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SINGLE CRYSTAL MEMBRANES

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TYCO LABORATORIES, INC.

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## ABSTRACT

Single crystal a- and c-axis tubes and ribbons of sodium beta-alumina and sodium magnesium beta-alumina were grown from sodium oxide rich melts. Additional experiments grew ribbon crystals containing sodium magnesium  $\beta$ ,  $\beta''$ ,  $\beta'''$ , and  $\beta''''$  aluminas.

The crystal growth of beta-alumina is made complicated by its high sodium vapor pressure, peritectic decomposition, and highly reactive melt. However, the use of a high pressure [ $2.0 \text{ MN/m}^2$  (300 psi)] crystal growth chamber, sodium oxide rich melts, and iridium for all surfaces in contact with the melt were combined with the edge-defined, film-fed growth (EFG) technique to grow the single crystal beta-alumina tubes and ribbons. The crystals were characterized using metallographic and X-ray diffraction techniques, and wet chemical analysis was used to determine the sodium, magnesium, and aluminum content of the grown crystals.

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## L SUMMARY

This program was an extension of work begun under Contract Nos. NAS3-14410 and NAS3-15686. Those programs had as their objective the development of single crystal membranes of solid ionic conductors, especially  $\beta$ -alumina, which have potential application in high energy density batteries operating at moderate to ambient temperatures. Using the Tyco-developed EFG melt growth techniques, iridium system components and a high pressure ( $2 \text{ MN/m}^2$ ) furnace chamber, the programs demonstrated the growth of single phase single crystal  $\beta$ -alumina tubes of the desired orientations. However, the crystals grown under those programs had a tendency to crack along the cleavage plane during growth and very slow growth rates ( $2 \text{ mm/hr}$ ) were required to obtain transparent single crystals free of phase inclusions.

The specific objectives of this program were to determine the optimum growth conditions of  $\beta$ -alumina, to obtain non-coated transparent single crystal tubes and ribbons, to eliminate or reduce thermal stresses which lead to cracks, and to grow  $\beta$ -alumina tubes with varying amounts of  $\text{Na}_2\text{O}$ ,  $\text{MgO}$ , and  $\text{Al}_2\text{O}_3$  to enhance ionic conductivity and mechanical strength.

Single crystal single phase tubes and ribbons of beta-alumina containing  $\text{Na}_2\text{O}$  and  $\text{Na}_2\text{O}$  plus  $\text{MgO}$  were grown as verified by Debye-Scherrer X-ray powder patterns, Laue back reflection X-ray photographs, and chemical analysis. Magnesium oxide stabilized ribbons which were found to contain  $\beta$ ,  $\beta''$ ,  $\beta'''$ , and  $\beta''''$  were also grown.

Although several uncracked tube crystals were grown, the majority of the crystals were cracked, and depending on the afterheater configuration, they were all invariably coated with either an  $\text{Al}_2\text{O}_3$  or a  $\text{Na}_2\text{O}$  'skin'. The crystals also tended to become multi-grained and contained second phase inclusions when grown at speeds greater than  $6 \text{ mm/hr}$ .

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## II. INTRODUCTION

A recent development of great technological potential is the concept of a high energy density battery utilizing a solid, super ionic conductor as the electrolyte.<sup>1</sup> One of the best super ionic conductors for such application is  $\beta$ -alumina (nominally:  $\text{Na}_2\text{O} \cdot 11 \text{Al}_2\text{O}_3$ )<sup>2</sup>. Crystals of this compound have very low electronic conductivity, negligible ionic conductivity in the direction of the hexagonal crystalline c-axis, and very high sodium ion conductivity in directions normal to the c-axis.<sup>2-5</sup> Obvious advantages will accrue from the use of single crystalline membranes of the correct orientation. Even if the polycrystalline aggregates are of preferred orientation, the presence of grain boundaries provides additional problems, since intergranular processes may occur, resulting in failure of the conducting path. It is possible that lower operating temperatures may result from the use of single crystal materials. Thus, the establishment of a method for the growth of single crystal  $\beta$ -alumina is of considerable interest.

To date, electrochemical studies and prototype batteries have utilized only small single crystal wafers or sintered polycrystalline tubes of  $\beta$ -alumina. Under two preceding contracts,<sup>6,7</sup> Tyco developed techniques for producing c-axis tubes of  $\beta$ -alumina. Clearly, this constituted a major step toward the realization of high energy density batteries such as conceived by Weber and Kummer.<sup>1</sup>

This program was an effort to improve the quality of the crystals to the point where useful material could be regularly produced and the effects of composition and growth conditions on ionic conductivity could be measured. In the following sections we describe the program aimed at optimizing and defining the conditions for growth of sound, highly conducting, tubular and ribbon shaped crystals of  $\beta$ -alumina. Work under a previous contract<sup>6</sup> had established that MgO can be substituted in the  $\beta$ -alumina

crystals during growth. MgO stabilized  $\beta''$ -alumina (approximate stoichiometry 10.0 MgO 12.5 Na<sub>2</sub>O · 77.5 Al<sub>2</sub>O<sub>3</sub>) was also grown under this program. This phase is also a super ionic conductor with a structure similar to that of  $\beta$ -alumina and with even higher sodium ion conductivity.

### III APPARATUS AND BASIC EXPERIMENTAL PROCEDURES

#### A. Apparatus

Because of the high loss of sodium by volatilization at the growth temperature under atmospheric conditions,<sup>7</sup> a furnace chamber (designed and built at Tyco) was used which would allow the growth of crystals under inert gas pressures up to  $2\text{MN/m}^2$  (300 psi). The addition of excess soda to the melt together with the use of the high pressure furnace was necessary for the successful growth of single crystal beta-alumina.<sup>6, 13</sup>

The furnace is shown schematically in Fig. 1 and was used for the growth of all beta-alumina tube and ribbon crystals. The pressure vessel consists of a 30 cm diameter by approximately 60 cm high 304 S/S split chamber, designed for  $2\text{ MN/cm}^2$  at 541 K. The chamber is water jacketed and mounted on a suitable stand with a hand-operated hydraulic mechanism to raise and lower the bottom section approximately 30 cm. The lower section swings away in the lowered position for accessibility.

The furnace was designed to allow the growth of crystal tubes up to 20 cm long and includes the following features:

1. On top is mounted a linear motion device suitable for withdrawal of crystals at rates of up to 2.5 cm/min.\*
2. 10 cm port for RF power feedthroughs.
3. 5 cm inner dia sight ports (2) at  $20^\circ$  incline from horizontal.

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\*A.D. Little Co., Cambridge, Massachusetts



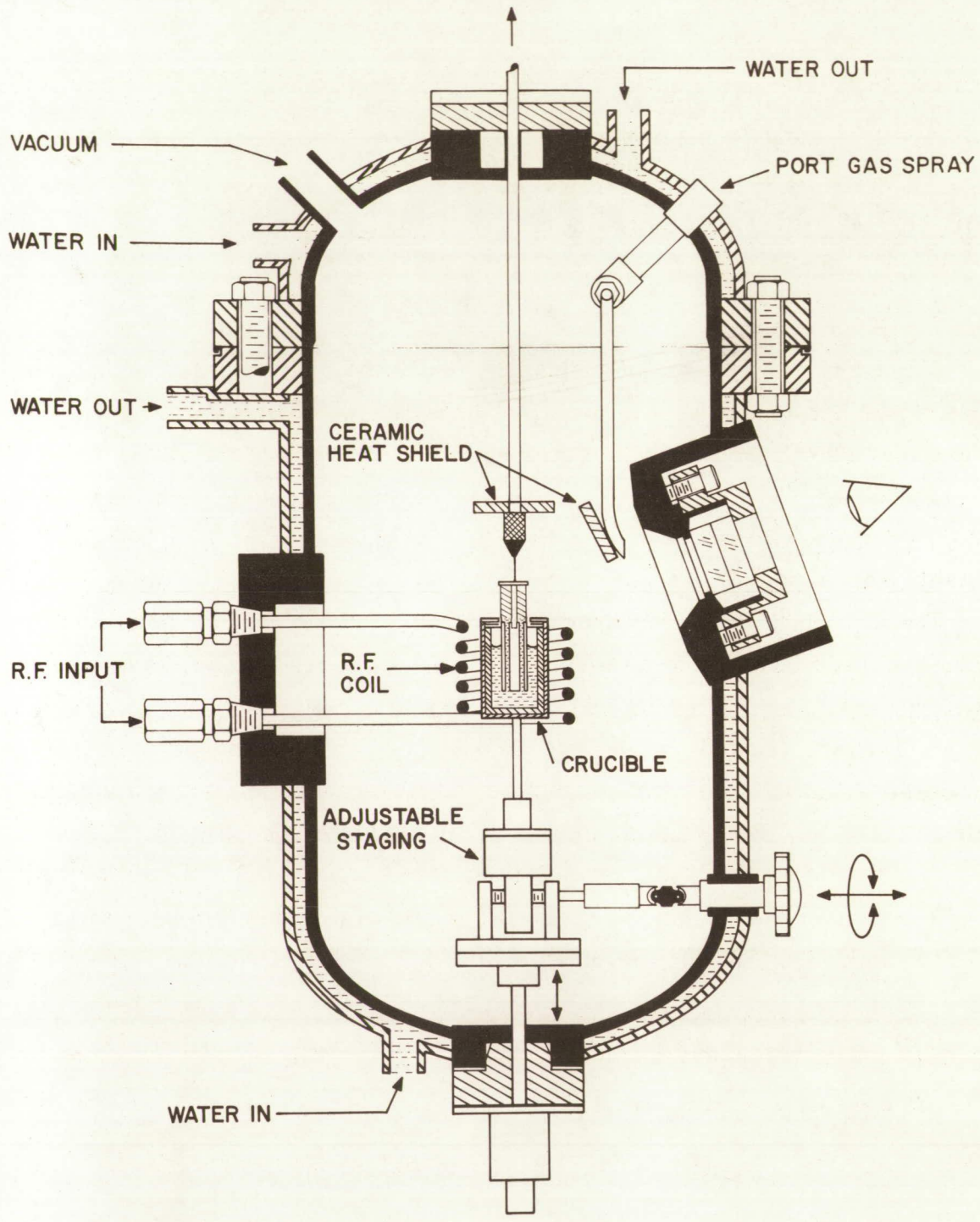


Fig. 1. Schematic of high pressure crystal growth chamber

4. 2.5 cm vacuum port.

5. Feedthroughs complete with manually-controlled x-y and vertical motion device allowing precise location of crucible (x-y motion is  $\pm 6$  mm and the vertical motions  $\pm 13$  mm).

6. Various 1.3 to 2.5 cm ports required for evacuation; introduction of inert gas and measurement of gas pressure.

The entire high pressure crystal growing furnace is shown photographed in Fig. 2.

In this system, first a 450 kHz 20 kW rf generator and then a 20 kW, 10 kHz, motor generator was used to raise the crucible containing the melt to the necessary growth temperature either by susception directly to the crucible or to a susceptor surrounding it. The advantages of the motor generator over the higher frequency rf set are the low voltage on the coil during use which reduces the tendency for arcing and heating of the power feedthroughs.<sup>7, 13, 14</sup>

#### B. Starting Materials

There is a continuing uncertainty with regard to the exact composition of beta-alumina. The material commonly obtainable in such form and known as carborundum Monofrax H beta-alumina is  $\text{Na}_2\text{O} \cdot 11 \text{Al}_2\text{O}_3$  (8.34 mole %  $\text{Na}_2\text{O}$ ). This material was used as the starting charge for many of the growth experiments. Weber and Venero<sup>15</sup> reported the composition of beta-alumina as being 10 mole %  $\text{Na}_2\text{O}$  with an incongruent melting point at  $2240 \pm 6^\circ\text{K}$  (Fig. 3). Harata<sup>16</sup> reported that Monofrax H cast bricks contain small amounts of alpha-alumina as a second phase and that single phase  $\beta$ -alumina has the composition range 10.9 to 13.7 mole %  $\text{Na}_2\text{O}$ . Considerable MgO can also be incorporated in the  $\beta$ -alumina phase (up to the composition 6.5 MgO  $10.5 \text{Na}_2\text{O} \cdot 83 \text{Al}_2\text{O}_3$ ).<sup>20</sup> Still higher MgO content (10. mole %) changes the lattice to the  $\beta''$ -alumina structure (Fig. 4).

Monofrax H beta-alumina was used as the starting material in most beta-alumina growth experiments, with excess  $\text{Na}_2\text{O}$  added to vary the composition from 8.4% to 20%  $\text{Na}_2\text{O}$ , with MgO being added up to 10.0 mole % for specific growth runs. Typically, mixtures of  $\text{Na}_2\text{CO}_3$ , \* MgO, \*\* and Monofrax H\*\*\* beta-alumina were weighed into 2 to 9 g charges and placed in the iridium crucible and melted under  $1.4 \text{MN/m}^2$ .

\*United Mineral Co. 99.999%.

\*\*Fischer Scientific Reagent Grade

\*\*\*Carborundum Co., Falconer, N.Y.

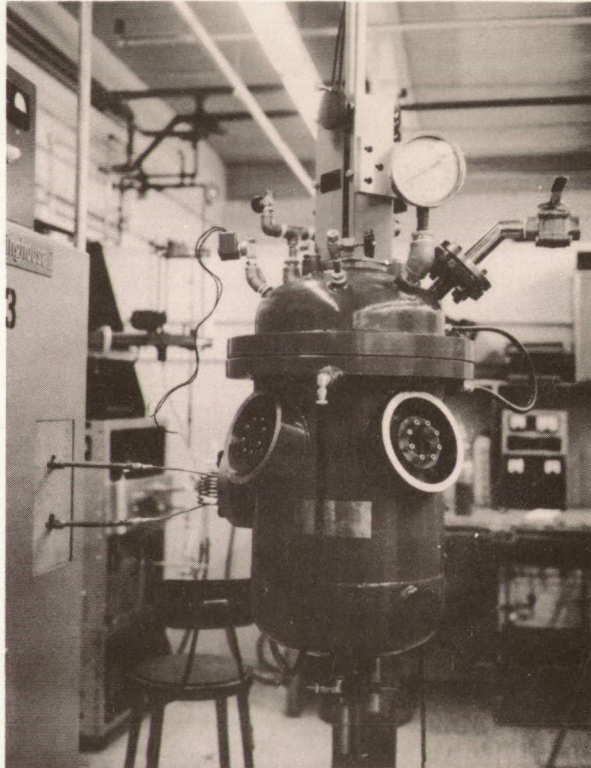


Fig. 2. High pressure crystal growth furnace

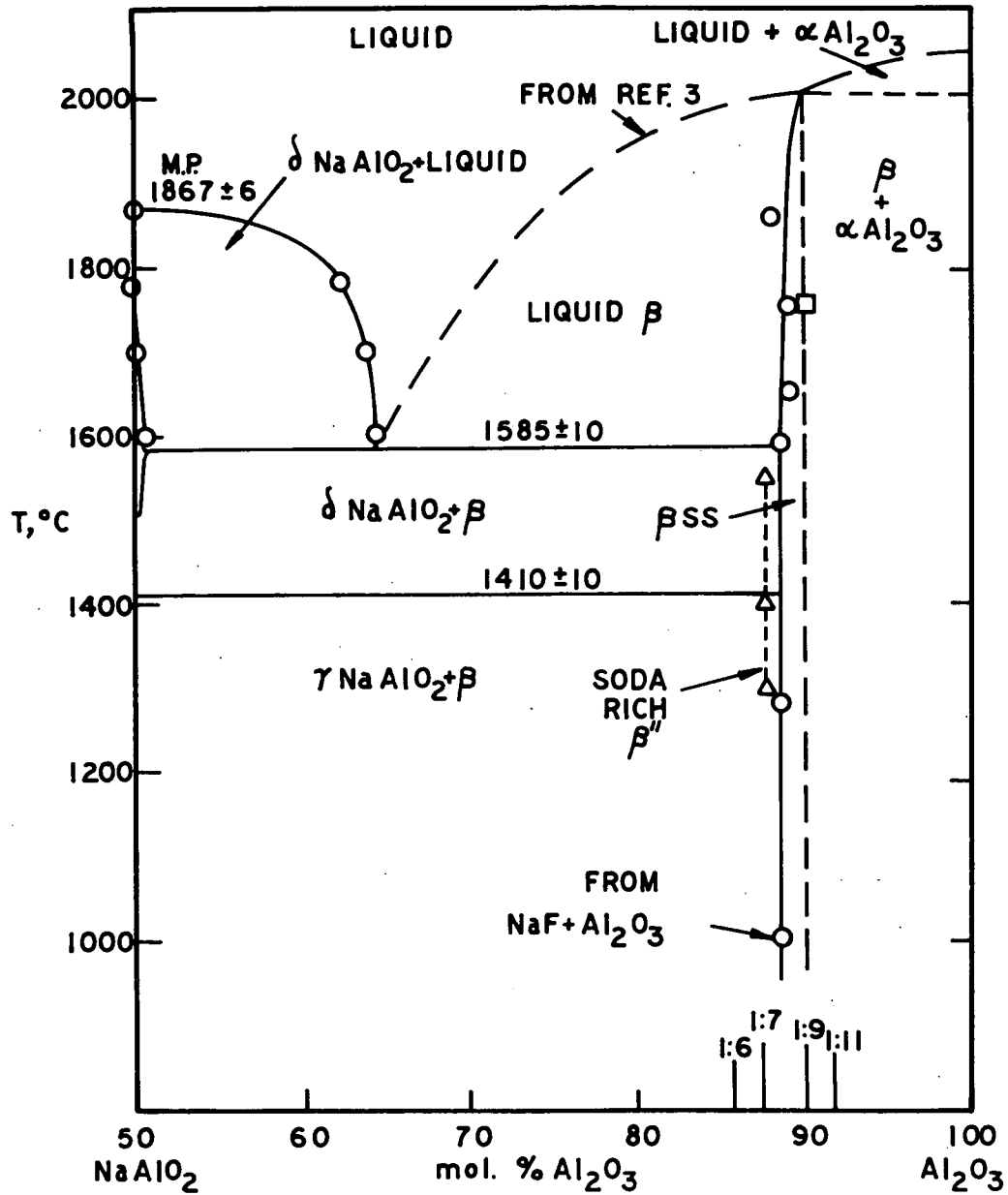


Fig. 3. Section of the Na<sub>2</sub>O-Al<sub>2</sub>O<sub>3</sub> pseudobinary diagram containing β and β''-alumina. Taken from reference 15.



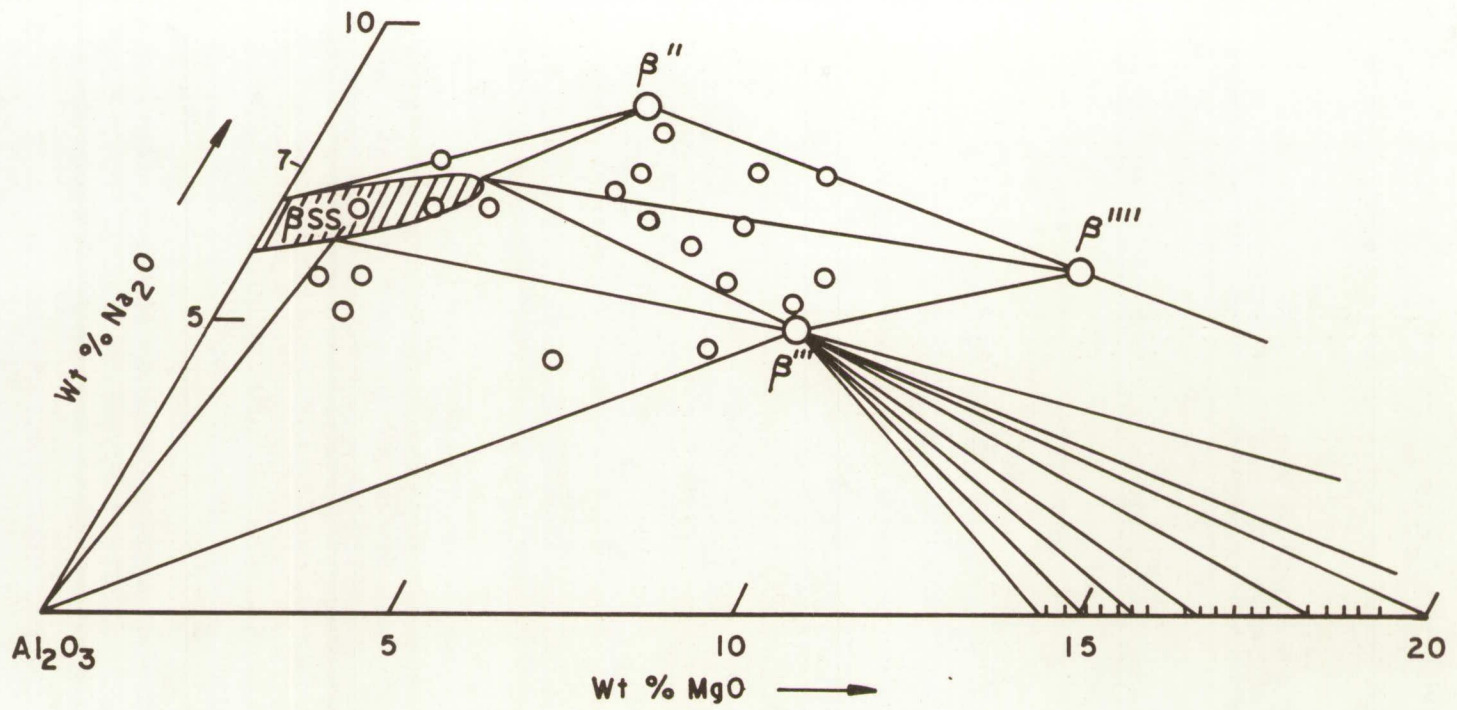


Fig. 4. Section of ternary system Na<sub>2</sub>O-Al<sub>2</sub>O<sub>3</sub>-MgO at 1700°C. Taken from reference 20.

A sample of Monofrax H used for starting material was submitted to semi-quantitative spectrographic analysis and the results are listed in Table I, together with the analysis of a 100% beta-alumina tube crystal grown from a melt of Monofrax H containing 3 mole % excess  $\text{Na}_2\text{O}$  ( $\sim \text{Na}_2\text{O}$ )<sub>0.13</sub> ( $\text{Al}_2\text{O}_3$ )<sub>0.87</sub>.

### C. Growth Process

Tubes and ribbons were grown from beta-alumina melts using the Tyco developed melt growth technique, "edge-defined, film-fed growth" (EFG)<sup>17, 18</sup> at speeds from 1 to 250 mm/hr. This technique is a modified pulling technique where the crystal grows remote from the bulk of the melt and crystal cross-section shapes can be arbitrarily chosen.

A crucible and die setup capable of growing sodium beta-alumina tubes was assembled from iridium components. The die used allowed the growth of tubes 5 mm outer dia  $\times$  3.5 mm inner dia. All sodium beta-alumina tube growth experiments were made using this size iridium tube setup (see Fig. 5).

All the growth experiments were made in argon at 1.1 to 1.6 MN/m<sup>2</sup> inside a water cooled pressure chamber using a 20 kW, 450 kHz rf or 20 kW, 10 kC, motor generator set as the power supply (see Figs. 1 and 2 and Table II). The 19 mm outer dia  $\times$  19 mm high  $\times$  0.5 mm wall iridium crucible, containing the sodium beta-alumina charge material and the iridium tube die were both placed inside a 2.5 cm outer dia. Mo crucible with W liner and susceptor to directly. Manual temperature control was by a multiturn potentiometer arrangement of the manufacturer's design.

Only iridium crucible and die components were used in contact with the beta-alumina melts. The seeds used to initiate growth were pieces of Monofrax H single crystals orientated in either the a- or c-axis direction (Tables II and III).

The crystals grown were examined using optical microscopy in transmitted and reflected light. The composition and occurrence of second phase in the crystals grown were determined using standard Debye-Scherrer examination of powdered samples and comparing the pattern and line intensities with standard films and literature values. Laué X-ray back reflection photography was used to study the crystallinity of the samples grown. Wet chemical analysis was used to determine the Na, Mg, and Al content of the grown crystals.

Sample: 1 = (Monofrax H) Beta-Alumina Na<sub>2</sub>O.11 Al<sub>2</sub>O<sub>3</sub>  
 2 = H.P.-19AF (top)  
 3 = H.P.-19AF (bottom)

Instrumentation: 3.4 Meter Mark IV Spectrograph

|    | 1         | 2    | 3    |    | 1  | 2   | 3   |    | 1  | 2  | 3  |    | 1  | 2  | 3  |
|----|-----------|------|------|----|----|-----|-----|----|----|----|----|----|----|----|----|
| Li | ND        | ND   | ND   | Zn | ND | ND  | ND  | Sb | ND | ND | ND | Lu | ND | ND | ND |
| Be | ND        | ND   | ND   | Ga | T  | 300 | 500 | Te | ND | ND | ND | Hf | ND | ND | ND |
| B  | ND        | 1    | 3    | Ge | ND | ND  | ND  | Cs | ND | ND | ND | Ta | ND | ND | ND |
| Na | M         | M-H  | M-H  | As | ND | ND  | ND  | Ba | ND | ND | ND | W  | ND | ND | ND |
| MG | VFT<br>FT | 0.5% | 0.5% | Rb | ND | ND  | ND  | La |    |    |    | Re | ND | ND | ND |
| Al | H         | H    | H    | Sr | ND | ND  | ND  | Ce |    |    |    | Os | ND | ND | ND |
| Si | L-M       | 1%   | M    | Y  |    |     |     | Pr |    |    |    | Ir | ND | ND | ND |
| K  | ND        | ND   | ND   | Zr | ND | ND  | ND  | Nd |    |    |    | Pt | ND | ND | ND |
| Ca | VFT       | 100  | 500  | Nb | ND | ND  | ND  | Sm |    |    |    | Au | ND | ND | ND |
| Ti | FT        | ND   | ND   | Mo | ND | 75  | 25  | Eu |    |    |    | Hg | ND | ND | ND |
| V  | ND        | ND   | ND   | Ru | ND | ND  | ND  | Gd |    |    |    | Ti | ND | ND | ND |
| Cr | VFT       | 0.25 | 0.25 | Rh | ND | ND  | ND  | Tb |    |    |    | Pb | ND | 10 | 10 |
| Mn | FT        | 5    | 10   | Pd | ND | ND  | ND  | Dy |    |    |    | Bi | ND | ND | ND |
| Fe | T-L       | 0.1% | 0.2% | Ag | ND | ND  | 0.1 | Ao |    |    |    | Tb |    |    |    |
| CO | ND        | 25   |      | Cd | ND | ND  | ND  | Er |    |    |    | U  |    |    |    |
| Ni | ND        | 10   | 10   | In | ND | ND  | ND  | Tm |    |    |    | P  | ND | ND | ND |
| Cu | VFT       | 1    | 2    | Sn | ND | ND  | ND  | Yb |    |    |    | Se |    |    |    |

\*Analysis performed by Jarrell-Ash Division, Fisher Scientific Company  
 Results in ppm except where % is indicated.

KEY: ND - Not Detected                      T 0.01% - 0.1%  
 VVFT < 0.0001%                            L 0.1% - 1%  
 VFT 0.0001% - 0.001%                    M 1% - 10%  
 FT 0.001% - 0.01%                        H > 10%

Table I. Semi-Quantitative Spectrographic Analysis

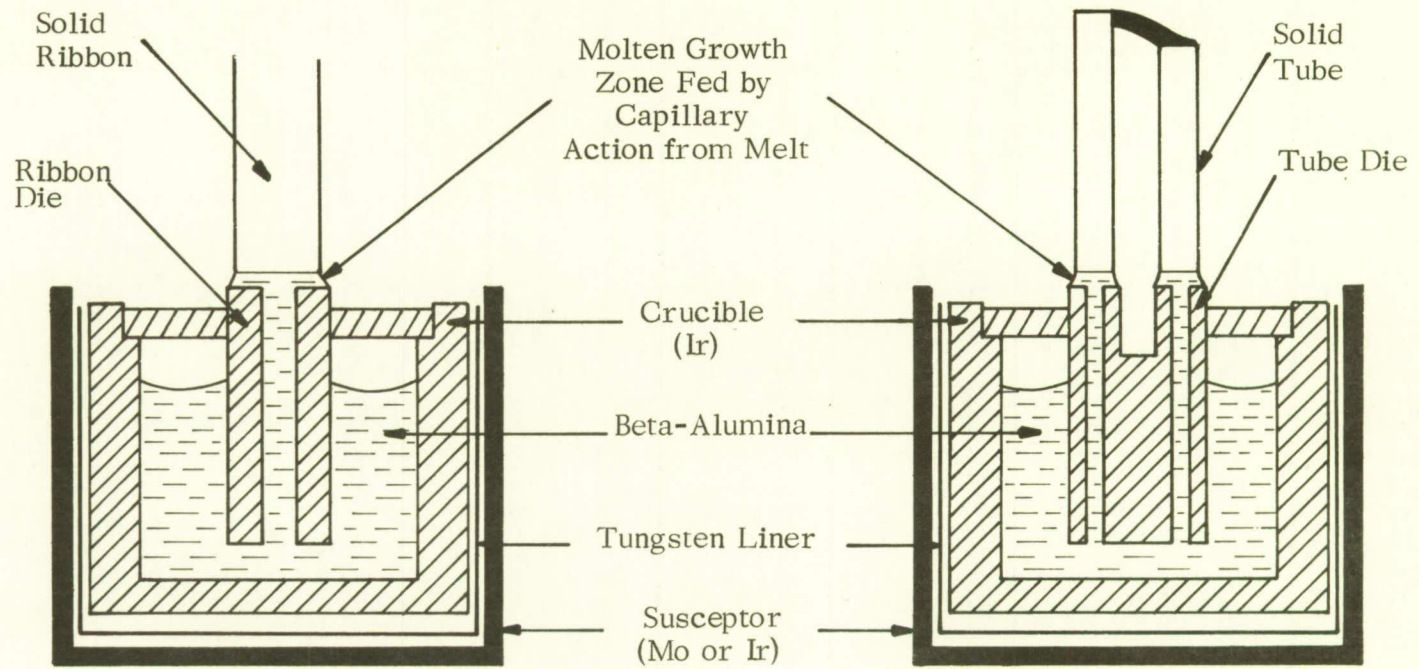


Fig. 5a. Schematic diagram showing crucible and die setup used for growth of ribbons

Fig. 5b. Schematic diagram showing crucible and die setup used for growth of tubes



Table II Tube Growth

| Run No.    | Afterheater Setup                                   | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation | Comments  | Results  |
|------------|---|--|------------------------|--------------------------------------|-------------------------|---------------------|---|--|
| H.P.-1AF*  | 2 cm dia × 5 cm long<br>Indium tube                 | 15.0   | 7.5                    | 1.2                                  | 2 - 12                  | c-axis              | Five starts, seeds broke<br>four times  | Tube crystal, 5.25 cm long,<br>100% β with α skin patches,<br>not single, no deposit from<br>growth.                   |
| H.P.-2AF*  | Mo tube, 2.5 cm O.D. ×<br>1.9 cm I.D. × 3.2 cm long | Same charge                                    | Same charge<br>2n run  | 1.4                                  | 2 - 4.5                 | c-axis              | Crystal clear as it grew just<br>above die ~1 mm, then be-<br>came cloudy       | Seed broke, not complete<br>tube 1.9 cm long, 100% β-<br>c-axis, with α skin at top,<br>bottom Na <sub>2</sub> O rich. |
| H.P.-3AF*  | Same  | Same charge                                    | Same charge            | 1.2 - 1.4                            | 2 - 12                  | c-axis              | Piece of full tube as seed,<br>sapphire tube around die                         | Tube crystal 3.7 cm long,<br>100% β, 3/4 of circum-<br>ference c-axis, rest a-axis<br>with α-skin.                     |
| H.P.-4AF*  | Same  | Same charge                                    | Same charge            | 1.2 - 1.4                            | 2                       | c-axis              | No shield on top after<br>heater, coil lower, seed<br>broke                     | Piece of tube crystal 4.7 mm<br>long not complete tube,<br>α + β poly with α skin                                      |
| H.P.-5AF*  | Same  | Same charge                                    | Same charge            | 1.4                                  | No growth               | -                   | No growth, held piece of<br>tube above die, then placed<br>on die               | Converted piece of β tube<br>to α-Al <sub>2</sub> O <sub>3</sub> when held on<br>die for 1.5 hrs.                      |
| H.P.-6AF*  | Same  | Same charge                                    | Same charge            | 1.4                                  | No growth               | -                   | Same as above but lower<br>afterheater temperature                              | Converted piece of single<br>crystal β tube to α-Al <sub>2</sub> O <sub>3</sub><br>3 hrs.                              |
| H.P.-7AF*  | No afterheater, coil even<br>with top of susceptor  | 18.0   | 6                      | 1.4                                  | 2 - 6                   | c-axis              | Seed almost complete<br>β-tube piece  | Tube crystal 4.1 cm long,<br>100% β, c-axis single, de-<br>posit on tube from growth.                                  |
| H.P.-8AF*  | No afterheater, coil even<br>with top of susceptor  | Same charge                                    | Same charge            | 1.4                                  | 2 - 7                   | a-axis              | Seeded on two sides, diffi-<br>culty spreading to complete<br>tube              | Tube crystal 4.1 cm long,<br>100% β, a-axis single<br>crystal, very clear at top<br>then less clear towards<br>bottom  |
| H.P.-9AF*  | No top shield on susceptor                          | Same charge                                    | Same charge            | 1.4                                  | 2 - 6                   | c-axis              | Large meniscus during<br>growth, no deposit 1 cm up<br>from bottom, then coated | Tube crystal 4.5 cm long,<br>first 6 mm c-axis then<br>shifts to a-axis 100% β -<br>alumina.                           |
| H.P.-10AF* | Ceramic insulator, sus-<br>cepted to Ir crucible    | Same charge                                    | Same charge            | 1.4 - 1.7                            | 2 - 9                   | c-axis              | Seed broke during seeding,<br>lifted up with α-filament                         | 5 cm long tube crystal,<br>3/4 dia c-axis, rest a-axis,<br>100% β.   |
| H.P.-11AF  | Ceramic insulator, sus-<br>cepted to Ir crucible    | Same charge                                    | Same charge            | 1.0                                  | 2 - 3                   | c-axis              | Developed horizontal<br>cracks as it grew                                       | Tube ~6.5 cm long, not<br>single, top 98% β-bottom<br>2% β   |

Table II (continued)

| Run No.    | Afterheater Setup                               | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation | Comments  | Results  |
|------------|---|--|------------------------|--------------------------------------|-------------------------|---------------------|---|--|
| H.P.-12AF† | Ceramic setup Mo shield<br>in coil              | 11.0   | 2                      | 1.0                                  | 2 - 9                   | c-axis              | Two starts, seed broke<br>first time  | X-tal froze to die, 1.5 cm<br>long tube 80% β - not single   |
| H.P.-13AF† | Mo susceptor, low in coil                       | 11.0   | 2                      | 1.0                                  | 2 - 27                  | c-axis              | New crucible + tube die -<br>pulled crucible empty                              | Tube crystal 6.3 cm long,<br>poly, 70% β - 30% α, light<br>blue color  |
| H.P.-14AF† | Mo susceptor, low in coil                       | 11.0   | 1.5                    | 0.7                                  | 2 - 27                  | c-axis              | Seed broke, froze, re-<br>dipped, cracked above top<br>shield                   | 5.0 cm tube crystal, light<br>blue color, poly, 80% β -<br>20% α - alumina   |
| H.P.-15AF† | Lower in coil                                   | 13.0   | 1.5                    | 1.0                                  | 2 - 9                   | c-axis              | Difficulty spreading, re-<br>dipped twice                                       | 4.8 cm tube crystal, poly<br>99 - 100% α - Al <sub>2</sub> O <sub>3</sub>  |
| H.P.-16AF† | Lower in coil                                   | 13.0   | 2                      | 1.0                                  | 2 - 9                   | c-axis              | Seed broke twice, had to<br>abort run early                                     | 6 mm long tube, bluish in<br>color, poly, α + β - alumina  |
| H.P.-17AF† | Same  | 13.0 + 2 w/o MgO                               | 2                      | 1.0                                  | 2 - 27                  | c-axis              | Grew to empty crucible<br>(new crucible)  | 6.0 cm long tube crystal,<br>poly, α - alumina   |
| H.P.-18AF† | Same  | 13.0 + 2 w/o MgO                               | 1.5                    | 1.0                                  | 2 - 27                  | c-axis              | Grew to empty old crucible  | 5.0 cm long tube, poly tube,<br>α - β alumina  |
| H.P.-19AF† | Mo susceptor, no after-<br>heater               | 13.0   | 6                      | 1.0                                  | 1 - 27                  | c-axis              | Seed broke on dipping, set-<br>up new seed                                      | 10.0 cm long tube crystal,<br>did not start c-axis single,<br>bottom 5.5 cm a-axis, 100%<br>β - alumina                |
| H.P.-20AF† | Same  | Same charge                                    | Same charge            | 1.0                                  | 1 - 63                  | c-axis              | Cracking appeared in tube<br>3 mm above die, emptied<br>crucible                | 11.4 cm tube crystal, light<br>blue color, poly α + β -<br>alumina, 80% β  |
| H.P.-21AF† | No shield on top of sus-<br>ceptor              | 20.0   | 9.1                    | 1.0                                  | 1 - 2                   | c-axis              | Four seeds broke before<br>growth started, horizontal<br>cracks, 3 mm above die | 7.0 cm tube crystal 100%<br>β - alumina, 7/8 c-axis at<br>seeding 1/8 a-axis, badly<br>cracked, deposit from<br>growth |
| H.P.-22AF† | 5-stack heat shield<br>assembly on Mo susceptor | Same charge                                    | Same charge            | 1.0                                  | 1 - 2                   | c-axis              | Seed broke, setup new seed,<br>tube cracked during growth                       | 5.7 cm tube crystal, poly,<br>85% β - 15% α alumina,<br>deposit from growth  |
| H.P.23AF † | 5-stack heat shield<br>assembly on Mo susceptor | Same charge                                    | Same charge            | 1.0                                  | 1 - 27                  | c-axis              | Cracked during growth   | 10.8 cm tube crystal, poly,<br>badly cracked, 35% β -<br>75% α - alumina   |
| H.P.-24AF† | Ceramic setup, no after-<br>heater              | 15.0   | 6 (H.P.)**             | 1.2                                  | 1 - 3                   | c-axis              | Bad alignment, caused poor<br>seeding - shield being picked<br>up - aborted run | 2.0 cm tube, contains α -<br>patches on surface, poly c<br>+ a-axis β, white deposit on<br>crystal                     |

Table II. (continued)

| Run No.    | Afterheater Setup  | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation | Comments  | Results  |
|------------|--|--|------------------------|--------------------------------------|-------------------------|---------------------|---|--|
| H.P.-25AF† | Ceramic setup, two more shields                                | 15.0   | 6 (H.P.)**             | 1.2 - 1.4                            | 1                       | c-axis              | New crucible, seed broke aborted run  | 6 mm tube crystal, $\alpha$ skin from being frozen to die  |
| H.P.-26AF† | Ceramic setup, two more shields                                | 15.0   | Same charge            | 1.2 - 1.4                            | 1 - 2                   | a-axis              | Developed cracks, above top shield  | 3.5 cm tube crystal, poly, white deposit from growth, badly cracked 1% $\beta$ - 99% $\alpha$ -alumina                 |
| H.P.-27AF  | Ceramic setup, Al <sub>2</sub> O <sub>3</sub> tube afterheater | 15.0   | Same charge            | 1.2                                  | 1 - 2                   | a-axis              | Crystal kept freezing, crucible tilted, aborted run, crucible melted near bottom        | 3 mm piece - 100% $\alpha$ -alumina  |
| H.P.-28AF  | Mo susceptor, low in coil                                      | 15.0   | 6 (H.P.)**             | 1.2 - 1.4                            | 1 - 9                   | a-axis              | Seed broke, redipped, patchy area where tube connected, cracking                        | 15.8 cm tube crystal, emptied crucible, poly $\alpha + \beta$ , blackish deposit.                                      |
| H.P.-29AF  | Mo susceptor, high in coil                                     | 20.0   | 6.8                    | 1.4                                  | 2 - 6                   | a-axis              | Large meniscus, difficulty spreading to complete tube                                   | 13.2 cm tube crystal 100% $\beta$ - alumina a-axis, gray deposit from growth run                                       |
| H.P.-30AF  | Mo susceptor, higher in coil                                   | 20.0   | 6.8                    | 1.4                                  | 1 - 2                   | c-axis              | Horizontal cracking ~ 2 mm above die, crystal froze, seed broke                         | 1.1 cm long not complete tube, 100% $\beta$ - alumina c-axis, slight cracks large facet where not connected tube       |
| H.P.-31AF  | Mo susceptor + 5-stack heat shields                            | 20.0   | Same charge            | 1.4                                  | 1 - 9                   | c-axis              | Slight horizontal cracks ~ 2 mm above die, puller stalled, restarted                    | 12.7 cm tube crystal, 100% $\beta$ alumina c-axis first 10.0 cm; then a-axis, gray deposit from growth                 |
| H.P.-32AF  | Mo susceptor + 7-stack heat shields                            | 20.0   | 6.0                    | 1.3 - 1.4                            | 1 - 6                   | c-axis              | Two seeds broke, slight cracks above top shield, crystal froze, seed broke, aborted run | 10.0 cm long tube crystal, 100% $\beta$ - alumina, started c-axis, $\alpha$ skin nucleated a-axis, deposit from growth |
| H.P.-33AF  | Mo susceptor + 7-stack heat shields                            | Same charge                                    | Same charge            | 1.3 - 1.4                            | 1 - 4.5                 | c-axis              | Patchy area on tube front before it becomes connected                                   | Difficulty causing tube to close, froze, broke seed, 3 mm long, c-axis cleavage $\alpha$ -skin                         |
| H.P.-34AF  | Mo susceptor + 5 stack heat shields                            | Same charge                                    | Same charge            | 1.4                                  | 1 - 18                  | c-axis              | Patchy area formed on tube ~ 2 mm above die   | Emptied crucible, 10.0 cm tube crystal, 100% $\beta$ , a + c-axis, $\alpha$ -patches, deposit on tube                  |

Table II. (continued)

| Run No.   | Afterheater Setup                      | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation | Comments  | Results   |
|-----------|--|--|------------------------|--------------------------------------|-------------------------|---------------------|---|---|
| H.P.-35AF | Mo susceptor + 5 stack<br>heat shields | 20.0   | 6.8                    | 1.3 - 1.4                            | 1 - 2                   | c-axis              | Broke two seed dipping<br>slight horizontal cracking,<br>large meniscus                   | 15.0 cm tube crystal, 100%<br>β-alumina, c-axis single,<br>gray deposit on tube   |
| H.P.-36AF | Mo susceptor + 5 stack<br>heat shields | 15.0 + 2 w/o MgO                               | 6.8                    | 1.3 - 1.4                            | 1                       | c-axis              | Seed broke at dipping, new<br>seed, kept encountering<br>freezing problem, aborted<br>run | 3 mm long, not complete<br>tube, c-axis cleavage,<br>α-skin   |
| H.P.-37AF | Mo susceptor + 5 stack<br>heat shields | Same charge                                    | Same charge            | 1.3 - 1.4                            | 1 - 24                  | c-axis              | Seed broke, new seed<br>patchy area on front of tube<br>after 5 cm of growth              | 16.3 cm tube, 100% β -<br>alumina, first 5 cm, c-axis<br>then α-Al <sub>2</sub> O <sub>3</sub> patch nucle-<br>ated a-axis grain, deposit |
| H.P.-38AF | Mo susceptor + 5 stack<br>heat shields | Same charge                                    | Same charge            | 1.3 - 1.4                            | 1 - 27                  | c-axis              | X-tal froze, aborted run<br>early   | 2 cm long tube, 100% β -<br>alumina, poly α + c-axis  |
| H.P.-39AF | Mo susceptor + 5 stack<br>heat shields | Same charge                                    | Same charge            | 1.3 - 1.4                            | 1 - 27                  | c-axis              | Froze to die, ended run   | 7 cm tube, coated with gray<br>deposit from growth run,<br>poly, α + β-alumina  |
| H.P.-40AF | Mo susceptor + 5 stack<br>heat shields | 15.0   | 5.0 (H.P.) **          | 1.3 - 1.4                            | 1 - 6                   | c-axis              | Seed broke, kept having<br>freezing problems, aborted<br>run                              | 1 cm long, 3 mm complete<br>tube, poly α + β-alumina  |
| H.P.-41AF | Mo susceptor + 5 stack<br>heat shields | Same charge                                    | Same charge            | 1.3 - 1.4                            | 2 - 27                  | c-axis              | Run emptied crucible of<br>material   | 18.0 cm long tube, poly α<br>+ β-alumina, gray deposit<br>from growth   |

\*450 kHz 20 KW induction unit

†10 Kc 20 KW motor generator

\*\*High purity laboratory prepared starting material 99.999% Al<sub>2</sub>O<sub>3</sub> microspheres and 99.999 sodium carbonate.

Table III. Ribbon Growth

| Run No.  | Afterheater Setup                  | Melt Composition (mole % Na <sub>2</sub> O) | Charge Size (grams)    | Gas Pressure (MN/m <sup>2</sup> ) | Growth Speed (mm/hr) | Seed Orientation | Comments  | Results  |
|----------|------------------------------------|---|------------------------|-----------------------------------|----------------------|------------------|---|--|
| H.P.-R1  | Mo susceptor, 5-stack heat shields | 20.0  | 6.8                    | 1.3 - 1.4                         | 1                    | c-axis           | Ribbon kept freezing, broke seed  | 6 mm long ribbon crystal, c-axis single, $\alpha$ -skin patch, rest 100% $\beta$   |
| H.P.-R2  | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.4                               | 1                    | c-axis           | Freezing caused seed to break, ended run  | 3 mm long piece of c-axis, single, 100% $\beta$ -alumina, very clear   |
| H.P.-R3  | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.4                               | 1 - 3 - 1            | c-axis           | Faster speed caused large meniscus and ribbon to grow smaller than die          | 3.6 cm ribbon crystal, 100% $\beta$ -alumina, c-axis single, very clear at bottom, gray deposit from growth                    |
| H.P.-R4  | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.3 - 1.4                         | 2 - 6 - 2            | a-axis*          | c-axis 90° to ribbon edge, ribbon has opaque patch on top front face            | 10.0 cm ribbon crystal, 100% $\beta$ -alumina a-axis single, small crack up middle, $\alpha$ -patch at top, gray deposit.      |
| H.P.-R5  | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.4                               | 1 - 27               | c-axis           | Seed broke upon dipping. Set new seed, ribbon does not appear clear as it grows | 17.7 cm long ribbon, emptied crucible, poly $\alpha$ + $\beta$ -alumina, gray deposit from growth.                             |
| H.P.-R6  | Mo susceptor, 5-stack heat shields | 30.0  | 6.8                    | 1.35 - 1.4                        | 1.0                  | c-axis           | Ribbon did not look clear while growing, seed broke                             | 3.8 cm long ribbon, opaque in color, not single, gray deposit from growth  |
| H.P.-R7  | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.55                              | 1-2                  | c-axis           | Ribbon does not look clear, will not grow size of die                           | 6.0 cm long, opaque two phase, gray deposit from growth run  |
| H.P.-R8  | Mo susceptor, 5-stack heat shields | Adjusted charge to 20.0                     | 6.8<br>Adjusted charge | 1.35 - 1.4                        | 1 - 9                | c-axis           | Ribbon appeared to be growing clear for 3.8 cm then less clear, froze           | 9.5 cm long ribbon, gray deposit from growth, c-axis $\beta$ for 3.8 cm., then poly $\alpha$ and c-axis, crystal badly cracked |
| H.P.-R9  | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.4                               | 6 - 27               | c-axis           | Grew to empty crucible, ran out of pulling stroke                               | 20.0 cm long ribbon, started c-axis single first 2.5 cm then poly $\alpha$ + $\beta$ , gray coating from growth run            |
| H.P.-R10 | Mo susceptor, 5-stack heat shields | Same charge                                 | Same charge            | 1.1 - 1.4                         | 6 - 27               | c-axis           | X-tal froze, redipped, crucible emptied   | 8.4 cm long ribbon, $\alpha$ + $\beta$ -alumina, cracked up middle, deposit from growth  |

Table III (continued)

| Run No.  | Afterheater Setup                  | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation | Comments   | Results   |
|----------|------------------------------------|--|------------------------|--------------------------------------|-------------------------|---------------------|--|---|
| H.P.-R11 | Mo susceptor, 5-stack heat shields | 20.0   | 6.8                    | 1.3 - 1.4                            | 1                       | c-axis              | Seeded, left to grow over night, seed caught on shield, broke  | No crystal, 14 hrs at growth temperature  |
| H.P.-R12 | Mo susceptor, 5-stack heat shields | Same charge                                    | Same charge            | 1.3 - 1.45                           | 1 - 2                   | c-axis              | Cleaned gray deposit from chamber, patchy area on top two sides of ribbon face                                     | 3.2 cm long ribbon, 100% $\beta$ -alumina, poor seeding nucleated a-axis poly growth transparent patches at top, gray deposit from growth.  |
| H.P.-R13 | Mo susceptor, 5-stack heat shields | Same charge                                    | Same charge            | 1.5                                  | 1 - 3                   | c-axis              | Patchy area on right side of ribbon face just after  | 3 mm long, exhibits c-axis cleavage, $\alpha$ -skin on right front side   |
| H.P.-R14 | Mo susceptor - one shield          | Same charge                                    | Same charge            | 1.55                                 | 2 - 275                 | c-axis              | Grew very clear, small meniscus, some bubbles in crystal, increased speed after 1.2 cm of growth                   | Did not start single c-axis, two a-axis grains nucleated, poly 100% $\beta$ -alumina at high growth speed, gray deposit on ribbon, 22.0 cm long, clear area cracked.              |
| H.P.-R15 | Mo susceptor - one shield          | Same charge                                    | Same charge            | 1.55 - 1.5                           | 12 - 27                 | a-axis*             | Grew very clear until speed increased, ribbon froze  | 5.7 cm long ribbon, not single, as three grains nucleated on seeding, 100% $\beta$ -alumina, very clear 1.9 cm, then cloudy, covered with gray deposit, cracked where grains meet |
| H.P.-R16 | Mo susceptor - one shield          | Same charge                                    | Same charge            | 1.6 - 1.5                            | 12 - 38                 | a-axis*             | Increased speed after 6 mm of growth, ribbon froze, seed broke, aborted run  | 5.5 cm long ribbon, 100% $\beta$ -alumina, not single, several a-axis grains, very clear 6 mm, then milky, gray deposit on ribbon   |
| H.P.-R17 | Mo susceptor - one shield          | 20.0   | 6.8                    | 1.45                                 | 1 - 18                  | a-axis*             | Freezing problems, ribbon developed cracks ~2 mm above Mo shield, developed vertical cracks when growth terminated | 5.0 cm ribbon crystal, fairly transparent, 100% $\beta$ -alumina, several a-axis grains large vertical crack, gray deposit on ribbon  |
| H.P.-R18 | 3-stack Mo heat shield assembly    | Same charge                                    | Same charge            | 1.55 - 1.45                          | 1 - 18                  | a-axis*             | Heated ribbon crystal to melt off of die and terminate run, caused internal flaws                                  | 5.0 cm ribbon, fairly transparent, 100% $\beta$ -alumina, not single, several a-axis grains, cracked, gray coating  |

Table III. (continued)

| Run No.  | Afterheater Setup                       | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation  | Comments  | Results  |
|----------|---|--|------------------------|--------------------------------------|-------------------------|----------------------|---|--|
| H.P.-R19 | 3-stack Mo heat shield assembly         | Same charge                                    | Same charge            | 1.55 - 1.45                          | 1 - 18                  | a-axis*              | Poor seeding, started again, would not grow size of die at faster speed, aborted run                                    | 3.2 cm ribbon, 100% $\beta$ -alumina, a-axis single, clear, not uniform width, slight vertical cracks, gray deposit on ribbon                                      |
| H.P.-R20 | Set up higher in coil - 6 mm            | Same charge                                    | Same charge            | 1.55 - 1.45                          | 1                       | a-axis*<br>tube seed | Seed broke on dipping, new seed c-axis tube on side, could not grow, freezing problems, crucible over-heated - melted   | 2 mm long piece, very clear, single a-axis, 100% $\beta$ -alumina  |
| H.P.-21  | 3-stack Mo shields, higher in coil      | 15.0 + 2 w/o MgO<br>0.15 + 2 w/o MgO           | 6.8                    | 1.4                                  | 1 - 4.5 - 27            | a-axis*<br>tube seed | Tube seed prevented clear view at seeding, grew first 10.0 cm at 4.5 mm/hr, ran out of pulling stroke                   | 19.5 cm long ribbon, 100% $\beta$ -alumina, not single, cold start nucleated several a-axis grains, clear, two large cracks, gray surface deposit                  |
| H.P.-R22 | 3-stack Mo shields, 6 mm lower in coil  | Same charge                                    | Same charge            | 1.4                                  | 1 - 27                  | a-axis*<br>tube seed | Dipped hot, after 6 mm of growth cloudy area formed on ribbon edge  | 10.0 cm long ribbon, started a-axis single for 1.9 cm, $\alpha$ -Al <sub>2</sub> O <sub>3</sub> patch, slight crack, rest $\alpha$ + $\beta$ alumina, gray deposit |
| H.P.-R23 | 3-stack Mo shields, 3 mm higher in coil | Same charge                                    | Same charge            | 1.55 - 1.45                          | 1 - 27                  | a-axis*              | Cloudy area on ribbon face + edges, difficulty growing size of die, emptied crucible                                    | 5.0 cm long ribbon, poly $\alpha$ + $\beta$ -alumina, $\alpha$ -skin patches + opaque inclusions, not size of die, gray deposit                                    |
| H.P.-R24 | 3-stack Mo shields, lower in coil       | 16.3 + 5 w/o MgO                               | 6.8                    | 1.55 - 1.45                          | 1 - 3 - 27              | a-axis*              | Ribbon cracked as it grew above top shield, turned poly at 27 mm/hr, 6 mm long  | 3.8 cm long ribbon, cracked up middle top to bottom, not single, several a-axis grains, very clear, gray surface deposit   |
| H.P.-R25 | 3-stack Mo shields, lower in coil       | Same charge                                    | Same charge            | 1.55 - 1.45                          | 1 - 27                  | c-axis               | Grew in c-axis to see if this would prevent vertical cracking after 1.2 cm of growth ribbon did not appear to be c-axis | 13.3 cm ribbon, ribbon cracked when removed from die, c-axis first 6 mm then shifted a-axis, after 1.9 cm single a-axis, quite clear, gray deposit                 |

Table III (continued)

| Run No.  | Afterheater Setup  | Melt Composition (mole % Na <sub>2</sub> O) | Charge Size (grams) | Gas Pressure (MN/m <sup>2</sup> ) | Growth Speed (mm/hr) | Seed Orientation | Comments   | Results  |
|----------|--|---|---------------------|-----------------------------------|----------------------|------------------|--|--|
| H.P.-R26 | Mo susceptor, 5-stack heat shield                          | Same charge                                 | Same charge         | 1.55 - 1.45                       | 1 - 12               | a-axis*          | 3 mm between, first and second shield to try and prevent vertical cracking                     | 5.5 cm ribbon, started single but after 5 mm sec phase nucleated three grains, cracked where grains met, very clear, gray coating on ribbon                              |
| H.P.-R27 | Slotted 6-stack heat shield assembly                       | Same charge                                 | Same charge         | 1.45                              | 1 - 27               | a-axis*          | Slotted heat shields to try and even temperature gradient, looks cloudy where seeded           | Emptied crucible, 12.7 cm ribbon, second phase nucleated several a-axis grains cracked at top middle, uncracked at bottom, clear, gray deposit                           |
| H.P.-R28 | Slotted 6-stack heat shield assembly                       | 16.8 + 2 w/o MgO                            | 6.8                 | 1.55 - 1.45                       | 1 - 6                | a-axis*          | Top of ribbon cloudy, difficult to grow size of die, did not crack                             | 3.8 cm ribbon, first 4 mm contains second phase, started single, then it developed two a-axis grains, 1.5 cm size of die, rest not, uncracked, gray deposit from growth. |
| H.P.-R29 | Slotted 6-stack heat shield assembly                       | Same charge                                 | Same charge         | 1.55 - 1.45                       | 3                    | a-axis*          | Ribbon does not appear single after 1.2 cm   | 10.0 cm ribbon, cracked where two a-axis grains meet, last 3.8 cm very clear, above gray coating, 100% β   |
| H.P.-R30 | Slotted 6-stack heat shield assembly                       | Same charge                                 | Same charge         | 1.55 - 1.45                       | 6                    | a-axis*          | White area on right hand edge of ribbon just after seeding, does not appear single, seed broke | 5.1 cm ribbon, quite clear, contains internal second phase, not cracked, not single, gray coating, before anneal.  |
| H.P.-R31 | Slotted 6-stack heat shield assembly                       | Same charge                                 | Same charge         |                                   | 3 - 12               | a-axis*          | Does not appear single, growth emptied crucible  | 16.0 cm ribbon, not single, contains second phase. α+β - alumina, not cracked, gray coating from growth  |
| H.P.-R32 | Slotted 6-stack heat shield assembly - 6 mm higher in coil | 16.9 + 2 w/o MgO**                          | 5.8**               | 1.55 - 1.45                       | 3 - 12               | a-axis*          | Seed broke dipping, new seed, spread slowly  | 10.0 cm long ribbon, a-axis single, 100% β - alumina, uncracked, fairly clear, gray deposit from growth  |



Table III (continued)

| Run No.  | Afterheater Setup  | Melt Composition<br>(mole % Na <sub>2</sub> O) | Charge Size<br>(grams) | Gas Pressure<br>(MN/m <sup>2</sup> ) | Growth Speed<br>(mm/hr) | Seed<br>Orientation | Comments   | Results  |
|----------|--|--|------------------------|--------------------------------------|-------------------------|---------------------|--|--|
| H.P.-R33 | Slotted 6-stack heat shield assembly - 6 mm higher in coil | Same charge                                    | Same charge            | 1.55 - 1.45                          | 3 - 15                  | a-axis*             | Ribbon froze after 3.5 cm, heated and increased pulling speed to 15 mm/hr. grew overnight  | 15.2 cm ribbon crystals, not size of die for more than 6.3 cm. then tapered to point. a-axis single. 100% $\beta$ -alumina. gray deposit on surface. before anneal. uncracked. |
| H.P.-R34 | Slotted 6-stack heat shield assembly - 6 mm higher in coil | Same charge                                    | Same charge            | 1.55 - 1.45                          | 3 - 20                  | a-axis*             | Ribbon froze during night. causing seed to break   | 4.5 cm ribbon. uncracked. not single. $\alpha + \beta$ -alumina. $\alpha$ -skin coatings   |
| H.P.-R35 | Slotted 6-stack heat shield assembly - 6 mm higher in coil | Same charge                                    | Same charge            | 1.55 - 1.45                          | 6 - 27                  | a-axis*             | Growth emptied crucible  | 11.4 cm ribbon. whitish opaque, poly $\alpha + \beta$ -alumina. annealed to remove gray coating  |
| H.P.-R36 | Iridium susceptor, 5-stack slotted shields                 | 16.9 + 2 w/o MgO**                             | 6.8**                  | 1.55 - 1.45                          | 3 - 6                   | a-axis*             | 5-stack shield assembly became tilted, shut down to realign, part of seed broke, redipped, ribbon picking up shield, aborted run | 0.47 cm ribbon. single. very clear, a-axis. 100% $\beta$ -alumina. not size of die   |
| H.P.-R37 | Iridium susceptor, 5-stack slotted shields                 | Same charge                                    | Same charge            | 1.55 - 1.45                          | 3 - 12 - 6              | a-axis*             | After ~ 2.5 cm, ribbon started to cut in at 12 mm/hr slowed to 6 mm/hr   | 6.3 cm ribbon. size of die for 2.5 cm. then smaller for 0.9 cm. then size of die. a-axis single, clear, slight crack, white coating from growth, before anneal                 |
| H.P.-R38 | One less iridium shield                                    | Same charge                                    | Same charge            | 1.55 - 1.45                          | 3 - 6                   | a-axis*             | Ribbon froze, seed broke, aborted run  | 2.5 cm ribbon, a-axis single, clear, uncracked. 100% $\beta$ -alumina, white coating before anneal   |
| H.P.-R39 | One less iridium shield - 6 mm higher in coil              | Same charge                                    | Same charge            | 1.55 - 1.45                          | 3 - 6 - 18              | a-axis*             | Ribbon started to freeze; nucleated 2nd grain, slight vertical crack in middle   | 6.2 cm long ribbon. two a-axis grains. 100% $\beta$ -alumina, slight vertical crack in middle, clear, white coating from growth run.   |

\*c-axis 90° to ribbon edge

\*\*Monofrax H was selected high quality clear crystal.

#### IV. CRYSTAL GROWTH EXPERIMENTS

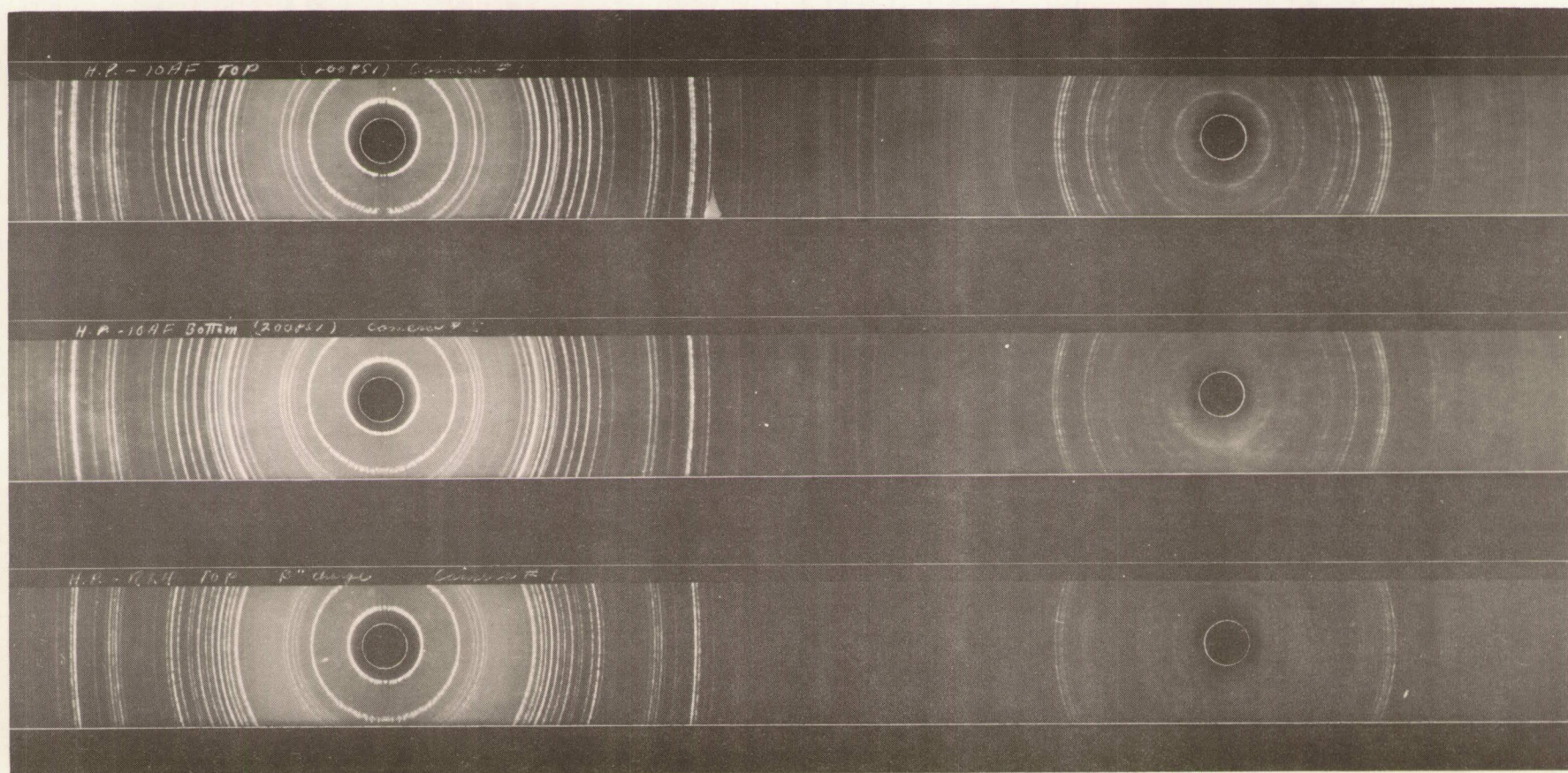
##### A. Discussion and Objectives

Crystal structure analysis of  $\beta$ -alumina indicates an "ideal" stoichiometry  $\text{Na}_2\text{O} \cdot 11 \text{Al}_2\text{O}_3$  (8.33 mole %  $\text{Na}_2\text{O}$ ). However, analyses by many investigators have shown that the compound usually contains more than the "ideal" quantity of  $\text{Na}_2\text{O}$ . In the  $\text{NaAlO}_2$ - $\text{Al}_2\text{O}_3$  diagram of Weber and Venero (Fig. 3), for example, the  $\beta$ -alumina phase field is shown with a breadth corresponding to 10.0 to 11.2 mole %  $\text{Na}_2\text{O}$ . More recently, Harata<sup>16</sup> reported that the single phase region extends from 10.9 to 13.7 mole %  $\text{Na}_2\text{O}$ .

There are considerable difficulties associated with the crystal growth of sodium beta-alumina including its peritectic formation from slightly soda-rich liquid and  $\alpha$ -alumina at about  $2240 \pm 6^\circ\text{K}$ <sup>15, 19</sup> and the  $\sim 5$  torr pressure of  $\text{Na}_2\text{O}$  vapor over  $\beta$ -alumina<sup>2</sup> at the peritectic temperature. To limit the loss of  $\text{Na}_2\text{O}$  from the melt and hot crystal, growth was conducted in a high pressure chamber containing 1.1 to 1.6 MN/m<sup>2</sup> argon (Figs. 1, 2). Excess  $\text{Na}_2\text{O}$  was placed in the crucible charge (see Table III). This compensated for vapor losses and depressed the freezing temperature below the peritectic temperature.

To grow crystals of different compositions, both melt composition and chamber pressure were varied (Table II). Because the solidus in the soda-rich region has a finite slope (Fig. 3), it was also possible to obtain crystals with differing  $\text{Na}_2\text{O}$  content by varying the freezing temperature via melt composition in the range of 10 to 35 mole %  $\text{Na}_2\text{O}$ . The  $\text{Na}_2\text{O}$  concentration in the liquid of the meniscus was determined by the balance of the rates of rejection from the interface and depletion by vaporization. The composition of crystals grown by this technique is therefore extremely rate dependent (see Fig. 6).

Fig. 6. Compares Debye-Scherrer films of (from top to bottom) 100% beta-alumina, beta-alumina and sodium beta alumina  $\beta''$  and sodium magnesium beta-alumina and sodium magnesium  $\beta''$ . Top film: Debye-Scherrer of H.P.-10AF; middle film: H.P.-10AF bottom and bottom film: Debye-Scherrer of H.P.-R24 top



Some of the crystals grown under the preceding contracts<sup>6, 7</sup> cracked in the steep temperature gradient above the die. Under this program an attempt to alleviate the cracking problem was made by the use of an afterheater. The afterheater in several cases was a metal tube heated by induction from the same rf source that heated the crucible and in some cases was a series of stacked disk shields. Numerous growth trials were necessary to achieve an optimum design (see Table II, III).

Another problem encountered in the preceding work was the condensation of  $\text{Na}_2\text{O}$  vapor on the cooler regions of the crystal. The afterheater was effective in reducing this problem by increasing the distance between the source of the  $\text{Na}_2\text{O}$  vapor and the cool crystal surfaces. Baffles or shields were also used to try and trap the condensing vapor.

Both a-axis and c-axis tubes of  $\beta$ -alumina have been grown. Two phase mixtures of  $\alpha$  and  $\beta$ -alumina resulted when the melt was soda-poor. Because the presence of a high tensile strength second phase such as  $\alpha$ -alumina was hoped might strengthen a  $\beta$ -alumina crystal without seriously degrading its conductivity, some mixed  $\alpha$ - $\beta$  crystals were prepared for study.

## B. Results

### 1. Sodium $\beta$ -alumina tubes

Table II lists all the afterheater arrangements and growth variables used for the growth of beta-alumina tubes. It can be seen from Table II, that although the use of a suscepting tube afterheater prevented cracking and the soda-rich deposit from forming on the tubes, it had the detrimental effect of causing opaque white skin patches to form on the tubes. Figs. 7a, and 7b, show a tube with the opaque white skin patch formed at the top. At faster growth speeds the opaque no longer formed, but the tube crystal started to pick up a second phase. The isolated opaque skin patch was found by the Debye-Scherrer X-ray technique to be  $\alpha$ - $\text{Al}_2\text{O}_3$ . The rest of the tube was beta-alumina.

Several growth experiments were performed from an 18 mole % soda-rich melt using no afterheater (Table II). The tubes were grown in both the a- and c-axis and all but one resulted in 100% beta-alumina. All the tubes were covered with a grayish-white deposit which was removed by heat treating at  $1523^\circ\text{K}$  (e.g., above the decomposition temperature of  $\text{Na}_2\text{O}$ ). Fig. 8 shows the results of these growth runs. As can be seen from the photograph the tube crystals became less transparent with



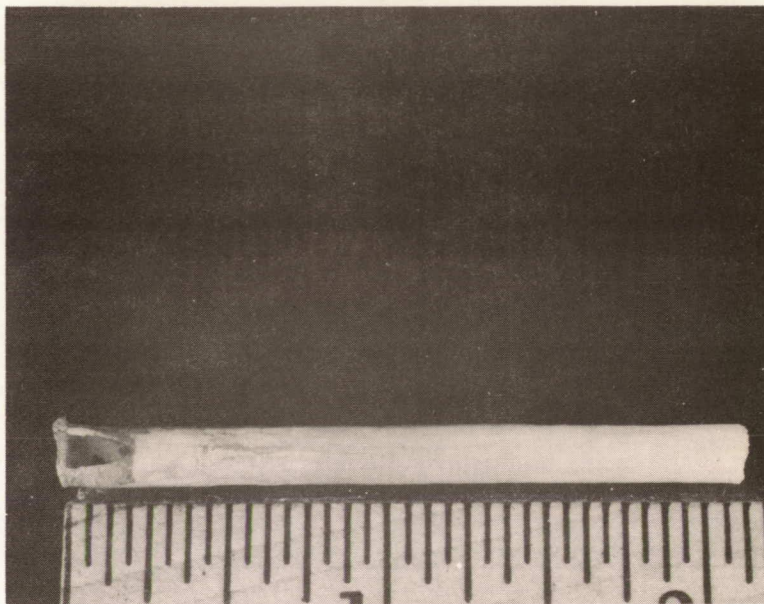


Fig. 7a. Sodium beta-alumina tube H.P.-1AF front, showing white poly  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> skin

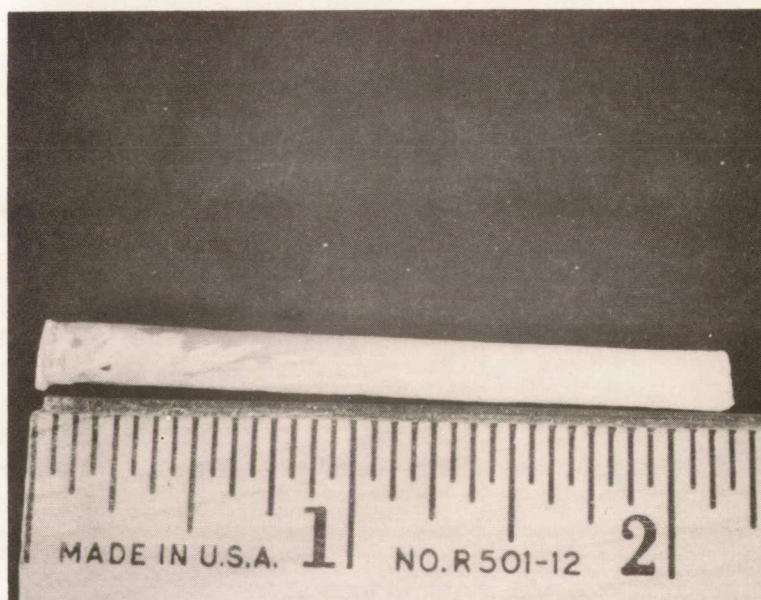


Fig. 7b. Sodium beta-alumina tube H.P.-1AF back, showing white poly  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> skin

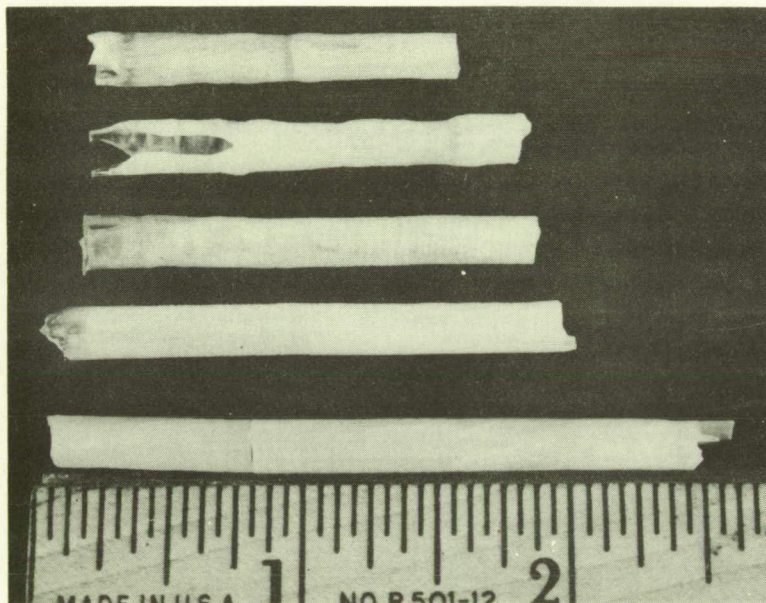


Fig. 8. From top to bottom: sodium beta-alumina tube crystal H.P.-7AF, H.P.-8AF, H.P.-9AF, H.P.-10AF and H.P.-11AF

increasing length and growth speed. Debye-Scherrer X-ray samples, taken from the top of the first four tubes in this photograph showed 100% beta-alumina, samples taken from the bottom showed beta alumina plus weak lines of a second phase. These lines were found to belong to the soda-rich  $\beta''$  phase (Figs. 6 and 9). Although the phase diagram by Weber and Venero<sup>15</sup> (Fig. 3) shows soda-rich  $\beta''$  to be a metastable compound which does not exist above 1873°K, we have found it to co-exist with  $\beta$ -alumina grown above 2200°K in several of our growth experiments. This is apparently due to the fact that the growth process does not take place at equilibrium.

Although several advantages using 10 kHz instead of rf induction heating were realized, some difficulties were encountered in reestablishing the original optimized gradients (due in part to the new coil configuration and in part to the deeper skin effect induced by the lower frequency field).

In order to establish the optimum conditions for the growth of single crystal beta-alumina tubes several growth experiments were performed using 1.5 to 2 g charges. It was found that the soda loss was too high from these small charges to obtain 100% beta-alumina (Table II). A 100%  $\beta$ -alumina tube (H.P.-19 AF) was grown from a 6-g charge of 13 mole %  $\text{Na}_2\text{O}$  at  $\sim 1.0 \text{ MN/m}^2$ . It was seeded in the c-axis direction but was found after  $\sim 1.2 \text{ cm}$  to have spontaneously changed orientation and grown in the a-axis direction (Fig. 10). The second tube crystal grown from this charge resulted in a mixture of  $\sim 80\% \beta$  -  $20\% \alpha$ -alumina (Fig. 10). Table IV shows that tube crystal H.P.-20 AF contains less soda than required for 100% beta-alumina and tube crystal H.P.-19AF contains slightly more.

A growth experiment was performed from a 9.0-g melt of  $(\text{Na}_2\text{O})_{0.2} (\text{Al}_2\text{O}_3)_{0.8}$  duplicating as closely as possible the conditions that had previously produced single crystal 100% beta-alumina tubes.<sup>6, 13</sup> The only deviation was that the pressure during growth was  $1.0 \text{ MN/m}^2$  instead of  $1.4 \text{ MN/m}^2$ . The tube crystal that resulted (H.P.-21AF) was 100% beta-alumina and mostly c-axis but was badly cracked. A second growth run (H.P.-22AF) from the same melt and using a Mo heat shield arrangement to prevent cracking, along with the previous growth parameters, resulted in a tube of  $\sim 85\% \beta$ ,  $15\% \alpha$ -alumina (Table IV). A growth pressure of at least  $1.4 \text{ MN/m}^2$  appears necessary to obtain more than one 100% beta-alumina tube crystal 4.0 cm long, from at least a 6.0 g charge.

Fig. 11 shows two 100% beta-alumina tube crystals grown from a 6.8 g charge with the composition  $(\text{Na}_2\text{O})_{0.2} (\text{Al}_2\text{O}_3)_{0.8}$ . The tube crystal H.P.-29AF was grown



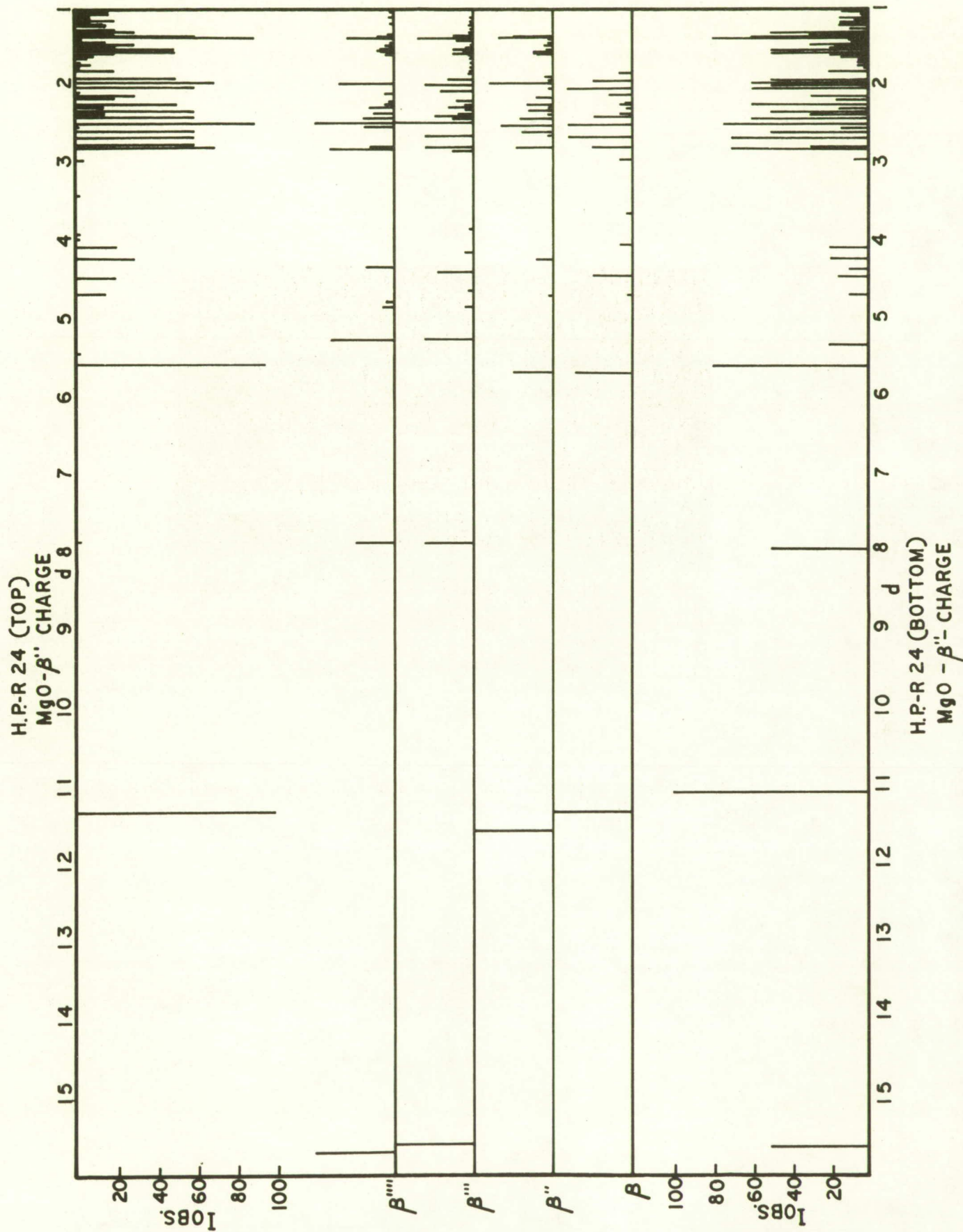


Fig. 9. X-ray spectrum of  $\beta$ ,  $\beta''$ ,  $\beta'''$  and  $\beta''''$  compared to x-ray spectrum from Debye-Scherrer films of H.P.-R24 (top) and H.P.R24 (bottom) grown from a melt of sodium magnesium  $\beta''$



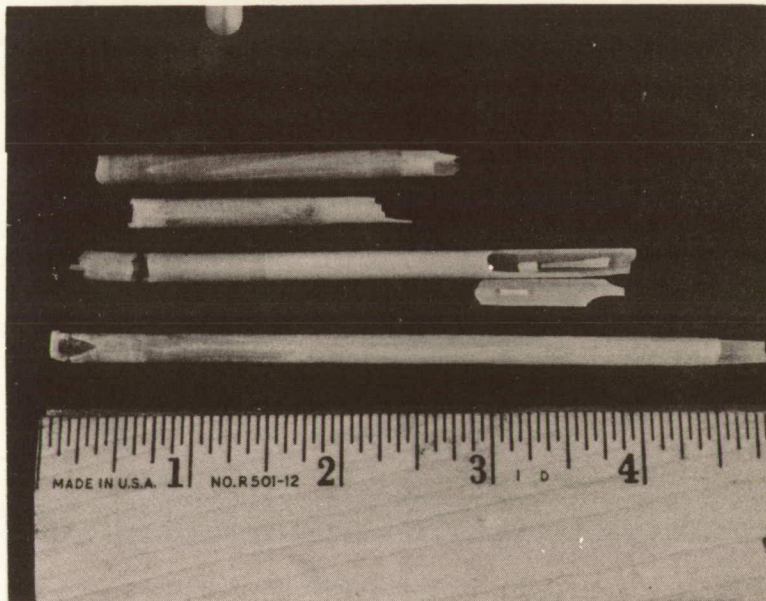


Fig. 10. From top to bottom: sodium beta-alumina tube crystal H.P.-13AF, H.P.-14AF, H.P.-19AF and H.P.-20AF.

Table IV. Composition of Tube Crystals

| Crystal   |        | Analysis (wt %) |      |         | Mole %            |                                |
|-----------|--------|-----------------|------|---------|-------------------|--------------------------------|
|           |        | Na              | Al   | O       | Na <sub>2</sub> O | Al <sub>2</sub> O <sub>3</sub> |
| H.P.-19AF | Charge |                 |      |         | 13.0              | 87.0                           |
|           | Top    | 4.1             | 51.4 | (44.4)  | 8.56              | 91.4                           |
|           | Bottom | 4.49            | 51.2 | (44.31) | 9.33              | 90.6                           |
| H.P.-20AF | Charge |                 |      |         | 13.0              | 87.0                           |
|           | Top    | 3.72            | 53.1 | 43.18   | 7.6               | 92.4                           |
|           | Bottom | 3.37            | 53.3 | 43.33   | 6.9               | 93.1                           |
| H.P.-22AF | Charge |                 |      |         | 20.0              | 80.0                           |
|           | Top    | 3.23            | 51.7 | (45.07) | 6.8               | 93.2                           |
|           | Bottom | 3.63            | 53.0 | (43.37) | 7.4               | 92.6                           |
| H.P.29AF  | Charge |                 |      |         | 20.0              | 80.0                           |
|           | Top    | 4.30            | 51.6 | (44.1)  | 8.9               | 91.1                           |
|           | Bottom | 4.40            | 52.0 | (43.6)  | 9.0               | 91.0                           |
| H.P.-31AF | Charge |                 |      |         | 20.0              | 80.0                           |
|           | Top    | 4.33            | 50.4 | (45.27) | 9.2               | 90.8                           |
|           | Bottom | 4.33            | 50.8 | (44.82) | 9.1               | 90.9                           |
| H.P.-35AF | Charge |                 |      |         | 20.0              | 80.0                           |
|           | Top    | 5.10            | 51.8 | (43.1)  | 10.4              | 89.6                           |
|           | Bottom | 5.40            | 50.4 | (44.2)  | 11.2              | 88.8                           |

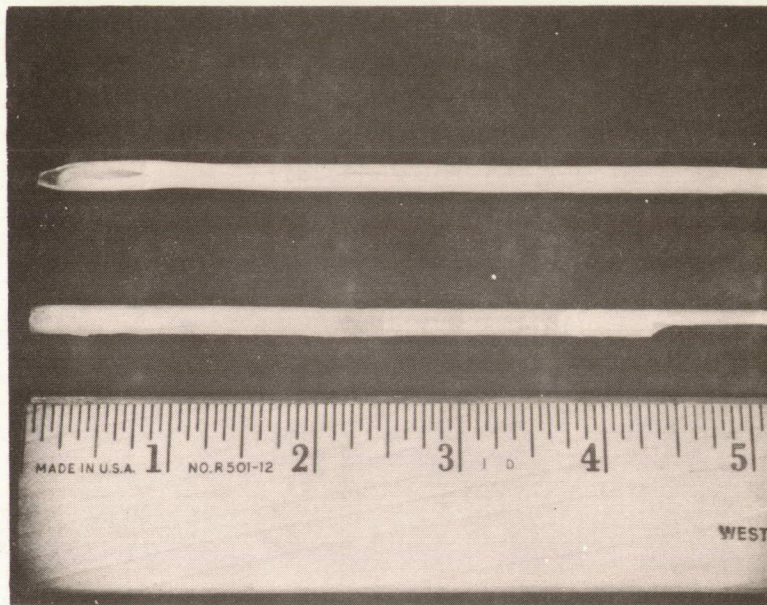


Fig. 11. Top: 100% sodium beta-alumina a-axis tube H.P.-29AF, bottom: 100% sodium beta-alumina c-axis tube H.P.-31AF

in the a-axis direction at 6.3 mm/hr after it became a full tube. No afterheater was used and it exhibited slight vertical cracking. Fig. 12 is a Laué back-reflection photograph taken of a facet on the top area of tube H.P.-29AF, 90° to the tube axis which exhibits the c-plane. The second crystal H.P.-31AF was grown in the c-axis direction at a speed of 2 mm/hr for 6 cm, then 6 mm/hr for the next 2.5 cm and finally 9 mm/hr for the remainder of the crystal. The use of a heat shield assembly did not prevent slight horizontal cracking of the tube crystal which was, however, single and orientated in the c-axis direction. Tube crystal (H.P.-35AF) with a higher sodium content than H.P.-29AF or H.P.-31AF was also grown from a melt of  $(\text{Na}_2\text{O})_{0.2} (\text{Al}_2\text{O}_3)_{0.8}$  but at a growth speed of only 1 to 5 mm/hr (Table II and IV).

Although the use of an excess  $\text{Na}_2\text{O}$  melt composition and a heat shielding arrangement, together with a high inert gas overpressure allowed the growth of single crystal sodium beta-alumina tubes at speeds up to 12 mm/hr, the majority of the crystals did develop slight cracks and all had grayish white surface deposits which had to be removed by heat treating.

## 2. Sodium magnesium beta-alumina tubes

A considerable proportion of MgO can be incorporated into the  $\beta$ -alumina structure (up to the composition  $6.5 \text{ MgO} \cdot 10.5 \text{ Na}_2\text{O} \cdot 83 \text{ Al}_2\text{O}_3$ ).<sup>20</sup> Higher MgO content (~10.0 mole %) changes the lattice to the  $\beta''$ -alumina structure (Fig. 4). Because of this phase latitude and the sensitivity of the conductivity to the  $\text{Na}^+$  environment, particularly as affected by Mg ions, this effort included the growth of tubes with various amounts of MgO.

The techniques and equipment used to grow beta-alumina plus magnesium oxide tubes were the same as those mentioned above the growth of sodium beta-alumina (Table II). Growth was performed in the c-axis direction using a piece of Monofrax H single crystal as a seed, and growth was performed under  $1.4 \text{ MN/m}^2$  argon overpressure at pulling speeds from 2 to 20 mm/h. The starting charge was 1.8 g made up of Monofrax H beta-alumina,  $\text{Na}_2\text{O}$  (in the form of  $\text{Na}_2\text{CO}_3$ ) and MgO. The starting composition was  $(\text{Na}_2\text{O})_{15.67} (\text{MgO})_{4.61} (\text{Al}_2\text{O}_3)_{79.92}$ . Fig. 13 shows an MgO doped beta-alumina tube (H.P.-37AF). The tube is essentially a single crystal with the c-axis parallel to the growth direction. It is apparently no more difficult to grow beta-alumina with magnesium oxide than it is to grow undoped beta-alumina.



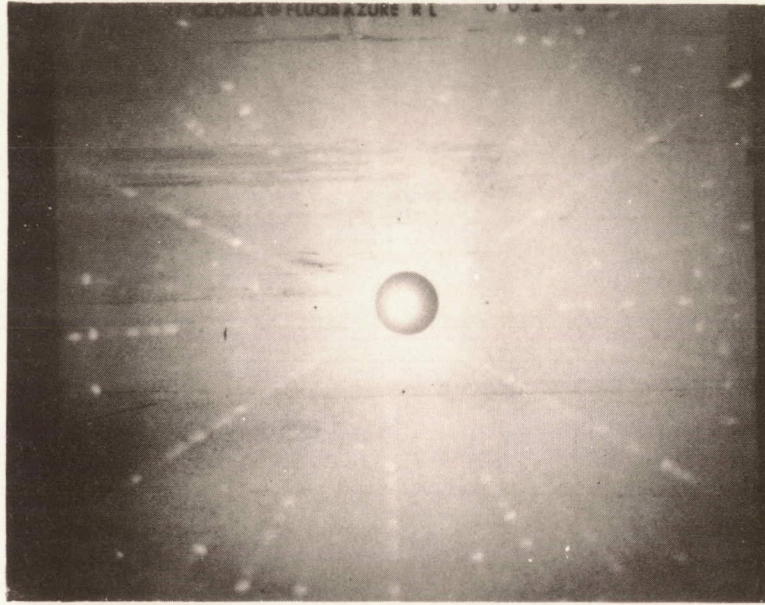


Fig. 12. Laué back reflection photograph taken ( $90^\circ$  to tube axis) of top clear section (facet) of H.P. 29AF a-axis sodium beta-alumina tube

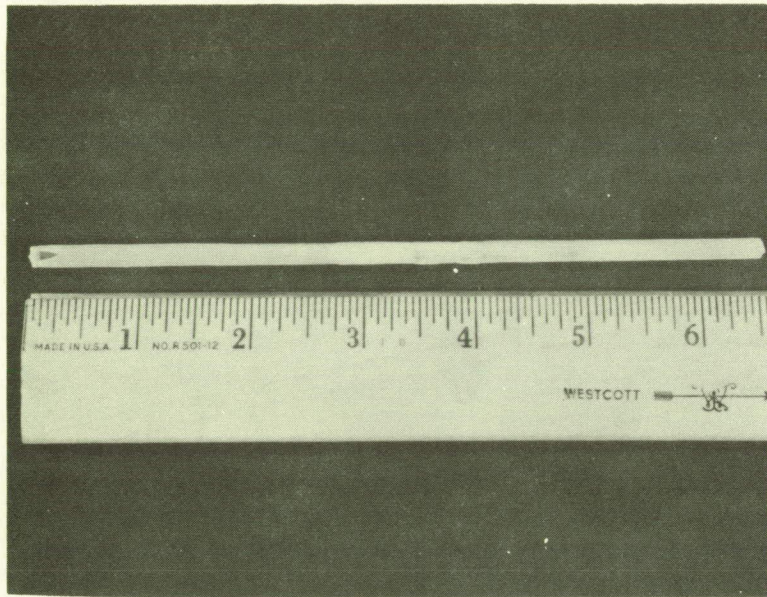


Fig. 13. Sodium magnesium beta-alumina c-axis tube

### 3. Sodium-beta-alumina ribbons

The growth of sodium beta-alumina ribbons was performed using the same growth techniques as those employed for the growth of sodium beta-alumina tubes (Table III). An iridium crucible and an iridium die designed to yield ribbons 6 mm wide  $\times$  0.8 mm thick were used. Fig. 14 shows two sodium beta-alumina ribbon single crystals grown at growth speeds of 1 to 6 mm/hr from the same 6.8 g charge of  $(\text{Na}_2\text{O})_{0.2} (\text{Al}_2\text{O}_3)_{0.8}$ . As can be seen from the photograph, difficulty was encountered with keeping the ribbon crystals a uniform width at the faster growth speeds. This is attributed to the particular heat shield arrangement used. The c-axis crystal (H.P.-R3) developed cleavage cracks normal to the c-axis and the a-axis ribbon a- c-plane crack down the middle. There was an  $\alpha$ - $\text{Al}_2\text{O}_3$  skin patch on the top of the a-axis ribbon. The ribbon crystals were less transparent after the heat treatment used to remove the grayish surface deposit. This can be seen by comparing the bottom clear section of H.P.-R3 (Fig. 14) with the rest of the ribbon. As this portion was still in the heat shields when growth was terminated it did not have a surface deposit and therefore was not heat treated. Fig. 15 is a photograph of three ribbon crystals grown at faster growth speeds (1 to 18 mm/hr) using two different shielding arrangements (Table III). Cracking was still a problem and the crystals also had horizontal bands of soda rich material. Table V lists the chemical composition of three 100% sodium beta-alumina ribbon crystals grown at different growth speeds. These ribbons are the third, fourth, and fifth growth runs from the same 6.8 g charge. As can be seen from Table V, ribbon crystal H.P.-R15 contains more  $\text{Na}_2\text{O}$  than the single phase limit for beta-alumina stated by Harata.<sup>16</sup> This is due to second phase inclusions trapped in the ribbon crystal during growth and was apparent by the ribbon crystals' cloudy appearance. Crystal H.P.-R14 also had second phase inclusions and was completely opaque.

These experiments seem to indicate that properly orientated single crystal sodium beta-alumina ribbons can regularly be produced at  $\sim$ 2 mm/hr but cracking is still a problem and faster growth rates tend to cause several crystal grains to nucleate.

### 4. Sodium magnesium beta-alumina ribbons

Table III lists the parameters used for the growth of the sodium magnesium beta-alumina ribbon crystals. The iridium crucible and die had the same dimensions as those used for the growth of the previous ribbon crystals. A melt of

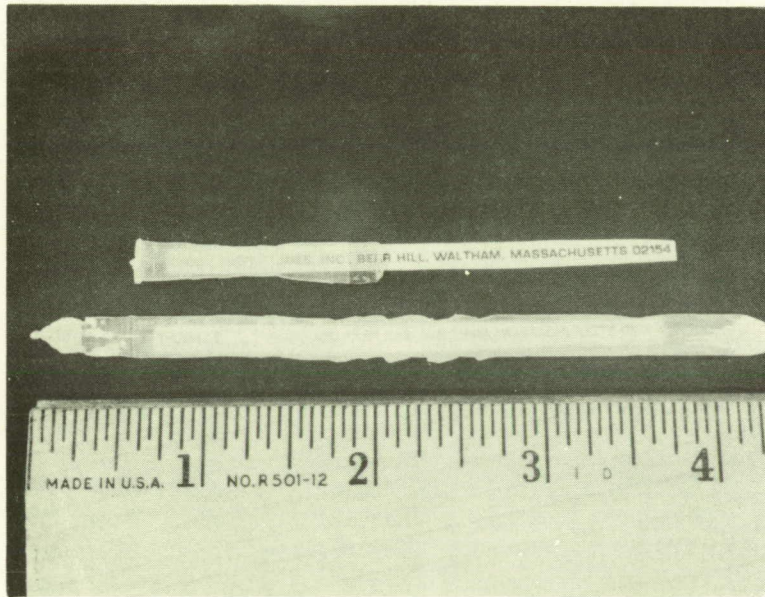


Fig. 14. Top: sodium beta-alumina single crystal c-axis ribbon; bottom: sodium beta-alumina single crystal a-axis ribbon, notice the poly  $\alpha$ -skin patch at the top of the ribbon above the 4 in. mark



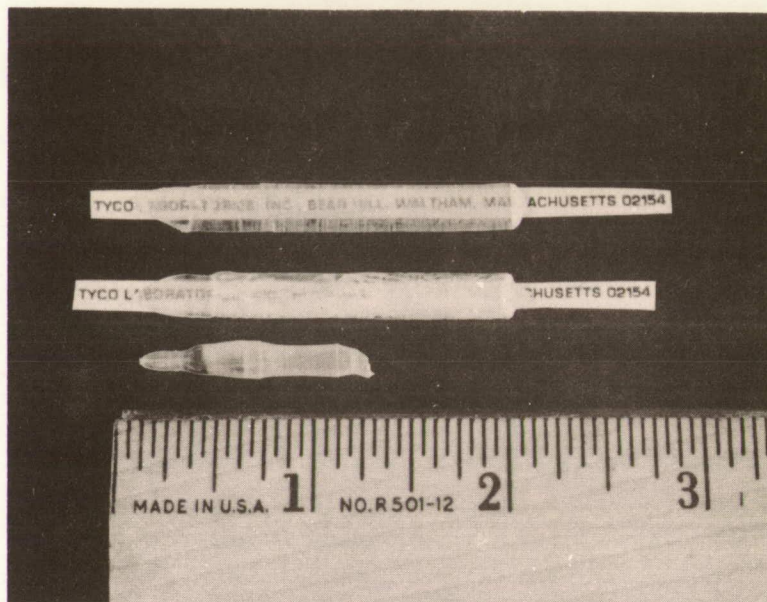


Fig. 15. From top to bottom: sodium beta-alumina ribbon a-axis ribbon crystal H.P.-R17, H.P.-R18 and H.P.-R19

Table V. Composition of Ribbon Crystals

| <u>Crystal</u> |        | Analysis (wt %) |      |      |        | Mole %            |      |                                |
|----------------|--------|-----------------|------|------|--------|-------------------|------|--------------------------------|
|                |        | Na              | Mg   | Al   | O      | Na <sub>2</sub> O | MgO  | Al <sub>2</sub> O <sub>3</sub> |
| H.P.-R14       | Charge |                 |      |      |        | 20.0              |      | 80.0                           |
|                | Top    | 6.8             |      | 47.3 | (45.9) | 14.4              |      | 85.6                           |
|                | Bottom | 7.3             |      | 45.6 | (47.1) | 15.8              |      | 84.2                           |
| H.P.-R15       | Charge |                 |      |      |        | 20.0              |      | 80.0                           |
|                | Top    | 8.3             |      | 47.9 | (43.8) | 16.9              |      | 83.1                           |
|                | Bottom | 8.4             |      | 50.0 | (41.6) | 16.5              |      | 83.5                           |
| H.P.-R16       | Charge |                 |      |      |        | 20.0              |      | 80.0                           |
|                | Top    | 5.7             |      | 44.8 | (49.5) | 13.0              |      | 87.0                           |
|                | Bottom | 4.3             |      | 48.8 | (46.9) | 9.4               |      | 90.6                           |
| H.P.-R25       | Charge |                 |      |      |        | 16.3              | 11.0 | 72.7                           |
|                | Top    |                 |      |      |        |                   |      |                                |
|                | Bottom | 5.6             | 1.14 | -    | -      | -                 | -    | -                              |
| H.P.-R32       | Charge |                 |      |      |        | 16.9              | 4.6  | 78.5                           |
|                | Top    | 6.9             | 0.69 |      | -      | -                 | -    | -                              |
|                | Bottom | 5.7             | 0.44 |      | -      | -                 | -    | -                              |
| H.P.-R33       | Charge |                 |      |      |        | 16.9              | 4.6  | 78.5                           |
|                | Top    | 6.9             | 0.77 |      | -      | -                 | -    | -                              |
|                | Bottom | 5.4             | 0.30 |      | -      | -                 | -    | -                              |

the composition  $(\text{Na}_2\text{O})_{0.1426} (\text{MgO})_{0.0452} (\text{Al}_2\text{O}_3)_{0.8121}$  and weighing 6.8 g was used for the growth of the first four ribbon crystals. This resulted in a badly cracked 100% beta-alumina ribbon. The next 6.8 g charge was richer in  $\text{Na}_2\text{O}$  and had the composition  $(\text{Na}_2\text{O})_{0.1694} (\text{MgO})_{0.0458} (\text{Al}_2\text{O}_3)_{0.7848}$ . Two 100% sodium magnesium beta-alumina ribbons were grown from this melt, they were not single and the second ribbon H.P.-R29 was badly cracked (Fig. 16). A third 6.8 g charge of the same composition as the previous was prepared using select single crystals of Monofrax H. From this two uncracked 100% sodium magnesium beta-alumina crystals were grown. The chemical compositions of two Na-Mg- $\beta$ -alumina crystals (H.P.-R32 and H.P.-R33) are listed in Table V and Fig. 17. All of the ribbon crystals still had a grayish white surface deposit from growth which had to be removed by heating to  $\sim 1523^\circ\text{K}$ .

On several occasions the coating was not completely removed by the heat treatment and a white powdery film remained. A part of this deposit problem appeared to have been caused by the Mo susceptor. A susceptor was fabricated out of iridium with the same dimensions as the Mo susceptor and a series of growth runs was made. Table III lists the parameters used and the results. Fig. 18 is a photograph of the results of these experiments. The average growth speed for the ribbons was  $> 6$  mm/hr, the ribbons became less transparent with increased growth speed. There was very little cracking from the growth runs but the ribbons were coated with a white deposit where they grew above the heat shields. This deposit appeared to be slightly easier to remove by heating to  $1523^\circ\text{K}$  than the grayish white deposit. Compare Fig. 19 with Fig. 18.

##### 5. Sodium magnesium $\beta''$ -alumina Ribbons

Equilibrium phase information on Mg stabilized  $\beta''$  alumina is limited. The phase is stable at least to  $1800^\circ\text{C}$  and has very little compositional freedom at  $1700^\circ\text{C}$  (Fig. 4).<sup>20</sup> Weber and Venero<sup>20</sup> grew crystals of Mg- $\beta''$  from  $\text{NaO}_2$  rich melts by an isothermal treatment at  $1650^\circ\text{C}$  allowing the slow evaporation of  $\text{Na}_2\text{O}$ . Experience with the EFG growth of sodium magnesium beta-alumina tubes indicated that it was also necessary to grow magnesium  $\beta''$  from  $\text{Na}_2\text{O}$  rich melts. The sodium magnesium  $\beta''$  ribbon crystal growth runs were made from 6.8 g charges of  $(\text{Na}_2\text{O})_{0.1626} (\text{MgO})_{0.1099} (\text{Al}_2\text{O}_3)_{0.7274}$  (Table III). Cracking due to thermal strain was observed in all of these crystals (see Fig. 19). This may have been due to the presence of more than one sodium aluminate phase. Fig. 9 shows Debye-

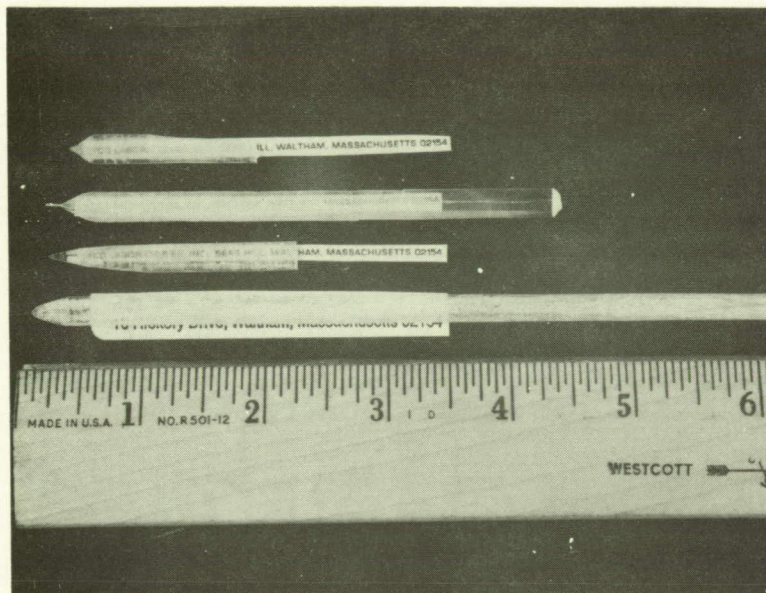


Fig. 16. From top to bottom: sodium magnesium beta-alumina a-axis ribbon crystal H.P.-R28, H.P.-R29, H.P.-R30 and H.P.-R31

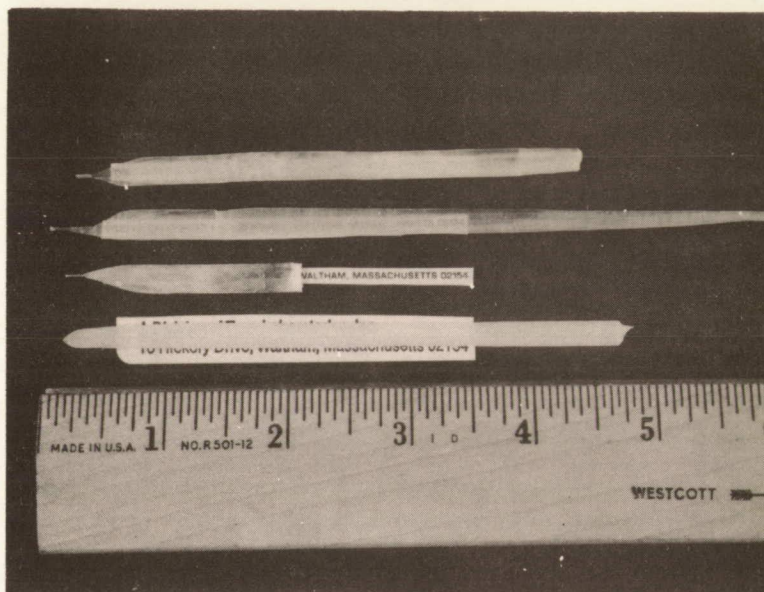


Fig. 17. From top to bottom: sodium magnesium beta-alumina a-axis ribbon H.P.-R32, H.P.-R33, H.P.-R34 and H.P.-R35.



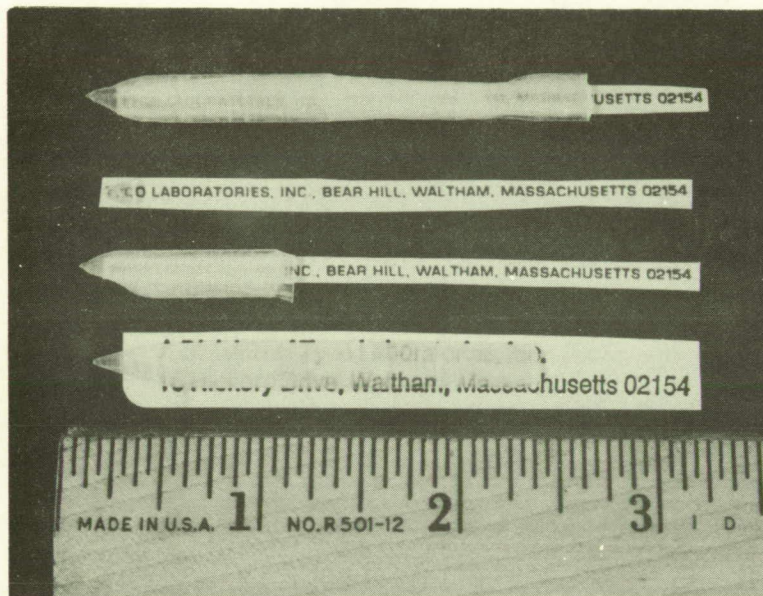


Fig. 18. From top to bottom: sodium magnesium beta-alumina ribbon H.P.-R37, H.P.-R36, H.P.-R38 and H.P.-R39

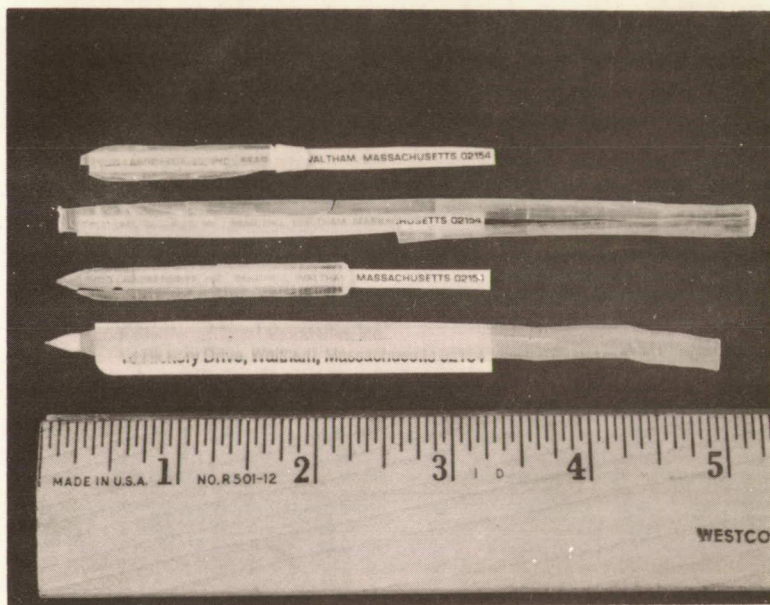


Fig. 19. From top to bottom: Sodium magnesium  $\beta$  " ribbon crystal H.P.-R24 c-axis, H.P.-R25 c-axis, H.P.-R26 a-axis and H.P.-R27 a-axis

Scherrer X-ray spectra of  $\beta$ ,  $\beta''$ ,  $\beta'''$  and  $\beta''''$ . In Fig. 20 the actual Debye-Scherrer films are compared with a 100% sodium beta-alumina film. As can be seen from these figures the number of phases present increased with crystal length. The phase diagram of Weber and Venero<sup>20</sup> (Fig. 4) does not allow the coexistence of the four phases. That it occurred may be due either to the growth technique which allows segregation to occur at the growth interface or the fact that no part of this is at equilibrium. Two Laue X-ray photographs of the top ribbon face of crystal H.P.-R25 are shown in Fig. 20. This  $\beta''$  ribbon crystal was seeded in the c-axis direction and Fig. 21a was taken ~6 mm below where it was seeded. As can be seen from Fig. 21b the crystal axis has shifted almost 90° to the a-axis direction after ~2.5 cm of growth. Table V lists the chemical composition of this  $\beta''$  ribbon crystal. These growth experiments did not yield single phase single crystalline sodium magnesium  $\beta''$  alumina ribbon crystals.



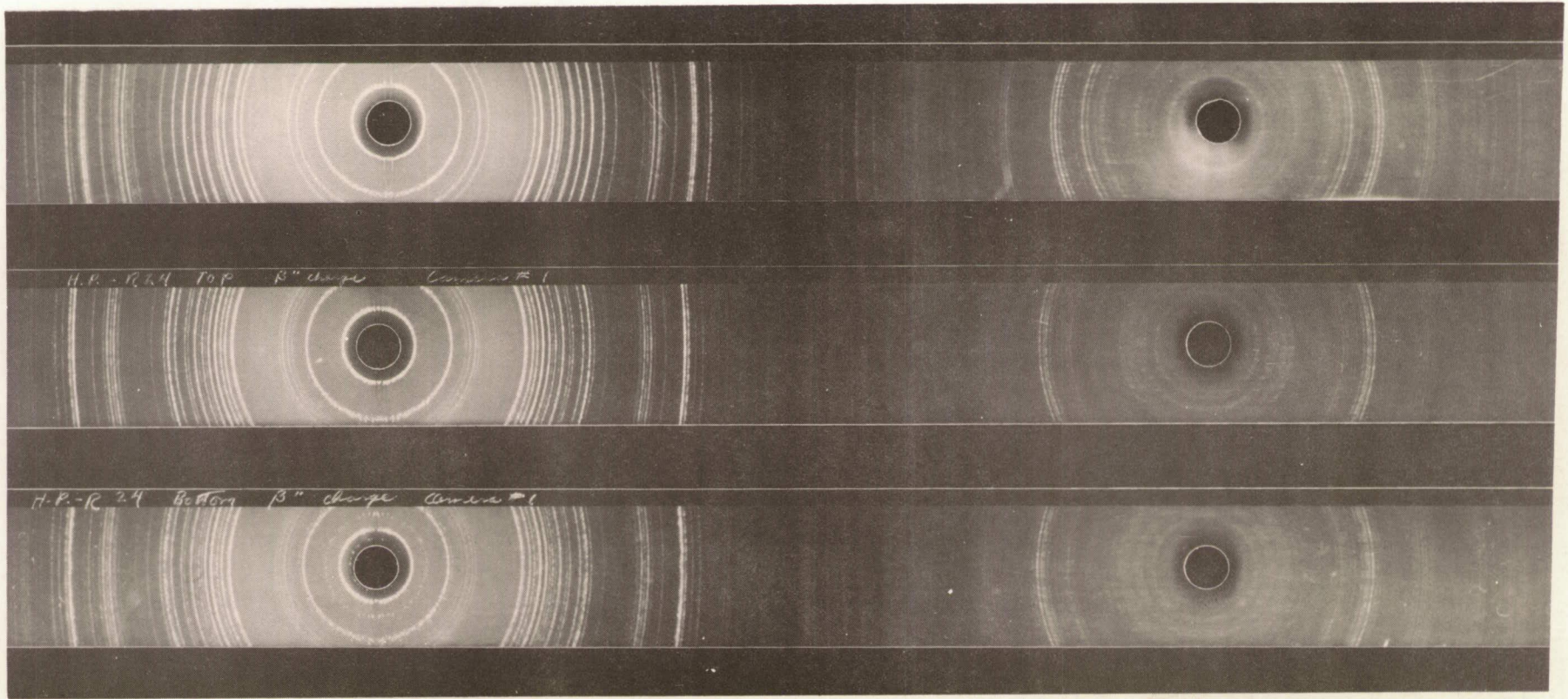


Fig. 20. Compares Debye-Scherrer X-ray film of Monofrax H. Beta-alumina (top film) with Debye-Scherrer X-ray film of top of H.P.-R24  $\beta$ " (middle film) and Debye-Scherrer X-ray film of bottom of H.P.-R24  $\beta$ " (bottom film)



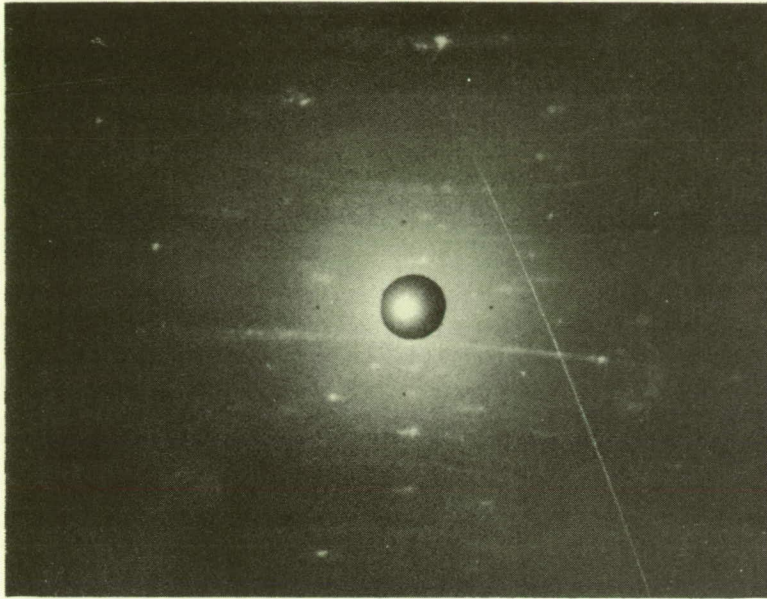


Fig. 21a. Laué X-ray photograph (taken  $90^\circ$  to c-axis) of H.P.-R25  $\beta$  " c-axis ribbon. Photograph taken of ribbon face just below where it was seeded. Top of ribbon is to the left of the photograph and the ribbon axis goes from left to right

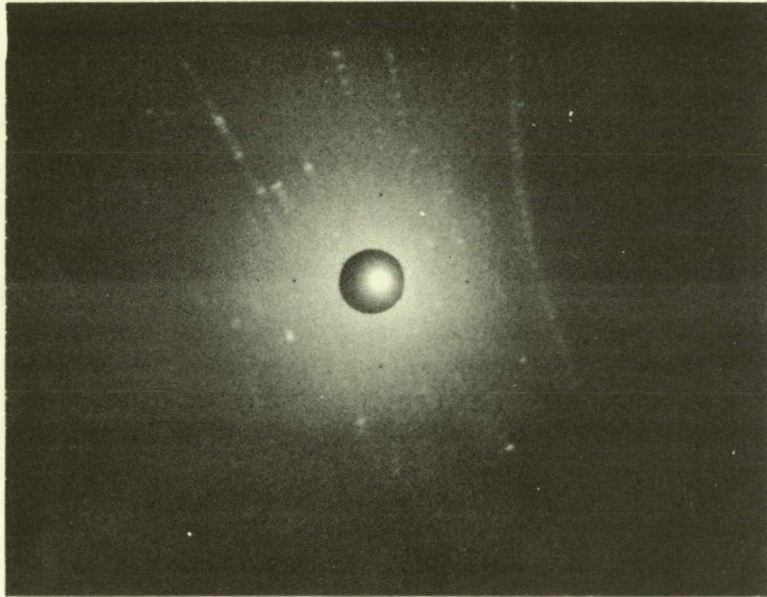


Fig. 21b. Laué X-ray photograph ( $90^\circ$  to c-axis) of H.P.-R25  $\beta$  " c-axis ribbon. Photograph taken  $\sim 2.5$  cm below top photograph of ribbon face and shows shift of crystal axis of almost  $90^\circ$  from c to a-axis. Top of ribbon is to the left of the photograph, ribbon a-axis goes from left to right

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## V. CONCLUSIONS

Although single crystal tubes and ribbons of sodium and sodium magnesium beta-alumina and magnesium  $\beta''$  alumina were grown, the problem of consistently growing useful transparent, uncoated ribbons at speeds  $> 6$  mm/hr remains.

Material grown under this contract has been delivered to NASA-Lewis for ionic conductivity measurements.

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