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SHAKE TEST OF ROTOR TEST APPARATUS IN THE

40- BY 8GFOOT WIND TUNNEL

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SHAKE TEST GE' RCTOR TEST APPARATUS **IN** THE 40- BY 80-FT WIND TUNNEL

Wayne Johnson* and James C. Biggers** Ames Research Center Moffett Field, California

SUMMARY

A shake test was conducted **to** determine the dynamic characteristics of a Tjotor Test Apparatus on two strut systems in the Ames 40- by **80-ft** wind tunnel. **Ths** rotor-off hub transfer function (acceleration **per** unit force as a function of frequency) was measured in the longitudinal and lateral directions, using a combination of broadband and discrete frequency excitation techniques. The dynamic data **is** summarized for the configurations tested, giving the following properties for each mode identified: the natural frequency, the hub response at resonance, and the fixed system damping. **The** complete transfer functions are presented, and the detailed test results are included as an appendix. **Finally,** the report discusses the data **analysis** techniques developed to obtain on-line measurements of the system modal properties, including the **damping** coefficient and the damping ratio.

INTRODUCTION

A shake test was conducted to establish the dynamic characteristics of a **Rotor** Test Apparatus (RTA) in the Ames 40- **by** RO-ft wind tunnel (figure 1). Of interest were potential resonances at the 1/rev and 4 /rev frequencies of rotors likely to be tested on the RTA, and potential ground resonance instabilities.

The **shake** test was performed on the RTA module, without **a rotor,** on tw strut systems **in** the **wind** tunnel, to netermine the principle frequencies an? damping of the structure. The rotor-off hub transfer function **was** -

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measured in the longitudinal and lateral directions: longitudinal, inplane acceleration of the hub due to longitudinal, inplane force; and lateral, inplane acceleration of the hub due to lateral, inplane force. With the hub transfer functions it is possible to evaluate potential ground resonance and vibration problems of **rotors** to be tested on the **RTA.** The frequency ranges of interest are: 0-5 **Hz for** ground resonance, 3-7.5 **Hz** for 1 /rev vibration, and $12-30$ Hz for 4 /rev vibration (based on a rotor speed *range* of 180-450 rpn). The information required for each mode of the system is the natural frequency and the amplitude of the hub response, and for potential ground resonance modes we must know the fixed system damping as well.

SYSTEM

The system tested consisted of the **RTA** module, without a **rotor,** on the struts and balance frame in the 40- by 80-ft wind tunnel. The **RTA** module included the rotor hub, with the transmission locked, and two l'jOO-HP electric motors installed (one of the motors was replaced by a dummy weight for this test). The **total** module weight was *3WOO* lb.

Two strut/tip configurations were tested: a short strut system $(8-ft$ struts with 5-ft tips) and a long strut system $(15-ft$ struts with &in tips). The short struts gave softer support of the module because of the flexibility of the tips. In the basic configuration the balance was free, with the scale system operating. The shake tests were also conducted with the balance locked, in order to obtain the cantilever strut modes. Finally, the system was tested with strut dampers installed, consisting of an extensible strut from the **top** of each main strut down to the rear of the balance frame, with a **total** of eight automotive shock absorbers as dampers.

TEST APPARATUS

^Ahydraulic shaker was attached to the blade grip of the **rotor** hub to excite the module by application of an inplane force, in the longitudinal or lateral direction. The other end of the shaker **was** attached to an

 $-2-$

11600 lb :-eaction *.b86* suspended from **a** crane. **Figure 2** shows the shake test configuration, for lateral excitation. For longitudinal excitation, the shaker **Was** attached to the forward blade grip, **with** the reaction mass over the nodule nose. The shaker **servo** control was operated in a stroke feedback mode.

A load cell between the shaker and hub measured the applied force. Accelerometers on the hub measured the longitudinal and lateral response. Datawere recorded for other accelerometers on the module and balance frame, but only the results for the hub response **are** presented in this report.

The applied force **and** resulting hub acceleration **data** were analyeed on-line to determine the dynamic characteristics of the system, using the Dynamic Analysis **System (DAS,** shown in figure 3). The **!)AS** is basically a time series analyzer and computer, utilizing Fast Fourier Transform techniques and associated software, ard programs specific to this shake test.

TEST PROCEDURE

The frequency ranges investigated were 0-9 Hz for **ground** resonance and l/rev vibration modes, and **0-35 Hz** for N/rev vibration modes. **Broadband** random input to the shaker was used, with a **bandpass** filter to **shape** the input spectrum. The low cutoff frequency was set at *0.5* **Hz to avoid** excitation of the reaction mass pendulum modes, and the high cutoff frequency was set at either *9* **or** 35 **Hz** to restrict the energy input to the frequency range of interest.

The basic test plan, for each strut/module/balance configuration, excited in the longitudinal and lateral directions, was **as** follows.

- 1) Random excitation, bandwidth .5-9 **Hz;** nominal force amplitude $\text{\textsterling}200$ and $\text{\textsterling}400$ lb $\text{\textsterling}400$ lb point usually repeated).
- Random excitation, bandwidth *.5-?5* He: nominal force 2) amplitude ± 100 and ± 200 lb.

3) Sinusoidal (discrete frequency) excitation at various force levels, at the low frequency resonances identified in **#l;** usually points were taken at frequencies near the resonance as well.

There was some variation between runs of course. For future work, the use of namu-band **random** excitation at each resonance would seen preferable **to** a sequence of (nominally) discrete frequency points as was the practice **ir!** this test. **Narrow-band** excitation **offers** the pssihility of obtaining the data over the entire frequency range near the resonance in a cingle measurement. The reason for the narrow-band excitation is to concentrate the input energy into a particular mode, so for the highest force levels it may **be** necessary to **namu** it down **to** essentially discrete excitation again. Still, the data **from** this test indicate that an accurate estimate **of** the **system** damping may **be** obtained from the single frequency point, even if it is not quite at the resonant peak (see the discussions below).

'Ifhe following **six** configurations were tested , with longitudinal and **lateral** excitation **for** each:

- 1) Short struts.
2) Short struts.
- Short struts, balance locked.
- ³**2l** Short **struts,** with strut dampers **(9** shocks) . ⁴*Long* struts.
-
- 5) Long struts, balance locked.
- 6) Long struts, with strut dampers (8 shocks).

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The data for the force applied to the hub and the resulting hub acceleration were analyzed on-line, utilyzing the DAS, The input signal f (force) and output **signal** a (acceleration) were sampled (digitized) at rate r, taking a **total** of **N** samples. The discrete Fourier transforms of f and a were calculated, and converted to engineering units using input conversion factors (lb/volt and g/volt). The products of the transforms conversion factors (lb/volt and g/volt). The products of the transforms gave the cross spectrum $S_{10} = \overline{F} * A$, and the input autospectrum $S_{11} = \overline{F} * F$. S_{io} and S_{ii} were averaged over K data records. Finally the transfer function of the hub response was calculated, **from** H = acceleration/force = averaged $S_{10}/\text{averaged } S_{11}$.

The computer searched the magnitude of the transfer function for quantities: the resonant frequency ω (Hz); the magnitude of the force resonant **peaks,** Then it calculated **and** printed **for** each *peak* the following and acceleration at that frequency; the magnitude of the hub response $\|H\|$ $(g/1000 \text{ lb and } in/1000 \text{ lb})$; the phase of the response, $\angle H$ (deg) ; the fixed system damping coefficient $C_{\rm g}$ (lb/fps), calculated from H at the resonant frequency; **the** damping coefficient, modal **nass,** and danping ratio $(C_s, M, \text{ and } \uparrow)$, calculated from integrals of H through the resonant peak; and the damping ratio \int , calculated by a least-squared-error **parameter** identification technique front **Lb data** for **H** near **the** peak. In addition, **grourd** resonance parameters (critical **rotor speed and** required lag damping) were calculated, for a particular rotor.

The magnitude of the transfer function, $|H|$ **vs.** ω , was displayed on a **CRT.** A picture was taken **as** a recoxd of the complete transfer function.

The discrete frequency excitation points were analyzed **in the same** manner. However, the response was only evaluated at the single line corresponding to the input frequency,

Further details of the analysis techniques **are** given In appendices: a discussion of the discrete Fourier transform (Appendix A); the local $maximum$ discriminator (Appendix B); calculation of the fixed system damping **from** the transfer function (Appendix **C); LSE parameter** identification of the damping ratio (Appendix *D*); and calculation of the damping from integrals **of** the transfer function (Appendix **E)***

The following parameters **were** used for **the** analysis of the **data** in this test:

> 1) Ground resonance and 1 /rev dynamics (0-9 Hz): sample rate $r = 20.48/sec$, number of samples $N = 512$, number of records $K = 10$; total sample time $T = 250$ sec, spectrum frequency increment \rightarrow 04 = $.04$ Hz .

> > *-5-*

2) N/mv dynamics **(0-35 HE) 8** mple **rate** *3:* - 81.92/sec, number of samples $N = 256$, number of records $K = 20$; total sample time T 62.5 sec, **and** spectrua frequency increment $\triangle \omega = .32$ Hz.

For future work, it would probably be better to take more records for the **35** *Hz* bandwidth excitation, to further reduce the noise in the **data:** $K = 40$ (hence $T = 125$ sec) should be about right. The use of Hanning to smooth the **data is** usually recommended (see the references **of** Appendix **A),** but it **was** only occasionally **used** in this test.

RESULTS

The results of this testare the dynamic characteristics of the **six** configurations investigated, spe:ifically, the frequencies and response amplitudes of the principal modes identifiable in the hub transfer functions.

Figure 4 demonstrates the repeatibility of the transfer function measurements. It shows three **sepsrate** measurements of the longitudinal response on the short struts, There **is** excellent correlation betxeen the three pints. Figures *5* through 10 present the transfer functions for the six configuratiom **tested.** 'The lateral and longitudinal hub responses **am** shown, in the 9 and 35 **Hz** excitation ranges **for** each. The abscissas in the figures are frequency, **from** 0 to **10** or 50 **Hz,** and the ordinates are the magnitude of the transfer function in $g/1000$ lb.

Tables 1 and 2 summarize the dyramic characteristics of the six configurations tested. The tables give the following quantities for each of the longitudinal and lateral **nodes** identifiedt **the** resonant frequency ω (Hz); the magnitude of the hub response **H** $(g/1000$ lb and $in/1000$ lb); and, for the potential ground resonance **modes,** the fixed system tiamping coefficient **Cs** (lb/fps). The hub response and damping coefficient **data** for the long **struts,** lateral **shake,** balance free and balance locked **(runs** 17 **ad** 18) **are** somewhat uncertain because **of** a problem with the accelerometer calibration. However, the conversion factor $(g/volt)$ used for these two **runs was** certainly within **25%** of the correct factor. "he frequency and damping ratio **data** are nof affected **by** this problem.

TABLE 1. Summary of Dynamic Characteristics: Short Struts

Longitudinal Modes

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OR POOR QUALITY

TABLE 2. Summary of Dynamic Characteristics: Long Struts

Longitudinal Modes

 $\omega_{\rm{eff}}$

 $\frac{1}{\sqrt{2}}$

 $-8-$

In the lateral response on the shwt **struts** (balance free, **no** dampers) we observe two close modes at the lower resonance, around 2 **Hz.** These are **the** balance side **and** balance yaw modeo, involving considerable module **yaw** and side motion as well for this case. Figure **11** shows the details **of** the two modes, expanding the magnitude and phase **of** the transfer function in the range 1-3 **He.** The detailed test data are given in Appendix C, Table G1. The dynamic situation is as follows. For the short strut configuration, the uncoupled balance lateral modes and cantilever strut side mode have about the same frequency, around 2.4 **Hz** (see Tables **1** and **2). Thus** them **Is** considerable coupling of the balance and module motion **for** the complete system, with the typical **behavior** that the frequencies of the coupled modes are driven apart. The balance mode frequencies are decreased, the strut mode frequency is increased, and the damping for the balance modes is reduced. For the long strut configuration, the lateral cantilever strut mode frequency is around **3.5** *Hz,* well above the balance mode frequencies (see Table 2). Therefore the balance mode frequencies are not lowered significantly for this configuration (note that the balance side mode frequency is expected to be about $\sqrt{2}$ times the balance longitudinal mode frequency, since there are two side force scales and one **drag** force scale in the balance system).

The test data show a nonlinear behavior for the damping of the balance modes. The damping for high excitation level and high response amplitude consistently was significantly **lower** than the damping measured at low levels (the **data** in Tables **1** and. **2** are the values for low excitation level). Figure 12 shows the general trend, for all the configurations tested. The ratio of the balance mode damping to **its** value at **low** excitation levels corre?.ates well with the **rms** value of the exciting force. The linear range extends up to *30* or **40** lb **(rms),** and at high excitation the damping levels off at about 40 to *50%* of the low excitation value. The detailed test **data** are given in Appendix G. A correlation between the excitation level (broadband and discrete) and the balance drag scale motion for the longitudinal balance mode is also given in Appendix G (run 11, [?]able G1).

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Front the frequencies of the modes we may identify i/rev **and** N/rev resonances for the operating range of a particular rotor, and with the data on the magnitude of the hub response assess the vibration potential of these modes. **From** the frequency and fixed system damping we may assess tho ground resonance stability of articulated and soft-inplane hingeless rotors on this Rotor Test Apparatus. **^A**simple **ground** resonance stability criterion, giving the critical rpm ranges and the lag damping required for stability, is discussed in Appendix F. More detailed calculations of the dynamic stability are recommended however

The tables of Appendix G present in detail the shake test deta for the six configurations investigated

DISCUSSION OF ANALYSIS TECANIQUES

Several methods were **used** to calculate the modal parameters **from** the measured transfer function. The quantities required are: the natural frequency ω_n , damping coefficient C_s , damping ratio ζ , and modal mass M (note that these parameters are related by $C_s = 2 \int \omega_n M$).

The natural frequency was estimated using three points around the experimental peak (Appendix D). This technique gave satisfactory results.

The damping coefficient was calculated from the transfer function at a single frequency point (Appendix C), and from integrals of the transfer function through the peak (Appendix E; this method was used only for runs 17 and 18, Tables G4 and G5). Both methods worked well, and the two techniques gave comparable estimates. **The** experimental **data** (Appendix C) **for** the single point calculation of C_p during discrete frequency sweeps near a resonance demonstrate that this method gives an estimate of the damping which is indeed relatively insemitive to frequency, i.e. roughly constant in the vicinity of each peak (see Appendix C). The integral method of calculating C_s is less sensitive to noise in the transfer function data, but for very close nodes one must wa+ch that the limits of integration cover only **one**

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resonant *yak.* With discrete or very narrow-band excitation, only the singlepoint estimate of C₈ is applicable of course. The use of both methods is recommended to obtained the best extimate of the damping coefficient.

To calculate the damping ratio and modal **mats (3** and **Pi),** the **LSE** parameter identification techniques described in Appendix **D** were used, with four iterations after the initial estimate of the parameters, and either 5 or 10 points for the curve fit around the resonant peak. For an ideal transfsi function (no noise in ',e **data)** these techniques worked well, especially the two-parameter algorithms. For real data however, i.e. an experimental transfer function measurement including noise, the methods of Appendix **I)** were not satisfactory The one-parameter algorithm *(c* **from** $|H|$) did no better than the initial estimate of \int from three points. The two-parameter algorithms (\int and M from either $|H|$ or H) either **gave** little improvement over the initial estimate, **or** simply did nct converge. The difficulty is probably **due** to the fact that the derivatives in the iteration formulas are singular at \int = 0. There is the possibility of better success using an algorithm to identify C_s and M from the transfer function.

The damping ratio and modal mass (\int and M) were also calculated (for runs 17 and 18, Table G4 and G5) from integrals of the transfer function, as described in Appendix E. This technique worked well, and its continued use is recommended.

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APPENDIX A

The Discrete Fourier Transform

1. References

Bendat, **Julius.** , and Plersol, Allan **C.** , Measurement and Analysis of **Random hta, John** Wiley **fc Sons b** Inc. , New **Yo*** , **¹⁹⁶⁶ Jenkins,** Cwilym **H., and** Uatts, Iknald **C.,** Spectral Analysis **and its** ApDlications, Holden-Day, San Francisco , **¹⁹⁶⁹**

2. Definition and Application

 he input signal (force, **f)** ani output signal (accelerstion, a) **are** sampled (digitieed) at **rate** r, until the total number **of** samples **^N** is collected. The result is a discrete time series of data, at $t = n \Delta t$, $n = 0...N-1$ ($\Delta t = 1/r$, with sampling period $T = N/r$). The discrete Fourier transforms of **the** input and output are calculated, **using** Fast Fourier Transform (FFT) techniques, according to the expression:

$$
\chi(k) = \frac{1}{N} \sum_{n=0}^{N-1} x(n) e^{-i 2\pi k n/N}
$$

The result is a discrete spectrum, at the $N/2$ frequencies $\omega = k \Delta \omega$, $k = 0... (N/2-1)$ $(A\omega = r/N = 1/T$ Hz, with a maximum frequency -- spectrum bandwidth -- of $\omega_{\text{max}} = r/2$ Hz).

The input and output transforms are multiplied then to obtain the cross-spectrum $S_{10} = \overline{F}$ * A and the input autospectrum $S_{11} = \overline{F}$ * F. The spectra S_{io} and S_{ii} are averaged over a total of K records of data. the **system** transfer function **is** calculated **as:** Then

$$
H = a/f
$$
 = average S₁₀/average S₁₁

2 Relation to Continuous Fourier **Transform**

The Fourier transform of **a** continuous, non-periodic time function **x(** t) **is** defined as \overline{a}

$$
\chi(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} x(t) e^{-i\omega t} dt
$$

The discrete Fourier transform makes two approximations: first, a finite length record of data is transformed; and secondly, a finite number of saaples **are** taken **during** the **record.**

With **a** finite length **record,** it **is assumed** that the data **is** periodic ou+side **the record;** hence we calculate **the** Fourier **transform of a** periodic function:

$$
X_{1}(\omega) = \frac{1}{T} \int_{0}^{T} x(t) e^{-i\omega t} \, dx
$$

at the discrete **harmonics W= 2ltk/T. This may be** considered **the** Fourier **transform of** $x(t)$ **times the window w(t) which is open only for** $t = 0$ **to T, so**

$$
X_{1}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} x(t) w(t) e^{-i\omega t} dt
$$

=
$$
\int_{-\infty}^{\infty} X(\omega^{*}) W(\omega - \omega^{*}) d\omega^{*}
$$

(using the convolution **theom).** The time window is

$$
w = \begin{cases} 2\pi/\tau & 0 < t < \tau \\ 0 & \text{otherwise} \end{cases}
$$

so the frequency window (the Fourier transfonn of w) **is:**

$$
W = \frac{sin \omega T/2}{\omega T/2} e^{-i \omega T/2}
$$

which has amplitude 1 and bandwidth $\Delta\omega = 2\pi\sqrt{T}$. Thus

$$
X_{1}(\omega) \cong \int_{\omega - \Delta \omega/2}^{\omega + \Delta \omega/2} X(\omega^{*}) \omega^{*}
$$

This $\Delta\varnothing$ is the same as the frequency increment in the discrete spectrum. So each line in the discrete transform may be viewed as the integral of the continuous transform over the interval $\omega - \frac{\omega}{2}$ to $\omega + \frac{\omega}{2}$.

Uith only a finite number of samples **in** the **record,** we **calculate** as an approximation to X_1 the discrete transform:

$X_2(k) = \frac{4t}{T} \sum_{n=1}^{\infty} X(n) e^{-i2\pi kn} 4t/T$

(the summation being the discrete approximation of the integral). Since $\Delta t/T = 1/N$, this is identical to $X(k)$ defined in section 2 above. The finite length record means that only the discrete harmonics *c*= **kAC** of the transform **are** calculated. The finite number **of** samples means tkt the maximum frequency of the spectrum is $\omega_{\text{max}} = N/2$ $* \Delta \omega = r/2$ (the Nyquist frequency). It is necessary to filter the analog input **and** output signals **with a low-pass cutoff frequency at or below** ω_{max} **, in order to avoid aliasing of** the discrete **spectma** by **harmonics** above the Nyquist frequency, which can not **be** discerned by sampling at the discrete rate **r.**

4. Noise

Bacause **of** process and measurement noise, we do not calculate a deterministic spectrum, but rather a statistical estimator of the spectrum. In order to reduce the noise in the estimate of the spectrum, it is necessary to average the **data.** Thus we take K records, and calculate **the** average spectrum

$$
\overline{S} = \frac{1}{K} \Sigma S_k
$$

This sample spectrum **has** an unbiased mean, and a variance of

$$
\frac{\sqrt{2\pi}}{5^2} = \epsilon^2 \approx \frac{1}{k}
$$

The standard deviation is thus inversely proportional to $K^{\frac{1}{2}}$ (compare with the similar result for **the** standard deviation of a sample mean). The **total** sample time is $KT = K/\Delta\omega$, so for a given time it is necessary to compromise between the accuracy of the data **and** the frequency increment in the spectrum. Bendat and Piersol suggest **using** a minimum of **K** = 10 records. The statistics of the transfer function **H** (the ratio **of** the average **cross** spectrum to the average input autospectrum) are **more** complex (the reader is directed to the references given above), but the $K^{-\frac{1}{2}}$ behavior of the spectra is sufficient for the present purposes.

5. Choice of Fanmeters

The parameters r, N, and K are required to define the sampling and averaging **process in the analysis** of the **data. For a** given **bandwidth** of **the data, the** sample **rate** r suggested is

$r = 2.5$ $*$ bandwidth data

 $(\omega_{\text{max}} - r/2 = 1.25 + \text{bandw14th})$. A low pass filter on the signal is also required, to avoid aliasing in the discrete transfom. The number **of** samples **N is then** chosen from **rand** the required frequency increment in the spectrum $\Delta\omega$, as $N = r/\Delta\omega$ (FFT routines used require also that N **be a** power **of** 2). **We** choose *Lu3* **to** define the resonant *peaks* sufficiently, from $\Delta\omega \cong \int \omega_0/2$ (which gives about 5 points covering the $\frac{1}{2}$ power bandwidth of the peak: ω_n is the natural frequency and ζ the damping ratio **of** the mode). Finally, the number **of** records **K is** chosen **for** the desired accuracy (noise level) **of** the spectrum. **At** least 6 to 10 **records are** desired; the principle restriction **of the** number of records **is** the **total** sample time **KN/r.**

APPENDIX B Local Maximum Discriminator

1. Problem

It is necessary to identify the resonant peaks (i.e. the natural frequencies) of the experimental transfer function. The experimental transfer function has measurement and process noise however, so it is not possible to identify the peaks by simply searching for all the local maxima of the data. An algorithm must be developed which will discriminate the true peaks from the spurious local maxima due to noise.

2. The Algorithm

We have the data for the magnitude of the transfer function, which may be written $H_{\alpha} = H + h$, where H is the true value and h is random noise in the measurement. Assume h has a normal distribution with zero mean and standard deviation $\mathbf F$, hence probability distribution:

$$
\xi = \frac{1}{\sigma \sqrt{2\pi}} e^{-\frac{1}{2}(2\sigma^2)}
$$

Assume $\sigma = H/K^{\frac{1}{2}}$, where K is the number of records of data in the average of the cross spectrum and input autospectrum calculated to find H (see Appendix A).

Consider then the probability of a peak at a certain frequency ω_{N} , i.e. the probability that $H_N - H \ge 0$ for all nearby frequencies. This is the probability that $h > h_N - \Delta H_e$, where $\Delta H_e = H_{ex} - H_e$; which is:

$$
Pr = \frac{5}{100} \int_{h_{N} - \Delta h_{e}}^{h_{N} - \Delta h_{e}} \xi(h) \xi(h_{N}) \, dh \, dh_{n}
$$
\n
$$
= \frac{1}{\sigma^{2} 2 \pi} \int_{-\Delta h_{e}}^{\infty} e^{-(h + h_{N}^{2})/2 \sigma^{2}} \, dh \, dh_{n}
$$
\n
$$
= \frac{1}{\sqrt{2 \pi}} \int_{-\Delta h_{e}}^{\infty} e^{-\frac{y^{2}}{2}} \, h_{n}
$$

This integral may be expressed in terms of the error function.

The product of the probability **Pr** evaluated at several points **around** ω_N is the probability that all local values of **H** are less than the **H** at ω_N . Therefore **we take as** a discriminator of the **local** maxiaa the parameter

$$
C = (\overline{\pi} \sqrt{1})^{\frac{1}{m}}
$$

C is evaluated at all frequencies of the **transfer function.** If C is above a **certain** confidence level for any frequency, we consider that point **a** resonant peak of the transfer function.

The parameter C **has** the following properties. **For** a local **maxhum,** $C \cong 1$, while C is near 0 for a local minimum. If $\Delta H_a = 0$ for all points (i.e. the experimental data constant), then $C = \frac{1}{2}$. Finally, with $\Delta H_e/\sigma = 1$, 2, or 3 we obtain C = **,76,** *-92,* and *.98.*

3 Application

For on-line evaluation of the **data** (locating the resonant *peaks* of the transfer function **and** calculating the **system** properties there), it is better **to use a rather Ion** confidence level on the discriminator **(so** a **few** false peaks are located, which are easily discarded by the engineer), rather than to use **a** high confidence level which will occasionally **miss** a true peak **because** of excessive **noise.** It **is** also found that the parameter C **is** a more sensitive discriminator of the **peaks** if **many** points are used to evaluate C for each frequency.

For the present test, a confidence level of *65* to **70 (C** above the confidence level considered an indication of a resonant **peak) was** satisfactory. **The** parameter C **was** calculated **using 12** points **("m"** in the definition of C above) around **each** frequency.

APPENDIX c

Fixed System haping **from** Transfer Function

To evaluate the **ground** resonance stabllity of a rotor on a flexible support, it is necessary to know the damping coefficient of the **modes.** This may **be** obtained **from** the hub impedance by **the** following m ethod. Consider the mass/spring/damper system: $M\ddot{x} + C_g\dot{x} + M\ddot{Q}_g\dot{x} = f.$ The response of the hub acceleration **to the** applied force is the **ttansfer** function

$$
H = \frac{a}{f} = \frac{-\omega^2}{M(\omega_a^2 - \omega^2) + C_5 i \omega}
$$

where Ω_n is the natural frequency, M the generalized mass of the mode, and C_s the damping coefficient. It follows that

$$
C_{S} \equiv \frac{\omega dmH}{|H|^2}
$$

or

$$
C_5 = 195.4 \frac{w \Delta m H}{1Hl^2}
$$

with the dimensions $\begin{bmatrix} \omega \end{bmatrix} = Hz$, $\begin{bmatrix} H \end{bmatrix} = g/1000$ **lb**, $\begin{bmatrix} C_c \end{bmatrix} = 1b/fps$. This is the expression used to calculate the **dampfng** *of* the **rotor support,** from the experimental measurement of the hub response.

At the resonant frequency ($\omega = \omega_n$) this result becomes $C_g = \omega / |\mathbb{H}|$. In general **the** previous **form** is preferable however, since It **holds** for all *W* , not jwt at the **peak.** Thus it is possible to evaluate **Cs** even though **the** calculation is not performed exactly at the *peak* (for multimode systems it **is** necessary to be at least close to the peak of course). The experimental data (Appendix C) **shows that** the damping calculated by this expression is quite consistent in the vicinity of the resonance of each mode.

$$
-18-
$$

APPBNDIX D **Least Squared Error (LSE) Parameter Identification** of Damping Ratio from Transfer Function

1. LSE Parameter Identification

It is desired to fit an analytic function $H(\omega, u_1)$ -- where ω is the frequency, u_i are free parameters (e.g. the damping ratio \int), and H may be either a complex transfer function or the magnitude -- to experlmental **data H_e(** $\omega_{\mathbf{k}}$ **)** at the discrete frequency points $\omega_{\mathbf{k}}$. We shall find the parameters u_i to minimize the squared error

$$
\epsilon = \sum_{k} |h(\omega_{k}) - h_{\epsilon}(\omega_{k})|^{2}
$$

For complex H, this error is the sum of the distances between H and H_e on the complex **plane (R. H vs. Im H** , i .e. the phase plane). The minimum *e* is given by the solution of:

$$
\frac{\partial \epsilon}{\partial n_i} = \sum_{k} \frac{\partial}{\partial n_i} |H - H_{\epsilon}|^2 = 0
$$

If **H** is linear in the pamneters, the above is **a** set **of** linear algebmlc equations which may be solved directly for the parameters u_i . In the present case however, **H** is not a linear function of u_i , so a solution by numerical methods is necessary; **we** shall use Newton's method. **From**

$$
\sum_{j=1}^{n} a_{ij} = \sum_{j=1}^{n} a_{ij} \left(\frac{a_{ij}}{a_{ij}} + \sum_{j=1}^{n} a_{ij} \left(\frac{a_{ij}}{a_{ij}} - \frac{a_{ij}}{a_{ij}} \right) \right) \frac{a_{ij}}{a_{ij}} \sum_{j=1}^{n} a_{ij}
$$

it follows that the iterative solution of $\partial f/\partial u_i= 0$ is

$$
\mu_{(n+1)} = \mu_{(n)} - \left[\frac{\partial_{x} \mu}{\partial x^{2}} \right]_{-1} \left\{ \frac{\partial \mu}{\partial n!} \right\}
$$

where u is a vector of the parameters u_i (nth iteration), and the derivatives of f are evaluated using $\vec{u}^{(m)}$.

Here $f = \sum_{u} |H - H_e|^{2}$, hence the solution of the parameter identification problem is:

$$
P_{\mu}^{(n+1)} = P_{\mu}^{(n)} - \left[\sum_{k} \frac{\partial}{\partial n_{i}} \partial n_{j} |H - H_{\epsilon}|^{2} \right]^{-1} \left\{ \sum_{k} \frac{\partial}{\partial n_{i}} |H - H_{\epsilon}|^{2} \right\}
$$

2. Transfer function

We shall fit the **measured** transfer function in the neighborhood of a resonant peak to the theoretical transfer function of a mass/spring/ damper system. Considering the acceleration response to an applied force,
the transfer function is ω^2/m the transfer function is

$$
H = \frac{2}{f} = \frac{-w^{2}/m}{w_{n}^{2} - w^{2} + i2\zeta w_{n}}
$$

Note that in general the parameter **m** (mass) is a complex number, because it accounts for the influence of other **modes** of the system in the vicinity of any particular resonance. The magnitude of H **is:**

$$
|H| = \frac{\omega^{2} / m_{1}}{\sqrt{(w^{2} - w_{2}^{2})^{2} + (2 \zeta \omega^{2} - w_{1})^{2}}}
$$

Fitting **H** to the experimental data **around** a **peak** requires the identification of four parameters then: the damping ratio \int , the natural frequency $\omega_{\mathbf{w}}$, the mass $\{m\}$, and the phase angle \angle{m} (only the first three are involved in fitting the magnitude of **H** to the experimental **data).**

Because of linitation of computer core and language, we consider only the Identification of one or two parameters. **The** following cases will **be** considered in detail: fitting $\|H\|$ to $\|H_{\rho}\|$ by identifying \int ; fitting $\|H \|$ to $\|H_e \|$ by identifying \int and $\|w\|$; and fitting H to H_e by identifying 5 and $|\mathsf{m}|$. An initial estimate of the parameters is required to start the iterative **WE** solution. It is assumed that the initial estimate of the **parameters** not corrected by the **LSE** solution (in particulsr **the** natural frequency $\omega_{\mathbf{x}}$ is satisfactorily accurate.

3. Initial Estimate of Parameters

Assume that a resonant frequency ω_P has been found (a local maximum of $|H_{\rm e}|$; see Appendix B). An initial estimate of the parameters may be obtained from the experimental data at the three points wp, ω_L $z \omega_P - \Delta \omega$, and $\omega_R z \omega_P + \Delta \omega$. For small ζ and small $\omega - \omega_{\alpha}$ (the usual case of interest), the transfer function is approximately

$$
|H| \approx \frac{1}{2 |m| \sqrt{5^2 + (\frac{19}{100}-1)^2}}
$$

From this approximation the parameters of H may be estimated as:

$$
\Delta\omega_{\kappa} = \Delta\omega \frac{R\kappa - R_{L}}{2CR_{R} + R_{L} - 2R_{R}R_{L}}
$$

$$
\omega_{\alpha} = \omega_{\mathsf{P}} + \Delta \omega_{\alpha}
$$

$$
\zeta^{2} = \frac{R_{L}(\Delta\omega + \Delta\omega_{n})^{2} - (\Delta\omega_{n})^{2}}{(1 - R_{L})\omega_{n}^{2}}
$$

$$
\frac{1}{m} = -H_{ep} \left(\frac{\omega_{p}^{2}}{\omega_{p}^{2}} - 1 + i \, 25 \frac{\omega_{p}}{\omega_{p}} \right)
$$

where

$$
R_{L} = |H_{e_{L}}/H_{e_{P}}|^{2}
$$

$$
R_{R} = |H_{e_{R}}/H_{e_{P}}|^{2}
$$

4. Damping ratio from |H|

The LSE iterative solution is

 \circ r

$$
5_{\text{new}} = 5_{\text{old}} / (1 - r)
$$

where

$$
r = \frac{\sum_{k} \sum_{i=1}^{d} (H - H_{e})^{2}}{\sum_{k} \sum_{i=1}^{d} (H - H_{e})^{2} - \int \frac{\partial^{2}}{\partial \zeta^{2}} (H - H_{e})^{2}}
$$

$$
= \frac{\sum_{k} \omega^{4} D^{-3/2} (H_{e} - H)}{\sum_{k} \omega^{6} (12 \zeta^{2} \omega_{n}^{2}) D^{-5/2} (H_{e} - \frac{4}{3}H)}
$$

$$
b = (\omega^{2} - \omega_{x}^{2})^{2} + (2 \int \omega \omega_{x})^{2}
$$

$$
H = \frac{\omega^{2}}{\omega_{x}} b^{-\frac{1}{2}}
$$

5. Damping ratio and Mass from $\left| H \right|$
With $\mu = 1 / |\mathfrak{m}|$ and $D = (\omega^2 - \omega_{\mathfrak{m}}^2)^2 + (2 \zeta \omega \omega_{\mathfrak{m}})^2$, we have
 $H = \mu \omega^2 D^{-\frac{1}{2}}$. The derivatives required are:

$$
A = \sum_{k} \frac{\partial}{\partial s} (H - He)^{2} = \sum_{k} (H_{e} - H) \mu \omega^{2} \Delta^{-3/2} \frac{\partial \theta}{\partial s}
$$

$$
B = \sum_{k} \frac{\partial}{\partial \mu} (H_{e} - H)^{2} = \sum_{k} (H_{e} - H) (-2\omega^{2} \Delta^{-\frac{1}{2}})
$$

$$
\alpha = \sum_{k} \frac{\partial^{2}}{\partial s^{2}} (H_{e-H})^{2}
$$
\n
$$
= \sum_{k} (H_{e-H}) \mu \omega^{2} \delta^{3} \frac{\partial^{2}D}{\partial s^{2}} - (H_{e} - \frac{4}{3}H) \mu \omega^{2} \frac{3}{2} \delta^{2} (\frac{\partial D}{\partial s})^{3}
$$
\n
$$
\beta = \sum_{k} \frac{\partial^{2}}{\partial \mu^{2}} (H_{e-H})^{2} = \sum_{k} 2 \omega^{4} \delta^{-1}
$$
\n
$$
c = \sum_{k} \frac{\partial^{2}}{\partial \mu^{2}} (H_{e-H})^{2} = \sum_{k} (H_{e} - 2H) \omega^{2} \delta^{-\frac{3}{2}} \frac{\partial D}{\partial s}
$$

and the LSE iterative solution is:

$$
\begin{pmatrix} 5 \\ \mu \end{pmatrix}_{new} = \begin{pmatrix} 5 \\ \mu \end{pmatrix} - \begin{bmatrix} \alpha & c \\ c & \theta \end{bmatrix}^{-1} \begin{pmatrix} A \\ B \end{pmatrix}
$$

$$
= \begin{pmatrix} 5 \\ \mu \end{pmatrix} - \frac{1}{abc-a} \begin{pmatrix} AB - Be \\ Ba - Ac \end{pmatrix}
$$

6. Damping ratio and Mass from H

 ${\sf experimental}$ data $H_{\sf e}^1$ ^{\leq m} to the complex transfer function **Using the initial estimate of Lm and** *0,* , **we shall** match **the**

$$
H = -\mu w^2 b^{-1} (w_a^2 - w^2 - i 2 \mu w_a)
$$

where D = $(\omega^2 - \omega_n^2)^2 + (2\zeta \omega \omega)^2$ **and** $\mu = 1/|\mathbb{n}|$ **. Then the squared error is**

$$
\epsilon = \sum_{k} |H - H_{c}|^{2} = \sum_{k} \left[\mu (\alpha + \mu \omega^{4} + \beta \zeta) \delta^{-1} + |H_{c}|^{2} \right]
$$

where

$$
\alpha = 2 ReHe
$$
 $\omega^{2} (u_{n}^{2} - \omega^{2})$
 $\beta = 2 ImH_{e}$ $\omega^{2} (-2\omega\omega_{n})$

The derivatives required are:
\n
$$
A = \sum_{k} \frac{\lambda}{\delta} [h - h_{e}]^{2} = \sum_{k} \left[\mu(\alpha + \mu u^{4} + \beta \zeta) \left(-\frac{\lambda^{2} \partial b}{\delta \zeta} \right) + \beta \mu \delta^{T} \right]
$$
\n
$$
B = \sum_{k} \frac{\partial}{\partial r} [h - h_{e}]^{2} = \sum_{k} (\alpha + 2\mu u^{4} + \beta \zeta) b^{-1}
$$
\n
$$
a = \sum_{k} \frac{\lambda^{2}}{\delta \zeta^{2}} [h - h_{e}]^{2}
$$
\n
$$
= \sum_{k} \left[\mu(\alpha + \mu u^{k} + \beta \zeta) (2 \delta^{3} (\frac{\partial b}{\partial \zeta})^{2} - \delta^{2} \frac{\partial^{2} b}{\partial \zeta^{2}}) - \beta \mu 2 b^{-2} \frac{\partial^{2} b}{\partial \zeta} \right]
$$
\n
$$
= \beta \mu 2 b^{-2} \frac{\partial b}{\partial \zeta} \Big]
$$
\n
$$
B = \sum_{k} \frac{\lambda^{2}}{\zeta^{2}} [h - h_{e}]^{2} = \sum_{k} 2 \omega^{k} b^{-1}
$$
\n
$$
c = \sum_{k} \frac{\lambda^{2}}{\zeta^{2}} [h - h_{e}]^{2} = \sum_{k} \left[(\alpha + 2\mu u^{4} + \beta \zeta) (- \delta^{2} \frac{\partial b}{\partial \zeta}) + \beta \delta^{T} \right]
$$

and the LSE iterative solution is:

$$
\left(\begin{array}{c} 5 \\ \mu \end{array}\right)_{\text{max}} = \left(\begin{array}{c} 5 \\ \mu \end{array}\right) - \frac{1}{\text{abs}-c^2} \left(\begin{array}{c} AB - Be \\ Ba - Ac \end{array}\right)
$$

APPENDIX E

Damping Ratio from Integral of Transfer Function

The damping ratio, mass, and damping coefficient may be calculated from integrals of the system transfer function. This method is an alternative to the single point or curve fit techniques described above (Appendices C and D). Assuming a single mode transfer function:

$$
\mu = \frac{a}{f} = \frac{-b^2/m}{m^2 - m^2 + i 2\zeta m^2}
$$

it may be shown that the damping coefficient and mass are given by:

$$
C_5 = \frac{\int_0^{\infty} \frac{\ln 1}{\omega} \, \omega}{\int_0^{\infty} \frac{|\frac{\ln 1}{\omega^2}}{\omega^2} \, \omega}
$$

$$
m = \frac{-\pi/2}{\int_0^b \frac{\lambda_m H}{\omega} d\omega}
$$

and then the damping ratio is $\zeta = C_g / 2m \omega_n$.

To apply this result to experimental data, the transfer function is integrated through each mode from $0.8\omega_n$ to $1.2\omega_n$. Correcting for the finite limits, we obtain:

$$
m = \frac{1510}{\int_{.304}^{1204} \frac{\lambda mH}{w} dw}
$$

$$
\int_{0}^{\frac{\pi}{2}} \frac{33}{\omega_{\infty}} \frac{(\int_{0}^{12\omega_{\infty}} \frac{3\omega_{\infty}}{\omega} \frac{10^{18}}{\omega} \omega)}{\int_{0}^{12\omega_{\infty}} \frac{10^{18}}{\omega} \omega}
$$

$$
C_{s} = 195.4 \quad \frac{\int_{12u_{n}}^{12u_{n}} \frac{\Delta u}{\omega} du}{\int_{12u_{n}}^{12u_{n}} \frac{141^{2}}{\omega^{2}} du}
$$

with dimensions $\begin{bmatrix} H \end{bmatrix}$ = g/1000 lb, $\begin{bmatrix} \omega \end{bmatrix}$ = Hz, $\begin{bmatrix} n \end{bmatrix}$ = lb, and $\begin{bmatrix} C_{s} \end{bmatrix}$ = lb/fps. Note that the result for C_s is independent of the limits of integration; **oorpare with ths** expression in **Apperdh** C. **For** extremely close modes **it** nay be necessary to integrate over a snaller range around ω_n ; the above limits were satisfactory for the present test however. The natural frequency Wn ray **be** obtained from **the** three-point curve fit **arourd** a **local maximu, as** described in Appeadix D, part 3.

By calculating the system parameters from integrals of the transfer function, **the** effect **of** noise in the experimental data is reduced. However, the above expressions are not unbiased estimators of \int and C_s . With the factor $|H|^2$ in the denominator, the calculation of \int and C_s in the presence **of noise** will underestimate the true values. The **error** in **the** estimate will **be of** the order **K-l,** where **K** is the number of **data recoxds** over which the spectra are averaged (see Appendix **A).** The estiaate is consenmtive **at** least, and **for the** present cases the error **is** only *5* to 10%. If desired, the calculations of \int and C _c may be multiplied by **(K+l)/K** *88* an approxirste correction for the bias **error.**

APPENDIX F

Ground Resonance Stability Criterion

1. References

Coleman, Robert P., and Feingold, Arnold **M.,** "Theory **of** Self-Excited Mechanical Oscillations of Helicopter **Rotors** with **Yinged** Blades, **I' NACA** Rept. **1351,** 1958

Deutsch, M.L., *%round Vibrations **of** Helicopters," Journal **of** the Aeronautical Scieiices, vol. **13, no. 5, May** *1946*

2. Ground resonance

Ground resonance **is a** mechanical instability **involving the** coupled dynamics of the rotor lag and hub inplane **motion.** An instability **is** possible **at** the resonance of the **low** frequency lag mode (frequency *22 0-35)*) and **a** fixed **system** mode (frequency **Us),** if the product of the fixed system damping **and** the rotor blade lag damping **is below a** critical level dependent on the blade inertia and lag frequency.

3. Approximate stability criterion

The critical **rotor** speed for resonance is

 $SL_{crit} = \omega_x/(1-\nu_g)$

and the system damping required at resonance **is**

$$
\left(\frac{C_{\mathbf{X}}}{\omega_{\mathbf{X}}^{2}}\right)\left(\frac{C_{\mathbf{y}}}{\frac{N}{4}S_{\mathbf{y}}^{2}}\frac{1-\mathbf{y}}{\mathbf{y}}\right) > 1
$$

where ω_x = support natural frequency

- *Cx* = support damping
- **4s** = blade lag frequency **(rotating)**
- C_f = lag damping

N = number of blades

^S= **first** moment of blade **mass** about **lag** hinge **3** (i.e. mass * radial **C.G.** location)

With duensions **[SL]** = **rpn,** C_{ϵ} ³ = ft-1b/rad/sec, and $[\mathcal{L}_{\mathbf{x}}] = \mathbb{H}_{z}$, $[\mathcal{L}_{\mathbf{S}}] = \text{per rev}$, $[\mathcal{L}_{\mathbf{x}}] = 1\text{b/fps}$, $[s_c]$ = slug-ft, the stability criterion is:

$$
S_{\text{crit}} = \left(\frac{60}{1-35}\right) \omega_{x}
$$

$$
C_{\text{S}} > \frac{K}{C_{x}/\omega_{x}^{2}} , k = 39.4 \frac{N}{4} S_{\text{S}}^{2} \frac{1-3}{35}
$$

Usually the required lag damping for stability (C_{ℓ}) is increased by a **-gin of** *30* to *50%* to obtain an engineering estimate **of** the stability *S* **boundary.**

This **criterion** is based **on the** assumption of a small ratio of blade **mass to** the rotor support **mass,** which is usually quite true. **For** extremely small fixed system damping this approximate criterion may not be conservative however. In general a detailed analysis of **ground** resonance stability is recommended.

APPENDIX G

Rotor Test Apparatus Shake Test *Data*

The tables of this appendix present the **data** for the resonant frequencies of the hub transfer functions (lateral acceleration due to lateral force, and longitudinal acceleration due **to** longitudinal force) The following configurations were tested:

The following quantities are given in the tables: the resonant frequency ω (Hz); the amplitude of the hub response H $(g/1000 \text{ lb and in}/1000 \text{ lb})$; the phase of the response **LH** (degrees) : the fixed system damping of the mode C_c (lb/fps); the damping ratio \int (per-cent of critical damping); and the amplitude of the exciting force F (rms **lb,** with **"D"** indicating discrete frequency excitation). Several sweeps of discrete frequency excitation in the viclnity of resonances were made, and the data **are** given for the entire sweep as well as for the peak.

The hub response ana damping coefficient **data** for the long struts, **lateral** shake, balance free and locked **(runs** 17 and 18, Tables G4 **and** *G5)* are somewhat uncertain because of a problem with the accelerometer calibration. However, the conversion factor $(g/volt)$ used for these two runs was certainly within 25% of the correct factor. The frequency and damping ratio data are **not** affected by this problem,

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 $-56-$

Short struts, .5-9 Hz broadband excitation,
longitudinal hub response.

 $-59-$

.5-9 Hz broadband excitation, lateral hub response

 $(run 3, point 2)$

 $.5-35$ Hz broadband
excitation, lateral
hub response $(n:3, point 9)$

Figure 5. (concluded)

.5-9 Hz broadband excitation, longitudinal hub response $(run 10, point 13)$

.5-35 Hz broadban⁺ excitation, longitudinal hub response $(run 10, point 7)$

Figure 6. Short struts, balance locked.

.5-9 Hz broadband
excitation, lateral hub response $(run 9, point 3)$

.5-35 Hz broadband excitation, lateral hub response $(run 9, point 5)$

(concluded).

.5-9 Hz broadband excitation, longitudinal hub response $(run 12, point 3)$

.5-35 Hz broadband excitation, longitudinal hub response

 $(run 12, point R)$

 $-63-$

.5-9 Hz broadband excitation, lateral $(run 8, point 3)$

 $\overline{}$ H g/1000 1b \circ

.5-35 Hz broadband
excitation, lateral hub response $(run 8, point 8)$

 $-64-$

.5-9 Hz broadband excitation, longitudinal hub response

 $(run 13, point 6)$

.5-35 Hz broadband excitation, longitudinal
hub response

 $(run 13, point 10)$

 $-65-$

.5-9 Hz broadband
excitation, lateral hub response $(run 17, point 1)$

.5-35 Hz broadband
excitation, lateral hub response

 $(run 17, point 4)$

 $-66-$

.5-9 Hz broadband excitation, longitudinal hub response

 $(run 14, point 2)$

.5-35 Hz broadband excitation, longitudinal hub response $(run 14, point 5)$

Figure 9. Long struts, balance locked.

 $-67-$

.5-9 Hz broadband excitation, lateral
hub response

 $(run 1^R, point 2)$

.5-35 Hz broadband
excitation, lateral
hub response $(run 1⁹, point 4)$

 $-68-$

.5-9 Hz broadband excitation, longitudinal
hub response

 $(run 15, point 1)$

.5-35 Hz broadband excitation, longitudinal hub response $(run 15, point 4)$

.5-9 Hz broadband excitation, lateral
hub response $(run 16, point 2)$

.5-35 Hz broadband
excitation, lateral hub response (run 16, point 35)

 $-70-$

 $-71-$

