité en avant par le cristallin et en arrière par la rétine. Il contient un gel transparent, l'humeur vitrée.

### a. L'humeur vitrée

C'est un milieu gélatineux transparent, fait d'eau à 90 %, de glycosaminoglycanes et de collagène. Il assure un rôle de maintien de la rétine contre la paroi de l'œil, ainsi qu'un rôle de réservoir de facteurs trophiques pour le cristallin et la rétine.

### b. La rétine

La rétine est composée de deux feuillets : l'épithélium pigmentaire rétinien (RPE) et la neurorétine :

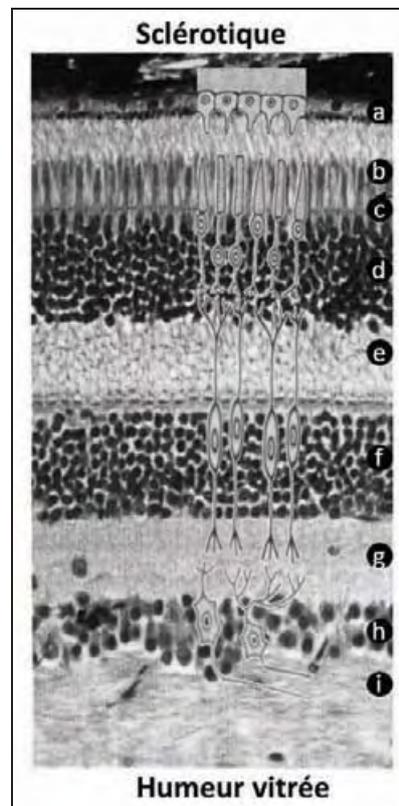
- Le RPE est formé par un épithélium simple, fait de cellules pavimenteuses, hexagonales, synthétisant de la mélanine. La face apicale de ces cellules présente des expansions qui enveloppent le segment externe des photorécepteurs. Le bon fonctionnement du RPE est essentiel pour l'intégrité de la neurorétine (rôle dans le renouvellement des photorécepteurs, dans le renouvellement de la rhodopsine et des pigments, dans le transport transépithélial, absorption des photons n'ayant pas interagi avec les photopigments, sécrétion de facteurs de croissance ou d'immunomodulateurs...). La face basale du RPE repose sur la membrane de Bruch qui réunit la choroïde à la rétine.
- La neurorétine constitue un récepteur des signaux lumineux (photons). Elle les capte et les transforme en signaux électro-chimiques grâce à des photorécepteurs : cônes (vision diurne et colorée) et bâtonnets (vision crépusculaire et nocturne en noir et blanc). Ces signaux électro-chimiques sont alors envoyés vers les aires visuelles cérébrales par le nerf optique après transduction du signal au travers des différentes couches de la neurorétine.

Histologiquement la rétine est composée de 10 couches (Figure 3) listées ci-dessous de l'extérieur vers l'intérieur :

- *Epithélium pigmentaire rétinien*
- *Segments externes des photorécepteurs*
- *Membrane limitante externe*

- *Couche granulaire externe* (contient les corps cellulaires des photorécepteurs)
- *Couche plexiforme externe* (contient les connexions entre les cellules des couches granulaires externe et interne)
- *Couche granulaire interne* (contient les corps cellulaires des cellules bipolaires)
- *Couche plexiforme interne* (contient les connexions entre les cellules de la couche granulaire interne et les cellules de la couche ganglionnaire)
- *Couche ganglionnaire* (contient les corps cellulaires des cellules ganglionnaires)
- *Couche des fibres optiques* (contient les axones des cellules ganglionnaires qui convergent vers la papille pour rejoindre le nerf optique. Les vaisseaux artériels et veineux sont également situés au sein de cette couche)
- *Membrane limitante interne*

En plus de cette organisation générale, il faut signaler la présence de deux zones particulières dans la rétine, la papille optique et la macula. La papille, ou tête du nerf optique, correspond à la zone où convergent les fibres optiques issues des cellules ganglionnaires. Cette zone est dépourvue de cellules photoréceptrices et est donc une zone "aveugle". La macula, ou rétine centrale, correspond au point d'intersection avec l'axe visuel. Au centre de la macula, dans la *fovea*, la rétine est amincie et composée uniquement de cônes, permettant une meilleure résolution optique.



### Figure 3: Les différentes couches de la rétine.<sup>3</sup>

Coupe histologique d'une rétine. Les différentes couches indiquées sur la figure: épithélium pigmentaire rétinien (a), prolongements sensoriels des cellules photoréceptrices (b), la membrane limitante externe (c), la couche granulaire externe (d), la couche plexiforme externe (e), la couche granulaire interne (f), la couche plexiforme interne (g), la couche ganglionnaire (h) et la couche des fibres optiques (i).

#### 3. Les tuniques de l'œil

##### a. La sclérotique

La sclérotique est la membrane la plus extérieure de l'œil. Elle est très résistante et permet de contenir la pression interne de l'œil et de protéger celui-ci contre les agressions mécaniques. Elle se prolonge en avant par la cornée (Figure 1).

##### b. La choroïde

La choroïde est une mince couche de tissu conjonctif lâche contenant de nombreux vaisseaux sanguins et des nerfs. C'est la couche de la paroi du globe oculaire située entre la sclérotique à l'extérieur, et la rétine à l'intérieur. De la partie externe à la partie interne, on distingue 3 couches :

- *Couche des vaisseaux* (contenant artères et veines)
- *Couche choriocapillaire* (contenant un important réseau capillaire dépendant des vaisseaux de la couche précédente)
- *Membrane de Bruch* (mince couche de microfibrilles collagènes et élastiques recouverte d'un côté par la membrane basale des capillaires de la couche choriocapillaire et de l'autre par la membrane basale de l'épithélium pigmentaire de la rétine)

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<sup>3</sup> Adaptée de [http://sciences.exp.free.fr/cours/cours\\_svt/premiere\\_es\\_1/representation-visuelle/images/couperetine.jpg](http://sciences.exp.free.fr/cours/cours_svt/premiere_es_1/representation-visuelle/images/couperetine.jpg)

## I-2 : Embryologie de l'œil

### Introduction

Les yeux se forment dès la 4<sup>ème</sup> semaine du développement. Il s'agit d'un organe complexe d'origine embryologique variée. L'ébauche neurale (*vésicules optiques*) dérivée du cerveau antérieur forme la rétine et l'ébauche ectodermique (*placodes optiques*) est à l'origine du cristallin. Les autres structures de l'œil dérivent du mésenchyme environnant, colonisés par la crête neurale. L'origine embryologique des différentes structures oculaires est résumée dans le tableau 1. Les principales étapes du développement oculaire sont décrites dans le tableau 2.

Tableau 1: Origine embryologique des différentes structures oculaires

| Origine Embryologique | Structures Oculaires   |
|-----------------------|--|
| Neurectoderme         | Neurorétine<br>Epithélium pigmenté rétinien<br>Epithélium irien<br>Epithélium des corps ciliaires<br>Nerf optique  |
| Crête neurales        | Endothélium cornéen<br>Trabéculum<br>Stroma de la cornée, de l'iris et des corps ciliaires<br>Muscle ciliaire<br>Choroïde et sclérotique<br>Cellules musculaires lisses<br>Gaine du nerf optique<br>Os et cartilage de l'orbite<br>Tissu conjonctif des muscles extrinsèques<br>Vitré secondaire |
| Ectoderme de surface  | Epithélium de la cornée et de la conjonctive<br>Cristallin<br>Glandes lacrymales<br>Epiderme des paupières<br>Cils<br>Epithélium des glandes annexes<br>Epithélium du canal nasolacrimal   |
| Mésoderme             | Cellules musculaires extraoculaires<br>Endothélium vasculaire<br>Endothélium du canal de Schlemm   |

## Les étapes précoces du développement oculaire

### - Premières ébauches

L'ébauche des yeux apparaît vers le 22<sup>ème</sup> jour de développement. Deux sillons, les *gouttières optiques*, se dessinent de part et d'autre de la ligne médiane sur l'extrémité encore ouverte du cerveau antérieur (Figures 4 et 5). Lors de la fermeture du tube neural, le développement du cerveau antérieur va placer les gouttières optiques au niveau du diencéphale.

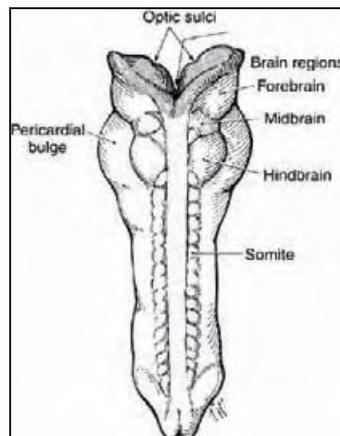


Figure 4: Vue générale d'un embryon de 23 jours.<sup>4</sup>

Les premières ébauches oculaires (*gouttières optiques*) apparaissent sur la face interne du cerveau antérieur.

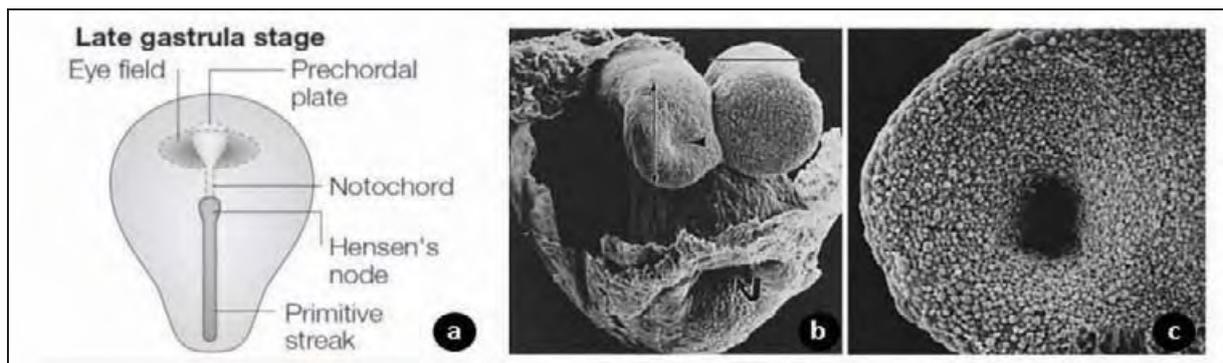


Figure 5: Formation des gouttières optiques.<sup>5 6</sup>

Apparition des premières ébauches oculaires (*gouttières optiques*) au niveau du cerveau antérieur. Représentations schématisées (a) et en microscopie électronique (b,c) chez un embryon de souris au 8ème jour (E8, équivalent de 4 semaines chez l'homme).

<sup>4</sup> <http://www.oculist.net/downat0502/prof/ebook/duanes/pages/v7/v7c002.html#t1>

<sup>5</sup> Les figures 5, 6, 7, 9 et 10 sont adaptées de Graw J. Nat Reviews Genetics 2003 et <http://www.oculist.net/downat0502/prof/ebook/duanes/pages/v7/v7c002.html#t1>

- Les vésicules optiques et cristalliniennes

A partir du 24<sup>ème</sup> jour, avec la fermeture du tube neural, les gouttières optiques vont progressivement s'élargir et s'éloigner du système nerveux central pour former les *vésicules optiques* (Figure 6). Entre les vésicules optiques et le diencéphale se développe le *pédicule optique*. Les vésicules optiques se développent vers l'ectoderme de surface, et le contact entre ces deux structures va entraîner l'apparition d'un épaissement de l'ectoderme de surface qui correspond à la formation des *placodes cristalliniennes* (27<sup>ème</sup> jour). Les placodes cristalliniennes vont secondairement s'invaginer pour former les *vésicules cristalliniennes* (29<sup>ème</sup> jour) (Figure 7).



Figure 6: Les vésicules optiques.

L'élargissement des gouttières optiques et leur éloignement du diencéphale entraîne l'apparition des vésicules optiques. Représentations schématique (a) et en microscopie électronique (b,c) chez un embryon de souris à un développement équivalent de la fin de la 4<sup>ème</sup> semaine chez l'homme.

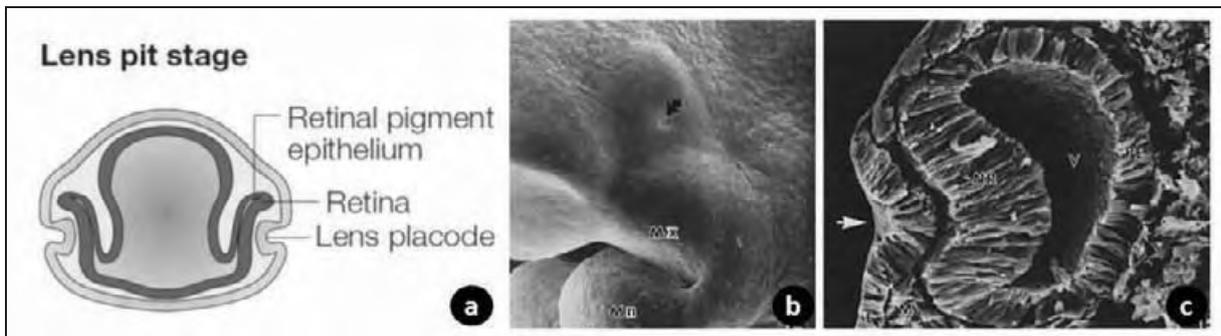


Figure 7: Les placodes cristalliniennes.

Au contact des vésicules optiques et de l'ectoderme de surface, ce dernier va s'épaissir pour former les *placodes cristalliniennes* qui vont secondairement s'invaginer pour former les *vésicules cristalliniennes*. Représentations schématique (a) et en microscopie électronique (b,c) chez un embryon de souris à un développement équivalent du début de la 5<sup>ème</sup> semaine chez l'homme.

## Devenir des vésicules optiques et cristalliniennes

Les vésicules optiques et cristalliniennes vont subir des transformations qui vont permettre la différenciation de la rétine et du cristallin. Les principales étapes de ces transformations sont résumées dans la figure 8.

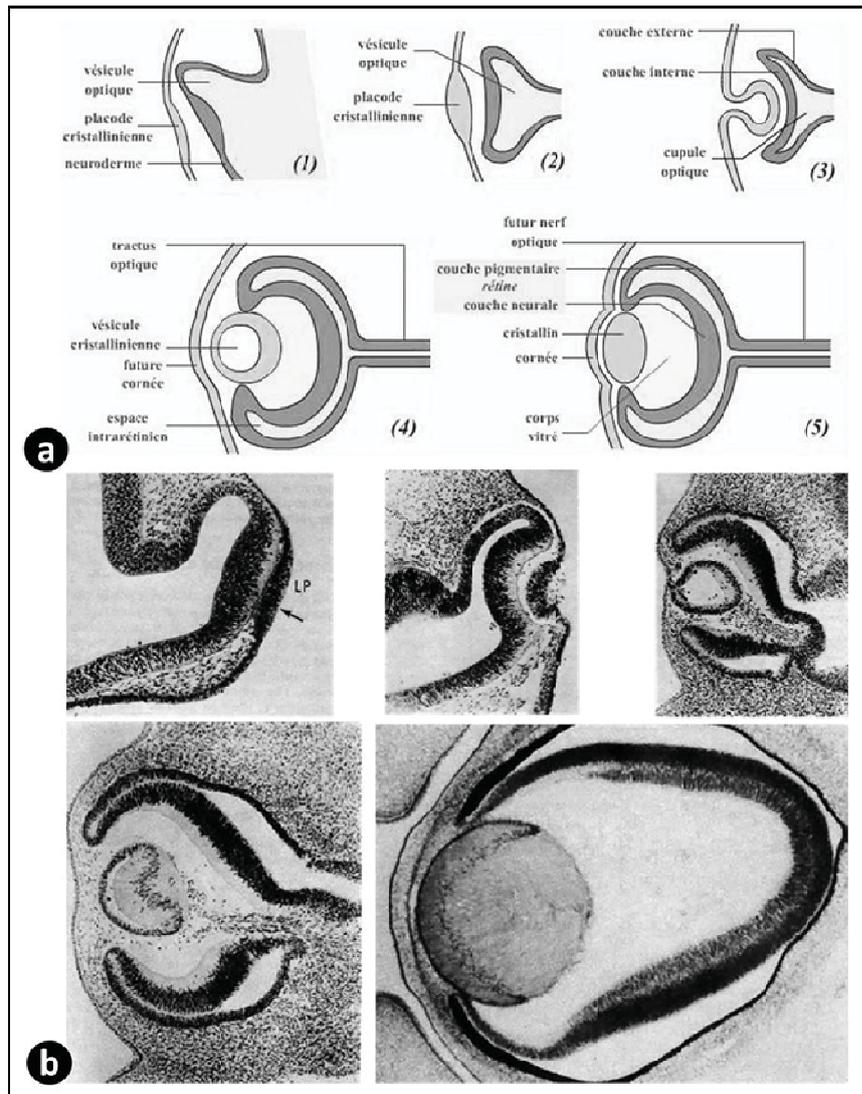


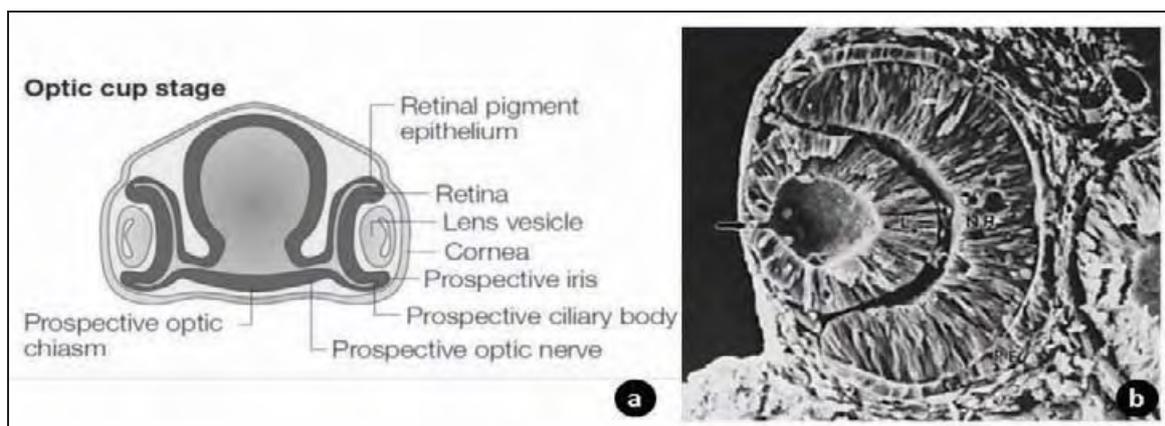
Figure 8: Les grandes étapes du développement oculaire.<sup>6</sup>

Sous l'action de signaux provenant des vésicules optiques, l'ectoderme de surface s'épaissit puis s'invagine pour former les *vésicules cristalliniennes* qui vont se détacher progressivement de l'ectoderme de surface et donneront naissance au cristallin. Les vésicules optiques s'invagineront également et formeront secondairement la rétine. Représentations schématique (a) et coupes histologiques correspondantes (b) chez un embryon humain.

<sup>6</sup> (a) [http://legacy.futura-sciences.com/uploads/tx\\_oxcsfutura/comprendre/d/images/667/vision\\_038big.jpg](http://legacy.futura-sciences.com/uploads/tx_oxcsfutura/comprendre/d/images/667/vision_038big.jpg)  
(b) adapté de <http://www.oculist.net/downaton502/prof/ebook/duanes/pages/v7/v7c015.html>

- Devenir des vésicules cristalliniennes

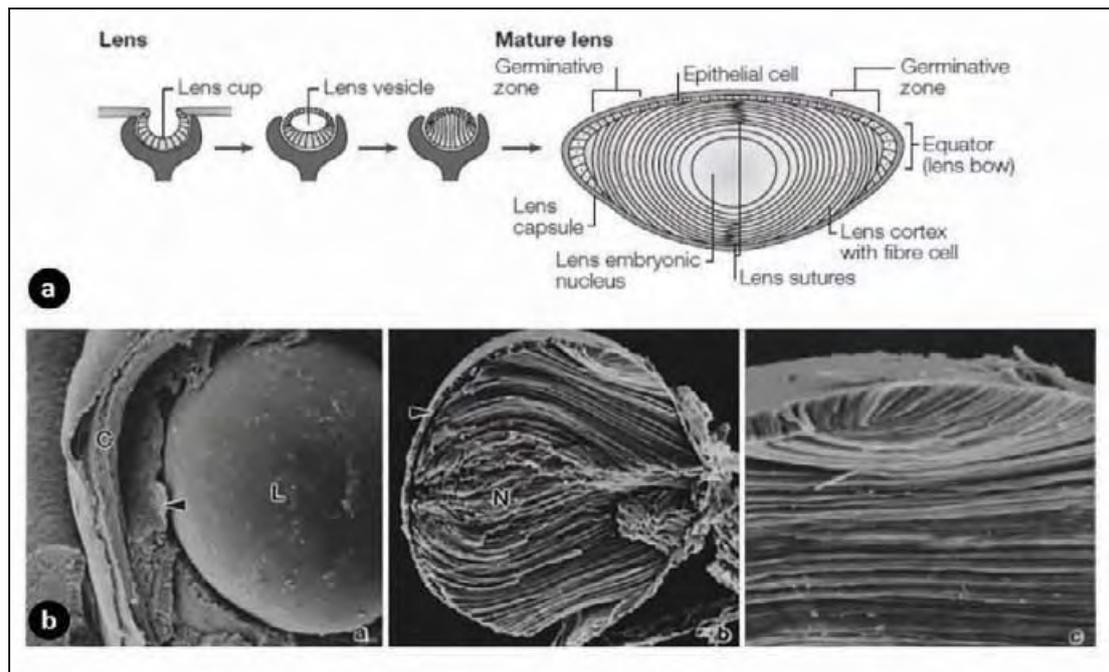
La vésicule cristallinienne va progressivement (entre le 33<sup>ème</sup> et le 36<sup>ème</sup> jour de développement) se détacher de l'ectoderme de surface pour former une structure arrondie qui se différenciera en cristallin (Figures 8 et 9). L'ectoderme de surface reformé donnera secondairement la cornée.



**Figure 9: Formation des vésicules cristalliniennes.**

Les *vésicules cristalliniennes* qui donneront naissance au cristallin se détachent progressivement de l'ectoderme de surface. Représentations schématique (a) et en microscopie électronique (b) chez un embryon de souris.

La différenciation de la vésicule cristallinienne en cristallin nécessite des changements de forme et de composition afin de permettre la formation d'une structure transparente (Figure 10). Ces changements débutent au 37<sup>ème</sup> jour du développement. Les cellules de la face postérieure de la vésicule cristallinienne vont s'allonger pour former les fibres cristalliniennes primaires. Ces cellules vont sécréter des protéines spécifiques, les cristallines, et perdre leur noyaux. Les cellules de la paroi antérieure de la vésicule cristallinienne constituent un épithélium germinatif qui continue à se diviser. Ces cellules vont donner naissance aux fibres cristalliniennes secondaires qui vont former des couches concentriques autour des fibres primaires.

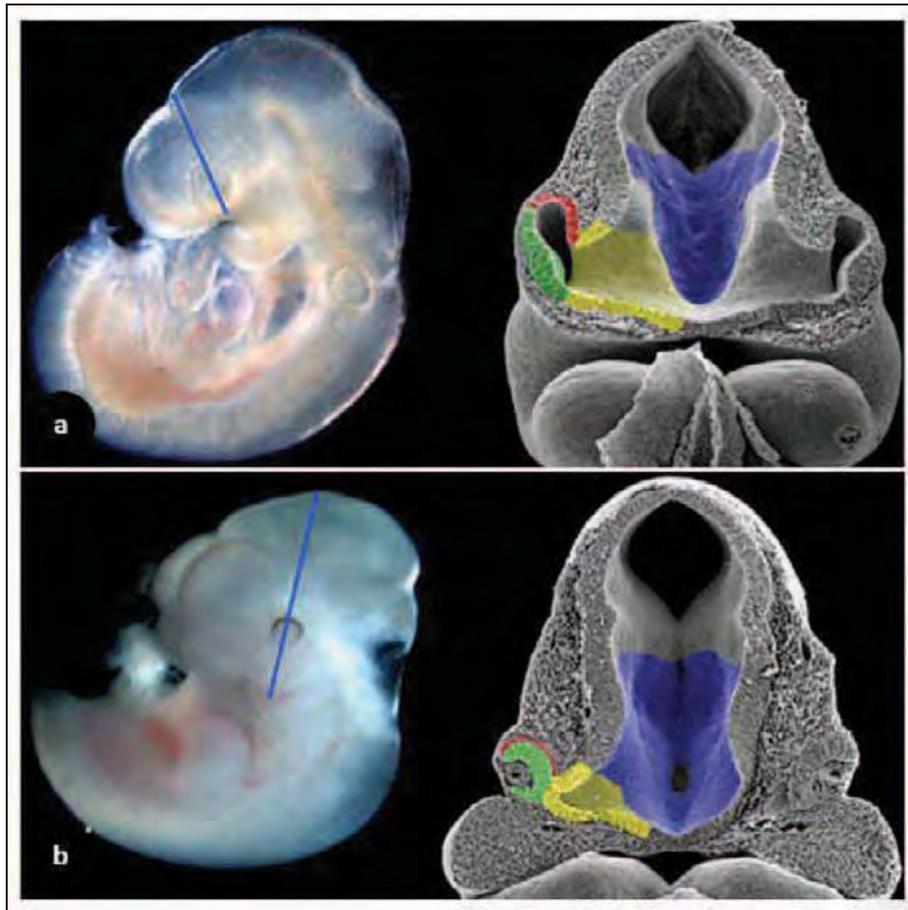


**Figure 10: Différentiation du cristallin.**

Vue schématique (a) et en microscopie électronique (b) chez un embryon de souris de la formation des fibres cristalliniennes. Ces cellules, allongées, orientées dans un sens antéro postérieur deviennent transparentes grâce à la perte de leur noyaux et à la production de protéines spécifiques.

- Devenir des vésicules optiques

Au fur et à mesure de la formation de la placode puis vésicule cristallinienne, la vésicule optique s'aplatit et finalement devient concave pour former la *cupule optique* (à partir du 29<sup>ème</sup> jour du développement). Les deux feuillets de la cupule optique séparés par une cavité primitive rétinienne vont progressivement s'accoler au cours de l'invagination et la cavité va devenir virtuelle (Figures 8 et 11). Le feuillet le plus externe va se différencier en *épithélium rétinien pigmentaire*, tandis que le feuillet interne va se différencier en *neurorétine*. A la jonction entre ces deux feuillets se formera l'iris et le corps ciliaire.

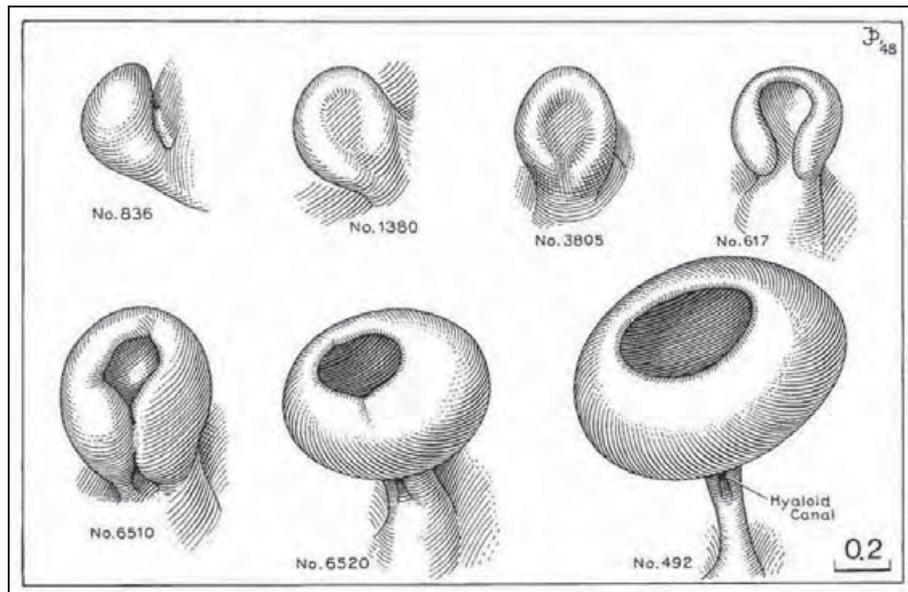


**Figure 11: Mise en place de la rétine.<sup>7</sup>**

Coupes en microscopie électronique d'embryon de souris à E9.5 (a) et E10.5 (b). Les vues de l'embryon entier montrent les plans de coupe. Certaines structures ont été colorées : futur hypothalamus (en bleu), pédicule optique (en jaune), neurorétine (en vert) et épithélium rétinien pigmentaire (en rouge).

La cupule optique s'invagine en s'enroulant autour de l'artère hyaloïdienne, laissant ainsi une ouverture inférieure sur la face ventrale de la cupule optique et du pédicule optique, la *fente colobomique*. Progressivement, les deux lèvres de la fente colobomique vont se rapprocher et fusionner au cours de la 7<sup>ème</sup> semaine de développement. L'artère hyaloïdienne initialement présente dans le vitré va disparaître au cours de ce processus (Figure 12).

<sup>7</sup>[http://www.google.fr/imgres?imgurl=http://www.unc.edu/~pevny/Langer3.jpg&imgrefurl=http://www.unc.edu/~pevny/langer.html&usq=\\_\\_ETYNG2MBDJqo2xnxAD68330To5s=&h=575&w=527&sz=256&hl=fr&start=6&zoom=1&tbnid=IHSycmYeNH7BZM:&tbnh=134&tbnw=123&ei=K9cmUqislsLW0QXrgoCQDg&prev=/images%3Fq%3Ddevelopment%2Beye%2Belectronic%2Bmicroscopy%26hl%3Dfr%26gbv%3D2%26tbn%3Disch&itbs=1&sa=X&ved=0CDgQrQMwBQ](http://www.google.fr/imgres?imgurl=http://www.unc.edu/~pevny/Langer3.jpg&imgrefurl=http://www.unc.edu/~pevny/langer.html&usq=__ETYNG2MBDJqo2xnxAD68330To5s=&h=575&w=527&sz=256&hl=fr&start=6&zoom=1&tbnid=IHSycmYeNH7BZM:&tbnh=134&tbnw=123&ei=K9cmUqislsLW0QXrgoCQDg&prev=/images%3Fq%3Ddevelopment%2Beye%2Belectronic%2Bmicroscopy%26hl%3Dfr%26gbv%3D2%26tbn%3Disch&itbs=1&sa=X&ved=0CDgQrQMwBQ)



**Figure 12: Fermeture de la fente colobomique.**<sup>8</sup>

Représentation schématique de la progression de l'invagination de la cupule optique à partir de la vésicule optique et de la fermeture progressive de la fente colobomique entre la 5<sup>ème</sup> et 7<sup>ème</sup> semaine de développement.

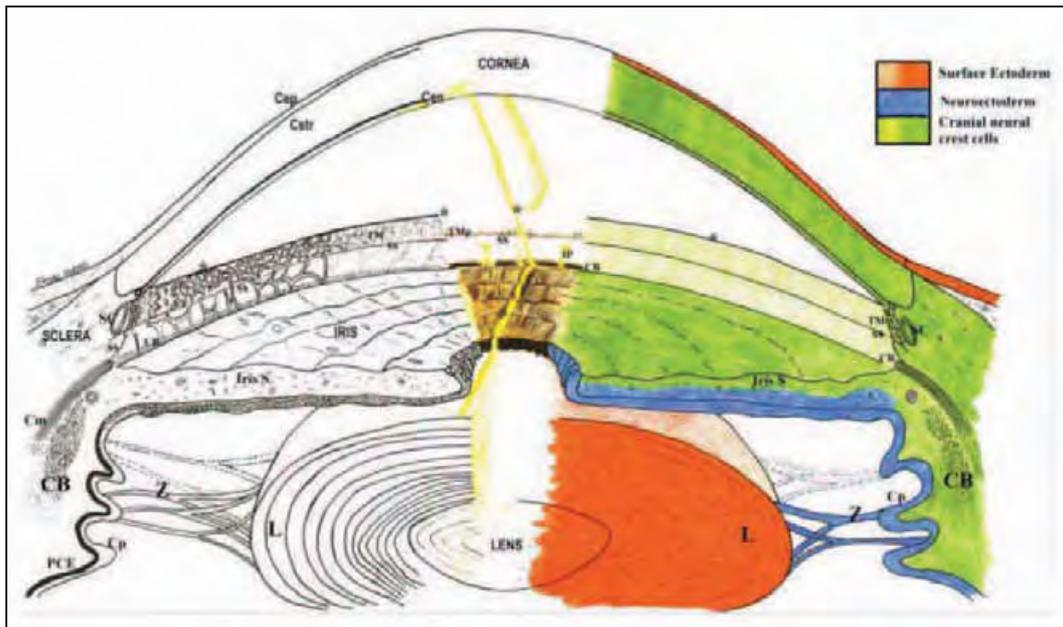
### **Les autres structures oculaires**

La différenciation des autres structures oculaires (chambre antérieure, sclérotique et choroïde) vont être sous l'influence notamment de la migration des crêtes neurales au cours de trois vagues successives.

- Chambre antérieure

Les structures de la chambre antérieure (cornée, iris, corps ciliaires, et à sa partie postérieure le cristallin) sont issues de cellules issus de l'ectoderme de surface, du neurectoderme et de la crête neurale (Figure 13).

<sup>8</sup> Adaptée de <http://www.ehd.org/developmental-stages/stage18.php>



**Figure 13: Origine embryologique des structures de la chambre antérieure.**<sup>9 7</sup>

Représentation schématique de la chambre antérieure. Sur la droite de la figure, les couleurs indiquent les différentes origines embryologiques. La cornée a un épithélium de surface (Cep) d'origine ectodermique, un stroma (Cstr, Cen) et un endothélium issus de la crête neurale. Les structures de l'angle irido-cornéen ainsi que le stroma de l'iris (Iris S) et des corps ciliés (CB) sont issus de la crête neurale. L'épithélium irien, ainsi que celui des corps ciliés et les procès ciliaires (Cp) sont d'origine neuroectodermique. Le cristallin (L) à une origine ectodermique.

### Cornée et angle irido-cornéen

L'épithélium pigmentaire de la cornée (couche externe) se différencie à partir de l'ectoderme de surface sous l'induction de signaux provenant du cristallin. Le cristallin sécrète également les constituants du stroma primaire acellulaire de la cornée qui va permettre la migration de la crête neurale et la constitution du stroma cornéen (deux premières vagues de migration). Enfin, la cornée est délimitée en interne par un endothélium lui aussi issue de la différenciation de la crête neurale (Figure 13).

Les crêtes neurales de la première vague de migration situées dans la partie postérieure et périphérique de la cornée se différencient en angle irido-cornéen, trabéculum et en canal de Schlemm.

<sup>9</sup> Idrees F *et al.* Survey Of Ophthalmology 2006

## Iris et corps ciliés

La troisième vague de cellules originaires des crêtes neurales migre entre l'endothélium cornéen en avant et le cristallin en arrière pour former les stromas irien et des corps ciliés. A la partie postérieure des stromas irien et des corps ciliés se différencie un épithélium originaire du neur ectoderme (Figure 13).

### - Sclérotique et choroïde

La sclérotique et la choroïde dérivent du mésenchyme entourant la cupule optique formé par les crêtes neurales. A partir de ce mésenchyme péri-oculaire s'individualisent deux couches. L'une est située contre l'épithélium pigmentaire rétinien et va former la choroïde et le stroma irien. La choroïde est richement vascularisée et contient des mélanocytes. La seconde couche, plus externe, et riche en fibre de collagène et va former la sclérotique prolongée en avant par le stroma cornéen et en arrière par la gaine du nerf optique.

Tableau 2: Récapitulatif des principales étapes du développement embryonnaire de l'œil humain.

| Month | Week(s) | Day(s) | CR Length (mm) | Neuroectodermal Derivatives<br>Posterior iris epithelium, ciliary body epithelium, pupillary muscles, neural retina, retinal pigment epithelium (RPE), secondary vitreous, and optic nerve | Neural Crest Derivatives<br>Corneal endothelium, stroma of cornea, iris, and ciliary body, ciliary muscle, trabecular meshwork, choroid, sclera, secondary vitreous, and orbit | Surface Ectoderm Derivatives<br>Corneal and conjunctival epithelium, lens, eyelid epidermis, eyelid cilia and glands, lacrimal gland, nasolacrimal duct | Mesodermal Derivatives<br>Endothelium of Schlemm's canal, vascular (hyaloid, tunica vasculosa lentis (TVL) endothelium, extraocular muscles |
|-------|---------|--------|----------------|--|--|---|---|
| 1     | 3       | 20     | 1-2            | Neural plate thickens  |  | Gastrulation (formation of mesoderm)  |   |
|       | 4       | 22     | 2-3,5          | Optic sulci present in forebrain   |  |   |   |
|       |         | 24     | 2-3            | Neural tube closed<br>Optic stalk formed   |  |   |   |
|       |         | 25     | 3-4            | Optic sulci converted into optic vesicles  | Mesenchyme surrounds optic vesicle   |   |   |
|       |         | 27     | 4-5            | Optic vesicle contacts surface ectoderm  |  | Lens placode begins to thicken Eyelid territory determined  |   |
| 2     | 5       | 29     | 5-7            | Optic vesicle begins to invaginate forming optic cup with optic fissure  |  | Lens pit forms as lens placode invaginates<br>Cord of ectoderm buried by maxillary processes to later form nasolacrimal duct                            | Hyaloid artery enters through the optic fissure   |
|       |         | 33     | 7-9            | Optic fissure closed.<br>Pigment in outer layer of optic cup (future RPE) Oculomotor nerve present Trochlear and abducens nerves appear.   |  | Lens pit closed forming lens vesicle surrounded by intact basement membrane (lens capsule)<br>Corneal epithelium formed                                 |   |
|       | 6       | 37     | 8-11           | Ciliary ganglion present   | Choriocapillaris formed around the optic cup   | Primary lens fibers fill lens vesicle forming embryonal nucleus   |   |
|       |         | 40     | 11-14          | Retina consists of: external limiting membrane (with zonula adherens), proliferative zone, primitive zone, marginal zone, and internal limiting membrane                                   | Corneal endothelium formed   | Secondary lens fibers form Lid folds present  |   |
|       | 7       | 42-45  | 13-17          | Retina consists of: inner neuroblastic layer, transient fiber layer of Chievitz, proliferative zone, and outer neuroblastic layer  |  |   |   |
|       |         | 45-48  | 16-18          | Ganglion cells give rise to nerve fiber layer  | Anterior chamber beginning to form<br>First orbital bone formation (ethmoid)<br>Optic sheath formation begins  |   |   |

|        |       |       |         |  |  |   |   |
|--------|-------|-------|---------|--|--|---|---|
|        | 8     | 48-54 | 18-22   | Optic cup measures 1 mm Optic fissure within the optic stalk closed Optic stalk cavity obliterated by optic nerve fibers which now reach the brain   | Secondary vitreous forming Acellular corneal stroma present  |   | Levator muscle forming  |
| 3      | 9     | 54-57 | 23-31   | Transient fiber layer of Chievitz disappears, except in macula   | Cellular corneal stroma forming (5-7 layers) Descemet's membrane present (not continuous) Pupillary membrane formed Scleral condensation present     | Epithelial buds of lacrimal gland present                         |   |
|        | 10    | 63    | 43-48   |  | Tenon's capsule present  | Eyelids fuse  | Hyaloid vasculature reaches maximal development   |
|        | 11    | 71-77 | 50-5    | Inner plexiform layer formed Cilia within developing inner segments  |  | Conjunctival goblet cells present                                 |   |
|        | 12-14 | 78-90 | 60-80   | Outer plexiform layer separates horizontal and bipolar nuclei from rudimentary rods and cones Synapses develop between photoreceptors, ganglion cells, and bipolar cells in central retina First indication of ciliary processes | Lamina cribrosa formation begins Marginal bundle of Drualt/vitreous base present   | Glands of Moll, meibomian glands present                          | Rectus muscle tendons fuse with sclera Branches of ophthalmic artery accompany hyaloid artery Iridal major arterial circle formed |
| 4      | 15    |       | 90-100  | Orbital axis 105°  | Ciliary muscle appears   | Glands of Zeiss present   |   |
|        | 16    |       | 100-120 | Mitosis ceases in the neural retina  | Corneal endothelium exhibits zonulae occludentes Aqueous humor formation begins Regression of corneal endothelium covering iridocorneal angle recess | Schlemm's canal present Tunica vasculosa lentis begins to atrophy |   |
|        |       |       | 120-130 | Pupillary sphincter develops   | Scleral spur developing Bowman's membrane present  | Short eyelashes appear  | Hyaloid artery begins to atrophy to the disc; branches of the central retinal artery form   |
| 5      |       |       | 120-180 | Outer segments formation begins Differentiation of macula begins   | Layers of the choroid complete Cloquet's canal formed  |   |   |
| 6      |       |       | 175-230 | Pupillary dilator muscle develops Ora serrata distinct nasally   | Pupillary membrane begins to atrophy axially Capsulohyaloidal ligament present   | Eyelids begin to open, light perception                           |   |
| 7      |       |       | 220-260 |  | Iris pigmentation present Lamina cribrosa mature Myelination begins at the chiasm and progresses to the lamina cribrosa                              |   |   |
| 8      |       |       | 240-280 | Retinal layers developed except at macula  | Regression of pupillary membrane nearly complete   |   | Retinal vessels reach the ora serrata   |
| 9-term |       |       | 310-350 | Orbital axis 71°   |  | Lacrimal duct canalized   |   |

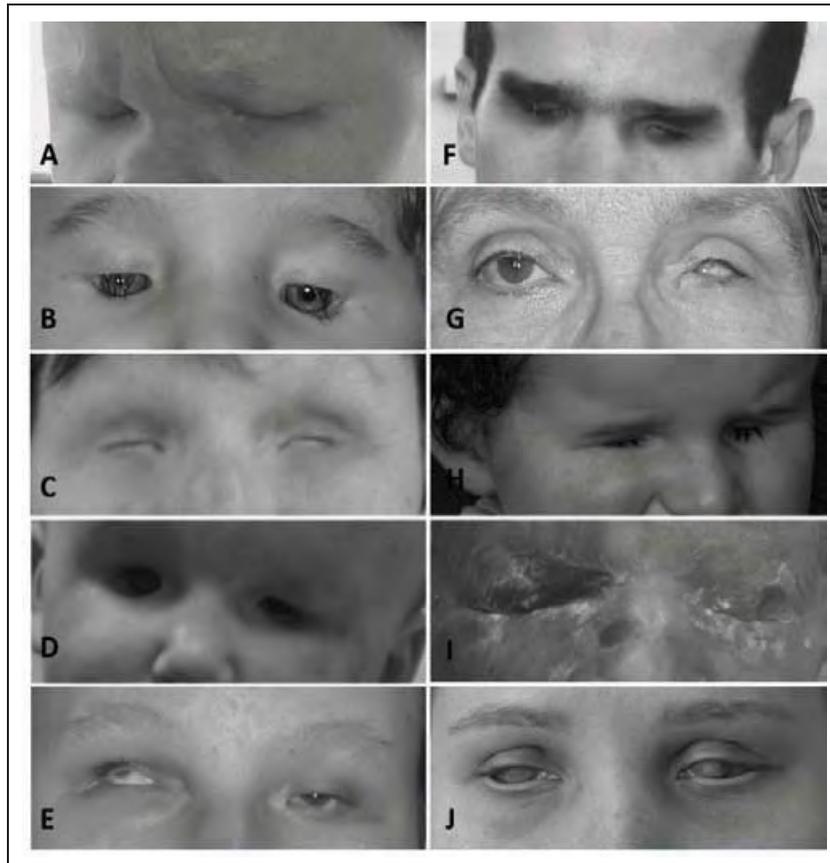
### I-3 : Génétique des AM

#### Introduction

Les microphthalmies et anophthalmies correspondent respectivement à une réduction de taille ou une absence de globe oculaire. Ce sont les malformations les plus sévères du développement embryonnaire oculaire. C'est sur ces malformations oculaires sévères qu'a porté l'essentiel de mon travail de thèse. Ce chapitre a pour but de bien définir ces malformations, certains éléments épidémiologiques (fréquence, malformations associées), et de discuter les différentes étiologies. Une grande partie de ce chapitre sera consacré à une revue des bases moléculaires connues dans ces malformations oculaires. Ce chapitre sera clôturé par une brève discussion sur la prise en charge de ces patients et le conseil génétique.

#### Définition

L'anophthalmie correspond à une absence de structure oculaire dans l'orbite (*anophthalmie vrai*). Ce diagnostic ne pourrait être porté que par une analyse histologique. En pratique, ce terme d'anophthalmie est utilisé en pratique devant l'absence de structure oculaire lors de l'examen clinique. On parle alors d'*anophthalmie clinique*. La microphthalmie correspond à un œil de petite taille (inférieur à deux déviations standards par rapport à une population du même âge). Cela correspond à une longueur axiale de l'œil <16 mm à la naissance, <19 mm à un an et <21 mm chez l'adulte<sup>2,3</sup>. La microphthalmie peut être associée à d'autres malformations oculaires (dysgénésie du segment antérieur et/ou postérieur). On parle alors de *microphthalmie complexe* par opposition à la *microphthalmie simple* ou la microphthalmie n'est pas associée à d'autre malformation oculaire<sup>1</sup>. La *microphthalmie colobomateuse* associe une anomalie de fermeture de la fente colobomique à la microphthalmie. Les AM peuvent être des *AM isolées* ou associées à d'autres malformations d'organes (*AM syndromiques*). Quelques exemples de présentations cliniques d'AM sont montrés figure 14. La *nanophthalmie* est une forme particulière de microphthalmie : elle est sévère et associée à une microcornée, une hypermétropie forte et fréquemment un glaucome. La *microphthalmie postérieure* est une microphthalmie ne touchant que le segment postérieur de l'œil.



**Figure 14: Exemples d'atteintes oculaires de patients AM.**

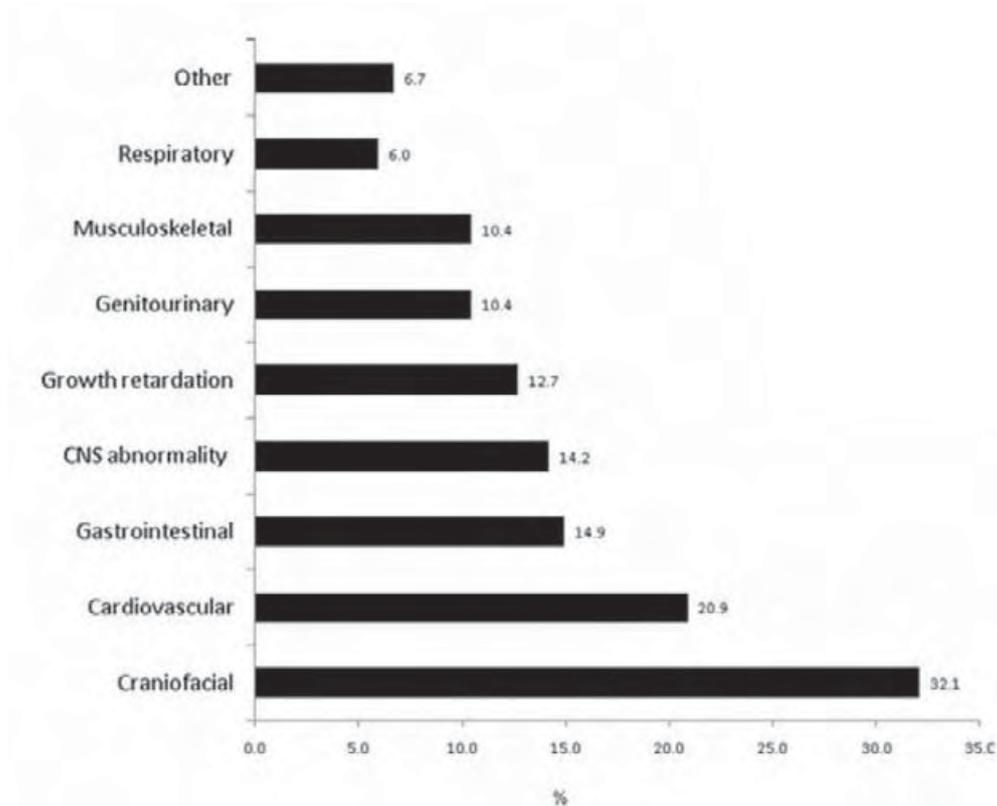
Présence d'une AM (A-F, H-J) ou unilatérale (G). Les patients B et D portent des prothèses. Une sclérocornée est présente chez J.

Les mécanismes physiopathologiques à l'origine des AM restent mal connus. Il a été proposé que les AM pourraient résulter d'un défaut d'induction au niveau de tube neural primitif ou des gouttières optiques<sup>8</sup>. Secondairement a été suggéré la possibilité d'une régression secondaire d'une structure oculaire en formation, hypothèse expliquant ainsi la présence parfois retrouvée de nerfs optiques ou reliquats rétiniens retrouvés lors de l'analyse histologique sur des anophtalmies<sup>9</sup>.

### **Epidémiologie**

Plusieurs études épidémiologiques ont essayé de définir la prévalence des AM. La plupart se basant sur les registres nationaux de malformations donnent une incidence des AM autour de 1 pour 10.000<sup>10-16</sup>, les chiffres variant entre 0.3 et 2 pour 10.000<sup>15, 16</sup>. Des malformations d'autres organes sont présentes dans 33 à 93 % des AM<sup>17, 18</sup>. Les associations malformatives les plus fréquemment

décrites dans une étude récente<sup>18</sup> sont représentées figure 15. Un retard des acquisitions est présent chez 20 % des enfants présentant une AM et/ou un colobome<sup>16</sup>.



**Figure 15: Fréquence des malformations identifiées chez les patients avec AM et/ou colobomes.**

Les malformations identifiées chez de patients avec AM et/ou colobome (n=137) dépistés au Royaume Uni sur une période de 18 mois.<sup>10</sup>

### Etiologies

Les étiologies des AM sont diverses et comprennent des causes environnementales, chromosomiques et géniques. A partir d'une population de plus de 1 million de personne, avaient identifiés 240 patients AM, et avaient estimés que 16 % des AM étaient liés à une cause monogénique, 22 % à une cause chromosomique (en incluant les aneuploïdies fréquentes comme les trisomies 13 ou 18) et 2 % à l'environnement<sup>15</sup>. Dans 60 % des cas aucune cause n'avait été identifiée.

Les causes environnementales sont supposées ne représenter qu'une petite partie des causes d'AM<sup>15</sup>. Les causes environnementales les mieux connues sont certains agents infectieux comme la rubéole, le CMV, ou la toxoplasmose<sup>19-21</sup>. Des substances toxiques et des médicaments ont

<sup>10</sup> Shah SP et al. *Ophthalmology* 2011

également été impliqués dans les AM, et notamment l'alcool, le tabac, l'acide folique, les rétinoïdes, et l'hydantoïne<sup>13, 22-25</sup>.

De nombreuses anomalies chromosomiques ont été associées aux AM. Ces anomalies peuvent être visible sur un caryotype standard ou être identifiées par analyse en cytogénétique moléculaire. Le taux de détection d'anomalie chromosomique chez des patients avec atteinte oculaire syndromique est entre 7 et 10 % par cytogénétique conventionnelle<sup>24, 26</sup>. Les principales anomalies retrouvées étant les trisomies 13 et 18 et certains syndromes délétionnels comme les 4p-, 5p-, 18q-<sup>1</sup>. L'apport de la CGH-array permet d'identifier 10 % à 15 % d'anomalies chromosomiques cryptiques chez les patients avec atteinte oculaire syndromique<sup>27, 28</sup>. Dans les formes non syndromiques, la part d'anomalie chromosomique identifiée par CGH-array est faible, de l'ordre de 3 %<sup>29</sup>.

Enfin, de nombreux gènes ont été associées aux AM dans des formes syndromiques ou non syndromiques. Les principaux gènes impliqués codent majoritairement pour des facteurs de transcription (*SOX2*, *OTX2*, *PAX6*, *RAX*, *VSX2*, *FOXE3*, *VAX1*, *ATOH7*), des régulateurs d'expression (*BCOR*), ou des gènes impliqués dans des voies de signalisation (*BMP4*, *BMP7*, *SHH*, *GDF6*)<sup>6</sup>. Plus récemment, des mutations dans des gènes codant pour des protéines impliqués dans le métabolisme de l'acide rétinoïque (*STRA6*, *ALDH1A3* et *RARB*) ont été identifiées chez des patients AM. De nombreux gènes ne rentrant pas dans ces catégories ont également été impliqués dans les AM (*SMOC1*, *HCCS*, *c12orf57*, *ODZ3*, *TMX3*, *FNBP4*...).

Pour décrire les bases moléculaires des AM, je séparerai le prochain chapitre en deux parties ; les gènes des AM non syndromiques et des AM syndromiques. Cette dichotomie est artificielle, et n'est pas le reflet exact de la réalité car des formes syndromiques et non syndromiques peuvent être décrites pour un même gène.

### **Bases moléculaires des AM isolées**

#### *SOX2*

*SOX2* (SRY [sex determining region Y]-box 2) code pour un facteur de transcription très exprimé au cours du développement oculaire<sup>30, 31</sup>. La fonction de cette protéine sera détaillée dans le chapitre suivant ("Introduction aux facteurs de transcription étudiés").

L'implication de *SOX2* dans les AM a été mise en évidence à partir d'une patiente porteuse d'une translocation d'allure équilibrée apparue *de novo*. Des analyses complémentaires ont permis de mettre en évidence une délétion de 600 kb comprenant le gène *SOX2*<sup>4</sup>. Des mutations ponctuelles

dans ce gène ont été recherchées et retrouvées par la même équipe, confirmant l'implication de ce gène dans les AM<sup>4</sup>. Des mutations sont identifiées chez 10-15 % des patients AM<sup>5, 32, 33</sup> ce qui en fait à l'heure actuelle le gène majeur dans cette pathologie. A l'heure actuelle, 58 mutations différentes ont été décrites<sup>32, 34-40</sup>, et correspondent à des petites insertions et/ou délétion nucléotidiques (32/58), des mutations non-sens (13/58) et des mutations faux sens (13/58). Des délétions du gène *SOX2* sont fréquentes et correspondent à 25 ou 50 % des mutations identifiées<sup>33, 40</sup>. La plupart des mutations intra géniques sont des mutations privées.

Le phénotype lié aux mutations de *SOX2* initialement décrit comprenait des malformations oculaires (typiquement sévères et bilatérales), un retard des acquisitions, de l'épilepsie, des malformations mineures cérébrales, un retard de croissance, des anomalies génitales chez le garçon et quelques critères faciaux mineurs<sup>5</sup>. Il a secondairement été montré que les mutations de *SOX2* pouvaient être à l'origine d'une forme syndromique d'AM, le syndrome AEG (pour Anophthalmia-Esophageal-Genital)<sup>31</sup>. Différentes malformations ont été associées rarement à ces mutations<sup>41, 42</sup>. Le phénotype oculaire s'est progressivement élargi, et des atteintes moins sévères ont été décrits chez des patients porteurs de mutation de *SOX2* : colobomes iriens et rétinien, hypoplasie irienne<sup>41, 43</sup>. Nous avons même pu montrer l'absence d'atteinte macroscopique et microscopique chez un patient porteur d'une mutation de délétère<sup>41</sup>. Dans notre expérience, les mutations sont bilatérales (17 patients sur 18) et sévères (anophtalmie chez 15 patients sur 18). Les éléments extra-oculaires retrouvés les plus fréquemment sont le retard des acquisitions présent chez la grande majorité des patients vivants décrits (déficience légère à sévère), des atteintes cérébrales (ventriculomégalie, hypoplasie du corps calleux), hypogonadisme et retard de croissance. Dans notre cohorte de 18 patients mutés, 3 présentaient une atrésie de l'œsophage<sup>44</sup>.

Une corrélation génotype/phénotype a été suggérée<sup>35</sup> mais les patients porteurs de mutations similaires ont des atteintes oculaires et extra-oculaires variables. Une variabilité de sévérité intra familiale a également été décrite à plusieurs reprises<sup>34, 41, 42, 45</sup>. De plus, les atteintes peuvent être très asymétriques entre les deux yeux chez un même patient, rendant difficile ces tentatives de corrélation.

Le syndrome anophtalmie lié à *SOX2* est transmis selon un mode autosomique dominant, avec la grande majorité des patients porteurs de mutations apparues *de novo*. Cependant, la description de trois cas de mosaïques germinales dans la littérature<sup>35, 41, 46</sup> doit faire modérer le conseil génétique plutôt rassurant habituellement en cas de mutation *de novo*.

## OTX2

*OTX2* (orthodenticle protein homolog 2) code pour un facteur de transcription impliqué dans la mise en place des différentes structures oculaires, et particulièrement au cours de la différenciation de la rétine<sup>30</sup>. La fonction de cette protéine sera détaillée dans le chapitre suivant ("Introduction aux facteurs de transcription étudiés").

L'implication de ce gène dans les AM a été démontrée par Ragge et al. Par une approche gène candidat ont identifié les premières mutations de ce gène chez 8 patients AM<sup>47</sup>. Ce gène a été impliqué dans 0.7 à 10 % des AM<sup>32, 47-50</sup>. Dans notre laboratoire, des mutations ont été identifiées chez 5/150 patients AM (3 %). Un total de 31 mutations différentes ont été décrites, comprenant des mutations décalant le cadre de lecture (14/31), des mutations non-sens (9/31), des faux-sens (7/31) et des délétions du gène<sup>32, 40, 53</sup>. Les délétions du gène *OTX2* semblent représenter une 30 à 40 % des mutations de ce gène<sup>40, 51</sup>.

Le phénotype oculaire de ces patients est variable et souvent asymétrique. Il va de l'anophtalmie bilatérale à une absence de malformation oculaire<sup>40, 47</sup> et peut inclure des colobomes (iriens et/ou rétinien), une cataracte ou une sclérocornée. Les malformations extra-oculaires sont également inconstantes et sont représentées essentiellement par une déficience intellectuelle, un retard statural et une atteinte pituitaire<sup>47, 48, 50</sup>. Des mutations du gène *OTX2* ont été décrites chez quelques patients présentant un pan hypopituitarisme isolé<sup>52</sup>. Nous avons également démontré le rôle des mutations de ce gène dans une malformation majeure du développement embryonnaire de la mandibule, l'agnathie (voir chapitre correspondant)<sup>53, 54</sup>. Il n'y a pas d'arguments pour une corrélation génotype/phénotype.

La transmission de l'atteinte clinique liée aux mutations du gène *OTX2* est autosomique dominante. La pénétrance est incomplète et la variabilité d'expression est importante. Les mutations peuvent être héritées d'un des deux parents ou survenir *de novo* (environ une fois sur deux). Des cas de mosaïques germinales ont été décrits à trois reprises<sup>47, 50</sup>.

## RAX

*RAX* (retina and anterior neural fold homeobox) code pour un facteur de transcription dont le rôle au cours du développement oculaire a été étudié dans différents modèles de vertébrés<sup>55</sup>. La fonction de cette protéine sera détaillée dans le chapitre suivant ("Introduction aux facteurs de transcription étudiés").

Des mutations récessives ont été identifiées dans 7 familles<sup>40, 56-58</sup>. Ces mutations (10 mutations différentes, 4 faux-sens, 3 non-sens, 1 frameshift, 1 mutation d'épissage et une délétion du gène) ont un effet supposé perte de fonction. Dans notre expérience, les mutations du gène *RAX* sont identifiées chez 2.5 % des patients AM (4/150). Une déficience intellectuelle a été décrite dans 3 des 7 patients impliqués. Il n'y a pas de phénotype extra-oculaire rapporté, en dehors d'anomalies des sinus frontaux et sphénoïdaux décrite chez deux patients<sup>58</sup>. Des mutations hétérozygotes ont été décrites chez des patients avec colobome chorio-rétinien<sup>59</sup> ou AM<sup>60</sup>. Pour ces patients, l'analyse parentale de ces mutations n'a pas été faite et le caractère hérité ou non n'est donc pas connu. Nous avons identifié deux mutations hétérozygotes dans notre cohorte d'AM, dont une, p.Thr50Pro, déjà décrite associée à une AM à l'état hétérozygote<sup>60</sup>. Cette variation n'est pas prédite comme délétère par les outils *in silico* (SIFT, Polyphen-2) et était chez notre patiente héritée d'une mère asymptomatique. Les porteurs hétérozygotes pour une mutation du gène *RAX* dans les familles de patients AM ne sont pas symptomatiques. Il est difficile d'exclure totalement la possibilité de mutation dominante à pénétrance incomplète chez les patients hétérozygotes, mais les arguments dont nous disposons doivent orienter le conseil génétique à l'heure actuelle dans le sens d'une transmission purement autosomique récessive.

### PAX6

*PAX6* (paired box 6) code pour un facteur de transcription très important au cours du développement oculaire<sup>30</sup>. La fonction de cette protéine sera détaillée dans le chapitre suivant ("Introduction aux facteurs de transcription étudiés").

*PAX6* a été le premier gène dont les mutations ont été associées à l'AM en 1994<sup>61</sup>. Cependant, les mutations de *PAX6* ne sont que rarement associées à l'AM<sup>30, 40, 62</sup>. Le phénotype associé classiquement aux mutations de ce gène est l'absence d'iris (aniridie). Plus généralement, les mutations hétérozygotes du gène *PAX6* entraîne un défaut de développement pouvant toucher les différentes structures oculaires (cornée, iris, cristallin, rétine)<sup>63</sup>. Des présentations atypiques, (anomalie de Peters, correctopie, nystagmus, hypoplasie fovéale, malformations du nerf optique), ont été associées aux mutations de *PAX6*<sup>30, 63</sup>. Plus de 300 mutations différentes ont été décrites (The Human *PAX6* mutation Database, <http://lsdb.hgu.mrc.ac.uk>). Des patients porteurs de mutations hétérozygotes composites du gène *PAX6* ont été rapportés<sup>61, 64</sup> : ils présentent un phénotype associant AM et un phénotype cérébral évoquant l'holoprosencéphalie). Les délétions emportant le gène *PAX6* peuvent s'étendre au gène *WT1* et se traduire cliniquement par l'association d'une aniridie, d'anomalies génitales et d'une tumeur de Wilms (syndrome WAGR). La transmission des

mutations *PAX6* est autosomique dominante avec une pénétrance forte mais une expressivité variable. Dans 1/3 des cas environ, la mutation identifiée est *de novo*<sup>65</sup>.

### *VSX2*

*VSX2* (visual system homeobox 2 gene), anciennement appelé *CHX10*, code pour un facteur de transcription jouant un rôle majeur dans le développement oculaire des mammifères<sup>66</sup>. *VSX2* est exprimé dès le stade de la vésicule optique. Son expression au sein des progéniteurs rétiniens permet leur prolifération et la différenciation en cellules neurorétiniennes<sup>66</sup>.

Les premières mutations du gène *VSX2* ont été décrites chez des patients AM en 2000<sup>67</sup>. Des mutations ont été décrites dans 13 familles<sup>40, 68-71</sup>. L'AM est généralement bilatérale et y est parfois associée une cataracte ou un glaucome. Un patient avec atteinte oculaire atypique a été récemment décrit ; son phénotype comprenait une subluxation du cristallin, une dystrophie rétinienne et une myopie forte<sup>71</sup>. Dans notre expérience, les mutations de *VSX2* ne sont identifiées que chez 1/150 patients. L'association à un retard des acquisitions et des troubles autistiques a été rapportée une fois chacun. Il n'y a pas d'atteinte extra-oculaire associée à ces mutations. L'AM liée aux mutations du gène *VSX2* est de transmission autosomique récessive.

### *FOXE3*

*FOXE3* (forkhead box E3) code pour un facteur de transcription exprimé au cours du développement cristallinien dès le stade de la placode cristalliniennne<sup>72</sup>. Son expression est limitée au cristallin. A la fin de la mise en place du cristallin, son expression va ne concerner que l'épithélium cristallinien ou il permet la prolifération cellulaire, et empêche la différenciation de ces cellules en fibres cristalliniennes<sup>73</sup>.

Les mutations de ce gène ont initialement été décrites dans des dysgénésies du segment antérieure transmises selon un mode autosomique dominant<sup>74, 75</sup>, puis secondairement des mutations récessives ont été associées à l'aphakie<sup>76</sup>, et à l'AM<sup>77</sup>. Il a été suggéré après une étude de 26 patients, que des mutations de ce gène pouvaient être impliquées dans 15 % (4/26) des AM<sup>78</sup>. Ces mutations semblent cependant être plus rares et dans une grande série de 236 patients porteurs d'anomalies du développement oculaire, des mutations n'ont été identifiées que chez deux patients<sup>77</sup>. Nous avons identifié des mutations de *FOXE3* chez deux patients parmi nos 150 cas index<sup>40</sup>. Ces deux patients présentent une AM bilatérale avec sclérocornée. Une sœur d'un des cas index de notre

série, également porteuse des mutations et de l'atteinte oculaire présentait de plus une déficience intellectuelle et des troubles autistiques. 13 mutations différentes ont été décrites dans *FOXE3*<sup>37, 77-81</sup>. De manière intéressante, 8 sont responsables d'anomalies oculaires sur un mode autosomique récessif (4 frameshift, 3 faux-sens et une mutation non-sens) alors que 5 sont associés avec un phénotype se transmettant selon un mode autosomique dominant (dont 4 mutations non-stop). Il semblerait donc exister une corrélation entre la nature de la mutation et le mode de transmission et le phénotype clinique. Les mutations à transmission dominante donnent des phénotypes moins sévères (dysgénésie du segment antérieur) que celles à transmission récessive (AM et dysgénésie du segment antérieur).

### *ALDH1A3*

*ALDH1A3* (A3 isoform of the aldehyde dehydrogenase 1) code pour une enzyme impliquée dans le métabolisme la vitamine A en acide rétinoïque<sup>82</sup>.

Nous avons pu participer à la description des premières mutations de ce gène dans 3 familles d'AM<sup>83</sup>. Depuis, ce gène a été impliqué dans 6 familles<sup>84-87</sup>. Différents type de mutation ont été décrites et ce sont des mutations perte de fonction. Le phénotype oculaire associé aux mutations de ce gène est souvent bilatéral et variable en sévérité (du colobome isolé à l'anophtalmie). Certains des patients présentent des troubles autistiques et/ou un retard des acquisitions. Une atteinte cardiaque n'a été décrite que chez un patient, et il n'y a pas d'autre phénotype extra-oculaire connu à l'heure actuelle.

### *ODZ3*

Par une stratégie de séquençage d'exome et d'analyse de liaison, des mutations récessives du gène *ODZ3* (ODZ homolog of drosophila, 3) ont été décrites dans une famille consanguine<sup>88</sup> ou deux enfants étaient atteints de microphthalmie colobomateuse isolée. La mutation c.2083dup (p.Thr695Asnfs\*5) a été identifiée à l'état homozygote. En dehors d'une expression oculaire embryonnaire de ce gène connue chez la souris, peu d'arguments fonctionnels ne démontrent le lien entre cette mutation et le phénotype oculaire. Ce gène reste donc à être confirmé par l'identification de mutations chez d'autres patients AM.

### ATOH7

*ATOH7* (Atonal homolog of drosophila 7) a été impliqué, par séquençage d'exome puis séquençage Sanger de 105 patients, dans des anomalies oculaires variables de deux familles consanguines<sup>89</sup> associant sclérocornée, nystagmus, microphthalmie, cataracte, décollements rétiens, persistance du vitré primitif, hypoplasie des nerfs optiques, et calcifications du vitré et de la rétine. La microphthalmie n'étant pas l'élément majeur du tableau oculaire. Cette atteinte oculaire est strictement isolée. Une mutation homozygote a été secondairement identifiée une famille consanguine dont trois membres étaient atteints de persistance du vitré primitif<sup>90</sup>.

### SIX6

Par une stratégie de séquençage d'exome et d'analyse de liaison, des mutations récessives du gène *SIX6* (sine oculis homeobox 6) ont été décrites dans une famille consanguine<sup>91</sup> ou deux enfants étaient atteints de microphthalmie complexe (glaucome chez un patient) sans signes extra-oculaires. La mutation c.532\_536del a été identifiée à l'état homozygote. Les arguments présentés dans cet article, et notamment le phénotype murin d'anophtalmie chez les souris KO pour *Six6*, sont en faveur de l'implication de ce gène dans l'AM.

### **Bases moléculaires des AM syndromiques**

Des AM sont décrites associées à de nombreux syndromes (la London Dysmorphology Database comprend 269 syndromes comprenant microphthalmie ou anophtalmie). Seuls les principaux syndromes, où l'atteinte oculaire est au premier plan du syndrome, seront traités ici.

### BCOR

Des mutations du gène *BCOR* ont été identifiées chez des patients atteints de syndrome Oculo-Facio-Cardio-Dentaire (OFCD) et une partie des patients atteints de microphthalmie de Lenz<sup>92, 93</sup>, ce pourquoi ces deux syndromes sont traités ensemble.

L'acronyme OFCD reprend les principales atteintes de ce syndrome : Œil, Face, Cœur et Dents. Au niveau oculaire, l'atteinte est caractérisée par une cataracte constante et souvent une microphthalmie ou une microcornée (82 % des cas). Différentes autres atteintes oculaires sont possibles. Au niveau

facial, on retrouve une face allongée, une hypoplasie malaire et une pointe du nez élargie. L'atteinte cardiaque est présente chez 75 % des patients et correspond essentiellement à des CIA et CIV. Enfin, l'atteinte dentaire est quasi-constante, et elle est caractérisée par une radiculomégalie (racines extrêmement longues) touchant préférentiellement les canines. D'autres signes (anomalies des extrémités, fente palatine, déficience intellectuelle légère, surdité, défaut de latéralité) ont été associés à ce syndrome<sup>17, 92</sup>. Le syndrome OCFD est lié à des mutations nulles (non-sens, frameshift, mutation d'épissage) du gène *BCOR*. // ne touche que les femmes, ces mutations étant supposées être létales chez les garçons, *BCOR* étant localisé sur le chromosome X<sup>92</sup>.

Une mutation faux-sens (p.Pro85Leu) du gène *BCOR* a été identifiée dans deux familles chez des garçons atteints de microphthalmie de Lenz. Ce syndrome se caractérise par une AM avec souvent un colobome et/ ou une cataracte, une microcéphalie, une déficience intellectuelle, une atteinte cardiaque, squelettique (extrémités et clavicules), rénale et génitale<sup>17</sup>. Cependant, seule une faible proportion de patients atteints de microphthalmie de Lenz semble être liée à des mutations du gène *BCOR*<sup>92</sup>.

### *STRA6*

Les mutations du gène *STRA6* (stimulated by retinoic acid 6) sont impliquées dans le syndrome de Matthew-Wood ou spectre PDAC (pour Pulmonary-Diaphragmatic-Anophthalmia-Cardiac). Un chapitre de cette thèse est dédié au spectre phénotypique lié aux mutations de ce gène.

### *RARB*

Nous avons participé en collaboration avec l'équipe du Pr Michaud (Montréal) à la description de mutations dominantes et récessives dans le gène *RARB* (retinoic acid receptor beta) chez des patients présentant une AM avec d'autres malformations du spectre PDAC. L'article issu de ce travail<sup>94</sup> est présenté dans la section "Travaux collaboratifs".

### *GDF6*

*GDF6* (growth differentiation factor 6) code pour une protéine faisant partie des voies de signalisation des bone morphogenetic proteins (BMP). Des mutations de ce gène ont été retrouvées chez des patients présentant des anomalies squelettiques, et principalement des anomalies des

premières vertèbres<sup>95, 96</sup>. Une mutation de *GDF6* a été identifiée chez un patient présentant un colobome chorio-rétinien bilatéral<sup>97</sup>, ce qui a motivé la recherche de mutations de ce gène dans une cohorte de 489 patients avec atteintes oculaires diverses et 81 avec atteinte squelettique. Des variations hétérozygotes ont été identifiées chez 9 patients présentant des atteintes oculaires (AM, colobome), squelettiques ou oculo-squelettiques<sup>95</sup>. Les mutations de ce gène sont dominantes avec une pénétrance incomplète. Ce gène a été décrit comme pouvant expliquer jusqu'à 8 % des AM (4/50 patients<sup>60</sup>). Dans notre cohorte, nous n'avons retrouvé une mutation que chez un patient (1/150), mutation héritée d'un père asymptomatique, confirmant le caractère très incomplet de la pénétrance<sup>40</sup>.

### *BMP4*

L'implication du gène *BMP4* (bone morphogenetic protein 4) dans les défauts du développement de l'œil chez l'homme a été suspectée devant l'identification d'une délétion emportant ce gène chez un patient présentant une AM avec glaucome congénital et sclérocornée, une poly-syndactylie et un retard des acquisitions<sup>98</sup>. Des mutations ponctuelles hétérozygotes de *BMP4* ont été depuis décrites dans 5 familles associées à une variabilité phénotypique importante intrafamiliale (d'une atteinte oculaire majeure avec malformations cérébrales et des extrémités, à des myopies fortes isolées ou des polydactylies isolées)<sup>99, 100</sup> et une pénétrance incomplète<sup>78, 101</sup>. Le phénotype lié aux mutations de ce gène a secondairement été étendu au syndrome SHORT (Short stature; Hyperextensibility of joints and/or Hernia (inguinal); Ocular depression (deep-set eyes); Rieger anomaly; and Teething delay)<sup>78</sup>.

### *BMP7*

Par une approche gène-candidat, Wyatt *et al.* ont pu en 2010 identifier l'implication du gène *BMP7* (bone morphogenetic protein 7) chez trois patients AM associé à une combinaison variable de signes extra-oculaires (fente palatine, retard des acquisitions, surdité, fistule trachéo-oesophagienne, hémivertèbre, RCIU)<sup>102</sup>. Dans les trois cas, les mutations hétérozygotes étaient héritées de parents asymptomatiques.

### SMOC1 et FNBP4

Des mutations du gène *SMOC1* (SPARC related modular calcium binding 1), et plus récemment *FNBP4* (formin binding protein 4) ont été décrites chez des patients atteints de syndrome d'anophtalmie de Waardenburg associant AM (90 % des patients) et des anomalies des extrémités (oligodactylie, syndactylies, synostose des IV<sup>ème</sup> et V<sup>ème</sup> métacarpiens, 90 % des patients). Des atteintes plus rare sont également décrits dans ce syndrome (déficience intellectuelle chez 1/3 des patients, fente palatine, dents néonatales, anomalies génitales et/ou urinaires)<sup>17</sup>. La transmission de ce syndrome est autosomique récessive et des mutations perte de fonction ont été identifiées dans douze familles<sup>103-105</sup>.

Plus récemment, dans une famille consanguine avec un phénotype similaire (avec ectrodactylie), une mutation faux-sens homozygote du gène *FNBP4* a été identifiée<sup>106</sup>. En dehors d'une expression oculaire, peu d'arguments fonctionnels ne démontrent le lien entre cette mutation et le phénotype. Ce gène reste donc à être confirmé par l'identification de mutations chez d'autres patients avec ce phénotype.

### HCCS et COX7B

Les mutations du gène *HCCS* (holocytochrome c synthase) sont à l'origine du syndrome MLS (microphthalmia with linear skin defects) aussi connu sous l'acronyme MIDAS (microphthalmia, dermal aplasia, and sclerocornea) qui se transmet selon un mode dominant lié à l'X. Les filles sont donc atteintes en majorité, l'anomalie génétique étant supposée létale chez le garçon. L'atteinte clinique est caractérisée par une microphthalmie et une atteinte cutanée à type de zones d'aplasie cutanée linéaires touchant principalement la face et le cou. De nombreuses autres malformations ont été rapportées chez certains patients (cardiopathies, anomalies cérébrales pour les plus fréquentes)<sup>17</sup>. L'anomalie génétique en cause est souvent une délétion de la région Xp22 emportant *HCCS*, et la taille de ces délétions (et donc les gènes également impliqués) peut expliquer une partie de la variabilité phénotypique. Cette variabilité de la taille des délétions n'explique pas toutes les différences de sévérité de présentation clinique puisque des variabilités phénotypiques intrafamiliales sont également notées<sup>107</sup>. Des mutations ponctuelles d'*HCCS* ont secondairement été décrites<sup>107</sup>.

Plus récemment, par une approche gène candidat, des mutations du gène *COX7B* (cytochrome c oxydase 7B) ont également été impliquées dans le syndrome MLS. La transmission y est également dominante liée à l'X<sup>108</sup>.

### VAX1

Par une approche gène candidat, Slavotinek *et al.* ont identifiés une variation faux-sens (p.Arg152Ser) homozygote dans le gène *VAX1* (ventral anterior homeobox 1) chez un patient AM (sur 80 testés) issu de parents apparentés<sup>109</sup>. Ce patient présentait une microphthalmie bilatérale, une fente labio-palatine bilatérale, des malformations cérébrales (malformations des hippocampes, agénésie du corps calleux et de l'épiphyse), un retard de croissance et un décalage des acquisitions.

### c12orf57

Des mutations récessives de ce gène ont récemment été décrites dans un nouveau syndrome associant une AM colobomateuse, agénésie du corps calleux avec retard des acquisitions chez deux enfants<sup>110</sup>. Secondairement, des mutations de ce gène ont été rapportées dans 4 familles multiplexes consanguines d'agénésie ou hypoplasie du corps calleux<sup>111</sup>. Une atteinte oculaire était rapportée chez la moitié des patients. Enfin, dans une autre famille consanguine 3 des 4 enfants porteurs homozygotes d'une mutation délétère du gène *c12orf57* avaient une atteinte du corps calleux, et deux des enfants un colobome oculaire<sup>112</sup>.

### **Prise en charge des patients**

Comme nous l'avons vu dans la description des AM, la dichotomie entre forme "isolées" et "syndromiques" est artificielle. Des malformations d'autres organes sont présentes dans 33 à 93 % des AM<sup>17, 18</sup>, et vont toucher de façon prédominante le cerveau, le cœur et les extrémités. La recherche de signes associés à l'AM peut orienter la recherche étiologique, même s'il existe des chevauchements entre les différents syndromes. Compte tenu des variabilités extrêmes des phénotypes entre les différents syndromes, et même pour des mutations dans un même gène, il est difficile de définir a priori une prise en charge commune à tous les patients. En dehors de la prise en charge ophtalmologique (qui vise à perfectionner la vision existante et à améliorer l'esthétique par la stimulation simultanée de la croissance des tissus mous et des cavités orbitales osseuses), une prise en charge multidisciplinaire est souvent nécessaire. Une surveillance du développement psychomoteur semble également importante pour pouvoir proposer une prise en charge précoce et adaptée pour les enfants qui le nécessiteront.

Le bilan initial et les grandes lignes de la prise en charge oculaire et extra-oculaire ne sont pas définis pour les AM, et il sera nécessaire pour élaborer un protocole de soin de réunir les différents

intervenants. L'élaboration d'un protocole de soin standardisé risque cependant de se heurter en pratique à la diversité des présentations cliniques rencontrée dans le cadre des AM (microphthalmie vs anophthalmie ; microphthalmie simple vs microphthalmie complexe, AM unilatérale vs AM bilatérale, AM isolée vs AM syndromique etc.....).

### **Conseil génétique**

Là aussi, l'hétérogénéité génétique rend difficile le conseil génétique. On estime à 10-15 % le risque de récurrence des AM dans la fratrie<sup>1</sup>. Dans la majorité des cas, le dépistage va reposer sur un suivi échographique anténatal orienté. L'identification d'une anomalie génétique causale (environ un quart des patients) permet de préciser ce conseil génétique, et éventuellement de pouvoir proposer un diagnostic prénatal voire préimplantatoire. Cependant, même dans les familles où une cause génétique a pu être identifiée, le conseil génétique est rendu difficile par la grande variabilité phénotypique observée pour la plupart des gènes (y compris au sein d'une même famille), la pénétrance incomplète de mutations de certains gènes, et la possibilité de mosaïque germinale pour les gènes à transmission autosomique dominant. Tous ces aspects, et les difficultés qu'elles entraînent en termes de conseil génétique, doivent être discutés avec les familles.

## I-4 : Introduction aux facteurs de transcription étudiés

### Introduction

L'une des différentes approches utilisées pour identifier de nouveaux gènes d'AM a consisté à identifier les gènes régulés par des facteurs de transcription impliqués dans le développement oculaire (voir chapitre correspondant). Nous avons sélectionné quatre FTs à étudier : OTX2, PAX6, RAX et SOX2. *SOX2*, *OTX2*, et *RAX* sont les principaux gènes dans lesquels des mutations sont retrouvées chez les patients AM. *PAX6*, n'est que plus rarement impliqué dans les AM, mais il a été défini comme le "master regulator" du développement oculaire. Ces gènes sont impliqués dans les différents temps du développement oculaire (Fig. 16). Nous allons dans ce chapitre résumer les principales données connus sur nos 4 FTs d'intérêt, et leur rôle au cours du développement oculaire.

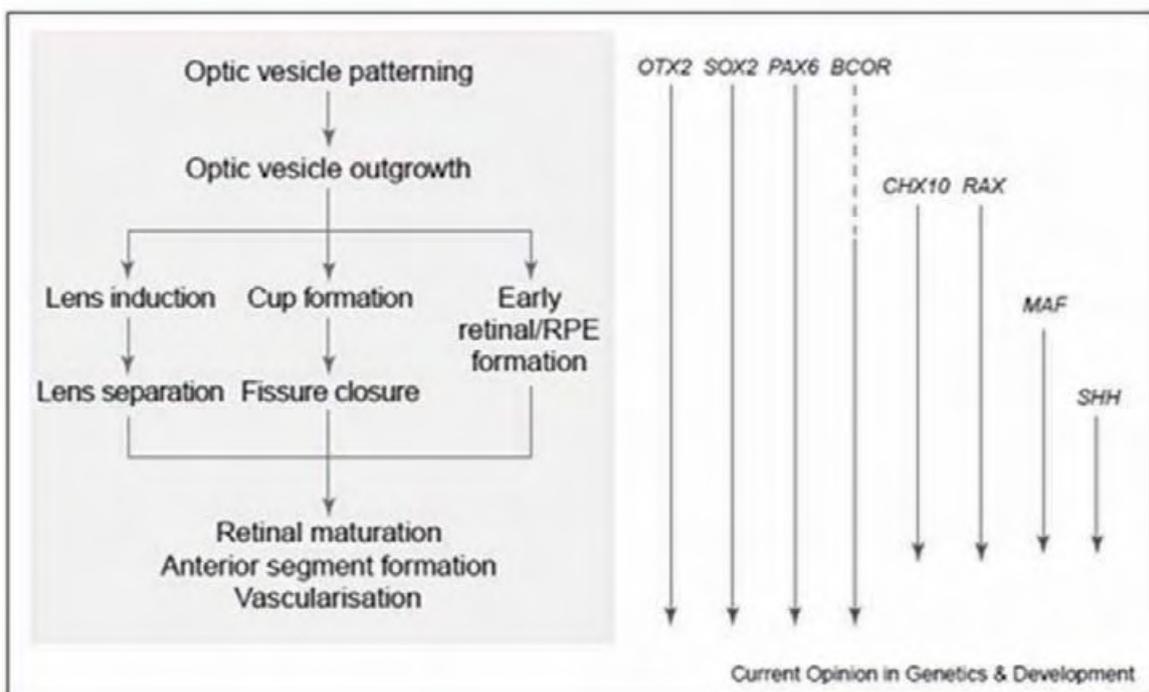


Figure 16: Implication des facteurs de transcription étudiés au cours du développement oculaire.

Cette figure est tirée de <sup>9</sup>. Elle montre les différentes grandes étapes de la formation oculaire et l'implication de différents facteurs de transcription et de SHH au cours de ces étapes. Trois des 4 FTs que nous avons sélectionnés (*OTX2*, *SOX2*, *PAX6*) sont exprimés pendant l'induction de la vésicule optique puis la différenciation des différentes structures oculaires. *RAX* lui est exprimé pendant la formation des différentes structures.

## SOX2

### - Généralités

SOX2 [SRY (sex determining region Y)-box 2] est localisé sur le chromosome 3 (3q27) et code pour une protéine de 317 acides aminés<sup>30</sup>. SOX2 appartient à la famille des facteurs de transcription SOX caractérisée par la présence d'un domaine de liaison à l'ADN de type HMG (high-mobility group) initialement décrite pour la protéine SRY. Il existe 20 protéines appartenant à cette famille de protéine et qui sont réparties en 8 sous famille en fonction de leur homologie de structure. SOX2 appartient au sous-groupe SOXB1 avec SOX1, SOX3, SOX14 et SOX21 constituant le sous-groupe SOXB2<sup>113</sup>.

### - Fonction

SOX2 est un facteur de transcription et régule donc l'expression de gènes cibles. La liaison à l'ADN des domaines HMG est faible, et la co-régulation avec d'autres protéines permet d'apporter la stabilité au FT. De plus, cette utilisation de protéines partenaires permet de modifier la régulation d'expression médiée par SOX2 sur les plans spatial et temporel (l'expression des différentes protéines partenaires étant variable dans le temps et en fonction des types cellulaires)<sup>30</sup>. SOX2 est impliqué dans de nombreux mécanismes parfois opposés, et son action va être dépendante du niveau d'expression et du contexte cellulaire: maintenance du caractère indifférencié des cellules souches embryonnaires et adultes (Fig. 17)<sup>113, 114</sup>; rôle dans la spécification, morphogénèse et prolifération dans de nombreux tissus fœtaux<sup>113</sup>. La spécification tissulaire va être déterminée par le niveau d'expression de SOX2 et d'autres facteurs de transcription spécifiques de lignées (Fig. 18).

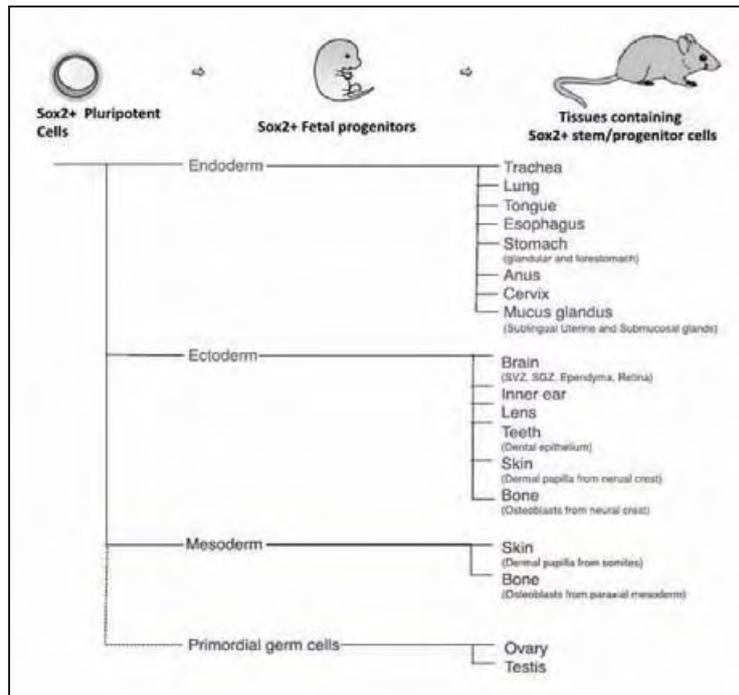


Figure 17: Expression de *Sox2* dans les cellules pluripotentes embryonnaires et adultes.

*Sox2* est exprimé dans les cellules souches pluripotentes puis tout au long du développement fœtal et chez l'adulte dans les cellules progénitrices de dérivés endodermiques, ectodermiques, mésodermiques et des cellules germinales. Son expression est également retrouvée dans certaines cellules différenciées. Tirée de <sup>113</sup>.

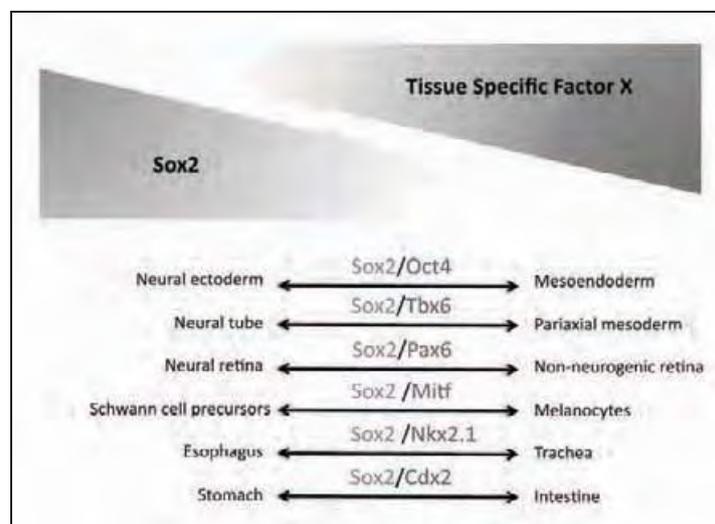
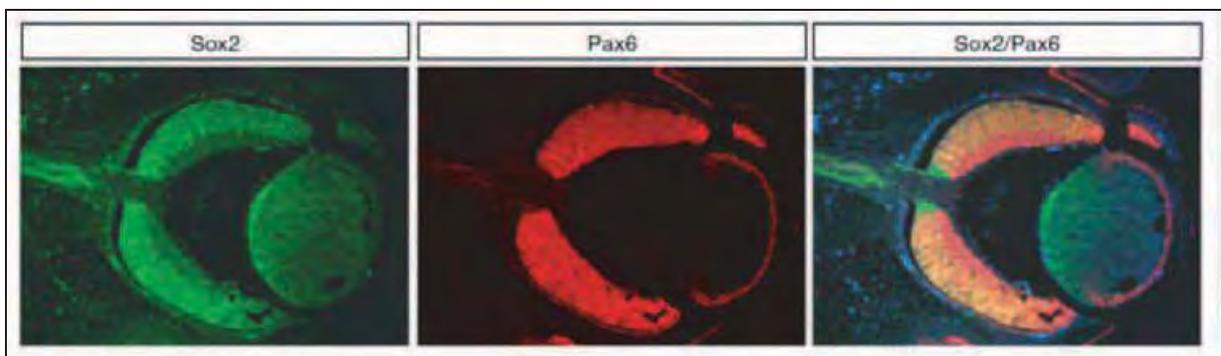


Figure 18: L'antagonisme entre *Sox2* et d'autres facteurs de transcription spécifiques de tissus détermine le devenir des cellules.

Durant l'organogénèse, le niveau d'expression de *Sox2* détermine la spécification cellulaire par antagonisme à d'autres facteurs de transcription spécifiques de lignées. Tirée de <sup>113</sup>.

- Expression au cours du développement oculaire

*Sox2* est exprimé dans les cellules souches embryonnaires et après la gastrulation, son expression concerne essentiellement les cellules à l'origine du neur ectoderme, des placodes sensorielles, des arcs branchiaux, de l'endoderme intestinal, et des cellules germinales<sup>115-117</sup>. L'expression de *Sox2* va augmenter de façon importante au stade la cupule optique ainsi que dans l'ectoderme de surface après le contact avec cette dernière. Cette surexpression dans l'ectoderme de surface semble être médiée par des signaux moléculaires issus de la cupule optique et permettre ainsi la formation de la placode cristallinienne puis son invagination<sup>118, 119</sup>. Secondairement, *Sox2* reste très exprimé dans la vésicule cristallinienne et la rétine (Fig. 19).



**Figure 19: Patron d'expression des gènes *Sox2* et *Pax6* à E13.5 chez la souris.**

Figure tirée de<sup>30</sup>. L'expression de *Sox2* concerne les fibres cristalliniennes et la neurorétine (avec un gradient de l'intérieur vers l'extérieur). *Pax6* lui est exprimé à ce stade dans l'épithélium cristallinien, sur l'ectoderme de surface à proximité de la structure oculaire et dans la neurorétine (avec un gradient opposé à *Sox2*).

- Modèles animaux

Les mutations nulles homozygotes ne permettent pas le développement embryonnaire<sup>115</sup>. Au contraire, les souris hétérozygotes n'ont qu'un phénotype modéré (infertilité des mâles, insuffisance hypophysaire)<sup>42, 115</sup> dépendant du fond génétique. L'association d'une délétion hétérozygote de *Sox2* à une délétion des régions régulatrices spécifiques du système nerveux central sur le second allèle entraîne des malformations cérébrales sévères<sup>120</sup>. Taranova *et al.* ont montrés que l'hétérozygotie composite chez les souris pour des mutations nulles sur un allèle et hypomorphes sur l'autre était à l'origine de défaut du développement oculaire à type d'AM à partir d'une diminution d'expression de 60 % et dont la sévérité était dépendante de l'activité résiduelle de *SOX2*<sup>121</sup>.

## PAX6

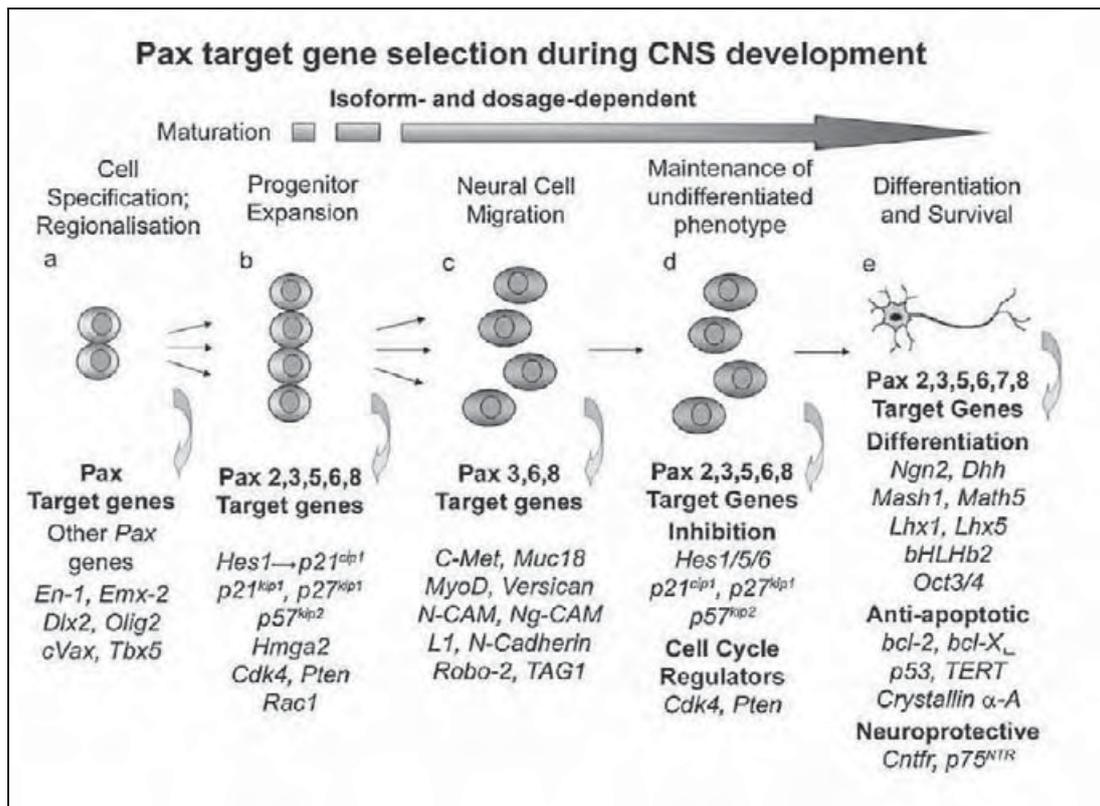
### - Généralités

*PAX6* (paired box 6) est localisé en 11p13. Il code principalement pour une protéine de 422 acides aminés contenant deux domaines de liaison à l'ADN, un domaine *paired box* et un domaine *homeobox*. *PAX6* appartient à la famille des facteurs de transcription PAX caractérisée par la présence d'un domaine de liaison à l'ADN de type *paired*. Il existe 9 protéines appartenant à cette famille de protéine et qui sont réparties en 4 sous-groupes en fonction de leur homologie de structure.

Il existe différentes isoformes de *PAX6*. L'une contenant 14 acide aminés supplémentaires dans le domaine *paired* et modifiant ainsi sa capacité de liaison à l'ADN. Trois isoformes sont produites par l'utilisation de codons d'initiation alternatifs après le domaine *paired* et entraînent donc la production de protéines délétées pour ce domaine. La présence de ces différentes isoformes aux capacités de fixation sur l'ADN différents pourrait avoir un rôle dans les différentes fonctions de *PAX6*<sup>122</sup>. Comme SOX2, *PAX6* peut co-réguler l'expression de gènes cibles avec d'autres facteurs de transcription, expliquant également la variabilité fonctionnelle de *PAX6*<sup>123</sup>.

### - Fonction

*PAX6* a un rôle majeur dans le développement du système nerveux central, de l'hypophyse, de l'épiphysse, des yeux, du nez, et du pancréas<sup>124-127</sup>. Au cours de la neurogénèse, il va être important pour le maintien des cellules souches neuronales sous forme indifférenciée, favoriser la prolifération de ces progéniteurs, mais également pour favoriser leur différenciation en fonction du contexte cellulaire et leur migration<sup>123, 128</sup> (Fig. 20). Au niveau oculaire, *PAX6* a un rôle spécifique dans la formation du cristallin et de la rétine<sup>129-131</sup>. Au niveau du système nerveux central, il joue donc un rôle majeur dans la mise en place du cortex (différenciation, migration) et dans la mise en place des connexions entre cortex et thalamus<sup>132, 133</sup>, dans le développement de l'épiphysse et l'hypophyse, dans le développement cérébelleux<sup>134</sup> et celui des motoneurones<sup>135</sup>. *PAX6* est également exprimé au niveau pancréatique où il joue un rôle dans le développement<sup>136</sup>.



**Figure 20: Différentes fonctions de PAX6 au cours du développement neuronal.**

Figure tirée de <sup>128</sup>. Les gènes *PAX*, et notamment *PAX6* ont un rôle au cours du développement du système nerveux au cours de la régionalisation et spécification, l'expansion, la migration, la maintenance d'un état indifférencié ou la différenciation. Ces processus sont doses dépendants et dépendants du contexte cellulaire.

- Expression au cours du développement oculaire

L'expression de *Pax6* commence à E8 au niveau de la plaque neurale. A E10, l'expression se confine au prosencephale, rhombencephale et à la moelle<sup>137</sup>. Au niveau oculaire, l'expression de *Pax6* est détectée à E8.5 au niveau de l'ectoderme de surface, ainsi que dans les gouttières optiques<sup>138</sup>. A E10.5, cette expression au niveau de l'ectoderme de surface se restreint dans la région oculaire et au niveau de la neurorétine apparaît un gradient, *Pax6* étant plus exprimé dans les couches internes de la neurorétine (Fig. 19).

- Modèles animaux

Il a été montré que l'absence d'un des allèles de *pax6* chez la drosophile entraîne un phénotype *eyeless*<sup>139</sup>, et chez la souris un phénotype *small eyes*<sup>140</sup>. De même, les souris surexprimant *Pax6* présentent également un phénotype d'AM<sup>141</sup> montrant bien le caractère dose dépendant de la

régulation médiée par ces facteurs de transcription. Les souris homozygotes pour un allèle nul décèdent en période périnatale. Elles ont un phénotype associant une absence d'œil, de structures nasales et des malformations cérébrales<sup>140</sup>. Les souris porteuses d'un allèle hypomorphe ne présentent que peu d'atteinte clinique à l'état hétérozygote, alors qu'à l'état homozygote, le développement oculaire stoppe précocement pour aboutir à un phénotype AM<sup>142</sup>.

L'expression ectopique de *pax6* chez la drosophile<sup>143</sup> ou le xénope<sup>144</sup> est capable de faire se développer des structures oculaires bien différenciées. Ces éléments ont fait que *PAX6* a été défini comme le "maître régulateur" du développement oculaire.

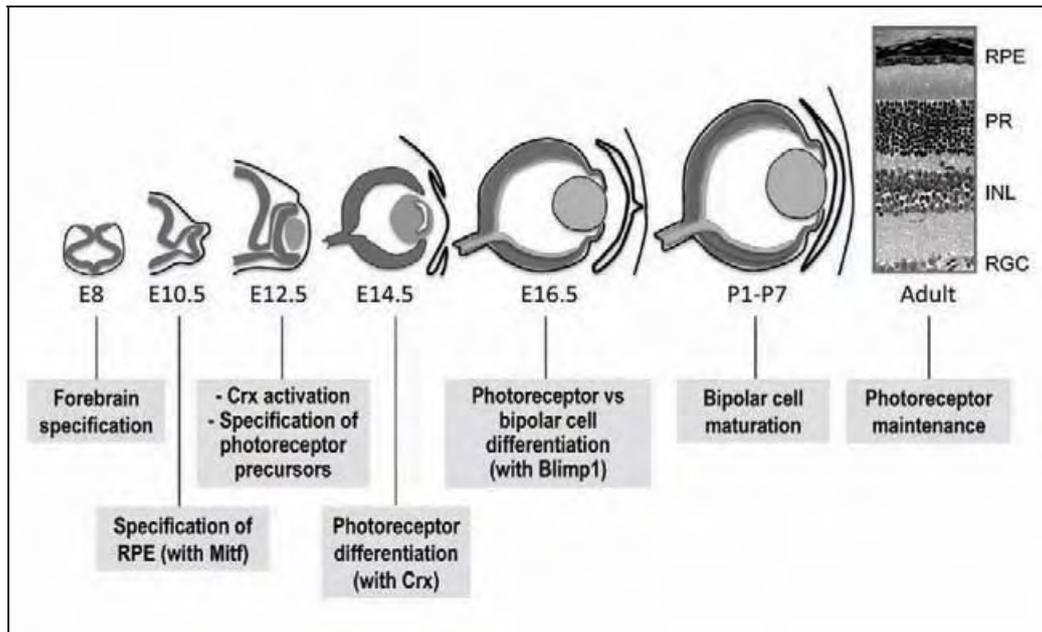
## **OTX2**

### - Généralités

*OTX2* (orthodenticle homeobox 2) est localisé en 14q22.3. Il code pour un facteur de transcription contenant un homéodomaine qui est un orthologue du gène *orthodenticle* de la drosophile. Il existe deux transcrits de ce gène aboutissant à la formation de protéines de 289 et 297 acides aminés.

### - Fonction

Otx2 va jouer plusieurs fonctions au cours du développement embryonnaire, et plus particulièrement au niveau du système nerveux central, de l'épiphyse et de l'œil. Otx2 est nécessaire à l'induction du cerveau antérieure et du pôle céphalique chez les vertébrés. Au niveau cérébral, les fonctions essentielles sont la spécification du prosencéphale et du mésencéphale, ainsi que d'une aire à la limite du mésencéphale et du rhombencéphale qui donnera le cervelet<sup>145</sup>. Au niveau oculaire, l'expression d'*Otx2* pourrait permettre l'expression de facteurs de transcription nécessaires à la spécification oculaire d'une partie du cerveau antérieur<sup>146</sup>. L'expression d'*Otx2* est également importante au cours du développement oculaire. Elle permet la spécification et la maintenance des photorécepteurs<sup>145, 147</sup>. Ces différents rôles au niveau oculaire sont résumés figure 21.



**Figure 21: Différentes fonctions d'Otx2 au cours du développement oculaire.**

Figure tirée de <sup>145</sup>. Illustration schématique des différentes étapes du développement oculaire, et implication d'Otx2 au cours de celles-ci.

- Expression au cours du développement oculaire

*Otx2* est initialement exprimée dans le neurectoderme à la partie antérieure de l'embryon pendant la gastrulation<sup>148</sup>, puis est exprimé dans le prosencéphale et le mésencéphale. Son patron d'expression dans le mésencéphale s'arrête net permettant de définir le rhombencéphale<sup>149, 150</sup>. *Otx2* est exprimé dans la vésicule optique où il a un rôle clef dans l'évagination après contact avec l'ectoderme de surface<sup>151</sup>. L'expression est ensuite restreinte à la rétine pigmentaire où elle se poursuit à l'âge adulte.

- Modèles animaux

Les délétions homozygotes d'*Otx2* sont létales en raison de l'absence de développement du prosencéphale et mésencéphale<sup>148</sup>. Les souris hétérozygotes ont des phénotypes très variables et dépendants du fond génétique. On peut observer chez ces souris des anomalies cérébrales (acéphalie, holoprosencéphalie), des anomalies oculaires (microphthalmie, anophthalmie) et/ou des anomalies mandibulaires (micrognathie, agnathie)<sup>152</sup>. L'utilisation d'un KO conditionnel (recombinase *Cre* soumis au promoteur de *Crx*) a permis de démontrer le rôle d'*Otx2* dans le déterminisme cellulaire des cellules photoréceptrices, ainsi que dans le développement de l'épiphyse<sup>153</sup>.

## RAX

### - Généralités

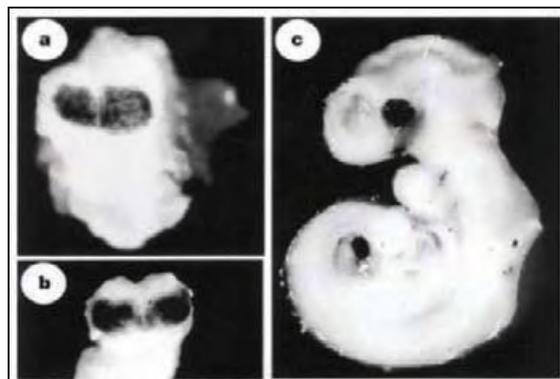
*RAX* (retina and anterior neural fold homeobox) est un gène localisé en 18q21.32 qui code pour un facteur de transcription possédant un homéodomaine et un domaine *paired-like* ou OAR (opt, aristaless and rax domain). Il code pour une protéine de 346 acides aminés

### - Fonction

*RAX* a pour fonction initiale de déterminer la spécification des futures cellules rétinienne<sup>154, 155</sup>. Il a secondairement été démontré que *RAX* jouait également un rôle spécifiquement dans la maintenance des cellules progénitrices des photorécepteurs, ainsi que leur différenciation<sup>156, 157</sup>. *RAX* aurait également un rôle dans la régénération rétinienne<sup>156</sup>.

### - Expression au cours du développement oculaire

*Rax* est initialement exprimé dans la partie antérieure de la plaque neurale au niveau du futur prosencéphale<sup>158</sup>. L'expression de *Rax* est ensuite scindée en deux au niveau des cupules optiques qui donneront la rétine. Plus tard, l'expression est majoritairement détectée au niveau de la neurorétine, même si une expression faible est retrouvée dans l'épiphyse et des régions du prosencéphale<sup>158</sup> (Fig. 22).



**Figure 22: Expression du gène *Rax* au cours du développement embryonnaire murin.**

Figure tirée de <sup>158</sup>. Expression du gène *Rax* étudié par hybridation *in situ*. (a) Expression dans la plaque neurale antérieure dans un embryon à E8.5. (b) Expression dans les futures régions oculaires à E9.5. (c) Expression dans les cupules optiques.

- Modèles animaux

Il existe un modèle naturel de mutation hypomorphe de *Rax* chez la souris entraînant un phénotype AM et des anomalies de l'hypothalamus<sup>159, 160</sup>. La présence homozygote de mutations nulles du gène *Rax* chez la souris entraîne des AM sévères associées à des atteintes cérébrales variables avec une réduction des structures prosencéphaliques et à un moindre degré mésencéphalique<sup>158</sup>. Les souris hétérozygotes n'ont pas de phénotype apparent. Un phénotype AM est également rencontré dans d'autres modèles animaux comme le poisson *medaka*<sup>161</sup>, le poisson zèbre<sup>162</sup>, et le xénope<sup>163</sup>. De façon intéressante, la surexpression de *Rax* entraîne également une prolifération excessive de la rétine et parfois une duplication du prosencéphale chez le xénope<sup>158, 163</sup> et le poisson zèbre<sup>154</sup>.

## OBJECTIFS

Mon travail de thèse s'inscrit en complément du travail réalisé dans le service de Génétique Médicale du Professeur Calvas. Notre service s'est spécialisé sur le diagnostic moléculaire des anomalies du développement embryonnaire de l'œil depuis de nombreuses années. Le travail réalisé a donc été transversal entre le laboratoire hospitalier et le laboratoire de recherche.

Les objectifs fixés au début de ma thèse d'Université portaient sur trois points essayant de répondre à différentes problématiques posées dans le cadre de ces anomalies du développement oculaire:

- Le premier objectif concernait la description de la fréquence d'implication des principaux gènes d'AM dans une cohorte constituée de 150 patients AM.
- Le deuxième objectif visait à étudier la variabilité phénotypique associée aux mutations des gènes connus d'AM. Je me suis plus particulièrement intéressé aux spectres phénotypiques secondaires aux mutations des gènes *STRA6* (initialement impliqué dans une forme syndromique d'AM) et *OTX2* (initialement impliqué dans une forme isolée d'AM).
- Enfin, le troisième objectif de mon travail avait pour but d'identifier de nouveaux gènes d'AM. Quand j'ai débuté ce travail, plusieurs approches avaient été définies en parallèle :
  - o approche par gène candidat,
  - o recherche de remaniement chromosomique par CGH-array,
  - o et une approche fondamentale visant à identifier les gènes régulés par les facteurs de transcription déjà impliqués dans les AM.

Concernant cette dernière approche, nous avons initialement prévus de sélectionner les meilleurs gènes candidats pour les séquencer chez nos patients. La révolution du séquençage haut débit apparue pendant mon travail de thèse a fait évoluer les modalités d'analyse et nous a permis de séquencer un grand nombre de ces gènes candidats simultanément. Des analyses pan-génomique de type séquençage d'exome ont également été utilisées.

## **CHAPITRE II**

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# **ANALYSE MOLECULAIRE DES GENES CONNUS**

## Introduction

La première partie de mon travail a consisté à étudier la fréquence de l'implication des gènes d'AM dans notre cohorte de patient. J'ai pu au cours de ma formation médicale dans le service de Génétique Médicale de Toulouse participer à la mise au point des analyses moléculaires de certains gènes d'AM (*SOX2*, *OTX2*, *RAX*, *FOXE3*, *STRA6*) et séquencer les premières séries de patients. Ces diagnostics sont maintenant proposés dans un cadre diagnostic en routine dans notre laboratoire. Pour les patients sans mutation identifiée, il leur a été proposé de participer à notre projet de recherche d'identification de nouveaux gènes d'AM dans le cadre d'un PHRC national obtenu en 2009 (PHRC 09 109 01).

## Méthodes et Résultats

De nombreux gènes ont été identifiés dans des formes syndromiques et non syndromiques d'AM. Nous avons progressivement mis au point l'analyse moléculaire des gènes d'AM au fur et à mesure de leur description dans la littérature. Sept gènes (*GDF6*, *FOXE3*, *OTX2*, *PAX6*, *RAX*, *SOX2*, et *VSX2*) ont été étudiés de manière systématique dans notre cohorte de patients. Les gènes plus récemment décrits n'ont pas été systématiquement étudiés dans cette cohorte.

Nous avons analysé ces sept gènes (*GDF6*, *FOXE3*, *OTX2*, *PAX6*, *RAX*, *SOX2*, et *VSX2*) dans une cohorte de 150 cas index. Parmi les patients, 41 avaient une anophtalmie clinique d'au moins un œil, 53 une microphthalmie, et 56 une microphthalmie colobomateuse. L'atteinte était unilatérale chez 41 patients et bilatérale (parfois asymétrique) chez 109. L'atteinte était considérée comme "isolée" (strictement isolée, associée à une autre atteinte oculaire [microphthalmie complexe], ou associée à une déficience intellectuelle sans malformation cérébrale) chez 86 patients, et "syndromique" (associée avec d'autres malformations) chez 64 patients. La majorité des cas (122/150) étaient sporadiques. Une histoire familiale était présente pour 28 patients, évoquant 13 fois une transmission dominante (un parent atteint), douze fois une transmission récessive (atteinte dans la fratrie). Dans 3 familles le mode de transmission était inconnu (atteinte chez des cousins au premier degré). Dans notre cohorte, 14 cas index avaient des parents apparentés.

L'analyse de ces sept gènes a permis d'identifier des mutations causales chez 32 des 150 patients (21%).

Les résultats de ces analyses moléculaires sont décrits dans les 2 articles suivants:

- Article n°1

N. Chassaing *et al.* "Molecular findings and clinical data in a cohort of 150 patients with anophthalmia/microphthalmia." *Clin Genet Sous Presse*

- Article n°2

L. Lequeux *et al.* (2008). "Confirmation of *RAX* gene involvement in human anophthalmia." *Clin Genet* 74(4): 392-5.

D'autres anomalies génétiques causales ont été recherchées, et des anomalies ont pu être mises en évidence par CGH-array, ou lors de l'analyse moléculaire de certains gènes impliqués initialement dans des formes syndromiques (comme *STRA6*), ou dans des gènes plus récemment décrits et exclus de l'analyse systématique (comme *ALDH1A3* et *RARB*). Ces analyses supplémentaires n'ont cependant pas été réalisées chez tous les patients de la cohorte, et il est donc difficile de tirer des conclusions générales. Les phénotypes de ces patients sont discutés dans les chapitres correspondants (phénotype liée aux mutations du gène *STRA6*, recherche de nouveaux gènes par CGH-array, travaux collaboratifs).

Un troisième article décrivant les résultats moléculaires obtenus dans une anomalie du développement syndromique de la chambre antérieure (syndrome de Peters-plus) est également associé à ce travail :

- Article n°3

Dassie-Ajdid J *et al.* (2009). "Novel *B3GALTL* mutation in Peters-plus Syndrome." *Clin Genet* 76(5): 490-2.

## Figures supplémentaires

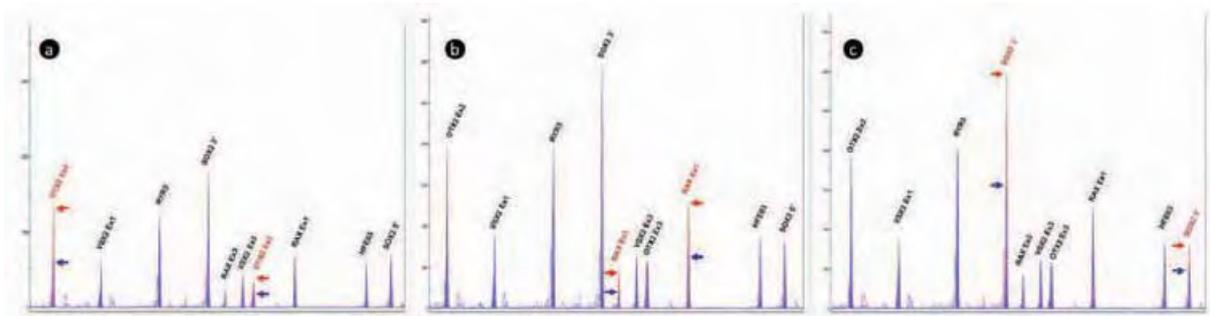
Deux figures supplémentaires, non présentes dans les articles publiés ont été rajoutées avant les articles. Ces figures montrent les résultats complémentaires obtenus en QMPSF et en CGH-array.

- Figure 23 : Exemple de résultats obtenus lors de la recherche de remaniement génique par QMPSF dans notre cohorte de patients.
- Figure 24 : Analyse par CGH-array Agilent 180K des délétions identifiées par QMPSF dans la cohorte de patients AM.

## Conclusion

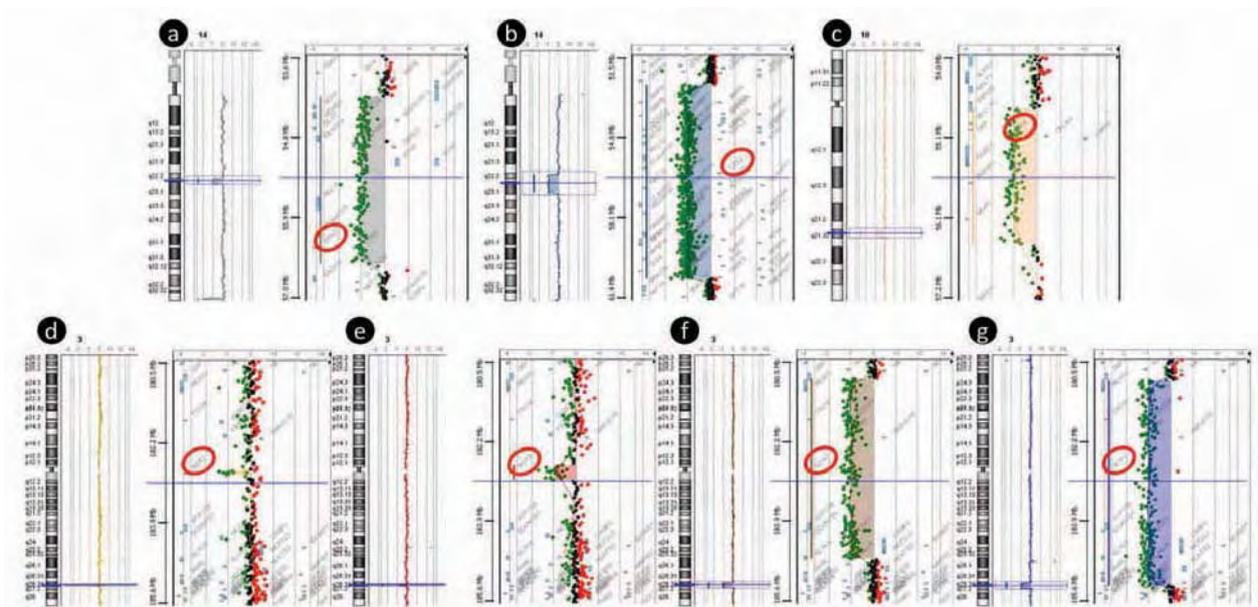
La spécificité de notre laboratoire hospitalier de Génétique Médicale a permis un recrutement national et international d'un grand nombre de patients. Nous avons au fil des années développés des analyses moléculaires secondairement à la découverte de nouveaux gènes et ainsi pu colliger les résultats d'une analyse moléculaire poussée dans le plus grande série de patient rapportée. En plus de définir la fréquence de l'implication de chaque gène dans les AM, nous avons pu reprendre les phénotypes et décrire certaines particularités (cf. chapitre suivant). Malgré l'analyse systématique de 7 gènes, nous n'avons identifié une anomalie génétique que chez environ 25 % des patients. Cette proportion devrait pouvoir être améliorée avec le développement des techniques de séquençage haut ou moyen débit qui permettront de tester des lots de gènes plus important pour chaque patient. Malgré cela, il reste à l'heure actuelle de nombreux gènes d'AM non identifiés et ce travail d'identification de nouveaux gènes correspond à la plus grande partie de mon travail de thèse.

Outre l'intérêt fondamental en biologie du développement, la connaissance de la cause de la malformation oculaire est un élément important dans la prise en charge des patients (en connaissant le spectre phénotypique associé au gène en cause) et de leurs familles (en permettant un conseil génétique précis et éventuellement la possibilité d'un diagnostic prénatal précoce ou préimplantatoire).



**Figure 23: Exemple de résultats obtenus lors de la recherche de remaniement génique par QMPSF dans notre cohorte de patients.**

Profils d'amplification de trois patients différents (a, b, c). Deux fragments des gènes *OTX2*, *RAX*, *SOX2* et *VSX2* et deux fragments témoins (*RYR3* et *HFE63*) sont amplifiés simultanément au cours d'une PCR multiplexe. Les intensités des différents pics sont comparés entre les patients (en bleu) et le témoin (en rouge) et normalisés par rapport aux amplifiés témoins. Les délétions sont visualisées par une diminution de moitié de la hauteur des pics et indiqués par des flèches. Cette analyse a permis la mise en évidence de délétion des gènes *OTX2* (a), *RAX* (b) et *SOX2* (c).



**Figure 24: Analyse par CGH-array Agilent 180K des délétions identifiées par QMPSF dans la cohorte de patients AM.**

Présence de délétions emportant le gène *OTX2* (a, b), le gène *RAX* (c) et le gène *SOX2* (d, e, f, g). Les positions des gènes d'AM situés dans les régions délétées ont été entourées d'un cercle rouge. Cette analyse a permis de confirmer la présence des délétions précédemment identifiées par QMPSF et de caractériser précisément la taille (variable) de ces délétions.

## ARTICLE 1

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### Molecular findings and clinical data in a cohort of 150 patients with anophthalmia/microphthalmia

*Clinical Genetics*

Sous Presse

**N. Chassaing**, A. Causse, A. Vigouroux, A. Delahaye, J.-L. Alessandri, O. Boute-Benejean, H. Dollfus, B. Duban-Bedu, B. Gilbert-Dussardier, F. Giuliano, M. Gonzales, M. Holder-Espinasse, B. Isidor, M.-L. Jacquemont, D. Lacombe, D. Martin-Coignard, M. Mathieu-Dramard, S. Odent, O. Picone, L. Pinson, C. Quelin, S. Sigaudy, A. Toutain, C. Thauvin-Robinet, J. Kaplan and P. Calvas

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Comme nous l'avons vu en introduction sur les aspects moléculaires impliqués dans les anomalies du développement oculaire de type AM, des mutations dans de nombreux gènes ont été décrites associées aux AM. Dans cet article, nous décrivons les résultats de l'analyse moléculaire de sept gènes réalisés dans une cohorte de 150 patients index atteints d'AM.

Le premier résultat de cette étude concerne le taux de détection de mutations dans ces gènes. Des mutations ont été identifiées chez 32 patients (21 %). Ce taux de détection augmente avec la sévérité de l'atteinte oculaire et atteint 54% dans l'anophtalmie. Il s'accroît légèrement en présence d'une histoire familiale (32%). A contrario, lorsque l'atteinte ophtalmologique est unilatérale, des mutations sont identifiées seulement chez 10 % des patients contre 26 % des patients dont l'atteinte est bilatérale.

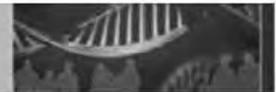
Cette étude montre également la fréquence de l'implication de chacun des sept gènes étudiés. Nous confirmons ainsi l'implication prépondérante du gène *SOX2*, à l'origine du phénotype oculaire chez 18/150 patients (12 %). *OTX2* et *RAX* arrivent ensuite en terme de fréquence avec respectivement 5 (3,5 %) et 4 (2,5 %) patients porteurs de mutations délétères. Les autres gènes sont plus rarement en cause chez nos patients.

Sur le plan du diagnostic moléculaire, nous montrons dans cette étude l'apport du dosage génique par la QMPSF pour rechercher des remaniements. Ils représentent une part non négligeable des mutations causales. Par exemple, plus d'un quart des mutations identifiées dans le gène *SOX2* (5/18) correspond à des délétions de ce gène.

Concernant le gène *SOX2*, l'analyse complémentaire en CGH-array 180K, réalisée par le Dr. Andrée Delahaye à l'hôpital Jean Verdier à Bondy, a permis de caractériser finement ces remaniements, et a montré que la taille des délétions identifiées étaient variables d'un patient à l'autre (absence de délétion récurrente).

Nous avons pu confronter les données phénotypiques des patients mutés de notre cohorte avec les données de la littérature, et avons confirmé la variabilité phénotypique inter- et intrafamiliale observée pour les différents gènes étudiés. Une description détaillée du phénotype des 32 patients mutés a été incluse dans une note additionnelle à cet article.

Enfin cette étude souligne la difficulté du conseil génétique en raison de mode de transmission variable en fonction des gènes impliqués, de la variabilité phénotypique oculaire et extra-oculaire importante, d'une pénétrance parfois incomplète, et de la description de mosaïques germinales pour les gènes à transmission autosomique dominante. Ces connaissances sont essentielles à la bonne prise en charge des patients et de leurs familles.



## Short Report

# Molecular findings and clinical data in a cohort of 150 patients with anophthalmia/microphthalmia

Chassaing N, Causse A, Vigouroux A, Delahaye A, Alessandri J-L, Boespflug-Tanguy O, Boute-Benejean O, Dollfus H, Duban-Bedu B, Gilbert-Dussardier B, Giuliano F, Gonzales M, Holder-Espinasse M, Isidor B, Jacquemont M-L, Lacombe D, Martin-Coignard D, Mathieu-Dramard M, Odent S, Picone O, Pinson L, Quelin C, Sigaudy S, Toutain A, Thauvin-Robinet C, Kaplan J, Calvas P. Molecular findings and clinical data in a cohort of 150 patients with anophthalmia/microphthalmia. Clin Genet 2013. © John Wiley & Sons A/S. Published by John Wiley & Sons Ltd, 2013

Anophthalmia and microphthalmia (AM) are the most severe malformations of the eye, corresponding respectively to reduced size or absent ocular globe. Wide genetic heterogeneity has been reported and different genes have been demonstrated to be causative of syndromic and non-syndromic forms of AM. We screened seven AM genes [*GDF6* (growth differentiation factor 6), *FOXE3* (forkhead box E3), *OTX2* (orthodenticle protein homolog 2), *PAX6* (paired box 6), *RAX* (retina and anterior neural fold homeobox), *SOX2* (SRY sex determining region Y-box 2), and *VSX2* (visual system homeobox 2 gene)] in a cohort of 150 patients with isolated or syndromic AM. The causative genetic defect was identified in 21% of the patients (32/150). Point mutations were identified by direct sequencing of these genes in 25 patients (13 in *SOX2*, 4 in *RAX*, 3 in *OTX2*, 2 in *FOXE3*, 1 in *VSX2*, 1 in *PAX6*, and 1 in *GDF6*). In addition eight gene deletions (five *SOX2*, two *OTX2* and one *RAX*) were identified using a semi-quantitative multiplex polymerase chain reaction (PCR) [quantitative multiplex PCR amplification of short fluorescent fragments (QMPSF)]. The causative genetic defect was identified in 21% of the patients. This result contributes to our knowledge of the molecular basis of AM, and will facilitate accurate genetic counselling.

### Conflict of interest

None.

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B Duban-Bedu<sup>j</sup>,  
B Gilbert-Dussardier<sup>k</sup>,  
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M Holder-Espinasse<sup>h</sup>,  
B Isidor<sup>o</sup>, M-L Jacquemont<sup>p</sup>,  
D Lacombe<sup>q,r</sup>,  
D Martin-Coignard<sup>s</sup>,  
M Mathieu-Dramard<sup>t</sup>, S Odent<sup>u</sup>,  
O Picone<sup>v</sup>, L Pinson<sup>w</sup>,  
C Quelin<sup>u</sup>, S Sigaudy<sup>x</sup>,  
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Anophthalmia and microphthalmia (AM) are severe ocular developmental defects. Anophthalmia refers to the complete absence of ocular tissue in the orbit (true anophthalmia), or the absence of ocular tissue on clinical examination (clinical anophthalmia). Microphthalmia corresponds to a globe with a total axial length that is at least two standard deviations below the mean for age (<19 mm in a 1-year-old child, <21 mm in an adult) (1, 2). Colobomatous microphthalmia

corresponds to microphthalmia associated with failure of closure of the ectodermal optic vesicle fissure. The prevalence of AM is estimated as being between 3 and 30 per 100,000 births (1), AM can be associated with additional malformations in 33–95% of cases (3).

The aetiology of AM is diverse and includes both environmental and heritable factors. Genetic causes are thought to account for the majority of AM and the empirical recurrence risk in siblings without a

clear aetiology or family history is estimated to be 10–15% (1). The genetic basis, either monogenic or chromosomal, is identified in about 25–30% of patients (3). In order to delineate the frequency and the phenotypes associated with mutations in AM genes better, we performed molecular screening of seven of these genes [*GDF6* (growth differentiation factor 6), *FOXE3* (forkhead box E3), *OTX2* (orthodenticle protein homolog 2), *PAX6* (paired box 6), *RAX* (retina and anterior neural fold homeobox), *SOX2* (SRY sex determining region Y-box 2), and *VSX2* (visual system homeobox 2 gene)] in a cohort of 150 AM patients.

## Patients and methods

### Patients

One hundred and fifty samples from patients diagnosed with unilateral or bilateral AM were sent to our laboratory for molecular screening. The study respected the principles expressed in the Declaration of Helsinki. We retrospectively reviewed the clinical records and molecular results. The age of the index patients ranged from foetuses to 52-year-old adults. Among these, 41 displayed clinical anophthalmia, 53 microphthalmia, and 56 colobomatous microphthalmia. Ocular involvement was unilateral in 41 patients and bilateral in 109. It was isolated in 86 patients, and associated with other malformations in 64 patients. Most cases (122/150) were sporadic. The family history indicated dominant inheritance (one affected parent) in 13 families, recessive inheritance (one affected sibling) in 12, and was unclear in 3 families (affected cousin). 14 patients were born to consanguineous parents. Clinical data of the patients carrying mutations are described in the Appendix S1 and summarized in Table 1. Some examples of the eye phenotypes are shown in Fig. 1.

### Mutation screening

DNA was extracted from blood, frozen tissue or paraffin-embedded tissue (foetuses). The number of AM genes molecularly screened in our laboratory increased progressively as new genes were identified and seven genes (*GDF6*, *FOXE3*, *OTX2*, *PAX6*, *RAX*, *SOX2*, and *VSX2*) were studied by direct sequencing. In four of these genes (*OTX2*, *RAX*, *SOX2*, and *VSX2*), quantitative multiplex polymerase chain reaction (PCR) amplification of short fluorescent fragments (QMPSF) was performed in order to detect deletions or duplications. The size of identified deletions was evaluated by 180K array-comparative genomic hybridization (CGH) in deletion carrying patients. Other AM genes which have been identified more recently were not covered in the gene tests performed in this cohort. Detailed methods are given in the Appendix S1.

## Results and discussion

The causative molecular defect was identified in 32 of 150 (21%) patients. Mutations were identified in the

seven genes tested: *SOX2* ( $n = 18$ ), *OTX2* ( $n = 5$ ), *RAX* ( $n = 4$ ), *FOXE3* ( $n = 2$ ), *VSX2* ( $n = 1$ ), *PAX6* ( $n = 1$ ), and *GDF6* ( $n = 1$ ). The mutation detection rate was higher in anophthalmic patients (22/41, 54%). A family history of AM only moderately increases the mutation detection rate, to 32% (9/28), when compared with sporadic cases (23/122, 19%). In addition, mutations were more frequently found in bilateral forms (28/109, 26%) than in unilateral (4/41, 10%). The presence of extra-ocular features slightly increases the mutation detection rate (16/64, 25%) compared with isolated ocular involvement (16/86, 18%).

Results from this series are described below and summarized in Table 1.

### SOX2

Heterozygous *SOX2* [SRY (sex determining region Y)-box 2] mutations were identified in 18 of 150 AM patients. Sequencing identified eight small intragenic deletions/duplications, two nonsense and three missense mutations all involving conserved amino-acids located within the DNA binding domain and predicted to be probably damaging by *in silico* analyses. In addition, QMPSF analysis allowed the identification of five whole gene deletions (Fig. 2a), thus corresponding to 5 out of 18 (28%) of the *SOX2* mutations. Array-CGH of four showed that deletion sizes were highly variable between patients, ranging from 0.08 to 4.3 Mb (Fig. 2b, Table S2). In 12 of 13 cases where parents were analysed the mutation appeared *de novo*, while in the remaining case, somatic mosaicism was identified in the mother.

To date, including the 10 novel mutations reported herein, 58 different mutations have been described. Currently *SOX2*, which is mutated in about 10–15% of AM patients, represents the most frequently involved AM gene. Within this series, *SOX2* mutations were identified in 18 out of 150 (12%) of patients. The *SOX2* anophthalmia syndrome was originally described with ocular malformations (most often bilateral and severe) associated with developmental delay, seizures, mesial temporal brain malformations, growth failure, subtle facial dysmorphism and male genital tract abnormalities (4). *SOX2* mutations were also identified in the anophthalmia–esophageal–genital (AEG) syndrome (5), and various additional features were secondarily reported. The ocular phenotype has been extended to less severe malformations, and even to the absence of macroscopic or microscopic eye malformations (6). In this study, ocular involvement was often bilateral (17/18) and frequently severe with at least one absent eye (15/18). The main extra-ocular features were developmental delay, brain anomalies (mainly affecting the corpus callosum and cerebellum), hypogonadism, and short stature. Oesophageal abnormalities were present in three patients and cleft lip/palate in two.

*SOX2* anophthalmia is inherited in an autosomal dominant manner, with most cases resulting from a *de novo* mutation. However, germline mosaicism leading to recurrence in siblings has been described in

Table 1. Phenotype and genotype of mutated patients<sup>a, b</sup>

| Patient | Age     | Mutated gene | Mutation (cDNA)           | Mutation (protein)     | Protein domain involved | Family History                      | Phenotype         |  |   |                         |  |
|---------|---------|--------------|---------------------------|------------------------|-------------------------|-------------------------------------|-------------------|--|---|-------------------------|--|
|         |         |              |                           |                        |                         |                                     | Right eye         | Left eye   | Brain   | Intellectual disability | Other  |
| 1       | 32 y    | SOX2         | c.17_-30_1*220_7)del      | SOX2del                | -                       | -                                   | Ano               | Ano  | CCA Vermian hypoplasia  | +                       | Short stature  |
| 2       | 30 wg   | SOX2         | c.17_-30_1*220_7)del      | SOX2del                | De novo                 | -                                   | Micro             | Ano  | N   | -                       | -  |
| 3       | 8 y     | SOX2         | c.17_-30_1*220_7)del      | SOX2del                | -                       | -                                   | Ano               | Ano  | CCH Periventricular heterotopia                               | ±                       | Short stature  |
| 4       | 5 y     | SOX2         | c.17_-30_1*220_7)del      | SOX2del                | De novo                 | -                                   | Ano               | Ano  | N   | +                       | GH deficiency<br>Micropenis<br>Cesophageal atresia<br>Herni-uterus |
| 5       | 30 wg   | SOX2         | c.17_-30_1*220_7)del      | SOX2del                | De novo                 | -                                   | N                 | Micro<br>Al-talamia<br>Retinal dysplasia<br>Sclerocornea | N   | -                       | Cesophageal atresia  |
| 6       | 2 m     | SOX2         | c.70_86del                | p.A299Glyfs*66         | -                       | Germlinal mosaicism with recurrence | Ano               | Ano  | N   | -                       | Cesophageal atresia  |
| 7       | 28 wg   | SOX2         | <b>c.86_95dup</b>         | <b>p.Asn33Glyfs*66</b> | -                       | De novo                             | Ano               | Ano  | N   | +                       | -  |
| 8       | 4 y     | SOX2         | <b>c.151T&gt;C</b>        | <b>p.Trp51Arg</b>      | HMG-box domain          | -                                   | Ano               | Micro  | CCH   | +                       | Hypogonadism<br>Micropenis   |
| 9       | 23 wg   | SOX2         | <b>c.158_174delinsATG</b> | <b>p.Arg53Hisfs*37</b> | -                       | De novo                             | Ano               | Micro  | Ventriculomegaly  | -                       | -  |
| 10      | 24 wg   | SOX2         | <b>c.200delA</b>          | <b>p.His67Profs*35</b> | -                       | De novo                             | Ano               | Micro  | N   | -                       | -  |
| 11      | 37 y    | SOX2         | c.221G>C                  | p.Arg74Pro             | HMG-box domain          | -                                   | Retinal dysplasia | Micro  | Retinal dysplasia   | +                       | Hypogonadism<br>GH deficiency                                      |
| 12      | 17 y    | SOX2         | <b>c.236G&gt;C</b>        | <b>p.Trp79Ser</b>      | HMG-box domain          | De novo                             | Micro             | Ano  | N   | +                       | Cleft palate   |
| 13      | 2 y     | SOX2         | <b>c.245delT</b>          | <b>p.Leu82Cysfs*20</b> | -                       | De novo                             | Ano               | Ano  | N   | +                       | Anal septal defect<br>Pyelic dilatation<br>Cryptorchidism          |
| 14      | 8 y     | SOX2         | <b>c.255_256delGGinsT</b> | <b>p.Thr85Thrfs*17</b> | -                       | De novo                             | Ano               | Ano  | Left cerebellar hemisphere hypoplasia                         | +                       | Cesophageal stenosis   |
| 15      | 11 y    | SOX2         | c.310G>T                  | p.Glu104I              | -                       | De novo                             | Ano               | Micro  | CCH   | +                       | Cesophageal stenosis   |
| 16      | 23,5 wg | SOX2         | <b>c.476_479dup</b>       | <b>p.Tyr160*</b>       | -                       | -                                   | Ano               | Ano  | N   | -                       | Micropenis   |
| 17      | 20 y    | SOX2         | <b>c.513C&gt;G</b>        | <b>p.Tyr171*</b>       | -                       | De novo                             | Ano               | Ano  | CCH Vermian hypoplasia  | +                       | Bilateral cleft L/F<br>GH deficiency<br>Hypogonadism               |
| 18      | 28 y    | SOX2         | <b>c.599delA</b>          | <b>p.Tyr200Serfs*2</b> | -                       | De novo                             | Micro             | Ano  | N   | +                       | GH deficiency<br>Hypogonadism                                      |
| 19      | 33 wg   | OTX2         | c.17_-30_1*220_7)del      | OTX2del                | -                       | -                                   | Ano               | Ano  | Ventriculomegaly<br>Vermian heterotopia<br>Cortical dysplasia | -                       | -  |

Table 1. Continued

| Patient | Age     | Mutated gene | Mutation (cDNA)  | Mutation (protein)                   | Protein domain involved | Family History                                       | Phenotype                     |                               |       |                         |                             |
|---------|---------|--------------|--|--------------------------------------|-------------------------|--|-------------------------------|-------------------------------|-------|-------------------------|-----------------------------|
|         |         |              |  |                                      |                         |  | Right eye                     | Left eye                      | Brain | Intellectual disability | Other                       |
| 20      | 24 y    | OTX2         | c.(7-30)_1220_?del   | C7X2del                              |                         | Three generations                                    | Micro<br>Coloboma             | Micro<br>Coloboma             | N     | +                       | -                           |
| 21      | 23.5 wg | OTX2         | c.289C>T   | p.Arg97*                             |                         | -  | Micro                         | N                             | N     | -                       | -                           |
| 22      | 31 y    | OTX2         | c.289C>T   | p.Arg97*                             |                         | Four generations<br>One son displayed ptosis         | Micro                         | N                             | N     | -                       | -                           |
| 23      | 22 y    | OTX2         | c.316delC  | p.Gln106Asn11                        |                         | Five generations<br>Three affected related displayed | Micro                         | Micro                         | N     | +                       | -                           |
| 24      | 4 y     | RAX          | c.478T>C<br>c.563G>A   | p.Tyr160His<br>p.Arg188Gln           | Homeodomain             | One affected sibling                                 | Micro                         | Micro                         | N     | +                       | -                           |
| 25      | 18 m    | RAX          | c.560G>A<br>c.560G>A   | p.Arg187Gln<br>p.Arg187Gln           | Homeodomain             | -  | Micro                         | Micro                         | N     | -                       | -                           |
| 26      | 2 y     | RAX          | c.664delT<br>c.609C>G  | p.Ser222Arg15*62<br>p.Tyr303*        |                         | -  | Micro                         | Micro                         | N     | -                       | -                           |
| 27      | 26 wg   | RAX          | c.695C>A   | p.Ser222*<br>RAXdel                  |                         | -  | Micro                         | Micro                         | N     | -                       | -                           |
| 28      | 7 y     | FOXE3        | c.(7-30)_1220_?del<br>c.685_686insTCCGGAGC<br>c.685_686insTCCGGAGC | p.Ala230A/rgfs*2<br>p.Ala230A/rgfs*2 |                         | One affected cousin born to consanguineous parents   | Micro<br>Sclerocornea         | Micro<br>Sclerocornea         | N     | +                       | -                           |
| 29      | 23 y    | FOXE3        | c.720C>A   | p.Cys240*                            |                         | One affected sibling                                 | Micro<br>Sclerocornea         | Micro<br>Sclerocornea         | N     | -                       | Polycystic ovarian syndrome |
| 30      | 2 y     | PAX6         | c.720C>A<br>c.418G>C   | p.Cys240*<br>p.Arg19Pro              | Paired domain           | One affected sibling                                 | Micro<br>Sclerocornea         | Micro<br>Sclerocornea         | N     | -                       | -                           |
| 31      | 3 y     | VSX2         | c.71_72insG<br>c.667G>A  | p.Ala25A/rgfs*101<br>p.Gly223Arg     | CVC domain              | One affected sibling                                 | Micro<br>Cataract<br>Coloboma | Micro<br>Cataract<br>Coloboma | N     | -                       | -                           |
| 32      | 4.5 y   | GDF6         | c.980C>A   | p.Pro327His                          | TGF-β prodomain         | Inherited from asymptomatic father                   | Micro<br>Coloboma             | Micro<br>Coloboma             | N     | -                       | -                           |

Ano, anophthalmia; CCA, corpus callosum agenesis; CCH, corpus callosum hypoplasia; cDNA, complementary DNA; empty box, information unknown; micro, microphthalmia; GH, growth hormone; HMG-box, high mobility group box; m, months; N, normal; empty box; absent data; TGF, transforming growth factor; wg, weeks of gestation; y, years; -, absence; +, presence.

\*The italicized patient numbers correspond to already reported patients (Appendix S1). Novel mutations identified in this study are indicated in bold.

†Sequence variations were numbered considering Adenine of the ATG initiation codon as the first nucleotide (GenBank accession NM\_001001557.2 [3DF6], NM\_012186.2 [FOXE3], NM\_021728.2 [OTX2], NM\_001604.4 [PAX6], NM\_013435.2 [RAX], NM\_003106.3 [SOX2], and NM\_162894.2 [VSX2]).



**Fig. 1.** Ocular involvement in patients carrying mutations. Examples of ocular involvement in patients with *SOX2* mutations ((a–e) corresponding to P1, P3, P11, P13, and P18, respectively); *OTX2* mutations ((f, g) corresponding to P23 and P23's aunt, respectively); *RAX* mutations ((h, i) corresponding to P24 and P27, respectively); and *FOXES* mutations ((j) P29). Note unilateral (g) or bilateral anophthalmia-microphthalmia (a–f, h–j) associated with sclerocornea in P29 (j).

three families. There is no clear genotype/phenotype correlation.

#### *OTX2*

*OTX2* mutations were identified in 5 of 150 (3%) AM patients including two patients with an *OTX2* gene deletion (Table S2). The *OTX2* mutation was inherited from a symptomatic parent in three families, and in two cases, no family history was mentioned but parents' samples were unavailable for analysis.

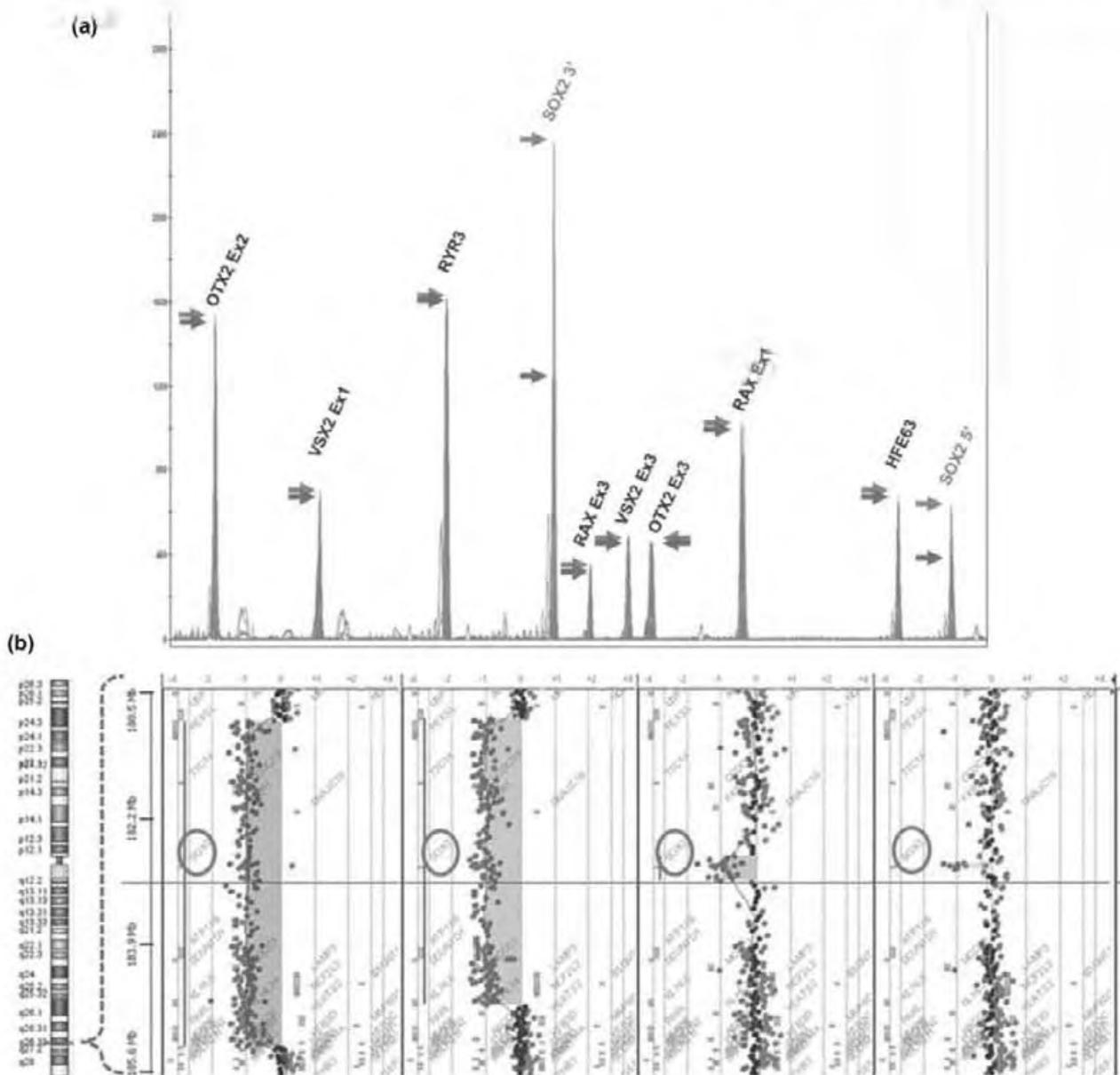
A total of 31 *OTX2* different mutations (14 frameshift, 9 nonsense, 7 missense, and whole gene deletion) have been reported. *OTX2* mutations are associated with a broad spectrum of ocular phenotypes, intellectual deficiency, seizures, brain malformations, pituitary abnormalities, and short stature (7). More recently, we described involvement of *OTX2* mutations in otocephaly-agnathia (8). In this study, clinical presentation was variable, even within families, ranging from bilateral anophthalmia to unilateral mild

microphthalmia. Otocephalic cases were reported in two families.

*OTX2* anophthalmia is inherited in an autosomal dominant manner with variable expressivity and incomplete penetrance. Germline mosaicism has been described in two families.

#### *RAX*

Homozygous or compound heterozygous *RAX* mutations were identified in 4 of 150 (3%) patients, bringing the number of described *RAX* mutations to 10. Including the four patients in this report, only seven patients with *RAX* mutations have been described. The ocular phenotype associated with recessive *RAX* mutations is often bilateral and severe. Neurological involvement (intellectual deficiency, autistic features) was observed in three out of seven described patients. Apart from cerebral involvement, no other extra-ocular malformation was associated with *RAX* mutations. *RAX* anophthalmia is inherited in an autosomal recessive manner.



**Fig. 2.** Identification of *SOX2* deletion by quantitative multiplex PCR amplification of short fluorescent fragments (QMPSF) and characterization of the deletion sizes by array-comparative genomic hybridization (CGH). (a) Example of *SOX2* deletion revealed by QMPSF. Amplification profile of one patient sharing the deletions (in blue), and of a normal control (in red) are superimposed. Fluorescent profiles are normalized using the control amplicons *RYR3* and *HFE63*. Intensity of each peak is indicated by an arrow, blue for the patient bearing the deletion, red for the control. The twofold reduction in the intensity of *SOX2* peaks is to the result of the heterozygous deletion (b) Gene view of the region 3q26.33-3q27.1 (Chromosome 3: 180,556,405 – 185,680,405 – hg18 – view of 5.12 Mb) produced by the Agilent CGH ANALYTICS software and showing the aberrant deletions, which are highlighted in colour. The *SOX2* gene is surrounding in red. The dots correspond to the array targets, arranged on the y-axis according to their genomic position and on the x-axis according to their log<sub>2</sub> intensity ratio value.

### *FOXE3*

*FOXE3* mutations were identified in 2 of 150 AM patients. Both were born to consanguineous parents. One patient had a recurrent homozygous nonsense mutation (c.720C>A [p.C240\*]), while the other had a novel homozygous frameshifting mutation c.685\_686insTCCGGAGC. *FOXE3* mutations have previously been associated with autosomal dominant anterior segment anomalies (9), autosomal recessive

primary aphakia (10), and autosomal recessive microphthalmia (11). A total of 13 different *FOXE3* mutations have been described, 8 leading to a recessively inherited phenotype and 5 associated with dominant inheritance. Interestingly, four of the five dominant mutations correspond to non-stop mutations suggesting that the extended protein may have a dominant negative effect, while the recessive mutations would be loss of function mutations. Dominant mutations mainly cause

anterior segment dysgenesis, while recessive mutations cause a more severe ocular phenotype adding microphthalmia to the anterior segment dysgenesis. No other extra-ocular malformation has previously been associated with *FOXE3* mutations. In our cohort, one patient carrying a homozygous *FOXE3* mutation displayed intellectual deficiency and autistic features.

#### *PAX6*

A heterozygous missense mutation in the *PAX6* gene was identified in 1 of 150 AM patients. This patient has bilateral microphthalmia and sclerocornea, while her sister has a left microphthalmia and sclerocornea and right partial iris hypoplasia. Both had the same missense mutation (c.418G>C [p.Arg19Pro]). This mutation involved a conserved amino-acid in the paired domain. The change was predicted to be damaging *in silico*. Neither clinical data nor samples were available for the parents. More than 300 *PAX6* mutations have been identified (The Human *PAX6* mutation Database see <http://lsdb.hgu.mrc.ac.uk>) and are typically associated not only with autosomal dominant aniridia but also affect the cornea, lens and retina (12). Although we have identified *PAX6* mutations in patients referred for aniridia with mild microphthalmia, this is the sole example of *PAX6* involvement in our cohort of patients referred for AM.

#### *VSX2*

*VSX2* compound heterozygous mutations were identified in 1 of 150 AM patients. This patient, who had bilateral colobomatous microphthalmia and cataracts, was a compound heterozygote for two novel mutations (c.71\_72insG, and p.Gly223Arg), thus bringing the total of *VSX2* mutations described to 11. The p.Gly223Arg involved a conserved amino-acid located in the conserved CVC domain of the protein, and was evaluated separately by POLYPHEN-2 (damaging) and by SIFT (tolerated). It is absent in a control population (Exome Variant Server). A missense mutation involving the same amino-acid (p.Gly223Ala) had previously been reported in association with AM, suggesting that p.Gly223Arg could be deleterious. *VSX2* mutations have previously been associated with microphthalmia and coloboma and/or anterior chamber abnormalities. *VSX2* anophthalmia is inherited in an autosomal recessive manner.

#### *GDF6*

One heterozygous *GDF6* mutation was identified in 1 of 150 AM patients. He had unilateral colobomatous microphthalmia without skeletal involvement. The missense mutation (c.980C>A [p.Pro327His]) had been previously reported in association with microphthalmia (13). The variation was inherited from the asymptomatic father in our patient as in the published case. p.Pro327His is predicted to be benign *in silico*. It is, however, absent in a control population (Exome Variant Server and (13)). Functional studies are likely to

be needed to determine more conclusively whether this variant does indeed produce a deleterious effect.

*GDF6* loss-of-function mutations were first associated with skeletal defects and subsequently with ocular defects (microphthalmia and coloboma) (13, 14). This oculo-skeletal syndrome is inherited in an autosomal dominant manner, with incomplete penetrance.

#### Conclusion

The overall mutation detection rate in this cohort is 21% (32/150) after screening the seven selected genes (*GDF6*, *FOXE3*, *OTX2*, *PAX6*, *RAX*, *SOX2*, and *VSX2*). Importantly, whole gene deletion represents a high percentage of the identified mutations, especially for *SOX2* and *OTX2*. No mutation was found in the vast majority (about 80%) of AM patients. Other genes are known to be involved. Some have been identified in syndromic and non-syndromic AM by array-CGH (e.g. *BMP4* and *TMX3*), by linkage analysis (e.g. *SMOC1* and *STRA6*) or by a candidate gene approach (e.g. *VAX1* and *BMP7*). In addition, next-generation sequencing has allowed the identification of several novel AM genes (e.g. *ALDH1A3*, *ATOH7*, *c12orf57*, *FNBP4*, *ODZ3*...). However, mutations in each of these genes explain the symptoms in only a very small percentage of AM patients, and it seems likely that only a small proportion of AM causative genes have so far been identified. While this represents a vast genetic heterogeneity, this is not entirely surprising in the developmental process of such a complex organ.

The existence of a range of modes of inheritance, germline mosaicism, and incomplete penetrance all pose particular challenges for genetic counselling. Clinical overlaps within patients and genetic heterogeneity have led to difficulties in designing an efficient screening strategy. Advances in high throughput sequencing will allow a larger set of AM genes to be screened for diagnostic purposes (15). High throughput sequencing (whole exome and whole genome) also represent to date a better method for the identification of new AM genes.

Phenotypic variability, asymmetric ocular involvement, and incomplete penetrance reflect the complexity of the developmental process, driven by interacting networks and modulated by stochastic events and environmental influences. Identifying novel genes and deciphering factors determining the clinical presentation represent further essential steps to achieve.

#### Supporting Information

The following Supporting information is available for this article:

Table S1. Primers used for direct sequencing and quantitative multiplex PCR amplification of short fluorescent fragments (QMPSF) analysis

Table S2. Deletions characterized using array-comparative genomic hybridization (array-CGH) Agilent 180K

Appendix S1. Clinical spectrum and molecular findings in a cohort of 150 patients with microphthalmia/anophthalmia.

Additional Supporting information may be found in the online version of this article.

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# Clinical spectrum and molecular findings in a cohort of 150 patients with microphthalmia/anophthalmia

## SUPPLEMENTARY NOTE:

- **Methods**
- **Clinical data for the mutated patients**

## METHODS

### - *Direct sequencing*

After PCR amplification of coding exons and exon-intron boundaries using pairs of primers derived from published sequences (Supp. Table 1), PCR fragments were sequenced using Big Dye 1.1 DNA sequencing kit (Applied Biosystems, UK) on a ABI3130XL sequencer (Applied Biosystems, UK). Missense mutations pathogenic effect was evaluated *in silico* by using Polyphen-2 (<http://genetics.bwh.harvard.edu/pph2/>) and SIFT (<http://sift.jcvi.org/>) softwares.

### - *QMPSF analysis*

Oligonucleotide primer pairs for amplifying two fragments of each of *OTX2*, *RAX*, *SOX2*, and *VSX2* genes and two control fragments of reference genes (*HFE63* and *RYR3*), were used to construct the multiplex PCR set. All primers carried a 10 nucleotides sequence extension at their 5' end and all forward primers were 5'-labelled with the 6-FAM fluorochrome. Amplicon sizes range from 115 bp to 242 bp (Supp. Table 1). DNA fragments generated by QMPSF were separated on an ABI 3130XL sequencer (Applied Biosystems, UK), and the resulting fluorescence profiles were analysed with Genemapper v3.1 software (Applied Biosystems, UK). The presence of a deletion was indicated by a two-fold reduction in the height of the corresponding peak.

### - *Array-CGH*

Seven of the eight deletions identified by QMSF analysis were subsequently analyzed by array-CGH in order to better characterize the size of these deletions. Oligonucleotide array-CGH was performed using the SurePrint G3 Human CGH Microarray Kit 180K (Agilent Technologies, Santa Clara, CA, USA). The arrays include a total of 180 880 probes, with an overall median probe spacing of 13 kb. The data were graphed and analysed using Agilent CGH Analytics software (statistical algorithm: ADM-2, sensitivity threshold: 6.1). Only gains or losses that encompassed at least three consecutive oligomers on the array were considered.

## CLINICAL DATA FOR THE MUTATED PATIENTS

### Patient 1 (SOX2 deletion), Fig 1A

A 32 year old woman born to healthy unrelated parents. She had one healthy sister. She was delivered at 40 weeks gestation with normal measurements. Bilateral anophthalmia was evident at birth. She had severe developmental delay, sat at 36 months and never walked or talked. At age 28, her height was 140 cm (-4 SD), her weight 27 kg (-4.5 SD), and her head circumference was 47 cm (-5 SD). Facial dysmorphism included coarse facies, large nares, thick lips and long and thin fingers and toes. She has had seizures and MRI scans showed agenesis of the corpus callosum, optic nerve hypoplasia, and hypoplasia of the vermis. QMPFS analysis identified a deletion of *SOX2*. CGH array showed that the deletion size was 3.8 Mb (Sup Table 2). Parental DNA was unavailable.

### Patient 2 (SOX2 deletion)

A male foetus at 30 weeks gestation. The parents were unrelated and healthy. At 24.5 weeks gestation an ultrasound scan detected left anophthalmia. MRI scan confirmed the absence of the left ocular globe. No cerebral abnormality was detected. The parents decided to terminate the pregnancy. At autopsy, moderate right microphthalmia was found in addition to the left anophthalmia. Apart from a right single palmar crease, no other abnormality was detected. Measurements were normal for the gestational age (length 39.5 cm, weight 1.310 kg, and OFC 26 cm). QMPFS analysis identified a deletion of *SOX2*. Parental studies showed that this deletion occurred *de novo*.

### Patient 3 (SOX2 deletion), Fig 1B

A 8 year old boy, born to healthy unrelated parents. He had one healthy brother and one healthy sister. He was delivered at 38 weeks gestation with normal measurements (length 48.5 cm, weight 3.460 kg, and OFC 35.5 cm). At birth, bilateral anophthalmia was evident. He had developmental delay, and walked at age 4. The IQ was estimated to be 60. At age 8, his height was 107 cm (-3.5 SD), his weight 16.2 kg (-2.5 SD), and his head circumference 50 cm (-2 SD). MRI scans showed hypoplasia of the corpus callosum and periventricular heterotopia. QMPFS analysis identified a deletion of *SOX2*. CGH array demonstrated that this patient had a 3q26 microdeletion (330 kb, Sup Table 2) involving *SOX2*.

### Patient 4 (SOX2 deletion)

A 5 year old boy, born to healthy unrelated parents. At birth, bilateral anophthalmia and micropenis were noted. He had severe developmental delay, and was unable to walk alone at age 5. He had short stature secondary to GH insufficiency. No cerebral abnormality was detected by MRI. QMPFS analysis identified a deletion of *SOX2*. CGH array showed that this patient had a small deletion (80 kb, Sup Table 2) involving only one gene, *SOX2*. Parental studies showed that this deletion occurred *de novo*.

#### Patient 5 (SOX2 deletion)

A female foetus at 30 weeks gestation. The parents were healthy and unrelated. She had one healthy sister and one healthy brother. At 22 weeks gestation an ultrasound scan showed suspected esophageal atresia. A karyotype performed on the amniotic fluid was normal (46, XX). At 30 weeks gestation, there was an intrauterine fetal death. Length, weight and OFC were respectively 43 cm (+1.5 SD), 1.342 kg (-1 SD) and 28 cm (median). Autopsy confirmed the presence of esophageal atresia, and identified left ocular involvement, hemi-uterus, and ante-positioned anus. Ocular involvement consisted of left microphthalmia with sclerocornea, retinal dysplasia and athalamia. The contralateral eye was normal, as were the cerebral structures. Weight, length and head circumference were all normal (0 SD). QMPSF analysis identified a deletion of SOX2. CGH array showed that the deletion size was 4.3 Mb (Sup Table 2). Parental studies showed that this deletion occurred *de novo*.

#### Patient 6 (SOX2, p. [Ala29Glyfs\*66];[=])

This case has already been reported (1). She presented at birth with short palpebral fissures and closed eyelids, with no palpable eyeball. CT scan and post-mortem examination confirmed bilateral anophthalmia. She had type III esophageal atresia, and a diagnosis of AEG syndrome (Anophthalmia, Esophageal atresia, Genital abnormalities, MIM (206900) was made. SOX2 molecular screening identified a heterozygous deletion (c.70\_86del) leading to a frameshift and premature termination of translation (p.Ala29Glyfs\*66). Direct sequencing of SOX2 in the parents failed to identify this mutation. During her mother's subsequent pregnancy, an ultrasound scan examination detected severe and progressive triventricular hydrocephalus. The pregnancy was terminated in the 20th week. Autopsy of the female fetus demonstrated stenosis of the aqueduct of Sylvius, associated with hypoplasia of the corpus callosum, and identified the presence of 11 rib pairs. No other malformation was identified. External ocular examination was normal, and ocular length (10 mm) corresponded to that of age-matched fetuses. Furthermore, extensive microscopic examination ruled out any subtle anomaly of the ocular structures. Molecular analysis showed that the fetus was also bearing the c.70\_86del mutation suggesting germinal mosaicism. Using semiquantitative capillary electrophoresis of fluorescent SOX2 PCR fragments encompassing the deleted region, we were able to identify the presence of somatic mosaicism, corresponding to about 3 % of the cells, in the mother (2).

#### Patient 7 (SOX2, p. [Asn33Glyfs\*66];[=])

A female foetus at 28 weeks gestation. The parents were healthy and unrelated. At 22 weeks gestation an ultrasound scan identified bilateral anophthalmia. An MRI scan at 27.5 weeks gestation confirmed the absence of the ocular globes. No cerebral abnormality was detected. The parents decided to terminate the pregnancy. Autopsy confirmed bilateral anophthalmia, with no other malformations. In particular, the neuropathology examination was normal. Measurements were within the normal range (+1 SD) for the gestational age. SOX2 molecular screening identified a

heterozygous duplication (c.86\_95dup) leading to a frameshift and premature termination of translation (p.Asn33Glyfs\*66). Parental studies showed that this mutation occurred *de novo*.

Patient 8 (SOX2, p.[Trp51Arg];[=])

A 4 years old boy born to healthy unrelated parents. His mother had two miscarriages. He was delivered at 40 weeks gestation with normal measurements (length 51cm, weight 3.360 kg and OFC 34 cm). Right anophthalmia and left colobomatous microphthalmia were noted at birth. He had developmental delay, sat at 12 months, walked at 36 months and spoke his first words at 26 months. At age 3.5, his height was 104 cm (+1.5 SD), his weight 21 kg (+3 SD), and his head circumference 50 cm (-1 SD). He had micropenis, and hypogonadism which was treated with hormone therapy. MRI scans showed hypoplasia of the corpus callosum, and posterior pituitary agenesis. SOX2 molecular screening led to the identification of a heterozygous missense mutation (p.Trp51Arg). Parental DNA was unavailable.

Patient 9 (SOX2, p.[Arg53Hisfs\*37];[=])

A male foetus at 23 weeks gestation. The parents were consanguineous and healthy . At 22 weeks gestation an ultrasound scan identified bilateral anophthalmia and ventriculomegaly. The parents decided to terminate the pregnancy. Autopsy confirmed bilateral anophthalmia. Measurements were normal for the gestational age. Neuropathologic examination identifies atresia of the aqueduct of Sylvius. No other malformations were seen. This fetus had subtle dysmorphic features with mild hypertelorism and micrognathia. SOX2 molecular screening identified a heterozygous deletion/insertion (c.158\_174delinsATG) leading to a frameshift and premature termination of the translation (p.Arg53Hisfs\*37). Parental studies showed that this mutation occurred *de novo*.

Patient 10 (SOX2, p.[His67Profs\*35];[=])

A male foetus, at 24 weeks gestation. The parents were healthy and unrelated. At 22 weeks an ultrasound scan identified bilateral anophthalmia and hypotelorism. The parents decided to terminate the pregnancy. Autopsy showed bilateral extreme microphthalmia with absent lens and retinal dysplasia. Measurements were normal for the gestational age. In addition, a bifid xiphoid process was noted. SOX2 molecular screening identified a heterozygous deletion (c.200delA) leading to a frameshift and premature termination of translation (p.His67Profs\*35). Parental studies showed that this mutation occurred *de novo*.

Patient 11 (SOX2, p.[Arg74Pro];[=]), Fig 1C

A 37 year old woman born to unrelated parents. She had one healthy sister. Ultrasound scans demonstrated bilateral extreme microphthalmia. She was delivered at 40 weeks of gestation with normal measurements (length 49 cm, weight 3.600 kg). Bilateral extreme microphthalmia was

confirmed at birth. She had developmental delay, sat at 12 months, and walked at 24 months and spoke her first words at 26 months. She had moderate intellectual impairment. At age 37, her height was 154 cm (-1.25 SD), and her weight 65 kg (+2 SD). She had hypogonadism. Cerebral MRI scans did not identify additional abnormalities. *SOX2* molecular screening allows identification of a heterozygous missense mutation (p.Arg74Pro). Screening for this mutation in her mother was negative. Her father was deceased, and was thus not studied.

Patient 12 (*SOX2*, p.[Trp79Ser];[=])

A 17 year old man born to healthy unrelated parents. He had one healthy sister and one healthy brother. He was delivered at 36 weeks of gestation with a birth weight of 2.500 kg and length of 46 cm. Bilateral anophthalmia was noted at birth. He had developmental delay, and walked at 48 months. He had moderate intellectual impairment. At age 17 his height was 164 cm (-2 SD), his weight 52 kg (-1.75 SD), and his head circumference 53.5 cm (-2.5 SD). Cerebral MRI scan did not identify additional abnormalities. He had supernumerary teeth and cleft palate. *SOX2* molecular screening identified a heterozygous missense mutation (p.Trp79Ser). Parental studies showed that this mutation occurred *de novo*.

Patient 13 (*SOX2*, p.[Leu82Cysfs\*20];[=] ), Fig 1D

A 2 year old girl born to healthy unrelated parents. Ultrasound scans showed bilateral extreme microphthalmia, and left renal pelvic dilatation. She was delivered at 41 weeks gestation with normal birth weight (3.020 kg). Bilateral extreme microphthalmia in addition to the left renal pelvic dilatation was confirmed at birth. An atrial septal defect was also noted. She suffered respiratory distress of unknown cause and had sequelae of perinatal anoxia. She had developmental delay, and was unable to sit or speak at 26 months. At age 26 months, her height was 73 cm (-4 SD), her weight 9.220 kg (-2.25 SD), and her head circumference 44 cm (-3 SD). Dysmorphic traits included microstomia, retrognathia and chin chondrofibroma. *SOX2* molecular screening identified a heterozygous deletion (c.245delT) leading to a frameshift and premature termination of translation (p.Leu82Cysfs\*20). Parental studies showed that this mutation occurred *de novo*.

Patient 14 (*SOX2*, p.[Thr85Thrfs\*17];[=])

A 8 year old boy, born to healthy unrelated parents. He was delivered at 38 weeks of gestation weighing 2.830 kg (-1SD), with a length of 45 cm (-2 SD) and an OFC of 34 cm (median). At birth, bilateral anophthalmia was noted. He had developmental delay, sat at 24 months, and walked at 7 years. At 8 years his height was 115 cm (-3 SD), his weight 18 kg (-2.5 SD), and his head circumference 49.5 cm (-2.5 SD). He had bilateral cryptorchidism. Cerebral MRI scan revealed left cerebellar hemisphere hypoplasia, and moderately enlarged lateral ventricles. *SOX2* molecular screening identified a heterozygous deletion/insertion (c.255\_256delGGinsT) leading to a frameshift

and premature termination of the translation (p.Thr85Thrfs\*17). Parental studies showed that this mutation occurred *de novo*.

Patient 15 (SOX2, p.[Glu104\*];[=])

A 11 year old boy, third son of healthy unrelated parents. At birth, he was noted to have left extreme microphthalmia and right anophthalmia associated with esophageal stenosis, and micropenis. A diagnosis of AEG syndrome was made. He had developmental delay, and at age 11 years, he was unable to walk alone or speak. He developed seizures. At age 11, his height was 127 cm (-2.5 SD), and his weight 27 kg (-1.25 SD). SOX2 molecular screening identified a heterozygous nonsense mutation (p.Glu104\*). Parental studies showed that this mutation occurred *de novo*.

Patient 16 (SOX2, p.[Tyr160\*];[=])

A male foetus of 23.5 weeks gestational age. The parents were healthy and unrelated. At 22 weeks gestation an ultrasound scan identified bilateral anophthalmia and bilateral cleft lip and palate, and the parents decided to terminate the pregnancy. The length was 650g (+1 SD), height was 33cm (+2 SD) and OFC was 22 cm (+1 SD). Autopsy confirmed these abnormalities, and did not identify other malformations. Of note, the neuropathology examination was normal. SOX2 molecular screening identified a heterozygous 4 nucleotides duplication (c.70\_86del) leading to a frameshift and premature termination of translation (p.Ala29Glyfs\*66). Parental DNA was unavailable.

Patient 17 (SOX2, p.[Tyr171\*];[=])

A 20 year old woman born to healthy unrelated parents. She had one healthy brother. She was delivered at 39 weeks of gestation weighing 2.900 kg (-0.5 SD), with a length of 48 cm (-0.5 SD) and OFC at 32 cm (-1 SD). At birth, bilateral anophthalmia was noted. She had developmental delay, walked at 6 years and had language delay. At age 20, her height was 155 cm (-1.5 SD) with a weight of 40 kg (-2 SD). She had hypogonadism and GH deficiency. MRI scans showed hypoplasia of the vermis and corpus callosum. SOX2 molecular screening identified a heterozygous nonsense mutation (p.Tyr171\*). Parental studies showed that this mutation occurred *de novo*.

Patient 18 (SOX2, p.[Tyr200Serfs\*2];[=]), Fig 1E

A 28 year old woman born to healthy unrelated parents. She had one healthy sister. She was delivered at 40 weeks of gestation with normal measurements (length 50 cm, weight 3.770 kg, and OFC 35.5 cm). Right microphthalmia and left anophthalmia were noted at birth. She had developmental delay, sat at 11 months walked at 30 months and spoke her first words at 3.5 years. She had severe intellectual deficiency. At age 28, her height was 158 cm (-0.75 SD), her weight 62 kg (+1.5 SD), and her OFC 55.5 cm (median). She had hypogonadism and GH deficiency. Dysmorphic traits included narrow auditory canals, upper labial frenulum, tapering fingers, pes planus. The MRI

was normal. *SOX2* molecular screening identified a heterozygous deletion (c.599delA) leading to a frameshift and premature termination of the translation (p.Tyr200Serfs\*2). Parental studies showed that this mutation occurred *de novo*.

#### Patient 19 (*OTX2* deletion)

A male foetus at 33 weeks of gestation. The parents were healthy and unrelated. At 22 weeks of gestation an ultrasound scan identified bilateral anophthalmia and mild ventricular dilatation. An MRI scan confirmed the bilateral anophthalmia and the ventriculomegaly, and identified hypoplasia of the vermis and temporal pachygyria. The parents decided to terminate the pregnancy. Autopsy showed bilateral anophthalmia with absence of optic nerves and chisama. Histological analysis of the brain showed the presence of temporal cortical dysplasia, and vermian neuronal heterotopia. Measurements were normal for the gestational age (length 45 cm, weight 1.800 kg, and OFC 30 cm). No other abnormality was found. QMPFS analysis identified a deletion of *OTX2*. CGH array showed that the deletion size was 7.7 Mb (Sup Table 2). Parental DNA was unavailable.

#### Patient 20 (*OTX2* deletion)

This patient and his family have previously been reported (Patient 3, Family C, (3)). 8 patients shared the same 2.3 Mb deletion encompassing the *OTX2* gene (Sup. Table 2). There is wide intrafamilial phenotypic variability and patients have various combinations of colobomatous microphthalmia, palate anomalies, facial dysmorphism, renal malformation, microcephaly, and intellectual disability (some have low-normal intelligence), and speech problems sometimes associated with strabismus or nystagmus. One was asymptomatic (3).

#### Patient 21 (*OTX2*, p[.Arg97\*];[=])

A male foetus at 23.5 weeks gestation. The parents were healthy and unrelated. At 22 weeks of gestation an ultrasound scan identified isolated unilateral anophthalmia. Measurements were normal for the gestational age. *OTX2* molecular screening identified a heterozygous nonsense mutation (p.Arg97\*). Parental DNA was unavailable.

#### Patient 22 (*OTX2*, p[.Arg97\*];[=])

This 31 year old woman had severe unilateral microphthalmia. Ocular involvement was isolated, without additional abnormality or intellectual impairment. Her father and her paternal grandmother were also affected by isolated unilateral microphthalmia. *OTX2* molecular screening identified a heterozygous nonsense mutation (p.Arg97\*) inherited from the symptomatic father. She had two pregnancies interrupted, one in which the foetus had bilateral severe microphthalmia, and the other in which the foetus displayed otocephaly-dysgnathia complex (4). Both fetuses shared the *OTX2* p.Arg97\* heterozygous mutation.

Patient 23 (OTX2, p.[Gln106Asnfs\*11];[=]), Fig 1F-G

This patient and his family have been reported previously (Patient IV-7, Family A, (5)). In family A, 17 members displayed micro/anophthalmia segregating with autosomal dominant inheritance and sometimes associated with a variable degree of intellectual disability (moderate to severe), three patients were diagnosed as otocephalic, and one patient displayed clinical features overlapping both micro/anophthalmia and otocephaly, which we consider to be an intermediate phenotype. *OTX2* molecular screening identified a heterozygous deletion (c.316delC) leading to a frameshift and premature termination of translation (p.Gln106Asnfs\*11). All affected members screened shared this mutation.

Patient 24 (RAX, p.[Tyr160His];[Arg188Gln]), Fig 1H

A 4 year old boy born to healthy unrelated parents. He was delivered at 40 weeks of gestation with normal measurements (length 50 cm, weight 3.060 kg, and OFC 34 cm). Bilateral extreme microphthalmia was noted at birth. He had developmental delay, sat at 8 months, and was unable to walk at 4 years. He had speech delay. At age 4, his height was 97.5 cm (-1 SD), his weight 15 kg (-0.5 SD), and his OFC 51.5 cm (+0.5 SD). *RAX* molecular screening identified two heterozygous missense mutations (p.Tyr160His and p.Arg188Gln). Parental studies confirmed the biparental transmission of the mutations.

Patient 25 (RAX, p.[Arg187Gln];[Arg187Gln])

A 18 month old boy born to healthy consanguineous parents. He had bilateral extreme microphthalmia. He had developmental delay, sat at 16 months, and was unable to walk at 18 months. He had severe constipation and a polyuria-polydipsia syndrome. At age 18 months, his height was 80 cm (0 SD), and his weight 12 kg (+1 SD). *RAX* molecular screening identified a homozygous missense mutation (p.Arg187Gln). Parental studies confirmed the biparental transmission of the mutations.

Patient 26 (RAX, p.[Ser222Argfs\*62];[Tyr303\*])

This patient has previously been reported (6). She is a 2 year old girl, the third child of non-consanguineous healthy parents. Delivery occurred at 41 weeks of amenorrhea with a birth weight of 3.200 kg. At birth, bilateral small palpebral features were noted without other malformation or dysmorphic features. Anophthalmia was subsequently confirmed. Psychomotor development was within the normal range with head held up at three months, sitting at ten months, walking at 1 year. Speech developed normally. Slight growth retardation was recorded at 14 months, with weight at -0.5 SD (9.020 kg), height at -1 SD (72 cm) and head circumference at -2 SD (44 cm). Orbital and

cranial MRI scan showed bilateral absence of the eyes with only fibrous tissue in the orbits. No cerebral malformation was observed. *RAX* molecular screening identified two heterozygous mutations (p.Ser222Argfs\*62 and p.Tyr303\*). Parental DNA was unavailable, but we were able to demonstrate that these two mutations were each located on a different allele.(6)

Patient 27 (*RAX*, p.[Ser222\*];[*RAX* deletion] ), Fig 1I

A female foetus at 26.5 weeks gestation. The parents were healthy and unrelated. At 22 weeks of gestation an ultrasound scan identified bilateral anophthalmia; this malformation was subsequently confirmed by MRI scan. No cerebral abnormality was detected. The parents decided to terminate the pregnancy. Autopsy confirmed bilateral anophthalmia, and did not find other malformations. In particular, the neuropathology examination was normal. Measurements were normal for the gestational age. *RAX* molecular screening identified an apparently homozygous nonsense mutation (p.Ser222\*). Parental studies failed to identify the nonsense mutation. A microsatellite marker segregation study ruled out a sampling error. QMPSF analysis identified a heterozygous deletion of *RAX*. CGH array showed that the deletion size was 1.7 Mb (Sup Table 2). This deletion was inherited from the asymptomatic mother. These results demonstrated that patient 27 was compound heterozygous for a large deletion encompassing *RAX*, inherited from the mother, and a *de novo* nonsense mutation on the paternal allele.

Patient 28 (*FOXE3*, p.[Ala230Argfs\*2];[Ala230Argfs\*2])

A 7 year old girl born to healthy unrelated parents. She was delivered at 40 weeks gestation with normal measurements. Bilateral microphthalmia with sclerocornea was noted at birth. At age 7, her height was 36 cm (+3 SD), her weight 29 kg (+3 SD), and her OFC 52 cm (+0.5 SD). She sat at 7 months, walked at 17 months, and had severe speech delay associated with autistic features. She has one affected cousin born to consanguineous parents. *FOXE3* molecular screening identified a homozygous frameshift mutation (p.Ala230Argfs\*2).

Patient 29 (*FOXE3*, p.[Cys240\*];[Cys240\*]), Fig 1J

A 23 year old woman born to healthy consanguineous parents. She had one affected sister and one healthy brother. She was delivered at 39 weeks gestation with a birth weight of 2.500 kg (-2 SD). At three weeks of age, her length was 47.5 cm (-2.5 SD) and her OFC 34 cm (-1.5 SD). She had bilateral microphthalmia with sclerocornea. She had normal motor development and no intellectual deficiency. At age 23, her height was 152 cm (-2 SD), her weight 69 kg (+2.5 SD), and her OFC 55.5 cm (median). She had polycystic ovarian syndrome. The MRI showed hypoplastic optic nerves and chiasma, without additional brain abnormalities. She had one affected sister aged 28 years, who had bilateral microphthalmia, seizures, autistic features, and severe intellectual deficiency. *FOXE3* molecular screening identified a homozygous mutation (p.Cys240\*). DNA from the affected sister and the parents was not available.

Patient 30 (PAX6, p.[Arg19Pro];[=])

This family has previously been reported (7). The index case suffered from bilateral microphthalmia and sclerocornea, while her sister had a left microphthalmia and sclerocornea and right partial iris hypoplasia. Both sisters shared the same *PAX6* missense mutation (p.[Arg19Pro]).

Patient 31 (VSX2, p.[Gly24Glyfs\*102];[Gly223Arg])

A 9 month old girl born to healthy consanguineous parents. She had isolated bilateral extreme microphthalmia without associated malformations. *VSX2* molecular screening identified two heterozygous mutations (p.Ala25Argfs\*101 and p.Gly223Arg). Parental studies confirmed the biparental transmission of the mutations.

Patient 32 (GDF6, p.[Pro327His];[=])

A 4.5 year old boy born to healthy unrelated parents. He was delivered at 40 weeks gestation with normal measurements (length 51 cm, weight 3.910 kg, and OFC 34 cm). Unilateral colobomatous microphthalmia was noted at birth. He had normal psychomotor development: he walked at 13 months and spoke his first words at 13 months. At age 4.5, his height was 110 cm (+0.75 SD), his weight 18.5 kg (+0.5 SD), and his OFC 49.5 cm (-1.5 SD). There were no dysmorphic features. Heart, kidneys and vertebrae were normal. *GDF6* molecular screening identified a heterozygous missense mutation (p. Pro327His) inherited from his asymptomatic father.

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**Supplementary Table 1: Primers used for direct sequencing and QMP5F analysis**

| <b>SEQUENCING</b> |                     |                           |                          |             |
|-------------------|---------------------|---------------------------|--------------------------|-------------|
| Gene              | Exon                | ForwardPrimer             | ReversePrimer            | Length (bp) |
| <i>GDF6</i>       | 1                   | GCCGGCCCCGGCGGTGTCCA      | GTAGCCTCCAGCGGAAC        | 574         |
|                   | 2a                  | GAAGAGACACGGGGCTGAT       | GATAATCCAGTCGTCCCAGC     | 776         |
|                   | 2b                  | CGCCGCCCCCGGACCTGCGGAGTCT | GCAGGTAGAAAGTTACTGGG     | 791         |
| <i>FOXE3</i>      | 1a                  | TGTCCATATAAAGCGGGTCCG     | TCGTTGAGCGTGAGATTGTG     | 448         |
|                   | 1b                  | CCATCTACCGCTTCATCACC      | GGGGACCTGCGAGTAGAGT      | 512         |
|                   | 1c                  | TCTGTTACGGCTCGACAGC       | ACAGGTCCACAGGTGCCT       | 352         |
| <i>OTX2</i>       | 1                   | TTTAAAAGCCTCTGCCTCG       | GAACAGGGTGTTCATCC        | 408         |
|                   | 2                   | GAGAGCATTTGGTAGGCTCC      | TCTCCACAGTCCCATACTCG     | 370         |
|                   | 3a                  | GAGCCATTCTTGTCCTTAAGG     | GAAGCTGGTGATGCATAG       | 450         |
|                   | 3b                  | CCACTGTCAGATCCCTTGT       | AATGCCTGGCTAAAACCTGG     | 469         |
| <i>PAX6</i>       | 4                   | GTTGGGAGTTCAGGCCTACC      | GAAGTCCCAGAAAAGACCAGA    | 164         |
|                   | 5                   | CTCTTCTTCCCTTTCACCTCTG    | AGAGGGCGTTGAGAGTGG       | 257         |
|                   | 6                   | TGAAAAGTATCATCATATTTGTAG  | GGAAAGTGGACAGAAAACCA     | 200         |
|                   | 7                   | GTGGTTTTCTGCCACTTCC       | AGGAAAGTGGACAGAAAACCA    | 300         |
|                   | 8                   | CAGGAGACACTACCAATTGG      | ATGCACATATGGAGAGCTGC     | 251         |
|                   | 9                   | GGGAATGTTTTGGTGAGGCT      | CAAAGGGCCCTGGCTAAATT     | 380         |
|                   | 10                  | GTAGTTCTGGCACAATAATGG     | GTACTCTGTACAAGCACCTC     | 216         |
|                   | 11                  | CGTAGACACAGTGTAAACCTG     | GCAAAACAGGTTTAA          | 253         |
|                   | 12                  | TTAAACCTGTTTGCTCCGGG      | TTATGCAGGCCACCACCAG      | 218         |
|                   | 13                  | GCTGTGGCTGTGTGATGTGTTCTCA | TGCAGCCTGCAGAAAAGCAGTG   | 237         |
| 14                | CATGCTGTTTCTCAAAGGG | GAACAAATTAACTTTTGCTGGCC   | 202                      |             |
| <i>RAX</i>        | 1                   | GCCTCTCCTCTCCGTCTCC       | GGGCGCCCGAAACGGCCTC      | 380         |
|                   | 2                   | GGAGTGCATCTGACCCCTCC      | GACACCCGTGAATCCGAGAAGC   | 351         |
|                   | 3a                  | GAGCTGAACCGGCTCAGG        | GGCGGTGGCGGTAGCTG        | 355         |
|                   | 3b                  | GCTGGAGTCTGGCTC           | GGATCCCAAGACGTTCCCC      | 348         |
| <i>SOX2</i>       | 1a                  | AGTCCCGCCGGGCCGAG         | GGTAGCCCCAGCTGGTCTCTG    | 565         |
|                   | 1b                  | GGCGTGAACCAAGCGCATGG      | TGAGCGTACCGGGTTTTCTCC    | 518         |
| <i>ISX2</i>       | 1                   | GGCACCTGGGACCAACTTCGC     | TCTGGTCCAGCAAGCGAGAG     | 548         |
|                   | 2                   | GTTTTCCGCACAGCGGAGCGC     | GACCTCAGATCCCGTTGTCGGCG  | 255         |
|                   | 3                   | GTTCTGTCTTGTGTGAGACAGG    | AACCCCTGGAGTGGTAGATGTCAC | 260         |
|                   | 4                   | GAATCTTCACTCCAAGCCTACAAG  | AGCCCGCTCTCTCTCACCCGACG  | 318         |

|              | 5    | GGGAGTAAGGCTTCTGTCTCGTC                    | AGAGTCTGGGGCTCCGGCATCTGA               | 480    |                                       |
|--------------|------|--|--|--------|---------------------------------------|
| <b>QMPSF</b> |      |  |  |        |                                       |
| Gene         | Exon | ForwardPrimer                              | ReversePrimer                          | Length | Primer Final Concentration [ $\mu$ M] |
| <i>OTX2</i>  | 2    | CGTTAGATAGGAAGCACTGTTGCCAAGACCC            | GATAGGGTTATGCCCTACCTGCACCCCTCGA        | 115    | 0.25                                  |
| <i>OTX2</i>  | 3    | CGTTAGATAGAGACATCTCCAGCTCGGGAAGTGAGT       | GATAGGGTTACATGCAGGAAGAGGAGGTGGACAAGG   | 191    | 0.3                                   |
| <i>RAX</i>   | 1    | CGTTAGATAGCACCCGCCACTCGGGAAGA              | GATAGGGTTATGGCCCTCGATGCTGTGAAAGTCGC    | 206    | 0.2                                   |
| <i>RAX</i>   | 3    | CGTTAGATAGCGCCTCCGCCCTTCTGAACTC            | GATAGGGTTACTTGGCTTTCAGACGCAGGCCGCG     | 180    | 0.25                                  |
| <i>SOX2</i>  | 1    | CGTTAGATAGTAGTTTGTGCTGCCTCTTTAAGACTAGGACTG | GATAGGGTTACGAGGAAAAATCAGGCCGAAATAATTTG | 242    | 1.2                                   |
| <i>SOX2</i>  | 1    | CGTTAGATAGACCCATGCACCGTAGGACG              | GATAGGGTTACGGACTTGACCACCCGAACCCAT      | 174    | 0.25                                  |
| <i>ISX2</i>  | 1    | CGTTAGATAGGAACACAGGGAGATGACGGGGAAGCA       | GATAGGGTTATCCTGGATGCCGAACCCAGTGCA      | 133    | 0.2                                   |
| <i>ISX2</i>  | 3    | CGTTAGATAGAGACAGGCTCTTTTAGTTTCTGGGGTCC     | GATAGGGTTATCTTCCGGCAGCTCCGTTTTTCATG    | 187    | 0.3                                   |
| <i>HFE</i>   | 2    | CGTTAGATAGGATCACATGTTAAGGCCTGTTGC          | GATAGGGTTATAGCCACATCTGGCTTGAATTT       | 233    | 0.4                                   |
| <i>RYS3</i>  | 4    | CGTTAGATAGATCCCAGACAGACAAACTTGCC           | GATAGGGTTAGAGTAACGCATTCCAGGGTCCCTT     | 155    | 0.2                                   |

QMPSF PCR were performed in 25  $\mu$ l with 0.2 mmol/L of each deoxynucleoside triphosphate, 1.5 mmol/L MgCl<sub>2</sub>, 1.5 Units of DNA polymerase with its buffer (Abgene, Epsom, UK), 5 % DMSO, 5mM TEAA, 100 ng of DNA, and 0.2 to 1.2  $\mu$ M of each primers. After an initial step of denaturation at 95°C for 3 minutes, 25 cycles were performed consisting of denaturation at 95 °C for 15 seconds, annealing at 51°C for 20 seconds, and extension at 72°C for 20 seconds, followed by a final extension step at 72 °C for 7 minutes.

| <i>Patient</i> | <i>Major gene involved</i> | <i>size (Mb)</i> | <i>ISCN description*</i>                 | <i>DECIPHER patient</i> |
|----------------|----------------------------|------------------|--|-------------------------|
| P4             | SOX2                       | 0.08             | 3q26.33q26.33(182,877,971-182,958,506)x1 | PAR256662               |
| P3             | SOX2                       | 0.33             | 3q26.33q26.33(182,775,402-183,101,622)x1 | PAR254663               |
| P1             | SOX2                       | 3.8              | 3q26.33q27.1(180,960,157-184,754,546)x1  | PAR254638               |
| P5             | SOX2                       | 4.3              | 3q26.33q27.1(181,012,354-185,302,035)x1  | PAR256663               |
| P20            | OTX2                       | 2.3              | 14q22.2q23.1(54,277,920-56,570,089)x1    | PAR256664               |
| P19            | OTX2                       | 7.7              | 14q22.2q23.1(52,820,533-60,588,720)x1    | PAR256665               |
| P27            | RAX                        | 1.7              | 18q21.32q21.32(54,776,469-56,521,121)x1  | PAR256666               |

ISCN, International System for Human Cytogenetic Nomenclature (2009); \*NCBI (National Center for Biotechnology Information) build hg18

## Supplementary Table 2 : Deletions characterized using array-CGH Agilent 180K



## ARTICLE 2

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### Confirmation of *RAX* gene involvement in human anophthalmia

*Clinical Genetics*

2008-74(4): 392-5

L. Lequeux, M. Rio, A. Vigouroux, M. Titeux, H. Etchevers, F. Malecaze, **N. Chassaing** and P. Calvas

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En 2004, Voronina et al. avaient identifié par une approche gène candidats le premier patient AM lié à des mutations du gène *RAX* (retina and anterior neural fold homeobox)<sup>57</sup>.

Nous avons rapporté dans cet article le deuxième cas d'atteinte oculaire secondaire aux mutations du gène *RAX*. Il s'agissait d'une patiente atteinte d'AM (anophtalmie gauche et microphthalmie avec sclérocornée à droite) chez qui deux mutations délétères (c.664delT [p.Ser222Argfs\*62] et c.909C>G [p.Tyr303\*]) du gène *RAX* ont été retrouvées. Il n'a pas été possible d'étudier la ségrégation familiale de ces mutations, mais ces deux mutations étant situées dans le même exon, un clonage de cet exon nous a permis de confirmer que ces mutations étaient situées sur un allèle différent (en *trans*).

Il s'agit donc dans cet article de la confirmation de l'implication du gène *RAX* dans l'AM. Sur 7 familles avec une atteinte de ce gène décrites dans la littérature, 4 sont issues de notre cohorte (cf. Article 1).



## Short Report

# Confirmation of *RAX* gene involvement in human anophthalmia

Lequeux L, Rio M, Vigouroux A, Titeux M, Etchevers H, Malecaze F, Chassaing N, Calvas P. Confirmation of *RAX* gene involvement in human anophthalmia.

Clin Genet 2008; 74: 392–395. © Blackwell Munksgaard, 2008

Microphthalmia and anophthalmia are at the severe end of the spectrum of abnormalities in ocular development. Mutations in several genes have been involved in syndromic and non-syndromic anophthalmia.

Previously, *RAX* recessive mutations were implicated in a single patient with right anophthalmia, left microphthalmia and sclerocornea. In this study, we report the findings of novel compound heterozygous *RAX* mutations in a child with bilateral anophthalmia. Both mutations are located in exon 3. c.664delT is a frameshifting deletion predicted to introduce a premature stop codon (p.Ser222ArgfsX62), and c.909C>G is a nonsense mutation with similar consequences (p.Tyr303X). This is the second report of a patient with anophthalmia caused by *RAX* mutations. These findings confirm that *RAX* plays a major role in the early stages of eye development and is involved in human anophthalmia.

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Key words: anophthalmia – microphthalmia – OAR transactivation domain – *RAX*

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Microphthalmia and anophthalmia are at the severe end of the spectrum of abnormalities in ocular development. The combined occurrence rate for these two malformations is 1/10,000 births (1, 2). Mutations in several genes have been isolated in syndromic and non-syndromic anophthalmia. Heterozygous mutations in *SOX2* account for approximately 10% of anophthalmia (3, 4). Other genes have been identified as causing anophthalmia or extreme microphthalmia in humans (*PAX6*, *OTX2*, *CHX10*, *STRA6*, and *BMP4*) (5, 6). These latter genes are implicated in a very small proportion of affected individuals, implying wide genetic heterogeneity to match the phenotypic variability.

The *RAX* homeobox gene is essential for vertebrate eye development. *RAX* transcription begins

in the anterior neural plate and then simultaneously in the eye field and in the ventral forebrain (7). Even before *PAX6*, its expression is critical to defining the eye field during early development in animal models (8). The lack of *RAX* expression hampers optic vesicle formation and leads to brain size reduction in mouse, while ectopic expression induces the appearance and proliferation of retinal pigment epithelium cells in *Xenopus* (9). The function of the *RAX* gene in eye development is yet not fully understood, but there is additional evidence from animal studies that it is involved in the proliferation of neural and retinal cells (10). In humans, the role of *RAX* in eye formation is clearly supported by the association of anophthalmia and sclerocornea in a patient bearing

a truncating mutation and a missense mutation, both located in the DNA-binding helix of the homeodomain and reducing the DNA-binding ability of the resulting protein (11). We report in this study the case of a new patient with bilateral anophthalmia associated with two distinct and novel truncating mutations of the *RAX* gene.

### Patient, materials and methods

#### Patient

The proband, a 2-year-old girl, is the third child born to non-consanguineous, healthy Algerian parents. There was no relevant familial history of ocular malformation or remarkable disease. The pregnancy was uneventful, and the prenatal ultrasonography was not suggestive of anomaly. Delivery occurred at 41 weeks of amenorrhea without neonatal difficulties. Birth weight was 3200 g. At birth, bilateral small palpebral fissures were noted without other malformation or dysmorphic features. Anophthalmia was subsequently confirmed. Psychomotor development was within the normal range with head held up at 3 months, sitting at 10 months, and walking at 1 year. Speech developed normally. A slight growth defect was recorded at 14 months, with weight at  $-0.5$  standard deviation (DS) (9020 g), height at  $-1$  DS (72 cm) and head circumference at  $-2$  DS (44 cm). Abdominal and pelvic ultrasonography detected no visceral anomalies. Orbital and cranial magnetic resonance imaging scan showed bilateral absence of eyes with only fibrous tissue in the orbits (Fig. 1). Optic nerves and chiasma were hypoplastic. Extraocular muscles appeared to be relatively preserved. The hypothalamus and pituitary gland were normal. No cerebral malformation was observed.

#### Molecular analysis

Parents gave their informed consent, according to French law, to participate in this study. DNA was isolated by standard procedures from peripheral white blood cells of the proband. Routine examination ruled out rearrangements or point mutations of *SOX2* and *PAX6* genes. The three *RAX* exons, with exon-intron borders, were amplified by polymerase chain reaction (PCR) using previously published primers (11). PCR fragments were subsequently purified with QIAquick Gel Extraction Kit (QIAGEN SA, Courtaboeuf, France), and both strands were sequenced using Big Dye DNA sequencing kit (Applied Biosystems, Warrington, UK). Reactions were analyzed in an ABI 3100 sequencer (Applied Biosystems).

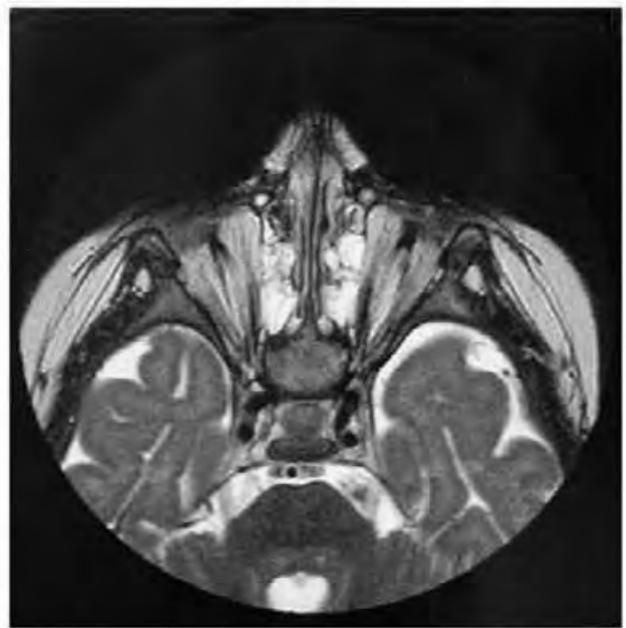


Fig. 1. Magnetic resonance imaging scan of the proband. Note absence of ocular structures replaced by fibrous tissue.

Sequence variations were numbered considering adenine of the ATG initiation codon as the first nucleotide (GenBank accession no. NM\_013435.2). The changes were verified by performing independent PCR and sequencing reactions on the proband's DNA.

Exon 3 of the *RAX* gene was PCR amplified from the patient's DNA as above (11). The resulting 602-bp fragments were cloned into the pGEM-T vector (Promega, Charbonnières, France). JM109 competent cells were transformed and grown on Luria-Bertani agar plates. DNAs from 10 expanded LacZ-deficient clones were extracted using Promega Wizard miniprep purification system. Further sequencing was performed using the ABI-Big Dye terminator 3.1 on an ABI 3100 sequencer (Applied Biosystems).

### Results

Sequence analysis of the proband's DNA revealed two novel mutations, both located in exon 3 of the *RAX* gene. c.664delT frameshifting deletion generates a premature stop codon (p.Ser222-ArgfsX62). c.909C>G is a nonsense mutation changing a tyrosine at position 303 to a stop codon (p.Tyr303X). These mutations were not found in a panel of 96 control chromosomes. Both are predicted to lead to a truncated protein so that, if not submitted to nonsense-mediated mRNA decay, the predicted *RAX* proteins lack the putative otp, aristaless, rax (OAR) transactivation domain and are non-functional (7).

As this family left the country, DNA from the proband's parents was unavailable, and thus, segregation analysis of these two mutations was impossible. Nevertheless, the c.664delT and the c.909C>G mutations were shown to lie in *trans* after sequencing of the cloned products of the patient's *RAX* exon 3 (Fig. 2).

**Discussion**

This is the second report of human anophthalmia-associated mutations of the *RAX* homeobox gene (11). While the parents were not carefully examined, they did not complain of any visual impairment at the time their child was evaluated. The proband was demonstrated to bear composite heterozygous mutations on both alleles of the *RAX* gene. The parents are thus likely to each be healthy carriers of a heterozygous mutation, unless one of these mutations appeared *de novo*. This would confirm the recessive inheritance of *RAX* mutations in ocular dysgenesis.

The phenotype, reported in this study, consisting in bilateral and symmetric anophthalmia is more severe than the one previously described. This first patient had right anophthalmia and left microphthalmia and sclerocornea (11). One of the causative mutations (p.Gln147X) induced, as predicted for the two mutations reported in this study, a truncation of the protein. The other was a missense p.Arg192Gln, with a milder effect on the protein, which conserved a low activity. This could suggest that the observed phenotypic vari-

ability be correlated with the mutation severity. However, definite conclusions cannot be drawn in view of the limited number of observations.

In animal models, all truncating mutations have been reported to have severe effects and lead to the absence of eye development (9, 12, 13). In contrast, antisense or morpholino inhibition in *Xenopus* acts in a dose-dependant manner, leading to graduated phenotypes ranging from eye reduction to anophthalmia (14). In this report, the location of the mutations in the last exon makes nonsense-mediated mRNA decay unlikely (15). This is in accordance with the observation that, in the cellular model used by Voronina et al (11), the more proximal p.Gln147X mutation allowed translation of a large amount of protein. These facts suggest that the two mutations we report in this study lead to truncated proteins, both lacking the C-terminal part containing the critical OAR functional domain (7). Absence of *RAX* C-terminus is known to abolish its proliferative effect in *Xenopus* (14). Furthermore, regulation of transcriptional activity of several other homeobox genes by the OAR domain has been suggested in other studies (7, 16, 17). Thus, p.Ser222ArgfsX62 and p.Tyr303X are thought to drastically impair *RAX* target genes expression. The precise delineation of the mechanistic effects of these mutations must therefore await binding studies, and an important goal for future research will be the identification of the putative genes that can modulate *RAX* activity through direct interaction.

To date, no cerebral malformation has been associated with *RAX* mutations in man. This is

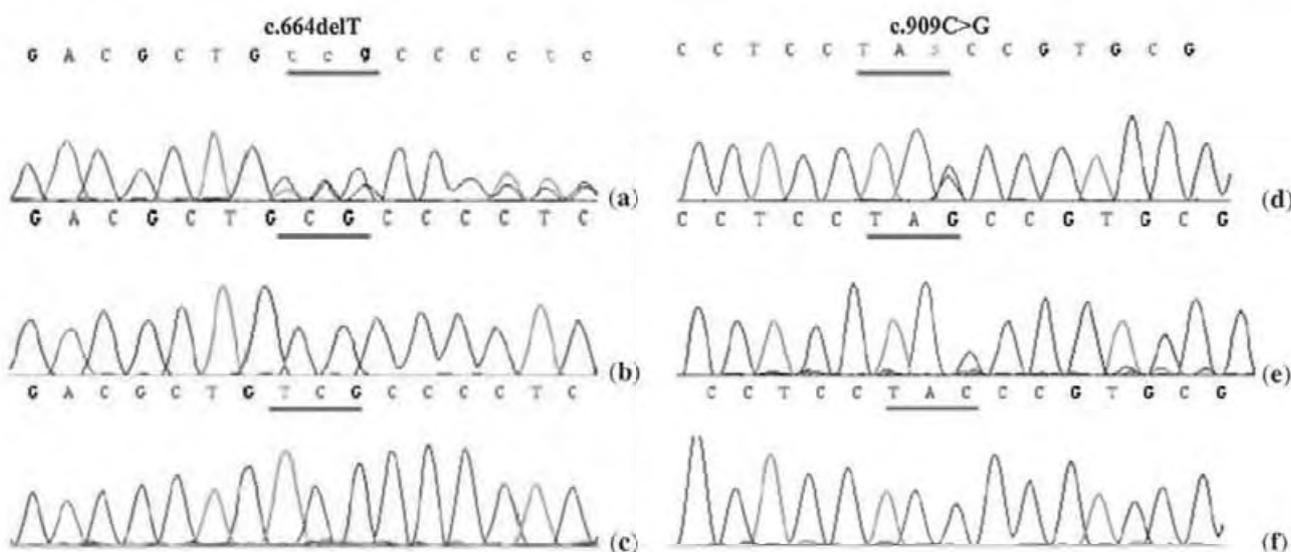


Fig. 2. Electropherograms showing the two mutations on *RAX* exon 3 (a and d) in comparison with wild-type sequence (c and f). Sequencing of cloned patient's exon 3 amplimers in a pGEM-T vector (b and e) demonstrated that mutations were not located on the same alleles. Mutated codons are underlined.

surprising in the light of the observations in insect, batracian, fish and rodent models, where *RAX* consistently participates in brain development and homozygous null alleles cause severe cerebral malformations (9, 14, 18, 19). A similar situation is seen, however, with respect to the *Hesx1* homeobox-containing transcription factor, which in mice has a similar early role and an overlapping domain to that of *Rax* but is downstream of *Pax6* and *Otx2* (20) and *Rax* itself (21). While *Hesx1* mouse mutants can demonstrate anophthalmia in addition to cerebral anomalies, human patients have either isolated pituitary malformations or septo-ocular dysplasia, with no further retinal involvement (22). In a complementary fashion and unlike *SOX2* or *OTX2* mutations, no extra-ocular malformations have been observed in *RAX* ocular dysgenesis patients. The patient reported previously by Voronina et al. (11) was diagnosed as autistic. The patient reported in this study seems to have normal psychomotor development, although she is too young to exclude the possibility of developmental delay and/or autistic features. Thus, *RAX* phenotypic spectrum is still unclear, and due to the limited number of cases reported so far, the existence of *RAX* involvement in syndromic forms of anophthalmia cannot be excluded.

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## ARTICLE 3

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### Novel *B3GALTL* mutation in Peters-plus Syndrome

*Clinical Genetics*

2009-76(5): 490-2

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L'anomalie de Peters est une anomalie du développement embryonnaire du segment antérieur de l'œil liée à une absence de développement de la membrane de Descemet et de l'endothélium au centre de la cornée. Elle se caractérise par une opacité cornéenne centrale ou complète, des synéchies irido-cornéenne (adhérences de l'iris et de la cornée), et un glaucome. Cette anomalie oculaire peut être isolée ou être intégrée dans des formes syndromiques. Le syndrome de Peters-plus associe à l'anomalie de Peters un retard statural, une brachydactylie, et de façon moins constante, une déficience intellectuelle, une cardiopathie, une fente labiale ou labio-palatine, des anomalies génitales et quelques critères faciaux mineurs. Des mutations du gène *B3GALTL* ( $\beta$ 1, 3-galactosyltransferase-like) sont identifiées chez des patients avec syndrome de Peters-plus "classique" (associant les signes cardinaux du syndrome [anomalie de la chambre antérieure, retard statural, brachydactylie]) et ne sont pas retrouvées dans les formes incomplètes.

La première description de mutations de ce gène date de 2006 sur un modèle monogénique avec homogénéité allélique<sup>164</sup>. Nous avons dans notre étude inclus quatre patients : deux avec un syndrome de Peters-plus "classique" et deux avec une atteinte atypique. Nous avons retrouvés des mutations chez les deux patients "classiques" et non dans les formes atypiques, comme précédemment rapporté. Nous avons démontré une hétérogénéité allélique plus importante que décrite initialement et rapporté la première mutation faux-sens délétère du gène *B3GALTL*.



## Letter to the Editor

# Novel *B3GALTL* mutation in Peters-plus Syndrome

### To the Editor:

Peters-plus syndrome (MIM#261540) is a rare autosomal recessive genetic disorder including ocular features, systemic malformations, and variable degree of developmental delay. Ocular malformations concern the anterior chamber (98% of patients) and are mainly represented by Peters' anomaly (73%) (1), which corresponds to corneal clouding and variable degrees of iridolenticulo-corneal adhesions. Other features include short stature, broad extremities, cleft lip/palate, cardiac and genito-urinary malformations (1, 2). There is also a common facial dysmorphism comprising a round face, broad neck, thin and cupid bow upper lip, long philtrum, hypertelorism, short palpebral fissures, variable external ear anomalies and prominent forehead (1).

In 2006, Lesnik Oberstein et al. identified the  $\beta$ 1, 3-galactosyltransferase-like gene (*B3GALTL*) as the gene involved in Peters-plus syndrome (3). They found three different *B3GALTL* mutations with one, c.660+1G>A, representing 90% of the mutated alleles. These authors concluded that Peters-plus syndrome was thus a monogenic, primarily single-mutation syndrome. Reis et al. confirmed the role of *B3GALTL* in Peters-plus syndrome and identified two new mutations bringing the number of reported *B3GALTL* mutations to five (2). Further studies clearly established that *B3GALTL* mutations lead to a congenital disorder of glycosylation (4, 5).

In this study, we screened two additional patients (patients 1 and 2) with clinically defined Peters-plus syndrome for *B3GALTL* mutation. Their clinical features are summarized in Table 1, and craniofacial and extremities findings are illustrated in Fig. 1. We also included two patients with Peters' anomaly and psychomotor delay but who did not meet other Peters-plus syndrome criteria.

Two *B3GALTL* mutations were identified in the typical Peters-plus patients. Patient 1, originating from Sri Lanka, was compound heterozygous for the recurrent c.660+1G>A mutation and a novel missense mutation c.1178G>A (p.Gly393Glu) in exon 13 (Fig. 2a). This mutation was absent from

a panel of 100 chromosomes from Caucasian controls, and predicted *in silico* to be probably damaging by Polyphen software (<http://genetics.bwh.harvard.edu/pph/>). This substituted glycine, located in the putative catalytic domain, has been shown to be one of the 12 most conserved amino acids during evolution of this protein orthologues (Fig. 2b), and to be located in one of the five most conserved functional domains of the enzyme (6). This is the first *B3GALTL* missense mutation to be involved in Peters-plus syndrome. Patient 2 was homozygous for the recently published c.459+1G>A splice site mutation. Parents of patients 1 and 2 were each shown to be heterozygous for one of the two mutations identified in their child. No causative mutations in *B3GALTL* were identified in patients who had Peters' anomaly and psychomotor delay but without additional features of Peters-plus syndrome, confirming previous published data (2).

Clinical presentation of patients published to date with *B3GALTL* mutations is summarized in Table 1. This table clearly shows that the major criteria of the Peters-plus syndrome, essentially always present, are anterior chamber anomalies (mainly Peters' anomaly), growth retardation, and brachydactyly. Developmental delay is frequent, whereas cleft lip and/or palate, cardiac malformation, and external ear anomalies are observed in about half of the patients. Thus, although different *B3GALTL* mutations lead to Peters-plus syndrome, the clinical presentation seems to be uniform. No *B3GALTL* mutation was found in patients with an incomplete phenotype ((2), this report). Other glycosyltransferases or proteins modified by *B3GALTL* may be involved in these isolated or syndromic Peters' anomalies, in which the patients do not fulfill all criteria for Peters-plus syndrome diagnosis.

In conclusion, these results confirm the implication of *B3GALTL* in Peters-plus syndrome and support clinical homogeneity in patients with *B3GALTL* mutations. Furthermore, this is the first report of a *B3GALTL* missense deleterious mutation, showing that allelic heterogeneity is wider than initially described.



Fig. 1. Clinical presentation of patients 1 (a–c) and 2 (d and e). Similar features are visible in both, including corneal clouding, prominent forehead, macrostomia with cupid bow-shaped upper lip, and broad extremities.

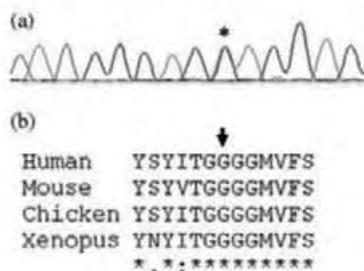


Fig. 2. Electropherogram showing the c.1178G>A (p.Gly393Glu) indicated by a star (a). Alignment of part of B3GALTL proteins from human, mouse, chicken and Xenopus, showing conservation of glycine 393 (arrow) in these species (b).

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Table 1. Clinical features of patients with Peters-plus syndrome

|  | Identified<br>B3GALTL<br>mutation | Peters'<br>anomaly | Anterior<br>chamber<br>anomaly | Short<br>stature | Brachydactyly | Developmental<br>delay | Cleft lip/<br>palate | Heart<br>anomaly | Genito<br>Urinary (GU)<br>anomaly | Ear<br>anomaly |
|--|-----------------------------------|--------------------|--------------------------------|------------------|---------------|------------------------|----------------------|------------------|-----------------------------------|----------------|
| This report Patient 1                                  | Yes                               | +                  | +                              | +                | +             | +                      | -                    | +                | -                                 | -              |
| This report Patient 2                                  | Yes                               | +                  | +                              | +                | +             | +                      | -                    | +                | -                                 | -              |
| Lesnik Oberstein et al. (3)                            | Yes                               | 15/19              | 20/20                          | 20/20            | NR            | 15/19                  | 9/20                 | 5/19             | 5/20                              | NR             |
| Reis et al. (2)  | Yes                               | 4/4                | 4/4                            | 4/4              | 4/4           | 4/4                    | 4/4                  | 3/4              | 2/4                               | 3/4            |
| Maillette de Buy Wenniger-Prick<br>et al. (1)          | No                                | 36/49              | 48/49                          | 45/49            | 49/49         | 41/49                  | 38/49                | 15/49            | 9/49                              | 39/49          |
| Total number of patients with<br>B3GALTL mutations (%) |                                   | 21/25 (84)         | 26/26 (100)                    | 26/26 (100)      | 6/6 (100)     | 21/25 (84)             | 13/26 (50)           | 10/25 (40)       | 7/26 (27)                         | 3/6 (50)       |
| Total patients (%)                                     |                                   | 67/75 (91)         | 74/75 (99)                     | 71/75 (95)       | 55/55 (100)   | 62/74 (84)             | 51/75 (68)           | 25/74 (34)       | 16/75 (21)                        | 42/55 (76)     |

Review of frequency of main Peters-plus syndrome features in either patients with identified B3GALTL mutations, compared with a major series of clinically diagnosed patients with Peters-plus syndrome previously reported without molecular data (1).

+, presence; -, absence, NR; not reported.

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## **CHAPITRE III**

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## **ANALYSE DES PHENOTYPES**

## Introduction

Comme nous l'avons vu dans le chapitre précédent, la variabilité phénotypique chez les patients porteurs de mutations dans les gènes d'AM est très importante. Cette variabilité s'exprime en inter- et en intra- familial, mais de façon très intéressante, également en intra-individuelle. En effet l'atteinte oculaire peut être très variable et très asymétrique d'un œil à l'autre chez un même patient. Ainsi, certains patients de notre cohorte, porteurs de mutations d'un gène du développement oculaire, présentent des atteintes (parfois sévères à type d'anophtalmie) strictement unilatérale. La variabilité clinique s'exprime au niveau oculaire et également au niveau extra-oculaire, les mêmes gènes pouvant être à l'origine d'AM isolées ou d'AM syndromiques. Les observations restent, à ce jour, trop peu nombreuses pour conclure quant aux proportions relatives des atteintes isolées ou syndromiques liées aux mutations d'un même gène.

Au cours de mon travail de thèse, j'ai pu m'intéresser plus particulièrement à la variabilité phénotypique de deux gènes :

- le premier *OTX2* était connu comme un gène d'AM "isolées" à pénétrance incomplète et expressivité variable. Nous avons pu montrer que ce gène était également impliqué dans une forme syndromique d'anomalie du développement de la mandibule, le syndrome agnathie-otocéphalie.
- les mutations du deuxième gène, *STRA6*, ont été décrites comme causales du syndrome de Matthew-Wood également décrit par l'acronyme PDAC pour résumer les principales malformations du syndrome (Pulmonary, Diaphragmatic, Anophtalmia, Cardiac). Nous avons pu montrer que le spectre phénotypique des mutations de ce gène pouvait s'étendre à des AM "isolées".

Dans ces deux exemples, les informations décrites ont été observées chez des patients (et leurs apparentés) dont les prélèvements avaient été analysés dans un cadre diagnostique de leur AM. A partir des informations observées pour ces familles, des projets spécifiques ont été élaborés pour confirmer nos hypothèses.

### III-1 : Analyse des phénotypes liés aux mutations du gène *OTX2*

#### Introduction

L'agnathie correspond à l'absence de développement embryonnaire de la mandibule, la dysgnathie à un développement anormal de celle-ci. L'otocéphalie est définie par une agnathie associée à une fusion des oreilles sur la face antérieure du cou (synotie). Différentes malformations, et plus particulièrement des holoprosencéphalies, peuvent être associées à l'otocéphalie. Plusieurs modèles murins ont été décrits avec une agnathie, notamment ceux liés à des mutations des gènes *Pgap1*, *Twsg1* et *Otx2*<sup>152, 165, 166</sup>. Chez l'homme, des mutations du gène *PRRX1* ont été retrouvées chez quelques patients otocéphales<sup>167</sup>.

#### Méthodes et Résultats

Nous avons émis l'hypothèse de l'implication du gène *OTX2* dans l'otocéphalie à partir d'une grande famille de patients souffrant d'AM transmise selon un mode autosomique dominant sur 4 générations (17 membres atteints). Dans cette famille, deux cousins atteints d'AM ont eu un enfant atteint d'otocéphalie. L'identification dans cette famille d'une mutation du gène *OTX2* (c.316delC), associée aux données connues sur le modèle murin *Otx2*<sup>+/-</sup>, a suggéré le rôle des mutations de ce gène dans l'otocéphalie. Pour confirmer cette hypothèse, nous avons recruté dix cas sporadiques atteints d'otocéphalie lors d'une première étude. Des analyses fonctionnelles réalisées sur un modèle de poisson zèbre ont permis d'étayer les hypothèses émises à partir des résultats chez les patients et de démontrer le rôle probable de facteurs modulateurs d'origine génétique. En effet, l'inactivation conjointe chez le poisson zèbre d'*otx2* et d'autres gènes du développement mandibulaire potentialisait le phénotype mandibulaire.

Dans une seconde phase de ce travail, nous avons décrit quatre patients otocéphales supplémentaires dont deux étaient porteurs d'une mutation délétère du gène *OTX2*. Une de ces mutations a été retrouvée chez un fœtus otocéphale, issue d'une famille où se transmettait une microphthalmie unilatérale sur 3 générations. Une délétion *de novo* du gène *OTX2* a également été identifiée chez un enfant décédé à la naissance, et atteint d'otocéphalie.

Les résultats de ces travaux sont décrits dans les 2 articles suivants:

- Article n°4

Chassaing, N *et al.* (2012). "*OTX2* mutations contribute to the otocephaly-dysgnathia complex." *J Med Genet* 49(6): 373-9.

- Article n°5

Patat et al. (2013) "Otocephaly-Dysgnathia Complex: description of four cases and confirmation of the role of OTX2." *Molecular Syndromology* 4: 302–305

**Figure supplémentaire**

Une figure supplémentaire, non présentée dans l'article n°4 a été rajoutée à sa suite. Cette figure montre le résultat de l'analyse du niveau d'expression des gènes impliqués dans les modèles humains ou animaux d'otocéphalie après transfection par un vecteur d'expression d'*OTX2* sauvage ou porteur des mutations identifiées dans les deux familles décrites dans l'article 4.

- Figure 25 : Analyse d'expression des gènes impliqués dans l'otocéphalie chez l'homme et/ou la souris après transfection par un vecteur exprimant *OTX2* normal ou muté

**Conclusion**

Nous avons pu montrer que des mutations du gène *OTX2* initialement décrites dans les AM "isolées" étaient impliquées dans l'otocéphalie, une anomalie majeure du développement mandibulaire. Nous n'avons pas pu dans les familles mutées identifier les facteurs expliquant la variabilité d'expression intrafamiliale, même si les expériences sur le modèle du zebrafish orientent vers la possibilité d'implication de gènes modificateurs. Une hétérogénéité génétique a été montrée dans l'otocéphalie puisque des mutations du gène *PRRX1* ont également été décrites chez l'homme. D'autres gènes sont probablement impliqués et les mutations des gènes *PRRX1* et *OTX2* ne sont retrouvées que chez une minorité de patients.

## ARTICLE 4

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### ***OTX2* mutations contribute to the otocephaly-dysgnathia complex**

*Journal of Medical Genetics*

2012-49(6): 373-9

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Nous avons identifié la mutation c.316delC du gène *OTX2* dans une famille où une AM était transmise sur un mode autosomique dominant sur 4 générations avec une expressivité variable. Deux cousins atteints ont eu des enfants atteints d'otocéphalie suggérant un rôle potentiel d'*OTX2* dans le développement mandibulaire. Dans cette famille, on retrouvait de plus deux patients associant une atteinte oculaire et mandibulaire. Pour démontrer le rôle d'*OTX2* dans le développement de la mâchoire inférieure, nous avons recruté 10 patients otocéphales supplémentaires et recherché des mutations de ce gène. Nous avons pu identifier chez deux d'entre eux une mutation délétère décalant le cadre de lecture. Pour l'un des patients, la mutation frameshift était héritée d'une mère microphthalmie. Cette famille n'a pas souhaité que les données les concernant soient publiées. Pour l'autre patiente, la mutation c.130delC a été identifiée à l'état hétérozygote. L'analyse des parents a montré que cette mutation était apparue *de novo*, apportant un argument fort pour confirmer notre hypothèse. Nous avons réalisé des analyses fonctionnelles des mutations identifiées dans ces deux familles (c.130delC et c.316delC) pour confirmer leur effet délétère : nous avons montré que l'expression d'*OTX2* entraînait une surexpression du gène *MSX1*, surexpression qui disparaissait lors de la transfection de des vecteurs d'expression *OTX2* mutés (Fig. 25). De plus des analyses ont été réalisées en collaboration avec l'équipe du Pr Katsanis et du Dr

Davis (Duke University, Durham NC, USA) pour confirmer le rôle d'*otx2* dans le développement mandibulaire sur un modèle de poisson zèbre. De manière intéressante, nous avons pu montrer qu'*otx2* pouvait interagir avec les autres protéines précédemment impliquées chez l'homme ou la souris dans l'otocéphalie (*msx1*, *pgap1* et *prrx1*) et que l'inactivation simultanée d'un de ces gènes potentialisait l'effet malformatif observé lors de l'inactivation du gène *otx2*. Nous avons recherché dans ces gènes candidats pour être potentiellement des gènes modificateurs du phénotype mandibulaire des mutations qui auraient pu expliquer la variabilité d'expression intrafamiliale observée pour les mutations d'*OTX2*.

## ORIGINAL ARTICLE

# OTX2 mutations contribute to the otocephaly-dysgnathia complex

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**ABSTRACT**

**Background** Otocephaly or dysgnathia complex is characterised by mandibular hypoplasia/agenesis, ear anomalies, microstomia, and microglossia; the molecular basis of this developmental defect is largely unknown in humans.

**Methods and results** This study reports a large family in which two cousins with micro/anophthalmia each gave birth to at least one child with otocephaly, suggesting a genetic relationship between anophthalmia and otocephaly. *OTX2*, a known microphthalmia locus, was screened in this family and a frameshifting mutation was found. The study subsequently identified in one unrelated otocephalic patient a sporadic *OTX2* mutation. Because *OTX2* mutations may not be sufficient to cause otocephaly, the study assayed the potential of *otx2* to modify craniofacial phenotypes in the context of known otocephaly gene suppression in vivo. It was found that *otx2* can interact genetically with *pgap1*, *prrx1*, and *msx1* to exacerbate mandibular and midline defects during zebrafish development. However, sequencing of these loci in the *OTX2*-positive families did not unearth likely pathogenic lesions, suggesting further genetic heterogeneity and complexity.

**Conclusion** Identification of *OTX2* involvement in otocephaly/dysgnathia in humans, even if loss of function mutations at this locus does not sufficiently explain the complex anatomical defects of these patients, suggests the requirement for a second genetic hit. Consistent with this notion, *trans* suppression of *otx2* and other developmentally related genes recapitulate aspects of the otocephaly phenotype in zebrafish. This study highlights the combined utility of genetics and functional approaches to dissect both the regulatory pathways that govern craniofacial development and the genetics of this disease group.

**INTRODUCTION**

Otocephaly-dysgnathia (also known as otocephaly, agnathia-holoprosencephaly, dysgnathia complex) (OMIM #202650) is characterised by mandibular hypoplasia or agenesis, ventromedial auricular malposition (melotia or synotia), microstomia, and oroglossal hypoplasia or aglossia. The mesenchyme-

forming neural crest is affected, resulting in abnormal derivatives of the caudal portion of the first branchial arch.<sup>1</sup> Additional malformations can be associated with this condition, including, but not limited to, failure of development of the prosencephalon, resulting in midline defects as severe as alobar hemispheres or cyclopia, anophthalmia, microphthalmia, pituitary hypoplasia, situs inversus, pulmonary hypoplasia, and limb malformations.

Fewer than 150 cases have been documented, with an incidence estimated to be 1 per 70 000 births.<sup>2</sup> Two families have been reported with more than one affected member.<sup>3,4</sup> In one example of a genomic rearrangement, Pauli *et al* reported two stillborn female infants with agnathia-holoprosencephaly who harboured an unbalanced 46,XX, der18,t(6;18) (pter->p24.1 or p24.2::p11.21->qter) translocation from a parent with a balanced translocation t(6;18) (p24.1 or p24.2; p11.21).<sup>5</sup> Karyotypes of other cases of otocephaly described in the literature have been normal, suggesting that most causative lesions are either point mutations or copy number variants that fall below detectable thresholds for karyotype or microarray. Environmental causes such as exposure to salicylates have also been suspected contributors.<sup>6</sup>

Forward genetic screens in murine models have identified numerous genes involved in otocephaly. The first otocephaly locus was identified in a screen for lethal mutations on chromosome 1,<sup>7</sup> and the causal mutation was mapped to *Pgap1* (post-glycosylphosphatidylinositol attachment to proteins 1).<sup>8</sup> Ueda *et al* subsequently generated *Pgap1* deficient mice and showed that they recapitulate the otocephalic phenotype and its variable penetrance and expressivity, since the phenotype of mutant pups ranged in severity from a normal face to complete lack of mouth and jaw.<sup>9</sup> Loss of two other murine genes has also been shown to cause otocephaly-agnathia, in each case in a context dependent fashion. On a C57BL/6 genetic background, loss of the twisted gastrulation gene 1 (*Twsg1*<sup>-/-</sup>) results in anomalies of the first branchial arch leading to agnathia, as well as forebrain abnormalities.<sup>10</sup> Finally, chimeric *Otx2*

## Developmental defects

heterozygous knockout mice also display an otocephalic phenotype, albeit with variable penetrance and expressivity attributable in part to genetic background.<sup>11</sup>

In humans, molecular defects leading to otocephaly are largely unknown. Recently, missense mutations in *PRRX1* (*paired-related homeobox gene 1*) were identified in two sporadic cases of otocephaly.<sup>12 13</sup>

*OTX2* mutations have been observed in patients with isolated severe ocular and pituitary malformations.<sup>14 15</sup> Here, we report the identification of a deleterious mutation in *OTX2* in a large French family in which variable expressivity extends from micro/anophthalmia to otocephaly and is inherited in a dominant manner among four generations. We subsequently performed molecular screening of *OTX2* in nine additional, non-related otocephalic cases and identified a second sibship with an *OTX2* mutation. Despite *OTX2* being a known microphthalmia locus, our data suggested that this gene might also be necessary but not sufficient in some families with otocephaly. To dissect this apparently complex genetic model, we suppressed *otx2* during zebrafish development and determined that: (1) *otx2* is also necessary for correct mandible formation; and (2) *otx2* can interact genetically with other loci to modulate the severity of mandibular malformations. Taken together, our data support a causal role of *OTX2* in otocephaly in humans but indicate that other genetic factors are likely necessary for the manifestation of the otocephalic phenotype.

## SUBJECTS, MATERIALS AND METHODS

### Patient samples

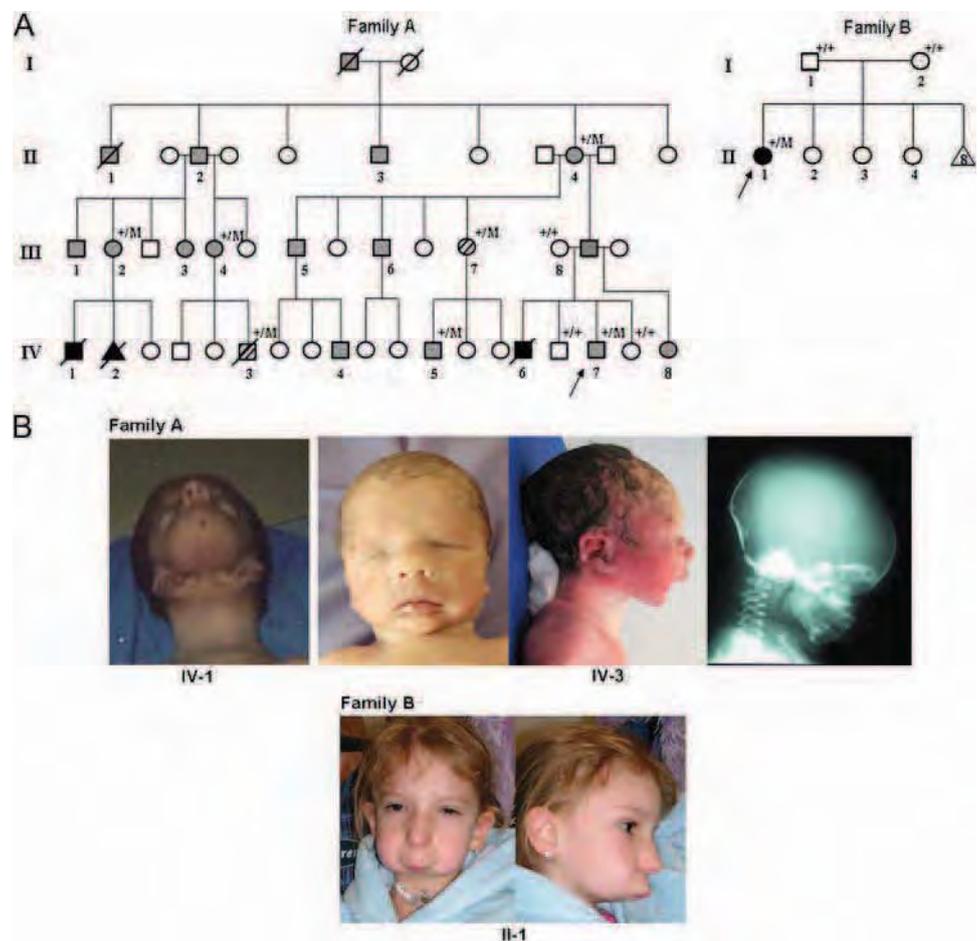
One family and nine sporadic otocephaly patients were included in this study. Among the sporadic patients, four have been reported previously elsewhere.<sup>16–19</sup> Informed consents with appropriate ethics review committee approvals were obtained. DNA was extracted from blood or fresh tissue for the family, three sporadic patients, and asymptomatic parents of an otocephalic patient; DNA was extracted from paraffin embedded tissue for the remaining patients. Following DNA extraction from paraffin embedded blocks, whole genome amplification was performed using standard procedures (Sigma Aldrich, Lyon, France).

### Patient phenotypes

Patient descriptions including medical history, family history, physical examinations, autopsy reports, and radiological studies were obtained. Except for one family in which some members display micro/anophthalmia, all patients were diagnosed as sporadic cases of otocephaly-dysgnathia syndrome.

Complete clinical data for the family and the patient sharing the *OTX2* mutations are described in the supplementary material. Briefly, in family A (figure 1A), 17 members display micro/anophthalmia (supplementary figure 1) segregating with an autosomal, dominant inheritance and sometimes associated with a variable degree of intellectual disability (moderate to

**Figure 1** Families and spectrum of otocephaly-dysgnathia phenotypes. (A). Pedigrees of families with phenotypic descriptions and mutation analysis. Otocephaly is indicated by dark symbols. Patients with isolated unilateral/bilateral microphthalmia and anophthalmia are indicated by grey symbols. Patients with an intermediate phenotype (striped individuals in family A) had additional features to ocular findings including micrognathia, but did not fulfil the criteria for otocephaly. Index cases are shown by arrows. Family A, IV-7 had bilateral anophthalmia, intellectual disability, and a heterozygous *OTX2* mutation. Family B, the proband was born to unaffected parents +/M indicates heterozygous presence of the familial mutation, while +/+ represents homozygous wild type alleles. If no genotype is indicated, a DNA sample was not available for testing. (B). Phenotypic spectrum of *OTX2* mutations in otocephalic and intermediate phenotypes. In family A, patient IV-1 displayed otocephaly (agnathia, microstomia, and synotia), while patient IV-3 shared micro/anophthalmic and otocephalic (microretrognathia, rudimentary tongue, hypoplasia of the upper pharynx) features. Family B proband (II-1) has otocephaly with a tubular nose, microstomia, agnathia, and moderately low set ears.



severe), three patients were diagnosed as otocephalic (figure 1A), and one patient displayed clinical features overlapping both micro/anophthalmia and otocephaly, which we consider to be an intermediate phenotype (figure 1B and supplementary figure 2). The second otocephalic patient (figure 1B) harbouring an *OTX2* mutation was a sporadic case (figure 1A) with no familial history of ocular or mandibular malformations.

## Molecular analysis

### Candidate gene analysis

We sequenced *OTX2* in all index cases and extended our sequencing to relatives when a change of interest was identified. Since half of the DNAs were extracted from paraffin embedded blocks, primer pairs were designed to amplify PCR products <250 bases. Patients negative for *OTX2* coding and flanking splice site mutations were also screened for *ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and *TWSG1* mutations when the amount of DNA allowed such analyses (four out of eight patients). In addition, the same loci were sequenced in the two families with *OTX2* mutations in search of candidate modifier alleles. Primers and PCR conditions used are summarised in supplementary table 1. Both DNA strands were sequenced using the Big Dye Terminator Cycle Sequencing Ready Reaction Kit (Applied Biosystems, Courtaboeuf, France). GenBank accession numbers were NM\_021728.2 (*OTX2*), NM\_024989.3 (*PGAP1*), NM\_020648.5 (*TWSG1*), NM\_021926.3 (*ALX4*), and NM\_002448.3 (*MSX1*).

## Functional studies

### Zebrafish embryo manipulation and genetic interaction studies

Splice blocking morpholinos (MOs) targeting *otx2*, *pgap1*, *prrx1a* and *prrx1b*, and a translation blocking morpholino targeting *msx1* (Gene Tools, Philomath, Oregon, USA) were diluted to

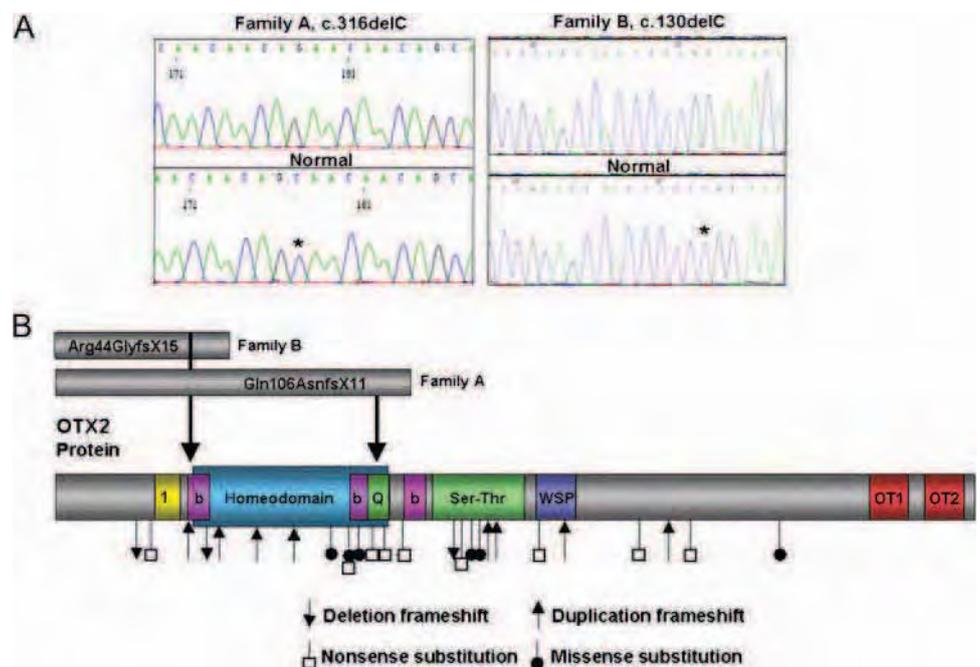
appropriate concentrations with sterile, nuclease-free water (3 or 9 ng/nl for each MO for dose response; 3 ng/nl for genetic interaction studies) and injected into wild-type zebrafish embryos collected from natural matings at the 1–2 cell stage according to standard procedures. Embryos were reared at 28°C in 1-phenyl-2-thiourea beginning at 24 h post-fertilisation until harvest at 5 days post-fertilisation (dpf). All experiments (n=66–75 embryos/injection) were repeated twice. To assess cartilaginous craniofacial structures, embryos were anaesthetised with tricaine, fixed overnight in 4% paraformaldehyde, and stained overnight in Alcian blue solution (0.1% Alcian blue, 70% ethanol, 1% HCl). Embryos were cleared with acidic ethanol (70% ethanol, 5% HCl) for 4 h, dehydrated in 100% ethanol, and imaged in glycerol. All images (live and Alcian blue stained whole embryos) were acquired on a Nikon AZ100 stereoscope at 6× magnification using Nikon NIS Elements software. To assess knockdown efficiency of each splice blocking morpholino, we harvested total RNA from injected batches of 25 embryos using Trizol (Invitrogen, Grand Island, NY, USA) according to the manufacturer's instructions. Oligo-dT-primed cDNA was then synthesised using SuperScriptIII reverse transcriptase (Invitrogen) and cDNA was subsequently PCR amplified using primers flanking the MO target sites for each of *otx2*, *pgap1*, *prrx1a* and *prrx1b*.

## RESULTS

### *OTX2* is a candidate gene for otocephaly-agnathia

In family A, we identified a c.316delC mutation in exon 3 of *OTX2* (figure 2), a deletion predicted to result in a frameshift, p. Gln106AsnfsX11. The truncated protein is predicted to terminate at the end of the homeodomain within the glutamine stretch (figure 2). Since otocephalic patients IV-1 and IV-2 died about 20 years ago, their DNAs were unavailable to investigate

**Figure 2** *OTX2* mutations in otocephaly-dysgnathia complex. (A) Electropherograms showing the two *OTX2* mutations identified in otocephalic patients in comparison with wild type sequence. Mutations were identified at heterozygous state in patients and amplicons were cloned in a pGEM-T vector to better delineate sequence variations. The asterisks are positioned over the deleted nucleotides and numbered according to GenBank accession numbers NM\_021728.2 (NM\_172337.1). (B) The two *OTX2* mutations identified herein are predicted to result in a frameshift leading to production of a truncated protein or nonsense mediated decay. An RNA or protein source was unavailable to test the functional outcome for either mutation. The mutations and their predicted truncated proteins are indicated at the top. The type and location of the previously reported *OTX2* mutations identified in micro/anophthalmic patients are indicated relative to the protein representation of isoform a. There are two alternative transcripts that code for isoforms a (NP\_068374.1, 297 amino acids) and b (NP\_758840.1) that differ only in the presence or absence of eight amino acids, GPWASCPA, represented by the yellow region, 1 (33–40 aa). Labelled nucleotide regions coding for different protein domains are colour coded for the three basic amino acid regions (b: b1 44–50 aa; b2 97–102 aa; b3 115–121 aa), homeodomain (45–105 aa), glutamine stretch (Q 103–109 aa), serine and threonine rich region (Ser-Thr 125–154 aa), conserved WSP motif (WSP 158–167 aa), and repeated tails (OT1 267–275 aa and OT2 281–293 aa). The region coding for the homeodomain overlaps regions coding for b1, b2, and glutamine stretch Q.



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their *OTX2* mutational burden. However, their mother (III-2) was found to have the mutation, suggesting that her two otocephalic offspring IV-1 and IV-2 likely inherited this mutation from her. Individual IV-7, with ocular abnormalities, also carried the mutation, suggesting that his otocephalic sibling IV-6 may have had the mutation, although we were unable to obtain a sample for testing from IV-6 or from their affected father III-9. Patient IV-3, with clinical features overlapping both micro/anophthalmia and otocephaly, did share the familial *OTX2* mutation.

In family B (figure 1A), we screened *OTX2* in a female patient with otocephaly (figure 1B) and detected a c.130delC mutation in exon 2 (figure 2). This deletion is predicted to result in a frameshift, p.Arg44GlyfsX15; if translated, the truncated protein would terminate before the *OTX2* homeodomain (figure 2). This patient was a sporadic case with no family history of ophthalmic or mandibular malformations. Parental DNA analysis showed that the *OTX2* mutation appears de novo. No *OTX2* mutation was identified in the 8 remaining otocephalic patients. These results are summarised in supplementary table 2.

### Candidate gene screening of *ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and *TWSG1* in *OTX2* mutation-negative otocephaly samples

To explore further the genetic basis of otocephaly and overlapping phenotypes in the *OTX2* mutation-negative samples in our cohort, we conducted molecular analysis of five additional candidate genes known to play a role in otocephaly malformations in vertebrates (*ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and *TWSG1*; possible for four out of the eight remaining patients with DNA of sufficient quality). One patient displayed two heterozygous *PGAP1* intronic variations (c.1-115C>T and c.927+31A>G), while another one displayed two heterozygous variations in *MSX1* (c.119C>G [p.Ala40Gly] and c.\*+6C>T). More variants were identified in *ALX4*: c.63C>T [p.Tyr21Tyr], c.104G>C, [p.Arg35Thr], c.304C>T (p.Pro102Ser), c.621A>G [p.Ser207Ser], c.1074C>T [p.His358Gln], and c.\*228C>T. All sequence variations are common single nucleotide polymorphisms (SNPs) referenced in dbSNP. These variants are thus unlikely to cause otocephaly. No *PRRX1* or *TWSG1* variation was identified. Patient genotypes are summarised in supplementary table 2.

### *otx2* interacts with other otocephaly loci in an in vivo developmental model

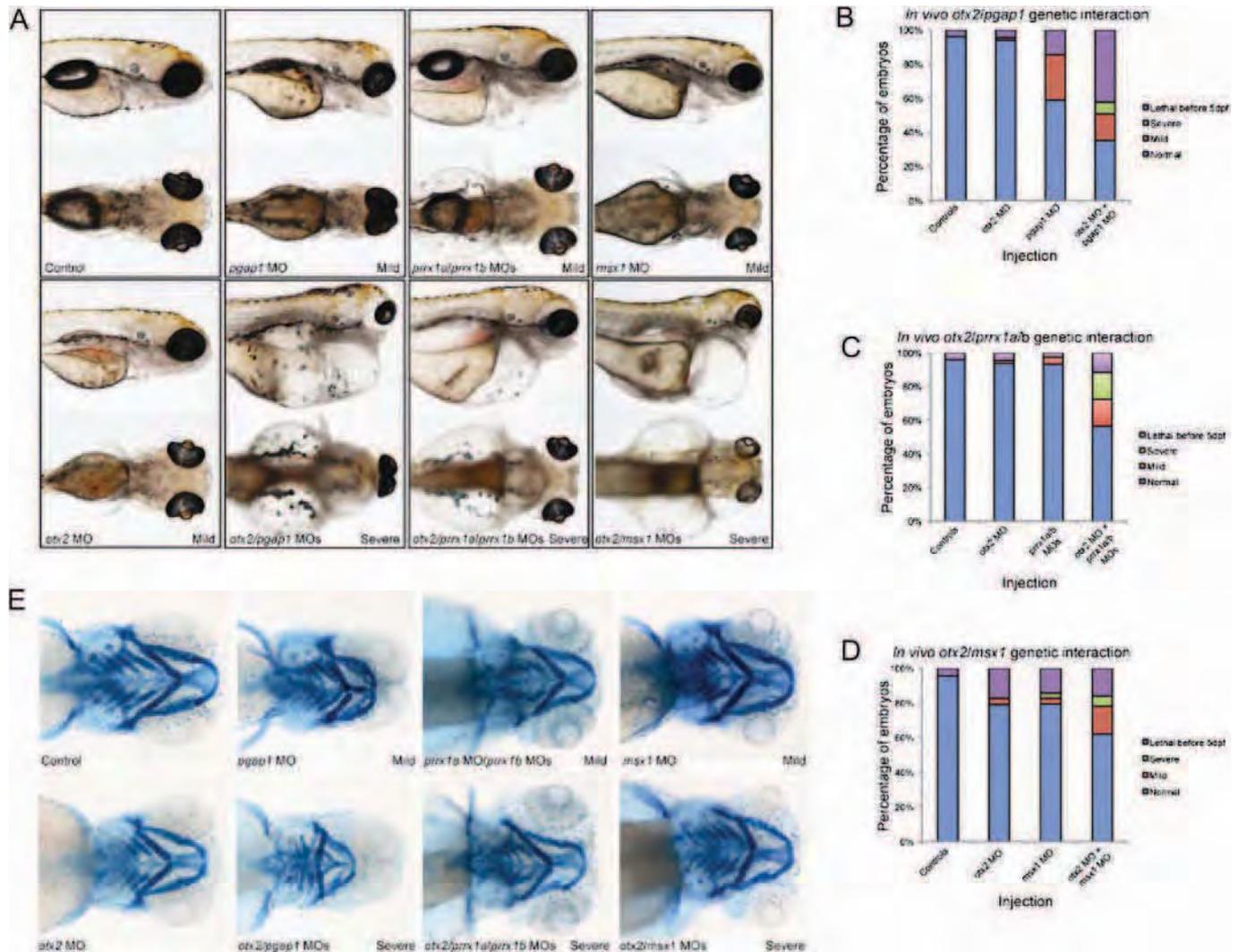
Because of the observed phenotypic variability observed among affected individuals in our families, we hypothesised that lesions in additional loci may interact with *OTX2* to cause otocephaly—an hypothesis consistent with the reported background dependent variable penetrance and expressivity of murine otocephaly mutations.<sup>11 20</sup> We have shown previously that the zebrafish is a useful model to dissect epistasis contributing to variable phenotypes observed in human developmental disorders.<sup>21–23</sup> Therefore, we investigated the potential for *otx2* to modulate specific otocephaly endophenotypes or severity by suppressing *otx2* in a sensitised, physiologically relevant context in the developing zebrafish embryo. First, we identified the single zebrafish ortholog of *OTX2* (91% identity; 94% similarity vs human) and suppressed it transiently by microinjection of an antisense MO targeting the splice donor sequence of *Danio rerio* *otx2* exon 2 in batches of wild-type (wt) embryos at the one-to-two cell stage. At 5 dpf, we scored embryos for craniofacial phenotypes relevant to otocephaly and we observed mild microphthalmia and shortening of the pharyngeal skeleton that increased in penetrance in a dose dependent manner (figure 3A,

supplementary figure 3J). Notably, Alcian blue staining of 5dpf embryos revealed the presence of all pharyngeal components, but distinct defects in comparison to controls. These data were consistent with the specific and robust targeting of the MO as indicated by RT-PCR of cDNA generated from total RNA harvested from *otx2* morphants (supplementary figure 3A,E).

Next, we asked whether *otx2* could exacerbate the craniofacial phenotypes that result from loss of the known otocephaly loci, *PGAP1* and *PRRX1*, and an additional locus implicated in mandibular development, *MSX1*. First, we identified the zebrafish orthologs of each protein; *PGAP1* (one copy; 43% identity, 64% similarity vs human), *PRRX1* (two copies; a and b each, 85% identity, 91% similarity vs human), and *MSX1* (one copy; 61% identity, 68% similarity vs human) could each be suppressed efficiently in developing zebrafish embryos subsequent to injection of splice blocking (*pgap1*, *prrx1a* and *prrx1b*) or translation blocking (*msx1*) MOs (supplementary figure 3B–D, F–J). Scoring of zebrafish larva at 5 dpf revealed that knockdown of *pgap1* resulted in mild microphthalmia, fusion of the eyes at the midline, and protrusion of the mandible (figure 3A, D); *prrx1a/b* double morphants and *msx1* morphants displayed reduced eye size and anterior-posterior shortening of jaw structures (figure 3A,D). Similar to *otx2*, these abnormalities in the pharyngeal skeleton were dose dependent (supplementary figure 3J). Next, to test *otx2* genetic interaction with *pgap1*, we injected subeffective doses of each MO (3 ng each of *otx2* and/or *pgap1*) either alone or in a pairwise fashion into wt zebrafish embryos at the 1–2 cell stage and scored them live for craniofacial defects at 5 dpf. Whereas we observed a modest percentage of abnormal embryos for *otx2* and *pgap1* individually (2% and 26%, respectively; n=66–74 embryos/injection, repeated at least twice with masked scoring), the combined effect resulted in a synergistic exacerbation of phenotypes when compared to either MO alone. Pairwise suppression resulted in increased mortality and a new severe class of embryos that displayed severe microphthalmia, eye fusion along the midline, and severe disorganisation of mandibular cartilage as indicated by Alcian blue staining (figure 3A,B,D). Suppression of *otx2* also exacerbated the effects of *prrx1a/b* knockdown. Through comparisons of subeffective MO injection doses targeting either *otx2* and/or *prrx1a/b* (3 ng of each MO), we saw a pronounced increase in embryos with craniofacial defects and a severe class of embryos in the *otx2/prrx1a/b* morphant injection batches (2%, 4%, and 32% affected embryos for *otx2*, *prrx1a/b* and *otx2/prrx1a/b* double morphants, respectively; n=66–74 embryos/injection; figure 3A,C,D). Similarly, pairwise interaction studies between *otx2* and *msx1* resulted in exacerbation of craniofacial defects in *otx2/msx1* injection batches compared to either single MO alone (3%, 6%, and 22% affected embryos for *otx2*, *msx1* and *otx2/msx1* double morphants respectively; n=66–75 embryos/injection; figure 3A,C,D). Together, these results indicate that suppression of *otx2*, in combination with loss of function of other loci contributing to otocephaly phenotypes, can modulate phenotypic severity in the manifestation of craniofacial malformations.

### *ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and *TWSG1* as candidate genetic interactors of *OTX2*

Informed by the in vivo functional studies, which indicated the potential for *OTX2* to modulate otocephaly phenotypes, we returned to the patient cohorts for further mutational analysis. We screened the five candidate genes listed above (*ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and *TWSG1*) in available family members from each of the two families bearing pathogenic *OTX2* mutations. Variants identified among *ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and



**Figure 3** *otx2* interacts genetically with *pgap1*, *prrx1a/b*, and *msx1*. (A) Representative live embryo images of *otx2*, *pgap1*, *prrx1a/b*, and *msx1* suppressed zebrafish larva. Wild-type zebrafish embryos were injected at the 1–2 cell stage with morpholinos (MO) targeting the zebrafish orthologs of OTX2, MSX1, PGAP1 (one *Danio rerio* ortholog each), or PRRX1 (two orthologs, denoted as a and b). *otx2* morphants displayed mild microphthalmia and shortening of the pharyngeal skeleton; *pgap1* morphants exhibited mild microphthalmia, fusion of the eyes at the midline, and protrusion of the mandible; *prrx1a/b* double morphants displayed reduced eye size and anterior-posterior shortening of jaw structures. Co-injection of *otx2* with candidate modifier genes *pgap1* or *prrx1a/b* resulted in classes of severely affected embryos respectively, characterised by extreme microphthalmia, fused eyes (*pgap1* only), and severe abnormalities of craniofacial structures. Lateral (top) and ventral views (bottom) are shown for each injection cocktail. (B) Quantification of *otx2* genetic interaction with *pgap1*. Subeffective doses of each MO were injected either alone or in a pairwise fashion into wt zebrafish embryos at the 1–2 cell stage and scored live for craniofacial defects at 5 days post-fertilisation (dpf). Mild and severe classes are depicted in panel A (centre column). Many double morphants die before 5 dpf (42%), but display severe craniofacial defects, including cyclopia, when evaluated at earlier stages. (C) Quantification of *otx2* genetic interaction with *prrx1a/b*. Subeffective doses of each morpholino were injected either alone or in a pairwise fashion into wt zebrafish embryos at the 1–2 cell stage and scored live for craniofacial defects at 5 dpf. Mild and severe classes are depicted in panel A (right column). (D) Quantification of *otx2* genetic interaction with *msx1*. Subeffective doses of each morpholino were injected either alone or in a pairwise fashion into wt zebrafish embryos at the 1–2 cell stage and scored live for craniofacial defects at 5 dpf. Mild and severe classes are depicted in panel A (right column). (E) Craniofacial cartilage defects in *otx2*, *pgap1*, *prrx1a/b*, *msx1* or double morphant embryos. Alcian blue staining of 5 dpf embryos revealed the presence of all pharyngeal components (ventral views are shown), but distinct defects in comparison to controls. Suppression of each gene or gene combination resulted in curving and shortening of ceratobranchial cartilage, and, in particular, *pgap1* or *otx2/pgap1* morphants exhibited severely disorganised structures including abnormally shaped Meckel's cartilage, and an increased angle of ceratohyal cartilage with respect to the midline. Note the decrease in eye size for all injected embryos shown in comparison to controls.

*TWSG1* are described below and summarised in supplementary table 2. First, in *PRRX1*, we detected no novel changes. In *ALX4*, *PGAP1*, *TWSG1*, and *MSX1*, only known SNPs and all but two (c.778-11G>A in *ALX4*, and c.906T>C [p.Leu302Leu] in *PGAP1*) shared by micro/anophthalmic relatives were identified. The *ALX4* c.778-11G>A variation is not predicted to alter splicing by in silico analysis and the *PGAP1* c.906T>C variation is a neutral variation with no amino acid modification and no predicted

effect on splicing by in silico analysis. Variations identified among the screened genes are thus unlikely to exert a modifier effect leading to otocephaly.

## DISCUSSION

Otocephaly/agnathia is the most severe known developmental defect of the mandible. Three genes (*Otx2*, *Pgap1*, *TwsG1*) cause otocephaly when inactivated in mice, but the molecular defects

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underlying this severe malformation are still largely unknown in humans. Recently, missense mutations in *PRRX1*, encoding a transcriptional co-activator, was identified in two cases of otocephaly.<sup>12 13</sup> Functional studies indicated that these mutations decrease the ability for the mutant protein to regulate the tenascin-C gene promoter, and thus, these mutations were considered as deleterious. *Prrx1* null mice display cleft palate and mild hypoplasia of both the mandible and the zeugopodal bones of the limbs.<sup>24</sup> These are the only cases reported with a plausible molecular explanation of otocephaly. However, we cannot exclude the possibility that additional mutational burden was required to manifest the severe craniofacial phenotype.

In this report, we demonstrated that *OTX2* mutations contribute to this malformation in humans. We identified an *OTX2* mutation in a large family where an autosomal dominant form of micro/anophthalmia was present. Two microphthalmic cousins of this family each gave birth to at least one child with otocephalic features. Since these otocephalic patients died prenatally or shortly after birth 20 years ago, it is not possible to establish whether they shared the familial mutation. However, we were able to show that their affected parent harboured the familial *OTX2* null mutation. Additionally, in the same family, we identified the familial *OTX2* mutation in a fetus displaying ocular (microphthalmia with retinal dysplasia and absence of the anterior chamber) and mandibular (severe micrognathia) features.

To confirm the role of *OTX2* mutations in otocephaly, we screened an additional nine unrelated otocephalic patients for *OTX2* mutations. In one patient, we found a de novo frameshifting *OTX2* mutation, thus confirming the implication of this gene in otocephaly. In our series, an *OTX2* mutation was identified in 2/10 (20%) probands, and no other *OTX2* mutations could be found in the remainder of our cohort. Despite the possibility that we missed mutations by direct sequencing (exonic rearrangements, splicing mutation located far from the coding sequence, or mutations in regulatory regions), this result supports a probable genetic heterogeneity for otocephaly.

We performed additional molecular analysis for four of the eight patients mutation-negative for *OTX2*, focusing on plausible functional candidates (*ALX4*, *MSX1*, *PGAP1*, *PRRX1*, and *TWSG1*). *Alx4*, a gene involved in skull defects, has previously been proposed to be a modifier of the otocephalic phenotype in *Otx2* heterozygous mutant mice.<sup>20</sup> *MSX1* is a gene involved in mandibular embryonic development,<sup>25</sup> and its expression is regulated directly or indirectly by *TWSG1*<sup>26</sup> and *OTX2*.<sup>27</sup> In addition, *MSX1* binds a distant non-coding regulatory element of *SOX9* which, when mutated, leads to Pierre-Robin sequence, a less severe human mandibular phenotype.<sup>28</sup> *Pgap1* and *Twsg1* cause otocephaly when inactivated in mice.<sup>9 10</sup> Finally, a mutation in *PRRX1* was previously identified in two otocephalic patients.<sup>12 13</sup> No deleterious mutations were identified in any of these genes in the *OTX2* mutation-negative patients. However, this particular cohort is too small to rule out their possible contribution to human otocephalic phenotypes.

The major phenotype described previously in patients with *OTX2* mutations is microphthalmia/anophthalmia associated with extra-ocular defects such as brain malformations, pituitary abnormalities, short stature, and mental retardation.<sup>15</sup> *OTX2* mutations identified so far are listed in supplementary table 3, and represented in figure 2B. Phenotypic variability and incomplete penetrance have also been documented.<sup>14 15</sup> No obvious phenotype/genotype correlations for the single gene *OTX2* can be made. First, several micro/anophthalmic patients harbour frameshifting or nonsense mutations located in the same region

of *OTX2* (figure 2B), indicating that haploinsufficiency at this locus is likely insufficient to cause otocephaly. Second, our epistatic analysis shows overt genetic interactions with other genes known to be required for mandibular formation in humans and/or rodents. Together, these data suggest that genetic interactions as well as the position/type of mutations at *OTX2* likely drive phenotypic expressivity.

The otocephaly-dysgnathia spectrum ranges from isolated mandibular involvement (dysgnathia or agnathia) to a broader spectrum of malformations including dysgnathia, holoprosencephaly, situs inversus, and visceral anomalies.<sup>29</sup> Of note, the patient with *OTX2* mutations and otocephaly whom we could examine (family B, patient II-1) was not affected by features of holoprosencephaly, situs inversus, or by visceral malformations. In one patient with an intermediate phenotype (family A, patient IV-3), thymic hyperplasia, 11 rib pairs, and micropenis were also associated with the otocephaly.

Discrete *OTX2* expression in the mammalian forebrain and retinal anlage is preceded and accompanied by transcription in the anterior mesendoderm and pharyngeal endoderm.<sup>30</sup> This region of pharyngeal endoderm is critical for the induction and orientation of facial skeletal elements derived from cephalic neural crest cells.<sup>31</sup> We speculate that the effect of *OTX2* mutations on eye formation would be direct within the neuro-epithelial component, but indirect, via mis-specification of the rostral pharyngeal endoderm, on the mandibular portion of the first branchial arch.

Consistent with observations in *OTX2* null mice, the mandibular phenotype associated with *OTX2* mutation in humans is highly variable, ranging from absence of developmental defect (most of the family A members), to micrognathia (family A, patient IV-3), to agnathia (family B), to severe otocephaly (family A, patients IV-1, IV-2, and IV-6). Phenotypic variation has been attributed to modifier genes, environmental variations, and stochastic effects. To understand the phenotypic variability observed between the two families with *OTX2* mutations, we hypothesised that pathogenic alleles at epistatic loci may be involved in the otocephalic phenotype for patients bearing an *OTX2* mutation. In vivo modelling experiments in zebrafish showed that suppression of three genes in concert with *otx2*, (*pgap1*, *prrx1a/b*, and *msx1*) lead to exacerbated craniofacial defects far exceeding defects of each gene alone, suggesting that the combinatorial effect of additional molecular lesions in the genome may explain the phenotypic variability associated with *OTX2* mutations. In our families, known otocephaly-causing/contributing genes were mutation negative for the candidates screened, suggesting that the otocephaly phenotype is likely subject to additional genetic heterogeneity. Whole exome/genome sequencing of these and other families under a 'two-hit' hypothesis, coupled to epistatic analysis in zebrafish or other suitable model organisms, is likely to identify such alleles and illuminate the genetic architecture of this complex disorder.

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## **OTX2 mutations contribute to the otocephaly-dysgnathia complex**

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## OTX2 Mutations Contribute to the Otocephaly-Dysgnathia Complex

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### SUPPLEMENTARY NOTE

#### **Patients:**

#### Family A (figure 1A):

Seventeen members display micro/anophthalmia sometimes associated with a variable degree of mental retardation (moderate to severe). One affected patient (patient III-2) had two boys (IV-1 and IV-2) with otocephaly. IV-1 died soon after birth from respiratory distress and an unsuccessful intubation (figure 2) and IV-2 was electively aborted after an ultrasound scan documented recurrence of facial malformations evocative of otocephaly. Another affected member of this family (III-9) had a child (IV-6) who deceased soon after birth from respiratory distress with a probable diagnosis of otocephaly (he was reported by his mother to have “ears in the neck”). Furthermore, a first cousin once removed (III-4) had polyhydramnios during her pregnancy, and at 30.5 weeks gestation, she delivered a male IV-3 with clinical features overlapping both micro/anophthalmia and otocephaly which we define as an intermediate phenotype (figure 2). After birth, he developed respiratory distress, and intubation was difficult contributing to his demise. On physical exam he had a triangular face, hypoplasia of the maxillae, hypoplasia of the upper pharynx, non-communication between the proboscis and the hypopharynx, and a rudimentary tongue. Subsequently an autopsy was performed and multiple abnormalities were found including bilateral microphthalmia, absence of the anterior ocular chamber, cataract, and focal retinal dysplasia

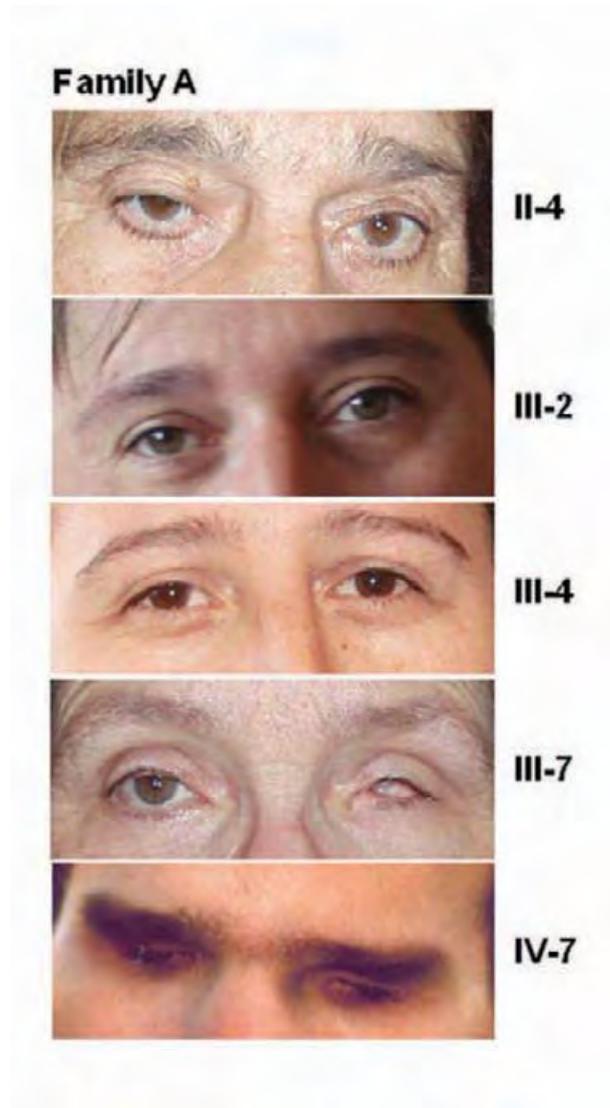
(supplementary figure 2). In addition, microretrognathia, microglossia, thymic hyperplasia, 11 ribs, and micropenis were noted. Another member (III-7) had an intermediate phenotype associating unilateral anophthalmia and microgonathia. Karyotype was 46, XY normal. Other family members display a phenotype ranging from isolated micro/anophthalmia (patients I-1, II-1, II-4, II-7, III-2, III-4, III-5, III-6, IV-8), isolated bilateral anophthalmia (patient II-2, III-1), to bilateral anophthalmia associated with mental retardation (IV-4, IV-5, IV-7).

#### Family B (Figure 1 B):

The proband (figure 2) was a 12 year old, white Caucasian female with agnathia and aglossia. Prenatal history was significant for polyhydramnios. She was born at 32 weeks gestation via emergency Caesarian section due to fetal distress after amnioreduction. At birth, her weight was 1.86 kg (50<sup>th</sup> – 75<sup>th</sup> centile), and she had respiratory distress that required nasal intubation and subsequent tracheostomy at nine hours of age. She had severe reflux as an infant necessitating a fundoplication. She suffered from middle ear effusions. The patient also had repeated episodes of lethargy and malaise with no cause found. Her physical examination revealed normal growth parameters, microstomia, hypoplasia of the maxillae, agnathia, absent tongue and a long tubular nose. Her ears were normally formed with patent external auditory canals. The only eye abnormality noted was astigmatism. Speech was absent although vocal cords were present but scarred. Developmentally, she had no delay in reaching milestones and is doing well in a mainstream school. There were no known associated limb and internal organ abnormalities or hormonal dysfunction. This patient was the first child to parents who were of English descent. They were in good health, deny consanguinity and both of had normal karyotypes. The mother had eight miscarriages with most in the first trimester, but two were in the second trimester at 13 and 15 weeks. The patient had three living siblings, none of whom displayed obvious features of otocephaly, ocular, or other anomalies. However, they all had mention of overbites that were significantly milder than that found in the proband.

## SUPPLEMENTARY FIGURES

Supplementary Figure 1: Ocular phenotypes observed in Family A.



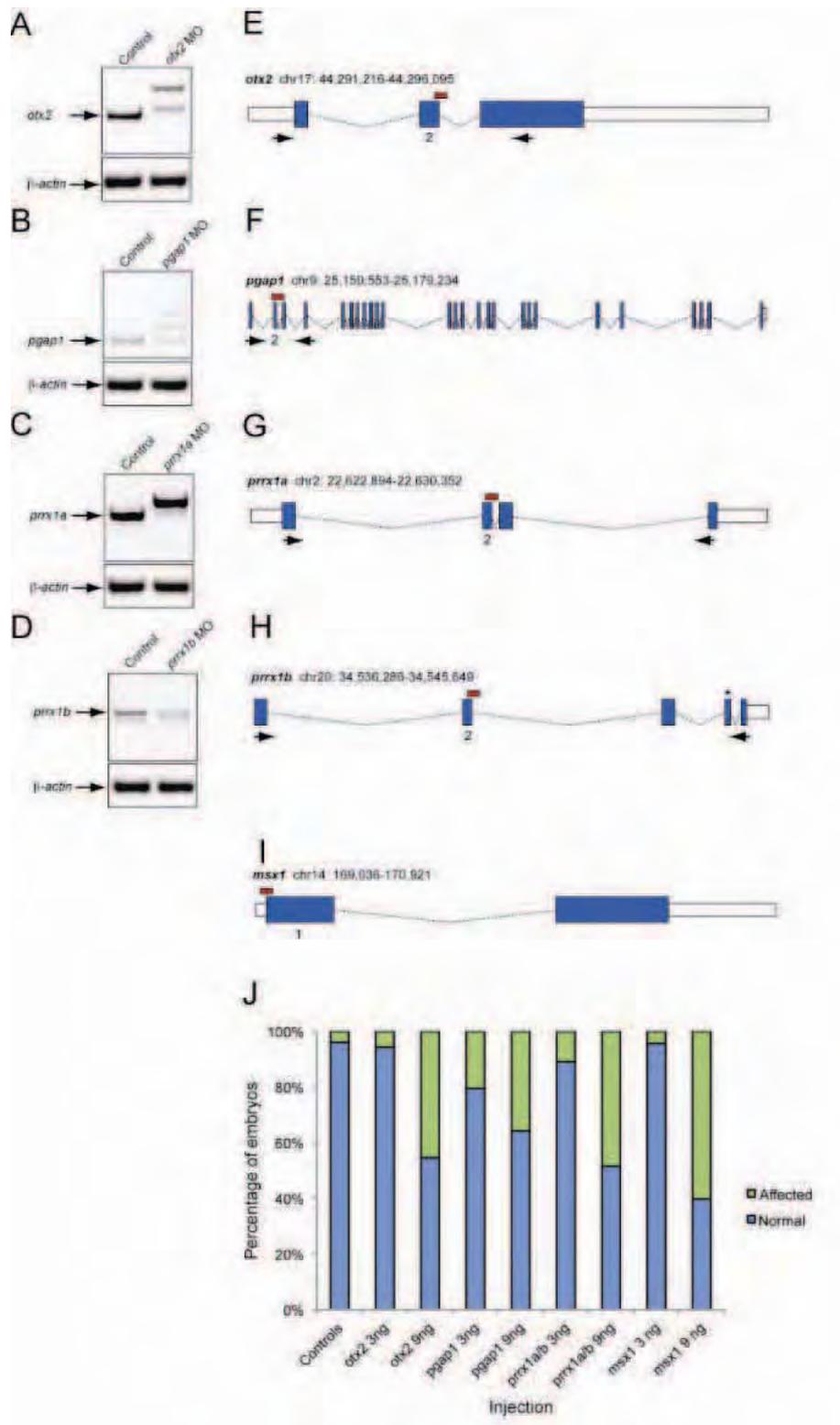
Note intra-familial variability among patients with unilateral microphthalmia (patient II-4), bilateral microphthalmia (patients III-2, III-4), unilateral anophthalmia (patient III-7) and bilateral anophthalmia (patient IV-7).

**Supplementary Figure 2: Ocular phenotype observed in patient IV-3 with both mandibular and ocular abnormalities.**



Anteroposterior sagittal section of eye through optic tract (OT), H&E staining. Note retinal dysplasia (arrows), shown with higher magnification in a; cataract (lens (L) disorganization and vacuolization, shown in higher magnification in b, absent anterior chamber (arrow head).

Supplementary Figure 3: Knockdown efficiency of *otx2*, *pgap1*, *prrx1a* and *prrx1b* morpholino antisense oligonucleotides.



(A-D) We injected 9ng of each of *otx2*, *pgap1*, *prrx1a* and *prrx1b* splice-blocking morpholinos (MO)s into wild-type zebrafish embryos at the one to two cell stage and harvested embryos for total RNA extraction at 5 days post-fertilization (n=25 embryos/injection batch). cDNA was generated from oligo-dT primed reverse transcription reactions and subsequently PCR amplified for each gene of interest. 2% agarose gel electrophoresis images are shown for each reaction demonstrating aberrant splicing products in MO injected embryos in comparison to controls. Two PCR products are present for *prrx1b* in controls due to alternative splicing of exon 4 (asterisk in panel H).  $\beta$ -actin was amplified to control for RNA integrity.

(E-I) Schematic representations of each gene targeted for *otx2* genetic interaction studies. Each gene and Zv9 genomic coordinate are shown; boxes represent exons, white boxes depict untranslated regions and blue boxes depict coding regions. Red boxes indicate MO target sites and the targeted exon is indicated with the number underneath. Arrows indicate the position of primers used for RT-PCR experiments shown in panels A-D. Asterisk (\*) in panel H indicates an alternatively spliced exon.

(J) Dose response curves for each of *otx2*, *pgap1*, *prrx1a*, *prrx1b*, and *msx1*. We injected each MO into wt zebrafish embryos at two different concentrations (3ng and 9ng each) and qualitatively scored for craniofacial defects in 5dpf Alcian blue stained embryos (n=35-53 embryos/injection, repeated twice with masked scoring).

**Supplementary Table 1: Primers and PCR conditions used to amplify *OTX2*, *ALX4*, *MSX1*, *PGAPI*, *PRRX1*, and *TWSG1* genes.**

| Gene         | Exon                     | Forward Primer             | Reverse Primer             | Length (base) | Annealing Temperature (°C) |
|--------------|--------------------------|----------------------------|----------------------------|---------------|----------------------------|
| <i>OTX2</i>  | 1-1                      | AAGTTAGTGTGGAACGTGGA       | TGCTAAGGTTGTTGGAGGTG       | 203           | 56                         |
|              | 1-2                      | CCACCAAGGACTCTGAACCT       | GAAGAGGGTGC GGGAGT         | 232           | 56                         |
|              | <i>OTX2</i>              | CGCTATGACTGAGAACTGC        | ATCAGGAAGGATGGTCTGC        | 247           | 56                         |
|              | 3-1                      | CCTTAAAGACTATCAAACCGAGT    | ACTGCTGCTGGCAATGGT         | 239           | 56                         |
|              | 3-2                      | ACAAGTGGCCAAITCACTCC       | ATGCCCCAAAAGTAGGAAGT       | 218           | 56                         |
|              | <i>OTX2</i>              | GCAGAGGTCCTATCCCATGA       | CTGGGTGGAAGAGAAAGCTG       | 211           | 56                         |
|              | 3-4                      | ATGGGTACCAATGCAGTCAC       | TCACCCACAAAAAGAGGTTCT      | 236           | 56                         |
|              | <i>ALX4</i>              | AACTCCCAGCCAAAGGGCGG       | AAGCCAAAGCACCCGTGGTCCCC    | 596           | 55                         |
| <i>ALX4</i>  | CTCTTGTTTGGTTCAACCAATTGG | TGCTTTACCAGCCTCACTCCC      | 400                        | 58            |                            |
| <i>ALX4</i>  | TCCAGGGGGCATCTCACCC      | TTCTCAGAGCACCCAGGGGTGG     | 234                        | 58            |                            |
| <i>ALX4</i>  | AGGTGCTCTGGGGAAAGGGCGAG  | AGAGCAGAGGAGTGGGCGGG       | 738                        | 60            |                            |
| <i>MSX1</i>  | 1-1                      | GAGCTGGCTGTGGGAGG          | CACGCTGAAGGGCAGGAGCG       | 346           | 55                         |
|              | 1-2                      | CGGTGTCAAAGTGGAGGACTCCGCC  | TTGCAGCCACGGCTCCCTA        | 566           | 55                         |
|              | <i>MSX1</i>              | GAGGCAC TTGGCGGCACTCA      | CATGGGCTTGGCGGCCATCT       | 385           | 55                         |
|              | 2-2                      | CGCGGAGTTCTCCAGTCCG        | GCAAGTCCGGGGTACAGCAC       | 375           | 55                         |
| <i>PGAPI</i> | 1                        | CGC TGC AAC ACC TAC TCC TC | CGA ACC CAC AGA AGG AAA AA | 473           | 57                         |
|              | 2                        | TTGCATATGGTGAGGCTGAA       | CACCGAGTATGGATATGATCAA     | 298           | 60                         |
|              | 3                        | TGAAGAAAAGAATGGATGAAACC    | AAAAAGGTGCCGATAGGTTG       | 293           | 60                         |
|              | 4                        | AAAATTGGTCATATGCAGAGAGAA   | CAATGGGGAGGTTAATGTTCA      | 294           | 60                         |
|              | 5                        | TGTTTCAGGGAATGGCTACTG      | TTTCATGCTCCACTGGGTCT       | 271           | 57                         |
|              | 6                        | GAATAACAAATGTGCTTTCCGTAAG  | ATTGCACCACGTCCCTCCA        | 248           | 60                         |
|              | 7                        | AAAGGAAACTCAAATGTTCCAC     | TCCATCATGGCTGTAATGA        | 244           | 60                         |
|              | 8                        | TGTTGGCTGTTGTCATCATT       | CACAGTTTCAAAGATTTTGTCTGC   | 293           | 60                         |
|              | 9                        | TTTCACCAGGATTCAAAAGAAGAA   | AAAATGGCATGCAGTTAATCTTG    | 245           | 57                         |
|              | 10                       | TCTGGATTTTGAATGATGAAA      | TTGAAAATGAACAACAGTTAGCC    | 213           | 57                         |
|              | 11/12                    | TTGAAAATGGGTGTTGTTTTG      | ACCAAGATAAGCTAATGAAAAGAA   | 629           | 60                         |
|              | 13                       | AAATGGGAAGCCTGCTTTTA       | GCAATGCTCCAAAACATCTT       | 245           | 60                         |
|              | 14                       | TGATAC TTTCTCCAAAGCTGAGGT  | GCAATTTATGCTTTCCAAAA       | 234           | 60                         |
|              | 15/16                    | AATATGGCATTGCTTAATGCT      | CACCTATTGAGTCTTCAAATGACA   | 388           | 60                         |

|              |    |                               |                             |     |    |
|--------------|----|-------------------------------|-----------------------------|-----|----|
| <i>PGAPI</i> | 17 | TTTAGGAATTCGCCATAATTTT        | TTCAAAAACACCAAAGTTTAAAGTAA  | 267 | 57 |
| <i>PGAPI</i> | 18 | CCAGCATTTTGATTTGAGAATTG       | CATGCCACCATATATCTGAAGC      | 247 | 60 |
| <i>PGAPI</i> | 19 | TTGCATGGTGGTTCAAAGA           | AAATAATCAACCAGCCAGGAA       | 237 | 57 |
| <i>PGAPI</i> | 20 | GAAGAGCAAACCTTTTAAATTTTATGTGA | TTATGTCTCTGATTCATATAACAGA   | 296 | 60 |
| <i>PGAPI</i> | 21 | GCTGTTTATAGAAACGTGGGATA       | TTGCAATATGGAACCAAFATAATG    | 245 | 60 |
| <i>PGAPI</i> | 22 | CCTTAAATTTCAAGTTGTTGGGTTT     | CTTAAAGCAATAAGAAAGCTGTTAATC | 291 | 60 |
| <i>PGAPI</i> | 23 | GCAAGAGAGACTGGGAGGGA          | TGCCATAATACAAGCTCAATCA      | 282 | 60 |
| <i>PGAPI</i> | 24 | CATTTACAGATTACAGTCTCTCA       | TTTCCCATGTTCTTTCATTTT       | 204 | 57 |
| <i>PGAPI</i> | 25 | TGTCAGTTTGGTAATGGAAGATG       | AGGGATATTTATGTTGAACCAC      | 359 | 60 |
| <i>PGAPI</i> | 26 | CAGGTCATTTGTTGATTTGTGG        | GGAAAAAGGCCAAGAAACAGA       | 199 | 60 |
| <i>PGAPI</i> | 27 | TGGCTCACAAATGTAGTTCACA        | CCCTCTTATCACTGGCCCTA        | 250 | 60 |
| <hr/>        |    |                               |                             |     |    |
| <i>PRRXI</i> | 1  | GGTGTGATTCGAGCGGGAAGA         | GCTTAGCTGCCCTACACGGG        | 368 | 58 |
| <i>PRRXI</i> | 2  | TGGACTCCTACAGTGAATTTGGCT      | TGGTGCCAGCCTCTCACAGC        | 257 | 58 |
| <i>PRRXI</i> | 3  | TGCCTTCTTGGCTCCTAACAACTCT     | AGCTTGAACACATGACCGACCGCT    | 281 | 58 |
| <i>PRRXI</i> | 4a | ATCTGGGGCACAGACTTGACGC        | CTGCTTTTCTCTGCCATGGTCCCAA   | 369 | 58 |
| <i>PRRXI</i> | 4b | ACATCTGCTGAAACGCAATTG         | CTGCAACCCCTTCTTCTTA         | 211 | 60 |
| <hr/>        |    |                               |                             |     |    |
| <i>TWSGI</i> | 2  | CCTAGCACATTGCCCTTTGAA         | TGATTCAGTACCTCAGGCC         | 340 | 59 |
| <i>TWSGI</i> | 3  | TGCAAAATGGCAGGTTTT            | TCTTAAAGCCAAAGGATCTGTGA     | 251 | 59 |
| <i>TWSGI</i> | 4  | GTTATAGCTCTGATGCAAGGC         | GCGGAATGGAAAAAGTCAAC        | 361 | 59 |
| <i>TWSGI</i> | 5  | CTTTCTTGGCCCTGAAATCTTAA       | AGGAATCTCTGCGCACCT          | 490 | 59 |

Products were amplified in 25 µl reactions containing 50 ng genomic DNA, 1X PCR buffer, 0.2 mM dNTPs, 2mM MgCl<sub>2</sub>, 100 nM forward primer, 100 nM reverse primer, and 1 U of Taq polymerase. 10 % DMSO was added in PCR for exon 1 of *PGAPI*, 1-1 and 1-2 of *MSX1*. 1 Mol Betain was added in PCR for exon 1-1 and 2-2 of *MSX1*. All PCR reactions were performed with a 5 minutes 95°C denaturing step, followed by 35 cycles of 95°C for 30 seconds, annealing temperature for 30 seconds and 72°C for 45 seconds with a final elongation step of 72°C for 7 minutes. Primer pairs and annealing temperatures are listed above. Both DNA strands were sequenced using the Big Dye™ Terminator Cycle Sequencing Ready Reaction Kit (PE Applied Biosystems). Sequence variations were numbered with the adenine of the ATG initiation codon as

the first nucleotide. GenBank accession numbers: NM\_021728.2 (*OTX2*), NM\_021926.3 (*ALX4*), NM\_002448.3 (*MSX1*), NM\_024989.3 (*PGAP1*), NM\_006902.3 and NM\_022716.2 (*PRRX1*), and NM\_020648.5 (*TWSG1*).

**Supplementary Table 2: Summary of the sequence variations identified in *OTX2* and suspected modifier genes (*ALX4*, *MSXI*, *PGAPI*, *PRRX1*, and *TWSGI*) in otocephalic patients and their relatives.**

| Family | Patient | Phenotype | Genotype                                      |   |   |                         |              |   |          |
|--------|---------|-----------|---|---|---|-------------------------|--------------|---|----------|
|        |         |           | <i>OTX2</i>                                   | <i>ALX4</i>   | <i>MSXI</i>   | <i>PGAPI</i>            | <i>PRRX1</i> | <i>TWSGI</i>                              |          |
| A      | II-4    | M/A       | c.316delC<br>p.Gln106AsnfsX11<br>heterozygous | c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.*228C>T<br>heterozygous  | -   | -                       | nd           | -   |          |
| A      | III-2   | M/A       | c.316delC<br>p.Gln106AsnfsX11<br>heterozygous | c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.729G>A<br>(p.Ala243Ala)<br>heterozygous  | -   | -                       | nd           | -   |          |
| A      | III-4   | M/A       | c.316delC<br>p.Gln106AsnfsX11<br>heterozygous | c.104G>C<br>(p.Arg35Thr)<br>heterozygous<br>c.304C>T<br>(p.Pro102Ser)<br>heterozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.*228C>T<br>homozygous                   | -   | -                       | nd           | c.470C>T<br>(p.Ala157Val)<br>heterozygous |          |
| A      | III-7   | Int       | c.316delC<br>p.Gln106AsnfsX11<br>heterozygous | c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.304C>T<br>(p.Pro102Ser)<br>heterozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.1074C>T<br>(p.His358Gln)<br>heterozygous | -   | -                       | nd           | -   |          |
| A      | III-8   | Asympt    | -   | c.304C>T<br>(p.Pro102Ser)<br>heterozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.1074C>T<br>(p.His358Gln)<br>heterozygous  | c.119C>G<br>(p.Ala40Gly)<br>heterozygous<br>c.348C>T<br>(p.Gly116Gly)<br>heterozygous<br>c.*+6C>T<br>heterozygous | 1-94G>A<br>heterozygous | -            | -   |          |
| A      | IV-3    | Int       | c.316delC                                     | c.104G>C  | c.119C>G  | -                       | -            | -   | c.470C>T |

|          |             |        |  |   |  |   |   |    |                               |
|----------|-------------|--------|--|---|--|---|---|----|-------------------------------|
|          |             |        |  | p.Gln106AsnfsX11<br>heterozygous              | (p.Arg35Thr)<br>homozygous<br>c.304C>T<br>(p.Pro102Ser)<br>heterozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>778-11G>A<br>heterozygous<br>c.*228C>T<br>heterozygous | (p.Ala40Gly)<br>heterozygous  |   |    | (p.Ala157Val)<br>heterozygous |
| <b>A</b> | <b>IV-5</b> | M/A    |  | c.316delC<br>p.Gln106AsnfsX11<br>heterozygous | c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.729G>A<br>(p.Ala243Ala)<br>heterozygous<br>c.104G>C                                  | c.348C>T<br>(p.Gly116Gly)<br>heterozygous<br>c.119C>G<br>(p.Ala40Gly)<br>heterozygous<br>c.*+6C>T<br>heterozygous | 1-94G>A<br>heterozygous                 | nd | -                             |
| <b>A</b> | <b>IV-7</b> | M/A    |  | c.316delC<br>p.Gln106AsnfsX11<br>heterozygous | (p.Arg35Thr)<br>homozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.729G>A<br>(p.Ala243Ala)<br>heterozygous<br>c.104G>C   | c.119C>G<br>(p.Ala40Gly)<br>heterozygous  | -                                       | nd | -                             |
| <b>B</b> | <b>I-1</b>  | Asympt |  | -   | (p.Arg35Thr)<br>homozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.729G>A<br>(p.Ala243Ala)<br>heterozygous<br>c.104G>C   | c.119C>G<br>(p.Ala40Gly)<br>heterozygous  | c.906T>C<br>p.Leu302Leu<br>heterozygous | nd | nd                            |
| <b>B</b> | <b>I-2</b>  | Asympt |  | -   | (p.Arg35Thr)<br>homozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.1074C>T<br>(p.His358Gln)<br>heterozygous  | c.119C>G<br>(p.Ala40Gly)<br>heterozygous  | -                                       | nd | nd                            |

|   |                |     |  |  |  |   |   |   |
|---|----------------|-----|--|--|--|---|---|---|
| B | IL-1<br>(Oto1) | Oto | c.130delC<br>p.Arg44GlyfsX15<br>heterozygous | c.104G>C<br>(p.Arg35Thr)<br>homozygous<br>c.304C>T<br>(p.Pro102Ser)<br>heterozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.1074C>T<br>(p.His358Gln)<br>heterozygous | c.119C>G<br>(p.Ala40Gly)<br>heterozygous                             | c.906T>C<br>p.Leu302Leu<br>heterozygous | - | - |
| 1 | Oto 2          | Oto | -  | c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.1074C>T<br>(p.His358Gln)<br>homozygous  | c.119C>G<br>(p.Ala40Gly)<br>heterozygous<br>c.*+6C>T<br>heterozygous | -                                       | - | - |

|             |       |     |   |   |  |  |   |    |    |
|-------------|-------|-----|---|---|--|--|---|----|----|
| Unpublished | Oto 3 | Oto | - | - | c.63C>T<br>(p.Tyr21Tyr)<br>heterozygous<br>c.104G>C<br>(p.Arg35Thr)<br>homozygous<br>c.304C>T<br>(p.Pro102Ser)<br>homozygous<br>c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.*228C>T<br>heterozygous | -  | 1-115C>T<br>heterozygous<br>927+31A>G<br>heterozygous | -  | -  |
| Unpublished | Oto 4 | Oto | - | - | nd   | nd                                       | nd  | nd | nd |
| 2           | Oto 5 | Oto | - | - | nd   | nd                                       | nd  | nd | nd |
| 3           | Oto 6 | Oto | - | - | c.621A>G<br>(p.Ser207Ser)<br>homozygous<br>c.*228C>T<br>heterozygous   | -  | -   | -  | -  |
| 4           | Oto 7 | Oto | - | - | nd   | nd                                       | nd  | nd | nd |
| 5           | Oto 8 | Oto | - | - | nd   | nd                                       | nd  | nd | nd |
| Unpublished | Oto 9 | Oto | - | - | c.621A>G<br>(p.Ser207Ser)<br>homozygous  | c.119C>G<br>(p.Ala40Gly)<br>heterozygous | -   | -  | -  |

M/A: microphthalmia/anophthalmia; Asympt: asymptomatic; Int; intermediate phenotype associating both ocular and mandibular features; Oto: otocephaly; -: absence of sequence variation identified; nd: not done.

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**Supplementary Table 3: Summary of *OTX2* mutations**

| Nucleotide Change   |                     | Mutation Type | Amino Acid Change |                | References             |
|---------------------|---------------------|---------------|-------------------|----------------|------------------------|
| Variant 1           | Variant 2           |               | Isoform a         | Isoform b      |                        |
| NM_021728.2         | NM_172337.1         |               | NP_068374.1       | NP_758840.1    |                        |
| 81delC              | 81delC              | frameshift    | Ser28ProfsX31     | Ser28ProfsX31  | 1                      |
| 93C>G               | 93C>G               | nonsense      | Tyr31X            | Tyr31X         | 2                      |
| 130dupC             | 106dupC             | frameshift    | Arg44ProfsX52     | Arg36ProfsX52  | 2                      |
| 130delC             | 106delC             | frameshift    | Arg44GlyfsX15     | Arg36GlyfsX15  | This study<br>Family B |
| 141_142delCC        | 117_118delCC        | frameshift    | Arg48GlyfsX47     | Arg40GlyfsX47  | 1                      |
| 160dupA             | 136dupA             | frameshift    | Thr54AsnfsX42     | Thr46AsnfsX42  | 3                      |
| 227G>C              | 203G>C              | missense      | Arg76Pro          | Arg68Pro       | 4                      |
| 238_240delGCACinsCA | 214_216delGCACinsCA | frameshift    | Ala80fsX86        | Ala72fsX86     | 5                      |
| 245_260del16        | 221-236del16        | frameshift    | Lys82fsX103       | Lys74fsX103    | 5                      |
| 289C>T              | 265C>T              | nonsense      | Arg97X            | Arg89X         | 6                      |
| 289C>G              | 265C>G              | missense      | Arg97Gly          | Arg89Gly       | 1                      |
| 294A>T              | 270A>T              | missense      | Arg98Ser          | Arg90Ser       | 7                      |
| 313C>T              | 289C>T              | nonsense      | Gln105X           | Gln97X         | 2                      |
| 316delC             | 292delC             | frameshift    | Gln106AsnfsX11    | Gln98AsnfsX11  | This study<br>Family A |
| 319C>T              | 295C>T              | nonsense      | Gln107X           | Gln99X         | 1                      |
| 337C>T              | 313C>T              | nonsense      | Gln113X           | Gln105X        | 3                      |
| 395_396delAG        | 371_372delAG        | frameshift    | Ser133TrpfsX11    | Ser125TrpfsX11 | 2                      |
| 413C>G              | 389C>G              | nonsense      | Ser138X           | Ser130X        | 8                      |
| 421C>A              | 397C>A              | missense      | Pro141Thr         | Pro133Thr      | 1                      |
| 424C>G              | 400C>G              | missense      | Pro142Ala         | Pro134Ala      | 1                      |

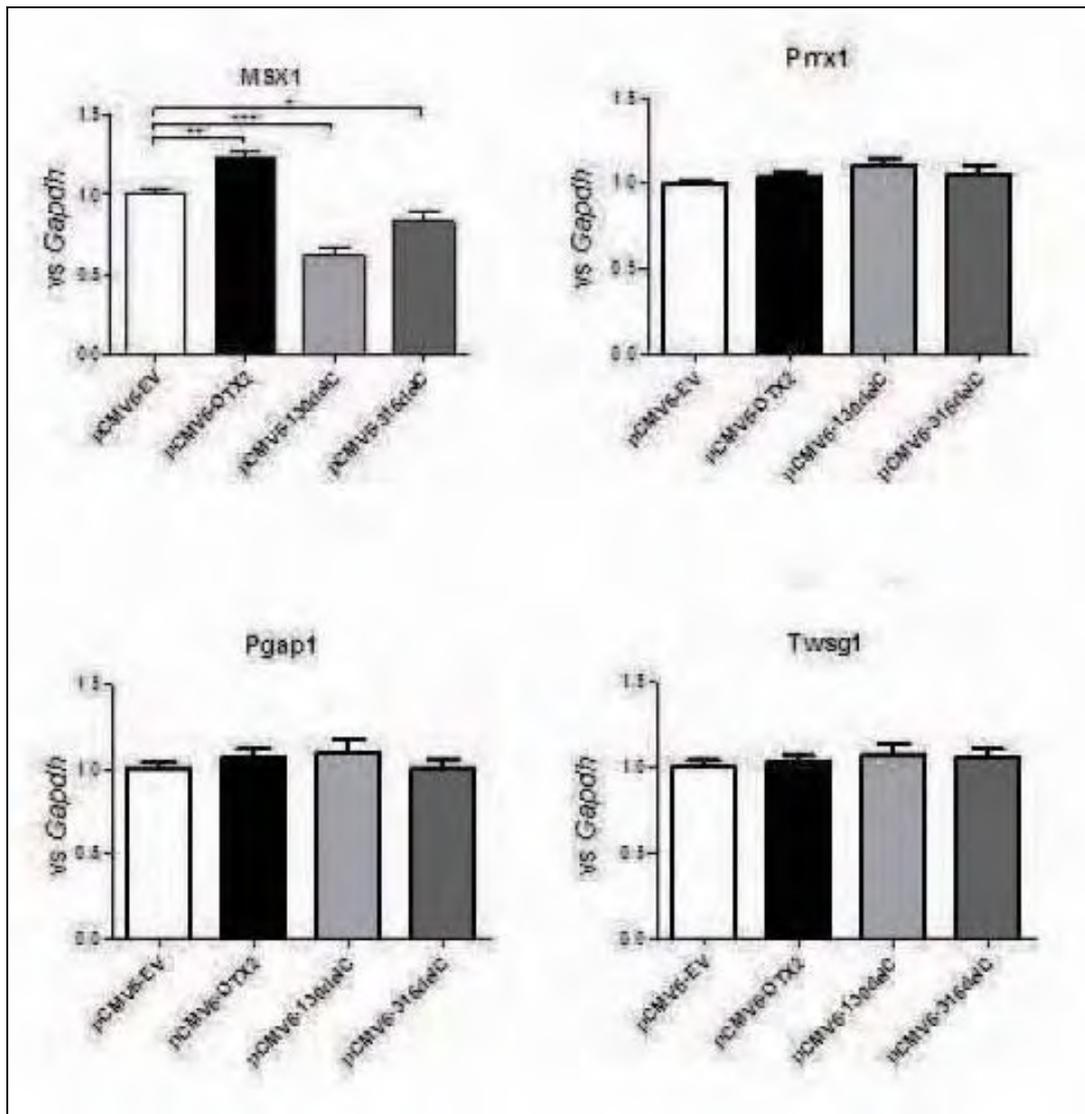
|                     |                   |            |                |                |          |
|---------------------|-------------------|------------|----------------|----------------|----------|
| 426dupC             | 402dupC           | frameshift | Ser143LeufsX2  | Ser135LeufsX2  | 9        |
| 428_429dupCT        | 404_405dupCT      | frameshift | Ser144LeufsX43 | Ser136LeufsX43 | 10       |
| 480_481delGAinsAT   | 456_457delGAinsAT | nonsense   | Trp160X        | Trp152X        | 3        |
| 487_488dupGC        | 463_464dupGC      | frameshift | Ser164LeufsX23 | Ser156LeufsX23 | 1        |
| 561T>A              | 537T>A            | nonsense   | Tyr187X        | Tyr179X        | 1        |
| 577_580dupTATA      | 553_556dupTATA    | frameshift | Ser194lefsX2   | Ser186lefsX2   | 3        |
| 586G>T              | 564G>T            | nonsense   | Gly196X        | Gly188X        | 5        |
| 674A>G              | 650A>G            | missense   | Asn233Ser      | Asn225Ser      | 11       |
| Whole gene deletion |                   |            |                |                | 2, 5, 12 |

Sequence variations were numbered with adenine of the ATG initiation codon considered as the first nucleotide. All mutations have been numbered according to GenBank accession numbers NM\_021728.2 and NM\_172337.1.

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**Figure 25: Analyse d'expression des gènes impliqués dans l'otocéhalie chez l'homme et/ou la souris après transfection par un vecteur exprimant *OTX2* normal ou muté**

La transfection dans des cellules 3T3 du vecteur d'expression *OTX2* (pCMV6-*OTX2*) entraîne une augmentation faible, mais statistiquement significative, de l'expression du gène *Msx1* par rapport à la transfection d'un vecteur vide (pCMV-EV). La transfection par des vecteurs d'expression *OTX2* porteur des mutations identifiées chez les patients otocéphales (pCMV-130delC ou pCMV-316delC) n'entraîne pas de modification de l'expression du gène *Msx1* suggérant une perte de fonction de la protéine secondaire à ces mutations. L'expression des gènes *Prrx1*, *Pgap1* et *Twsg1* n'est pas modifiée quelle que soit la construction transfectée.

## ARTICLE 5

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### Otocephaly-Dysgnathia Complex:

#### description of four cases and confirmation of the role of *OTX2*

*Molecular Syndromology*

2013-4: 302–305

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Dans les suites de notre publication démontrant le lien entre *OTX2* et otocéphalie, nous avons été contactés par une équipe hollandaise pour tester trois de leurs patients otocéphales dont un était porteur d'une délétion d'environ 400 kb n'emportant que le gène *OTX2*.

Aucune mutation des gènes *OTX2* et *PRRX1* n'a pu être mise en évidence chez les deux autres patients.

Tandis que nous réalisons les analyses moléculaires sur ces trois patients, une interruption de grossesse a été réalisée chez une patiente à la suite de la découverte anténatale de signes d'otocéphalie chez l'enfant à naître. Cette patiente était atteinte de microphthalmie unilatérale, et une mutation non-sens du gène *OTX2* avait été précédemment identifiée chez elle dans notre laboratoire. L'analyse moléculaire a confirmé la présence de la mutation familiale chez le fœtus. Cette observation, confirme la variabilité d'expression clinique des mutations de ce gène. Cette donnée est importante en termes de conseil génétique.

Dans cet article, nous rapportons les données phénotypiques et moléculaires de ces quatre patients.

# Otocephaly-Dysgnathia Complex: Description of Four Cases and Confirmation of the Role of *OTX2*

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## Key Words

Agnathia · Microphthalmia · Otocephaly · *OTX2* · *PRRX1*

## Abstract

Otocephaly-dysgnathia complex is characterized by mandibular hypo- or aplasia, ear abnormalities, microstomia, and microglossia. Mutations in the orthodenticle homeobox 2 (*OTX2*) and paired related homeobox 1 (*PRRX1*) genes have recently been identified in some cases. We screened 4 otocephalic cases for these 2 genes and identified *OTX2* mutations in 2 of them, thus confirming *OTX2* is implicated in otocephaly. No *PRRX1* mutation was identified. Interestingly, ocular involvement is not a constant feature in otocephalic cases with an *OTX2* mutation. In one case, the mutation was inherited from a microphthalmic mother. The mechanism underlying this intrafamilial phenotypic variability remains unclear, but other genetic factors are likely to be necessary for the manifestation of the otocephalic phenotype.

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Otocephaly-dysgnathia complex (ODC, OMIM 202650) is a rare malformation characterized by the association of agnathia or mandibular hypoplasia, antero-medial malposition of the ears (melotia) which can be merged into one median ear (synotia), microstomia, and aglossia or lingual hypoplasia [Gekas et al., 2010]. Association with holoprosencephaly, microphthalmia or anophthalmia, pituitary hypoplasia, and malformation of the extremities have been reported [Gekas et al., 2010]. The incidence of ODC is estimated to be 1 per 70,000 births. Paired related homeobox 1 (*PRRX1*) was the first gene to be associated with ODC, and to date, *PRRX1* mutations have been identified in 4 cases [Sergi and Kamnarsan, 2011; Celik et al., 2012; Donnelly et al., 2012; Dasouki et al., 2013]. Mutations of the orthodenticle homeobox 2 (*OTX2*) gene were more recently implicated in ODC in 2 families [Chassaing et al., 2012]. Apart from a personal or family history of ocular involvement described in some ODC patients with *OTX2* mutations, the phenotypes appear similar between ODC patients mutated either in *OTX2* or *PRRX1*. The molecular basis of this severe developmental disorder remains unclear in the majority of cases [Celik et al., 2012; Chassaing et al., 2012].

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**Fig. 1.** Photographs and X-rays of otocephalic case 1 (A–C), case 2 (D–F), case 3 (G–I), and case 4 (K–M). Cardinal features of ODC include: severe micrognathia (cases 2 and 3) or agnathia (cases 1 and 4), microstomia (present in all 4 cases) and low set ears (present in all 4 cases) with sometimes anteromedial position (melotia, cases 1 and 4). All 4 patients have downsloping palpebral fissures.

Here, we report 4 cases with ODC, of which one was described previously [Kauvar et al., 2010]. We searched for mutations in the *OTX2* and *PRRX1* genes and identified *OTX2* mutations in 2 cases. The clinical and molecular results of our 4 cases are described and compared to other reports.

## Patients and Methods

### Cases

#### Case 1

This boy was the second child of healthy, nonconsanguineous parents (father 39, mother 36 years old). The pregnancy was uneventful until 28 weeks of gestation, when an amniocentesis was performed because of an unexplained polyhydramnios. The karyo-

type was normal; 46,XY. The boy was born at 30 weeks of gestation. At birth, the absence of the mandible resulted in an airway obstruction causing an immediate respiratory distress. Intubation was impossible because of a severe microstomia. He died within the first hour of life. The boy weighed 1,505 g (40th percentile) with a length of 41.5 cm (50th percentile) and head circumference of 29.5 cm (60th percentile). He had a severe malformation of the face, including agenesis of the mandible, microstomia and persistent buccopharyngeal membrane (fig. 1A–C). The ears were dysmorphic and antero-caudally positioned. On external inspection, the eyes were normal and besides mild club feet, no other malformations were noted. No aberrations of the central nervous system were found on macroscopic or microscopic neuropathological examination. Heart, urogenital and intestinal organs were normal. There was no situs abnormality.

#### Case 2

This female has been reported previously [Kauvar et al., 2010]. She weighed 525 g (10th percentile) with a length of 31 cm (10–50th percentile) and a head circumference of 19.5 cm (3rd–10th percentile) at almost 24 weeks of gestation after an, until then, uncomplicated pregnancy (mother was 17 years old). She died during delivery and had extreme micrognathia with microstomia but a normal position of the ears with overfolded helices, downsloping palpebral fissures and camptodactyly of the fourth and fifth digits. Postmortem X-rays showed extreme micrognathia (fig. 1D–F) and 13 ribs bilaterally. Head and brain MRI showed absence of the mandible, probable choanal atresia and signs of semilobar holoprosencephaly (no central sulcus, dorsally fused ventricles, absent falx cerebri). A full-body MRI showed small kidneys and a hypoplastic spleen, but no situs abnormality. No abnormalities of the eyes were noted. The karyotype was normal: 46,XX. The parents were examined physically for signs of the holoprosencephaly spectrum, but showed no hypotelorism, midface hypoplasia, single central incisor, anosmia, or micrognathia.

#### Case 3

She was the first child of healthy, nonconsanguineous parents. The family history was unremarkable. A routine ultrasound at a gestational age of 25 weeks revealed a polyhydramnios. An amniocentesis was performed showing a normal 46,XX karyotype. An additional ultrasound showed severe microretrognathia and ear abnormalities. She was born at 26 weeks and 2 days of gestation and died within 90 min of birth due to severe respiratory failure. She weighed 800 g (50th percentile) and had a length of 31.5 cm (20th percentile) and a head circumference of 24.5 cm (60th percentile). Severe micrognathia due to a hypoplastic mandibulum, low set dysmorphic ears, a skin tag at the left ear, and microstomia were observed (fig. 1G–I). Eyes, thorax, abdomen, genitalia, and extremities were normal. Internal organs were normal apart from a relatively high weight of heart and adrenals. No situs inversus was noticed. An X-ray revealed fusion of some ribs and a hemivertebra. Neuropathology revealed a macroscopically and microscopically normal cerebrum and cerebellum.

#### Case 4

The pregnancy of this male fetus was terminated at 16 weeks gestation because of agnathia associated with bilateral microphthalmia. The mother had isolated severe unilateral microphthalmia.

mia and was known to have a nonsense mutation (p.Arg97\*) in the *OTX2* gene. She had already undergone a pregnancy interruption at 22 weeks gestation for unilateral microphthalmia without signs of mandibular malformation. Her father and her paternal grandmother were also affected by isolated unilateral microphthalmia. The male fetus presented with agnathia, astomia and aglossia, and had low, posteriorly rotated, paramedian and convergent ears. The pharyngeal floor was absent. He had bilateral microphthalmia with downslanted palpebral fissures (fig. 1K–M). The optic chiasma and pituitary gland could not be found. The abdominal and thoracic organs were normal, and there was no situs abnormality. X-rays confirmed the absence of the mandibular bone. The petrosal bone appeared smooth, and the semicircular canals were not well-shaped, but the cochlea was normal. Abnormalities of the extremities including brachymesophalangy of the fifth finger and bilateral talus valgus were present.

#### Molecular Screening

Informed consent for molecular analysis was obtained for each case. Genetic investigations included array-CGH (Agilent 105K) and direct sequencing of exons and flanking regions of the *PRRX1* and *OTX2* genes using previously published primer pairs [Chassaing et al., 2012]. Sequence variations were numbered considering the adenine of the ATG initiation codon as the first nucleotide (*OTX2* GenBank accession NM\_021728.2).

## Results

#### Array-CGH Analysis

Case 1 had an approximately 400-kb deletion in 14q23.1 including the whole *OTX2* gene. The proximal breakpoint was located between 56,184,175 and 56,268,038 and the distal breakpoint between 56,661,990 and 56,699,192 (oligo positions given in NCBI build 36.1/hg18 assembly). No other genes were included in the deletion. Parental analysis showed that this deletion had appeared de novo. Array-CGH revealed no non-polymorphic rearrangements for cases 2, 3 and 4.

#### Direct Sequencing of the *PRRX1* Gene

No mutations were found in the *PRRX1* gene in our 4 cases.

#### Direct Sequencing of the *OTX2* Gene

No additional mutations were identified in the *OTX2* gene in case 1 and no mutations were detected in cases 2 and 3. Case 4 had inherited the nonsense mutation c.289C>T (p.Arg97\*) from his mother, who suffered from unilateral severe microphthalmia. He also carried a heterozygous synonymous variation c.525C>G (p.(=)) inherited from his asymptomatic father.

## Discussion

The genetic basis of otocephaly is still largely unclear. To date, mutations in only 2 genes, *OTX2* and *PRRX1*, have been identified in a small number of ODC cases [Celik et al., 2012; Chassaing et al., 2012]. The most likely explanation for this is that only a small proportion of the causative genes have been identified as yet. In our small case cohort, we confirmed the role of *OTX2* in ODC and the broad phenotypic variability seen in familial *OTX2* mutations.

The first otocephalic gene described in humans was *PRRX1*, which has been involved in 3 cases reported to date. A candidate gene approach identified a heterozygous missense mutation (p.Phe113Ser) in a 30-week fetus with ODC [Sergi and Kamnasaran, 2011]. The *PRRX1* gene also appeared to be responsible for an autosomal recessive form of otocephaly. An infant born to consanguineous parents carried a nonpolymorphic *PRRX1* missense mutation (p.Ala231Pro) inherited from both parents [Celik et al., 2012]. Functional studies indicated that both missense mutations decrease the ability of the mutant protein to regulate the tenascin-C gene promoter, and as a consequence, they were considered deleterious. The finding of *PRRX1* de novo frameshift mutations (c.267delA, c.266\_269dupAAAA) in 2 unrelated families confirmed its role in autosomal dominant otocephaly [Donnelly et al., 2012; Dasouki et al., 2013]. However, mutations in this gene may be involved in fewer than 15% of ODC cases [Celik et al., 2012]; in the 4 cases reported here, no *PRRX1* mutations were identified.

The second otocephalic gene identified in humans is *OTX2*. *OTX2* mutations were firstly described in cases affected by microphthalmia or anophthalmia [Ragge et al., 2005], associated with a variable ocular phenotype and incomplete penetrance. More recently, we extended the phenotype associated with an *OTX2* mutation to otocephaly [Chassaing et al., 2012]. *OTX2* is the vertebrate homologue of the gene orthodenticle (*otd*) identified in drosophila as one of the major genes controlling the development of the head [Simeone et al., 1993]. In mice, the *Otx2* gene is expressed in the mesencephalic neural crest cells which are distributed to the developing mandibular region and rostral brain region [Kimura et al., 1997]. Homozygous *Otx2* mutant mice displayed early developmental failure and total absence of the structures corresponding to the anterior (rostral) part of the head [Matsuo et al., 1995]. Heterozygous *Otx2* mutants were found to display ODC phenotypes in variable proportions depending on the genetic background of the mice, suggest-

ing a role of a modifier gene [Matsuo et al., 1995]. These mutants also inconstantly displayed anophthalmia and holoprosencephaly.

Here, we report 2 additional *OTX2*-mutation ODC cases. The phenotype of *OTX2*-mutated cases seems to be indistinguishable from that of nonmutated ODC cases. Particularly eye involvement, which was the first phenotype associated with *OTX2* mutations, is absent in 2 out of the 4 ODC cases so far reported to be due to an *OTX2* mutation. We show that such mutations in ODC cases not only occur de novo (case 1 and Chassaing et al. [2012]) but that they can also be inherited from a microphthalmic parent (case 4 and Chassaing et al. [2012]). This has serious implications for the counseling of carriers of *OTX2* mutations. The extreme intrafamilial phenotypic variability has already been reported [Chassaing et al., 2012] and argues against any genotype/phenotype correlation. All the *OTX2* mutations reported so far in otocephalic cases are assumed to lead to complete loss-of-function of the protein or absence of protein production (whole gene deletion, premature nonsense or frame shift mutations), but mutations with a similar effect have also been described in microphthalmic cases [reviewed in Chassaing et al., 2012]. The hypothesis of modifier genes was proposed to explain this extreme variability in expression, even within families. In vivo modeling experiments in zebrafish demonstrated that *otx2* can interact genetically with 3 genes (*pgap1*, *prrx1* and *msx1*) which when suppressed in concert with *otx2* lead to exacerbated craniofacial defects far exceeding the defects that result from

*otx2* suppression alone. This suggests that the combinatorial effect of additional molecular lesions in the genome may explain the phenotypic variability associated with *OTX2* mutations [Chassaing et al., 2012]. However, which modifier genes are implicated in humans is unknown. Case 4, who was unique for his otocephaly in a 4-generation pedigree of autosomal dominant microphthalmia, inherited a synonymous *OTX2* variation from his healthy father, in addition to the nonsense *OTX2* mutation from his affected mother. Since the father had no abnormalities on ocular examination, it is unlikely that the silent *OTX2* variation explains the intrafamilial phenotypic variability between the fetus and his affected relatives.

Finally, the genetic cause remains unidentified in most cases of ODC. This argues for its broad genetic heterogeneity. Candidate gene approaches previously failed to identify any other genes. Further screening of cases without mutations in the *OTX2* and *PRRX1* genes and using next generation sequencing should help to decipher the molecular basis of this severe developmental defect.

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## III-2 : Analyse des phénotypes liés aux mutations du gène *STRA6*

### Introduction

Des mutations du gène *STRA6* ont été identifiées initialement dans des familles dans lesquelles des patients étaient atteints de syndrome de Matthew-Wood. Il s'agit d'un syndrome polymalformatif associant une atteinte oculaire a type d'AM et un spectre malformatif impliquant en particulier le cœur, les poumons et le diaphragme. Ce syndrome est également appeler spectre PDAC (pour Pulmonary, Diaphragmatic, Anophthalmia, Cardiac). Nous avons pu identifier des mutations délétères du gène *STRA6* dans une famille où trois patients étaient atteints d'AM. Deux présentaient une association malformative s'intégrant dans le cadre du syndrome de Matthew-Wood et un présentait une microphthalmie sévère bilatérale associée à une déficience intellectuelle et une spina bifida L5-S1. Nous avons alors suggéré que le spectre phénotypique pouvait être plus large que l'atteinte polymalformative rapportée initialement. Plusieurs patients avec des atteintes oculaires isolées ont d'ailleurs été rapportés secondairement, et nous avons spécifiquement entrepris de déterminer le spectre phénotypique lié aux mutations du gène *STRA6*.

### Méthodes et Résultats

Les travaux réalisés pour caractériser plus finement les conséquences cliniques secondaires à la présence de mutations du gène *STRA6* sont exposés dans ces trois articles :

- Article n°6

Chassaing, N *et al.* (2012). "Phenotypic spectrum of *STRA6* mutations: from Matthew-Wood syndrome to non-lethal anophthalmia." *Hum Mutat* 30(5): E673-81.

- Article n°7

Chassaing, N *et al.* (2013). "Mutation analysis of the *STRA6* gene in isolated and non-isolated anophthalmia/microphthalmia." *Clin Genet* 83(3): 244-50.

- Article n°8

Plaisancie, J. and Chassaing, N. (2013). "Microphthalmia 9 (PDAC)." *Inborn Errors of Development. The molecular basis of clinical disorders of morphogenesis. Third edition.*

Dans le premier article, nous décrivons les données cliniques et moléculaires de sept patients chez qui nous avons identifié des mutations du gène *STRA6*. Dans cet article, nous décrivons le premier patient porteur d'une atteinte oculaire sans autre signe cardinal du syndrome PDAC. A partir de cette observation, secondairement corrélée par d'autres publications, nous avons initié en collaboration avec le Dr Nicola Ragge (Oxford, UK) la recherche de mutation du gène *STRA6* dans une cohorte de 28 patients avec AM isolée ou associée à des éléments du spectre PDAC. Enfin, dans un troisième manuscrit, nous reprenons l'ensemble des données concernant le gène *STRA6* et les phénotypes associés.

### **Conclusion**

Notre travail a permis de montrer la variabilité d'expression clinique avec la possibilité d'atteintes oculaires sans les autres éléments issus de l'acronyme PDAC. Nous avons également souligné la fréquence importante de signes non inclus dans l'acronyme PDAC (tels que les atteintes du système digestif, du système rénal ou des organes génitaux externes). Enfin sur le plan moléculaire, nous avons montré l'absence de corrélation claire phénotype/génotype. Toutes ces informations sont importantes pour la prise en charge des patients et de leurs familles.

## ARTICLE 6

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**Phenotypic spectrum of *STRA6* mutations:  
from Matthew-Wood syndrome to non-lethal anophthalmia**

*Human Mutation*

2009-30(5):E673-81

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Dans le cadre du recrutement de patients AM, nous avons eu l'occasion de recenser des patients dont la présentation clinique pouvait correspondre au spectre du syndrome de Matthew-Wood. Nous avons recherché des mutations du gène, *STRA6*, qui venait d'être impliqué chez une partie des patients atteints de ce syndrome <sup>168, 169</sup>. Des mutations délétères ont pu être identifiées dans 4 familles différentes comportant au total 7 sujets atteints. Nous décrivons dans cet article les premiers patients adultes et les premières présentations cliniques "modérées" liées aux mutations du gène *STRA6*. Nous proposons également un lien entre les mutations de *STRA6* et le spina bifida observé chez un de nos patients. Cette étude nous a permis d'augmenter la connaissance du phénotype lié aux mutations du gène *STRA6* en apportant la description de 7 patients à un total de 14 patients précédemment décrits. De plus six nouvelles mutations sont venues préciser les atteintes liées aux 11 mutations précédemment rapportées.



## Phenotypic Spectrum of *STRA6* Mutations: from Matthew-Wood Syndrome to Non-lethal Anophthalmia



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**ABSTRACT:** Matthew-Wood, Spear, PDAC or MCOPS9 syndrome are alternative names used to refer to combinations of microphthalmia/anophthalmia, malformative cardiac defects, pulmonary dysgenesis, and diaphragmatic hernia. Recently, mutations in *STRA6*, encoding a membrane receptor for vitamin A-bearing plasma retinol binding protein, have been identified in such patients. We performed *STRA6* molecular analysis in three fetuses and one child diagnosed with Matthew-Wood syndrome and in three siblings where two adult living brothers are affected with combinations of clinical anophthalmia, tetralogy of Fallot, and mental retardation. Among these patients, six novel mutations were identified, bringing the current total of known *STRA6* mutations to seventeen. We extensively reviewed clinical data pertaining to all twenty-one reported patients with *STRA6* mutations (the seven of this report and fourteen described elsewhere) and discuss additional features that may be part of the syndrome. The clinical spectrum associated with *STRA6* deficiency is even more variable than initially described. ©2009 Wiley-Liss, Inc.

**KEY WORDS:** *STRA6*, anophthalmia, Matthew-Wood syndrome, PDAC syndrome, MCOPS9

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## INTRODUCTION

Variable combinations of microphthalmia/anophthalmia, pulmonary agenesis/dysplasia, diaphragmatic hernia and malformative cardiac defects have been infrequently reported over the last three decades (Ostor et al., 1978; Spear et al., 1987; Smith et al., 1994; Sellar et al., 1996; Berkenstadt et al., 1999; Priolo et al., 2004; Lee et al., 2006; Li and Wei, 2006; Chitayat et al., 2007; Golzio et al., 2007; Pasutto et al., 2007). Such associations have been called Matthew-Wood or Spear syndrome, while Chitayat et al. (2007) devised the acronym PDAC (Pulmonary hypoplasia/agenesis, Diaphragmatic hernia/eventration, Anophthalmia/microphthalmia and Cardiac Defect), and the Mendelian Inheritance in Man database has adopted the term MCOPS9 for "syndromic microphthalmia 9" (MIM# 601186). Recently, mutations in *STRA6* (MIM# 610745), encoding a membrane receptor for the vitamin A-bearing plasma retinol binding protein, have been found in patients with malformations in the PDAC spectrum (Golzio et al., 2007; Pasutto et al., 2007; White et al., 2008; West et al., 2009).

We report herein novel *STRA6* mutations in three fetuses and one child diagnosed with Matthew-Wood syndrome, and in three siblings where two adult living brothers are affected with combinations of clinical anophthalmia, tetralogy of Fallot, and mental retardation. This is the first description of adult patients bearing *STRA6* mutations. These additional cases emphasize that the clinical spectrum associated with *STRA6* mutations is extremely variable.

## PATIENTS AND METHODS

### Patients

#### Case 1

This male fetus from healthy and unrelated parents was delivered at 23 weeks of gestation after an ultrasound scan documented bilateral diaphragmatic hernia, anophthalmia and cardiopathy. Autopsy confirmed the presence of bilateral severe microphthalmia (Fig 1A), bilateral diaphragmatic hernia, and a complex heart malformation (hypoplastic left heart syndrome with common atrium and dextroposition of the aorta). The lungs were hypoplastic and dysplastic. The karyotype was 46, XY.

#### Case 2

This patient has previously been described (Chitayat et al., 2007; patient 7). Briefly, she was the fifth child of consanguineous parents, born at term after a normal pregnancy, with normal growth parameters. She displayed an association of bilateral anophthalmia (Fig 1B), heart malformation, subglottic laryngeal stenosis, bilateral unilobar lungs, hypoplastic left kidney and right vesico-ureteral reflux, supernumerary spleen and hypoplastic uterus. Her karyotype was 46, XX. She died at 19 months post-operatively for an unknown reason, after surgery was performed to expand ocular orbits.

#### Family 3 (Cases 3-1, 3-2, 3-3)

##### Case 3-1:

A 40 year old patient was referred after his healthy sister came in for genetic counseling. He is the first child of healthy and unrelated parents. He has moderate mental retardation associated with bilateral anophthalmia and tetralogy of Fallot. Facial dysmorphism includes very short palpebral fissures and closed eyelids, a thin nasal bridge and broad nasal tip (Fig 1C). The hands are small and broad. His height is 160 cm (-2.25 SD) and his karyotype is 46, XY.

##### Case 3-2:

A sister of case 3-1 died in the first days of life from a tetralogy of Fallot. She reportedly had bilateral clinical anophthalmia but did not undergo autopsy.

**Case 3-3:**

The adult brother of cases 3-1 and 3-2 is more severely mentally retarded than case 3-1, associated with autistic features. Cerebral CT scan demonstrates small residual ocular structures and presence of optic nerves, thus indicating an extreme bilateral microphthalmia. Cardiac examination shows no malformations. Radiological findings show decreased bone mineral density and a spina bifida occulta at L5-S1.

**Family 4 (cases 4-1 and 4-2)****Case 4-1:**

This was the third child of consanguineous parents. At 26 weeks of pregnancy, micro/anophthalmia, congenital heart disease and diaphragmatic hernia were diagnosed by ultrasound. Karyotype analysis was performed fetal blood sample (46,XY). At 38 weeks, an elective cesarean section was performed. The child died soon after birth due to respiratory insufficiency. At autopsy, the following observations were made: anophthalmia (absent globes but presence of optic nerves), left diaphragmatic hernia with partial herniation of stomach into thorax, a complex congenital heart malformation characterized by truncus arteriosus (absence of truncal septum, single valvular orifice and short common tract). Liver, pancreas, and gut were normal both macroscopically and histologically.

**Case 4-2:**

Brother of case 4-1. At 26 weeks of gestation, ultrasound revealed suspicion of anophthalmia, hypoplastic left lung and complex congenital heart disease (left rotation of cardiac axis, and thickened wall of the right heart), suggesting the recurrence of a clinical phenotype strikingly similar to the previous pregnancy. The child died soon after birth, again due to respiratory insufficiency. Clinical anophthalmia (Fig 1D) and hypoplastic left lung was confirmed. Echocardiography showed left rotation of the cardiac axis secondary to lung hypoplasia, but without heart malformation.



**Figure 1. Representative oculofacial phenotypes.** A: Case 1 had short palpebral fissures reflecting bilateral severe microphthalmia. B: Case 2 presented deep-set orbits, narrow palpebral fissures associated with anophthalmia and wide, diffuse implantation of eyebrows. C: Case 3-1 has mild facial dysmorphism with a broad nasal tip. This patient has orbital implants. D: Case 4-2 also had a broad nasal bridge and the deep-set orbits associated with clinical anophthalmia.

**STRA6 molecular analysis**

After informed consent for inclusion in the study was obtained from the parents, DNA was isolated by standard procedures from paraffin-embedded blocks of case 1, from frozen tissue samples of case 2, and from peripheral blood of cases 3-1, 3-3, 4-2 and their unaffected parents and siblings. *STRA6* noncoding and coding exons and exon-intron junctions were amplified by PCR using previously published primers (Golzio et al., 2007).

PCR fragments were subsequently purified with QIAquick Gel Extraction kits (QIAGEN SA France), and sequenced using the Big Dye DNA sequencing kit (Applied Biosystems, UK). Reactions were analyzed in an ABI3100 sequencer (Applied Biosystems, UK).

A sequence variant was considered as disease-causing when: (1) the variant cosegregated with the disease phenotype; and (2a) the sequence variant resulted in the prediction of a stop codon, or was predicted to lead to splice-site alteration (BDGP splice site prediction software); or (2b) the substitution involved an amino acid conserved between three vertebrate subclasses (ClustalW software) or (2c) the substitution was predicted to be functionally damaging (PolyPhen software); and (3) the sequence variant was absent from a panel of 200 chromosomes from unaffected, unrelated individuals.

Sequence variations were numbered based on GenBank accession NM\_022369.3. Nucleotide numbering reflects cDNA numbering with +1 corresponding to the A of the ATG translation initiation codon in the reference sequence, according to journal guidelines ([www.hgvs.org/mutnomen](http://www.hgvs.org/mutnomen)). The initiation codon is codon 1.

Table 1. PDAC/MCOPS9 features in *STRA6* mutated patients

|             |     | Nucleotide variation     | Protein alteration                     | Lung | Diaphragm | Eyes | Heart | Other  |
|-------------|-----|--------------------------|--|------|-----------|------|-------|--|
| This report | 1   | c.1090+1G>A<br>c.859C>T  | abnormal splicing<br>p.Gln287X         | +    | -         | +    | +     | -  |
|             | 2   | c.1662delG<br>c.1662delG | p.Arg555GlnfsX16<br>p.Arg555GlnfsX16   | +    | -         | +    | +     | Subglottic-laryngeal stenosis<br>Hypoplastic left kidney<br>Right vesico-ureteral reflux<br>Supernumerary spleen<br>Hypoplastic uterus |
|             | 3-1 | c.1313A>G<br>c.1913G>C   | p.Gln438Arg<br>p.Arg638Pro             | -    | -         | +    | +     | Mental retardation   |
|             | 3-2 | c.1313A>G<br>c.1913G>C   | p.Gln438Arg*<br>p.Arg638Pro*           | -    | -         | +    | +     | -  |
|             | 3-3 | c.1313A>G<br>c.1913G>C   | p.Gln438Arg<br>p.Arg638Pro             | -    | -         | +    | -     | Mental retardation<br>Short stature<br>Spina bifida occulta  |
|             | 4-1 | c.1329delC<br>c.1329delC | p.Leu444TrpfsX34*<br>p.Leu444TrpfsX34* | -    | +         | +    | +     | -  |
|             | 4-2 | c.1329delC<br>c.1329delC | p.Leu444TrpfsX34<br>p.Leu444TrpfsX34   | +    | -         | +    | -     | -  |

|                            |    | Nucleotide variation                             | Protein alteration                   | Lung          | Diaphragm     | Eyes           | Heart         | Other   |
|----------------------------|----|--|--------------------------------------|---------------|---------------|----------------|---------------|---|
| Pasutto <i>et al.</i> 2007 | 5  | c.878C>T<br>c.878C>T                             | p.Pro293Leu<br>p.Pro293Leu           | +             | -             | +              | +             | Ectopic pelvic kidney   |
|                            | 6  | c.878C>T<br>c.878C>T                             | p.Pro293Leu*<br>p.Pro293Leu*         | -             | -             | +              | +             | -   |
|                            | 7  | c.145-147delC<br>c.145-147delC                   | p.Gly50AlafsX22<br>p.Gly50AlafsX22   | -             | +             | +              | +             | Mental retardation<br>Short stature   |
|                            | 8  | c.145-147delC<br>c.145-147delC                   | p.Gly50AlafsX22<br>p.Gly50AlafsX22   | -             | +             | +              | -             | -   |
|                            | 9  | c.1963C>T<br>c.1963C>T                           | p.Arg655Cys<br>p.Arg655Cys           | +             | +             | +              | -             | Hypotonia<br>Failure to thrive  |
|                            | 10 | c.1963C>T<br>c.1963C>T                           | p.Arg655Cys*<br>p.Arg655Cys*         | -             | -             | +              | +             | -   |
|                            | 11 | c.1931C>T<br>c.1931C>T                           | p.Thr644Met<br>p.Thr644Met           | +             | +             | +              | -             | Hydronephrosis  |
|                            | 12 | c.1931C>T<br>c.1931C>T                           | p.Thr644Met*<br>p.Thr644Met*         | +             | -             | ?              | +             | Horseshoe kidney  |
|                            | 13 | c.1931C>T<br>c.1931C>T                           | p.Thr644Met*<br>p.Thr644Met*         | +             | -             | +              | +             |   |
|                            | 14 | c.269C>T<br>c.961A>C                             | p.Pro90Leu<br>p.Thr321Pro            | +             | +             | +              | +             | Hypoplastic kidneys<br>Bicornuate uterus  |
| Golzio <i>et al.</i> 2007  | 15 | c.50_52delACT<br>insCC<br>c.50_52delACT<br>insCC | p.Asp17AlafsX55<br>p.Asp17AlafsX55   | +             | -             | +              | -             | Annular pancreas<br>Duodenal stenosis<br>Intra-uterine<br>growth retardation                                      |
|                            | 16 | c.527_528insG<br>c.527_528insG                   | p.Gly176GlyfsX59<br>p.Gly176GlyfsX59 | +             | +             | +              | +             | Multilobed spleen<br>Duodenal stenosis<br>Pancreatic agenesis<br>Intra-uterine<br>growth retardation              |
| White <i>et al.</i> 2008   | 17 | c.650G>A<br>c.1774C>T                            | p.Gly217Glu<br>p.Gln592X             | -             | -             | +              | -             | Duplicated kidney<br>collecting system  |
| West <i>et al.</i> 2009    | 18 | c.31_32dupCC<br>c.69G>A                          | p.Gly13ProfsX72<br>p.Trp23X          | +             | +             | +              | +             | Intra-uterine<br>growth retardation<br>Cryptorchidism<br>Bilateral inguinal<br>hernias<br>Thin corpus<br>callosum |
| <b>Total (%)</b>           |    |  |                                      | 12/21<br>(57) | 10/21<br>(48) | 20/20<br>(100) | 14/21<br>(67) |   |

+ : presence ; - : absence ; ? : unknown ;

\* These patients had no molecular analysis but their genotype was deduced from that of an affected sib.

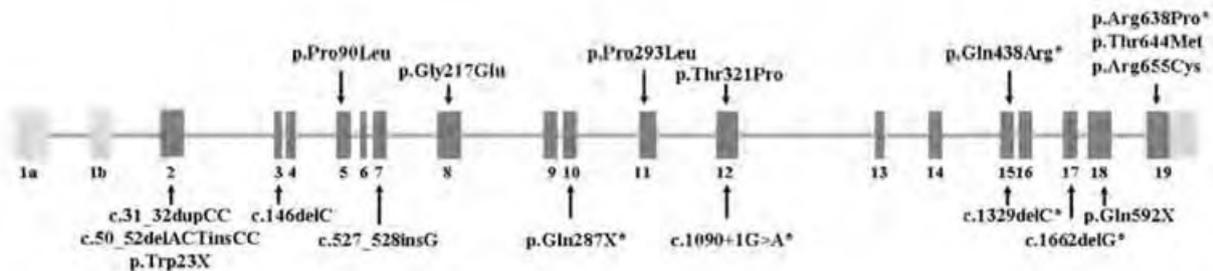
Sequence variations were numbered based on GenBank accession NM\_022369.3, with +1 corresponding to the A of ATG translation initiation codon.

## RESULTS AND DISCUSSION

*STRA6* molecular analysis was performed in cases 1, 2, 3-1, 3-3, and 4-2. Case 1 was compound heterozygous for a splicing mutation (c.1090+1G>A) and a stop codon (c.859C>T; p.Gln287X), both leading to the prediction of premature termination of transcription. Case 2 was homozygous for the mutation c.1662delG (p.Arg555GlufsX16), with a predicted premature stop codon. Case 3-1, like case 3-3, was compound heterozygous for two missense mutations, c.1313A>G (p.Gln438Arg) and c.1913G>C (p.Arg638Pro). Mutation p.Arg638Pro was inherited from the mother and p.Gln438Arg was inherited from the father. Both mutations involved a conserved amino acid (Figure 2), and were predicted *in silico* to be damaging (Polyphen software). Case 4-2, was homozygous for the mutation c.1329delC (p.Leu444TrpfsX34) leading to a premature termination of the translation. The positions of *STRA6* mutations described to date are represented on Figure 3.

|         | Glu 438               | Arg 638             |
|---------|-----------------------|---------------------|
| Human   | GLLV <b>Q</b> Q I I F | RGRA <b>R</b> WGLA  |
| Mouse   | GLLV <b>Q</b> Q V I F | QSRAR <b>R</b> WGLA |
| Chicken | GLLI <b>Q</b> Q V I F | RSRAR <b>R</b> WWLA |

**Figure 2:** Alignment of part of human, murine, and avian *STRA6* proteins, showing conservation of glutamine 438 and arginine 638 (shaded) in these species.



**Figure 3:** Locations of the different mutations identified to date. Missense mutations are positioned above the representation of *STRA6* gene, while nonsense and frameshift mutations are positioned underneath. Novel mutations identified in this study are indicated with an asterisk (\*).

To date, no correlations between the nature of a *STRA6* mutation and phenotypic severity have been found. Patients with missense mutations have had severe phenotypes, whereas some patients with truncating mutations have had milder clinical involvement (Golzio et al., 2007; Pasutto et al., 2007). In previously reported families, there was little intrafamilial variation in severity (Chitayat et al., 2007; Pasutto et al., 2007). Likewise, in the first family reported here, all three affected siblings had bilateral severe microphthalmia, while none was described having diaphragmatic or lung involvement. However, case 3-2 died in the first days of life in the 1970s without further investigation, and a lung defect or diaphragmatic hernia can not be ruled out. In addition, patients 3-1 and 3-2 had a tetralogy of Fallot while patient 3-3 had no cardiac malformation but rather a neural tube closure defect, not previously observed in association with PDAC syndrome.

Patients 3-1 and 3-3 are the first adult patients described with *STRA6* mutations, although other mutated children have already been reported (Pasutto et al., 2007; White et al., 2008). It is interesting to note that apart from clinical anophthalmia, none of the other principal features of PDAC syndrome (diaphragmatic, pulmonary or

cardiac involvement) is systematically present in those patients with *STRA6* mutations currently reported. Including these seven cases, mutations in *STRA6* have been observed in 21 phenotypically diverse patients sharing features of the MCOPS9 syndrome (Golzio et al., 2007; Pasutto et al., 2007; White et al., 2008; West et al., 2009). Their clinical presentation is summarized in Table 1. Phenotypic variability could be related to vitamin A metabolic variability (from absorption to degradation) in either fetuses or their pregnant mothers.

Bilateral microphthalmia/anophthalmia was constant and cardiopathy frequent (14/21; 67%); pulmonary and/or diaphragmatic involvement were present in about half of the patients. Moreover, additional features appear to be associated with *STRA6* mutations, such as renal abnormalities (6/21), intra-uterine growth retardation (3/21), uterine malformations (2/21), and spleen and/or pancreatic malformations with attendant duodenal atresia (2/21) (Martinovic-Bouriel et al., 2007; White et al., 2008; West et al., 2009). Interestingly, mental retardation appears to be a constant finding in living patients.

Considering this phenotypic variability, it remains difficult to conclude whether Matthew-Wood/Spear/PDAC is a genetically homogeneous syndrome or an association of distinct syndromes overlapping in their clinical presentation. Negative molecular analysis for *STRA6* mutations in some PDAC patients suggests that this spectrum of anomalies is probably genetically heterogeneous, even though *STRA6* screening may ignore some mutations (such as exonic rearrangements, splicing mutations distant from the coding sequence, or mutations in regulatory sequences) (Chitayat et al., 2007; Golzio et al., 2007; Pasutto et al., 2007). *STRA6* was recently identified as the cell membrane receptor for plasma retinol binding protein, which transfers circulating vitamin A from the blood into target cells (Kawaguchi et al., 2007). All *STRA6* mutations associated with human disease to date have been shown to largely abolish vitamin A uptake activity (Kawaguchi et al., 2008). It therefore remains likely that other genes implicated in the control of vitamin A intracellular levels during embryonic development are causative in those MCOPS9 associations not linked to *STRA6* mutations. The vitamin A signalling pathway directly regulates the levels of over 500 target proteins (Blomhoff and Blomhoff, 2006) and its own metabolism, while imperfectly understood, involves dozens of intracellular enzymes.

Extensive data from teratogenic and genetic animal models, as well as from Donnai-Barrow syndrome (MIM# 222448) patients with *LRP2* mutations, confirm the important role of vitamin A in human diaphragm and lung development (Kluth et al., 1990; Kantarci et al., 2007). Case 33, with a minor form of spina bifida, has the first reported association of *STRA6* mutations with a neural tube closure defect, which is a result of vitamin A metabolite deficiency in mouse models (Kastner et al., 1995). Splenic, pancreatic, intestinal and urogenital malformations sometimes observed in Matthew-Wood patients, as well as the conotruncal nature of the cardiac defects, are also effects of lower perceived retinoid levels in the primordia of these organs in embryonic mice (Kastner et al., 1995).

In conclusion, we report herein five new patients with MCOPS9 syndrome caused by *STRA6* mutations. These data contribute to an expanding database of *STRA6* mutations and to the delineation of the phenotypic variability in patients with such mutations. Further molecular studies on Matthew-Wood/Spear/PDAC/MCOPS9 patients may identify mutations in other genes implicated in the retinoic acid signaling pathway.

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## ARTICLE 7

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### Mutation analysis of the *STRA6* gene in isolated and non-isolated anophthalmia/microphthalmia

*Clinical Genetics*

2013-83(3): 244-50

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Compte tenu de la variabilité phénotypique observée chez les patients que nous avons décrits, et ceux de la littérature, nous avons essayé de déterminer les critères diagnostiques pouvant orienter l'indication de l'analyse moléculaire du gène *STRA6*. Pour cela, nous avons, en collaboration avec le Dr Nicola Ragge, recherché des mutations du gène *STRA6* chez 28 patients avec des présentations cliniques variées. Sept avaient une AM isolée, 14 une AM associée à un autre critère de l'acronyme PDAC, 3 présentaient un spectre PDAC complet et 7 une AM associée à d'autres malformations. Nous avons identifié des mutations chez deux patients. Un patient avec un tableau complet de syndrome de Matthew-Wood était homozygote pour une mutation d'épissage. L'autre, présentait l'association d'une microphtalmie bilatérale, d'une tétralogie de Fallot et d'une hypoplasie rénale. Chez ce dernier nous avons identifié deux mutations hétérozygotes composites, une mutation faux sens et une délétion emportant une partie de l'exon 15 et les exons 16 à 18. Cet article nous a permis de confirmer l'hétérogénéité clinique du syndrome de Matthew-Wood, puisque aucune mutation du gène *STRA6* n'a été retrouvée chez deux des trois patients avec un tableau complet. Les résultats suggèrent également que la probabilité de trouver des mutations du gène *STRA6* est plus importante dans les AM associées aux malformations du spectre PDAC que dans les AM isolées. Ils ne permettent cependant pas de définir de critères diagnostiques de ce syndrome.





## Short Report

# Mutation analysis of the *STRA6* gene in isolated and non-isolated anophthalmia/microphthalmia

Chassaing N, Raggé N, Kariminejad A, Buffet A, Ghaderi-Sobi S, Martinovic J, Calvas P. Mutation analysis of the *STRA6* gene in isolated and non-isolated anophthalmia/microphthalmia. Clin Genet 2013; 83: 244–250. © John Wiley & Sons A/S. Published by Blackwell Publishing Ltd, 2012

PDAC syndrome [Pulmonary hypoplasia/agenesis, Diaphragmatic hernia/eventration, Anophthalmia/microphthalmia (A/M) and Cardiac Defect] is a condition associated with recessive mutations in the *STRA6* gene in some of these patients. Recently, cases with isolated anophthalmia have been associated with *STRA6* mutations. To determine the minimal findings associated with *STRA6* mutations, we performed mutation analysis of the *STRA6* gene in 28 cases with anophthalmia. In 7 of the cases the anophthalmia was isolated, in 14 cases it was associated with one of the major features included in PDAC and 7 had other abnormalities. Mutations were identified in two individuals: one with bilateral anophthalmia and some features included in PDAC, who was a compound heterozygote for a missense mutation and a large intragenic deletion, and the second case with all the major features of PDAC and who had a homozygous splicing mutation. This study suggests that *STRA6* mutations are more likely to be identified in individuals with A/M and other abnormalities included in the PDAC spectrum, rather than in isolated A/M cases.

### Conflict of interest

Nothing to declare.

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Key words: anophthalmia – cryptophthalmos – Matthew-Wood – MCOPS9 – microphthalmia – PDAC – *STRA6*

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The acronym PDAC [Pulmonary hypoplasia/agenesis, Diaphragmatic hernia/eventration, Anophthalmia/microphthalmia (A/M) and Cardiac Defect] was introduced by Chitayat et al. (1) to reflect the major components of the syndrome previously reported

as Matthew-Wood or Spear syndrome [MCOPS9 (MIM 601186)]. Mutations in *STRA6* (Stimulated by Retinoic Acid gene 6) (MIM 610745), which encodes a membrane receptor for the vitamin A-bearing plasma retinol-binding protein (2), have been identified in

## STRA6 mutations and associated phenotypes

23 patients with PDAC malformations (3–9). It has been shown that the clinical spectrum associated with mutations in the *STRA6* gene is more variable than initially described, ranging from PDAC to isolated anophthalmia (3, 8, 10). It has therefore been suggested that mutation analysis in the *STRA6* gene should be performed in individuals with isolated anophthalmia (6, 8, 10). To determine minimal phenotypic criteria associated with *STRA6* mutations (6), we performed mutation analysis of the *STRA6* gene in a cohort of 28 patients with isolated and non-isolated A/M.

### Patients and methods

#### Patients

After obtaining informed consent, we performed *STRA6* molecular analysis in 28 individuals; 7 had isolated anophthalmia and 21 had A/M associated with other malformations. Among the latter, three had all the major features of the PDAC spectrum (pulmonary, diaphragmatic, ocular and cardiac involvement), 14 had A/M with at least one other major PDAC feature, and 4 had A/M with other anomalies. The clinical data are summarized in Table 1; the phenotypes of the two individuals with *STRA6* mutations are described below.

#### Case 10

A male child was born at 40 weeks, 5 days' gestation to healthy non-consanguineous parents of Caucasian descent. His birth weight was 3.1 kg (9–25th centile), length 51.5 cm (9–25th centile), and head circumference 34 cm (25th centile). Detailed fetal ultrasound at 18 weeks' gestation showed tetralogy of Fallot. Physical examination after delivery showed bilateral microphthalmia and echocardiography confirmed the tetralogy of Fallot and also showed total anomalous pulmonary venous drainage. The cardiac anomalies were both corrected at 5 months of age. Abdominal ultrasound showed bilateral small kidneys with a single cyst on the left kidney. His karyotype was normal and male (46, XY). Detailed family history revealed that his paternal grandfather had congenital cataract, surgically removed at 5 years of age and was visually impaired, and his paternal grandmother had unilateral iris coloboma.

His motor development was normal for a visually impaired child. His speech, although initially delayed was normal by 2 years of age. Assessment at 2.3 years of age showed that his height was 83.5 cm (2nd–9th centile), weight 10.45 kg (2nd centile), and head circumference 46.5 cm (0.4th centile). He was wearing bilateral ocular prostheses and had a left inguinal hernia. At age 2.5 years he was diagnosed with mild renal insufficiency.

#### Case 22

The case was a 17-week-old male fetus. His parents were healthy, consanguineous and of Iranian descent and he was the product of the couple's second pregnancy. The couple's first pregnancy resulted in a neonatal death of a daughter who was born at term and

was found to have multiple congenital abnormalities, including bilateral anophthalmia, cryptophthalmos, left pre-axial polydactyly of the hand, bilateral lung agenesis, and a small heart with a ventricular septal defect. Unfortunately, neither photograph nor DNA sample was obtained.

The pregnancy with case 22 was complicated, with ultrasound demonstrating multiple abnormalities of the fetus, including bilateral elevation of diaphragm, severely hypoplastic lungs and a small, left-rotated heart and an enlarged and elevated liver. The findings were discussed with the parents who decided to terminate the pregnancy. Autopsy was consented and showed bilateral diaphragmatic eventration, bilateral lung agenesis, hypoplastic left atrium and hypoplastic pulmonary arteries. The weight of the liver and heart was within the normal range. In addition, the fetus had bilateral anophthalmia (Fig. 1b), and polysplenia.

#### *STRA6* molecular analysis

##### Direct sequencing

DNA was isolated from peripheral blood or from paraffin-embedded tissue. *STRA6* exons and exon–intron junctions were sequenced as previously described (3).

##### QMPSF analysis

To confirm the heterozygous exonic deletion detected in patient 10 (see Results section), we used a quantitative multiplex polymerase chain reaction (PCR) amplification of short fluorescent fragments (QMPSF) technique. Primer pairs for amplifying *STRA6* exons 16, 18 and 19 and two control exons were used to construct two multiplex PCR sets. All primers carried a 10 nucleotide sequence extension at their 5' end as previously described. The forward primers were 5'-labeled with the 6-FAM fluorochrome (PCR conditions and primer sequences are available on request). DNA fragments generated by QMPSF were separated on an ABI 3100 sequencer (Applied Biosystems, Warrington, UK), and the fluorescence profiles were analyzed with Genemapper v3.1 software (Applied Biosystems).

##### Characterization of the deletion breakpoint

QMPSF identified deletion of at least exons 16–18. We performed long-range PCR using the Expand Long Template PCR kit (Roche Diagnostics, Meylan, France) with *STRA6* primers 14-forward and 19-reverse. The deleted long-range PCR fragment was purified on 1% agarose gel, sequenced, and compared to the *STRA6* reference genomic sequence (GenBank accession number NM\_022369.3).

## Results

##### Direct sequencing

We identified two patients with pathogenic mutations; molecular analysis of the 26 remaining patients detected

Table 1. Phenotype of patients included in this study

| Patient | Family history   | Consanguinity | STRAB Mutation                                   | Lung | Diaphragm            | Eyes  | Heart   | Other  |
|---------|--|---------------|--|------|----------------------|---|---|--|
| 1       | -  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | -  |
| 2       | Maternal great-grandfather with unilateral anophthalmia  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | -  |
| 3       | -  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | -  |
| 4       | -  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | -  |
| 5       | -  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | -  |
| 6       | Sister with similar phenotype  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | Cleft palate<br>Deafness<br>Hydrocephalus  |
| 7       | -  | -             | -  | -    | -                    | Right microphthalmia<br>Left microphthalmia | Double outlet right ventricle   | -  |
| 8       | -  | -             | -  | -    | Diaphragmatic hernia | Unilateral microphthalmia                   | -   | Deleman syndrome<br>Kidney dysplasia   |
| 9       | -  | -             | -  | -    | -                    | Bilateral anophthalmia                      | -   | -  |
| 10      | Paternal grandfather with congenital cataract<br>Paternal grandmother with unilateral pupil defect | -             | c.1120T>C [p.Cys374Arg]<br>c.1343_1841+51delinsT | -    | -                    | Bilateral anophthalmia                      | Tetralogy of Fallot   | Left inguinal hernia<br>Short stature<br>Small kidneys with single cyst<br>Renal failure |
| 11      | -  | +             | -  | -    | -                    | Unilateral colobomatous microphthalmia      | Truncus arteriosus  | -  |
| 12      | Maternal niece with blindness  | +             | -  | -    | -                    | Bilateral anophthalmia                      | Moderate pulmonary and supraaortic pulmonary stenosis,<br>Atrio-ventricular septum defect | -  |
| 13      | -  | +             | -  | -    | -                    | Unilateral anophthalmia                     | -   | -  |

Table 1, Continued

| Patient | Family history  | Consanguinity | STRA6 Mutation         | Lung                    | Diaphragm                           | Eyes                     | Heart   | Other  |
|---------|---|---------------|------------------------|-------------------------|-------------------------------------|--------------------------|---|--|
| 14      | Brother with Joubert syndrome   | +             | -                      | -                       | -                                   | Bilateral anophthalmia   | -   | -  |
| 15      | -   | +             | -                      | -                       | -                                   | Unilateral anophthalmia  | -   | Corpus callosal hypoplasia   |
| 16      | -   | -             | -                      | Bronchiectasis          | -                                   | Unilateral anophthalmia  | Complex heart malformation                                    | Undescended testes<br>Umbilical and inguinal hernias                                       |
| 17      | -   | -             | -                      | -                       | -                                   | Unilateral anophthalmia  | Complete atrio-ventricular septum defect<br>Pulmonary atresia | Polydactyly<br>Bilateral cleft lip and palate<br>Kyphoscoliosis<br>Craniosynostosis        |
| 18      | -   | -             | -                      | -                       | -                                   | Bilateral anophthalmia   | Coarctation of the aorta<br>Pulmonary atresia                 | Severe unilateral hearing loss   |
| 19      | -   | -             | -                      | Bilateral lung agenesis | Bilateral diaphragmatic eventration | Bilateral microphthalmia | Atrial septal defect  | Choanal atresia,<br>Laryngotracheal agenesis   |
| 20      | Sibling with tetralogy of Fallot and bilobar right lung                                       | -             | -                      | -                       | -                                   | Bilateral anophthalmia   | -   | Bilateral renal hypoplasia<br>Anterior pituitary agenesis<br>Right carotid artery agenesis |
| 21      | -   | -             | -                      | -                       | Left diaphragmatic hernia           | Bilateral microphthalmia | -   | Bladder outlet obstruction<br>Left renal agenesis and<br>Right multicystic kidney          |
| 22      | Sibling with bilateral anophthalmia, polydactyly, bilateral lung agenesis, and enlarged liver | +             | c.1521-1G>A homozygous | Bilateral lung agenesis | Bilateral diaphragmatic eventration | Bilateral anophthalmia   | Dilated ductus arteriosus<br>Left atrium hypoplasia           | Enlarged liver<br>Hypoplastic spleen<br>Polysplenia  |
| 23      | Sibling with Matthew-Wood syndrome  | +             | -                      | Bilateral lung agenesis | Diaphragmatic hernia                | Bilateral anophthalmia   | Complex heart malformation                                    | Pelvic kidney  |

Table 1. Continued

| STR6 Mutation | Family history | Consanguinity | Lung                                     | Diaphragm                      | Eyes  | Heart                      | Other  |
|---------------|----------------|---------------|--|--------------------------------|---|----------------------------|--|
| -             | -              | -             | -  | Left diaphragmatic eventration | Bilateral microphthalmia                        | -                          | -  |
| -             | -              | -             | Bilateral lung hypoplasia                | Left diaphragmatic eventration | Bilateral microphthalmia                        | -                          | Uterine duplication                                    |
| -             | -              | -             | Bilateral lung agenesis                  | -                              | Bilateral microphthalmia with retinal dysplasia | -                          | Bilateral renal dysplasia                              |
| -             | -              | -             | Unilateral left lung Pulmonary dysplasia | -                              | Bilateral microphthalmia                        | Complex heart malformation | Cystic right kidney<br>Hypoplastic semicircular canals |
| -             | -              | -             | -  | -                              | Bilateral microphthalmia                        | Atrial septal defect       | Pelvic/cecal dilatation<br>Chin fibrochondroma         |

+, present; -, absent.

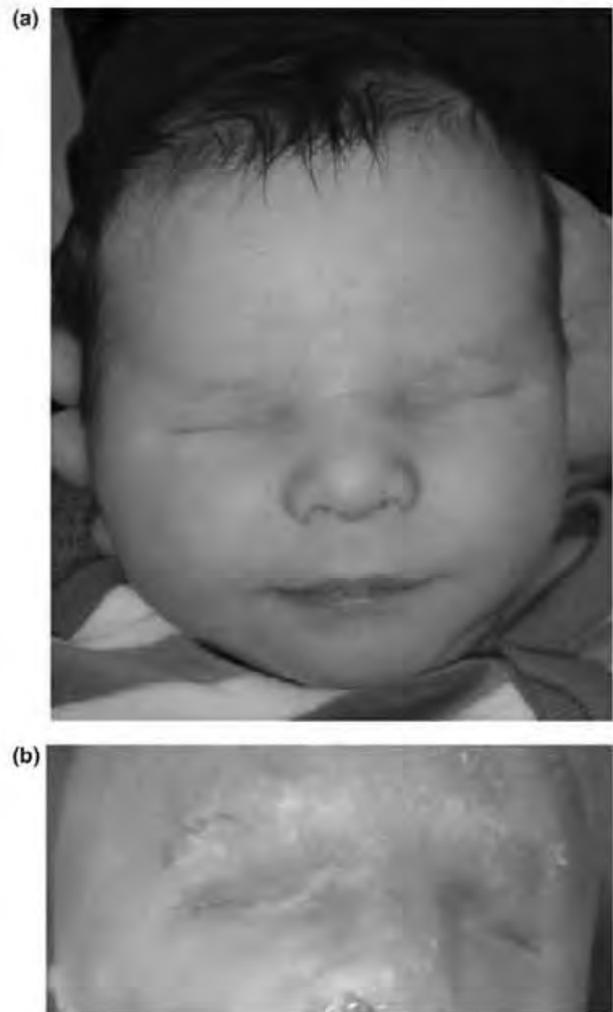


Fig. 1. STR6 patients. (a) Facial photograph of individual 10 as an infant demonstrating bilateral anophthalmia. (b) Facial photograph of case 22.

only known single-nucleotide polymorphisms (SNPs). In case 22, who had PDAC complex, a homozygous splicing mutation in intron 16 (c.1521-1G>A) was identified. Both asymptomatic parents were heterozygous for this mutation. In patient 10, who had bilateral anophthalmia, cardiac and renal involvement, we identified a heterozygous missense mutation c.1120T>C in exon 13 (p.Cys374Arg), also present in the mother and maternal grandfather who were phenotypically normal. Using an informative SNP, c.1685-24T>C (rs12913041), located in intron 17, it was clear that a deletion of the paternal allele may have occurred. QMPSF analysis confirmed a heterozygous deletion involving at least exons 16 and 18, but not exon 19 (Fig. 2a).

Characterization of the deletion breakpoint

Sequencing of the fragment amplified by long range PCR revealed that the deletion extended from the middle of exon 15 into intron 18 (c.1343\_1841 + 51delinsT,

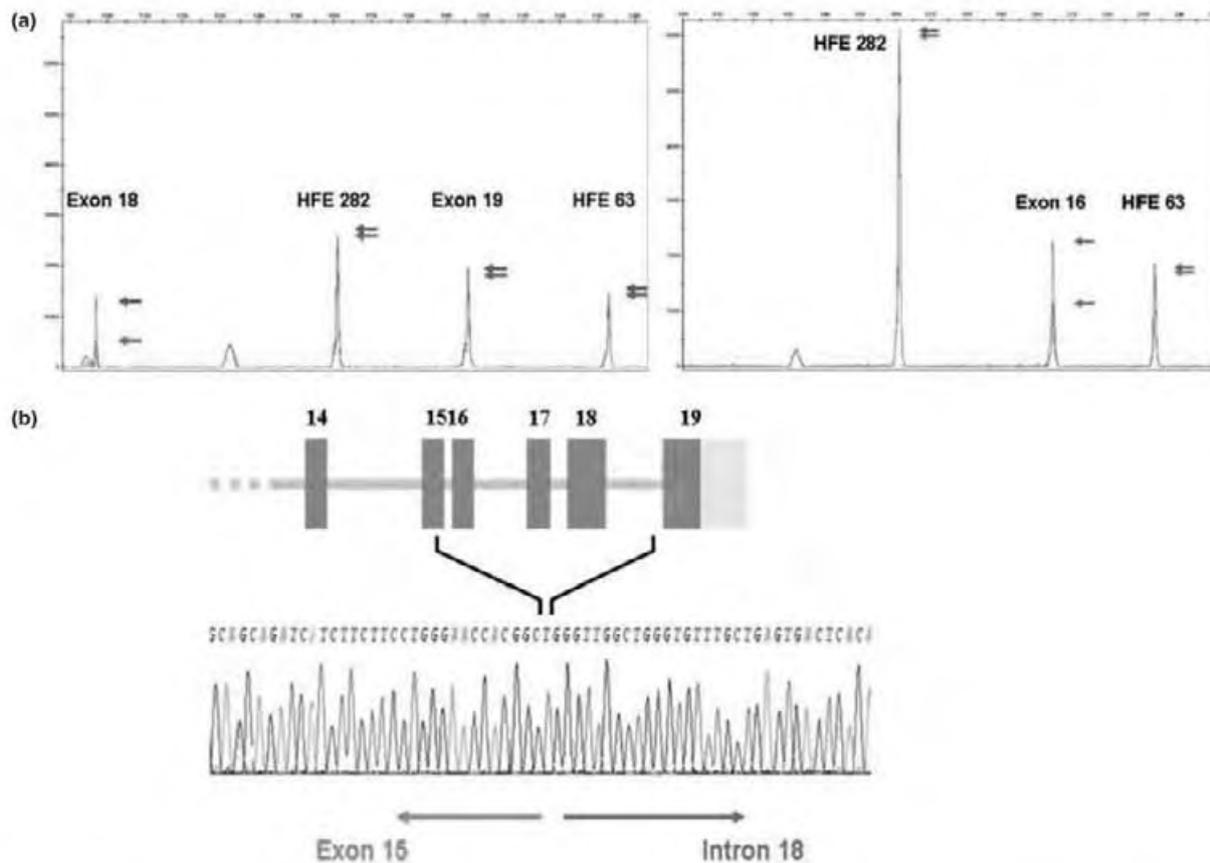


Fig. 2. Illustration of the *STRA6* exon 15 to intron 18 deletion in case 10. (a) Quantitative multiplex polymerase chain reaction (PCR) amplification of short fluorescent fragments (QMPSF) results demonstrating deletion of *STRA6* exons 16 and 18. Amplification profiles of case 10 (in red), and normal control (in blue) are superimposed; with the intensity of each peak indicated by an arrow; patient (red), control (blue). Normalization profiles are shown, using the control amplicons (HFE282 and HFE63). The heterozygous deletion of *STRA6* exons 16 and 18 is shown by the halving of the intensity of the *STRA6* exon 16 and 18 peaks. Note that there is no reduction in exon 19 peak intensity which is not deleted in this patient. (b) Delineation of the extent of the deletion by direct sequencing of the fragment breakpoints after amplification by long range polymerase chain reaction.

Fig. 2b). This deletion was present in the healthy father and the paternal grandfather who had congenital cataract.

### Discussion

Although mutations in the *STRA6* gene were initially identified in individuals with complete features of PDAC, recently *STRA6* mutations have been identified in individuals with isolated M/A (8, 10) as well as cases with microphthalmia, neural tube defect (3); bilateral anophthalmia, duplicated renal collecting system (8); bilateral anophthalmia and tetralogy of Fallot (3, 6). Segel et al. (6) suggested that all patients with isolated anophthalmia should have mutation analysis of the *STRA6* gene. To define the spectrum of clinical manifestations associated with *STRA6* mutations, we performed mutation analysis of the *STRA6* gene in a cohort of 28 patients: 7 with isolated anophthalmia and 21 with A/M associated with other abnormalities. We identified *STRA6* mutations in two cases with A/M and anomalies included in the PDAC phenotype, but not in any cases with isolated anophthalmia.

To date, ocular involvement has featured in all *STRA6* mutated patients, perhaps reflecting a greater sensitivity of the developing eye to reduced levels of *STRA6*. However, this finding may also reflect a bias of ascertainment. Other major features of PDAC variably present in individuals with *STRA6* mutations, are cardiac, lung and diaphragmatic involvement with a frequency of 55% (21/38), 39% (15/38) and 29% (11/38), respectively (this study and 3–10). Additional features include anomalies of the kidney (9/38), spleen (4/38), uterus (3/13 females), and pancreas with accompanying duodenal atresia (2/38), intrauterine growth retardation (3/38), and inguinal hernia (3/38) (this study and 3–10). Cognitive impairment has been documented in some of the living patients (3/16) (3, 5). Some dysmorphic features, such as 'bushy' eyebrows, hypoplastic nipples and hypoplastic toenails have been described. Other, less frequent findings, are thymic hypoplasia, subglottic laryngeal stenosis, cleft palate, pulmonary capillary dysplasia, a thin or absent corpus callosum, arhinencephaly and Dandy–Walker malformation (11). Polydactyly, a finding present in patient 22's sister has not previously been reported in association with *STRA6*

mutations, and may be a rare additional feature. Many of these features reflect the important role that the Vitamin A pathway has in L-R axis determination, and in interactions with limb and face morphogens, such as sonic hedgehog (12).

There is no clear genotype/phenotype association with *STRA6* mutations. Some patients with two missense mutations in *STRA6* exhibited multiple major anomalies of the PDAC spectrum and died shortly after birth (5) while one patient with two frameshift mutations was alive at the age of 14 years (5). It has been suggested that compound heterozygosity for missense mutations may explain milder phenotype (6). However, one patient who was a compound heterozygote for two missense mutations had a severe clinical presentation (5). Of note, the majority of patients (15/17) with milder phenotypes (i.e. with only one or two major features of the PDAC spectrum) reported so far harbor one (8, 9) or two missense mutations (this report and 3, 5, 6). Two patients with frameshifting *STRA6* mutations have been described with only two major features of the PDAC spectrum (anophthalmia and diaphragmatic hernia in one, and anophthalmia with lung hypoplasia in the other). Both cases were fetuses following pregnancy terminations at 23 and 26 weeks' gestation with limited postmortem examination. It is thus difficult to exclude the existence of other major anomalies (3, 5). Inter- and intra-familial clinical variability has also been described (3, 5, 10). Thus genetic background, as well as stochastic effects are likely to influence the phenotypic severity.

Our findings raise the possibility that heterozygosity for the *STRA6* gene mutation may be associated with ocular abnormalities. Although the parents of patient 22, and the parents and maternal grandfather of patient 10 who were heterozygous for an *STRA6* mutation, had normal eyes, the paternal grandfather, who shared the c.1343\_1841+51delinsT change, had congenital cataract. Two further individuals, heterozygous for an *STRA6* mutation with eye involvement have been reported: one had bilateral coloboma of the retina and iris (4), while the other had a right optic disc coloboma, left iris coloboma and left microphthalmia (9). It has been shown that *STRA6* is expressed in the anterior part of the embryonic lens (13) and thus heterozygosity for an *STRA6* mutation may have contributed to these ocular abnormalities.

*STRA6* gene mutations have not been found in all cases with PDAC syndrome (1, 5). It is possible that some types of *STRA6* mutations (such as exonic rearrangements, splicing mutations distant from the coding sequence, or mutations in regulatory sequences) may have been missed by the molecular analysis strategies used so far. However, since *STRA6* is part of a complex vitamin A – retinol binding protein pathway (2), disruption of other genes in this pathway may cause a PDAC phenotype.

In conclusion, our findings emphasize the phenotypic variability associated with *STRA6* mutations, and underline the difficulty in defining precise clinical criteria that could be useful when considering *STRA6*

molecular analysis. To date, *STRA6* mutation analysis have been found in cases with least ocular abnormalities. To further delineate the clinical manifestations associated with *STRA6* mutations it would be worthwhile screening cohorts of patients with other major features included in PDAC, such as isolated diaphragmatic hernia or eventration to determine the spectrum of anomalies associated with this gene mutation.

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## ARTICLE 8

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### **Microphthalmia 9 (PDAC)**

*Inborn Errors of Development.*

*The molecular basis of clinical disorders of morphogenesis*

Third Edition

J. Plaisancie and **N. Chassaing**

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Dans ce chapitre de livre, nous avons fait une revue de la littérature sur les aspects phénotypiques liés aux mutations du gène *STRA6*, les critères diagnostiques et les diagnostics différentiels. Nous reprenons l'histoire de l'identification de ce gène, les aspects moléculaires et le rôle de *STRA6* et de la vitamine A dans le développement embryonnaire.



# Inborn Errors of Development

The molecular basis of clinical disorders of morphogenesis

## **"Microphthalmia 9 (PDAC)"**

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a. Chapter summary

Microphthalmia is defined as a globe with a total axial length that is at least two standard deviations below the mean for age. The classification of microphthalmia is based upon the severity of axial length reduction and the anatomic appearance of the ocular globe. The most severe form of this eye defect is anophthalmia which refers to the complete absence of the globe (in the presence of ocular adnexa). The prevalence of this congenital eye defect has been estimated between 3 and 30 per 100,000 births (Verma and Fitzpatrick 2007). Microphthalmia can be isolated (simple or severe microphthalmia also known as clinical anophthalmia) or be complex in the presence of other kinds of eye defects (coloboma, anterior and posterior eye segment dysgenesis). It can also occur in a syndromic form with various extra-ocular malformations (52 to 95 % of cases (Shah et al., 2012)). Learning difficulties are described in about one fifth of cases with microphthalmia/anophthalmia and/or coloboma (Morrison et al., 2002). Many genes that are known to play a crucial role in ocular development have been implicated in isolated and syndromic forms of anophthalmia/microphthalmia and all patterns of inheritance have been reported.

Among syndromic forms of microphthalmia, a recurrent association of microphthalmia/anophthalmia and various anomalies of lung, diaphragm, and heart has been described. The first combination of pulmonary agenesis, microphthalmia, ventricular septal defect and diaphragmatic defect was reported by Spear *et al.* in 1987 (Spear et al., 1987). Sellar *et al.*, in 1996, reported the first sibs with this association and called it Matthew-Wood syndrome as requested by the family (Sellar et al., 1996). More recently, the acronym PDAC was introduced to reflect the major components of the syndrome (Pulmonary agenesis or hypoplasia, Diaphragmatic hernia or eventration, Anophthalmia/microphthalmia and Cardiac defects) (Chitayat et al., 2007). The term MCOPS9 was adopted by the Mendelian Inheritance in Man database for "syndromic

microphthalmia 9" (MIM#601186). Mutations in the *STRA6* gene (MIM\*610745) were firstly shown to be responsible for this syndromic form of microphthalmia (Pasutto et al., 2007) and then for isolated forms of microphthalmia (Casey et al., 2011). *STRA6* encodes a membrane receptor for vitamin A-bearing plasma retinol binding protein and is involved in vitamin A metabolism, a pathway long recognized for its involvement in eye development (Hyatt and Dowling 1997). *STRA6*-related eye disorders are inherited in an autosomal recessive manner.

b. Gene description

MCOPS9 was presumed to be inherited in an autosomal recessive manner after two affected sibs from unaffected parents were described by Seller *et al.* in 1996 (Seller et al., 1996).

The *STRA6* gene was demonstrated by Pasutto *et al.* (2007) to be implicated in PDAC syndrome after using a positional cloning strategy: they performed homozygosity mapping on two consanguineous Turkish families with a similar phenotype including clinical anophthalmia and variable malformations of the lungs, the heart and the diaphragm (Pasutto et al., 2007). Using parametric and nonparametric linkage analysis of both families together, they identified a common locus in the 15q23-25.1 region with a Lod-score of 4.8. The *STRA6* gene, included in this critical locus spanning 12 Mb, appeared as a candidate gene because of its involvement in vitamin A pathway, long known for its critical implication in eye development. They identified a homozygous nucleotide deletion in the first family and a homozygous missense mutation in the second one (Pasutto et al., 2007). Although *STRA6* was originally found to be a retinoic acid-stimulated gene in cancer cell lines, it was the first involvement of a gene from the STRA (stimulated by retinoic acid) group in a human phenotype.

*STRA6* is located on the long arm of chromosome 15 in the 15q24.1 region and spans a total length of 29.57 kb. The coding region comprises 18 exons resulting in a transcript length of 2,800 bps encoding a protein of 667 residues.

This gene is expressed during embryonic development and in the adult brain, spleen, kidney, female genital tract and testis (and at lower quantities in heart and lung) (Kawaguchi et al., 2007).

#### c. Clinical summary

The clinical presentation of MCOPS9 most often consists of a variable combination of congenital defects. The clinical description of the 39 reported mutation-positive patients is summarized in Table I. Four main anomalies were associated with *STRA6* mutations, involving the eyes, the heart, the lungs and the cardiovascular system, grouped under the acronym PDAC. The phenotype is also associated with other more or less frequent findings.

#### **Pulmonary anomalies**

Developmental lung defects may be unilateral or bilateral and range from pulmonary agenesis or pulmonary hypoplasia to lobation defect. Alveolar capillary dysplasia has also been reported. Primary pulmonary agenesis or hypoplasia is a rare and mostly sporadic malformation (Seller et al., 1996). Around 40% of patients with MCOPS9 and identified *STRA6* mutations have a developmental lung defect. Lungs abnormalities are primary defects, and may be present even when there is no diaphragmatic hernia.

#### **Diaphragmatic defects**

These consist of unilateral or bilateral diaphragmatic hernia or eventration and are identified in about 30% of *STRA6* mutated patients.

#### **Anophthalmia/microphthalmia**

Different degrees of axial length reduction of the globe can be present, mostly affecting both eyes. Unilateral microphthalmia/anophthalmia has been described but was associated with contralateral eye defects such as coloboma (Casey et al., 2011). Microphthalmia is classically severe (clinical anophthalmia) and bilateral.

Microphthalmia/anophthalmia seems to be a constant feature of this syndrome, affecting not only the index patients but also all affected relatives (thus avoiding recruitment bias).

### **Cardiac defects**

This organ defect is the second most common abnormality described in association with MCOPS9. More than one *STRA6* mutated patient in two is affected by cardiovascular anomalies. Various heart defects have been described: atrial septal defect, persistent ductus arteriosus, truncus arteriosus communis, atresia of pulmonary artery, right aortic arch, coarctation of aorta, tetralogy of Fallot, and pulmonic valve stenosis. Conotruncal defects seem to be overrepresented, and tetralogy of Fallot is the most frequent malformation observed, corresponding to more than one quarter (6/21, 29%) of heart involvement.

### **Other frequent features**

Abnormalities in other systems have been described in around 50% of patients with MCOPS9. Three systems are most commonly implicated, and may represent additional major features of the syndrome:

-the renal system is involved in about one quarter of patients and various malformations have been described such as pelvic kidney, horseshoe kidney, hydronephrosis and renal hypoplasia.

-the digestive system (inguinal hernia, duodenal stenosis, pancreatic and splenic malformations), are present in one quarter of the mutated patients.

-the genital system is involved in 15% of patients and the reported malformations consist of bicornuate uterus, uterine hypoplasia and cryptorchidism.

### **Less frequent findings**

Intrauterine growth retardation, thymic hypoplasia, subglottic laryngeal stenosis, cleft palate, a thin or absent corpus callosum, arhinencephaly, Dandy-Walker malformation,

spina bifida, hypoplastic nipples, hypoplastic toenails and polydactyly have all been described in association with MCOPS9 (Chassaing et al., 2009; Chassaing et al., 2012; Segel et al., 2009; Slavotinek 2011; West et al., 2009).

Facial dysmorphism has also been described frequently and consists of an unusual trichoglyphic pattern of the eyebrows, large and low set ears, wide nasal bridge and micrognathia (Fig.1).

MCOPS9 is generally a severe malformation syndrome either leading to the termination of the pregnancy after fetal ultrasound findings or lethality in the first years of life. For this reason it is difficult to gather significant data about all of the features of the syndrome, and in particular about the intellectual consequences. Few patients with PDAC spectrum were described alive after the first year of life (Chassaing et al., 2009; Chassaing et al., 2012; Pasutto et al., 2007; Segel et al., 2009; White et al., 2008) and the oldest patient described was 40 years old (Chassaing et al., 2009) at diagnosis. Only 3 of the 7 living patients presenting with the PDAC spectrum had intellectual deficiency (moderate to profound). Patients reported by Casey et al. in 2011 (Casey et al., 2011), who have isolated microphthalmia, have no cognitive impairment.

MCOPS9 is inherited in an autosomal recessive manner. Interestingly, ocular anomalies have been described in some individuals heterozygous for a *STRA6* mutation : one had congenital cataracts (Chassaing et al., 2012), one had bilateral coloboma of the retina and iris (Golzio et al., 2007), and one had a right optic disc coloboma, left iris coloboma and left microphthalmia (Ng et al., 2012). These three symptomatic heterozygotes had heterozygous truncating mutations (frameshift or nonsense mutations) (Chassaing et al., 2012; Golzio et al., 2007; Ng et al., 2012). Although most heterozygotes have no ocular phenotype, these findings raise the possibility that the heterozygosity for the *STRA6* gene mutation may rarely be associated with ocular abnormalities.

#### d. Molecular genetics

A variety of mutations have been found in the *STRA6* gene: the majority of mutations

described to date correspond to point mutations (missense, nonsense and splice mutations, small insertions and deletions) while one exonic deletion has been reported. Currently, twenty-five different mutations in the *STRA6* gene have been found in patients with MCOPS9 (Fig. 2).

It is obviously possible that some types of *STRA6* mutations (such as exonic rearrangements, splicing mutations distant from the coding sequence, or mutations in regulatory sequences) may have been missed by the molecular analysis strategies used. However, since *STRA6* is part of a complex vitamin A – retinol binding protein pathway, disruption of other genes in this pathway may cause a PDAC phenotype.

All *STRA6* pathogenic mutations identified in patients with the PDAC spectrum result in apparent loss of function. In order to better delineate the impact of identified mutations on the *STRA6* function, Kawaguchi *et al.* (2008) performed functional analyses on various mutations : the frameshift mutation (c.145delC [p.Gly50Alafs\*22]) and five missense mutations (p.Pro90Leu, p.Pro293Leu, p.Thr321Pro, p.Thr644Met and p.Arg655Cys) (Kawaguchi *et al.*, 2008). These studies showed a complete absence of the expression of the *STRA6* mutant as a result of the frameshift mutation, whereas conservation of expression for the mutants with missense mutations was found. It has subsequently been demonstrated that four of the five missense mutations prevent normal cell surface expression of the *STRA6* protein, probably by misfolding of the mutant protein (Kawaguchi *et al.*, 2008).

#### e. Diagnosis

### **Clinical spectrum**

MCOPS9 is a rare polymalformation condition associated with recessive mutations in the *STRA6* gene in some patients. The clinical spectrum associated with *STRA6* mutations is extremely variable between patients, but also within families (Casey *et al.*, 2011; Chassaing *et al.*, 2009; Pasutto *et al.*, 2007). Although mutations in the *STRA6* gene were initially identified in individuals with the full spectrum of features of PDAC, recently *STRA6*

mutations have been identified in individuals with microphthalmia and only one additional feature as well as in isolated microphthalmia/anophthalmia. Of note, all of the *STRA6* mutated patients had microphthalmia/anophthalmia.

The *STRA6*-related phenotype is associated with a heart defect in half of cases and with lung and diaphragmatic defects in almost 40% and 30% of cases respectively. Half of mutated patients have other various anomalies and the renal, digestive and genital systems are also frequently involved in *STRA6*-related disorders (25%, 23%, and 15% respectively).

### **Genotype/Phenotype correlation**

There is no clear genotype/phenotype correlation with *STRA6* mutations. Some patients with two missense mutations in *STRA6* exhibited multiple major anomalies of the PDAC spectrum and died shortly after birth (Pasutto et al., 2007) while one patient with two frameshift mutations was alive at the age of 14 years (Pasutto et al., 2007). It has been suggested that the compound heterozygosity for missense mutations may explain milder phenotypes (Segel et al., 2009). However, one patient who was a compound heterozygote for two missense mutations had a severe clinical presentation (Pasutto et al., 2007). Of note, the majority of patients (15/17) with milder phenotypes (i.e. with only one or two major components of the PDAC acronym) reported so far harbor one (Chassaing et al., 2012; Ng et al., 2012; White et al., 2008) or two missense mutations (Chassaing et al., 2009; Pasutto et al., 2007; Segel et al., 2009). Two patients with frameshift *STRA6* mutations have been described with only two major features of the PDAC spectrum (anophthalmia and diaphragmatic hernia in one, and anophthalmia with lung hypoplasia in the other) (Chassaing et al., 2009; Pasutto et al., 2007). Both cases were fetuses; termination of pregnancy had been carried out at 23 and 26 weeks' gestation with limited postmortem examination. It is thus difficult to exclude the existence of other major anomalies. Modifier genes, environmental variations, and stochastic effects are likely to influence the phenotypic severity.

### Diagnostic criteria

The establishment of criteria for the diagnosis of MCOPS9 has been discussed by many authors. Mutations in the *STRA6* gene could explain about one half of the MCOPS9 cases when at least two features among the four previously reported as the major components of the PDAC acronym are present. Indeed, mutations in the *STRA6* gene were identified in 15 out of 24 patients (62%) presenting with involvement of at least 3 out of the Pulmonary, Diaphragmatic, Anophthalmia, and Cardiac components of the PDAC syndrome (Chassaing et al., 2009; Chassaing et al., 2012; Golzio et al., 2007; Ng et al., 2012; Pasutto et al., 2007; West et al., 2009; White et al., 2008). The *STRA6* mutation detection rate is only slightly decreased in patients with only two PDAC components; *STRA6* mutations were identified in 11 among the 24 patients (46%) with two criteria. In addition, *STRA6* mutations were identified in three patients with microphthalmia/anophthalmia and one additional feature which was not previously considered as a major feature of the PDAC spectrum: duplicated renal collecting system (White et al., 2008), neural tube defect (Chassaing et al., 2009), and dysplastic right kidney (Casey et al., 2011).

To date, ocular involvement appears to be a constant feature of the phenotype associated with *STRA6* mutations. Indeed, all patients described with a *STRA6* mutation have microphthalmia/anophthalmia. Incidentally, Segel *et al.* suggested that all patients with isolated anophthalmia should have mutation analysis of the *STRA6* gene (Segel et al., 2009). Eighteen patients with isolated microphthalmia/anophthalmia were screened for *STRA6* mutations in two different cohorts, but no mutation was identified (Chassaing et al., 2012; White et al., 2008). However, using a next generation sequencing approach, Casey et al. identified the same homozygous missense *STRA6* mutation in 10 patients from two families from an Irish Traveller population with isolated microphthalmia/anophthalmia (Casey et al., 2011). Thus, even unusual, isolated microphthalmia/anophthalmia could reflect the presence of *STRA6* mutations.

All together, these data underline the difficulty in establishing criteria to predict the presence of *STRA6* involvement given the extreme phenotypic variability associated with these mutations. However, three kinds of criteria could be defined, based upon their frequency in this multisystemic disorder. Microphthalmia/anophthalmia could be defined as a primary criterion because it appears as a constant feature of this syndrome to date and it could be also the unique feature of this syndrome. Secondary criteria would consist of pulmonary, diaphragmatic, and cardiac anomalies because of their frequency of involvement in this multisystemic disorder and because their presence seems to increase the probability of finding mutations in the *STRA6* gene. In addition, renal, digestive and genital malformations seem to be frequent findings and should also be considered as secondary criteria related to *STRA6* mutations. Tertiary criteria could be the less frequent findings observed in a few patients.

#### **Differential diagnosis**

5 conditions with the four components of the PDAC acronym are retrieved by the *London medical Database*: Fryns syndrome, Goldenhar syndrome, Ivemark syndrome, mosaic trisomy 16, Pallister-Killian syndrome and Matthew-Wood syndrome. The combination of 3 out of these 4 features retrieves 51 different syndromes (including 33 with microphthalmia/anophthalmia). The combination of 2 out of these 4 features retrieves 231 different syndromes (including 112 with microphthalmia/anophthalmia).

The diagnosis of MCOPS9 is thus evident in the presence of the four main clinical features (as it can be easily differentiated from the 5 other differential diagnoses), and the molecular analysis of the *STRA6* gene allows confirmation in about half of the patients. The diagnosis is more challenging in patients displaying incomplete phenotypes.

#### f. Molecular pathogenesis

#### ***STRA6* and vitamin A**

*STRA6* belongs to the STRA (stimulated by retinoic acid) gene family. It encodes a 667-

amino-acid peptide which mediates vitamin A uptake in target organs. The synthesis of STRA6 is up-regulated by a high plasma level of vitamin A loaded-retinol-binding protein (holo-RBP) produced by the liver. STRA6 protein was found to be preferentially expressed at the cell surface of organs distant from the liver including: eye, brain, spleen, kidney, female genital tract and testis (and at lower quantities in heart and lung) (Kawaguchi et al., 2007). STRA6 is a multitransmembrane domain protein (nine transmembrane helices) with an extracellular high affinity binding domain to plasma RPB (Kawaguchi et al., 2008; Kawaguchi et al., 2008). This protein has also two other specific functional sites in the C terminus region (intracellular): a SH2-binding motif and a phosphorylation site (signal transduction). STRA6 is involved in vitamin A (retinol) uptake given its role as a specific receptor of RBP. Retinol is transported in the plasma by the RPB and then is transferred into the cell cytoplasm after interaction with STRA6. Retinol is then transformed, after several enzymatic reactions into retinol derivatives (retinoids). Generated retinoic acid acts within the nucleus as a ligand for nuclear receptors that directly regulate transcriptional activity of multiple developmental target genes (Niederreither and Dolle 2008). STRA6 also catalyzes the loading of free retinol into apo-RBP, which can cause retinol efflux (Kawaguchi et al., 2011). Recently, Kawaguchi *et al.*, showed that, if STRA6 encounters both holo-RBP and apo-RBP, holo-RBP blocks STRA6-mediated retinol efflux by competing with apo-RBP's binding to STRA6 and by counteracting retinol efflux with influx (Kawaguchi et al., 2012). They also demonstrated that STRA6 catalyzes efficient retinol exchange between intracellular cellular retinol binding protein I (CRBP-I) and extracellular RBP, even in the presence of holo-RBP. STRA6's retinol exchange activity may serve to refresh the intracellular retinoid pool.

*STRA6* mutations identified in MCOPS9 patients lead to the absence of the STRA6 expression or prevent cell surface expression of STRA6 by misfolding (Kawaguchi et al., 2008). Thus, these *STRA6* alterations are thought to disturb the normal vitamin A cell metabolism, leading to the malformations observed in MCOPS9 patients.

## Animal models

Isken *et al.* (2008) used the zebrafish model to analyze Stra6 function (Isken et al., 2008). Morphants injected with Stra6 antisense morpholino oligonucleotides developed microphthalmia, had a curved body axis and showed cardiac edema. Cross-sections through the eyes of 4 dpf larvae confirmed microphthalmia but revealed distinct retinal cell layers with normal stratification. Furthermore, morphants displayed cardiac edema along with dysmorphic heart chambers. Morphants also exhibited an altered morphology of the craniofacial skeleton. The first and second arches were malformed, and the branchial arches were absent.

Using a retinoic acid (RA) synthesis inhibitor in zebrafish embryos, Casey *et al.* (2011) modeled different levels of RA and observed dose-dependent microphthalmia. The inhibitor produced developmental eye defects ranging from mild to severe microphthalmia as well as retinal pigment epithelium coloboma. Heart morphogenesis defects were also present (Casey et al., 2011).

In a mouse model, Ruiz *et al.* (2012) showed that *stra6* <sup>-/-</sup> null mice had a reduction in thickness of the neurosensory retina due to shortening of the rod outer and inner segments (Ruiz et al., 2012). In addition, there was a reduction in cone photoreceptor cell number and cone b-wave amplitude. A typical hallmark in *stra6* <sup>-/-</sup> null eyes was the presence of a persistent primary hypertrophic vitreous, an optically dense vascularized structure located in the vitreous humor between the posterior surface of the lens and neurosensory retina. Despite full disruption of the *stra6* gene, these mice had ocular anomalies with impaired visual responses but otherwise were phenotypically normal.

These data underline the inter-species phenotypic variability with *STRA6* inactivation. In addition, it appears that the zebrafish is a more appropriate model to study *STRA6* and vitamin A signaling pathway in order to recapitulate part of the human phenotype.

## Conclusion

Mutations in the *STRA6* gene result in a spectrum of malformations, from isolated microphthalmia to a severe malformation syndrome affecting many different systems (ocular, cardiac, pulmonary, diaphragmatic, renal, digestive and genital). To date, no phenotype-genotype correlation has been established. Given the extreme phenotypic variability, it is difficult to establish criteria predicting the presence of *STRA6* mutations. In addition, mutations in the *STRA6* gene are identified in only half of patients with a clear diagnosis of MCOPS9 syndrome, implying that this is a genetically heterogeneous condition.

## g. References

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**Table I: MCOPS9 features in STRA6 mutated patients**

|                              | Nucleotide variation                     | Protein alteration                   | Lung | Diaphragm | Eye | Heart | Renal system | Digestive system | Genital system | Other  |
|------------------------------|--|--------------------------------------|------|-----------|-----|-------|--------------|------------------|----------------|--|
| <b>Pasutto et al. 2007</b>   | c.878C>T<br>c.878C>T                     | p.Pro293Leu<br>p.Pro293Leu           | +    | -         | +   | +     | +            | -                | -              | Developmental delay  |
|                              | c.878C>T*<br>c.878C>T*                   | p.Pro293Leu<br>p.Pro293Leu           | -    | -         | +   | +     | -            | -                | -              |  |
|                              | c.146delC<br>c.146delC                   | p.Gly50Alafs*22<br>p.Gly50Alafs*22   | -    | +         | +   | +     | -            | -                | -              | Alive at 14 years, intellectual deficiency, short stature                |
|                              | c.146delC<br>c.146delC                   | p.Gly50Alafs*22<br>p.Gly50Alafs*22   | -    | +         | +   | -     | -            | -                | -              |  |
|                              | c.1963C>T<br>c.1963C>T                   | p.Arg655Cys<br>p.Arg655Cys           | +    | +         | +   | -     | -            | +                | -              | Hypotonia, failure to thrive   |
|                              | c.1963C>T*<br>c.1963C>T*                 | p.Arg655Cys<br>p.Arg655Cys           | -    | -         | +   | +     | -            | -                | -              | short stature  |
|                              | c.1931C>T<br>c.1931C>T                   | p.Thr644Met<br>p.Thr644Met           | +    | +         | +   | -     | +            | +                | -              |  |
|                              | c.1931C>T*<br>c.1931C>T*                 | p.Thr644Met<br>p.Thr644Met           | +    | -         | ?   | +     | +            | -                | +              |  |
|                              | c.1931C>T*<br>c.1931C>T*                 | p.Thr644Met<br>p.Thr644Met           | +    | -         | +   | +     | -            | -                | +              |  |
|                              | c.269C>T<br>c.961A>C                     | p.Pro90Leu<br>p.fhr321Pro            | +    | +         | +   | +     | +            | +                | +              |  |
| <b>Golzio et al. 2007</b>    | c.50_52delACTinsCC<br>c.50_52delACTinsCC | p.Asp17Alafs*55<br>p.Asp17Alafs*55   | +    | +         | +   | +     | -            | +                | -              | Intra-uterine growth retardation, mild dysmorphism                       |
|                              | c.527_528insG<br>c.527_528insG           | p.Gly176Glyfs*59<br>p.Gly176Glyfs*59 | +    | +         | +   | +     | -            | +                | -              | Intra-uterine growth retardation, mild dysmorphism                       |
| <b>White et al. 2008</b>     | c.650G>A<br>c.1774C>T                    | p.Gly217Glu<br>p.Gln592*             | -    | -         | +   | -     | +            | -                | -              |  |
| <b>West et al. 2009</b>      | c.31_32dupCC<br>c.69G>A                  | p.Gly13Profs*72<br>p.Trp23*          | +    | +         | +   | +     | +            | +                | +              | Intra-uterine growth retardation, thin corpus callosum, mild dysmorphism |
| <b>Chassaing et al. 2009</b> | c.1090+1G>A<br>c.859C>T                  | Splice mutation<br>p.Gln287*         | +    | +         | +   | +     | -            | -                | -              |  |
|                              | c.1662delG<br>c.1662delG                 | p.Arg555Glufs*16<br>p.Arg555Glufs*16 | +    | -         | +   | +     | +            | +                | +              | Subglottic laryngeal stenosis  |
|                              | c.1313A>G<br>c.1913G>C                   | p.Gln438Arg<br>p.Arg638Pro           | -    | -         | +   | +     | -            | -                | -              | Intellectual deficiency  |

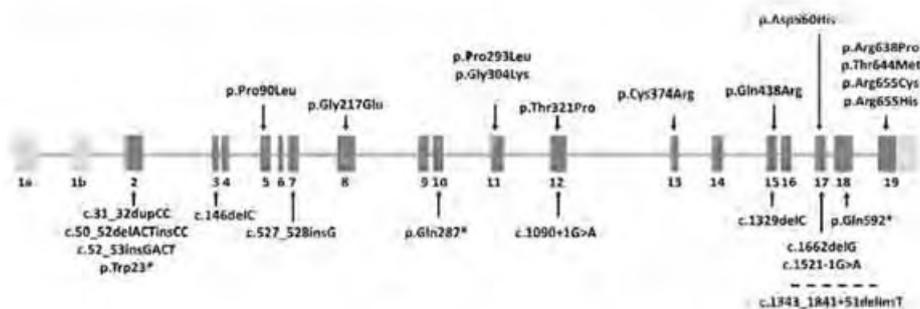
|                                  |  |                                      |              |              |               |              |              |             |             |   |
|----------------------------------|--|--------------------------------------|--------------|--------------|---------------|--------------|--------------|-------------|-------------|---|
|                                  | c.1313A>G*<br>c.1913G>C*                               | p.Gln438Arg<br>p.Arg638Pro           | -            | -            | +             | -            | -            | -           | -           |   |
|                                  | c.1313A>G<br>c.1913G>C                                 | p.Gln438Arg<br>p.Arg638Pro           | -            | -            | +             | -            | -            | -           | -           | Intellectual<br>deficiency /<br>Short stature,<br>Spina bifida<br>occulta |
|                                  | c.1329delC*<br>c.1329delC*                             | p.Leu444Trpfs*34<br>p.Leu444Trpfs*34 | -            | +            | +             | +            | -            | -           | -           |   |
|                                  | c.1329delC<br>c.1329delC                               | p.Leu444Trpfs*34<br>p.Leu444Trpfs*34 | +            | -            | +             | -            | -            | -           | -           |   |
| <b>Segel<br/>et al. 2009</b>     | c.1678G>C<br>c.1964G>A                                 | p.Asp560His<br>p.Arg655His           | -            | -            | +             | +            | -            | -           | -           |   |
| <b>Casey<br/>et al. 2011</b>     | c.910_911delinsAA<br>c.910_911delinsAA<br>(pedigree 1) | p.Gly304Lys<br>p.Gly304Lys           | 9-           | 9-           | 9+            | 9-           | 9-           | 9-          | 9-          |   |
|                                  | c.910_911delinsAA<br>c.910_911delinsAA<br>(pedigree 2) | p.Gly304Lys<br>p.Gly304Lys           | -            | -            | +             | -            | +            | -           | -           |   |
|                                  | c.910_911delinsAA<br>c.910_911delinsAA<br>(pedigree 3) | p.Gly304Lys<br>p.Gly304Lys           | -            | -            | +             | -            | -            | -           | +           |   |
|                                  | c.910_911delinsAA<br>c.910_911delinsAA<br>(pedigree 3) | p.Gly304Lys<br>p.Gly304Lys           | -            | -            | +             | +            | +            | +           | +           |   |
| <b>Chassaing<br/>et al. 2012</b> | c.1120T>C<br>c.1343_1841+51delinsT                     | p.Cys374Arg<br>large deletion        | -            | -            | +             | +            | +            | +           | -           | Short stature   |
|                                  | c.1521-1G>A<br>c.1521-1G>A                             | Splice mutation<br>Splice mutation   | +            | +            | +             | +            | -            | +           | -           |   |
|                                  | c.1521-1G>A*<br>c.1521-1G>A*                           | Splice mutation<br>Splice mutation   | +            | -            | +             | -            | -            | -           | -           | Polydactyly   |
| <b>Ng<br/>et al. 2012</b>        | c.52_53insGACT<br>c.1931C>T                            | p.Tyr18*<br>p.Thr644Met              | +            | -            | +             | -            | -            | -           | -           |   |
|                                  | c.52_53insGACT<br>c.1931C>T                            | p.Tyr18*<br>p.Thr644Met              | -            | -            | +             | +            | -            | -           | -           |   |
| <b>Total<br/>[%]</b>             |  |                                      | 15/39<br>38% | 11/39<br>28% | 38/38<br>100% | 21/39<br>54% | 10/39<br>25% | 9/39<br>23% | 6/39<br>15% |   |



**Figure 1: Representative oculofacial phenotypes at different ages.**

(a) a fetus at 23 weeks of gestation, note short palpebral fissures reflecting bilateral severe microphthalmia ; (b) a patient aged three days, note broad nasal bridge and the deep-set orbits associated with clinical anophthalmia ; (c) a patient at age 2 years, note bilateral anophthalmia ; (d) a patient aged 40 years, note mild facial dysmorphism with a broad nasal tip. This patient has orbital implants.

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**Figure 2: Locations of the 25 mutations identified to date in the *STRA6* gene. Missense mutations are positioned above the representation of the *STRA6* gene, while nonsense and frameshift mutations are positioned underneath.**



## **CHAPITRE IV**

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### **RECHERCHE DE NOUVEAUX GENES D'AM**

## Introduction

Les résultats obtenus dans notre grande cohorte de patients sont concordants avec les données de la littérature et montrent qu'aucune mutation n'est identifiée dans les gènes connus chez près de trois quarts des patients AM. L'explication la plus probable est que seule une petite partie des gènes impliqués dans l'AM est actuellement identifiée.

Face à l'hétérogénéité génétique déjà mise en évidence, d'autres gènes d'AM restent à identifier. Nous avons donc utilisé plusieurs approches pour découvrir de nouveaux gènes d'AM :

- une approche régions et gènes candidats,
- une recherche de micro-réarrangement chromosomique par CGH-array,
- une approche plus fondamentale visant à identifier les cibles des facteurs de transcription déjà impliqués dans les AM (SOX2, OTX2, RAX et PAX6). L'hypothèse étant que les gènes régulés par ces facteurs de transcription, dont le rôle est majeur au cours du développement oculaire embryonnaire, seraient de bons candidats pour être eux-mêmes impliqués dans les AM.

Enfin, le développement des techniques de séquençage haut débit au cours de ma thèse a totalement modifié les approches de recherche de gènes d'AM. Ces techniques ont donc été mises à profit pour analyser un grand nombre de gènes candidats identifiés par les approches citées plus haut. Ces techniques ont également été utilisées dans de nouveaux projets de recherche visant à étudier l'ensemble des parties codantes du génome des patients ("whole exome sequencing"). Les premiers résultats de ces études non ciblées seront évoqués dans le volet "perspectives".

## IV-1 : Approche gène candidats

### Introduction

L'approche par gène candidat a été très utilisée avant la révolution du séquençage haut débit. Les gènes candidats étant :

- soit des "candidats positionnels" localisés dans une région génomique liée à la pathologie après analyse de liaison ou après identification de remaniement chromosomique par cytogénétique classique ou moléculaire (cf. chapitre suivant),
- soit des "candidats fonctionnels" : la structure d'une protéine codée par un gène, sa fonction supposée, les connaissances sur la famille de protéines à laquelle elle appartient, son patron d'expression au cours du développement, des modèles animaux, sont autant d'arguments qui peuvent pointer un gène et en faire un candidat fonctionnel pour une pathologie donnée.

Cette approche par gènes candidats fonctionnels a permis d'identifier de nombreux gènes d'AM comme *OTX2*<sup>47</sup>, *RAX*<sup>57</sup>, *VAX1*<sup>109</sup> et *BMP7*<sup>102</sup> par exemple.

### Méthodes et Résultats

Nous avons séquencé trois gènes candidats (*SOX1*, *SOX21* et *LHX2*) chez des patients atteints d'AM sans mutation identifiée dans les gènes connus d'AM.

*SOX1* et *SOX21* sont deux protéines structurellement très proches de *SOX2*. De plus les gènes *SOX1* et *SOX21* sont localisés sur le chromosome 13, dans la région 13q3, région dont on sait que la délétion peut se traduire phénotypiquement par des AM<sup>170</sup>. Ces gènes étaient donc des candidats fonctionnels de par leur appartenance au même groupe de protéines que *SOX2*, le groupe des protéines *SOXB* (comprenant *SOX1*, *SOX2*, *SOX3*, *SOX14* et *SOX21*). Ils étaient de plus des candidats positionnels du fait de leur localisation génomique dans une région chromosomique dont la délétion est parfois associée à des AM. 70 patients, extraits de la cohorte des AM, sans mutation identifiée dans les gènes connus, ont été séquencés pour ces deux gènes sans qu'aucune mutation causale n'ait été identifiée.

*LHX2* (LIM homeobox 2) était un autre des gènes candidats aux AM. En effet, l'inactivation de l'expression de *Lhx2* dans le modèle murin provoque une anophtalmie<sup>171</sup>. De plus, il a été montré qu'au cours du développement oculaire, *Lhx2* régulait le niveau d'expression ou la localisation de l'expression génique de nombreux gènes clés du développement oculaires et eux-mêmes déjà

impliqués dans l'AM (*Rax*, *Vsx2/Chx10*, *Sox2*, et *Otx2*)<sup>172</sup>. Ce gène a été étudié chez 70 patients AM. Les résultats de cette étude sont décrits dans l'article suivant:

- Article n°9

Desmaison, A *et al.* (2010). "Mutations in the *LHX2* gene are not a frequent cause of micro/anophthalmia." *Mol Vis* 16: 2847-9.

De plus, nous avons recherché des mutations dans une région candidate. Cette région est une région régulatrice du gène *RAX* située à 2kb en amont du gène. Il a été montré qu'une courte séquence de 35 bp de cette région contenait des séquences de fixation des facteurs de transcription *SOX2* et *OTX2*, permettant ainsi la régulation de l'expression du gène *RAX*<sup>173</sup>. Nous avons émis l'hypothèse que des mutations de cette région régulant l'expression du gène *RAX* (impliqué dans l'AM) via les facteurs de transcription *SOX2* et *OTX2* (eux même impliqués dans l'AM), pourraient également entraîner des malformations oculaires et expliquer la présence d'AM chez certains patients par ailleurs dépourvu de mutation identifiée dans les gènes connus. Aucune anomalie n'a été identifiée chez 51 patients AM dans cette région candidate. Les résultats de cette étude sont décrits dans l'article suivant:

- Article n°10

Chassaing, N *et al.* (2009). "Mutations in the newly identified *RAX* regulatory sequence are not a frequent cause of micro/anophthalmia." *Genet Test Mol Biomarkers* 13(3): 289-90.

### **Conclusion**

Notre approche par gène candidat a permis d'identifier un variant dans le gène *LHX2*, pour lequel il n'a pas été possible de conclure formellement sur son lien avec le phénotype oculaire présenté par le patient. L'analyse moléculaire des gènes *SOX1* et *SOX21*, ainsi que de la région régulatrice de *RAX* n'a pas permis d'identifier de mutation délétère.

## ARTICLE 9

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### Mutations in the *LHX2* gene are not a frequent cause of micro/anophthalmia

*Molecular Vision*

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Desmaison, A. Vigouroux, C. Rieubland, C. Peres, P. Calvas and **N. Chassaing**

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Dans cet article, nous décrivons la recherche de mutation dans un gène candidat par la fonction à la genèse d'AM. L'analyse a été conduite chez 70 patients atteints d'AM, chez qui aucune mutation n'avait pu être identifiée par l'analyse préalable des sept gènes couramment testés responsables d'AM. Le gène *LHX2* nous paraissait être un bon candidat compte tenu de l'existence d'une anophtalmie chez les souris KO pour ce gène. De plus, il avait également été montré que ce gène avait pour fonction de réguler le niveau et le patron d'expression de nombreux gènes eux-mêmes connus pour intervenir au cours du développement oculaire. Deux variations faux-sens hétérozygotes ont été identifiées : l'une, p.Pro259Gln, touchait un acide aminé non conservé et était prédite comme bénigne par les outils *in silico* ; l'autre, p.Pro43Arg, touchait un acide aminé conservé et était prédite par les outils *in silico* pour être probablement délétère. Pour cette dernière cependant, l'analyse familiale a montré que cette variation était héritée du père asymptotique. Il n'a donc pas été possible de conclure entre trois hypothèses sur cette variation faux-sens :

- variation sans lien avec le phénotype oculaire
- mutation dominante avec pénétrance incomplète
- mutation récessive causale, avec chez ce même patient une mutation héritée de la mère non identifiée (remaniement exonique, mutation située dans un intron ou une séquence régulatrice...)



## Mutations in the *LHX2* gene are not a frequent cause of micro/anophthalmia

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**Purpose:** Microphthalmia and anophthalmia are at the severe end of the spectrum of abnormalities in ocular development. A few genes (orthodenticle homeobox 2 [*OTX2*], retina and anterior neural fold homeobox [*RAX*], SRY-box 2 [*SOX2*], CEH10 homeodomain-containing homolog [*CHX10*], and growth differentiation factor 6 [*GDF6*]) have been implicated mainly in isolated micro/anophthalmia but causative mutations of these genes explain less than a quarter of these developmental defects. The essential role of the LIM homeobox 2 (*LHX2*) transcription factor in early eye development has recently been documented. We postulated that mutations in this gene could lead to micro/anophthalmia, and thus performed molecular screening of its sequence in patients having micro/anophthalmia.

**Methods:** Seventy patients having non-syndromic forms of colobomatous microphthalmia (n=25), isolated microphthalmia (n=18), or anophthalmia (n=17), and syndromic forms of micro/anophthalmia (n=10) were included in this study after negative molecular screening for *OTX2*, *RAX*, *SOX2*, and *CHX10* mutations. Mutation screening of *LHX2* was performed by direct sequencing of the coding sequences and intron/exon boundaries.

**Results:** Two heterozygous variants of unknown significance (c.128C>G [p.Pro43Arg]; c.776C>A [p.Pro259Gln]) were identified in *LHX2* among the 70 patients. These variations were not identified in a panel of 100 control patients of mixed origins. The variation c.776C>A (p.Pro259Gln) was considered as non pathogenic by in silico analysis, while the variation c.128C>G (p.Pro43Arg) considered as deleterious by in silico analysis and was inherited from the asymptomatic father.

**Conclusions:** Mutations in *LHX2* do not represent a frequent cause of micro/anophthalmia.

Microphthalmia and anophthalmia are at the severe end of the spectrum of abnormalities in ocular development. The combined occurrence rate for these two malformations is estimated between 3 and 30 per 100,000 births [1]. Mutations in several genes have been found in syndromic and non-syndromic anophthalmia. Heterozygous mutations in SRY-box 2 (*SOX2*) account for approximately 10% of anophthalmias [2,3]. Growth differentiation factor 6 (*GDF6*) mutations may account for up to 8% of micro/anophthalmia [4,5]. Other genes have been identified as causing isolated anophthalmia or microphthalmia in humans (orthodenticle homeobox 2 [*OTX2*], retina and anterior neural fold homeobox [*RAX*], and CEH10 homeodomain-containing homolog [*CHX10*]) [1,3,6-8]. These latter are implicated in a very small proportion of affected individuals, implying wide genetic heterogeneity to match the phenotypic variability.

The LIM homeobox 2 (*LHX2*) transcription factor has been shown to be essential for mammalian eye development and mice deficient in functional *Lhx2* protein have been shown to display anophthalmia [9]. More recent studies on

mouse models have demonstrated that, during eye development, *Lhx2* regulates levels and/or expression patterns of *Rax*, *Chx10*, *Sox2*, and *Otx2* [10,11], themselves involved in human micro/anophthalmia.

We hypothesize that mutations in *LHX2* could lead to severe eye developmental disorders including micro/anophthalmia. We thus performed molecular analysis in 70 micro/anophthalmia patients for whom previous molecular analysis of genes implicated in isolated micro/anophthalmia (*SOX2*, *OTX2*, *RAX*, and *CHX10*) failed to identify any causative mutation.

### METHODS

**Patients:** Seventy patients having non-syndromic forms of colobomatous microphthalmia (n=25), isolated microphthalmia (n=18), or anophthalmia (n=17), or syndromic forms of micro/anophthalmia (n=10) were included in this study. Their informed consent was obtained beforehand, according to French law. Micro/anophthalmia was considered as syndromic when the patient presents at least one other non ocular malformation (in our patients, associated malformations were intestinal atresia multiple, corpus callosum agenesis, heart malformation, deafness, Dandy Walker malformation, labiopalatal cleft, sexual ambiguity,

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TABLE 1. PRIMERS USED FOR *LHX2* MOLECULAR ANALYSIS.

| Exon | Forward primer       | Reverse primer          | Product length (bp) |
|------|----------------------|-------------------------|---------------------|
| 1    | TGAGGCGGGGGCAAGCCCT  | GGAGCCACCGGCTTGCATT     | 333                 |
| 2    | ATGTCCTGGCAGCCCCCTCC | GCCAAACTGTAAGACTGTGCCTG | 404                 |
| 3    | CCGTGTGTCCACAGCCCC   | CCGTCGAGGCCGACACTTT     | 505                 |
| 4    | TGGGTGGGGCGAGTGTGGAT | GTCCTTCCAAGGCCACGGC     | 369                 |
| 5    | CTCACCAGCCCTTCCCTGTC | ATGTGGTTAGITAGTTGCTC    | 445                 |

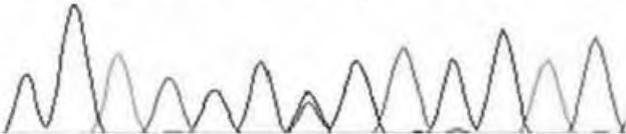
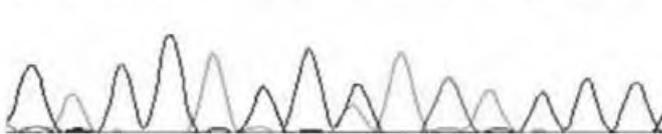
|   |   |  |   |
|---|---|--|---|
| <b>A</b>  | <b>c.128C&gt;G (p.Pro43Arg)</b>   | <b>B</b>   | <b>c.776C&gt;A (p.Pro259Gln)</b>  |
| C C A T G C S G T C C A T   |   | G A C C A G C H A T A C C C  |   |
|  |   |  |   |
| <b>C</b>  | Human      TTMP <b>S</b> ISSDR<br>Mouse        TTMP <b>S</b> ISSDR<br>Xenopus      TTMP <b>S</b> ISSDR<br>Zebrafish    TNMP <b>S</b> ISGDR<br>* . * * * * * . * * | <b>D</b>   | Human        DRDQ <b>P</b> YPSSQK<br>Mouse        DRDQ <b>P</b> YPSSQK<br>Xenopus      DRDQ <b>Q</b> YTPNQK<br>Zebrafish    DRDS <b>Q</b> YSSSQK<br>* * * . * . . * * |

Figure 1. Missense variations identified in this cohort, and conservation of involved amino-acid among species. Electropherograms showing the c.128C>G (p.Pro43Arg; A) and the c.776C>A (p.Pro259Gln; B) *LHX2* variations. Alignment of part of *LHX2* proteins from human, mouse, *Xenopus* and zebrafish, showing conservation of proline 43 (C, boxed) in these species, and absence of proline 259 conservation (D, boxed), which is replaced by a glutamine in *Xenopus* and zebrafish.

hypospadias, arthrogryposis, and choanal atresia). All patients included had undergone molecular analysis of *SOX2*, *OTX2*, *RAX*, *CHX10*. Direct sequencing of the coding regions and exon/intron boundaries and exclusion of exonic rearrangement by Quantitative Multiplex PCR of Short fluorescent Fragments (QMPSF) of these genes failed to identify any causative mutation in these patients.

**Techniques:** The 5 exons of the *LHX2* gene were amplified by PCR using primers deduced from the *LHX2* genomic sequence. Primer pairs and PCR conditions used are summarized in Table 1. Products were amplified in 25  $\mu$ l reactions containing 50 ng genomic DNA, 1 $\times$  PCR buffer, 0.2 mM dNTPs, 2 mM MgCl<sub>2</sub>, 100 nM forward primer, 100 nM reverse primer, and 1 U of Taq polymerase. Betaine (1 M) was added in PCR mix for exons 1 and 2. All PCR reactions were performed with a 5 min 95 °C denaturing step, followed by 14 cycles of 95 °C for 30 s, annealing temperature for 30 s (70 °C to 62 °C, -0.5 °C/cycle) and 72 °C for 45 s, followed by 20 cycles of 95 °C for 30 s, 62 °C for 30 s, and 72 °C for 45 s with a final elongation step of 72 °C for 7 min. PCR amplifications were subsequently purified using QIAquick Gel Extraction kit (QIAGEN SA, Courtaboeuf, France), and both forward and reverse strands were sequenced using Big Dye DNA sequencing kit (Applied Biosystems, Warrington, UK). Reactions were analyzed in an ABI3100 sequencer

(Applied Biosystems). Sequence variations were numbered with the adenine of the ATG initiation codon as the first nucleotide (the *LHX2* GenBank accession number was NM\_004789.3).

## RESULTS AND DISCUSSION

*LHX2* encodes the transcription factor LHX2 which is highly conserved across species [12] and has recently been demonstrated to play a critical role in eye development [10, 11]. LHX2 is required to induce or maintain expression of genes required at the early optic vesicle stage for regionalization, establishment of retinal dorsoventral polarity, retinal progenitor cell properties, and lens specification [11]. LHX2 has thus been proposed to link the multiple pathways needed for transition of the optic vesicle to the optic cup [11]. Mice lacking *Lhx2* expression display anophthalmia, and this transcription factor has been involved in regulation of expression levels and/or expression patterns of genes already involved in micro/anophthalmia (*SOX2*, *RAX*, *CHX10*, and *OTX2*) during eye development [10,11]. We hypothesized that mutations in *LHX2* may be involved in human micro/anophthalmia, and thus molecular screening of this gene in 70 micro/anophthalmic patients was performed.

Molecular analysis allowed identification of three sequence variations. We observed presence of the described

SNP c.783G>C (p.Pro261Pro) either in a heterozygous or homozygous state in 42 out of 70 patients. In addition, two variants of unknown significance were identified. The heterozygous c.128C>G (p.Pro43Arg; Figure 1A) was identified in an anophthalmic patient originating from Libya for whom no other sequence variation was found. Pro43 amino-acid is conserved among species (Figure 1C) and is located closely to the conserved LIM domain I of the protein. This variation was not identified in a panel of 200 control chromosomes of mixed geographical origins (Caucasian, African, and Asian), and was considered as probably damaging by *in silico* analysis (PolyPhen and SIFT software). However, familial study has shown that this variation was inherited from his father who harbors a normal ocular examination. Thus, this heterozygous variation may be non-pathogenic, even if dominant inheritance with incomplete penetrance can not be totally ruled out. We cannot also exclude presence of an undetected maternally inherited mutation (e.g. located in intronic or promoter region sequences, or an exonic rearrangement) fitting with an autosomal recessive inheritance. In a French patient with colobomatous microphthalmia, we identified the heterozygous variation c.776C>A (p.Pro259Gln; Figure 1B). This variation was not identified in a panel of 200 chromosomes from Caucasian controls. No sample was available for his parents, and familial segregation study was not possible. Additionally, there are arguments against the implication of this variation in the patient's ocular phenotype. First, Pro259 is not a conserved amino-acid among species (Figure 1D), and a glutamine is present at this position in several distant species including *Xenopus*, Chicken, and Fugu Fish [12]. Second, this variation was considered as non damaging by *in silico* analysis (PolyPhen and SIFT software). Thus, we consider this variant as probably non deleterious. Molecular analysis failed to identify any other sequence variation in the remaining 68 patients included in this study.

In conclusion, although mutations in *LIX2* may nevertheless be implicated in some micro/anophthalmia patients, our results suggest that such sequence variations are not a frequent cause of micro/anophthalmia. Molecular basis of these ocular malformations remains still poorly understood and further work remains to be achieved to identify new micro/anophthalmia genes.

#### ACKNOWLEDGMENTS

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## ARTICLE 10

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### **Mutations in the newly identified *RAX* regulatory sequence are not a frequent cause of micro/anophthalmia**

*Genetic Testing and Molecular Biomarkers*

2009-13(3): 289-90

**N. Chassaing**, A. Vigouroux and P. Calvas

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Cet article rapporte la recherche de mutation dans une séquence régulatrice du gène *RAX*. Cette séquence régulatrice était doublement intéressante car elle permet la régulation d'expression d'un gène d'AM (*RAX*) par des facteurs de transcription impliqués dans l'AM (*SOX2* et *OTX2*). Nous y décrivons la recherche de mutation chez 51 patients atteints d'AM, chez qui aucune mutation n'avait pu être préalablement identifiée par l'analyse des sept gènes systématiquement testés chez les sujets souffrant d'AM. Deux variations de séquences non représentées dans les bases de données ont été identifiées à proximité de la séquence critique de 35 pb de fixation des facteurs de transcription. Ces deux variations ont cependant été retrouvées chez 2 % et 5 % des contrôles, rendant très improbable leur implication dans les phénotypes oculaires de ces patients. Aucune autre variation de séquence n'a été retrouvée.



## Letters to the Editor

# Mutations in the Newly Identified *RAX* Regulatory Sequence Are Not a Frequent Cause of Micro/Anophthalmia

Nicolas Chassaing,<sup>1-3</sup> Adeline Vigouroux,<sup>1,2</sup> and Patrick Calvas<sup>1-3</sup>

**Aim:** Microphthalmia and anophthalmia are at the severe end of the spectrum of abnormalities in ocular development. A few genes (*SOX2*, *OTX2*, *RAX*, and *CHX10*) have been implicated in isolated micro/anophthalmia, but causative mutations of these genes explain less than a quarter of these developmental defects. A specifically conserved *SOX2/OTX2*-mediated *RAX* expression regulatory sequence has recently been identified. We postulated that mutations in this sequence could lead to micro/anophthalmia, and thus we performed molecular screening of this regulatory element in patients suffering from micro/anophthalmia. **Methods:** Fifty-one patients suffering from nonsyndromic microphthalmia ( $n = 40$ ) or anophthalmia ( $n = 11$ ) were included in this study after negative molecular screening for *SOX2*, *OTX2*, *RAX*, and *CHX10* mutations. Mutation screening of the *RAX* regulatory sequence was performed by direct sequencing for these patients. **Results:** No mutations were identified in the highly conserved *RAX* regulatory sequence in any of the 51 patients. **Conclusions:** Mutations in the newly identified *RAX* regulatory sequence do not represent a frequent cause of nonsyndromic micro/anophthalmia.

### Introduction

**M**ICROPTHALMIA AND ANOPHTHALMIA are at the severe end of the spectrum of abnormalities in ocular development. The combined occurrence rate for these two malformations is 1/10,000 births (Morrison *et al.*, 2002; Lowry *et al.*, 2005). Mutations in several genes have been isolated in syndromic and nonsyndromic anophthalmia. Heterozygous mutations in *SOX2* account for approximately 10% of anophthalmia (Fantes *et al.*, 2003; Ragge *et al.*, 2005). Other genes have been identified to cause isolated anophthalmia or extreme microphthalmia in humans (*OTX2*, *RAX*, and *CHX10*) (Verma and Fitzpatrick, 2007). The latter are implicated in a very small proportion of affected individuals, implying wide genetic heterogeneity to match the phenotypic variability.

The *RAX* homeobox gene is essential for vertebrate eye development. In humans, the role of *RAX* in eye formation is clearly supported by the identification of truncating and missense mutations in patients with anophthalmia (Voronina *et al.*, 2004; Lequeux *et al.*, 2008). Recently, Danno *et al.*, (2008) identified a specific 35-nucleotide conserved regulatory sequence located 2 kb upstream of the promoter. They showed that *OTX2* and *SOX2*, two proteins, also directly implicated in micro/anophthalmia, bind this conserved sequence, and act as

direct upstream regulators of *RAX* expression (Danno *et al.*, 2008). We hypothesize that mutations in this regulatory sequence could disturb the early embryologic process of eye formation, and consequently lead to micro/anophthalmia. Molecular analysis was therefore performed in 51 nonsyndromic micro/anophthalmia patients for whom previous molecular analysis of genes implicated in isolated micro/anophthalmia (*SOX2*, *OTX2*, *RAX*, and *CHX10*) had failed to identify any causative mutation.

### Patients and Methods

#### Patients

Fifty-one patients suffering from nonsyndromic microphthalmia ( $n = 40$ ) or anophthalmia ( $n = 11$ ) were included in this study after their informed consent was obtained according to French law. All had undergone molecular analysis of *SOX2*, *OTX2*, *RAX*, and *CHX10* genes without any causative mutation identified.

#### Methods

The 35-nucleotide conserved *RAX* regulatory sequence (1-3117 to 1-3084) was amplified by PCR using a set of primers deduced from the *RAX* 5' genomic sequence (forward:

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CCAGGCTCCTTACTGTTGCT; reverse: GCTTCCTTTGTG CAGGACAT), corresponding to amplification of a 243-bp fragment. The PCR-amplified strands were subsequently purified with QIAquick Gel Extraction kit (Qiagen SA, Courtaboeuf, France), and both strands were sequenced using Big Dye DNA sequencing kit (Applied Biosystems, Courtaboeuf, France). Reactions were analyzed in an ABI3100 sequencer (Applied Biosystems).

### Results and Discussion

To date, *RAX* mutations explain only a minority of micro/anophthalmia cases. However, because only the coding sequences have been studied previously, the possibility of recurrent mutations in regulatory sequences could not be ruled out.

The recently described *RAX* regulatory sequence was a good candidate region for mutations in micro/anophthalmia, as *OTX2*, *SOX2* (two proteins that bind this sequence and then regulate *RAX* expression), and *RAX* proteins expressed in early eye development and mutations of genes encoding these three proteins lead to micro/anophthalmia (Verma and Fitzpatrick, 2007; Danno *et al.*, 2008). The *RAX* regulatory sequence is highly conserved among species (Danno *et al.*, 2008), and mutations in this sequence would probably impair *SOX2* and *OTX2* DNA pairing and thus *RAX* expression. Molecular analysis allows to identify two not previously described heterozygous single-nucleotide polymorphisms (1-3058C > T and 1-3046G > A) located near the highly conserved regulatory sequence in, respectively, one and two patients. However, these variations were also found, respectively, in 2% and 5% of a control population of 50 individuals and, hence, could be considered as nonpathogenic variations. Molecular analysis failed to identify any sequence variation of the *RAX* 35-nucleotide conserved regulatory element in the 51 patients included in this study.

In conclusion, although mutations in this sequence may nevertheless be implicated in some micro/anophthalmia patients, our results suggest that such sequence variations are not a frequent cause of micro/anophthalmia. Molecular basis of these ocular malformations remains still poorly under-

stood, and further work remains to be done to identify new micro/anophthalmia genes.

### Disclosure Statement

No competing financial interests exist.

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## IV-2 : Approche CGH-array

### Introduction

Des anomalies chromosomiques détectées par les techniques de cytogénétique conventionnelle sont identifiées chez 7,7 à 10 % des patients avec AM<sup>24, 26</sup>. Il s'agit essentiellement de trisomie 13 ou 18, ou l'atteinte oculaire n'est pas au premier plan. La CGH-array est un outil de cytogénétique moléculaire permettant de détecter des remaniements chromosomiques non visibles sur un caryotype standard. Cette technique a démontré son intérêt pour identifier des délétions/duplications cryptiques, et ainsi identifier les bases moléculaires de nombreux syndromes. L'approche par gènes candidats positionnels (candidats positionnels sur les données de cytogénétique) a permis d'identifier différents gènes d'AM (*SOX2*, *BMP4*, *TMX3*....)<sup>4, 99, 174</sup>. Quand des remaniements chromosomiques sont détectés par la cytogénétique conventionnelle (caryotype) ou la cytogénétique moléculaire (CGH-array) chez des patients avec AM, il est logique de faire l'hypothèse que le gène en cause dans leur anomalie du développement oculaire pourrait être situé au niveau du remaniement. En connaissant le(s) gène(s) situé(s) au niveau de la délétion, de la duplication ou de la translocation, il est possible de chercher des arguments fonctionnels permettant de suspecter un rôle à chacun de ces gènes dans la genèse de l'AM (famille de protéine, patron d'expression, modèles animaux etc...). La seconde étape consiste ensuite à rechercher des mutations ponctuelles de ces gènes candidats chez des patients atteints d'AM.

Pour des raisons pratiques (quantité d'ADN pour certains patients, ancienneté de l'ADN pour d'autres, résolution de la technique de CGH-array dans notre laboratoire au moment du projet, CGH-array faites dans un cadre diagnostic, budget...), nous n'avons pas réalisé de recherche systématique de micro-réarrangements chromosomiques dans la cohorte de patients étudiés. Nous avons, par contre, participé au travail de thèse d'Université du Dr. Andrée Delahaye qui a testé par CGH-array 65 patients présentant une anomalie du développement oculaire d'allure syndromique (associée à une déficience intellectuelle et/ou des malformations d'organes).

### Méthodes et Résultats

En plus de la validation par la technique de CGH-array des 8 délétions identifiées dans notre laboratoire par QMPSF (5 *SOX2*, 2 *OTX2* et 1 *RAX*, cf. chapitre "analyse moléculaire des gènes connus"), la CGH-array a permis d'identifier 4 remaniements chromosomiques dont le caractère causal est discuté dans l'article ci-dessous (patients 10, 11, 12, et 14) :

- Article n°11

Delahaye, A *et al.* (2012). "Genomic imbalances detected by array-CGH in patients with syndromal ocular developmental anomalies." *Eur J Hum Genet* 20(5): 527-33.

### **Conclusion**

La CGH-array est une technique à considérer dans le but d'identifier de nouveaux gènes responsables de maladies. Pour des raisons pratiques et budgétaires, nous n'avons pas réalisé cette analyse de manière systématique, mais avons pu participer au travail du Dr Delahaye focalisé sur cette technique dans les anomalies du développement oculaire. Cette collaboration, a également abouti à caractériser finement les délétions des gènes *SOX2*, *OTX2* et *RAX* identifiées par la QMPSF dans un cadre diagnostique. Enfin, les régions désignées par ces remaniements ont permis de considérer les gènes impliqués comme des gènes candidats aux anomalies du développement oculaire. Ces gènes candidats par la position ont ainsi été analysés pour les approches de séquençage à haut débit citées plus loin. (cf. chapitre "séquençage haut débit des gènes candidats").

## ARTICLE 11

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### Genomic imbalances detected by array-CGH in patients with syndromal ocular developmental anomalies

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A. Delahaye, P. Bitoun, S. Drunat, M. Gerard-Blanluet, **N. Chassaing**, A. Toutain, A. Verloes, F. Gatelais, M. Legendre, L. Faivre, S. Passemard, A. Aboura, S. Kaltenbach, S. Quentin, C. Dupont, A. C. Tabet, S. Amselem, J. Elion, P. Gressens, E. Pipiras and B. Benzacken

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65 patients atteints d'anomalies malformatives oculaires associées à une déficience intellectuelle et/ou d'autres malformations ont été analysés par la technique de CGH-array 105 ou 180K. 14 remaniements ont été identifiés chez ces patients. Pour 10 d'entre eux, il existe des arguments pour établir un lien de causalité entre le remaniement identifié et l'atteinte oculaire. Pour quatre de ces remaniements le lien avec l'atteinte oculaire a été considéré improbable. Parmi les 10 remaniements considérés comme potentiellement causaux, 4 impliquent des gènes dont le rôle dans le développement oculaire était déjà connu (2 délétions d'*OTX2* et 2 de *FOXC1*). Les 6 autres remaniements permettent de pointer de nouveaux candidats à la genèse d'anomalies du développement embryonnaire de l'œil.



ARTICLE

# Genomic imbalances detected by array-CGH in patients with syndromal ocular developmental anomalies

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In 65 patients, who had unexplained ocular developmental anomalies (ODAs) with at least one other birth defect and/or intellectual disability, we performed oligonucleotide comparative genome hybridisation-based microarray analysis (array-CGH; 105A or 180K, Agilent Technologies). In four patients, array-CGH identified clinically relevant deletions encompassing a gene known to be involved in ocular development (*FOXC1* or *OTX2*). In four other patients, we found three pathogenic deletions not classically associated with abnormal ocular morphogenesis, namely, del(17)(p13.3p13.3), del(10)(p14p15.3), and del(16)(p11.2p11.2). We also detected copy number variations of uncertain pathogenicity in two other patients. Rearranged segments ranged in size from 0.04 to 5.68 Mb. These results show that array-CGH provides a high diagnostic yield (15%) in patients with syndromal ODAs and can identify previously unknown chromosomal regions associated with these conditions. In addition to their importance for diagnosis and genetic counselling, these data may help identify genes involved in ocular development.

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## INTRODUCTION

Ocular developmental anomalies (ODAs) are severe structural defects of the eye caused by disruption of the complex process of ocular morphogenesis during early gestation.<sup>1</sup> ODAs occur in about 1 in 3000 to 4000 neonates and have been estimated to account for at least 25% of cases of childhood visual impairment worldwide.<sup>2,3</sup> ODAs include anophthalmia, microphthalmia, coloboma (failure of the optic fissure to close), congenital cataract (opacity of the lens fibres), and dysgenesis of the anterior segment (iris and cornea). These anomalies can occur separately or in combination and can be accompanied with other birth defects and/or with intellectual disability. The broad clinical spectrum of syndromal ODAs reflects the complexity of the pathways involved in ocular development. Many genes are known to be involved in ocular development, including several genes initially identified in chromosomal rearrangements.<sup>4</sup>

Chromosomal abnormalities are found in 7.7 to 10% of neonates with ocular anomalies and other birth defects.<sup>5,6</sup> The introduction of microarray technology has shown a very high rate of rearrangements undetectable with standard or high-resolution karyotyping. Submicroscopic copy number variations (CNVs) account for a substantial proportion of normal and pathogenic genetic variation in humans.<sup>7</sup>

Few studies have specifically addressed the role for submicroscopic chromosomal imbalances in ODAs. In a case-series study of 32 patients with non-syndromal anophthalmia, microphthalmia, or coloboma, a single causal deletion was found, suggesting a limited causal role for CNVs in non-syndromal ODAs.<sup>8</sup> Another study found pathogenic CNVs in 5 (13%) of 37 patients with ocular birth defects.<sup>9</sup>

The objective of this study was to determine the prevalence of genomic imbalances identified using comparative genomic hybridisation-based microarray analysis (array-CGH) in patients with syndromal ODAs.

## PATIENTS AND METHODS

Informed consent to participation was obtained from all patients and/or parents before study inclusion. Parents also gave informed consent for their own tests.

## PATIENTS

A total of 65 unrelated patients (42 males and 23 females) were included. They were born to non-consanguineous parents and had unexplained syndromal ODAs with normal karyotypes. All patients were examined by experienced ophthalmologists and clinical

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geneticists, and had negative routine diagnostic work-up results. There were 38 patients with micro-anophthalmia and coloboma, 7 with optic nerve hypoplasia, 2 with aniridia, 8 with anterior segment anomalies, 8 with congenital cataract, and 2 with other ocular defects (Duane syndrome and buphthalmos, respectively). Only patients with intellectual disability and/or at least one extraocular birth defect were included. Individual patient characteristics are reported in Supplementary Table 1.

### Array-CGH

Blood samples were obtained from the study patients and, when possible, from their parents. Genomic DNA was isolated from blood samples using standard protocols. Oligonucleotide array-CGH was performed using the Human Genome CGH Microarray Kit 105A or SurePrint G3 Human CGH Microarray Kit, 4×180K (Agilent Technologies, Santa Clara, CA, USA). In the 105A kit, the arrays include a total of 105 750 probes, with an overall median probe spacing of 22 kb, and in the 180K kit a total of 180 880 probes, with an overall median probe spacing of 13 kb. Experiments were performed according to the standard Agilent protocol (Agilent Oligonucleotide Array-Based CGH for Genomic DNA Analysis, version 6.3). Commercially available genomic DNA (Promega, Madison, WI, USA) was used as the control. Hybridised slides were scanned with a microarray scanner (G2505B SureScan High-Resolution Technology Agilent), and the image data were extracted and converted to text files using Agilent Feature Extraction software. The data were graphed and analysed using Agilent CGH Analytics software (statistical algorithm: ADM-2; sensitivity threshold: 6.1). Only gains or losses that encompassed at least three consecutive oligomers on the array were considered. CNVs previously identified in unaffected individuals according to the Database of Genomic Variants (<http://projects.tcag.ca/variation/>) were excluded. The validation method was chosen based on the imbalance type (deletion or duplication), size, and sample availability (DNA and/or metaphase spreads). Then, the clinical relevance of observed chromosomal aberrations was estimated according to data found in the scientific literature and databases for each of the regions and genes involved, using the DECIPHER database (<http://www.sanger.ac.uk/PostGenomics/decipher/>) for known microdeletion and microduplication syndromes and the Online Mendelian Inheritance in Man (OMIM, [www.ncbi.nlm.nih.gov/Omim/getmorbidity.cgi](http://www.ncbi.nlm.nih.gov/Omim/getmorbidity.cgi)) for known disease-causing genes, gene functions, and inheritance patterns. Whenever possible, to discriminate between *de novo* and inherited anomalies, the parents were tested using fluorescence *in situ* hybridisation (FISH), multiplex ligation-dependent probe amplification (MLPA), or real-time quantitative PCR technology (qPCR). When there was a family history of ODA or X-linked anomaly, additional family members were studied to evaluate the familial segregation of the inherited anomalies. DNA copy alterations were considered possibly pathogenic when they involved regions known to be associated with microdeletion or microduplication syndromes, involved known dosage-sensitive genes, involved known eye development genes, consisted in *de novo* imbalances, or exhibited a pattern of family segregation consistent with pathogenicity.

### Fluorescence *in situ* hybridisation

FISH was performed using standard protocols with commercially available probes. BAC clones from the RPCI human BAC library were selected in the chromosomal region of interest using the UCSC Genome Browser (<http://genome.cse.ucsc.edu>). DNA was labelled with Spectrum Green™-11-dUTP or Spectrum Orange™-11-dUTP (Vysis, Downers Grove, IL, USA) by nick translation, using a commercial kit (Roche Diagnostics GMBH; <http://www.rochediagnostics.com>)

according to the manufacturer's instructions. All BAC clones used for confirmation are described in the online Supplementary Information file (Supplementary Table 2).

### Multiplex ligation-dependent probe amplification

The microdeletion syndrome-specific MLPA kit SALSA P297-B1 was used with MRC-Holland reagents (MRC-Holland, Amsterdam, the Netherlands) according to the manufacturer's protocol. Amplification products were analysed using capillary electrophoresis in the DNA Analyser 3130XL and GeneMapper software v3.7 (Applied Biosystems, Life Technologies, Carlsbad, CA, USA). This kit contains probes targeting the 16p11.2 region (*MAZ*, *MVP*, *HIRIP3*, *DOC2A*, and *MAPK3* genes).

### Real-time qPCR

Real-time qPCR was performed on a StepOnePlus Real-Time PCR System (Applied Biosystems, Life Technologies) with fluorescent SYBR Green dye (Power SYBR green PCR master mix, Applied Biosystems, Life Technologies). Gene-specific primers for the target gene and endogenous control genes (*F9* and *PTEN*) were designed using Primer Express Software (Applied Biosystems, Life Technologies). The BLAST program from the NCBI browser (<http://www.ncbi.nlm.nih.gov/BLAST>) was used for *in silico* specificity analysis. Amplification efficiencies were calculated based upon the generation of standard curves using serial dilutions of genomic DNA. Assays with amplification efficiencies between 85 and 120% were considered acceptable. Each sample was run in triplicate for target gene quantification compared with endogenous control genes. Data were processed using the StepOne software v.2 (Applied Biosystems, Life Technologies), with the comparative  $\Delta\Delta$  threshold cycle-number method.<sup>10</sup> All the primers and probes used for qPCR are described in the online Supplementary Information file (Supplementary Table 2).

### Microsatellite analysis

Microsatellites were selected from UCSC Genome Browser microsatellites or simple repeat tracks, and primers were designed using the NCBI Primer-BLAST program. For a single reaction, a master mix of 2.5  $\mu$ l 10× PCR buffer with 25 mM MgCl<sub>2</sub>, 1  $\mu$ l 5 mM dNTP, 0.125  $\mu$ l AmpliTaq Gold enzyme (Applied Biosystems, Life Technologies), 0.25  $\mu$ l 20 pM primers (forward and reverse), and 16 375  $\mu$ l sterile H<sub>2</sub>O was prepared. A volume of 1  $\mu$ l DNA (50 ng/ $\mu$ l) was added to each reaction. The PCR reaction was run in Eppendorf Thermocyclers (Eppendorf, Hamburg, Germany) using the following conditions: hot start at 95 °C for 10 min, 95 °C for 10 s, 50 °C for 10 s for 36 cycles, followed by a final extension step at 72 °C for 4 min. Samples were analysed on an ABI 3730 XL DNA sequencing analyser and processed using GeneMapper 3.7 software (Applied Biosystems, Life Technologies).

## RESULTS

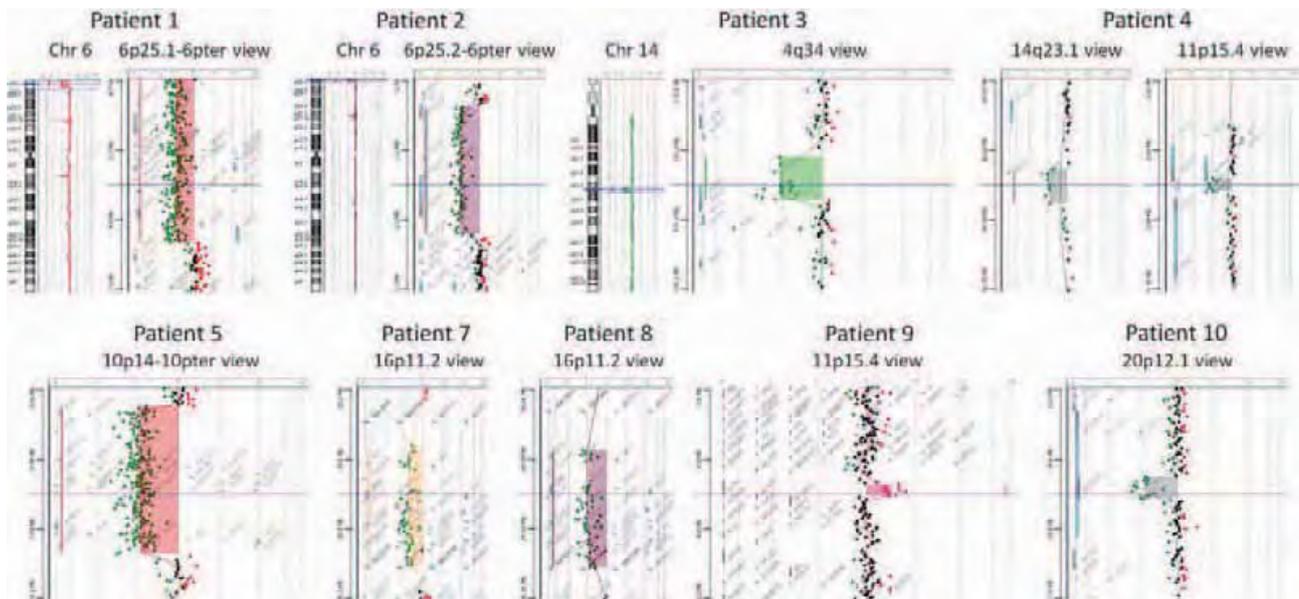
### Molecular karyotyping

Array-CGH identified 15 DNA CNVs involving segments larger than 100 kb in 14 patients (Table 1). These alterations were consistently confirmed by FISH, MLPA, or qPCR. The altered segments ranged in size from 0.04 to 5.68 Mb (Figure 1). In four patients, we identified clinically relevant deletions encompassing a gene known to be involved in ocular development (*FOXC1* or *OTX2*; Table 1, patient no. 1–4). In four other patients, three pathogenic deletions not classically associated with ODAs were found, namely, del(10)(p14p15.3), del(17)(13.3p13.3), and del(16)(p11.2p11.2) (Table 1, patient no. 5–8). Microsatellite analyses showed that the deletions were *de novo* and paternally derived for patient no. 1, 2, and 5, and *de novo*

**Table 1 DNA copy alterations identified using array-CGH**

| Patient  | Imbalance | Size (Mb) | ISCN description <sup>a</sup>          | Inheritance | Major genes involved                    | DECIPHER patient |
|--|-----------|-----------|--|-------------|---|------------------|
| <i>Possibly pathogenic chromosomal anomalies</i> |           |           |  |             |   |                  |
| Patient 1  | Del       | 5.68      | 6p25.1p25.3(107,883-5,684,125)x1       | Pat (dn)    | <b>FOXC1</b>                            | PAR251592        |
| Patient 2  | Del       | 1.95      | 6p25.2p25.3(477,352-2,472,573)x1       | Pat (dn)    | <b>FOXC1</b>                            | PAR251563        |
| Patient 3  | Del       | 0.61      | 4q34q34(176,398,264-177,004,339)x1     | Pat (inh)   | <b>GPM6A</b>                            | PAR251586        |
| Patient 4  | Del       | 2.25      | 14q22.2q23.1(54,287,767-56,543,234)x1  | Mat (inh)   | <b>OTX2, GCH1</b>                       | PAR254661        |
|  | Del       | 0.04      | 11p15.4p15.4(3,765,195-3,809,332)x1    | U           | <b>RHOG</b>                             |                  |
| Patient 4  | Del       | 0.11      | 14q23.1q23.1(56,326,564-56,433,789)x1  | U           | <b>OTX2</b>                             | PAR251587        |
|  | Del       | 5.55      | 10p14p15.3(2,911,242-8,457,638)x1      | Pat (dn)    | <b>AKRIC2, GATA3</b>                    |                  |
| Patient 5  | Del       | 5.55      | 10p14p15.3(2,911,242-8,457,638)x1      | Pat (dn)    | <b>AKRIC2, GATA3</b>                    | PAR251587        |
| Patient 6  | Del       | 0.5       | 17p13.3p13.3(1,105,199-1,605,301)x1    | U           | <b>YWHAE</b>                            | PAR251562        |
| Patient 7  | Del       | 0.6       | 16p11.2p11.2(29,500,084-30,106,254)x1  | Mat (dn)    | <b>SEZ6L2</b>                           | PAR251593        |
| Patient 8  | Del       | 0.55      | 16p11.2p11.2(29,560,300-30,106,254)x1  | Non-mat     | <b>SEZ6L2</b>                           | PAR256688        |
| Patient 9  | Dup       | 0.18      | 11p15.4p15.4(7,283,552-7,466,165)x3    | Pat (inh)   | <b>SYT9, OLFML1</b>                     | PAR251548        |
| Patient 10                                       | Del       | 0.36      | 20p12.1p12.1(15,003,653-15,360,378)x1  | U           | <b>MACROD2</b>                          | PAR251588        |
| <i>Probably non-pathogenic variant</i>           |           |           |  |             |   |                  |
| Patient 11                                       | Del       | 0.41      | Xq25q25(127,268,216-127,679,006)x1     | Mat (inh)   | Pseudogenes                             | PAR251582        |
| Patient 12                                       | Del       | 0.77      | 2q23.3q23.3(153,511,511-154,277,644)x1 | Mat (inh)   | <b>RPRM</b>                             | PAR251584        |
| Patient 13                                       | Dup       | 0.2       | 6p11.2p11.2(27,767,070-27,969,040)x3   | Mat (inh)   | <b>tRNA genes, histone gene cluster</b> | PAR251546        |
| Patient 14                                       | Del       | 0.2       | 8p23.2p23.3(3,079,016-3,276,030)x1     | Pat (inh)   | <b>CSMD1</b>                            | PAR251596        |

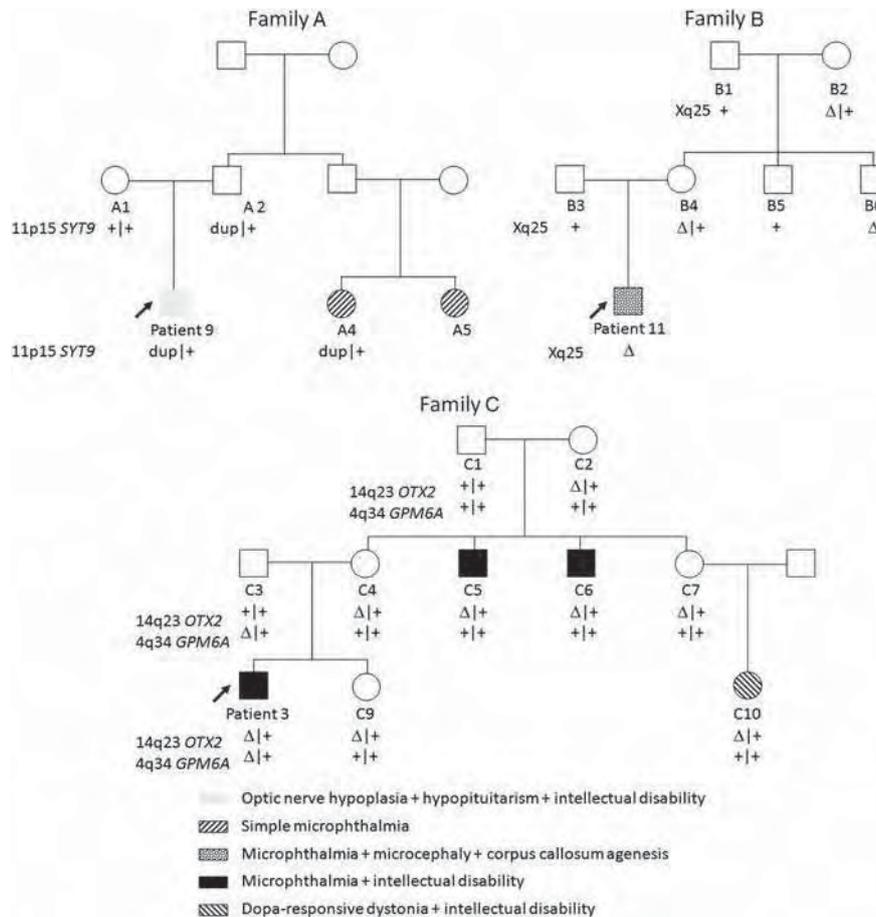
Abbreviations: Del, deletion; dn, *de novo*; Dup, duplication; inh, inherited; ISCN, International System for Human Cytogenetic Nomenclature (2009); mat, maternal; pat, paternal; U, unavailable.  
<sup>a</sup>On NCBI human genome Build 36 (UCSC hg18).  
Bold denotes gene known to be involved in ocular development.



**Figure 1** Possibly pathogenic chromosomal anomalies identified in patients with syndromal ODAs. Chromosome views and/or gene views of the affected chromosome or chromosome band produced by the Agilent CGH Analytics software and showing the aberrant region, which is highlighted in colour. On gene views, the dots correspond to the array targets, arranged on the y axis according to their genomic position and on the x axis according to their log<sub>2</sub> intensity ratio value.

and maternally derived for patient no. 7. In patient no. 9, the inherited 11p15.4 duplication involving genes not known to be associated with disease was interpreted as possibly pathogenic because the pattern of family segregation suggested an autosomal dominant defect with incomplete penetrance and variable expressivity (Figure 2, Family A). In patient no. 10, we provisionally classified the 360-kb 20p12.1 deletion as possibly pathogenic, although no known disease-associated gene, microdeletion, or microduplication syndrome was found. We are seeking to obtain samples from the parents and sisters to clarify the clinical significance of this deletion. In a male patient

(patient no. 11) with an Xq25 deletion involving genes not known to be associated with disease and inherited from a healthy mother, the family study identified this Xq25 deletion in an asymptomatic maternal uncle and was therefore probably a non-pathogenic variant (Figure 2, family B, and Table 1). In three patients (patient no. 12, 13, and 14), DNA copy alterations were inherited from a phenotypically normal parent with no family history of ODA and were interpreted as likely non-pathogenic variants (Table 1). All 14 patients have been submitted for registration in the DECIPHER database (<http://www.sanger.ac.uk/PostGenomics/decipher/>).



**Figure 2** Family segregation of possibly pathogenic chromosomal anomalies. Family studies were performed for patient no. 9 (family A), 11 (family B), and 3 (family C). In family A, segregation of the 11p15 duplication was consistent with an autosomal dominant disorder with incomplete penetrance and variable expression. In family B, the Xq25 deletion was present in an asymptomatic maternal uncle, suggesting that it was a non-pathogenic variant devoid of clinical significance. Family C demonstrates the incomplete penetrance and variable expressivity of phenotypes associated with *OTX2* defects. The additional paternally inherited imbalance in patient no. 3 suggests that *GPM6A* haploinsufficiency may modify the phenotype associated with *OTX2* defects. dup, duplication; Δ, deletion; +, wild type.

### Clinical data of patients with clinically relevant chromosomal abnormalities

**Patient no. 1.** This girl with a 6p25 deletion encompassing the *FOXC1* gene was referred to a clinical geneticist at 1 month of age for dilated cardiomyopathy and facial dysmorphism. She was the first and only child of healthy non-consanguineous parents. The pregnancy was uneventful. She was born at 39 weeks of gestation after a normal vaginal delivery. At birth, she had normal values for weight (2845 g), length (47.5 cm), and occipitofrontal circumference (34 cm). Dysmorphic features included a prominent forehead, hypertelorism, down-slanting palpebral fissures, a broad nasal bridge, and ocular proptosis. The combination of dilated cardiomyopathy and facial dysmorphism prompted further investigations. The conventional cytogenetic analysis was normal. The ophthalmologist found bilateral Axenfeld's anomaly with iridocorneal adhesions and corectopia of the right eye. Magnetic resonance imaging (MRI) of the brain showed a short corpus callosum and mega cisterna magna. During follow-up, mild motor delay developed and the cardiomyopathy remained stable. At last follow-up, she was 2 years of age and unable to walk alone; her weight was 10.2 kg (−1.5 SD), her height 81 cm (−1 SD), and her OFC 46 cm (−1 SD).

**Patient no. 2.** This 27-year-old man with a 6p25 deletion encompassing the *FOXC1* gene was born to non-consanguineous parents. He had Rieger syndrome with low vision and bilateral Rieger ocular anomaly (iris hypoplasia, iridocorneal adhesions, and posterior embryotoxon) complicated by bilateral glaucoma. Other abnormalities were hypotrophy, hypodontia, and autistic spectrum disorder. Cerebral MRI could not be performed.

**Patient no. 3.** This 24-year-old male belonging to family C (Figure 2) and his two maternal uncles (C5 and C6) had various combinations of colobomatous microphthalmia, palate anomalies, facial dysmorphism, renal malformation, microcephaly, and intellectual disability. They had a 14q23 deletion encompassing the *OTX2* gene. The carrier females had mild features (strabismus, nystagmus, low-normal intelligence, and speech problems), except one (C7), who was asymptomatic. Cousin C10 had developmental delay, strabismus, marked scoliosis, mild dysmorphism, and dopa-responsive dystonia. No other family members carrying the deletion had neurological symptoms suggesting dystonia.

**Patient no. 4.** This 14 year-old girl with a 14q23 deletion encompassing the *OTX2* gene was the first of two children of healthy

non-consanguineous parents. There was no family history of ODA. She was born at full term after a normal vaginal delivery. Her birth weight (2400 g) and length (46 cm) were at  $-2$  SD (third percentile). She had severe bilateral microphthalmia, facial dysmorphism (prominent forehead and depressed nasal bridge), and developmental delay. When she was 4 years old, her weight was 10 kg ( $-3$  SD), her height was 87 cm ( $-3.5$  SD), and her skeletal bone age was 2 years. Investigations showed growth hormone deficiency and she was started on growth hormone replacement therapy. Brain MRI showed anterior pituitary hypoplasia and an ectopic posterior pituitary gland. At 10 years of age, after more than 5 years of growth hormone treatment, her weight was 21 kg ( $-2$  SD) and her height 124 cm ( $-2$  SD).

**Patient no. 5.** This 9-year-old boy with 10p14p15 deletion was the first and only child of healthy non-consanguineous parents. He was born at full term after a normal vaginal delivery, with growth parameters at  $-2$  SD: weight 2380 g (fifth percentile); length 45 cm (third percentile), and OFC 32 cm (fifth percentile). He had multiple birth defects consisting of choanal atresia, bilateral coloboma of the iris, malrotation of the midgut, grade III vesicoureteral reflux, facial dysmorphism (blepharophimosis with down-slanting palpebral fissures), and microcephaly (OFC at  $-4$  SD). During follow-up, epilepsy and developmental delay were diagnosed, followed by bilateral hearing impairment and hypoparathyroidism.

**Patient no. 6.** This 9-year-old boy previously described by Schiff *et al*<sup>11</sup> as patient D had a 17p13.3. deletion. He was the second child of healthy non-consanguineous parents. He had prenatal-onset growth retardation, bilateral chorioretinal coloboma with lens coloboma and right microcornea, developmental delay, and facial dysmorphism (large face, hypertelorism, down-slanting palpebral fissures, ptosis, short nose, pointed chin, and everted lower lip). Multidrug-resistant epilepsy developed during follow-up.

**Patient no. 7.** This 4-year-old girl with a 16p11.2 deletion had septo-optic dysplasia, with pituitary deficiency and intellectual disability. The ophthalmological examination was abnormal, with colobomatous microphthalmia of the left eye, persistent hyperplastic primary vitreous, and cataract of right eye. Brain MRI showed agenesis of the septum pellucidum and olfactory bulb and hypoplasia of the optic tract.

**Patient no. 8.** This 6-year-old boy with a 16p11.2 deletion was the second of two children of healthy non-consanguineous parents. There was no family history of ODA. He was born at full term after a normal vaginal delivery, with growth parameters at  $-2$  SD: weight 2735 g (5–10th percentile); length 48 cm (10th percentile); and OFC 33 cm (10th percentile). Congenital nasolacrimal duct obstruction was repaired surgically. Chorioretinal coloboma with microcornea was found in the left eye; the right eye was normal. During follow-up, mild psychomotor delay with a speech defect developed. Brain MRI was not performed.

**Patient no. 9.** This 10-year-old boy with a 11p15.4 duplication was the first and only child of healthy non-consanguineous parents and belonged to family A (Figure 2). He had optic nerve hypoplasia, growth hormone and TSH deficiency, sleep disorder, and intellectual disability. Brain MRI showed anterior pituitary hypoplasia and ectopia of the posterior pituitary gland without other septal anomalies. His two paternal female cousins had simple microphthalmia.

**Patient no. 10.** This girl with a 20p12.1 deletion was the first-born child of healthy non-consanguineous parents. Abnormalities at birth consisted of bilateral anophthalmia, cleft lip and palate, deafness, and

bilateral inguinal hernia. Her psychomotor development and stature were normal. She had a sister with unilateral microphthalmia and cleft lip and palate.

## DISCUSSION

Using whole-genome array-CGH, we found 10 potentially pathogenic chromosome imbalances among 65 patients with ODAs. This diagnostic yield of 15% is consistent with the findings from oligonucleotide-based array studies in patients with unexplained intellectual disability or developmental delay.<sup>12,13</sup> Our study's arrays resolutions are theoretically lower than those used in the two previous array-CGH studies of patients with ODA.<sup>8,9</sup> However, our diagnostic yield is similar or better. This is certainly explained by differences in the inclusion criteria; unlike us, these two studies included patients with isolated ODAs.

The chromosomal abnormalities identified in patient no. 1–8 were considered causal. In four patients (no. 1–4), we found deletions encompassing a gene known to be involved in ocular development (*FOXC1* or *OTX2*), in keeping with an earlier study.<sup>9</sup> Adding our patients to those of this previous study, 8% of patients with ODAs had deletions encompassing *OTX2* ( $n=3$ ), *FOXC1* ( $n=3$ ), *COH1* ( $n=1$ ), or *PAX6* ( $n=1$ ). *FOXC1* alterations (mutations or 6p25.3 deletions/duplications) are involved in Axenfeld–Rieger anomaly or syndrome (MIM 601090, 602482, and 601631) and were recently shown to cause cerebellar vermis hypoplasia and to contribute to the Dandy–Walker continuum.<sup>14</sup> *OTX2* deletions and heterozygous mutations cause syndromal micro-anophthalmia (MIM 600037 and 610125)<sup>15</sup> and developmental anomalies of the pituitary gland,<sup>16</sup> and *OTX2* mutations can cause septo-optic dysplasia.<sup>17</sup> Family C and several previously described families were reported with *OTX2* alterations in patients presenting micro-anophthalmia and/or pituitary deficiency but inherited from an asymptomatic parent,<sup>9,16,18,19</sup> suggesting the incomplete penetrance of *OTX2*-associated phenotypes. Therefore, a CNV encompassing *OTX2* transmitted by an unaffected parent can be pathogenic for his child. Intrafamilial heterogeneity of *OTX2* defects may be explained, in some cases, by an additional imbalance. The *GPM6A* gene included in the paternally inherited 610-kb deletion at 4q34 of our patient no. 3 and the *RHOG* gene in the 11p15 deletion of patient no. 4 have both been implicated in neurite outgrowth.<sup>20,21</sup> RHO–ROCK signalling is involved in regulating the microfilament system, which is a key regulator of epithelial morphogenesis<sup>22</sup> and controls the early phases of optic cup morphogenesis.<sup>23</sup> These data support a role for *RHOG* in ODAs. We therefore suggest that *RHOG* haploinsufficiency may modulate the *OTX2*-related phenotype of patient no. 4.

Patient no. 5 and no. 6 carry pathogenic deletions that are not classically associated with ODAs. In both patients, the extraocular phenotype is consistent with the deletion. Their ODA could be related to a separate unknown cause or explained by the incomplete penetrance of an unknown ocular developmental gene included in their deletion. In patient no. 5, the deletion includes *GATA3*, which is involved in the HDR syndrome (hypoparathyroidism, deafness, and renal dysplasia syndrome, MIM 146255), and the phenotype is consistent with HDR syndrome. The additional anomalies are possibly due to the other genes included in the large 10p14p15 deletion in this patient. In keeping with earlier data,<sup>11,24</sup> ocular coloboma was a feature in our patient no. 6, pointing to a critical 0.67-Mb region for coloboma, with incomplete penetrance.

CNVs associated with partially penetrant phenotypes raise challenges, as seen also in our patient no. 7 and 8, who had a 16p11.2 deletion. The 16p11.2 deletion syndrome (OMIM 611913) has been

described in at least 100 individuals. The common deleted or duplicated region is 555 kb in length and is flanked by two low copy repeats of about 147 kb, suggesting that its pathogenic effects may be mediated by non-allelic homologous recombination. Recurrent microdeletions and microduplications at 16p11.2 have been shown to confer susceptibility to autistic spectrum disorder and have been identified in up to 1% of patients with this diagnosis.<sup>25</sup> The 16p11.2 deletion frequently co-segregates with severe early-onset obesity.<sup>26,27</sup> ODA is not a common feature of 16p11.2 deletions. In a neonate with multiple anomalies, including right ocular coloboma and chorioretinitis, array-CGH detected a 16p11.2 deletion on the G-banded karyotype; the size of the deletion was not estimated, and the break points were not reported.<sup>28</sup> More recently, microphthalmia and optic nerve coloboma were reported in a patient with a *de novo* 16p11.2 microdeletion.<sup>29</sup> Our finding of a 16p11.2 deletion in two patients with ODAs supports a causal link between 16p11.2 haploinsufficiency and low-penetrance coloboma. A less likely hypothesis is that of an autosomal recessive disorder with loss of one of the alleles, allowing the mutation-carrying allele to cause the ODA. In this chromosomal region, *SEZ6L2* may be involved in ocular development, as it is expressed in the forebrain during development<sup>30</sup> as well as in the eye (BioGPS, <http://biogps.gnf.org/>; GeneHub-GEPIS, <http://www.cgl.ucsf.edu/Research/genentech/genehub-gepis/genehub-gepis-search.html>). Further studies are needed to evaluate the potential role for *SEZ6L2* or another 16p11.2 gene in ocular development.

The clinical relevance of the CNV detected by array-CGH was unclear in two of our patients. Criteria for pathogenic CNVs, as opposed to non-pathogenic CNVs, have been suggested (see Table 1 of Lee *et al*<sup>31</sup>). Nevertheless, it may be difficult to draw a definitive conclusion,<sup>7,32</sup> most notably when samples cannot be obtained from both parents (and, in some cases, other family members). Unavailability of family samples is probably the greatest challenge raised by the use of array-CGH as a routine diagnostic tool. In our patient no. 10, the absence of family samples hindered our ability to interpret the 360-kb deletion at 20p12.1 in exon 6 and intron 6 of *MACROD2*. This deletion is not registered among the non-pathogenic CNVs in the DGV database. The function of *MACROD2* (previously *c20orf133*) is unknown. Genome-wide association studies found significant associations of *MACROD2* single-nucleotide polymorphisms with autistic spectrum disorder,<sup>33</sup> brain infarction,<sup>34</sup> and brain volume in multiple sclerosis.<sup>35</sup> The 360-kb deletion is located close to a hotspot of *de novo* and inherited CNVs with variable and non-recurrent break points, involving exon 5 and/or intron 5 of *MACROD2*. CNVs of this hotspot were found in control individuals in the DGV database and in individuals with schizophrenia,<sup>36</sup> holoprosencephaly,<sup>37</sup> and Kabuki syndrome,<sup>38,39</sup> suggesting limited or absent clinical relevance.<sup>40</sup> The phenotype in patient no. 10 does not resemble Kabuki syndrome. These facts argue against a role for *MACROD2* in ODAs.

The clinical relevance of the 11p15 microduplication detected in patient no. 9 is uncertain. This 180-kb duplication partially encompasses two genes, *SYT9* (OMIM 613528) and *OLFML1*. Confirmation using qPCR cannot distinguish a tandem/inverted duplication from an insertion at a distance. The *SYT9* gene is specifically expressed in the mouse brain and may have a role in calcium-sensitive synaptic neurotransmitter release,<sup>41</sup> but neither *SYT9* nor *OLFML1* is known to be associated with clinical disease. The 11p15 duplication was also found in a cousin of patient no. 9 who has a different ODA. Microphthalmia, optic nerve hypoplasia, and hypopituitarism belong to the growing spectrum of anomalies known to occur in septo-optic dysplasia.<sup>17</sup> The pattern of family segregation is consistent with an autosomal dominant defect with incomplete penetrance and variable

expression. In the absence of similar cases, we can suggest, but not confirm, that this variant is pathogenic.

In our study, two-thirds of the patients were males, in keeping with the known male predominance among patients with ODA (and intellectual disability). No pathogenic CNVs were found in the X chromosome in our study or in earlier work. Therefore, the male preponderance can probably not be ascribed to genomic rearrangements involving developmental genes on the X chromosome. However, we cannot rule out inadequate distribution of oligonucleotide probes on the X chromosome.

In conclusion, our results emphasise the benefits of whole-genome array-CGH for the diagnosis of ODA syndromes. Detecting the genetic defect has important consequences for genetic counselling of the families and follow-up of the patients. Detailed molecular analysis of the rearranged regions may help to identify the genes involved in ocular development.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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### IV-3 : Approche expérimentale

#### Introduction au chapitre

Pour identifier de nouveaux gènes d'AM, nous avons émis l'hypothèse que ces nouveaux gènes pourraient être des gènes régulés par les facteurs de transcription (FT) déjà connus comme responsables d'AM et exprimés précocement dans le développement oculaire. L'analyse des gènes régulés par les facteurs de transcription déjà impliqués dans les AM, de leur fonction et de leur cartographie d'expression spatiale et temporelle constitue une étape clef de la compréhension des mécanismes moléculaires impliqués dans le développement oculaire normal<sup>175</sup>, et de l'identification de nouveaux gènes impliqués dans les défauts du développement oculaire. Nous avons tenté d'identifier par l'approche expérimentale exposée ici les gènes régulés par les FTs SOX2, OTX2, PAX6 et RAX dont l'importante contribution au développement oculaire a été démontrée à maintes reprises (voir chapitre "introduction aux facteurs de transcription étudiés")

Pour identifier les gènes cibles de ces 4 FTs, nous avons mené en parallèle des analyses transcriptomiques et une approche par immunoprécipitation de chromatine.

Pour ces expériences, nous avons travaillé à partir de cellules souches embryonnaires établies en lignée (CCE), génétiquement modifiées (surexprimant le gène *Rax*, CCE-Rx). La surexpression de *Rax* permet leur différenciation en cellules rétiniennes<sup>176</sup>. Cette lignée nous a été transmise par le Pr. S. Watanabe (Kobe, Japon). Son équipe avait pu montrer qu'en introduisant une cassette d'expression du gène *Rax* dans des cellules souches embryonnaires d'origine murine (CCE), ces cellules conservaient leur caractère indifférencié et continuaient à proliférer en présence de LIF (Leukemia Inhibiting Factor), comme les cellules CCE non modifiées. Par contre, la surexpression exogène de *Rax* leur conférait la possibilité de migrer dans des explants rétiniens et de s'intégrer dans ceux-ci, contrairement aux cellules mères non modifiées. Les CCE-Rx s'intégraient alors principalement dans la couche ganglionnaire, étaient capable de se connecter avec les cellules rétiniennes et d'approcher les capacités électrophysiologies de ces cellules.

Ces données montrent que la surexpression du gène *Rax* dans ces cellules souches embryonnaires, leur confère, dans des conditions spécifiques, une plus grande capacité à se différencier en cellules rétiniennes. Ce modèle cellulaire de cellules souches embryonnaires "orientées" vers une différenciation rétinienne, nous a paru un modèle cellulaire propice à rechercher les cibles des FTs étudiés au cours du développement oculaire.

## IV-3-A : Transcriptomique

### Introduction

Dans une première approche, la recherche des cibles des FTs Sox2, Otx2, Rax et Pax6, a été conduite à l'aide des analyses transcriptomiques des cellules CCE-Rx après inhibition ou surexpression de ces FTs.

### Méthodes et Résultats

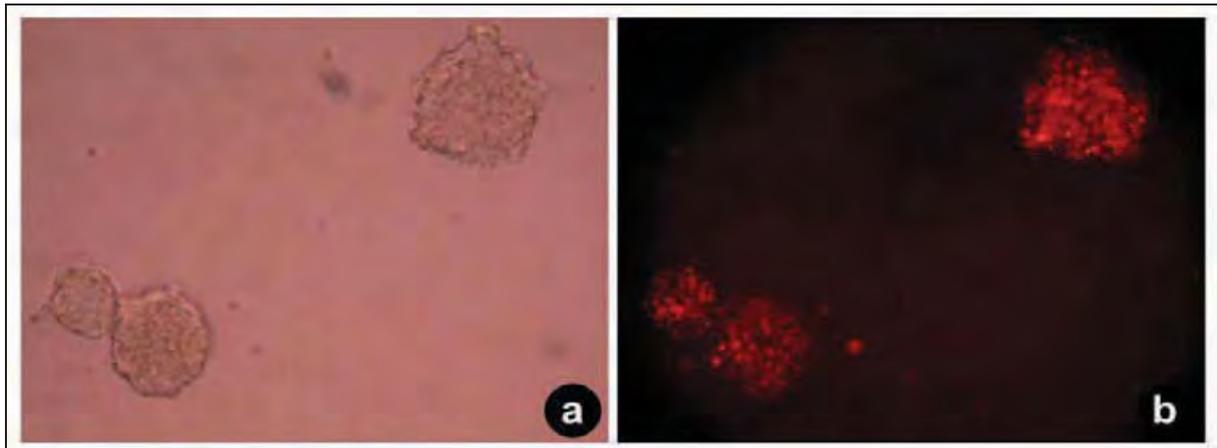
#### - Culture Cellulaire

Les CCE-Rx indifférenciées sont cultivées dans des boîtes de culture de 10cm de diamètre revêtues de gélatine (Biocoat) dans 6 ml de milieu DMEM supplémenté avec 15% de sérum de veau fœtal, 100 uM 2-mercaptoéthanol, 10 ng / ml LIF, 2 mM glutamine et 100 uM MEM non-acides aminés essentiels. Les cellules CCE sont maintenues dans un incubateur humidifié à 37 °C et 5% de CO<sub>2</sub> dans l'air. Le milieu de culture a été changé tous les jours. Les CCE-Rx indifférenciées sont adhérentes et sont passées toutes les 48H.

Pour la formation de corps embryoides (cellules en cours de différenciation), les CCE-Rx sont cultivées en suspension dans des boîtes de Pétri en plastique non adhérent de 6 cm de diamètre avec 4 ml de milieu de culture. Le milieu de culture diffère de celui des cellules indifférenciées : la concentration de SVF est de 10 %, et il n'y a pas de LIF (leukemia Inhibiting Factor). Les cellules poussent en suspension et forment des agrégats cellulaires, les corps embryoides.

#### - Transfection

Le protocole de transfection a été adapté du protocole de transfection décrit pour ces cellules<sup>177</sup>. Les cellules CCE-Rx indifférenciées adhérentes sont détachées par trypsinisation et 1.2 10<sup>6</sup> cellules sontensemencées dans une boîte non adhérente de 6 cm. Pour la transfection, il n'y a pas d'antibiotiques dans le milieu. La transfection utilise de l'Effectene et permet une efficacité de transfection importante (Fig. 26).



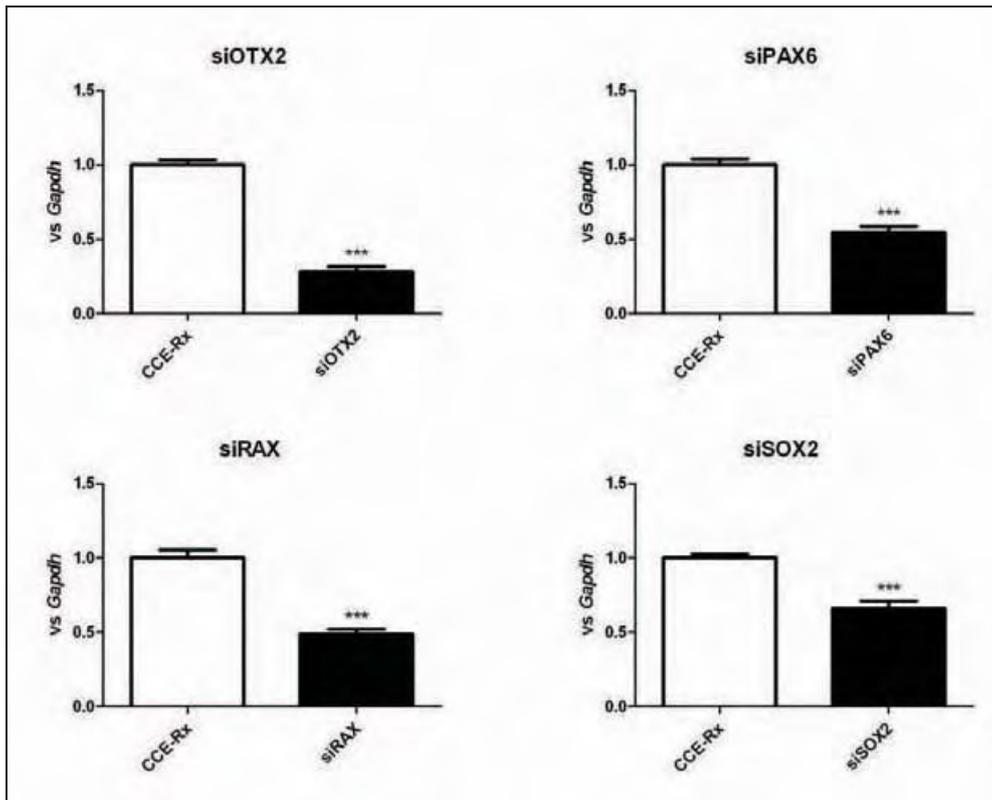
**Figure 26: Efficacité de transfection des corps embryoides**

(a) Aspect des corps embryoides en culture 48H après mise en culture des CCE-Rx. (b) L'efficacité de la transfection a été analysée à l'aide d'un vecteur de transfection dsRED 48H post transfection. La fluorescence rouge signe la bonne efficacité de la transfection et l'expression du vecteur. Tous les corps embryoides semblent avoir été efficacement transfectés, même s'il n'est pas possible de définir le taux de cellules transfectées dans chaque corps embryoides.

Pour les transfections de vecteur d'expression (vecteur d'expression Qiagen pQE-TriSystem) d'OTX2, PAX6, RAX, ou SOX2, 1 µg d'ADN du vecteur était associé à 3.2 µl d'enhancer et 8 µl d'Effectene selon le protocole du fabricant et ajouté directement au moment de l'ensemencement dans les boîtes de Pétri non adhérentes en vue de la formation de corps embryoides. De la même façon, pour les siRNA (Stealth siRNA, Invitrogen), les siRNA à 50nM final (siOtx2, siPax6, siRax) ou 400nM final (siSox2) étaient associés à 2.4 µl d'enhancer et 8 µl d'Effectene selon le protocole du fabricant et ajoutés directement au moment de l'ensemencement dans les boîtes de Pétri non adhérentes. La quantité de vecteur d'expression ou de siRNA nécessaire a été optimisée pour chaque condition.

#### - Efficacité des siRNA

Afin d'identifier les meilleures conditions d'inhibition de l'expression des FTs ciblés, 4 siRNA différents ont été testés pour chaque FT. De même, différentes concentrations (de 5 à 400nM final) ont été testées. Les ARN ont été extraits des corps embryoides 48H post transfection et ont été analysés par qPCR en prenant comme gènes rapporteurs *Gapdh* et *β-Actine*. Les résultats obtenus ont été comparés à des ARNm extraits de corps embryoides transfectés avec un siRNA scramble (Invitrogen, Scramble siRNA, Medium GC). Les résultats obtenus pour les niveaux d'inhibition des 4 FTs étudiés sont représentés figure 27. Il n'a pas été possible d'obtenir une extinction totale de l'expression des FTs compte tenu de leur expression soutenue dans ces cellules (particulièrement *Sox2*) et de la croissance cellulaire importante entre la transfection et les 48H de culture cellulaire en suspension nécessaire à la formation des corps embryoides.



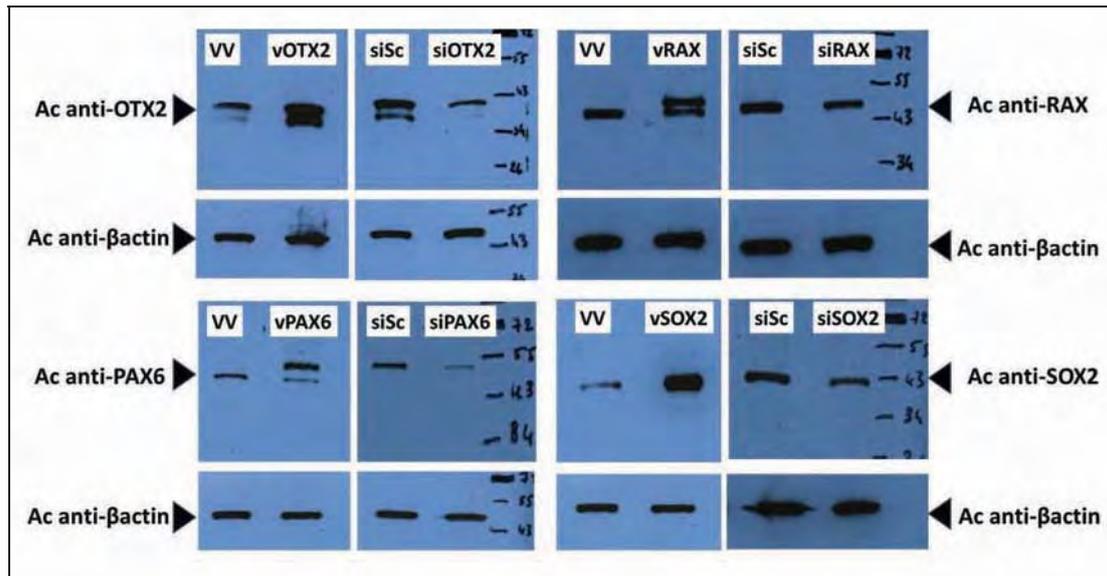
**Figure 27: Efficacité des siRNA utilisés pour les analyses transcriptomiques**

Le taux d'ARNm résiduel pour les 4 facteurs de transcription d'intérêt a été quantifié par qPCR 48H après transfection des cellules CCE-Rx par les siRNA correspondants. Ces résultats ont été normalisés par rapport à l'expression de *Gapdh*. L'expression résiduelle des facteurs de transcription étudiés est de 28 % pour *Otx2*, 54 % pour *Pax6*, 48 % pour *Rax* et 66 % pour *Sox2*.

Des résultats similaires ont été obtenus en analysant l'expression protéique de ces 4 FTs par western blot 48H après transfection (Fig. 28 et 29).

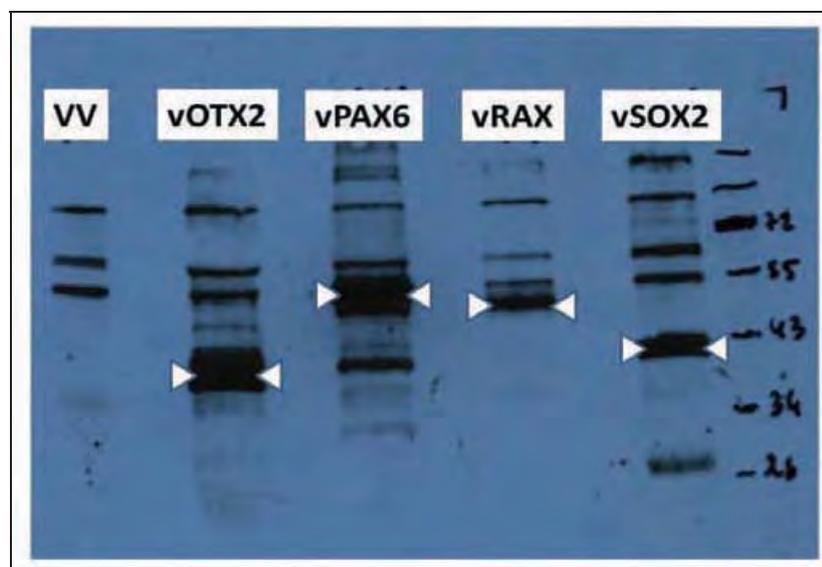
- Vecteurs de surexpression

Pour analyser l'efficacité des vecteurs de surexpression, nous avons extrait les protéines des cellules CCE-Rx 48H après transfection par un vecteur vide (contrôle) ou par un vecteur d'expression d'un des 4 FTs étudiés. Des analyses par western blot ont été faites soit en utilisant des Anticorps (Ac) spécifiques ciblant les FTs étudiés (*Otx2*-Abcam ab21990; *Pax6*-Sigma AV32741; *Sox2* R&D MAB2018 et *Rax*-SantaCruz sc-79028) (Fig. 28), soit en utilisant un Ac primaire dirigé contre le motif poly-histidine (Qiagen) présent sur chaque vecteur d'expression permettant d'étudier l'expression de tous les vecteurs d'expression avec un Ac primaire unique (Fig. 29). Ces analyses ont permis de confirmer l'efficacité des vecteurs d'expression.



**Figure 28: Analyse en western blot de l'efficacité des vecteurs d'expression et des siRNA pour chaque facteur de transcription étudié (Otx2, Pax6, Rax et Sox2)**

Les protéines ont été extraites des corps embryoides 48H après transfection et l'expression des protéines d'intérêt est étudiée après transfection d'un vecteur d'expression spécifique (vFT ; comparée à la transfection d'un vecteur vide (VV)) ou après transfection d'un siRNA spécifique (siFT ; comparée à la transfection d'un siRNA scramble (siSc)). La quantité de la protéine d'intérêt est rapportée à la quantité de protéine totale représentée par la quantité de  $\beta$ -actine. On retrouve une diminution des protéines ciblées lors de l'utilisation des siRNA spécifiques en comparaison du siRNA scramble. Il existe également une surexpression protéique induite par la transfection des vecteurs de surexpression.



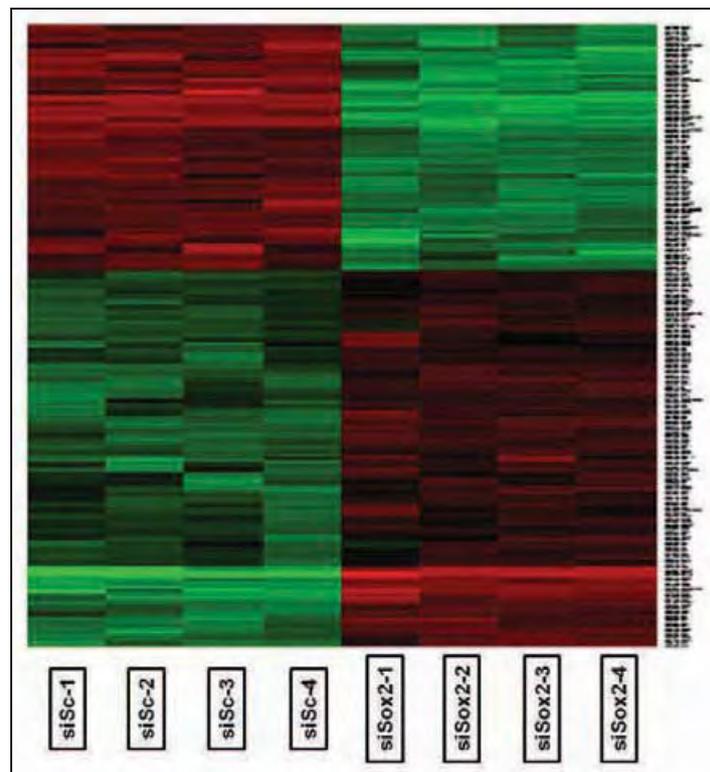
**Figure 29: Analyse en western blot de l'efficacité des vecteurs d'expression pour chaque facteur de transcription étudié (Otx2, Pax6, Rax et Sox2) à l'aide d'un Ac anti pentahistidine.**

Les protéines ont été extraites des corps embryoides 48H après transfection. Les facteurs de transcription étudiés exprimés dans les vecteurs d'expression Qiagen (vFT) ont une extension de 10 histidines en C-terminal permettant leur identification sur western blot grâce à l'utilisation d'un Ac primaire dirigé contre le motif penta-his. La première ligne représente les protéines extraites de cellules CCE-Rx transfectées par un vecteur vide (VV). Les bandes correspondant aux protéines d'intérêt sont marquées par des flèches blanches.

Nous avons ainsi validé la possibilité de moduler (inhibition ou surexpression) l'expression des FTs d'intérêt dans les cellules CCE-Rx. Ce résultat permettait l'analyse des modifications du transcriptome secondairement à chacune de ces modulations.

- Analyses transcriptomiques

48H après transfection par les vecteurs d'expression, ou les siRNA, les ARNm ont été extraits des corps embryoïdes et hybridées sur des puces Affymetrix GeneChip® Mouse Gene 1.0 ST Array couvrant plus de 26000 transcrits. Les conditions étudiées ont été : siSc, siOtx2, siPax6, siRax, siSox2, vecteur vide (VV), vOtx2, vPax6 et vRax. Compte tenu de l'expression basale importante de Sox2, nous ne sommes pas arrivés dans un premier temps à obtenir une surexpression franche de Sox2 et la condition vSox2 n'a donc pas pu être analysée. L'analyse des données a été faite par Sophie Lamarre à la plateforme Biopuce (Génotoul). Brièvement, la qualité des hybridations a été validée et les profils d'expression pour chaque condition ont été analysés après avoir corrigé les bruits de fond et normalisé les données à l'aide des logiciels RMA (version 2.14.2)<sup>178</sup> et R<sup>179</sup>. Les analyses statistiques de différentiel d'expression entre les conditions ont été réalisées avec le pack Limma<sup>180</sup>. Une p-value corrigée par le FDR (False Discovery Rate) a été utilisée pour les analyses de significativité. Un exemple de résultat obtenu est présenté dans la figure 30.



### Figure 30: Représentation des gènes différentiellement exprimés entre deux conditions expérimentales.

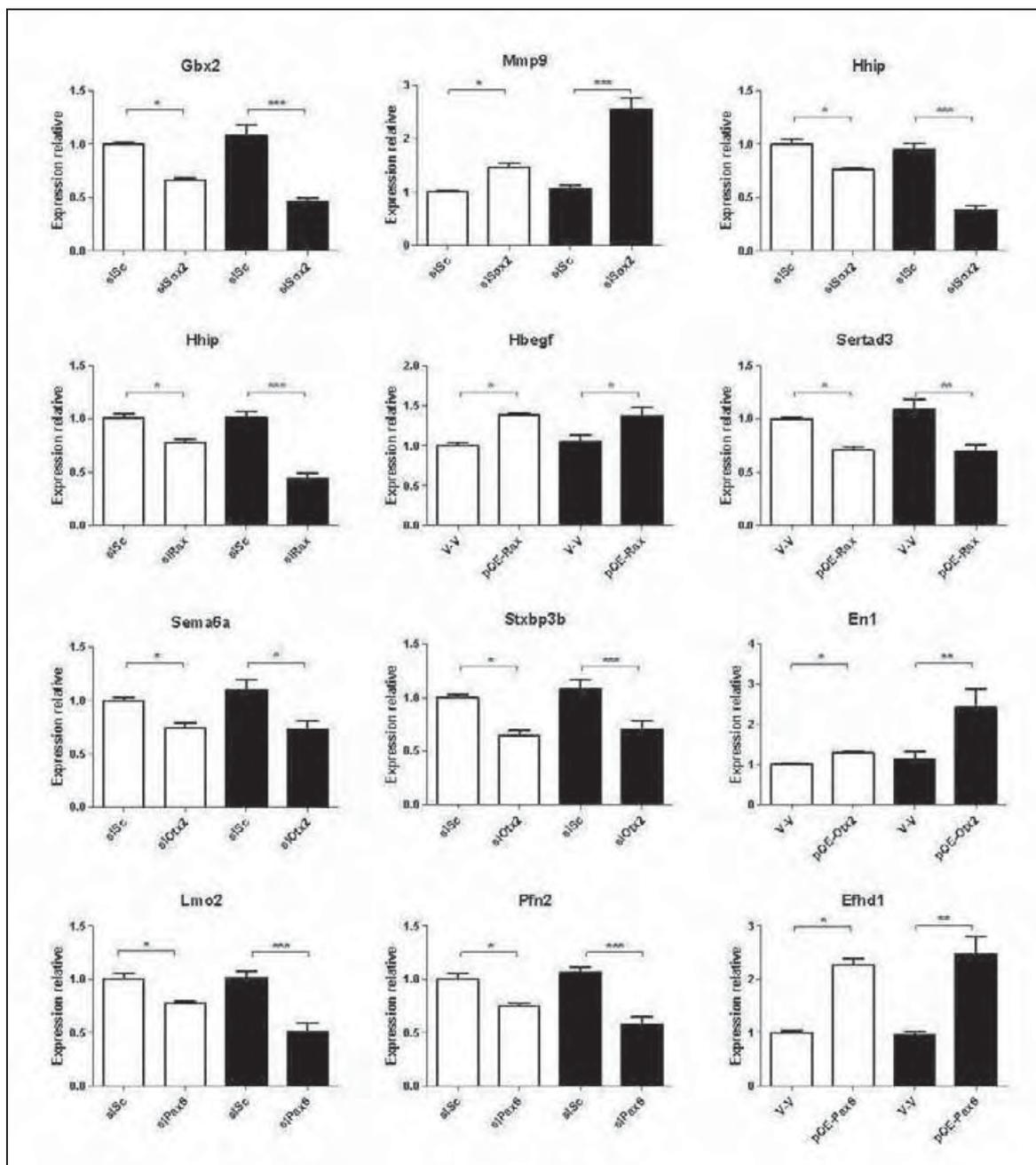
Le niveau d'expression des gènes différentiellement exprimés entre les deux conditions est visualisable sur ce schéma (HeatMap). Le quadruplicat témoin (siSc) et représenté par les 4 premières colonnes à gauche de la figure, la condition étudiée (siSox2), par les 4 colonnes à droite. Les gènes sous exprimés dans une condition sont représentés en vert, les gènes surexprimés sont représentés en rouge.

#### - Validation des résultats

Les résultats significatifs obtenus pour les différentes conditions sont donnés sous forme de tableaux dans les annexes. Brièvement, les modifications d'expression entre les différentes conditions étaient plutôt modérées, avec un nombre de gènes dont l'expression était modifiée par rapport au contrôle VV ou siSC (log<sub>2</sub>-fold change à 0.5, p-value <0.05) variable d'une condition à l'autre : siOtx2 (16), siPax6 (7), siRax (11), siSox2 (230), vOtx2 (21), vPax6 (71) et vRax (57). L'ensemble des gènes avec une expression significativement modifiée (sans tenir compte de la variation du log<sub>2</sub>-fold change) a été jointe dans les annexes.

Pour valider ces résultats, nous avons sélectionné 25 gènes dont l'expression avait été modifiée dans au moins une condition d'analyse transcriptomique pour contrôler par PCR quantitative (qPCR) les résultats obtenus par l'analyse transcriptomique. Pour couvrir les différentes conditions expérimentales, nous avons sélectionné les gènes *En1*, *Mmp9*, *Sema6a*, *Hhip*, *Nrp2*, *Efhd1*, *Pfn2*, *Lmo2*, *Hbegf*, *Sertad3*, *Cct2*, *Nr0b1*, *Stxbp3b*, *Fgf15*, *Dmbx1*, *Gprc5c*, *Gbx2*, *Cds1*, *Ar*, *Glt28d2*, *Cetn2*, *Car3*, *Pkia*, *Sfrp2*, *Wwtr1*. Nous avons refait des analyses de transfection (n=12) et extraits les ARNm 48H post transfection. L'expression de chaque gène a été normalisée par rapport à l'expression de *Gapdh* et *β-Actine*.

Nous avons pu ainsi confirmer la variation significative de l'expression de 19 gènes dans une condition donnée (*En1*, *Mmp9*, *Sema6a*, *Hhip*, *Ar*, *Nrp2*, *Efhd1*, *Pfn2*, *Lmo2*, *Hbegf*, *Sertad3*, *Cct2*, *Nr0b1*, *Stxbp3b*, *Fgf15*, *Dmbx1*, *Gprc5c*, *Gbx2*, *Cds1*). Les résultats pour 12 d'entre eux sont présentés dans la figure 31. Pour 6 autres gènes (*Glt28d2*, *Cetn2*, *Car3*, *Pkia*, *Sfrp2*, *Wwtr1*), en raison de niveaux d'expression trop faibles, ou d'écart types importants entre les différents échantillons nous n'avons pu confirmer de façon statistiquement significative la variation d'expression de ces gènes.



**Figure 31: Confirmation par qPCR ciblée des résultats obtenus lors de l'approche transcriptomique.**

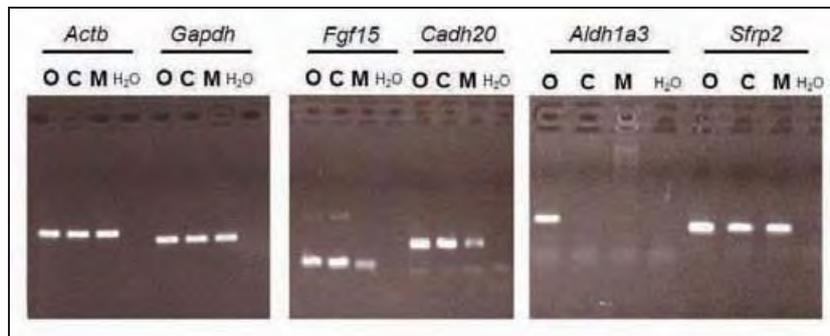
Les résultats des analyses transcriptomiques (en blanc, n=4) sont comparés avec les résultats des analyses qPCR ciblées (en noir, n=12). Les expressions des gènes étudiés sont rapportées à l'expression du gène *Gapdh*. Des exemples ont été représentés pour les vecteurs de surexpression (pQE-(FT)) ou pour les siRNA (si(FT)). Ces expressions ont été comparées aux niveaux d'expression dans les cellules CCE-Rx après transfection d'un vecteur vide (V-V) ou d'un siRNA scramble (siSc).

- Analyse d'expression tissulaire

L'analyse transcriptomique, a ainsi permis de sélectionner les meilleurs gènes candidats pour être impliqués dans les AM. Ces gènes ont été sélectionnés car, en plus d'être régulés par au moins un

des facteurs de transcription étudiés, ils avaient une expression connue au cours du développement oculaire et/ou cérébral, ou appartenaient à une famille de gènes elle-même impliquée dans le développement oculaire chez l'homme ou l'animal.

L'expression oculaire embryonnaire de certains d'entre eux (*Dmbx1*, *Gdf2*, *Aldh1a3*, *Sfrp2*, *Sv2c*, *Plagl1*, *Fgf15*, *Cdh20*, *Stxbp3b*, *Rrh*, *Gprc5c*, *Bhlhe40*, *Gbx2*, *Cds1*) a été définie. De l'ARNm a été extrait des ébauches oculaires, du cerveau et des membres de 10 embryons de souris NMRI au stade E.11.5 et la présence de l'expression de ces gènes a été étudiée par RT-PCR/migration dans ces 3 tissus. Tous les gènes candidats sont exprimés dans l'œil. Pour la majorité des gènes, il n'existe pas de spécificité tissulaire car ils sont exprimés dans les 3 tissus (œil, cerveau, membres). Certains gènes présentent une expression plus importante dans l'œil et le cerveau (*Fgf15*, *Cadh20*). *Aldh1a3* présente quant à lui une spécificité oculaire. Un exemple des résultats obtenus est présenté dans la figure 32.



**Figure 32: Analyse par RT-PCR de l'expression chez l'embryon de souris à E11.5 des gènes identifiés par l'approche transcriptomique.**

Les ARNm ont été extraits à partir des yeux (O), du cerveau (C) ou des membres (M). Des amorces spécifiques permettant l'amplification d'un set de gènes d'intérêt (identifiés lors de l'approche transcriptomique) ont permis d'analyser l'expression de ces gènes dans ces trois tissus. L'amplification de la  $\beta$ -actine et de *Gapdh* sert de témoin positif. La majorité des gènes étudiés est exprimée dans les trois tissus (ici l'exemple de *Sfrp2*), certains comme *Fgf15* et *Cadh20* sont plus exprimés dans l'œil et dans le cerveau que dans les membres et, d'autres comme *Aldh1a3* ne sont exprimés que dans l'œil.

## Conclusion

L'approche transcriptomique nous a permis d'identifier certains gènes cibles des FTs d'intérêt. Nous avons pu valider les résultats sur 12 nouveaux échantillons par PCR quantitative ciblée. Certains gènes apparaissaient comme de bons candidats à cette étape et nous avons validé leur expression oculaire embryonnaire chez la souris. L'idée initiale était de choisir les meilleurs candidats pour les séquencer dans notre cohorte de patients. L'arrivée du séquençage haut débit a fait évoluer le projet en permettant le séquençage haut débit ciblé de la majorité des gènes candidats identifiés lors de cette étape (voir chapitre "séquençage des gènes candidats").

## IV-3-B : Immunoprécipitation de la chromatine

### Introduction

Une alternative à la recherche des cibles des FTs SOX2, OTX2, RAX et PAX6, a été développée. Nous avons souhaité utiliser la technique d'immunoprécipitation de chromatine (ChIP). S'agissant d'une méthode plus spécifique et moins communément utilisée que les méthodes présentées précédemment (RT-PCR, qPCR, western blot...), nous nous sommes attachés à détailler le protocole expérimental.

### Méthodes et Résultats

- Principe général de la ChIP

Le principe de la ChIP est schématisé figure 33.

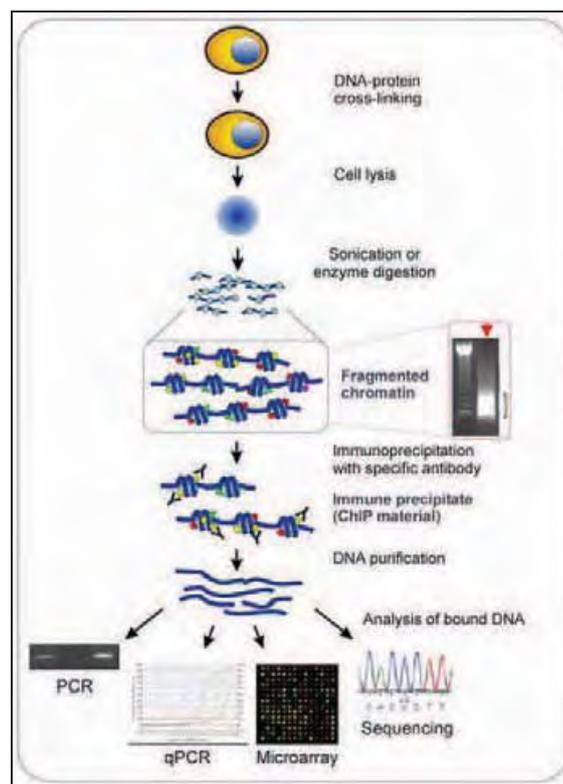


Figure 33: Principe général de la ChIP.

La première étape consiste à lier de manière réversible (en formant des liaisons non covalentes à l'aide de formaldéhyde) les protéines qui sont au contact de l'ADN. Les cellules sont ensuite lysées et les débris cellulaires éliminés. Seul l'ADN et les protéines associées sont récupérés. Cet ADN est fragmenté (par sonication) en fragments de 200 à 400 pb. Une immunoprécipitation de chromatine est alors réalisée à l'aide d'Ac spécifique contre le FT étudié et d'IgG (contrôle négatif). Après cette étape, les molécules d'ADN sont débarrassées des protéines et la chromatine obtenue peut être analysée (par PCR, qPCR, sur puces ou par séquençage).

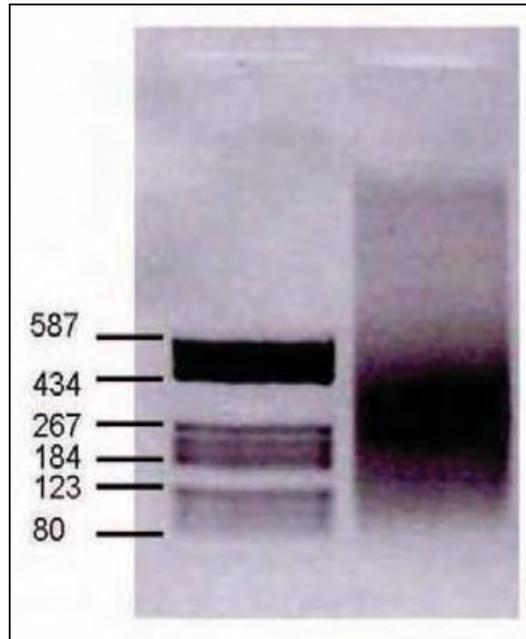
- Culture cellulaire

Les cellules CCE-Rx sont cultivées comme décrit dans le chapitre précédent. Le protocole de ChIP débute 48H après l'ensemencement en suspension en l'absence de LIF (formation des corps embryoides).

- Protocole de ChIP

Pour l'étape de fixation des protéines à l'ADN (crosslinking), 27µl/ml de Formaldéhyde 36% sont ajoutés directement dans le milieu de culture et laissés 10 minutes à température ambiante (TA). La réaction de crosslinking est arrêtée par l'ajout de Glycine 1M (125µl/ml). Les étapes suivantes requièrent l'utilisation de tubes limitant la fixation de l'ADN (tubes Low-Bind) et toujours en présence d'inhibiteurs de protéases. Les cellules sont récupérées par centrifugation (500 rpm 5 minutes à 4°C) puis, lavées dans 10 ml de PBS froid (500 rpm 5 minutes à 4°C). Le culot cellulaire est repris dans 500µl de tampon de lyse SDS dans un tube eppendorff de 1.5 ml. On laisse 20 minutes à TA avant de faire, dans la glace, 6x30sec de sonication à 30% de puissance de l'appareil en laissant une minute entre chaque sonication. On centrifuge à 13000 rpm à 4°C pendant 10 minutes et on récupère le surnageant dans un nouveau tube pour 12x30sec de sonication à 30% de puissance en laissant une minute entre chaque sonication. On centrifuge à 13000 rpm à 4°C pendant 10 minutes et on récupère la majorité du surnageant dans un nouveau tube (on laisse 50 µl dans le tube). On rajoute à ces 50 µl, 500µl de ChIP dilution buffer (Upstate) et on centrifuge à 13000 rpm à 4°C pendant 10 minutes. Le surnageant est couplé au précédent. C'est la chromatine.

50 µl de cette solution de chromatine sont prélevés (Total Input) et l'ADN est nettoyé des protéines (on rajoute 5 % de NaCl 5M, 2µl de protéinase K et on chauffe 5h à 67°C dans un bain sec). L'ADN est purifié sur colonne Qiagen, dosé au Nanodrop™ et 300 ng sont déposés sur gel pour vérifier la sonication. La taille cible est entre 200 et 400 bp (Fig. 34).



**Figure 34: Exemple de résultat de sonication.**

La migration sur gel permet d'évaluer l'efficacité de la sonication. Sur cet exemple, le smear de chromatine fragmentée se situe en majorité entre 200 et 400 bp.

Cette étape sur le « Total Input » permet de quantifier la quantité de chromatine récupérée et d'analyser son niveau de fragmentation. Si ces données sont satisfaisantes, on peut passer à l'étape d'immunoprécipitation proprement dite. Pour cela, on prépare 50 µl de billes magnétiques (Dynabeads Prot A ou Prot G en fonction de l'Ac utilisé) avec 10 µg d'Ac spécifique (anti-FT) ou non spécifique en tant que témoin (IgG) et 200 µl de tampon AB Binding (kit Dynabeads) pendant 1h à 4 °C sur rotor à faible vitesse (20 tours/minute). Les Ac utilisés étaient : Ac dirigés contre Otx2 (ab21990-100, abcam), Pax6 (sc-11357, Santa Cruz Biotechnology), Rax (sc-79028, Santa Cruz Biotechnology), Sox2 (sc-17320, Santa Cruz Biotechnology) et IgG (Mouse IgG Millipore PP54). On utilise le rack magnétique pour enlever le surnageant et on rajoute 600 µl de la chromatine obtenue précédemment (20 µg de chromatine idéalement) et on laisse agiter faiblement au rotor (20 tours/minute) pendant la nuit à 4°C. Le lendemain, des complexes billes-Ac/FT-chromatine se sont formés. On met sur les tubes sur le rack magnétique et on enlève le surnageant. Plusieurs lavages de 4 minutes à + 4° C sont fait sur le rotor à 20 tours/minute : 150µl tampon Low Salt (kit Upstate), 150µl tampon High Salt (kit Upstate), 150µl tampon LiCl (kit Upstate), 200µl tampon Tris-EDTA. Entre chaque lavage, les tubes sont mis sur le rack magnétique, et le surnageant est enlevé. Ces lavages permettent d'enlever la chromatine fixée de manière non spécifique aux couples billes/Ac. 200µl de tampon d'élution contenant NaCl et protéinase K sont ensuite ajoutés, et les tubes sont chauffés pendant 5h à 67°C en bain sec. Cette étape permet de dissocier la chromatine des billes magnétiques

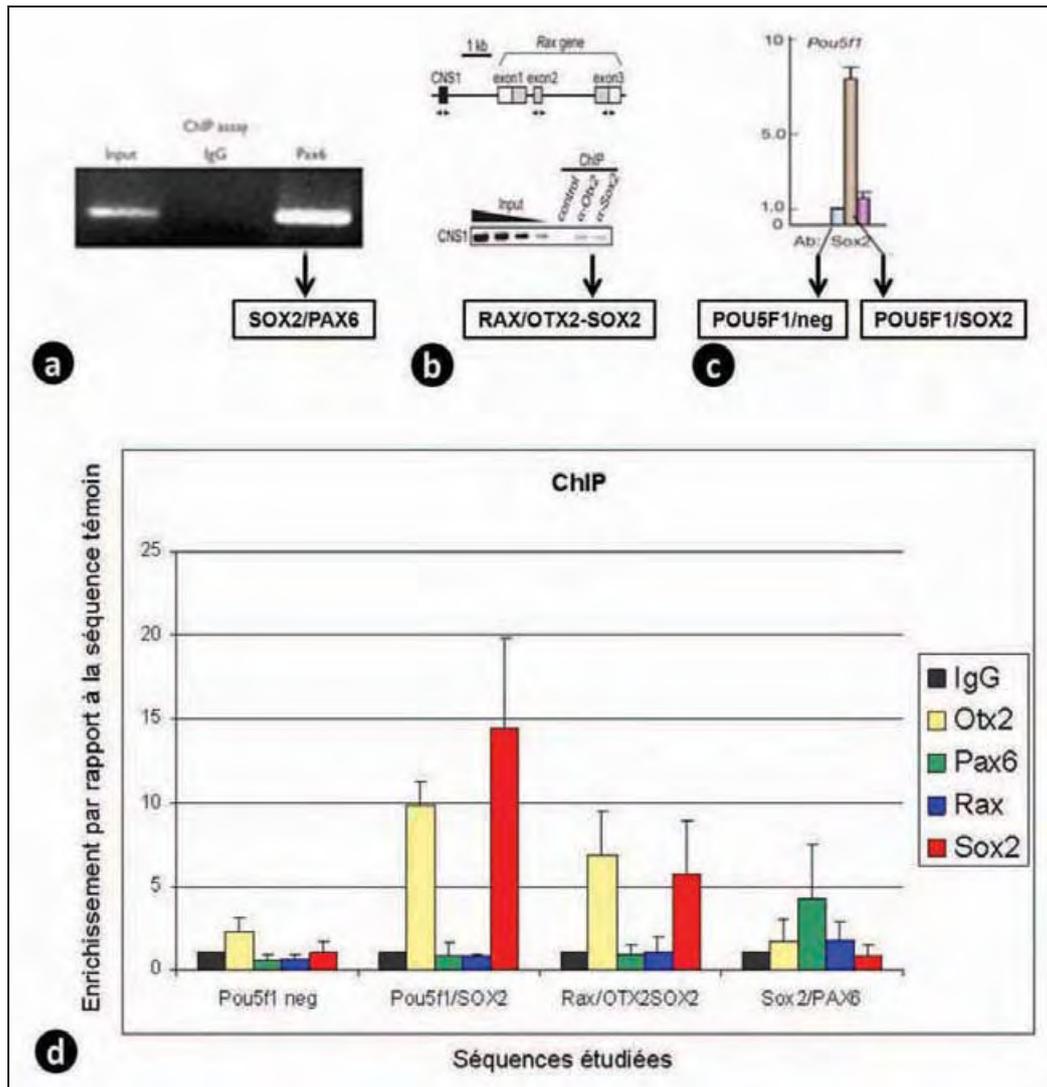
et des protéines. Les tubes sont ensuite remis sur le rack magnétique et le surnageant (contenant la chromatine libre) est mis dans un tube propre. Ce surnageant est ensuite purifié sur colonne Qiagen et élué dans 35 µl de tampon d'éluion. Les quantités, très faibles, de chromatine sont, à ce stade, souvent indosables. Cet ADN peut ensuite être dilué pour vérifier la spécificité de la chromatine par PCR quantitative. Il peut également être utilisé pour le séquençage haut débit (ChIP-seq).

- Validation de la spécificité des immunoprécipitations.

A partir des immunoprécipités de chromatine, nous avons essayé de reproduire par qPCR des résultats déjà publiés dans la littérature (Fig. 35). Les résultats ont été comparables aux données publiées pour Otx2, Pax6 et Sox2, validant ainsi la qualité de l'approche technologique. Aucun résultat de ChIP sur Rax n'étant disponible dans la littérature, nous ne disposons donc pas de données préliminaires pour valider notre protocole d'immunoprécipitation pour ce gène.

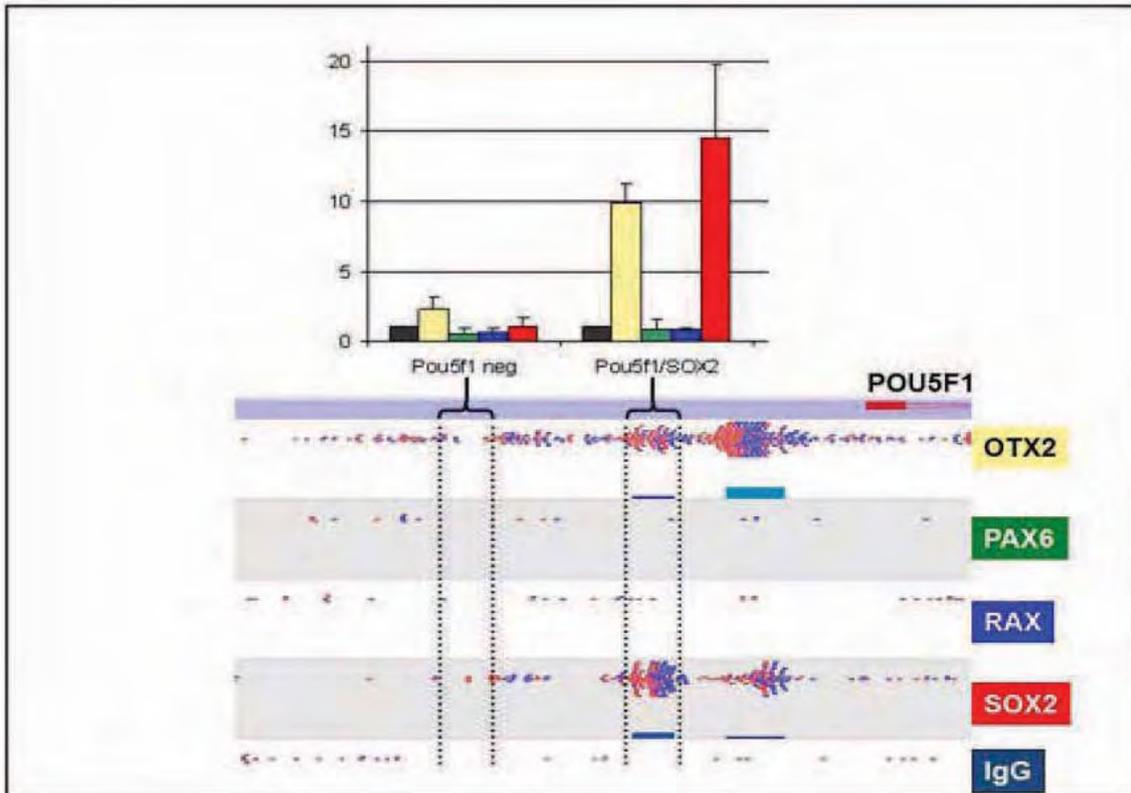
- ChIP-seq

Après validation du protocole de ChIP, les immunoprécipités ont été séquencés par des techniques de haut débit (Otx2, Pax6, Rax, Sox2 et IgG). Ils ont été confiés à la Société Fasteris en Suisse. Le séquençage des 5 librairies a été réalisé sur une machine HiSeq 2000™ (Illumina) et entre 14 et 18 millions de fragments ont été séquencés pour chaque librairie. 95% des lectures ont pu être replacées en séquence unique sur le génome à l'aide du logiciel BWA<sup>181</sup>. La recherche de pics (région supposée de fixation des FT, définie dans l'analyse par les critères suivants : 15 lectures différentes dans une région de 150 paires de bases) a été faite avec le logiciel SeqMonk. 14701 pics ont ainsi été identifiés parmi les 5 librairies. Après lissage avec les résultats obtenus dans la librairie non spécifique IgG, l'analyse montre des résultats spécifiques seulement pour les immunoprécipités d'Otx2 et Sox2. Les résultats pour Pax6 et Rax apparaissent aspécifiques, et les pics identifiés pour ces échantillons correspondent à des séquences de chromatine ouverte sans lien avec l'Ac utilisé et retrouvés également dans l'échantillon IgG. La présence des pics pouvait être visualisée avec le logiciel SeqMonk. Des exemples de pics obtenus dans les échantillons Otx2 et Sox2 sont représentés dans les figures 36 et 37. La localisation génomique des 200 pics les plus importants pour les librairies Otx2 et Sox2 a été ajoutée sous forme de tableau dans les annexes.



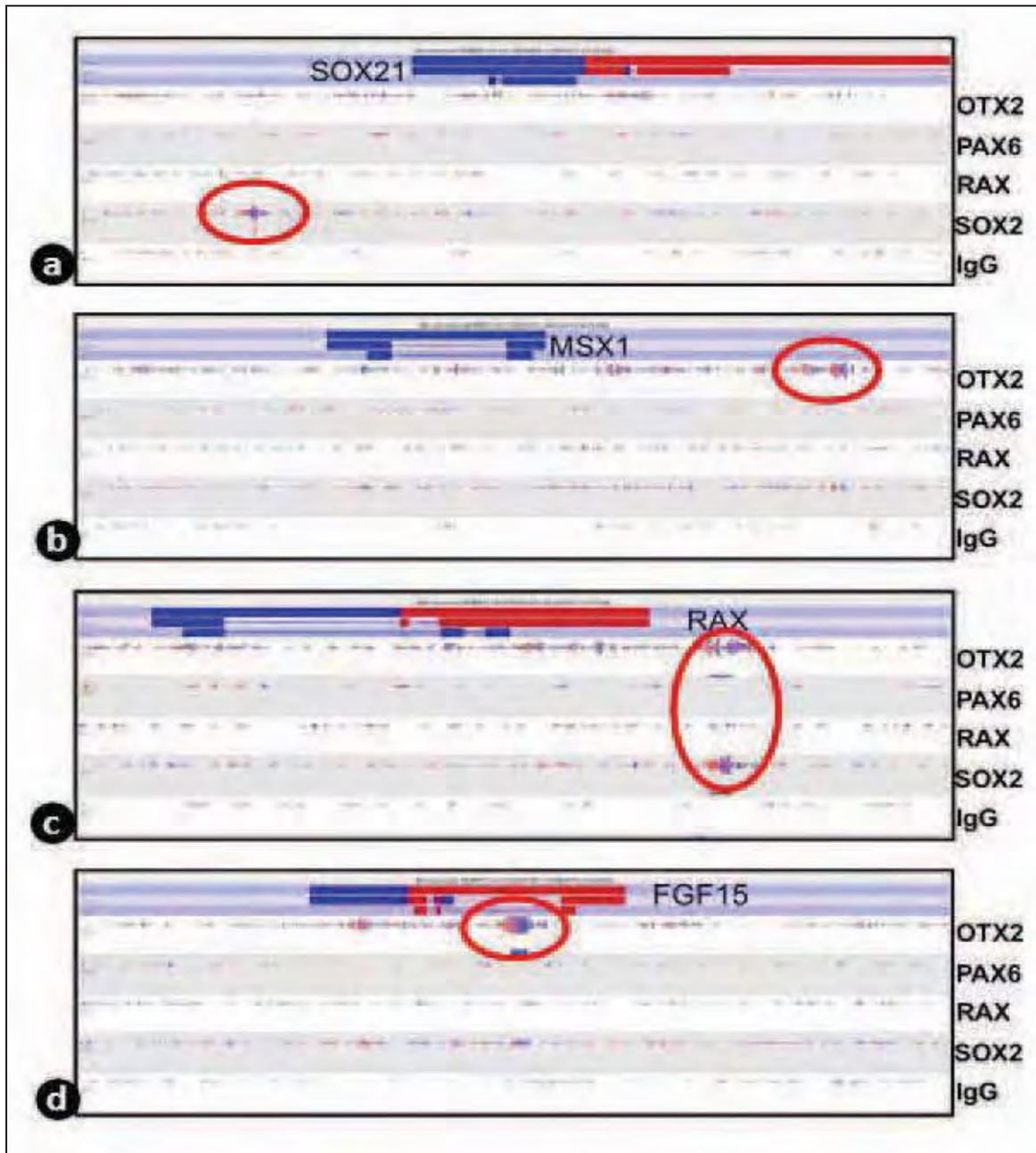
**Figure 35: Validation des résultats de ChIP par qPCR ciblée.**

(a, b, c) Résultats de ChIP de la littérature : (a) Fixation de Pax6 sur la séquence de Sox2 mise en évidence par ChIP-PCR<sup>182</sup>. (b) Fixation d'Otx2 et Sox2 sur la séquence CNS1 de Rax démontrée par ChIP-PCR<sup>173</sup>. (c) qPCR après ChIP<sup>114</sup>: fixation de SOX2 sur la séquence promotrice de Pou5f1. En revanche, Sox2 ne se fixe pas sur une région située en 5' à distance de cette région régulatrice (Pou5f1 neg). (d) Validation du protocole de ChIP par qPCR. qPCR ciblée sur les séquences cibles des FT Sox2, Otx2 et Pax6. Comme démontré en a, b et c, Pou5f1 est une cible de Sox2 ; Rax est une cible pour Otx2 et Sox2 ; Sox2 est une cible pour Pax6. Pou5f1 neg sert de séquence contrôle négative. On compare l'enrichissement de ces régions par rapport à une séquence témoin après immunoprécipitation à l'aide d'Ac dirigés contre Otx2, Pax6, Rax ou Sox2 ou d'un Ac témoin (IgG). Les résultats obtenus à partir de 3 expériences d'immunoprécipitation sont comparables aux résultats précédemment publiés, validant ainsi notre protocole de ChIP.



**Figure 36: Corrélation entre les résultats de CHIP-qPCR et CHIP-seq.**

La partie haute représente les résultats obtenus par CHIP-qPCR (voir figure 35). La partie basse de la figure représente les résultats visualisés à partir du logiciel SeqMonk. Les résultats obtenus pour les 5 échantillons sont représentés avec un code couleur identique à celui de la CHIP-qPCR. Chaque trait représente une séquence lue lors du séquençage haut débit et localisé dans cette région. Les lectures en rouge correspondent au brin sens, et en bleu au brin antisens. La localisation des séquences étudiées par CHIP-qPCR en amont de *Pou5f1* est indiquée sur la fenêtre SeqMonk. *Pou5f1 neg* correspond à la séquence témoin négative où aucun FT n'est fixé (en qPCR comme en CHIP). La séquence *Pou5f1/Sox2* correspond à la séquence précédemment décrite du site de fixation du FT Sox2 en amont de *Pou5f1*. La reconnaissance de cette séquence par Sox2 est confirmée en CHIP-qPCR et en CHIP-seq. Ces deux techniques montrent également que cette séquence est reconnue par le FT Otx2. On note également la présence d'une séquence régulatrice de *Pou5f1*, ciblée principalement par Otx2, à proximité du gène, et non étudié par qPCR ciblée.



**Figure 37: Exemples de pics visualisables avec le logiciel SeqMonk.**

Quelques exemples de pics sont montrés, situés à proximité de gènes déjà discuté précédemment dans ce travail. **(a)** *Sox21* (un de nos gènes candidats fonctionnel) est régulé par Sox2. **(b)** Une région en amont du gène *Msx1* (cible d'Otx2 démontrée dans le travail sur l'otocéphalie) est effectivement reconnue par Otx2. **(c)** La séquence régulatrice du gène *RAX* (séquencée comme région candidate d'AM, cf. chapitre "gènes candidats") est bien reconnue par Otx2 et Sox2. **(d)** Enfin, exemple de résultat de ChIP-seq à proximité d'un des gènes identifiés comme régulé par Otx2 dans notre approche transcriptomique (gène *Fgf15*). La ChIP-seq retrouve un site de fixation d'Otx2 dans la région intronique

Les analyses bio-informatiques ont montré que l'on retrouvait majoritairement dans les pics Otx2 et Sox2 les séquences nucléotidiques consensus respectives de fixation de chacun des FT à l'ADN. De plus, ces analyses ont permis de montrer que la majorité des sites de fixation des FT étaient situés à proximité immédiate (moins de 5 kb) des séquences géniques ou dans les régions introniques.

- CHIP-seq à partir d'yeux d'embryons murins microdisséqués

Nous avons tenté de reproduire les résultats obtenus sur les cultures cellulaires à partir d'yeux microdisséqués d'embryons de souris (stade E11.5). Les yeux de 20 embryons ont été groupés pour obtenir de la chromatine selon un protocole proche de celui décrit pour les CCE-Rx. La chromatine issue de ces yeux embryonnaires a été immunoprécipitée avec les Ac anti Otx2, Pax6, Rax et Sox2 et IgG. La qPCR n'a pas permis de montrer d'enrichissement au niveau des régions étudiées précédemment sur les cellules CCE-Rx. Partant du principe que la fixation à ces sites de régulation d'expression génique pouvait varier au cours du temps, nous avons initié une analyse en CHIP-seq malgré ces résultats préliminaires. Les résultats de la CHIP-seq n'ont pas été probants car le même profil d'enrichissement était visible pour l'immunoprécipitation réalisée avec chaque FT et avec l'IgG, signant ainsi des enrichissements non spécifiques liés à la conformation chromatinienne. La difficulté d'obtention de quantité suffisante de chromatine (étape de lyse des yeux), la présence de nombreux types cellulaires différents dans les structures oculaires, l'expression probablement moindre des facteurs de transcription étudiés que dans nos cultures de cellules souches embryonnaires sont des explications plausibles pour expliquer les difficultés rencontrées à cette étape.

### **Conclusion**

Nous avons pu mettre au point un protocole de CHIP efficace dans notre modèle de corps embryoides de CCE-Rx pour les facteurs de transcription Otx2 et Sox2. Nous n'avons par contre pas pu avoir de résultats spécifiques pour Rax et Pax6 ni à partir de culture cellulaire CCE-Rx ni, dans l'œil en développement. Les résultats obtenus pour Otx2 et Sox2 ont permis de valider des résultats déjà exploités (exemples donnés figure 37). Ils nous ont également permis d'apporter des arguments pour l'implication des gènes porteurs de mutation identifiés par séquençage haut débit comme nous allons le voir dans le chapitre suivant.

## IV-3-C : Séquençage gènes candidats

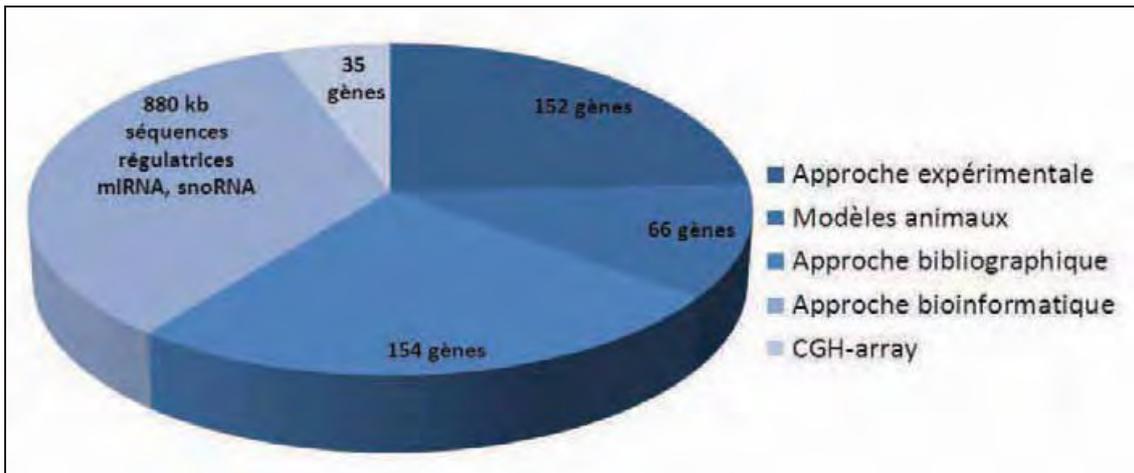
### Introduction

L'étape ultérieure à l'identification de gènes potentiellement impliqués dans les défauts du développement oculaire consiste à valider leurs implications en pathologie humaine. Nos différentes approches (CGH-array et expérimentales) nous ont permis d'identifier de nombreux gènes candidats positionnels (présent dans un remaniement identifié par CGH) ou fonctionnels (régulés par un ou plusieurs des 4 FTs étudiés). Alors que nous avions initialement envisagé sélectionner les meilleurs gènes candidats (gènes régulés par plusieurs FT étudiés, arguments fonctionnels et/ou positionnels) et les séquencer sur un grand nombre de patients sans mutation retrouvée dans les gènes d'AM connus, la révolution du séquençage haut débit nous a fait modifier notre stratégie et nous avons opter pour le séquençage d'un grand nombre de gènes candidats chez un petit nombre de patients.

### Méthodes

- Choix des gènes et méthode de capture

La technologie de séquençage haut débit nous permettait de séquencer simultanément plusieurs centaines de gènes. Un total de 407 gènes candidats ont été sélectionnés sur différents critères. Les premières méthodes de sélection étaient en rapport direct avec le travail réalisé au cours de cette thèse : sélection des gènes localisés au niveau des régions porteuses de microremaniements chromosomiques identifiées par CGH-array (35 gènes candidats positionnels)<sup>28</sup>, et sélection des gènes régulés par au moins un des quatre FTs étudiés et ayant une expression oculaire et/ou cérébrale connue (152 gènes). Nous avons par ailleurs rajouté dans notre sélection de gènes candidats, 66 gènes impliqués dans des formes isolées ou syndromiques d'AM chez la souris (MGI, Mouse Genome Informatics), ainsi que 154 gènes décrits dans la littérature pour être impliqués dans le développement oculaire chez les invertébrés et/ou les vertébrés. Pour chacun des gènes sélectionnés, les séquences régulatrices potentielles (région situées dans les 20kb à proximité des gènes ou dans les exons et conservées entre les espèces, Fig. 39) ont aussi été incluses. Nous avons également souhaité inclure dans les régions séquencées, l'ensemble des miRNA et snoRNA. La proportion de gènes candidats sélectionnés par les différentes approches est schématisée sur la figure 38.



**Figure 38: Les différentes approches de sélection des gènes candidats.**

- Choix des patients

Pour des raisons de budget, nous avons dû limiter à 22 le nombre de patients chez qui le séquençage des 407 gènes candidats a été réalisé. Deux témoins (mutés dans *VSX2* et *STRA6* respectivement) ont également été séquencés pour confirmer la possibilité de retrouver des mutations connues en utilisant notre stratégie d'analyse. Compte tenu du nombre important de gènes candidats testés, et de leurs effets potentiellement variable sur le développement oculaire, nous avons élargi la sélection de nos patients à un ensemble de malformations oculaires différentes, isolées ou syndromiques. Ainsi, parmi les 22 patients sélectionnés, 10 avait une atteinte oculaire strictement isolée, 4 une atteinte oculaire associée à une déficience intellectuelle et 8 des malformations associées. L'atteinte oculaire était bilatérale chez 20 patients et unilatérale chez 2. Au niveau oculaire, 4 avaient une AM isolée, 6 une AM colobomateuse, 6 une AM complexe (avec autre malformation oculaire) et 6 une dysgénésie du segment antérieur.

Dans les suites de cette analyse, des mutations de 2 gènes (*NOTCH1* et *PTCH1*) ont été recherchées dans une nouvelle cohorte de 48 patients avec AM isolée (n=17), AM complexe (n=9), AM colobomateuse (n=8), et dysgénésie du segment antérieur (n=14).

- Méthode de capture

Pour isoler ces 407 gènes et leurs séquences potentiellement régulatrices, nous avons utilisé un kit de capture SureSelect d'Agilent à façon : 56.059 sondes, couvrant 2.46 Mb, ont été dessinées et synthétisées. Ces sondes permettaient la capture des séquences codantes des gènes et de leurs séquences potentiellement régulatrices (Fig. 39). La capture des régions d'intérêt a été faite selon le protocole spécifique d'Agilent.

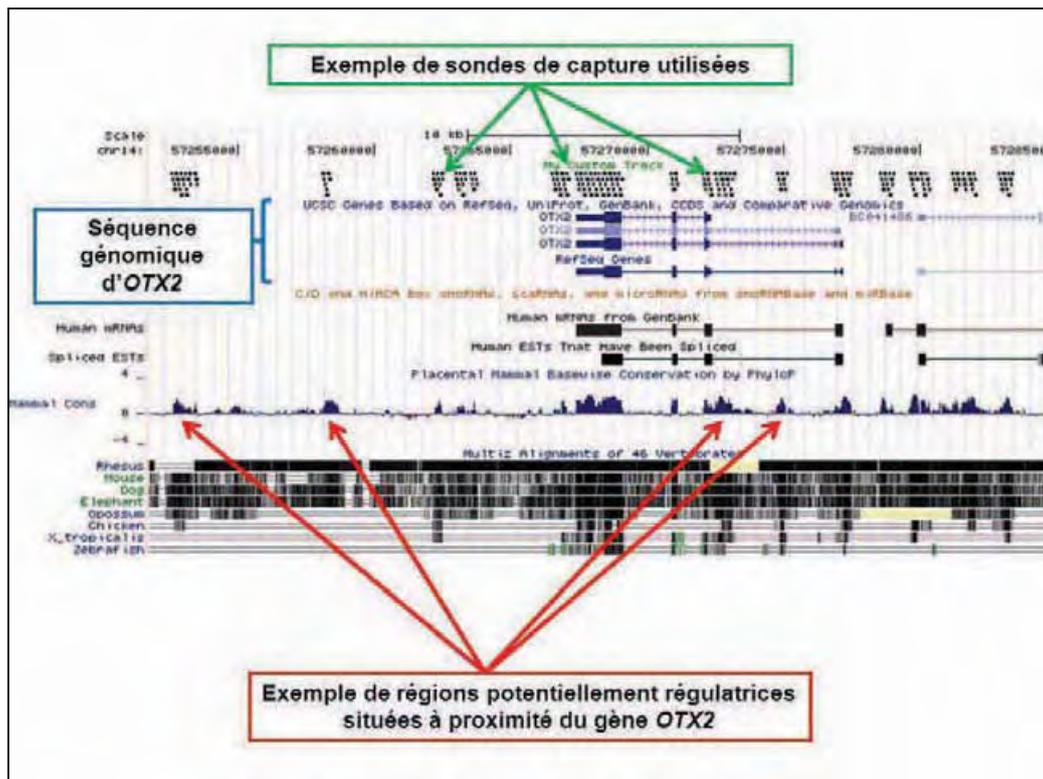


Figure 39: Exemple de sondes utilisées pour la capture du gène *OTX2*.

Représentation du gène *OTX2* dans UCSC Genome Browser. Les séquences exoniques et introniques du gène et les différentes isoformes sont indiquées. Les pics indiqués par les flèches rouges correspondent aux régions génomiques conservées situées dans ou à proximité du gène *OTX2*. Chaque point noir (indiqués par les flèches vertes) correspond à une sonde de capture de 120 nucléotides. Ces sondes ont été définies pour permettre la capture des séquences codantes, des séquences 5' et 3' UTR et des séquences potentiellement régulatrices.

- Méthode de séquençage

Une séquence de reconnaissance spécifique de 6 nucléotides a été ajoutée à chacun des 24 échantillons permettant un multiplexage des échantillons, passés en deux runs de séquence sur un séquenceur Illumina GAIIx avec des lectures en paired-end de 2 fois 75 nucléotides. Les lectures ont ensuite été assemblées et comparées au génome humain (version hg19) avec les logiciels CASAVA1.7 et ELANDv2.

- Méthode de tri des variants

La stratégie de tri des variants a consisté à sélectionner dans l'ordre suivant les variants, 1) répondant aux critères de qualité, 2) absent des bases de données locales et publiques (sbSNP132, 1000 Génomes et HapMap), 3) exonique ou touchant un site consensus d'épissage, 4) mutations à effet délétère prédit (frameshift, non-sens, faux-sens prédites *in silico* comme délétères par le logiciel Polyphen-2), et 5) confirmés par séquençage Sanger.

- Validation par séquençage Sanger des variants identifiés

Pour chaque variant répondant aux 4 premiers critères de sélection, un séquençage Sanger a été réalisé pour confirmer ou non la présence du variant. Une analyse de ségrégation familiale a été réalisée pour les variants confirmés quand les prélèvements parentaux étaient disponibles.

- Séquençage Sanger des deux gènes principaux

Deux gènes d'intérêt (*NOTCH1* et *PTCH1*) sont ressortis de notre première analyse et ces gènes ont été séquencés par séquençage Sanger dans une nouvelle cohorte de 48 patients avec anomalies oculaires.

- Recherche de remaniement

Après avoir montré que nous étions capables de faire des analyses semi-quantitatives en utilisant la variation du nombre de lectures sur l'X entre les femmes et les hommes, nous avons recherché des microremaniements. Pour chacune des 56.059 sondes nous avons fait un rapport entre le nombre de lectures pour un patient donné par rapport à la moyenne des lectures sur les 24 patients analysés (après ajustement avec la profondeur de lecture moyenne de chaque patient). Une région était considérée comme potentiellement délétée quand le rapport (patient/moyenne des patients) était inférieur à 0.6 sur 3 sondes non chevauchantes successives, et potentiellement dupliquée si le rapport était supérieur à 1.4 sur 3 sondes non chevauchantes successives. Pour éviter des faux-positifs, seules ont été analysées les sondes pour lesquelles la profondeur de lecture était supérieure à 20.

## Résultats

Les principaux résultats de l'analyse par séquençage haut-débit des 407 gènes candidats sont décrits ci-dessous.

- Qualité du séquençage

La profondeur moyenne de lecture pour les 2,46 Mb étudiés par patient était supérieure à 300X, avec 97 % des régions étudiées avec une couverture supérieure à 10X et 94 % supérieure à 25X.

- Nombre de variants

Environ 2500 variations par patient ont été identifiées. Le nombre de variants à chaque étape du filtrage des variants est résumé dans le tableau 3.

| Identified variants   | Average over<br>24 samples | Standard<br>Deviation |
|---|----------------------------|-----------------------|
| Total variants  | 2566                       | 125                   |
| High confidence variant calls                                       | 2482                       | 126                   |
| After exclusion of known variants<br>(dbSNPv132 + HapMap + inhouse) | 236                        | 28                    |
| After exclusion of non-genic - intronic variants                    | 10                         | 3                     |
| After exclusion of synonymous variants                              | 6                          | 2                     |
| Predicted damaging  | 3                          | 1                     |
| Validated by Sanger Sequencing                                      | 2.5<br>(range 0-5)         | 1                     |

**Tableau 3: Nombre de variants identifiés par séquençage des gènes candidats.**

Nombre de variants aux différentes étapes de filtrage.

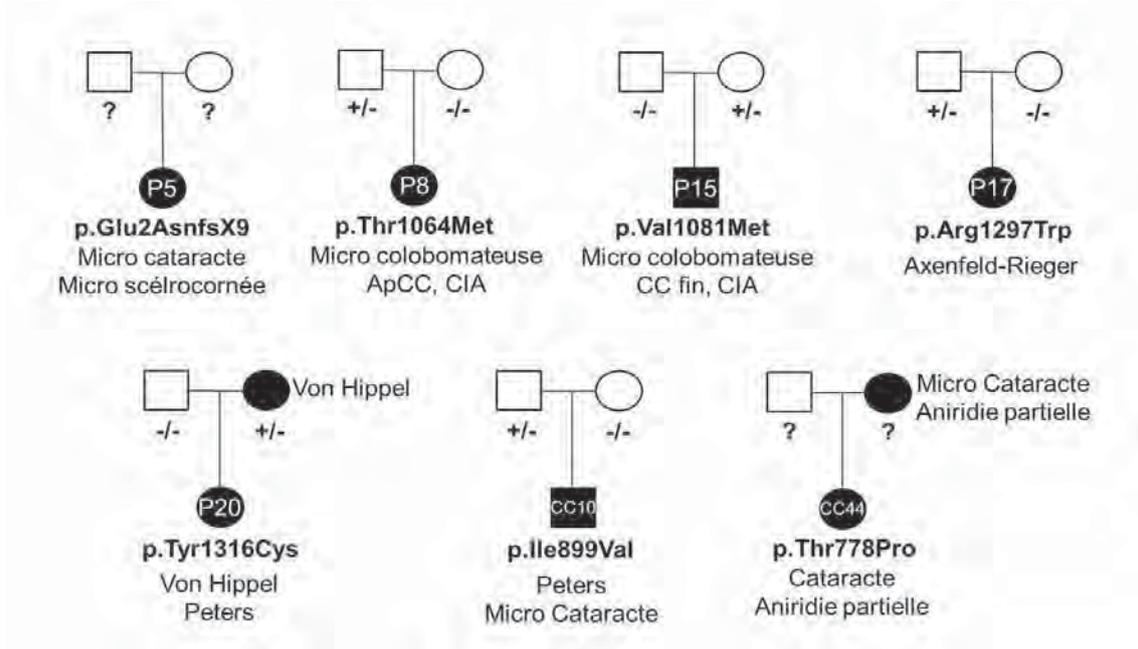
- Identification de variations dans *PTCH1*

Le résultat principal de cette étude est l'identification de variations répondant aux critères de sélection dans *PTCH1* chez 4 patients (une frameshift et 3 variations faux-sens). Ces variations touchaient principalement des acides aminés conservés au cours d'évolution (Fig. 40). Une seconde analyse de nos résultats a permis d'identifier deux autres variants rares de *PTCH1* qui avaient été écartées lors de l'étape de filtrage car ils étaient prédit comme probablement non délétères par le logiciel Polyphen-2. Un de ces deux variants supplémentaires a été identifié chez un patient, alors que l'autre a été identifié chez notre contrôle (C2) muté dans le gène *STRA6*.

|           | Thr778               | Ile899              | Thr1052     | Thr1064              | Val1081     | Arg1297             | Tyr1316              |
|-----------|----------------------|---------------------|-------------|----------------------|-------------|---------------------|----------------------|
| Human     | DGLDLTQDIVFR         | DEPIIDIQQLTK        | LLNFWTAGIIV | VLAINTVELFG          | IKLSAVFVVIL | SLFPGKQG-QQF        | LWPFYVAPRD           |
| Mouse     | DGLDLTQDIVFR         | DEPIDIQQLTK         | LLNFWTAGIIV | VLAINTVELFG          | IKLSAVFVVIL | SLFPGKQG-QQF        | LWPFYVAPRD           |
| Chicken   | DGLDLTQDIVFR         | AKPIDIQQLTK         | LLNFWTAGIIV | VLAINTVELFG          | IKLSAVFVVIL | TQGVAKQG-RQF        | LWPFYVAPRD           |
| Menopus   | DGLDLTQDIVFR         | DKEINLQQLTK         | LLNFWTAGIIV | VLAINTVELFG          | IKLSAVFVVIL | TQQGKRN-RN          | SAPFYVAPRD           |
| Zebrafish | DGLELTQDIVFR         | EK----TITR          | LLNFWTAGIIV | VLSLNTVELFG          | IKLSAVFVVIL | PQPSKRYCSADI        | MPPPFVAPRD           |
|           | **_*_*_*_*_*_*_*_*_* | *_*_*_*_*_*_*_*_*_* | *****       | **_*_*_*_*_*_*_*_*_* | *****       | *_*_*_*_*_*_*_*_*_* | **_*_*_*_*_*_*_*_*_* |

**Figure 40: Conservation inter-espèces des acides aminés impliqués dans les mutations faux-sens identifiées chez les patients AM.**

L'analyse de ségrégation familiale (Fig. 41) a montré que ces variants ont été hérités avec une pénétrance largement incomplète.



**Figure 41: Analyses de ségrégation familiale des variants identifiés dans *PTCH1* chez les patients AM**

+/- : présence de la mutation à l'état hétérozygote ; -/- : absence de mutation ; ? : non testé

De nombreux arguments sont en faveur du rôle de *PTCH1* dans le développement oculaire (voir article 12). Afin de confirmer le rôle délétère des variants identifiés chez les patients, ils ont été testés sur un modèle de zebrafish par le Dr. Erica E. Davis. Ces analyses ont permis de montrer que ces variants faux sens avaient un effet hypomorphes sur l'activité de *PTCH1* contrairement à un polymorphisme fréquent et à la variation faux-sens identifiée chez le contrôle.

*PTCH1* apparaît donc comme un second gène majeur (10 % des patients) d'anomalies du développement oculaire, avec une variabilité phénotypique importante et une pénétrance incomplète.

Le détail des résultats et la discussion sur les résultats obtenus sont décrits dans l'article en cours de préparation:

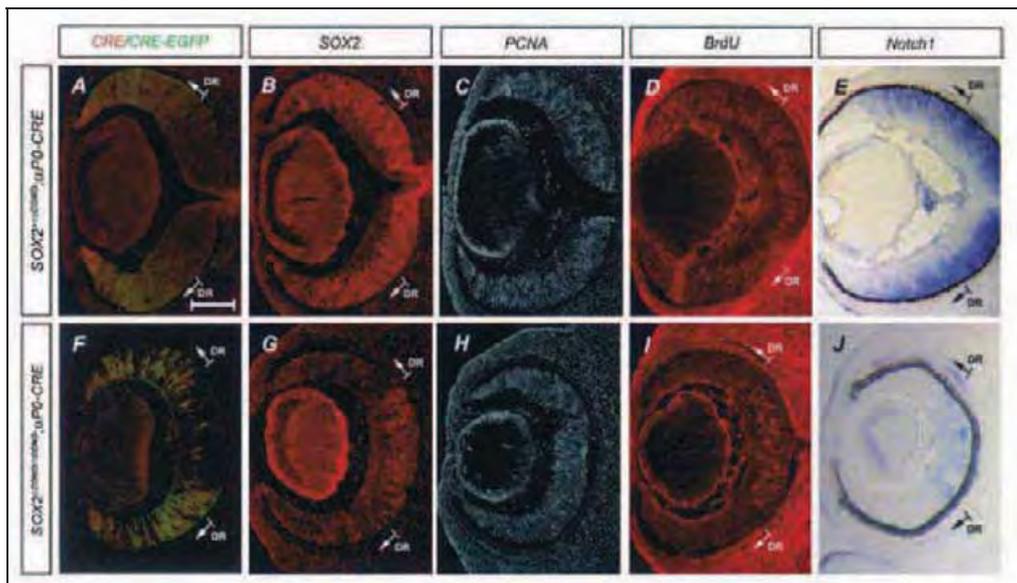
- [Article n°12](#)

Chassaing et al. "Hypomorphic *PTCH1* mutations lead to phenotypically heterogeneous ocular developmental anomalies." En Préparation

- Autres variants d'intérêts

1) Parmi les patients analysés, nous avons pu identifier chez deux d'entre eux une mutation dans des gènes connus d'anomalies du développement oculaire. Chez un patient avec anophtalmie bilatérale, une mutation faux-sens délétère apparue *de novo* a été identifiée dans le gène *PAX6*. Le phénotype d'anophtalmie isolée n'avait jusqu'ici pas été décrit associé à des mutations hétérozygotes du gène *PAX6*. Chez un patient avec microphthalmie isolée, nous avons mis en évidence dans le gène *STRA6* une hétérozygotie composite pour une mutation faux-sens et une délétion de grande taille (voir plus bas les résultats de la recherche de remaniements).

2) Dans cinq gènes, *FAT4*, *IFT172*, *NOTCH1*, *RPGRIP* et *TSHZ2*, nous avons identifié des variations chez deux patients différents (Tableau 4). Nous nous sommes plus particulièrement intéressés à *NOTCH1*, gène dans lequel deux patients présentaient un variant faux-sens hétérozygote prédit comme délétère, et hérité d'un parent symptomatique dans une famille. La régulation de l'expression de *Notch1* par Sox2 au cours du développement oculaire avait été précédemment démontrée (Fig. 42)<sup>121</sup>.

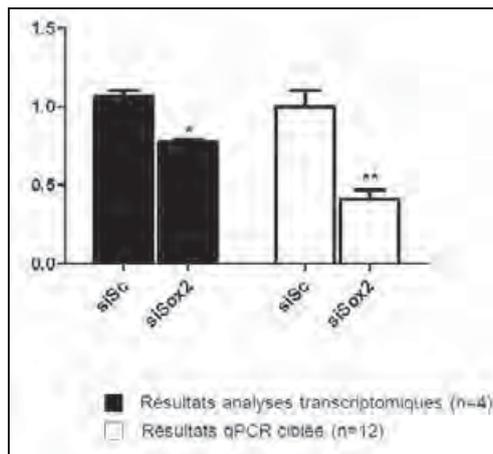


**Figure 42: Régulation de l'expression de Notch1 par SOX2 au cours du développement.**<sup>1</sup>

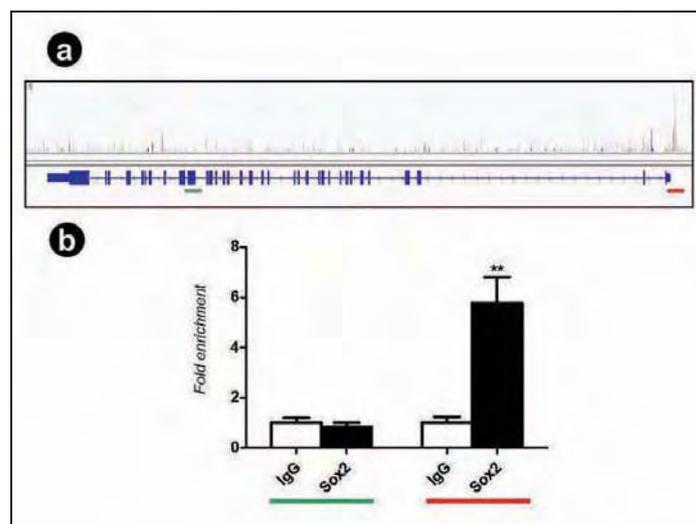
Diminution drastique de l'expression de Notch1 (panel de droite) dans la rétine après inactivation spécifique de l'expression de *SOX2* dans la rétine par recombinaison conditionnelle (en bas).

De plus, nos analyses transcriptomiques et en ChIP-seq sur des cellules souches embryonnaires murines surexprimant le gène *Rax* retrouvaient la régulation de l'expression du gène *NOTCH1* par le FT SOX2 (Fig. 43 et 44).

<sup>1</sup> Taranova et al. Genes Dev. 2006



**Figure 43:** Diminution de l'expression de *Notch1* identifiée lors de l'approche transcriptomique et validée par qPCR ciblée.



**Figure 44:** Identification d'un site de fixation du FT Sox2 à proximité immédiate du gène *Notch1*.

Résultats sur IGV de la ChIP-Seq pour Sox2 montrant la présence d'un pic dans la région 5' en amont du gène *Notch1* (a) et confirmation de ces résultats par ChIP-qPCR sur 5 nouveaux échantillons (b). Les séquences étudiées en qPCR, témoin et site de fixation de Sox2 sont indiquées respectivement en vert et en rouge.

Ce faisceau d'arguments (identification de deux variants transmis par des parents symptomatiques, expression oculaire, régulation par SOX2) nous a fait pousser les analyses sur ce gène. 48 patients AM ont été séquencés à la recherche de mutations causales de leur atteinte oculaire. Aucune mutation délétère n'a pu être mise en évidence. De plus, nous avons pu poursuivre les analyses moléculaires dans un des deux familles et montrer que le fils atteint (microphthalmie colobomateuse) d'un de ces

deux patients ne portait pas la variation de *NOTCH1* identifié chez son père. Ce résultat allait à l'encontre d'un lien entre cette variation et le phénotype oculaire.

3) D'autres gènes candidats étaient porteurs de variants potentiellement à l'origine des atteintes oculaires des patients (Tableau 4). Un total de 41 gènes différents était porteur de mutation possiblement pathogènes. Nous nous sommes focalisés sur *NOTCH1* et *PTCH1*, et l'implication de ces différents gènes dans les défauts du développement oculaire reste encore à démontrer.

4) De nombreuses variations ont été identifiées dans les régions potentiellement régulatrices. Les analyses de ségrégation familiale ont montré que ces variations étaient toujours transmises par un des deux parents, et leur implication dans le phénotype oculaire est donc difficile à établir.

| Patient | Gene           | Transcript      | cDNA change | Protein change    | Status | Polyphen-2 | SIFT    | EVS     | Inheritance (phenotype) |
|---------|----------------|-----------------|-------------|-------------------|--------|------------|---------|---------|-------------------------|
| P1      | <i>PAX6</i>    | ENST00000379115 | c.192C>A    | p.Asn64Lys        | Ht     | D (1.000)  | D (0)   | Abs     | <i>De novo</i>          |
|         | <i>FAT4</i>    | ENST00000394329 | c.7960A>C   | p.Lys2654Gln      | Ht     | P (0.477)  | T(0.76) | Abs     | Pat (Asy)               |
|         | <i>MYO1C</i>   | ENST00000359786 | c.391C>T    | p.Arg131Cys       | Ht     | D (0.959)  | T(0.17) | 3/13004 | Mat (Asy)               |
| P3      | <i>SALL3</i>   | ENST00000537592 | c.2254G>A   | p.Val752Met       | Ht     | D (0.9555) | -       | Abs     | Mat (Asy)               |
|         | <i>SOX14</i>   | ENST00000306087 | c.722delA   | p.*241Tyrext*?    | Ht     | -          | -       | Abs     | Mat (Asy)               |
|         | <i>TSHZ2</i>   | ENST00000371497 | c.247T>G    | p.Ser83Ala        | Ht     | D (0.946)  | D(0)    | 4/13006 | Pat (Asy)               |
|         | <i>FAT1</i>    | ENST00000441802 | c.4336G>A   | p.Val1446Ile      | Ht     | D(0.998)   | T(0.36) | 8/11838 | Pat (Asy)               |
| P4      | <i>FAT4</i>    | ENST00000394329 | c.131A>C    | p.Glu44Ala        | Ht     | P (0.496)  | T(0.6)  | 3/12132 | Mat,Pat (Asy)           |
|         | <i>DAB1</i>    | ENST00000371236 | c.1075G>A   | p.Gly359Arg       | Ht     | D (0.987)  | T(0.07) | Abs     | Pat (Asy)               |
| P5      | <i>PTCH1</i>   | ENST00000375274 | c.4delG     | p.Glu2Asnfs*9     | Ht     | -          | -       | Abs     | Unk                     |
|         | <i>PLXNC1</i>  | ENST00000258526 | c.3649T>C   | p.Cys1217Arg      | Ht     | D(0.998)   | D(0.01) | 9/12997 |                         |
|         | <i>IFT172</i>  | ENST00000260570 | c.3880C>T   | p.Arg1294Cys      | Ht     | D(1.000)   | D(0.01) | 1/13005 |                         |
|         | <i>WNT7A</i>   | ENST00000285018 | c.232C>T    | p.Arg78Cys        | Ht     | D(1.000)   | D(0)    | Abs     |                         |
| P6      | <i>STRA6</i>   | ENST00000395105 | c.1735C>G   | p.Pro579Ala       | Hemi   | D (0.999)  | T(0.11) | Abs     | Mat (Asy)               |
|         | <i>CDH1</i>    | ENST00000261769 | c.670C>T    | p.Arg224Cys       | Ht     | P (0.837)  | D(0)    | 2/12996 |                         |
| P7      | <i>CYP26C1</i> | ENST00000285949 | c.1243C>G   | p.His415Asp       | Ht     | D (1.000)  | D(0)    | Abs     | Unk                     |
|         | <i>IFT172</i>  | ENST00000260570 | c.5133delC  | p.Asn1711Asnfs*20 | Ht     | -          | -       | Abs     |                         |

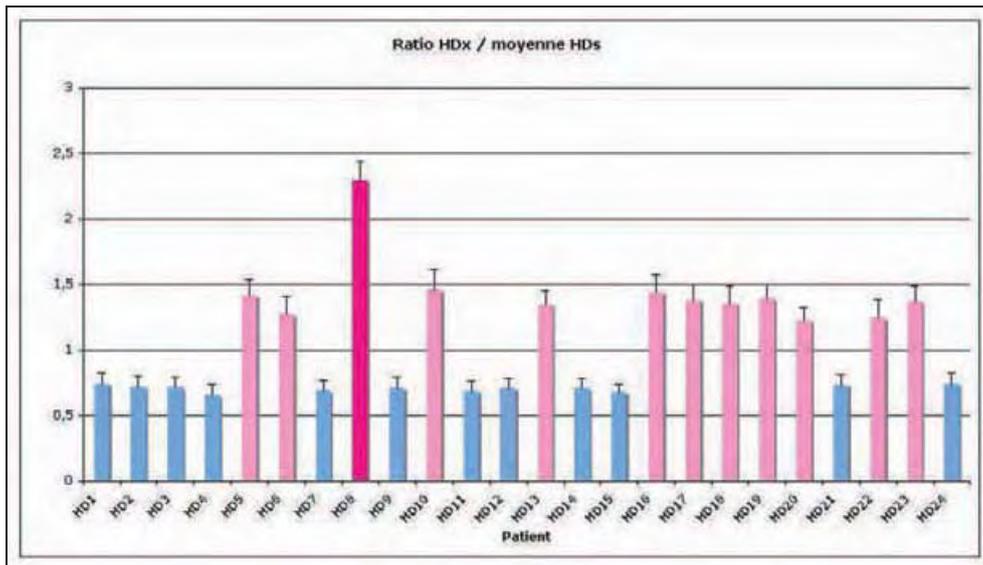
|            |                |                 |               |                  |      |           |         |          |           |
|------------|----------------|-----------------|---------------|------------------|------|-----------|---------|----------|-----------|
|            | <i>VAX2</i>    | ENST00000234392 | c.398C>T      | p.Thr133Ile      | Ht   | P (0.933) | T(0.33) | Abs      |           |
| <b>P8</b>  | <i>PTCH1</i>   | ENST00000331920 | c.3191C>T     | p.Thr1064Met     | Ht   | D(1.000)  | T(0.1)  | 2/13006  | Pat (Asy) |
|            | <i>SEZ6L2</i>  | ENST00000308713 | c.323C>T      | p.Thr108Ile      | Ht   | P(0.937)  | T(0.22) | Abs      | Pat (Asy) |
| <b>P9</b>  | <i>RPGRIP1</i> | ENST00000400017 | c.2424C>G     | p.Cys808Trp      | Ht   | D (0.999) | D(0)    | Abs      | Pat (Asy) |
| <b>P10</b> | <i>PFKP</i>    | ENST00000381125 | c.738_739insG | p.Trp248Alafs*19 | Ht   | -         | -       | Abs      | Mat (Asy) |
|            | <i>NOTCH4</i>  | ENST00000375023 | c.2443T>G     | p.Cys815Gly      | Ht   | D(1.000)  | D(0)    | 22/8350  | Mat (Asy) |
| <b>P11</b> | <i>NR5A2</i>   | ENST00000367362 | c.884C>T      | p.Thr295Met      | Ht   | P(0.904)  | D(0.02) | 11/12995 | Unk       |
|            | <i>RPGRIP1</i> | ENST00000400017 | c.808A>G      | p.Ile270Val      | Ht   | P(0.994)  | T(0.11) | 6/11846  |           |
| <b>P12</b> | <i>GRASP</i>   | ENST00000293662 | c.1084G>A     | p.Gly362Ser      | Ht   | D (0.987) | T(0.43) | 56/11118 | Mat (Asy) |
|            | <i>NOTCH1</i>  | ENST00000277541 | c.2434G>A     | p.Gly812Arg      | Ht   | D (1.000) | D(0.05) | 7/12560  | Pat (Asy) |
| <b>P13</b> | <i>MITF</i>    | ENST00000352241 | c.738G>A      | p.Asp246Asn      | Ht   | P(0.858)  | T(0.45) | Abs      | Mat (Asy) |
|            | <i>EFHD1</i>   | ENST00000264059 | c.155C>T      | p.Thr82Met       | Ht   | D(0.945)  | T(0.06) | 1/12893  | Mat (Asy) |
| <b>P14</b> | <i>NOTCH1</i>  | ENST00000277541 | c.67G>T       | p.Arg23Leu       | Ht   | P(0.689)  | T(0.38) | Abs      | Mat (Sy)  |
|            | <i>ARR3</i>    | ENST00000307959 | c.1052C>T     | p.Pro351Leu      | Hemi | D(0.945)  | T(1)    | Abs      | Mat (Sy)  |
| <b>P15</b> | <i>FGFR3</i>   | ENST00000260795 | c.1879G>A     | p.Glu627Lys      | Ht   | D(0.990)  | D(0)    | Abs      | Pat (Asy) |
|            | <i>PTCH1</i>   | ENST00000331920 | c.3241G>A     | p.Val1081Met     | Ht   | D(0.991)  | D(0.02) | 1/13006  |           |
|            | <i>SULF1</i>   | ENST00000458141 | c.529G>A      | p.Gly177Ser      | Ht   | D(1.000)  | D(0)    | Abs      |           |
|            | <i>CHRD</i>    | ENST00000204604 | c.1370C>G     | Thr457Ser        | Ht   | D(0.999)  | T(0.1)  | 6/13000  |           |
| <b>P17</b> | <i>CHST5</i>   | ENST00000336257 | c.737T>C      | p.Ile246Thr      | Ht   | D (0.998) | T(0.06) | Abs      | Mat (Asy) |
|            | <i>DACT1</i>   | ENST00000335867 | c.2010G>C     | p.Lys670Asn      | Ht   | P (0.868) | T(0.32) | Abs      | Mat (Asy) |
|            | <i>FRAS1</i>   | ENST00000264895 | c.3700G>A     | p. Ala1234Thr    | Ht   | P(0.611)  | T(0.29) | 2/12336  | Pat (Asy) |
| <b>P18</b> | <i>DICER1</i>  | ENST00000343455 | c.2191G>A     | p.Gln731Lys      | Ht   | P(0.950)  | T(0.52) | Abs      | Unk       |
|            | <i>MAP3K1</i>  | ENST00000399503 | c.1420A>G     | p.Ile474Val      | Ht   | P(0.540)  | T(0.19) | Abs      |           |
|            | <i>GLI2</i>    | ENST00000361492 | c.1859C>T     | p.Thr620Met      | Ht   | P(0.832)  | T(0.09) | 11/12995 |           |
| <b>P19</b> | <i>KIF21A</i>  | ENST00000395670 | c.2287G>A     | p.Val763Met      | Ht   | D(0.998)  | D(0.01) | Abs      | Pat (Asy) |
|            | <i>GLIS3</i>   | ENST00000381971 | c.2710G>C     | p.Gly904Arg      | Ht   | D(1.000)  | D(0)    | 6/13000  | Pat (Asy) |
| <b>P20</b> | <i>PTCH1</i>   | ENST00000331920 | c.3947A>G     | p.Tyr1316Cys     | Ht   | D(0.983)  | T(0.07) | 9/12499  | Mat (Sy)  |
|            | <i>FREM1</i>   | ENST00000380880 | c.1493G>A     | Arg498Gln        | Ht   | D(1.000)  | T(0.43) | 9/12453  | Mat (Sy)  |
| <b>P21</b> | <i>ADAM17</i>  | ENST00000310823 | c.847C>T      | p.Arg283Cys      | Ht   | D (1.000) | T(0.08) | Abs      | Pat (sy)  |
|            | <i>SFRP2</i>   | ENST00000274063 | c.628A>G      | p.Asn209Gly      | Ht   | P (0.918) | T(0.24) | Abs      | Pat (sy)  |

|            |               |                 |             |                  |    |           |         |         |           |
|------------|---------------|-----------------|-------------|------------------|----|-----------|---------|---------|-----------|
|            | <i>TSHZ2</i>  | ENST00000371497 | c.1289A>T   | p.Gln430Leu      | Ht | P (0.958) | D(0.01) | Abs     | Mat (Asy) |
| <b>P22</b> | <i>PITRM1</i> | ENST00000380989 | c.2423A>G   | p.Lys808Arg      | Ht | P (0.708) | T(0.48) | Abs     | Pat (Asy) |
|            | <i>PRPF8</i>  | ENST00000304992 | c.3527C>T   | p.Ser1176Phe     | Ht | D (0.999) | D(0)    | Abs     | Mat (Asy) |
|            | <i>RARG</i>   | ENST00000425354 | c.245C>T    | p.Pro82Leu       | Ht | D (0.999) | D(0.02) | Abs     | Pat (Asy) |
|            | <i>EPHB2</i>  | ENST00000400191 | c.787G>A    | p.Val263Ile      | Ht | P(0.882)  | T(0.24) | 2/13004 | Pat (Asy) |
|            | <i>FRAS1</i>  | ENST00000264895 | c.9364C>T   | p.Arg3122Trp     | Ht | D (1.000) | D(0)    | Abs     | Pat (Asy) |
| <b>C1*</b> | <i>VSX2</i>   | ENST00000261980 | c.71_72insG | p.Ala25Argfs*101 | Ht | -         | -       | Abs     | Pat (Asy) |
|            | <i>VSX2</i>   | ENST00000261980 | c.667G>A    | p.Gly223Arg      | Ht | D (1.000) | T(0.14) | Abs     | Mat (Asy) |
|            | <i>NDST2</i>  | ENST00000309979 | c.25C>T     | p.Arg9Cys        | Ht | D(1.000)  | D(0)    | 4/13002 | Pat (Asy) |
|            | <i>NDST2</i>  | ENST00000309979 | c.199C>T    | p.Arg67Trp       | Ht | D(0.990)  | T(0.18) | 1/12989 | Mat (Asy) |
| <b>C2*</b> | <i>STRA6</i>  | ENST00000395105 | c.1313A>G   | p.Gln438Arg      | Ht | D (1.000) | D(0.05) | Abs     | Pat (Asy) |
|            | <i>STRA6</i>  | ENST00000395105 | c.1913G>C   | p.Arg638Pro      | Ht | D (1.000) | D(0)    | 1/12990 | Mat (Asy) |

**Tableau 4: Variations retenues après filtrage des variants identifiés chez les 22 patients (P1 à P22) et les deux contrôles (C1 et C2)**

- Résultats de la recherche de remaniements

Pour rechercher la présence de remaniements exoniques et/ou géniques, nous avons comparé la profondeur de lecture donnée pour chaque sonde de capture entre chaque patient et la moyenne des patients. Seules les sondes de capture ayant une profondeur minimum de 20 lectures ont été étudiées. Cette approche n'ayant pas été rapportée précédemment, nous avons souhaité en étudier la faisabilité en regardant le ratio (patient)/(moyenne des patients) pour les sondes de capture situées sur le chromosome X. Le résultat attendu (et obtenu) était d'avoir un ratio deux fois plus important pour les femmes (46,XX) que pour les hommes (46, XY). Une des patientes avait un caryotype 47, XXX (triploX) ce qui a pu être visualisé par notre approche (Fig. 45). Cette première approche a démontré la possibilité de rechercher des modifications du nombre de copies sur l'ensemble des sondes de capture situées sur les autosomes.

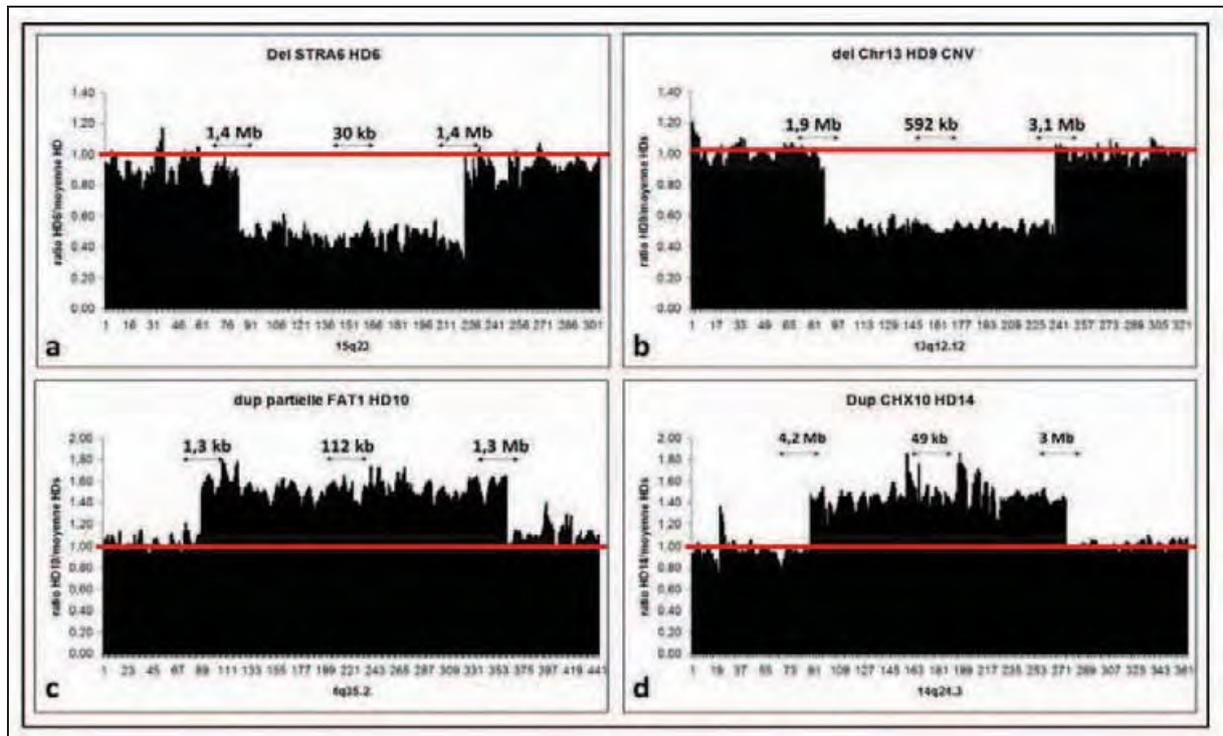


**Figure 45: Confirmation de la possibilité d'étudier le nombre de copie par analyse de la profondeur de lecture.**

Le ratio entre le nombre de lecture pour une sonde de capture donnée entre chaque patient (HDx) et la moyenne des patients (moyenne HDs) a été calculé pour les 1400 sondes de capture localisées sur l'X ayant > 20 lectures. Est représenté sur cette figure, la moyenne des ratios pour chaque patient. On voit que les patients de sexe féminin (en rose clair) ont une moyenne des ratios deux fois supérieure à celle des garçons (en bleu). La patiente porteuse d'un triploX est représentée en rose foncée et à une moyenne des ratios trois fois supérieure à celle des garçons.

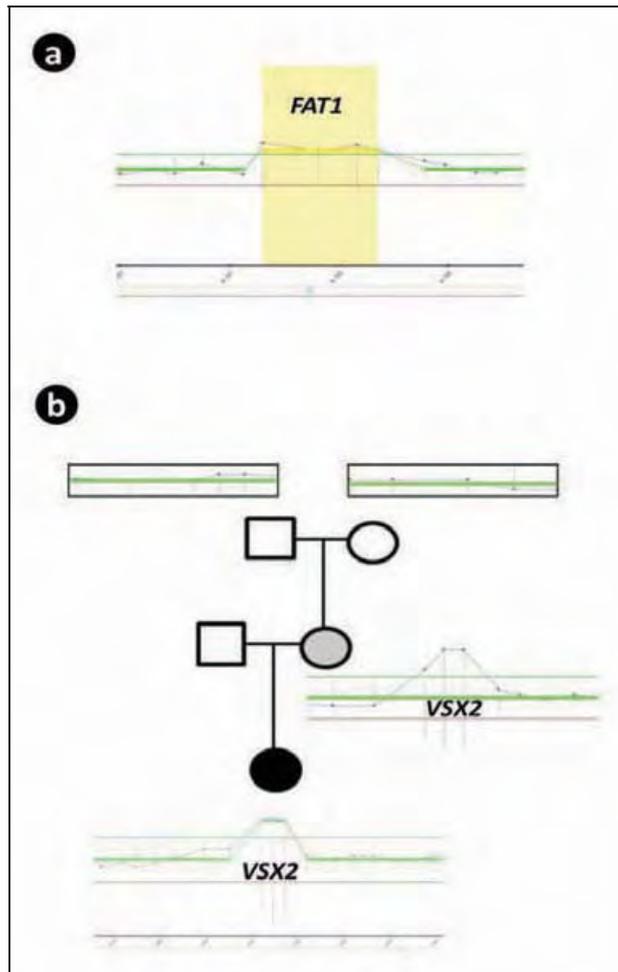
La recherche de variation du nombre de copies a pu être réalisée sur 53231 des sondes de lecture (soit 95 % des sondes avec une profondeur de lecture >20). Les remaniements ont été retenus selon le critère d'un ratio < 0.6 (délétion) ou > 1.4 (duplication) pour 3 sondes consécutives non chevauchantes. 4 remaniements ont pu être identifiés par cette technique : une délétion hétérozygote du gène *STRA6*, une duplication du gène *VSX2*, une duplication partielle du gène *FAT1* et une délétion sur le chromosome 13 correspondant à un CNV connu (Fig. 46). Les délétions des trois remaniements probablement en cause dans le phénotype oculaire ont été faites par QMPSF (délétion de *STRA6* chez le patient 6 [HD6]) ou par CGH array (duplication de *FAT1* chez le patient 10 [HD10] et duplication de *VSX2* chez le patient 14 [HD14]) (Fig. 47). Le patient 6 est hétérozygote composite pour la délétion de *STRA6* et une mutation ponctuelle. L'analyse parentale n'a pas pu être réalisée pour la duplication partielle du gène *FAT1* chez le patient 10. *FAT1* code pour une protocadhérine qui lorsqu'elle est inactivée chez les souris (KO) entraîne des anophtalmies chez 40 % des embryons de souris<sup>183</sup>. L'analyse familiale de la duplication du gène *VSX2* a montré que cette duplication était apparue *de novo* chez la mère du patient 14 elle-même pauci-symptomatique (Fig.

47). Il existe donc des arguments pour penser que ces trois remaniements sont impliqués dans le phénotype oculaire des patients. Ces résultats, confirmés par des approches plus classique de recherche d'anomalie du nombre de copies montrent la faisabilité de la recherche de tels remaniements à partir des données de séquençage haut débit.



**Figure 46: Résultats de la recherche de microremaniements chromosomiques par l'analyse du nombre de lectures en séquençage haut débit.**

Pour chaque sonde de capture, le nombre de lecture d'un patient a été rapporté à la moyenne des 24 patients. Un rapport de 1 en abscisse (indiqué par un trait rouge) est normal, alors qu'une délétion est marquée par un rapport à 0,5 (a,b) et une duplication un rapport à 1,5 (c,d). En ordonnée, sont indiqués le nombre de sonde de capture étudiés : par exemple pour *STRA6*, 150 sondes successives apparaissent délétées (a). La taille minimale de la délétion/duplication est indiquée au milieu de chaque figure et la distance d'incertitude sont indiqués de part et d'autre (distance entre la première sonde délétée/dupliquée et la sonde suivante non remaniée). Le patient 6 a une délétion hétérozygote du gène *STRA6* (a), le patient 9 un CNV polymorphique au niveau du bras long du chromosome 13 (b), le patient 10 a une duplication partielle du gène *FAT1* (c), et le patient 14 a une duplication du gène *CHX10/VSX2* (d).



**Figure 47: Confirmation par CGH-array 44K des duplications identifiées par le séquençage haut débit.**

(a) Confirmation de la présence d'une duplication de 345 kb impliquant le gène *FAT1* chez le patient 10. (b) Confirmation de la présence d'une duplication de 74 kb impliquant le gène *VSX2* chez le patient 14. Cette duplication est héritée de la mère pauci-symptomatique (large excavation papillaire). L'analyse de ségrégation familiale montre que la duplication est apparue *de novo* chez la mère du patient 14 (b).

## Conclusion

Le séquençage de 407 gènes candidats dans une cohorte de 22 patients atteints d'anomalies du développement embryonnaire de l'œil nous a permis d'identifier l'implication d'un deuxième gène majeur dans ces malformations. Nous avons ainsi pu démontrer que la présence de variations hypomorphes du gène *PTCH1* étaient retrouvées chez près de 10 % des patients atteints de ces défauts du développement. Notre analyse nous a également permis d'identifier d'autres gènes intéressants dont le caractère causal dans ces malformations reste à démontrer. Enfin, nous avons pu par cette approche originale étudier les séquences régulatrices supposées de ces gènes et mettre au point un algorithme permettant de rechercher des anomalies de nombre de copie à partir des profondeurs de lecture observées.

## ARTICLE 12

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### Targeted resequencing identifies *PTCH1* as a major contributor to ocular developmental anomalies and extends the SOX2 regulatory network

*Soumis*

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Nous décrivons dans cet article l'identification de mutations hypomorphes chez 10 % des patients (7/70) atteints d'anomalies embryonnaires du développement de l'œil (dysgénésies du segment antérieur ou AM). Des mutations de ce gène ont été identifiées par séquençage haut débit ciblé sur 407 gènes candidats. Nous avons pu montrer que ces mutations avaient un pénétrance incomplète et pouvaient être héritées de parents asymptomatiques. Nous avons cependant confirmé le caractère délétère (variations hypomorphes) de ces variations sur l'activité de *ptch1* dans un modèle de poisson zèbre. Nous avons également montré que l'expression de *Ptch1* était régulée par *Sox2* et qu'elle était importante au cours du développement oculaire.

Ces résultats s'intègrent avec les données connues sur la voie SHH (et PTCH1 qui en est un régulateur) dans le développement de l'œil dans plusieurs modèles. Chez l'homme, des mutations de *PTCH1* ont été décrites dans le syndrome de Gorlin et l'holoprosencéphalie. Ces deux syndromes sont associés à des malformations oculaires et notamment des AM. Chez le poisson des cavernes dont l'œil involue au cours du développement, il a été montré une surexpression et un patron d'expression étendu du gène *shh* comparativement au poisson des surfaces (appartenant à la même espèce de poisson, *Astyanax mexicanus*) qui a des yeux se développant normalement.

L'ensemble de ces résultats (fréquence des variants hypomorphes chez nos patients, régulation de l'expression de *Ptch1* par Sox2, expression oculaire embryonnaire de *Ptch1*, phénotype oculaires dans le syndrome de Gorlin et l'holoprosencéphalie, modèle du poisson des cavernes) vont dans le sens d'une implication forte de la voie SHH dans le développement oculaire et ouvres de nouvelles perspectives physiopathologiques pour les anomalies du développement de l'œil.

Les autres résultats évoqués dans cet article ont été discutés plus en détail dans ce sous-chapitre du "séquençage haut-débit des gènes candidats".



# Targeted resequencing identifies *PTCH1* as a major contributor to ocular developmental anomalies and extends the SOX2 regulatory network

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Ocular developmental anomalies (ODA) such as Anophthalmia/Microphthalmia (AM) or anterior segment dysgenesis (ASD) have an estimated combined incidence of 3.7 in 10,000 births<sup>1</sup>. Mutations in *SOX2* are the most frequent contributors to severe ODA<sup>2</sup>, yet account for a minority of the genetic drivers<sup>3</sup>. To identify novel ODA loci, we conducted targeted high-throughput sequencing of 407 candidate genes in an initial cohort of 22 sporadic ODA patients. Patched 1 (*PTCH1*), an inhibitor of sonic hedgehog (SHH) signaling, harbored an enrichment of rare heterozygous variants in comparison to either controls, or to the other candidate genes (four missense and one frameshift), and targeted resequencing of *PTCH1* in a second cohort of 48 ODA patients identified two additional rare nonsynonymous changes. Consistent with a role of *PTCH1* in ODA, functional analysis in a zebrafish *in vivo* complementation model showed that all six patient missense mutations affect SHH signaling. Finally, through transcriptomic and ChIP analyses, we show that *SOX2* binds to an intronic domain of the *PTCH1* locus to regulate *PTCH1* expression. Together, these results identify the SHH signaling pathway as a novel effector of *SOX2* activity during human ocular development, and demonstrate that *PTCH1* contributes mutations to as much as 10% of ODA.

ODA are underscored by extensive genetic heterogeneity, phenotypic variability, and non-penetrance, all of which render them largely intractable for traditional family-based genetic approaches. We selected 407 candidate genes (**Supplementary Table 1**) involved in ocular development for targeted exon liquid capture followed by massively parallel sequencing. We screened 22 unrelated individuals with ODA and mutation negative for *SOX2*, *OTX2*, *RAX* and *VSX2*; and two positive control individuals (with known mutations in either *STRA6* or in *VSX2*). These affected individuals had isolated ASD (n=6); or AM that was isolated (n=4), associated with ASD (n=6), or coincident with coloboma (n=6) (**Supplementary Table 2**). We identified ~ 2,500 variants in each patient; after stringent bioinformatic filtering that focused exclusively on alleles that were a) absent from dbSNP132, HapMap and our in-house exomes; and b) predicted *in silico* to be deleterious (**Supplementary Table 3**), we observed 0-5 variants per individual in a total of 46 loci. These genes harbored variation in the following locus-wide distribution: >1 variant/gene in 10 genes (**Fig. 1a; Supplementary Tables 4, 5**); 1 variant/gene in 36 genes; the remaining 361 genes were bereft of rare functional variants predicted to be deleterious (**Supplementary Table 4**, discussed in the **Supplementary Note**).

Among these 46 loci, *PTCH1* carried the greatest mutational burden, and was the most significantly enriched for rare putative pathogenic variants in the initial ODA cohort in comparison to 13,006 control chromosomes in the Exome Variant Server (EVS;  $p < 0.0001$ ; **Fig. 1a,b; Table 1**), and remained nominally significant after correction for the 407 target gene set ( $p = 0.04$ ). Notably, the only other genes harboring an enrichment of rare variants predicted to be pathogenic in the ODA cohort were *VSX2* and *STRA6*, both of which have been identified previously as rare ODA contributors<sup>4,5</sup> and were mutated in the positive control individuals C1 and C2, respectively ( $p < 0.01$  vs. 13,006 EVS chromosomes; **Fig. 1a; Supplementary Table 5**).

Four individuals harbored rare *PTCH1* heterozygous changes predicted to be deleterious (**Fig. 1b; Table 1**). One patient with microphthalmia with cataract and sclerocornea (P5) had a frameshifting deletion (c.4delG, p.Glu2Asnfs\*9) in exon 1 of *PTCH1* isoform NP\_001077072. Individual P17, presenting with a bilateral Axenfeld-Rieger malformation, had a c.3889C>T (p.Arg1297Trp). Two additional unrelated patients with colobomatous microphthalmia, corpus callosum abnormality, and

atrial septal defects (P8 and P15) harbored c.3191C>T (p.Thr1064Met) and c.3241G>A (p.Val1081Met) changes. With the exception of P5, for whom we were unable to perform segregation analysis, we determined that each of these three *PTCH1* mutations was inherited from an asymptomatic parent (**Table 1** and **Supplementary Table 4**), consistent with incomplete penetrance.

Because of the significant enrichment of *PTCH1* variants in our first-pass filtering strategy, and cognizant of the imperfect sensitivity and specificity of prediction algorithms, we returned to the set of rare variants remaining prior to *in silico* predictions. We found two additional heterozygous rare *PTCH1* missense variants filtered out initially because they were considered benign by PolyPhen-2. Patient P20, affected with a bilateral Peters anomaly, harbored a heterozygous p.Tyr1316Cys change that was inherited from her symptomatic mother. Additionally, we identified a heterozygous p.Asp436Asn change in the control sample C2 (**Fig. 1b**, **Table 1**).

The significant enrichment of mutational burden in the 24 cases compared to 6500 EVS controls ( $p < 0.001$ ), and *in silico* prediction evidence were suggestive but not conclusive with regard to the deleterious effect of the *PTCH1* missense variants identified in the ODA cohort. Moreover, the observed incomplete penetrance posed interpretive challenges. Therefore, we evaluated the effect of all discovered alleles *in vivo*. Ptch1 is a transmembrane dependence receptor which functions with Shh as part of a dosage sensitive pathway resulting in activation of downstream target genes, including the Smoothed (smo) co-receptor, Ptch1 itself, and Gli transcription factors<sup>6</sup>. Shh signaling is a key regulator of somite patterning<sup>7</sup>, and the *ptch1*<sup>ti222</sup> (*leprechaun*) zebrafish mutant, harboring a p.Tyr590\* mutation, has a visibly more obtuse angle of the somitic chevron compared to wild type (WT) embryos<sup>8</sup>. We have shown previously that *in vivo* complementation studies of human mutations in Shh effector molecules using somite defects as a phenotypic readout are a robust assay to determine allele pathogenicity<sup>9</sup>. We therefore employed this strategy to test the ability of human mRNAs harboring the ODA *PTCH1* missense mutations to rescue the *ptch1* MO-induced somite angle defects in comparison to that of WT.

Using a previously validated morpholino antisense oligonucleotide (MO)<sup>10</sup>, we recapitulated the highly penetrant somite phenotype in WT embryos injected with 12 ng *ptch1* MO (81.5° degrees vs. 107° degrees for control vs. MO;  $p < 0.0001$ ; **Fig. 2**, **Supplementary Table 6**). Importantly, co-injection 100 pg of capped human *PTCH1* WT mRNA resulted in a significant amelioration of the somite defect (85.9° vs. 107° for WT rescue vs. MO;  $p < 0.0001$ ). In addition to the five missense (p.Asp436Asn, p.The1064Met, p.Val1081Met, p.Arg1297Trp, p.Tyr1316Cys) mutations identified among the 22 patients and 2 control ODA individuals, we also evaluated the effect of human *PTCH1* mRNA carrying the known pathogenic missense p.Thr1052Met change, associated previously with an holoprosencephaly (HPE)-like phenotype (including bilateral microphthalmia) and normal MRI<sup>11</sup> or with alobar HPE<sup>12</sup>. As a negative control for the assay, we also evaluated a nonsynonymous change found commonly in population controls, p.Pro1315Leu. In contrast to the significant rescue of the morphant phenotype resulting from co-injection of either WT mRNA or the negative control mRNA (p.Pro1315Leu), the morphant somite angle defect was partially rescued for each allele identified in our ODA cohort (mean somite angle ranging from 91.3° to 94.5°;  $p < 0.0001$  for each co-injection vs. MO) but remained significantly worse than WT rescue ( $p < 0.0001$  for each co-injection vs. WT rescue). We observed similar results for the p.Thr1052Met change (associated with HPE) (**Fig. 2**, **Supplementary Table 6**). Notably, co-injection of *ptch1* MO with the mRNA bearing the p.Asp436Asn

change identified in control C2 showed phenotypic rescue similar to that of the WT and negative control mRNAs, demonstrating that this change is benign. Injection of WT or mutant mRNA in the absence of MO resulted in no significant defects, arguing in favor of a loss-of-function rather than a dominant-negative effect. Together, these results provided *in vivo* evidence that all rare missense variants identified in our ODA discovery cohort, as well as the mutation associated with HPE, have a hypomorphic effect on PTCH1 protein activity (**Supplementary Table 6**), while the common variant p.Pro1315Leu and the p.Asp436Asn change identified in a control patient had no detectable effect on protein function.

Given these observations, we conducted bidirectional Sanger sequencing of the coding regions of *PTCH1* in an independent cohort of 48 samples with ODA. We identified two additional rare heterozygous *PTCH1* missense variants: p.Ile899Val in a patient with bilateral Peters anomaly, and p.Thr778Pro in an autosomal dominant AM-ASD family, each of which was absent from EVS (**Fig. 1b, Table 1** and **Supplementary Table 4**). An *in vivo* functional assay of each of the two additional variants demonstrated that, similar to the alleles found in our original cohort, both changes resulted in partial loss of PTCH1 function (mean somite angle 90.0° and 94.7° for p.Thr778Pro and p.Ile899Val respectively;  $p < 0.0001$  for each MO plus mutant mRNA co-injection versus either MO alone or WT rescue; **Fig. 2; Supplementary Table 6**). Combined, we identified a total of seven rare heterozygous *PTCH1* variants (six missense and one frameshifting) in a total of seventy individuals with ODA (10%; **Fig. 1b**).

Pursuant to the elevated incidence of ODA patients with pathogenic *PTCH1* variants, we wondered if this locus might be linked mechanistically to SOX2, as observed previously for other genes implicated in disorders of ocular development<sup>13,14</sup>. We first asked whether *Ptch1* might be regulated transcriptionally by SOX2. First, using RNA *in situ* hybridization, we found that robust embryonic *Ptch1* expression in the neural retina and lens persists to later stages in the adult mouse (**Fig. 3**) as observed in humans<sup>15</sup>, and overlaps the known expression pattern of *Sox2*<sup>16</sup>. Using a physiologically relevant CCE-Rx model<sup>17</sup>, we suppressed *Sox2* and tested the abundance of *Ptch1* message; in biological triplicate experiments, we found that *Ptch1* is upregulated significantly upon *Sox2* suppression ( $p < 0.001$ ; **Fig. 4a**), suggesting that the *Ptch1* locus might be under the transcriptional regulation of SOX2. We therefore performed chromatin immunoprecipitation (ChIP)-seq on CCE-Rx cells using an antibody against Sox2; we identified a peak in intron 15 of *Ptch1*, which was confirmed using targeted ChIP-qPCR on five independent samples. Importantly, amplification of the *Ptch1* exon 20 negative region was equivalent when precipitated with either non-specific IgG or Sox2 antibody, while amplification of the intron 15 region showed greater than five-fold enrichment in chromatin immunoprecipitated by the Sox2-specific antibody ( $p < 0.01$ ; **Fig. 4b-c**). Together, these data suggest that *PTCH1* expression is regulated directly by SOX2.

The SHH signaling pathway is associated strongly with ocular development in models ranging from insects to mammals that reflect ~ 600 million years of selection<sup>15,18-22</sup>. The *ptch1* zebrafish mutant displays an incompletely penetrant lens malformation phenotype that can be recapitulated with *ptch1* MO injection only at high doses<sup>8</sup>. In addition, an ENU mutagenesis screen in zebrafish for visual system mutants identified a splice-acceptor site mutation in *ptch2* that results in ocular colobomas<sup>23</sup>, and *ptch1;ptch2* double mutants have a severe ocular phenotype with absent lens development at 24 hpf and completely absent eyes at 48 hpf<sup>10</sup>, phenocopying AM. Eye defects in *ptch1*<sup>tj222</sup> mutants could be suppressed by pharmacologically inhibiting the Hedgehog pathway with cyclopamine,

providing evidence in support of a direct involvement of SHH signaling in the manifestation of the phenotype. Last, optic morphogenesis and gene expression patterns have been compared in blind cavefish and sighted surface fish embryos, both morphological variants of the same *Astyanax mexicanus* species<sup>24</sup>. In contrast to surface fish embryos, cavefish embryos develop small eye primordia, which later arrest in development, degenerate and sink into the orbits, recapitulating human secondary anophthalmia. An expansion of the shh signaling domain in the presumptive ocular neuroepithelium resulted in hyperactivation of downstream genes, lens apoptosis and arrested eye growth and development in cavefish embryos<sup>24</sup>. These features could be mimicked in surface fish by shh overexpression, and eye development was restored partially in cavefish embryos by using cyclopamine<sup>24</sup>. In humans, *PTCH1* mutations have been associated previously with basal cell nevus syndrome (BCNS; MIM#109400) and with holoprosencephaly (HPE7; MIM#610828). Of note, ODA such as AM or ASD are part of both the BCNS<sup>25</sup> and HPE<sup>26</sup> phenotypes.

Despite the demonstrated functional consequences of *PTCH1* variants on SHH signaling, the wide phenotypic spectrum within our ODA cohort, coupled to the incomplete penetrance observed among families, suggests that additional factors contribute to the phenotype. Such factors may include the other 45 genes harboring rare variants in the initial cohort of 22 ODA samples. However, their rarity in cases and undetectable enrichment of variation in comparison to controls suggests that their contribution will be modest; increased sample numbers and robust experimental models to test epistasis are required to demonstrate their involvement, if any, in ODA.

In summary, high-throughput sequencing of candidate genes in ODA identified *PTCH1* as a significant contributor to congenital ocular malformations (10% in our cohort), placing it similar to its transcriptional regulator, *SOX2*, in terms of genetic burden to this phenotypic category<sup>2</sup>. Importantly, this study highlights the importance of a multifaceted approach towards identifying genetic contributors to traits such as ODA that are hallmarked by incomplete penetrance and genetic heterogeneity, especially when the cohort size is modest due to low disease frequency in the population. This study exemplifies how together, a combined candidate gene sequencing approach, *in vivo* functional assessment of allele pathogenicity, and placement to a known disease gene network provides robust interpretive data that would not have been possible to achieve through genetic studies alone.

## **METHODS**

Methods and any associated references are available in the online version of the paper.

## **ACKNOWLEDGMENTS**

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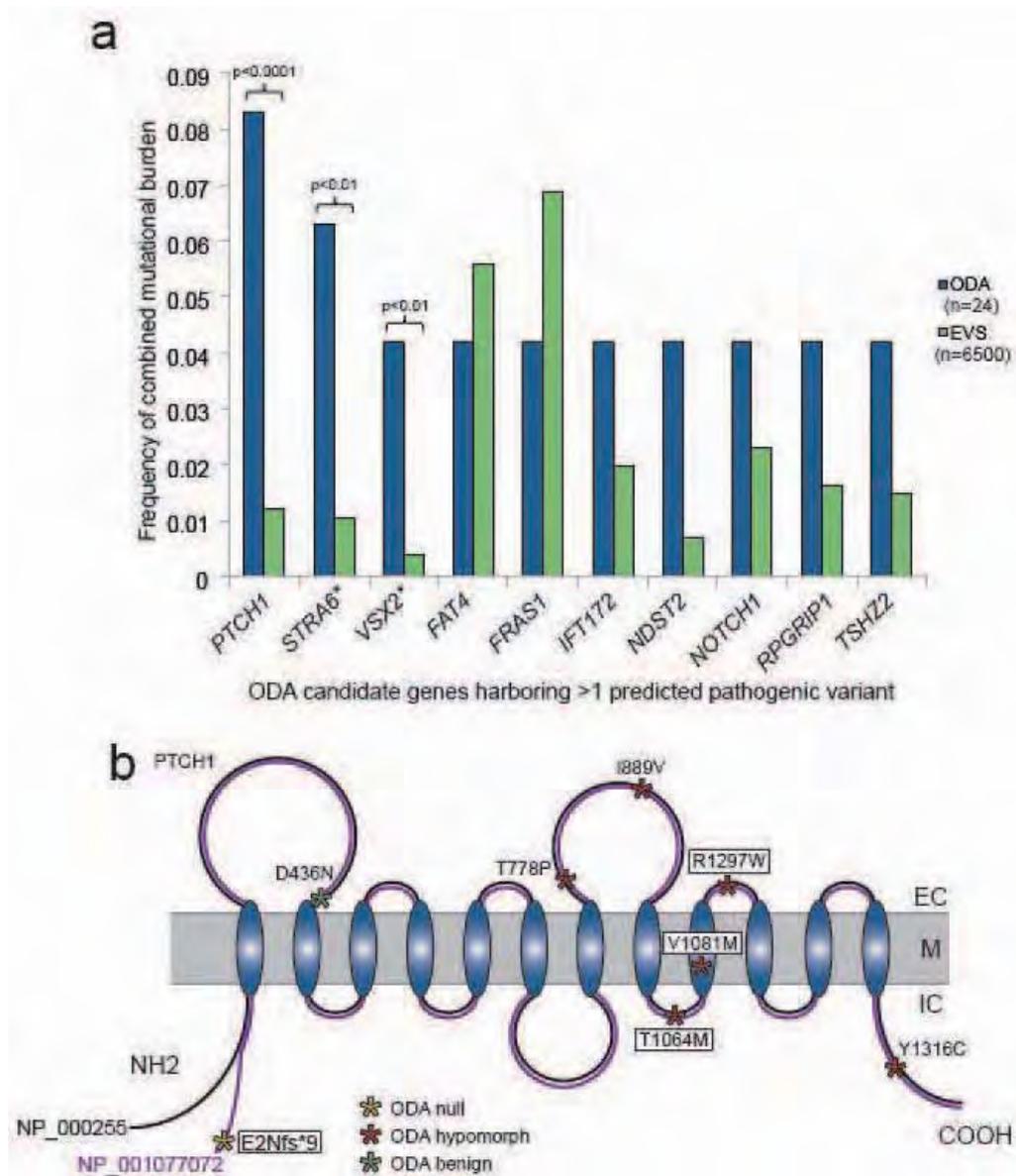
## **AUTHOR CONTRIBUTIONS**

N.C., E.E.D, H.C.E., S.F., and P.C. designed and directed the study. N.C., E.E.D, N.K., H.C.E., S.F., and P.C. wrote the manuscript. C.V-D., L.P., C.C., D.L., M.R., J-L.D., H.D., and J.K. collected samples and provided the subjects' clinical information. N.C., A.C., V.D., A.D., and S.L. performed CHIP and transcriptomic analyses and confirmation of NGS results. H.C.E performed HIS analyses. V.D. performed *PTCH1* molecular screening. E.E.D, A.R.N, and N.K. performed zebrafish studies.

## **COMPETING FINANCIAL INTERESTS**

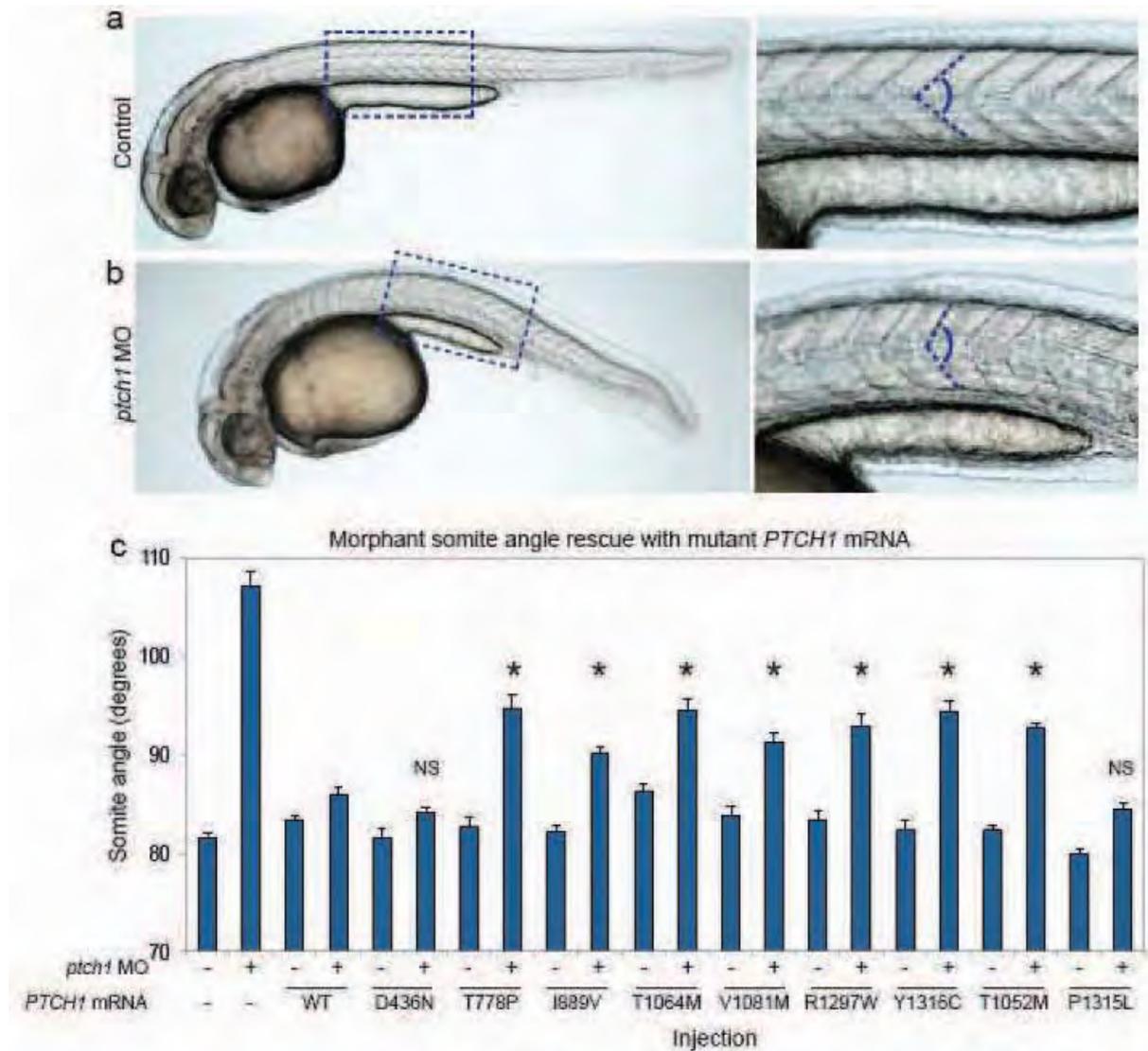
The authors declare no competing financial interests

Figure 1: *PTCH1* has a significantly enriched mutational burden in ODA.



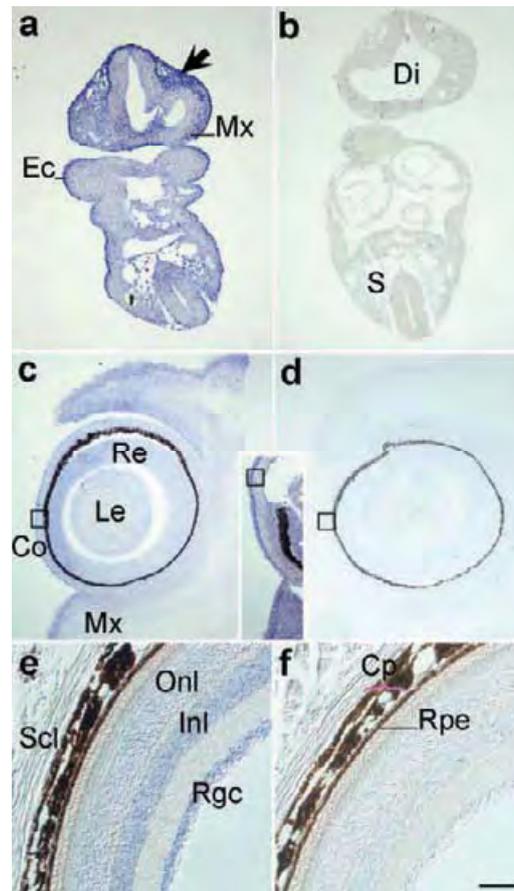
(a) Mutational burden for all genes harboring >1 rare predicted pathogenic variants in the initial cohort of ocular developmental anomalies (ODA) compared to healthy control individuals from the Exome Variant Server (EVS). Frequency of combined mutational burden is shown for the ten genes harboring multiple rare (<1% alternate allele frequency) functional variants (frameshift, nonsense and splicing variants were considered as damaging; missense variants were classified as damaging or not based on PolyPhen-2) in ODA cases (n=22 unknown + 2 positive controls) vs. Exome Variant Server (EVS) controls (n=6,500). P-values are indicated for the only three genes with a significant enrichment in cases versus controls (chi squared test). \**STRA6* and *VSX2* are previously identified causal genes in the positive control ODA samples. (b) Schematic representation of the *PTCH1* receptor with its extra-cellular (EC), transmembrane (M), and intra-cellular domains (IC). The positions of the *PTCH1* mutations identified in ODA are represented with asterisks, with the color indicating variant pathogenicity. The four variants enclosed with boxes were identified in the initial discovery cohort. p.E2Nfs\*9 is specific to isoform NP\_001077072 (purple), which is identical to NP\_000255 (black) except for an alternate 66 amino acid region at the N-terminus.

Figure 2: *PTCH1* variants identified in ODA patients are pathogenic.



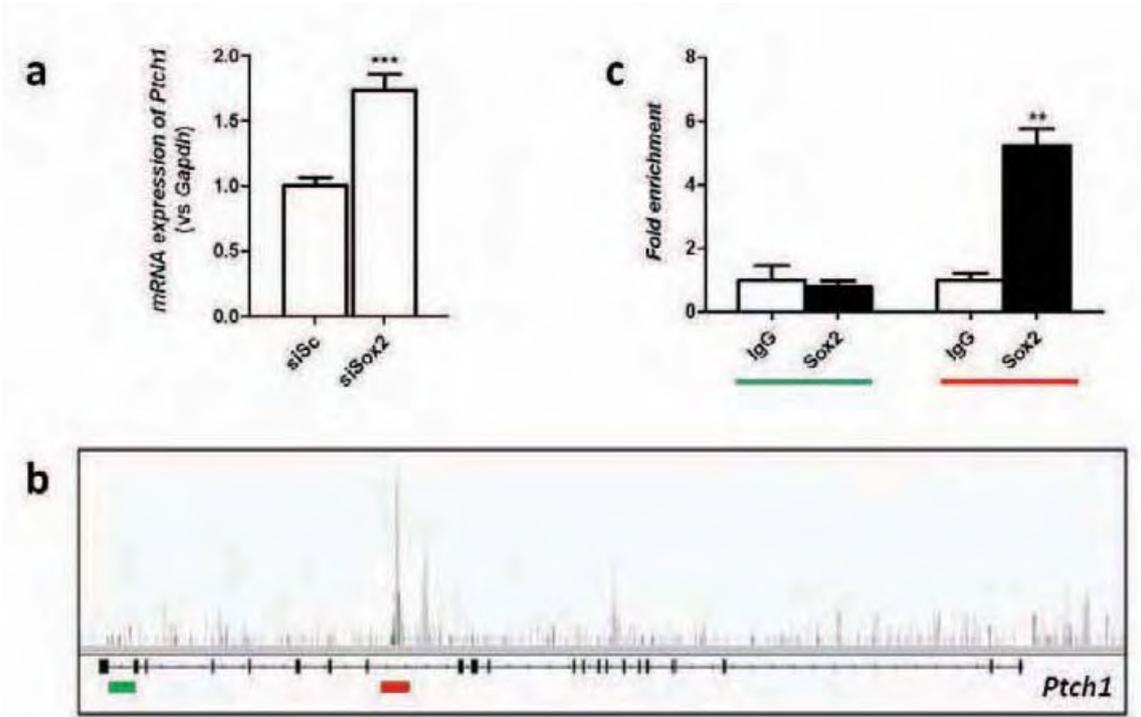
(a, b) Representative lateral images of uninjected control and *ptch1* MO-injected live embryos taken at 36 hours post fertilization (hpf); dashed boxes are enlarged in the insets (right). Magnified panels show chevron-shaped somites (controls) and abnormal-shaped somites (morphants), caused by aberrant Hedgehog signaling in the zebrafish myotome. Dashed blue lines indicate measurement position (at the midpoint between the proximal hindgut and the anus) used for phenotypic scoring of embryo batches. (c) All six nonsynonymous *PTCH1* variants identified in ODA cases were hypomorphic as indicated by the partial, but not complete, ability of mutant mRNA to rescue the *ptch1* MO-induced somite angle defects. *PTCH1* p.Thr1052Met, a rare variant (minor allele frequency in controls 0.001; n=13,006 chromosomes (EVS)), reported previously in HPE is also hypomorphic. Rescue with a common *PTCH1* p.Pro1315Leu encoding variant (rs357564; present in homozygosity in 8% of controls; n=12,568 chromosomes in EVS) was not significantly (NS) different from wild-type (WT), providing support for the specificity of the assay. The missense variant p.Asp436Asn identified in the ODA control C2 was benign in this assay. We measured 48-52 embryos per injection batch with blind scoring. Asterisks indicate statistical differences between mutant and WT rescue ( $p < 0.0001$ ; Student's t-test). Error bars, s.e.m. See **Supplementary Table 6** for somite measurement data.

**Figure 3: *Ptch1* transcripts are present in periocular mesenchyme and the neural retina throughout eye morphogenesis and into postnatal life.**



(a) On embryonic day (E)9.5 in mouse embryos, *Ptch1* is expressed in cephalic mesectoderm of neural crest origin in the head (arrow) and maxillary arch (Mx); in the basal diencephalon (Di) and basal neural tube at trunk levels, and in the somitic sclerotome (S). Ectodermal expression is constant at all embryonic stages examined (Ec). By E11.5, the distal diencephalic infundibulum transcribes *Ptch1* (not shown) as does the subectodermal mesenchyme of the future eyelids and palate. (c) Mesenchymal *Ptch1* expression continues at E13.5; the lateral neural retina (Re) and differentiated outer cells of the lens (Le) begin to also transcribe *Ptch1*, which continues throughout these structures at E15.5 (inset). By this stage, initially generalized expression in the developing cornea (Co, box) has become restricted to the epithelium. Scale bar a-d, 400  $\mu$ m. (e) In adult mouse eyes at postnatal day 50, transcripts are found within the outer and inner nuclear layers (Onl, Inl), corresponding to photoreceptor and Müller cell bodies, and within the retinal ganglion cell layer (Rgc), testifying to a postnatal role in retinal maintenance. Rpe, retinal pigmented epithelium; Cp; choroid plexus. Transcripts not observed within the stroma of the anterior chamber or the sclera (Scl). Scale bar e,f, 200  $\mu$ m. Hybridization with a sense-oriented *Ptch1* probe as negative control in b, d, f.

Figure 4: SOX2 regulates *PTCH1* expression directly



(a) Targeted quantitative PCR after transfection of CCE-RX cells with a scrambled siRNA (siSc, n=9) or a siRNA targeting *Sox2* (siSox2, n=9). This experiment showed that decreased expression of *Sox2* leads to increased expression of *Ptch1* (b) Results of ChIP-seq performed on CCE-RX cells using an antibody against SOX2. The *Ptch1* gene structure is represented underneath the DNA fragments sequenced after ChIP, with higher peaks corresponding to more enrichment. A peak was identified in intron 15 of *Ptch1* (underlined in red), while an example of an unenriched region is shown in intron 22 (underlined in green). (c) Results obtained by ChIP-seq were confirmed using targeted ChIP-qPCR on 5 independent samples. Amplification of the exon 20 negative region (in green) was equivalent whether using non-specific IgG or a SOX2 antibody, while amplification of the intron 15 region (in red) showed greater than five-fold enrichment in chromatin immunoprecipitated by the SOX2-specific antibody. (a, c) Asterisks indicate statistical differences between the different conditions rescue (\*\*: p<0.01, \*\*\*: p<0.001; Mann-Whitney U-test). Error bars, s.e.m.

**Table 1 : *PTCH1* variants identified in ODA patients and/or studied *in vivo* using zebrafish experiments**

| Patient                 | Transcript ID   | cDNA Variation | Protein Variation | Inheritance | GERP score | Grantham score | Polyphen2 Hum-Div | Polyphen2 Hum-Var | SIFT    | EVS        | Protein Location | Zebrafish studies |
|-------------------------|-----------------|----------------|-------------------|-------------|------------|----------------|-------------------|-------------------|---------|------------|------------------|-------------------|
| <b>P5</b>               | ENST00000375274 | c.4delG        | p.Glu2Asnfs*9     | Unk         | -          | -              | -                 | -                 | -       | Abs        | -                | -                 |
| <b>P8</b>               | ENST00000331920 | c.3191C>T      | p.Thr1064Met      | Asy Fa      | 5.61       | 81             | D(1.00)           | D(0.990)          | T(0.1)  | 2/13006    | TM               | Hypomorphic       |
| <b>P15</b>              | ENST00000331920 | c.3241G>A      | p.Val1081Met      | Asy Mo      | 5.32       | 21             | D(0.991)          | P(0.782)          | D(0.02) | 1/13006    | EC               | Hypomorphic       |
| <b>P17</b>              | ENST00000331920 | c.3889C>T      | p.Arg1297Trp      | Asy Fa      | 2.75       | 101            | B(0)              | B(0)              | T(0.07) | 1/12914    | IC               | Hypomorphic       |
| <b>P20</b>              | ENST00000331920 | c.3947A>G      | p.Tyr1316Cys      | Sy Mo       | 4.92       | 194            | D(0.983)          | P(0.541)          | T(0.07) | 9/12499    | IC               | Hypomorphic       |
| <b>C2</b>               | ENST00000331920 | c.1306G>A      | p.Asp436Asn       | -           | 5.63       | 23             | P(0.115)          | P(0.066)          | T(0.98) | Abs        | EC               | Benign            |
| <b>CC-44</b>            | ENST00000331920 | c.2332A>C      | p.Thr778Pro       | Unk         | 5.73       | 38             | D(0.999)          | D(0.990)          | T(0.18) | Abs        | EC               | Hypomorphic       |
| <b>CC-10</b>            | ENST00000331920 | c.2695A>G      | p.Ile899Val       | Asy Fa      | 3.49       | 29             | B(0.03)           | B(0.071)          | T(0.5)  | Abs        | EC               | Hypomorphic       |
| <b>HPE<sup>12</sup></b> | ENST00000331920 | c.3143C>T      | p.Thr1052Met      | Asy Fa      | 5.87       | 81             | D(0.951)          | P(0.608)          | D(0.02) | 15/13006   | IC               | Hypomorphic       |
| <b>rs357564</b>         | ENST00000331920 | c.3944C>T      | p.Pro1315Leu      | -           | 4.83       | 98             | D(0.906)          | B(0.444)          | T(0.22) | 3761/12568 | IC               | Benign            |

P: patient; C: control; CC: confirmation cohort; HPE: holoprosencephaly

Unk: unknown; Fa: father; Mo: mother; Asy: asymptomatic; Sy: symptomatic; Abs: absent; - : not available

The Genomic Evolutionary Rate Profiling (GERP) score ranges from -12.3 to 6.17, with 6.17 being the most conserved.

D: probably damaging; P: possible damaging, B: probably benign; T: tolerated

TM: transmembrane domain; EC: extra-cellular domain; IC: c intra-cellular domain

Grantham scores, which categorize codon replacements into classes of increasing chemical dissimilarity, were designated conservative (0-50), moderately conservative (51-100), moderately radical (101-150), or radical ( $\geq 151$ )<sup>27</sup>.

## SUPPLEMENTARY NOTE

Screening of the 407 candidate genes identified between zero and five variants predicted to be damaging among the 22 patients and 2 controls included in this study (**Supplementary Table 4**).

We identified the previously discovered mutations from the positive control ODA samples (C1 and C2; **Supplementary Table 4**).

In six patients, *PTCH1* variants were identified (see **Main Text**).

In two patients among the discovery cohort of 22 patients (P1 and P6), we identified mutations in genes previously implicated in human ocular developmental anomalies (ODA). P1 harbored a *de novo* heterozygous variant encoding p.Asn64Lys. Asn64 is located in the highly conserved paired domain of *PAX6*. Interestingly, an ENU-induced microphthalmic mouse mutant was shown to bear the same *PAX6* missense mutation (p.Asn64Lys), demonstrating its probable deleterious effect<sup>28</sup>. Heterozygous *PAX6* mutations are associated with ASD (frequently aniridia). P1 displayed bilateral anophthalmia, and this is the first description of a heterozygous *PAX6* mutation in anophthalmia. Mutation filtering of P6 identified a homozygous *STRA6* missense mutation (p.Pro579Ala). This variant altered a conserved amino acid, and was predicted to be probably damaging by Polyphen-2 software (score 0.999). When we performed familial segregation analysis of this mutation, we demonstrated that P6 was compound heterozygous for the p.Pro579Ala mutation and a *STRA6* deletion inherited from the father. P6 displayed bilateral microphthalmia with colobomatous cyst, developmental delay, short stature (-2 SD) and left duplicated pyelocaliceal system. *STRA6* mutations were first reported to be involved in syndromic anophthalmia (MCOPS9, Matthew-Wood syndrome), and subsequently the associated phenotype was extended to isolated microphthalmia<sup>4</sup>.

In each of five genes (*FAT4*, *IFT172*, *NOTCH1*, *RPGRIP1* and *TSHZ2*) we identified two ODA patients with a heterozygous missense variant (see **Fig. 1**). P4, born from healthy consanguineous parents bears a heterozygous p.Glu44Ala missense variant in *FAT4*. Molecular analysis of the asymptomatic parents showed that they were both heterozygous for this variation. The other heterozygous *FAT4* missense variant (P3, p.Lys2654Gln) was inherited from an asymptomatic parent. In mice, *Fat4* expression has been shown to be regulated directly by *PAX6* during lens formation, and it has been suggested that *Fat4* may be implicated in lens morphogenesis because of its role in planar cell polarity<sup>29</sup>. More recently, *FAT4* mutations have been associated in humans with Van Maldergem syndrome, an autosomal-recessive multiple malformation syndrome affecting brain but not eyes<sup>30</sup>. Since *FAT4* missense variant identified in our ODA patients were inherited from asymptomatic parents, we suspect that these changes are unlikely to cause the ocular defects, although we cannot exclude the possibility of incomplete penetrance, oligogenic inheritance or recessive inheritance with an unidentified second mutation.

Patients P5 and P7 were both heterozygous for a variant in *IFT172* (p.Arg1294Cys and p.Asn1711Asnfs\*20). Parental segregation analysis of these two variations was unavailable. *IFT172* has been recently implicated in a mouse model of VACTERL-H association. The authors demonstrated that *IFT172* was required for ciliogenesis and Hedgehog (Hh) signaling. None of the studied mice display ocular involvement<sup>31</sup> which is not in favor of implication of P5 and P7 variants in the ODA phenotype.

Two patients also bear heterozygous *NOTCH1* missense variants: P14 harbors the heterozygous p.Arg23Leu encoding change inherited from a paucisymptomatic mother (increased papillary excavation), while P12 has a heterozygous p.Gly812Arg missense variation inherited from his father, who had glaucoma. *NOTCH1* expression has been demonstrated to be regulated by SOX2, and an implication of NOTCH1 in lens development has been reported<sup>32,33</sup>. Familial segregation of the p.Gly812Arg variant showed that P12's son, who also had colobomatous microphthalmia, did not share the p.Gly812Arg variant. This last result argues against implication of this missense variation in the ODA phenotypes.

Two patients P9 and P11 were heterozygous for *RPGRIP* changes (p.Cys808Trp and p.Ile270Val respectively). Parental analysis shows that P9 variation was inherited from the asymptomatic father. Recessive *RPGRIP* mutations have previously been associated with Leber congenital amaurosis<sup>34</sup>, and thus, these heterozygous changes are unlikely to cause ODA.

Finally, two heterozygous variants of *TSHZ2* were identified among the 22 patients: P3 was heterozygous for a p.Ser83Ala variant inherited from an asymptomatic father; P21 was heterozygous for a p.Gln430Leu variant, inherited from an asymptomatic mother. Targeted expression of the *tsh* gene in *Drosophila* can cause ectopic eye development in non-eye tissues, while in mice, *Tsh* genes have been demonstrated to regulate the activity and/or expression of genes involved in formation of the fly eye<sup>35</sup>. The heterozygous missense changes identified in P3 and P21 were each inherited from an asymptomatic parent. As these variants were inherited, we posit that these *TSHZ2* variants are unlikely to cause the ocular defects, even if they may participate in the ocular phenotype.

In addition to those discussed above, other heterozygous variants predicted to be deleterious were identified in 35 different genes among the 22 patients. Among these, some genes are of particular interest: *VAX2* and *SOX14* are paralogs of genes already involved in ODA (*VAX1* and *SOX2* respectively); *CYP26C1*, *PITRM1*, *PFKP*, *PRPF8*, and *MYO1C* were found to be deleted in ODA patients by array-CGH and were thus considered as candidate genes<sup>36,37</sup>; and finally, four genes have been implicated in microphthalmia in mouse models (*ADAM17*, *MITF*, and *SULF1*). Further information about these variants is summarized in **Supplementary Table 4**. Whether these variants participate in the human ocular developmental anomalies remain unclear, and would require further functional studies.

## ONLINE METHODS

### Candidate gene selection

We selected 407 candidate genes based on lines of evidence for putative involvement in ODA (**Supplementary Table 1**). Based on data in the literature, these genes were related to normal or abnormal ocular development in vertebrates and/or invertebrates.

### Patients

70 ODA patients (22 in the discovery cohort, 48 in the replication cohort), and two positive controls with known mutations were enrolled in this study. Informed consent was obtained from each participant, which adhered to the tenets of the Declaration of Helsinki and was approved by the local Ethics Committee (CPP Sud-Ouest et Outre-Mer II). Ocular phenotypes of the 22 patients included in the first cohort were isolated ASD (n=6), isolated AM (n=4), AM with ASD (n=6), or AM with coloboma (n=6) (reviewed in **Supplementary Table 2**). Ocular phenotypes of the 48 patients included in the second cohort were isolated ASD (n=14), isolated AM (n=17), AM with ASD (n=9), or AM with coloboma (n=8).

### Targeted enrichment and high throughput DNA sequencing

A custom-made SureSelect oligonucleotide probe library was designed to capture the exons of 407 candidate genes (**Supplementary Table 1**). The probe library also aimed to capture 880 kb of potential regulatory sequences (i.e. non coding region located within 20 kb of the 407 genes and conserved among species). A total of 56,059 probes, covering 2.46 Mb, were designed and synthesized. Sequence capture, enrichment, and elution were performed according to the manufacturer's instructions (SureSelect, Agilent). Each eluted-enriched DNA sample was then sequenced on an Illumina GAIIX as paired-end 75 bp reads (Integrage). Sequence reads were aligned to the reference human genome (UCSC hg19) using commercially available software (CASAVA1.7, Illumina) and the ELANDv2 alignment algorithm. The mean coverage was 325X with 96.9% of the targeted sequences over 10X and 93.4% over 25X.

### Filtering strategy

All variants reported were filtered to ensure an optimal prioritization of candidate mutations (**Supplementary Table 3**). We first filtered out variants that did not meet the quality criteria (array confidence <0.3, sequence read depth <10 and sequence base quality <10). We then filtered out all variants present in the local, in-house exome sequencing database as well as in dbSNP132, 1000 Genomes Project, and the HapMap Project databases. Only exonic and splice-site variants were retained; we removed synonymous variants and variants predicted to be benign by the Polyphen-2

software. The presence of the final selected variants was confirmed by Sanger sequencing. Primers were designed to surround the candidate mutations, and are available upon request.

### **Mutational burden analysis**

We determined the mutational burden for the ten genes identified in the targeted resequencing of ODA samples harboring multiple rare (<1% alternate allele frequency) functional variants (frameshift, nonsense and splicing variants were considered as damaging; missense variants were classified as damaging or not based on PolyPhen-2) in ODA cases (n=22 unknown + 2 positive controls) vs. Exome Variant Server (EVS) controls (n=6,500). EVS was accessed in November 2013 and a chi-squared test was used for comparisons.

### ***PTCH1* molecular screening**

To support further the involvement of *PTCH1* lesions in ODA, we screened this locus in a new cohort of 48 patients by direct bidirectional Sanger sequencing. Primers used to amplify the 23 coding exons and intron-exon splice junctions are listed in **Supplementary Table 7**.

### **Zebrafish embryo microinjection and manipulation**

We obtained a previously published<sup>8</sup> morpholino antisense oligonucleotide (MO; GeneTools) targeting the splice donor site of *ptch1* exon 3; 1 nl of the indicated cocktail was injected into wild-type (WT) zebrafish embryos at the one-to-four-cell stage (n=48-52 embryos/injection, repeated at least twice; with masked scoring).

Embryos were reared at 28.5° C and imaged live at 36 hours post fertilization (hpf). To generate human *PTCH1* WT and mutant mRNA, we first obtained a full-length open reading frame (ORF) construct (clone ID: 100016192; OpenBiosystems). We generated a stop codon, and subsequently introduced additional nonsynonymous changes using site-directed mutagenesis (QuikChange; Agilent). We then transferred sequenced-confirmed *PTCH1* ORFs into the pCS2+ plasmid (LR clonase II; Life Technologies), linearized with NotI, and performed *in vitro* transcription with the SP6 mMessage mMachine kit (Ambion). For rescue experiments, 12 ng MO and 100 pg of *PTCH1* mRNA were injected respectively. Live embryo imaging of lateral views was conducted on a Nikon AZ100 microscope at 6x magnification facilitated by NIS Elements software. Somite angle measurements were recorded from the resulting images using ImageJ software.

### **Chromatin Immunoprecipitation (ChIP)**

We performed quantitative ChIP-seq in murine stem cells genetically modified to overexpress *Rax* (retina and anterior neural fold homeobox) (CCE-Rx cells, a kind gift from Pr. S. Watanabe)<sup>17</sup>. These cells have the ability to differentiate into retinal ganglion cells, were cultured using the standard procedures<sup>17</sup>. 2x10<sup>6</sup> resuspended CCE-Rx cells were cultured in LIF-free medium on 10-cm bacterial

plates. 48 hours later, CCE-Rx embryoid bodies were treated with formaldehyde for 10 minutes, chromatin was prepared, and ChIP was performed according to the Upstate (Millipore) protocol, using 10 µg of anti-Sox2 antibody (Santa Cruz Biotechnology, sc-17320) or mouse IgG (Millipore, PP54) as a control. ChIP-seq libraries were prepared and sequenced using the standard Illumina protocol. Peaks were called with SeqMonk using the contig generator function. Inside intron 15 of *Ptch1*, a peak was identified in the Sox2-immunoprecipitated sample. The online Jaspar database confirmed the presence of a putative Sox2 binding site within the peak sequence. Amplicons corresponding to the *Ptch1* intron 15 Sox2 ChIP-seq peak and to a region not predicted to bind Sox2 in intron 22 (see **Supplementary Table 7** for primers) were selected for validation. These amplicons were tested in 5 independent samples immunoprecipitated either with Sox2 or mouse IgG antibodies.

### **Quantitative RT-PCR**

Quantitative PCR analysis was performed in CCE-Rx cells to confirm *Ptch1* regulation by Sox2. CCE-Rx cells were cultured using the standard procedures<sup>17</sup> and transfected as previously described<sup>38</sup> either with an siRNA targeting *Sox2* mRNA or with a scrambled siRNA (Invitrogen, Stealth™ siRNA MSS277200 and Negative Control Medium GC respectively). Quantitative real-time PCR analyses on complementary DNA transcribed from total RNA showed that the remaining *Sox2* expression was 50% at 24 hours post transfection and 75% at 48 hours post transfection. 48 hours after transfection of either the siSOX2 (n=9) or the siScramble (n=9), total RNA was isolated using the GenElute Mammalian Total RNA Miniprep kit (Sigma-Aldrich). Samples were used to analyze *Ptch1* expression levels in the siSOX2 samples compared to controls (**Supplementary Table 7** for primers).

### ***In situ* hybridization**

*In situ* hybridization was carried out on paraffin sections using a murine *Ptch1* probe<sup>39</sup> according to standard protocols<sup>40</sup>.

## **SUPPLEMENTARY TABLES**

Supplementary Table 1: List of the 407 candidate genes

Supplementary Table 2: Phenotypes of ODA patients

Supplementary Table 3: Variant prioritization

Supplementary Table 4: Final prioritized variants in patients with ODA

Supplementary Table 5: Mutational burden of rare functional variants in ODA cases versus EVS controls.

Supplementary Table 6: Somite angle measurements for *PTCH1* *in vivo* complementation assays.

Supplementary Table 7: Primers used for qPCR and *PTCH1* mutational analysis

## URLs

1000 Genomes Project (<http://www.1000genomes.org/>)

HapMap Project (<http://hapmap.ncbi.nlm.nih.gov/>)

dbSNP (<http://www.ncbi.nlm.nih.gov/projects/SNP/>)

Exome Variant Server (<http://evs.gs.washington.edu/EVS/>)

Polyphen-2 (<http://genetics.bwh.harvard.edu/pph2/>)

SIFT (<http://sift.jcvi.org/>)

Jaspar (<http://jaspar.genereg.net/>)

HGMD (<http://www.hgmd.cf.ac.uk/ac/index.php>)

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**Supplementary Table 1** : List of the 407 candidate genes

| gene    | approved_name   | previous_symbols | accession_numbers      | refseq_ids   | synonyms                    | chromosome |
|---------|---|------------------|------------------------|--------------|-----------------------------|------------|
| ACVR1   | activin A receptor, type I  | ACVRLK2          |                        | NM_001105    | SKR1, ALK2, ACVR1A          | 2q23-q24   |
| ACVR1C  | activin A receptor, type IC   |                  | BC022530               | NM_145259    | ALK7, ACVRLK7               | 2q24.2     |
| ADAM17  | ADAM metalloproteinase domain 17  | TACE             | U69611                 |              | cSVP, CD156B                | 2p25       |
| ADAM23  | ADAM metalloproteinase domain 23  |                  | AB009672               | NM_003812    | MDC3                        | 2q33       |
| ADH1A   | alcohol dehydrogenase 1A (class I), alpha polypeptide                             | ADH1             | M12963                 | NM_000667    |                             | 4q23       |
| AES     | amino-terminal enhancer of split  |                  | GRG5, TLE5             | NM_198969    | AK094591                    | 19p13.3    |
| ALCAM   | activated leukocyte cell adhesion molecule  |                  | AK054632               | NM_001627    | CD166, MEMD                 | 3q13.1     |
| ALDH1A1 | aldehyde dehydrogenase 1 family, member A1  | PUMB1, ALDH1     | K03000                 |              | RALDH1                      | 9q21.13    |
| ALDH1A2 | aldehyde dehydrogenase 1 family, member A2  |                  | AB015228               |              | RALDH2                      | 15q21.2    |
| ALDH1A3 | aldehyde dehydrogenase 1 family, member A3  | ALDH6            | U07919                 |              | RALDH3                      | 15q26      |
| ALDOA   | aldolase A, fructose-bisphosphate   |                  | X05236                 | NM_000034    |                             | 16p11.2    |
| ALK     | anaplastic lymphoma receptor tyrosine kinase                                      |                  | D45915                 | NM_004304    | CD246                       | 2p23       |
| ALX4    | ALX homeobox 4  | PFM2             | AF294629               |              | FPP, PFM, KIAA1788          | 11p11.2    |
| AP3B1   | adaptor-related protein complex 3, beta 1 subunit                                 |                  | U81504                 |              | ADTB3A, HPS2                | 5q14.1     |
| APBB1IP | amyloid beta (A4) precursor protein-binding, family B, member 1 interacting prote |                  | AB085852               | NM_019043    | INAG1, RIAM                 | 10p12.1    |
| APLN    | apelin  |                  | AF179680               | NM_017413    | apelin, XNPEP2              | Xq25       |
| ARNTL   | aryl hydrocarbon receptor nuclear translocator-like                               |                  | D89722                 | NM_001178    | MOP3, JAP3, BMAL1, PAS      | 11p15      |
| ARR3    | arrestin 3, retinal (X-arrestin)  |                  |                        | NM_004312    | ARRX                        | Xq         |
| ASCL1   | achaete-scute complex homolog 1 (Drosophila)                                      |                  | L08424                 |              | ASH1, HASH1, bHLHa46        | 12q22-q23  |
| ATN1    | atrophin 1  | D12S755E, DRPLA  | U23851                 | NM_001940    | B37                         | 12p        |
| ATOH1   | atonal homolog 1 (Drosophila)   |                  | U61148                 | NM_005172    | HATH1, MATH-1, Math1, l4q22 | 14q22      |
| ATOH7   | atonal homolog 7 (Drosophila)   |                  | AF418922               |              | Math5, bHLHa13              | 10q22.2    |
| ATOH8   | atonal homolog 8 (Drosophila)   |                  | AK074681               | NM_032827    | HATH6, FLJ14708, bHLHa2     | 2p11.2     |
| ATP5C1  | ATP synthase, H+ transporting, mitochondrial F1 complex ATP5CL1, ATP5C            |                  | D16561                 | NM_005174    |                             | 10p14      |
| AXIN1   | axin 1  |                  | AF009674               |              | PPP1R49                     | 16p13.3    |
| AXIN2   | axin 2  |                  | AF078165               | NM_004655    | MGC126582, DKFZp781B        | 17q23-q24  |
| B3GALT1 | beta 1,3-galactosyltransferase-like   |                  | AB101481               | NM_194318    | B3GTL, B3Glc-T              | 13q12.3    |
| BARHL2  | BarH-like homeobox 2  |                  | AI251753               |              |                             | 1p22.2     |
| BCOR    | BCL6 corepressor  |                  | AF317391               | NM_017745    | FLJ20285, KIAA1575          | Xp11.4     |
| BEST1   | bestrophin 1  | VMD2             | AF057170               | NM_004183    | BMD, BEST                   | 11q12      |
| BHLHA9  | basic helix-loop-helix family, member a9  |                  |                        | XM_001125971 | bHLHa9, BHLHF42             | 17p13.3    |
| BHLHE22 | basic helix-loop-helix family, member e22   | TNRC20, BHLHB5   | CAG185, Beta3, bHLHe22 | NM_152414    | U80755                      | 8q12.1     |
| BHLHE40 | basic helix-loop-helix family, member e40   | STRA13, BHLHB2   | AB004066               | NM_003670    | DEC1, bHLHe40               | 3p26       |
| BMI1    | BMI1 polycomb ring finger oncogene  | PCGF4            | BC011652               | NM_005180    | RNF51                       | 10p13      |
| BMP2    | bone morphogenetic protein 2  | BMP2A            |                        |              |                             | 20p12      |
| BMP4    | bone morphogenetic protein 4  | BMP2B            | AF035427               | NM_001202    | OP-1                        | 14q22-q23  |
| BMP7    | bone morphogenetic protein 7  |                  |                        |              |                             | 20q13      |
| BMPRIA  | bone morphogenetic protein receptor, type IA                                      | ACVRLK3          | BC028383               | NM_004329    | ALK3, CD292                 | 10q22.3    |



|                |  |                      |                                      |  |              |
|----------------|--|----------------------|--------------------------------------|--|--------------|
| <i>DICER1</i>  | dicer 1, ribonuclease type III                             |                      | Dicer, KIAA0928, K12H4.8-LIKE, HERNA | AB028449                                   | 14q32.2      |
| <i>DIXDC1</i>  | DIX domain containing 1                                    |                      | AB051522                             | KIAA1735                                   | 11q23.1      |
| <i>DKK1</i>    | dickkopf 1 homolog (Xenopus laevis)                        |                      |                                      | SK, DKK-1                                  | 10q11.2      |
| <i>DKK2</i>    | dickkopf 2 homolog (Xenopus laevis)                        |                      |                                      |  | 4q25         |
| <i>DKK3</i>    | dickkopf 3 homolog (Xenopus laevis)                        |                      |                                      | REIC, RIG                                  | 11p15.3      |
| <i>DMBX1</i>   | diencephalon/mesencephalon homeobox 1                      |                      |                                      | PAXB                                       | 1p34.1       |
| <i>EBF1</i>    | early B-cell factor 1                                      | OTX3                 |                                      | OLF1                                       | 5q34         |
| <i>EFHD1</i>   | EF-hand domain family, member D1                           | EBF                  |                                      | FLJ13612                                   | 2q37.1       |
| <i>EFNB1</i>   | ephrin-B1  | EPLG2, CFNS          |                                      | LERK2, Elk-L                               | Xq12         |
| <i>EFNB2</i>   | ephrin-B2  | EPLG5                |                                      | LERK5, Htk-L, HTKL, MGC113q33              |              |
| <i>EGR1</i>    | early growth response 1                                    |                      |                                      | TIS8, GOS30, NGFI-A, KRO                   | 5q23-q31     |
| <i>EHD1</i>    | EH-domain containing 1                                     | PAST1                |                                      | H-PAST, HPAST1, FLJ4262                    | 11q13        |
| <i>EIF2AK3</i> | eukaryotic translation initiation factor 2-alpha kinase 3  |                      |                                      | PEK, PERK                                  | 2p12         |
| <i>ELF3</i>    | E74-like factor 3 (ets domain transcription factor, epithe | ESX                  |                                      | EPR-1, ESE-1, ERT                          | 1q32.2       |
| <i>EMX1</i>    | empty spiracles homeobox 1                                 |                      |                                      |  | 2p13.2       |
| <i>EMX2</i>    | empty spiracles homeobox 2                                 |                      |                                      | NM_004098                                  | 10q26.11     |
| <i>EN1</i>     | engrailed homeobox 1                                       |                      |                                      | L12699                                     | 2q14.2       |
| <i>EN2</i>     | engrailed homeobox 2                                       |                      |                                      | NM_001427                                  | 7q36.2       |
| <i>ENO2</i>    | enolase 2 (gamma, neuronal)                                |                      |                                      |  | 12p13        |
| <i>EOMES</i>   | eomesodermin   |                      |                                      | TBR2                                       | 3p24.1       |
| <i>EPHB2</i>   | EPH receptor B2  | DRT, ERK, EPHT3      |                                      | Hek5, Tyro5                                | 1p36.1-p35   |
| <i>ETV1</i>    | ets variant 1  |                      |                                      | ER81                                       | 7p22         |
| <i>ETV6</i>    | ets variant 6  |                      |                                      | TEL  | 12p13        |
| <i>EVX1</i>    | even-skipped homeobox 1                                    |                      |                                      | ttv  | 7p15.2       |
| <i>EXT1</i>    | exostosin 1  | LGCR, LGS            |                                      |  | 8q24.11      |
| <i>EYA1</i>    | eyes absent homolog 1 (Drosophila)                         | BOR                  |                                      | NM_000503, NM_172060                       | 8q13.3       |
| <i>FAM208B</i> | family with sequence similarity 208, member B              | C10orf18             |                                      | FLJ20360, bA318E3.2, KIAA2                 | 10p15.1      |
| <i>FAT1</i>    | FAT tumor suppressor homolog 1 (Drosophila)                | FAT                  |                                      | BX649177                                   | 4q35.2       |
| <i>FAT4</i>    | FAT tumor suppressor homolog 4 (Drosophila)                |                      |                                      | CDHF7, CDHR8                               | 4q28.1       |
| <i>FBXO18</i>  | F-box protein, helicase, 18                                |                      |                                      | CDHF14, FAT-J, CDHR11                      | 10p15.1      |
| <i>FGF1</i>    | fibroblast growth factor 1 (acidic)                        | FGFA                 |                                      | FBH1, FLJ14590, Fbx18                      | 5q31.3-q33.2 |
| <i>FGF10</i>   | fibroblast growth factor 10                                |                      |                                      | AFGF, ECGF, ECGFA, ECGF                    | 5p13-p12     |
| <i>FGF17</i>   | fibroblast growth factor 17                                |                      |                                      | NM_004465                                  | 8p21.3       |
| <i>FGF18</i>   | fibroblast growth factor 18                                |                      |                                      | NM_003867                                  | 5q34         |
| <i>FGF19</i>   | fibroblast growth factor 19                                |                      |                                      | NM_033649, NM_00_FGF-18, ZFGF5             | 11q13.1      |
| <i>FGF2</i>    | fibroblast growth factor 2 (basic)                         | FGFB                 |                                      | NM_005117                                  | 4q26         |
| <i>FGF8</i>    | fibroblast growth factor 8 (androgen-induced)              |                      |                                      | NM_002006                                  | 10q25-q26    |
| <i>FGF9</i>    | fibroblast growth factor 9 (glia-activating factor)        |                      |                                      | NM_006119, NM_03_AIGF                      | 13q11-q12    |
| <i>FGFBP1</i>  | fibroblast growth factor binding protein 1                 |                      |                                      | NM_005130                                  | 4p15.32      |
| <i>FGFR1</i>   | fibroblast growth factor receptor 1                        | FLT2, KAL2           |                                      | HBP17, FGFBP                               | 4p15.32      |
| <i>FGFR2</i>   | fibroblast growth factor receptor 2                        | KGFR, BEK, CFD1, JWS |                                      | H2, H3, H4, H5, CEK, FLG, 8p12             |              |
| <i>FGFR3</i>   | fibroblast growth factor receptor 3                        | ACH                  |                                      | NM_022976, NM_00_CEK3, TK14, TK25, ECT1, K | 10q25.3-q26  |
|                |  |                      |                                      | NM_000142                                  | 4p16.3       |





|                 |  |                  |                           |              |                                  |              |
|-----------------|--|------------------|---------------------------|--------------|----------------------------------|--------------|
| <i>LEFTY2</i>   | left-right determination factor 2                                      | TGFB4, EBAF      | U81523                    | NM_003240    | LEFTA, LEFTYA                    | 1q42.1       |
| <i>LEPREL1</i>  | leprecan-like 1  |                  |                           | NM_018192    | FLJ10718, MLAT4, P3H2            | 3q29         |
| <i>LGR5</i>     | leucine-rich repeat containing G protein-coupled receptor GPR67, GPR49 |                  | AF062006                  | NM_003667    | HG38, FEK                        | 12q22-q23    |
| <i>LHX2</i>     | LIM homeobox 2   |                  | U11701                    |              | LH-2, hLhx2                      | 9q33.3       |
| <i>LIM2</i>     | lens intrinsic membrane protein 2, 19kDa                               |                  |                           | NM_030657    | MP19, MP17                       | 19q13.4      |
| <i>LIX1</i>     | Lix1 homolog (chicken)   | C5orf11          |                           | NM_153234    |                                  | 5q15         |
| <i>LMO2</i>     | LIM domain only 2 (rhombotin-like 1)                                   | RBTN11           | X61118                    | NM_005574    | TTG2, RHOM2, RBTN2               | 11p13        |
| <i>LRP5</i>     | low density lipoprotein receptor-related protein 5                     | LRP7, OPPG, EVR1 | AF064548                  | NM_002335    | LR3, BMND1, HBM, OPS             | 11q13.4      |
| <i>LRP6</i>     | low density lipoprotein receptor-related protein 6                     |                  | AF074264                  |              |                                  | 12p13.2      |
| <i>LRRTM4</i>   | leucine rich repeat transmembrane neuronal 4                           |                  | AK122612                  | NM_024993    | FLJ12568                         | 2p12         |
| <i>MAB21L1</i>  | mab-21-like 1 (C. elegans)   |                  | BC028170                  | NM_005584    | CAGR1                            | 13q13.3      |
| <i>MAB21L2</i>  | mab-21-like 2 (C. elegans)   |                  | AF155219                  | NM_006439    |                                  | 4q31         |
| <i>MACROD2</i>  | MACRO domain containing 2  | C20orf133        | BC101218                  | NM_080676    | dl631M13.5                       | 20p12.1      |
| <i>MAF</i>      | v-maf musculoaponeurotic fibrosarcoma oncogene homolog (avian)         |                  |                           |              | c-MAF                            | 16q22-q23    |
| <i>MAP3K1</i>   | mitogen-activated protein kinase kinase kinase 1, E3 ubi MEKK1         |                  | U29671, AF042838          | XM_042066    | MEKK, MAPKKK1                    | 5q11.2       |
| <i>MAPK1</i>    | mitogen-activated protein kinase 1                                     | PRKM2, PRKM1     | ERK, ERK2, p41mapk, MAPK2 |              | M84489                           | 22q11.2      |
| <i>MARCKSL1</i> | MARCKS-like 1  | MLP              | AF031640                  | NM_023009    | F52, MacMARKCS, MLP1             | 1p35.1       |
| <i>MEGF9</i>    | multiple EGF-like-domains 9  | EGFL5            | AB011542                  | NM_001080497 |                                  | 9q32-q33.3   |
| <i>MEIS1</i>    | Meis homeobox 1  |                  |                           | NM_002398    |                                  | 2p14         |
| <i>MEIS2</i>    | Meis homeobox 2  |                  | AF017418                  | NM_170677    | MRG1, HsT18361                   | 15q14        |
| <i>MEIS3</i>    | Meis homeobox 3  |                  | BC025404                  | XM_085929    | MRG2, DKFZp547H236               | 19q13.32     |
| <i>MFRP</i>     | membrane frizzled-related protein                                      |                  | AB055505                  | NM_031433    | FLJ30570, rd6, NNO2, C1C11q23.1  |              |
| <i>MIP</i>      | major intrinsic protein of lens fiber                                  |                  |                           | NM_012064    | MP26, LIM1, AQPO                 | 12q13        |
| <i>MITF</i>     | microphthalmia-associated transcription factor                         | WS2A, WS2        |                           | NM_198159    | MI, bHLHe32                      | 3p14.1-p12.3 |
| <i>MMP9</i>     | matrix metalloproteinase 9 (gelatinase B, 92kDa gelatinase)            | CLG4B            |                           |              |                                  | 20q12-q13    |
| <i>MSI1</i>     | musashi homolog 1 (Drosophila)   |                  | AB012851                  | NM_002442    |                                  | 12q24        |
| <i>MSX2</i>     | msh homeobox 2   | PFM1             | D26145                    |              | CRS2, FPP, HOX8, MSH, Pf 5q35.2  |              |
| <i>MVP</i>      | major vault protein  |                  | X79882                    | NM_005115    | LRP, VAULT1                      | 16p11.2      |
| <i>MYO1C</i>    | myosin IC  |                  | X98507                    |              | myr2                             | 17p13.3      |
| <i>NAT2</i>     | N-acetyltransferase 2 (arylamine N-acetyltransferase)                  | AAC2             | D90042                    | NM_000015    |                                  | 8p22         |
| <i>NDST1</i>    | N-deacetylase/N-sulfotransferase (heparan glucosaminyl HSST            |                  | U18918                    | NM_001543    | NST1                             | 5q33.1       |
| <i>NDST2</i>    | N-deacetylase/N-sulfotransferase (heparan glucosaminyl) 2              |                  | U36601                    | NM_003635    | NST2, HSST2                      | 10q22        |
| <i>NDST3</i>    | N-deacetylase/N-sulfotransferase (heparan glucosaminyl) 3              |                  | AF074924                  | NM_004784    | HSST3                            | 4q26         |
| <i>NET1</i>     | neuroepithelial cell transforming 1                                    |                  | AJ010046                  | NM_005863    | ARHGEF8, NET1A                   | 10p15        |
| <i>NEUROD1</i>  | neuronal differentiation 1   | NEUROD           | U50823                    | NM_002500    | BETA2, BHF-1, NeuroD, bt 2q32    |              |
| <i>NEUROG2</i>  | neurogenin 2   |                  | AF303002                  | NM_024019    | Atoh4, Math4A, ngn-2, bt 4q25    |              |
| <i>NGFR</i>     | nerve growth factor receptor   |                  | M14764                    |              | TNFRSF16, CD271, p75NT 17q21-q22 |              |
| <i>NKX2-8</i>   | NK2 homeobox 8   | NKX2H            | AF197898                  | NM_016231    | NKX2.8, Nkx2-9                   | 14q13.3      |
| <i>NLK</i>      | nemo-like kinase   |                  |                           |              |                                  | 17q11.2      |
| <i>NNAT</i>     | neuronatin   |                  |                           | NM_005386    | Peg5                             | 20q11.2-q12  |
| <i>NOG</i>      | noggin   | SYNS1, SYM1      | U31202                    | NM_005450    |                                  | 17q22        |
| <i>NOTCH1</i>   | notch 1  | TAN1             | AF308602                  | NM_017617    |                                  | 9q34.3       |



|                 |   |                      |          |                  |                           |              |
|-----------------|---|----------------------|----------|------------------|---------------------------|--------------|
| <i>PLXNC1</i>   | plexin C1   |                      | AF030339 |                  | VESPR, CD232              | 12q23        |
| <i>PMEL</i>     | premelanosome protein   | SIL, SILV            | AK092881 | NM_006928        | D12S53E, SI, Pmel17, gp11 | 12q13-q14    |
| <i>PORCN</i>    | porcupine homolog ( <i>Drosophila</i> )   |                      | AF317058 | NM_022825        | MG61, PORC, PPN, por      | Xp11.23      |
| <i>POUZF1</i>   | POU class 2 homeobox 1  | OTF1                 | BC001664 | NM_002697        | 37165                     | 1q24.2       |
| <i>POU5F1</i>   | POU class 5 homeobox 1  | OTF3                 | Z11898   | NM_002701        | OCT3, Oct4, MGC22487      | 6p21.33      |
| <i>PPP4C</i>    | protein phosphatase 4, catalytic subunit  |                      |          | NM_002720        | PP4, PPX                  | 16p11.2      |
| <i>PRMT8</i>    | protein arginine methyltransferase 8  | HRMT1L3, HRMT1L4     | AF263539 | NM_019854        |                           | 12p13.3      |
| <i>PROM1</i>    | prominin 1  | PROML1, MCDR2, STGD4 | AF027208 | NM_006017        | AC133, CD133, RP41        | 4p15         |
| <i>PROX1</i>    | prospero homeobox 1   | U44060               |          | NM_002763        |                           | 1q41         |
| <i>PRPF8</i>    | PRPF8 pre-mRNA processing factor 8 homolog ( <i>S. cerevisiae</i> )                 | RP13                 | AB007510 | NM_175887        | PRPC8, Prp8               | 17p13.3      |
| <i>PRR15</i>    | proline rich 15   | BC029131             |          | NM_001195129     |                           | 7p15.1       |
| <i>PRSS56</i>   | protease, serine, 56  |                      |          | NM_000264        | BCNS                      | 2q37.1       |
| <i>PTCH1</i>    | patched 1   | NBCCS, PTCH          | A1494442 | NM_000264        |                           | 9q22.1-q31   |
| <i>PTCH2</i>    | patched 2   |                      | AF091501 | NM_003738        |                           | 1p34.1       |
| <i>PTK7</i>     | PTK7 protein tyrosine kinase 7  |                      | AF447176 |                  | CCK4                      | 6p21.1-p12.2 |
| <i>PTPN11</i>   | protein tyrosine phosphatase, non-receptor type 11                                  | NS1                  | D13540   | NM_138300        | BPTP3, SH-PTP2, SHP-2, P  | 12q24.1      |
| <i>PYGO2</i>    | pygopus homolog 2 ( <i>Drosophila</i> )   |                      | BC006132 | NM_014298        | QPRTase                   | 1q22         |
| <i>QPRT</i>     | quinolinate phosphoribosyltransferase   |                      | D78177   |                  |                           | 16p11.2      |
| <i>RAB23</i>    | RAB23, member RAS oncogene family   |                      | AB034244 |                  |                           | 6p12.1       |
| <i>RAB3GAP1</i> | RAB3 GTPase activating protein subunit 1 (catalytic)                                |                      | D31886   | NM_012233        | RAB3GAP, KIAA0066, RAB    | 2q21.3       |
| <i>RAB3GAP2</i> | RAB3 GTPase activating protein subunit 2 (non-catalytic)                            |                      | AB020646 | NM_012414        | RAB3-GAP150, KIAA0839, Ip | 36.13-p35.3  |
| <i>RAC1</i>     | ras-related C3 botulinum toxin substrate 1 (rho family, small GTP binding protein f |                      | A1132695 | NM_018890        | TC-25, p21-Rac1, Rac-1    | 7p22         |
| <i>RARA</i>     | retinoic acid receptor, alpha   |                      | X06538   |                  | RAR, NR1B1                | 17q21.1      |
| <i>RARB</i>     | retinoic acid receptor, beta  |                      | Y00291   | NM_000965, NM_01 | HAP, NR1B2, RRB2          | 3p24         |
| <i>RARG</i>     | retinoic acid receptor, gamma   |                      | M57707   | NM_000966        | RARC, NR1B3               | 12q13        |
| <i>RAX</i>      | retina and anterior neural fold homeobox  |                      | AF115392 |                  | RX                        | 18q21.31     |
| <i>RBM17</i>    | RNA binding motif protein 17  |                      | AF083384 | NM_032905        | SPF45, MGC14439           | 10p15.1      |
| <i>RBP1</i>     | retinol binding protein 1, cellular   |                      |          | NM_002899        | CRABP-I, CRBP1, CRBP, RB  | 3q21-q23     |
| <i>RELA</i>     | v-rel reticuloendotheliosis viral oncogene homolog A (av NFKB3                      |                      | Z22951   | NM_021975        | p65                       | 11q13        |
| <i>RGS16</i>    | regulator of G-protein signaling 16   |                      | U70426   | NM_002928        | A28-RGS14, RGS-r          | 1q25-q31     |
| <i>RGS2</i>     | regulator of G-protein signaling 2, 24kDa   | G0S8                 | L13463   | NM_002923        |                           | 1q31         |
| <i>RHOA</i>     | ras homolog family member A   | ARH12, ARHA          | BC001360 | NM_001664        | RhoA, Rho12, RHOH12       | 3p21.3       |
| <i>RND2</i>     | Rho family GTPase 2   | ARHN                 | X95456   | NM_005440        | Rho7, RhoN                | 17q21        |
| <i>RPGRIP1</i>  | retinitis pigmentosa GTPase regulator interacting protein RPGRIP                    |                      | AF227257 | NM_020366        | RG11, LCA6                | 14q11        |
| <i>RPSA</i>     | ribosomal protein SA  | LAMR1                | S37431   | NM_002295        | LRP, 37LRP, p40, SA       | 3p21.3       |
| <i>RRH</i>      | retinal pigment epithelium-derived rhodopsin homolog                                |                      | AF012270 | NM_006583        | peropsin                  | 4q25         |
| <i>RTN4RL1</i>  | reticulon 4 receptor-like 1   |                      | AF532859 | NM_178568        | NGRH2, NgrR3, DKFZp547J   | 17p13.3      |
| <i>SALL3</i>    | sal-like 3 ( <i>Drosophila</i> )  |                      | AJ007421 | NM_171999        | ZNF796                    | 18q23        |
| <i>SCUBE1</i>   | signal peptide, CUB domain, EGF-like 1  |                      |          | NM_173050        |                           | 22q13        |
| <i>SEMA3E</i>   | sema domain, immunoglobulin domain (Ig), short basic c                              |                      | AB002329 | NM_012431        | M-SemaK, KIAA0331, coll-  | 7q21.11      |
| <i>SEMA4C</i>   | sema domain, immunoglobulin domain (Ig), transmembr                                 |                      | AB051526 | NM_017789        | Semacl1, Semaf            | 2q11.2       |
| <i>SEMA6A</i>   | sema domain, transmembrane domain (TM), and cytopl                                  |                      | AB037789 | NM_020796        | KIAA1368, SEMA6A1, SEN    | 5q23         |

|          |  |            |           |                              |                 |
|----------|--|------------|-----------|------------------------------|-----------------|
| SEMA6D   | sema domain, transmembrane domain (TM), and cytoplasmic domain, (semaphor AF389430 |            | NM_024966 | KIAA1479, FLJ11598           | 15q21.1         |
| SERPINF1 | serpin peptidase inhibitor, clade F (alpha-2 antiplasmin, PEDF                     | M76979     | NM_002615 | EPC-1, PIG35                 | 17p13.3         |
| SERTAD3  | SERTA domain containing 3  | AF192529   | NM_013368 | RBT1                         | 19q13.2         |
| SEZ6L2   | seizure related 6 homolog (mouse)-like 2   | AY358404   | NM_012410 | PSK-1, FLJ90517              | 16p12.1         |
| SFRP1    | secreted frizzled-related protein 1  | AF017987   | NM_003012 | SARP2, FRP, FRP-1            | 8p11.21         |
| SFRP2    | secreted frizzled-related protein 2  | AF017986   | NM_003014 | SARP1, SDF-5, FRP-2          | 4q31.3          |
| SFRP4    | secreted frizzled-related protein 4  | AF026692   | NM_147156 | frpHE, FRP-4, FRPHE          | 7p14.1          |
| SGMS1    | sphingomyelin synthase 1   | AY280959   | NM_000193 | MOB, MGC17342, SMS1          | 10q11.2         |
| SHH      | sonic hedgehog   | TMEM23     |           | HHG1, SMMCI, TPT, TPTP       | 7q36            |
| SIX1     | SIX homeobox 1   | HPE3, HLP3 |           |                              | 14q23.1         |
| SIX3     | SIX homeobox 3   | DFNA23     |           |                              | 2p21            |
| SIX6     | SIX homeobox 6   | HPE2       | NM_005413 | Six9                         | 14q23.1         |
| SKI      | v-ski sarcoma viral oncogene homolog (avian)                                       | OPTX2      |           |                              | 1p36.33         |
| SMAD4    | SMAD family member 4   | X15218     | NM_003036 | DPC4                         | 18q21.1         |
| SMO      | smoothed, frizzled family receptor   | U44378     | NM_005359 | FZD11                        | 7q32.1          |
| SMOC1    | SPARC related modular calcium binding 1  | U84401     | NM_005631 |                              | 14q24.1         |
| SOC2     | suppressor of cytokine signaling 2   | A1249900   |           | STAT12, SS12, SOCS-2, SSI    | 12q             |
| SOX1     | SRY (sex determining region Y)-box 1   | AF037989   | NM_005986 |                              | 13q34           |
| SOX13    | SRY (sex determining region Y)-box 13  |            | NM_005686 | Sox-13, ICA12, MGC11721      | 1q32            |
| SOX14    | SRY (sex determining region Y)-box 14  | AJ006230   | NM_004189 | SOX28                        | 3q22-q23        |
| SOX2     | SRY (sex determining region Y)-box 2   | BC013923   | NM_003106 |                              | 3q26.3-q27      |
| SOX21    | SRY (sex determining region Y)-box 21  | AF107044   | NM_007084 | SOX25                        | 13q31-q32       |
| SOX3     | SRY (sex determining region Y)-box 3   |            |           |                              | Xq27            |
| SOX4     | SRY (sex determining region Y)-box 4   | AF070669   | NM_003107 |                              | 6p22.3          |
| SOX8     | SRY (sex determining region Y)-box 8   | AF164104   |           |                              | 16p13.3         |
| SOX9     | SRY (sex determining region Y)-box 9   | S74506     | NM_000346 | SRA1                         | 17q23           |
| SP1      | Sp1 transcription factor   | J03133     |           |                              | 12q13.1         |
| SP3      | Sp3 transcription factor   | M97191     | NM_003111 | SPR-2                        | 2q31            |
| SPINK1   | serine peptidase inhibitor, Kazal type 1   |            | NM_003122 | Spink3, PCTT, PSTI, TATI     | 5q32            |
| SPOCK3   | sparc/osteonectin, cwcv and kazal-like domains proteoglycan (testican) 3           | AJ001454   |           | testican-3                   | 4q32.3          |
| SRGAP3   | SLIT-ROBO Rho GTPase activating protein 3  | AB007871   |           | KIAA0411, MEGAP, WRP, 3p25.3 |                 |
| ST6GAL2  | ST6 beta-galactosamide alpha-2,6-sialyltransferase 2                               | AB059555   | NM_032528 | KIAA1877, St6gal2, St6Gal    | 2q11-q12        |
| STMN2    | stathmin-like 2  | SIAT2      | NM_007029 | SCG10                        | 8q21.13         |
| STMN3    | stathmin-like 3  | SCGN10     | NM_015894 | SCUP                         | 20q13.3         |
| STRA6    | stimulated by retinoic acid gene 6 homolog (mouse)                                 |            |           | FLJ12541                     | 15q24.1         |
| STX3     | syntaxin 3   | STX3A      | NM_004177 |                              | 11q12.1         |
| SULF1    | sulfatase 1  | AB029000   | NM_015170 | KIAA1077, SULF-1             | 8q13.1          |
| SULF2    | sulfatase 2  | AY101176   | NM_018837 | KIAA1247, HSULF-2, SULF      | 20q13.12-q13.13 |
| SUPT5H   | suppressor of Ty 5 homolog (S. cerevisiae)   | U56402     | NM_003169 | SPT5H, SPT5, FLJ34157        | 19q13           |
| SV2C     | synaptic vesicle glycoprotein 2C   | AB028977   |           |                              | 5q13            |
| SYT9     | synaptotagmin IX   | AK055003   | NM_175733 |                              | 11p15.4         |
| TBX2     | T-box 2  | AB209378   | NM_005994 |                              | 17q23.2         |



**Supplementary Table 2: Phenotypes of ODA patients**

| Patient    | Gender | Age (years) | Ethnicity | Right Eye                     | Left Eye          | Other  | Family History |          | Consanguinity |
|------------|--------|-------------|-----------|-------------------------------|-------------------|--|----------------|----------|---------------|
|            |        |             |           |                               |                   |  | Maternal       | Paternal |               |
| <b>P1</b>  | M      | 10          | E         | Ano                           | Ano               | Microcephaly<br>PMD  | -              | -        | -             |
| <b>P2</b>  | M      | 5           | E         | Cat                           | Micro             | -  | -              | -        | -             |
| <b>P3</b>  | M      | 9           | N-Af      | -                             | Micro<br>Scl      | Hypospadias<br>Ectopic Kidney  | -              | -        | -             |
| <b>P4</b>  | M      | 3           | E         | Micro<br>MicroC<br>Cat<br>Col | Peters            | PMD  | -              | -        | +             |
| <b>P5</b>  | F      | 43          | S-Am      | Micro<br>Cat                  | Micro<br>Scl      | -  | Unk            | Unk      | Unk           |
| <b>P6</b>  | F      | 6           | E         | Micro<br>Col Cyst             | Micro<br>Col Cyst | Short Stature<br>Left duplicated pyelocaliceal system<br>PMD<br>IUGR | -              | -        | -             |
| <b>P7</b>  | M      | 3           | N-Af      | Micro                         | Micro             | Sex Amb<br>Arthrogryposis<br>PMD                                     | -              | -        | -             |
| <b>P8</b>  | F      | 5           | E         | Micro<br>Col                  | Micro             | IUGR<br>Partial CCA<br>ASD<br>PMD                                    | -              | -        | -             |
| <b>P9</b>  | M      | 6           | E         | Micro<br>Scl                  | Ano               | -  | -              | -        | -             |
| <b>P10</b> | F      | 6           | E         | Micro                         | Micro<br>Scl      | -  | -              | -        | -             |
| <b>P11</b> | M      | 5           | E         | Micro<br>Col Cyst             | Micro<br>Col      | Pyrimform sinus stenosis<br>SMMCI<br>GH deficiency                   | -              | -        | -             |

|               |   |    |              |                                   |                                   |                  |                                      |             |   |
|---------------|---|----|--------------|-----------------------------------|-----------------------------------|------------------|--------------------------------------|-------------|---|
| <b>P12</b>    | M | 37 | E            | Micro Col                         | Micro Col                         | -                | -                                    | -           | - |
| <b>P13</b>    | F | 9  | E            | Micro                             | -                                 | PMD              | -                                    | -           | - |
| <b>P14</b>    | M | 6  | E            | Micro Col                         | Micro Col                         | -                | Increased papillary excavation       | -           | - |
| <b>P15</b>    | M | 4  | <u>N-Af</u>  | <u>Micro Col</u>                  | <u>Micro Col</u>                  | <u>CCH ASD</u>   | =                                    | =           | = |
| <b>P16</b>    | F | 5  | E            | Peters                            | -                                 | -                | -                                    | -           | - |
| <b>P17</b>    | F | 21 | E            | <u>A-R</u>                        | <u>A-R</u>                        | =                | =                                    | =           | = |
| <b>P18</b>    | F | 14 | E            | MG                                | Morning Glory                     | -                | -                                    | -           | - |
| <b>P19</b>    | F | 5  | E            | Aniridia                          | Aniridia                          | CH PMD           | -                                    | -           | - |
| <b>P20</b>    | F | 5  | E            | <u>Peters A-R</u>                 | <u>Peters A-R</u>                 | =                | <u>Unilateral Peters Embryotoxon</u> | =           | = |
| <b>P21</b>    | M | 3  | E            | Micro Scl                         | Micro Scl                         | PMD              | -                                    | Embryotoxon | - |
| <b>P22</b>    | F | 10 | As           | Peters                            | Peters                            | PMD              | -                                    | -           | - |
| <b>C1*</b>    | F | 3  | N-Af         | Micro Cat Col                     | Micro Cat Col                     | -                | -                                    | -           | - |
| <b>C2*</b>    | M | 42 | E            | Ano                               | Ano                               | Fallot tetralogy | -                                    | -           | - |
| <b>CC10**</b> | M | 12 | E            | Peters                            | Peters                            | =                | =                                    | =           | + |
| <b>CC44**</b> | F | 19 | <u>Af-Am</u> | <u>Micro Cat Partial aniridia</u> | <u>Micro Cat Partial aniridia</u> | =                | <u>Micro Cat Partial aniridia</u>    | =           | = |

Ano: anophthalmia; Micro: microphthalmia; MicroC: microcornea; Cat: cataract; Scl: sclerocornea; Col: coloboma; Col Cyst: colobomatous cysts; A-R: Axenfeld-Rieger; MG: Morning Glory

PMD: Psychomotor Delay; IUGR: intra uterine growth retardation; Sex Amb: sexual ambiguity; CCA: corpus callosum agenesis; CCH: corpus callosum hypoplasia; ASD: atrial septal defect; SMMCI: Solitary median maxillary central incisor, CH: cerebellar hypoplasia; Unk: unknown

E: European; N-Af: North African; S-Am: South American; As: Asian; Af-Am: African-American

Patients carrying a *PTCH1* mutation are underlined

\* Positive controls with known mutations in *VSX2* (C1) or *STRA6* (C2)

\*\* Replication cohort patients 10 (CC10) and 44 (CC44)

**Supplementary Table 3: Variant prioritization**

| Identified variants   | Average over 24 samples | Standard Deviation |
|---|-------------------------|--------------------|
| Total variants  | 2566                    | 125                |
| High confidence variant calls                                     | 2482                    | 126                |
| After exclusion of known variants (dbSNPv132 + HapMap + in-house) | 236                     | 28                 |
| After exclusion of non-genic - intronic variants                  | 10                      | 3                  |
| After exclusion of synonymous variants                            | 6                       | 2                  |
| Predicted damaging  | 3                       | 1                  |
| Validated by Sanger Sequencing                                    | 2.5<br>(range 0-5)      | 1                  |

A custom-made SureSelect oligonucleotide probe library was designed to capture the exons of 407 candidate genes and 880 kb of potential regulatory sequences. The mean coverage was 325X with 96.9% of the targeted sequences over 10X and 93.4 over 25X. Frameshift, nonsense and splicing variants were considered as damaging. Missense variants were classified as damaging or not based on Polyphen-2 software results.

**Supplementary Table 4:** Final prioritized variants in patients with ODA

| Patient    | Gene         | Transcript      | cDNA change   | Protein change    | Status | Polyphen-2 | SIFT    | EVS      | Inheritance (phenotype) |
|------------|--------------|-----------------|---------------|-------------------|--------|------------|---------|----------|-------------------------|
| <b>P1</b>  | PAX6         | ENST00000379115 | c.192C>A      | p.Asn64Lys        | Ht     | D (1.000)  | D (0)   | Abs      | <i>De novo</i>          |
|            | FAT4         | ENST00000394329 | c.7960A>C     | p.Lys2654Gln      | Ht     | P (0.477)  | T(0.76) | Abs      | Pat (Asy)               |
| <b>P3</b>  | MYO1C        | ENST00000359786 | c.391C>T      | p.Arg131Cys       | Ht     | D (0.959)  | T(0.17) | 3/13004  | Mat (Asy)               |
|            | SALL3        | ENST00000537592 | c.2254G>A     | p.Val752Met       | Ht     | D (0.9555) | -       | Abs      | Mat (Asy)               |
|            | SOX14        | ENST00000306087 | c.722delA     | p.*241Tyrex*?     | Ht     | -          | -       | Abs      | Mat (Asy)               |
|            | TSH2         | ENST00000371497 | c.247T>G      | p.Ser83Ala        | Ht     | D (0.946)  | D(0)    | 4/13006  | Pat (Asy)               |
| <b>P4</b>  | FAT1         | ENST00000441802 | c.4336G>A     | p.Val1446Ile      | Ht     | D(0.998)   | T(0.36) | 8/11838  | Pat (Asy)               |
|            | FAT4         | ENST00000394329 | c.131A>C      | p.Glu44Ala        | Ht     | P (0.496)  | T(0.6)  | 3/12132  | Mat,Pat (Asy)           |
|            | DAB1         | ENST00000371236 | c.1075G>A     | p.Gly359Arg       | Ht     | D (0.987)  | T(0.07) | Abs      | Pat (Asy)               |
| <b>P5</b>  | <b>PTCH1</b> | ENST00000375274 | c.4delG       | p.Glu2Asnfs*9     | Ht     | -          | -       | Abs      |                         |
|            | PLXNC1       | ENST00000258526 | c.3649T>C     | p.Cys1217Arg      | Ht     | D(0.998)   | D(0.01) | 9/12997  |                         |
|            | IFT172       | ENST00000260570 | c.3880C>T     | p.Arg1294Cys      | Ht     | D(1.000)   | D(0.01) | 1/13005  | Unk                     |
|            | WNT7A        | ENST00000285018 | c.232C>T      | p.Arg78Cys        | Ht     | D(1.000)   | D(0)    | Abs      |                         |
| <b>P6</b>  | STRA6        | ENST00000395105 | c.1735C>G     | p.Pro579Ala       | Hemi   | D (0.999)  | T(0.11) | Abs      | Mat (Asy)               |
|            | CDH1         | ENST00000261769 | c.670C>T      | p.Arg224Cys       | Ht     | P (0.837)  | D(0)    | 2/12996  |                         |
| <b>P7</b>  | CYP26C1      | ENST00000285949 | c.1243C>G     | p.His415Asp       | Ht     | D (1.000)  | D(0)    | Abs      |                         |
|            | IFT172       | ENST00000260570 | c.5133delC    | p.Asn1711Asnfs*20 | Ht     | -          | -       | Abs      | Unk                     |
|            | VAX2         | ENST00000234392 | c.398C>T      | p.Thr133Ile       | Ht     | P (0.933)  | T(0.33) | Abs      |                         |
| <b>P8</b>  | <b>PTCH1</b> | ENST00000331920 | c.3191C>T     | p.Thr1064Met      | Ht     | D(1.000)   | T(0.1)  | 2/13006  | Pat (Asy)               |
|            | SEZ6L2       | ENST00000308713 | c.323C>T      | p.Thr108Ile       | Ht     | P(0.937)   | T(0.22) | Abs      | Pat (Asy)               |
| <b>P9</b>  | RPGRIP1      | ENST00000400017 | c.2424C>G     | p.Cys808Trp       | Ht     | D (0.999)  | D(0)    | Abs      | Pat (Asy)               |
|            | PFKP         | ENST00000381125 | c.738_739insG | p.Trp248Alafs*19  | Ht     | -          | -       | Abs      | Mat (Asy)               |
| <b>P10</b> | NOTCH4       | ENST00000375023 | c.2443T>G     | p.Cys815Gly       | Ht     | D(1.000)   | D(0)    | 22/8350  | Mat (Asy)               |
|            | NR5A2        | ENST00000367362 | c.884C>T      | p.Thr295Met       | Ht     | P(0.904)   | D(0.02) | 11/12995 |                         |
| <b>P11</b> | RPGRIP1      | ENST00000400017 | c.808A>G      | p.Ile270Val       | Ht     | P(0.994)   | T(0.11) | 6/11846  | Unk                     |
|            | GRASP        | ENST00000293662 | c.1084G>A     | p.Gly362Ser       | Ht     | D (0.987)  | T(0.43) | 56/11118 | Mat (Asy)               |
| <b>P12</b> | NOTCH1       | ENST00000277541 | c.2434G>A     | p.Gly812Arg       | Ht     | D (1.000)  | D(0.05) | 7/12560  | Pat (Asy)               |
|            | MITF         | ENST00000352241 | c.738G>A      | p.Asp246Asn       | Ht     | P(0.858)   | T(0.45) | Abs      | Mat (Asy)               |
| <b>P13</b> | EFHD1        | ENST00000264059 | c.155C>T      | p.Thr82Met        | Ht     | D(0.945)   | T(0.06) | 1/12893  | Mat (Asy)               |

|            |               |                 |             |                  |      |          |         |          |           |
|------------|---------------|-----------------|-------------|------------------|------|----------|---------|----------|-----------|
| <b>P14</b> | <i>NOTCH1</i> | ENST00000277541 | c.67G>T     | p.Arg23Leu       | Ht   | P(0.689) | T(0.38) | Abs      | Mat (Sy)  |
|            | <i>ARR3</i>   | ENST00000307959 | c.1052C>T   | p.Pro351Leu      | Hemi | D(0.945) | T(1)    | Abs      | Mat (Sy)  |
| <b>P15</b> | <i>FGFR3</i>  | ENST00000260795 | c.1879G>A   | p.Glu627Lys      | Ht   | D(0.990) | D(0)    | Abs      |           |
|            | <i>PTCH1</i>  | ENST00000331920 | c.3241G>A   | p.Val1081Met     | Ht   | D(0.991) | D(0.02) | 1/13006  | Pat (Asy) |
|            | <i>SULF1</i>  | ENST00000458141 | c.529G>A    | p.Gly177Ser      | Ht   | D(1.000) | D(0)    | Abs      |           |
|            | <i>CHRD</i>   | ENST00000204604 | c.1370C>G   | Thr457Ser        | Ht   | D(0.999) | T(0.1)  | 6/13000  |           |
| <b>P17</b> | <i>CHST5</i>  | ENST00000336257 | c.737T>C    | p.Ile246Thr      | Ht   | D(0.998) | T(0.06) | Abs      | Mat (Asy) |
|            | <i>DACT1</i>  | ENST00000335867 | c.2010G>C   | p.Lys670Asn      | Ht   | P(0.868) | T(0.32) | Abs      | Mat (Asy) |
|            | <i>FRAS1</i>  | ENST00000264895 | c.3700G>A   | p. Ala1234Thr    | Ht   | P(0.611) | T(0.29) | 2/12336  | Pat (Asy) |
| <b>P18</b> | <i>DICER1</i> | ENST00000343455 | c.2191G>A   | p.Gln731Lys      | Ht   | P(0.950) | T(0.52) | Abs      |           |
|            | <i>MAP3K1</i> | ENST00000399503 | c.1420A>G   | p.Ile474Val      | Ht   | P(0.540) | T(0.19) | Abs      | Unk       |
|            | <i>GLI2</i>   | ENST00000361492 | c.1859C>T   | p.Thr620Met      | Ht   | P(0.832) | T(0.09) | 11/12995 |           |
|            | <i>KIF21A</i> | ENST00000395670 | c.2287G>A   | p.Val763Met      | Ht   | D(0.998) | D(0.01) | Abs      | Pat (Asy) |
| <b>P19</b> | <i>GLIS3</i>  | ENST00000381971 | c.2710G>C   | p.Gly904Arg      | Ht   | D(1.000) | D(0)    | 6/13000  | Pat (Asy) |
|            | <i>PTCH1</i>  | ENST00000331920 | c.3947A>G   | p.Tyr1316Cys     | Ht   | D(0.983) | T(0.07) | 9/12499  | Mat (Sy)  |
| <b>P20</b> | <i>FREM1</i>  | ENST00000380880 | c.1493G>A   | Arg498Gln        | Ht   | D(1.000) | T(0.43) | 9/12453  | Mat (Sy)  |
|            | <i>ADAM17</i> | ENST00000310823 | c.847C>T    | p.Arg283Cys      | Ht   | D(1.000) | T(0.08) | Abs      | Pat (sy)  |
| <b>P21</b> | <i>SFRP2</i>  | ENST00000274063 | c.628A>G    | p.Asn209Gly      | Ht   | P(0.918) | T(0.24) | Abs      | Pat (sy)  |
|            | <i>TSHZ2</i>  | ENST00000371497 | c.1289A>T   | p.Gln430Leu      | Ht   | P(0.958) | D(0.01) | Abs      | Mat (Asy) |
|            | <i>PITRM1</i> | ENST00000380989 | c.2423A>G   | p.Lys808Arg      | Ht   | P(0.708) | T(0.48) | Abs      | Pat (Asy) |
| <b>P22</b> | <i>PRPF8</i>  | ENST00000304992 | c.3527C>T   | p.Ser1176Phe     | Ht   | D(0.999) | D(0)    | Abs      | Mat (Asy) |
|            | <i>RARG</i>   | ENST00000425354 | c.245C>T    | p.Pro82Leu       | Ht   | D(0.999) | D(0.02) | Abs      | Pat (Asy) |
|            | <i>EPHB2</i>  | ENST00000400191 | c.787G>A    | p.Val263Ile      | Ht   | P(0.882) | T(0.24) | 2/13004  | Pat (Asy) |
|            | <i>FRAS1</i>  | ENST00000264895 | c.9364C>T   | p.Arg3122Trp     | Ht   | D(1.000) | D(0)    | Abs      | Pat (Asy) |
| <b>C1*</b> | <i>VSX2</i>   | ENST00000261980 | c.71_72insG | p.Ala25Argfs*101 | Ht   | -        | -       | Abs      | Pat (Asy) |
|            | <i>VSX2</i>   | ENST00000261980 | c.667G>A    | p.Gly223Arg      | Ht   | D(1.000) | T(0.14) | Abs      | Mat (Asy) |
|            | <i>NDST2</i>  | ENST00000309979 | c.25C>T     | p.Arg9Cys        | Ht   | D(1.000) | D(0)    | 4/13002  | Pat (Asy) |
|            | <i>NDST2</i>  | ENST00000309979 | c.199C>T    | p.Arg67Trp       | Ht   | D(0.990) | T(0.18) | 1/12989  | Mat (Asy) |
| <b>C2*</b> | <i>STRA6</i>  | ENST00000395105 | c.1313A>G   | p.Gln438Arg      | Ht   | D(1.000) | D(0.05) | Abs      | Pat (Asy) |
|            | <i>STRA6</i>  | ENST00000395105 | c.1913G>C   | p.Arg638Pro      | Ht   | D(1.000) | D(0)    | 1/12990  | Mat (Asy) |

Ht: heterozygous; Hemi: hemizygous; Abs: absent; Unk: unknown; Pat: paternal; Mat: maternal; Asy: Asymptomatic; Sy: symptomatic

EVS: Exome variant server; Abs: absent; D: probably damaging; P: possibly damaging, B: probably benign; T: tolerated

\*Positive controls with known mutations in *VSX2* (C1) or *STRA6* (C2)

**Supplementary Table 5:** Mutational burden of rare variants (<1% alternate allele frequency; not present in homozygosity) in genes with >1 predicted pathogenic variants (Frameshift, nonsense and splicing variants were considered as damaging; missense variants were classified as damaging or not based on Polyphen-2) in ODA cases (n=22 unknown + 2 positive controls) vs. Exome Variant Server (EVS) controls (n=6,500)

| Gene             | ODA<br>(n=48 chromosomes)    |                                | EVS EA*<br>(n=8600** chromosomes) |                                | EVS AA*<br>(n=4406** chromosomes) |                                | EVS EA+AA<br>(n=13006 chromosomes) |                                | ODA vs. EVS<br>EA+AA<br>p-value |
|------------------|------------------------------|--------------------------------|-----------------------------------|--------------------------------|-----------------------------------|--------------------------------|------------------------------------|--------------------------------|---------------------------------|
|                  | Predicted pathogenic alleles | Frequency of mutational burden | Predicted pathogenic alleles      | Frequency of mutational burden | Predicted pathogenic alleles      | Frequency of mutational burden | Predicted pathogenic alleles       | Frequency of mutational burden |                                 |
| <i>PTCH1</i>     | 4                            | 0.083                          | 88                                | 0.010                          | 69                                | 0.016                          | 157                                | 0.012                          | <0.0001                         |
| <i>STRA6</i> *** | 3                            | 0.063                          | 73                                | 0.008                          | 62                                | 0.014                          | 135                                | 0.010                          | 0.0049                          |
| <i>VSX2</i> ***  | 2                            | 0.042                          | 15                                | 0.002                          | 37                                | 0.008                          | 52                                 | 0.004                          | 0.0034                          |
| <i>FAT4</i>      | 2                            | 0.042                          | 458                               | 0.053                          | 267                               | 0.061                          | 725                                | 0.056                          | 0.9130                          |
| <i>FRAS1</i>     | 2                            | 0.042                          | 554                               | 0.067                          | 283                               | 0.072                          | 837                                | 0.069                          | 0.6469                          |
| <i>IFT172</i>    | 2                            | 0.042                          | 153                               | 0.018                          | 104                               | 0.024                          | 257                                | 0.020                          | 0.5701                          |
| <i>NDST2</i>     | 2                            | 0.042                          | 55                                | 0.006                          | 35                                | 0.008                          | 90                                 | 0.007                          | 0.0446                          |
| <i>NOTCH1</i>    | 2                            | 0.042                          | 189                               | 0.023                          | 98                                | 0.024                          | 287                                | 0.023                          | 0.7092                          |
| <i>RPGRIP1</i>   | 2                            | 0.042                          | 114                               | 0.014                          | 80                                | 0.021                          | 194                                | 0.016                          | 0.4153                          |

\* EVS, Exome Variant Server; EA, European American; AA, African American

\*\* Chromosome numbers were adjusted to the average number of calls across the gene if EVS displayed variant call data for <13006 chromosomes. Adjusted numbers- *STRA6* (EA, 8594; AA, 4396); *FRAS1* (EA, 8232; AA, 3918); *NOTCH1* (EA, 8322; AA, 4104); *RPGRIP1* (EA, 8138; AA, 3792)

\*\*\* *STRA6* and *VSX2* each harbor known causal variants in the two positive control ODA individuals (C1 and C2)

**Supplementary Table 6:** Somite angle measurements for *PTCH1* *in vivo* complementation assays.

| Injection         | Somite angle Measurements |          |        | p-values   |               |         | Pathogenicity |
|-------------------|---------------------------|----------|--------|------------|---------------|---------|---------------|
|                   | n                         | Mean (°) | S.E.M. | vs. WT RNA | vs. WT rescue | vs. MO  |               |
| Controls          | 49                        | 81.5     | 0.4    | 0.0037     | <0.0001       | <0.0001 |               |
| MO                | 50                        | 107.0    | 1.6    | <0.0001    | <0.0001       |         |               |
| WT RNA            | 49                        | 83.4     | 0.4    |            |               |         |               |
| MO + WT RNA       | 50                        | 85.9     | 0.7    | 0.0041     |               |         |               |
| D436N RNA         | 53                        | 81.6     | 0.8    | 0.0657     |               | <0.0001 |               |
| MO + D436N RNA    | 50                        | 84.1     | 0.6    |            | 0.0530        | <0.0001 | Benign        |
| T778P RNA         | 50                        | 82.6     | 1.1    | 0.5122     |               | <0.0001 |               |
| MO + T778P RNA    | 51                        | 94.7     | 1.3    |            | <0.0001       | <0.0001 | Hypomorph     |
| I899V RNA         | 50                        | 82.1     | 0.7    | 0.1572     |               | <0.0001 |               |
| MO + I899V RNA    | 50                        | 90.0     | 0.7    |            | <0.0001       | <0.0001 | Hypomorph     |
| T1064M RNA        | 50                        | 86.3     | 0.8    | 0.0010     |               | <0.0001 |               |
| MO + T1064M RNA   | 50                        | 94.5     | 1.0    |            | <0.0001       | <0.0001 | Hypomorph     |
| V1081M RNA        | 45                        | 83.9     | 0.9    | 0.6107     |               | <0.0001 |               |
| MO + V1081M RNA   | 50                        | 91.3     | 0.9    |            | <0.0001       | <0.0001 | Hypomorph     |
| R1297W RNA        | 49                        | 83.2     | 1.0    | 0.8722     |               | <0.0001 |               |
| MO + R1297W RNA   | 50                        | 92.8     | 1.3    |            | <0.0001       | <0.0001 | Hypomorph     |
| Y1316C RNA        | 52                        | 82.4     | 0.8    | 0.2986     |               | <0.0001 |               |
| MO + Y1316C RNA   | 52                        | 94.3     | 1.2    |            | <0.0001       | <0.0001 | Hypomorph     |
| T1052M* RNA       | 48                        | 82.3     | 0.5    | 0.1121     |               | <0.0001 |               |
| MO + T1052M* RNA  | 49                        | 92.6     | 0.6    |            | <0.0001       | <0.0001 | Hypomorph     |
| P1315L** RNA      | 50                        | 79.9     | 0.5    | <0.0001    |               | <0.0001 |               |
| MO + P1315L** RNA | 52                        | 84.4     | 0.7    |            | 0.1628        | <0.0001 | Benign        |

\*Positive control: Associated with holoprosencephaly (HPE)<sup>1</sup>

\*\*Negative control: Common variant (30% minor allele frequency, present in homozygosity in 8% of control individuals in ESP6500).

## REFERENCES

1. Ming, J.E. et al. Mutations in PATCHED-1, the receptor for SONIC HEDGEHOG, are associated with holoprosencephaly. *Hum Genet* 110, 297-301 (2002).

Supplementary Table 7 : Primers used

**Quantitative PCR (expression studies)**

|              |                      |                        |
|--------------|----------------------|------------------------|
| Gene         | Forward primer       | Reverse primer         |
| <i>Gapdh</i> | AGGTCGGGTGTAACGGATTG | GTAGACCATGTAGTTGAGGTCA |
| <i>Ptch1</i> | TGGGGCCTTCGCTGTGGAT  | ACTCGTCCACCAACTCCACC   |

**Quantitative PCR (ChIP)**

|                                   |                      |                       |
|-----------------------------------|----------------------|-----------------------|
| Region                            | Forward primer       | Reverse primer        |
| <i>Gapdh</i> Control              | CCATGAGTGGACCCCTCTTT | ATGGCATGGACTGTGTCTA   |
| <i>Ptch1</i> Negative Control     | CACAGGGAGGTAGGATGGTG | GGATTACATCCTGGAGGTTT  |
| <i>Ptch1</i> SOX2 target sequence | AGAGGGAGAGTTGGAGCGCC | GTGGTCCCTCTACCCAGGGCC |

**PTCH1 molecular analysis**

|                  |  |  |
|------------------|--|--|
| Exon             | Forward primer                               | Reverse primer                             |
| M13PTCH1-Exon1   | TGTAAAACGACGGCCAGTggcgatcccaagagttaga        | CAGGAAACAGCTATGACcttcggaactggatgt          |
| M13PTCH1-Exon2   | TGTAAAACGACGGCCAGTcagagtggtccgctccggag       | CAGGAAACAGCTATGACccctcttctgtctgtccc        |
| M13PTCH1-Exon3   | TGTAAAACGACGGCCAGTcccaagtggtcagctcat         | CAGGAAACAGCTATGACctgtcacacatcagccagctctc   |
| M13PTCH1-Exon4   | TGTAAAACGACGGCCAGTccctcccaagaagcagttcaa      | GGGCTGCAATACAGAAGAGGcagctgggctccctgaagt    |
| M13PTCH1-Exon5   | TGTAAAACGACGGCCAGTcccttaaccttgaaac           | CAGGAAACAGCTATGACgcctgcttccaaactagaacatt   |
| M13PTCH1-Exon6   | TGTAAAACGACGGCCAGTggctaattgggaggigtatggc     | CAGGAAACAGCTATGACctcagatagtctggaaaaggaca   |
| M13PTCH1-Exon7   | TGTAAAACGACGGCCAGTgtagggccgctaggatga         | CAGGAAACAGCTATGACctggctagcgaggataacggttt   |
| M13PTCH1-Exon8   | TGTAAAACGACGGCCAGTcccataagttccctcaattgca     | CAGGAAACAGCTATGACcgcgtcactgagcccggtgat     |
| M13PTCH1-Exon9   | TGTAAAACGACGGCCAGTaggcaaacggcaaatgggaa       | CAGGAAACAGCTATGACcactccagcgaaccagccct      |
| M13PTCH1-Exon10  | TGTAAAACGACGGCCAGTtaccacttccctgaggcg         | CAGGAAACAGCTATGACcttcccattgcccgtttgctt     |
| M13PTCH1-Exon11  | TGTAAAACGACGGCCAGTccgagatgcagctctggga        | CAGGAAACAGCTATGACctgaggttacggaagccctgc     |
| M13PTCH1-Exon12  | TGTAAAACGACGGCCAGTccgaaagccatgcataaagg       | CAGGAAACAGCTATGACcggcaggtggctctgtttccc     |
| M13PTCH1-Exon13  | TGTAAAACGACGGCCAGTgggaagcagcctctgtcca        | CAGGAAACAGCTATGACcccagggttggcttattcctcgg   |
| M13PTCH1-Exon14a | TGTAAAACGACGGCCAGTtcttccatgtggcctctca        | CAGGAAACAGCTATGACcagtgaggc'tggagtcgga      |
| M13PTCH1-Exon14b | TGTAAAACGACGGCCAGTcagtgaggc'tggagtcgga       | CAGGAAACAGCTATGACctcctccatg'tggcctctca     |
| M13PTCH1-Exon15  | TGTAAAACGACGGCCAGTttgatctgtcgaagagaaacaggtcc | CAGGAAACAGCTATGACcggctgctgcagaaacagttca    |
| M13PTCH1-Exon16  | TGTAAAACGACGGCCAGTtcttgg'tggtaggaaacacgcc    | CAGGAAACAGCTATGACcggcagctggtggcagcag       |
| M13PTCH1-Exon17  | TGTAAAACGACGGCCAGTgggtcaactaacgttagagg       | CAGGAAACAGCTATGACctcccaatgtatagatag'tcgggg |
| M13PTCH1-Exon18  | TGTAAAACGACGGCCAGTcggacctccgatactagtgt       | CAGGAAACAGCTATGACcccatgggacctaccacctcg     |
| M13PTCH1-Exon19  | TGTAAAACGACGGCCAGTgtcagagggaaagg'tcggc       | CAGGAAACAGCTATGACcactgacactgacctcagct      |
| M13PTCH1-Exon20a | TGTAAAACGACGGCCAGTcgtgggttaacgaaccctgt       | CAGGAAACAGCTATGACctctcttgcacacagcctgct     |
| M13PTCH1-Exon20b | TGTAAAACGACGGCCAGTgtcgttggactagaacactgttag   | CAGGAAACAGCTATGACcgtctgtagcagtagcactg      |
| M13PTCH1-Exon21  | TGTAAAACGACGGCCAGTaaacttaaccttgacgcaacct     | CAGGAAACAGCTATGACcgaaccgccccttagccctc      |
| M13PTCH1-Exon22  | TGTAAAACGACGGCCAGTttagtccggttca cgcgctc      | CAGGAAACAGCTATGACcacaacccaggaagatggca      |
| M13PTCH1-Exon23a | TGTAAAACGACGGCCAGTcgggtgtaaataccctcgtctg     | CAGGAAACAGCTATGACcgggacagtcacggaggcaga     |
| M13PTCH1-Exon23b | TGTAAAACGACGGCCAGTcctgcccgttccggctcgt        | CAGGAAACAGCTATGACcgggtcagcgt'gggatgt       |

## **CHAPITRE V**

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## **TRAVAUX COLLABORATIFS**

## Introduction

Le recrutement du laboratoire au travers du PHRC national, et l'analyse moléculaire de la plupart des gènes connus d'AM nous a permis de constituer une des plus grande cohorte de patients AM ne portant pas de mutation dans les gènes connus (120 patients). Cette cohorte est précieuse pour identifier de nouveaux gènes d'AM. Nous avons donc été sollicités par différentes équipes au niveau national ou international pour collaborer à leurs études.

## Méthodes et Résultats

Pour les articles présentées ci-dessous, nous avons été contacté par des équipes ayant identifié un nouveau gène d'AM par CGH-array (*TMX3*) ou séquençage d'exome (*ALDH1A3* et *RARB*). Ces équipes souhaitaient valider leurs résultats sur une cohorte de patient avec un phénotype similaire à celui de leur famille, et chez qui la présence de mutation dans les gènes connus avait été exclue.

Les résultats de ces collaborations sont décrits dans les 3 articles suivants:

- Article n°13

Fares-Taie, L *et al.* (2013). "*ALDH1A3* mutations cause recessive anophthalmia and microphthalmia." *Am J Hum Genet* 92(2): 265-70.

- Article n°14

Myriam Srour *et al.* (2013) "Recessive and dominant mutations in the retinoic acid receptor beta in cases with microphthalmia and diaphragmatic hernia " *Am J Hum Genet* 93: 1–8

- Article n°15

Chao, R *et al.* (2010). "A male with unilateral microphthalmia reveals a role for *TMX3* in eye development." *PLoS One* 5(5): e10565.

## **Conclusion**

Ces collaborations montrent bien que le travail présenté sur la première partie de ma thèse (recherche de mutation dans les gènes connus) est une étape clef à l'identification de nouveaux gènes d'AM. Le travail laborieux consistant à rechercher chez les patients AM des mutations dans les gènes connus présente deux intérêts. D'une part, il permet de déterminer les fréquences d'implication des gènes étudiés, et les phénotypes associés. D'autre part, il permet de constituer une cohorte de patients sans mutation dans les gènes connus qui peut être utilisée pour valider l'implication de gènes nouvellement identifiés par différentes approches.

## ARTICLE 13

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### ***ALDH1A3* mutations cause recessive anophthalmia and microphthalmia**

*American Journal of Human Genetics*

2013-92(2): 265-70

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Nous avons été contactés par l'équipe des Docteurs Josseline Kaplan et Jean-Michel Rozet pour valider l'implication du gène *ALDH1A3* dont des mutations avaient été identifiées dans une de leur famille consanguine par une combinaison d'homozygosity mapping et d'exome sequencing. Ce gène faisait partie de nos meilleurs gènes candidats après notre approche transcriptomique (expression régulée par PAX6, seul gène à avoir une spécificité d'expression oculaire, voire chapitre correspondant). Il est de plus impliqué dans le métabolisme de l'acide rétinoïque, élément clef au cours du développement oculaire. Dans la famille initiale, il avait été identifié une mutation faux-sens à l'état homozygote. Compte-tenu de ce mode de transmission récessif, nous avons pu inclure 28 patients AM issus de parents apparentés. Ces patients provenaient de notre cohorte de patient Toulousaine (12 patients), et de la cohorte de patient de notre collaboratrice le Dr Nicola Ragge à Oxford que nous avons associé à ce projet (16 patients). Il a pu ainsi être identifié des mutations dans deux familles supplémentaires confirmant ainsi l'implication du gène *ALDH1A3* dans l'AM.

## ALDH1A3 Mutations Cause Recessive Anophthalmia and Microphthalmia

Lucas Fares-Taie,<sup>1,15</sup> Sylvie Gerber,<sup>1,15</sup> Nicolas Chassaing,<sup>2,3,15</sup> Jill Clayton-Smith,<sup>4</sup> Sylvain Hanein,<sup>1</sup> Eduardo Silva,<sup>5</sup> Margaux Serey,<sup>1</sup> Valérie Serre,<sup>1,6</sup> Xavier Gérard,<sup>1</sup> Clarisse Baumann,<sup>7</sup> Ghislaine Plessis,<sup>8</sup> Bénédicte Demeer,<sup>9</sup> Lionel Brétilon,<sup>10</sup> Christine Bole,<sup>11</sup> Patrick Nitschke,<sup>12</sup> Arnold Munnich,<sup>1</sup> Stanislas Lyonnet,<sup>1</sup> Patrick Calvas,<sup>2,3</sup> Josseline Kaplan,<sup>1,\*</sup> Nicola Ragge,<sup>13,14</sup> and Jean-Michel Rozet<sup>1,\*</sup>

Anophthalmia and microphthalmia (A/M) are early-eye-development anomalies resulting in absent or small ocular globes, respectively. A/M anomalies occur in syndromic or nonsyndromic forms. They are genetically heterogeneous, some mutations in some genes being responsible for both anophthalmia and microphthalmia. Using a combination of homozygosity mapping, exome sequencing, and Sanger sequencing, we identified homozygosity for one splice-site and two missense mutations in the gene encoding the A3 isoform of the aldehyde dehydrogenase 1 (*ALDH1A3*) in three consanguineous families segregating A/M with occasional orbital cystic, neurological, and cardiac anomalies. *ALDH1A3* is a key enzyme in the formation of a retinoic acid gradient along the dorso-ventral axis during early eye development. Transitory expression of mutant *ALDH1A3* open reading frames showed that both missense mutations reduce the accumulation of the enzyme, potentially leading to altered retinoic acid synthesis. Although the role of retinoic acid signaling in eye development is well established, our findings provide genetic evidence of a direct link between retinoic-acid-synthesis dysfunction and early-eye-development anomalies in humans.

Anophthalmia and microphthalmia (A/M) are rare errors of eye development (with a combined prevalence of 3:100,000 to 30:100,000).<sup>1</sup> Whereas true anophthalmia refers to the histological absence of ocular tissue in the orbit, microphthalmia ranges from extreme cases with only tiny or no visible remnants of globe in the orbit (also called "clinical anophthalmia") to simple microphthalmia with structurally normal small eyes (axial length at least 2 SD below the mean for an individual of that age).<sup>1</sup> A/M may affect one or both eyes. Both errors are associated with systemic anomalies in at least 50% of individuals,<sup>2</sup> a large proportion of such individuals presenting with defined syndromes.<sup>1,3–5</sup> Learning difficulties are described in one-fifth of individuals with A/M and/or coloboma.<sup>6</sup> This frequency is probably higher in individuals with A/M when isolated coloboma cases are excluded. Both genetic and environmental factors contribute to A/M. Monogenic A/M is inherited as autosomal-dominant (AD), autosomal-recessive (AR), or X-linked traits. Both AD and AR forms are characterized by clinical and genetic heterogeneity.<sup>3</sup> To date, mutations in genes critical for normal eye development have been identified in 20%–40% of A/M cases, some genes being involved in simple, complex, and syndromic A/M.<sup>7–10</sup> With the exception of *SOX2* mutations, which underlie 10%–15% of severe A/M cases,

mutations in other genes are, individually, uncommon causes of the disease.<sup>3,10–12</sup>

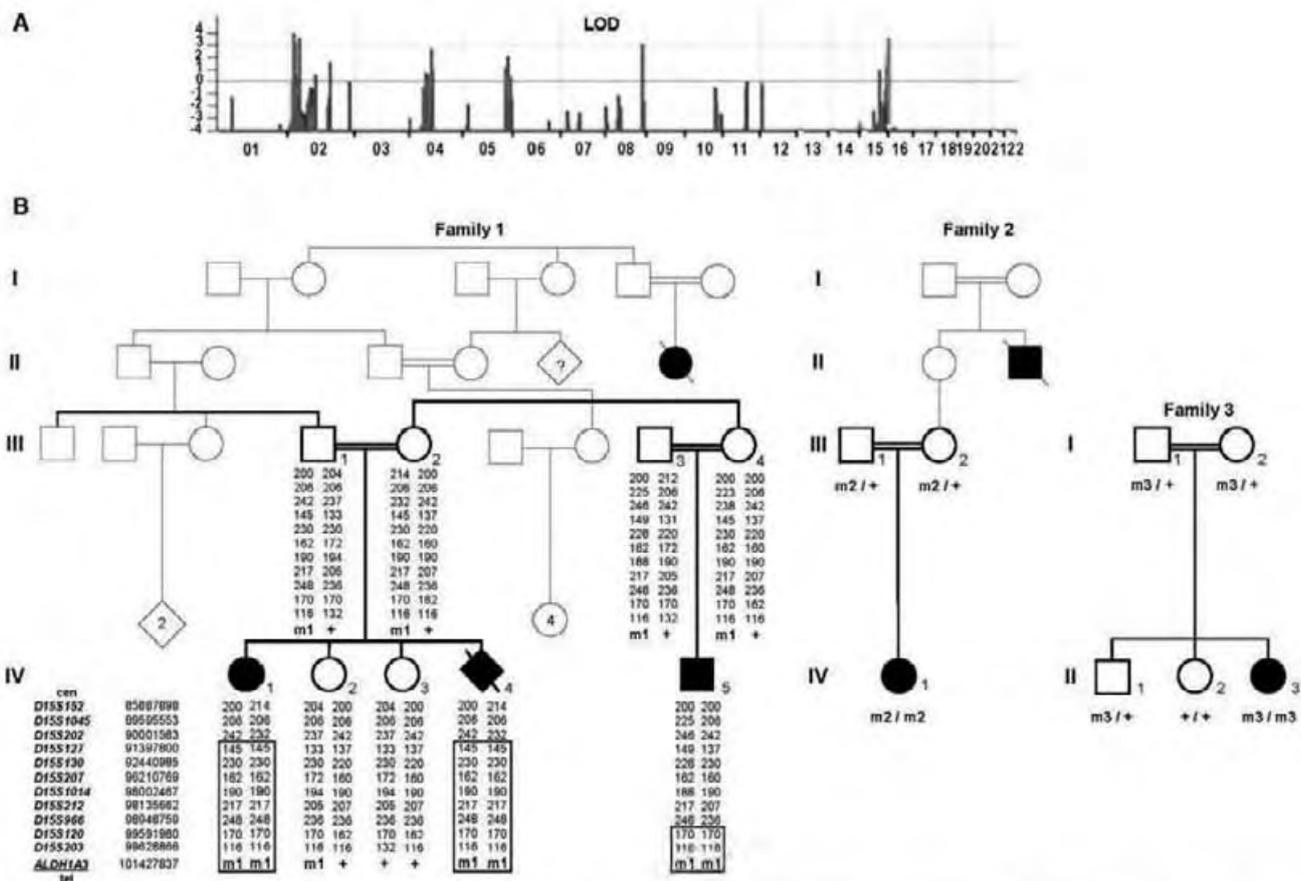
Using a combination of the Affymetrix GeneChip Human Mapping 10K 2.0 Array and microsatellite markers, we performed homozygosity mapping in a multiplex inbred Pakistani pedigree with multiple loops of consanguinity (Figure 1) in whom Sanger sequencing failed to detect mutations in *GDF6* (MIM 601147), *FOXE3* (MIM 601094), *OTX2* (IM 600037), *PAX6* (MIM 607108), *RAX* (MIM 601881), *SOX2* (MIM 184429), and *VSX2* (*CHX10*, [MIM 142993]). Informed consent was obtained from each individual participating in this study, which was approved by Le Comité de Protection des Personnes Ile de France II or by the Cambridgeshire 1 Multicenter Research Ethics Committee (04/Q0104/129). Considering that A/M-causing mutations are rare, we assumed that affected individuals of the two available nuclear families (IV1, IV4, and IV5; Figure 1) were most likely homozygous for the same disease-causing mutation and surrounding SNP markers. This strategy defined three regions with LOD scores  $\geq 3$  (2p24.2–p24.1, 5.5Mb; 8q24, 0.7Mb; and 15q26.3, 3.8Mb) and one region with a LOD score close to 3 (4p14–p11, 10.1Mb) (Figure 1A). Analysis of highly informative microsatellite markers in each candidate region allowed us to show that an apparent linkage on

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**Figure 1. Linkage Analysis, Pedigree, and Segregation Analysis in A/M Families with Homozygous *ALDH1A3* Mutations**  
 (A) Full parametric linkage analysis of family 1 using a combination of Affymetrix GeneChip Human Mapping 10K 2.0 Arrays. Parametric LOD scores were calculated with the MERLIN software program.  
 (B) Pedigree, haplotype, and/or segregation analyses of *ALDH1A3* mutations in the three A/M families. The positions of microsatellite markers of the 15q26.3 region based on hg19 assembly of the human genome were obtained from the Human (*Homo sapiens*) Genome Browser Gateway at UCSC. m1, c.265C>T (p.Arg89Cys); m2, c.1477G>C (p.Ala493Pro); m3, c.475+1G>T; +, wild-type allele.

chromosomes 2p24, 8q24, and 4p14–p11 resulted from uninformative SNP markers (data not shown). Conversely, homozygosity for informative markers of the 15q26.3 locus was confirmed (Figure 1B).

The critical interval on 15q26.3 spanned 3.8 Mb and contained 31 genes. To identify the disease-causing mutation, we subjected the DNA of the index case, IV1, to whole-exome sequencing by using the SureSelect<sup>XT</sup> Human All Exon V3 50 Mb target-enrichment kit (Agilent Technologies, Massy, France) in accordance with the manufacturer's recommendations. Each genomic DNA fragment was sequenced with the use of the paired-end strategy and an average read length of 75 bases (Illumina HiSeq, Illumina, San Diego, CA, USA). Image analysis and base calling were performed with the Illumina Sequence Control Software (SCS) with Real Time Analysis (RTA) version 1.9 and default parameters were used. Sequences were aligned to the human genome reference sequence (hg19 assembly), and SNPs were called on the basis of allele calls and read depth with the use of the CASAVA pipeline (Consensus Assessment of Sequence and Variation 1.8, Illumina). Genetic variation annotation

was performed with an in-house pipeline (Plateforme Bioinformatique Paris Descartes, Paris, France).

Considering that A/M-causing mutations are uncommon, we searched for homozygous variants absent in the dbSNP132, Exome Variant Server, 1000 Genomes, and in-house databases or with allelic frequencies <0.01. We found no homozygosity for consensus splice-site changes, nonsense mutations, or insertions or deletions in coding regions upon whole-genome analysis. We subsequently selected nonsynonymous changes predicted to be "damaging" or "possibly damaging" using the PolyPhen and SIFT programs available through our in-house analysis pipeline. This led to the selection of 28 variants in 27 genes. The only gene mapping in the 3.8 Mb interval on chromosome 15q26.3, *ALDH1A3* (NM\_000693.2 [MIM600463]), harbored a homozygote missense mutation, c.265C>T (p.Arg89Cys) (Table S1, available online). The mutation was confirmed by Sanger sequencing, and familial analysis confirmed the biparental transmission of the mutation and segregation with the disease (Figure 1).

*ALDH1A3* encodes a retinaldehyde dehydrogenase (*ALDH1A3*; also referred to as *RALDH1A3*, *RALDH3*, or

ADLH6) involved in retinoic acid synthesis through the oxidation of retinaldehyde. It plays a pivotal role in retinoic acid signaling in eye development.<sup>13–17</sup> Thus, *ALDH1A3* was regarded a strong candidate gene by virtue of both its localization and its function.

We performed Sanger sequencing of all 13 exons and the intron-exon boundaries of *ALDH1A3* (Table S2) in a series of 28 additional A/M index individuals born to consanguineous parents with no mutation in *GDF6*, *FOXE3*, *OTX2*, *PAX6*, *RAX*, *SOX2*, or *VSX2*. We identified homozygous *ALDH1A3* mutations in 2 of 28 simplex individuals (Figure 1). The first simplex individual, a girl born to Turkish parents, harbored a homozygous missense mutation, c.1477G>C (p.Ala493Pro) (IV1, family 2). The second individual, a girl born to Moroccan parents, harbored a homozygous splice-site mutation, c.475+1G>T (II3, family 3). Biparental transmission was confirmed in the two families.

The three mutations were absent in the SNP databases, in the Exome Variant Server, and in 200 control chromosomes. The mutations were analyzed with Alamut Mutation Interpretation software, a decision-support system for mutation interpretation based on Align DGVD, Polyphen-2, SIFT, SpliceSiteFinder-like, MaxEntScan, NNSPLICE, and Human Splicing Finder. The c.475+1G>T mutation is predicted to abolish the splice-donor site of intron 4. This is expected to result in an in-frame skipping of exon 5, which contributes to the nicotinamide adenine dinucleotide (NAD) binding pocket (exon 5 codes for residues 159–179; NAD binding pocket: residues 8–135 and 159–170; Protein Data Bank [PDB] 1BX5). The c.265C>T (p.Arg89Cys) mutation affects an amino acid conserved from human to worm and in the *ALDH1A1* and *ALDH1A2* paralogs. The c.1477G>C (p.Ala493Pro) mutation changes a residue conserved from human to frog. In fish, fly, and *C. elegans*, the p.Ala493 residue is replaced by a leucine or a glycine residue. The replacement of the positively charged p.Arg89 by a cysteine residue and the replacement of p.Ala495 by a proline residue were both predicted to be deleterious by the Alamut Interpretation software.

The three-dimensional structure of the tetrameric human *ALDH1A3* (residues 20–511; Figure S1A) was modeled by comparative protein modeling methods and energy minimization with the use of the Swiss-Model program in the automated mode.<sup>18–20</sup> The 2.35 Å coordinate set for the tetrameric sheep liver class 1 aldehyde dehydrogenase with bound NAD (PDB code 1BX5) was used as a template for modeling the human *ALDH1A3* protein (71.3% sequence identity). Swiss-PdbViewer 3.7 was used for structural insight into *ALDH1A3* substitutions. The p.Arg89 residue is located between two helices in a conserved loop of the NAD binding domain. Molecular modeling of the wild-type (WT) protein suggests that the p.Arg89 amino acid is involved in the stabilization of the tetramer through its interaction with p.Asn511 residue at a distance of 5 Å in another subunit (Figure S1B). This latter residue is located in a loop connecting the two β sheets of the monomeric oligomerization domain.

Thus, the p.Arg89Cys substitution most likely affects the stability of the tetramer. The p.Ala493 residue is located in a small helix between two β sheets involved in the oligomerization domain of the enzyme (Figure S1C). The introduction of a proline at position 493 in the helix is expected to introduce an elbow, leading to an incorrect position of the two β sheets relative to each other and hampering the tetramerization of the enzyme.

Using site-directed mutagenesis (QuikChange II Site-Directed Mutagenesis Kit, Agilent), we introduced the c.265C>T (p.Arg89Cys) and c.1477G>C (p.Ala493Pro) A/M mutations into a pCMV6-entry eukaryote expression vector that encodes the full *ALDH1A3* open reading frame and is fused to c-Myc (Origen, Rockville, MD, USA). We also generated a construct harboring a mutation that affected the p.Trp180 (c.538T>G; p.Trp180Gly) residue. This residue corresponds to the p.Trp168 amino acid, which is directly involved in the fixation of NAD in the sheep *ALDH1* and does not interfere with the oligomerization of the protein. Primers used for mutagenesis are shown in Table S3. We assessed the effect of the *ALDH1A3* substitutions on mRNA and protein levels in human embryonic kidney cells (HEK293 cells) transiently cotransfected with WT or mutant *ALDH1A3* constructs, and pCGA-GFP (Addgene, Cambridge, MA, USA) to normalize the data. RT-qPCR using primers specific to the c-Myc tag and the GFP normalizer (Table S4) showed no significant difference in expression between WT and mutant *ALDH1A3* mRNA (Figure S2). Immunoblot analysis revealed that the c-Myc-tagged *ALDH1A3* p.Arg89Cys and p.Ala493Pro mutant protein levels were strongly reduced compared to the WT and p.Trp180Gly mutant proteins, suggesting that the p.Arg89Cys and p.Ala493Pro mutant proteins might be unstable and subject to proteasomal degradation, leading to an absence or low level of high-molecular-weight complexes (Figure 2).

Together, our findings suggest that the synthesis of retinoic acid by *ALDH1A3* is impaired in the affected individuals (n = 5) of the three families who consistently presented severe bilateral clinical anophthalmia (extreme microphthalmia with no visible ocular structure). In family 1, the A/M index individual (IV1) was born after full-term normal delivery and was in the 2<sup>nd</sup> centile for weight (2.59 kg), 3<sup>rd</sup> centile for length (46.5 cm), and 10<sup>th</sup> centile for head circumference (33.5 cm). She exhibited small optic nerves and a small optic chiasm upon a cerebral MRI at 1 week of age. Autism was diagnosed at the age of 3 years. She has two healthy sisters. Her mother's last pregnancy before the study was terminated at 23 weeks of gestation after ultrasonography detection of apparent bilateral anophthalmia with normal brain structures (IV4) detected on ultrasonography. Her maternal cousin (IV5) was born at 34 weeks of gestation by Caesarian section and had a birth weight of 2 kg. He had severe bilateral microphthalmia with cysts (a rudimentary globe on the left and a grossly abnormal globe associated with a cyst on the right), moderate pulmonary and supravalar



**Figure 2. Immunoblot Analysis of Mutant and Wild-Type ALDH1A3 Proteins**

HEK293 cells were transfected with pCMV6-Entry-ALDH1A3-WT-cMyc, pCMV6-Entry-ALDH1A3-Arg89CYS-aMyc, pCMV6-Entry-ALDH1A3-Trp180Gly-cMyc, and pCMV6-Entry-ALDH1A3-ALA493PRO-cMyc plasmids, respectively. The pCAG-GFP plasmid was systematically cotransfected with the pCMV6-Entry-ALDH1A3 constructs. Untransfected (UnT) cells served as controls. Total proteins were extracted and run (50  $\mu$ g) on a NuPAGE 4%–12% Bis-TrisGel (Life Technologies, Cergy Pontoise, France). c-Myc-tagged proteins and GFP were detected with the use of mouse anti-cMyc (1:1,000, Santa Cruz, San Diego, CA, USA) and mouse anti-GFP (1:1,000, Roche, Meylan, France) primary antibodies, respectively, and with rabbit anti-mouse IgG-HRP (2 mg/ml, 1:5,000; Abcam, Paris, France) as a secondary antibody. Immunoblots were revealed with the use of SuperSignalWest Dura Extended Duration Substrate (Thermo Scientific, Courtaboeuf, France) and the Chemidoc XRS+ Imaging System (Bio-Rad, Marnes-la-Coquette, France). Immunoblot images were acquired and analyzed with the Image Lab software 3.0.1 build 18 (Bio-Rad). Transfections and immunoblots were performed in triplicate. The figure shows the result of one experiment. A drastic reduction in the amount of the p.Arg89Cys (p.89Cys) and p.Ala493Pro (p.493Pro) mutant proteins is shown as compared to the wild-type (WT) and p.Trp180Gly (p.180Gly) mutant proteins.

pulmonary stenosis, and a moderately sized atrial septal defect. His growth progressed along the 2<sup>nd</sup>–9<sup>th</sup> centile for weight and length and 0.4<sup>th</sup> centile for head circumference. At the age of 4 years, he has a possible diagnosis of autism. The proband in family 2 (IV1) has left and extreme right microphthalmia. She had no other health problems and displays normal intelligence. A review of the family's medical history revealed that a maternal uncle died at the age of 1 month with bilateral clinical anophthalmia, but we were unable to obtain additional clinical details. Finally, the proband in family 3, who had a homozygous c.475+1G>T mutation was born after a full term and normal delivery and had a birth weight of 3.5 kg (II3; family 3). She presented with severe right microphthalmia and severe left microphthalmia with cyst. An MRI showed dysplastic globes, a hypoplastic chiasm and optic nerves, and a normal remainder of the brain. She had no other health problems and displays normal intelligence.

In the mouse, *Aldh1a3*, along with *Aldh1a1* and *Aldh1a2*, contributes to the synthesis of retinoic acid, which functions as ligand for nuclear receptors that directly regulate gene expression crucial for embryonic eye devel-

opment.<sup>16,17</sup> *Aldh1a1*, *Aldh1a2*, and *Aldh1a3* are expressed with unique, nonoverlapping, spatiotemporal patterns in embryonic eyes, leading to tissue- and time-restricted retinoic acid synthesis during development.<sup>16,17</sup> ALDH1A3 is a key enzyme in the formation of a retinoic acid gradient along the dorso-ventral axis during early eye development. It is required for the complete invagination of the ventral optic cup and closure of the choroid fissure.<sup>15–17,21,22</sup> It also contributes to correct axonal projections of retinal cells into the brain.<sup>21,22</sup> Interestingly, the phenotype of A/M individuals with ALDH1A3 mutations is consistent with abnormal closures of the choroid fissure and abnormal optic nerve development. Indeed, evidence of cysts in at least two individuals suggests that globe induction—and probably invagination—is present, whereas closure of the choroid fissure may be lacking. In addition, available MRIs showed hypoplastic optic nerves (n = 2).

In addition to being a key player in the developing sensory neuroepithelia of the eye, in the mouse, *Aldh1a3* plays a role in the development of the nose and ear and in discrete sites within the CNS.<sup>16,17</sup> However, knockout of *Aldh1a3* causes malformations restricted to ocular and nasal regions.<sup>21,22</sup> *Aldh1a3*<sup>-/-</sup> mutant embryos begin the process of optic cup formation, but they exhibit ventral retina shortening associated with lens rotation and persistence of primary vitreous.<sup>16,21</sup> In addition, the knockout causes choanal atresia, which is responsible for respiratory distress and the death of *Aldh1a3*<sup>-/-</sup> mutants at birth.<sup>21</sup> Individuals homozygous for ALDH1A3 mutations had ocular malformations but no nasal defects. Unlike the *Aldh1a3* knockout mouse, the affected individuals of the three families harbor mutations expected to result in the production of mutant proteins. We cannot exclude the possibility that the complete loss of function of both ALDH1A3 alleles is lethal in humans.

Two out of the four living affected individuals had autism (IV1 and IV5; family 1). The available cerebral MRI of individual IV1 revealed normal cerebellar and cerebral features. Autism and intellectual disability are not infrequent features in individuals affected with A/M.<sup>6</sup> However, given that two A/M individuals had normal intelligence, autism may be unrelated to altered ALDH1A3 function in the CNS. Similarly, it is difficult to decide whether the cardiac anomalies noted in an individual (IV5, family 1) are caused by ALDH1A3 mutations. Nevertheless, it is worth remembering that extraocular anomalies are not uncommon in A/M and that environmental or epigenetic factors have been proposed to explain that some genes are involved in variable phenotypes.<sup>12</sup> Additional studies will hopefully allow a more accurate clinical definition of A/M caused by ALDH1A3 mutations.

In summary, we report that mutations in ALDH1A3 cause bilateral severe microphthalmia, possibly in association with heart anomalies and autism. Interestingly, the role of retinoic acid synthesis from vitamin A in eye development is well established. Yet, the deciphering of the genetic causes underlying A/M in humans has resulted

in the identification of a number of master control genes for the growth and development of eyes, downstream of retinoic acid signaling. The previous example of a direct link between vitamin A deficiency and severe hereditary developmental eye defect in humans is *STRA6* [MIM 610745], the gene that encodes the membrane receptor for retinol-binding protein, which mediates cellular uptake of vitamin A,<sup>23</sup> and mutations in this gene can cause nonsyndromic and syndromic A/M.<sup>8,9</sup> Our data provide evidence of a direct link between retinoic acid synthesis dysfunction and eye anomalies in humans. Finally, considering that eye defects in mouse *aldh1a* mutants can be rescued by maternal dietary retinoic acid supplementation,<sup>22</sup> this raises the possibility of using this strategy to prevent A/M in conceptus that harbor *ALDH1A3* mutations.

### Supplemental Data

Supplemental Data include Supplemental Experimental Procedures, two figures, and four tables and can be found with this article online at <http://www.cell.com/AJHG>.

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### Web Resources

The URLs for data presented herein are as follows:

Plateforme Bioinformatique Paris Descartes (BIPD), <http://mendel.necker.fr/polyweb/index.html>

Alamut Interpretation Software 2.0, <http://alamut.interactive-biosoftware.com>

Exome Variant Server, <http://evs.gs.washington.edu/EVS>

Genome Browser, <http://genome.ucsc.edu>

Online Mendelian Inheritance in Man (OMIM), <http://www.omim.org>

Swiss-PdbViewer 3.7, <http://www.expasy.org/spdbv>

dbSNP, <http://www.ncbi.nlm.nih.gov/projects/SNP>

1000 Genomes, <http://www.1000genomes.org>

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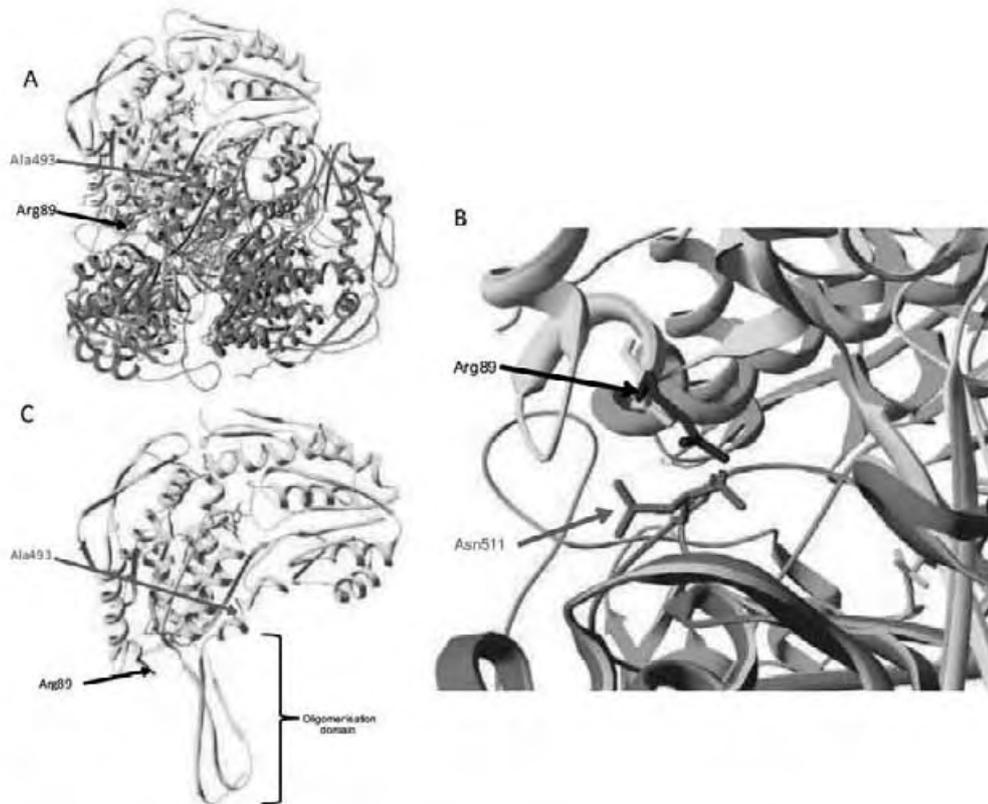
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SUPPLEMENTAL DATA

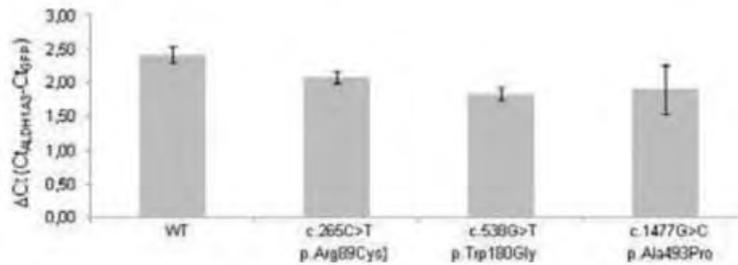
## ***ALDH1A3* Mutations Cause Recessive Anophthalmia and Microphthalmia**

Lucas Fares-Taie, Sylvie Gerber, Nicolas Chassaing, Gill Clayton-Smith, Sylvain Hanein, Eduardo Silva, Margaux Serey, Valérie Serre, Xavier Gérard, Clarisse Baumann, Ghislaine Plessis, Bénédicte Demeer, Lionel Brétilon, Christine Bole, Patrick Nitschke, Arnold Munnich, Stanislas Lyonnet, Patrick Calvas, Josseline Kaplan, Nicola Ragge, and Jean-Michel Rozet



**Figure S1. Ribbon Representation of the Human ALDH1A3 Tetramer**

The 2.35 Å coordinate set for the tetrameric sheep liver class 1 aldehyde dehydrogenase with NAD bound (pdb code: 1BXS) was used as a template for modeling the human ALDH1A3 protein (71,3% sequence identity). (A) Each of the four monomers is shown in different colors (yellow, blue, green and red, respectively). The four catalytic pockets are visualized with the four NAD co-crystallized with the sheep ALDH1 (1BXS) and are shown in light blue. (B) Magnification of the region around the Arg89 residue of a monomer. The interaction with the Asn511 residue at a distance of 5 Å in another monomer is shown. The replacement of the Arg89 by a cysteine is predicted to alter the interaction between the monomers. (C) Ribbon representation of a monomer (yellow) bound to NAD (light blue) showing the oligomerization domain and the position of the two residues affected by A/M mutations. The p.Ala493Pro mutation is predicted to alter severely the oligomerization domain of the enzyme.



**Figure S2. Relative Expression of GFP and ALDH1A3 mRNA in HEK293 Cells Measured by RT-qPCR**

HEK293 cells were co-transfected with pCAG-GFP and pCMV6-Entry-ALDH1A3-WT-cMyc, pCMV6-Entry-ALDH1A3-Arg89Cys-cMyc, pCMV6-Entry-ALDH1A3-Trp180Gly-cMyc, pCMV6-Entry-ALDH1A3-Ala493Pro-cMyc plasmids, respectively. Total mRNA was extracted using the RNeasy Mini Kit (Qiagen, Courtaboeuf, France) according to manufacturer's protocol. cDNAs (5  $\mu$ l of a 1:25 dilution in nuclease-free water) were subjected to real-time PCR amplification in a buffer (20  $\mu$ l) containing MESA BLUE qPCR Master Mix Plus for Sybr Assay (Eurogentec, Angers, France) and 300 nmol/l of forward and reverse primers, on a Taqman 7900 HT Fast Real-Time PCR System (Applied Biosystems, Courtaboeuf, France). For each cDNA sample, the mean of cycle threshold (Ct) values was calculated from triplicates (SD <0.5 Ct). ALDH1A3 expression levels were normalized by using the relation  $\Delta$ Ct (Ct<sub>ALDH1A3</sub> - Ct<sub>GFP</sub>). Amplification efficiency was calculated for each primer-pair using fourfold serial dilution curves (1:5, 1:25, 1:125, 1:625). No reverse transcriptase and no template control reaction were used as negative controls in each run. The quantitative data are the means  $\pm$  SEM of three independent experiments. The graph shows that the expression of the wild-type and mutant *ALDH1A3* mRNAs are in the same range.

A

| Filter   | sub    | del | ins | hom    | het    | Genes  |
|--|--------|-----|-----|--------|--------|--------|
| None   | 47 594 | 197 | 199 | 20 268 | 27 722 | 16 634 |
| Heterozygote variants excluded   | 20 268 | 0   | 0   |        |        | 9897   |
| Variants with frequency $\geq$ 1% in dbSNP, EVS, 1000Genome excluded.                              | 834    | 0   | 0   |        |        | 689    |
| Variants in UTR, non-coding, intergenic and deep intronic regions and synonymous variants excluded | 223    | 0   | 0   |        |        | 174    |
| Polyphen and sift prediction beginn excluded   | 28     | 0   | 0   |        |        | 27     |

B

| Gene           | chr       | Start            | End              | All      | sub      | ins      | del      | Coding   | Silent   | Splicing | Stop     |
|----------------|-----------|------------------|------------------|----------|----------|----------|----------|----------|----------|----------|----------|
| NBPF8          | 1         | 144593863        | 144622156        | 1        | 1        | 0        | 0        | 0        | 0        | 0        | 0        |
| OR2T35         | 1         | 248802088        | 248803059        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| SLC25A22       | 11        | 790975           | 798816           | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| SORL1          | 11        | 121323412        | 121504887        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| OR10G7         | 11        | 123909273        | 123910217        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| MSANTD2        | 11        | 124636894        | 124671069        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| ZFH2           | 14        | 23990569         | 24025901         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| AHNAK2         | 14        | 105404081        | 105445194        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| ITGA11         | 15        | 68594550         | 68725002         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| <b>ALDH1A3</b> | <b>15</b> | <b>101402629</b> | <b>101457331</b> | <b>1</b> | <b>1</b> | <b>0</b> | <b>0</b> | <b>1</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| CTB-134H23.2   | 16        | 29050423         | 29064547         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| CLEC18B        | 16        | 74443029         | 74456149         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| FASN           | 17        | 80036715         | 80056606         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| TCEB3C         | 18        | 44555073         | 44556949         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| HIF3A          | 19        | 46800803         | 46847190         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| FUT2           | 19        | 49199728         | 49209707         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| RGPD4          | 2         | 108443888        | 108507797        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| PLEKHB2        | 2         | 131862920        | 132111782        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| KRTAP10-6      | 21        | 46011649         | 46012886         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| EIF4G1         | 3         | 184032783        | 184053646        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| LEAP2          | 5         | 132208514        | 132211238        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| PCDHB7         | 5         | 140552743        | 140556457        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| CTAGE9         | 6         | 132030081        | 132032706        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| TRGV9          | 7         | 38357118         | 38358962         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| ZAN            | 7         | 100331749        | 100395919        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| CPSF1          | 8         | 145618944        | 145635253        | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |
| PCSK5          | 9         | 78506060         | 78977755         | 1        | 1        | 0        | 0        | 1        | 0        | 0        | 0        |

**Table S1. Whole Exome Resequencing Summary.** (A) Filtering strategy of the variants resulting in selection of 28 substitutions in 27 genes. sub: substitution, del: deletion, ins: insertion, hom: homozygous, het: heterozygous, UTR: untranslated region. (B) Genes harboring nonsynonymous

homozygote variants predicted to be deleterious or with unknown effect using the Polyphen and SIFT programs. *ALDH1A3* is the only gene located in the 15q26.3 region. Chr: chromosome.

| Exon number | Forward sequence (5'-3') | Reverse sequence (5'-3') | Size of amplified product (bp) |
|-------------|--------------------------|--------------------------|--------------------------------|
| 1           | GAGCGGGCTGCGCAGTGT       | CCGAGACGTCCCGCGAAA       | 354                            |
| 2           | GGTGGACAAGATGGATAAGA     | GCCAGTTCTGTCTTATAGCT     | 299                            |
| 3           | CCAAACTGCAGTCACCTCAA     | CACGACCACACAAAACCAG      | 368                            |
| 4-5         | GGTGCATCTGACTGTGAG       | GCTTGTTCAACGCTGGTG       | 745                            |
| 6           | CCTCCACAAAGGCATCGTTG     | GCCACTGTCCCATCTCGT       | 392                            |
| 7           | GGATGAGAAGCCCAGGTC       | GCCTGTCAAAGGAAAAGCTC     | 376                            |
| 8           | GAGAGCCAGGTGGTGGCA       | GCACACATCTTACTCTCAGT     | 323                            |
| 9           | GCAGCTGTCACCAGTCCT       | GGGACCCTGTAGGCGGTT       | 348                            |
| 10          | GGCTTGACAAGAACATGCAG     | AAGGATTTCTGGGATCCCTG     | 371                            |
| 11-12       | GCTGAAGCAATGTTTGGACG     | GCAGATTGGAGCCTGTGTC      | 1611                           |
| 13          | CTCCAACGGCCTGATGGA       | CAGTAGATGTAAAGCCTCCAG    | 298                            |

**Table S2. Primers Used for Mutation Screening of *ALDH1A3*.**

| Oligonucleotides        | Sequence (5'-3')   |
|-------------------------|--|
| ALDH1A3-c.265T_forward  | GCCTTCCAGAGGGGCTCGCCATGG <u>T</u> GCCGGCTGGATGCCCTGAGTCGT    |
| ALDH1A3-c.265A_reverse  | CCCACGACTCAGGGCATCCAGCCGGC <u>A</u> CCATGGCGAGCCCTCTGGAA     |
| ALDH1A3-c.1477C_forward | GAAATGGCAGAGAACTAGGTGAATAC <u>C</u> CTTTGGCCGAATACACAGAAGTG  |
| ALDH1A3-c.1477G_reverse | CACTTCTGTGTATTCGGCCAAAG <u>G</u> GATTTCACCTAGTTCTCTGCCATTTTC |
| ALDH1A3-c.620C_forward  | CATGGTCCTGAAGCCTGCGG <u>C</u> GCAGACACCTCTCACCGCCCTTT        |
| ALDH1A3-c.620G_reverse  | AGGGCGGTGAGAGGTGTCTGC <u>G</u> CCGCAGGCTTCAGGACCATGGT        |

**Table S3. Primers Used for Site-Directed Mutagenesis of pCMV6-Entry-*ALDH1A3* Vector**

The mutant nucleotides appear in bold underlined.

| mRNA    | Forward primer (5'-3')              | Reverse primer (5'-3')         |
|---------|-------------------------------------|--------------------------------|
| GFP     | GFP-F_TCCAGCAGGACCATGTGATC          | (GFP-R)_GTCCGCCCTGAGCAAAGA     |
| ALDH1A3 | ALDH1A3-ex12.13F_TGGCAGAGAACTAGGTGA | (c-Myc-R)_GCCAGATCCTCTTCTGAGAT |

**Table S4. RT-qPCR Primers Used to Assess *ALDH1A3* and *GFP* Expression in Transiently Transfected HEK293 Cells**

The use of a primer located in the c-Myc tag with the *ALDH1A3*-ex12.13F allowed specific amplification of the *ALDH1A3* mRNA encoded by the plasmids.

## ARTICLE 14

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### Recessive and dominant mutations in the retinoic acid receptor beta in cases with microphthalmia and diaphragmatic hernia

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Pour cet article, nous avons été contactés par l'équipe du Pr Michaud (Montréal, Canada). Son équipe avait identifié dans une famille, par une combinaison d'homozygosity mapping et d'exome sequencing, un nouveau gène, *RARB* (Retinoic Acid Receptor beta), impliqué dans une AM syndromique (syndrome PDAC ou syndrome de Matthew-Wood). Ce syndrome de Matthew-Wood a initialement été associé à des mutations du gène *STRA6*, avec une hétérogénéité génétique probable puisque des mutations du gène *STRA6* ne sont pas retrouvées chez tous les patients atteints. Nous avons pu travailler sur le gène *STRA6* et avons des patients atteints du syndrome de Matthew-Wood sans mutation identifiée. Nous avons donc été contactés par l'équipe du Pr Michaud qui recherchait des patients appartenant cliniquement au spectre PDAC, sans mutation dans *STRA6*. Nous avons pu, en collaboration avec le Dr Nicola Ragge, inclure 15 patients (10 de Toulouse, 5 d'Oxford) correspondant à ces critères, ainsi que 15 patients avec anophtalmie ou microphthalmie extrême. Le séquençage du gène *RARB* chez ces patients a permis d'identifier chez 3 d'entre eux une variation faux-sens hétérozygote touchant le même codant du gène *RARB*. Ces variations n'étaient pas rapportées dans les bases de données, et la présence d'une variation hétérozygote du même codon (p.Arg387Cys et p.Arg387Ser) chez trois individus non apparentés suggérait un possible effet

dominant (mutation activatrice ou dominante négative). Cette hypothèse a été confortée par l'analyse parentale qui chez les trois patients a permis de montrer que le variant faux-sens de ce codon Arg387 étaient apparues *de novo*. Cette hypothèse a secondairement été confirmée par des analyses fonctionnelles démontrant l'effet activateur des mutations touchant ce codon, et l'effet perte de fonction des mutations récessives identifiées dans la première famille de cette étude. Cette étude implique ainsi un nouveau gène de la voie du métabolisme de l'acide rétinoïque dans l'AM. Des mutations de ce gène peuvent être transmises selon une transmission autosomique dominante ou récessive.

## Recessive and Dominant Mutations in Retinoic Acid Receptor Beta in Cases with Microphthalmia and Diaphragmatic Hernia

Myriam Srour,<sup>1,12</sup> David Chitayat,<sup>2,3,12</sup> Véronique Caron,<sup>1,12</sup> Nicolas Chassaing,<sup>4,5,12</sup> Pierre Bitoun,<sup>6</sup> Lysanne Patry,<sup>1</sup> Marie-Pierre Cordier,<sup>7</sup> José-Mario Capo-Chichi,<sup>1</sup> Christine Francannet,<sup>8</sup> Patrick Calvas,<sup>4,5</sup> Nicola Ragge,<sup>9,10</sup> Sylvia Dobrzeniecka,<sup>11</sup> Fadi F. Hamdan,<sup>1</sup> Guy A. Rouleau,<sup>11</sup> André Tremblay,<sup>1</sup> and Jacques L. Michaud<sup>1,\*</sup>

Anophthalmia and/or microphthalmia, pulmonary hypoplasia, diaphragmatic hernia, and cardiac defects are the main features of PDAC syndrome. Recessive mutations in *STRA6*, encoding a membrane receptor for the retinol-binding protein, have been identified in some cases with PDAC syndrome, although many cases have remained unexplained. Using whole-exome sequencing, we found that two PDAC-syndrome-affected siblings, but not their unaffected sibling, were compound heterozygous for nonsense (c.355C>T [p.Arg119\*]) and frameshift (c.1201\_1202insCT [p.Ile403Serfs\*15]) mutations in retinoic acid receptor beta (*RARB*). Transfection studies showed that p.Arg119\* and p.Ile403Serfs\*15 altered *RARB* had no transcriptional activity in response to ligands, confirming that the mutations induced a loss of function. We then sequenced *RARB* in 15 subjects with anophthalmia and/or microphthalmia and at least one other feature of PDAC syndrome. Surprisingly, three unrelated subjects with microphthalmia and diaphragmatic hernia showed de novo missense mutations affecting the same codon; two of the subjects had the c.1159C>T (Arg387Cys) mutation, whereas the other one carried the c.1159C>A (p.Arg387Ser) mutation. We found that compared to the wild-type receptor, p.Arg387Ser and p.Arg387Cys altered *RARB* induced a 2- to 3-fold increase in transcriptional activity in response to retinoic acid ligands, suggesting a gain-of-function mechanism. Our study thus suggests that both recessive and dominant mutations in *RARB* cause anophthalmia and/or microphthalmia and diaphragmatic hernia, providing further evidence of the crucial role of the retinoic acid pathway during eye development and organogenesis.

Anophthalmia or microphthalmia (A/M) refers to the absence or reduced size of the axial diameter of the globe in the ocular orbit, respectively. In over 50% of cases, A/M is associated with other congenital abnormalities.<sup>1,2</sup> The combination of pulmonary hypoplasia or agenesis, diaphragmatic hernia or eventration, A/M, and cardiac defects is characteristic of PDAC syndrome, which is also known as Matthew-Wood syndrome or Spear syndrome (MIM 601186).<sup>3</sup> In some individuals, PDAC syndrome is caused by autosomal-recessive mutations in *STRA6* (MIM 610745), encoding a membrane receptor for the retinol-binding protein.<sup>4,5</sup> Many cases of PDAC syndrome, however, remain unexplained.

In this study, we performed whole-exome sequencing in a nonconsanguineous family affected by PDAC syndrome to uncover the genetic cause (Figure 1A). The parents have two healthy daughters and four affected children, of whom two have all the features of PDAC syndrome and two have at least two features of PDAC syndrome (individuals II-1, II-4, II-5, and II-6 in family A, see Table 1 for clinical details). This family was described previously (cases IA

[II-4], 2A [II-5], and 3A [II-6] from family A in Chitayat et al.<sup>3</sup>). *STRA6* was previously sequenced in one affected individual of this family, and no mutation was identified.

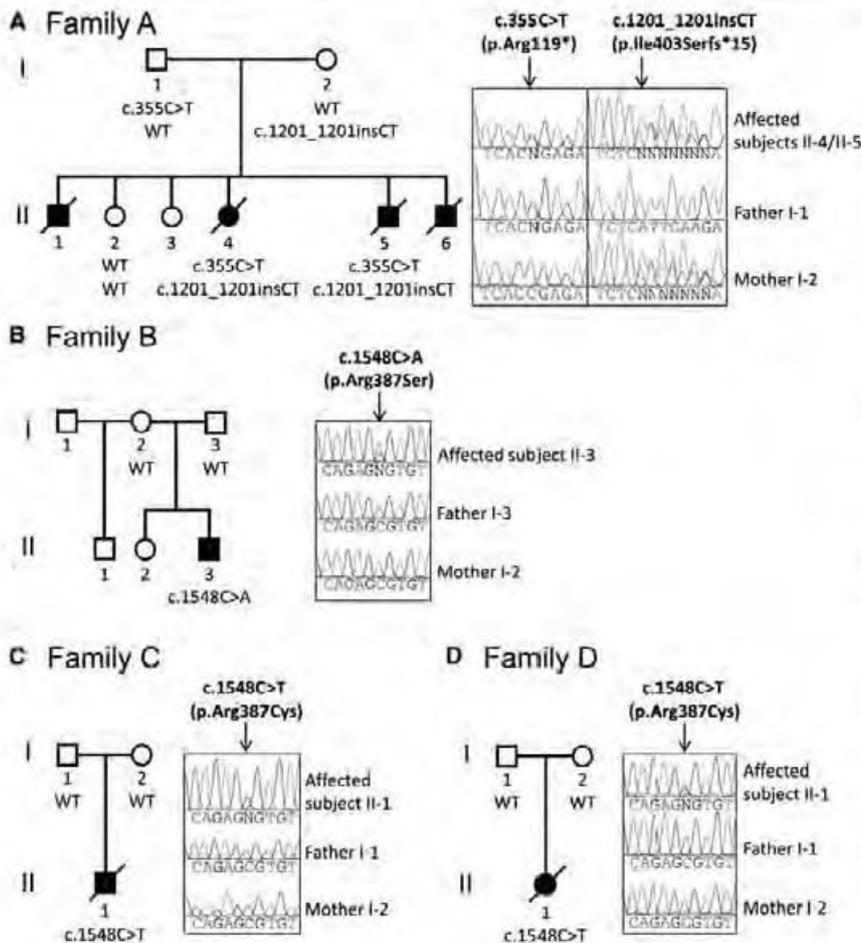
This study was approved by our institutional ethics committee, and informed consent was obtained from each participant or legal guardian. Blood genomic DNA from affected individuals II-4 and II-5 and the unaffected sister (II-2) from family A was captured with the Agilent SureSelect Human All Exon Capture V4 Kit and sequenced (two paired-end 100 bp reads, three exomes per lane) with Illumina HiSeq2000 at the McGill University Genome Quebec Innovation Center (Montreal). Sequence processing, alignment (with a Burrows-Wheeler algorithm), and variant calling were done according to the Broad Institute Genome Analysis Toolkit (GATK v.4) best practices, and variant annotation was done with ANNOVAR.<sup>6</sup> The average exome coverage of the target bases was 111–114×, and 95% of the target bases were covered by at least 20 reads. Only the variants whose positions were covered  $\geq 8\times$  and supported by at least three variant reads constituting at least 20% of the total reads for each called

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**Figure 1. Mutations in *RARB* in Individuals with PDAC Syndrome**

Sanger sequencing confirmed segregation of the recessive mutations in *RARB* in family A (A) and revealed that the mutations were de novo in families B (B), C (C), and D (D).

The affected probands, but not the unaffected sister, were compound heterozygous and the parents were singly heterozygous for the variants in *RARB* and *GAPVD1* (Figure 1A). *RARB* (RefSeq accession number NM\_000965.3), which encodes RA receptor beta (*RARB*), was found to harbor two protein-truncating mutations: nonsense c.355C>T (p.Arg119\*) and frameshift c.1201\_1202insCT (p.Ile403Serfs\*15). These variants are absent from all public SNP databases (1000 Genomes, EVS, and dbSNP138) and from our in-house exomes ( $n > 1,000$ ). *RARB* has two major isoforms noted in the UCSC Genome Browser and three additional isoforms noted in the Ensembl Genome Browser. The major RefSeq isoform (RefSeq NM\_000965.3) has eight exons and encodes a 448 aa protein. Both identified mutations affect all known *RARB* isoforms (Figure 2A). *GAPVD1* (RefSeq NM\_015635), which encodes GTPase-activating protein and VPS9 domain 1, was found to harbor two rare missense variants, c.2809C>T (p.Arg937Trp) and c.3266G>T (p.Gly1089Val), in the probands. The p.Arg937Trp substitution is predicted to be damaging by both SIFT (score 0.0) and PolyPhen-2 (score 1.0), but the p.Gly1089Val substitution is predicted to be benign by both SIFT (score 0.2) and PolyPhen-2 (score 0.145). *GAPVD1* is involved in endocytosis,<sup>8</sup> phagosome maturation,<sup>9</sup> and regulation of the epidermal growth factor receptor<sup>10</sup> but is not known to have a role in eye development or embryogenesis. Because of the importance of the RA pathway for eye and diaphragm development (discussed below), mutations in *RARB* were deemed more likely to be pathogenic than those in *GAPVD1*.

position were retained. To identify potentially pathogenic variants, we filtered out (1) synonymous variants or intronic variants other than those affecting the consensus splice sites, (2) variants seen in more than 2% of our in-house exomes ( $n = 1,000$ ) from unrelated projects, and (3) variants with a minor allele frequency greater than 0.5% in either the 1000 Genomes Project or the National Heart, Lung, and Blood Institute (NHLBI) Exome Sequencing Project Exome Variant Server (EVS). The affected individuals did not share any rare coding or splicing variants in *STRA6* or *ALDH1A3* (MIM 600463), another gene involved in A/M and retinoic acid (RA) signaling.<sup>7</sup>

Given that transmission of the phenotype in this family was consistent with autosomal-recessive inheritance, we searched the whole-exome data sets for genes harboring homozygous or multiple rare variants in both affected probands, but not in their unaffected sibling. There were no such genes with rare homozygous variants. Only three genes containing multiple rare variants in both affected individuals were not shared by the unaffected sister: *PRPF39* (MIM 614907), *RARB* (MIM 180220), and *GAPVD1* (MIM 611714). Sanger sequencing in the parents and the siblings revealed that the variants in *PRPF39* were inherited in *cis*, thus excluding this gene as a candidate.

RA receptors bind to DNA motifs known as RA response elements (RAREs) to modulate transcription of target genes by interacting with transcriptional corepressors and coactivators. Upon binding to RA, the corepressor docking site becomes hindered by helix 12 positioning, resulting in the recruitment of coactivators and an increase in transcription of target genes.<sup>11</sup> The c.355C>T (p.Arg119\*) nonsense mutation is predicted to result in an inactive truncated receptor lacking the second zinc finger of the

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**Table 1. Clinical Characteristics of Subjects with Mutations in *RARB***

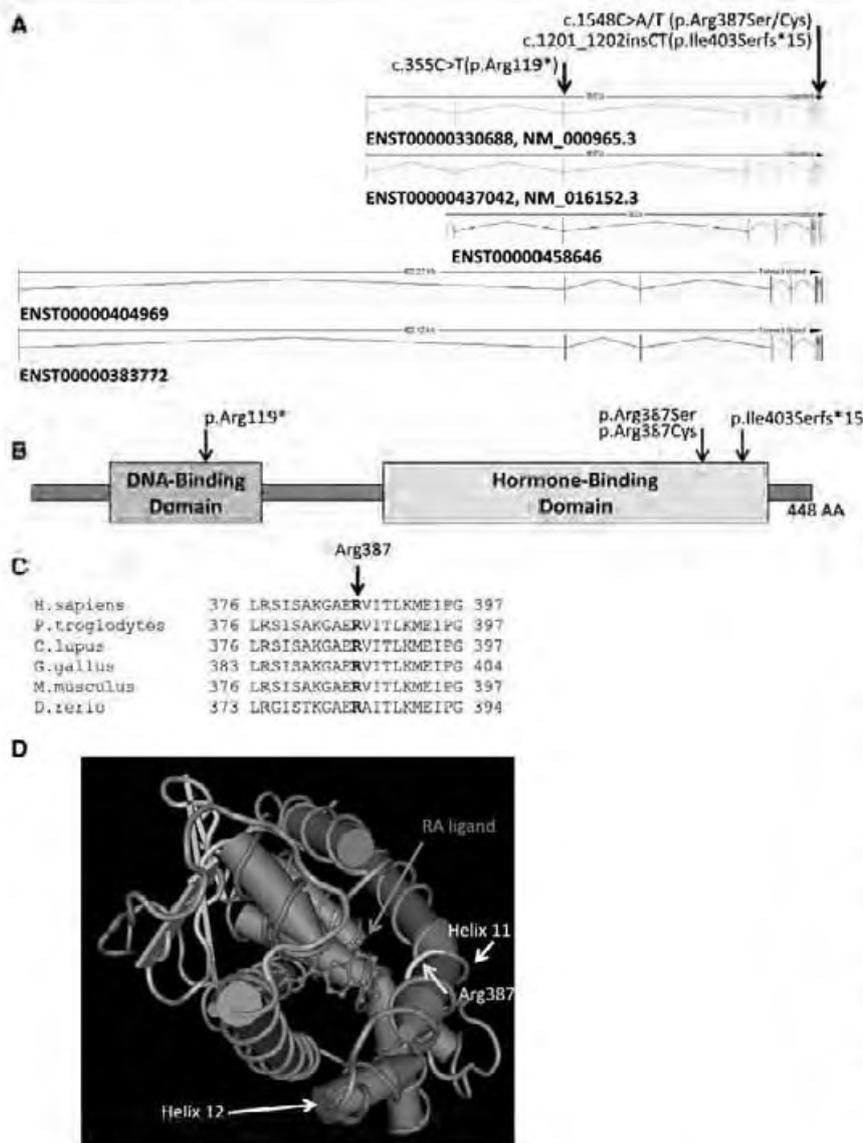
|                         | Family A  |   |  |                   | Family B                            |                                      | Family C  |   | Family D  |   |
|-------------------------|---|---|--|-------------------|-------------------------------------|--------------------------------------|---|---|---|---|
|                         | II-1  | II-4  | II-5   | II-6              | II-3                                | II-1                                 | II-1  | II-1  | II-1  |   |
| Ethnicity               | French Canadian and English                     |   |  |                   |                                     |                                      |   |   |   |   |
| Consanguinity           | -   |   |  |                   |                                     |                                      |   |   |   |   |
| Mutations               | NA  | c.355C>T (p.Arg119*) and c.1201_1202insCT (p.Leu403Serfs*15)        | c.355C>T (p.Arg119*) and c.1201_1202insCT (p.Leu403Serfs*15) | NA                | c.1159>A (p.Arg387Ser) <sup>a</sup> | c.1159C>T (p.Arg387Cys) <sup>b</sup> | c.1159C>T (p.Arg387Cys) <sup>b</sup>  | c.1159C>T (p.Arg387Cys) <sup>b</sup>  | c.1159C>T (p.Arg387Cys) <sup>b</sup>  | c.1159C>T (p.Arg387Cys) <sup>b</sup>  |
| Gender                  | male  | female  | male   | male              | male                                | male                                 | male  | male  | female  | female  |
| Age (age at death)      | (few hours; 34 weeks of gestation) <sup>c</sup> | (few hours; 34 weeks of gestation)                                  | (few hours; 38 weeks of gestation)                           | (few hours; term) | 16 years                            | (34-week-old fetus)                  | (few hours; 39 weeks of gestation)  | (34-week-old fetus)   | (few hours; 39 weeks of gestation)  | (few hours; 39 weeks of gestation)  |
| Bilatera                | +   | +   | +  | +                 | +                                   | +                                    | +   | +   | +   | +   |
| Pulmonary hypoplasia    | NA  | -   | +  | NA                | -                                   | -                                    | left lung with one hypoplastic lobe; normal right lung  | left lung with one hypoplastic lobe; normal right lung  | left lung with one hypoplastic lobe; normal right lung  | left lung with one hypoplastic lobe; normal right lung  |
| Diaphragmatic hernia    | NA  | -   | +  | -                 | +                                   | +                                    | +   | +   | +   | +   |
| Cardiac abnormality     | NA  | +   | -  | NA                | -                                   | -                                    | -   | -   | -   | -   |
| Intellectual disability | NA  | NA  | NA   | NA                | +                                   | +                                    | +   | +   | +   | +   |
| Other                   | -   | cleft palate, dysmorphism, small spleen, bicornate and small uterus | dysmorphism, unfixed, malrotated bowel                       | dysmorphism       | -                                   | -                                    | malrotated bowel, right cryptorchid, mild IUGR (weight and length), and OFC at the fifth percentile | malrotated bowel, right cryptorchid, mild IUGR (weight and length), and OFC at the fifth percentile | malrotated bowel, right cryptorchid, mild IUGR (weight and length), and OFC at the fifth percentile | malrotated bowel, right cryptorchid, mild IUGR (weight and length), and OFC at the fifth percentile |

Abbreviations are as follows: NA, not available; IUGR, intrauterine growth restriction; and OFC, occipitofrontal circumference.

<sup>a</sup>De novo mutation.

<sup>b</sup>Died shortly after birth at 34 weeks as a result of a presumed tangled cord, although a diagnosis of PDAC was strongly suspected.

<sup>c</sup>Terminated pregnancy.



**Figure 2. Localization and Impact of the Mutations in RARB**

(A) Shown are the positions of the mutations with respect to the different RARB Ensembl-annotated transcripts that are predicted to produce proteins. Numbering on top is based on the cDNA positions of Ensembl ENST00000330688 (identical to RefSeq NM\_000965.3).

(B) A schematic of RARB shows the DNA-binding and hormone-binding domains. Arrowheads above the protein show the positions of the variants.

(C) The HomoloGene-generated amino acid alignment of human RARB and its predicted orthologs shows the conservation of the p.Arg387 residue.

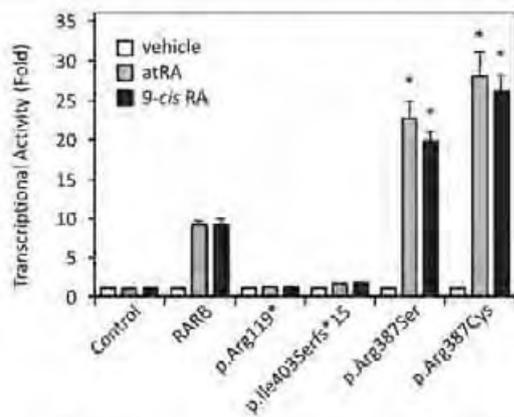
(D) The three-dimensional structure of RARB (Protein Data Bank ID 4DM8) in the presence of the RA ligand (purple) shows the proximity of the Arg387 residue in helix 11 to the RA ligand.

DNA-binding domain and the entire ligand-binding domain (Figure 2B). Moreover, this mutation has the potential to activate the nonsense-mediated mRNA decay pathway, resulting in the degradation of the corresponding transcript. The c.1201\_1202insCT (p.Ile403Serfs\*15) mutation results in the substitution of a hydrophobic isoleucine with serine, a polar residue, and the replacement of the last 52 amino acids with an aberrant extension of 15 amino acids (Figure 2B). As part of helix 12, residue Ile403 is thus predicted to play a key role in the recruitment of transcriptional cofactors and response to ligand. As such, in vitro studies have shown that Ile403 substitution with serine in RARB confers an increased binding to corepressor SMRT in the absence of ligand and thus results in reduced transcriptional activity.<sup>12</sup> Both mutations found in family A are thus predicted to disrupt RARB function.

We tested the impact of these truncating mutations on RARB activity by using a cellular one-hybrid luciferase-

RARB in cells. Transfected HEK293 cells were treated with the natural ligands all-trans RA (atRA) and its stereoisomer, 9-cis RA, which both act as pan-RAR agonists. As expected, compared to that of wild-type RARB, the transcriptional response of the p.Arg119\* variant to the two RA ligands was completely abolished, correlating with its lack of ligand-binding domain (Figure 3). Similarly, compared to wild-type RARB, the p.Ile403Serfs\*15 variant showed an impaired transcriptional response to retinoids. In the p.Ile403Serfs\*15 variant, the disruption of helix 12 and its replacement with an aberrant extension most likely interfered with its ability to occlude the corepressor docking site. All together, these results strongly suggest that these truncating variants confer a loss of RARB function and explain the occurrence of PDAC syndrome in family A.

We next sequenced all the coding exons and intron-exon boundaries of RARB and the alternate exon 1



**Figure 3. Transcriptional Response of Human RARB Variants to RA Ligands**

HEK293 cells were seeded in 24-well plates and transfected with 100 ng per well of expression plasmid encoding either Gal4 fusion of wild-type human RARB or p.Arg119\*, p.Ile403Serfs\*15, p.Arg387Ser, or p.Arg387Cys RARB variants in the presence of 500 ng of UAStkLuc reporter-gene construct. All variant constructs, including p.Ile403Serfs\*15 (carrying the additional out-of-frame amino acid extension), were generated by site-directed mutagenesis. Cells were treated with 1  $\mu$ M atRA, 1  $\mu$ M 9-cis RA, or vehicle (DMSO; 1/1,000, v/v) for 16 hr. Luciferase values were normalized to  $\beta$ -galactosidase activity and expressed as a fold response compared to those of empty Gal4-transfected control cells. Data were derived from three independent experiments performed in triplicate. \* $p < 0.005$  versus wild-type RARB response to the respective RA ligand. Values represent means, and error bars represent SEs.

(Ensembl accession number ENST00000404969) in 15 additional individuals who had bilateral or unilateral A/M and at least one additional feature of PDAC (diaphragmatic hernia, cardiac defect, or lung hypoplasia) and who had been previously screened for mutations in *STRA6*.<sup>14</sup> In three unrelated subjects, who were all simplex cases, we identified single heterozygous *RARB* missense mutations affecting the same nucleotide. Of these, two subjects (II-1 from family C and II-1 from family D) harbored missense mutation c.1159C>T (p.Arg387Cys) and one individual (II-3 from family B) harbored missense mutation c.1159C>A (p.Arg387Ser) (Figures 1B and 1C). These mutations were absent from the genomic DNA of the parents, indicating that they occurred de novo. Using six informative unlinked microsatellite markers (D3S1754, D4S3351, D8S1179, D15S659, D14S587, and D19S215), we confirmed the paternity and maternity in these families, as previously described.<sup>15</sup> Both c.1159C>T (p.Arg387Cys) and c.1159C>A (p.Arg387Ser) are absent from public SNP databases (EVS, 1000 Genomes, and dbSNP138) and from our entire collection of in-house exomes ( $n > 1,000$ ). They are both predicted to be damaging by SIFT (score 0.0) and PolyPhen-2 (score 1.0) and affect a highly conserved amino acid in helix 11 of the ligand-binding domain (Figure 2C). Subject II-1 from family C was a fetus for whom pregnancy was terminated because of unilateral microphthalmia and left diaphragmatic hernia on prenatal ultrasound (Table 1). Autopsy

also showed hypoplasia of a pulmonary lobe on the left side. Subject II-1 from family D was a newborn who passed away within a few hours after birth because of a left diaphragmatic hernia. This subject also showed bilateral microphthalmia and pulmonary hypoplasia. Subject II-3 from family B is currently a 14-year-old male with bilateral microphthalmia, corrected diaphragmatic hernia, and abnormal cognitive development with spasticity (for clinical details, see case 6 in Chitayat et al.<sup>3</sup>). We also sequenced *RARB* in 11 cases with isolated bilateral A/M, but we did not find any mutation in this gene.

The fact that the de novo mutations involved the same residue (Arg387) suggests that they confer a specific property to the protein. These mutations could induce a dominant-negative effect or act through a gain-of-function mechanism. In order to distinguish between these possibilities, we sought to study the impact of these mutations by using our one-hybrid functional assay. The p.Arg387Ser and p.Arg387Cys altered RARB exhibited a significant increase in their transcriptional response to atRA; they reached 23- and 28-fold induction, respectively, compared to 9-fold induction for wild-type RARB (Figure 3). Similar activation levels were also obtained with the 9-cis RA ligand. These results suggest that the two variants at Arg387 provide increased transcriptional potential to respond to retinoid ligands through a gain-of-function mechanism. Explaining such an increase in activity will require a more detailed mechanistic analysis.

We have identified compound-heterozygous truncating mutations and de novo mutations affecting the same *RARB* nucleotide in individuals with PDAC syndrome. The occurrence of such mutations in individuals with a similar and rare phenotype strongly suggests that they cause PDAC syndrome. Indeed, several observations indicate that the RA pathway plays a major role in the development of the eyes, diaphragm, and lungs. RA is a metabolite of retinol, a derivative of vitamin A. The importance of the RA pathway in embryogenesis has been recognized for decades, given that rats deficient in vitamin A give birth to progeny with multiple congenital malformations, including ocular abnormalities and diaphragmatic hernia.<sup>16</sup> Circulating retinol is bound to retinol-binding protein 4 (RBP4). The transmembrane protein *STRA6* (stimulated by RA) facilitates the intracellular uptake of the retinol-RBP complex.<sup>4</sup> Mutations in *STRA6* have been identified in at least 24 individuals with A/M.<sup>5,14,17-19</sup> Most of these subjects showed other features of PDAC syndrome, but some of them had isolated A/M. Once transported into the cell, retinol is successively oxidized to retinaldehyde and RA. Mutations in *ALDH1A3*, which encodes a retinaldehyde dehydrogenase that is responsible for the oxidation of retinaldehyde into RA, have been found to be responsible for A/M with variable neurodevelopmental and cardiac features.<sup>7</sup>

In target cells, RA acts as a ligand for nuclear RA receptors. Several observations in mice suggest that these receptors play a major role in eye, diaphragm, and lung

development. Mice lacking all *Rarb* isoforms display microphthalmia.<sup>20,21</sup> RA is generated in the epithelial ocular compartment and diffuses in the neural-crest-cell-derived periocular mesenchyme to activate RARB and RARG. In turn, these receptors regulate the remodeling of the periocular mesenchyme, the growth of the ventral retina, and the expression of *Foxc1* and *Pitx2*, which play central roles in the development of the anterior eye segment.<sup>22</sup> Studies of mutant mice lacking both *Rara* and *Rarb* subtypes have also demonstrated the presence of diaphragmatic hernias in a subset of offspring.<sup>23</sup> Moreover, administration of nitrofen to pregnant rodents is thought to cause diaphragmatic hernias, in part through downregulation of RA receptor signaling (reviewed in Greer et al.<sup>24</sup>). Recent studies have indicated that *Rarb* functions along a pathway that directs development of the central tendon of the diaphragm.<sup>25</sup> Finally, *Rarb*<sup>-/-</sup> mice have smaller and more numerous alveoli in their lungs.<sup>26</sup> *Rarb* has been shown to have a critical role in lung morphogenesis by inducing *Egf10* expression in bud fields.<sup>27</sup> Overall, these studies support our conclusion that loss of RARB function causes PDAC syndrome in family A.

Our transfection experiments suggest that the de novo mutations affecting Arg387 result in enhanced activity of RARB in response to RA ligands, suggesting a gain-of-function mechanism. Whether these two substitutions induce a conformational change that enhances protein stability, favors coactivator recruitment, and/or increases RA binding affinity remains to be determined. Consistent with this latter possibility, crystallographic studies have established that the Arg387 residue is facing inward relative to the ligand-binding pocket, in close proximity to the retinoid ligand (Figure 2D). Our model of gain-of-function mutations thus suggests that an increase in RARB response to retinoids might represent a primary cause of PDAC syndrome. Indeed, excess of vitamin A or RA during development in mice causes various malformations, including microphthalmia and diaphragmatic hernia.<sup>28–32</sup> Moreover, expression of a constitutively active RAR transgene in the developing eye results in animals that exhibit microphthalmia.<sup>33</sup> Similarly, zebrafish embryos exposed to 9-*cis*-RA develop multiple developmental abnormalities, including microphthalmia.<sup>34</sup> RA is also teratogenic in humans.<sup>35</sup> Interestingly, microphthalmia and diaphragmatic hernia have been reported in some babies from women exposed to RA during pregnancy.<sup>35,36</sup>

All together, our findings and the previous work described above therefore suggest that both decreased and increased RARB activity can result in PDAC syndrome. A recent study showed that RA exposure during embryonic development in mice was followed by decreased levels of *Raldh* transcripts encoding RA-synthesizing enzymes and increased levels of *Cyp26a1* and *Cyp26b1*, mRNAs encoding enzymes that catabolize RA.<sup>37</sup> Overall, these changes resulted in a decrease in RA levels. Restoration of RA levels by maternal supplementation with low doses of RA after the teratogenic insult rescued several developmental de-

fects. Paradoxically, increased RARB signaling could thus result in a secondary state of RA deficiency, which could have an impact on this pathway at specific stages of development. Alternatively, it is possible that some developmental processes require a tight regulation of RARB targets, given that too little or too much signaling has the same consequence on these pathways.

In summary, we found that both recessive and dominant RARB mutations affect RARB function in the context of PDAC syndrome, opening a new window on the structural and mechanistic basis of RA receptor activity as it relates to human disease.

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## Web Resources

The URLs for data presented herein are as follows:

1000 Genomes Project, <http://browser.1000genomes.org/index.html>  
dbSNP, <http://www.ncbi.nlm.nih.gov/projects/SNP/>  
Ensembl Genome Browser, <http://www.ensembl.org/index.html>  
GATK Best Practices, <http://www.broadinstitute.org/gatk/guide/topic?name=best-practices>  
HomoloGene (NCBI), <http://www.ncbi.nlm.nih.gov/homologene>  
NIH Exome Sequencing Project (ESP) Exome Variant Server (EVS), <http://evs.gs.washington.edu/EVS/>  
Online Mendelian Inheritance in Man (OMIM), <http://www.omim.org>  
PolyPhen-2, <http://genetics.bwh.harvard.edu/pph2/>  
Protein Data Bank, <http://www.rcsb.org/pdb>  
RefSeq, <http://www.ncbi.nlm.nih.gov/RefSeq>  
SIFT, <http://sift.jcvi.org/>  
UCSC Genome Browser, <http://genome.ucsc.edu/>

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## ARTICLE 15

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### A male with unilateral microphthalmia reveals a role for *TMX3* in eye development

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Nous avons été contactés par l'équipe du Pr. Anne Slavotinek (San Francisco, USA). Cette équipe venait de mettre en évidence, par CGH-array, une délétion sur le bras long du chromosome 18 chez un patient atteint d'AM. Dans cette délétion était inclus le gène *TMX3* exprimé au cours du développement dans la rétine et le cristallin. Cette équipe a recruté des patients AM pour séquencer ce gène chez eux à la recherche de mutations ponctuelles. Nous avons pu inclure 36 patients AM dans cette étude. L'implication de ce gène *TMX3* dans les défauts du développement oculaire a pu secondairement être validée par des expériences d'inactivation de l'expression de ce gène à l'aide de morpholinos dans un modèle de poisson zèbre.



## **PERSPECTIVES ET CONCLUSION**

## Perspectives

Les approches qui ont été développées au cours de ma thèse visaient à identifier des gènes candidats pouvant être impliqués dans les AM. J'ai décrit dans cette thèse, les différentes approches utilisées (gènes candidats fonctionnels, gènes candidats positionnels, gènes cibles des 4 FTs majeurs du développement oculaire).

Ces différentes approches nous ont permis d'obtenir de nombreux résultats dont certains, n'ont pas pu être totalement explorés. Il serait ainsi intéressant de se pencher plus spécifiquement sur le rôle au cours du développement oculaire des autres gènes (autres que *PTCH1*) pour lesquels des variations considérées comme possiblement pathogènes ont été identifiées chez les patients AM. Un travail spécifique sur le rôle des variations identifiées dans les régions régulatrices pourrait également être envisagé. Enfin, les résultats obtenus par ChIP-seq montrent une interaction forte entre les facteurs de transcription SOX2 et OTX2, similaire à celle déjà identifiée pour SOX2 et CHD7<sup>184</sup>. Les données obtenues sur la co-régulation de gènes cibles par ces deux facteurs de transcription (démontrée précédemment pour la régulation de l'expression du gène *RAX*<sup>173</sup>) mériteraient d'être approfondies.

Avec la révolution du séquençage haut débit, la recherche de gènes impliqués dans des pathologies a totalement été modifiée. Le travail de réflexion n'est plus en amont du projet (recherche de gènes candidats pouvant être impliqués dans la pathologie), mais en aval du projet (recherche parmi les gènes porteur de mutations délétères d'un patient, ceux qui pourraient être impliqués dans la pathologie). Il convient donc de valider *a posteriori* le rôle potentiel d'un gène porteur de mutations délétères dans une pathologie donnée.

Le séquençage haut débit peut être envisagé façon ciblée, mais de plus en plus, on a recours à des approches pangénomiques ("whole exome" ou "whole genome"). Devant le nombre important (plusieurs centaines) de variations potentiellement causales identifiées pour chaque patient, différentes stratégies ont été élaborées<sup>185</sup> :

- Recherche de mutations hétérozygotes en commun chez plusieurs patients atteints d'une même pathologie à transmission autosomique dominante. Cette approche n'est qu'exceptionnellement réalisable dans le cadre des AM en l'absence de grandes familles.
- Recherche de mutations présentes dans un gène en commun chez des patients non apparentés présentant la même pathologie. Cette approche, à moins de disposer d'un très grand nombre de patients, paraît aléatoire dans le cas des AM compte tenu de

l'hétérogénéité génétique majeure. Elle peut parfois être envisagée pour des formes syndromiques spécifiques de malformation oculaires.

- Recherche de mutations récessives homozygotes chez des patients issus de parents apparentés. La sensibilité de cette approche peut être augmentée en testant en parallèle plusieurs membres d'une même famille.
- Recherche de mutations hétérozygotes *de novo*. Cette approche est particulièrement indiquée pour les pathologies à forte hétérogénéité génétique et a démontré son efficacité dans des pathologies aussi génétiquement hétérogènes que la déficience intellectuelle<sup>186</sup> ou l'autisme<sup>187</sup>.

Toutes ces approches ont permis un bond des connaissances et la description de dizaines de nouveaux gènes de pathologie humaine. Nous les avons utilisées dans plusieurs projets qui sont en cours et que je vais brièvement décrire ici :

- *Recherches de mutations causales d'AM*

En collaboration avec le Dr Nicola Ragge (Oxford UK), nous avons initié un projet de séquençage d'exome chez 24 patients AM. 12 sont des cas sporadiques étudiés en trio avec les parents (recherche de mutations *de novo*) et 12 sont des patients issus de parents apparentés (recherche de mutations homozygotes). Les résultats du séquençage haut débit ont été validés par séquençage Sanger. Ces analyses ont permis d'identifier une nouvelle mutation faux-sens délétère *de novo* de *PAX6* chez un patient, une mutation faux-sens hétérozygote du gène *BCOR* chez une patiente ainsi qu'une mutation faux-sens homozygote délétère (analyses fonctionnelles réalisées) du gène *ALDH1A3*. Ainsi pour 3 des 24 patients testés, l'approche par séquençage d'exome a permis d'identifier des mutations dans un gène connu d'AM (ce qui confirme le pouvoir de cette approche). Nous avons de nombreux gènes candidats parmi les 21 autres patients. Pour valider ces candidats, un séquençage (séquençage moyen débit) de ces gènes candidats dans une cohorte de 96 nouveaux patients AM est en cours d'organisation. En parallèle des analyses fonctionnelles des variants les plus intéressants vont être entreprises.

- *Recherches de mutations causales d'AM syndromique (Matthew-Wood)*

Nous avons initié en collaboration avec l'équipe du Pr. Jacques Michaud (Montréal, Canada), la recherche de mutation par séquençage d'exome chez 5 patients atteints de syndrome de Matthew-Wood (spectre PDAC), sans mutation identifiée dans *STRA6* ni *RARB*.

- *Recherches de mutations causales de dysgénésie du segment antérieur*

Nous avons initié en collaboration avec l'équipe du Dr. Josseline Kaplan et du Dr. Jean-Michel Rozet (Paris, France), la recherche de mutation par séquençage d'exome chez des patients atteints de dysgénésie du segment antérieur. 5 patients non apparentés atteints d'aniridie sans mutation de *PAX6* vont être analysés. De plus, des analyses de trio pour des patients atteints de dysgénésie du segment antérieur (anomalies de Peters, Rieger ou Axenfeld) est en cours.

- *Recherches de mutations causales d'aniridie syndromique*

En collaboration avec la même équipe du Dr. Josseline Kaplan et du Dr. Jean-Michel Rozet, nous avons débuté un projet visant à identifier un gène pour le syndrome de Gillespie associant aniridie et ataxie cérébelleuse. 4 patients atteints non apparentés vont être analysés.

Comme précédemment mentionné, il conviendra de valider l'implication des gènes identifiés par ces techniques de séquençage haut débit. De multiples approches sont possibles (recherche de mutation du même gène chez d'autres patients, validation fonctionnelle de la mutation, modèles animaux [zebrafish ou souris le plus fréquemment]...). Les approches utilisées pour valider nos gènes dépendent du type de mutation, de la fonction du gène, et de la pathologie étudiée. C'est dans le choix des meilleures techniques de validation des résultats haut débit que se fait maintenant la réflexion du chercheur.

## Conclusion

Les AM sont les malformations embryonnaires oculaires les plus sévères. L'importante hétérogénéité génétique et la variabilité phénotypique rendent difficile l'optimisation de la prise en charge des patients et le conseil génétique. Nous avons fixés différents objectifs pour ce travail de thèse, visant à améliorer les connaissances sur les aspects cliniques et moléculaires de cette pathologie.

La première partie de mon travail a permis de montrer la fréquence de l'implication des principaux gènes d'AM dans la plus grande cohorte de patients rapportée. Cette analyse a permis de connaître le poids de chaque gène étudié dans l'AM. Cette analyse a également souligné la proportion importante de patients (80 %) chez qui l'AM ne peut pas être expliquée par des mutations des gènes couramment étudiés.

La deuxième partie de mon travail a été d'exploiter les résultats moléculaires obtenus chez les patients de notre cohorte pour essayer de mieux définir les phénotypes associés à chaque gène. Nous avons ainsi pu décrire précisément le phénotype de 32 patients porteurs de mutation dans ces gènes ce qui augmente fortement les données cliniques disponibles dans la littérature. En raison de présentations cliniques atypiques dans deux familles, nous nous sommes plus particulièrement intéressés aux variations phénotypiques observées pour les mutations des gènes *STRA6* et *OTX2*. Ceci nous a conduits à développer deux projets de recherche spécifiques concernant chacun de ces gènes et l'effet de leurs mutations et à décrire une étendue insoupçonnée des spectres phénotypiques en comparaison avec les descriptions initiales. En effet les mutations du gène *STRA6* se sont avérées pouvoir être responsables d'AM isolées, de manière surprenantes celles d'*OTX2* d'une atteinte développementale mandibulaire extrême, l'otocéphalie. Tout comme auparavant nous avons montré l'implication de *SOX2* dans des lésions cérébrales isolées ou le spectre AEG dans une même fratrie.

Enfin, la troisième partie de mon travail a visé à identifier de nouveaux gènes d'AM. Pour cela plusieurs approches ont été utilisées. Notre approche expérimentale (ChIP et transcriptomique) a permis d'obtenir des données précieuses sur les gènes régulés par les FTs Sox2, Otx2, Pax6 et Rax. Elle a conduit à sélectionner un certain nombre de gènes candidats, avant que la révolution du séquençage haut débit n'introduise un changement radical dans la progression de nos travaux. Ces données demeureront une aide précieuse lorsqu'il faudra interpréter les données de séquençage haut débit des projets en cours. Elles permettront en effet de corrélérer les résultats des différentes techniques et d'orienter plus aisément la sélection de gènes candidats. Deux éléments nous démontrent que la stratégie que nous avons imaginée était une bonne voie pour identifier de nouveaux gènes d'AM :

- Premièrement, notre approche expérimentale a permis d'identifier de nombreuses cibles des FTs étudiés. Parmi ces gènes cibles, une 15<sup>aine</sup> de gènes avait été sélectionnés sur des arguments fonctionnels comme "meilleurs candidats" (voir chapitres correspondants). Le patron d'expression embryonnaire (œil, cerveau, membres) avait alors été étudié pour ces gènes. Seul l'un d'entre eux avait une expression cantonnée à l'œil, le gène *Aldh1a3* (voir Fig. 32), lui conférant ainsi le statut de candidat le plus probable à une anomalie restreinte à cet organe. Nous avons secondairement participé à la description de l'implication de ce gène dans l'AM. Elle a été prouvée par l'identification, par analyse de liaison et séquençage d'exome, de mutations délétères de ce gène dans une famille AM. Plusieurs autres patients AM porteurs de mutations dans *ALDH1A3* ont été rapportés depuis.
- Le deuxième élément correspond aux gènes choisis comme gènes candidats pour le séquençage haut débit ciblé sur 407 gènes. Depuis la sélection des gènes candidats et de l'élaboration de notre kit de capture, 9 gènes ont été impliqués dans des AM isolées (*ALDH1A3*, *ODZ3*, *SIX6*) ou syndromiques (*c12orf57*, *FNBP4*, *FREM1*, *SMOC1*, *VAX1*). Il est intéressant de noter que nous avons sélectionné la candidature de 6 de ces 9 gènes (*ALDH1A3*, *FREM1*, *RARB*, *SIX6*, *SMOC1*, *VAX1*) avant que leur implication dans l'AM n'ait été démontrée.

Cette stratégie expérimentale nous a également permis d'identifier le gène *PTCH1* comme le deuxième gène majeur des défauts du développement embryonnaire de l'œil (10 % des patients). Les mutations de ce gène ont une pénétrance incomplète et donnent des phénotypes variables allant de dysgénésies isolées du segment antérieur à des AM syndromiques, associées à des cardiopathies et aux anomalies cérébrales touchant la ligne médiane bien connues dans les anomalies de ce gène. L'identification de *PTCH1*, pointe tous les acteurs de la voie de signalisation SHH comme pouvant être impliqués dans les malformations oculaires.

Les résultats obtenus ont remplis les objectifs que nous avons fixés à son initiation. Ils nous ont également permis de mesurer l'importance du travail restant à accomplir pour pouvoir répondre aux mieux aux patients et à leurs familles. Ainsi, le nombre des gènes d'AM restant à découvrir est probablement élevé. Les manifestations phénotypiques associées aux mutations des gènes d'AM restent à définir et la collection de nouveaux patients demeure essentielle. Il ne semble pas exister de corrélation entre les génotypes et les phénotypes, et un des challenges qu'il faudra relever sera de pouvoir expliquer les causes de la variabilité phénotypique observée. S'agit-il d'effets liés à l'environnement, à des gènes modulateurs, ou des effets stochastiques ? Identifier les causes de la

variabilité phénotypique permettrait, là aussi, de préciser la prise en charge des patients et de leurs familles. Il sera probablement encore long et difficile de répondre intégralement à ces questions, mais la première étape consistera à constituer et à suivre de grandes cohortes de patients porteurs de mutations dans un même gène. Compte tenu de l'implication faible (en dehors de *SOX2* et *PTCH1*) de chaque gène dans ces pathologies rares, ceci n'est envisageable qu'au travers de collaborations nationales et internationales. Cet aspect collaboratif a également été au cœur de mon projet que ce soit dans l'optique de recruter les patients AM au travers toute la France et souvent en dehors de nos frontières, dans l'optique de valider des résultats obtenus par notre équipe de recherche, ou dans l'optique de participer à l'identification de nouveaux gènes d'AM. Les projets présentés dans les perspectives reflètent bien cet état d'esprit, et ils ont tous été défini dans le cadre de projets collaboratifs avec des équipes nationales ou internationales. Cet échange de compétence me semble essentiel pour avancer dans les connaissances dans le cadre des maladies rares en général et des AM en particulier.

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**ANNEXES**

Client: **Choward**  
 Date réalisation: **13/03/2012**  
 (to do please refer to: **230**  
 Critère p value global: **Bojlenity & Hochberg**)  
 Seuil p value ajustés: **0,05**  
 Log2 fold change: **0,5**

| Nom gène | Log2 intensité moyenne dans la condition | Log2 intensité moyenne dans la condition souris | Log2 du fold change | Fold change | P value brute | P value ajustée BH | Gene Symbol  | Gene Description   | Annotations  |              |              |              | total probeset | crosshyb by sequance | strand |
|----------|--|---|---------------------|-------------|---------------|--------------------|--------------|--|--------------|--------------|--------------|--------------|----------------|----------------------|--------|
|          |  |   |                     |             |               |                    |              |  | GO lib       | GO proc      | GO cel zom   | GO mol fun   |                |                      |        |
| 1048594  | 8,3085895                                | 6,5550067                                       | 1,74908842          | 3,36146343  | 9,27E-12      | 2,63E-07           | Ctnn15       | cyclin-dependent kinase 15   | NM_001033333 | NM_001033333 | NM_001033333 | NM_001033333 | 33             | chr11                | +      |
| 1048563  | 7,012533124                              | 7,906889563                                     | -0,89435644         | 0,37798713  | 5,42E-09      | 3,03E-05           | Dppk3        | dihydropyrimidinase-like domain containing 3                       | NM_009468    | NM_009468    | NM_009468    | NM_009468    | 33             | chr18                | -      |
| 1054576  | 8,602025412                              | 7,413015623                                     | 1,18724892          | 2,77710336  | 6,41E-09      | 3,03E-05           | Knrk3        | Knr motif and ankyrin repeat domain containing 3                   | NM_172872    | NM_172872    | NM_172872    | NM_172872    | 32             | chr4                 | -      |
| 10515003 | 8,628298002                              | 7,252569792                                     | 0,956821921         | 1,94291018  | 4,46E-09      | 3,04E-05           | Fam155a      | family with sequence similarity 155, member 1                      | NM_001099301 | NM_001099301 | NM_001099301 | NM_001099301 | 26             | chr4                 | -      |
| 10539850 | 9,164835004                              | 10,156979138                                    | -0,99216157         | 0,50272398  | 3,33E-09      | 3,07E-05           | Rc3h4        | RGN CDNA 8430410A1   | BC024401     | BC024401     | BC024401     | BC024401     | 27             | chr6                 | +      |
| 10581648 | 8,552926753                              | 7,393441243                                     | 1,15988551          | 2,34370869  | 4,13E-09      | 3,07E-05           | Pgkfb5       | glycolytic translocase subunit 5                                   | NM_171824    | NM_171824    | NM_171824    | NM_171824    | 29             | chr6                 | +      |
| 10572449 | 10,065818665                             | 8,678602423                                     | 1,38721407          | 2,77121591  | 7,89E-09      | 3,18E-05           | Stead3       | STEAP family member 3  | NM_001085408 | NM_001085408 | NM_001085408 | NM_001085408 | 42             | chr1                 | -      |
| 10469429 | 10,20076115                              | 8,916463745                                     | 1,28429744          | 2,43563411  | 7,89E-09      | 3,18E-05           | Colonic      | cylin-dependent kinase 10  | NM_001161616 | NM_001161616 | NM_001161616 | NM_001161616 | 34             | chr2                 | -      |
| 10574780 | 9,57610075                               | 7,664921262                                     | 1,92270745          | 3,01614843  | 1,02E-08      | 3,21E-05           | Hu11b2       | hydroxysteroid 11-beta oxidase 2                                   | NM_008289    | NM_008289    | NM_008289    | NM_008289    | 33             | chr8                 | +      |
| 10481140 | 7,55500674                               | 6,614151903                                     | 0,94084467          | 1,91991852  | 4,25E-08      | 3,57E-05           | Tpc25        | tetratricopeptide repeat 25  | NM_028918    | NM_028918    | NM_028918    | NM_028918    | 25             | chr11                | +      |
| 10380510 | 9,444078043                              | 8,794768083                                     | 0,64931124          | 1,56841924  | 4,26E-08      | 3,57E-05           | Frlr18       | fibroblast growth factor receptor-like receptor 18                 | NM_008005    | NM_008005    | NM_008005    | NM_008005    | 26             | chr11                | -      |
| 10390519 | 8,605219666                              | 7,23581794                                      | 0,86590173          | 1,82753841  | 3,49E-08      | 3,57E-05           | Pknox1       | plexin domain containing 1   | NM_001163638 | NM_001163638 | NM_001163638 | NM_001163638 | 30             | chr11                | -      |
| 10399691 | 10,66913955                              | 7,806403175                                     | 0,86473584          | 1,82109662  | 4,96E-08      | 3,57E-05           | H2           | inhibitor of DNA binding 2   | NM_010496    | NM_010496    | NM_010496    | NM_010496    | 21             | chr12                | -      |
| 10402314 | 8,098287954                              | 9,133381956                                     | -1,05505362         | 0,48127934  | 5,06E-08      | 3,57E-05           | Td1          | T-cell lymphoma leukemia 1   | NM_009337    | NM_009337    | NM_009337    | NM_009337    | 25             | chr12                | -      |
| 10451665 | 8,960894939                              | 7,513003917                                     | 1,44789102          | 2,698080029 | 4,09E-08      | 3,57E-05           | Appb2        | apolipoprotein B mRNA editing convertase 2                         | NM_009694    | NM_009694    | NM_009694    | NM_009694    | 28             | chr17                | -      |
| 10527312 | 8,650433077                              | 7,51280588                                      | 1,13764772          | 2,20021391  | 4,26E-08      | 3,57E-05           | Bnha15       | basic heix-loop-helix factor 15                                    | NM_010800    | NM_010800    | NM_010800    | NM_010800    | 25             | chr5                 | -      |
| 10570519 | 8,605219666                              | 7,14120064                                      | -0,66389344         | 0,63117263  | 5,75E-08      | 3,59E-05           | Khrb13       | krich repeat and BTB/POZ domain containing 13                      | NM_029116    | NM_029116    | NM_029116    | NM_029116    | 24             | chr8                 | +      |
| 10354697 | 8,27972415                               | 7,15401152                                      | 1,1259606           | 2,1824689   | 8,04E-08      | 3,59E-05           | Ankrd44      | ankyrin repeat domain 44   | NM_0010814   | NM_0010814   | NM_0010814   | NM_0010814   | 26             | chr1                 | +      |
| 10472350 | 11,07497164                              | 10,16818008                                     | 0,84079716          | 1,79649683  | 8,22E-08      | 3,59E-05           | Gcc3         | granulation 3  | NM_145523    | NM_145523    | NM_145523    | NM_145523    | 30             | chr2                 | +      |
| 10477252 | 11,20695156                              | 10,29452056                                     | 0,91243095          | 1,88221433  | 8,43E-08      | 3,59E-05           | Hck          | hemopoietic cell kinase  | NM_010407    | NM_010407    | NM_010407    | NM_010407    | 26             | chr2                 | +      |
| 10461518 | 10,11895528                              | 8,629764995                                     | 1,48630532          | 2,68737357  | 8,60E-08      | 3,59E-05           | Piges        | prostaglandin G/H synthase 2                                       | NM_022415    | NM_022415    | NM_022415    | NM_022415    | 25             | chr2                 | +      |
| 10500225 | 10,06305678                              | 9,327437433                                     | 0,73596483          | 1,66979403  | 8,64E-08      | 3,59E-05           | Cachd1       | cache domain containing 1  | NM_198037    | NM_198037    | NM_198037    | NM_198037    | 28             | chr4                 | +      |
| 10518859 | 9,554928681                              | 8,987248589                                     | 0,5716431           | 1,59610728  | 8,41E-08      | 3,59E-05           | Foxb3        | forkhead box B 3   | NM_001101464 | NM_001101464 | NM_001101464 | NM_001101464 | 26             | chr6                 | +      |
| 10600208 | 11,88531827                              | 11,040246028                                    | 0,84509817          | 1,79633271  | 7,91E-08      | 3,59E-05           | Durg9        | dual-specificity phosphatase 9                                     | NM_029352    | NM_029352    | NM_029352    | NM_029352    | 25             | chr6                 | +      |
| 10567355 | 10,04172705                              | 9,207864448                                     | 0,83385256          | 1,78243882  | 8,95E-08      | 3,60E-05           | Gpc5b        | G protein-coupled receptor class C group 5b                        | NM_022420    | NM_022420    | NM_022420    | NM_022420    | 26             | chr7                 | -      |
| 10554418 | 8,04115431                               | 7,428184342                                     | 0,61295202          | 1,52109242  | 1,64E-07      | 0,00011347         | Srrad6       | MAD homolog 6 (Drosophila)   | NM_008542    | NM_008542    | NM_008542    | NM_008542    | 31             | chr9                 | -      |
| 10490129 | 8,18029232                               | 7,286024549                                     | 0,90420777          | 1,95748638  | 1,36E-07      | 0,00013376         | Hm97         | bone morphogenetic protein 97                                      | NM_007557    | NM_007557    | NM_007557    | NM_007557    | 29             | chr2                 | -      |
| 10560031 | 8,407995725                              | 5,118383620                                     | 0,77556886          | 0,58603888  | 1,35E-07      | 0,00013376         | Ag99         | integrin alpha 9   | NM_133721    | NM_133721    | NM_133721    | NM_133721    | 28             | chr9                 | +      |
| 10474048 | 8,016443148                              | 7,256070059                                     | 0,76040483          | 1,67719083  | 1,67E-07      | 0,00013962         | Gpr113       | gamma-aminobutyric acid receptor class C group 113                 | NM_030725    | NM_030725    | NM_030725    | NM_030725    | 32             | chr2                 | +      |
| 10571378 | 9,246098124                              | 8,936442974                                     | -0,36340415         | 0,65001753  | 1,49E-07      | 0,00014093         | Lim28a       | lim-28 homolog A (C. elegans)                                      | NM_145833    | NM_145833    | NM_145833    | NM_145833    | 25             | chr4                 | -      |
| 10457658 | 6,87624485                               | 6,149031658                                     | 0,72770917          | 1,65600633  | 1,67E-07      | 0,00014756         | Dc2          | desmocollin 2  | NM_013505    | NM_013505    | NM_013505    | NM_013505    | 39             | chr18                | -      |
| 10501827 | 7,261280101                              | 5,922323575                                     | 1,33899431          | 2,529784915 | 1,82E-07      | 0,00014756         | A70021040708 | Riken CDNA A70021040708  | NR_036456    | NR_036456    | NR_036456    | NR_036456    | 28             | chr3                 | -      |
| 10565709 | 8,055114582                              | 8,996414539                                     | -0,94130023         | 0,51077832  | 2,21E-07      | 0,00014756         | Shp42        | SH3-domain GRR3-like 2   | NM_019535    | NM_019535    | NM_019535    | NM_019535    | 33             | chr4                 | +      |
| 10510178 | 8,60896887                               | 7,526070059                                     | 1,08293822          | 2,11819918  | 1,67E-07      | 0,00014756         | Shp42        | dehydrogenase/reductase SHP42                                      | NM_011303    | NM_011303    | NM_011303    | NM_011303    | 31             | chr4                 | +      |
| 10540848 | 8,301496751                              | 9,275150825                                     | -0,96367207         | 0,27716629  | 2,25E-07      | 0,00014756         | Socb1        | solute carrier family 6 (neutral amino acid transporters) member 1 | NM_178703    | NM_178703    | NM_178703    | NM_178703    | 32             | chr6                 | +      |
| 10457658 | 6,87624485                               | 6,149031658                                     | 0,72770917          | 1,65600633  | 1,67E-07      | 0,00014756         | Dc2          | desmocollin 2  | NM_013505    | NM_013505    | NM_013505    | NM_013505    | 39             | chr18                | -      |
| 10485695 | 6,752892136                              | 7,697945148                                     | -0,94054593         | 0,52108568  | 2,26E-07      | 0,00017325         | Cnov5        | predicted gene 13939   | NR_034473    | NR_034473    | NR_034473    | NR_034473    | 25             | chr2                 | -      |
| 10501811 | 7,980213669                              | 7,256219579                                     | 0,72399805          | 1,64946208  | 2,25E-07      | 0,00019103         | Socb4        | solute carrier family 4A, polypeptide 4                            | NM_145394    | NM_145394    | NM_145394    | NM_145394    | 30             | chr3                 | +      |
| 10344002 | 7,58942789                               | 6,741553356                                     | 0,84782843          | 1,80036592  | 2,25E-07      | 0,00020022         | Gubp1        | GLUP, arginulinin adaptor  | NM_028450    | NM_028450    | NM_028450    | NM_028450    | 36             | chr1                 | +      |
| 10393904 | 7,257392945                              | 8,337358301                                     | -1,08356796         | 0,47186267  | 3,14E-07      | 0,00022227         | Notum        | netumbin peptidase/lectin  | NM_175263    | NM_175263    | NM_175263    | NM_175263    | 32             | chr13                | +      |
| 10360543 | 7,595024002                              | 6,492955507                                     | 0,70605785          | 1,631332    | 3,19E-07      | 0,00023431         | Cnov5        | carboxypeptidase M   | NM_027468    | NM_027468    | NM_027468    | NM_027468    | 28             | chr10                | +      |
| 10515005 | 7,256994600                              | 8,02741033                                      | -0,76043972         | 0,58218972  | 3,29E-07      | 0,00025558         | Adip1        | AR-GAP with dual PH domain   | NM_172723    | NM_172723    | NM_172723    | NM_172723    | 39             | chr5                 | +      |
| 10485442 | 8,395934076                              | 9,131201858                                     | -0,74285981         | 0,5971968   | 4,23E-07      | 0,00026579         | Ppnlj        | protein phosphatase 11   | NM_027982    | NM_027982    | NM_027982    | NM_027982    | 31             | chr3                 | +      |
| 10492221 | 8,496234594                              | 9,794455534                                     | -0,88115891         | 0,54292924  | 4,50E-07      | 0,00027340         | Nrs2         | nuclear receptor subfamily 1, class 2, member 2                    | NM_030676    | NM_030676    | NM_030676    | NM_030676    | 33             | chr1                 | +      |
| 10492221 | 8,496234594                              | 9,794455534                                     | -0,88115891         | 0,54292924  | 4,50E-07      | 0,00027340         | Nrs2         | nuclear receptor subfamily 1, class 2, member 2                    | NM_030676    | NM_030676    | NM_030676    | NM_030676    | 33             | chr1                 | +      |
| 10477986 | 9,019846631                              | 8,337717778                                     | 0,6811191           | 1,60450591  | 4,44E-07      | 0,00027340         | Nrs2         | platelet/endothelial cell adhesion molecule 2                      | NM_008816    | NM_008816    | NM_008816    | NM_008816    | 37             | chr11                | +      |
| 10583302 | 9,362722009                              | 8,532872925                                     | 0,82987262          | 1,77158188  | 4,60E-07      | 0,00027734         | Ann3d        | adenosine nucleosiphilic domain containing 3                       | NM_009667    | NM_009667    | NM_009667    | NM_009667    | 35             | chr7                 | +      |
| 10559824 | 10,39036313                              | 9,746605215                                     | 0,64379988          | 1,56233958  | 5,40E-07      | 0,00019027         | Mras         | muscle and microphallid Ras  | NM_008624    | NM_008624    | NM_008624    | NM_008624    | 32             | chr9                 | +      |
| 10560438 | 8,496234594                              | 9,277291179                                     | -0,78175783         | 0,60216978  | 5,58E-07      | 0,00020299         | Dnbt1        | disialin homolog 1 (Drosophila)                                    | NM_177259    | NM_177259    | NM_177259    | NM_177259    | 50             | chr4                 | +      |
| 10284897 | 10,22965737                              | 5,507395527                                     | 0,72270465          | 1,70794538  | 5,55E-07      | 0,00023234         | Tnfr2        | trafficking protein, kinase 2                                      | NM_172406    | NM_172406    | NM_172406    | NM_172406    | 35             | chr1                 | +      |
| 10390117 | 10,42216473                              | 9,20496279                                      | 0,72171926          | 1,64348853  | 5,55E-07      | 0,00023234         | Hh33         | integrin alpha 3   | NM_013565    | NM_013565    | NM_013565    | NM_013565    | 29             | chr11                | +      |
| 10601403 | 9,547776161                              | 10,36207226                                     | -0,8142865          | 0,56868573  | 6,51E-07      | 0,00023234         | Potin        | porcupine homolog (Drosophila)                                     | NM_016913    | NM_016913    | NM_016913    | NM_016913    | 30             | chrX                 | -      |
| 10601403 | 9,547776161                              | 10,36207226                                     | -0,8142865          | 0,56868573  | 6,51E-07      | 0,00023234         | Potin        | porcupine homolog (Drosophila)                                     | NM_016913    | NM_016913    | NM_016913    | NM_016913    | 30             | chrX                 | -      |
| 10601403 | 9,547776161                              | 10,36207226                                     | -0,8142865          | 0,56868573  | 6,51E-07      | 0,00023234         | Potin        | porcupine homolog (Drosophila)                                     | NM_016913    | NM_016913    | NM_016913    | NM_016913    | 30             | chrX                 | -      |
| 10601403 | 9,547776161                              | 10,36207226                                     | -0,8142865          | 0,56868573  | 6,51E-07      | 0,00023234         |              |  |              |              |              |              |                |                      |        |













|          |              |             |             |            |           |          |           |        |   |           |           |            |    |   |       |
|----------|--------------|-------------|-------------|------------|-----------|----------|-----------|--------|---|-----------|-----------|------------|----|---|-------|
| 10401244 | -11.25312384 | 11.50541893 | -0.25229509 | 0.83955975 | 7.08E-05  | 0.040845 | NM_134156 | Actin1 | actinin, alpha 1                                  | NM_134156 | NM_134156 | NM_134156  | 44 | 1 | chr12 |
| 10401803 | 6.263396151  | 5.939464816 | 0.32393134  | 1.25173688 | 7.20E-05  | 0.040845 | ---       | NA     | NA  | ---       | ---       | ---        | 25 | 1 | chr12 |
| 10481079 | 8.869131684  | 9.237730472 | -0.35859879 | 0.77992171 | 8.00E-05  | 0.043801 | NM_025512 | Zfand1 | zinc finger, AN1-type domain                      | NM_025512 | NM_025512 | NM_025512  | 29 | 3 | chr2  |
| 10569646 | -11.35197056 | 11.61987758 | -0.26790701 | 0.83052355 | 7.89E-05  | 0.043801 | NM_007631 | Cond1  | cyclin D1   | NM_007631 | NM_007631 | BC044841.1 | 28 | 1 | chr7  |
| 10360227 | 9.4116748878 | 9.122345805 | 0.29440327  | 1.22637762 | 8.24E-05  | 0.044101 | NM_011063 | Pea15a | phosphoprotein enriched in RIKEN cDNA C3005212Rik | NM_011063 | NM_011063 | ---        | 27 | 1 | chr1  |
| 10562461 | 9.361509242  | 9.078092807 | 0.28341644  | 1.21707362 | 8.60E-05  | 0.045124 | NM_178643 | Zfand1 | zinc finger, AN1-type domain                      | NM_178643 | NM_178643 | ---        | 26 | 1 | chr7  |
| 10497300 | 9.035058059  | 9.412028152 | -0.37697009 | 0.77005314 | 9.06E-05  | 0.046724 | NM_025512 | Zfand1 | zinc finger, AN1-type domain                      | NM_025512 | NM_025512 | ---        | 31 | 3 | chr3  |
| 10350897 | 7.65081605   | 8.029839319 | -0.37502317 | 0.76895807 | 9.47E-05  | 0.047927 | NM_175460 | Nmnt2  | nicotinamide nucleotide                           | NM_175460 | NM_175460 | ---        | 35 | 1 | chr1  |
| 10486875 | 8.350642872  | 8.874721499 | -0.32407863 | 0.79880838 | 0.0001005 | 0.049894 | NM_172673 | Frimd5 | FERM domain containing                            | NM_172673 | NM_172673 | ---        | 38 | 1 | chr2  |

Client: Chassim  
Date: 10/04/2012  
No. de gène raté: 383  
Centre: Benjamin & Hochberg  
Seul p-value ajustée  
Log2 fold change: 0,05

Log2 intensité moyenne dans la condition souris PAX6  
Log2 intensité moyenne dans la condition souris VV  
Log2 di fold change  
FOLD change  
P value brute  
P value ajustée BH  
Nom gène  
ProbSet  
Log2 intensité moyenne dans la condition souris PAX6  
Log2 intensité moyenne dans la condition souris VV  
Log2 di fold change  
FOLD change  
P value brute  
P value ajustée BH  
Nom gène  
ProbSet

| ProbSet  | Nom gène     | Log2 intensité moyenne dans la condition souris PAX6 | Log2 intensité moyenne dans la condition souris VV | Log2 di fold change | FOLD change | P value brute | P value ajustée BH | Gene Access | Gene Symbol | Gene Description  | rna_assign  | GO bio. proc. | GO mol. fun. | GO. mod. fun. | total_probes | crosshyb | type | seqname | strand |
|----------|--------------|--|--|---------------------|-------------|---------------|--------------------|-------------|-------------|---|-------------|---------------|--------------|---------------|--------------|----------|------|---------|--------|
| 10548105 | 11.74510947  | 9.78096823   | 1.96414124   | 3.90180382          | 1.31E-18    | 3.72E-14      | 3.72E-14           | MM_009829   | Ccn2        | cyclin D2   | MM_008829   | MM_009829     | MM_008829    | 27            | chr6         | +        |      |         |        |
| 10348104 | 9.927889235  | 8.74208344   | 1.1858058  | 2.27490421          | 8.10E-14    | 7.65E-10      | 7.65E-10           | MM_028889   | Ehfr1       | EF hand domain containing                                   | MM_028889   | MM_028889     | MM_028889    | 27            | chr1         | +        |      |         |        |
| 10459866 | 7.22335784   | 5.80608545   | 1.41725034   | 2.67076             | 6.65E-14    | 7.65E-10      | 7.65E-10           | MM_0011710  | Sict4a1     | solute carrier family 14                                    | MM_0011710  | MM_0011710    | MM_0011710   | 35            | chr18        | -        |      |         |        |
| 10475144 | 9.4442499528 | 8.33879884   | 1.11041969   | 2.15908448          | 2.19E-13    | 1.56E-09      | 1.56E-09           | MM_007601   | Cajm3       | calpain 3   | MM_007601   | MM_007601     | MM_007601    | 36            | chr2         | +        |      |         |        |
| 10486972 | 7.620121513  | 6.23890414   | 1.38121738   | 2.60480803          | 2.07E-12    | 1.18E-08      | 1.18E-08           | MM_008078   | Gad2        | glutamic acid decarboxylase 2                               | MM_008078   | MM_008078     | MM_008078    | 38            | chr2         | +        |      |         |        |
| 10462507 | 8.168400298  | 6.50051687   | 1.66788343   | 3.17748084          | 1.36E-11    | 6.45E-08      | 6.45E-08           | MM_011864   | Paps2       | 3'-phosphoadenosine 5'-phosphate                            | MM_011864   | MM_011864     | MM_011864    | 35            | chr29        | +        |      |         |        |
| 10570855 | 7.620920837  | 6.166209912  | 1.46371172   | 2.75617065          | 1.98E-11    | 6.80E-08      | 6.80E-08           | MM_015798   | Fboxp15     | F-box protein 15  | MM_015798   | MM_015798     | MM_015798    | 32            | chr18        | +        |      |         |        |
| 10502655 | 8.635544211  | 7.74100814   | 0.89453607   | 1.85901198          | 2.73E-11    | 8.59E-08      | 8.59E-08           | MM_008872   | Plat        | platelet-derived growth factor receptor tyrosine kinase 1   | MM_008872   | MM_008872     | MM_008872    | 27            | chr8         | +        |      |         |        |
| 10570894 | 7.684655299  | 6.64504294   | 1.03952455   | 2.05516233          | 5.68E-11    | 1.63E-07      | 1.63E-07           | MM_020259   | Hhle        | Hedgehog-interacting protein                                | MM_020259   | MM_020259     | MM_020259    | 30            | chr3         | -        |      |         |        |
| 10362028 | 7.99092013   | 6.80542523   | 1.1854909  | 2.27441403          | 9.00E-11    | 2.32E-07      | 2.32E-07           | MM_013734   | Atpl3a      | ATPase, Na+/K+ transporting                                 | MM_013734   | MM_013734     | MM_013734    | 42            | chr1         | -        |      |         |        |
| 10453811 | 8.432967134  | 7.85584665   | 0.57712059   | 1.49186872          | 1.93E-10    | 4.57E-07      | 4.57E-07           | MM_010836   | Grebl1      | growth regulation by estradiol 1                            | MM_010836   | MM_010836     | MM_010836    | 26            | chr18        | +        |      |         |        |
| 10346843 | 7.875707638  | 7.05848091   | 0.81921813   | 1.7642049           | 2.62E-10    | 5.22E-07      | 5.22E-07           | MM_0010774  | Nrp2        | neuropilin 2  | MM_0010774  | MM_0010774    | MM_0010774   | 44            | chr1         | +        |      |         |        |
| 10350516 | 7.929257838  | 6.473799405  | 1.45186379   | 2.73561231          | 3.18E-10    | 6.45E-07      | 6.45E-07           | MM_011198   | Prg2        | prostaglandin-endoperoxidase synthase 2                     | MM_011198   | MM_011198     | MM_011198    | 26            | chr1         | +        |      |         |        |
| 10565102 | 8.293833832  | 7.40591802   | 0.88791581   | 1.85050087          | 4.20E-10    | 7.54E-07      | 7.54E-07           | MM_021492   | Apl3b2      | adaptor-related protein 3                                   | MM_021492   | MM_021492     | MM_021492    | 29            | chr7         | +        |      |         |        |
| 10422322 | 9.543501888  | 8.26520649   | 0.8801417  | 1.85207365          | 4.63E-10    | 8.21E-07      | 8.21E-07           | MM_177753   | Sox21       | SOX-box containing gene                                     | MM_177753   | MM_177753     | MM_177753    | 30            | chr14        | -        |      |         |        |
| 10431659 | 9.242272321  | 7.85542214   | 0.55689918   | 1.47105266          | 5.85E-10    | 9.75E-07      | 9.75E-07           | MM_0011096  | Kif21a      | kinesin family member 2                                     | MM_0011096  | MM_0011096    | MM_0011096   | 37            | chr25        | -        |      |         |        |
| 10492728 | 10.275598952 | 10.03181579  | 0.2431163  | 1.65190924          | 6.68E-10    | 1.05E-06      | 1.05E-06           | MM_009144   | Sfrp2       | secreted frizzled-related protein 2                         | MM_009144   | MM_009144     | MM_009144    | 25            | chr3         | +        |      |         |        |
| 10440431 | 8.512251369  | 7.94627076   | 0.56589051   | 1.4801394           | 1.25E-09    | 1.86E-06      | 1.86E-06           | MM_007471   | App         | amyloid beta (A4) precursor                                 | MM_007471   | MM_007471     | MM_007471    | 41            | chr16        | -        |      |         |        |
| 10411274 | 7.408107057  | 6.61457691   | 0.79348015   | 1.7931364           | 1.56E-09    | 2.21E-06      | 2.21E-06           | MM_029210   | Sv2c        | synaptic vesicle glycoprotein 2                             | MM_029210   | MM_029210     | MM_029210    | 36            | chr13        | -        |      |         |        |
| 10383924 | 9.133753121  | 8.58813835   | 0.59561471   | 1.51111537          | 2.71E-09    | 2.87E-06      | 2.87E-06           | MM_175263   | Notum       | notum   | MM_175263   | MM_175263     | MM_175263    | 32            | chr11        | -        |      |         |        |
| 10355964 | 9.128336635  | 8.40511747   | 0.63165917   | 1.53095251          | 3.88E-09    | 4.59E-06      | 4.59E-06           | MM_009255   | Serraline2  | serpine-like protein 2                                      | MM_009255   | MM_009255     | MM_009255    | 33            | chr1         | -        |      |         |        |
| 10453737 | 8.76646951   | 8.04097436   | 0.72549515   | 1.65346822          | 3.87E-09    | 4.59E-06      | 4.59E-06           | MM_0010836  | Grebl1      | growth regulation by estradiol 1                            | MM_0010836  | MM_0010836    | MM_0010836   | 26            | chr18        | +        |      |         |        |
| 10564651 | 10.42491528  | 8.89935807   | 1.52555721   | 1.43568344          | 4.81E-09    | 5.49E-06      | 5.49E-06           | MM_0011642  | Tpm1        | tropomyosin 1, alpha  | MM_0011642  | MM_0011642    | MM_0011642   | 38            | chr9         | -        |      |         |        |
| 10458704 | 7.498574055  | 6.5720883  | 0.92648575   | 1.9006406           | 6.02E-09    | 6.56E-06      | 6.56E-06           | MM_009258   | Spink3      | serpin peptidase inhibitor 3                                | MM_009258   | MM_009258     | MM_009258    | 25            | chr18        | -        |      |         |        |
| 10466866 | 8.048659222  | 7.11155535   | 0.93303921   | 1.90831964          | 7.58E-09    | 7.59E-06      | 7.59E-06           | ENSMAUST000 | Gluc3       | GLS family zinc finger 3                                    | ENSMAUST000 | ENSMAUST000   | ENSMAUST000  | 25            | chr19        | -        |      |         |        |
| 10360255 | 7.809678056  | 7.21695139   | 0.59302666   | 1.508609755         | 8.17E-09    | 8.27E-06      | 8.27E-06           | MM_009813   | Casq1       | calsequestrin 1   | MM_009813   | MM_009813     | MM_009813    | 36            | chr1         | -        |      |         |        |
| 10423453 | 8.756651962  | 8.18810069   | 0.56863321   | 1.48334406          | 1.10E-08    | 1.04E-05      | 1.04E-05           | MM_020332   | Ank         | progressive ankylosis                                       | MM_020332   | MM_020332     | MM_020332    | 30            | chr15        | -        |      |         |        |
| 10568486 | 9.0630674    | 8.58747479   | 0.47559251   | 1.39048327          | 1.05E-08    | 1.04E-05      | 1.04E-05           | MM_010207   | Ffrf2       | fibroblast growth factor receptor 2                         | MM_010207   | MM_010207     | MM_010207    | 48            | chr7         | -        |      |         |        |
| 10458848 | 7.847391079  | 7.05739023   | 0.79000185   | 1.72907668          | 1.16E-08    | 1.06E-05      | 1.06E-05           | MM_033037   | Cdfr        | cysteine dioxygenase 1, cytochrome b5 domain                | MM_033037   | MM_033037     | MM_033037    | 29            | chr18        | -        |      |         |        |
| 10301755 | 9.878502189  | 8.175559793  | 1.70290426   | 1.62777834          | 1.21E-08    | 1.07E-05      | 1.07E-05           | MM_0010250  | Ntr4c       | neurotrophin tyrosine kinase receptor type 4                | MM_0010250  | MM_0010250    | MM_0010250   | 52            | chr13        | +        |      |         |        |
| 10451166 | 9.512316987  | 8.87946079   | 0.63199926   | 1.61754862          | 1.31E-08    | 1.15E-05      | 1.15E-05           | MM_039639   | Gluc3       | RIKEN cDNA 16000230J1                                       | MM_039639   | MM_039639     | MM_039639    | 30            | chr9         | +        |      |         |        |
| 10466868 | 8.228611468  | 7.69613056   | 0.5320566  | 1.338195041         | 1.48E-08    | 1.48E-05      | 1.48E-05           | MM_175459   | Gluc3       | GLS family zinc finger 3                                    | MM_175459   | MM_175459     | MM_175459    | 36            | chr19        | +        |      |         |        |
| 10425363 | 7.426686601  | 6.69931934   | 0.72734672   | 1.46121378          | 2.18E-08    | 1.78E-05      | 1.78E-05           | MM_138744   | Ntr4c       | neurotrophin tyrosine kinase receptor type 4                | MM_138744   | MM_138744     | MM_138744    | 52            | chr13        | +        |      |         |        |
| 10486736 | 9.965989856  | 8.560944001  | 0.40495585   | 1.32404204          | 2.86E-08    | 2.25E-05      | 2.25E-05           | MM_138744   | Ntr4c       | synovial sarcoma, X break                                   | MM_138744   | MM_138744     | MM_138744    | 31            | chr3         | +        |      |         |        |
| 10574200 | 8.155648195  | 7.559679994  | 0.59688893   | 1.51180172          | 2.98E-08    | 2.25E-05      | 2.25E-05           | MM_009142   | Cxcl1       | chemokine [C-X-C motif]                                     | MM_009142   | MM_009142     | MM_009142    | 32            | chr8         | +        |      |         |        |
| 10458450 | 8.488232989  | 7.82763352   | 0.66099947   | 1.58073321          | 3.55E-08    | 2.65E-05      | 2.65E-05           | MM_010197   | Frl1        | fibroblast growth factor receptor 1                         | MM_010197   | MM_010197     | MM_010197    | 29            | chr18        | +        |      |         |        |
| 10478917 | 7.610591288  | 6.65279847   | 0.96599656   | 1.68530135          | 4.36E-08    | 3.12E-05      | 3.12E-05           | MM_009335   | Tcfap2c     | transcription factor AP-2, gamma                            | MM_009335   | MM_009335     | MM_009335    | 30            | chr2         | +        |      |         |        |
| 10546855 | 8.48102273   | 8.02546654   | 0.45537610   | 1.37114029          | 4.40E-08    | 3.12E-05      | 3.12E-05           | MM_080448   | Srgap3      | SULF1-DOB Rho GTPase activator 3                            | MM_080448   | MM_080448     | MM_080448    | 75            | chr6         | -        |      |         |        |
| 10372533 | 6.91511365   | 6.15203056   | 0.76307811   | 1.72103504          | 4.88E-08    | 3.38E-05      | 3.38E-05           | MM_010195   | Lgr5        | leucine rich repeat containing G-protein coupled receptor 5 | MM_010195   | MM_010195     | MM_010195    | 40            | chr10        | -        |      |         |        |
| 10450981 | 9.371161488  | 8.90626664   | 0.46489685   | 1.38031434          | 5.16E-08    | 3.48E-05      | 3.48E-05           | MM_008624   | Mras        | muscle and microspikes                                      | MM_008624   | MM_008624     | MM_008624    | 32            | chr9         | -        |      |         |        |
| 10480275 | 7.087255166  | 6.53351222   | 0.55344455   | 1.47810069          | 5.87E-08    | 3.87E-05      | 3.87E-05           | MM_028757   | Nhebl       | nebulin   | MM_028757   | MM_028757     | MM_028757    | 32            | chr2         | -        |      |         |        |
| 10360237 | 9.856461508  | 9.472325072  | 0.38413301   | 1.34660346          | 6.08E-08    | 3.97E-05      | 3.97E-05           | MM_011063   | Pval15a     | phosphoprotein enriched in astrocytes 15                    | MM_011063   | MM_011063     | MM_011063    | 27            | chr1         | -        |      |         |        |
| 10360952 | 7.705928599  | 7.05431303   | 0.65161557   | 1.57092638          | 6.21E-08    | 3.92E-05      | 3.92E-05           | MM_001033   | Tnc3        | tetratricopeptide repeat 3                                  | MM_001033   | MM_001033     | MM_001033    | 26            | chr12        | +        |      |         |        |
| 10489724 | 8.121558256  | 7.23986662   | 0.88921164   | 1.85596774          | 7.87E-08    | 4.85E-05      | 4.85E-05           | MM_009373   | Tm92        | transglutaminase 2, C type                                  | MM_009373   | MM_009373     | MM_009373    | 28            | chr2         | -        |      |         |        |

|          |              |             |             |             |            |            |            |                  |                              |            |            |            |            |        |        |
|----------|--------------|-------------|-------------|-------------|------------|------------|------------|------------------|------------------------------|------------|------------|------------|------------|--------|--------|
| 10565089 | 7.637785118  | 7.056167245 | 0.577617671 | 1.49738283  | 1.10E-07   | 6.60E-05   | NM_007755  | Cpnb1            | Cytoplasmic polyadriovirus   | NM_007755  | NM_007755  | NM_007755  | NM_007755  | 36     | 1chr7  |
| 10466735 | 7.724681918  | 7.178847364 | 0.54580458  | 1.45986461  | 1.15E-07   | 6.79E-05   | NM_0011141 | Fam189a2         | family with sequence sim     | NM_0011141 | NM_0011141 | NM_0011141 | NM_0011141 | 25     | 1chr19 |
| 10551891 | 7.726644771  | 7.100211529 | 0.777186983 | 1.37E-07    | 9.85E-05   | NM_172142  | NR160      | NR160            | nuclear factor of kappa B    | NM_172142  | NM_172142  | NM_172142  | 35         | 1chr7  |        |
| 10442069 | 7.519458526  | 8.071115598 | 0.448302524 | 1.35443393  | 1.74E-07   | 7.98E-05   | NM_025681  | Lx1              | limb expression 1 homolog    | NM_025681  | NM_025681  | NM_025681  | 15         | 1chr17 |        |
| 10374366 | 7.9427669756 | 7.547984115 | 0.394668561 | 1.314654624 | 2.38E-07   | 0.00013113 | NM_207655  | Erf1             | epidermal growth factor      | NM_207655  | NM_207655  | NM_207655  | 51         | 1chr11 |        |
| 10475653 | 9.227605574  | 8.673966904 | 0.554006653 | 1.4681387   | 2.76E-07   | 0.00014574 | NM_011978  | Sic27a2          | solute carrier family 27     | NM_011978  | NM_011978  | NM_011978  | 33         | 1chr5  |        |
| 10530819 | 8.160242505  | 7.689344646 | 0.38597194  | 2.79E-07    | 0.00014903 | NM_175606  | Hpp9       | Hpp9             | HCP homeobox                 | NM_175606  | NM_175606  | NM_175606  | 33         | 1chr5  |        |
| 10490876 | 8.552831154  | 7.872738225 | 0.6800929   | 1.60224293  | 3.15E-07   | 0.00016549 | NM_177660  | Znf110           | zinc finger and BTB domain   | NM_177660  | NM_177660  | NM_177660  | 26         | 1chr3  |        |
| 10571705 | 6.660791167  | 7.166622297 | 0.4944682   | 1.408801315 | 3.35E-07   | 0.00017779 | NM_008391  | Irf2             | interferon regulatory factor | NM_008391  | NM_008391  | NM_008391  | 30         | 1chr8  |        |
| 10470834 | 11.13403172  | 10.784532   | 0.3495117   | 1.27419131  | 3.56E-07   | 0.00017707 | NM_0010765 | Spr2a            | integrin alpha 2             | NM_0010765 | NM_0010765 | NM_0010765 | 58         | 1chr2  |        |
| 10561008 | 9.414632446  | 8.874576922 | 0.5400555   | 1.45402845  | 3.52E-07   | 0.00017707 | NM_0010391 | Ccsmc1           | carcinoembryonic antigen     | NM_0010391 | NM_0010391 | NM_0010391 | 22         | 1chr7  |        |
| 10546653 | 8.226618928  | 7.60931318  | 0.61130575  | 1.52764112  | 3.63E-07   | 0.00017738 | NM_080448  | Srip3            | SLIT-ROBO Rho GTPase eff     | NM_080448  | NM_080448  | NM_080448  | 25         | 1chr6  |        |
| 10464391 | 7.151124665  | 6.54570592  | 0.6241874   | 1.52142028  | 9.00E-07   | 0.00017918 | NM_010132  | Emx2             | empty spiracle homolog       | NM_010132  | NM_010132  | NM_010132  | 25         | 1chr19 |        |
| 10489484 | 10.123163194 | 9.759539226 | 0.36402928  | 1.28707193  | 3.80E-07   | 0.0001794  | NM_011521  | Sdc4             | syndecan 4                   | NM_011521  | NM_011521  | NM_011521  | 30         | 1chr2  |        |
| 10425317 | 7.852780473  | 6.99595671  | 0.85323406  | 1.80654609  | 3.91E-07   | 0.00018175 | NA         | NA               | ENSMUST000                   | NA         | NA         | NA         | 011521     | 1chr15 |        |
| 10457644 | 8.954466455  | 8.59719717  | 0.35728675  | 1.28099847  | 4.80E-07   | 0.00021937 | NM_007664  | Cdh2             | cadherin 2                   | NM_007664  | NM_007664  | NM_007664  | 34         | 1chr18 |        |
| 10556456 | 11.40175255  | 11.0173415  | 0.38441103  | 1.30531678  | 5.49E-07   | 0.00024707 | NM_0011665 | Tead1            | TEA domain family mem        | NM_0011665 | NM_0011665 | NM_0011665 | 25         | 1chr7  |        |
| 10434229 | 8.56184887   | 8.0257202   | 0.53611967  | 1.45006711  | 6.53E-07   | 0.00025888 | NM_013805  | Cldn5            | claudin 5                    | NM_013805  | NM_013805  | NM_013805  | 25         | 1chr16 |        |
| 10459999 | 8.827307568  | 8.43207389  | 0.40423368  | 1.32338578  | 6.53E-07   | 0.00028977 | NM_178280  | Salls            | sai-like 3 (Drosophila)      | NM_178280  | NM_178280  | NM_178280  | 32         | 1chr18 |        |
| 10460600 | 7.151124665  | 6.54570592  | 0.6241874   | 1.52142028  | 9.00E-07   | 0.00038649 | NM_0011052 | Pctb19           | protocadherin 19             | NM_0011052 | NM_0011052 | NM_0011052 | 25         | 1chrX  |        |
| 10362186 | 8.040043407  | 7.64416523  | 0.38983917  | 1.3102473   | 9.55E-07   | 0.00040392 | NM_021509  | Nbn1             | monosaccharase, DRH-like     | NM_021509  | NM_021509  | NM_021509  | 28         | 1chr10 |        |
| 10382838 | 9.594667081  | 9.26034237  | 0.33434247  | 1.26078713  | 1.10E-06   | 0.00045665 | NM_027821  | Nrc              | RKEN cDNA 181003200          | NM_027821  | NM_027821  | NM_027821  | 19         | 1chr11 |        |
| 10513739 | 6.536944805  | 5.99301059  | 0.54393421  | 1.45794289  | 1.25E-06   | 0.00051409 | NM_011607  | Tnc              | tenascin C                   | NM_011607  | NM_011607  | NM_011607  | 30         | 1chr4  |        |
| 10453052 | 6.892243471  | 6.35338812  | 0.53885535  | 1.45281938  | 1.29E-06   | 0.00051748 | NM_009994  | Cytlb1           | cytochrome P450, family      | NM_009994  | NM_009994  | NM_009994  | 26         | 1chr17 |        |
| 10588226 | 9.407207608  | 9.06046115  | 0.33774063  | 1.26376084  | 1.30E-06   | 0.00051748 | NM_019764  | Aton1f2          | angiotensin-like 2           | NM_019764  | NM_019764  | NM_019764  | 32         | 1chr9  |        |
| 10447317 | 11.46906382  | 11.7280398  | 0.37405958  | 1.56E-06    | 0.00054911 | NM_010137  | NM_010137  | Emx1             | endothelial PAS domain       | NM_010137  | NM_010137  | NM_010137  | 38         | 1chr17 |        |
| 10381608 | 10.60145622  | 9.854109617 | 0.78944126  | 1.42E-06    | 0.00055325 | NM_0011275 | NM_0011275 | Gm1564           | predicted gene 1564          | NM_0011275 | NM_0011275 | NM_0011275 | 25         | 1chr11 |        |
| 10372796 | 9.136239575  | 8.77055202  | 0.42571753  | 1.34324042  | 1.46E-06   | 0.00055768 | NM_010441  | Hmg2a            | high mobility group AT H     | NM_010441  | NM_010441  | NM_010441  | 24         | 1chr10 |        |
| 10390117 | 9.74352709   | 9.60029528  | 0.29599708  | 1.56E-06    | 0.00059048 | NM_013565  | Hfga3      | integrin alpha 3 | NM_013565                    | NM_013565  | NM_013565  | 29         | 1chr11     |        |        |
| 10530059 | 6.963286598  | 6.63726334  | 0.33024653  | 1.25355442  | 1.77E-06   | 0.00059566 | NM_172710  | Set13            | set-13 suppressor of lin-32  | NM_172710  | NM_172710  | NM_172710  | 27         | 1chr5  |        |
| 10420413 | 8.109667797  | 7.72852405  | 0.38114375  | 1.30233795  | 1.86E-06   | 0.00058824 | NM_015771  | Lms2             | large tumor suppressor 2     | NM_015771  | NM_015771  | NM_015771  | 33         | 1chr14 |        |
| 10551188 | 8.546648886  | 8.27072645  | 0.27407756  | 0.826297951 | 1.97E-06   | 0.00074428 | NM_010332  | Ccdc114          | coiled-coil domain conta     | NM_010332  | NM_010332  | NM_010332  | 40         | 1chr7  |        |
| 10518121 | 8.47879736   | 8.1704974   | 0.26299976  | 0.77776178  | 2.17E-06   | 0.00078026 | NM_029948  | Pram1            | PRAME family member 1        | NM_029948  | NM_029948  | NM_029948  | 25         | 1chr8  |        |
| 10485041 | 9.225108228  | 8.45232572  | 0.2724957   | 0.79705458  | 2.23E-06   | 0.00078529 | NM_073982  | Pfmr1            | protein phosphatase 1        | NM_073982  | NM_073982  | NM_073982  | 31         | 1chr3  |        |
| 10524894 | 10.29539035  | 9.84552113  | 0.4498691   | 1.36591532  | 2.27E-06   | 0.00078529 | NM_008629  | Msi1             | Muashi homolog 1(Dros        | NM_008629  | NM_008629  | NM_008629  | 26         | 1chr5  |        |
| 10504597 | 5.532734285  | 5.816914948 | 0.33891588  | 1.56E-06    | 0.00078529 | NM_029853  | Mir363     | Mir363           | microRNA 363                 | NM_029853  | NM_029853  | NM_029853  | 25         | 1chrX  |        |
| 10420658 | 7.398174758  | 6.86683085  | 0.66839725  | 0.62504839  | 2.31E-06   | 0.00078742 | NM_029373  | Mir158           | microRNA 158a                | NM_029373  | NM_029373  | NM_029373  | 27         | 1chr14 |        |
| 10448925 | 8.249678151  | 7.90147171  | 0.34890644  | 1.273724181 | 2.71E-06   | 0.00080937 | NM_021415  | Cacna1h          | calcium channel, voltage     | NM_021415  | NM_021415  | NM_021415  | 37         | 1chr17 |        |
| 10495198 | 8.983040337  | 8.680920971 | 0.3081963   | 1.23372365  | 2.68E-06   | 0.00083972 | NM_0011709 | Kirrel           | kin of RRE like (Drosoph     | NM_0011709 | NM_0011709 | NM_0011709 | 35         | 1chr3  |        |
| 10528028 | 9.824847757  | 10.23839776 | 0.41354988  | 0.75077375  | 2.76E-06   | 0.00089372 | NM_0011031 | Steap2           | six transmembrane epith      | NM_0011031 | NM_0011031 | NM_0011031 | 27         | 1chr5  |        |
| 10570434 | 10.11155767  | 9.51665641  | 0.59390126  | 1.51141648  | 2.77E-06   | 0.00089372 | NM_026820  | Hfrn1            | interferon induced trans     | NM_026820  | NM_026820  | NM_026820  | 26         | 1chr8  |        |
| 10466248 | 9.93026068   | 10.1844363  | 0.24617584  | 1.195382    | 2.97E-06   | 0.00089372 | NM_0011113 | Tbcd9            | TBC1 domain family, me       | NM_0011113 | NM_0011113 | NM_0011113 | 46         | 1chr8  |        |
| 10424212 | 8.250532926  | 7.67805647  | 0.60755468  | 1.51787865  | 3.0E-06    | 0.00094537 | NM_0010253 | Stk3             | syntactin 3                  | NM_0010253 | NM_0010253 | NM_0010253 | 45         | 1chr19 |        |
| 10464421 | 7.651489384  | 7.05338066  | 0.59769827  | 1.51320652  | 3.0E-06    | 0.00095391 | NM_010253  | Gai              | galanin                      | NM_010253  | NM_010253  | NM_010253  | 26         | 1chr14 |        |
| 10502240 | 8.110549234  | 7.66026076  | 0.50288827  | 1.36651343  | 3.0E-06    | 0.00095481 | NM_033525  | Npnt             | nephretectin                 | NM_033525  | NM_033525  | NM_033525  | 31         | 1chr3  |        |
| 10420935 | 7.45952823   | 6.86405591  | 0.57482085  | 1.43595526  | 3.42E-06   | 0.00104524 | NM_007940  | Ephr2            | epoxide hydrolase 2, cyto    | NM_007940  | NM_007940  | NM_007940  | 42         | 1chr14 |        |
| 10436192 | 9.207472878  | 8.866929645 | 0.34059642  | 1.2667261   | 4.21E-06   | 0.00107172 | NM_010581  | Cd47             | CD47 antigen (RH-related     | NM_010581  | NM_010581  | NM_010581  | 26         | 1chr16 |        |
| 10558759 | 11.365173485 | 10.7758737  | 0.50381624  | 1.50515395  | 4.63E-06   | 0.00108136 | NM_026820  | Hfrn1            | interferon induced trans     | NM_026820  | NM_026820  | NM_026820  | 24         | 1chr7  |        |
| 10538882 | 10.80123712  | 10.54320665 | 0.25802526  | 1.19884259  | 5.13E-06   | 0.00105154 | NM_0011775 | Gug12            | guanine nucleotide bind      | NM_0011775 | NM_0011775 | NM_0011775 | 25         | 1chr6  |        |
| 10505625 | 8.21153373   | 7.75971659  | 0.45182088  | 1.36776549  | 5.81E-06   | 0.00107992 | IKC030404  | Dabwy0951e       | DNA segment, Chr 4, brig     | IKC030404  | IKC030404  | IKC030404  | 27         | 1chr4  |        |
| 10571420 | 7.50335905   | 7.10806321  | 0.4689727   | 1.33029213  | 5.74E-06   | 0.00107992 | NM_009916  | Ccr4             | chemokine (C-C motif) re     | NM_009916  | NM_009916  | NM_009916  | 34         | 1chr9  |        |
| 10415572 | 7.708421872  | 7.31488860  | 0.39359529  | 1.31360889  | 5.88E-06   | 0.00108632 | ENSMUST000 | 2410022M11P1k    | RKEN cDNA 2410022M11         | ENSMUST000 | ENSMUST000 | ENSMUST000 | 13         | 1chr14 |        |
| 10454722 | 8.754737512  | 8.16773117  | 0.62798195  | 1.54540176  | 6.08E-06   | 0.00118099 | NM_007913  | Egr1             | early growth response 1      | NM_007913  | NM_007913  | NM_007913  | 35         | 1chr18 |        |
| 10551383 | 10.46641644  | 10.1150732  | 0.35340412  | 1.27751517  | 6.27E-06   | 0.00115419 | NM_010882  | Ndn              | nerdin                       | NM_010882  | NM_010882  | NM_010882  | 34         | 1chr7  |        |
| 10561316 | 9.094035636  | 8.49596653  | 0.55350885  | 0.78181818  | 6.31E-06   | 0.00115419 | NM_132476  | Tmc7             | transmembrane channel        | NM_132476  | NM_132476  | NM_132476  | 34         | 1chr7  |        |
| 10465438 | 6.776626113  | 6.5309155   | 0.44571051  | 1.35109471  | 6.38E-06   | 0.00115512 | NM_007409  | Adh1             | alcohol dehydrogenase 1      | NM_007409  | NM_007409  | NM_007409  | 32         | 1chr3  |        |
| 10502380 | 5.32173485   | 4.60356444  | 0.71820715  | 0.68404276  | 6.76E-06   | 0.00118855 | NM_199465  | Nrxn             | nerxin                       | NM_199465  | NM_199465  | NM_199465  | 26         | 1chr3  |        |
| 10406411 | 6.520180468  | 7.06621662  | 0.54402715  | 0.68404276  | 6.99E-06   | 0.00118855 | NM_199465  | Nrxn-2           | nerxin                       | NM_199465  | NM_199465  | NM_199465  | 30         | 1chr13 |        |
| 10510422 | 8.641670707  | 8.29906616  | 0.34700094  | 1.26251335  | 7.36E-06   | 0.00116745 | NM_0011598 | Cas1             |                              |            |            |            |            |        |        |

| Gene      | Chr | Start (kb)   | End (kb)     | Gene        | Chr        | Start (kb) | End (kb)   | Gene                       | Chr | Start (kb) | End (kb)   | Gene       | Chr | Start (kb) | End (kb) | Gene | Chr | Start (kb) | End (kb) |  |
|-----------|-----|--------------|--------------|-------------|------------|------------|------------|----------------------------|-----|------------|------------|------------|-----|------------|----------|------|-----|------------|----------|--|
| 10382435  | 8   | 8,824,286.11 | 8,847,559.06 | 0.00212061  | MM_0110103 | 0.00212061 | MM_0110103 | G protein-coupled receptor | MM  | 0011103    | 0.0011103  | 0.0011103  | 1   | chr21      | +        |      |     |            |          |  |
| 103622201 | 10  | 10,68807096  | 10,34261772  | 8.35E-06    | 0.00215695 | MM_010217  | 8.35E-06   | 0.00215695                 | MM  | 010217     | MM_010217  | MM_010217  | 1   | chr10      | +        |      |     |            |          |  |
| 10578539  | 11  | 10,96640288  | 10,7452161   | 0.32118678  | 0.0022169  | MM_007450  | 8.68E-04   | 0.0022169                  | MM  | 007450     | MM_007450  | MM_007450  | 1   | chr8       | +        |      |     |            |          |  |
| 10352314  | 10  | 10,96620894  | 10,5583617   | 0.30744724  | 0.00225403 | MM_010094  | 8.91E-06   | 0.00225403                 | MM  | 010094     | MM_010094  | MM_010094  | 25  | 1          | chr1     | +    |     |            |          |  |
| 10400006  | 9   | 9,02768715   | 9,28859113   | -0.26090398 | 0.00233641 | MM_013464  | 9.31E-06   | 0.00233641                 | MM  | 013464     | MM_013464  | MM_013464  | 32  | 1          | chr12    | +    |     |            |          |  |
| 10424235  | 8   | 8,88961542   | 8,76039482   | 0.48601172  | 0.0024342  | MM_018144  | 9.79E-06   | 0.0024342                  | MM  | 018144     | MM_018144  | MM_018144  | 27  | 1          | chr6     | +    |     |            |          |  |
| 10404913  | 8   | 8,88691153   | 8,63008254   | 0.25660861  | 0.00256985 | MM_026056  | 1.04E-05   | 0.00256985                 | MM  | 026056     | MM_026056  | MM_026056  | 27  | 1          | chr13    | +    |     |            |          |  |
| 10422277  | 10  | 10,7519048   | 10,4831151   | 0.26878977  | 0.00267277 | MM_011897  | 1.07E-05   | 0.00267277                 | MM  | 011897     | MM_011897  | MM_011897  | 25  | 1          | chr14    | +    |     |            |          |  |
| 10474671  | 11  | 11,06256004  | 10,75050897  | 0.30666634  | 0.00270771 | MM_033524  | 1.10E-05   | 0.00270771                 | MM  | 033524     | MM_033524  | MM_033524  | 31  | 1          | chr2     | +    |     |            |          |  |
| 10468691  | 7   | 7,19665668   | 6,76292367   | 0.3073666   | 0.00275093 | MM_046102  | 1.16E-05   | 0.00275093                 | MM  | 046102     | MM_046102  | MM_046102  | 43  | 1          | chr19    | +    |     |            |          |  |
| 10498119  | 8   | 8,38110213   | 8,13441312   | 0.40260709  | 0.00275093 | MM_032862  | 1.16E-05   | 0.00275093                 | MM  | 032862     | MM_032862  | MM_032862  | 26  | 1          | chr3     | +    |     |            |          |  |
| 10606083  | 9   | 9,69085944   | 9,342799     | 0.34870044  | 0.00275093 | MM_007709  | 1.15E-05   | 0.00275093                 | MM  | 007709     | MM_007709  | MM_007709  | 29  | 1          | chrX     | +    |     |            |          |  |
| 10453719  | 11  | 11,28662987  | 11,01505943  | 0.27159533  | 0.00294389 | MM_019737  | 1.21E-05   | 0.00294389                 | MM  | 019737     | MM_019737  | MM_019737  | 32  | 1          | chr18    | +    |     |            |          |  |
| 10489961  | 8   | 8,4772512    | 8,1918652    | -0.23146344 | 0.00294389 | MM_010899  | 1.27E-05   | 0.00294389                 | MM  | 010899     | MM_010899  | MM_010899  | 59  | 1          | chr2     | +    |     |            |          |  |
| 10485312  | 7   | 7,003568658  | 7,23771623   | 0.42374657  | 0.0030307  | MM_030431  | 1.31E-05   | 0.0030307                  | MM  | 030431     | MM_030431  | MM_030431  | 25  | 1          | chr2     | +    |     |            |          |  |
| 10538959  | 10  | 10,46991925  | 10,79865664  | -0.3287371  | 0.0030307  | MM_0011014 | 1.34E-05   | 0.0030307                  | MM  | 0011014    | MM_0011014 | MM_0011014 | 26  | 1          | chr6     | +    |     |            |          |  |
| 10555460  | 9   | 9,849636351  | 9,56493559   | 0.28070076  | 0.0030307  | MM_015990  | 1.33E-05   | 0.0030307                  | MM  | 015990     | MM_015990  | MM_015990  | 33  | 1          | chr7     | +    |     |            |          |  |
| 10456513  | 7   | 7,01158889   | 7,23771623   | 0.46339788  | 0.00336702 | MM_013596  | 1.50E-05   | 0.00336702                 | MM  | 013596     | MM_013596  | MM_013596  | 35  | 1          | chr18    | +    |     |            |          |  |
| 10497590  | 6   | 6,05122523   | 5,70999301   | 0.34213222  | 0.00336702 | MM_007963  | 1.53E-05   | 0.00336702                 | MM  | 007963     | MM_007963  | MM_007963  | 46  | 1          | chr3     | +    |     |            |          |  |
| 10468691  | 7   | 7,19665668   | 6,76292367   | 0.3073666   | 0.00336702 | MM_178688  | 1.63E-05   | 0.00336702                 | MM  | 178688     | MM_178688  | MM_178688  | 30  | 1          | chr19    | +    |     |            |          |  |
| 10460936  | 8   | 8,45822151   | 8,4192178    | 0.33390937  | 0.00359199 | MM_010110  | 1.63E-05   | 0.00359199                 | MM  | 010110     | MM_010110  | MM_010110  | 38  | 1          | chrX     | +    |     |            |          |  |
| 10463729  | 5   | 5,895378684  | 5,29292748   | 0.60245121  | 0.00359199 | MM_001164  | 1.72E-05   | 0.00359199                 | MM  | 001164     | MM_001164  | MM_001164  | 26  | 1          | chr19    | +    |     |            |          |  |
| 10352798  | 7   | 7,420552332  | 6,96089876   | 0.45965358  | 0.00378988 | MM_010600  | 1.76E-05   | 0.00378988                 | MM  | 010600     | MM_010600  | MM_010600  | 28  | 1          | chr1     | +    |     |            |          |  |
| 10388718  | 9   | 9,99117256   | 10,2959722   | 0.2765942   | 0.00378988 | MM_008952  | 1.76E-05   | 0.00378988                 | MM  | 008952     | MM_008952  | MM_008952  | 35  | 1          | chr11    | +    |     |            |          |  |
| 10479981  | 7   | 7,003568658  | 6,60961808   | 0.47074368  | 0.00393988 | MM_008091  | 1.87E-05   | 0.00393988                 | MM  | 008091     | MM_008091  | MM_008091  | 25  | 1          | chr2     | +    |     |            |          |  |
| 10582792  | 10  | 10,33430005  | 10,0535116   | 0.28077843  | 0.00451383 | MM_011636  | 2.13E-05   | 0.00451383                 | MM  | 011636     | MM_011636  | MM_011636  | 31  | 1          | chr9     | +    |     |            |          |  |
| 10561778  | 7   | 7,19665668   | 6,76292367   | 0.3073666   | 0.00451383 | MM_007646  | 2.20E-05   | 0.00451383                 | MM  | 007646     | MM_007646  | MM_007646  | 38  | 1          | chr16    | +    |     |            |          |  |
| 10415857  | 7   | 7,115345879  | 6,84017246   | 0.27317341  | 0.00451383 | MM_177628  | 2.34E-05   | 0.00451383                 | MM  | 177628     | MM_177628  | MM_177628  | 30  | 1          | chr14    | +    |     |            |          |  |
| 10438753  | 8   | 8,352089973  | 7,97689392   | 0.34275474  | 0.00451383 | MM_173379  | 2.33E-05   | 0.00451383                 | MM  | 173379     | MM_173379  | MM_173379  | 30  | 1          | chr16    | +    |     |            |          |  |
| 10484936  | 8   | 8,352089973  | 7,97689392   | 0.34275474  | 0.00451383 | MM_008706  | 2.33E-05   | 0.00451383                 | MM  | 008706     | MM_008706  | MM_008706  | 30  | 1          | chr16    | +    |     |            |          |  |
| 10471129  | 8   | 8,352089973  | 7,97689392   | 0.34275474  | 0.00451383 | MM_019681  | 2.36E-05   | 0.00451383                 | MM  | 019681     | MM_019681  | MM_019681  | 31  | 1          | chr2     | +    |     |            |          |  |
| 10645355  | 9   | 9,67247582   | 9,27378817   | 1.17925592  | 0.00480595 | MM_010928  | 2.39E-05   | 0.00480595                 | MM  | 010928     | MM_010928  | MM_010928  | 36  | 1          | chr3     | +    |     |            |          |  |
| 10489961  | 8   | 8,4772512    | 8,1918652    | -0.23146344 | 0.00480595 | MM_008706  | 2.42E-05   | 0.00480595                 | MM  | 008706     | MM_008706  | MM_008706  | 30  | 1          | chr16    | +    |     |            |          |  |
| 10552276  | 10  | 10,55695152  | 10,28045447  | 0.27982681  | 0.00480595 | MM_009459  | 2.48E-05   | 0.00480595                 | MM  | 009459     | MM_009459  | MM_009459  | 48  | 1          | chr7     | +    |     |            |          |  |
| 10485188  | 7   | 7,238130716  | 6,95096305   | 0.24306795  | 0.00503649 | MM_183180  | 2.54E-05   | 0.00503649                 | MM  | 183180     | MM_183180  | MM_183180  | 27  | 1          | chr2     | +    |     |            |          |  |
| 10550691  | 9   | 9,68345906   | 9,5968193    | 0.8969193   | 0.00503649 | MM_007763  | 2.66E-05   | 0.00503649                 | MM  | 007763     | MM_007763  | MM_007763  | 25  | 1          | chr12    | +    |     |            |          |  |
| 10419316  | 11  | 11,89506905  | 11,22490564  | -0.67195738 | 0.00503649 | MM_177561  | 2.67E-05   | 0.00503649                 | MM  | 177561     | MM_177561  | MM_177561  | 30  | 1          | chr5     | +    |     |            |          |  |
| 10453331  | 9   | 9,338650432  | 9,58050622   | 0.24155828  | 0.00517633 | MM_144841  | 2.77E-05   | 0.00517633                 | MM  | 144841     | MM_144841  | MM_144841  | 28  | 1          | chr10    | +    |     |            |          |  |
| 10599850  | 10  | 10,9001195   | 10,29070698  | 1.22321367  | 0.00517633 | MM_020604  | 2.82E-05   | 0.00517633                 | MM  | 020604     | MM_020604  | MM_020604  | 28  | 1          | chr1     | +    |     |            |          |  |
| 10504626  | 7   | 7,19665668   | 6,76292367   | 0.3073666   | 0.0052662  | MM_028270  | 2.90E-05   | 0.0052662                  | MM  | 028270     | MM_028270  | MM_028270  | 25  | 1          | chr4     | +    |     |            |          |  |
| 10586188  | 11  | 11,42660024  | 10,9494812   | 0.48331864  | 0.0052662  | MM_0011438 | 2.94E-05   | 0.0052662                  | MM  | 0011438    | MM_0011438 | MM_0011438 | 37  | 1          | chr7     | +    |     |            |          |  |
| 10353132  | 8   | 8,50306859   | 8,17094022   | 0.42396644  | 0.0052662  | MM_010164  | 3.05E-05   | 0.0052662                  | MM  | 010164     | MM_010164  | MM_010164  | 40  | 1          | chr1     | +    |     |            |          |  |
| 10503377  | 7   | 7,23492554   | 6,7482476    | 0.4933322   | 0.00556311 | MM_0011438 | 3.18E-05   | 0.00556311                 | MM  | 0011438    | MM_0011438 | MM_0011438 | 37  | 1          | chr7     | +    |     |            |          |  |
| 1047825   | 7   | 7,48504285   | 7,12596475   | 0.3597334   | 0.00556311 | MM_026323  | 3.25E-05   | 0.00556311                 | MM  | 026323     | MM_026323  | MM_026323  | 30  | 1          | chr2     | +    |     |            |          |  |
| 10356893  | 9   | 9,73855882   | 9,44370355   | 0.29005243  | 0.00566371 | MM_011636  | 3.37E-05   | 0.00566371                 | MM  | 011636     | MM_011636  | MM_011636  | 28  | 1          | chr1     | +    |     |            |          |  |
| 10567546  | 8   | 8,243961778  | 8,54496796   | -0.3110118  | 0.00566371 | MM_016669  | 3.38E-05   | 0.00566371                 | MM  | 016669     | MM_016669  | MM_016669  | 27  | 1          | chr1     | +    |     |            |          |  |
| 10423240  | 7   | 7,48504285   | 7,12596475   | 0.3597334   | 0.00566371 | MM_009869  | 3.48E-05   | 0.00566371                 | MM  | 009869     | MM_009869  | MM_009869  | 35  | 1          | chr15    | +    |     |            |          |  |
| 10440513  | 9   | 9,439493322  | 9,20290277   | -0.26789425 | 0.00566371 | MM_144853  | 3.50E-05   | 0.00566371                 | MM  | 144853     | MM_144853  | MM_144853  | 27  | 1          | chr16    | +    |     |            |          |  |
| 10410450  | 7   | 7,48504285   | 7,237291549  | 0.27495735  | 0.00566371 | MM_0011451 | 3.79E-05   | 0.00566371                 | MM  | 0011451    | MM_0011451 | MM_0011451 | 26  | 1          | chr13    | +    |     |            |          |  |
| 10387737  | 9   | 9,216030562  | 9,4818885    | 0.26598794  | 0.00566371 | MM_008528  | 3.92E-05   | 0.00566371                 | MM  | 008528     | MM_008528  | MM_008528  | 28  | 1          | chr19    | +    |     |            |          |  |
| 10467528  | 6   | 6,62166198   | 6,20921144   | 0.4120526   | 0.00566371 | MM_008528  | 3.93E-05   | 0.00566371                 | MM  | 008528     | MM_008528  | MM_008528  | 28  | 1          | chr19    | +    |     |            |          |  |
| 10696653  | 9   | 9,65706268   | 9,88426111   | -0.22725483 | 0.00566371 | MM_173763  | 4.07E-05   | 0.00566371                 | MM  | 173763     | MM_173763  | MM_173763  | 30  | 1          | chr3     | +    |     |            |          |  |
| 10549889  | 7   | 7,2727264    | 7,65542896   | 0.27184368  |            |            |            |                            |     |            |            |            |     |            |          |      |     |            |          |  |



|          |              |             |             |             |            |             |            |              |                              |            |            |            |    |        |
|----------|--------------|-------------|-------------|-------------|------------|-------------|------------|--------------|------------------------------|------------|------------|------------|----|--------|
| 10385175 | 8,505059649  | 8,59015977  | 0,26039988  | 1,19781066  | 0,00014811 | 0,01802086  | MM_170729  | WW1          | WW_C2_and_coiled-coil        | MM_170729  | MM_170729  | MM_170729  | 51 | 1chr11 |
| 10385098 | 7,152467204  | 7,97570084  | 0,260403314 | 0,83488374  | 0,00014926 | 0,01808386  | MM_172799  | Th1b         | tubulin tyrosine ligase-like | MM_172799  | MM_172799  | MM_172799  | 28 | 1chr11 |
| 10387703 | 5,381107567  | 5,06156849  | 0,21953908  | 1,24793179  | 0,00015238 | 0,01878905  | ENSMUST000 | 3300002A1HRH | RIKEN cDNA 3300002A1         | ENSMUST000 | ENSMUST000 | ENSMUST000 | 27 | 1chr12 |
| 10387388 | 9,266475157  | 10,12538883 | 0,25891319  | 0,83571715  | 0,00015315 | 0,01883338  | MM_025915  | Transmem8    | transmembrane protein        | MM_025915  | MM_025915  | MM_025915  | 27 | 1chr11 |
| 10391301 | 10,01753398  | 9,76092184  | 0,25683215  | 1,19485218  | 0,00015744 | 0,01883338  | MM_213659  | Stat3        | signal transducer and act    | MM_213659  | MM_213659  | MM_213659  | 30 | 1chr11 |
| 10407983 | 8,305784075  | 8,538781532 | 0,23100124  | 0,8500863   | 0,00015999 | 0,01904846  | MM_181848  | Optn         | optineurin                   | MM_181848  | MM_181848  | MM_181848  | 30 | 1chr2  |
| 10438423 | 8,67122359   | 8,34909399  | 0,32212958  | 1,25017493  | 0,00016548 | 0,01943858  | MM_008343  | Irfb3        | insulin-like growth factor   | MM_008343  | MM_008343  | MM_008343  | 26 | 1chr11 |
| 10406590 | 7,784642475  | 7,50314107  | 0,28150141  | 1,21545915  | 0,00016593 | 0,01943858  | MM_175455  | Akoxk34b     | ankyrin repeat domain 3      | MM_175455  | MM_175455  | MM_175455  | 28 | 1chr13 |
| 10455374 | 7,527003323  | 7,06591396  | 0,46158737  | 1,37705613  | 0,00016524 | 0,01943858  | MM_178749  | Sfr32a       | serine/threonine kinase      | MM_178749  | MM_178749  | MM_178749  | 28 | 1chr18 |
| 10469457 | 9,504053084  | 9,740071779 | 0,23616033  | 0,84011779  | 0,02616162 | 0,01943858  | MM_026162  | Plck2        | pleckstrin domain containing | MM_026162  | MM_026162  | MM_026162  | 34 | 1chr2  |
| 10415074 | 6,653896572  | 6,947401576 | 0,29359498  | 0,8158665   | 0,00016832 | 0,01955718  | MM_080726  | Rem2         | rad and gem related GTP      | MM_080726  | MM_080726  | MM_080726  | 27 | 1chr14 |
| 10585099 | 11,97006913  | 12,16990071 | 0,191853794 | 0,87548967  | 0,00016773 | 0,01955718  | MM_175482  | Usp28        | ubiquitin specific peptid    | MM_175482  | MM_175482  | MM_175482  | 29 | 1chr9  |
| 10458340 | 8,764315765  | 8,29160052  | 0,29160052  | 1,22423393  | 0,00016939 | 0,01950041  | MM_010415  | Hbvyg        | heparin-binding EGF-like     | MM_010415  | MM_010415  | MM_010415  | 30 | 1chr18 |
| 10440619 | 3,623216369  | 3,95014692  | 0,32693055  | 0,797213085 | 0,00017135 | 0,019741737 | NA         | NA           | NA                           | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25 | 1chr16 |
| 10361771 | 8,562595458  | 8,25973531  | 0,30286015  | 1,23358758  | 0,00017788 | 0,02041602  | MM_009538  | Phg1l        | pleiomorphic adenoma g       | MM_009538  | MM_009538  | MM_009538  | 30 | 1chr10 |
| 10437378 | 8,848239218  | 9,02145805  | 0,17211884  | 0,86868717  | 0,00018    | 0,02057667  | MM_175347  | Srl          | sarcolumerin                 | MM_175347  | MM_175347  | MM_175347  | 28 | 1chr16 |
| 10503161 | 11,28210401  | 11,07461845 | 0,20741945  | 1,546211    | 0,00018188 | 0,02070804  | MM_0010814 | Cntd7        | chromodomain helicase        | MM_0010814 | MM_0010814 | MM_0010814 | 28 | 1chr4  |
| 10431054 | 8,029001967  | 7,66240113  | 0,37761855  | 1,29919551  | 0,00018571 | 0,02097953  | MM_022723  | Scub1        | signal peptide, CUB domi     | MM_022723  | MM_022723  | MM_022723  | 28 | 1chr15 |
| 10528648 | 11,313091958 | 11,5502426  | 0,239323    | 0,84714275  | 0,00018507 | 0,02097953  | MM_013853  | Abr2         | ATP-binding cassette, su     | MM_013853  | MM_013853  | MM_013853  | 26 | 1chr5  |
| 10411459 | 7,401517323  | 6,9988176   | 0,40269972  | 1,32197943  | 0,00018698 | 0,02102568  | MM_0010256 | Trsm171      | transmembrane protein        | MM_0010256 | MM_0010256 | MM_0010256 | 29 | 1chr13 |
| 10409957 | 11,22723952  | 10,951385   | 0,27585453  | 1,210711    | 0,00018909 | 0,02118879  | MM_012015  | H2ah         | H2A histone family, mem      | MM_012015  | MM_012015  | MM_012015  | 35 | 1chr13 |
| 10543688 | 10,19412888  | 9,9351642   | 0,25894463  | 1,19660303  | 0,00019668 | 0,02195219  | MM_009459  | Ubr3h        | ubiquitin-conjugating en     | MM_009459  | ENSMUST000 | ENSMUST000 | 60 | 3chr6  |
| 10605571 | 8,62125313   | 8,31619075  | 0,30506257  | 1,23547222  | 0,00020178 | 0,02243281  | MM_212444  | Gyl          | glycerol kinase              | MM_212444  | MM_212444  | MM_212444  | 48 | 1chr4  |
| 10375261 | 4,569205307  | 4,30095447  | 0,26880803  | 1,20487279  | 0,00020373 | 0,02256156  | MM_080870  | Gabrb2       | gamma-aminobutyric acid      | MM_080870  | MM_080870  | MM_080870  | 25 | 1chr11 |
| 10428707 | 9,07285756   | 9,33446669  | 0,26161094  | 0,83415597  | 0,00021023 | 0,02313905  | MM_008216  | Hes2         | hyaluronan synthase 2        | MM_008216  | MM_008216  | MM_008216  | 27 | 1chr15 |
| 10419240 | 9,240769584  | 8,96248884  | 0,2842075   | 1,17651585  | 0,00021027 | 0,02329802  | MM_0010427 | Dhd1         | DHD domain containing        | MM_0010427 | MM_0010427 | MM_0010427 | 38 | 1chr14 |
| 10574350 | 7,849078625  | 7,61254448  | 0,23453382  | 1,17615865  | 0,00021509 | 0,02334327  | MM_008609  | Mmp15        | matrix metalloproteinase     | MM_008609  | MM_008609  | MM_008609  | 26 | 1chr8  |
| 10598041 | 12,10348719  | 11,3222304  | 0,78125677  | 1,21862796  | 0,00021631 | 0,02335895  | NA         | NA           | NA                           | NC_005089  | NA         | NA         | 18 | 3chrM  |
| 10393559 | 6,746535189  | 6,45453161  | 0,30200376  | 1,23285556  | 0,00021853 | 0,02355833  | MM_011594  | Trmp2        | tissue inhibitor of metal    | MM_011594  | MM_011594  | MM_011594  | 31 | 1chr11 |
| 10546510 | 8,790566466  | 8,5811384   | 0,26042807  | 1,15622972  | 0,00021862 | 0,02365583  | MM_008377  | Trmp1        | leucine-rich repeats and     | MM_008377  | MM_008377  | MM_008377  | 43 | 1chr6  |
| 10382328 | 7,532612308  | 7,24988543  | 0,28272688  | 1,21849204  | 0,00022232 | 0,0238851   | MM_011448  | Sox9         | SRF-box containing gene      | MM_011448  | MM_011448  | MM_011448  | 25 | 1chr11 |
| 10426157 | 10,39585140  | 10,5962805  | 0,24004023  | 0,87029399  | 0,00022326 | 0,0238851   | MM_0011634 | Ptfn         | phosphatidylinositolase, m   | MM_0011634 | MM_0011634 | MM_0011634 | 46 | 1chr15 |
| 10523100 | 8,79241202   | 8,61722558  | 0,26126535  | 1,19519424  | 0,00022252 | 0,0238851   | MM_145562  | Prmt1        | prostate androgen-regul      | MM_145562  | MM_145562  | MM_145562  | 27 | 1chr5  |
| 10386423 | 7,279536806  | 7,164932    | 0,23359526  | 0,79225976  | 0,00022559 | 0,02393863  | MM_008956  | Frch         | protein kinase C, eta        | MM_008956  | MM_008956  | MM_008956  | 32 | 1chr12 |
| 10575052 | 11,99109418  | 12,174642   | 0,1386478   | 0,83051497  | 0,00022564 | 0,02393863  | MM_009864  | Cdh1         | cathenin 1                   | MM_009864  | MM_009864  | MM_009864  | 39 | 1chr8  |
| 10574084 | 8,214728346  | 7,92056533  | 0,29497182  | 1,2259841C  | 0,00022369 | 0,023956015 | MM_172467  | Zfp34y1      | zinc finger CCH-type, ar     | MM_172467  | MM_172467  | MM_172467  | 25 | 1chr6  |
| 10589630 | 8,279245923  | 8,6514224   | 0,27121648  | 0,77263705  | 0,00022379 | 0,0250727   | MM_153099  | Prss44       | protease, serine, 42         | MM_153099  | MM_153099  | MM_153099  | 26 | 1chr9  |
| 10554054 | 8,898289917  | 9,21884642  | 0,23005624  | 0,80303865  | 0,00022898 | 0,0250932   | MM_0010378 | Cdh3         | cathenin 3                   | MM_0010378 | MM_0010378 | MM_0010378 | 34 | 1chr8  |
| 10417788 | 10,79420433  | 11,0002779  | 0,20657362  | 0,89659293  | 0,00024838 | 0,02579288  | MM_021542  | Krnl5        | potassium channel, subf      | MM_021542  | MM_021542  | MM_021542  | 29 | 1chr14 |
| 10556442 | 11,11327113  | 10,9170459  | 0,19625326  | 1,14569571  | 0,00024813 | 0,02579288  | MM_0011668 | Tead1        | TEA domain family mem        | MM_0011668 | MM_0011668 | MM_0011668 | 38 | 1chr7  |
| 10379866 | 10,9232654   | 11,1484945  | 0,2153116   | 0,85499445  | 0,00025083 | 0,02595275  | MM_007607  | Car4         | carbonic anhydrase 4         | MM_007607  | MM_007607  | MM_007607  | 32 | 1chr11 |
| 10416880 | 5,503034860  | 5,133028591 | 0,37064896  | 1,29293429  | 0,00025674 | 0,026066729 | MM_022886  | Scrl         | scellin                      | MM_022886  | MM_022886  | MM_022886  | 36 | 1chr14 |
| 10453228 | 9,480860355  | 8,87126874  | 0,32087729  | 1,25429552  | 0,00026118 | 0,02662773  | MM_0010814 | Cnd7         | chromodomain helicase        | MM_0010814 | MM_0010814 | MM_0010814 | 25 | 1chr4  |
| 10425151 | 10,11056076  | 9,957446537 | 0,25311436  | 1,19177707  | 0,00026522 | 0,02704631  | MM_008495  | Lgals1       | lectin, galactose binding    | MM_008495  | MM_008495  | MM_008495  | 25 | 1chr15 |
| 10425333 | 8,502970533  | 8,10548644  | 0,40352051  | 1,32273184  | 0,00026493 | 0,02704631  | ENSMUST000 | Apoeb3       | apolipoprotein B mRNA        | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25 | 1chr15 |
| 10349493 | 10,32488907  | 10,1316339  | 0,19428207  | 1,14412308  | 0,00027394 | 0,02783578  | MM_028787  | Sic35f5      | solute carrier family 35, c  | MM_028787  | MM_028787  | MM_028787  | 34 | 1chr1  |
| 10387335 | 8,997402869  | 8,76612512  | 0,23127757  | 1,17387401  | 0,00027987 | 0,02838408  | NA         | NA           | NA                           | ACT38406   | NA         | NA         | 25 | 1chr10 |
| 10428619 | 8,242152544  | 8,50862743  | 0,261635488 | 0,83430403  | 0,00028035 | 0,028289408 | MM_015744  | Enpp2        | ectonucleotide pyrophos      | MM_015744  | MM_015744  | MM_015744  | 28 | 1chr15 |
| 10489820 | 9,965434957  | 9,74401732  | 0,17236663  | 1,1268743   | 0,00028359 | 0,02854371  | MM_144892  | Ncoo5        | nuclear receptor coactiv     | MM_144892  | MM_144892  | MM_144892  | 28 | 1chr2  |
| 10361021 | 8,657046234  | 8,43294521  | 0,22410102  | 1,16904318  | 0,00028594 | 0,02854371  | MM_153804  | Plekhg3      | pleckstrin homology dom      | MM_153804  | MM_153804  | MM_153804  | 43 | 1chr12 |
| 10410931 | 9,816851589  | 10,10278902 | 0,28595863  | 0,82021369  | 0,00028594 | 0,02854371  | MM_198408  | Crtbb        | carticortropin releasing     | MM_198408  | MM_198408  | MM_198408  | 25 | 1chr13 |
| 10465914 | 6,971491112  | 6,66986642  | 0,3118207   | 1,24051312  | 0,00029416 | 0,02899402  | MM_0010814 | Vcan         | versican                     | MM_0010814 | MM_0010814 | MM_0010814 | 13 | 1chr2  |
| 10483003 | 9,610371704  | 9,39279944  | 0,3118207   | 1,24051312  | 0,00029899 | 0,02947979  | ENSMUST000 | Rim1         | RNA binding motif, singl     | ENSMUST000 | ENSMUST000 | ENSMUST000 | 36 | 1chrX  |
| 10372751 | 8,798656456  | 8,47743011  | 0,32114633  | 1,24856533  | 0,00030244 | 0,02957438  | MM_028679  | Irfk3        | interleukin-1 receptor-3     | MM_028679  | MM_028679  | MM_028679  | 27 | 1chr10 |
| 10402128 | 10,396138951 | 10,07191515 | 0,32625442  | 1,2357541   | 0,00030252 | 0,02957438  | MM_021273  | Ckb          | creatine kinase, brain       | MM_021273  | MM_021273  | MM_021273  | 37 | 1chr12 |
| 10303309 | 8,430048017  | 8,20094946  | 0,29298855  | 1,1256712   | 0,00031681 | 0,03086468  | MM_026280  | Mxro2        | matrix-remodelling asso      | MM_026280  | MM_026280  | MM_026280  | 34 | 1chr11 |
| 10489095 | 6,976246038  | 6,67254409  | 0,30300029  | 1,23400573  | 0,0003221  | 0,03127233  | MM_172682  | Fam160a1     | family with sequence sim     | MM_172682  | MM_172682  | MM_172682  | 32 | 1chr3  |
| 10382425 | 8,230866218  | 8,08212619  | 0,23874056  | 1,179962716 | 0,00032419 | 0,03136783  | MM_0011103 | Gpr5c        | G protein-coupled recept     | MM_0011103 | MM_0011103 | MM_0011103 | 53 | 1chr11 |
| 10504111 | 8,255007507  | 7,992393331 | 0,26265176  | 1,19966513  | 0,00032555 | 0,031793    |            |              |                              |            |            |            |    |        |

|           |              |             |             |             |            |            |            |               |                             |            |            |            |    |   |       |   |
|-----------|--------------|-------------|-------------|-------------|------------|------------|------------|---------------|-----------------------------|------------|------------|------------|----|---|-------|---|
| 10551614  | 9.6033165115 | 9.35925968  | 0.24410543  | 1.18435816  | 0.00033383 | 0.03208124 | NM_016772  | Ech1          | enjoy coenzyme A hydratase  | NM_016772  | NM_016772  | NM_016772  | 27 | 1 | chr7  | + |
| 10413012  | 7.885081258  | 7.27528747  | 0.60979379  | 1.52604107  | 0.00034012 | 0.03252896 | AK034234   | Fut11         | lucylysoyltransferase 11    | AK034234   | AK034234   | AK034234   | 21 | 1 | chr4  | + |
| 10460057  | 6.160079223  | 5.89250931  | 0.207394536 | 0.320340078 | 0.00034078 | 0.03252896 | NM_0010813 | Trk1          | teashirt zinc finger family | NM_0010813 | NM_0010813 | NM_0010813 | 27 | 1 | chr18 | + |
| 10588251  | 8.492534006  | 8.97520721  | 0.48667297  | 0.87862947  | 0.00034481 | 0.03280367 | NM_177914  | Dgk1          | diacylglycerol kinase kappa | NM_177914  | NM_177914  | NM_177914  | 27 | 1 | chr2  | + |
| 10368193  | 7.680231664  | 7.91948412  | 0.23923245  | 0.84718418  | 0.00034815 | 0.03301007 | NM_145743  | Lec1          | lactation elevated 1        | NM_145743  | NM_145743  | NM_145743  | 26 | 1 | chr10 | + |
| 10506490  | 10.97232497  | 10.65979258 | 1.24193206  | 0.00035382  | 0.03334544 | NM_0010796 | Scf5       | Scf5          | serine/arginine-rich splic  | NM_0010796 | NM_0010796 | NM_0010796 | 27 | 1 | chr4  | + |
| 10396740  | 9.493567144  | 9.712169    | 0.22012286  | 0.85849233  | 0.00035808 | 0.03356444 | NM_172952  | Gpfn          | glycophorin                 | NM_172952  | NM_172952  | NM_172952  | 26 | 1 | chr12 | + |
| 10492682  | 8.594723709  | 8.9064457   | 0.31172199  | 0.80567953  | 0.00035691 | 0.03356444 | NM_133187  | Fam198b       | family with sequence sim    | NM_133187  | NM_133187  | NM_133187  | 26 | 1 | chr3  | + |
| 10600901  | 7.398727319  | 7.695809661 | 0.34718689  | 0.78612537  | 0.00035873 | 0.03356444 | NM_013476  | Ar            | androgen receptor           | NM_013476  | NM_013476  | NM_013476  | 27 | 1 | chrX  | + |
| 10455883  | 6.713967678  | 6.36586816  | 0.32830864  | 1.25554057  | 0.00036002 | 0.03374705 | NM_0011348 | Ctn3          | cortixin 3                  | NM_0011348 | NM_0011348 | NM_0011348 | 25 | 1 | chr18 | + |
| 10400838  | 8.733222133  | 8.41891662  | 0.31430551  | 1.24341295  | 0.00036546 | 0.03393678 | NM_008086  | Gas1          | growth arrest specific 1    | NM_008086  | NM_008086  | NM_008086  | 26 | 1 | chr13 | + |
| 10441107  | 11.088669434 | 11.2882402  | 0.19954584  | 0.87001466  | 0.00036619 | 0.03393678 | NM_019537  | Pomg1         | proteasome (prosome, m      | NM_019537  | NM_019537  | NM_019537  | 28 | 1 | chr16 | + |
| 10456184  | 8.46579468   | 8.18865688  | 0.27801359  | 1.13963029  | 0.00036758 | 0.03393678 | NM_133237  | Apcc1         | adenomatous polyposis       | NM_133237  | NM_133237  | NM_133237  | 31 | 1 | chr18 | + |
| 10485550  | 6.905874992  | 6.65946155  | 0.24613344  | 1.18625441  | 0.00037973 | 0.03472679 | NM_008047  | Fstl1         | foliastatin-like 1          | NM_008047  | NM_008047  | NM_008047  | 36 | 1 | chr16 | + |
| 10500802  | 10.66632756  | 10.4427189  | 0.22620864  | 1.1676506   | 0.00037973 | 0.03472679 | NM_0010333 | D330001D058ik | RIKEN cDNA D330001D058ik    | NM_0010333 | NM_0010333 | NM_0010333 | 33 | 1 | chr2  | + |
| 10663890  | 9.73270923   | 9.72370903  | 0.27024384  | 1.20584447  | 0.00039778 | 0.03514433 | NM_010879  | Nck2          | glutamate receptor, ionc    | NM_010879  | NM_010879  | NM_010879  | 32 | 1 | chr4  | + |
| 10490129  | 7.252515287  | 7.43737924  | 0.28785888  | 1.22081709  | 0.00038265 | 0.03484103 | NM_007557  | Bmp7          | bone morphogenetic prot     | NM_007557  | NM_007557  | NM_007557  | 29 | 1 | chr2  | + |
| 10535807  | 7.274495088  | 7.00216546  | 0.27233053  | 1.20757277  | 0.00038344 | 0.03484103 | NM_010228  | Fhl1          | FMS-like tyrosine kinase    | NM_010228  | NM_010228  | NM_010228  | 29 | 1 | chr5  | + |
| 10582811  | 9.925084653  | 9.73094453  | 0.19413884  | 1.14404106  | 0.00038926 | 0.0355257  | NM_0011649 | Ir2bp2        | interferon regulatory fac   | NM_0011649 | NM_0011649 | NM_0011649 | 26 | 1 | chr8  | + |
| 10681154  | 9.980188078  | 9.48848921  | 0.49329998  | 1.22543246  | 0.00040004 | 0.03603721 | NM_008923  | Cebp          | 2,3-cyclic nucleotide 3     | NM_008923  | NM_008923  | NM_008923  | 25 | 1 | chr11 | + |
| 105409256 | 11.35795433  | 11.55371973 | 0.17024296  | 0.88316631  | 0.00040404 | 0.03625067 | NM_021284  | Kras          | v-ki-ras2 Kirsten rat sarc  | NM_021284  | NM_021284  | NM_021284  | 30 | 1 | chr6  | + |
| 10603746  | 6.971975479  | 6.57985744  | 0.39211804  | 1.31231882  | 0.00040541 | 0.03625067 | NM_172778  | Maob          | monoamine oxidase B         | NM_172778  | NM_172778  | NM_172778  | 34 | 1 | chrX  | + |
| 10458843  | 10.07578181  | 10.1198833  | 0.24410149  | 0.84434189  | 0.00041374 | 0.03688537 | NM_018744  | Srsf6a        | serpin domain, transmem     | NM_018744  | NM_018744  | NM_018744  | 26 | 1 | chr18 | + |
| 10543791  | 10.36199025  | 10.5795005  | 0.21751024  | 0.86003484  | 0.00041773 | 0.03712477 | NM_013723  | Pfou1         | poecilobactin-like          | NM_013723  | NM_013723  | NM_013723  | 29 | 1 | chr6  | + |
| 10351801  | 9.62121777   | 9.849886975 | 0.23676887  | 0.84864379  | 0.00042064 | 0.03726661 | NM_033608  | Irf5          | immunoglobulin superfa      | NM_033608  | NM_033608  | NM_033608  | 46 | 1 | chr1  | + |
| 10545740  | 10.03945849  | 10.0581285  | 0.21867006  | 0.85935726  | 0.00042466 | 0.03731096 | NM_177077  | Egr5          | exonuclease complex comp    | NM_177077  | NM_177077  | NM_177077  | 27 | 1 | chr10 | + |
| 10364593  | 10.64400972  | 10.371081   | 0.29232869  | 1.27461336  | 0.00043178 | 0.03801517 | NM_007725  | Cmi2          | calpain 2                   | NM_007725  | NM_007725  | NM_007725  | 27 | 1 | chr10 | + |
| 10402766  | 10.04524194  | 9.87592055  | 0.19321241  | 1.16418587  | 0.00043542 | 0.03821753 | NM_009652  | Arl1          | thyrosine viral proto-onc   | NM_009652  | NM_009652  | NM_009652  | 32 | 1 | chr12 | + |
| 10403654  | 6.88841845   | 6.64345155  | 0.34966669  | 1.27012184  | 0.00043773 | 0.03830154 | NM_009652  | Arl1          | thyrosine viral proto-onc   | NM_009652  | NM_009652  | NM_009652  | 32 | 1 | chr12 | + |
| 10419719  | 4.767446067  | 5.03873887  | 0.2703943   | 0.82309291  | 0.00044216 | 0.03856963 | NM_020513  | Ohrl1508      | olfactory receptor 1508     | NM_020513  | NM_020513  | NM_020513  | 25 | 1 | chr14 | + |
| 10514456  | 8.02091155   | 7.68659453  | 0.31506962  | 1.24441365  | 0.00044698 | 0.03876023 | NM_010591  | Jun           | Jun oncogene                | NM_010591  | NM_010591  | NM_010591  | 26 | 1 | chr4  | + |
| 10476824  | 7.06460222   | 7.1132103   | 0.49304972  | 1.22527255  | 0.00047870 | 0.03880916 | NM_008780  | Pax1          | paired box gene 1           | NM_008780  | NM_008780  | NM_008780  | 26 | 1 | chr2  | + |
| 10462086  | 4.095401236  | 3.75232829  | 0.34607295  | 1.28616251  | 0.00048295 | 0.03901221 | NM_175331  | NA            | NA                          | AK164996   | NM_008780  | NM_008780  | 26 | 1 | chr19 | + |
| 10685538  | 9.014014298  | 8.813331319 | 0.18270111  | 1.13950093  | 0.00048874 | 0.03918025 | NM_175331  | Nf50c3        | 5'-nuc eotidase domain c    | NM_175331  | NM_175331  | NM_175331  | 31 | 1 | chr10 | + |
| 10525429  | 9.953059484  | 9.68758943  | 0.2670798   | 1.201798    | 0.00048883 | 0.03930704 | NR_030704  | Smad5         | small nuclear RNA, C/D      | NR_030704  | NR_030704  | NR_030704  | 25 | 1 | chr4  | + |
| 10528015  | 8.03175203   | 8.47242657  | 0.44021954  | 0.7367676   | 0.00048572 | 0.03938025 | NM_027399  | Slmap1        | sls transmembrane prot      | NM_027399  | NM_027399  | NM_027399  | 26 | 1 | chr5  | + |
| 10574682  | 11.79451788  | 11.9106003  | 0.17160382  | 0.88851032  | 0.00048523 | 0.03938025 | NM_148952  | E2f4          | E2F transcription factor 4  | NM_148952  | NM_148952  | NM_148952  | 25 | 1 | chr8  | + |
| 10932176  | 11.66886003  | 10.8385775  | 0.83131302  | 1.23815292  | 0.00046219 | 0.03938025 | NM_0010814 | Chd7          | chromodomain helicase       | NM_0010814 | NM_0010814 | NM_0010814 | 25 | 1 | chr4  | + |
| 10516054  | 8.35624651   | 7.88259031  | 0.49273434  | 1.23346002  | 0.00046509 | 0.03969416 | NM_029662  | Scrm1         | secremin 1                  | NM_029662  | NM_029662  | NM_029662  | 33 | 1 | chr6  | + |
| 10462053  | 8.434232563  | 8.16718173  | 0.26755093  | 1.20334551  | 0.00047714 | 0.03975699 | NM_027857  | Mf62a         | major facilitator superfa   | NM_027857  | NM_027857  | NM_027857  | 28 | 1 | chr4  | + |
| 10352957  | 7.837631086  | 8.08930228  | 0.2516742   | 0.8399228   | 0.00047714 | 0.04024902 | NM_001177  | Rpl30         | regulator of G-protein si   | NM_001177  | NM_001177  | NM_001177  | 34 | 1 | chr1  | + |
| 10448788  | 11.25232263  | 10.992417   | 0.25939567  | 1.19760031  | 0.00048407 | 0.04080146 | NM_011956  | Nubp2         | nucleotide binding prot     | NM_011956  | NM_011956  | NM_011956  | 26 | 1 | chr17 | + |
| 10418895  | 7.781371332  | 7.49508334  | 0.28268794  | 1.21949846  | 0.00049383 | 0.04105562 | NM_021712  | Slc18a3       | solite carrier family 18 l  | NM_021712  | NM_021712  | NM_021712  | 25 | 1 | chr14 | + |
| 10475414  | 8.951593083  | 8.666936698 | 0.284657    | 1.21812063  | 0.00049276 | 0.04105562 | NM_009735  | B2m           | beta-2 microglobulin        | NM_009735  | NM_009735  | NM_009735  | 25 | 1 | chr2  | + |
| 10548875  | 4.83251073   | 4.55190043  | 0.30589995  | 1.23571757  | 0.00049379 | 0.04115562 | NM_053211  | Tpa2r103      | taste receptor, type 2, m   | NM_053211  | NM_053211  | NM_053211  | 24 | 1 | chr6  | + |
| 10361976  | 10.82339888  | 10.52849665 | 0.29390237  | 1.22595119  | 0.00049569 | 0.04106735 | NM_0010796 | Scf5          | serine/arginine-rich splic  | NM_0010796 | NM_0010796 | NM_0010796 | 24 | 1 | chr12 | + |
| 10464080  | 7.176715562  | 6.80836105  | 0.36983605  | 1.29087965  | 0.00049689 | 0.04106735 | NM_007417  | Adra2a        | adrenergic receptor, alfa   | NM_007417  | NM_007417  | NM_007417  | 26 | 1 | chr19 | + |
| 10503188  | 10.11758552  | 9.86631612  | 0.25312191  | 1.19021244  | 0.00050057 | 0.04115509 | NM_0010814 | Chd7          | chromodomain helicase       | NM_0010814 | NM_0010814 | NM_0010814 | 25 | 1 | chr4  | + |
| 10503188  | 7.631199595  | 7.32797553  | 0.32797553  | 1.2552507   | 0.00051297 | 0.04115509 | NM_0010814 | Sic25a18      | solite carrier family 25 (  | NM_0010814 | NM_0010814 | NM_0010814 | 25 | 1 | chr6  | + |
| 10582376  | 11.63461826  | 11.8073825  | 0.17262426  | 0.88714225  | 0.00052447 | 0.04105562 | NM_0010374 | Fam38a        | family with sequence sim    | NM_0010374 | NM_0010374 | NM_0010374 | 25 | 1 | chr8  | + |
| 10495799  | 9.65990826   | 9.47026658  | 0.22964002  | 1.17254234  | 0.00052823 | 0.04103216 | NM_008551  | Mjanpk2       | MAP kinase-activated pro    | NM_008551  | NM_008551  | NM_008551  | 30 | 1 | chr1  | + |
| 10448034  | 8.56683814   | 8.2472188   | 0.30118807  | 0.86992044  | 0.00053524 | 0.04103216 | NM_183201  | Sfn5          | schlafen like 5             | NM_183201  | NM_183201  | NM_183201  | 26 | 1 | chr11 | + |
| 10554418  | 7.808756779  | 7.5415574   | 0.2670998   | 1.20346933  | 0.00053403 | 0.04338233 | NM_007865  | Dll1          | delta-like 1 (Drosophila)   | NM_007865  | NM_007865  | NM_007865  | 26 | 1 | chr17 | + |
| 10411326  | 8.743187568  | 8.57247568  | 0.2203674   | 1.16654509  | 0.00054689 | 0.04338233 | NM_008542  | Smad6         | MAD homolog 6 (Drosop       | NM_008542  | NM_008542  | NM_008542  | 31 | 1 | chr9  | + |
| 1038824   | 8.83655533   | 8.57759093  | 0.2589965   | 1.19664509  | 0.00054697 | 0.04338233 | NM_172227  | Poik          | polymerase (DNA direct      | NM_172227  | NM_172227  | NM_172227  | 44 | 1 |       |   |

|          |             |            |              |             |            |            |           |             |            |           |                   |                   |    |   |       |
|----------|-------------|------------|--------------|-------------|------------|------------|-----------|-------------|------------|-----------|-------------------|-------------------|----|---|-------|
| 10369481 | 10.2180325  | 9.8559727  | 0.38273523   | 1.30381143  | 0.00055881 | 0.04487632 | NM_207000 | H2ahY2      | 0.04487632 | NM_207000 | NM_207000         | NM_207000         | 8  | 1 | chr10 |
| 10475544 | 6.503370682 | 5.18595113 | 0.31741953   | 1.24609973  | 0.00057147 | 0.04525444 | NM_199241 | Sema6d      | 0.04525444 | NM_199241 | NM_199241         | NM_199241         | 44 | 1 | chr2  |
| 10454807 | 9.312030929 | 9.77768709 | -0.46565616  | 0.72414165  | 0.00058019 | 0.04574893 | NR_002905 | Snora74a    | 0.04574893 | NR_002905 | NR_002905         | NR_002905         | 25 | 1 | chr18 |
| 10553401 | 7.605394244 | 7.39256201 | 0.21283223   | 1.15996117  | 0.00058094 | 0.04574893 | AK157552  | F3022380GRK | AK157552   | AK157552  | AK157552          | AK157552          | 25 | 1 | chr7  |
| 10491056 | 10.6204173  | 10.3734792 | 0.24693812   | 1.11668859  | 0.00058663 | 0.04575924 | NM_030732 | Tb11x1      | 0.04575924 | NM_030732 | NM_030732         | NM_030732         | 25 | 1 | chr3  |
| 10497894 | 8.752427392 | 8.43550868 | 0.31691871   | 1.24566723  | 0.00058556 | 0.04575924 | NM_145825 | Cetn4       | 0.04575924 | NM_145825 | NM_145825         | NM_145825         | 8  | 1 | chr3  |
| 10513869 | 7.923479559 | 7.60402656 | 0.31946299   | 1.24785733  | 0.00058574 | 0.04575924 | NM_172694 | Mgef9       | 0.04575924 | NM_172694 | NM_172694         | NM_172694         | 28 | 1 | chr4  |
| 10551872 | 8.859799636 | 8.67807978 | 0.18171986   | 1.1342352   | 0.00058753 | 0.04575924 | NM_153577 | A1428936    | 0.04575924 | NM_153577 | NM_153577         | NM_153577         | 32 | 1 | chr7  |
| 10361075 | 8.799267106 | 8.67097646 | 0.11829065   | 1.1315424   | 0.00058933 | 0.04575924 | NM_001081 | Mrsd7b      | 0.04575924 | NM_001081 | NM_001081         | NM_001081         | 25 | 1 | chr1  |
| 10345675 | 6.340114574 | 6.02896052 | 0.31115405   | 1.24069977  | 0.00060843 | 0.04712846 | NM_008719 | Npas2       | 0.04712846 | NM_008719 | NM_008719         | NM_008719         | 43 | 1 | chr1  |
| 10380560 | 11.55025237 | 11.7316329 | -0.18138052  | 0.88185874  | 0.00061556 | 0.04755045 | NM_201609 | Zip652      | 0.04755045 | NM_201609 | NM_201609         | NM_201609         | 25 | 1 | chr11 |
| 10463911 | 9.765466393 | 9.45176317 | 0.313739323  | 1.24291982  | 0.00062805 | 0.04812248 | NM_001164 | Add3        | 0.04812248 | NM_001164 | NM_001164         | NM_001164         | 35 | 1 | chr19 |
| 10553533 | 8.280472345 | 7.55807911 | 0.72239324   | 1.64991676  | 0.00062602 | 0.04812248 | XM_885168 | Gm6181      | 0.04812248 | XM_885168 | ENSUS000000000000 | ENSUS000000000000 | 9  | 1 | chr7  |
| 10604591 | 4.452327761 | 5.08567417 | -0.63334641  | 0.64467931  | 0.00062723 | 0.04812248 | NR_029715 | Mir19b-2    | 0.04812248 | NR_029715 | NR_029715         | NR_029715         | 30 | 1 | chrX  |
| 10526797 | 10.11758512 | 10.3345064 | -0.2169213   | 0.86039956  | 0.00063665 | 0.04858133 | NM_016964 | 5tag3       | 0.04858133 | NM_016964 | NM_016964         | NM_016964         | 34 | 1 | chr5  |
| 10595803 | 10.08587361 | 9.78182516 | 0.32408485   | 1.2518385   | 0.00063747 | 0.04858133 | NM_011279 | Rnf7        | 0.04858133 | NM_011279 | NM_011279         | NM_011279         | 8  | 1 | chr9  |
| 10407782 | 7.406396481 | 7.00631229 | 0.4000842    | 1.31954932  | 0.00064106 | 0.04870583 | NM_133643 | Edaradl     | 0.04870583 | NM_133643 | NM_133643         | NM_133643         | 27 | 1 | chr13 |
| 10544002 | 8.36262112  | 8.30394095 | 0.33232017   | 1.25903656  | 0.00064254 | 0.04870583 | NM_178661 | Creb3l2     | 0.04870583 | NM_178661 | NM_178661         | NM_178661         | 36 | 1 | chr6  |
| 10576051 | 6.529801849 | 6.18447745 | 0.3453244    | 1.279043662 | 0.00064502 | 0.04876328 | NM_013519 | Foxc2       | 0.04876328 | NM_013519 | NM_013519         | NM_013519         | 33 | 1 | chr8  |
| 10482448 | 7.404530194 | 7.09235225 | 0.31217769   | 1.2415804   | 0.00065704 | 0.04953996 | NM_015753 | Zeb2        | 0.04953996 | NM_015753 | NM_015753         | NM_015753         | 63 | 1 | chr2  |
| 10469761 | 8.773724001 | 8.54928939 | 0.22448461   | 1.1683193   | 0.00067488 | 0.04955496 | NM_178606 | Reep3       | 0.04955496 | NM_178606 | NM_178606         | NM_178606         | 33 | 1 | chr10 |
| 10371201 | 9.504735247 | 9.6282836  | -0.15810075  | 0.89620411  | 0.00067329 | 0.04955496 | NM_134009 | Ncln        | 0.04955496 | NM_134009 | NM_134009         | NM_134009         | 30 | 1 | chr10 |
| 10388310 | 7.631251529 | 7.31888566 | 0.31236587   | 1.24174236  | 0.00067185 | 0.04955496 | NM_001015 | Rep1gap2    | 0.04955496 | NM_001015 | NM_001015         | NM_001015         | 26 | 1 | chr11 |
| 10433597 | 8.329154317 | 8.55626816 | -0.22711384  | 0.85434232  | 0.00067185 | 0.04955496 | NM_028964 | Smc29       | 0.04955496 | NM_028964 | NM_028964         | NM_028964         | 32 | 1 | chr16 |
| 10449976 | 8.619360238 | 8.3389198  | -0.271453174 | 0.861875984 | 0.00067066 | 0.04955496 | NM_177359 | Zfp799      | 0.04955496 | NM_177359 | NM_177359         | NM_177359         | 29 | 1 | chr17 |
| 10487879 | 8.24648282  | 8.00156017 | 0.24492265   | 1.18502924  | 0.00066303 | 0.04955496 | NM_178607 | Rnf24       | 0.04955496 | NM_178607 | NM_178607         | NM_178607         | 25 | 1 | chr2  |
| 10527940 | 6.688752967 | 6.42966651 | 0.25982646   | 1.19672068  | 0.00067311 | 0.04955496 | NM_011074 | Cdk14       | 0.04955496 | NM_011074 | NM_011074         | NM_011074         | 36 | 1 | chr5  |



|          |             |             |             |            |          |            |            |          |                          |            |            |            |           |         |         |
|----------|-------------|-------------|-------------|------------|----------|------------|------------|----------|--------------------------|------------|------------|------------|-----------|---------|---------|
| 10472317 | 11,5165314  | 1,7280998   | -0.21150841 | 0.86363375 | 2,05E-05 | 0.01257019 | MM_010137  | Epa3     | endothelial PAS domain   | MM_010137  | MM_010137  | MM_010137  | MM_010137 | 38      | 1 chr17 |
| 10519535 | 8,56597255  | 8,26634992  | 0.29962269  | 1.23082242 | 1.98E-05 | 0.01257019 | MM_011075  | Abcb1b   | ATP-binding cassette, 1  | MM_011075  | MM_011075  | MM_011075  | 22        | 1 chr5  |         |
| 10588226 | 9,34194355  | 9,0694615   | 0.27278811  | 1.2078841  | 2.13E-05 | 0.01257019 | MM_019764  | Ahm2     | anion-exchange protein 2 | MM_019764  | MM_019764  | MM_019764  | 32        | 1 chr9  |         |
| 10571214 | 9,35964169  | 9,04248318  | 0.31715851  | 1.24587429 | 2.26E-05 | 0.01257019 | MM_175136  | Rnt122   | ring finger protein 122  | MM_175136  | MM_175136  | MM_175136  | 26        | 1 chr8  |         |
| 10521498 | 10,6531992  | 10,30468319 | 0.25148779  | 0.84003259 | 2.62E-05 | 0.01475978 | MM_007765  | Crm3     | collapsin response med   | MM_007765  | MM_007765  | MM_007765  | 31        | 1 chr5  |         |
| 10568553 | 9,93132322  | 9,61163659  | 0.31968692  | 1.24005942 | 2.71E-05 | 0.01475978 | MM_029935  | Chk15    | carboxylate (N-acetyl)   | MM_029935  | MM_029935  | MM_029935  | 37        | 1 chr9  |         |
| 10584435 | 8,43641579  | 8,10089565  | 0.33552014  | 1.25339833 | 2.66E-05 | 0.01475978 | MM_172767  | Vmw54    | von Willebrand factor A  | MM_172767  | MM_172767  | MM_172767  | 42        | 1 chr7  |         |
| 10404059 | 10,3029299  | 9,97690048  | 0.32602047  | 1.23555568 | 2.76E-05 | 0.01472711 | MM_015786  | HistH1c  | histone cluster 1, HE    | MM_015786  | MM_015786  | MM_015786  | 25        | 1 chr13 |         |
| 10547469 | 8,60071434  | 8,40633595  | 0.39427884  | 1.31428522 | 2.83E-05 | 0.01486962 | BC146009   | Wnk1     | Wnk tyrosine deficient p | BC146009   | BC146009   | BC146009   | 25        | 1 chr6  |         |
| 10481194 | 9,08244274  | 8,74408344  | 0.34708187  | 1.26607187 | 2.92E-05 | 0.01504274 | MM_028889  | Ehfl4    | WFK high domain contai   | MM_028889  | MM_028889  | MM_028889  | 27        | 1 chr1  |         |
| 10458731 | 9,42943968  | 9,69713105  | -0.25769137 | 0.83642531 | 3.12E-05 | 0.01586766 | MM_010853  | Mcc      | mutated in colorectal c  | MM_010853  | MM_010853  | MM_010853  | 64        | 1 chr18 |         |
| 10399691 | 11,3911301  | 11,0819047  | 0.30922543  | 1.23904229 | 3.38E-05 | 0.01613994 | MM_010496  | h2       | inhibitor of DNA bindi   | MM_010496  | MM_010496  | MM_010496  | 21        | 1 chr12 |         |
| 10433769 | 9,90546632  | 10,12811569 | 0.22361057  | 0.85641943 | 3.42E-05 | 0.01613994 | MM_026323  | Wf6c2    | RIKEN cDNA 29000110      | BC022741   | BC022741   | BC022741   | 26        | 1 chr16 |         |
| 10476525 | 7,48412204  | 7,12596795  | 0.3511441   | 1.28178483 | 3.35E-05 | 0.01613994 | MM_026323  | Wf6c2    | WAP four-disulfide con   | MM_026323  | MM_026323  | MM_026323  | 30        | 1 chr2  |         |
| 10436978 | 7,84217001  | 7,54758773  | 0.29448228  | 1.22644478 | 3.98E-05 | 0.01867236 | MM_173047  | Chr3     | carboxyl reductase 3     | MM_173047  | MM_173047  | MM_173047  | 25        | 1 chr16 |         |
| 10491159 | 10,3191324  | 10,027965   | -0.2846256  | 0.84168216 | 4.08E-05 | 0.01867236 | MM_009834  | Ccna1    | CC R4 carbon catabolit   | MM_009834  | MM_009834  | MM_009834  | 26        | 1 chr3  |         |
| 10601581 | 7,57131974  | 7,09351614  | 0.4778636   | 1.39762188 | 4.07E-05 | 0.01867236 | MM_0011466 | Ccna1    | RIKEN cDNA 9230105E      | MM_0011466 | MM_0011466 | MM_0011466 | 25        | 3 chrX  |         |
| 10591163 | 9,40751588  | 8,79850297  | 0.64901291  | 1.56809494 | 4.21E-05 | 0.01899733 | MM_008321  | h3       | inhibitor of DNA bindi   | MM_008321  | MM_008321  | MM_008321  | 27        | 1 chr4  |         |
| 10475474 | 9,02250948  | 8,66693698  | 0.35557251  | 1.27949322 | 4.69E-05 | 0.02075614 | MM_009735  | B2m      | beta-2 microglobulin     | MM_009735  | MM_009735  | MM_009735  | 25        | 1 chr2  |         |
| 10383198 | 9,63746751  | 9,19838284  | 0.43908466  | 1.35574388 | 4.87E-05 | 0.02125992 | ENSMUST000 | Rnt213   | ring finger protein 213  | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25        | 1 chr11 |         |
| 10353898 | 9,44628688  | 9,21449564  | 0.27967404  | 1.21393058 | 5.05E-05 | 0.02125992 | ENSMUST000 | Rnt213   | ring finger protein 213  | ENSMUST000 | ENSMUST000 | ENSMUST000 | 29        | 1 chr1  |         |
| 10370000 | 8,53377962  | 8,27747572  | 0.26360435  | 1.19441514 | 5.44E-05 | 0.02299877 | MM_008185  | Gst1     | glutathione S-transfer   | MM_008185  | MM_008185  | MM_008185  | 27        | 1 chr10 |         |
| 10462624 | 6,93871055  | 6,7479226   | 0.6909183   | 1.61431072 | 5.90E-05 | 0.02299877 | MM_008331  | h1       | interferon-induced pro   | MM_008331  | MM_008331  | MM_008331  | 24        | 1 chr19 |         |
| 10550059 | 7,00271582  | 7,47703033  | 0.42518851  | 1.34274515 | 5.89E-05 | 0.02386233 | MM_029809  | M218     | RIKEN cDNA 2310014J      | MM_029809  | MM_029809  | MM_029809  | 25        | 1 chr7  |         |
| 10570189 | 9,95095708  | 9,33528611  | 0.62454548  | 1.72390456 | 6.32E-05 | 0.02523472 | MM_0010377 | Gp4      | glutathione peroxidase   | MM_0010377 | MM_0010377 | MM_0010377 | 40        | 3 chr10 |         |
| 10431659 | 9,03268835  | 8,78542314  | 0.24726521  | 1.18695497 | 6.70E-05 | 0.02601284 | MM_0011096 | M218     | SRV-bac containing gem   | MM_009233  | MM_009233  | MM_009233  | 25        | 1 chr8  |         |
| 10381603 | 9,03274235  | 8,73427491  | 0.29855744  | 1.229914   | 6.82E-05 | 0.02601284 | MM_020510  | Fzd2     | kinesin family member    | MM_020510  | MM_020510  | MM_020510  | 36        | 1 chr11 |         |
| 10424343 | 9,99760176  | 9,67125434  | 0.32648466  | 1.25438374 | 7.48E-05 | 0.02923415 | MM_009865  | Cdh10    | frizzled homolog 2 (Dro  | MM_009865  | MM_009865  | MM_009865  | 28        | 1 chr15 |         |
| 10457644 | 8,63717013  | 8,50919371  | 0.23997243  | 1.18097009 | 7.94E-05 | 0.02923415 | MM_007664  | Cdh2     | cadherin 2               | MM_007664  | MM_007664  | MM_007664  | 34        | 1 chr18 |         |
| 10570434 | 9,97117222  | 9,51656541  | 0.45451581  | 1.37032283 | 7.95E-05 | 0.02923415 | MM_026820  | hfm1     | interferon induced tra   | MM_026820  | MM_026820  | MM_026820  | 26        | 3 chr8  |         |
| 10457077 | 9,31654607  | 9,05552888  | 0.27055118  | 1.20660476 | 8.91E-05 | 0.03137031 | MM_015798  | Fbox15   | F-box protein 15         | MM_015798  | MM_015798  | MM_015798  | 32        | 1 chr18 |         |
| 10847413 | 5,88234268  | 4,76274649  | 0.8195904   | 1.76491166 | 8.81E-05 | 0.03137031 | NR_002903  | Snoord61 | small nuclear RNA, C     | NR_002903  | NR_002903  | NR_002903  | 25        | 1 chrX  |         |
| 1056535  | 7,10373265  | 6,99052044  | 0.51316221  | 1.42717496 | 9.05E-05 | 0.03278784 | MM_175937  | Cpob2    | cytoplasmic polyadenyl   | MM_175937  | MM_175937  | MM_175937  | 36        | 1 chr5  |         |
| 10515023 | 8,79972348  | 8,51515697  | 0.28456651  | 1.21104422 | 9.00E-05 | 0.03525206 | MM_010259  | Gbp1     | guanylate binding prot   | MM_010259  | MM_010259  | MM_010259  | 36        | 1 chr3  |         |
| 10444088 | 9,95558548  | 9,55381301  | 0.30177747  | 1.2326579  | 9.00E-05 | 0.03525206 | MM_0010998 | Fam159a  | family with sequence s   | MM_0010998 | MM_0010998 | MM_0010998 | 26        | 1 chr4  |         |
| 10435271 | 7,48778498  | 7,17759152  | 0.31019147  | 1.23987896 | 9.00E-05 | 0.03770487 | MM_0010255 | Tapab    | TAP binding protein      | MM_0010255 | MM_0010255 | MM_0010255 | 27        | 1 chr17 |         |
| 10366020 | 6,62710978  | 6,26295378  | 0.29918005  | 0.81270966 | 9.00E-05 | 0.03838255 | MM_175256  | Heg1     | HEG homolog 1 (lethal    | MM_175256  | MM_175256  | MM_175256  | 32        | 1 chr16 |         |
| 10435266 | 7,57617222  | 7,3154669   | 0.2597053   | 1.19723412 | 9.00E-05 | 0.03868049 | MM_175256  | Heg1     | HEG homolog 1 (lethal    | MM_175256  | MM_175256  | MM_175256  | 61        | 1 chr1  |         |
| 10521632 | 7,84843738  | 7,59657454  | 0.25156678  | 1.19674364 | 9.05E-05 | 0.03868049 | MM_175937  | Cpob2    | cytoplasmic polyadenyl   | MM_175937  | MM_175937  | MM_175937  | 36        | 1 chr5  |         |
| 10465635 | 7,10373265  | 6,99052044  | 0.51316221  | 1.42717496 | 9.05E-05 | 0.03868049 | MM_0011904 | Dnah2    | dimethylarginine dimet   | MM_0011904 | MM_0011904 | MM_0011904 | 30        | 1 chr17 |         |
| 10586099 | 10,839234   | 10,6242738  | 0.21876015  | 1.15973308 | 9.00E-05 | 0.03888407 | MM_0010838 | Ties3    | transducin-like enhanc   | MM_0010838 | MM_0010838 | MM_0010838 | 48        | 1 chr9  |         |
| 10385520 | 8,96493464  | 8,59782204  | 0.50711415  | 1.42120447 | 9.00E-05 | 0.04028272 | MM_008326  | Irgm1    | immunity-related GTPa    | MM_008326  | MM_008326  | MM_008326  | 25        | 1 chr11 |         |
| 10457586 | 8,95169174  | 8,59196242  | 0.35922432  | 1.28318512 | 9.00E-05 | 0.04028272 | MM_013505  | Dxc2     | desmocolin 2             | MM_013505  | MM_013505  | MM_013505  | 39        | 1 chr18 |         |
| 10450826 | 8,29655354  | 7,87273825  | 0.42381328  | 1.34147046 | 9.00E-05 | 0.04028272 | MM_177660  | Dxb10    | zinc finger and BTB do   | MM_177660  | MM_177660  | MM_177660  | 26        | 1 chr3  |         |
| 10502790 | 8,17186837  | 7,68386781  | 0.50850056  | 1.4225769  | 9.00E-05 | 0.04256044 | MM_0010812 | Lphn2    | latrophilin 2            | MM_0010812 | MM_0010812 | MM_0010812 | 21        | 1 chr3  |         |
| 10599796 | 10,20237427 | 9,7429376   | 0.27444898  | 1.17676294 | 9.00E-05 | 0.04256044 | MM_008817  | Pdg3     | paternally expressed 3   | MM_008817  | MM_008817  | MM_008817  | 36        | 1 chr7  |         |
| 10383126 | 8,81510998  | 8,41575829  | 0.39927607  | 1.31883161 | 9.00E-05 | 0.04321206 | ENSMUST000 | Rnt213   | ring finger protein 213  | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25        | 1 chr11 |         |
| 10480559 | 8,70477938  | 8,2396409   | 0.28083725  | 1.21489597 | 9.00E-05 | 0.04321206 | MM_015730  | Chrn4a   | cholinergic receptor, n  | MM_015730  | MM_015730  | MM_015730  | 26        | 1 chr2  |         |
| 10675714 | 8,70781144  | 8,35170002  | 0.27660341  | 1.27660341 | 9.00E-05 | 0.04669462 | MM_019877  | Cop2     | costarmer protein com    | MM_019877  | MM_019877  | MM_019877  | 30        | 1 chr7  |         |
| 10380699 | 8,76420332  | 8,50728431  | 0.26724091  | 1.19492412 | 9.00E-05 | 0.04678272 | MM_007464  | Bac3     | baculoviral IAP repeat   | MM_007464  | MM_007464  | MM_007464  | 18        | 1 chr11 |         |
| 10524059 | 9,01759807  | 8,60436156  | 0.38677748  | 0.76483609 | 9.00E-05 | 0.04678272 | NA         | NA       | GEFSCAN000               | NA         | NA         | NA         | 15        | 1 chr9  |         |
| 10364675 | 11,2828295  | 1,01482825  | 0.26838453  | 1.20420793 | 9.00E-05 | 0.04678272 | MM_008162  | Gp4      | glutathione peroxidase   | MM_008162  | MM_008162  | MM_008162  | 39        | 3 chr10 |         |
| 10458295 | 7,24633103  | 6,51283076  | 0.77354022  | 1.65118329 | 9.00E-05 | 0.04678272 | NA         | NA       | ENSMUST000               | NA         | NA         | NA         | 25        | 1 chr18 |         |

Client : Chracalioj  
 Date de la tableur : 10/04/2012  
 Nb de genes retenus : 363  
 Critere p value ajustee : Benjamini & Hochberg  
 Seuil p value ajustee : 0.05  
 Log2 fold change :

Etape d'analyse : Enrichment  
 Liste des genes dont enrichissements sont significatifs  
 - source RAX  
 - source VV  
 Affinity for Gene-Motifs-11-11

| Nom gene | Log2 intensite moyenne dans la condition source RAX | Log2 intensite moyenne dans la condition source VV | Log2 du fold change | Fold change | P value brute | P value ajustee BH | Gene Access | Gene Symbol   | Gene Description            | GO bi. proc                         | GO mol. funct                     | pathway | total probes | crosshyb. type | strand |
|----------|---|--|---------------------|-------------|---------------|--------------------|-------------|---------------|-----------------------------|-------------------------------------|-----------------------------------|---------|--------------|----------------|--------|
| 10450365 | 11.3234925  | 12.127935  | -0.79830101         | 0.57502526  | 3.03E-10      | 8.60E-06           | NA          | NA            | NA                          | ENSMUST004                          | ---                               | ---     | 30           | 1              | chr17  |
| 10405211 | 8.87798835  | 8.13378397   | 0.73915437          | 1.66919716  | 2.63E-09      | 2.21E-05           | NM_011817   | Gadd45f       | Growth arrest and DNA-d     | NM_011817                           | NM_011817                         | ---     | 25           | 1              | chr13  |
| 10425317 | 8.13775734  | 6.99954641   | 1.13841093          | 2.201079    | 3.68E-08      | 2.71E-05           | NA          | NA            | NA                          | ENSMUST004                          | ---                               | ---     | 25           | 1              | chr13  |
| 10502655 | 8.4081913   | 7.74100814   | 0.66718315          | 1.58796945  | 3.77E-09      | 2.21E-05           | NM_010516   | Cyr61         | Cysteine rich protein 61    | NM_010516                           | NM_010516                         | ---     | 26           | 1              | chr3   |
| 10551891 | 7.63414756  | 8.10021529   | -0.46606774         | 0.7239351   | 4.38E-09      | 2.21E-05           | NM_172142   | Nfubid        | nuclear factor of kappa lig | NM_172142                           | NM_172142                         | ---     | 37           | 1              | chr7   |
| 10588226 | 9.57110813  | 9.0694615  | 0.50170663          | 1.41388748  | 3.23E-09      | 2.21E-05           | NM_019764   | Anot2         | angiotensin-like 2          | NM_019764                           | NM_019764                         | ---     | 32           | 1              | chr9   |
| 10375127 | 10.1012309  | 9.30427056   | 0.79651003          | 1.73168948  | 5.54E-09      | 2.24E-05           | AK006698    | 17000072H12   | RIKEN cDNA 17000072H12      | AK006698                            | ---                               | ---     | 25           | 1              | chr11  |
| 10420668 | 7.08510866  | 8.06693085   | -0.98182219         | 0.50633981  | 7.67E-09      | 2.42E-05           | NR_029733   | Mir15a        | microRNA 15a                | NR_029733                           | ---                               | ---     | 27           | 1              | chr14  |
| 10541268 | 8.07552117  | 7.30322406   | 0.7722971           | 1.70788713  | 6.91E-09      | 2.43E-05           | NM_0010810  | Srsf5a18      | serbs carrier family 25 (r  | NM_0010810                          | NM_0010810                        | ---     | 29           | 1              | chr6   |
| 10352314 | 11.1186182  | 10.6588617   | 0.45975653          | 1.3753097   | 2.90E-08      | 8.23E-05           | NM_010094   | Lefty1        | lefty nuclear factor 1      | NM_010094                           | NM_010094                         | ---     | 22           | 1              | chr1   |
| 10584578 | 10.8073658  | 11.6220887   | -0.81473281         | 0.56851377  | 3.20E-08      | 8.25E-05           | NR_028276   | Zfp856        | small nuclear RNA, C/D      | NR_028276                           | M13967 // G4M13967 // G4NR_028276 | ---     | 25           | 3              | chr9   |
| 10579089 | 8.44215516  | 9.04852087   | -0.6063656          | 0.65684914  | 3.61E-08      | 8.54E-05           | NM_177899   | Zfp866        | zinc finger protein 866     | NM_177899                           | NM_177899                         | ---     | 25           | 3              | chr9   |
| 10450199 | 6.89386413  | 7.61900763   | -0.72514435         | 0.60493687  | 4.26E-08      | 9.28E-05           | NM_0010810  | Bln17         | butyrophilin-like 7         | NM_0010810                          | NM_0010810                        | ---     | 21           | 1              | chr17  |
| 10409059 | 7.58721791  | 6.83979681   | 0.7474211           | 1.67878921  | 6.27E-08      | 0.00012615         | NR_029656   | Mirlet7b      | microRNA let7b              | NR_029656                           | ---                               | ---     | 25           | 1              | chr13  |
| 10450429 | 8.13276046  | 8.65398899   | -0.52127853         | 0.68653679  | 6.67E-08      | 0.00012615         | NA          | NA            | ENSMUST004                  | ---                                 | ---                               | ---     | 26           | 1              | chr17  |
| 10524265 | 8.08394285  | 8.76231678   | -0.67837784         | 0.62486921  | 8.02E-08      | 0.00013383         | NR_031758   | Snoar2b       | small nuclear RNA, H/AF     | NR_031758                           | NR_031758                         | ---     | 25           | 3              | chr5   |
| 10584576 | 10.8160984  | 11.6174651   | -0.80136667         | 0.57380535  | 7.79E-08      | 0.00013383         | NR_028276   | Snoar24c      | small nuclear RNA, C/D      | NR_028276                           | M13967 // G4M13967 // G4NR_028276 | ---     | 25           | 3              | chr9   |
| 10509014 | 9.54373876  | 10.1960222   | -0.65488841         | 0.63517682  | 1.06E-07      | 0.00043057         | BC043057    | D4Wu53c       | DNA wgm9c, Chr 4, Wnt       | BC043057 // BC043057 // G4NR_028276 | ---                               | 28      | 1            | chr4           |        |
| 10382888 | 3.04927639  | 3.60942327   | -0.55914688         | 0.38893402  | 1.22E-07      | 0.00043057         | NR_027821   | J810032008R18 | RIKEN cDNA 1810032008R18    | NR_027821                           | NR_027821                         | ---     | 39           | 1              | chr11  |
| 10453604 | 9.29657183  | 9.9487818  | -0.65221007         | 0.50169367  | 1.41E-07      | 0.00033327         | NM_026505   | Bambi         | BMP and activin membra      | NM_026505                           | NM_026505                         | ---     | 26           | 1              | chr18  |
| 10457077 | 9.47232678  | 8.5752888  | 0.8970379           | 0.4266663   | 1.34412182    | 2.47E-07           | NM_015798   | Fbxo15        | F-box protein 15            | NM_015798                           | NM_015798                         | ---     | 32           | 1              | chr18  |
| 104C4059 | 9.24092865  | 9.37606048   | -0.13513183         | 0.45555483  | 3.16E-07      | 0.00039023         | NM_015786   | Hist1h1c      | histone cluster 1, H1c      | NM_015786                           | NM_015786                         | ---     | 25           | 3              | chr13  |
| 10459522 | 9.92396878  | 7.2995705  | 2.6243982           | 0.37657019  | 3.03E-07      | 0.00039023         | NM_0011271  | Ptpn2         | protein tyrosine phosphat   | NM_0011271                          | NM_0011271                        | ---     | 25           | 1              | chr18  |
| 10362223 | 10.7792948  | 10.3426172   | 0.43667756          | 1.35348374  | 3.38E-07      | 0.00039274         | NM_010217   | Ctcf          | connective tissue growth    | NM_010217                           | NM_010217                         | ---     | 27           | 1              | chr10  |
| 10458140 | 8.94054234  | 8.87430002   | 0.07024232          | 1.391487242 | 3.46E-07      | 0.00039274         | NM_010415   | Hbqf1         | heparin-binding EGF-like    | NM_010415                           | NM_010415                         | ---     | 30           | 1              | chr18  |
| 10384568 | 5.79604673  | 7.44585718   | -1.64981045         | 0.51993353  | 5.22E-07      | 0.00052856         | ---         | NA            | NA                          | BC106119                            | ---                               | 27      | 1            | chr11          |        |
| 10584580 | 9.62676514  | 10.2178919   | -0.59107473         | 0.65384277  | 4.96E-07      | 0.00052856         | NR_028275   | Snoar14e      | histone cluster 2, H3c2, o  | NR_028275                           | NR_028275                         | ---     | 13           | 1              | chr3   |
| 10378520 | 8.94171698  | 8.12329272   | 0.81862426          | 1.76372352  | 7.45E-07      | 0.00063448         | ---         | NA            | ENSMUST004                  | ---                                 | ---                               | ---     | 25           | 1              | chr9   |
| 10415522 | 7.77294839  | 7.31486608   | 0.45809831          | 1.37372364  | 7.47E-07      | 0.00063448         | ENSMUST004  | 2410022M11R1K | RIKEN cDNA 2410022M11R1K    | ENSMUST004                          | ENSMUST004                        | ---     | 13           | 1              | chr14  |
| 10424320 | 9.17347863  | 8.58160887   | 0.59626976          | 1.24428568  | 7.02E-07      | 0.00063448         | NM_144549   | Trtb1         | ribbles homolog 1 (Drosop   | NM_144549                           | NM_144549                         | ---     | 27           | 1              | chr15  |
| 10478954 | 5.62954606  | 6.32118814   | -0.69164208         | 1.42204257  | 6.57E-07      | 0.00063448         | BC137932    | Zbrn2b        | zinc finger and BTB doma    | BC137932 // BC137932 // G4NR_028276 | ---                               | 25      | 1            | chr2           |        |
| 10462172 | 8.78292201  | 9.27230448   | -0.48938779         | 0.37345204  | 7.61E-07      | 0.00063448         | NM_199025   | Zbrn2b        | zinc finger and BTB doma    | NM_199025                           | NM_199025                         | ---     | 27           | 1              | chr2   |
| 10458001 | 12.5628318  | 11.3222304   | 1.24061036          | 2.37199568  | 6.80E-07      | 0.00063448         | ---         | NA            | NC_005089                   | ---                                 | ---                               | 18      | 3            | chrM           |        |
| 10447317 | 11.4579267  | 11.2203098   | 0.23761667          | 0.82925456  | 8.17E-07      | 0.00063448         | NM_010137   | Epa1l         | endothelial PAS domain p    | NM_010137                           | NM_010137                         | ---     | 38           | 1              | chr17  |
| 10379996 | 5.25732825  | 4.44262025   | 0.81471205          | 1.52556562  | 9.08E-07      | 0.00074722         | NR_049652   | Mir-301       | microRNA 301                | NR_049652                           | ---                               | 25      | 1            | chr11          |        |
| 10415640 | 9.74657301  | 10.1437157   | -0.39484469         | 0.74373332  | 9.90E-07      | 0.00074722         | NR_002898   | Snoar65       | small nuclear RNA, H/AF     | NR_002898                           | NR_002898                         | ---     | 29           | 3              | chr14  |
| 104C4076 | 8.63113045  | 9.32128148   | -0.69063195         | 0.82558021  | 1.24E-06      | 0.00092335         | NR_001900   | Snoar69       | small nuclear RNA, H/AF     | NR_001900                           | NR_001900                         | ---     | 25           | 1              | chrX   |
| 10373172 | 9.25922554  | 10.06755993  | -0.80833198         | 0.80257388  | 1.49E-06      | 0.00108302         | NR_003517   | Piso-p1       | phosphatidyserine discar    | NR_003517                           | ---                               | 20      | 1            | chr11          |        |
| 10453788 | 7.66333328  | 7.30263228   | 0.360701            | 1.28567044  | 1.56E-06      | 0.00116658         | NM_008058   | Frb8          | frozled homolog 8 (Drosop   | NM_008058                           | NM_008058                         | ---     | 25           | 1              | chr18  |
| 10523766 | 7.02291909  | 7.55271535   | -0.52979636         | 0.69262568  | 1.64E-06      | 0.00113273         | NM_0011668  | BC005561      | cDNA sequence BC005561      | NM_0011668                          | NM_0011668                        | ---     | 26           | 1              | chr5   |
| 10450363 | 7.05947687  | 8.18851908   | -1.12904221         | 0.60451824  | 1.80E-06      | 0.00121664         | NR_028527   | Snoar52       | small nuclear RNA, C/D      | NR_028527                           | NR_028527                         | ---     | 25           | 1              | chr17  |
| 10485342 | 6.92570029  | 7.42731623   | -0.50161594         | 0.71122802  | 1.88E-06      | 0.00124048         | NR_030431   | Mir670        | microRNA 670                | NR_030431                           | ---                               | 30      | 1            | chr2           |        |
| 10428927 | 7.42412469  | 6.85798437   | 0.56613995          | 1.48625866  | 2.71E-06      | 0.00124048         | NM_009335   | Tclae2c       | transcription factor AP-2   | NM_009335                           | NM_009335                         | ---     | 30           | 1              | chr2   |
| 10478924 | 8.82601246  | 9.28556173   | -0.45954927         | 0.71869708  | 2.78E-06      | 0.00124048         | ENSMUST004  | Gm8873        | predicted gene 9873         | ENSMUST004                          | ENSMUST004                        | ---     | 25           | 1              | chr2   |

|          |             |             |             |            |            |            |            |            |            |                            |                                |       |       |   |
|----------|-------------|-------------|-------------|------------|------------|------------|------------|------------|------------|----------------------------|--------------------------------|-------|-------|---|
| 10520521 | 11_2965108  | 1_0511546   | 0.24535619  | 1.15538535 | 3.64E-06   | 0.00245326 | NM_007681  | NM_007681  | NM_007681  | NM_007681                  | centromere protein A           | 1     | chr5  | * |
| 10564488 | 9_39116221  | 9_6239994   | -0.2328319  | 0.85099976 | 3.81E-06   | 0.00229591 | NM_018880  | NM_018880  | NM_018880  | NM_018880                  | tripartite motif-containing    | 26    | chr7  | * |
| 10461152 | 10_0121094  | 9_3583767   | 0.65373655  | 1.57223332 | 4.06E-06   | 0.00245719 | AK051045   | AK051045   | AK051045   | AK051045                   | small nuclear RNA host         | 25    | chr19 | * |
| 10550059 | 8_00259992  | 7_47703031  | 0.52665996  | 1.44049994 | 4.24E-06   | 0.00242579 | NM_029809  | NM_029809  | NM_029809  | NM_029809                  | RIKEN cDNA 2310014L17          | 25    | chr7  | * |
| 10551355 | 7_58700235  | 8_07718132  | 0.49017897  | 0.71193677 | 4.28E-06   | 0.00242579 | NM_133210  | NM_133210  | NM_133210  | NM_133210                  | SERTA domain-containing        | 25    | chr7  | * |
| 10380560 | 11_4523801  | 10_82401780 | 0.72952443  | 0.82401780 | 4.28E-06   | 0.00252599 | NM_201609  | NM_201609  | NM_201609  | NM_201609                  | zinc finger protein 652        | 25    | chr11 | * |
| 10489053 | 6_64466538  | 7_30714557  | -0.66248024 | 0.63179121 | 4.64E-06   | 0.00252599 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000                 | RIKEN cDNA 493051815           | 25    | chr2  | * |
| 10597420 | 7_58040141  | 7_10806921  | 0.4723982   | 1.38741386 | 4.68E-06   | 0.00252599 | NM_009916  | NM_009916  | NM_009916  | NM_009916                  | chemokine (C-C motif) receptor | 34    | chr9  | * |
| 10049461 | 8_23070537  | 8_67123515  | -0.40460478 | 0.73689046 | 5.16E-06   | 0.00279651 | NM_008067  | NM_008067  | NM_008067  | NM_008067                  | gamma-aminobutyric acid        | 33    | chrX  | * |
| 10497894 | 8_93131707  | 8_43550868  | 0.77662054  | 5.81E-06   | 0.00279651 | NM_145825  | NM_145825  | NM_145825  | NM_145825  | NM_145825                  | centrin 4                      | 8     | chr3  | * |
| 10416954 | 6_42525036  | 5_75715844  | 0.66534517  | 1.58594767 | 5.99E-06   | 0.00303471 | NR_029737  | NR_029737  | NR_029737  | NR_029737                  | microRNA 20a                   | 25    | chr14 | * |
| 10356457 | 6_49559854  | 6_87051111  | -0.38291456 | 0.76688679 | 6.45E-06   | 0.00309699 | NM_008299  | NM_008299  | NM_008299  | NM_008299                  | DnaH3                          | 25    | chr1  | * |
| 10554240 | 7_70906642  | 7_15011136  | 0.45395506  | 1.36979031 | 6.55E-06   | 0.00309699 | NM_020583  | NM_020583  | NM_020583  | NM_020583                  | interferon-stimulated protein  | 30    | chr7  | * |
| 10586168 | 11_4933628  | 10_94548116 | 0.547188118 | 1.46193704 | 6.39E-06   | 0.00309699 | NR_023548  | NR_023548  | NR_023548  | NR_023548                  | small nuclear RNA, C/D         | 34    | chr9  | * |
| 10587880 | 8_47240078  | 9_15767846  | 0.31472233  | 1.24377224 | 6.32E-06   | 0.00309699 | NM_029620  | NM_029620  | NM_029620  | NM_029620                  | procollagen C-endopeptidase    | 33    | chr9  | * |
| 10604587 | 5_6410572   | 6_80149948  | 0.42031933  | 1.36019333 | 6.80E-06   | 0.00316212 | NR_079853  | NR_079853  | NR_079853  | NR_079853                  | microRNA 363                   | 25    | chrX  | * |
| 10344876 | 5_91951573  | 6_58855079  | -0.63903506 | 0.6424143  | 6.99E-06   | 0.00319708 | NR_028558  | NR_028558  | NR_028558  | NR_028558                  | small nuclear RNA, H/AM        | 15    | chr1  | * |
| 10663729 | 5_93811888  | 5_29292748  | 0.68519101  | 1.56394623 | 7.26E-06   | 0.00326776 | NM_0011648 | NM_0011648 | NM_0011648 | 5'-nucleotidase, cytosolic | 26                             | chr19 | *     |   |
| 10364824 | 11_4344638  | 11_7131701  | -0.27870467 | 0.82432967 | 8.34E-06   | 0.00336003 | NM_1334002 | NM_1334002 | NM_1334002 | NM_1334002                 | casein kinase 1, gamma 2       | 32    | chr10 | * |
| 10410092 | 9_70125081  | 8_43048905  | 0.26616037  | 1.10276961 | 8.45E-06   | 0.00336003 | NA         | NA         | NA         | NA                         | NA                             | 25    | chr11 | * |
| 10563338 | 8_78667477  | 8_38096881  | 0.39770596  | 1.31741142 | 8.23E-06   | 0.00336003 | NM_175494  | NM_175494  | NM_175494  | NM_175494                  | zinc finger protein 367        | 25    | chr13 | * |
| 10414953 | 4_29842099  | 3_7574845   | 0.54093645  | 1.45491663 | 9.25E-06   | 0.00336003 | NM_008654  | NM_008654  | NM_008654  | NM_008654                  | protein phosphatase 1, re      | 25    | chr7  | * |
| 10516484 | 7_86390378  | 7_3194987   | 0.54446508  | 1.45847947 | 9.59E-06   | 0.00336003 | NM_008126  | NM_008126  | NM_008126  | NM_008126                  | predicted gene, 16591          | 9     | chr14 | * |
| 10578339 | 11_06241115 | 10_7453161  | 0.37139638  | 1.24506614 | 1.02E-05   | 0.00412073 | NM_007450  | NM_007450  | NM_007450  | NM_007450                  | RING junction protein, beta    | 25    | chr4  | * |
| 10566846 | 11_7778705  | 11_0885068  | 0.84041333  | 1.107E-05  | 0.00425179 | NM_146218  | NM_146218  | NM_146218  | NM_146218  | sol1 carrier family 25 (p  | 25                             | chr8  | *     |   |
| 1084428  | 7_82151273  | 8_43379997  | -0.5246726  | 0.86618033 | 1.10E-05   | 0.00425179 | NM_133242  | NM_133242  | NM_133242  | NM_133242                  | ring finger and WD repeat      | 51    | chr7  | * |
| 10575326 | 8_9971131   | 8_66130775  | 0.35805834  | 1.16208173 | 1.09E-05   | 0.00425179 | NA         | NA         | NA         | NA                         | RNA binding motif protein      | 104   | chr2  | * |
| 10604154 | 8_50729991  | 8_15849457  | 0.34860635  | 0.72350563 | 1.27E-05   | 0.00466617 | NM_023894  | NM_023894  | NM_023894  | NM_023894                  | reproductive hormone 9         | 14    | chrX  | * |
| 10399224 | 9_61502961  | 10_4046914  | 0.74406614  | 1.74243583 | 1.25E-05   | 0.00466617 | NR_030694  | NR_030694  | NR_030694  | NR_030694                  | RIKEN cDNA 1101002L01nk        | 9     | chr12 | * |
| 10588691 | 7_59926288  | 8_00964078  | -0.41037792 | 0.75142623 | 1.26E-05   | 0.00466617 | NM_008317  | NM_008317  | NM_008317  | NM_008317                  | hyaluronoglucosaminidase       | 25    | chr9  | * |
| 10581800 | 10_8338676  | 11_0831788  | -0.2444587  | 0.84413587 | 1.32E-05   | 0.00494993 | NM_146218  | NM_146218  | NM_146218  | NM_146218                  | ring finger and WD repeat      | 19    | chr8  | * |
| 10582848 | 11_2504987  | 11_5520426  | -0.29924951 | 0.81239546 | 1.40E-05   | 0.00502514 | NM_013853  | NM_013853  | NM_013853  | NM_013853                  | ATP-binding cassette, sub      | 26    | chr5  | * |
| 10425353 | 8_62845422  | 8_10580644  | 0.51180478  | 1.43282139 | 1.56E-05   | 0.00552514 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000                 | apolipoprotein B mRNA 4        | 25    | chr15 | * |
| 10591581 | 7_86254446  | 8_25378803  | 0.93121557  | 0.76247737 | 1.59E-05   | 0.00552514 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000                 | predicted gene 10589           | 25    | chr7  | * |
| 10554598 | 9_66550285  | 8_44317547  | 0.22333438  | 1.16742865 | 1.70E-05   | 0.00588229 | NM_017400  | NM_017400  | NM_017400  | NM_017400                  | SH3-domain GHR23-like 3        | 30    | chr7  | * |
| 10445994 | 7_77093617  | 8_38622431  | 0.80370136  | 0.80370136 | 1.97E-05   | 0.006721   | NM_172829  | NM_172829  | NM_172829  | NM_172829                  | beta galactosidase alpha 2     | 29    | chr17 | * |
| 10493392 | 10_4742245  | 10_60926966 | -0.21674707 | 0.86626672 | 2.11E-05   | 0.00713764 | NM_028814  | NM_028814  | NM_028814  | NM_028814                  | RIKEN cDNA 2810403A07          | 27    | chr3  | * |
| 10445527 | 7_12855009  | 6_85060668  | 0.3279444   | 1.25521001 | 2.31E-05   | 0.00766537 | RC147714   | RC147714   | RC147714   | RC147714                   | predicted gene 88              | 30    | chr17 | * |
| 10485830 | 11_48990001 | 11_21122623 | 0.27866786  | 0.71307923 | 2.35E-05   | 0.00766537 | NM_026519  | NM_026519  | NM_026519  | NM_026519                  | transmembrane protein 8        | 24    | chr2  | * |
| 10511828 | 8_83744206  | 6_45136892  | 0.35894314  | 1.30662318 | 2.34E-05   | 0.00766537 | NR_028384  | NR_028384  | NR_028384  | NR_028384                  | RIKEN cDNA 4930528A17          | 25    | chr4  | * |
| 10376420 | 6_25258272  | 6_21105144  | -0.58846312 | 0.72276093 | 2.58E-05   | 0.00854495 | NM_176982  | NM_176982  | NM_176982  | NM_176982                  | F-box protein 48               | 25    | chr11 | * |
| 10419691 | 10_4022552  | 10_6239324  | -0.21867711 | 0.85971023 | 2.57E-05   | 0.00854495 | NM_019721  | NM_019721  | NM_019721  | NM_019721                  | methyltransferase like 3       | 36    | chr18 | * |
| 10488256 | 8_24315051  | 7_7320615   | 0.50609893  | 1.42624072 | 2.51E-05   | 0.00854495 | NA         | NA         | NA         | NA                         | ENSMUST000                     | 32    | chr2  | * |
| 10586331 | 8_67594166  | 8_2526498   | 0.30087468  | 1.27533538 | 2.58E-05   | 0.00854495 | NM_008988  | NM_008988  | NM_008988  | NM_008988                  | immunoglobulin superfamily     | 30    | chr9  | * |
| 10589927 | 5_9242801   | 6_99268871  | -0.49566071 | 0.70727231 | 2.72E-05   | 0.00854495 | NA         | NA         | NA         | NA                         | NA                             | 25    | chr9  | * |
| 10419495 | 9_95554359  | 10_3239711  | -0.37122767 | 0.77312432 | 2.82E-05   | 0.00859025 | NM_0011113 | NM_0011113 | NM_0011113 | NM_0011113                 | cyclin B1 interacting prot     | 24    | chr14 | * |
| 10442087 | 7_47284954  | 7_30685541  | -0.43009446 | 0.74015604 | 3.07E-05   | 0.00960249 | NM_0011628 | NM_0011628 | NM_0011628 | NM_0011628                 | non-protein coding RNA         | 35    | chr17 | * |
| 10489130 | 8_88601756  | 5_53659130  | -0.79057728 | 0.59436721 | 3.05E-05   | 0.00960249 | NR_004418  | NR_004418  | NR_004418  | NR_004418                  | U738 small nuclear RNA         | 25    | chr3  | * |
| 10514054 | 9_25027553  | 5_98402222  | -0.34374087 | 0.78799213 | 3.06E-05   | 0.00960249 | NM_0011132 | NM_0011132 | NM_0011132 | NM_0011132                 | nuclear factor YB              | 38    | chr4  | * |
| 10327427 | 8_54557938  | 5_44429799  | 0.54429799  | 0.54429799 | 3.18E-05   | 0.00923831 | NR_028550  | NR_028550  | NR_028550  | NR_028550                  | small nuclear RNA, C/D         | 25    | chr11 | * |
| 10356423 | 8_26099517  | 8_52797215  | -0.26657088 | 0.83105912 | 3.18E-05   | 0.00923831 | NA         | NA         | NA         | NA                         | ENSMUST000                     | 30    | chr4  | * |
| 10370005 | 10_7793668  | 8_11774333  | -0.21878635 | 0.84799993 | 3.43E-05   | 0.00960249 | NM_0011985 | NM_0011985 | NM_0011985 | NM_0011985                 | ubiquitin specific peptidase   | 33    | chr1  | * |
| 10424082 | 8_66939452  | 8_11755497  | 0.54183905  | 1.36277893 | 3.54E-05   | 0.00960249 | NM_175503  | NM_175503  | NM_175503  | NM_175503                  | alanine and arginine rich      | 33    | chr10 | * |
| 10461454 | 7_56389933  | 7_98137176  | -0.41747136 | 0.74873526 | 3.64E-05   | 0.00960249 | NM_026919  | NM_026919  | NM_026919  | NM_026919                  | RIKEN cDNA 1810068K21nk        | 21    | chr19 | * |
| 10521320 | 9_32752926  | 5_2358492   | 0.4099470   | 1.2995470  | 3.69E-05   | 0.00960249 | NM_009102  | NM_009102  | NM_009102  | NM_009102                  | retinal pigment epithelium     | 38    | chr3  | * |
| 10516021 | 7_24599288  | 7_8886195   | -0.54286028 | 0.68635562 | 3.67E-05   | 0.00960249 | NM_025968  | NM_025968  | NM_025968  | NM_025968                  | prostaglandin reductase        | 33    | chr4  | * |
| 10549615 | 9_91255537  | 10_1936273  | 0.28727723  | 0.81945025 | 3.58E-05   | 0.00960249 | NA         | NA         | NA         | NA                         | ENSMUST000                     | 37    | chr4  | * |
| 10563329 | 8_83544326  | 5_43232639  | 0.29220888  | 1.22451197 | 3.45E-05   | 0.00960249 | NM_172736  | NM_172736  | NM_172736  | NM_172736                  | leukocyte receptor cluster     | 34    | chr7  | * |
|          |             |             |             |            |            |            | NM_010270  | NM_010270  | NM_010270  | NM_010270                  | mitochondrial ribosomal        | 31    | chr7  | * |



|          |             |             |             |             |            |            |            |                  |                             |            |            |            |    |   |       |
|----------|-------------|-------------|-------------|-------------|------------|------------|------------|------------------|-----------------------------|------------|------------|------------|----|---|-------|
| 10400095 | 10.3516937  | 10.097425   | 0.2546673   | 1.19272937  | 0.0001522  | 0.00052009 | MM_013562  | ifrd1            | interferon-related dwele    | MM_013562  | MM_013562  | MM_013562  | 38 | 1 | chr12 |
| 10416451 | 7.24319935  | 6.98374229  | 0.25945265  | 1.19703448  | 0.00012416 | 0.00052009 | MM_029926  | trah4            | interleukin 1 receptor-as   | MM_029926  | MM_029926  | MM_029926  | 30 | 1 | chr15 |
| 10417313 | 6.34126518  | 6.00760401  | 0.33374755  | 1.26028288  | 0.00012517 | 0.00052009 | MM_009322  | Tb1              | T-box brain gene            | MM_009322  | MM_009322  | MM_009322  | 25 | 1 | chr2  |
| 10510019 | 5.89726605  | 5.47735149  | 0.47000251  | 1.38511288  | 0.00012464 | 0.00052009 | NF_030695  | B33001.6D.10R.ik | RIKEN cDNA B33001.6D.10     | NF_030695  | NR_030695  | NR_030695  | 25 | 1 | chr4  |
| 10584797 | 8.23408277  | 8.2563674   | 0.47771537  | 1.39253672  | 0.00013018 | 0.02121055 | ENSAU1000  | Gm10151          | predicted gene 10151        | ENSAU1000  | ENSAU1000  | ENSAU1000  | 25 | 1 | chr4  |
| 10519480 | 5.78583657  | 5.47165534  | 0.30813242  | 1.29072488  | 0.00013242 | 0.02149524 | NA         | NA               | GENSCAN000                  | NA         | NA         | NA         | 15 | 1 | chr5  |
| 10346607 | 12.0414999  | 12.2148853  | 0.17338579  | 0.88675914  | 0.00013572 | 0.02173848 | MM_008057  | F7d7             | frizzled homolog 7 (Dros)   | MM_008057  | MM_008057  | MM_008057  | 28 | 1 | chr1  |
| 10353010 | 9.69556328  | 10.0553438  | 0.35978055  | 0.77928311  | 0.0001353  | 0.02173848 | MM_008651  | Mv011            | myeloblastosis oncogene     | MM_008651  | MM_008651  | MM_008651  | 33 | 1 | chr1  |
| 10362896 | 9.52015857  | 9.17960289  | 0.34055668  | 0.77928311  | 0.00013901 | 0.02173848 | MM_009846  | Cd74a            | CD74a antigen               | MM_009846  | MM_009846  | MM_009846  | 19 | 1 | chr10 |
| 10469453 | 4.58119459  | 4.99911326  | 0.41791836  | 0.74850385  | 0.00013901 | 0.02173848 | NA         | NA               | ENSMUST000                  | NA         | NA         | NA         | 25 | 1 | chr2  |
| 10584358 | 4.62347474  | 4.20805128  | 0.41541736  | 1.33368444  | 0.0001399  | 0.02203459 | MM_146417  | Diffr877         | olfactory receptor_877      | MM_146417  | MM_146417  | MM_146417  | 25 | 1 | chr9  |
| 10404069 | 11.99918495 | 11.7551125  | 0.21367369  | 1.17832457  | 0.00014307 | 0.02240945 | MM_030609  | HistH1b          | histone cluster 1, H1a      | MM_030609  | MM_030609  | MM_030609  | 25 | 1 | chr13 |
| 10361110 | 11.5527339  | 11.3410907  | 0.2166317   | 1.15800635  | 0.00014787 | 0.02266767 | MM_029766  | Drl              | denticleless homolog (Dro   | MM_029766  | MM_029766  | MM_029766  | 36 | 1 | chr1  |
| 10380982 | 10.7211892  | 10.9847159  | 0.26162666  | 0.83304903  | 0.0001473  | 0.02266767 | MM_026313  | Luc7i3           | Luc7-like 3 (S. cerevisiae) | MM_026313  | MM_026313  | MM_026313  | 31 | 1 | chr11 |
| 10455094 | 5.44491985  | 5.20844645  | 0.2164734   | 1.1781093   | 0.00014728 | 0.02266767 | MM_053138  | Probb13          | protocadherin beta 13       | MM_053138  | MM_053138  | MM_053138  | 28 | 1 | chr18 |
| 10468594 | 9.25799352  | 9.55441003  | 0.20057347  | 1.15154714  | 0.00014688 | 0.02266767 | MM_0010339 | Zdnlfc6          | zinc finger, DHHC domain    | MM_0010339 | MM_0010339 | MM_0010339 | 24 | 1 | chr19 |
| 10472277 | 11.4404579  | 11.2418802  | 0.19857761  | 1.1425664   | 0.00015032 | 0.02266767 | MM_020575  | March7           | membrane-associated ctm     | MM_020575  | MM_020575  | MM_020575  | 32 | 1 | chr2  |
| 10480986 | 7.56924921  | 7.88970544  | 0.30081658  | 0.80081658  | 0.00014901 | 0.02266767 | NR_027985  | Rb1p3            | Rb1 ribosome biogenesis     | NR_027985  | NR_027985  | NR_027985  | 26 | 1 | chr3  |
| 10586957 | 8.23437256  | 8.80812824  | 0.57375568  | 0.67186548  | 0.00015031 | 0.02266767 | AK087684   | Gm7285           | predicted gene 7265         | AK087684   | AK087684   | AK087684   | 25 | 1 | chr3  |
| 10528864 | 8.95913073  | 9.2179371   | 0.21280637  | 0.85689626  | 0.00015249 | 0.02287333 | MM_175651  | Cnp14            | canopy 1 homolog (lebra)    | MM_175651  | MM_175651  | MM_175651  | 34 | 1 | chr5  |
| 10450622 | 8.22887905  | 8.74467628  | 0.21593179  | 0.86098807  | 0.00015629 | 0.02323032 | MM_0011427 | At4h1            | alpha tubulin acetyltrasf   | MM_0011427 | MM_0011427 | MM_0011427 | 44 | 1 | chr17 |
| 10573451 | 11.3303038  | 11.1539426  | 0.17637102  | 1.13003778  | 0.00015714 | 0.02323032 | MM_0011682 | Syc2c            | synaptonemal complex co     | MM_0011682 | MM_0011682 | MM_0011682 | 26 | 1 | chr8  |
| 10584584 | 7.27466288  | 7.02249205  | 0.25217083  | 1.19099787  | 0.00015681 | 0.02323032 | MM_178245  | Bes              | brain specific homeobox     | MM_178245  | MM_178245  | MM_178245  | 27 | 1 | chr9  |
| 10437074 | 7.37713835  | 7.10697669  | 0.26516166  | 1.20177071  | 0.00016054 | 0.02358243 | MM_133229  | Rpp1p3           | ribp3 homolog (lebra) (M    | MM_133229  | MM_133229  | MM_133229  | 27 | 1 | chr16 |
| 10392241 | 7.65393022  | 7.95701745  | 0.30080874  | 0.81051611  | 0.00016226 | 0.02371144 | MM_015810  | Po1g2            | polymerase (DNA direct)     | MM_015810  | MM_015810  | MM_015810  | 33 | 1 | chr11 |
| 10393125 | 10.2791146  | 10.2365000  | 0.21035867  | 0.8623909   | 0.00016467 | 0.02394036 | MM_024177  | Mra138           | mitochondrial ribosomal     | MM_024177  | MM_024177  | MM_024177  | 76 | 1 | chr11 |
| 1034792  | 9.02042284  | 9.30284593  | 0.33760491  | 1.26365874  | 0.00016939 | 0.02425936 | NR_004860  | AK079474         | RIKEN cDNA A13001.4H.13     | NR_004860  | NR_004860  | NR_004860  | 25 | 1 | chr12 |
| 10402560 | 7.39723248  | 7.86109504  | 0.46316625  | 0.72502429  | 0.00016883 | 0.02425936 | AK079474   | AK079474         | RIKEN cDNA A13001.4H.13     | AK079474   | AK079474   | AK079474   | 25 | 1 | chr12 |
| 10586458 | 9.28617878  | 9.56038472  | 0.27415994  | 0.82693169  | 0.00016855 | 0.02425936 | MM_173185  | Cank1g1          | casein kinase 1, gamma 1    | MM_173185  | MM_173185  | MM_173185  | 35 | 1 | chr9  |
| 10571221 | 8.63234442  | 8.7049192   | 0.26758102  | 0.83071051  | 0.00017481 | 0.02494054 | MM_0011127 | BCO15943         | cDNA sequence BCO1594       | MM_0011127 | MM_0011127 | MM_0011127 | 32 | 1 | chr8  |
| 10542836 | 9.72337688  | 9.97702936  | 0.25165538  | 0.83877026  | 0.0001787  | 0.02533300 | MM_0010812 | Khdcs5           | khd domain containing 5     | MM_0010812 | MM_0010812 | MM_0010812 | 26 | 1 | chr6  |
| 10434782 | 8.43043025  | 8.5434214   | 0.12866129  | 0.86282376  | 0.0001819  | 0.02565596 | MM_178665  | Lip              | NA                          | MM_178665  | MM_178665  | MM_178665  | 31 | 1 | chr16 |
| 10367484 | 8.07466702  | 8.6537458   | 0.38504278  | 0.80018309  | 0.0256064  | AK082866   | NA         | NA               | NA                          | AK082866   | AK082866   | AK082866   | 25 | 1 | chr10 |
| 10361091 | 7.67348118  | 7.37286199  | 0.34599193  | 1.27099605  | 0.00018731 | 0.02588822 | MM_007498  | Aifs             | activating transcription fa | MM_007498  | MM_007498  | MM_007498  | 25 | 1 | chr1  |
| 10410124 | 11.4258689  | 11.3352502  | 0.18361463  | 1.135729    | 0.00018903 | 0.02588822 | MM_009984  | Ctd              | cathelin 1                  | MM_009984  | MM_009984  | MM_009984  | 25 | 1 | chr13 |
| 10454238 | 9.44214683  | 9.72318577  | 0.29004489  | 0.81787431  | 0.00018817 | 0.02588822 | MM_027007  | Tp2p97           | zinc finger protein 397     | MM_027007  | MM_027007  | MM_027007  | 28 | 1 | chr18 |
| 10480621 | 7.25229723  | 7.52605467  | 0.27458144  | 0.82668659  | 0.00018838 | 0.02588822 | MM_146115  | AK030007P12.ik   | RIKEN cDNA AK030007P12      | MM_146115  | MM_146115  | MM_146115  | 26 | 1 | chr2  |
| 10514529 | 9.97259169  | 9.58785758  | 0.28937095  | 1.22241151  | 0.00018871 | 0.02588822 | NR_030704  | Sncd55           | small nuclear RNA, C/D      | NR_030704  | NR_030704  | NR_030704  | 30 | 1 | chr4  |
| 10370920 | 11.4619594  | 11.69331676 | 0.21435746  | 0.85183302  | 0.00019373 | 0.02627805 | MM_145361  | Btd92            | BTB (POZ) domain contain    | MM_145361  | MM_145361  | MM_145361  | 30 | 1 | chr10 |
| 10401052 | 6.9839581   | 6.57130024  | 0.31205086  | 0.80019534  | 0.00019534 | 0.02627805 | MM_019784  | Tex21            | testis expressed gene 21    | MM_019784  | MM_019784  | MM_019784  | 30 | 1 | chr12 |
| 10466805 | 8.31915133  | 8.50288531  | 0.28353107  | 0.82157605  | 0.00019577 | 0.02642918 | MM_011265  | Rfc3             | regulatory factor 3, (infl) | MM_011265  | MM_011265  | MM_011265  | 39 | 1 | chr19 |
| 10562005 | 10.5642493  | 10.7658231  | 0.20157376  | 0.86560144  | 0.00019749 | 0.02653476 | MM_029274  | Wbp7             | WW domain binding prot      | MM_029274  | MM_029274  | MM_029274  | 38 | 1 | chr7  |
| 10481654 | 11.1984999  | 10.9552057  | 0.24344415  | 1.1835893   | 0.00019976 | 0.02671337 | MM_010236  | Fpfr             | folypolyglutamylyl synthet  | MM_010236  | MM_010236  | MM_010236  | 30 | 1 | chr2  |
| 10419039 | 11.2103153  | 11.3970724  | 0.18669111  | 0.87861469  | 0.00020569 | 0.02721218 | MM_0010334 | Fam120a          | family with sequence sim    | MM_0010334 | MM_0010334 | MM_0010334 | 36 | 1 | chr13 |
| 10527786 | 7.57239322  | 7.26879475  | 0.27585747  | 1.21612617  | 0.00020591 | 0.02721218 | MM_0010779 | Tsk1             | testis-specific serine kin  | MM_0010779 | MM_0010779 | MM_0010779 | 35 | 1 | chr7  |
| 10580355 | 9.11264466  | 8.83423171  | 0.27842295  | 1.21286834  | 0.00020695 | 0.02721218 | MM_025722  | Gm16516          | RIKEN cDNA A9215.24.117     | MM_025722  | MM_025722  | MM_025722  | 10 | 1 | chr8  |
| 10386933 | 8.02659897  | 8.29956508  | 0.27301132  | 0.87255881  | 0.00020827 | 0.02735531 | NR_077800  | AK016516         | predicted gene, Gm1651      | NR_077800  | NR_077800  | NR_077800  | 25 | 1 | chr11 |
| 10407191 | 11.0961904  | 10.826703   | 0.19958742  | 1.14868834  | 0.00021274 | 0.02735531 | MM_011479  | Spotic           | serine palmitoyltransfer    | MM_011479  | MM_011479  | MM_011479  | 26 | 1 | chr12 |
| 10451696 | 7.3484147   | 6.51535041  | 0.165216579 | 0.73306425  | 0.00021599 | 0.02810374 | NR_029815  | Mir190-1         | microRNA 190-1              | NR_029815  | NR_029815  | NR_029815  | 30 | 1 | chr14 |
| 10485935 | 9.20386207  | 9.41285693  | 0.20899488  | 0.86513977  | 0.00021712 | 0.02810374 | MM_197997  | AK030422G04R.ik  | RIKEN cDNA A930422G04       | MM_197997  | MM_197997  | MM_197997  | 27 | 1 | chr3  |
| 10621235 | 11.3487061  | 11.5299938  | 0.18128728  | 0.88191545  | 0.00021809 | 0.02810374 | MM_139144  | Ogt              | O-linked N-acetylglucos     | MM_139144  | MM_139144  | MM_139144  | 28 | 1 | chrX  |
| 10510399 | 7.52193859  | 7.2468832   | 0.2468813   | 0.84652235  | 0.00021914 | 0.02811122 | MM_0010038 | Masp2            | mannan-binding lectin se    | MM_0010038 | MM_0010038 | MM_0010038 | 48 | 1 | chr4  |
| 10461071 | 9.96184276  | 8.75326722  | 0.23866053  | 0.80020205  | 0.00022045 | 0.02811122 | MM_175381  | AK030821         | RIKEN cDNA A13001.4H.15     | MM_175381  | MM_175381  | MM_175381  | 27 | 1 | chr19 |
| 10589610 | 8.27689004  | 8.65142234  | 0.37445326  | 1.17716553  | 0.00022273 | 0.02833160 | MM_153099  | Prs42            | protease, serine, 42        | MM_153099  | MM_153099  | MM_153099  | 26 | 1 | chr9  |
| 10409538 | 10.6500498  | 10.8690487  | 0.18799896  | 0.87782824  | 0.00022646 | 0.02848082 | MM_134059  | Dna11            | DEAD (Asp-Glu-Asp) li       | MM_134059  | MM_134059  | MM_134059  | 33 | 1 | chr13 |
| 10365310 | 10.5158994  | 7.186279315 | 0.37909962  | 1.25622619  | 0.00022097 | 0.02854624 | NA         | NA               | ENSMUST000                  | NA         | NA         | NA         | 33 | 1 | chr1  |
| 10421535 | 4.7127376   | 5.23351873  | 0.51078724  | 0.707814228 | 0.00022987 | 0.02854624 | NR_030459  | Mir687           | microRNA 687                | NR_030459  | NR_030459  | NR_030459  | 25 | 1 | chr14 |
| 10542880 | 8.92534828  | 9.29330337  | 0.36798108  | 0.77486555  | 0.00022929 | 0.02854624 | BCO49371.1 | AK034421J09R.ik  | RIKEN cDNA A833442J19       | BCO49371.1 | BCO49371.1 | BCO49371.1 | 26 | 1 | chr6  |
| 10543017 | 7.65690946  | 8.92521     | 0.34348498  | 1.16881552  | 0.00022671 | 0.02854624 | MM_013743  | Pdk4             | pyruvate dehydrogenase      | MM_013743  | MM_013743  | MM_013743  | 33 | 1 | chr6  |
| 10548180 | 9.91540273  | 9.70255445  | 0.21277277  | 1.15891341  | 0.0002306  | 0.02854624 | MM_133927  | Ifi2             | integrin alpha FG-GAP ref   | MM_133927  | MM_133927  | MM_133927  | 35 | 1 | chr6  |
| 10560093 | 8.30313717  | 8.58812109  | 0.29578192  | 0.81400551  | 0.00023328 | 0.02854624 | MM_026046  | Zfp329           | zinc finger protein 329     | MM_026046  | MM_026046  | MM_026046  | 25 | 1 | chr7  |
| 10401056 | 10.6444738  | 10.3734292  | 0.27059463  | 1.20663343  | 0.00023539 | 0.02854624 |            |                  |                             |            |            |            |    |   |       |



| 10533095 | 10.7115691  | 10.9069414  | -0.1953727  | 0.87334751 | 0.00042053 | 0.04065159 | MM_127271  | MM_127271  | MM_127271  | MM_127271  | MM_127271  | MM_127271  | 30 | 1 | chr5  |
|----------|-------------|-------------|-------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|----|---|-------|
| 10468329 | 8.99549805  | 9.199786833 | -0.20427028 | 0.86797776 | 0.00042441 | 0.04065053 | MM_125360  | MM_125360  | MM_125360  | MM_125360  | MM_125360  | MM_125360  | 36 | 3 | chr19 |
| 10345065 | 7.50872497  | 7.22644296  | 0.382295    | 1.13468812 | 0.00042854 | 0.04102824 | MM_0010778 | MM_0010778 | MM_0010778 | MM_0010778 | MM_0010778 | MM_0010778 | 32 | 1 | chr1  |
| 10537452 | 7.599258527 | 7.99183564  | -0.39215036 | 0.76194043 | 0.00042982 | 0.04102824 | MM_026612  | MM_026612  | MM_026612  | MM_026612  | MM_026612  | MM_026612  | 28 | 1 | chr6  |
| 10387363 | 9.51123363  | 9.87694427  | -0.36510802 | 0.77608978 | 0.00043206 | 0.04110398 | MM_0010455 | MM_0010455 | MM_0010455 | MM_0010455 | MM_0010455 | MM_0010455 | 30 | 3 | chr11 |
| 10356712 | 6.93967991  | 7.19807991  | 0.25915191  | 0.83355789 | 0.00043463 | 0.04120889 | MM_008440  | MM_008440  | MM_008440  | MM_008440  | MM_008440  | MM_008440  | 49 | 1 | chr1  |
| 10515026 | 6.90330346  | 6.53329741  | 0.36500604  | 0.83500000 | 0.0004386  | 0.04144746 | MM_177045  | MM_177045  | MM_177045  | MM_177045  | MM_177045  | MM_177045  | 45 | 1 | chr4  |
| 10574682 | 11.7340963  | 11.9106003  | -0.17620298 | 0.88484461 | 0.00044589 | 0.04199654 | MM_148952  | MM_148952  | MM_148952  | MM_148952  | MM_148952  | MM_148952  | 32 | 1 | chr8  |
| 10594251 | 11.7497151  | 12.5376114  | 0.21110368  | 0.88484461 | 0.00044935 | 0.04218259 | MM_024245  | MM_024245  | MM_024245  | MM_024245  | MM_024245  | MM_024245  | 48 | 1 | chr9  |
| 10557450 | 10.0113909  | 9.66770529  | 0.34419561  | 1.26944299 | 0.00046373 | 0.04338894 | MM_175103  | MM_175103  | MM_175103  | MM_175103  | MM_175103  | MM_175103  | 33 | 1 | chr7  |
| 10405879 | 6.09658993  | 6.34206688  | -0.24547795 | 0.84353663 | 0.00046709 | 0.04355949 | MM_0011026 | MM_0011026 | MM_0011026 | MM_0011026 | MM_0011026 | MM_0011026 | 17 | 3 | chr13 |
| 10441952 | 7.15664253  | 7.66061163  | -0.50419699 | 0.70509564 | 0.00047473 | 0.04395824 | GENSCAN000 | GENSCAN000 | GENSCAN000 | GENSCAN000 | GENSCAN000 | GENSCAN000 | 27 | 1 | chr18 |
| 10445771 | 8.2117242   | 8.51002938  | -0.22578855 | 0.85703226 | 0.00047811 | 0.04395824 | MM_177359  | MM_177359  | MM_177359  | MM_177359  | MM_177359  | MM_177359  | 29 | 1 | chr17 |
| 10350995 | 9.34664863  | 9.41250662  | -0.06591183 | 1.16601183 | 0.00048004 | 0.04424203 | MM_025474  | MM_025474  | MM_025474  | MM_025474  | MM_025474  | MM_025474  | 22 | 1 | chr1  |
| 10359826 | 9.38827882  | 9.20116226  | 0.20334755  | 0.70546793 | 0.00048337 | 0.04424203 | MM_025850  | MM_025850  | MM_025850  | MM_025850  | MM_025850  | MM_025850  | 9  | 1 | chr1  |
| 10503654 | 6.58463382  | 6.44345155  | 0.34118227  | 1.26679429 | 0.00048679 | 0.04424203 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | 16 | 1 | chr4  |
| 10509390 | 9.32727407  | 9.54850109  | -0.21122702 | 0.86380225 | 0.00048869 | 0.04424203 | MM_007943  | MM_007943  | MM_007943  | MM_007943  | MM_007943  | MM_007943  | 28 | 1 | chr4  |
| 10508490 | 10.98227838 | 10.65927932 | 0.30534467  | 1.23309931 | 0.00048862 | 0.04424203 | MM_0010796 | MM_0010796 | MM_0010796 | MM_0010796 | MM_0010796 | MM_0010796 | 37 | 3 | chr4  |
| 10588466 | 11.5969057  | 12.8181452  | -0.22133873 | 0.85782798 | 0.00048502 | 0.04424203 | MM_011876  | MM_011876  | MM_011876  | MM_011876  | MM_011876  | MM_011876  | 30 | 1 | chr4  |
| 10384577 | 8.2117242   | 8.51002938  | -0.28905695 | 0.81843687 | 0.00049209 | 0.04428866 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | 17 | 1 | chr11 |
| 10445078 | 10.2822314  | 10.44398337 | -0.16162224 | 0.89401923 | 0.00049366 | 0.04428866 | MM_019439  | MM_019439  | MM_019439  | MM_019439  | MM_019439  | MM_019439  | 51 | 1 | chr17 |
| 10515716 | 6.91475171  | 9.10830417  | -0.19355247 | 0.87444984 | 0.00049118 | 0.04428866 | MM_198170  | MM_198170  | MM_198170  | MM_198170  | MM_198170  | MM_198170  | 36 | 1 | chr4  |
| 10529287 | 8.4672882   | 8.75610633  | -0.28881813 | 0.81857237 | 0.00049361 | 0.04428866 | MM_178390  | MM_178390  | MM_178390  | MM_178390  | MM_178390  | MM_178390  | 36 | 1 | chr5  |
| 10492355 | 8.0434112   | 7.71564512  | 0.34776608  | 1.25506848 | 0.00049912 | 0.0446377  | MM_008604  | MM_008604  | MM_008604  | MM_008604  | MM_008604  | MM_008604  | 48 | 1 | chr3  |
| 10603485 | 8.74898236  | 8.50346301  | 0.24531955  | 1.18535520 | 0.00050614 | 0.04509595 | MM_007898  | MM_007898  | MM_007898  | MM_007898  | MM_007898  | MM_007898  | 26 | 1 | chr4  |
| 10427334 | 8.02905016  | 8.38599015  | -0.35693509 | 0.78082162 | 0.00051028 | 0.04520734 | NR_029766  | NR_029766  | NR_029766  | NR_029766  | NR_029766  | NR_029766  | 26 | 1 | chr15 |
| 10520622 | 8.19557982  | 8.49477923  | -0.29919942 | 0.81270326 | 0.00050953 | 0.04520734 | NR_003522  | NR_003522  | NR_003522  | NR_003522  | NR_003522  | NR_003522  | 26 | 1 | chr5  |
| 10354286 | 8.60714077  | 9.10815289  | -0.50101212 | 0.81168278 | 0.00051315 | 0.04520734 | MM_023645  | MM_023645  | MM_023645  | MM_023645  | MM_023645  | MM_023645  | 36 | 1 | chr1  |
| 10412207 | 9.50189067  | 9.23959566  | -0.26199507 | 1.19913873 | 0.00051347 | 0.04545408 | MM_011296  | MM_011296  | MM_011296  | MM_011296  | MM_011296  | MM_011296  | 26 | 1 | chr13 |
| 10409968 | 13.0481698  | 12.9010099  | 0.14715992  | 1.10738831 | 0.00051045 | 0.04545408 | MM_011296  | MM_011296  | MM_011296  | MM_011296  | MM_011296  | MM_011296  | 34 | 3 | chr13 |
| 10417933 | 6.6120372   | 6.33416673  | 0.27870447  | 1.21240396 | 0.00052096 | 0.04544408 | MM_021508  | MM_021508  | MM_021508  | MM_021508  | MM_021508  | MM_021508  | 27 | 1 | chr14 |
| 10484225 | 9.21282521  | 9.46343228  | -0.25349193 | 1.20025954 | 0.00051874 | 0.04547428 | MM_0010331 | MM_0010331 | MM_0010331 | MM_0010331 | MM_0010331 | MM_0010331 | 27 | 1 | chr2  |
| 10509321 | 3.28222073  | 5.52418985  | -2.24166813 | 0.84559436 | 0.00052229 | 0.04547428 | MM_020256  | MM_020256  | MM_020256  | MM_020256  | MM_020256  | MM_020256  | 25 | 1 | chr2  |
| 10424487 | 8.53203483  | 8.75521088  | -0.22217603 | 0.80052892 | 0.00052892 | 0.04589895 | MM_0010814 | MM_0010814 | MM_0010814 | MM_0010814 | MM_0010814 | MM_0010814 | 33 | 1 | chr15 |
| 10452384 | 11.1633628  | 10.87405034 | 0.28805833  | 1.22133553 | 0.00053993 | 0.04595332 | MM_025381  | MM_025381  | MM_025381  | MM_025381  | MM_025381  | MM_025381  | 44 | 1 | chr17 |
| 10566760 | 11.36590685 | 11.12481635 | 0.24273395  | 1.18692726 | 0.00053318 | 0.04595332 | MM_010028  | MM_010028  | MM_010028  | MM_010028  | MM_010028  | MM_010028  | 25 | 3 | chr6  |
| 10864778 | 5.52379778  | 5.11097126  | 0.41282452  | 1.33121915 | 0.00053809 | 0.04668735 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25 | 1 | chr11 |
| 10823333 | 5.4550177   | 5.67368675  | -0.21876481 | 1.16265583 | 0.00054341 | 0.04668735 | MM_008149  | MM_008149  | MM_008149  | MM_008149  | MM_008149  | MM_008149  | 47 | 1 | chr19 |
| 10419535 | 5.09571016  | 5.84828801  | -0.24957784 | 0.84114251 | 0.00054785 | 0.0466254  | MM_012058  | MM_012058  | MM_012058  | MM_012058  | MM_012058  | MM_012058  | 15 | 1 | chr1  |
| 10497692 | 7.26365014  | 7.55239119  | -0.20842693 | 1.15542286 | 0.00054692 | 0.0466254  | MM_012794  | MM_012794  | MM_012794  | MM_012794  | MM_012794  | MM_012794  | 25 | 1 | chr14 |
| 10376527 | 7.20398905  | 7.47919988  | -0.27596463 | 0.90055367 | 0.00055367 | 0.04669729 | MM_127941  | MM_127941  | MM_127941  | MM_127941  | MM_127941  | MM_127941  | 37 | 1 | chr3  |
| 10855315 | 10.35211787 | 9.86213275  | 0.39641411  | 1.28257941 | 0.00055591 | 0.04669729 | MM_025410  | MM_025410  | MM_025410  | MM_025410  | MM_025410  | MM_025410  | 13 | 1 | chrX  |
| 10861712 | 9.72180927  | 9.53532726  | -0.18190489 | 0.88159901 | 0.00056533 | 0.04713323 | MM_172937  | MM_172937  | MM_172937  | MM_172937  | MM_172937  | MM_172937  | 35 | 1 | chr10 |
| 10388154 | 9.31842934  | 9.48725348  | -0.16826936 | 1.20552007 | 0.00056545 | 0.04713323 | MM_026068  | MM_026068  | MM_026068  | MM_026068  | MM_026068  | MM_026068  | 25 | 1 | chr11 |
| 10554988 | 7.22594234  | 7.40961102  | -0.28458132 | 1.21839448 | 0.00056692 | 0.04713323 | NR_029688  | NR_029688  | NR_029688  | NR_029688  | NR_029688  | NR_029688  | 25 | 1 | chr5  |
| 10568222 | 10.45013956 | 10.1496997  | 0.30066889  | 1.21371553 | 0.00056387 | 0.04713323 | NR_017461  | NR_017461  | NR_017461  | NR_017461  | NR_017461  | NR_017461  | 36 | 1 | chr7  |
| 10451238 | 11.8725268  | 11.6976968  | -0.17480874 | 1.12884174 | 0.00057656 | 0.04779377 | MM_009085  | MM_009085  | MM_009085  | MM_009085  | MM_009085  | MM_009085  | 27 | 1 | chr17 |
| 10385188 | 6.85444343  | 6.53359007  | 0.34075326  | 1.26641773 | 0.00058095 | 0.04779377 | MM_007777  | MM_007777  | MM_007777  | MM_007777  | MM_007777  | MM_007777  | 25 | 3 | chr1  |
| 10859788 | 9.82802088  | 9.57103326  | 0.28768625  | 1.19641976 | 0.00058735 | 0.04787288 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | 35 | 1 | chr18 |
| 10471335 | 7.34401775  | 7.55935307  | -0.31533331 | 0.80236648 | 0.00058765 | 0.04787288 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25 | 3 | chr2  |
| 10512322 | 9.89406891  | 9.52739979  | 0.26668933  | 1.20304363 | 0.00058765 | 0.04787288 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | ENSMUST000 | 25 | 3 | chr2  |
| 10905573 | 9.52227544  | 9.2374395   | 0.19853495  | 1.14752971 | 0.00058642 | 0.04787288 | MM_021402  | MM_021402  | MM_021402  | MM_021402  | MM_021402  | MM_021402  | 15 | 1 | chr4  |
| 10371629 | 11.2560904  | 11.08021339 | -0.17637451 | 1.13004133 | 0.00058102 | 0.04794069 | MM_026570  | MM_026570  | MM_026570  | MM_026570  | MM_026570  | MM_026570  | 30 | 1 | chr9  |
| 10375157 | 7.74246653  | 7.99305334  | -0.25062281 | 0.84053115 | 0.00058239 | 0.04826542 | MM_0010255 | MM_0010255 | MM_0010255 | MM_0010255 | MM_0010255 | MM_0010255 | 25 | 1 | chr11 |
| 10419724 | 9.62642193  | 9.42645843  | -0.21625829 | 0.86028066 | 0.00060190 | 0.04826542 | MM_015772  | MM_015772  | MM_015772  | MM_015772  | MM_015772  | MM_015772  | 32 | 1 | chr14 |
| 10420860 | 11.17376536 | 11.3347423  |             |            |            |            |            |            |            |            |            |            |    |   |       |

|          |            |            |             |            |            |            |                  |          |                            |                  |                  |                  |    |       |
|----------|------------|------------|-------------|------------|------------|------------|------------------|----------|----------------------------|------------------|------------------|------------------|----|-------|
| 10369761 | 8,77614366 | 8,54928939 | 0,27685427  | 1,17028042 | 0,00061075 | 0,04865469 | NM_178606        | Reep3    | receptor accessory protein | NM_178606        | NM_178606        | NM_178606        | 33 | chr10 |
| 10445558 | 11,1999535 | 10,959394  | 0,24055948  | 1,18145073 | 0,00061398 | 0,04875758 | NM_207161        | BCD48355 | cDNA sequence BCD48355     | NM_207161        | NM_207161        | NM_207161        | 29 | chr17 |
| 10399579 | 10,7130208 | 10,9376694 | -0,22464867 | 0,85580341 | 0,0006234  | 0,0491207  | ENSMUST000170009 | OCT10K1  | RIKEN cDNA I7000930C10     | ENSMUST000170009 | ENSMUST000170009 | ENSMUST000170009 | 16 | chr12 |
| 10468691 | 7,29099497 | 7,11957902 | 0,17141596  | 1,12616324 | 0,00062365 | 0,0491207  | NM_178688        | Abliim1  | actin-binding LIM protein  | NM_178688        | NM_178688        | NM_178688        | 30 | chr19 |
| 10502772 | 8,66708153 | 8,25614244 | 0,41093909  | 1,32955098 | 0,00062039 | 0,0491207  | NM_0010812       | lphm2    | tatrophilin 2              | NM_0010812       | NM_0010812       | NM_0010812       | 15 | chr3  |
| 10367726 | 7,994456   | 7,72706728 | 0,26738871  | 1,20362728 | 0,00063643 | 0,0498862  | ENSMUST000165304 | 03G13Rik | RIKEN cDNA 6530403G13      | ENSMUST000165304 | ENSMUST000165304 | ENSMUST000165304 | 25 | chr10 |
| 10552938 | 6,96768855 | 6,54710992 | 0,32057863  | 1,24883133 | 0,00063875 | 0,0498862  | NM_198190        | Ntf5     | neurotrophin 5             | NM_198190        | NM_198190        | NM_198190        | 27 | chr7  |
| 10591754 | 9,8086483  | 10,014216  | -0,20556773 | 0,86719736 | 0,00063775 | 0,0498862  | NM_181419        | Zfp599   | zinc finger protein 599    | NM_181419        | NM_181419        | NM_181419        | 25 | chr9  |

## Principaux pics détectés par ChIP-seq avec SOX2

| Probe           | Feature              | Orientation | Distance | IgG | Ac anti-OTX2 | Ac anti-PAX6 | Ac anti-RAX | Ac anti-SOX2 |
|-----------------|----------------------|-------------|----------|-----|--------------|--------------|-------------|--------------|
| Chr1:59505280-5 | <b>Fzd7</b>          | downstream  | 32703    | 9   | 571          | 4            | 16          | 161          |
| Chr9:95326322-9 | <b>Chst2</b>         | downstream  | 18633    | 87  | 548          | 150          | 211         | 159          |
| Chr10:85002152- | <b>Btbd11</b>        | overlapping | 0        | 4   | 142          | 3            | 5           | 159          |
| Chr13:57463952- | <b>Spock1</b>        | upstream    | 60109    | 1   | 54           | 2            | 5           | 159          |
| Chr16:63312411- | null                 | Not found   | 0        | 88  | 462          | 120          | 156         | 159          |
| Chr16:30646169- | <b>Fam43a</b>        | upstream    | 43286    | 1   | 83           | 0            | 2           | 158          |
| Chr1:5217707-52 | <b>Atp6v1h</b>       | upstream    | 65077    | 8   | 490          | 7            | 9           | 157          |
| Chr2:25036020-2 | <b>Nrarp</b>         | overlapping | 0        | 0   | 14           | 0            | 2           | 157          |
| Chr16:13748825- | <b>1810007I06Rik</b> | upstream    | 11152    | 2   | 323          | 9            | 13          | 157          |
| Chr19:50385111- | <b>Sorcs1</b>        | overlapping | 0        | 68  | 540          | 142          | 180         | 157          |
| Chr1:22473497-2 | <b>Rims1</b>         | overlapping | 0        | 6   | 106          | 5            | 5           | 156          |
| Chr1:80233834-8 | <b>Fam124b</b>       | downstream  | 18786    | 12  | 72           | 6            | 7           | 156          |
| Chr2:20417901-2 | <b>Etl4</b>          | overlapping | 0        | 2   | 179          | 5            | 15          | 156          |
| Chr3:87778127-8 | <b>Nes</b>           | overlapping | 0        | 0   | 50           | 3            | 3           | 156          |
| Chr8:19994752-1 | <b>Gm10348</b>       | overlapping | 0        | 145 | 61           | 115          | 158         | 156          |
| Chr2:81689006-8 | null                 | Not found   | 0        | 1   | 70           | 2            | 9           | 155          |
| Chr3:72662569-7 | <b>Sis</b>           | upstream    | 29413    | 1   | 150          | 2            | 12          | 155          |
| Chr4:145265052- | <b>Gm13242</b>       | overlapping | 0        | 13  | 507          | 18           | 33          | 155          |
| Chr5:27030253-2 | null                 | Not found   | 0        | 1   | 178          | 2            | 7           | 155          |
| Chr8:19882650-1 | <b>6820431F20Rik</b> | overlapping | 0        | 162 | 135          | 152          | 165         | 155          |
| Chr6:20636629-2 | null                 | Not found   | 0        | 0   | 366          | 9            | 6           | 154          |
| Chr5:8488862-84 | <b>Rundc3b</b>       | upstream    | 1147     | 0   | 13           | 3            | 6           | 153          |
| Chr8:75843550-7 | <b>Large</b>         | overlapping | 0        | 35  | 126          | 55           | 79          | 153          |
| Chr16:11144001- | <b>Zc3h7a</b>        | overlapping | 0        | 41  | 561          | 66           | 103         | 153          |
| Chr2:44380712-4 | <b>Gtdc1</b>         | upstream    | 38538    | 2   | 297          | 4            | 20          | 152          |
| Chr4:91114554-9 | <b>Elavl2</b>        | downstream  | 47879    | 1   | 137          | 0            | 5           | 152          |
| Chr15:90908348- | <b>Kif21a</b>        | downstream  | 27966    | 1   | 267          | 2            | 12          | 152          |
| Chr1:78116487-7 | <b>Pax3</b>          | overlapping | 0        | 3   | 118          | 3            | 18          | 151          |
| Chr14:59277547- | <b>SNORA17.35</b>    | downstream  | 8310     | 78  | 522          | 140          | 187         | 151          |

|                              |                 |             |       |     |      |      |      |      |
|------------------------------|-----------------|-------------|-------|-----|------|------|------|------|
| Chr1:3472912-34              | <b>Xkr4</b>     | overlapping | 0     | 2   | 115  | 4    | 11   | 150  |
| Chr3:127912958-              | null            | Not found   | 0     | 84  | 571  | 155  | 199  | 150  |
| Chr6:144402859-              | <b>Sox5</b>     | overlapping | 0     | 4   | 47   | 2    | 10   | 150  |
| Chr10:90172224-              | <b>Anks1b</b>   | overlapping | 0     | 2   | 127  | 3    | 11   | 150  |
| Chr11:77707321-              | <b>Pipox</b>    | overlapping | 0     | 4   | 82   | 5    | 9    | 150  |
| Chr12:63808096-              | <b>Spanxn4</b>  | upstream    | 18865 | 2   | 192  | 5    | 12   | 150  |
| Chr14:9569281-94930455B14Rik |                 | upstream    | 63389 | 0   | 186  | 6    | 4    | 150  |
| Chr2:171704622-1700028P15Rik |                 | upstream    | 77227 | 2   | 143  | 4    | 3    | 149  |
| Chr5:110503501-              | <b>Plcxd1</b>   | downstream  | 25410 | 77  | 515  | 124  | 177  | 149  |
| Chr6:94700576-9              | <b>Lrig1</b>    | downstream  | 50424 | 0   | 53   | 3    | 4    | 149  |
| Chr7:59987449-5              | null            | Not found   | 0     | 2   | 284  | 12   | 7    | 149  |
| Chr7:140951672-              | <b>Dhx32</b>    | overlapping | 0     | 4   | 347  | 7    | 10   | 149  |
| Chr8:91795307-9              | <b>Gm5356</b>   | downstream  | 83848 | 2   | 287  | 3    | 11   | 149  |
| Chr8:19868583-16820431F20Rik |                 | overlapping | 0     | 132 | 129  | 124  | 197  | 148  |
| Chr8:24672389-2              | <b>Zmat4</b>    | downstream  | 73697 | 4   | 95   | 2    | 5    | 148  |
| Chr5:115914783-              | <b>Pla2g1b</b>  | downstream  | 1102  | 1   | 76   | 0    | 0    | 147  |
| Chr9:115633139-              | <b>n-R5s92</b>  | downstream  | 97303 | 0   | 198  | 3    | 8    | 147  |
| Chr9:120058507-              | <b>Mobp</b>     | downstream  | 44    | 1   | 25   | 1    | 1    | 147  |
| Chr12:29502104-              | <b>Tssc1</b>    | overlapping | 0     | 0   | 620  | 15   | 8    | 147  |
| Chr14:50067247-              | <b>Slc35f4</b>  | overlapping | 0     | 0   | 166  | 1    | 3    | 147  |
| Chr16:13977060-              | <b>Ifitm7</b>   | upstream    | 4152  | 4   | 291  | 7    | 9    | 147  |
| Chr4:118698849-              | <b>Gm12862</b>  | downstream  | 25759 | 2   | 571  | 9    | 10   | 146  |
| Chr14:11968616-              | <b>Hs6st3</b>   | overlapping | 0     | 58  | 408  | 118  | 135  | 146  |
| Chr15:39560238-              | <b>Tm7sf4</b>   | downstream  | 16809 | 3   | 99   | 8    | 2    | 146  |
| Chr16:26962061-              | <b>Gm606</b>    | overlapping | 0     | 1   | 165  | 1    | 7    | 146  |
| ChrX:166527167-              | <b>Mid1</b>     | upstream    | 83499 | 629 | 4168 | 1057 | 1540 | 1318 |
| Chr4:126143043-              | <b>Eif2c1</b>   | overlapping | 0     | 2   | 71   | 1    | 1    | 145  |
| Chr17:3083675-3              | <b>Pisd-ps2</b> | overlapping | 0     | 16  | 425  | 29   | 46   | 145  |
| Chr16:5709261-5              | null            | Not found   | 0     | 69  | 467  | 134  | 145  | 144  |
| Chr1:72275660-7              | <b>U2.23</b>    | upstream    | 2656  | 2   | 61   | 3    | 8    | 143  |
| Chr5:80929913-8              | null            | Not found   | 0     | 73  | 541  | 113  | 188  | 143  |
| Chr7:36315527-3              | <b>Tdrd12</b>   | overlapping | 0     | 1   | 199  | 4    | 8    | 143  |
| Chr7:56603271-5              | <b>Nav2</b>     | overlapping | 0     | 3   | 81   | 1    | 7    | 143  |
| Chr12:27835953-              | <b>Gm9866</b>   | overlapping | 0     | 3   | 200  | 8    | 6    | 143  |

|                 |                      |             |       |     |     |     |     |     |
|-----------------|----------------------|-------------|-------|-----|-----|-----|-----|-----|
| Chr12:45741573- | <b>Nrcam</b>         | upstream    | 38622 | 3   | 190 | 2   | 1   | 143 |
| Chr12:94815435- | <b>null</b>          | Not found   | 0     | 76  | 422 | 113 | 149 | 143 |
| Chr18:31407655- | <b>Rit2</b>          | overlapping | 0     | 3   | 90  | 1   | 3   | 143 |
| Chr1:53806761-5 | <b>Stk17b</b>        | upstream    | 5106  | 3   | 333 | 8   | 11  | 142 |
| Chr1:106909110- | <b>Cdh20</b>         | upstream    | 17052 | 3   | 87  | 5   | 10  | 142 |
| Chr6:4873828-48 | <b>Ppp1r9a</b>       | overlapping | 0     | 75  | 438 | 117 | 154 | 142 |
| Chr6:34137477-3 | <b>Gm13855</b>       | upstream    | 1085  | 0   | 276 | 12  | 11  | 142 |
| Chr8:58730478-5 | <b>Hpgd</b>          | downstream  | 41862 | 6   | 265 | 9   | 9   | 142 |
| Chr9:90197157-9 | <b>U4.28</b>         | downstream  | 13513 | 84  | 518 | 142 | 197 | 142 |
| Chr10:94334780- | <b>Plxnc1</b>        | overlapping | 0     | 58  | 411 | 103 | 159 | 142 |
| Chr1:47133177-4 | <b>null</b>          | Not found   | 0     | 7   | 207 | 3   | 11  | 141 |
| Chr7:3348052-33 | <b>Cacng7</b>        | overlapping | 0     | 1   | 220 | 2   | 3   | 141 |
| Chr7:87890225-8 | <b>Iqgap1</b>        | overlapping | 0     | 6   | 380 | 6   | 6   | 141 |
| Chr12:75904892- | <b>Kcnh5</b>         | upstream    | 93241 | 137 | 71  | 137 | 176 | 141 |
| Chr1:4140783-41 | <b>null</b>          | Not found   | 0     | 0   | 158 | 4   | 8   | 140 |
| Chr3:64069063-6 | <b>AC119863.1</b>    | overlapping | 0     | 3   | 369 | 9   | 9   | 140 |
| Chr6:49186451-4 | <b>Tra2a</b>         | upstream    | 7315  | 37  | 365 | 60  | 79  | 140 |
| Chr16:30829626- | <b>U6.127</b>        | upstream    | 15272 | 83  | 539 | 142 | 203 | 140 |
| Chr17:31194083- | <b>Abcg1</b>         | downstream  | 145   | 1   | 40  | 0   | 4   | 140 |
| Chr1:134097139- | <b>Lemd1</b>         | overlapping | 0     | 4   | 403 | 10  | 13  | 139 |
| Chr6:65747257-6 | <b>Prdm5</b>         | overlapping | 0     | 1   | 215 | 4   | 11  | 139 |
| Chr12:66694542- | <b>SNORA17.70</b>    | upstream    | 27334 | 1   | 126 | 6   | 10  | 139 |
| Chr13:30161241- | <b>Gm11368</b>       | upstream    | 6401  | 3   | 153 | 2   | 2   | 139 |
| Chr14:74465728- | <b>null</b>          | Not found   | 0     | 92  | 489 | 109 | 166 | 139 |
| Chr2:170597502- | <b>Dok5</b>          | overlapping | 0     | 0   | 226 | 4   | 8   | 138 |
| Chr3:18523942-1 | <b>null</b>          | Not found   | 0     | 4   | 122 | 2   | 4   | 138 |
| Chr5:93718664-9 | <b>Ccng2</b>         | upstream    | 13407 | 79  | 473 | 136 | 167 | 138 |
| Chr8:19872783-1 | <b>6820431F20Rik</b> | overlapping | 0     | 149 | 73  | 136 | 210 | 138 |
| Chr11:11028357E | <b>Map2k6</b>        | overlapping | 0     | 68  | 460 | 104 | 175 | 138 |
| Chr16:23504378- | <b>Masp1</b>         | overlapping | 0     | 1   | 159 | 2   | 5   | 138 |
| Chr16:35981672- | <b>Gm15564</b>       | downstream  | 447   | 39  | 573 | 39  | 52  | 138 |
| Chr17:68090702- | <b>Lama1</b>         | overlapping | 0     | 88  | 483 | 113 | 160 | 138 |
| ChrX:109484351- | <b>Apool</b>         | overlapping | 0     | 95  | 73  | 95  | 150 | 138 |
| Chr2:41751722-4 | <b>Lrp1b</b>         | overlapping | 0     | 75  | 421 | 111 | 154 | 137 |

|                              |                 |             |       |     |     |     |     |     |
|------------------------------|-----------------|-------------|-------|-----|-----|-----|-----|-----|
| Chr3:88085413-8              | <b>Rhbg</b>     | overlapping | 0     | 0   | 299 | 3   | 10  | 137 |
| Chr8:94740129-9              | null            | Not found   | 0     | 3   | 390 | 6   | 11  | 137 |
| Chr11:80149174-              | <b>Rhbd13</b>   | overlapping | 0     | 2   | 117 | 1   | 3   | 137 |
| Chr13:66515195-2410141K09Rik |                 | upstream    | 3578  | 7   | 246 | 7   | 5   | 137 |
| Chr1:71125914-7              | <b>Bard1</b>    | overlapping | 0     | 64  | 422 | 94  | 137 | 136 |
| Chr5:106605801-              | null            | Not found   | 0     | 79  | 519 | 106 | 199 | 136 |
| Chr10:11521395-              | null            | Not found   | 0     | 4   | 167 | 3   | 15  | 136 |
| Chr14:10632007E              | <b>Spry2</b>    | downstream  | 24042 | 1   | 58  | 4   | 3   | 136 |
| Chr15:91694501-              | <b>Muc19</b>    | overlapping | 0     | 2   | 125 | 1   | 2   | 136 |
| Chr16:57391242-2610528E23Rik |                 | overlapping | 0     | 66  | 250 | 54  | 111 | 136 |
| Chr16:72072157-              | null            | Not found   | 0     | 1   | 71  | 6   | 6   | 136 |
| Chr2:45591885-4              | <b>Gm13465</b>  | overlapping | 0     | 2   | 22  | 0   | 3   | 135 |
| Chr8:19877986-16820431F20Rik |                 | overlapping | 0     | 105 | 79  | 117 | 148 | 135 |
| Chr12:12184185-5S_rRNA.93    |                 | upstream    | 70512 | 0   | 199 | 1   | 2   | 135 |
| Chr13:52015931-              | <b>Gm16767</b>  | downstream  | 52030 | 0   | 210 | 1   | 5   | 135 |
| Chr8:93194359-9              | null            | Not found   | 0     | 3   | 127 | 2   | 14  | 134 |
| Chr10:94055180-              | <b>Gm16154</b>  | overlapping | 0     | 62  | 435 | 107 | 147 | 134 |
| Chr14:35173050-220000I15Rik  |                 | downstream  | 4431  | 1   | 165 | 5   | 4   | 134 |
| Chr1:7116052-71              | <b>Pcmttd1</b>  | overlapping | 0     | 3   | 124 | 1   | 7   | 133 |
| Chr2:19430947-14921504E06Rik |                 | overlapping | 0     | 67  | 436 | 124 | 164 | 133 |
| Chr9:8769992-87              | <b>Trpc6</b>    | upstream    | 89427 | 2   | 114 | 4   | 5   | 133 |
| Chr3:83590975-8              | <b>Gm16790</b>  | overlapping | 0     | 1   | 368 | 5   | 11  | 132 |
| Chr8:19891839-16820431F20Rik |                 | overlapping | 0     | 44  | 249 | 64  | 93  | 132 |
| Chr18:31728408-              | <b>Slc25a46</b> | upstream    | 10937 | 4   | 121 | 7   | 9   | 132 |
| Chr18:43073588-              | <b>Ppp2r2b</b>  | overlapping | 0     | 62  | 436 | 154 | 159 | 132 |
| Chr18:43135434-              | <b>Ppp2r2b</b>  | overlapping | 0     | 54  | 33  | 72  | 99  | 132 |
| Chr1:115860594-9330185C12Rik |                 | overlapping | 0     | 6   | 359 | 11  | 12  | 131 |
| Chr1:137404212-              | <b>Nav1</b>     | overlapping | 0     | 4   | 284 | 7   | 21  | 131 |
| Chr10:21465869-              | <b>Gm5420</b>   | upstream    | 52085 | 3   | 182 | 6   | 2   | 131 |
| Chr10:33095300-              | <b>Trdn</b>     | overlapping | 0     | 3   | 172 | 3   | 10  | 131 |
| Chr13:44114102-              | null            | Not found   | 0     | 1   | 139 | 5   | 3   | 131 |
| Chr13:90385569-              | null            | Not found   | 0     | 0   | 179 | 1   | 8   | 131 |
| Chr1:135389759-              | <b>Sox13</b>    | downstream  | 68805 | 4   | 245 | 4   | 12  | 130 |
| Chr3:5989017-59              | null            | Not found   | 0     | 0   | 114 | 5   | 4   | 130 |

|                 |                   |             |       |     |     |     |     |     |
|-----------------|-------------------|-------------|-------|-----|-----|-----|-----|-----|
| Chr7:25664560-2 | <b>Dmrtc2</b>     | upstream    | 1890  | 7   | 164 | 8   | 8   | 130 |
| Chr8:20018349-2 | <b>Gm10348</b>    | overlapping | 0     | 72  | 165 | 70  | 82  | 130 |
| Chr9:99671188-9 | <b>Gm16004</b>    | upstream    | 14726 | 3   | 34  | 4   | 2   | 130 |
| Chr10:129856995 | <b>AC159473.1</b> | overlapping | 0     | 4   | 99  | 6   | 8   | 130 |
| Chr7:77736445-7 | null              | Not found   | 0     | 1   | 498 | 6   | 4   | 129 |
| Chr12:12587598- | null              | Not found   | 0     | 0   | 231 | 1   | 4   | 129 |
| Chr17:71121658- | <b>Dlgap1</b>     | overlapping | 0     | 1   | 377 | 9   | 18  | 129 |
| Chr5:142605901- | <b>Sdk1</b>       | overlapping | 0     | 3   | 163 | 4   | 2   | 128 |
| Chr6:148940847- | <b>Dennd5b</b>    | overlapping | 0     | 0   | 86  | 3   | 7   | 128 |
| Chr18:38308484- | <b>Pcdh1</b>      | upstream    | 36615 | 1   | 143 | 2   | 4   | 128 |
| Chr1:182863790- | <b>Lefty1</b>     | downstream  | 889   | 3   | 56  | 2   | 2   | 127 |
| Chr8:19893902-1 | <b>Gm10348</b>    | overlapping | 0     | 109 | 74  | 98  | 147 | 127 |
| Chr13:66648072- | <b>Gm16986</b>    | downstream  | 27613 | 0   | 78  | 1   | 5   | 127 |
| Chr14:78688768- | <b>Tnfsf11</b>    | overlapping | 0     | 0   | 121 | 3   | 6   | 127 |
| Chr2:147118606- | <b>Nkx2-2as</b>   | overlapping | 0     | 3   | 85  | 9   | 6   | 126 |
| Chr7:46563091-4 | <b>U6.424</b>     | upstream    | 23588 | 10  | 214 | 16  | 15  | 126 |
| Chr18:3008483-3 | null              | Not found   | 0     | 116 | 92  | 115 | 170 | 126 |
| Chr1:17922055-1 | null              | Not found   | 0     | 3   | 338 | 6   | 19  | 125 |
| Chr3:96963415-9 | <b>Acp6</b>       | overlapping | 0     | 0   | 32  | 2   | 6   | 125 |
| Chr5:108505904- | <b>Mtf2</b>       | overlapping | 0     | 2   | 167 | 4   | 4   | 125 |
| Chr1:22562741-2 | <b>Rims1</b>      | overlapping | 0     | 56  | 371 | 89  | 127 | 124 |
| Chr8:19976898-1 | <b>Gm10348</b>    | overlapping | 0     | 120 | 80  | 101 | 156 | 124 |
| Chr19:26682801- | <b>Smarca2</b>    | overlapping | 0     | 2   | 91  | 4   | 7   | 124 |
| Chr1:4792427-47 | <b>Lypla1</b>     | downstream  | 4512  | 2   | 319 | 14  | 20  | 123 |
| Chr4:118744040- | <b>Gm12866</b>    | downstream  | 2324  | 1   | 301 | 9   | 5   | 123 |
| Chr6:83840175-8 | <b>Gm5138</b>     | downstream  | 14754 | 1   | 282 | 3   | 7   | 123 |
| Chr10:129172525 | <b>Olf806</b>     | upstream    | 2225  | 55  | 367 | 97  | 129 | 123 |
| Chr15:5941320-5 | null              | Not found   | 0     | 2   | 437 | 11  | 15  | 123 |
| Chr1:16814628-1 | null              | Not found   | 0     | 3   | 197 | 3   | 7   | 122 |
| Chr1:77458330-7 | <b>Epha4</b>      | overlapping | 0     | 4   | 197 | 4   | 8   | 122 |
| Chr3:16841179-1 | null              | Not found   | 0     | 2   | 219 | 3   | 1   | 122 |
| Chr4:50180272-5 | null              | Not found   | 0     | 112 | 79  | 110 | 117 | 122 |
| Chr7:80545015-8 | <b>Rgma</b>       | overlapping | 0     | 0   | 134 | 4   | 6   | 122 |
| Chr8:19908016-1 | <b>Gm10348</b>    | overlapping | 0     | 119 | 55  | 90  | 125 | 122 |

|                 |                   |             |       |    |     |     |     |     |
|-----------------|-------------------|-------------|-------|----|-----|-----|-----|-----|
| Chr14:8838646-8 | <b>Abhd6</b>      | overlapping | 0     | 62 | 396 | 85  | 121 | 122 |
| Chr2:15847143-1 | null              | Not found   | 0     | 2  | 327 | 1   | 9   | 121 |
| Chr4:18322594-1 | <b>Gm11867</b>    | downstream  | 11430 | 2  | 132 | 5   | 7   | 121 |
| Chr5:61273686-6 | null              | Not found   | 0     | 3  | 108 | 6   | 2   | 121 |
| Chr8:20036574-2 | <b>Gm10348</b>    | downstream  | 16161 | 85 | 43  | 67  | 113 | 121 |
| Chr1:5222877-52 | <b>Atp6v1h</b>    | upstream    | 70247 | 1  | 66  | 6   | 12  | 120 |
| Chr1:135389089- | <b>Sox13</b>      | downstream  | 68135 | 1  | 54  | 3   | 7   | 120 |
| Chr3:30988202-3 | <b>Skil</b>       | downstream  | 5322  | 3  | 216 | 7   | 1   | 120 |
| Chr7:67151141-6 | <b>Snrpn</b>      | overlapping | 0     | 3  | 241 | 6   | 6   | 120 |
| Chr11:3025328-3 | <b>Pisd-ps1</b>   | overlapping | 0     | 46 | 250 | 52  | 95  | 120 |
| Chr17:35640784- | <b>Pou5f1</b>     | downstream  | 1795  | 0  | 72  | 1   | 3   | 120 |
| Chr18:3006221-3 | null              | Not found   | 0     | 55 | 96  | 67  | 108 | 120 |
| Chr19:10015441- | <b>AC132253.2</b> | upstream    | 32673 | 3  | 27  | 3   | 3   | 120 |
| Chr10:36331196- | <b>Hs3st5</b>     | overlapping | 0     | 2  | 258 | 2   | 5   | 119 |
| Chr13:4824960-4 | <b>Gm5444</b>     | overlapping | 0     | 2  | 166 | 4   | 8   | 119 |
| Chr16:90437456- | <b>Hunk</b>       | overlapping | 0     | 0  | 144 | 1   | 5   | 119 |
| Chr1:69503316-6 | <b>Ikzf2</b>      | upstream    | 74343 | 81 | 460 | 121 | 157 | 118 |
| Chr2:40766387-4 | <b>Lrp1b</b>      | overlapping | 0     | 4  | 560 | 13  | 3   | 118 |
| Chr11:34552445- | <b>Dock2</b>      | overlapping | 0     | 1  | 26  | 4   | 2   | 118 |
| Chr8:20020249-2 | <b>Gm10348</b>    | overlapping | 0     | 10 | 122 | 29  | 44  | 117 |
| Chr13:65592624- | <b>Gm10139</b>    | downstream  | 20436 | 9  | 246 | 9   | 17  | 117 |
| Chr1:138466809- | <b>Kif14</b>      | upstream    | 38721 | 1  | 260 | 2   | 10  | 116 |
| Chr8:48812197-4 | <b>n-R5s96</b>    | upstream    | 7474  | 5  | 61  | 3   | 9   | 116 |
| Chr11:12905255- | null              | Not found   | 0     | 5  | 192 | 1   | 15  | 116 |
| Chr14:16810875- | null              | Not found   | 0     | 0  | 100 | 2   | 2   | 116 |
| Chr1:58670757-5 | <b>Ndufb3</b>     | upstream    | 17949 | 37 | 100 | 46  | 78  | 115 |
| Chr5:92541471-9 | <b>SS_rRNA.86</b> | upstream    | 5102  | 2  | 224 | 8   | 16  | 115 |
| Chr6:122574499- | <b>Y_RNA.10</b>   | upstream    | 823   | 3  | 84  | 6   | 4   | 115 |
| Chr9:88713216-8 | <b>CT030259.2</b> | overlapping | 0     | 1  | 122 | 8   | 8   | 115 |
| Chr10:11417443E | <b>Trhde</b>      | overlapping | 0     | 55 | 310 | 101 | 117 | 115 |
| Chr11:30391880- | <b>Acyp2</b>      | upstream    | 13689 | 1  | 57  | 2   | 5   | 115 |

## Principaux pics obtenus par ChIP-seq avec OTX2

| Probe            | Feature              | Orientation | Distance | IgG | Ac anti-OTX2 | Ac anti-PAX6 | Ac anti-RAX | Ac anti-SOX2 |
|------------------|----------------------|-------------|----------|-----|--------------|--------------|-------------|--------------|
| Chr1:84214315-84 | <b>Pid1</b>          | overlapping | 0        | 4   | 136          | 3            | 7           | 104          |
| Chr4:22072010-22 | null                 | Not found   | 0        | 3   | 136          | 4            | 5           | 98           |
| Chr9:40047338-40 | <b>Zfp202</b>        | upstream    | 26149    | 4   | 136          | 1            | 6           | 68           |
| Chr13:85175619-8 | <b>CT009527.1</b>    | downstream  | 10680    | 1   | 136          | 3            | 3           | 55           |
| Chr17:63289344-6 | <b>Efna5</b>         | downstream  | 58678    | 4   | 136          | 3            | 8           | 55           |
| Chr1:134930174-1 | <b>AC137948.1</b>    | upstream    | 1087     | 1   | 136          | 6            | 13          | 49           |
| Chr2:166884296-1 | <b>Znfx1</b>         | overlapping | 0        | 3   | 136          | 0            | 7           | 48           |
| Chr1:10868295-10 | <b>SNORA66.5</b>     | downstream  | 43008    | 2   | 136          | 8            | 14          | 29           |
| ChrX:71762801-71 | <b>U6.286</b>        | downstream  | 16247    | 3   | 136          | 1            | 9           | 28           |
| Chr1:90736052-90 | null                 | Not found   | 0        | 0   | 136          | 3            | 4           | 27           |
| Chr10:19611586-1 | <b>Pex7</b>          | overlapping | 0        | 2   | 136          | 1            | 7           | 23           |
| Chr5:111268722-1 | <b>Hscb</b>          | overlapping | 0        | 0   | 136          | 3            | 3           | 14           |
| Chr4:133669317-1 | <b>Ubxn11</b>        | overlapping | 0        | 1   | 136          | 0            | 1           | 11           |
| Chr4:124322172-1 | <b>Pou3f1</b>        | downstream  | 12377    | 2   | 136          | 1            | 6           | 9            |
| Chr11:113836119- | <b>Sdk2</b>          | overlapping | 0        | 0   | 136          | 6            | 3           | 4            |
| Chr8:19882650-19 | <b>6820431F20Rik</b> | overlapping | 0        | 162 | 135          | 152          | 165         | 155          |
| Chr3:75454386-75 | <b>Serpini1</b>      | upstream    | 6969     | 1   | 135          | 0            | 5           | 105          |
| Chr11:8654561-86 | <b>Pkd1l1</b>        | upstream    | 71754    | 1   | 135          | 5            | 8           | 63           |
| Chr18:24228714-2 | <b>Ino80c</b>        | upstream    | 33991    | 2   | 135          | 2            | 7           | 62           |
| Chr5:25160452-25 | <b>Gm10062</b>       | upstream    | 4819     | 0   | 135          | 4            | 4           | 61           |
| Chr1:137572071-1 | <b>AC120405.1</b>    | overlapping | 0        | 4   | 135          | 3            | 7           | 57           |
| Chr10:21874904-2 | <b>H60b</b>          | downstream  | 3097     | 6   | 135          | 1            | 14          | 57           |
| Chr3:148006243-1 | <b>SNORA17.150</b>   | upstream    | 10187    | 4   | 135          | 10           | 5           | 55           |
| Chr4:146932308-1 | <b>Gm13154</b>       | overlapping | 0        | 4   | 135          | 7            | 6           | 49           |
| Chr4:33043454-33 | <b>Ankrd6</b>        | downstream  | 5638     | 1   | 135          | 6            | 8           | 46           |
| Chr1:72870119-72 | <b>Igfbp2</b>        | downstream  | 451      | 0   | 135          | 4            | 6           | 33           |
| Chr7:29488251-29 | <b>Fbxo27</b>        | upstream    | 3894     | 1   | 135          | 2            | 0           | 17           |
| Chr9:61164282-61 | <b>Gm10655</b>       | upstream    | 53981    | 0   | 135          | 5            | 2           | 14           |

|                  |                      |             |       |    |     |    |    |     |
|------------------|----------------------|-------------|-------|----|-----|----|----|-----|
| Chr10:126962100- | <b>Nxph4</b>         | upstream    | 86    | 1  | 135 | 1  | 4  | 12  |
| Chr16:30205545-3 | <b>Gm11179</b>       | overlapping | 0     | 2  | 135 | 0  | 2  | 11  |
| Chr9:120528669-1 | <b>5830454E08Rik</b> | upstream    | 41480 | 0  | 135 | 5  | 3  | 10  |
| Chr3:135345289-1 | <b>Nfkb1</b>         | overlapping | 0     | 0  | 135 | 0  | 3  | 9   |
| Chr7:80545015-80 | <b>Rgma</b>          | overlapping | 0     | 0  | 134 | 4  | 6  | 122 |
| Chr1:130288815-1 | <b>Dars</b>          | overlapping | 0     | 6  | 134 | 5  | 15 | 113 |
| Chr1:36001124-36 | <b>null</b>          | Not found   | 0     | 1  | 134 | 8  | 10 | 95  |
| Chr1:103525061-1 | <b>null</b>          | Not found   | 0     | 1  | 134 | 3  | 7  | 89  |
| Chr10:8083973-80 | <b>Ust</b>           | overlapping | 0     | 2  | 134 | 1  | 3  | 78  |
| Chr12:64129206-6 | <b>null</b>          | Not found   | 0     | 1  | 134 | 2  | 5  | 45  |
| Chr15:99704980-9 | <b>Lima1</b>         | overlapping | 0     | 0  | 134 | 2  | 1  | 40  |
| Chr11:9015974-90 | <b>Upp1</b>          | downstream  | 1705  | 0  | 134 | 4  | 4  | 32  |
| Chr13:99786683-9 | <b>7SK.315</b>       | upstream    | 23362 | 2  | 134 | 0  | 5  | 27  |
| Chr9:6832901-683 | <b>Dync2h1</b>       | upstream    | 95226 | 2  | 134 | 5  | 1  | 21  |
| ChrX:11380380-11 | <b>U6.388</b>        | downstream  | 46892 | 1  | 134 | 0  | 2  | 17  |
| Chr7:138377130-1 | <b>Fgfr2</b>         | overlapping | 0     | 0  | 134 | 1  | 3  | 12  |
| Chr4:120032757-1 | <b>Scmh1</b>         | downstream  | 44850 | 0  | 134 | 0  | 3  | 9   |
| Chr3:15056813-15 | <b>null</b>          | Not found   | 0     | 1  | 133 | 3  | 2  | 105 |
| Chr3:93884403-93 | <b>Gm10697</b>       | downstream  | 7837  | 4  | 133 | 9  | 17 | 99  |
| Chr3:7805020-780 | <b>null</b>          | Not found   | 0     | 1  | 133 | 1  | 7  | 69  |
| Chr2:153468800-1 | <b>7530422B04Rik</b> | upstream    | 5161  | 0  | 133 | 1  | 6  | 67  |
| Chr2:115549178-1 | <b>BC052040</b>      | overlapping | 0     | 1  | 133 | 1  | 6  | 61  |
| Chr11:31882685-3 | <b>4930524B15Rik</b> | upstream    | 3034  | 3  | 133 | 1  | 1  | 52  |
| Chr9:113170569-1 | <b>null</b>          | Not found   | 0     | 19 | 133 | 26 | 48 | 33  |
| ChrX:106309167-1 | <b>Sh3bgrl</b>       | overlapping | 0     | 1  | 133 | 0  | 3  | 23  |
| Chr1:186320861-1 | <b>U6.839</b>        | upstream    | 82764 | 0  | 133 | 0  | 2  | 22  |
| Chr4:23540210-23 | <b>AL772326.1</b>    | upstream    | 13225 | 0  | 133 | 13 | 9  | 20  |
| Chr16:87208172-8 | <b>null</b>          | Not found   | 0     | 0  | 133 | 0  | 1  | 17  |
| Chr7:150016956-1 | <b>Gm6471</b>        | overlapping | 0     | 0  | 133 | 5  | 4  | 10  |
| Chr4:18322594-18 | <b>Gm11867</b>       | downstream  | 11430 | 2  | 132 | 5  | 7  | 121 |
| Chr4:135702676-1 | <b>Id3</b>           | upstream    | 1006  | 0  | 132 | 2  | 3  | 56  |
| Chr7:138034402-1 | <b>Fgfr2</b>         | overlapping | 0     | 3  | 132 | 7  | 9  | 48  |
| Chr18:10866166-1 | <b>Mib1</b>          | upstream    | 47464 | 1  | 132 | 1  | 1  | 47  |
| Chr16:76321915-7 | <b>Nrip1</b>         | overlapping | 0     | 1  | 132 | 1  | 6  | 46  |

|                  |                      |             |       |     |     |     |     |     |
|------------------|----------------------|-------------|-------|-----|-----|-----|-----|-----|
| Chr7:146041818-1 | <b>PPP2r2d</b>       | overlapping | 0     | 0   | 132 | 1   | 5   | 41  |
| Chr3:6116092-611 | null                 | Not found   | 0     | 22  | 132 | 36  | 33  | 36  |
| Chr4:145105817-1 | <b>Gm13225</b>       | overlapping | 0     | 5   | 132 | 5   | 9   | 36  |
| Chr3:87283132-87 | <b>Fcrl5</b>         | upstream    | 21374 | 6   | 132 | 4   | 5   | 35  |
| Chr16:10975169-1 | <b>Litaf</b>         | overlapping | 0     | 259 | 132 | 265 | 354 | 266 |
| Chr15:96321553-9 | <b>Srsf2ip</b>       | downstream  | 30279 | 2   | 132 | 8   | 10  | 30  |
| Chr2:86234484-86 | <b>Olf1058</b>       | downstream  | 7911  | 2   | 132 | 4   | 12  | 28  |
| Chr5:24083301-24 | <b>Abcf2</b>         | downstream  | 84    | 0   | 132 | 1   | 3   | 11  |
| Chr17:17792523-1 | <b>Lnpep</b>         | downstream  | 31070 | 2   | 132 | 0   | 4   | 9   |
| Chr1:39243676-39 | <b>Npas2</b>         | downstream  | 7136  | 3   | 132 | 3   | 1   | 7   |
| Chr4:137322302-1 | <b>Alpl</b>          | overlapping | 0     | 0   | 132 | 0   | 1   | 4   |
| Chr1:99969790-99 | <b>Pam</b>           | overlapping | 0     | 6   | 131 | 2   | 4   | 66  |
| Chr1:11391188-11 | <b>A830018L16Rik</b> | downstream  | 12575 | 2   | 131 | 2   | 8   | 49  |
| Chr15:22691001-2 | <b>Cdh18</b>         | overlapping | 0     | 3   | 131 | 2   | 8   | 40  |
| Chr11:36845152-3 | <b>Odz2</b>          | overlapping | 0     | 2   | 131 | 3   | 1   | 22  |
| Chr7:70585262-70 | <b>4930554H23Rik</b> | overlapping | 0     | 0   | 131 | 5   | 7   | 14  |
| Chr3:133196913-1 | <b>Tet2</b>          | overlapping | 0     | 3   | 131 | 3   | 7   | 9   |
| Chr12:12892454-1 | <b>AC127270.1</b>    | downstream  | 16709 | 0   | 131 | 1   | 5   | 6   |
| Chr8:80622082-80 | null                 | Not found   | 0     | 2   | 130 | 5   | 10  | 72  |
| Chr1:73006881-73 | <b>Tnp1</b>          | upstream    | 54367 | 2   | 130 | 2   | 6   | 49  |
| Chr10:13121915-1 | <b>Phactr2</b>       | overlapping | 0     | 23  | 130 | 30  | 46  | 30  |
| Chr8:64533868-64 | <b>Anxa10</b>        | upstream    | 1916  | 23  | 130 | 29  | 39  | 29  |
| Chr4:3127003-312 | <b>Vmn1r3</b>        | downstream  | 14498 | 5   | 130 | 4   | 5   | 22  |
| Chr6:98959660-98 | <b>Foxp1</b>         | overlapping | 0     | 0   | 130 | 0   | 7   | 16  |
| Chr1:78137055-78 | <b>Pax3</b>          | overlapping | 0     | 1   | 130 | 5   | 10  | 13  |
| Chr10:14687526-1 | <b>SNORA17.465</b>   | downstream  | 16642 | 1   | 130 | 7   | 1   | 13  |
| Chr19:21685760-2 | <b>1110059E24Rik</b> | overlapping | 0     | 0   | 130 | 2   | 1   | 13  |
| Chr1:120218125-1 | <b>Mki67ip</b>       | overlapping | 0     | 1   | 130 | 4   | 1   | 12  |
| Chr3:135188137-1 | <b>Manba</b>         | overlapping | 0     | 1   | 130 | 6   | 1   | 8   |
| Chr8:19868583-19 | <b>6820431F20Rik</b> | overlapping | 0     | 132 | 129 | 124 | 197 | 148 |
| Chr9:106113553-1 | <b>Twf2</b>          | overlapping | 0     | 5   | 129 | 3   | 4   | 81  |
| Chr13:85286092-8 | <b>Ccnh</b>          | downstream  | 42392 | 7   | 129 | 4   | 5   | 43  |
| Chr13:15487074-1 | <b>Gli3</b>          | downstream  | 67573 | 0   | 129 | 0   | 3   | 36  |
| Chr17:17561917-1 | <b>Lix1</b>          | overlapping | 0     | 1   | 129 | 2   | 3   | 20  |

|                  |               |             |       |     |     |     |     |     |
|------------------|---------------|-------------|-------|-----|-----|-----|-----|-----|
| Chr1:90709785-90 | null          | Not found   | 0     | 7   | 129 | 9   | 10  | 15  |
| Chr7:146742952-1 | Inpp5a        | overlapping | 0     | 0   | 129 | 1   | 1   | 12  |
| Chr3:30828729-30 | Phc3          | overlapping | 0     | 2   | 129 | 1   | 0   | 11  |
| Chr14:122841689- | Gm5089        | overlapping | 0     | 0   | 129 | 3   | 0   | 8   |
| Chr2:71128209-71 | Slc25a12      | overlapping | 0     | 0   | 129 | 5   | 1   | 6   |
| Chr3:95708496-95 | Car14         | overlapping | 0     | 0   | 129 | 2   | 4   | 5   |
| Chr14:124494224- | Fgf14         | overlapping | 0     | 2   | 129 | 1   | 4   | 4   |
| Chr15:89486867-8 | Rabl2         | downstream  | 64513 | 2   | 128 | 3   | 4   | 96  |
| Chr2:28763223-28 | Barhl1        | overlapping | 0     | 2   | 128 | 4   | 8   | 70  |
| Chr9:109079730-1 | Fbxw13        | upstream    | 1628  | 2   | 128 | 0   | 8   | 58  |
| Chr11:107213961- | Pitpnc1       | overlapping | 0     | 1   | 128 | 1   | 3   | 50  |
| Chr10:22303225-2 | Slc2a12       | downstream  | 61287 | 0   | 128 | 1   | 3   | 39  |
| Chr15:38921812-3 | Cthrc1        | upstream    | 3145  | 4   | 128 | 0   | 4   | 39  |
| Chr3:95467469-95 | Mcl1          | upstream    | 370   | 1   | 128 | 5   | 3   | 33  |
| Chr8:19920032-19 | Gm10348       | overlapping | 0     | 211 | 128 | 170 | 234 | 194 |
| Chr1:134696878-1 | SNORA17.507   | upstream    | 13546 | 4   | 128 | 5   | 7   | 32  |
| Chr2:17138884-17 | Gm13322       | downstream  | 31770 | 1   | 128 | 1   | 2   | 27  |
| Chr8:111272778-1 | Zfx3          | overlapping | 0     | 3   | 128 | 7   | 4   | 26  |
| Chr11:79140619-7 | Nf1           | downstream  | 12150 | 3   | 128 | 4   | 4   | 25  |
| Chr2:30634654-30 | 1700001022Rik | upstream    | 15277 | 2   | 128 | 1   | 2   | 13  |
| Chr12:85981173-8 | 7420416P09Rik | downstream  | 448   | 1   | 128 | 4   | 3   | 4   |
| Chr10:90172224-9 | Anks1b        | overlapping | 0     | 2   | 127 | 3   | 11  | 150 |
| Chr8:93194359-93 | null          | Not found   | 0     | 3   | 127 | 2   | 14  | 134 |
| Chr6:13697998-13 | B630005N14Rik | downstream  | 70032 | 3   | 127 | 1   | 3   | 99  |
| Chr3:6768032-676 | null          | Not found   | 0     | 2   | 127 | 2   | 8   | 96  |
| Chr1:116538202-1 | null          | Not found   | 0     | 1   | 127 | 4   | 19  | 89  |
| Chr13:66421127-6 | AC192333.1    | downstream  | 28835 | 2   | 127 | 3   | 7   | 75  |
| Chr7:137395580-1 | Fgfr2         | overlapping | 0     | 3   | 127 | 1   | 3   | 69  |
| Chr15:100094217- | Atf1          | upstream    | 2542  | 3   | 127 | 3   | 7   | 55  |
| Chr1:90969009-90 | Sh3bp4        | overlapping | 0     | 1   | 127 | 6   | 13  | 51  |
| Chr2:180475100-1 | Slc17a9       | overlapping | 0     | 0   | 127 | 4   | 6   | 51  |
| Chr1:70367529-70 | Spag16        | overlapping | 0     | 3   | 127 | 5   | 2   | 46  |
| Chr17:85656294-8 | 1700106N22Rik | overlapping | 0     | 1   | 127 | 2   | 14  | 46  |
| Chr9:20356658-20 | AC171206.1    | overlapping | 0     | 2   | 127 | 0   | 2   | 34  |

|                  |                    |             |       |    |     |    |    |     |
|------------------|--------------------|-------------|-------|----|-----|----|----|-----|
| Chr2:5300090-530 | <b>Camk1d</b>      | overlapping | 0     | 31 | 127 | 31 | 90 | 170 |
| Chr1:127169309-1 | <b>null</b>        | Not found   | 0     | 2  | 127 | 0  | 5  | 23  |
| Chr7:53152462-53 | <b>Tmem143</b>     | overlapping | 0     | 1  | 127 | 1  | 4  | 21  |
| Chr8:75843550-75 | <b>Large</b>       | overlapping | 0     | 35 | 126 | 55 | 79 | 153 |
| Chr12:66694542-6 | <b>SNORA17.70</b>  | upstream    | 27334 | 1  | 126 | 6  | 10 | 139 |
| Chr13:80862462-8 | <b>null</b>        | Not found   | 0     | 0  | 126 | 5  | 3  | 83  |
| Chr17:29588038-2 | <b>Gm16912</b>     | upstream    | 10390 | 0  | 126 | 0  | 8  | 70  |
| Chr5:61239359-61 | <b>null</b>        | Not found   | 0     | 20 | 126 | 32 | 47 | 38  |
| Chr19:10244338-1 | <b>Fads1</b>       | downstream  | 12688 | 1  | 126 | 5  | 1  | 37  |
| Chr6:144199796-1 | <b>Sox5</b>        | overlapping | 0     | 0  | 126 | 2  | 4  | 36  |
| Chr5:6328619-632 | <b>AC132270.1</b>  | upstream    | 41956 | 1  | 126 | 4  | 0  | 32  |
| Chr9:37015491-37 | <b>Tmem218</b>     | downstream  | 51    | 0  | 126 | 1  | 6  | 27  |
| Chr13:87147290-8 | <b>null</b>        | Not found   | 0     | 1  | 126 | 2  | 5  | 16  |
| Chr8:111250637-1 | <b>Zfx3</b>        | overlapping | 0     | 0  | 126 | 1  | 5  | 15  |
| Chr15:25679035-2 | <b>Myo10</b>       | overlapping | 0     | 2  | 126 | 5  | 5  | 13  |
| ChrX:154032520-1 | <b>Mbtps2</b>      | overlapping | 0     | 0  | 126 | 1  | 0  | 13  |
| Chr8:109127931-1 | <b>Cdh1</b>        | overlapping | 0     | 1  | 126 | 1  | 1  | 8   |
| Chr15:91694501-9 | <b>Muc19</b>       | overlapping | 0     | 2  | 125 | 1  | 2  | 136 |
| Chr6:63078770-63 | <b>U6.767</b>      | upstream    | 70804 | 0  | 125 | 5  | 9  | 82  |
| Chr13:37865215-3 | <b>Rreb1</b>       | downstream  | 4617  | 2  | 125 | 0  | 5  | 80  |
| Chr10:70911006-7 | <b>Ipmk</b>        | upstream    | 14931 | 3  | 125 | 2  | 6  | 63  |
| Chr3:8005630-800 | <b>null</b>        | Not found   | 0     | 0  | 125 | 2  | 9  | 56  |
| Chr8:35867921-35 | <b>Dusp4</b>       | downstream  | 1969  | 1  | 125 | 2  | 7  | 41  |
| Chr2:94430648-94 | <b>SNORA17.268</b> | downstream  | 31137 | 0  | 125 | 3  | 3  | 37  |
| Chr1:12708592-12 | <b>Sulf1</b>       | overlapping | 0     | 6  | 125 | 1  | 3  | 36  |
| Chr2:102669529-1 | <b>Cd44</b>        | overlapping | 0     | 2  | 125 | 9  | 17 | 32  |
| Chr1:93444234-93 | <b>Asb1</b>        | overlapping | 0     | 1  | 125 | 8  | 7  | 27  |
| Chr13:25099639-2 | <b>Mrs2</b>        | overlapping | 0     | 4  | 125 | 4  | 4  | 26  |
| Chr15:27971116-2 | <b>Trio</b>        | downstream  | 15513 | 2  | 125 | 4  | 13 | 21  |
| Chr4:100465921-1 | <b>Cachd1</b>      | overlapping | 0     | 1  | 125 | 5  | 1  | 14  |
| Chr1:7116052-711 | <b>Pcmtd1</b>      | overlapping | 0     | 3  | 124 | 1  | 7  | 133 |
| Chr11:78061228-7 | <b>Sdf2</b>        | overlapping | 0     | 4  | 124 | 4  | 2  | 94  |
| Chr3:10188774-1  | <b>Casq2</b>       | downstream  | 2148  | 2  | 124 | 7  | 6  | 86  |
| Chr6:54046859-54 | <b>Chn2</b>        | overlapping | 0     | 2  | 124 | 6  | 4  | 75  |

|                  |                      |             |       |    |     |    |    |     |
|------------------|----------------------|-------------|-------|----|-----|----|----|-----|
| Chr1:42115348-42 | null                 | Not found   | 0     | 1  | 124 | 3  | 5  | 73  |
| Chr6:68802617-68 | <b>Gm16904</b>       | upstream    | 9157  | 5  | 124 | 2  | 6  | 72  |
| Chr11:111705099- | <b>Gm11674</b>       | downstream  | 42909 | 0  | 124 | 1  | 7  | 72  |
| Chr6:140285264-1 | <b>n-R5s168</b>      | upstream    | 17010 | 1  | 124 | 1  | 0  | 65  |
| Chr1:53638149-53 | <b>Dnahc7a</b>       | downstream  | 15608 | 4  | 124 | 2  | 3  | 64  |
| Chr15:93350038-9 | <b>Prickle1</b>      | overlapping | 0     | 1  | 124 | 3  | 7  | 41  |
| Chr11:11781435-1 | <b>Ddc</b>           | overlapping | 0     | 2  | 124 | 4  | 3  | 39  |
| Chr5:125411393-1 | <b>Zfp664</b>        | upstream    | 28330 | 1  | 124 | 1  | 6  | 33  |
| Chr16:19865477-1 | <b>A930003A15Rik</b> | upstream    | 10857 | 1  | 124 | 1  | 6  | 33  |
| Chr15:39612082-3 | <b>Dpys</b>          | overlapping | 0     | 1  | 124 | 1  | 5  | 32  |
| Chr9:118991281-1 | <b>Piccd1</b>        | overlapping | 0     | 1  | 124 | 0  | 1  | 26  |
| Chr11:53244534-5 | <b>Gdf9</b>          | overlapping | 0     | 0  | 124 | 3  | 3  | 1   |
| Chr5:128988925-1 | <b>AC166933.1</b>    | upstream    | 47714 | 3  | 123 | 2  | 7  | 94  |
| Chr14:16629574-1 | null                 | Not found   | 0     | 4  | 123 | 1  | 3  | 92  |
| Chr1:95094184-95 | <b>E030010N08Rik</b> | downstream  | 17797 | 3  | 123 | 8  | 12 | 75  |
| Chr2:174086818-1 | <b>Mir296</b>        | upstream    | 5409  | 0  | 123 | 3  | 5  | 71  |
| Chr3:14191211-14 | <b>Raly1</b>         | upstream    | 8924  | 0  | 123 | 2  | 2  | 68  |
| Chr7:123009384-1 | <b>Sox6</b>          | overlapping | 0     | 1  | 123 | 6  | 7  | 61  |
| Chr15:27925405-2 | <b>Trio</b>          | overlapping | 0     | 1  | 123 | 3  | 5  | 44  |
| Chr2:78149659-78 | <b>Gm14461</b>       | upstream    | 7272  | 1  | 123 | 3  | 0  | 36  |
| Chr11:5462909-54 | <b>SNORA17.91</b>    | downstream  | 2171  | 1  | 123 | 2  | 0  | 36  |
| Chr13:66405796-6 | <b>AC173345.1</b>    | upstream    | 40338 | 4  | 123 | 4  | 8  | 36  |
| Chr16:31546298-3 | <b>Bdh1</b>          | upstream    | 87311 | 0  | 123 | 7  | 7  | 34  |
| Chr2:54011120-54 | <b>SNORA51.1</b>     | upstream    | 58147 | 0  | 123 | 0  | 2  | 33  |
| Chr4:146791314-1 | <b>Gm16889</b>       | overlapping | 0     | 19 | 123 | 21 | 27 | 216 |
| Chr10:26357343-2 | null                 | Not found   | 0     | 1  | 123 | 2  | 2  | 26  |
| Chr4:147167652-1 | <b>Gm13157</b>       | overlapping | 0     | 8  | 123 | 7  | 3  | 19  |
| Chr10:98725603-9 | <b>Dusp6</b>         | overlapping | 0     | 1  | 123 | 3  | 6  | 16  |
| Chr17:10937968-1 | <b>Pacrg</b>         | overlapping | 0     | 1  | 123 | 7  | 2  | 15  |
| Chr3:82928036-82 | <b>Gm10710</b>       | upstream    | 1085  | 1  | 123 | 9  | 12 | 14  |
| Chr4:45599161-45 | <b>U6.304</b>        | upstream    | 37440 | 1  | 123 | 2  | 1  | 14  |
| Chr1:73870892-73 | <b>5S_rRNA.66</b>    | upstream    | 60483 | 2  | 123 | 2  | 5  | 9   |
| Chr11:79593345-7 | <b>9130204K15Rik</b> | upstream    | 1853  | 1  | 123 | 1  | 1  | 9   |
| Chr15:103197867- | <b>Itga5</b>         | downstream  | 673   | 0  | 123 | 1  | 2  | 6   |



**AUTEUR**  
Nicolas CHASSAING

**TITRE**  
Anophthalmia-Microphthalmia :  
genotypes and phenotypes ; identification of new genes involved in ocular development

**DIRECTEURS DE THESE**  
Professeur Patrick CALVAS  
Docteur Heather ETCHEVERS

**LIEU ET DATE DE SOUTENANCE**  
Faculté de Médecine Purpan, 12 décembre 2013

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**RESUME**

Anophthalmia and Microphthalmia (AM) are the most severe malformations of the eye. We analyzed the frequency with which known AM genes were implicated and detailed phenotypes associated with each gene in a large cohort of 150 patients.

Genetic causes are thought to account for most cases of AM but a genetic cause can be identified only in about 25% of patients. In order to find new AM genes we used direct candidate gene sequencing, array-CGH, transcriptomic analyses, ChIP and high throughput sequencing. We succeed identifying the second major AM gene, *PTCH1*, and participate to identification of other novel genes causing isolated and syndromic AM.

The finding that *PTCH1* mutations are found in numerous patients paves the way to new hypotheses concerning the pathophysiology of all ocular developmental defects. This information is important to following up patients and providing them with genetic counselling.

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**MOTS-CLES**

Genetics, Ocular Development, Microphthalmia, Anophthalmia, Otocephaly, ChIP, Transcriptomic, High Throughput Sequencing, *PTCH1*, *OTX2*, *STRA6*

**DISCIPLINE ADMINISTRATIVE**  
Gènes, cellules et développement

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EA-4555, Université Paul-Sabatier Toulouse III, CPTP, Toulouse, France

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**TITRE**  
Génétique des micro-anophtalmies : revue des phénotypes et des génotypes ; stratégies d'identification de nouveaux gènes impliqués dans le développement oculaire

**DIRECTEURS DE THESE**  
Professeur Patrick CALVAS  
Docteur Heather ETCHEVERS

**LIEU ET DATE DE SOUTENANCE**  
Faculté de Médecine Purpan, 12 décembre 2013

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**RESUME**

Les anophtalmies et microphthalmies (AM) sont les plus sévères malformations de l'œil. Nous avons étudié la fréquence des mutations des principaux gènes d'AM dans une grande cohorte de 150 patients et défini la variabilité phénotypique associée à ces mutations. Bien que l'origine génétique soit prépondérante, une anomalie moléculaire causale ne peut être identifiée que chez environ 25 % des patients.

Pour identifier de nouveaux gènes responsables d'AM, différentes approches ont été utilisées: gènes candidats, CGH-array, analyse du transcriptome, ChIP, et séquençage haut débit. Nous avons ainsi pu identifier un nouveau gène majeur du développement oculaire, *PTCH1*, et participer à l'identification d'autres gènes d'AM isolées ou syndromiques.

L'implication du gène *PTCH1* introduit de nouvelles hypothèses physiopathologiques pour l'ensemble des malformations oculaires congénitales. Ces données sont importantes pour la prise en charge des patients et de leurs familles.

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**MOTS-CLES**

Génétique, Développement oculaire, Microphthalmie, Anophtalmie, Otocéphalie, ChIP, Transcriptome, Séquençage haut débit, *PTCH1*, *OTX2*, *STRA6*

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