

NSG 7002

OCEANIC LITHOSPHERE AND ASTHENOSPHERE:  
THERMAL AND MECHANICAL STRUCTURE

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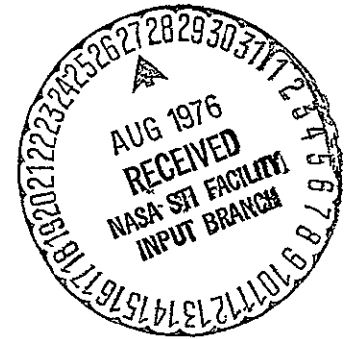
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September 24, 1975

Revised

February 4, 1976



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(NASA-CR-148595) OCEANIC LITHOSPHERE AND  
ASTHENOSPHERE: THE THERMAL AND MECHANICAL  
STRUCTURE (California Univ.) 93 P HC \$5.00:  
CSCI 08J  
63/48 Unclas 49729  
N76-29880

## ABSTRACT

We present a coupled thermal and mechanical solid state model of the oceanic lithosphere and asthenosphere which includes vertical conduction of heat with a temperature-dependent thermal conductivity  $k(T)$ , horizontal and vertical advection of heat, viscous dissipation or shear heating, and linear or nonlinear deformation mechanisms with temperature and pressure-dependent constitutive relations between shear stress and strain rate. A priori assumptions and inputs to the model are relatively few. We require a constant horizontal velocity  $u_0$  and temperature  $T_0$  at the surface and zero horizontal velocity and constant temperature  $T_\infty$  at great depth. In addition to numerical values of the thermal and mechanical properties of the medium, we specify only the values of  $u_0$ ,  $T_0$  and  $T_\infty$ . The model determines the depth and age-dependent temperature, horizontal and vertical velocity, and viscosity structures of the lithosphere and asthenosphere. In particular, we deduce therefrom ocean floor topography, oceanic heat flow, and lithosphere thickness as functions of the age of the ocean floor. The model also yields the age-dependent shear stress in the lithosphere and asthenosphere. From the age-dependent geotherms and assumed values of the elastic parameters we construct seismic velocity profiles which exhibit a marked low velocity zone. To a first approximation, simple boundary layer cooling, i.e. the growth of

a cold thermal boundary layer by upward conduction of heat with  $k(T)$  and horizontal advection of heat with constant velocity  $u_0$ , determines the thermal structure and quantities derivable essentially solely therefrom, namely oceanic heat flow, ocean floor topography, and seismic velocities. The simple boundary layer cooling solution gives heat flow  $\propto (\text{age})^{-\frac{1}{2}}$  and depth of the ocean  $\propto (\text{age})^{\frac{1}{2}}$ , for example. The second approximation, i.e. the self-consistent thermo-mechanical solution, provides modifications to the thermal structure associated with shear heating effects. This solution also gives the horizontal and vertical velocity, and viscosity structures not determined by simple boundary layer cooling and such quantities as the age-dependent lithosphere and asthenosphere thicknesses derivable from the mechanical field. Effects of viscous dissipation at old ages tend to flatten heat flow, ocean depth and lithosphere thickness vs. age curves, e.g. although ocean depth and lithosphere thickness tend to increase with age at all ages, shear heating tends to reduce the rate of increase at old ages below that given by  $(\text{age})^{\frac{1}{2}}$ . The importance of shear heating increases with plate velocity, decreases with subasthenospheric temperature  $T_\infty$ , increases with the activation volume describing the pressure-dependence of viscosity, and increases with age of the ocean floor.

## INTRODUCTION

The history of the ocean floor is now well documented from magnetic anomaly and deep sea drilling studies. It is generally acknowledged that geophysical characteristics like topography and heat flow are essentially a function of the age of the ocean floor and, therefore, are practically identical for a slow spreading ocean, the Atlantic, and a fast spreading ocean, the Pacific (Sclater and Francheteau, 1970). This universal dependence on age is particularly striking for topography, whereas heat flow data are now known to be affected by hydrothermal circulation in the young oceanic crust so that the scatter in heat flow values is large and the average values of heat flow by conduction do not represent the entire heat flow to the surface (Lister, 1972, 1974). For the Pacific ocean, the depths of the seismic low velocity channel for various ages are also available (Kausel et al., 1974).

Physical models of the oceanic upper mantle providing a basic understanding of the geophysical data are derived from either the boundary layer cooling of a convective cell (Turcotte and Oxburgh, 1967) or from the cooling of a rigid slab of fixed thickness moving at constant velocity away from a hot vertical boundary (McKenzie, 1967). The simple boundary layer cooling model leads to a  $\sqrt{\text{age}}$  proportionality

for quantities like depth of the ocean floor, inverse of surface heat flow, or depth to a given isotherm. For young ages, these proportionalities are also predicted by the rigid slab cooling model. Davis and Lister (1974) have in fact shown that ocean floor topography data for 0-80 My plot on a straight line vs.  $\sqrt{\text{age}}$ . For ages larger than its thermal conduction time the constant thickness slab model of course shows departures from this simple behavior (Parsons and Sclater, 1976). These authors have presented new topographic data corresponding to zero free air gravity anomalies for the North Atlantic and for the North Pacific extending to 100 My and 145 My, respectively. The topographic data depart from a simple  $\sqrt{\text{age}}$  law for the oldest parts of the ocean floor. There is also a suggestion in the heat flow data of a flattening in the heat flux at great age (Sclater et al., 1976).

These purely thermal models give, of course, no information about the mechanical structure of the upper mantle, i.e. about the geometry of the lithosphere and asthenosphere and about the velocity field. A lithospheric thickness can however be defined if the assumption is made that a given isotherm, say 1200 °C, marks the transition between a solid lithosphere and an asthenosphere where partial melting generally occurs. This approach is based on a widespread belief that the seismic low velocity zone, which is well developed under oceanic regions, but not under old continental shields (Knopoff, 1972), corresponds to the occurrence of temperatures exceeding the solidus of

mantle material (Anderson and Sammis, 1970).

In this spirit Parker and Oldenburg (1973) have calculated a lithospheric thickness proportional to  $\sqrt{age}$ . It should be stressed that this definition of the lithosphere-asthenosphere boundary is different from that of plate tectonics which defines the asthenosphere as a zone of mechanical decoupling of the plates from the lower mantle. Furthermore, mechanisms other than partial melting may generally account for the zone of low velocity and high attenuation of seismic waves (Gueguen and Mercier, 1972). It is however often assumed that this zone of low velocity corresponds roughly with the asthenosphere in the mechanical sense. Physically, this coincidence could be correct since high temperatures can favor both dissipative phenomena (wave attenuation, shear deformation) and decrease of elastic moduli (wave velocities). It is however not required that partial melting occur to have viscosities low enough to account for lithospheric decoupling. Ample laboratory data on the plastic deformation of olivine at high temperature and pressure have confirmed this (Post, 1973; Kohlstedt and Goetze, 1974).

In this paper we investigate both the thermal and mechanical structures of the oceanic upper mantle. Thermal quantities and associated topographic effects are computed simultaneously with mechanical quantities such as velocity and shear stress. Non-Newtonian and Newtonian rheological laws are used. We shall see that we account for available

geophysical data, in particular, for departures from a simple proportionality with  $\sqrt{age}$ . Experimental values are used for the material properties so that in principle the model contains no adjustable parameters. Our results should help to formulate new geophysical programs aimed at a better understanding of the dynamic structure of tectonic plates.

## QUALITATIVE DESCRIPTION OF THE MODEL

The thermal and mechanical structures of the oceanic lithosphere and asthenosphere are coupled via the contribution of shear heating to the temperature distribution and the dependence of viscosity on temperature. Aside from the work of Schubert and Turcotte (1972), we are unaware of investigators who have considered this coupled thermo-mechanical problem; the point of view in most modelling efforts has been to consider the material beneath the ocean floor to move horizontally with the constant velocity  $u_0$  and to solve the temperature equation by including horizontal advection of heat and upward conduction of heat (Langseth et al., 1966; McKenzie, 1967; Turcotte and Oxburgh, 1967). In this paper we develop a theory for the coupled thermo-mechanical characteristics of the oceanic lithosphere and asthenosphere which includes important phenomena heretofore largely neglected in the modelling of these suboceanic structures.

The model we consider is sketched in Figure 1. Material rising from beneath the ridge moves essentially horizontally away from the ridge, cooling to the surface as it ages. The cooling results in the formation of a boundary layer or lithosphere which is essentially rigid and moves with the horizontal velocity of the surface  $u_0$ . Beneath the lithosphere is the asthenosphere, a region wherein the mantle material is sheared and the horizontal velocity is reduced to zero. Within the asthenosphere there is also



a small upward velocity  $v$  to supply the mass required by the thickening, horizontally moving lithosphere. The upward asthenospheric flow must be supplied by a deeper mantle circulation not considered here.

On the left side of Figure 1 we list the quantities we input to our model. At the surface, we specify the temperature  $T = T_0$ , the horizontal velocity  $u = u_0$ , and the vertical velocity  $v = 0$ . At great depth we require the temperature to rise to a constant value  $T_\infty$  and the horizontal velocity to be zero. The  $T_\infty$  boundary condition facilitates comparison with the simple boundary layer cooling solution. The right hand side of the figure shows the information we extract from the model calculations. This includes temperature, horizontal velocity, vertical velocity, shear stress, viscosity, and seismic velocities as functions of depth  $y$  and distance from the ridge  $x$  (or age =  $x/u_0$ ). From the thermal structure of the suboceanic mantle we also infer the topography, of the ocean floor and surface heat flow as a function of age.

Our model includes the following features:

1. Conduction of heat in the vertical.
2. Thermal conductivity that depends on temperature.
3. Advection of heat by a horizontal and vertical mass flow that depends on depth and age; the depth and age dependent vertical flow supplies the lithospheric mass.
4. Contribution of viscous dissipation or shear heating to the thermal state.
5. Linear or nonlinear deformation law relating shear stress and strain rate.
6. Temperature and pressure dependent viscosity.

We derive the structure of the oceanic lithosphere and asthenosphere simply on the basis of subsolidus effects. This point of view contrasts with that of Parker and Oldenburg (1973) and Oldenburg (1975), who define the base of the lithosphere as a solid-liquid phase boundary.

As in our model of the continental asthenosphere (Froidevaux and Schubert, 1975) the shear stress will be found to take a zero value at the surface of the plate ( $y = 0$ ). This artificial stress at the surface replaces the driving forces acting, for example, at the plate boundaries. Elssner (1969) has pointed out that the lithosphere may act as a stress guide. Our surface shear stress represents this phenomenon. Its magnitude is not pre-determined. What is imposed is the surface velocity.

## MATHEMATICAL FORMULATION

As described in the preceding section, the suboceanic lithosphere can be viewed as the relatively cold, near surface thermal boundary layer of a convecting mantle. As material spreads from an oceanic ridge, cooling to the surface occurs, resulting in the formation of the thermal boundary layer or lithosphere; the boundary layer thickens with increased distance from the spreading center, i.e. with age of the lithosphere. The situation has been sketched in Figure 1.

### Constant Thermal Conductivity and Horizontal Velocity

The simplest mathematical description of the temperature profile in the oceanic upper mantle away from the ridge axis expresses a balance between conduction of heat vertically and advection of heat horizontally at a constant lithospheric velocity  $u_0$ .

$$\rho c_p u_0 \frac{\partial T}{\partial x} = \bar{k} \frac{\partial^2 T}{\partial y^2} \quad (1)$$

where  $\rho$  is the lithospheric density,  $c_p$  is the specific heat at constant pressure,  $\bar{k}$  is the thermal conductivity,  $x$  is the horizontal distance from the ridge,  $y$  is the depth and  $T$  is the temperature. In writing the boundary layer equation (1) we have assumed  $\frac{\partial T}{\partial x} \ll \frac{\partial T}{\partial y}$  (i.e. vertical heat conduction is much more important than horizontal conduction of heat) and  $\rho$ ,  $c_p$ ,  $u_0$  and  $\bar{k}$  are constants. This boundary layer approach is not valid very close to the ridge. It is an accurate description as long as the combined thickness of the lithosphere and asthenosphere is small compared with the distance from the ridge. Equation (1) is solved subject to the conditions that at the surface,

$y = 0$ ,  $T$  is equal to  $T_0$  and at great depth,  $y \rightarrow \infty$ ,  $T$  tends to  $T_\infty$  (a constant).

The solution of (1), obtained by Turcotte and Oxburgh (1972), is

$$T = T_0 + (T_\infty - T_0) \operatorname{erf} \left\{ \frac{y}{2} \left( \frac{\rho u_0 c_p}{\bar{k} x} \right)^{1/2} \right\} \quad (2)$$

where erf is the error function. The surface heat flux  $q_0$  (positive upwards) associated with this temperature distribution is

$$q_0 = \bar{k} \frac{\partial T}{\partial y} (y=0) = \bar{k} (T_\infty - T_0) \left( \frac{\rho u_0 c_p}{\pi \bar{k} x} \right)^{1/2} \quad (3)$$

As shown in (2), the temperature depends on  $x$  and  $y$  in the combination  $y/\sqrt{x}$ . Surface heat flow decreases as  $x^{-1/2}$  or (age) $^{-1/2}$  and the "thickness" of the lithosphere grows as  $\sqrt{x}$  or (age) $^{1/2}$ , if lithospheric thickness can be defined as the depth to a particular isotherm.

As the relatively cold lithosphere thickens with age, it contracts, and the weight of a vertical column of rock of constant height also increases with age. If we require isostasy, then the thermal contraction of the aging lithosphere is reflected in the bathymetry, i.e. the depth of the ocean floor must increase with age. By accounting for the bathymetry of the ocean floor and the thermal contraction of the rocks with age, one finds equal masses in vertical columns of rock and seawater if

$$D = D_{\text{ref}} - \frac{\alpha}{\left(1 - \frac{\rho_{\text{sw}}}{\rho}\right)} \int_0^{\infty} dy (T - T_{\text{ref}}) \quad , \quad (4)$$

where  $D$  is the depth of the ocean floor,  $\alpha$  is the thermal expansivity,  $\rho_{\text{sw}}$  is the density of seawater and the subscript  $\text{ref}$  indicates a reference condition, i.e.  $D_{\text{ref}}$  and  $T_{\text{ref}}$  are the depth and temperature at a particular age of the ocean floor. In evaluating (4), one may assume  $\rho$  is a constant. With the temperature profile (2), we can integrate (4) analytically to obtain

$$D = D_{\text{ref}} - \frac{2\alpha(T_{\infty} - T_0)}{\left(1 - \frac{\rho_{\text{sw}}}{\rho_{\infty}}\right)} \sqrt{\frac{\bar{k}}{\pi}} \left( \sqrt{\frac{x_{\text{ref}}}{u_0}} - \sqrt{\frac{x}{u_0}} \right) \quad , \quad (5)$$

where  $\bar{k}$ , the thermal diffusivity, is  $\bar{k}/(\rho c_p)$ . Thus the depth of the ocean floor also increases as  $\sqrt{x}$  or  $\sqrt{\text{age}}$ .

This solution provides an adequate zeroth order description of the temperature in the oceanic lithosphere. It does not, however, include the effects of a variable thermal conductivity, advection by a more realistic flow field and the viscous dissipation of the flow. Moreover, we have learned nothing about the structure of the velocity in the oceanic asthenosphere since we assumed, a priori, a constant horizontal velocity  $u_0$ . In the following, we generalize the solution (2) for the temperature to account for the above-mentioned effects and simultaneously we determine the mechanical structure of the asthenosphere, wherein the horizontal velocity drops from its surface value to zero.

Variable Thermal Conductivity, Constant Horizontal Velocity

First we consider the effect of a thermal conductivity  $k$  which is an arbitrary function of temperature. In general, when  $k$  is not constant, equation (1) must be replaced by

$$\rho u_o c_p \frac{\partial T}{\partial x} = k \frac{\partial^2 T}{\partial y^2} + \frac{\partial k}{\partial y} \frac{\partial T}{\partial y} \quad (6)$$

In the case  $k = k(T)$  we can rewrite (6) as

$$\rho u_o c_p \frac{\partial T}{\partial x} = k \frac{\partial^2 T}{\partial y^2} + \left(\frac{\partial T}{\partial y}\right)^2 \frac{dk}{dT} \quad (7)$$

This nonlinear equation is essentially no more difficult to solve than (1), the linear equation for constant  $k$ , since (7) also possesses a similarity solution.

Let  $k_o$  be the value of thermal conductivity at the surface and introduce the similarity variable

$$\xi = \frac{y}{2} \sqrt{\frac{\rho u_o c_p}{k_o x}} \quad (8)$$

The dimensionless temperature

$$\theta = \frac{T - T_o}{T_\infty - T_o} \quad (9)$$

then has to satisfy the ordinary differential equation

$$-2\xi \frac{d\theta}{d\xi} = \left(\frac{k}{k_o}\right) \frac{d^2\theta}{d\xi^2} + \left(\frac{d\theta}{d\xi}\right)^2 \left(\frac{d}{d\theta} \frac{k}{k_o}\right) \quad (10)$$

and the boundary conditions

$$\theta = 0 \text{ at } \xi = 0 \text{ and } \theta \rightarrow 1 \text{ as } \xi \rightarrow \infty \quad (11)$$

The upward heat flux  $q$ , according to (8) and (9), is given by

$$q = k \frac{\partial T}{\partial y} = \frac{k_0 (T_\infty - T_0)}{2} \sqrt{\frac{\rho u_0 c_p}{k_0 x}} \cdot \frac{k}{k_0} \cdot \frac{d\theta}{d\xi} \quad (12)$$

and the topography of the ocean floor is calculated from (4), (8) and (9). It becomes

$$D = D_{\text{ref}} - \frac{2\alpha (T_\infty - T_0) \sqrt{k_0}}{\left(1 - \frac{\rho_{\text{sw}}}{\rho}\right)} \left( \sqrt{\frac{x_{\text{ref}}}{u_0}} - \sqrt{\frac{x}{u_0}} \right) \int_0^\infty (1 - \theta(\xi)) d\xi$$

(13)

Thus heat flux, bathymetry, and lithosphere thickness depend on age in the same manner as they do for constant  $k$ ; only proportionality factors are modified by variable  $k$ .

We have numerically integrated (10) and (11) for the experimental thermal conductivity function of Schatz and Simmons (1972)

$$\frac{k}{k_0} = \frac{88.33}{31 + 0.21T} \quad \text{for } T < 500 \text{ K}$$

and

$$\frac{k}{k_0} = \frac{88.33}{31 + 0.21T} + 0.486 \times 10^{-3} (T - 500) \quad \text{for } T > 500 \text{ K}$$

(14)

where  $T$  is in degrees Kelvin and  $k_0$  is 0.0113 cal/(cm sec K) for an assumed surface temperature of 0 °C.

The solutions show that the conductivity decreases with  $\xi$  for  $\xi$  small (conductivity decreases with depth near the surface) and then increases gradually toward the value  $k(T_\infty)$ . For  $T_\infty = 1200$  °C the minimum value of  $k/k_0$  is about 0.6 at  $\xi \sim 0.4$  and the asymptotic value is 0.73. For  $T_\infty = 1600$  °C the minimum is also about 0.6 at  $\xi \sim 0.5$  and the asymptotic value is 0.88. Within the upper mantle there is about a factor of 2 variation in the thermal conductivity.

The surface heat flux from (10) - (12) and (14) is

$$q_0 = c_1 k_0 (T_\infty - T_0) \left( \frac{\rho u_0 c_p}{k_0 x} \right)^{1/2} \quad (15)$$

where  $c_1$  is 0.4656 for  $T_\infty = 1200$  °C and 0.4680 for  $T_\infty = 1600$  °C. Since (15) is an exact solution for variable  $k$ , it is not necessary to use (3) together with some estimate of an average  $\bar{k}$  to account for variable conductivity.

Finally, we note that the bathymetry, from (10), (11), (13) and (14), yields

$$D = D_{\text{ref}} - \frac{c_2 (T_\infty - T_0) \alpha \sqrt{k_0}}{\left(1 - \frac{\rho_{\text{sw}}}{\rho}\right)} \left( \sqrt{\frac{x_{\text{ref}}}{u_0}} - \sqrt{\frac{x}{u_0}} \right), \quad (16)$$

where  $c_2$  is 0.932 for  $T_\infty = 1200$  °C and 0.948 for  $T_\infty = 1600$  °C.

The temperature profiles derived from this similarity treatment will be shown as reference profiles later on (see e.g. Figure 4).



Two-Dimensional Shear Flow, Viscous Dissipation, Variable Thermal Conductivity

Exact similarity solutions are no longer possible when one considers the combined thermo-mechanical structure of the oceanic lithosphere and asthenosphere. We formulate instead a quasi-one-dimensional or quasi-similarity approach to the problem which uses the simple boundary layer cooling solutions previously discussed as a starting point. The model, as previously outlined in connection with Figure 1, includes the two-dimensional flow of a medium with a Newtonian or non-Newtonian temperature and pressure dependent rheology forced to deform with horizontal velocity  $u_0$  at the surface and zero horizontal velocity at great depth. The depth interval in which there is shear in the horizontal velocity  $u$  is the asthenosphere. The asthenosphere is simply a zone of decoupling for the plate; it is not required that a shallow return flow occurs within its depth range. Conservation of mass requires a vertical transport with velocity  $v$  to balance the variation in horizontal mass flux due to the change in  $u(x)$  with age  $(x/u_0)$  or distance from the ridge  $x$ . The temperature equation includes advection of heat by both the horizontal and vertical motions, the viscous dissipation of the flow, and variable thermal conductivity.

We are interested in describing the structure of the oceanic lithosphere and asthenosphere which extends over horizontal distances of 1000 - 10,000 km but is only 100 to 300 km thick; thus we have again  $y/x \ll 1$  and  $\partial/\partial x \ll \partial/\partial y$ . With the Boussinesq assumption, conservation of mass is

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = 0 \quad (17)$$

$v/u$  is  $O(y/x)$  and  $u \frac{\partial}{\partial x}$  is  $O(v \frac{\partial}{\partial y})$ . These order of magnitude relationships permit us to write the temperature equation in the approximate form

$$\rho c_p \left( u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} \right) = k \frac{\partial^2 T}{\partial y^2} + \left( \frac{\partial T}{\partial y} \right)^2 \frac{\partial k}{\partial T} + \tau \frac{\partial u}{\partial y} \quad (18)$$

where  $\tau$  is the shear stress acting parallel to a horizontal surface element in the medium. The third term on the right hand side of (18) is the dominant contribution to shear stress heating or viscous dissipation.

The rheological law connecting shear stress and strain rate takes the simplified form

$$\frac{\partial u}{\partial y} = - \frac{2B_n}{T} \tau^n \exp\left\{ - \frac{(E^* + pV^*)}{RT} \right\} \quad (19)$$

where  $E^*$  is the activation energy,  $V^*$  is the activation volume,  $p$  is the pressure,  $R$  is the gas constant and  $B_n$  is a proportionality factor. For Newtonian flow  $n = 1$  and for non-Newtonian flow  $n$  is larger than 1. We will assume in the following that  $n = 3$  for non-Newtonian flow, a value appropriate for the deformation of olivine. The  $T^{-1}$  factor is not resolved in experiments, but derives from theoretical models of dislocation motions.

If we assume infinite Prandtl number so that the momentum equation expresses a balance between pressure, viscous and gravity forces and further assume zero horizontal pressure gradient, then we may approximate the pressure by its hydrostatic value and the horizontal momentum equation becomes

$$\frac{\partial \tau}{\partial y} = 0 \quad (20)$$

We take the horizontal pressure gradient to be zero since we are mainly interested in the relatively simple case of asthenospheric decoupling of a rigid moving lithosphere from a quasi-static deep mantle. A basically horizontal shear flow can accomplish this decoupling without the imposition of an external horizontal pressure gradient. We will consider the effect of a non-zero pressure gradient in driving a return flow in the oceanic asthenosphere in a subsequent paper. The boundary conditions for (17) - (20) are

$$\begin{aligned} u &= u_0, \quad T = T_0, \quad v = 0 \quad \text{on } y = 0, \\ u &\rightarrow 0, \quad T \rightarrow T_\infty \quad \text{as } y \rightarrow \infty \end{aligned} \quad (21)$$

Equations (17) - (20) are very similar to the ones investigated by Froidevaux and Schubert (1975) in their study of the continental asthenosphere. The equations for the continental asthenosphere were strictly one-dimensional, i.e.  $v = 0$  and  $\frac{\partial}{\partial x} = 0$ . However, the cooling of the oceanic lithosphere as it moves away from the ridge and ages requires that we include the advective terms on the left hand side of the temperature equation in our description of the oceanic asthenosphere. The equations are also similar to the ones used by Schubert and Turcotte (1972) in their one-dimensional analysis of a return flow

in the oceanic asthenosphere. Schubert and Turcotte (1972) did not include the advective terms in the temperature equation but they partly accounted for lithospheric cooling by integrating the one-dimensional equations with respect to depth, starting at an a priori specified depth where the oceanic temperature was assumed to already have risen to a sufficiently high value, over 800 °C, that flow readily occurred, i.e. they began their integration essentially at the base of the lithosphere whose depth and temperature were assumed. In this paper, however, we integrate the equations from the surface of the ocean floor downward and determine the thermal and mechanical structures of the oceanic lithosphere and asthenosphere self-consistently.

We solve the system (17) - (21) by a method of successive approximation which can, in principle, be carried through to an exact solution of the two-dimensional problem. In the first step, we assume that the form of the simple boundary layer cooling solution for the temperature structure of the lithosphere (henceforth when we refer to simple boundary layer cooling we mean the case  $u \equiv u_0$ ,  $v \equiv 0$  and variable  $k$ ) provides a way of relating local horizontal derivatives to local vertical derivatives. Since boundary layer cooling with  $u \equiv u_0$  and  $v \equiv 0$  gives a thermal structure dependent on  $y$  and  $x$ , in the combination  $y/\sqrt{x}$ , we initially take

$$\frac{\partial}{\partial x} = -\frac{1}{2} \frac{y}{x} \frac{\partial}{\partial y} \quad (22)$$

Then the system (17) - (21) reduces to a set of ordinary non-linear differential equations with  $y$  as the independent variable and  $x$  as a parameter

$$\frac{y}{2x} \frac{du}{dy} = \frac{dv}{dy} \quad , \quad (23)$$

$$\rho c_p \left( v - \frac{1}{2} \frac{y}{x} u \right) \frac{dT}{dy} = k \frac{d^2 T}{dy^2} + \left( \frac{dk}{dT} \right) \left( \frac{dT}{dy} \right)^2 + \tau \frac{du}{dy} \quad , \quad (24)$$

$$\frac{du}{dy} = - \frac{2B_n}{T} \tau^n \exp \left( - \frac{(E^* + pV^*)}{RT} \right) \quad , \quad (25)$$

$$\frac{d\tau}{dy} = 0 \quad . \quad (26)$$

Because (23) - (26) are coupled equations with the parameter  $x$ , their solution subject to conditions (21) will depend on distance from the ridge, i.e. on age. The solution of (21) and (23) - (26) thus provides information on the age dependences of the thermal and mechanical properties of the lithosphere and asthenosphere not assumed a priori. A second approximation can further improve the solution. Instead of replacing  $\partial T / \partial x$  and  $\partial u / \partial x$  in (17) - (21) by the approximation (22), we can evaluate these derivatives, as functions of  $x$  and  $y$ , from the solutions of (21) and (23) - (26) since we can obtain these solutions at arbitrarily close values of  $x$ . Equations (23) - (26) would then be replaced by a more accurate set of ordinary differential

equations in  $y$ . By repeating this process a number of times, a solution of the two-dimensional problem can be obtained to any desired accuracy. In this paper, we obtain the solution to the first approximation only, i.e. we solve (21) and (23) - (26).

Equation (24) contains a second derivative of  $T$ ; the two boundary conditions on  $T$ , namely  $T = T_0$  on  $y = 0$  and  $T \rightarrow T_\infty$  as  $y \rightarrow \infty$  are thus sufficient to determine the temperature. Since (23) - (25) contain only first derivatives of velocity, the boundary conditions  $u = u_0$  and  $v = 0$  on  $y = 0$  suffice for the solution of the velocity field. From (26) we know that the shear stress is independent of depth. However, its value is not known a priori and further, it is a function of  $x$ . The condition  $u \rightarrow 0$  as  $y \rightarrow \infty$  is an additional constraint on the solution of (21) and (23) - (26) that serves to determine  $\tau$ . Thus we not only find the temperature and velocity fields by solving this system, but in addition we solve for the a priori unknown shear stress and its dependence on age. In connection with the age dependence of  $\tau$ , we note that if the pressure is to be approximated by the lithostatic pressure then

$$\frac{dp}{dy} = 0(\rho g) \gg \frac{d\tau}{dx}$$

where  $g$  is the acceleration of gravity. That this is an excellent approximation can be seen a posteriori from the solutions for  $\tau$ .

We will be interested in the profile of viscosity in the asthenosphere and the variation of the viscosity structure with age. Dynamic viscosity  $\eta$  is related to temperature and pressure by

$$\eta \equiv \frac{\tau}{2\dot{\epsilon}} = \frac{T}{2B_n \tau^{n-1}} \exp\left(\frac{E^* + pV^*}{RT}\right) \quad (27)$$

where  $\dot{\epsilon}$  is the strain rate  $\frac{-1}{2} \frac{\partial u}{\partial y}$ . Note that  $\eta$  depends on  $\tau$  for  $n \neq 1$ , i.e. that any viscosity value refers to a given stress level or rate of deformation for non-Newtonian rheologies. One speaks of an effective viscosity for such nonlinear rheologies.

## SOLUTIONS TO THE THERMO-MECHANICAL MODEL

Temperature and velocity profiles together with the value of the shear stress have been calculated on the basis of the set of equations and boundary conditions given above and for parameter values enumerated below. This section describes the results both for non-Newtonian and Newtonian rheologies and emphasizes the main characteristics of vertical structure of our model mantle at 2 ages, 10 My and 150 My. The variation of the lithosphere-asthenosphere structure with age will be presented in the next section.

### Parameter Values

Our numerical solutions are based on the following values of thermal, mechanical, and kinematic parameters. For the surface temperature  $T_0$  we take  $0^\circ\text{C}$  and for the temperature at great depth  $T_\infty$  we consider values between  $1200^\circ\text{C}$  and  $1600^\circ\text{C}$ . We have already noted that the value of thermal conductivity at the surface is  $0.01132 \text{ cal/cm sec K}$ , while (14) gives the value of  $k$  at temperature  $T$ . The pressure dependence of  $k$  is not included. The specific heat at constant pressure  $c_p$  is assumed to be  $0.27 \text{ cal/(g K)}$  and the density  $\rho$  is  $3.3 \text{ g/cm}^3$ . Thus the value of the thermal diffusivity at the surface temperature,  $\kappa_0 = \frac{k_0}{\rho c_p}$ , is  $1.27 \times 10^{-2} \text{ cm}^2/\text{sec}$ . The coefficient of thermal expansivity  $\alpha$  is somewhat uncertain and furthermore is temperature dependent. Its value enters our analysis only in the



calculation of the topography of the ocean floor; we consider averaged values of  $\alpha$  between  $3 \times 10^{-5} \text{ K}^{-1}$  and  $4 \times 10^{-5} \text{ K}^{-1}$  (Clark, 1966). To encompass the range of observed plate velocities in our calculations we take  $u_0$  equal to 2 cm/yr and 10 cm/yr, representative of the North Atlantic and Pacific oceans, respectively.

The extent to which the simple boundary layer cooling solutions are modified by two-dimensional shear flow and viscous dissipation therein depends on the type of rheology and rheological parameter values assumed for the deformation. We have investigated both Newtonian ( $n = 1$ ) and non-Newtonian ( $n = 3$ ) rheologies. In each case, two sets of rheological parameter values were considered, corresponding to materials which deform relatively easily and those which are relatively harder to shear.

The rheological parameter values are summarized in Table 1. Since the activation volume  $V^*$  is still an uncertain parameter we have considered it in the range 11-30  $\text{cm}^3/\text{mole}$ . It should be noted that the rheological parameter values for the Newtonian cases are based on quasi-theoretical estimates. Laboratory results for olivine lead to a nonlinear rheology with  $n = 3$ . The olivine data of Table 1 were not all available when the continental upper mantle model was investigated (Froidevaux and Schubert, 1975 (see, in particular, the note added in proof)). Thus the value of  $B$  used here for dry olivine differs from that used in our earlier paper. Another difference from

our continental model is the absence of both radioactive heat sources and heat flow from great depth. Such characteristics could be included in future extensions of the oceanic model.

#### Temperature Profiles in a Dry Olivine Mantle

We present the solutions of (23) - (26) with boundary conditions (21) for a number of cases based on the dry olivine rheological parameter values given in column 1 of Table 1. The solutions are for two values of  $u_0$ ,  $T_\infty$ , and  $V^*$  and two different ages.

First we concentrate on the temperature vs. depth curves for the case  $T_\infty = 1600^\circ\text{C}$ . The top of Figure 2 shows two temperature profiles for a plate moving at 2 cm/yr with respect to the lower mantle, one for an age of 10 My, the other for an age of 150 My. These profiles are essentially identical to the simple boundary layer cooling solution (7), so that viscous dissipation is negligible. This is still nearly the case when the plate velocity is 10 cm/yr, as illustrated at the bottom of Figure 2. However, here the 150 My temperature curve, in fact, lies slightly above the corresponding boundary layer cooling solution (not shown on the figure), so that the heat flow at the surface is 1.13 HFU as compared to the boundary layer cooling result of 1.09 HFU (see Table 2).

Such dissipative effects are more pronounced in Figure 3, which is similar to Figure 2, but has  $T_{\infty} = 1200^{\circ}\text{C}$ , i.e. a cooler region at great depth. For an age of 10 My the temperature profile is still identical to the boundary layer cooling solution for a slow plate (top of figure) but departs slightly from the boundary layer cooling solution (dotted curve) for a fast plate (bottom of figure). At 150 My shear heating represents an important contribution to the heat balance and the temperature profile departs significantly from that of the simple boundary layer cooling case (dotted curve). This introduces a dependence of the thermal structure on the activation volume  $V^*$ . The temperature profiles for  $V^*$  between 11 and  $30 \text{ cm}^3/\text{mole}$  lie in the shaded regions between the curves appropriate to these limiting values of  $V^*$ . Higher activation volumes imply higher temperatures for a given depth. Notice finally that the bump on the T-curve is more pronounced for the fast plate (bottom of Figure 3) than for the slow plate (top of Figure 3). At 150 My and  $T_{\infty} = 1200^{\circ}\text{C}$ , the calculated surface heat flow is thus larger than the value 0.82 HFU for boundary layer cooling. Depending on  $V^*$  and plate velocity,  $q_0$  can be as large as 1.08 HFU (see Table 2).

We have thus seen that for certain plate ages and velocities, it is physically possible to have a steady state temperature vs. depth curve which exhibits a change in the sign of its curvature. This, in a one-dimensional model,

would only be possible if heat sinks were present, if the thermal conductivity  $k$  had a peculiar  $T$ -dependence or, of course, if the situation were not steady state. Here, this phenomenon is related to the steady state advection of colder material from below in the two-dimensional flow field which will be described shortly.

The importance of shear heating in the oceanic asthenosphere is also illustrated by the fact that the functional dependence of  $q_0$  on  $(T_\infty - T_0)$  is no longer the linear one predicted by the simple boundary layer cooling solution (see (15)). This is shown in Figure 4 where the calculated value of  $q_0$  at 1000 km from the ridge is shown vs.  $(T_\infty - T_0)$  for plate velocities of 2, 5 and 10 cm/yr. The lower branches of the curves refer to the non-Newtonian cases discussed so far and the Newtonian I rheology (Table 1); the upper branches are for the Newtonian II rheology (Table 1). Above about 1400 °C the linear relationship holds almost exactly, i.e. viscous dissipation is negligible. For larger distances from the ridge we have seen that shear heating is noticeable even for  $T_\infty = 1600$  °C (see  $q_0$  in Table 2). Thus the nonlinearity would be much more noticeable for older ages than those of Figure 4.

#### Depth of the Decoupling Shear Zone

Horizontal velocity distributions  $u(y)$  corresponding to the above described temperature profiles for a dry olivine mantle are also shown in Figures 2 and 3. The

associated viscosity profiles  $\eta(y)$  are given in Figures 5 and 6, respectively; calculated values of the shear stress  $\tau$  are listed in Table 3.

In Figures 2 and 3 we see that the horizontal velocity drops from its surface value of 2 or 10 cm/yr to zero in a narrow zone of shear, which we can also call the asthenosphere since it corresponds to a region where the viscosity reaches a minimum as seen in Figures 5 and 6. The rather strong rise in viscosity below the asthenosphere is related to the particular boundary condition we impose at great depth, i.e.  $T = T_\infty$ . For a finite heat flow from great depth,  $q_\infty \neq 0$ , the viscosity would rise less steeply below the asthenosphere.

The shear zone corresponding to a given geotherm is located in a depth range which depends upon the value of  $V^*$ , even when the geotherm is independent of  $V^*$ . For  $V^* = 30 \text{ cm}^3/\text{mole}$  the reduction in horizontal velocity takes place at shallower depth than for  $V^* = 11 \text{ cm}^3/\text{mole}$ . Since all solutions for intermediate values of  $V^*$  fall in between these two extreme velocity profiles, the included area has been shaded to indicate the range of possible solutions due to the uncertainty in the value of  $V^*$ . The observed upward shift of the shear zone when  $V^*$  increases, everything else being equal, simply indicates that when pressure effects in (25) or (27) become important, the system adjusts by moving up the shear zone. The depth of the shear zone is

a compromise between the opposing effects of temperature and pressure which tend to move the shear zone downward and upward, respectively. Thus in a given situation, the actual depth of the asthenosphere is determined by the particular values of the rheological parameters.

The width of the shear zone can be defined as the depth difference between  $u = 0.95 u_0$  and  $u = 0.05 u_0$ . From the figures we see that the widths range from 60 to 150 km for an age of 10 My.

For a given value of the rheological parameter  $V^*$ , the width of the asthenosphere does not depend very strongly on the plate velocity or age. This is apparent from the figures since the respective  $u$  profiles are almost parallel to each other. One should realize that the boundary condition  $q_\infty = 0$  at great depth has an influence on the location of the bottom of the shear zone. A nonzero value of  $q_\infty$  is expected to extend the asthenosphere to greater depth.

The depth of the top of the shear zone, i.e. the plate thickness, does not vary significantly with plate velocity, as can be seen by comparing any pair of corresponding curves  $u(y)$  for 2 and 10 cm/yr from the top and bottom parts of Figures 2 or 3. The plate thickness, on the other hand, exhibits a variation with age which will be analyzed in the next section. Here we notice, by inspection of Figures 5 and 6, that the value of the viscosity in the asthenosphere, i.e. in the shear zone, increases by up to one order of magnitude as the plate age increases from 10 to 150 My. For example, at a velocity of 2 cm/yr and  $V^* = 30 \text{ cm}^3/\text{mole}$  we find, from Figures 5 and 6, that the viscosity minima for

$T_{\infty} = 1600$  °C are  $5.5 \times 10^{19}$  and  $2.0 \times 10^{21}$  poises, for 10 and 150 My, respectively, and for  $T_{\infty} = 1200$  °C the viscosity minima are  $1.2 \times 10^{21}$  and  $1.2 \times 10^{22}$  poises for the two ages. This trend with age is less pronounced in cases where shear heating gives rise to strong departures of the old age geotherm from the simple boundary layer cooling solution. This is seen for the fast plate with  $T_{\infty} = 1200$  °C at the bottom of Figure 6.

The values of the shear stress  $\tau$  obtained for the 16 cases discussed so far are listed in Table 3. The increase with age of the viscosity in the shear zone is seen to correspond to an increase of the shear stress with age. For instance, in the two examples just discussed, one sees that  $\tau$  varies from 0.90 to 17.0 bars in the example of Figure 5 ( $T_{\infty} = 1600$  °C) and from 24 to 193 bars in the example of Figure 6 (1200 °C), as age increases from 10 to 150 My. The latter value of  $\tau$  is high and corresponds to a cold mantle ( $T_{\infty} = 1200$  °C) and an old (150 My), slow ( $u_0 = 2$  cm/yr) plate with a stiff rheology ( $V^* = 30$  cm<sup>3</sup>/mole). Having this same plate move at 10 cm/yr generates more shear heating and  $\tau$  drops to 75.5 bars. One notices the relatively lower magnitudes of the shear stresses for  $T_{\infty} = 1600$  °C as compared with  $T_{\infty} = 1200$  °C.

In situations where the entire heat production inside the model mantle is due to shear heating (Schubert and Turcotte, 1972; Froidevaux and Schubert, 1975) the shear stress  $\tau$  is inversely proportional to the plate velocity

$u_0$  if the heat flow is kept constant. Indeed, this heat flow is equal to the energy dissipated  $\tau u_0$  in a steady state regime. As heat is introduced by radioactive sources or as in this paper, by advection, this simple behavior is no longer true. Table 3 illustrates this point. An increase of velocity by a factor 5, everything else being equal, produces an increase in  $\tau$  by a factor  $<2$ . When strong viscous heating effects are present ( $T_\infty = 1200$  °C, age of 150 My) the shear stress decreases by a factor 1.4 to 2.5. The assumption that  $\tau$  is proportional to  $u_0$ , used by various authors (Solomon and Sleep, 1974; Forsyth and Uyeda, 1975; Solomon et al., 1975) in their discussions of plate motion and of the possible driving forces, is thus not generally supported by thermo-mechanical models of plate decoupling.

#### Vertical Velocity Fields

The vertical velocity  $v(y)$ , taken as positive upward, is also illustrated in Figures 5 and 6 for the 16 cases based on a dry olivine rheology. For an age of 10 My,  $v$  increases from zero just at the top of the asthenosphere and approaches an asymptotic value of about 0.4 cm/yr in the mantle below the asthenosphere. This is true both for slow and fast moving plates. For 150 My the asymptotic value of  $v$  drops below 0.1 cm/yr.



The upward motion supplies the mass required for the accretion of the thickening plate. One may rationalize the fact that the asymptotic value of  $v$  is essentially dependent upon age, but independent of the plate velocity, by writing, from the continuity equation,

$$\int_0^y dv = \frac{d}{dx} \int_0^y u dy \quad (28)$$

or, because  $x = (u_0 \cdot \text{age})$  and  $v_0 = 0$ ,

$$v_\infty = \frac{d}{d(\text{age})} \int_0^\infty \left(\frac{u}{u_0}\right) dy \quad (29)$$

For a given age, the  $u/u_0$  profiles as plotted in Figures 2 or 3 are practically identical for slow and fast plates. Thus the above integral is nearly independent of plate velocity and so is  $v_\infty$ . This result follows immediately if one assumes that the plate thickness is a function of age only. The next section will show that there are small departures from this approximation but that it is correct to within 20%. The spread in values of  $v_\infty$  for a given age is also about 20%.

A posteriori we may notice that the assumption  $v \ll u$  is valid within the boundary layer for ages larger than 10 My, but would not hold much nearer to the ridge axis. We recall also that shear heating due to  $\frac{\partial v}{\partial x}$  was neglected in (18); a posteriori we see this term to be small compared to  $\frac{\partial u}{\partial y}$ .

### Results for a Wet Olivine Mantle

Calculations based on the olivine rheology given in the second column of Table 1 have been carried out to determine the effects of the presence of water in the mantle on the thermal and mechanical structure of the oceanic lithosphere and asthenosphere. Figure 7 compares the solutions for a wet and dry olivine mantle, both with  $V^* = 30 \text{ cm}^3/\text{mole}$ ,  $T_\infty = 1200 \text{ }^\circ\text{C}$  and  $u_0 = 10 \text{ cm/yr}$ . The simple boundary layer cooling profile (conduction) for an age of 150 My is shown for reference. The T and u profiles are only slightly different. The largest effect is at 150 My where the softer "wet" rheology reduces the amplitude of the viscous dissipation bump on the geotherm.

The smaller value of  $E^*$  in the wet olivine case yields values of the minimum viscosity  $\eta_{\text{min}}$  in the asthenosphere and values of the shear stress  $\tau$  which are lower than those of corresponding dry olivine cases (see Table 4). Again we see that the drop in viscosity and shear stress is more marked at young age since at older ages shear heating can have some compensating feedback effect on the temperature. This feedback phenomenon was dominant in our study of the continental asthenosphere (Froidevaux and Schubert, 1975).

### Solutions for a Newtonian Rheology

Six solutions for a Newtonian rheology with parameter values given in Table 1 are shown in Figures 8 (u and T) and

9 ( $\eta$ ) for a fast plate ( $u_0 = 10$  cm/yr) and a cold lower mantle ( $T_\infty = 1200$  °C). The solid curves are for the Newtonian I case with  $V^*$  equal to either 11 or 30 cm<sup>3</sup>/mole. The same convention as in previous figures identifies a particular value of  $V^*$ . Recall that the shear zone is shallower for larger  $V^*$ . The shaded regions again denote the range of solutions for intermediate values of  $V^*$ , in the Newtonian I case. The dashed curves are the solutions for the Newtonian II set of parameter values.

The temperature, velocity and viscosity profiles can first be compared with the results for a dry olivine mantle shown at the bottoms of Figures 3 and 6, corresponding to the same values of  $u_0$  and  $T_\infty$ . The viscous heating bumps on the temperature profiles are much more marked in the Newtonian cases and thus the depths of the shear zones are rather shallower. The relatively higher temperatures lead to values of the viscosity minimum between  $10^{20}$  and  $10^{21}$  poises for the Newtonian I parameters. These values do not differ much from those of the corresponding dry non-Newtonian cases. Furthermore, the shear stress values are only a factor 1.5 to 2 larger than the corresponding  $\tau$  values for the dry non-Newtonian cases at 10 My and become equivalent at 150 My (see Tables 3 and 5).

The comparison just discussed was between a rather readily deformable Newtonian rheology (Froidevaux and Schubert, 1975) and the relatively undeformable, dry olivine nonlinear case. We have seen that in the

presence of water the non-Newtonian rheology is much "softer". Therefore, it may be more appropriate to compare the Newtonian I rheology with the wet olivine case, since both correspond to about the same value of the activation energy  $E^*$ . This comparison shows the non-Newtonian mechanism to be more efficient than the Newtonian I case, at least for the situation  $T_{\infty} = 1200$  °C and  $u_0 = 10$  cm/yr.

The Newtonian II rheology leads to solutions with viscosities and shear stresses which are larger than those for the non-Newtonian cases, as can be seen in Figure 9 and Table 5. The Newtonian II set of rheological parameters therefore also describes a mechanism of deformation which is not competitive with the nonlinear olivine rheology. This remark applies again to a cold mantle ( $T_{\infty} = 1200$  °C) and a fast plate.

#### The Dominant Mechanism of Deformation

The Newtonian law of deformation for solids is based on atomic diffusion across grains under the influence of applied stress (Nabarro, 1948). In laboratory deformed olivine, this behavior has never been observed because the non-Newtonian process, based on the motion of dislocations (Weertman, 1970), is much more efficient. Naturally deformed peridotite nodules exhibit sub-grain structures and dislocation densities similar to those of samples deformed in the laboratory (Nicolas et al., 1973). Thus,

one might expect the non-Newtonian behavior to be dominant in the asthenosphere, wherein the peridotite nodules are believed to originate.

Our calculations can provide some insight to the question of what is the dominant mode of deformation in the mantle since we can compare values of shear stress for different sets of rheological parameters, other conditions being similar. However, there can be no definitive answer to this question since rheological parameter values for Newtonian deformation are purely speculative and we can only comment on the particular cases, Newtonian I and II, studied here.

Figure 10 shows the values of shear stress at 1000 km from the ridge crest for a fast plate ( $u_0 = 10$  cm/yr) and for four different sets of rheological parameters as functions of the postulated temperature increment between the surface and the mantle below the asthenosphere ( $T_\infty - T_0$ ). The shear stress for wet olivine (curve b) is always smaller than  $\tau$  for a dry olivine rheology (curve a). Similarly, the Newtonian I (curve d) shear stress is always smaller than that of the Newtonian II case (curve c).

The important comparison one would like to make, however, is between Newtonian and non-Newtonian cases for a dry or for a wet mantle. In the latter case, one compares curves d and b (if, in fact, the Newtonian I case represents the linear deformation of wet mantle rocks). For

$(T_{\infty} - T_0)$  less than  $1350^{\circ}\text{C}$  the non-Newtonian rheology yields smaller values of  $\tau$  than the Newtonian I rheology; for  $(T_{\infty} - T_0) > 1350^{\circ}\text{C}$  the situation is reversed. Thus, at 1000 km from the ridge, i.e. for an age of 10 My, the dislocation mechanism is dominant for a relatively cold mantle, whereas for a relatively warm mantle the diffusion mechanism is more efficient. One can thus conceive a situation in which the deformation is essentially Newtonian near the ridge, where we shall see that stress values are small, and non-Newtonian under old oceans, where our calculations show stress values to be larger.

We speculate that the Newtonian II rheology may be appropriate to a dry mantle. It may also be the case that the linear deformation of dry mantle rocks is even more difficult than that of the Newtonian II case. Figure 10 shows that the dry non-Newtonian rheology yields values of  $\tau$  (curve a) smaller than those for the Newtonian II case (curve c) for  $(T_{\infty} - T_0) < 1600^{\circ}\text{C}$ . Thus at 1000 km from the ridge and for  $u_0 = 10$  cm/yr, the dislocation mechanism would be more efficient if the mantle is dry.

The comparisons discussed above are illustrative of those that must be made at all distances from the ridge as a function of  $u_0$  and  $(T_{\infty} - T_0)$ . Different mechanisms of deformation may be dominant in different locations relative to ridge and trench and for different plate velocities and sub-asthenospheric temperatures. Non-Newtonian behavior certainly prevails in regions of large stress, whereas

Newtonian deformation takes over in regions of low stress. On Figure 10 the cross-over occurs around 1 bar. For high enough temperatures at shallow depth, (19) can still lead to important deformations even for low stress values. The limitation on the present state of knowledge of the rheological behavior of the mantle comes partly from the fact that laboratory experiments cannot be performed at low enough stress to measure the Newtonian parameters.

AGE DEPENDENCES OF THERMAL AND MECHANICAL  
PROPERTIES OF THE LITHOSPHERE AND ASTHENOSPHERE

Lithosphere Thickness

In discussing the thickness of the lithosphere and how this thickness increases with age, one must first be precise about the definition of this quantity. Since the term lithosphere is generally understood to refer to the essentially rigid plates at the Earth's surface, a mechanical definition of lithosphere thickness seems appropriate. We define lithosphere thickness to be the depth at which  $u/u_0 = 0.95$ ; above this depth there is essentially no deformation, while below it there is a region of strong shear, the asthenosphere. Others, because of either the geophysical data they consider (seismic or heat flow) or limitations of the theoretical model they use to describe the lithosphere (the mechanical structure of the lithosphere has not heretofore been modelled) have employed the term lithospheric thickness to indicate the depth of a particular isotherm or a characteristic depth of a seismic wave velocity model.

Figure 11 shows the thickness of the rigid lithosphere, defined above, as a function of  $(\text{age})^{1/2}$  and age, for different rheologies, rheological parameter values, plate velocities and for a deep temperature  $T_\infty = 1200^\circ\text{C}$ . The solid curves



are for the dry non-Newtonian rheology; for the upper two solid curves  $V^* = 11 \text{ cm}^3/\text{mole}$  and for the lower two solid curves  $V^* = 30 \text{ cm}^3/\text{mole}$ . Plate velocity distinguishes between the two solid curves for each value of  $V^*$ ; the one with the larger thickness is for  $u_0 = 2 \text{ cm/yr}$ , the other is for  $u_0 = 10 \text{ cm/yr}$ . The dashed curves are for the Newtonian I rheology and  $u_0 = 10 \text{ cm/yr}$ ; the upper dashed curve is for  $V^* = 11 \text{ cm}^3/\text{mole}$ , the lower one is for  $V^* = 30 \text{ cm}^3/\text{mole}$ . Finally, the long-short dashed curve is for the Newtonian II rheology and  $u_0 = 10 \text{ cm/yr}$ .

The lithosphere is observed to thicken with age, but it grows more slowly than  $(\text{age})^{1/2}$ . As we noted earlier, the lithosphere is thicker the smaller the activation volume and the smaller the plate velocity, other quantities being the same. In general, the rate of growth of the lithosphere decreases with age, an effect which appears to be more pronounced under conditions wherein viscous dissipation contributes significantly to the thermo-mechanical structure (thus, for  $T_\infty = 1600 \text{ }^\circ\text{C}$  the effect would be less apparent). For example, in the case of the dry non-Newtonian rheology with  $V^* = 30 \text{ cm}^3/\text{mole}$ ,  $T_\infty = 1200 \text{ }^\circ\text{C}$  and  $u_0 = 10 \text{ cm/yr}$ , the lithosphere thickness at an age of 10 My is 40 km. If this lithosphere thickened with  $(\text{age})^{1/2}$  it would be about 155 km thick at 150 My; however, according to Figure 11 it is only 100 km thick at 150 My. The thickening of the lithosphere with age is mainly the result of boundary layer

cooling. Viscous heating, especially at older ages tends to reduce the rate of growth of the boundary layer or lithosphere.

Although, as discussed above, we cannot directly compare our determinations of lithosphere thickness based on the mechanical definition with that inferred from model inversions of surface wave phase velocities (Leeds et al., 1974; Forsyth, 1975; Leeds, 1975), a qualitative comparison may be worth noting. Leeds (1975) concludes that the lithosphere continues to thicken even 100 My after formation, in agreement with the results of our study. A quantitative comparison of the rate of thickening is unwarranted in view of the differences in definition of lithosphere thickness and the dependence of the seismically inferred thickness on the model used in the inversions. Another piece of evidence for a continuous thickening of the oceanic lithosphere may be the fact that old plates require a longer time to be thermally equilibrated after they plunge into the mantle at the trenches (Deffeyes, 1972).

Our results are to be contrasted with those of McKenzie (1967) and Parsons and Sclater (1976) who assume, a priori, a lithosphere of constant thickness and Oldenburg (1975) who finds that the lithosphere thickens proportionally with  $(\text{age})^{\frac{1}{2}}$ .

### Heat Flow

Figures 12 and 13 show the surface heat flux  $q_0$  in HFU ( $1 \mu\text{cal}/\text{cm}^2\text{-sec}$ ) as a function of age in My. Also included in the figures are curves of shear stress as a function of age; these will be discussed in a separate sub-section. The heat flow curves show values of  $q_0$  which decrease with age. Figure 12 presents the heat flow for the non-Newtonian rheology; cases a and b are dry olivine and wet olivine, respectively, with  $v^* = 30 \text{ cm}^3/\text{mole}$ . The curves in the upper part of the figure are for  $u_0 = 2 \text{ cm/yr}$  and those in the lower part have  $u_0 = 10 \text{ cm/yr}$ . Heat flux results shown in Figure 13 are based on the Newtonian rheologies, the solid curves for the Newtonian I parameter values and the dashed curve for the Newtonian II case. The numbers 30 and 11 labelling the solid curves in Figure 13 refer to the values of activation volume in  $\text{cm}^3/\text{mole}$ . The deep temperature  $T_0$  for the results of both Figures 12 and 13 was again  $1200^\circ\text{C}$ . Finally, the dotted lines in the figures show the heat flow  $q_0$  as a function of age for the simple boundary layer cooling situation, wherein the surface heat flux decreases with the reciprocal of  $(\text{age})^{1/2}$ . The dotted lines therefore have slopes of  $-1/2$ .

The solutions of Figures 12 and 13, which include thermo-mechanical coupling and viscous dissipation, show noticeable departures from the inverse square root dependence on age. The departure of  $q_0$  from the inverse

square root dependence on age becomes more pronounced with increasing age; all the curves have an upward curvature and show a tendency to flatten at old ages. There is a difference between the  $q_0$  of the simple boundary layer cooling solution and the solutions of Figures 12 and 13 even at young ages. Particularly apparent is the excess heat flux at 10 My and older associated with viscous dissipation in two of the Newtonian cases of Figure 13 and in the non-Newtonian case of Figure 12,  $u_0 = 10$  cm/yr (curves a and b in the lower part of Figure 12). For the slowly moving plate ( $u_0 = 2$  cm/yr, upper part of Figure 12), shear heating effects for the wet olivine rheology are noticeable only after about 100 My, while the dry olivine rheology leads to appreciable viscous dissipation beyond about 20 My.

The heat generated by viscous dissipation adds to that of boundary layer cooling and tends to displace the  $q_0$  curve upward from the straight line associated with boundary layer cooling, and because shear heating becomes more important with age, it tends to flatten the  $q_0$  curve with increasing age. A comparison of the Newtonian curves in Figure 13 shows that the larger  $V^*$ , the larger the contribution of viscous dissipation to  $q_0$  and the greater the flattening of the  $q_0$  vs. age curve at older ages, other quantities being equal. Figure 12 shows that there is a modest increase in  $q_0$  for higher values of plate velocity.

Sclater et al. (1976) have reconsidered the age dependence of heat flow averages for the North Pacific. Their heat flow averages included data only from sites with a thick layer of "impermeable" sediment, since it is generally believed that hydrothermal circulation can transport a significant amount of heat (Elder, 1965) whereas the measurements only reflect that portion of the total heat being conducted through the sediments (Lister, 1972, 1974). Figure 14 compares these average heat flows, shown by the shaded rectangles, with three theoretical surface heat flux curves derived from our model. The vertical and horizontal dimensions of each box are estimates of the uncertainties in heat flow and age, respectively (Sclater et al., 1976). The filled circles with associated error bars are data for the North Pacific from Sclater and Francheteau (1970). The solid curve is for a wet olivine rheology with an activation volume of  $11 \text{ cm}^3 \text{ mole}^{-1}$  and  $T_{\infty} = 1600 \text{ }^{\circ}\text{C}$ ; the long and short dashed curves are for  $V^* = 30 \text{ cm}^3 \text{ mole}^{-1}$  and  $11 \text{ cm}^3 \text{ mole}^{-1}$ , respectively, with a dry olivine rheology and  $T_{\infty} = 1200 \text{ }^{\circ}\text{C}$ . The plate velocity in all three cases is  $10 \text{ cm yr}^{-1}$ , appropriate for the fast Pacific plate.

The averages of Sclater et al. (1976) are consistent with a heat flow proportional to  $(\text{age})^{-\frac{1}{2}}$  for most of the ocean floor and suggest a flattening of the heat flow in the ocean floor older than about 100 My. These heat flow averages are in qualitative agreement with the results of our model. With  $T_{\infty} = 1200 \text{ }^{\circ}\text{C}$ , viscous heating produces a flattening of the theoretical heat flow curves for the older ocean floor. For  $T_{\infty} = 1600 \text{ }^{\circ}\text{C}$ , shear

heating does not contribute significantly to the theoretical surface heat flux. One might argue that available heat flow values for old oceans could be used to determine what  $T_{\infty}$  or what rheological parameters are appropriate for the Earth. Figure 14 shows, however, that oceanic heat flow measurements are not yet accurate enough to discriminate among the various cases. In particular, we do not feel that  $T_{\infty}$  can presently be inferred by this method. Additional factors not considered in our present model, such as mantle radioactivity, further complicate the task of choosing preferred values of  $T_{\infty}$  or rheological parameters.

#### Shear Stress

The dependence of shear stress  $\tau$  on age of the ocean floor is also shown in Figures 12 and 13; parameter values for the different curves follow the same convention as given previously for the heat flux values. Shear stress is seen, in general, to increase with age of the ocean floor; for the Newtonian II rheology, however, there is a slight decrease of  $\tau$  with age (Figure 13). A larger activation volume implies a larger  $\tau$ , other parameters being equal. The shear stress for dry olivine is larger than that of the wet olivine for the same value of  $V^*$ .

The magnitude of  $\tau$  lies between about 10 and 100 bars for the cases shown in Figures 12 and 13 ( $T_{\infty} = 1200^{\circ}\text{C}$ ). For very young ages, higher  $T_{\infty}$  values and for more readily deformable

rocks, e.g. a wet olivine with  $V^* = 11 \text{ cm}^3/\text{mole}$ ,  $\tau$  can be as small as a fraction of a bar to several bars. We have also seen in Figure 10 that higher sub-asthenospheric temperatures lead to lower values of shear stress. Solomon et al. (1975) have inferred shear stresses of a few bars at the base of oceanic plates from their studies of intraplate stresses.

One may integrate the shear stress vs. age relationships given in the figures to obtain the total drag force per unit length of ridge acting on a lithospheric plate. For both the Pacific and Atlantic plates the total drag force per unit length of ridge would be of the order of  $10^{16}$ - $10^{17}$  dynes/cm if conditions are such that the  $\tau$  values of Figures 12 and 13 apply. If this had to be balanced by a pull from the downgoing slab at the trench, it would imply a downward force per unit depth and per unit length of trench of 1-10 kbars. This may be somewhat too high although Schubert et al. (1975) have found that the downward force on the slab due to thermal contraction and phase boundary elevation may be several kbars per unit length of trench and per unit depth. The drag force may be one to two orders of magnitude smaller, especially for higher values of  $T_{\infty}$ . Froidevaux and Schubert (1975) found shear stresses beneath continents to be of the order of 200 bars for temperatures at 400 km comparable with  $T_{\infty}$  values considered here. The addition of radioactive heat sources in the continental mantle reduced the shear stress to 40 bars.. It is thus fair to say, by comparison, that the stresses under oceanic plates are smaller by one order of magnitude or more. It is quite possible however that

different rheological properties prevail under the two types of provinces. Our conclusion is in agreement with that of Forsyth and Uyeda (1975) based on their study of the relative forces acting on plates in dynamic equilibrium. They found that the drag resisting plate motion is stronger under the continents than under the oceans. The type of data required to throw some light on this problem is a detailed comparative study of the dislocation structure and density in the sheared peridotite nodules from oceanic and continental origin.

We have noted earlier that  $d\tau/dx$  must be small compared with  $\rho g$  if the mathematical formulation of our model is valid. From Figures 12 and 13 one finds that  $d\tau/dx$  is at most about  $0.5 \text{ dynes/cm}^3$  and is usually significantly less, whereas  $\rho g$  is about  $3 \times 10^3 \text{ dynes/cm}^3$ . Thus our approximation  $d\tau/dx \ll \rho g$  is an excellent one; it says that the pressure is purely lithostatic.

The net state of stress in the lithosphere is a complex superposition of thermal and mechanical stresses obviously not accounted for by our model. This precludes a direct comparison of intraplate stresses inferred from earthquake source mechanisms with the  $\tau$  values computed here. Since intraplate stresses inferred from earthquake source mechanisms and in-situ measurements reflect the resultant, in the elastic part of the lithosphere, of various large scale forces acting at plate boundaries (Sbar and Sykes, 1973) and of more local stresses, they



cannot directly compare with the shear stress values of our model. Our shear stress values, represent the viscous drag acting beneath the plates.

### Bathymetry

As discussed in the section on the mathematical formulation of our model, the thermal contraction and densification of the lithosphere as it moves away from the ridge and cools, can be interpreted as giving rise to the topography of the ocean floor via the mechanism of isostatic compensation. Using equation (4), we have calculated the depth of the ocean floor as a function of age for the dry non-Newtonian case with  $T_{\infty} = 1200$  °C,  $u_0 = 10$  cm/yr and  $V^* = 11$  and  $30$  cm<sup>3</sup>/mole. The results are shown in Figure 15 where the depth of the ocean is plotted as a function of  $(\text{age})^{1/2}$ ; the upper part of the figure is for an expansion coefficient  $\alpha = 3 \times 10^{-5}$  K<sup>-1</sup> and the lower part is for  $\alpha = 4 \times 10^{-5}$  K<sup>-1</sup>. The shaded region again represents the range of topographic solutions for intermediate values of  $V^*$ . The figure also includes the topography associated with the simple boundary layer cooling solution computed on the basis of (16) (the dashed curves). Finally, bathymetric data for the Pacific ocean, taken from the summary of Parsons and Sclater (1976), are shown on the figure (open circles are based on depths from topographic profiles, closed circles are based on depths from Joides sites).

Since depth increases as  $(\text{age})^{1/2}$  in the simple boundary cooling solution (equation (16)), the slopes of the straight

dashed lines indicate this functional dependence. Note that the slope depends on  $\alpha$  and thus is different in the upper and lower parts of the figure. It is clear that the data depart from the straight line for ages greater than 80-100 My. The ocean floor beyond 100 My is not as deep as would be predicted by simple boundary layer cooling. It is also obvious in the figure that our thermo-mechanical model of the oceanic lithosphere and asthenosphere yields depths which depart significantly from the  $(\text{age})^{\frac{3}{2}}$  law, showing considerable flattening as a function of age. In the upper part of the figure, for  $\alpha = 3 \times 10^{-5} \text{ K}^{-1}$ , it is seen that no reasonable value of  $V^*$  would yield topography in good agreement with the data while in the lower part of the figure, for  $\alpha = 4 \times 10^{-5} \text{ K}^{-1}$ , one can see that a  $V^*$  somewhat larger than  $11 \text{ cm}^3/\text{mole}$  would adequately match the observations. These statements are true for the boundary condition  $T_{\infty} = 1200 \text{ }^{\circ}\text{C}$ . For higher values of  $T_{\infty}$  shear heating effects are less pronounced and a higher  $V^*$  value and/or a smaller  $\alpha$  value are more appropriate to fit the data. Such trade-offs of parameters are often characteristic of geophysical modelling.

The flattening of ocean floor topography with age is a consequence of the viscous dissipation in our model. Frictional heating in the shear zone that constitutes the asthenosphere offsets the conductive cooling of the lithosphere as it moves away from the ridge. The shear heating tends to maintain the material at a higher temperature as a function of age than if the material were simply cooling by conduction. Thus less thermal contraction occurs and the lithosphere remains lighter with age;

the depth of the ocean floor therefore need not increase as rapidly as  $(\text{age})^{\frac{1}{2}}$  to maintain a state of isostatic compensation.

The model of Parsons and Sclater (1976) also shows an ocean floor topography that tends to flatten with age. However, their result is a consequence of an a-priori specified feature of their model, namely that the lithosphere is of constant thickness for all ages. There is no physical mechanism in their model, such as the shear heating in ours, which is responsible for the flattening of the topography. We recall that our results, discussed at the beginning of this section, show that the lithosphere continues to increase in thickness even at old ages.

### Seismic Velocities

Our model is that of a pure olivine mantle. This approximation is justified by the fact that olivine is the main constituent of the upper mantle, and that observations of peridotite nodules (Nicolas et al., 1973) show that olivine is more abundant and deforms more readily than the pyroxenes and other minerals contained therein. However, an investigation of the elastic properties of the oceanic mantle certainly requires a more adequate petrological model and the inclusion of possible phase changes. In particular, it should be decided whether or not the asthenosphere is a zone of partial melting. Our model does not require partial melting for a zone of mechanical decoupling, since solid state deformation yields sufficiently low

viscosities. On the other hand, it is known from the study of metallic phases (Auten and Gordon, 1975) that a small amount of partial melting does not substantially alter the subsolidus deformation law, since the grains must still be deformed in their bulk to allow shear on a large scale. This is also true for rocks (Arzi, 1972). Hence, we have not, till now, been concerned with whether the computed geotherms cross the solidus of mantle material.

Figure 16, representing seismic velocities vs. depth for ages of 10 My and 150 My, has been drawn on the basis of elastic parameters for pure dry olivine (Kumazawa and Anderson, 1969). The solid lines with the most pronounced velocity minima are for  $T_{\infty} = 1600^{\circ}\text{C}$ , the other solid lines are for  $T_{\infty} = 1200^{\circ}\text{C}$  and the area in between is shaded; both cases are for a slow plate ( $u_0 = 2 \text{ cm/yr}$ ). The dashed solutions are for  $u_0 = 10 \text{ cm/yr}$ ,  $T_{\infty} = 1200^{\circ}\text{C}$  and  $V^* = 30 \text{ cm}^3/\text{mole}$ , i.e. for a geotherm exhibiting a well developed shear heating bump. At 10 My this case falls on the solid line for  $T_{\infty} = 1200^{\circ}\text{C}$ . For their preferred continental geotherm under old shields, Froidevaux and Schubert (1975) obtained a flat seismic velocity profile in the asthenosphere. Here, on the contrary, a marked minimum develops around 50 km depth for an age of 10 My and below 100 km depth for an age of 150 My. This contrast between oceanic and continental upper mantle seismic velocity profiles is in good agreement with seismological evidence (Knopoff, 1972; Press, 1972; Jordan, 1975). Furthermore, the amplitude

of this seismic velocity drop, as seen from Figure 16, is of the right magnitude, between 0.2 and 0.45 km/sec, for shear waves. The presence of a molten phase would of course amplify these drops, perhaps by an embarrassingly large amount.

Studies of Rayleigh waves (Leeds, 1975) are usually analyzed by assuming a constant value of  $v_s$  in the top part of the upper mantle, the seismologist's lithosphere, and a value 0.5 km/sec lower in the low velocity channel, the seismologist's asthenosphere. Our model suggests that the velocity drop, obtained on the basis of pressure and temperature effects alone for an unsophisticated petrology, is smaller for older ages, as can be seen in Figure 16. This conclusion would still be qualitatively correct if partial melting occurred in the shear zone. The flattening of the geotherm with age implies that partial melting would be more pronounced, or restricted, to young ages. For example, Figure 17 shows that the temperature profiles of the purely thermal solutions of (7) for  $T_\infty = 1200^\circ\text{C}$  do not intersect the solidus curve for a dry mantle

(curve a). In the presence of hydrated minerals (curve b) this conclusion still holds. For higher values of  $T_\infty$  the temperature of the solidus is exceeded, in particular for young ages. Curve 'c' in Figure 17 is the solidus for a completely wet mantle. The solid lines a, b and c are from Kushiro et al. (1968). The dashed solidus is that given by Ito and Kennedy (1967) for dry peridotite.

These remarks are not applicable to the region of the ridge crest, since our model is for ages larger than 10 My. At the ridge crest, the high attenuation of seismic waves testifies to the presence of a fraction of melt (Solomon, 1973), also required to generate the tholeiitic basalts forming the new oceanic crust. What is strongly needed is a series of seismological experiments parallel to the ridge axis with ocean-bottom seismometers. This should help to settle the problem of the extent of partial melting in the oceanic asthenosphere.

The low velocity channel corresponding to strong temperature gradients in the oceanic lithosphere does not coincide, in depth, with the shear zone. This can be seen by comparing the depths of the seismic velocity minima in Figure 16 with the depths of the viscosity minima in Figures 3 or 6. The seismologist's low velocity zone is thus probably shallower than the asthenosphere defined as the zone of decoupling of the plate from the mantle below.

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## CONCLUDING REMARKS

Previous models of the oceanic lithosphere have all been purely thermal, i.e. the velocity fields are not derivable therefrom in a self-consistent manner owing to the coupling of thermal and mechanical fields via the dependence of viscosity on temperature and via viscous dissipation. The main emphasis in this paper has been on the development of a coupled thermomechanical subsolidus model of the oceanic lithosphere and asthenosphere and on the exploration of the consequences thereof. For example, what departures from the simple  $(\text{age})^{\frac{3}{2}}$  dependences for such observables as ocean floor depth result from thermomechanical coupling? Also, what are the velocity profiles beneath the oceans and what are the mechanical lithosphere and asthenosphere thicknesses derived therefrom?

We have found that simple boundary layer cooling with its direct and inverse proportionalities of ocean bottom depth and ocean floor heat flux on  $(\text{age})^{\frac{3}{2}}$  is predominant at young ages (not too near the ridge however). At older ages, effects of viscous dissipation become more important, since lithospheric cooling and thickening tends to place the asthenosphere at greater depths where the pressure-dependence of the viscosity leads to higher values of viscosity in the asthenosphere. Shear heating causes the ocean to deepen less rapidly than  $(\text{age})^{\frac{1}{2}}$ , the lithosphere to thicken more slowly than  $(\text{age})^{\frac{1}{2}}$ , and the surface heat flow to decrease

less rapidly than  $(\text{age})^{-\frac{1}{2}}$ . The magnitudes of the shear heating effects depend not only on age, but also on the plate velocity and the activation volume of the deformation process.

Shear stresses and values of the minimum viscosity in the asthenosphere generally increase as the age of the ocean floor and are substantially smaller under the oceans than under the continents, again mainly because of the greater depth of the continental asthenosphere coupled with the pressure-dependence of the viscosity. It is important to remember that the depth of the asthenosphere is not imposed a priori but is calculated. Thus the drag forces beneath plates with continents are larger than those beneath oceanic plates.

Because of our emphasis on studying the physical effects of thermomechanical coupling on lithosphere and asthenosphere structure, we have not attempted to adjust unknown parameters such as  $V^*$  and  $T_\infty$  to obtain the best quantitative fit of our model results with observations of such quantities as heat flux and topography. Nor have we tried to distinguish which of the rheological laws provide the best fit to such data. Our qualitative comparisons with data leave no doubt that parameters can be adjusted to have physically reasonable values which provide good quantitative fits to heat flow and topographic data, for example. In fact, with  $V^*$ ,  $T_\infty$  and the rheological law to vary, in addition to other parameters (e.g.  $\alpha$  in



the case of ocean floor topography), it is probably true that many different combinations of parameter values will provide adequate fits to data.

More importantly, a number of physical effects not included in our present study should be investigated before attempting to quantitatively fit observations. For example, the influences of radioactive heat sources in the oceanic upper mantle and crust and a nonzero heat flow from great depth need to be determined. We have already seen in our study of the continental asthenosphere that the addition of radioactivity could significantly reduce the shear stress.

We need also to investigate the effects of a possible shallow return flow in the asthenosphere forced by a nonzero adverse pressure gradient. The approach of the present paper can readily be modified to include this effect thereby extending the work of Schubert and Turcotte (1972). In particular, it is important to determine if a shallow return flow is compatible with gravimetric and bathymetric data on the basis of the more complete approach developed here. As regards shear heating effects and associated departures from  $(age)^{\frac{1}{2}}$  laws it is clear that they are more important in the return flow case.

Our present study of the oceanic lithosphere and asthenosphere, like our previous investigation of the

continental asthenosphere, emphasizes the importance to geodynamic modelling of acquiring more laboratory data on the thermal and mechanical properties of minerals present in the mantle. Particularly important in this connection are determinations of the activation volume for olivine. In terms of field measurements, seismic observations which yield velocity profiles and attenuation data as a function of ocean floor age are vital for further progress in our understanding of the structure of the oceanic lithosphere and asthenosphere. It is equally hoped that studies of phase equilibria and deformation patterns in peridotite nodules found in kimberlites (Africa, Siberia) and in basaltic lava flows (volcanic islands and continents) will soon provide more constraints on the temperature and velocity profiles, as well as on the magnitudes of shear stress. A major question which such observations could help answer is the extent to which the asthenosphere may be partially melted away from the ridge.

## ACKNOWLEDGMENT

This work was partly supported by the Earth Sciences Section, National Science Foundation, NSF Grant GA 40749; by the National Aeronautics and Space Administration, NSG 7002; and by the University of Paris-Sud, Orsay where Gerald Schubert was a Visiting Professor.

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DRY NON-NEWTONIAN (OLIVINE)	WET NON-NEWTONIAN (OLIVINE)	NEWTONIAN I	NEWTONIAN II
n = 3	n = 3	n = 1	n = 1
$E^* = 125 \frac{\text{kcal}}{\text{mole}}$	$E^* = 93 \frac{\text{kcal}}{\text{mole}}$	$E^* = 95 \frac{\text{kcal}}{\text{mole}}$	$E^* = 104 \frac{\text{kcal}}{\text{mole}}$
$V^* = 11-30 \frac{\text{cm}^3}{\text{mole}}$	$V^* = 11-30 \frac{\text{cm}^3}{\text{mole}}$	$V^* = 11-30 \frac{\text{cm}^3}{\text{mole}}$	$V^* = 9 \frac{\text{cm}^3}{\text{mole}}$
$B_3 = 6.45 \times 10^{-13} \frac{\text{cm}^3 \text{sec}^5 \text{K}}{\text{gm}^3}$	$B_3 = 5.41 \times 10^{-15} \frac{\text{cm}^3 \text{sec}^5 \text{K}}{\text{gm}^3}$	$B_1 = 2.4 \times 10^{-3} \frac{\text{cmsecK}}{\text{gm}}$	$B_1 = 1.81 \times 10^{-4} \frac{\text{cmsecK}}{\text{gm}}$
Kohlstedt and Goetze (1974) and Kohlstedt et al. (1975)	Post (1973)	Froidevaux and Schubert (1975)	Schubert and Turcotte (1972)

Table 1. Rheological Parameter Values

$u_0$ (cm/yr)	$v^*$ ( $\frac{\text{cm}^3}{\text{mole}}$ ) AGE	1200 °C		1600 °C	
		11	30	11	30
2	10 My	3.16*	3.16*	4.23*	4.23*
10		3.17	3.23	4.23*	4.23*
2	150 My	0.82*	0.93	1.09*	1.09*
10		0.88	1.08	1.09*	1.13

Table 2. Surface heat flow values  $q_0$  for a dry non-Newtonian rheology. Here  $q_0$  is defined positive upward and is given in HFU ( $\mu\text{cal}/\text{cm}^2 \text{ sec}$ ). The asterisk denotes that the value is identical to that of simple boundary layer cooling.

$u_0$ (cm/yr)	$V^* \left( \frac{\text{cm}^3}{\text{mole}} \right)$	$T_\infty$	1200 °C		1600 °C	
			11	30	11	30
2	10 My		7.2	24.0	0.31	0.90
			10		11.8	34.0
2	150 My		36.0	193	1.03	17.0
			10		25.8	75.5

Table 3. Shear stress values (bars) for the dry non-Newtonian solutions.

AGE	RHEOLOGY	DRY OLIVINE	WET OLIVINE
10 My	Value of $\eta_{\min}$ (poises)	$3.1 \times 10^{20}$	$6.5 \times 10^{19}$
150 My		$7.7 \times 10^{20}$	$6.8 \times 10^{20}$
10 My	Value of $\tau$ (bars)	34	6.2
150 My		75	44

Table 4. Comparison of viscosity minimum  $\eta_{\min}$  and shear stress  $\tau$  values for wet and dry olivine rheologies with  $T_{\infty}=1200^{\circ}\text{C}$ ,  $u_0=10$  cm/yr and  $V^*=30$  cm<sup>3</sup>/mole.

RHEOLOGY AGE	NEWTONIAN I $V^*=11 \text{ cm}^3/\text{mole}$	NEWTONIAN I $V^*=30 \text{ cm}^3/\text{mole}$	NEWTONIAN II
10 My	14.5	109	187
150 My	46.2	126	91.4

Table 5. Values of shear stress (bars) for the Newtonian solutions  
( $T_{\infty}=1200^{\circ}\text{C}$ ,  $u_0=10 \text{ cm/yr}$ ).

## FIGURE CAPTIONS

Figure 1. Sketch of the model showing the thickening oceanic lithosphere and the asthenosphere beneath. The left hand side of the diagram lists the quantities that are inputs to the model; the right hand side of the figure shows those quantities which are outputs from the calculations. Note the two-dimensional flow in the asthenosphere; the vertical motion supplies the material to the growing lithosphere.  $T$  is temperature,  $u$ ,  $v$  are horizontal and vertical velocities,  $\tau$  is shear stress. There are no sharp boundaries to the asthenosphere. The boundaries shown here have artistic value only.

Figure 2. Temperature  $T$  and horizontal velocity  $u$  profiles for a dry olivine rheology as defined in Table 1, column 1,  $T_{\infty} = 1600^{\circ}\text{C}$  and  $u_0 = 2$  and 10 cm/yr for the top and bottom parts, respectively. Solutions are plotted for two extreme values of  $V^*$ , 11 and 30  $\text{cm}^3/\text{mole}$ , and for two ages, 10 and 150 My. Temperature profiles are insensitive, at the accuracy of our graph, to the value of  $V^*$ . This is not so for the velocity curves. For a given age and  $u_0$  the lower  $u$  vs. depth curve always corresponds to  $V^* = 11 \text{ cm}^3/\text{mole}$ , the upper one to  $V^* = 30 \text{ cm}^3/\text{mole}$ . The shaded

area between two such curves represents the range of solutions for intermediate values of  $V^*$ . The  $T$  profiles obtained for the simple boundary layer cooling problem are identical with the two profiles seen at the top of this figure. For  $u_0 = 10$  cm/yr and an age of 150 My the above  $T$  vs. depth curve differs significantly from the boundary layer cooling solution.

Figure 3. Temperature  $T$  and horizontal velocity  $u$  profiles for a dry olivine rheology. The format is identical to that of Figure 2. Here  $T_\infty = 1200$  °C. For an age of 150 My the temperature profiles are now split. The upper curve is for  $V^* = 30$  cm<sup>3</sup>/mole, and the lower one is for  $V^* = 11$  cm<sup>3</sup>/mole. The splitting of the  $u$  profiles is as in Figure 2 and the shading again indicates the range of solutions for intermediate  $V^*$  values. The dotted curves represent the temperature profiles for simple boundary layer cooling with variable thermal conductivity. In the top part (slow plate) these solutions are practically identical to the 10 My and 150 My,  $V^* = 11$  cm<sup>3</sup>/mole, curves, respectively.

Figure 4. Surface heat flow vs. the temperature difference between the deep mantle and the surface ( $T_\infty - T_0$ ) at a distance of 1000 km from the ridge and for plate velocities of 10, 5 and 2 cm/yr. For a given plate velocity, the lower

branch is for both non-Newtonian rheologies of Table 1 as well as for the Newtonian I rheology and the upper branch is for the Newtonian II rheology. These results are for  $V^*=11 \text{ cm}^3/\text{mole}$ , except of course for the Newtonian II case.

Figure 5. Effective viscosity  $\eta(27)$  and vertical velocity  $v$  profiles for a dry olivine rheology and for the same  $T_\infty$ ,  $u_0$ ,  $V^*$  and age values as in Figure 2. The top part of the figure is for  $u_0 = 2 \text{ cm/yr}$  and the lower part is for  $u_0 = .10 \text{ cm/yr}$ . Vertical velocity is positive upward. For a given age, e.g. 10 My or 150 My, there are two solutions, one for  $V^* = 11 \text{ cm}^3/\text{mole}$ , the other for  $V^* = 30 \text{ cm}^3/\text{mole}$ , specifically indicated for the 10 My case at the top of the figure. For the other sets of solutions, the respective positions of the 11 and 30  $\text{cm}^3/\text{mole}$  curves are similar. The shaded areas only approximately represent the range of solutions for intermediate  $V^*$  values since solution curves for different  $V^*$  values intersect.

Figure 6. Effective viscosity  $\eta(27)$  and vertical velocity  $v$  profiles for a dry olivine rheology with  $T_\infty=1200 \text{ }^\circ\text{C}$  and  $u_0 = 2 \text{ cm/yr}$  (top) or  $10 \text{ cm/yr}$  (bottom). Vertical velocity is positive upward. The format is similar to that of Figure 5 and the solutions are the  $\eta$  and  $v$  profiles corresponding



to the  $T$  and  $u$  profiles of Figure 3. The shaded regions suggest the range of solutions for values of  $V^*$  between 11 and 30  $\text{cm}^3/\text{mole}$ .

Figure 7. Comparison of temperature  $T$  and horizontal velocity  $u$  profiles for wet and dry olivine rheologies for ages of 10 and 150 My and for  $T_\infty = 1200^\circ\text{C}$ ,  $u_0 = 10 \text{ cm/yr}$ ,  $V^* = 30 \text{ cm}^3/\text{mole}$ . The temperature profile at 150 My for simple boundary layer cooling is also shown for reference (dotted curve). The dotted curve for 10 My is practically identical to the temperature profile for the wet olivine case (solid curve at 10 My).

Figure 8. Temperature  $T$  and horizontal velocity  $u$  profiles for a Newtonian rheology with parameter values given in Table 1,  $T_\infty = 1200^\circ\text{C}$  and  $u_0 = 10 \text{ cm/yr}$ . The numbers on the figure indicate ages of 10 My and 150 My. For each age 3 solutions are shown. Solid lines correspond to the Newtonian I case and two values of  $V^*$ . The lower  $T$  and  $u$  profiles are for  $V^* = 11 \text{ cm}^3/\text{mole}$ , the upper ones for  $V^* = 30 \text{ cm}^3/\text{mole}$ . The shaded area between these curves indicates the range of solutions for intermediate values of  $V^*$ . The dashed lines are solutions for the Newtonian II case.

Figure 9. Viscosity profiles for a Newtonian rheology. Three solutions are shown for each of the ages 10 My and 150 My. They correspond to the cases illustrated in Figure 8. For the Newtonian I rheology (solid lines) and a particular age, the curve with the lower minimum viscosity corresponds to  $V^* = 11 \text{ cm}^3/\text{mole}$ , the other one to  $V^* = 30 \text{ cm}^3/\text{mole}$ . Again the area between these two curves is indicative of solutions for intermediate  $V^*$  values. The dashed curves are the viscosity profiles for the Newtonian II rheology. The inflection of the 10 My curve below the region of minimum viscosity is due to the large shear heating bump on the geotherm for that case. Remember however that the rapid increase of  $\eta$  below the asthenosphere is artificially imposed by the particular boundary condition we choose for great depth, i.e.  $T = T_\infty$ .

Figure 10. Shear stress as a function of sub-asthenospheric temperature ( $T_\infty - T_0$ ) for different sets of rheological parameter values and  $u_0 = 10 \text{ cm/yr}$ ,  $x = 1000 \text{ km}$ . a - dry olivine,  $V^* = 11 \text{ cm}^3/\text{mole}$ ; b - wet olivine,  $V^* = 11 \text{ cm}^3/\text{mole}$ ; c - Newtonian II; d - Newtonian I,  $V^* = 11 \text{ cm}^3/\text{mole}$ .

Figure 11. Lithospheric thickness (depth at which  $u/u_0 = 0.95$ ) as a function of  $(\text{age})^{1/2}$ . Solid curves - dry non-Newtonian, dashed curves - Newtonian I, long-short dashed curve - Newtonian II. The Newtonian curves are for  $u_0 = 10$  cm/yr. The upper dashed curve has  $V^* = 11$  cm<sup>3</sup>/mole, the lower dashed curve has  $V^* = 30$  cm<sup>3</sup>/mole. For the upper two solid curves  $V^* = 11$  cm<sup>3</sup>/mole, and for the lower two solid curves  $V^* = 30$  cm<sup>3</sup>/mole. The value of  $u_0$  distinguishes the solid curves with the same value of  $V^*$ ; the thicker lithosphere is for  $u_0 = 2$  cm/yr, the thinner one is for  $u_0 = 10$  cm/yr.

Figure 12. Surface heat flow and shear stress as functions of age. Heat flow decreases while shear stress increases with age. Results are for non-Newtonian rheology,  $V^* = 30$  cm<sup>3</sup>/mole and  $T_\infty = 1200$  °C. a - dry olivine; b - wet olivine. The curves in the upper part of the figure are for  $u_0 = 2$  cm/yr, those in the lower part are for  $u_0 = 10$  cm/yr. The dotted line is the surface heat flow vs. age for simple boundary layer cooling. It is a straight line with slope  $-1/2$ .

Figure 13. Surface heat flux and shear stress as functions of age.  $T_\infty = 1200$  °C, solid curves - Newtonian I,

dashed curves - Newtonian II. 30 and 11 are values of  $V^*$  in  $\text{cm}^3/\text{mole}$ . The dotted straight line is the simple boundary layer cooling, surface heat flow vs. age dependence; its slope is  $-\frac{1}{2}$ .

Figure 14. Heat flow at the ocean floor as a function of age for a wet olivine rheology with  $T_\infty=1600^\circ\text{C}$  and  $V^*=11\text{ cm}^3/\text{mole}$  (solid line), and for a dry olivine rheology with  $T_\infty=1200^\circ\text{C}$  and  $V^*=30\text{ cm}^3/\text{mole}$  (long-dashed line) and  $V^*=11\text{ cm}^3/\text{mole}$  (short-dashed line). In all cases the plate velocity is 10 cm/yr. Heat flow data are from Sclater et al. (1976) (shaded rectangles) and Sclater and Francheteau (1970) (filled circles).

Figure 15. Bathymetry of the ocean floor as a function of  $(\text{age})^{\frac{1}{2}}$  for a dry olivine rheology with  $T_\infty = 1200^\circ\text{C}$ ,  $u_0 = 10\text{ cm/yr}$  and  $V^* = 11$  and  $30\text{ cm}^3/\text{mole}$ . The shaded regions represent the bathymetry solutions for intermediate values of  $V^*$ . In the upper and lower parts of the figure  $\alpha$  is  $3 \times 10^{-5}\text{ K}^{-1}$  and  $4 \times 10^{-5}\text{ K}^{-1}$ , respectively. The dashed lines are the ocean floor depths for simple boundary layer cooling. The data points are from Parsons and Sclater (1975).

Figure 16. Seismic velocities ( $v_s$  - shear,  $v_p$  - compressional) vs. depth for 10 My and 150 My ages. For a

given age, the profile with the lower minimum value of velocity is for  $T_{\infty} = 1600^{\circ}\text{C}$ , while the other is for  $T_{\infty} = 1200^{\circ}\text{C}$ . The shaded area between two such solutions indicates the locations of velocity profiles for intermediate  $T_{\infty}$  values. These profiles are based on the elastic properties of pure dry olivine (Kumazawa and Anderson, 1969) and are calculated from the geotherms for dry olivine,  $u_0 = 2$  cm/yr (solid lines). The dashed lines are profiles for  $T_{\infty} = 1200^{\circ}\text{C}$ ,  $u_0 = 10$  cm/yr and  $v^* = 30$  cm<sup>3</sup>/mole. For 10 My, the dashed lines coincide with the  $T = 1200^{\circ}\text{C}$  solid lines.

Figure 17. Comparison of geotherms based on simple boundary layer cooling for 10 My and 150 My and  $T_{\infty} = 1200^{\circ}\text{C}$  and  $1600^{\circ}\text{C}$ , with various solidus curves. a - dry solidus; b - solidus in presence of hydrated minerals; c - wet solidus. These three solidi are from Kushiro et al. (1968). The dashed solidus is that of Ito and Kennedy (1967) for dry peridotite.

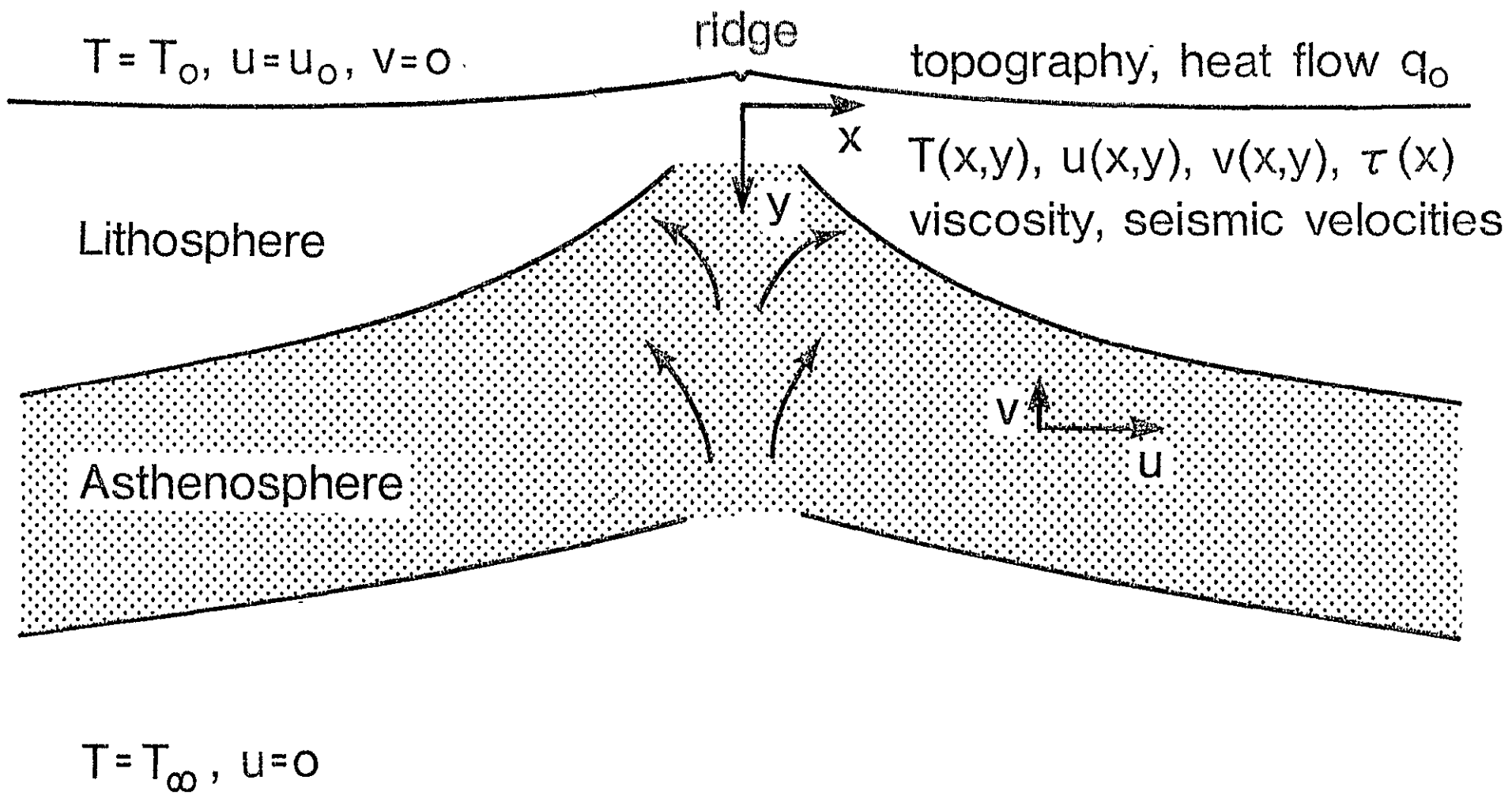


Fig. 1

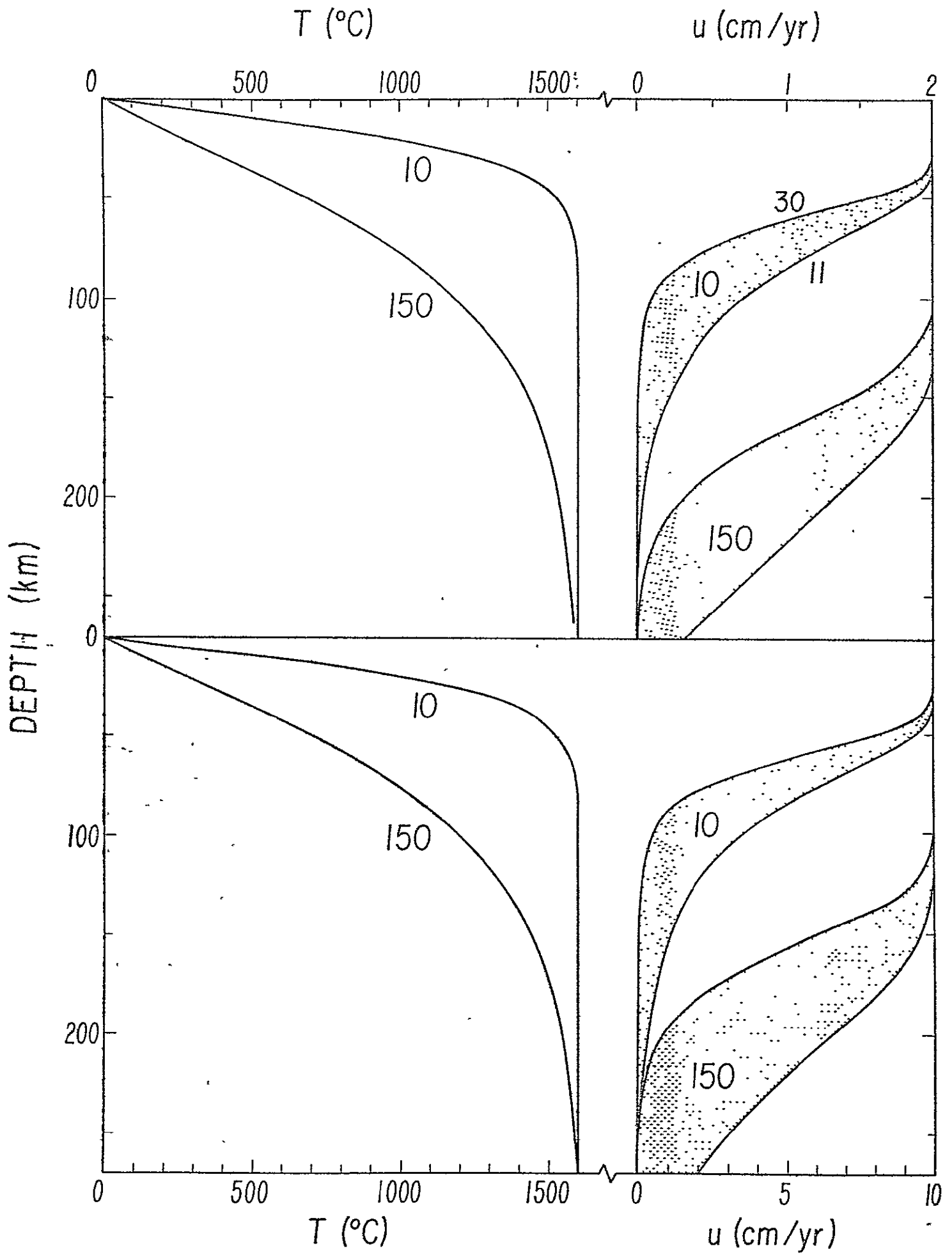


Fig. 2

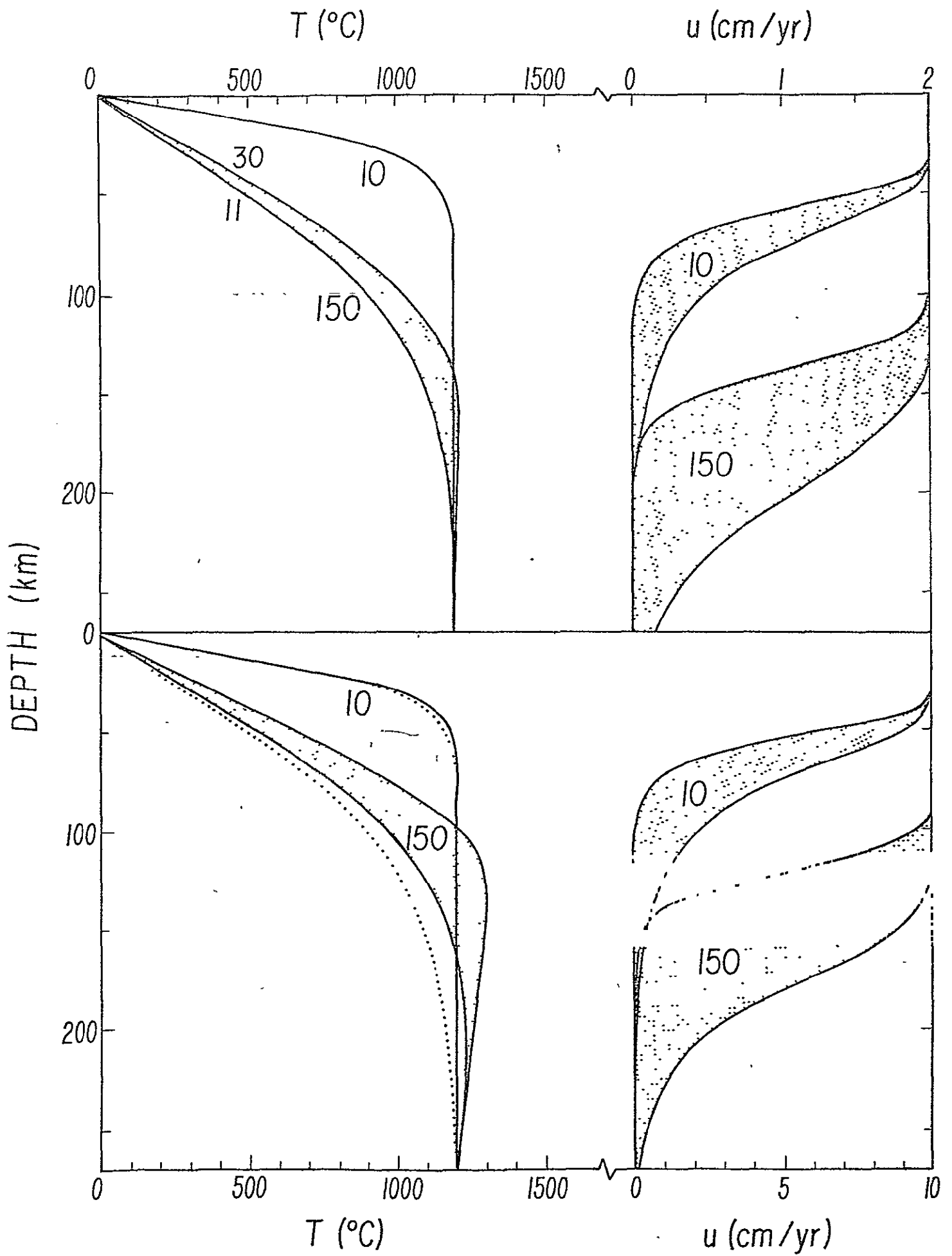


Fig. 3



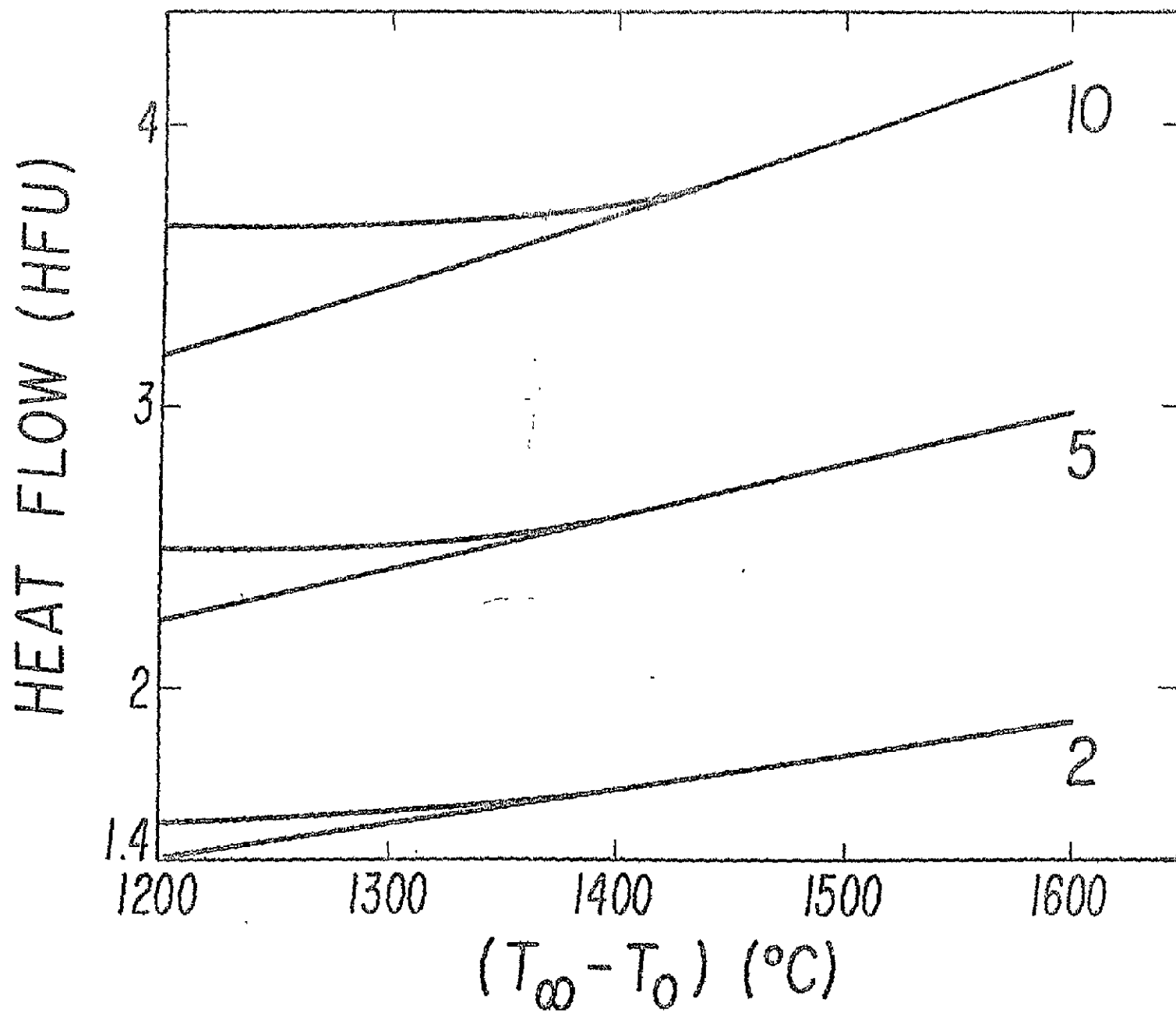


Fig. 4

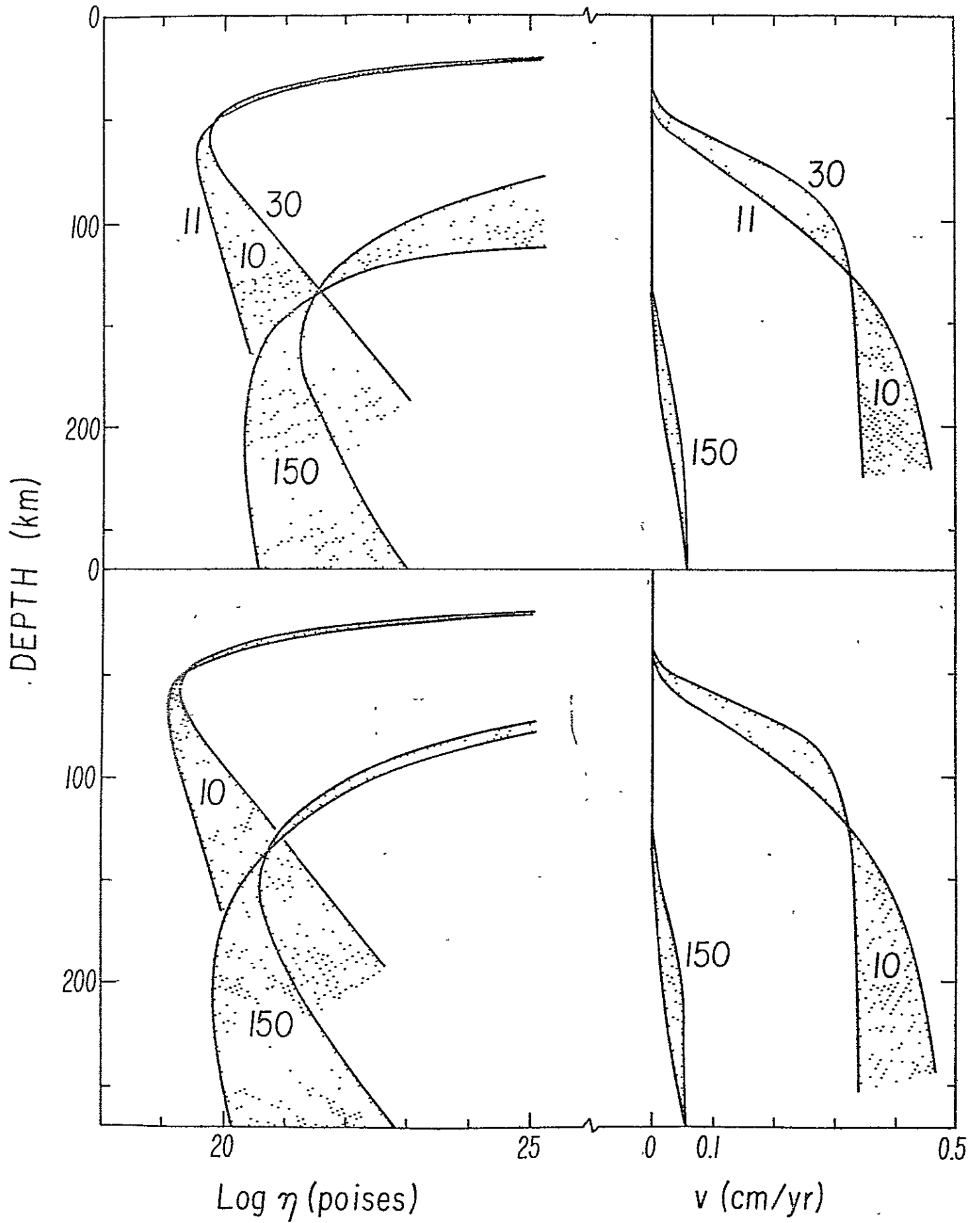


Fig. 5

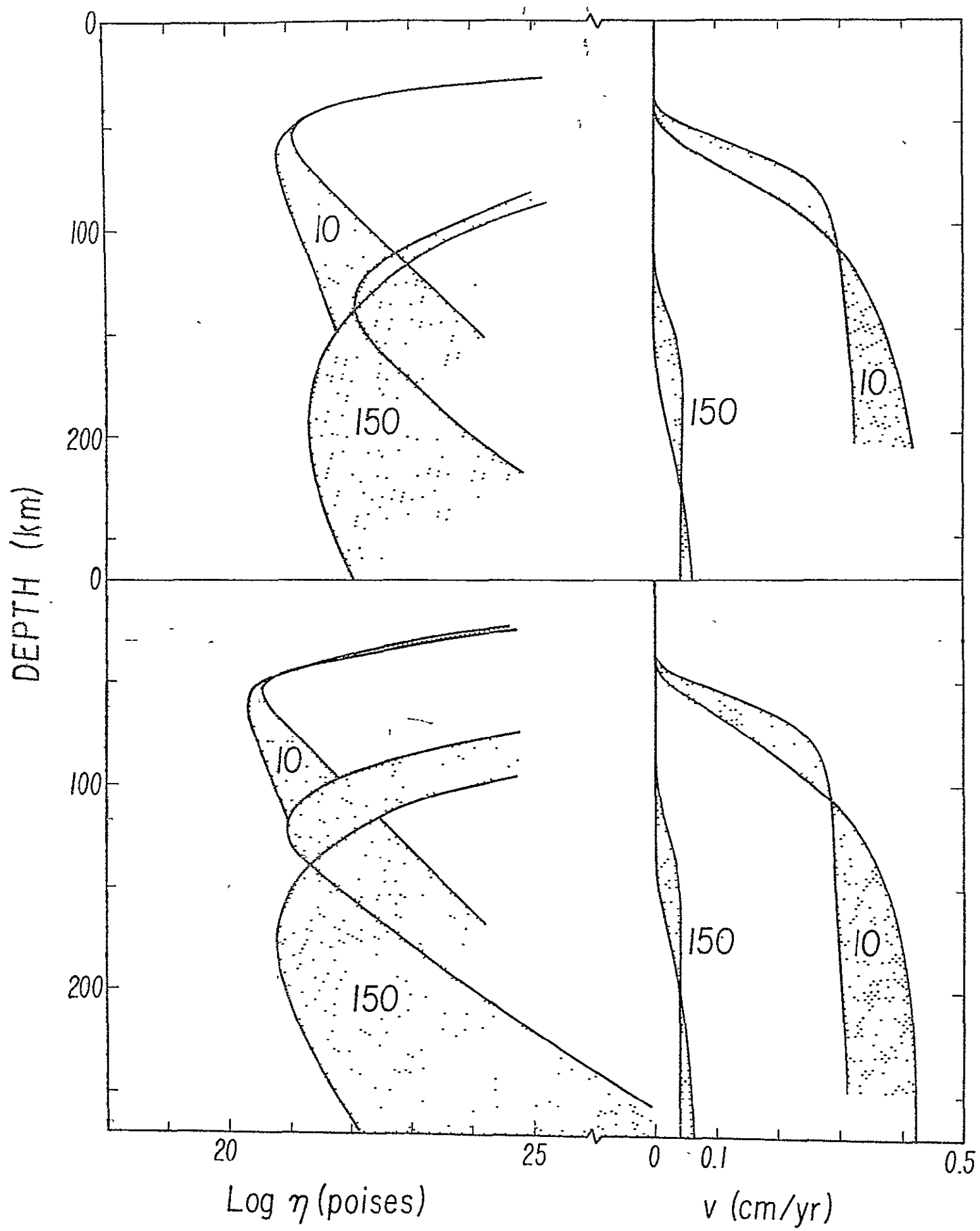


Fig. 6

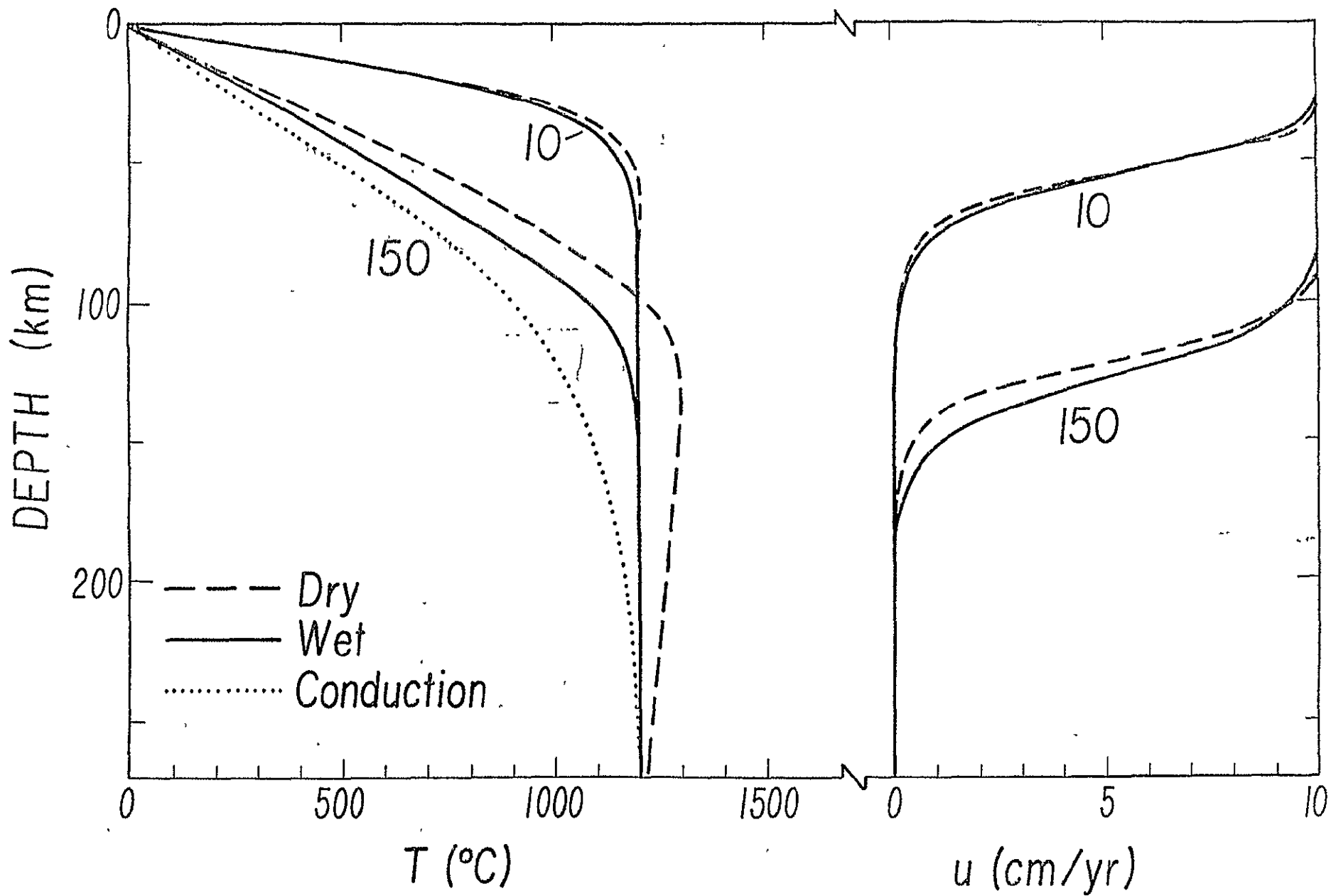


Fig. 7

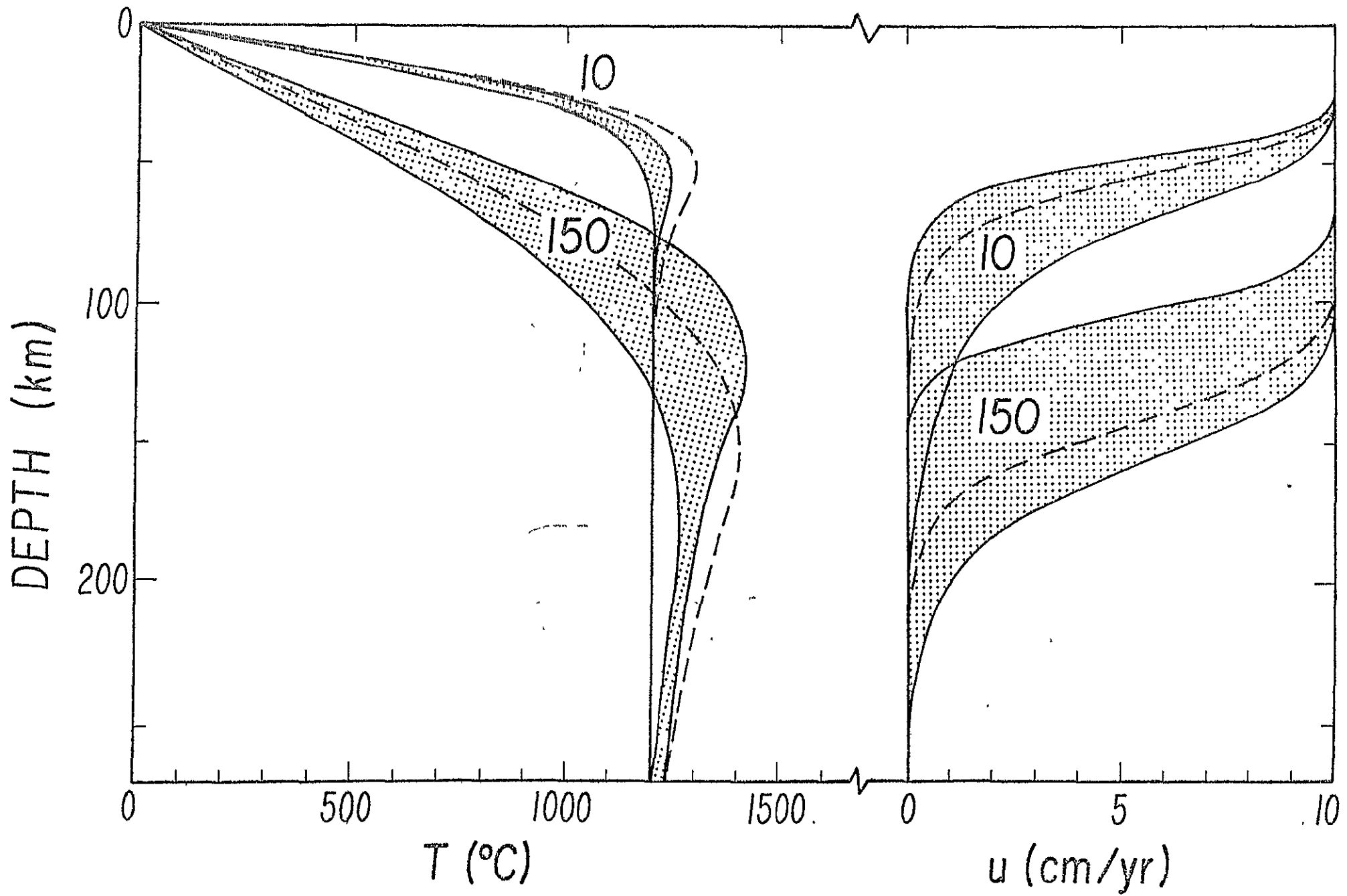


Fig. 8

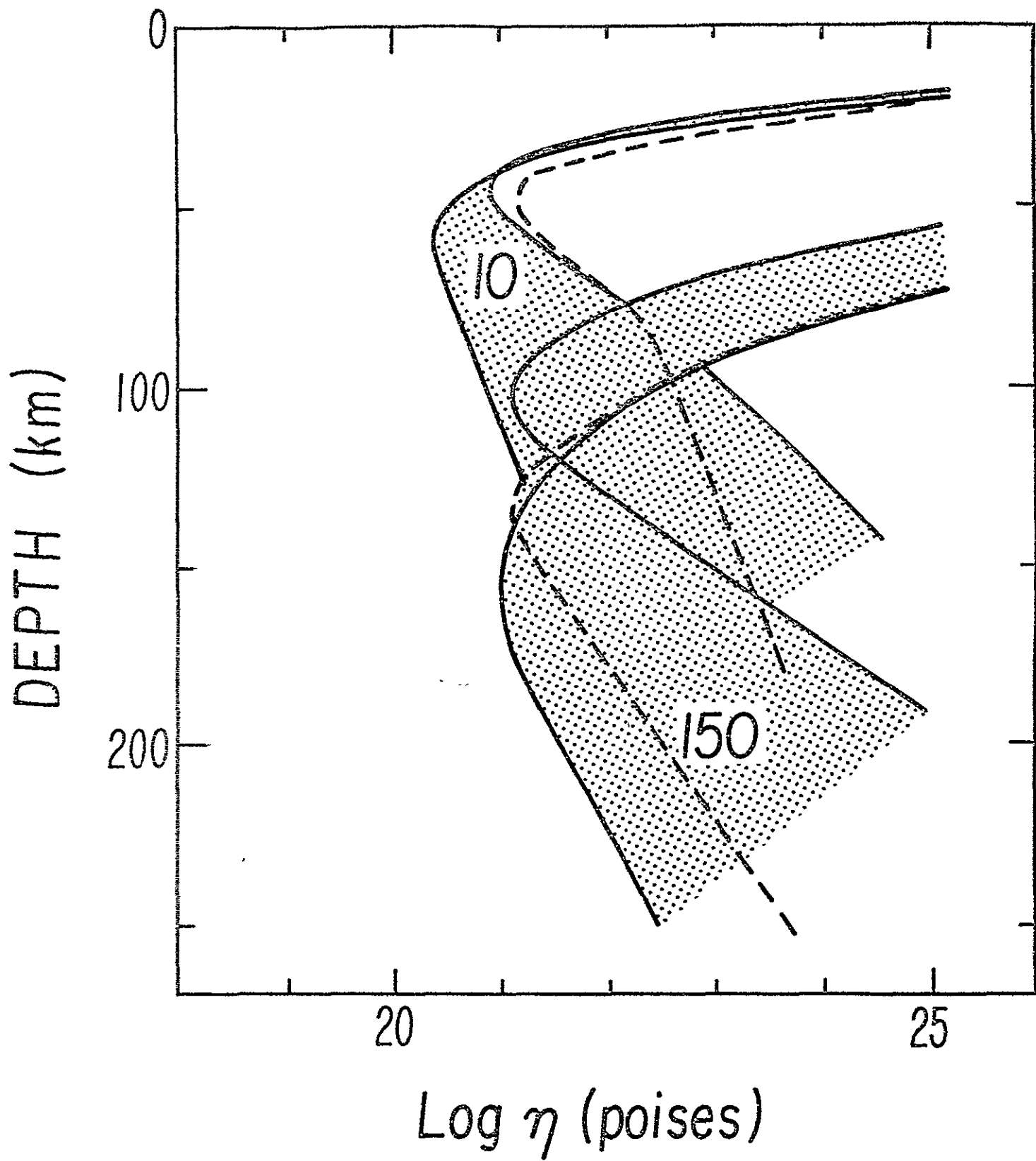


Fig. 9

SHEAR STRESS (bars)

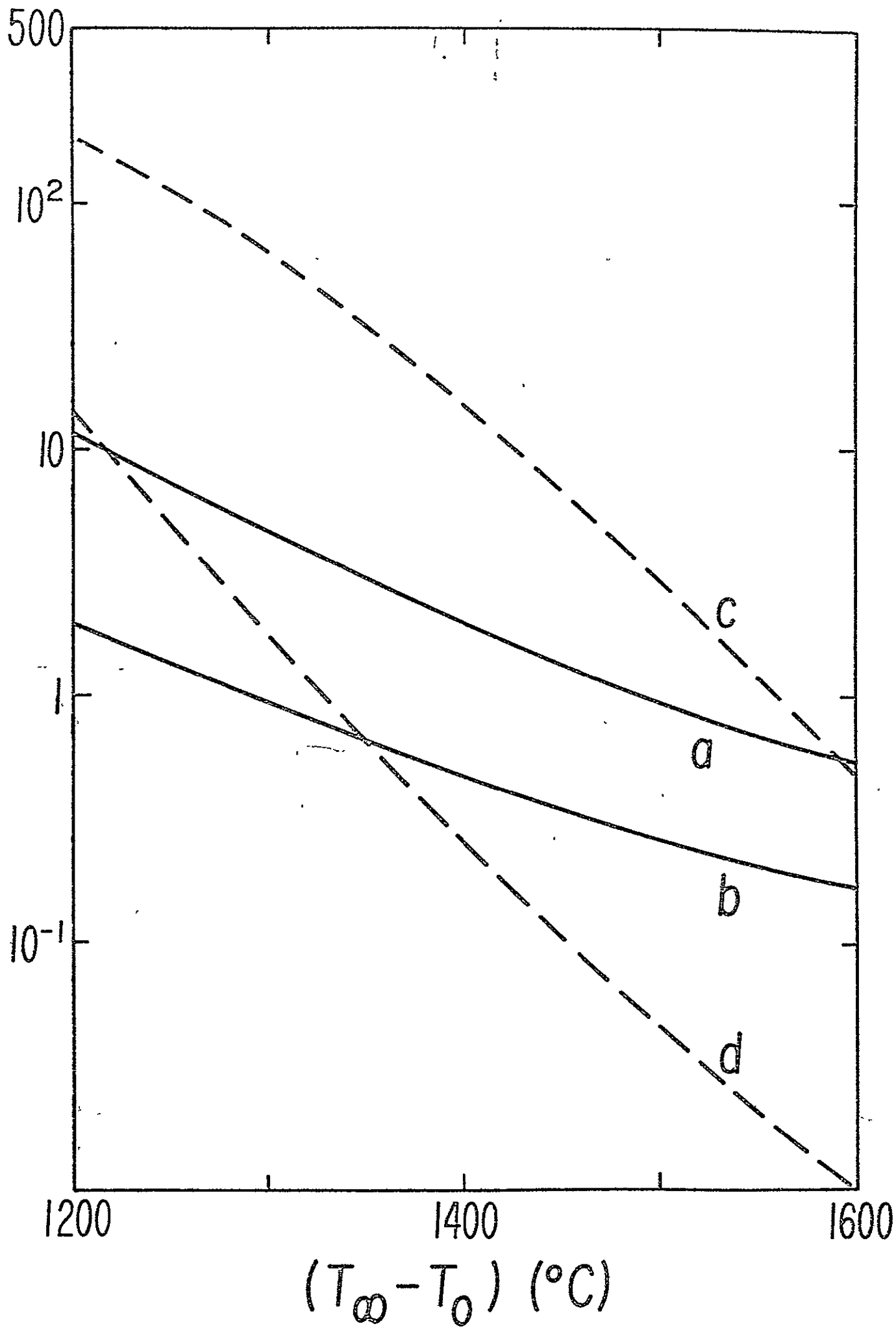


Fig. 10,

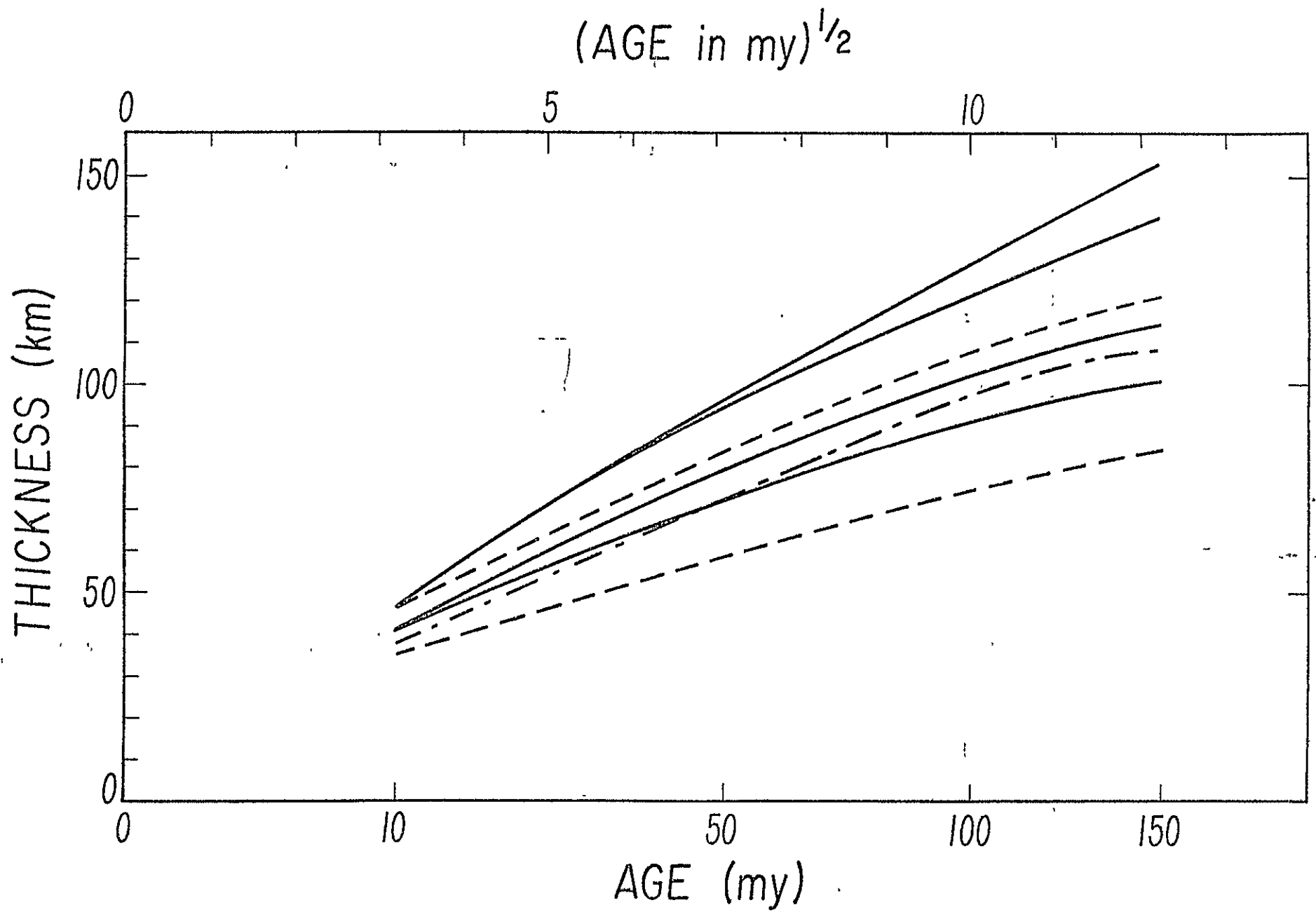


Fig. 11



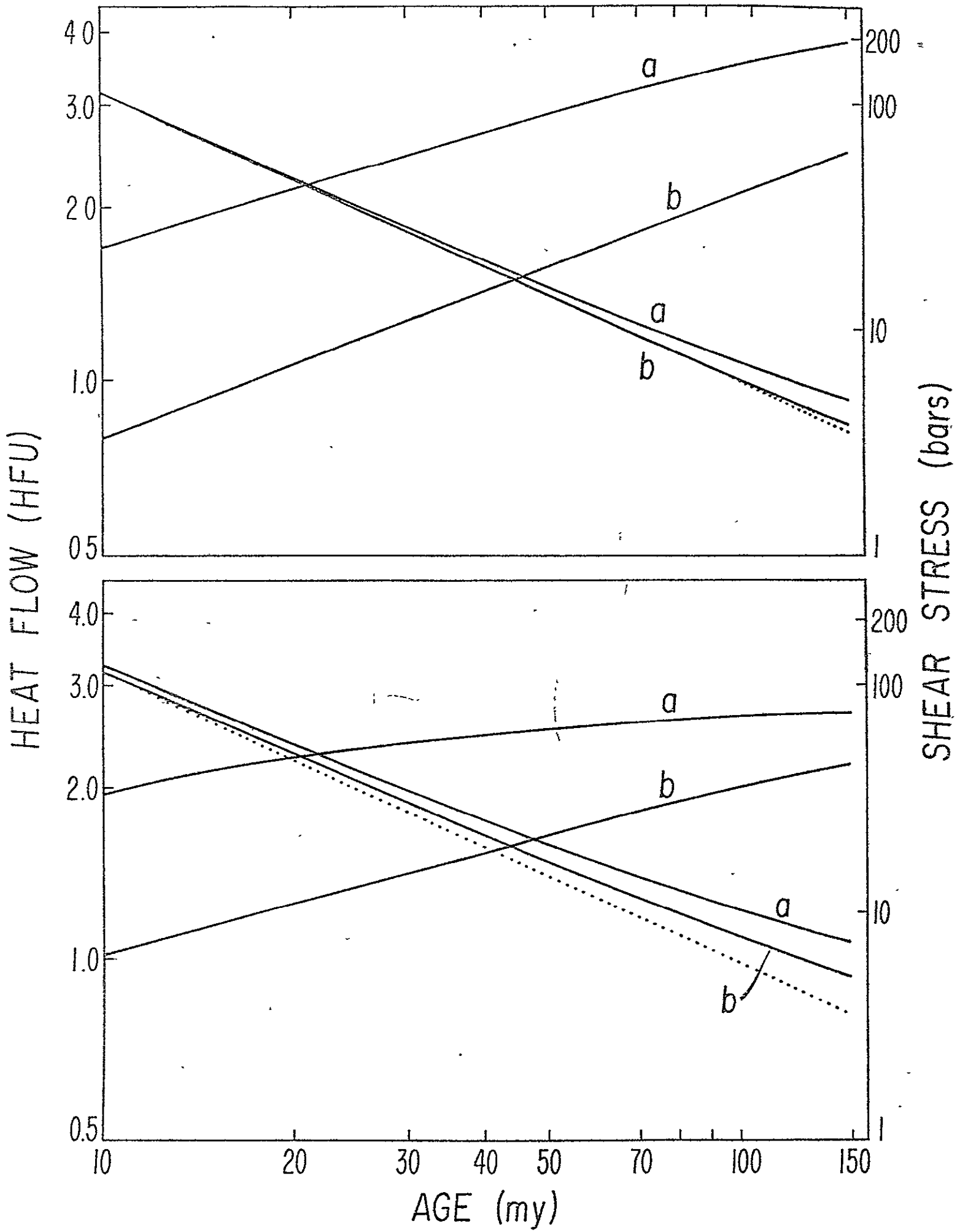


Fig. 12

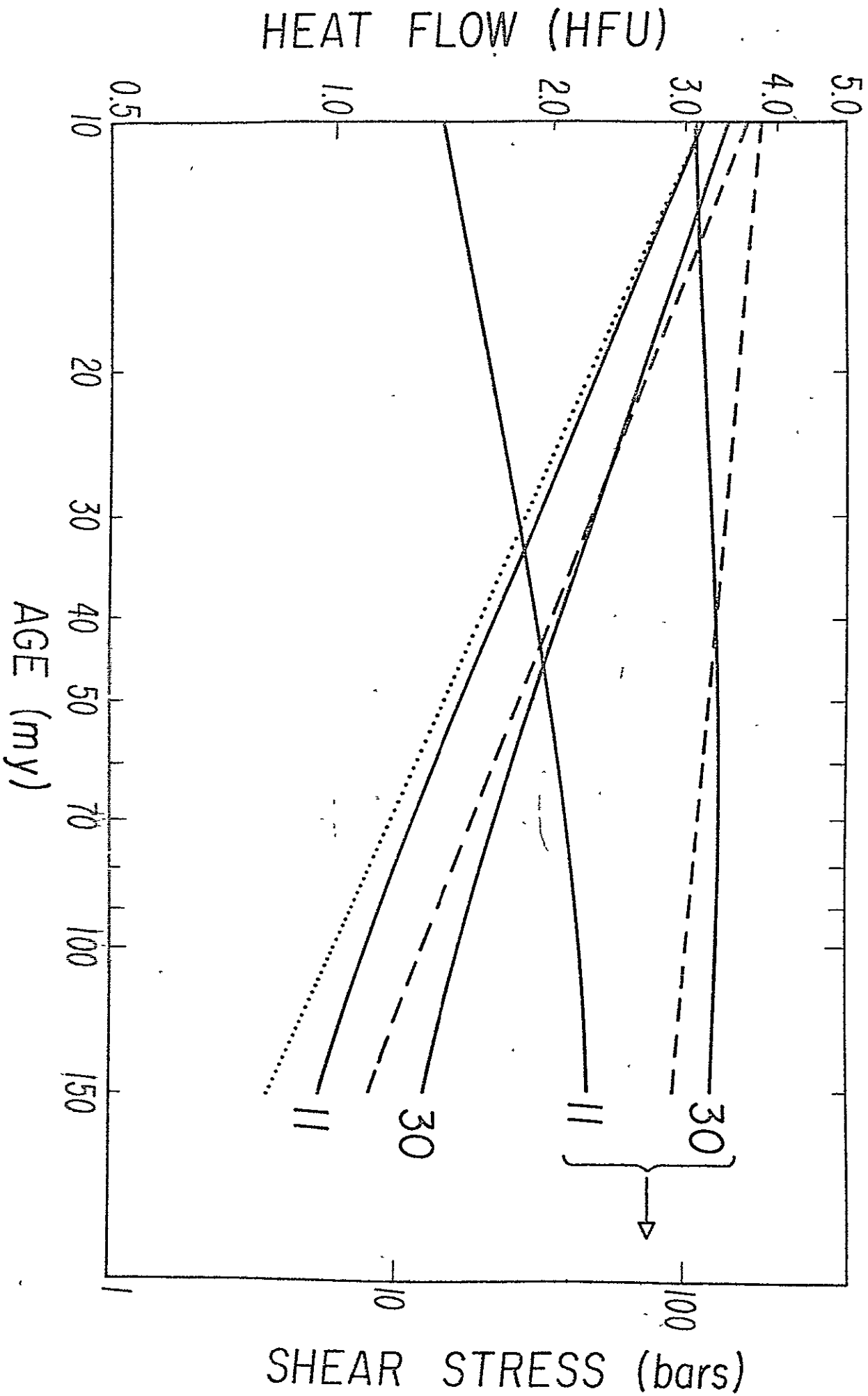


Fig. 13

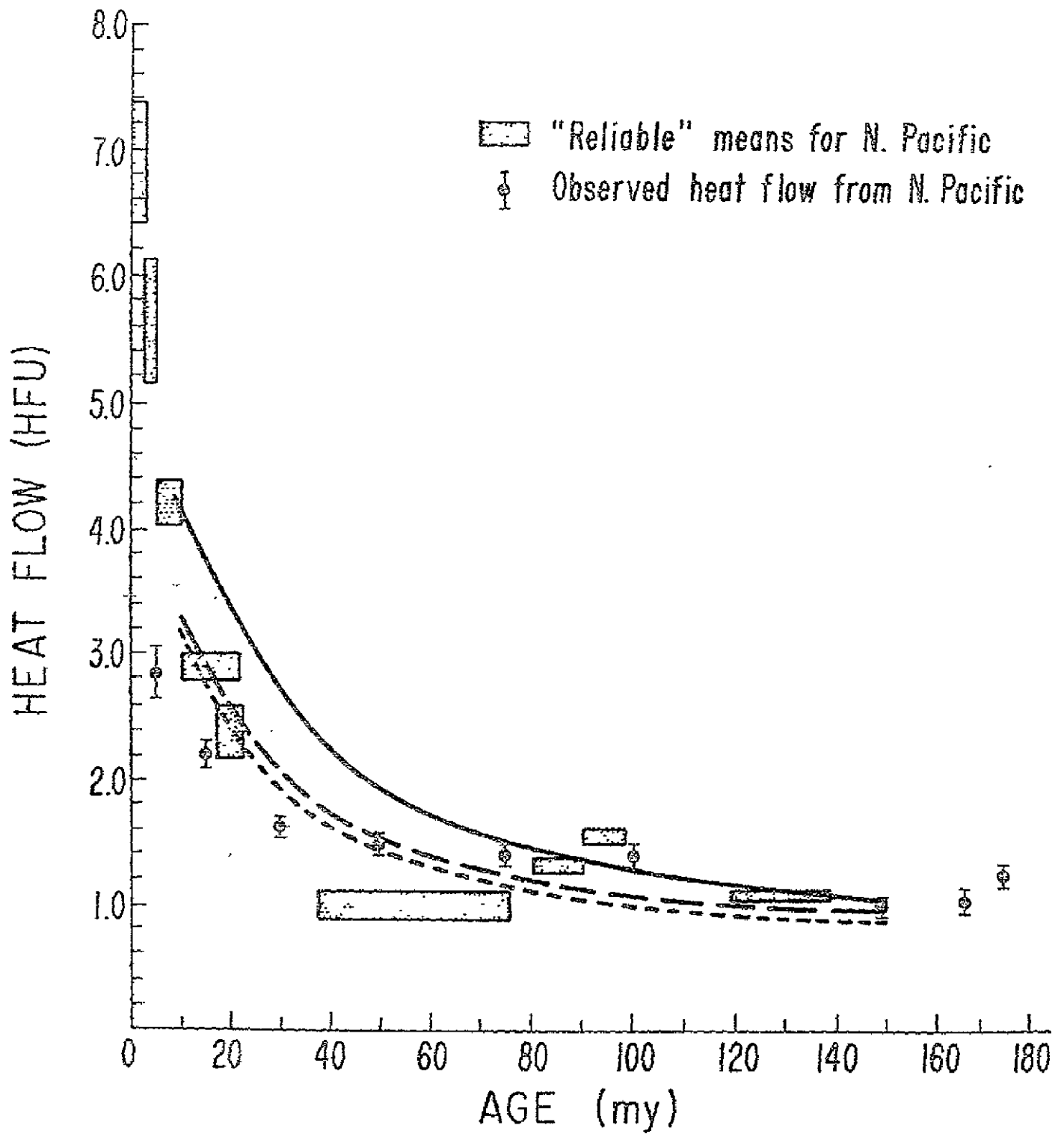


Fig. 14

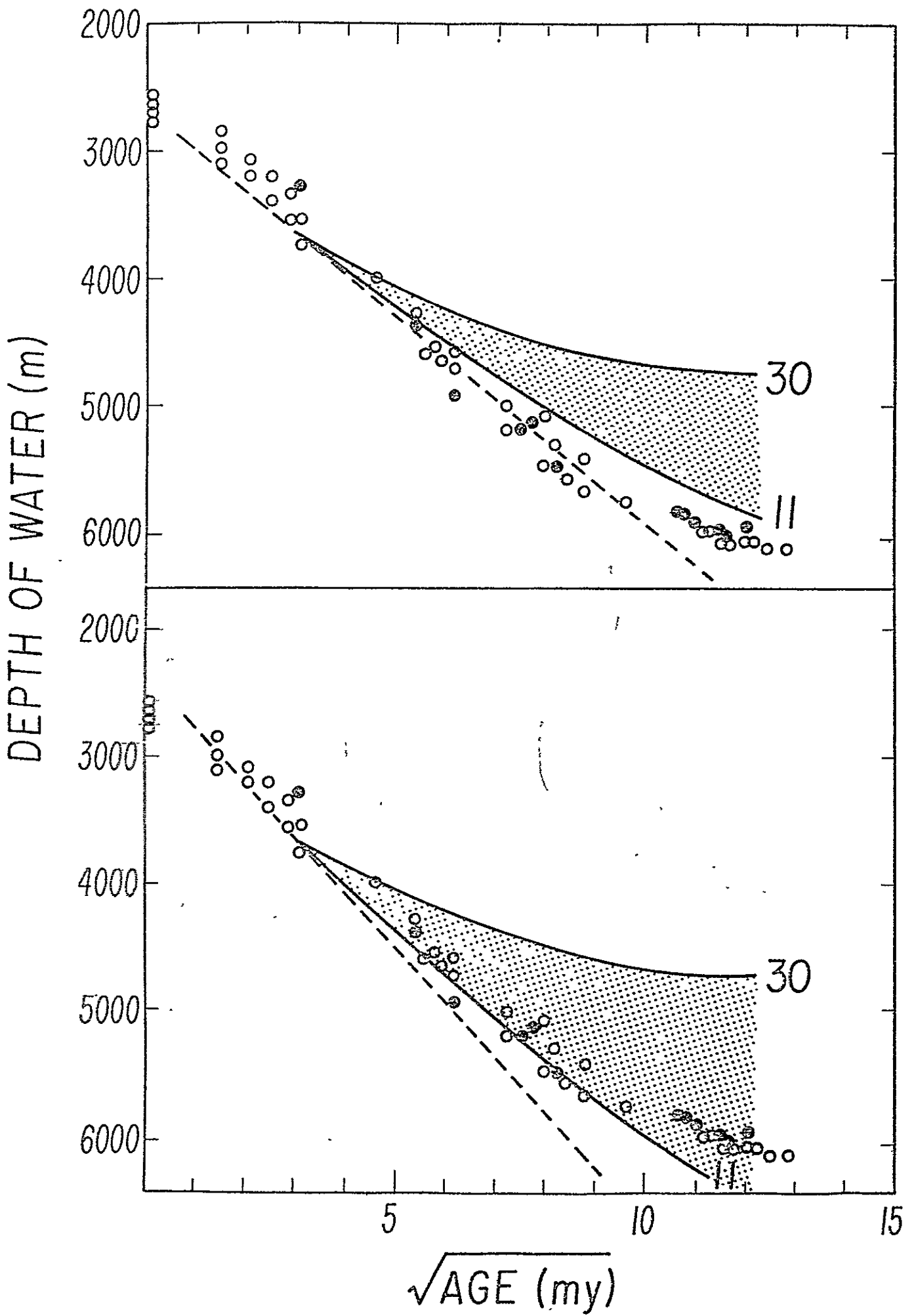


Fig. 15

# SEISMIC VELOCITIES

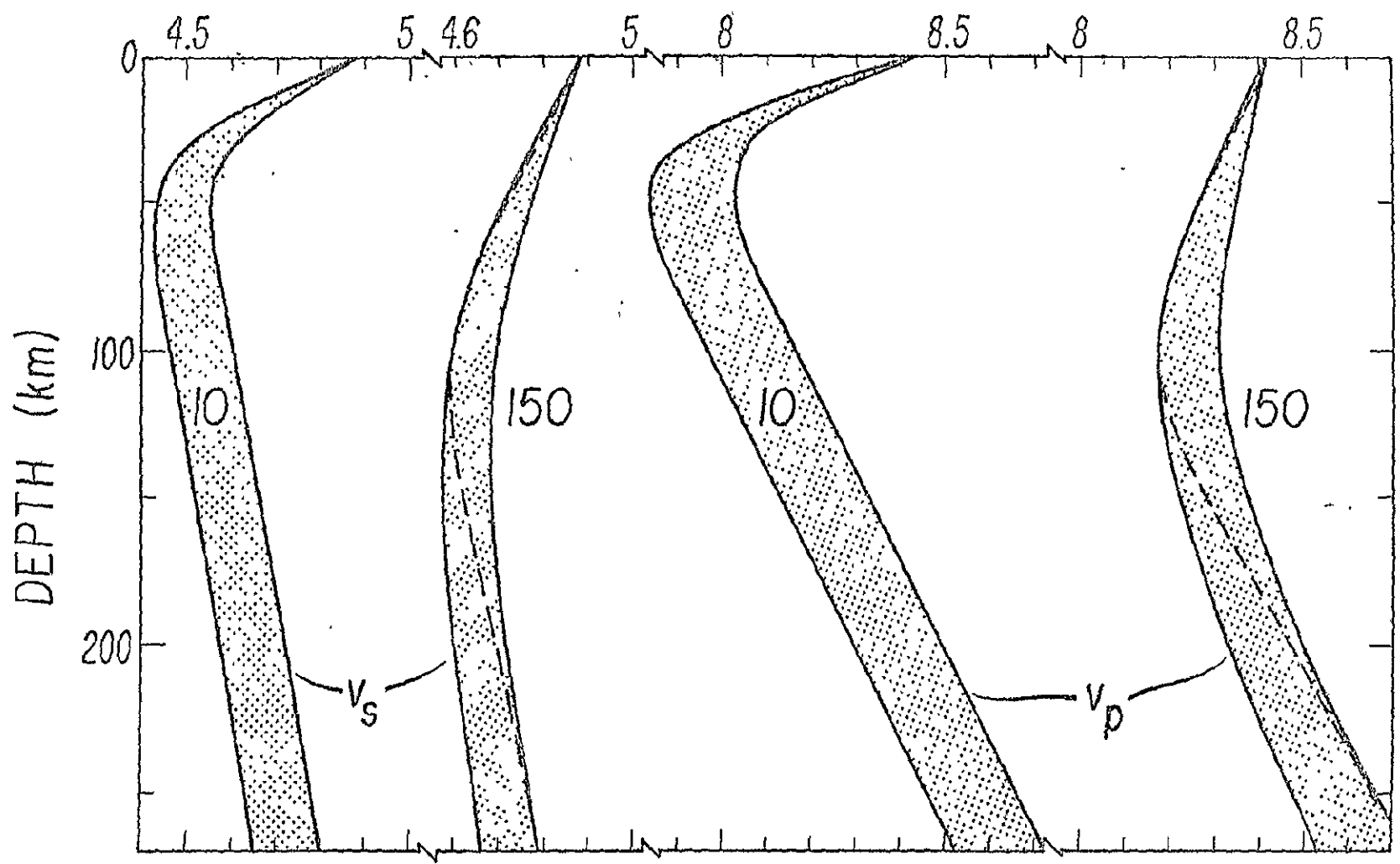


Fig. 16

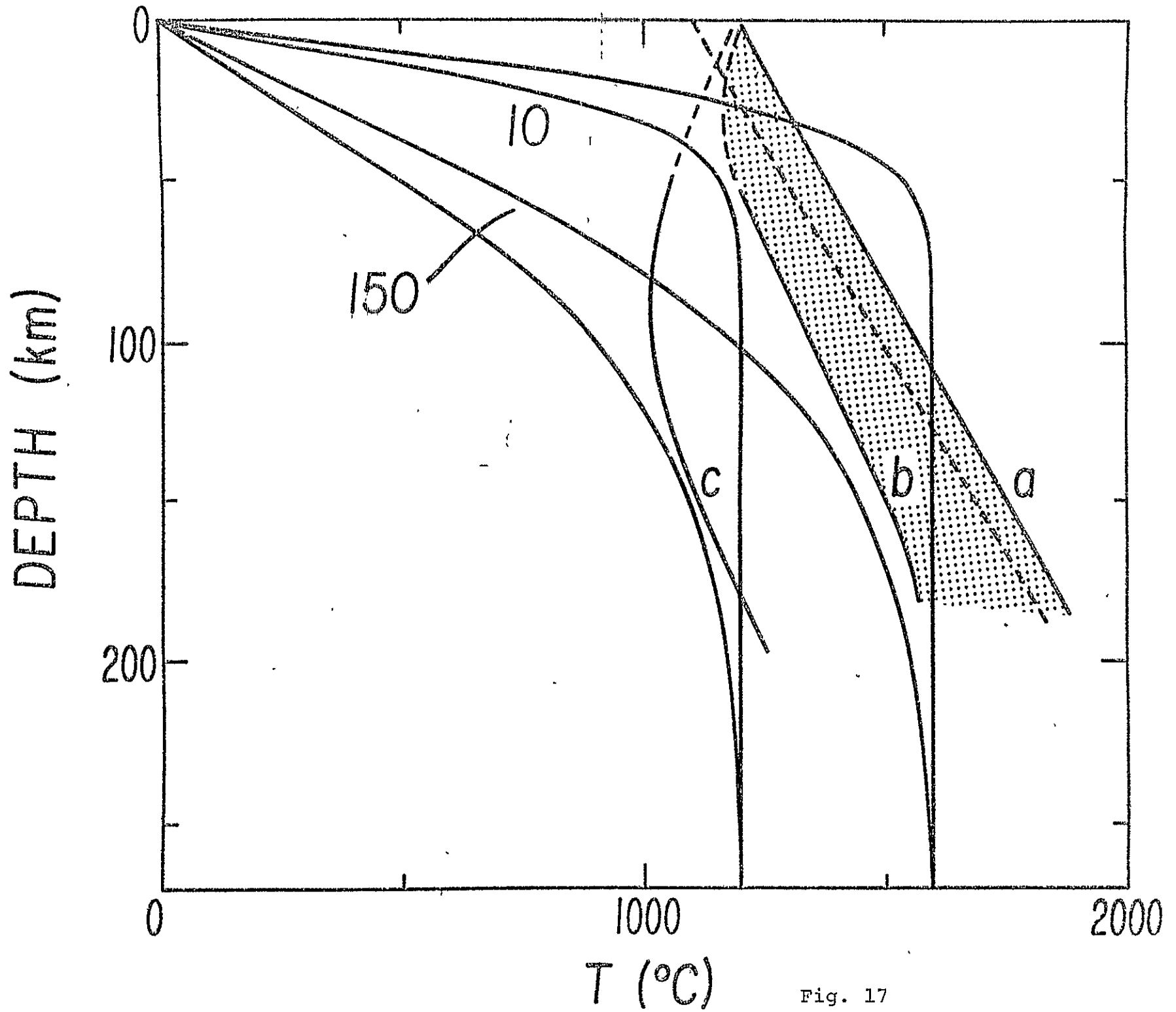


Fig. 17